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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note: *The foundation may be able to use a copy of this return to satisfy state reporting requirements*

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning 11/01 , **2009, and ending** 10/31 , 2010

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type See Specific Instructions.

SCOTS' CHARITABLE SOCIETY OF BOSTON
C/O DOUG KILGORE, WINDHILL REALTY
23 SOUTH ROAD B
DEERFIELD, NH 03037

A Employer identification number
04-6040091

B Telephone number (see the instructions)
(603) 860-5477

C If exemption application is pending, check here ► ☐
D 1 Foreign organizations, check here ► ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 1,963,196.

J Accounting method ☐ Cash ☒ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions))*

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE				
1 Contributions, gifts, grants, etc, received (att sch)	30,870.			
2 Ck ► ☒ if the foundn is **not** req to att Sch B				
3 Interest on savings and temporary cash investments	388.	388.	388.	
4 Dividends and interest from securities	82,293.	82,293.	82,293.	
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10	-17,052.			
b Gross sales price for all assets on line 6a 2,331,954.				
7 Capital gain net income (from Part IV, line 2)		0.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule) SEE STATEMENT 1	9,151.		7,699.	
12 **Total.** Add lines 1 through 11	105,650.	82,681.	90,380.	
OPERATING AND ADMINISTRATIVE EXPENSES				
13 Compensation of officers, directors, trustees, etc	6,000.	1,000.	4,500.	1,500.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule) SEE ST 2	7,012.		7,012.	
b Accounting fees (attach sch) SEE ST 3	10,100.		10,100.	
c Other prof fees (attach sch) SEE ST 4	27,181.	27,181.	12,248.	14,933.
17 Interest				
18 Taxes (attach schedule)(see instr)				
19 Depreciation (attach sch) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) SEE STATEMENT 5	19,482.		18,363.	1,119.
24 **Total operating and administrative expenses.** Add lines 13 through 23	69,775.	28,181.	52,223.	17,552.
25 Contributions, gifts, grants paid PART XV	78,100.			78,100.
26 **Total expenses and disbursements.** Add lines 24 and 25	147,875.	28,181.	52,223.	95,652.
27 Subtract line 26 from line 12:				
a **Excess of revenue over expenses and disbursements**	-42,225.			
b **Net investment income** (if negative, enter -0-)		54,500.		
c **Adjusted net income** (if negative, enter -0-)			38,157.	

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing	106,194.	75,909.	75,909.
	2 Savings and temporary cash investments			
	3 Accounts receivable ►			
	Less. allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U S and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)			
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment basis ►			
	Less. accumulated depreciation (attach schedule) ►			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule) STATEMENT 6	1,883,117.	1,887,282.	1,887,282.
	14 Land, buildings, and equipment basis ►			
	Less. accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ► SEE STATEMENT 7)	5.	5.	5.
	16 Total assets (to be completed by all filers — see instructions Also, see page 1, item I)	1,989,316.	1,963,196.	1,963,196.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here ► [X] and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	582,278.	526,158.	
	25 Temporarily restricted			
	26 Permanently restricted	1,407,038.	1,437,038.	
	Foundations that do not follow SFAS 117, check here ► [ ] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see the instructions)	1,989,316.	1,963,196.	
	31 Total liabilities and net assets/fund balances (see the instructions)	1,989,316.	1,963,196.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,989,316.
2	Enter amount from Part I, line 27a	2	-42,225.
3	Other increases not included in line 2 (itemize) ► SEE STATEMENT 8	3	16,105.
4	Add lines 1, 2, and 3	4	1,963,196.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	1,963,196.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	SEE ATTACHED	P	VARIOUS	VARIOUS
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,331,954.		2,349,006.	-17,052.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				-17,052.
b				
c				
d				
e				

2	Capital gain net income or (net capital loss). If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	-17,052.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income) N/A

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008			
2007			
2006			
2005			
2004			

2	**Total** of line 1, column (d)	2	
3	Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	
5	Multiply line 4 by line 3	5	
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	
7	Add lines 5 and 6	7	
8	Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ► [ ] and enter 'N/A' on line 1			
Date of ruling or determination letter. ________ (attach copy of letter if necessary - see instr.)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [ ] and enter 1% of Part I, line 27b		1	1,090.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,090.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	1,090.
6 Credits/Payments:			
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6a		
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	0.
8 Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached		8	26.
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►		9	1,116.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►		10	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax ►	Refunded ►	11	

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ► $ 0. (2) On foundation managers ► $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see the instructions) ► MA			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *Continued*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► DOUG KILGORE Telephone no. ► (603) 860-5477

Located at ► 23 SOUTH ROAD DEERFIELD NH ZIP + 4 ► 03037

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here N/A ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

			Yes	No
1 a During the year did the foundation (either directly or indirectly)				
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No				
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No				
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No				
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No				
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No				
(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No				
b If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)?		1b	N/A	
Organizations relying on a current notice regarding disaster assistance check here ► ☐				
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No				
If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .				
b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see the instructions.)		2b	N/A	
c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__				
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No				
b If 'Yes,' did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)*		3b	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
SEE STATEMENT 9		6,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	**(b)** Title and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ►	0.

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Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes			
a Average monthly fair market value of securities		1a	1,885,200.
b Average of monthly cash balances		1b	91,052.
c Fair market value of all other assets (see instructions)		1c	5.
d Total (add lines 1a, b, and c)		1d	1,976,257.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2 Acquisition indebtedness applicable to line 1 assets		2	0.
3 Subtract line 2 from line 1d		3	1,976,257.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)		4	29,644.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4		5	1,946,613.
6 Minimum investment return. Enter 5% of line 5		6	97,331.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	97,331.
2a Tax on investment income for 2009 from Part VI, line 5	2a 1,090.		
b Income tax for 2009 (This does not include the tax from Part VI)	2b		
c Add lines 2a and 2b		2c	1,090.
3 Distributable amount before adjustments Subtract line 2c from line 1		3	96,241.
4 Recoveries of amounts treated as qualifying distributions		4	
5 Add lines 3 and 4		5	96,241.
6 Deduction from distributable amount (see instructions)		6	
7 Distributable amount as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	96,241.

Part XII **Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	95,652.
b Program-related investments – total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	95,652.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	95,652.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				96,241.
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only			0.	
b Total for prior years: 20___, 20___, 20___		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4 ► $ 95,652.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2009 distributable amount				95,652.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				589.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	0.			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	**(b)** 2008	**(c)** 2007	**(d)** 2006	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

SEE STATEMENT 10

b The form in which applications should be submitted and information and materials they should include

SEE STATEMENT FOR LINE 2A

c Any submission deadlines

SEE STATEMENT FOR LINE 2A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

SEE STATEMENT FOR LINE 2A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
VARIOUS SCHOLARSHIPS ,			COLLEGE TUITION	76,100.
RELIEF DISBURSEMENTS ,			FINANCIAL ASSISTANCE FOR THOSE IN NEED	2,000.
Total			► 3a	78,100.
b *Approved for future payment*				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(e) Related or exempt function income (see the instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					1,452.
3 Interest on savings and temporary cash investments			14	388.	
4 Dividends and interest from securities			14	82,293.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					-17,052.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue.					
a FUNCTION INCOME					7,699.
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				82,681.	-6,449.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 76,232.

(See worksheet in the instructions for line 13 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See the instructions.)
2&11A	ALL FUNDS RAISED FROM MEMBERSHIP DUES AND FUNCTION ARE USED BY THE ORGANIZATION
	TO ACCOMPLISH ITS MISSION EITHER DIRECTLY OR HELPING DEFRAY OPERATING AND
	ADMINISTRATION COSTS.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	**1a (1)**		X
	(2) Other assets	**1a (2)**		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	**1b (1)**		X
	(2) Purchases of assets from a noncharitable exempt organization	**1b (2)**		X
	(3) Rental of facilities, equipment, or other assets	**1b (3)**		X
	(4) Reimbursement arrangements	**1b (4)**		X
	(5) Loans or loan guarantees	**1b (5)**		X
	(6) Performance of services or membership or fundraising solicitations	**1b (6)**		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1c**		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship
N/A		

SIGN HERE

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

► Signature of officer or trustee — Date 5/4/11 — ► TREASURER Title

Paid Preparer's Use Only		
Preparer's signature ►	Date 4/11/11	Check if self-employed ► ☐ Preparer's identifying number (See **Signature** in the instrs) P00431020
Firm's name (or yours if self-employed), address, and ZIP code ►	HARVEY & MARTIN, P.C.	EIN ► 04-3122857
	43 HIGH ST. SUITE 210	
	NORTH ANDOVER, MA 01845	Phone no ► (978) 688-7701

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
FUNCTION INCOME	$ 7,699.		$ 7,699.
MEMBERSHIP DUES & ASSESSMENTS	1,452.		
TOTAL	$ 9,151.	$ 0.	$ 7,699.

STATEMENT 2
FORM 990-PF, PART I, LINE 16A
LEGAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL CONSULT	$ 7,012.		$ 7,012.	
TOTAL	$ 7,012.	$ 0.	$ 7,012.	$ 0.

STATEMENT 3
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
REPORT & TAX PREPARATION	$ 10,100.		$ 10,100.	
TOTAL	$ 10,100.	$ 0.	$ 10,100.	$ 0.

STATEMENT 4
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	$ 27,181.	$ 27,181.	$ 12,248.	$ 14,933.
TOTAL	$ 27,181.	$ 27,181.	$ 12,248.	$ 14,933.

STATEMENT 5
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FUNCTION EXPENSE	$ 12,080.		$ 11,189.	$ 891.
INSURANCE	3,284.		3,284.	
OTHER	4,118.		3,890.	228.
TOTAL	$ 19,482.	$ 0.	$ 18,363.	$ 1,119.

STATEMENT 6
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
OTHER PUBLICLY TRADED SECURITIES			
UNRESTRICTED-READILY TRADABLE EQUITY	MKT VAL	$ 488,359.	$ 488,359.
SCHOLARSHIP - READILY TRADABLE EQUITY	MKT VAL	1,398,923.	1,398,923.
	TOTAL	$ 1,887,282.	$ 1,887,282.

STATEMENT 7
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

	BOOK VALUE	FAIR MARKET VALUE
MISCELLANEOUS	$ 5.	$ 5.
TOTAL	$ 5.	$ 5.

STATEMENT 8
FORM 990-PF, PART III, LINE 3
OTHER INCREASES

UNREALIZED GAIN ON INVESTMENTS	$ 16,105.
TOTAL	$ 16,105.

STATEMENT 9
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN-SATION	CONTRI-BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
FRANK PORTER 105 PATTERSON STREET ATTLEBORO, MA 02703	RELIEF CHAIR 0	$ 500.	$ 0.	$ 0.
LESTER R. SINTON 8 RIVER ROAD MERRIMAC, MA 01860	FINANCE CHAIR 0	500.	0.	0.
A. WAYNE MACDONALD 25 MARKET STREET BILLERICA, MA 01821	SECRETARY 0	1,000.	0.	0.
REV. DR. GERALD G. WYRWAS 29 MIDLAND ROAD LYNNFIELD, MA 01940	CHAPLAIN 0	0.	0.	0.
JOHN KILGORE 164 MORSE RD MANCHESTER, NH 03104	PRESIDENT 0	500.	0.	0.
DOUG KILGORE 23 SOUTH ROAD DEERFIELD, NH 03037	TREASURER 0	1,000.	0.	0.
GORDON MACKAY 110 CENTRAL STREET AVON, MA 02322	VICE PRESIDENT 0	500.	0.	0.
DAVID GORDON 84 RYEFIELD ROAD FITCHBURG, MA 01420	MEMBERSHIP SECR 0	500.	0.	0.
JOSEPH MACLEOD 16 IMPERIAL COURT WESTBOROUGH, MA 01581	SCHOLARSHIP CHA 0	500.	0.	0.
ARTHUR JOHNSTON PO BOX 71 GOFFSTOWN, NH 03045	ENTERTAINMENT 0	500.	0.	0.
WILLIAM BUDDE 142A MUNN TERRACE ARLINGTON, VT 05252	HISTORIAN 0	500.	0.	0.
DONALD WEIR 75 GREENACRE ROAD WESTWOOD, MA 02090	TRUSTEE GRAVES 0	0.	0.	0.
	TOTAL	$ 6,000.	$ 0.	$ 0.

STATEMENT 10
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:	
NAME:	JOSEPH MACLEOD
CARE OF:	
STREET ADDRESS:	16 IMPERIAL COURT
CITY, STATE, ZIP CODE:	WESTBOROUGH, MA 01581
TELEPHONE:	
FORM AND CONTENT:	APPLICATION IN LETTER FORM IS ACCEPTABLE.
SUBMISSION DEADLINES:	PRIOR TO COMMENCEMENT OF SCHOOL YEAR.
RESTRICTIONS ON AWARDS:	ASSISTANCE IS GENERALLY AWARDED TO SCOTS OR PEOPLE OF SCOTTISH DECENT LIVING IN OR AROUND THE GREATER BOSTON AREA.

Cusip	Symbol	Description	Shares	Purchase Date	Cost	SaleDate	Proceeds	Gain/(Loss)
885535104	COMS-Z	3Com Corp	32	10/8/2009	$175 76	12/4/2009	$235 93	$60 17
885535104	COMS-Z	3Com Corp	49	10/8/2009	$269 15	12/4/2009	$361 27	$92 12
88579Y101	MMM	3M Company	1	8/10/2009	$71 69	7/19/2010	$81 26	$9.57
88579Y101	MMM	3M Company	1	8/10/2009	$71 70	7/1/2010	$78 90	$7 20
88579Y101	MMM	3M Company	1	2/18/2010	$80 41	7/27/2010	$87 12	$6 71
88579Y101	MMM	3M Company	3	2/18/2010	$241 21	7/21/2010	$246 90	$5 69
88579Y101	MMM	3M Company	3	8/10/2009	$215 08	7/21/2010	$246 91	$31 83
88579Y101	MMM	3M Company	2	2/18/2010	$160 81	7/21/2010	$164 60	$3 79
3021847	CSABX	Aberdeen Asia Bond Institutional	786.55	12/9/2009	$8,070 00	4/22/2010	$8,730 71	$660 71
3021847	CSABX	Aberdeen Asia Bond Institutional	13 083	12/14/2009	$134 23	4/22/2010	$145 22	$10 99
3021847	CSABX	Aberdeen Asia Bond Institutional	19 797	7/24/2009	$191 63	12/24/2009	$202 92	$11 29
3021847	CSABX	Aberdeen Asia Bond Institutional	892 3	12/9/2009	$9,155 00	4/22/2010	$9,904.53	$749 53
3021847	CSABX	Aberdeen Asia Bond Institutional	104 783	12/14/2009	$1,075 07	4/28/2010	$1,157 85	$82 78
3021847	CSABX	Aberdeen Asia Bond Institutional	75 919	12/14/2009	$778.93	4/22/2010	$842 70	$63 77
3021847	CSABX	Aberdeen Asia Bond Institutional	127.348	1/14/2010	$1,344 80	4/28/2010	$1,407 20	$62 40
00508Y102	AYI	Acuity Brands, Inc	1	2/18/2010	$38 01	2/26/2010	$38 91	$0 90
00508Y102	AYI	Acuity Brands, Inc	5	2/19/2010	$195.57	2/26/2010	$194.55	($1.02)
00508Y102	AYI	Acuity Brands, Inc	2	2/18/2010	$76 01	2/26/2010	$77 82	$1 81
00508Y102	AYI	Acuity Brands, Inc	7	2/19/2010	$273 80	2/26/2010	$272.37	($1 43)
8252108	AMG	Affiliated Managers Group, Inc	1	2/18/2010	$69 09	9/30/2010	$77 98	$8 89
8252108	AMG	Affiliated Managers Group, Inc	1	2/18/2010	$69 09	10/15/2010	$85 81	$16 72
21441100	ALTR	Altera Corp	8	2/18/2010	$188 94	4/23/2010	$209 33	$20 39
21441100	ALTR	Altera Corp	13	2/18/2010	$307 02	4/23/2010	$340 16	$33 14
23436108	AMED	Amedisys, Inc	4	3/1/2010	$238 41	7/2/2010	$146 36	($92 05)
23436108	AMED	Amedisys, Inc	6	3/1/2010	$357 61	7/2/2010	$219.55	($138 06)
25081845	TWAVX	American Century Short-Term Govt Adv	42 179	10/23/2009	$409.56	6/21/2010	$412 93	$3 37
25081845	TWAVX	American Century Short-Term Govt Adv	57 613	10/16/2009	$560 00	11/20/2009	$562.30	$2 30
25081845	TWAVX	American Century Short-Term Govt Adv	52 313	10/21/2009	$508 48	11/20/2009	$510.58	$2 10
25081845	TWAVX	American Century Short-Term Govt Adv	57 862	10/14/2009	$563 00	11/20/2009	$564 73	$1 73
25081845	TWAVX	American Century Short-Term Govt Adv	19 844	10/21/2009	$192 89	11/25/2009	$193 68	$0 79
25081845	TWAVX	American Century Short-Term Govt Adv	4 283	10/21/2009	$41.63	6/21/2010	$41 93	$0 30
25081845	TWAVX	American Century Short-Term Govt Adv	70 738	10/23/2009	$686 87	6/21/2010	$692.53	$5 66
25081845	TWAVX	American Century Short-Term Govt Adv	102.38	10/21/2009	$995 13	11/20/2009	$999 23	$4 10
25081845	TWAVX	American Century Short-Term Govt Adv	87 86	10/16/2009	$854 00	11/20/2009	$857.51	$3.51
25081845	TWAVX	American Century Short-Term Govt Adv	88 284	10/14/2009	$859 00	11/20/2009	$861.65	$2 65
25081845	TWAVX	American Century Short-Term Govt Adv	15 988	10/23/2009	$155 24	11/25/2009	$156 04	$0 80
25081845	TWAVX	American Century Short-Term Govt Adv	14 287	10/21/2009	$138 87	11/25/2009	$139 44	$0 57
03662Q105	ANSS	ANSYS, Inc	6	2/18/2010	$260 45	3/1/2010	$263 34	$2 89
03662Q105	ANSS	ANSYS, Inc	9	2/18/2010	$390 68	3/1/2010	$395 01	$4 33
03875R106	ARBFX	Arbitrage Fund Class R	379 76	1/14/2010	$4,822 95	4/22/2010	$4,921 69	$98 74
03875R106	ARBFX	Arbitrage Fund Class R	455 716	1/14/2010	$5,787 60	4/22/2010	$5,906 08	$118 48
03875R106	ARBFX	Arbitrage Fund Class R	94 813	1/14/2010	$1,204 12	4/28/2010	$1,227 83	$23 71
53015103	ADP	Automatic Data Processing, Inc	1	2/18/2010	$41 39	7/27/2010	$42.24	$0 85
53015103	ADP	Automatic Data Processing, Inc	1	2/18/2010	$41 39	7/29/2010	$41.58	$0 19
60505104	BAC	Bank of America Corp	1	2/18/2010	$15 86	6/21/2010	$16 03	$0 17
60505104	BAC	Bank of America Corp	1	2/18/2010	$15 85	7/28/2010	$14 04	($1 81)
60505104	BAC	Bank of America Corp	4	7/21/2010	$55 48	8/19/2010	$53 12	($2 36)
60505104	BAC	Bank of America Corp	12	2/18/2010	$190 26	8/19/2010	$159 36	($30 90)
60505104	BAC	Bank of America Corp	1	2/18/2010	$15 85	6/21/2010	$16 03	$0 18
60505104	BAC	Bank of America Corp	6	7/21/2010	$83 22	8/19/2010	$79 68	($3 54)
60505104	BAC	Bank of America Corp	20	2/18/2010	$317 10	8/19/2010	$265 60	($51.50)
09256A109	CII	BlackRock Enhanced Cap & Income, Inc.	1	7/17/2009	$12 77	11/25/2009	$15 31	$2.54
09256A109	CII	BlackRock Enhanced Cap & Income, Inc	1	7/17/2009	$12 77	6/21/2010	$14 93	$2 16
09256A109	CII	BlackRock Enhanced Cap & Income, Inc.	1	7/17/2009	$12 77	2/18/2010	$14 31	$1 54
09256A109	CII	BlackRock Enhanced Cap & Income, Inc	1	12/24/2009	$15 37	7/28/2010	$14 13	($1 24)
09256A109	CII	BlackRock Enhanced Cap & Income, Inc.	6	7/17/2009	$76 62	7/27/2010	$85.32	$8 70
09256A109	CII	BlackRock Enhanced Cap & Income, Inc	1	7/17/2009	$12 77	7/28/2010	$14 14	$1 37
09256A109	CII	BlackRock Enhanced Cap & Income, Inc	1	7/17/2009	$12 77	6/21/2010	$14 93	$2 16
09256A109	CII	BlackRock Enhanced Cap & Income, Inc	1	7/17/2009	$12 77	11/20/2009	$14 89	$2 12
09256A109	CII	BlackRock Enhanced Cap & Income, Inc	1	7/17/2009	$12 77	2/18/2010	$14.31	$1.54
09256A109	CII	BlackRock Enhanced Cap & Income, Inc	1	10/6/2009	$14 47	7/28/2010	$14 13	($0 34)
09256A109	CII	BlackRock Enhanced Cap & Income, Inc	1	12/24/2009	$15 37	7/28/2010	$14 14	($1 23)
09256A109	CII	BlackRock Enhanced Cap & Income, Inc	10	7/17/2009	$127 70	7/27/2010	$142.20	$14.50
09256A109	CII	BlackRock Enhanced Cap & Income, Inc	1	7/17/2009	$12 77	7/28/2010	$14 13	$1 36
92524107	BGY	Blackrock Int'l Growth & Income	1	7/17/2009	$10 41	12/24/2009	$11 66	$1 25
92524107	BGY	Blackrock Int'l Growth & Income	2	7/17/2009	$20 82	6/21/2010	$18 70	($2 12)
92524107	BGY	Blackrock Int'l Growth & Income	3	7/17/2009	$31 23	7/27/2010	$30 79	($0 44)
92524107	BGY	Blackrock Int'l Growth & Income	3	7/17/2009	$31 23	7/29/2010	$29 77	($1.46)
92524107	BGY	Blackrock Int'l Growth & Income	16	7/17/2009	$166.56	7/28/2010	$160 74	($5 82)
92524107	BGY	Blackrock Int'l Growth & Income	4	7/17/2009	$41 64	6/21/2010	$37 40	($4 24)
92524107	BGY	Blackrock Int'l Growth & Income	5	7/17/2009	$52 05	7/27/2010	$51 32	($0 73)
92524107	BGY	Blackrock Int'l Growth & Income	5	7/17/2009	$52 05	7/29/2010	$49 62	($2 43)
92524107	BGY	Blackrock Int'l Growth & Income	23	7/17/2009	$239 43	7/28/2010	$231.07	($8 36)
91928275	CMGBX	Blackrock Low Duratn Bond Service	1233 116	4/9/2010	$11,788.59	4/22/2010	$11,800 92	$12 33
91928275	CMGBX	Blackrock Low Duratn Bond Service	1479 646	4/9/2010	$14,145 41	4/22/2010	$14,160 21	$14 80
91928275	CMGBX	Blackrock Low Duratn Bond Service	307 72	4/9/2010	$2,941.80	4/28/2010	$2,941 80	$0 00
128119401	CCVIX	Calamos Convertible A	117 645	9/1/2009	$2,055 26	4/16/2010	$2,270.55	$215 29
128119401	CCVIX	Calamos Convertible A	83 876	9/1/2009	$1,465 31	4/20/2010	$1,623 84	$158 53
128119401	CCVIX	Calamos Convertible A	30 349	9/1/2009	$530 20	4/22/2010	$589 07	$58 87
128119401	CCVIX	Calamos Convertible A	20 864	9/3/2009	$367 00	4/22/2010	$404 97	$37 97
128119401	CCVIX	Calamos Convertible A	66 08	9/3/2009	$1,162.34	8/13/2010	$1,219 84	$57.50
128119401	CCVIX	Calamos Convertible A	44 9	9/9/2009	$810 00	8/13/2010	$828.86	$18 86
128119401	CCVIX	Calamos Convertible A	2 753	9/17/2009	$50 62	8/13/2010	$50 82	$0 20
128119401	CCVIX	Calamos Convertible A	3 909	12/17/2009	$72 48	8/13/2010	$72 16	($0 32)
128119401	CCVIX	Calamos Convertible A	2 787	3/18/2010	$53 45	8/13/2010	$51 45	($2 00)
128119401	CCVIX	Calamos Convertible A	45 269	6/16/2010	$851.51	8/13/2010	$835 66	($15 85)
128119401	CCVIX	Calamos Convertible A	133 405	9/1/2009	$2,330.59	4/16/2010	$2,574 72	$244 13
128119401	CCVIX	Calamos Convertible A	95 158	9/1/2009	$1,662 41	4/20/2010	$1,842.26	$179 85
128119401	CCVIX	Calamos Convertible A	34 481	9/1/2009	$602.38	4/22/2010	$669.28	$66 90
128119401	CCVIX	Calamos Convertible A	26 957	9/3/2009	$474 18	4/22/2010	$523.23	$49 05
128119401	CCVIX	Calamos Convertible A	56 336	9/3/2009	$990 95	8/13/2010	$1,039 96	$49 01

Cusip	Symbol	Description	Shares	Purchase Date	Cost	SaleDate	Proceeds	Gain/(Loss)
128119401	CCVIX	Calamos Convertible A	53 492	9/9/2009	$965 00	8/13/2010	$987 46	$22 46
128119401	CCVIX	Calamos Convertible A	12 735	9/3/2009	$224 01	4/28/2010	$245 79	$21 78
128119401	CCVIX	Calamos Convertible A	3 122	9/17/2009	$57 42	8/13/2010	$57 63	$0 21
128119401	CCVIX	Calamos Convertible A	4 434	12/17/2009	$82 21	8/13/2010	$81 85	($0 36)
128119401	CCVIX	Calamos Convertible A	3 161	3/18/2010	$60 62	8/13/2010	$58.35	($2 27)
128119401	CCVIX	Calamos Convertible A	45 346	6/16/2010	$852 96	8/13/2010	$837 10	($15 86)
166764100	CVX	Chevron Corp	3	2/18/2010	$218.53	3/18/2010	$224 45	$5 92
166764100	CVX	Chevron Corp	1	3/12/2010	$73 97	3/18/2010	$74 82	$0 85
166764100	CVX	Chevron Corp	4	2/18/2010	$291 37	3/18/2010	$299 27	$7 90
166764100	CVX	Chevron Corp	2	3/12/2010	$147 94	3/18/2010	$149 63	$1 69
168615102	CHS	Chico's FAS, Inc	14	2/18/2010	$192 99	8/4/2010	$126 81	($66 18)
168615102	CHS	Chico's FAS, Inc.	21	2/18/2010	$289 48	8/4/2010	$190 21	($99 27)
171232101	CB	Chubb Corporation	5	2/18/2010	$253 49	3/12/2010	$253.56	$0 07
171232101	CB	Chubb Corporation	8	2/18/2010	$405 58	3/12/2010	$405 69	$0 11
171340102	CHD	Church & Dwight Co , Inc	3	2/18/2010	$196 25	3/1/2010	$201 38	$5 13
171340102	CHD	Church & Dwight Co , Inc	4	2/18/2010	$261 67	3/1/2010	$268.50	$6 83
191216100	KO	Coca-Cola Company	2	6/1/2009	$97 68	3/9/2010	$108 66	$10 98
191216100	KO	Coca-Cola Company	4	6/1/2009	$195 36	3/9/2010	$217 32	$21 96
192446102	CTSH	Cognizant Technology Solutions Corp	4	2/18/2010	$189 13	3/1/2010	$195 61	$6 48
192446102	CTSH	Cognizant Technology Solutions Corp	7	2/18/2010	$330 97	3/1/2010	$342 31	$11 34
194162103	CL	Colgate-Palmolive, Inc	4	2/18/2010	$328.38	8/11/2010	$307 10	($21 28)
194162103	CL	Colgate-Palmolive, Inc	2	6/1/2009	$137 64	8/11/2010	$153.55	$15 91
194162103	CL	Colgate-Palmolive, Inc	1	6/1/2009	$68.82	2/18/2010	$82 10	$13 28
194162103	CL	Colgate-Palmolive, Inc	7	2/18/2010	$574 67	8/11/2010	$537 42	($37 25)
194162103	CL	Colgate-Palmolive, Inc	2	6/1/2009	$137 64	8/11/2010	$153.55	$15 91
81369Y407	XLY	Consumer Discretionary SPDR	841	4/26/2010	$30,326 41	5/6/2010	$27,904 63	($2,421.78)
81369Y407	XLY	Consumer Discretionary SPDR	1009	4/26/2010	$36,384 48	5/6/2010	$33,478 92	($2,905.56)
81369Y308	XLP	Consumer Staples Select Sector SPDR	672	7/27/2010	$18,451 43	8/5/2010	$18,120 82	($330 61)
81369Y308	XLP	Consumer Staples Select Sector SPDR	806	7/27/2010	$22,130 73	8/5/2010	$21,734 19	($396 54)
N22717107	CLB	Core Laboratories NV	1	2/18/2010	$61 66	9/10/2010	$83 39	$21 73
N22717107	CLB	Core Laboratories NV	1	2/18/2010	$61 66	7/22/2010	$78 28	$16 62
N22717107	CLB	Core Laboratories NV	1	7/13/2010	$80 00	9/10/2010	$83 39	$3 39
N22717107	CLB	Core Laboratories NV	3	2/18/2010	$184 98	9/10/2010	$250 17	$65 19
N22717107	CLB	Core Laboratories NV	1	2/18/2010	$61 66	7/22/2010	$78 28	$16 62
126650100	CVS	CVS Caremark Corporation	9	2/18/2010	$305 97	3/5/2010	$313 76	$7 79
126650100	CVS	CVS Caremark Corporation	13	2/18/2010	$441.95	3/5/2010	$453 21	$11 26
235851102	DHR	Danaher Corporation	1	10/8/2009	$66.35	1/7/2010	$75 79	$9 44
235851102	DHR	Danaher Corporation	1	10/8/2009	$33 18	8/3/2010	$38 81	$5 63
235851102	DHR	Danaher Corporation	1	10/8/2009	$33 18	7/29/2010	$38 39	$5 21
235851102	DHR	Danaher Corporation	1	10/8/2009	$33 18	10/18/2010	$41 99	$8 81
235851102	DHR	Danaher Corporation	1	10/8/2009	$33 18	6/21/2010	$41 11	$7 93
237194105	DRI	Darden Restaurants, Inc	1	7/22/2010	$42 47	10/12/2010	$44 08	$1 61
245908876	DHOAX	Delaware High-Yield Opportunities A	471 681	7/9/2009	$1,599 00	4/22/2010	$1,915 02	$316 02
245908876	DHOAX	Delaware High-Yield Opportunities A	380 339	7/15/2009	$1,296 96	4/22/2010	$1,544 18	$247 22
245908876	DHOAX	Delaware High-Yield Opportunities A	64 728	8/5/2009	$233 02	7/27/2010	$256 98	$23 96
245908876	DHOAX	Delaware High-Yield Opportunities A	92 388	7/15/2009	$315 04	7/27/2010	$366 78	$51 74
245908876	DHOAX	Delaware High-Yield Opportunities A	2 384	7/22/2009	$8 25	7/27/2010	$9 46	$1 21
245908876	DHOAX	Delaware High-Yield Opportunities A	145 076	8/5/2009	$522 27	7/29/2010	$577 40	$55 13
245908876	DHOAX	Delaware High-Yield Opportunities A	535 398	7/9/2009	$1,815 00	4/22/2010	$2,173 71	$358 71
245908876	DHOAX	Delaware High-Yield Opportunities A	487 002	7/15/2009	$1,660 68	4/22/2010	$1,977 23	$316.55
245908876	DHOAX	Delaware High-Yield Opportunities A	162 803	8/5/2009	$586 09	4/28/2010	$660 98	$74 89
245908876	DHOAX	Delaware High-Yield Opportunities A	160.553	8/5/2009	$577 99	7/27/2010	$637 40	$59 41
245908876	DHOAX	Delaware High-Yield Opportunities A	145 209	8/5/2009	$522 75	7/29/2010	$577 93	$55 18
245908876	DHOAX	Delaware High-Yield Opportunities A	49 068	7/15/2009	$167 32	4/28/2010	$199 22	$31 90
245908876	DHOAX	Delaware High-Yield Opportunities A	2 705	7/22/2009	$9 36	4/28/2010	$10 98	$1 62
25179M103	DVN	Devon Energy Corporation	3	8/19/2010	$189 39	10/22/2010	$197 47	$8 08
25179M103	DVN	Devon Energy Corporation	4	8/19/2010	$252.52	10/22/2010	$263 29	$10 77
25271C102	DO	Diamond Offshore Drilling, Inc	1	6/1/2009	$89 01	3/9/2010	$88 83	($0 18)
25271C102	DO	Diamond Offshore Drilling, Inc	1	8/10/2009	$89 41	3/9/2010	$88 83	($0 58)
25271C102	DO	Diamond Offshore Drilling, Inc	3	6/1/2009	$267 03	3/9/2010	$266.50	($0.53)
78467X109	DIA	DIAMONDS Trust Series 1	176	7/26/2010	$18,488 67	8/12/2010	$18,221 44	($267 23)
78467X109	DIA	DIAMONDS Trust Series 1	172	7/27/2010	$18,115 02	8/12/2010	$17,807 31	($307 71)
78467X109	DIA	DIAMONDS Trust Series 1	211	7/26/2010	$22,165 39	8/12/2010	$21,845 02	($320 37)
78467X109	DIA	DIAMONDS Trust Series 1	207	7/27/2010	$21,801 22	8/12/2010	$21,430 90	($370 32)
262028855	LCMAX	Driehaus Active Income Fund	2633 07	8/26/2008	$27,225 94	4/22/2010	$29,358.73	$2,132 79
262028855	LCMAX	Driehaus Active Income Fund	1377 176	8/29/2008	$14,267.54	4/22/2010	$15,355.51	$1,087 97
262028855	LCMAX	Driehaus Active Income Fund	571 762	8/29/2008	$5,923 46	7/29/2010	$6,300 82	$377 36
262028855	LCMAX	Driehaus Active Income Fund	303 424	9/4/2008	$3,140 44	7/29/2010	$3,343 73	$203 29
262028855	LCMAX	Driehaus Active Income Fund	0 001	8/20/2008	$0 01	12/17/2009	$0.01	$0 00
262028855	LCMAX	Driehaus Active Income Fund	2986 317	8/26/2008	$30,878.52	4/22/2010	$33,297 44	$2,418 92
262028855	LCMAX	Driehaus Active Income Fund	1825 123	8/29/2008	$18,908.28	4/22/2010	$20,350 12	$1,441 84
262028855	LCMAX	Driehaus Active Income Fund	856 752	9/4/2008	$8,867 38	7/29/2010	$9,441 41	$574 03
262028855	LCMAX	Driehaus Active Income Fund	612 427	9/4/2008	$6,338.62	4/28/2010	$6,816 31	$477 69
262028855	LCMAX	Driehaus Active Income Fund	385 977	8/29/2008	$3,998.72	4/28/2010	$4,295 93	$297 21
262028855	LCMAX	Driehaus Active Income Fund	19 646	10/16/2008	$199 01	7/29/2010	$216 50	$17 49
262028855	LCMAX	Driehaus Active Income Fund	0 001	8/20/2008	$0 01	12/17/2009	$0.01	$0 00
27828N102	ETY	Eaton Vance Tax Managed	2	7/17/2009	$25 15	12/24/2009	$26 78	$1 63
27828N102	ETY	Eaton Vance Tax Managed	4	7/17/2009	$50 30	6/21/2010	$47 26	($3 04)
27828N102	ETY	Eaton Vance Tax Managed	1	7/20/2009	$12 75	7/28/2010	$11.59	($1 16)
27828N102	ETY	Eaton Vance Tax Managed	11	7/17/2009	$138.33	7/27/2010	$130 23	($8 10)
27828N102	ETY	Eaton Vance Tax Managed	9	7/20/2009	$114 78	7/29/2010	$103 77	($11 01)
27828N102	ETY	Eaton Vance Tax Managed	17	7/17/2009	$213 79	7/28/2010	$197 11	($16 68)
27828N102	ETY	Eaton Vance Tax Managed	7	7/17/2009	$88.03	6/21/2010	$82 71	($5 32)
27828N102	ETY	Eaton Vance Tax Managed	1	7/20/2009	$12 75	7/28/2010	$11.59	($1 16)
27828N102	ETY	Eaton Vance Tax Managed	16	7/17/2009	$201 21	7/27/2010	$189 42	($11 79)
27828N102	ETY	Eaton Vance Tax Managed	15	7/20/2009	$191 29	7/29/2010	$172 96	($18 33)
27828N102	ETY	Eaton Vance Tax Managed	26	7/17/2009	$326 96	7/28/2010	$301 46	($25.50)
27829F108	EXG	Eaton Vance Tax Mgd Gbl Eq Income	3	7/17/2009	$34.50	12/24/2009	$37 74	$3 24
27829F108	EXG	Eaton Vance Tax Mgd Gbl Eq Income	9	7/17/2009	$103.51	6/21/2010	$94 93	($8.58)
27829F108	EXG	Eaton Vance Tax Mgd Gbl Eq Income	6	7/17/2009	$69 01	7/29/2010	$62 33	($6 68)
27829F108	EXG	Eaton Vance Tax Mgd Gbl Eq Income	23	7/17/2009	$264.54	7/27/2010	$244 18	($20 36)

Cusip	Symbol	Description	Shares	Purchase Date	Cost	SaleDate	Proceeds	Gain/(Loss)
27829F108	EXG	Eaton Vance Tax Mgd Gbl Eq Income	43	7/17/2009	$494.57	7/28/2010	$446.72	($47.85)
27829F108	EXG	Eaton Vance Tax Mgd Gbl Eq Income	14	7/17/2009	$161.02	6/21/2010	$147.67	($13.35)
27829F108	EXG	Eaton Vance Tax Mgd Gbl Eq Income	9	7/17/2009	$103.51	7/29/2010	$93.49	($10.02)
27829F108	EXG	Eaton Vance Tax Mgd Gbl Eq Income	35	7/17/2009	$402.55	7/27/2010	$371.58	($30.97)
27829F108	EXG	Eaton Vance Tax Mgd Gbl Eq Income	66	7/17/2009	$759.11	7/28/2010	$685.67	($73.44)
27829C105	ETW	Eaton Vance TM Global BW Opp Fund	1	7/17/2009	$12.46	12/24/2009	$14.40	$1.94
27829C105	ETW	Eaton Vance TM Global BW Opp Fund	2	7/17/2009	$24.93	6/21/2010	$24.14	($0.79)
27829C105	ETW	Eaton Vance TM Global BW Opp Fund	2	2/18/2010	$26.78	7/28/2010	$23.75	($3.03)
27829C105	ETW	Eaton Vance TM Global BW Opp Fund	15	7/17/2009	$186.95	7/27/2010	$180.25	($6.70)
27829C105	ETW	Eaton Vance TM Global BW Opp Fund	14	7/17/2009	$174.49	7/28/2010	$166.26	($8.23)
27829C105	ETW	Eaton Vance TM Global BW Opp Fund	1	7/17/2009	$12.46	12/24/2009	$14.40	$1.94
27829C105	ETW	Eaton Vance TM Global BW Opp Fund	4	7/17/2009	$49.85	6/21/2010	$48.28	($1.57)
27829C105	ETW	Eaton Vance TM Global BW Opp Fund	4	2/18/2010	$53.56	7/28/2010	$47.50	($6.06)
27829C105	ETW	Eaton Vance TM Global BW Opp Fund	23	7/17/2009	$286.66	7/27/2010	$276.38	($10.28)
27829C105	ETW	Eaton Vance TM Global BW Opp Fund	20	7/17/2009	$249.27	7/28/2010	$237.52	($11.75)
263534109	DD	El DuPont de Nemours & Co	6	3/5/2010	$209.42	8/11/2010	$243.60	$34.18
263534109	DD	El DuPont de Nemours & Co	9	3/5/2010	$314.14	8/11/2010	$365.40	$51.26
263534109	DD	El DuPont de Nemours & Co	1	3/5/2010	$34.90	6/21/2010	$38.92	$4.02
268648102	EMC	EMC Corporation	17	2/18/2010	$301.49	7/1/2010	$309.95	$8.46
268648102	EMC	EMC Corporation	1	2/18/2010	$17.73	6/21/2010	$19.52	$1.79
268648102	EMC	EMC Corporation	26	2/18/2010	$461.10	7/1/2010	$474.05	$12.95
268648102	EMC	EMC Corporation	1	2/18/2010	$17.73	6/21/2010	$19.52	$1.79
81369Y506	XLE	Energy Select Sector SPDR	7	5/26/2009	$340.69	11/20/2009	$397.90	$57.21
81369Y506	XLE	Energy Select Sector SPDR	6	5/22/2009	$293.05	11/20/2009	$341.05	$48.00
81369Y506	XLE	Energy Select Sector SPDR	4	5/21/2009	$192.99	11/20/2009	$227.37	$34.38
81369Y506	XLE	Energy Select Sector SPDR	10	5/26/2009	$486.70	11/20/2009	$568.43	$81.73
81369Y506	XLE	Energy Select Sector SPDR	9	5/22/2009	$439.57	11/20/2009	$511.58	$72.01
81369Y506	XLE	Energy Select Sector SPDR	7	5/21/2009	$337.74	11/20/2009	$397.90	$60.16
30231G102	XOM	Exxon Mobil Corporation	0.259	3/18/2010	$17.35	6/29/2010	$14.86	($2.49)
30231G102	XOM	Exxon Mobil Corporation	1	12/4/2009	$75.81	3/9/2010	$66.56	($9.25)
30231G102	XOM	Exxon Mobil Corporation	2	6/1/2009	$142.58	3/9/2010	$133.13	($9.45)
30231G102	XOM	Exxon Mobil Corporation	4	3/18/2010	$268.02	8/19/2010	$239.64	($28.38)
30231G102	XOM	Exxon Mobil Corporation	0.388	3/18/2010	$26.00	6/29/2010	$22.27	($3.73)
30231G102	XOM	Exxon Mobil Corporation	4	6/1/2009	$285.16	3/9/2010	$266.25	($18.91)
30231G102	XOM	Exxon Mobil Corporation	6	3/18/2010	$402.06	8/19/2010	$359.47	($42.59)
303075105	FDS	FactSet Research Systems, Inc	2	2/18/2010	$130.60	3/1/2010	$133.80	$3.20
303075105	FDS	FactSet Research Systems, Inc	3	2/18/2010	$195.91	3/1/2010	$200.70	$4.79
313549404	FDML	Federal-Mogul Corp	3	3/10/2010	$59.75	7/29/2010	$50.46	($9.29)
313549404	FDML	Federal-Mogul Corp	5	3/9/2010	$99.58	7/29/2010	$84.10	($15.48)
313549404	FDML	Federal-Mogul Corp	4	3/10/2010	$79.67	7/29/2010	$67.28	($12.39)
313549404	FDML	Federal-Mogul Corp	8	3/9/2010	$159.33	7/29/2010	$134.55	($24.78)
31420B805	FEUSX	Federated Gov Ultrashort Duration	305.835	7/9/2008	$3,018.59	12/9/2009	$3,030.82	$12.23
31420B805	FEUSX	Federated Gov Ultrashort Duration	266.628	7/11/2008	$2,628.95	12/14/2009	$2,642.28	$13.33
31420B805	FEUSX	Federated Gov Ultrashort Duration	131.903	7/9/2008	$1,301.88	12/14/2009	$1,307.16	$5.28
31420B805	FEUSX	Federated Gov Ultrashort Duration	969.386	7/11/2008	$9,558.14	12/28/2009	$9,606.62	$48.48
31420B805	FEUSX	Federated Gov Ultrashort Duration	154.012	8/26/2008	$1,517.02	2/18/2010	$1,527.80	$10.78
31420B805	FEUSX	Federated Gov Ultrashort Duration	56.887	7/11/2008	$560.91	2/18/2010	$564.32	$3.41
31420B805	FEUSX	Federated Gov Ultrashort Duration	12.889	7/31/2008	$127.09	2/18/2010	$127.86	$0.77
31420B805	FEUSX	Federated Gov Ultrashort Duration	720.016	8/26/2008	$7,092.16	2/24/2010	$7,135.36	$43.20
31420B805	FEUSX	Federated Gov Ultrashort Duration	671.727	8/26/2008	$6,616.51	3/3/2010	$6,656.81	$40.30
31420B805	FEUSX	Federated Gov Ultrashort Duration	978.415	8/26/2008	$9,637.39	4/22/2010	$9,715.66	$78.27
31420B805	FEUSX	Federated Gov Ultrashort Duration	212.737	5/21/2009	$2,103.97	2/18/2010	$2,110.35	$6.38
31420B805	FEUSX	Federated Gov Ultrashort Duration	253.846	5/21/2009	$2,510.54	11/20/2009	$2,515.61	$5.07
31420B805	FEUSX	Federated Gov Ultrashort Duration	178.261	5/19/2009	$1,763.00	11/20/2009	$1,766.57	$3.57
31420B805	FEUSX	Federated Gov Ultrashort Duration	132.489	5/21/2009	$1,310.32	11/20/2009	$1,312.97	$2.65
31420B805	FEUSX	Federated Gov Ultrashort Duration	82.222	10/23/2009	$814.00	6/21/2010	$816.47	$2.47
31420B805	FEUSX	Federated Gov Ultrashort Duration	78.284	11/4/2009	$775.79	6/21/2010	$777.36	$1.57
31420B805	FEUSX	Federated Gov Ultrashort Duration	35.711	10/14/2009	$353.54	2/18/2010	$354.24	$0.70
31420B805	FEUSX	Federated Gov Ultrashort Duration	34.685	5/21/2009	$343.03	12/24/2009	$343.73	$0.70
31420B805	FEUSX	Federated Gov Ultrashort Duration	41.675	7/24/2009	$413.00	2/18/2010	$413.42	$0.42
31420B805	FEUSX	Federated Gov Ultrashort Duration	34.331	5/21/2009	$339.53	11/25/2009	$339.88	$0.35
31420B805	FEUSX	Federated Gov Ultrashort Duration	10.552	10/14/2009	$104.46	6/21/2010	$104.78	$0.32
31420B805	FEUSX	Federated Gov Ultrashort Duration	16.067	5/21/2009	$158.92	12/24/2009	$159.22	$0.30
31420B805	FEUSX	Federated Gov Ultrashort Duration	14.081	5/19/2009	$139.26	11/20/2009	$139.54	$0.28
31420B805	FEUSX	Federated Gov Ultrashort Duration	1.679	5/29/2009	$16.62	2/18/2010	$16.66	$0.04
31420B805	FEUSX	Federated Gov Ultrashort Duration	1.959	6/30/2009	$19.41	2/18/2010	$19.43	$0.02
31420B805	FEUSX	Federated Gov Ultrashort Duration	0.929	8/31/2009	$9.20	2/18/2010	$9.22	$0.02
31420B805	FEUSX	Federated Gov Ultrashort Duration	0.655	9/30/2009	$6.48	2/18/2010	$6.50	$0.02
31420B805	FEUSX	Federated Gov Ultrashort Duration	0.303	9/3/2009	$3.00	2/18/2010	$3.01	$0.01
31420B805	FEUSX	Federated Gov Ultrashort Duration	1.476	7/31/2009	$14.63	2/18/2010	$14.64	$0.01
31420B805	FEUSX	Federated Gov Ultrashort Duration	0.567	10/30/2009	$5.62	6/21/2010	$5.63	$0.01
31420B805	FEUSX	Federated Gov Ultrashort Duration	0.001	5/21/2009	$0.01	12/17/2009	$0.01	$0.00
31420B805	FEUSX	Federated Gov Ultrashort Duration	0.001	5/21/2009	$0.01	12/17/2009	$0.01	$0.00
31420B805	FEUSX	Federated Gov Ultrashort Duration	0.001	5/21/2009	$0.01	12/17/2009	$0.01	$0.00
31420B805	FEUSX	Federated Gov Ultrashort Duration	0.001	5/21/2009	$0.01	12/17/2009	$0.01	$0.00
31420B805	FEUSX	Federated Gov Ultrashort Duration	0.001	5/21/2009	$0.01	12/17/2009	$0.01	$0.00
31420B805	FEUSX	Federated Gov Ultrashort Duration	0.001	5/21/2009	$0.01	12/17/2009	$0.01	$0.00
31420B805	FEUSX	Federated Gov Ultrashort Duration	1174.15	8/26/2008	$11,565.38	4/22/2010	$11,659.31	$93.93
31420B805	FEUSX	Federated Gov Ultrashort Duration	762.042	8/26/2008	$7,506.11	3/3/2010	$7,551.84	$45.73
31420B805	FEUSX	Federated Gov Ultrashort Duration	893.769	7/11/2008	$8,812.56	12/28/2009	$8,857.25	$44.69
31420B805	FEUSX	Federated Gov Ultrashort Duration	368.717	8/26/2008	$3,631.86	2/24/2010	$3,653.99	$22.13
31420B805	FEUSX	Federated Gov Ultrashort Duration	244.563	8/26/2008	$2,408.95	4/28/2010	$2,430.96	$22.01
31420B805	FEUSX	Federated Gov Ultrashort Duration	432.372	7/11/2008	$4,263.19	2/24/2010	$4,284.80	$21.61
31420B805	FEUSX	Federated Gov Ultrashort Duration	452.112	7/9/2008	$4,462.35	12/14/2009	$4,480.43	$18.08
31420B805	FEUSX	Federated Gov Ultrashort Duration	253.879	7/11/2008	$2,503.25	2/18/2010	$2,518.48	$15.23
31420B805	FEUSX	Federated Gov Ultrashort Duration	346.952	7/9/2008	$3,424.42	12/9/2009	$3,438.29	$13.87
31420B805	FEUSX	Federated Gov Ultrashort Duration	205.964	7/9/2008	$2,032.86	12/28/2009	$2,041.10	$8.24
31420B805	FEUSX	Federated Gov Ultrashort Duration	15.751	7/31/2008	$155.30	2/24/2010	$156.09	$0.79
31420B805	FEUSX	Federated Gov Ultrashort Duration	379.96	5/21/2009	$3,757.80	11/20/2009	$3,765.40	$7.60
31420B805	FEUSX	Federated Gov Ultrashort Duration	243.664	5/21/2009	$2,409.85	2/18/2010	$2,417.15	$7.30
31420B805	FEUSX	Federated Gov Ultrashort Duration	279.118	5/21/2009	$2,760.48	11/20/2009	$2,766.06	$5.58

Cusip	Symbol	Description	Shares	Purchase Date	Cost	SaleDate	Proceeds	Gain/(Loss)
31420B805	FEUSX	Federated Gov Ultrashort Duration	223 688	5/19/2009	$2,212 28	11/20/2009	$2,216 75	$4 47
31420B805	FEUSX	Federated Gov Ultrashort Duration	133 737	10/23/2009	$1,324 00	6/21/2010	$1,328 01	$4 01
31420B805	FEUSX	Federated Gov Ultrashort Duration	119 167	11/4/2009	$1,180 94	6/21/2010	$1,183 32	$2.38
31420B805	FEUSX	Federated Gov Ultrashort Duration	77 493	5/21/2009	$766 41	12/24/2009	$767 96	$1.55
31420B805	FEUSX	Federated Gov Ultrashort Duration	69 672	10/6/2009	$689 75	2/18/2010	$691 13	$1 38
31420B805	FEUSX	Federated Gov Ultrashort Duration	120 283	7/24/2009	$1,192 00	2/18/2010	$1,193 21	$1 21
31420B805	FEUSX	Federated Gov Ultrashort Duration	49 108	5/21/2009	$485 68	11/25/2009	$486 17	$0 49
31420B805	FEUSX	Federated Gov Ultrashort Duration	7 904	10/6/2009	$78.25	6/21/2010	$78 49	$0 24
31420B805	FEUSX	Federated Gov Ultrashort Duration	9 192	10/6/2009	$91 00	2/18/2010	$91 18	$0 18
31420B805	FEUSX	Federated Gov Ultrashort Duration	2.514	5/29/2009	$24 89	2/18/2010	$24 94	$0 05
31420B805	FEUSX	Federated Gov Ultrashort Duration	2 937	6/30/2009	$29 11	2/18/2010	$29 14	$0 03
31420B805	FEUSX	Federated Gov Ultrashort Duration	2 179	7/31/2009	$21.59	2/18/2010	$21 62	$0 03
31420B805	FEUSX	Federated Gov Ultrashort Duration	1 393	8/31/2009	$13 79	2/18/2010	$13 82	$0 03
31420B805	FEUSX	Federated Gov Ultrashort Duration	3 27	5/21/2009	$32 34	11/25/2009	$32.37	$0 03
31420B805	FEUSX	Federated Gov Ultrashort Duration	0 981	9/30/2009	$9 71	2/18/2010	$9 73	$0 02
31420B805	FEUSX	Federated Gov Ultrashort Duration	0 871	10/30/2009	$8 63	6/21/2010	$8 65	$0 02
31420B805	FEUSX	Federated Gov Ultrashort Duration	0 404	9/3/2009	$4 00	2/18/2010	$4 01	$0 01
31420B805	FEUSX	Federated Gov Ultrashort Duration	0 001	5/21/2009	$0 01	12/17/2009	$0 01	$0 00
31420B805	FEUSX	Federated Gov Ultrashort Duration	0 001	5/21/2009	$0 01	12/17/2009	$0 01	$0 00
31420B805	FEUSX	Federated Gov Ultrashort Duration	0 001	5/21/2009	$0 01	12/17/2009	$0 01	$0 00
31420B805	FEUSX	Federated Gov Ultrashort Duration	0 001	5/21/2009	$0 01	12/17/2009	$0 01	$0 00
31420B805	FEUSX	Federated Gov Ultrashort Duration	0 001	5/21/2009	$0 01	12/17/2009	$0 01	$0 00
31420B805	FEUSX	Federated Gov Ultrashort Duration	0 001	5/21/2009	$0 01	12/17/2009	$0 01	$0 00
31420C308	FSISX	Federated Short-Term Income Fund	923 365	4/12/2010	$7,876 30	4/22/2010	$7,885.54	$9 24
31420C308	FSISX	Federated Short-Term Income Fund	1107 914	4/12/2010	$9,450.51	4/22/2010	$9,461.59	$11 08
31420C308	FSISX	Federated Short-Term Income Fund	230 111	4/12/2010	$1,962 85	4/28/2010	$1,965 15	$2 30
31428Q754	FULBX	Federated Ultrashort Bond Instl Svc	661 335	4/16/2008	$5,971 85	12/14/2009	$6,024 76	$52 91
31428Q754	FULBX	Federated Ultrashort Bond Instl Svc	224 314	4/18/2008	$2,023 31	12/14/2009	$2,043.50	$20 19
31428Q754	FULBX	Federated Ultrashort Bond Instl Svc	883 264	6/25/2008	$7,931.71	12/28/2009	$8,037 70	$105 99
31428Q754	FULBX	Federated Ultrashort Bond Instl Svc	513 158	4/18/2008	$4,628 69	12/28/2009	$4,669 74	$41 05
31428Q754	FULBX	Federated Ultrashort Bond Instl Svc	5 992	5/31/2008	$53 87	12/28/2009	$54 53	$0 66
31428Q754	FULBX	Federated Ultrashort Bond Instl Svc	2 665	4/30/2008	$24 01	12/28/2009	$24 25	$0 24
31428Q754	FULBX	Federated Ultrashort Bond Instl Svc	1002 242	8/18/2008	$8,940 00	4/22/2010	$9,190.56	$250 56
31428Q754	FULBX	Federated Ultrashort Bond Instl Svc	985 905	8/26/2008	$8,794 27	4/22/2010	$9,040 75	$246 48
31428Q754	FULBX	Federated Ultrashort Bond Instl Svc	130 99	6/25/2008	$1,176 29	4/22/2010	$1,201 18	$24 89
31428Q754	FULBX	Federated Ultrashort Bond Instl Svc	8 13	7/31/2008	$72.52	4/22/2010	$74.55	$2 03
31428Q754	FULBX	Federated Ultrashort Bond Instl Svc	6 091	6/30/2008	$54 76	4/22/2010	$55 85	$1 09
31428Q754	FULBX	Federated Ultrashort Bond Instl Svc	1224 552	8/18/2008	$10,923 00	4/22/2010	$11,229 14	$306 14
31428Q754	FULBX	Federated Ultrashort Bond Instl Svc	887 293	8/26/2008	$7,914 65	4/22/2010	$8,136 49	$221 84
31428Q754	FULBX	Federated Ultrashort Bond Instl Svc	530 631	8/26/2008	$4,733 23	4/28/2010	$4,865 89	$132 66
31428Q754	FULBX	Federated Ultrashort Bond Instl Svc	809 306	6/25/2008	$7,267.57	12/28/2009	$7,364 69	$97 12
31428Q754	FULBX	Federated Ultrashort Bond Instl Svc	430 226	6/25/2008	$3,863 43	4/22/2010	$3,945 17	$81 74
31428Q754	FULBX	Federated Ultrashort Bond Instl Svc	877 764	4/16/2008	$7,926 21	12/14/2009	$7,996 43	$70 22
31428Q754	FULBX	Federated Ultrashort Bond Instl Svc	774 128	4/18/2008	$6,982 63	12/28/2009	$7,044.56	$61 93
31428Q754	FULBX	Federated Ultrashort Bond Instl Svc	126 981	4/18/2008	$1,145.37	12/14/2009	$1,156 80	$11 43
31428Q754	FULBX	Federated Ultrashort Bond Instl Svc	9 935	7/31/2008	$88 62	4/22/2010	$91 10	$2 48
31428Q754	FULBX	Federated Ultrashort Bond Instl Svc	7 443	6/30/2008	$66 91	4/22/2010	$68 25	$1 34
31428Q754	FULBX	Federated Ultrashort Bond Instl Svc	7 321	5/31/2008	$65 82	12/28/2009	$66 62	$0 80
31428Q754	FULBX	Federated Ultrashort Bond Instl Svc	3 256	4/30/2008	$29 34	12/28/2009	$29 63	$0 29
316145200	FCVSX	Fidelity Convertible Securities	89 133	7/17/2009	$1,624 00	4/16/2010	$2,103.54	$479 54
316145200	FCVSX	Fidelity Convertible Securities	20 061	9/9/2009	$413 26	4/16/2010	$473 44	$60 18
316145200	FCVSX	Fidelity Convertible Securities	50 376	9/9/2009	$1,037 74	4/20/2010	$1,196 93	$159 19
316145200	FCVSX	Fidelity Convertible Securities	17 197	9/15/2009	$364 57	4/20/2010	$408.60	$44 03
316145200	FCVSX	Fidelity Convertible Securities	41 809	9/15/2009	$886 35	4/22/2010	$1,000 49	$114 14
316145200	FCVSX	Fidelity Convertible Securities	77 125	10/8/2009	$1,642 00	8/13/2010	$1,700 60	$58 60
316145200	FCVSX	Fidelity Convertible Securities	13 117	9/15/2009	$278 08	8/13/2010	$289 23	$11 15
316145200	FCVSX	Fidelity Convertible Securities	1 841	10/5/2009	$37 77	8/13/2010	$40.59	$2 82
316145200	FCVSX	Fidelity Convertible Securities	3 998	12/14/2009	$85 43	8/13/2010	$88 16	$2 73
316145200	FCVSX	Fidelity Convertible Securities	2 395	4/12/2010	$56 32	8/13/2010	$52 81	($3.51)
316145200	FCVSX	Fidelity Convertible Securities	44 136	6/16/2010	$990 85	8/13/2010	$973 20	($17 65)
316145200	FCVSX	Fidelity Convertible Securities	101 098	7/17/2009	$1,842 00	4/16/2010	$2,385 92	$543 92
316145200	FCVSX	Fidelity Convertible Securities	57 217	9/9/2009	$1,178 68	4/20/2010	$1,359 48	$180 80
316145200	FCVSX	Fidelity Convertible Securities	50 163	9/15/2009	$1,063 46	4/22/2010	$1,200 40	$136 94
316145200	FCVSX	Fidelity Convertible Securities	22 734	9/9/2009	$468.32	4/16/2010	$536.52	$68 20
316145200	FCVSX	Fidelity Convertible Securities	87.365	10/8/2009	$1,860 00	8/13/2010	$1,926 40	$66 40
316145200	FCVSX	Fidelity Convertible Securities	19 445	9/15/2009	$412 23	4/20/2010	$462 01	$49 78
316145200	FCVSX	Fidelity Convertible Securities	10 414	9/15/2009	$220 77	4/28/2010	$247 75	$26 98
316145200	FCVSX	Fidelity Convertible Securities	2 089	10/5/2009	$42 86	8/13/2010	$46 06	$3 20
316145200	FCVSX	Fidelity Convertible Securities	4.535	12/14/2009	$96 91	8/13/2010	$100 00	$3 09
316145200	FCVSX	Fidelity Convertible Securities	1 865	9/15/2009	$39 54	8/13/2010	$41 12	$1.58
316145200	FCVSX	Fidelity Convertible Securities	2 716	4/12/2010	$63 89	8/13/2010	$59 89	($4 00)
316145200	FCVSX	Fidelity Convertible Securities	44 207	6/16/2010	$992 45	8/13/2010	$974 76	($17 69)
353612823	FAFRX	Franklin Floating Rate Daily Access A	192.364	6/19/2009	$1,592 77	4/16/2010	$1,748.59	$155 82
353612823	FAFRX	Franklin Floating Rate Daily Access A	182 756	6/19/2009	$1,513 22	4/20/2010	$1,661 25	$148 03
353612823	FAFRX	Franklin Floating Rate Daily Access A	206 821	6/23/2009	$1,712 48	4/22/2010	$1,880 00	$167.52
353612823	FAFRX	Franklin Floating Rate Daily Access A	9 904	6/19/2009	$82 01	4/22/2010	$90.03	$8 02
353612823	FAFRX	Franklin Floating Rate Daily Access A	248 762	6/23/2009	$2,059 75	4/22/2010	$2,261 25	$201.50
353612823	FAFRX	Franklin Floating Rate Daily Access A	218 206	6/19/2009	$1,806 74	4/16/2010	$1,983 49	$176 75
353612823	FAFRX	Franklin Floating Rate Daily Access A	207 321	6/19/2009	$1,716 62	4/20/2010	$1,884.55	$167 93
353612823	FAFRX	Franklin Floating Rate Daily Access A	46 89	6/23/2009	$388.25	4/28/2010	$425 77	$37 52
353612823	FAFRX	Franklin Floating Rate Daily Access A	11.309	6/19/2009	$93 64	4/22/2010	$102 80	$9 16
353612823	FAFRX	Franklin Floating Rate Daily Access A	7 224	6/25/2009	$59 81	4/28/2010	$65.59	$5 78
353538101	FHAIX	Franklin High Income Fund	968.108	10/8/2009	$1,791 00	4/22/2010	$1,897 49	$106 49
353538101	FHAIX	Franklin High Income Fund	800	9/30/2009	$1,488.00	4/22/2010	$1,568.00	$80 00
353538101	FHAIX	Franklin High Income Fund	233 705	10/13/2009	$434 69	4/22/2010	$458.06	$23 37
353538101	FHAIX	Franklin High Income Fund	5	10/2/2009	$9 20	4/22/2010	$9 80	$0 60
353538101	FHAIX	Franklin High Income Fund	459 843	10/13/2009	$855.31	7/29/2010	$901.29	$45 98
353538101	FHAIX	Franklin High Income Fund	153 948	10/15/2009	$286 34	7/29/2010	$301.74	$15 40
353538101	FHAIX	Franklin High Income Fund	1104 865	10/8/2009	$2,044 00	4/22/2010	$2,165.54	$121.54
353538101	FHAIX	Franklin High Income Fund	901.075	9/30/2009	$1,676 00	4/22/2010	$1,766 11	$90 11
353538101	FHAIX	Franklin High Income Fund	614.556	10/15/2009	$1,143 07	7/29/2010	$1,204.53	$61 46

Cusip	Symbol	Description	Shares	Purchase Date	Cost	SaleDate	Proceeds	Gain/(Loss)
353538101	FHAIX	Franklin High Income Fund	396 823	10/13/2009	$738 09	4/22/2010	$777 77	$39 68
353538101	FHAIX	Franklin High Income Fund	389 736	10/13/2009	$724 91	4/28/2010	$763 88	$38 97
353538101	FHAIX	Franklin High Income Fund	112 203	10/15/2009	$208 70	4/28/2010	$219 92	$11 22
353538101	FHAIX	Franklin High Income Fund	5 63	10/2/2009	$10 36	4/22/2010	$11 03	$0 67
354728305	ICPHX	Franklin Templeton Hard Currency A	574 216	6/1/2009	$5,248.33	2/18/2010	$5,472 28	$223 95
354728305	ICPHX	Franklin Templeton Hard Currency A	883 172	6/1/2009	$8,072 19	2/18/2010	$8,416 63	$344 44
369550108	GD	General Dynamics Corp	1	2/18/2010	$71 39	7/29/2010	$62 42	($8 97)
15642U303	CTUCXM	Genworth Financial Contra Fund	0	7/17/2009	$0 09	12/3/2009	$0 00	($0 09)
15642U303	CTUCXM	Genworth Financial Contra Fund	0	7/17/2009	$0 09	12/3/2009	$0 00	($0 09)
15642U303	CTUCXM	Genworth Financial Contra Fund	0 001	7/17/2009	$0 28	12/3/2009	$0 01	($0 27)
15642U303	CTUCXM	Genworth Financial Contra Fund	0 358	9/9/2009	$29 00	12/28/2009	$2 16	($26 84)
15642U303	CTUCXM	Genworth Financial Contra Fund	3 662	9/16/2009	$201 41	12/28/2009	$22 12	($179 29)
15642U303	CTUCXM	Genworth Financial Contra Fund	0 757	7/17/2009	$348 23	12/28/2009	$4.57	($343 66)
15642U303	CTUCXM	Genworth Financial Contra Fund	2 434	8/3/2009	$499 00	12/28/2009	$14 70	($484 30)
15642U303	CTUCXM	Genworth Financial Contra Fund	51 773	12/14/2009	$427 65	2/8/2010	$503 23	$75.58
15642U303	CTUCXM	Genworth Financial Contra Fund	29.566	11/18/2009	$450 00	2/8/2010	$287 38	($162 62)
15642U303	CTUCXM	Genworth Financial Contra Fund	51.591	11/20/2009	$908 00	2/8/2010	$501 47	($406 53)
15642U303	CTUCXM	Genworth Financial Contra Fund	17 193	9/16/2009	$945.59	2/8/2010	$167 12	($778 47)
15642U303	CTUCXM	Genworth Financial Contra Fund	15 182	12/14/2009	$125 40	2/18/2010	$61 18	($64 22)
15642U303	CTUCXM	Genworth Financial Contra Fund	1 058	3/18/2010	$486 71	4/22/2010	$191 92	($294 79)
15642U303	CTUCXM	Genworth Financial Contra Fund	0 082	12/14/2009	$338 95	4/22/2010	$14 88	($324 07)
15642U303	CTUCXM	Genworth Financial Contra Fund	0 309	1/14/2010	$604 00	4/22/2010	$56 06	($547 94)
15642U303	CTUCXM	Genworth Financial Contra Fund	0 285	2/17/2010	$666 00	4/22/2010	$51 70	($614 30)
15642U303	CTUCXM	Genworth Financial Contra Fund	0 486	2/24/2010	$908 00	4/22/2010	$88 17	($819 83)
15642U303	CTUCXM	Genworth Financial Contra Fund	1 04	3/18/2010	$478.43	5/24/2010	$995 90	$517 47
15642U303	CTUCXM	Genworth Financial Contra Fund	0 001	7/24/2009	$0 16	12/17/2009	$0 01	($0 15)
15642U303	CTUCXM	Genworth Financial Contra Fund	0 001	7/24/2009	$0 16	12/17/2009	$0 01	($0 15)
15642U303	CTUCXM	Genworth Financial Contra Fund	0 001	7/24/2009	$0 21	12/17/2009	$0 01	($0 20)
15642U303	CTUCXM	Genworth Financial Contra Fund	0 035	1/19/2010	$64 25	6/21/2010	$12 48	($51 77)
15642U303	CTUCXM	Genworth Financial Contra Fund	9 987	11/18/2009	$152 00	2/18/2010	$40 25	($111 75)
15642U303	CTUCXM	Genworth Financial Contra Fund	0 67	7/24/2009	$175 47	12/24/2009	$4 16	($171 31)
15642U303	CTUCXM	Genworth Financial Contra Fund	3 831	9/16/2009	$210 69	2/18/2010	$15 44	($195 25)
15642U303	CTUCXM	Genworth Financial Contra Fund	5 224	9/16/2009	$287 31	12/24/2009	$32 44	($254 87)
15642U303	CTUCXM	Genworth Financial Contra Fund	0 04	11/18/2009	$308 00	6/21/2010	$14 26	($293 74)
15642U303	CTUCXM	Genworth Financial Contra Fund	0 657	3/18/2010	$302 26	5/24/2010	$629 14	$326 88
15642U303	CTUCXM	Genworth Financial Contra Fund	0 383	4/15/2010	$70 86	5/24/2010	$366 76	$295 90
15642U303	CTUCXM	Genworth Financial Contra Fund	58 688	12/14/2009	$484 76	2/8/2010	$570 45	$85 69
15642U303	CTUCXM	Genworth Financial Contra Fund	0	7/17/2009	$0 09	12/3/2009	$0 00	($0 09)
15642U303	CTUCXM	Genworth Financial Contra Fund	0	7/17/2009	$0 18	12/3/2009	$0 00	($0 18)
15642U303	CTUCXM	Genworth Financial Contra Fund	0	7/17/2009	$0 18	12/3/2009	$0 00	($0 18)
15642U303	CTUCXM	Genworth Financial Contra Fund	17 111	12/14/2009	$141 34	2/18/2010	$68 96	($72 38)
15642U303	CTUCXM	Genworth Financial Contra Fund	0.539	3/18/2010	$247 97	4/28/2010	$129 37	($118 60)
15642U303	CTUCXM	Genworth Financial Contra Fund	33.574	11/18/2009	$511 00	2/8/2010	$326 34	($184 66)
15642U303	CTUCXM	Genworth Financial Contra Fund	4 369	9/16/2009	$240 30	12/28/2009	$26 39	($213 91)
15642U303	CTUCXM	Genworth Financial Contra Fund	0 093	12/14/2009	$385 90	4/22/2010	$16 87	($369 03)
15642U303	CTUCXM	Genworth Financial Contra Fund	0 824	7/17/2009	$378 91	12/28/2009	$4 98	($373 93)
15642U303	CTUCXM	Genworth Financial Contra Fund	1 345	3/18/2010	$618.77	4/22/2010	$243 99	($374 78)
15642U303	CTUCXM	Genworth Financial Contra Fund	58.523	11/20/2009	$1,030 00	2/8/2010	$568 84	($461 16)
15642U303	CTUCXM	Genworth Financial Contra Fund	3 024	8/3/2009	$620 00	12/28/2009	$18.26	($601 74)
15642U303	CTUCXM	Genworth Financial Contra Fund	0 35	1/14/2010	$685 00	4/22/2010	$63 49	($621 51)
15642U303	CTUCXM	Genworth Financial Contra Fund	0 323	2/17/2010	$755 00	4/22/2010	$58 60	($696 40)
15642U303	CTUCXM	Genworth Financial Contra Fund	19 467	9/16/2009	$1,070 70	2/8/2010	$189 22	($881 48)
15642U303	CTUCXM	Genworth Financial Contra Fund	0.551	2/24/2010	$1,030 00	4/22/2010	$99 96	($930 04)
15642U303	CTUCXM	Genworth Financial Contra Fund	0	7/24/2009	$0 10	12/17/2009	$0 00	($0 10)
15642U303	CTUCXM	Genworth Financial Contra Fund	0 001	7/24/2009	$0 21	12/17/2009	$0 01	($0 20)
15642U303	CTUCXM	Genworth Financial Contra Fund	0 001	7/24/2009	$0 24	12/17/2009	$0 01	($0 23)
15642U303	CTUCXM	Genworth Financial Contra Fund	0 001	7/24/2009	$0 24	12/17/2009	$0 01	($0 23)
15642U303	CTUCXM	Genworth Financial Contra Fund	0 302	10/6/2009	$16 00	2/18/2010	$1 22	($14 78)
15642U303	CTUCXM	Genworth Financial Contra Fund	0 055	1/19/2010	$101 19	6/21/2010	$19 61	($81 58)
15642U303	CTUCXM	Genworth Financial Contra Fund	15 214	11/18/2009	$231.56	2/18/2010	$61 31	($170 25)
15642U303	CTUCXM	Genworth Financial Contra Fund	0 996	7/24/2009	$260 91	12/24/2009	$6 19	($254 72)
15642U303	CTUCXM	Genworth Financial Contra Fund	5.582	9/16/2009	$307 01	2/18/2010	$22 49	($284 52)
15642U303	CTUCXM	Genworth Financial Contra Fund	8	9/16/2009	$439 99	12/24/2009	$49 68	($390 31)
15642U303	CTUCXM	Genworth Financial Contra Fund	0 062	11/18/2009	$469 44	6/21/2010	$22 11	($447 33)
428236103	HPQ	Hewlett-Packard Co	1	6/1/2009	$35 84	6/21/2010	$48 13	$12 29
438516106	HON	Honeywell International, Inc.	5	2/24/2010	$202 22	6/23/2010	$209 82	$7 60
438516106	HON	Honeywell International, Inc	8	2/24/2010	$323.55	6/23/2010	$335 71	$12 16
450828108	IBKC	IberiaBank Corporation	2	4/26/2010	$124 62	9/10/2010	$100 37	($24 25)
450828108	IBKC	IberiaBank Corporation	1	4/23/2010	$63 19	9/10/2010	$50 19	($13 00)
450828108	IBKC	IberiaBank Corporation	3	4/26/2010	$186 93	9/10/2010	$150.55	($36 38)
459200101	IBM	IBM	1	6/1/2009	$107 99	7/29/2010	$129 06	$21 07
459200101	IBM	IBM	1	6/1/2009	$107 99	10/13/2010	$139 85	$31 86
452308109	ITW	Illinois Tool Works, Inc	1	2/18/2010	$46 17	7/27/2010	$43 71	($2 46)
452308109	ITW	Illinois Tool Works, Inc	1	2/18/2010	$46 17	7/27/2010	$43 71	($2 46)
81369Y704	XLI	Industrial Select Sector SPDR	180	7/28/2010	$5,451 08	8/11/2010	$5,344 35	($106 73)
81369Y704	XLI	Industrial Select Sector SPDR	589	8/5/2010	$18,255 76	8/11/2010	$17,487 88	($767 88)
81369Y704	XLI	Industrial Select Sector SPDR	604	4/26/2010	$20,070.50	5/7/2010	$18,175 37	($1,895 13)
81369Y704	XLI	Industrial Select Sector SPDR	216	7/28/2010	$6,541 30	8/11/2010	$6,413 21	($128 09)
81369Y704	XLI	Industrial Select Sector SPDR	706	8/5/2010	$21,882 12	8/11/2010	$20,961 71	($920 41)
81369Y704	XLI	Industrial Select Sector SPDR	725	4/26/2010	$24,091 24	5/7/2010	$21,816 46	($2,274 78)
G47791101	IR	Ingersoll-Rand Co Ltd	8	2/18/2010	$264 79	10/5/2010	$292 63	$27 84
G47791101	IR	Ingersoll-Rand Co Ltd	3	2/18/2010	$99 29	4/21/2010	$114 17	$14 88
G47791101	IR	Ingersoll-Rand Co Ltd.	7	2/18/2010	$231 69	4/21/2010	$266.39	$34 70
G47791101	IR	Ingersoll-Rand Co Ltd.	8	2/18/2010	$264 78	10/5/2010	$292 63	$27 85
G47791101	IR	Ingersoll-Rand Co Ltd	3	3/5/2010	$101 24	10/5/2010	$109 73	$8 49
G47791101	IR	Ingersoll-Rand Co Ltd	1	2/18/2010	$33 10	9/30/2010	$35 89	$2 79
458140100	INTC	Intel Corporation	1	3/12/2010	$21.30	6/21/2010	$21 48	$0 18
458140100	INTC	Intel Corporation	1	3/12/2010	$21.30	6/21/2010	$21 48	$0 18
464288646	CSJ	iShares Barclays 1-3 Year Credit Bond	171	8/25/2010	$17,963.55	9/13/2010	$17,888.38	($75 17)
464288646	CSJ	iShares Barclays 1-3 Year Credit Bond	205	8/25/2010	$21,535.25	9/13/2010	$21,445 13	($90 12)
464287457	SHY	iShares Barclays 1-3 Yr Trs Bond	110	5/20/2010	$9,230 02	7/26/2010	$9,248 05	$18 03

Cusip	Symbol	Description	Shares	Purchase Date	Cost	SaleDate	Proceeds	Gain/(Loss)
464287457	SHY	iShares Barclays 1-3 Yr Trs Bond	109	5/20/2010	$9,146 12	6/22/2010	$9,143 15	($2 97)
464287457	SHY	iShares Barclays 1-3 Yr Trs Bond	131	5/20/2010	$10,992 12	7/26/2010	$11,013.58	$21 46
464287457	SHY	iShares Barclays 1-3 Yr Trs Bond	132	5/20/2010	$11,076 03	6/22/2010	$11,072 44	($3.59)
464287432	TLT	iShares Barclays 20+ Yr Trs Bond	84	8/13/2010	$8,547 70	8/19/2010	$8,900 70	$353 00
464287432	TLT	iShares Barclays 20+ Yr Trs Bond	49	8/17/2010	$5,127 84	8/23/2010	$5,176 92	$49 08
464287432	TLT	iShares Barclays 20+ Yr Trs Bond	4	8/13/2010	$407 03	8/23/2010	$422 61	$15.58
464287432	TLT	iShares Barclays 20+ Yr Trs Bond	84	8/13/2010	$8,547 70	8/19/2010	$8,900 70	$353 00
464287432	TLT	iShares Barclays 20+ Yr Trs Bond	49	8/17/2010	$5,127 84	8/23/2010	$5,176 92	$49 08
464287432	TLT	iShares Barclays 20+ Yr Trs Bond	4	8/13/2010	$407 03	8/23/2010	$422 61	$15.58
464288661	IEI	iShares Barclays 3-7 Year Treasury Bond	152	8/25/2010	$17,867 49	9/9/2010	$17,702 06	($165 43)
464288661	IEI	iShares Barclays 3-7 Year Treasury Bond	183	8/25/2010	$21,511 52	9/9/2010	$21,312 34	($199 18)
464287440	IEF	iShares Barclays 7-10 Year Treasury	201	5/7/2010	$18,604 55	5/24/2010	$18,787.52	$182 97
464287440	IEF	iShares Barclays 7-10 Year Treasury	201	5/7/2010	$18,604.55	5/24/2010	$18,787.52	$182 97
464288679	SHV	iShares Barclays Short Treasury Bond	83	5/20/2010	$9,147 41	6/22/2010	$9,145 77	($1 64)
464288679	SHV	iShares Barclays Short Treasury Bond	100	5/20/2010	$11,020 98	6/22/2010	$11,019 00	($1 98)
464287564	ICF	iShares Cohen & Steers Realty Majors	90	7/28/2010	$5,527 76	8/11/2010	$5,388 34	($139 42)
464287564	ICF	iShares Cohen & Steers Realty Majors	107	7/28/2010	$6,571 90	8/11/2010	$6,406 13	($165 77)
464287242	LQD	iShares iBoxx $ Invest Grade Corp Bd	23	3/24/2009	$2,153 90	2/18/2010	$2,381 66	$227 76
464287242	LQD	iShares iBoxx $ Invest Grade Corp Bd	11	3/23/2009	$1,033.34	2/18/2010	$1,139 05	$105 71
464287242	LQD	iShares iBoxx $ Invest Grade Corp Bd	34	3/24/2009	$3,184 03	2/18/2010	$3,520 71	$336 68
464287242	LQD	iShares iBoxx $ Invest Grade Corp Bd	16	3/23/2009	$1,503 04	2/18/2010	$1,656 80	$153 76
464287242	LQD	iShares iBoxx $ Invest Grade Corp Bd	1	7/21/2009	$101 28	2/18/2010	$103.55	$2 27
464287242	LQD	iShares iBoxx $ Invest Grade Corp Bd	1	10/6/2009	$105 06	2/18/2010	$103.55	($1 51)
464288885	EFG	iShares MSCI EAFE Growth Index	20	2/18/2010	$1,065.59	7/27/2010	$1,063 21	($2 38)
464288885	EFG	iShares MSCI EAFE Growth Index	30	2/18/2010	$1,598 39	7/27/2010	$1,594 82	($3 57)
464287465	EFA	iShares MSCI EAFE Index	355	7/26/2010	$18,410 27	8/11/2010	$18,136 95	($273 32)
464287465	EFA	iShares MSCI EAFE Index	351	7/27/2010	$18,251 76	8/11/2010	$17,932.59	($319 17)
464287465	EFA	iShares MSCI EAFE Index	426	7/26/2010	$22,092 32	8/11/2010	$21,764 34	($327 98)
464287465	EFA	iShares MSCI EAFE Index	421	7/27/2010	$21,891 72	8/11/2010	$21,508 89	($382 83)
464288877	EFV	iShares MSCI EAFE Value Index	24	2/18/2010	$1,153 43	7/27/2010	$1,116 96	($36 47)
464288877	EFV	iShares MSCI EAFE Value Index	37	2/18/2010	$1,778 21	7/27/2010	$1,721 98	($56 23)
464287234	EEM	iShares MSCI Emerging Markets	443	7/27/2010	$18,334 25	8/11/2010	$17,955 19	($379 06)
464287234	EEM	iShares MSCI Emerging Markets	5	6/1/2009	$173 55	2/18/2010	$197 42	$23 87
464287234	EEM	iShares MSCI Emerging Markets	531	7/27/2010	$21,976 27	8/11/2010	$21,521 91	($454 36)
464287234	EEM	iShares MSCI Emerging Markets	9	6/1/2009	$312 39	2/18/2010	$355 36	$42 97
464286848	EWJ	iShares MSCI Japan Index	905	9/14/2010	$8,985 19	9/22/2010	$8,861 34	($123 85)
464286848	EWJ	iShares MSCI Japan Index	1086	9/14/2010	$10,782 23	9/22/2010	$10,633 61	($148 62)
464286830	EWM	iShares MSCI Malaysia Index	132	9/14/2010	$1,821 49	10/21/2010	$1,825 76	$4 27
464286830	EWM	iShares MSCI Malaysia Index	158	9/14/2010	$2,180 27	10/21/2010	$2,185 38	$5 11
464287655	IWM	iShares Russell 2000 Index	1	9/14/2010	$65 25	9/22/2010	$65 75	$0.50
464287655	IWM	iShares Russell 2000 Index	202	4/26/2010	$15,042 91	5/6/2010	$13,725 99	($1,316 92)
464287655	IWM	iShares Russell 2000 Index	1	9/14/2010	$65 25	9/22/2010	$65 75	$0 50
464287655	IWM	iShares Russell 2000 Index	243	4/26/2010	$18,096 17	5/6/2010	$16,511 96	($1,584 21)
464287630	IWN	iShares Russell 2000 Value	212	4/26/2010	$15,071 00	5/6/2010	$13,642 29	($1,428 71)
464287630	IWN	iShares Russell 2000 Value	254	4/26/2010	$18,056 76	5/6/2010	$16,345 01	($1,711 75)
464287481	IWP	iShares Russell Mid Cap Growth	63	5/21/2009	$2,187 31	2/18/2010	$2,851 41	$664 10
464287481	IWP	iShares Russell Mid Cap Growth	25	6/1/2009	$941 50	2/18/2010	$1,131 51	$190 01
464287481	IWP	iShares Russell Mid Cap Growth	93	5/21/2009	$3,228 87	2/18/2010	$4,209 21	$980 34
464287481	IWP	iShares Russell Mid Cap Growth	37	6/1/2009	$1,393 42	2/18/2010	$1,674 63	$281 21
464287481	IWP	iShares Russell Mid Cap Growth	2	7/21/2009	$74 82	2/18/2010	$90 52	$15 70
464287481	IWP	iShares Russell Mid Cap Growth	2	10/6/2009	$84 90	2/18/2010	$90.53	$5 63
464287309	IVW	iShares S&P 500 Growth Index	137	6/1/2009	$6,712 12	2/18/2010	$7,791 21	$1,079 09
464287309	IVW	iShares S&P 500 Growth Index	205	6/1/2009	$10,043 67	2/18/2010	$11,658 38	$1,614 71
464287309	IVW	iShares S&P 500 Growth Index	4	10/6/2009	$215 68	2/18/2010	$227 48	$11 80
464287341	IXC	iShares S&P Global Energy Sector	10	5/26/2009	$312 90	11/20/2009	$358.57	$45 67
464287341	IXC	iShares S&P Global Energy Sector	10	5/22/2009	$313 17	11/20/2009	$358.57	$45 40
464287341	IXC	iShares S&P Global Energy Sector	8	5/21/2009	$246 32	11/20/2009	$286 86	$40.54
464287341	IXC	iShares S&P Global Energy Sector	15	5/26/2009	$469 35	11/20/2009	$537 86	$68 51
464287341	IXC	iShares S&P Global Energy Sector	14	5/22/2009	$438 44	11/20/2009	$502 00	$63 56
464287341	IXC	iShares S&P Global Energy Sector	12	5/21/2009	$369 48	11/20/2009	$430 28	$60 80
464288711	JXI	iShares S&P Global Utilities	4	6/1/2009	$175 00	11/20/2009	$185 34	$10 34
464288711	JXI	iShares S&P Global Utilities	6	6/1/2009	$262.50	11/20/2009	$278 00	$15.50
464287390	ILF	iShares S&P Latin America	118	7/28/2010	$5,498 78	8/11/2010	$5,395 07	($103 71)
464287390	ILF	iShares S&P Latin America	142	7/28/2010	$6,617 17	8/11/2010	$6,492 37	($124 80)
464287507	IJH	iShares S&P Mid Cap 400	236	4/26/2010	$20,011 79	5/6/2010	$18,450.50	($1,561 29)
464287507	IJH	iShares S&P Mid Cap 400	283	4/26/2010	$23,997 18	5/6/2010	$22,124 97	($1,872 21)
464287606	IJK	iShares S&P Mid Cap 400/Barra Growth	286	4/26/2010	$26,049 08	5/6/2010	$24,026 92	($2,022 16)
464287606	IJK	iShares S&P Mid Cap 400/Barra Growth	343	4/26/2010	$31,240 68	5/6/2010	$28,815.50	($2,425 18)
46428Q109	SLV	iShares Silver Trust	265	9/22/2010	$5,476 98	10/21/2010	$5,990 06	$513 08
46428Q109	SLV	iShares Silver Trust	318	9/22/2010	$6,572 38	10/21/2010	$7,188 07	$615 69
445658107	JBHT	J B Hunt Transport Services, Inc	6	4/26/2010	$225 42	10/15/2010	$215 77	($9 65)
445658107	JBHT	J B Hunt Transport Services, Inc	9	4/23/2010	$334 76	10/15/2010	$323 66	($11 10)
478160104	JNJ	Johnson & Johnson	3	2/18/2010	$193 45	3/19/2010	$195 31	$1 86
478160104	JNJ	Johnson & Johnson	1	2/18/2010	$64 48	3/12/2010	$63 99	($0 49)
478160104	JNJ	Johnson & Johnson	1	2/18/2010	$64 48	6/21/2010	$59 34	($5 14)
478160104	JNJ	Johnson & Johnson	5	2/18/2010	$322 41	3/19/2010	$325.52	$3 11
478160104	JNJ	Johnson & Johnson	1	2/18/2010	$64 48	9/30/2010	$62 41	($2 07)
46625H100	JPM	JPMorgan Chase & Co.	1	3/12/2010	$43 35	6/21/2010	$39 63	($3 72)
50075N104	KFT	Kraft Foods, Inc Cl A	4	2/26/2010	$114 12	10/5/2010	$124 25	$10 13
50075N104	KFT	Kraft Foods, Inc Cl A	3	3/5/2010	$87 49	10/5/2010	$93 19	$5 70
50075N104	KFT	Kraft Foods, Inc Cl A	7	2/26/2010	$199 71	10/5/2010	$217 44	$17 73
50075N104	KFT	Kraft Foods, Inc Cl A	4	3/5/2010	$116 65	10/5/2010	$124 25	$7 60
52106N459	GLIFX	Lazard US Global Listed Infrastrcture	194.543	1/4/2010	$1,945 43	4/22/2010	$1,966 83	$21 40
52106N459	GLIFX	Lazard US Global Listed Infrastrcture	233 313	1/4/2010	$2,333 13	4/22/2010	$2,358.79	$25 66
52106N459	GLIFX	Lazard US Global Listed Infrastrcture	48 223	1/4/2010	$482 23	4/28/2010	$469 69	($12 54)
539830109	LMT	Lockheed Martin Corp	3	2/18/2010	$231 07	2/24/2010	$231 73	$0 66
539830109	LMT	Lockheed Martin Corp	4	2/18/2010	$308.09	2/24/2010	$308 97	$0 88
56063J864	EPSYX	MainStay Epoch Global Equity Yield	24 328	12/28/2007	$433 77	6/21/2010	$320 40	($113 37)
56063J864	EPSYX	MainStay Epoch Global Equity Yield	10 073	12/28/2007	$179 60	6/21/2010	$132 66	($46 94)
56063J864	EPSYX	MainStay Epoch Global Equity Yield	26 323	12/28/2007	$469 34	6/21/2010	$346 68	($122 66)
56063J823	EPIEX	MainStay Epoch Int'l Small Cap	8.049	6/1/2009	$105 93	6/21/2010	$127 98	$22 05

Cusip	Symbol	Description	Shares	Purchase Date	Cost	SaleDate	Proceeds	Gain/(Loss)
56063J823	EPIEX	MainStay Epoch Int'l Small Cap	11 753	6/1/2009	$154 67	6/21/2010	$186 87	$32 20
81369Y100	XLB	Materials Select Sector SPDR	171	7/28/2010	$5,473 23	8/11/2010	$5,359 26	($113 97)
81369Y100	XLB	Materials Select Sector SPDR	205	7/28/2010	$6,561 47	8/11/2010	$6,424 84	($136 63)
58933Y105	MRK	Merck & Company, Inc	1	3/19/2010	$38 35	9/30/2010	$37 27	($1 08)
589509108	MERFX	Merger Fund	308.923	11/18/2009	$4,766 68	4/22/2010	$4,884 07	$117 39
589509108	MERFX	Merger Fund	370 61	11/18/2009	$5,718.51	4/22/2010	$5,859.34	$140 83
589509108	MERFX	Merger Fund	76 926	11/18/2009	$1,186 97	4/28/2010	$1,213 12	$26 15
78467Y107	MDY	MidCap SPDRs	65	4/26/2010	$10,008.92	5/6/2010	$9,227 43	($781 49)
78467Y107	MDY	MidCap SPDRs	78	4/26/2010	$12,010 70	5/6/2010	$11,072 91	($937 79)
62985Q101	NLC	Nalco Holding Co	1	3/9/2010	$23 90	7/27/2010	$24 94	$1 04
654106103	NKE	Nike, Inc Cl B	2	6/1/2009	$119 08	3/9/2010	$137 74	$18 66
654106103	NKE	Nike, Inc Cl B	3	6/1/2009	$178 62	3/9/2010	$206 61	$27 99
654106103	NKE	Nike, Inc Cl B	1	6/1/2009	$59.54	2/18/2010	$64 17	$4 63
6706EM102	JSN	Nuveen Equity Prem Opportunity Fund	1	7/17/2009	$11 71	6/21/2010	$12 46	$0 75
6706EM102	JSN	Nuveen Equity Prem Opportunity Fund	3	12/24/2009	$39 19	7/28/2010	$38 01	($1 18)
6706EM102	JSN	Nuveen Equity Prem Opportunity Fund	12	7/17/2009	$140.52	7/27/2010	$154 06	$13.54
6706EM102	JSN	Nuveen Equity Prem Opportunity Fund	10	7/17/2009	$117 10	7/28/2010	$126 69	$9.59
6706EM102	JSN	Nuveen Equity Prem Opportunity Fund	2	7/17/2009	$23 42	2/18/2010	$25 93	$2.51
6706EM102	JSN	Nuveen Equity Prem Opportunity Fund	6	12/24/2009	$78 38	7/28/2010	$76 02	($2 36)
6706EM102	JSN	Nuveen Equity Prem Opportunity Fund	19	7/17/2009	$222 49	7/27/2010	$243 93	$21 44
6706EM102	JSN	Nuveen Equity Prem Opportunity Fund	13	7/17/2009	$152 23	7/28/2010	$164 70	$12 47
68389X105	ORCL	Oracle System Corporation	1	6/1/2009	$19 89	6/21/2010	$23 35	$3 46
68389X105	ORCL	Oracle System Corporation	1	6/1/2009	$19 89	6/21/2010	$23 35	$3 46
695257105	PTV–Z	Pactiv Corporation	8	8/11/2010	$244 44	8/19/2010	$259 93	$15 49
695257105	PTV–Z	Pactiv Corporation	10	2/26/2010	$246 45	3/12/2010	$248 93	$2 48
695257105	PTV–Z	Pactiv Corporation	12	8/11/2010	$366 67	8/19/2010	$389 90	$23 23
695257105	PTV–Z	Pactiv Corporation	15	2/26/2010	$369 67	3/12/2010	$373 40	$3 73
717081103	PFE	Pfizer, Inc	3	2/18/2010	$52 74	10/13/2010	$52 73	($0 01)
717081103	PFE	Pfizer, Inc	1	2/18/2010	$17.58	7/29/2010	$15 20	($2 38)
717081103	PFE	Pfizer, Inc	6	2/18/2010	$105 49	10/13/2010	$105 47	($0 02)
717081103	PFE	Pfizer, Inc	1	2/18/2010	$17.58	6/21/2010	$15 23	($2 35)
718172109	PM	Philip Morris International, Inc	1	6/1/2009	$43 66	6/21/2010	$46 07	$2 41
693391823	PFCAX	PIMCO Convertible Admin	152 083	7/17/2009	$1,569.50	4/16/2010	$2,100 27	$530 77
693391823	PFCAX	PIMCO Convertible Admin	115 109	9/9/2009	$1,335 27	4/20/2010	$1,601 17	$265 90
693391823	PFCAX	PIMCO Convertible Admin	2 762	7/17/2009	$28 50	4/20/2010	$38 42	$9 92
693391823	PFCAX	PIMCO Convertible Admin	54 707	2/17/2010	$713 93	4/22/2010	$764 80	$50 87
693391823	PFCAX	PIMCO Convertible Admin	11 701	9/9/2009	$135 73	4/22/2010	$163.58	$27 85
693391823	PFCAX	PIMCO Convertible Admin	4 808	9/17/2009	$57 31	4/22/2010	$67 22	$9 91
693391823	PFCAX	PIMCO Convertible Admin	161 155	2/17/2010	$2,103 07	8/13/2010	$2,127 25	$24 18
693391823	PFCAX	PIMCO Convertible Admin	7 265	3/18/2010	$98 08	8/13/2010	$95 90	($2 18)
693391823	PFCAX	PIMCO Convertible Admin	66 817	6/16/2010	$896 02	8/13/2010	$881 98	($14 04)
693391823	PFCAX	PIMCO Convertible Admin	172 583	7/17/2009	$1,781 06	4/16/2010	$2,383.37	$602 31
693391823	PFCAX	PIMCO Convertible Admin	130 625	9/9/2009	$1,515 25	4/20/2010	$1,817 00	$301 75
693391823	PFCAX	PIMCO Convertible Admin	66 752	2/17/2010	$871 11	4/22/2010	$933 19	$62 08
693391823	PFCAX	PIMCO Convertible Admin	13 254	9/9/2009	$153 75	4/22/2010	$185 29	$31 54
693391823	PFCAX	PIMCO Convertible Admin	160 342	2/17/2010	$2,092 47	8/13/2010	$2,116.52	$24 05
693391823	PFCAX	PIMCO Convertible Admin	17 81	2/17/2010	$232 42	4/28/2010	$248 45	$16 03
693391823	PFCAX	PIMCO Convertible Admin	5 455	9/17/2009	$65 02	4/22/2010	$76 26	$11 24
693391823	PFCAX	PIMCO Convertible Admin	3 095	7/17/2009	$31 94	4/20/2010	$43 05	$11 11
693391823	PFCAX	PIMCO Convertible Admin	8.243	3/18/2010	$111 28	8/13/2010	$108 81	($2 47)
693391823	PFCAX	PIMCO Convertible Admin	66 924	6/16/2010	$897 45	8/13/2010	$883.39	($14 06)
72201F375	PEBLX	PIMCO Emerging Local Bond Adm	644 257	11/18/2009	$6,507 00	4/22/2010	$6,751 81	$244 81
72201F375	PEBLX	PIMCO Emerging Local Bond Adm	156 985	12/9/2009	$1,562 00	4/22/2010	$1,645 20	$83 20
72201F375	PEBLX	PIMCO Emerging Local Bond Adm	168 9	12/14/2009	$1,689 00	4/22/2010	$1,770 07	$81 07
72201F375	PEBLX	PIMCO Emerging Local Bond Adm	9.528	1/14/2010	$97 09	4/22/2010	$99 86	$2 77
72201F375	PEBLX	PIMCO Emerging Local Bond Adm	4 46	12/31/2009	$44 33	4/22/2010	$46 74	$2 41
72201F375	PEBLX	PIMCO Emerging Local Bond Adm	1 349	11/30/2009	$13 49	4/22/2010	$14 14	$0 65
72201F375	PEBLX	PIMCO Emerging Local Bond Adm	454 262	1/14/2010	$4,628 93	7/29/2010	$4,774 29	$145 36
72201F375	PEBLX	PIMCO Emerging Local Bond Adm	730 792	11/18/2009	$7,381 00	4/22/2010	$7,658 70	$277 70
72201F375	PEBLX	PIMCO Emerging Local Bond Adm	391 196	1/14/2010	$3,986 29	7/29/2010	$4,111 47	$125 18
72201F375	PEBLX	PIMCO Emerging Local Bond Adm	178 191	12/9/2009	$1,773 00	4/22/2010	$1,867 44	$94 44
72201F375	PEBLX	PIMCO Emerging Local Bond Adm	191 6	12/14/2009	$1,916 00	4/22/2010	$2,007 97	$91 97
72201F375	PEBLX	PIMCO Emerging Local Bond Adm	244 828	1/14/2010	$2,494 80	4/28/2010	$2,551 11	$56 31
72201F375	PEBLX	PIMCO Emerging Local Bond Adm	59 077	2/17/2010	$590 77	7/29/2010	$620 90	$30 13
72201F375	PEBLX	PIMCO Emerging Local Bond Adm	75 065	1/14/2010	$764 91	4/22/2010	$786 68	$21 77
72201F375	PEBLX	PIMCO Emerging Local Bond Adm	6 3	1/29/2010	$62 31	7/29/2010	$66 21	$3 90
72201F375	PEBLX	PIMCO Emerging Local Bond Adm	5 058	12/31/2009	$50 28	4/22/2010	$53 01	$2 73
72201F375	PEBLX	PIMCO Emerging Local Bond Adm	1.53	11/30/2009	$15 30	4/22/2010	$16 03	$0 73
72201R833	MINT	PIMCO Enhanced Short Maturity Strgy ETF	275	5/20/2010	$27,566 99	7/26/2010	$27,646 05	$79 06
72201R833	MINT	PIMCO Enhanced Short Maturity Strgy ETF	183	5/20/2010	$18,344.58	6/22/2010	$18,355 10	$10 52
72201R833	MINT	PIMCO Enhanced Short Maturity Strgy ETF	330	5/20/2010	$33,080 39	7/26/2010	$33,175 26	$94 87
72201R833	MINT	PIMCO Enhanced Short Maturity Strgy ETF	220	5/20/2010	$22,053 59	6/22/2010	$22,066 24	$12 65
693390767	PLDAX	PIMCO Low Duration Admin	634 077	4/14/2008	$6,429.54	12/28/2009	$6,524 65	$95 11
693390767	PLDAX	PIMCO Low Duration Admin	364 717	4/16/2008	$3,690 94	12/28/2009	$3,752 94	$62 00
693390767	PLDAX	PIMCO Low Duration Admin	914.585	6/26/2008	$9,155 00	4/22/2010	$9,575 71	$420 71
693390767	PLDAX	PIMCO Low Duration Admin	461 587	8/18/2008	$4,588.17	4/22/2010	$4,832 82	$244 65
693390767	PLDAX	PIMCO Low Duration Admin	657 962	4/18/2008	$6,652 00	4/22/2010	$6,888 86	$236 86
693390767	PLDAX	PIMCO Low Duration Admin	293.583	4/16/2008	$2,971 06	4/22/2010	$3,073 81	$102 75
693390767	PLDAX	PIMCO Low Duration Admin	9 113	7/31/2008	$90 49	4/22/2010	$95 41	$4 92
693390767	PLDAX	PIMCO Low Duration Admin	8 096	6/30/2008	$80 96	4/22/2010	$84 77	$3 81
693390767	PLDAX	PIMCO Low Duration Admin	6 602	5/30/2008	$66 68	4/22/2010	$69 12	$2 44
693390767	PLDAX	PIMCO Low Duration Admin	2 806	4/30/2008	$28.42	4/22/2010	$29 38	$0 96
693390767	PLDAX	PIMCO Low Duration Admin	346 153	8/18/2008	$3,440 76	8/17/2010	$3,658 84	$218 08
693390767	PLDAX	PIMCO Low Duration Admin	1117 682	6/26/2008	$11,188 00	4/22/2010	$11,702 13	$514 13
693390767	PLDAX	PIMCO Low Duration Admin	585 681	8/18/2008	$5,821 67	4/28/2010	$6,132 08	$310 41
693390767	PLDAX	PIMCO Low Duration Admin	804 055	4/18/2008	$8,129 00	4/22/2010	$8,418 46	$289 46
693390767	PLDAX	PIMCO Low Duration Admin	422 907	8/18/2008	$4,203 70	4/22/2010	$4,427 84	$224 14
693390767	PLDAX	PIMCO Low Duration Admin	256 176	8/26/2008	$2,543 83	8/17/2010	$2,707 78	$163 95
693390767	PLDAX	PIMCO Low Duration Admin	447 419	4/16/2008	$4,527 88	4/22/2010	$4,684 48	$156 60
693390767	PLDAX	PIMCO Low Duration Admin	776 461	4/14/2008	$7,873 32	12/28/2009	$7,989 78	$116 46
693390767	PLDAX	PIMCO Low Duration Admin	357 028	4/16/2008	$3,613 12	12/28/2009	$3,673 82	$60 70

Cusip	Symbol	Description	Shares	Purchase Date	Cost	SaleDate	Proceeds	Gain/(Loss)
693390767	PLDAX	PIMCO Low Duration Admin	91 613	8/18/2008	$910.63	8/17/2010	$968 35	$57 72
693390767	PLDAX	PIMCO Low Duration Admin	11 136	7/31/2008	$110.58	4/22/2010	$116.59	$6 01
693390767	PLDAX	PIMCO Low Duration Admin	9 894	6/30/2008	$98 94	4/22/2010	$103.59	$4 65
693390767	PLDAX	PIMCO Low Duration Admin	8 067	5/30/2008	$81 48	4/22/2010	$84 46	$2 98
693390767	PLDAX	PIMCO Low Duration Admin	3 428	4/30/2008	$34 73	4/22/2010	$35 89	$1 16
693390734	PSFAX	PIMCO Short-Term Admin	673 361	6/27/2008	$6,605 67	12/28/2009	$6,612 41	$6 74
693390734	PSFAX	PIMCO Short-Term Admin	2985 408	7/1/2008	$29,257 00	4/9/2010	$29,465 98	$208 98
693390734	PSFAX	PIMCO Short-Term Admin	872 907	7/18/2008	$8,545 76	4/9/2010	$8,615.59	$69 83
693390734	PSFAX	PIMCO Short-Term Admin	256 751	6/27/2008	$2,518.73	4/9/2010	$2,534 13	$15 40
693390734	PSFAX	PIMCO Short-Term Admin	0 106	6/30/2008	$1 04	4/9/2010	$1 05	$0 01
693390734	PSFAX	PIMCO Short-Term Admin	2724 328	8/26/2008	$26,616 68	4/15/2010	$26,916 36	$299 68
693390734	PSFAX	PIMCO Short-Term Admin	1082 924	8/18/2008	$10,591 00	4/15/2010	$10,699 29	$108 29
693390734	PSFAX	PIMCO Short-Term Admin	282 493	7/18/2008	$2,765 61	4/15/2010	$2,791 03	$25 42
693390734	PSFAX	PIMCO Short-Term Admin	11.68	7/31/2008	$114 35	4/15/2010	$115 40	$1 05
693390734	PSFAX	PIMCO Short-Term Admin	997 765	8/26/2008	$9,748 17	4/22/2010	$9,857 92	$109 75
693390734	PSFAX	PIMCO Short-Term Admin	997 047	10/6/2009	$9,791 00	4/6/2010	$9,840 85	$49 85
693390734	PSFAX	PIMCO Short-Term Admin	412.982	2/18/2010	$4,072 00	4/6/2010	$4,076 13	$4 13
693390734	PSFAX	PIMCO Short-Term Admin	4 717	4/30/2009	$44 81	4/6/2010	$46.56	$1 75
693390734	PSFAX	PIMCO Short-Term Admin	4 65	5/29/2009	$44 69	4/6/2010	$45 90	$1 21
693390734	PSFAX	PIMCO Short-Term Admin	4 075	6/30/2009	$39 32	4/6/2010	$40 22	$0 90
693390734	PSFAX	PIMCO Short-Term Admin	2 765	7/31/2009	$26 90	4/6/2010	$27 29	$0 39
693390734	PSFAX	PIMCO Short-Term Admin	3 964	12/9/2009	$38.93	4/6/2010	$39 12	$0 19
693390734	PSFAX	PIMCO Short-Term Admin	1 062	8/31/2009	$10 39	4/6/2010	$10 48	$0 09
693390734	PSFAX	PIMCO Short-Term Admin	0 911	9/30/2009	$8.93	4/6/2010	$8 99	$0 06
693390734	PSFAX	PIMCO Short-Term Admin	1 274	12/31/2009	$12.51	4/6/2010	$12 57	$0 06
693390734	PSFAX	PIMCO Short Term Admin	1 79	10/30/2009	$17 61	4/6/2010	$17 67	$0 06
693390734	PSFAX	PIMCO Short-Term Admin	1 394	11/30/2009	$13 73	4/6/2010	$13 76	$0 03
693390734	PSFAX	PIMCO Short Term Admin	0 939	1/29/2010	$9 26	4/6/2010	$9 27	$0 01
693390734	PSFAX	PIMCO Short-Term Admin	1 126	2/26/2010	$11 10	4/6/2010	$11 11	$0 01
693390734	PSFAX	PIMCO Short-Term Admin	1 069	3/31/2010	$10 55	4/6/2010	$10.56	$0 01
693390734	PSFAX	PIMCO Short-Term Admin	0 028	4/30/2010	$0 28	6/21/2010	$0 28	$0 00
693390734	PSFAX	PIMCO Short-Term Admin	0 191	4/30/2010	$1 89	7/9/2010	$1 89	$0 00
693390734	PSFAX	PIMCO Short-Term Admin	173 359	9/17/2008	$1,676 38	4/6/2010	$1,711 05	$34 67
693390734	PSFAX	PIMCO Short-Term Admin	275 022	8/20/2008	$2,692 47	4/6/2010	$2,714 47	$22 00
693390734	PSFAX	PIMCO Short-Term Admin	419 413	8/20/2008	$4,106 05	11/20/2009	$4,127 02	$20 97
693390734	PSFAX	PIMCO Short-Term Admin	17.593	12/10/2008	$160 45	4/6/2010	$173 64	$13 19
693390734	PSFAX	PIMCO Short-Term Admin	10 734	12/10/2008	$97 89	4/6/2010	$105 94	$8 05
693390734	PSFAX	PIMCO Short-Term Admin	6 1	12/31/2008	$56 24	4/6/2010	$60 21	$3 97
693390734	PSFAX	PIMCO Short-Term Admin	4 846	11/28/2008	$44 97	4/6/2010	$47 83	$2 86
693390734	PSFAX	PIMCO Short-Term Admin	5 232	1/30/2009	$49 13	4/6/2010	$51 64	$2 51
693390734	PSFAX	PIMCO Short-Term Admin	4 713	2/27/2009	$44 11	4/6/2010	$46.52	$2 41
693390734	PSFAX	PIMCO Short-Term Admin	4 909	3/31/2009	$46 10	4/6/2010	$48 45	$2 35
693390734	PSFAX	PIMCO Short-Term Admin	5 684	10/31/2008	$54 40	4/6/2010	$56 10	$1 70
693390734	PSFAX	PIMCO Short-Term Admin	4 842	9/30/2008	$46 73	4/6/2010	$47 79	$1 06
693390734	PSFAX	PIMCO Short-Term Admin	1 805	8/31/2008	$17 65	4/6/2010	$17 82	$0 17
693390734	PSFAX	PIMCO Short Term Admin	2548 633	8/26/2008	$24,900 14	4/15/2010	$25,180 49	$280 35
693390734	PSFAX	PIMCO Short-Term Admin	3648 163	7/1/2008	$35,752 00	4/9/2010	$36,007 37	$255 37
693390734	PSFAX	PIMCO Short-Term Admin	1323 006	8/18/2008	$12,939 00	4/15/2010	$13,071 30	$132 30
693390734	PSFAX	PIMCO Short-Term Admin	1197 178	8/26/2008	$11,696 43	4/22/2010	$11,828 12	$131 69
693390734	PSFAX	PIMCO Short-Term Admin	767 003	7/18/2008	$7,508 96	4/15/2010	$7,577 99	$69 03
693390734	PSFAX	PIMCO Short-Term Admin	645 017	7/18/2008	$6,314 72	4/9/2010	$6,366 32	$51 60
693390734	PSFAX	PIMCO Short-Term Admin	248 689	8/26/2008	$2,429 69	4/28/2010	$2,457 05	$27 36
693390734	PSFAX	PIMCO Short Term Admin	375 21	6/27/2008	$3,680 81	4/9/2010	$3,703 32	$22.51
693390734	PSFAX	PIMCO Short-Term Admin	763 911	6/27/2008	$7,493 97	12/28/2009	$7,501 61	$7 64
693390734	PSFAX	PIMCO Short-Term Admin	14 274	7/31/2008	$139 74	4/15/2010	$141 03	$1 29
693390734	PSFAX	PIMCO Short-Term Admin	0 13	6/30/2008	$1 27	4/9/2010	$1 28	$0 01
693390734	PSFAX	PIMCO Short-Term Admin	1494 603	10/6/2009	$14,677 00	4/6/2010	$14,751 73	$74 73
693390734	PSFAX	PIMCO Short-Term Admin	72 062	7/21/2009	$699 00	4/6/2010	$711 25	$12 25
693390734	PSFAX	PIMCO Short-Term Admin	630 02	2/18/2010	$6,212 00	4/6/2010	$6,218 30	$6 30
693390734	PSFAX	PIMCO Short-Term Admin	53 157	10/6/2009	$522 00	4/6/2010	$524 66	$2 66
693390734	PSFAX	PIMCO Short Term Admin	7 059	4/30/2009	$67 06	4/6/2010	$69 67	$2 61
693390734	PSFAX	PIMCO Short-Term Admin	6 959	5/29/2009	$66 88	4/6/2010	$68.69	$1 81
693390734	PSFAX	PIMCO Short-Term Admin	6 099	6/30/2009	$58 86	4/6/2010	$60 20	$1 34
693390734	PSFAX	PIMCO Short-Term Admin	4 156	7/31/2009	$40 44	4/6/2010	$41 02	$0 58
693390734	PSFAX	PIMCO Short-Term Admin	6 048	12/9/2009	$59 39	4/6/2010	$59 69	$0 30
693390734	PSFAX	PIMCO Short-Term Admin	1.592	8/31/2009	$15.57	4/6/2010	$15 71	$0 14
693390734	PSFAX	PIMCO Short-Term Admin	1 944	12/31/2009	$19 09	4/6/2010	$19 19	$0 10
693390734	PSFAX	PIMCO Short-Term Admin	2 728	10/30/2009	$26 84	4/6/2010	$26 93	$0 09
693390734	PSFAX	PIMCO Short-Term Admin	1 366	9/30/2009	$13 39	4/6/2010	$13 48	$0 09
693390734	PSFAX	PIMCO Short-Term Admin	2 127	11/30/2009	$20 95	4/6/2010	$20 99	$0 04
693390734	PSFAX	PIMCO Short-Term Admin	1 718	2/26/2010	$16 94	4/6/2010	$16 96	$0 02
693390734	PSFAX	PIMCO Short Term Admin	1 631	3/31/2010	$16 10	4/6/2010	$16 11	$0 01
693390734	PSFAX	PIMCO Short-Term Admin	1 433	1/29/2010	$14 13	4/6/2010	$14 14	$0 01
693390734	PSFAX	PIMCO Short-Term Admin	0 294	4/30/2010	$2 90	7/9/2010	$2 90	$0 00
693390734	PSFAX	PIMCO Short-Term Admin	0 04	4/30/2010	$0 40	6/21/2010	$0 39	($0 01)
693390734	PSFAX	PIMCO Short-Term Admin	259 163	9/17/2008	$2,506 11	4/6/2010	$2,557 94	$51 83
693390734	PSFAX	PIMCO Short-Term Admin	641 452	8/20/2008	$6,279 81	11/20/2009	$6,311.89	$32 08
693390734	PSFAX	PIMCO Short-Term Admin	328 469	8/20/2008	$3,215 71	4/6/2010	$3,241 99	$26 28
693390734	PSFAX	PIMCO Short-Term Admin	26 326	12/10/2008	$240 09	4/6/2010	$259 84	$19 75
693390734	PSFAX	PIMCO Short-Term Admin	16 061	12/10/2008	$146 48	4/6/2010	$158.52	$12 04
693390734	PSFAX	PIMCO Short-Term Admin	9 128	12/31/2008	$84 16	4/6/2010	$90 09	$5 93
693390734	PSFAX	PIMCO Short-Term Admin	7 251	11/28/2008	$67 29	4/6/2010	$71.57	$4 28
693390734	PSFAX	PIMCO Short-Term Admin	7 829	1/30/2009	$73.51	4/6/2010	$77 27	$3 76
693390734	PSFAX	PIMCO Short-Term Admin	7 052	2/27/2009	$66 01	4/6/2010	$69 60	$3 59
693390734	PSFAX	PIMCO Short-Term Admin	7.347	3/31/2009	$68 99	4/6/2010	$72.51	$3 52
693390734	PSFAX	PIMCO Short-Term Admin	8.506	10/31/2008	$81 40	4/6/2010	$83 95	$2.55
693390734	PSFAX	PIMCO Short-Term Admin	7 248	9/30/2008	$69 94	4/6/2010	$71.54	$1 60
693390734	PSFAX	PIMCO Short-Term Admin	2 701	8/31/2008	$26 42	4/6/2010	$26 66	$0 24
724479100	PBI	Pitney Bowes, Inc.	10	7/1/2010	$220 70	7/28/2010	$245 84	$25 14
724479100	PBI	Pitney Bowes, Inc.	15	7/1/2010	$331 05	7/28/2010	$368.76	$37 71
73935A104	QQQQ	PowerShares QQQ	13	9/13/2010	$613 25	9/22/2010	$631 28	$18 03

Cusip	Symbol	Description	Shares	Purchase Date	Cost	SaleDate	Proceeds	Gain/(Loss)
73935A104	QQQQ	PowerShares QQQ	594	4/26/2010	$29,949 26	5/7/2010	$27,199 40	($2 749 86)
73935A104	QQQQ	PowerShares QQQ	15	9/13/2010	$707 60	9/22/2010	$728 39	$20 79
73935A104	QQQQ	PowerShares QQQ	713	4/26/2010	$35,949 20	5/7/2010	$32,648 43	($3,300 77)
74005P104	PX	Praxair, Inc	1	2/18/2010	$77 86	6/21/2010	$82 38	$4.52
74005P104	PX	Praxair, Inc	1	2/18/2010	$77 86	7/27/2010	$85 66	$7 80
00433W882	AFBIX	ProFunds Access Flex Bear High Yield Inv	133 182	10/29/2009	$3,028.56	12/9/2009	$2,906 03	($122.53)
00433W882	AFBIX	ProFunds Access Flex Bear High Yield Inv	144 88	10/29/2009	$3,294.57	12/14/2009	$3,100 43	($194 14)
00433W882	AFBIX	ProFunds Access Flex Bear High Yield Inv	262 055	10/29/2009	$5,959 13	1/14/2010	$5,424 54	($534.59)
00433W882	AFBIX	ProFunds Access Flex Bear High Yield Inv	62 483	11/18/2009	$1,394 00	2/11/2010	$1,342 76	($51 24)
00433W882	AFBIX	ProFunds Access Flex Bear High Yield Inv	567 403	10/29/2009	$12,902 74	2/11/2010	$12,193 49	($709 25)
00433W882	AFBIX	ProFunds Access Flex Bear High Yield Inv	71 044	11/18/2009	$1,585 00	2/11/2010	$1,526 74	($58 26)
00433W882	AFBIX	ProFunds Access Flex Bear High Yield Inv	151 094	10/29/2009	$3,435 88	12/9/2009	$3,296 87	($139 01)
00433W882	AFBIX	ProFunds Access Flex Bear High Yield Inv	164 364	10/29/2009	$3,737 64	12/14/2009	$3,517 39	($220 25)
00433W882	AFBIX	ProFunds Access Flex Bear High Yield Inv	297 292	10/29/2009	$6,760 42	1/14/2010	$6,153 94	($606 48)
00433W882	AFBIX	ProFunds Access Flex Bear High Yield Inv	643.538	10/29/2009	$14,634 06	2/11/2010	$13,829 63	($804 43)
74834L100	DGX	Quest Diagnostics, Inc	4	2/18/2010	$230 48	7/22/2010	$181 69	($48 79)
74834L100	DGX	Quest Diagnostics, Inc	6	2/18/2010	$345 72	7/22/2010	$272.53	($73 19)
751028101	RAH	Ralcorp Holdings, Inc	3	2/18/2010	$199 16	2/26/2010	$199 53	$0 37
751028101	RAH	Ralcorp Holdings, Inc	4	2/18/2010	$265.55	2/26/2010	$266 04	$0 49
776696106	ROP	Roper Industries, Inc.	4	2/18/2010	$217 36	3/1/2010	$222 47	$5 11
776696106	ROP	Roper Industries, Inc	6	2/18/2010	$326 04	3/1/2010	$333 71	$7 67
777779307	ROSE	Rosetta Resources, Inc	1	3/1/2010	$21 31	7/27/2010	$23 10	$1 79
778296103	ROST	Ross Stores, Inc	3	2/18/2010	$140 80	4/23/2010	$175 60	$34 80
778296103	ROST	Ross Stores, Inc	4	2/18/2010	$187 73	4/23/2010	$234 13	$46 40
779376102	ROVI	Rovi Corporation	2	2/18/2010	$64 34	9/30/2010	$103 02	$38 68
779376102	ROVI	Rovi Corporation	2	2/18/2010	$64 34	9/30/2010	$103 02	$38 68
779376102	ROVI	Rovi Corporation	1	2/18/2010	$32 17	7/27/2010	$44.57	$12 40
78356A632	RYSPX	Rydex S&P 500 H	69 663	11/4/2009	$1,449 00	2/18/2010	$1,527 71	$78.71
78356A632	RYSPX	Rydex S&P 500 H	0 354	12/16/2009	$7 77	2/18/2010	$7 76	($0 01)
78356A632	RYSPX	Rydex S&P 500 H	2 915	12/24/2009	$65 00	2/18/2010	$63 93	($1 07)
78356A632	RYSPX	Rydex S&P 500 H	106 25	11/4/2009	$2,210 00	2/18/2010	$2,330 07	$120 07
78356A632	RYSPX	Rydex S&P 500 H	0.539	12/16/2009	$11 84	2/18/2010	$11 82	($0 02)
78356A632	RYSPX	Rydex S&P 500 H	4 484	12/24/2009	$100 00	2/18/2010	$98 33	($1 67)
803111103	SLE	Sara Lee Corporation	22	2/18/2010	$302 69	4/21/2010	$313 11	$10 42
803111103	SLE	Sara Lee Corporation	4	2/18/2010	$55 03	3/5/2010	$55 86	$0 83
803111103	SLE	Sara Lee Corporation	33	2/18/2010	$454 02	4/21/2010	$469 67	$15 65
803111103	SLE	Sara Lee Corporation	6	2/18/2010	$82.55	3/5/2010	$83 80	$1 25
83421A104	SLH	Solera Holdings, Inc	1	7/29/2010	$39 05	10/18/2010	$44 16	$5 11
78462F103	SPY	SPDR S&P 500	212	7/28/2010	$23,573 85	8/12/2010	$23,069.59	($504 26)
78462F103	SPY	SPDR S&P 500	255	7/28/2010	$28,355 34	8/12/2010	$27,748 80	($606 54)
78464A714	XRT	SPDR S&P Retail	665	4/26/2010	$30,280 35	5/3/2010	$29,087 36	($1,192 99)
78464A714	XRT	SPDR S&P Retail	798	4/26/2010	$36,336 42	5/3/2010	$34,904 83	($1,431.59)
863667101	SYK	Stryker Corporation	2	2/18/2010	$106 20	4/14/2010	$113 90	$7 70
863667101	SYK	Stryker Corporation	3	2/18/2010	$159 31	4/14/2010	$170 84	$11.53
78505P100	SXCI	SXC Health Solutions Corp	1	7/2/2010	$36 96	9/30/2010	$36 38	($0.58)
871130100	SY—Z	Sybase, Inc	4	6/1/2009	$133 84	7/30/2010	$260 00	$126 16
871130100	SY—Z	Sybase, Inc	6	6/1/2009	$200 76	7/30/2010	$390 00	$189 24
741481204	PAHIX	T Rowe Price High Yield Adv	315 203	8/25/2009	$1,866 00	4/22/2010	$2,102 40	$236 40
741481204	PAHIX	T Rowe Price High Yield Adv	104 087	8/28/2009	$618 28	4/22/2010	$694 26	$75 98
741481204	PAHIX	T Rowe Price High Yield Adv	255.509	8/28/2009	$1,517 72	7/29/2010	$1,681 25	$163.53
741481204	PAHIX	T Rowe Price High Yield Adv	92 161	9/3/2009	$546.51	7/29/2010	$606 42	$59 91
741481204	PAHIX	T Rowe Price High Yield Adv	0 464	8/31/2009	$2 76	7/29/2010	$3 05	$0 29
741481204	PAHIX	T Rowe Price High Yield Adv	358 108	8/25/2009	$2,120 00	4/22/2010	$2,388.58	$268 58
741481204	PAHIX	T Rowe Price High Yield Adv	265 993	9/3/2009	$1,577 34	7/29/2010	$1,750 23	$172 89
741481204	PAHIX	T Rowe Price High Yield Adv	165 933	8/28/2009	$985 64	4/28/2010	$1,106 77	$121 13
741481204	PAHIX	T Rowe Price High Yield Adv	161 151	8/28/2009	$957 24	4/22/2010	$1,074 88	$117 64
741481204	PAHIX	T Rowe Price High Yield Adv	80 323	8/28/2009	$477 12	7/29/2010	$528 52	$51 40
741481204	PAHIX	T Rowe Price High Yield Adv	2 058	9/9/2009	$12 29	7/29/2010	$13.55	$1 26
741481204	PAHIX	T Rowe Price High Yield Adv	0.526	8/31/2009	$3 13	7/29/2010	$3 46	$0 33
77958B402	RPIFX	T Rowe Price Instl Floating Rate	173 942	6/19/2009	$1,621 14	4/16/2010	$1,791 60	$170 46
77958B402	RPIFX	T Rowe Price Instl Floating Rate	161 286	6/19/2009	$1,503 19	4/20/2010	$1,661 25	$158 06
77958B402	RPIFX	T Rowe Price Instl Floating Rate	184 442	6/23/2009	$1,715 31	4/22/2010	$1,897 91	$182 60
77958B402	RPIFX	T Rowe Price Instl Floating Rate	6 832	6/19/2009	$63 67	4/22/2010	$70 30	$6 63
77958B402	RPIFX	T Rowe Price Instl Floating Rate	221 731	6/23/2009	$2,062 10	4/22/2010	$2,281 61	$219.51
77958B402	RPIFX	T Rowe Price Instl Floating Rate	197 324	6/19/2009	$1,839 06	4/16/2010	$2,032 44	$193 38
77958B402	RPIFX	T Rowe Price Instl Floating Rate	182 966	6/19/2009	$1,705 24	4/20/2010	$1,884 55	$179 31
77958B402	RPIFX	T Rowe Price Instl Floating Rate	41 925	6/23/2009	$389 90	4/28/2010	$430 99	$41 09
77958B402	RPIFX	T Rowe Price Instl Floating Rate	7 8	6/19/2009	$72 70	4/22/2010	$80 26	$7 56
77958B402	RPIFX	T Rowe Price Instl Floating Rate	5 831	6/25/2009	$54 29	4/28/2010	$59 94	$5 65
77957P204	PASHX	T Rowe Price Short Term Bond Adv	1418 333	8/28/2009	$6,808 00	4/22/2010	$6,878 91	$70 91
77957P204	PASHX	T Rowe Price Short Term Bond Adv	665 846	9/9/2009	$3,202 72	4/22/2010	$3,229 36	$26 64
77957P204	PASHX	T Rowe Price Short Term Bond Adv	0 104	8/31/2009	$0.50	4/22/2010	$0.50	$0 00
77957P204	PASHX	T Rowe Price Short Term Bond Adv	374.53	8/18/2009	$1,794 00	11/20/2009	$1,820 22	$26 22
77957P204	PASHX	T Rowe Price Short Term Bond Adv	363 75	8/25/2009	$1,746 00	11/20/2009	$1,767 83	$21 83
77957P204	PASHX	T Rowe Price Short Term Bond Adv	92 673	9/1/2009	$445 76	11/20/2009	$450 38	$4 62
77957P204	PASHX	T Rowe Price Short Term Bond Adv	0.553	8/31/2009	$2 66	11/20/2009	$2 69	$0 03
77957P204	PASHX	T Rowe Price Short Term Bond Adv	1609 167	8/28/2009	$7,724 00	4/22/2010	$7,804 46	$80 46
77957P204	PASHX	T Rowe Price Short Term Bond Adv	886 655	9/9/2009	$4,264 81	4/22/2010	$4,300 27	$35 46
77957P204	PASHX	T Rowe Price Short Term Bond Adv	501 01	9/9/2009	$2,409 86	4/28/2010	$2,429 90	$20 04
77957P204	PASHX	T Rowe Price Short Term Bond Adv	0 119	8/31/2009	$0.57	4/22/2010	$0.58	$0 01
77957P204	PASHX	T Rowe Price Short Term Bond Adv	561.378	8/18/2009	$2,689 00	11/20/2009	$2,728.30	$39 30
77957P204	PASHX	T Rowe Price Short Term Bond Adv	361 458	8/25/2009	$1,735 00	11/20/2009	$1,756 68	$21 68
77957P204	PASHX	T Rowe Price Short Term Bond Adv	348 141	9/1/2009	$1,674.56	11/20/2009	$1,691 96	$17 40
77957P204	PASHX	T Rowe Price Short Term Bond Adv	0 742	8/31/2009	$3.57	11/20/2009	$3 61	$0 04
8.76E+110	TGT	Target Corporation	1	2/18/2010	$50 68	6/21/2010	$53.50	$2 82
8.76E+110	TGT	Target Corporation	1	2/18/2010	$50 68	6/21/2010	$53.50	$2 82
81369Y803	XLK	Technology Select Sector SPDR	244	7/28/2010	$5,437 46	8/11/2010	$5,285.55	($151 91)
81369Y803	XLK	Technology Select Sector SPDR	292	7/28/2010	$6,507 13	8/11/2010	$6,325.33	($181 80)
883556102	TMO	Thermo Electron Corp	1	7/28/2010	$46 08	10/13/2010	$48 86	$2 78
89469A104	THS	TreeHouse Foods, Inc	1	3/1/2010	$43 76	9/30/2010	$46 23	$2 47
899896104	TUP	Tupperware Corporation	1	8/10/2009	$32 42	12/4/2009	$49 26	$16 84

Cusip	Symbol	Description	Shares	Purchase Date	Cost	SaleDate	Proceeds	Gain/(Loss)
899896104	TUP	Tupperware Corporation	1	8/10/2009	$32 42	6/21/2010	$41 70	$9 28
913017109	UTX	United Technologies Corp	1	6/1/2009	$54 83	3/1/2010	$69 37	$14.54
913017109	UTX	United Technologies Corp	1	6/1/2009	$54 83	2/18/2010	$67 71	$12 88
81369Y886	XLU	Utilities Select Sector SPDR	597	7/27/2010	$18,590 27	8/18/2010	$18,328.56	($261 71)
81369Y886	XLU	Utilities Select Sector SPDR	717	7/27/2010	$22,327 01	8/18/2010	$22,012 69	($314 32)
92204A306	VDE	Vanguard Energy ETF	4	5/21/2009	$279 04	11/20/2009	$334 27	$55 23
92204A306	VDE	Vanguard Energy ETF	4	5/26/2009	$281 36	11/20/2009	$334 26	$52 90
92204A306	VDE	Vanguard Energy ETF	4	5/22/2009	$282 21	11/20/2009	$334 27	$52 06
92204A306	VDE	Vanguard Energy ETF	7	5/26/2009	$492 38	11/20/2009	$584 97	$92.59
92204A306	VDE	Vanguard Energy ETF	6	5/22/2009	$423 32	11/20/2009	$501 40	$78 08
92204A306	VDE	Vanguard Energy ETF	5	5/21/2009	$348 80	11/20/2009	$417 83	$69 03
922031703	VFISX	Vanguard Short Term Treas-IV	67 959	10/23/2009	$736 00	11/20/2009	$740 75	$4 75
922031703	VFISX	Vanguard Short Term Treas-IV	40 02	10/29/2009	$433 82	11/20/2009	$436 22	$2 40
922031703	VFISX	Vanguard Short Term Treas-IV	0 026	11/2/2009	$0 28	6/21/2010	$0 28	$0 00
922031703	VFISX	Vanguard Short Term Treas-IV	27 784	10/29/2009	$301 18	6/21/2010	$300 07	($1 11)
922031703	VFISX	Vanguard Short Term Treas-IV	52 296	11/2/2009	$567 93	6/21/2010	$564 79	($3 14)
922031703	VFISX	Vanguard Short Term Treas-IV	103 693	10/23/2009	$1,123 00	11/20/2009	$1,130 25	$7 25
922031703	VFISX	Vanguard Short Term Treas-IV	61 012	10/29/2009	$661 37	11/20/2009	$665 03	$3 66
922031703	VFISX	Vanguard Short Term Treas-IV	0 039	11/2/2009	$0 42	6/21/2010	$0 42	$0 00
922031703	VFISX	Vanguard Short Term Treas-IV	42 494	10/29/2009	$460 63	6/21/2010	$458 93	($1.70)
922031703	VFISX	Vanguard Short Term Treas-IV	79.532	11/2/2009	$863 71	6/21/2010	$858.95	($4 76)
384802104	GWW	W W Grainger, Inc	1	2/18/2010	$103 49	6/21/2010	$109 14	$5 65
931142103	WMT	Wal Mart Stores, Inc	4	2/18/2010	$212 97	10/22/2010	$216 12	$3 15
931142103	WMT	Wal Mart Stores, Inc	1	3/5/2010	$54 01	10/22/2010	$54 03	$0 02
931142103	WMT	Wal Mart Stores, Inc	1	2/18/2010	$53 24	6/21/2010	$51 41	($1 83)
931142103	WMT	Wal Mart Stores, Inc	6	2/18/2010	$319 46	10/22/2010	$324 18	$4 72
931142103	WMT	Wal Mart Stores, Inc	2	2/26/2010	$107 72	10/22/2010	$108 06	$0 34
931142103	WMT	Wal Mart Stores, Inc	1	2/18/2010	$53 24	6/21/2010	$51 41	($1 83)
931422109	WAG	Walgreen Company	14	2/18/2010	$482.57	4/14/2010	$514 18	$31 61
931422109	WAG	Walgreen Company	22	2/18/2010	$758 32	4/14/2010	$808 00	$49 68
934390402	WRC	Warnaco Group, Inc.	1	8/10/2009	$39 09	3/9/2010	$47 14	$8 05
934390402	WRC	Warnaco Group, Inc	1	8/10/2009	$39 09	6/21/2010	$41 78	$2 69
941848103	WAT	Waters Corp	1	6/1/2009	$44 32	3/9/2010	$63 49	$19 17
941848103	WAT	Waters Corp	1	6/1/2009	$44 32	11/2/2009	$57 65	$13 33
94987C103	EOD	Wells Fargo Adv Global Dividend	1	6/21/2010	$9 11	7/29/2010	$9 22	$0 11
94987C103	EOD	Wells Fargo Adv Global Dividend	2	12/24/2009	$20 75	7/29/2010	$18 42	($2 33)
94987C103	EOD	Wells Fargo Adv Global Dividend	2	7/17/2009	$18.57	7/27/2010	$18.88	$0 31
94987C103	EOD	Wells Fargo Adv Global Dividend	2	7/17/2009	$18 57	7/29/2010	$18.42	($0 15)
94987C103	EOD	Wells Fargo Adv Global Dividend	9	7/17/2009	$83.56	7/28/2010	$82 96	($0 60)
94987C103	EOD	Wells Fargo Adv Global Dividend	4	12/24/2009	$41 51	7/29/2010	$36 85	($4 66)
94987C103	EOD	Wells Fargo Adv Global Dividend	4	7/17/2009	$37 14	7/27/2010	$37 77	$0 63
94987C103	EOD	Wells Fargo Adv Global Dividend	3	7/17/2009	$27 85	7/29/2010	$27 63	($0 22)
94987C103	EOD	Wells Fargo Adv Global Dividend	13	7/17/2009	$120 70	7/28/2010	$119 84	($0 86)
97717W422	EPI	WisdomTree India Earnings	2	9/14/2010	$50 70	9/22/2010	$51 99	$1 29
97717W422	EPI	WisdomTree India Earnings	2	9/14/2010	$50 70	9/22/2010	$51 99	$1 29