Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545 0052

2009

For calendar year 2009, or tax year beginning Nov 1 **, 2009, and ending** Oct 31 **,** 2010

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: THE FRED C. GLOECKNER FOUNDATION, INC

Number and street (or P O box number if mail is not delivered to street address): 600 MAMARONECK AVENUE

Room/suite:

City or town: HARRISON State: NY ZIP code: 10528

A **Employer identification number** 13-6124190

B Telephone number (see the instructions) (914) 698-2300

C If exemption application is pending, check here ► ☐

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 2,535,105.

J Accounting method ☐ Cash ☒ Accrual
☐ Other (specify) _______________
(Part I, column (d) must be on cash basis)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts grants, etc, received (att sch)	2,500.			
2	Ck ► ☐ if the foundn is **not** req to att Sch B				
3	Interest on savings and temporary cash investments	602.	602.	602.	
4	Dividends and interest from securities	61,154.	61,154.	61,154.	
5a	Gross rents				
b	Net rental income or (loss)		L-6a Stmt		
6a	Net gain/(loss) from sale of assets not on line 10	8,237.			
b	Gross sales price for all assets on line 6a 96,894.				
7	Capital gain net income (from Part IV, line 2)		8,237.		
8	Net short-term capital gain			1,543.	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit/(loss) (att sch)				
11	Other income (attach schedule)				
12	**Total.** Add lines 1 through 11	72,493.	69,993.	63,299.	
13	Compensation of officers, directors trustees, etc				
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach sch)				
c	Other prof fees (attach sch)				
17	Interest				
18	Taxes (attach schedule)(see instr) See Line 18 Stmt	1,233.		260.	
19	Depreciation (attach sch) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) See Line 23 Stmt	36,308.	24,694.	11,613.	
24	**Total operating and administrative expenses.** Add lines 13 through 23	37,541.	24,694.	11,873.	
25	Contributions, gifts, grants paid	121,200.			
26	**Total expenses and disbursements.** Add lines 24 and 25	158,741.	24,694.	11,873.	
27	Subtract line 26 from line 12:				
a	**Excess of revenue over expenses and disbursements**	-86,248.			
b	**Net investment income** (if negative, enter 0)		45,299.		
c	**Adjusted net income** (if negative, enter 0)			51,426.	

REVENUE

OPERATING AND ADMINISTRATIVE EXPENSES

RECEIVED
E2-663
JUN 20 2011
IRS-OSC
OGDEN, UT

SCANNED JUN 21 2011

BAA For Privacy Act and Paperwork Reduction Act Notice, see the instructions. TEEA0301 02/02/10 Form **990-PF** (2009)

12P

Part II Balance Sheets

	Attached schedules and amounts in the description column should be for end of year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing	-7,933.	-16,997.	-16,997.
	2 Savings and temporary cash investments			
	3 Accounts receivable ►			
	Less allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less. allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule)	1,864,639.	1,947,871.	1,942,545.
	c Investments – corporate bonds (attach schedule)	548,170.	486,828.	503,994.
	11 Investments – land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule) LIQUID ASSET	202,640.	104,539.	104,539.
	14 Land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ► PREPAID FED. TAXES)	1,997.	1,024.	1,024.
	16 **Total assets** (to be completed by all filers – see instructions Also, see page 1, item I)	2,609,513.	2,523,265.	2,535,105.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)			
	23 **Total liabilities** (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	2,609,513.	2,523,265.	
	30 **Total net assets or fund balances** (see the instructions)	2,609,513.	2,523,265.	
	31 **Total liabilities and net assets/fund balances** (see the instructions)	2,609,513.	2,523,265.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,609,513.
2	Enter amount from Part I, line 27a	2	-86,248.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	2,523,265.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	2,523,265.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	SCHEDULE ATTACHED	P	VariousAR	VariousAR
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	96,894.		88,657.	8,237.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a	0.	0.	0.	8,237.
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	8,237.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8	3	1,543.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008		2,186,415.	
2007	149,482.	2,737,524.	0.054605
2006	167,220.	3,157,358.	0.052962
2005	200,407.	3,186,705.	0.062888
2004	207,302.	3,449,729.	0.060092

2	**Total** of line 1, column (d)	2	0.230547
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.057637
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	2,426,337.
5	Multiply line 4 by line 3	5	139,847.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	453.
7	Add lines 5 and 6	7	140,300.
8	Enter qualifying distributions from Part XII, line 4	8	121,200.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _ _ _ _ _ _ _ **(attach copy of letter if necessary - see instr.)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☐ and enter 1% of Part I, line 27b			1	906.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3	Add lines 1 and 2			3	906.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	906.
6	Credits/Payments				
a	2009 estimated tax pmts and 2008 overpayment credited to 2009	6a	1,024.		
b	Exempt foreign organizations – tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	1,024.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►			9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►			10	118.
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ►		118.	**Refunded** ► 11	

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
	*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ► $ ____________ **(2)** On foundation managers ► $ ____________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ ____________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see the instructions) ► ____________			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *Continued*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► MARTIN D. KORTJOHN Telephone no ► (845) 578-1600

Located at ► 80 RED SCHOOLHOUSE ROAD, CHESTNUT RIDGE, NY ZIP + 4 ► 10977

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.			Yes	No
1 a During the year did the foundation (either directly or indirectly)				
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)?		1 b		
Organizations relying on a current notice regarding disaster assistance check here	► ☐			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1 c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__				
b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see the instructions)		2b		
c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__				
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b If 'Yes,' did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)*		3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**	
Organizations relying on a current notice regarding disaster assistance check here	► ☐		
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)*	☐ Yes ☐ No		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If 'Yes' to 6b, file Form 8870*		**6b**	X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
PAUL L. DAUM ADVENTURA FL 33160	PRES. 2.00	0.	0.	0.
RICHARD CRAIG UNIVERSITY PARK, PA 16802	V.P. 2.00	0.	0.	0.
JOSEPH A. SIMONE 600 MAMARONECK, HARRISO NY 10528	SECT'Y 2.00	0.	0.	0.
MARTIN D. KORTJOHN CHESTNUT RIDGE, NY 10977	TREAS. 2.00	5,310.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	**(b)** Title and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
0				
0				
0				
0				

Total number of other employees paid over $50,000 ► None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services ►		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 THE ONLY ACTIVITY IS THE DISTRIBUTION OF GRANTS TO EDUCATIONAL INSTITUTIONS. A SCHEDULE IS ATTACHED.	121,200.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	0.
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ►	None

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a Average monthly fair market value of securities	1a	2,250,553.
b Average of monthly cash balances	1b	212,733.
c Fair market value of all other assets (see instructions)	1c	
d **Total** (add lines 1a, b, and c)	1d	2,463,286.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	2,463,286.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	36,949.
5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,426,337.
6 **Minimum investment return.** Enter 5% of line 5	6	121,317.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6			1	121,317.
2a Tax on investment income for 2009 from Part VI, line 5	2a	906.		
b Income tax for 2009 (This does not include the tax from Part VI)	2b			
c Add lines 2a and 2b			2c	906.
3 Distributable amount before adjustments Subtract line 2c from line 1			3	120,411.
4 Recoveries of amounts treated as qualifying distributions			4	
5 Add lines 3 and 4			5	120,411.
6 Deduction from distributable amount (see instructions)			6	
7 **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	120,411.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	121,200.
b Program-related investments — total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 **Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	121,200.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0.
6 **Adjusted qualifying distributions.** Subtract line 5 from line 4	6	121,200.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7					120,411.
2 Undistributed income, if any, as of the end of 2009					
a Enter amount for 2008 only				0.	
b Total for prior years 20___, 20___, 20___					
3 Excess distributions carryover, if any, to 2009					
a From 2004	35,196.				
b From 2005	41,452.				
c From 2006	12,646.				
d From 2007	14,109.				
e From 2008	5,522.				
f **Total** of lines 3a through e		108,925.			
4 Qualifying distributions for 2009 from Part XII, line 4 ► $ 121,200.					
a Applied to 2008, but not more than line 2a					
b Applied to undistributed income of prior years (Election required — see instructions)					
c Treated as distributions out of corpus (Election required — see instructions)					
d Applied to 2009 distributable amount					120,411.
e Remaining amount distributed out of corpus		789.			
5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a))*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		109,714.			
b Prior years' undistributed income Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount — see instructions			0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount — see instructions				0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)		35,196.			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a		74,518.			
10 Analysis of line 9					
a Excess from 2005	41,452.				
b Excess from 2006	12,646.				
c Excess from 2007	14,109.				
d Excess from 2008	5,522.				
e Excess from 2009	789.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a 'Assets' alternative test – enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year – see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

JOSEPH A. SIMONE
600 MAMARONECK AVENUE
HARRISON, NY 10528 (914) 698-2300

b The form in which applications should be submitted and information and materials they should include

A COPY OF THE APPLICATION AND GUIDELINES IS ATTACHED.

c Any submission deadlines

APRIL 1

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHED

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SCHEDULE ATTACHED			HORTICULTURAL STUDY PROJECTS	121,200.
Total			► **3a**	121,200.
b *Approved for future payment*				
Total			► **3b**	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		
1 Program service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(e) Related or exempt function income (see the instructions)
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					602.
4 Dividends and interest from securities					61,154.
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			3	8,237.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				8,237.	61,756.
13 **Total.** Add line 12, columns (b), (d), and (e)				13	69,993.

(See worksheet in the instructions for line 13 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See the instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
(1)	Cash	1 a (1)		X
(2)	Other assets	1 a (2)		X
b	Other transactions			
(1)	Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2)	Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3)	Rental of facilities, equipment, or other assets	1 b (3)		X
(4)	Reimbursement arrangements	1 b (4)		X
(5)	Loans or loan guarantees	1 b (5)		X
(6)	Performance of services or membership or fundraising solicitations	1 b (6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

SIGN HERE

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

► Martin D Kortjohn | 6-15-11 | ► Treasurer
Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature ► | Date | Check if self employed ► ☐ | Preparer's Identifying number (See **Signature** in the instrs)

Firm's name (or yours if self employed), address, and ZIP code ► Self-Prepared

EIN ►

Phone no ►

Schedule B
(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, 990-EZ, or 990-PF**

OMB No 1545 0047

2009

Name of the organization
THE FRED C. GLOECKNER FOUNDATION, INC

Employer identification number
13-6124190

Organization type (check one).

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)(____) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule —

☐ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor (Complete Parts I and II)

Special Rules —

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33-1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (**1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not aggregate to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of $5,000 or more during the year ► $__________

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2 of their Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Form 990-PF Part I, Line 6a

Net Gain or Loss From Sale of Assets

2009

Name THE FRED C. GLOECKNER FOUNDATION, INC
Employer Identification Number 13-6124190

Asset Information:

Description of Property INVESTMENTS
Date Acquired Various How Acquired Purchased
Date Sold. VariousARIOUS Name of Buyer
Sales Price 96,894. Cost or other basis (do not reduce by depreciation) 88,657.
Sales Expense Valuation Method
Total Gain (Loss) 8,237. Accumulation Depreciation

Description of Property
Date Acquired How Acquired.
Date Sold. Name of Buyer
Sales Price. Cost or other basis (do not reduce by depreciation)
Sales Expense Valuation Method.
Total Gain (Loss) Accumulation Depreciation

Description of Property.
Date Acquired How Acquired
Date Sold Name of Buyer
Sales Price Cost or other basis (do not reduce by depreciation)
Sales Expense. Valuation Method
Total Gain (Loss) Accumulation Depreciation

Description of Property
Date Acquired How Acquired
Date Sold Name of Buyer
Sales Price Cost or other basis (do not reduce by depreciation)
Sales Expense Valuation Method
Total Gain (Loss) Accumulation Depreciation

Description of Property
Date Acquired How Acquired
Date Sold Name of Buyer
Sales Price Cost or other basis (do not reduce by depreciation)
Sales Expense Valuation Method
Total Gain (Loss) Accumulation Depreciation

Description of Property
Date Acquired How Acquired
Date Sold. Name of Buyer
Sales Price Cost or other basis (do not reduce by depreciation)
Sales Expense Valuation Method
Total Gain (Loss) Accumulation Depreciation.

Description of Property
Date Acquired How Acquired
Date Sold. Name of Buyer
Sales Price Cost or other basis (do not reduce by depreciation)
Sales Expense Valuation Method
Total Gain (Loss) Accumulation Depreciation

Description of Property
Date Acquired How Acquired
Date Sold Name of Buyer
Sales Price. Cost or other basis (do not reduce by depreciation)
Sales Expense Valuation Method
Total Gain (Loss) Accumulation Depreciation

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes (see the instructions)	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
FEDERAL	973.			
NEW YORK	260.		260.	
Total	1,233.		260.	

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
SCHEDULE ATTACHED	36,308.	24,694.	11,613.	
OFFICE SUPPLIES				
INTERNET EXPENSE				
TRAVEL				
Total	36,308.	24,694.	11,613.	

THE FRED C. GLOECKNER FOUNDATION, INC.

SCHEDULE OF CASH RECEIVED

FOR THE FISCAL YEAR ENDED OCTOBER 31, 2010

Contributions

Gatti Nursery	$ 100.00
Bruce P Geary	1,000.00
Jeanne Z. Geary	400.00
Raymond N. Zimmerman	500.00
Joseph A. Simone	500.00
	$ 2,500.00

THE FRED C. GLOECKNER FOUNDATION

2009 990-PF

SHORT TERM STOCK SALES

Security Description	Acquisition Date	Sale Date	Purchase Price	Sale Price	Gain or (Loss)
AMCOR LTD AUS ADR NEW	07/15/2009	05/25/2010	1,723.62	2,016.35	292.73
AMCOR LTD AUS ADR NEW	07/14/2009	05/26/2010	80.12	95.39	15.27
AMCOR LTD AUS ADR NEW	07/10/2009	05/20/2010	401.02	517.46	116.44
AMCOR LTD AUS ADR NEW	07/13/2009	05/20/2010	237.79	310.49	72.70
AMCOR LTD AUS ADR NEW	07/10/2009	05/19/2010	641.62	867.85	226.23
AMCOR LTD AUS ADR NEW	07/14/2009	05/25/2010	240.39	288.05	47.66
AMCOR LTD AUS ADR NEW	07/14/2009	05/24/2010	1,041.63	1,281.17	239.54
AMCOR LTD AUS ADR NEW	07/14/2009	05/24/2010	80.12	100.37	20.25
AMCOR LTD AUS ADR NEW	07/15/2009	05/27/2010	82.07	101.95	19.88
AMCOR LTD AUS ADR NEW	07/15/2009	05/28/2010	197.00	248.66	51.66
AMCOR LTD AUS ADR NEW	07/10/2009	05/19/2010	80.20	105.72	25.52
AMCOR LTD AUS ADR NEW	07/13/2009	05/21/2010	1,109.72	1,393.82	284.10
AXA -SPONS ADR	06/08/2009	05/07/2010	1,651.14	1,315.36	(335.78)
AXA -SPONS ADR	06/08/2009	05/06/2010	194.25	155.48	(38.77)
AXA -SPONS ADR	06/08/2009	05/06/2010	971.25	825 51	(145.74)
CANADIAN PACIFIC RAILWAY	06/08/2009	02/23/2010	1,061.25	1,222.48	161.23
FORTIS (NL)-SPONS ADR	10/07/2009	01/27/2010	546.26	403.67	(142.59)
FORTIS (NL)-SPONS ADR	10/06/2009	01/27/2010	587.11	440.35	(146.76)
FORTIS (NL)-SPONS ADR	10/05/2009	01/26/2010	932.51	724.33	(208.18)
FORTIS (NL)-SPONS ADR	10/02/2009	01/20/2010	1,390.68	1,084.52	(306.16)
FORTIS (NL)-SPONS ADR	10/05/2009	01/25/2010	1,434.63	1,100.84	(333.79)
FORTIS (NL)-SPONS ADR	10/06/2009	01/26/2010	660.48	501.47	(159.01)
FORTIS (NL)-SPONS ADR	10/02/2009	01/21/2010	1,390.68	1,095.83	(294.85)
INTL POWER PLC SPON ADR	10/15/2009	08/16/2010	1,109.50	1,421.09	311.59
INTL POWER PLC SPON ADR	10/12/2009	08/16/2010	225.63	284.21	58.58
INTL POWER PLC SPON ADR	10/13/2009	08/16/2010	912.70	1,136.86	224.16
INTL POWER PLC SPON ADR	10/16/2009	08/17/2010	1,442.93	1,866.29	423.36
INTL POWER PLC SPON ADR	10/16/2009	08/16/2010	87.45	113.69	26.24
LAN AIRLINES S A	06/17/2009	01/22/2010	181.79	246.40	64.61
LAN AIRLINES S A	06/16/2009	01/21/2010	238.99	327.42	88.43
LAN AIRLINES S A	06/24/2009	02/05/2010	157.41	208.30	50.89
LAN AIRLINES S A	06/22/2009	02/04/2010	183.42	245.99	62.57
LAN AIRLINES S A	06/19/2009	02/01/2010	250.02	332.61	82.59
LAN AIRLINES S A	06/17/2009	01/28/2010	242.39	317 33	74.94
LAN AIRLINES S A	06/18/2009	02/01/2010	123.04	166.29	43.25
LAN AIRLINES S A	06/18/2009	02/01/2010	369.10	493.16	124.06
LAN AIRLINES S A	06/23/2009	02/05/2010	119.80	160.22	40.42
LAN AIRLINES S A	06/22/2009	02/02/2010	183.41	251.48	68.07
LAN AIRLINES S A	06/19/2009	02/02/2010	125.02	167.65	42.63
LAN AIRLINES S A	06/23/2009	02/04/2010	419.27	561.54	142.27
LAN AIRLINES S A	06/22/2009	02/04/2010	122.28	160.43	38.15
LAN AIRLINES S A	06/17/2009	02/01/2010	181.80	246.57	64.77
FORWARD			23,411.49	24,904.65	1,493.16

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THE FRED C. GLOECKNER FOUNDATION

2009 990-PF

SHORT TERM STOCK SALES

Security Description	Acquisition Date	Sale Date	Purchase Price	Sale Price	Gain or (Loss)
FORWARD			23,411.49	24,904.65	1,493.16
PRUDENTIAL PLC ADR	01/22/2010	06/03/2010	1,172.62	984.54	(188.08)
PRUDENTIAL PLC ADR	01/28/2010	06/07/2010	795.48	642.33	(153.15)
PRUDENTIAL PLC ADR	01/21/2010	06/03/2010	98.64	80.76	(17.88)
PRUDENTIAL PLC ADR	01/22/2010	06/04/2010	977.20	813.39	(163.81)
PRUDENTIAL PLC ADR	01/25/2010	06/07/2010	988.40	783.33	(205.07)
PRUDENTIAL PLC ADR	02/09/2010	06/07/2010	247.87	219.34	(28.53)
PRUDENTIAL PLC ADR	02/09/2010	06/07/2010	194 77	170.67	(24.10)
PRUDENTIAL PLC ADR	01/27/2010	06/07/2010	1,734.82	1,410.00	(324.82)
PRUDENTIAL PLC ADR	01/26/2010	06/07/2010	1,366.50	1,096.66	(269.84)
PRUDENTIAL PLC ADR	01/25/2010	06/04/2010	197.68	162.69	(34.99)
TECHNIP SP ADR	10/08/2009	09/28/2010	2,040.91	2,335.49	294.58
TECHNIP SP ADR	10/06/2009	09/28/2010	796.58	934.19	137.61
TECHNIP SP ADR	10/07/2009	09/28/2010	2,350.66	2,724.72	374.06
TECHNIP SP ADR	10/05/2009	09/24/2010	1,576.80	1,931.73	354.93
TECHNIP SP ADR	10/06/2009	09/24/2010	531.06	618.16	87.10
TELENORTE LES PART SPADR	06/08/2009	12/21/2009	835.00	1,047.32	212.32
TOTAL SHORT TERM			39,316.48	40,859.97	1,543.49

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THE FRED C. GLOECKNER FOUNDATION

2009 990-PF

LONG TERM STOCK SALES

Security Description	Acquisition Date	Sale Date	Purchase Price	Sale Price	Gain or (Loss)
AXA -SPONS ADR	07/31/2006	05/03/2010	339.60	196.80	(142.80)
AXA -SPONS ADR	07/31/2006	05/06/2010	4,754.44	2,311.40	(2,443.04)
AXA -SPONS ADR	07/31/2006	05/04/2010	339.60	184.68	(154.92)
AXA -SPONS ADR	N 07/31/2006	05/05/2010	169.80	87.06	(82.74)
AXA -SPONS ADR	07/22/2008	05/06/2010	1,765.86	990.60	(775.26)
AXA -SPONS ADR	04/16/2007	05/06/2010	1,820.78	660.40	(1,160.38)
AXA -SPONS ADR	04/12/2007	05/06/2010	1,550.23	577.85	(972.38)
BANCOLOMBIA S.A SPDS ADR	04/18/2007	08/02/2010	435.45	867.07	431.62
BANCOLOMBIA S.A SPDS ADR	04/18/2007	08/03/2010	1,451.52	2,935.13	1,483.61
BANCOLOMBIA S.A SPDS ADR	04/11/2007	07/28/2010	798.75	1,681 85	883.10
BANCOLOMBIA S.A SPDS ADR	04/11/2007	07/29/2010	399.38	821.63	422.25
BANCOLOMBIA S.A SPDS ADR	04/17/2007	08/02/2010	810.42	1,618.51	808.09
BANCOLOMBIA S.A SPDS ADR	04/17/2007	07/29/2010	926.20	1,878.03	951.83
BANCOLOMBIA S.A SPDS ADR	04/11/2007	04/13/2010	798.74	1,338.09	539.35
BANCOLOMBIA S.A SPDS ADR	04/11/2007	04/12/2010	427.89	719.02	291.13
BANCOLOMBIA S.A SPDS ADR	04/10/2007	04/12/2010	711.98	1,198.35	486.37
BANCOLOMBIA S.A SPDS ADR	04/10/2007	04/08/2010	569.58	972.92	403.34
CANADIAN PACIFIC RAILWAY	07/31/2006	02/19/2010	1,450.80	1,478.20	27.40
CANADIAN PACIFIC RAILWAY	07/31/2006	02/16/2010	1,450.80	1,470.35	19.55
CANADIAN PACIFIC RAILWAY	07/31/2006	02/22/2010	483.61	498.66	15.05
CANADIAN PACIFIC RAILWAY	07/31/2006	02/18/2010	967.19	979.50	12.31
CANADIAN PACIFIC RAILWAY	07/31/2006	02/17/2010	1,209.00	1,227.17	18.17
CD ALLY BANK UT	12/13/2007	09/01/2010	50,000.00	50,000.00	0.00
CD CAPITAL ONE BK (USA)	12/12/2006	04/27/2010	50,000.00	50,000.00	0.00
COCA COLA FEMSA SP ADR	07/31/2006	07/23/2010	61.05	133.64	72.59
COCA COLA FEMSA SP ADR	07/31/2006	07/20/2010	152.63	331.29	178.66
COCA COLA FEMSA SP ADR	07/31/2006	07/30/2010	366 31	801.17	434.86
COCA COLA FEMSA SP ADR	07/31/2006	07/22/2010	122.10	269.62	147.52
COCA COLA FEMSA SP ADR	07/31/2006	07/29/2010	335.78	731.98	396.20
COCA COLA FEMSA SP ADR	07/31/2006	07/21/2010	91.58	200.36	108.78
COCA COLA FEMSA SP ADR	07/31/2006	07/19/2010	91.58	200.38	108.80
COCA COLA FEMSA SP ADR	07/31/2006	04/08/2010	152.62	327.02	174.40
COCA COLA FEMSA SP ADR	07/31/2006	06/15/2010	824.20	1,876.35	1,052.15
COCA COLA FEMSA SP ADR	07/31/2006	04/09/2010	976.82	2,118.63	1,141.81
COMPASS GROUP PLC ADR	04/14/2009	06/14/2010	176.66	292.00	115.34
COPEL PARANA ADR PREF B	08/31/2007	05/11/2010	1,453.36	1,860.14	406.78
INTL LEASE FINANCE CORP	11/27/2006	10/15/2010	50,000.00	50,000.00	0.00
LOOMIS SAYLES - CAP GAIN DIST	06/02/2009	06/30/2010	-0-	209.19	209.19
PAINE WEBBER GROUP 7.62%	12/13/2006	12/01/2009	75,000.00	75,000.00	0.00
PEARSON SPONSORED ADR	06/17/2008	08/16/2010	686.60	806.12	119.52
PEARSON SPONSORED ADR	06/17/2008	08/19/2010	220.23	252.80	32.57
PEARSON SPONSORED ADR	05/18/2009	08/19/2010	427.69	594.83	167.14
PEARSON SPONSORED ADR	05/15/2009	08/19/2010	138.17	193.32	55.15
FORWARD			254,909.00	260,892.11	5,983.11

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THE FRED C. GLOECKNER FOUNDATION

2009 990-PF

LONG TERM STOCK SALES

Security Description	Acquisition Date	Sale Date	Purchase Price	Sale Price	Gain or (Loss)
FORWARD			254,909.00	260,892.11	5,983.11
PEARSON SPONSORED ADR	07/22/2008	08/19/2010	58 95	74 35	15.40
PEARSON SPONSORED ADR	06/10/2008	05/10/2010	1,902.47	2,137.95	235.48
PEARSON SPONSORED ADR	06/17/2008	08/17/2010	272.05	322.57	50.52
PEARSON SPONSORED ADR	05/19/2009	08/19/2010	385.42	520.48	135.06
PEARSON SPONSORED ADR	06/17/2008	08/18/2010	181.36	213 29	31 93
PEARSON SPONSORED ADR	06/10/2008	08/13/2010	182.43	213.96	31.53
PEARSON SPONSORED ADR	06/17/2008	08/13/2010	647 74	764.15	116.41
SAP AG SHS	01/02/2009	07/20/2010	1,078 51	1,422.84	344.33
SAP AG SHS	12/31/2008	07/19/2010	396 82	529.64	132.82
SAP AG SHS	12/31/2008	07/20/2010	865.79	1,138.26	272.47
TELENORTE LES PART SPADR	06/17/2008	12/16/2009	1,320 48	1,097 69	(222.79)
TELENORTE LES PART SPADR	06/19/2008	12/18/2009	2,119 30	1,658 44	(460.86)
TELENORTE LES PART SPADR	06/18/2008	12/17/2009	1,178.22	949.94	(228.28)
TELENORTE LES PART SPADR	06/17/2008	12/17/2009	1,848 68	1,477.66	(371 02)
TELENORTE LES PART SPADR	07/22/2008	12/18/2009	114 50	103.66	(10.84)
TELENORTE LES PART SPADR	06/16/2008	12/15/2009	260 67	222.62	(38.05)
TELENORTE LES PART SPADR	06/18/2008	12/18/2009	785.48	621 91	(163.57)
TELENORTE LES PART SPADR	06/16/2008	12/16/2009	782 03	658.60	(123 43)
TEVA PHARMACTCL INDS ADR	10/10/2007	04/08/2010	1,421 77	2,020.36	598 59
TRANSCANADA CORP	07/31/2006	07/28/2010	873 88	974 72	100.84
TRANSCANADA CORP	07/31/2006	07/19/2010	717 83	788 54	70 71
TRANSCANADA CORP	07/31/2006	07/20/2010	842 67	930 64	87.97
TRANSCANADA CORP	07/31/2006	07/26/2010	31 21	35 39	4.18
TRANSCANADA CORP	07/31/2006	07/29/2010	905 09	1,006.00	100 91
TOTAL LONG TERM			274,082 35	280,775.77	6,693.42
GRAND TOTAL - SHORT TERM AND LONG TERM			313,398.83	321,635 74	8,236 91

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The Fred C. Gloeckner Foundation, Inc.

Analysis of Expenses for 990–PF, Page 1, Part 1

		Allocations	
		(b) Net Invest. Income	(c) Adjusted Net Income
New York State Filing Fee	$ 260.00		$ 260.00
Federal Excise Tax (not allocated)	973.00		
	$ 1,233.00		$ 260.00
Printing and Stationery	$ 5,174.58		$ 5,174.58
Postage & Mailing	1,128.81		1,128.81
Investment Advisory Fees	18,317.65	$ 18,317.65	
Amortization of Bond Premiums and Discounts	6,376.55	6,376.55	
Professional Expense	5,310.00		5,310.00
	$ 36,307.59	$ 24,694.20	$ 11,613.39
Total Expenses	$ 37,540.59	$ 24,694.20	$ 11,873.39

THE FRED C. GLOECKNER FOUNDATION, INC.

SUMMARY STATEMENT OF STOCKS OWNED

AS AT OCTOBER 31, 2010

		Cost	Current Value
100 sh.	Accenture	$ 2,953.81	$ 4,471.00
125 sh.	Agrium, Inc.	3,517.50	11,063.75
220 sh.	AstraZenca	9,458.93	11,101.20
415 sh.	Australin New Zealand Banking Group	6,137.33	10,146.75
225 sh.	Axis Capital Holdings	5,535.75	7,661.25
320 sh.	BAE Systems	7,246.81	7,065.60
510 sh.	Banco Bilbao Vizcaya Argentaria	5,352.46	6,706.50
398 sh.	Banco Bradesco	7,378.63	8,278.40
105 sh.	British American Tobaco	5,692.05	8,004.15
111 sh.	Coca Cola Femsa	3,701.00	8,821.17
405 sh.	Companhia De Saneamento Basico do Estado	11,543.15	18,613.80
1010 sh.	Compass Group	5,211.18	8,251.70
65 sh.	Copa	3,393.19	3,297.45
544 sh.	Companhia Paranaense Energy	8,665.95	12,637.12
160 sh.	Covidien	5,410.95	6,379.20
446 sh.	CRH	9,062.94	7,880.82
164 sh.	Delhaize Freres	11,362.82	11,555.44
110 sh.	Diageo	6,918.08	8,140.00
485 sh.	France Telecom	12,334.93	11,586.65
109 sh.	Frontline Ltd.	3,416.52	3,133.75
65 sh.	Fuji Heavy Industries	3,563.87	4,468.75
115 sh.	Fujitsu Ltd.	3,506.93	3,893.90
276 sh.	GlaxcoSmithKline	11,948.49	10,775.04
275 sh.	Marks and Spencer Group	3,388.48	3,748.25
26 sh.	Mitsui	8,413.08	8,146.32
330 sh.	Nexen	4,431.88	7,025.70
21 sh.	Nitto Denko Corp.	8,291.05	7,843.50
205 sh.	Nokia	5,070.69	2,193.50
485 sh.	Pearson	5,176.88	7,469.00
175 sh	Petroleo Brasileiro Sa Petro	4,438.40	5,971 00
65 sh.	Posco	4,245.02	6,756 10
	Forward	$ 196,768.75	$ 243,086.76

THE FRED C. GLOECKNER FOUNDATION, INC.

SUMMARY STATEMENT OF STOCKS OWNED

AS AT OCTOBER 31, 2010

		Cost	Current Value
	Forward	$ 196,768.75	$ 243,086.76
195 sh.	Renaissancere Holdings	9,479.62	11,750.70
69 sh.	Rio Tinto	3,540.89	4,493.28
173 sh.	Royal Dutch Shell	10,662.42	11,232.89
236 sh.	Sage Group	3,550.54	4,106.40
255 sh.	Sasol Ltd	10,013.45	11,538.75
84 sh.	Siemens	6,821.32	9,602.04
500 sh.	Siliconware Precision	3,403.56	2,790.00
405 sh.	SK Telecom	8,686.49	7,464.15
550 sh.	Statoilhydro	14,669.09	12,006.50
600 sh.	Svenska Cellulosa	6,636.36	9,264.00
485 sh.	Telstra	7,628.02	6,402.00
63 sh.	Teva Pharmaceutical	2,821.08	3,268.44
120 sh.	Toronto Dominion Bank	3,563.76	8,664.00
102 sh.	Transcanada	3,218.62	3,768.90
225 sh.	Turkcell Iletism Hizmetieri	2,742.29	4,034.25
250 sh.	Unilever	6,021.57	7,255.00
255 sh.	United Overseas Bank, Ltd.	7,034.40	7,356.75
605 sh.	Yamada Gold	6,128.27	6,648.95
167 sh.	Yanzhou Coal Mining	3,584.35	4,822.96
505 sh.	Zurich Financial Services	10,615.99	12,322.00
8,150 sh.	iShares Russell 1000 Index Fund	589,652.50	495,601.50
749 sh.	iShares S & P Mid Cap 400 Growth Fund	54,227.60	68,496.05
906 sh.	iShares S & P Small Cap 600 Index Fund	52,652.19	59,261.46
7,894.50 sh.	Loomis Sayles	80,000.00	100,023 35
3,213 sh.	PowerShares TR Dynamic Small Cap Value	50,765.40	44,976.22
43,155 sh.	PowerShares TR Dynamic Large Cap Value	666,570.10	660,703.05
3,099 sh.	PowerShares TR Dynamic Mid Cap Value	51,412.41	46,763.91
15,923.57 sh.	Lord Abbett	75,000.00	74,840.76
		$ 1,947,871.04	$ 1,942,545.02

Schedule 2

THE FRED C. GLOECKNER FOUNDATION, INC.

STATEMENT OF BONDS AND SIMILAR INVESTMENTS OWNED

AS AT OCTOBER 31, 2010

BONDS

	Rate	Due Date	Face Amount	Cost or Basis	Market Value October 31, 2010
A T & T Wireless	7.875%	03/01/2011	$ 25,000.00	$ 25,332.58	$ 25,613.50
Barclays Bank	2.500%	01/23/2013	24,000.00	24,013.64	24,691.20
Barrington Bank & Trust	5.200%	06/07/2011	50,000 00	50,119.43	51,180.50
CIA Brasileira De Bebida	8.750%	09/15/2013	21,000.00	24,470.86	24,858.75
Computer Sciences	5 000%	02/15/2013	17,000.00	18,055.75	18,212.78
Credit Suisse	5.250%	03/02/2011	30,000.00	30,115.25	30,481.50
General Electric Capital	5.000%	04/10/2012	20,000.00	20,394.26	21,122.20
Goldman Sachs	5.250%	10/15/2013	15,000.00	14,686.86	16,404.30
Goldman Sachs	6.600%	01/15/2012	14,000.00	14,605.26	14,897 54
John Deere Capital	7.000%	03/15/2012	25,000.00	26,301.75	27,189.75
Merrill Lynch	6.050%	08/15/2012	23,000.00	24,192.20	24,523.06
National Rural Utilities	7.250%	03/01/2012	25,000.00	25,571.22	27,129.25
New Mexico General Electric	6.000%	06/15/2012	50,000.00	51,235.88	53,926.00
NYSE Euronet	4.800%	06/28/2013	25,000.00	25,517.92	27,340.50
Old Dominion Electric	6.250%	06/01/2011	25,000.00	25,425.49	25,767.50
Sempra Energy	6.000%	02/01/2013	13,000.00	14,114.82	14,315.47
Simon Property Group	5.300%	05/30/2013	23,000.00	24,771.33	25,458.93
Verizon Florida	6.125%	05/15/2013	22,000 00	23,747 47	24,166.56
Wells Fargo	4.375%	01/31/2013	25,000.00	24,156.33	26,714.50
			$ 472,000 00	$ 486,828.30	$ 503,993.79

THE FRED C. GLOECKNER FOUNDATION, INC.

STATEMENTS OF GRANTS PAID

FOR THE FISCAL YEAR ENDED OCTOBER 31, 2010

Cold Spring Harbor Laboritory	$ 8,100.00
Cornell University	8,000.00
Cornell University	10,000.00
North Carolina State University	8,000.00
Ohio State University	8,000 00
Perdue University	8,000.00
Pennsylvania State University	8,000.00
Rutgers University	9,000.00
University of Florida	15,000.00
University of Florida	8,000.00
University of Minnesota	9,100.00
University of Texas	8,000.00
University of Wisconsin	6,000.00
West Virginia University	8,000.00
	$ 121,200.00

The Fred C. Gloeckner Foundation Inc.

600 Mamaroneck Avenue • Harrison, NY 10528-1631
Phone (914) 698-2300

Please review the Guideline
the reverse side of this for
before completing the
application.

APPLICATION FOR RESEARCH GRANT FROM:

1. TITLE OF PROJECT
2. PRINCIPAL INVESTIGATOR
3. NAME OF INSTITUTION
4. MAILING ADDRESS

   CITY______________________________STATE________ZIP CODE

   TELEPHONE (   )__________________FAX (   )

5. LOCATION OF STUDY
6. ANTICIPATED DURATION OF THE PROJECT YEAR(S)_______________________________________
7. PLEASE INDICATE IF THIS PROPOSAL IS A RENEWAL REQUEST FOR RESEARCH CURRENTLY FUNDED BY THE FOUNDATION_____(YES/NO). IF YES, REQUESTING FUNDS FOR YEAR _______ OF _______.
8. TOTAL AMOUNT REQUESTED FOR A 12 MONTH PERIOD (usually September 1 to August 31)

   $____ **(Include a general itemized budget).**

9. OTHER SOURCES (Foundations, Etc.) AND AMOUNTS FOR WHICH A SIMILAR PROPOSAL HAS BEEN

   SUBMITTED____

10. PURPOSE FOR WHICH FUNDS ARE REQUESTED (Use separate pages if additional space is required).
11. MAKE A BROAD STATEMENT OF THE FOLLOWING ITEMS
    - A. Research objectives and justification
    - B. Review of key literature
    - C. General outline of materials and methods
    - D. Anticipated benefit to the floriculture industry
12. PROJECT LEADER QUALIFICATIONS In a paragraph or two, state the training/expertise that qualifies you for a grant to work in this research area. Extensive vitae information and long autobiographies are not necessary.

13. LENGTH AND TIME OF APPLICATION Quality of request, not length, is a determining factor. Be concise and brief, **not more than 15 pages**. For easy reviewing, please use **space and a half**, no less than a **12 pitch font**, and be sure to **number the pages**. SEND **10 COPIES** POSTMARKED **ON OR BEFORE APRIL 1st,** FOR CONSIDERATION AT THE ANNUAL BOARD OF DIRECTORS MEETING. If these specifications are not followed, the proposal will be returned to the researcher(s).

14. FEDERAL TAX EXEMPTION NO. _______________ . Specify exactly how the check to the tax exempt organization should be made payable, and to whom it should be sent. Include any specific instructions. ____

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GUIDELINES FOR RESEARCH GRANT APPLICATIONS

1. The Foundation awards grants for research and educational projects in floriculture and related fields at universities, colleges, and Federal research institutions in the United States.

2. Grant applications must be submitted before April 1st for consideration at the spring meeting, where applications are reviewed and decisions made. The grant applicant is informed of the decision shortly thereafter, and checks for approved grants are mailed the following August.

3. For efficient distribution of applications to the Review Committee, submit ten (10) copies to the Foundation office. Please number the pages, use space and a half, and no less than a 12 pitch font. If these specifications are not followed, the proposal will be returned to the researcher(s).

4. As one prepares the financial information, think of a September to August research year, rather than a calendar year.

5. Include anticipated duration of the project. Grants are awarded for one year only, and may be evaluated and considered for renewal upon receipt of a progress report, plans for the coming year, and a written request for continuation of funding. It cannot be assumed that renewal will be approved automatically.

6. Include information about other sources (Foundations, Endowments, etc.) and amounts for which a similar proposal has been submitted, or grants received.

7. The Foundation does not pay any indirect costs (overhead) on its research and education grants. Nor does it pay faculty or principal investigator's salary.

8. At the completion of a project, send a final report (reprints or thesis are acceptable) to the Foundation office. **In publications, a footnote acknowledging financial support of the FRED C. GLOECKNER FOUNDATION, INC. will be appreciated.**

The Fred C. Gloeckner Foundation, Inc. is a non-profit, educational corporation organized in December, 1960 to provide a source of financial aid for research and educational projects in Floriculture and in the supporting and allied fields, such as agricultural economics, agricultural engineering, entomology, plant breeding, plant pathology, and plant physiology related to Floriculture. The Foundation is exempt from Federal Income Tax as an organization described in section (501 (c) (3) of the code of 1954.