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# Return of Private Foundation

## or Section 4947(a)(1) Nonexempt Charitable Trust

### Treated as a Private Foundation

OMB No 1545-0052

2009

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning OCT 1, 2009, and ending SEP 30, 2010

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation <b>ERIK E AND EDITH H BERGSTROM FOUNDATION, A CHARITABLE TRUST</b>		A Employer identification number <b>91-2155835</b>
	Number and street (or P.O. box number if mail is not delivered to street address) Room/suite <b>P.O. BOX 520</b>		B Telephone number <b>(650) 323-0596</b>
	City or town, state, and ZIP code <b>PALO ALTO, CA 94302-0520</b>		C If exemption application is pending, check here <input type="checkbox"/>
	H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 69,925,637.</b> (Part I, column (d) must be on cash basis)		J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		1,594,475.	1,347,404.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		1,176,552.			
b Gross sales price for all assets on line 6a					
7 Capital gain net income (from Part IV, line 2)			1,177,190.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		2,771,027.	2,524,594.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages		113,999.	56,999.		57,000.
15 Pension plans, employee benefits		34,279.	17,140.		17,139.
16a Legal fees					
b Accounting fees		29,980.	14,990.		14,990.
c Other professional fees					
17 Interest		505,107.	400,180.		0.
18 Taxes		60,293.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		922.	0.		922.
22 Printing and publications					
23 Other expenses		80,150.	51,718.		14,655.
24 Total operating and administrative expenses. Add lines 13 through 23		824,730.	541,027.		104,706.
25 Contributions, gifts, grants paid		2,798,285.			1,664,592.
26 Total expenses and disbursements. Add lines 24 and 25		3,623,015.	541,027.		1,769,298.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<851,988.>			
b Net investment income (if negative, enter -0-)			1,983,567.		
c Adjusted net income (if negative, enter -0-)				N/A	

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<b>Part II Balance Sheets</b> <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing	1,522.	6,618.	6,618.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock <span style="float:right">STMT 6</span>	65,495,866.	65,617,175.	65,617,175.
	c Investments - corporate bonds			
<b>Liabilities</b>	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶ <span style="float:right">STATEMENT 7</span> )	4,148,998.	4,301,844.	4,301,844.
	16 <b>Total assets</b> (to be completed by all filers)	69,646,386.	69,925,637.	69,925,637.
	17 Accounts payable and accrued expenses	12,947.	13,801.	
	18 Grants payable	754,183.	1,158,296.	
<b>Net Assets or Fund Balances</b>	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ <span style="float:right">STATEMENT 8</span> )	20,940,139.	19,084,401.	
	23 <b>Total liabilities</b> (add lines 17 through 22)	21,707,269.	20,256,498.	
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> <span style="float:right">X</span> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	44,321,557.	45,864,781.	
	25 Temporarily restricted	3,617,560.	3,804,358.	
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> <span style="float:right"></span> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
<b>Net Assets or Fund Balances</b>	30 <b>Total net assets or fund balances</b>	47,939,117.	49,669,139.	
	31 <b>Total liabilities and net assets/fund balances</b>	69,646,386.	69,925,637.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	47,939,117.
2 Enter amount from Part I, line 27a	2	<851,988.>
3 Other increases not included in line 2 (itemize) ▶ <span style="float:right">SEE STATEMENT 5</span>	3	2,582,010.
4 Add lines 1, 2, and 3	4	49,669,139.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	49,669,139.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHMENT A		VARIOUS	VARIOUS
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			1,177,190.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			1,177,190.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	1,177,190.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	3,002,843.	34,473,003.	.087107
2007	2,917,376.	60,653,181.	.048099
2006	4,107,178.	65,533,294.	.062673
2005	3,073,844.	59,006,861.	.052093
2004	3,913,273.	56,126,614.	.069722

2 Total of line 1, column (d)	2	.319694
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.063939
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	45,517,467.
5 Multiply line 4 by line 3	5	2,910,341.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	19,836.
7 Add lines 5 and 6	7	2,930,177.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	1,769,298.

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	39,671.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	39,671.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	39,671.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	32,899.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	32,899.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	114.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	6,886.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be credited to 2010 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>N/A</b>	13	X	
14	The books are in care of <b>JULIA RITA BOLOUS</b> Telephone no. <b>650-323-0596</b> Located at <b>P.O. BOX 520, PALO ALTO, CA</b> ZIP+4 <b>94302-0520</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <b>15</b> <b>N/A</b>			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ATTACHMENT C <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <b>N/A</b>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <b>N/A</b>	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <b>N/A</b>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ATTACHMENT D ☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No  
Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ATTACHMENT D ☒ Yes ☐ No  
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No  
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ERIK E. BERGSTROM	CO-EXEC. DIRECTOR			
P.O. BOX 520				
PALO ALTO, CA 94302	35.00	0.	0.	0.
EDITH H. BERGSTROM	CO-EXEC. DIRECTOR			
P.O. BOX 520				
PALO ALTO, CA 94302	10.00	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JULIA RITA BOLOUS	FIN. DIR./PRGRM OFFICER			
P.O. BOX 520, PALO ALTO, CA 94302	35.00	93,040.	23,591.	0.

Total number of other employees paid over \$50,000

0

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	65,290,313.
b	Average of monthly cash balances	1b	4,714.
c	Fair market value of all other assets	1c	
d	<b>Total</b> (add lines 1a, b, and c)	1d	65,295,027.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	19,084,401.
3	Subtract line 2 from line 1d	3	46,210,626.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	693,159.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	45,517,467.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	2,275,873.

**Part XI Distributable Amount** (see instructions) (Section 4942(i)(3) and (i)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,275,873.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	39,671.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	19,691.
c	Add lines 2a and 2b	2c	59,362.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,216,511.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,216,511.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,216,511.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,769,298.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,769,298.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	1,769,298.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				2,216,511.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2009.				
a From 2004				
b From 2005				
c From 2006	562,318.			
d From 2007	2,917,376.			
e From 2008	3,015,944.			
f Total of lines 3a through e	6,495,638.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 1,769,298.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions) **	1,769,298.			
d Applied to 2009 distributable amount				0.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	2,216,511.			2,216,511.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	6,048,425.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	6,048,425.			
10 Analysis of line 9.				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007	1,263,183.			
d Excess from 2008	3,015,944.			
e Excess from 2009	1,769,298.			

\*\* SEE STATEMENT 9

Form 990-PF (2009)

**ERIK E AND EDITH H BERGSTROM FOUNDATION,  
A CHARITABLE TRUST**

Form 990-PF (2009)

91-2155835 Page 10

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon.					
<b>a</b> "Assets" alternative test - enter					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test - enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

**SEE STATEMENT 10**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed:

**N/A**

**b** The form in which applications should be submitted and information and materials they should include:

**N/A**

**c** Any submission deadlines:

**N/A**

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**N/A**

ERIK E AND EDITH H BERGSTROM FOUNDATION,  
A CHARITABLE TRUST

**Part XV** **Supplementary Information** (continued)

<b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b>				
Recipient  Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b> <b>SEE ATTACHMENT B</b>				1,664,592.
<b>Total</b>				▶ <b>3a</b> 1,664,592.
<b>b Approved for future payment</b> <b>SEE ATTACHMENT B</b>				1,133,693.
<b>Total</b>				▶ <b>3b</b> 1,133,693.





## Capital Gain / (Loss)

	Shares	Sold	Purchase	Proceeds	Basis	Gain/Loss	Debt/Basis %	990T	990PF
<b>NON DEBT FINANCED</b>									
BCV	12,000	8/3/2010	2/4/2008	184,073	224,040	(39,967)		-	(39,967)
BCV	475	8/4/2010	2/4/2008	7,315	8,868	(1,553)		-	(1,553)
BCV	800	8/4/2010	2/4/2008	12,328	14,936	(2,608)		-	(2,608)
BCV	1,000	8/4/2010	2/4/2008	15,440	18,670	(3,230)		-	(3,230)
BCV	800	8/5/2010	2/4/2008	12,363	14,936	(2,573)		-	(2,573)
BCV	4,000	8/5/2010	2/4/2008	61,761	74,680	(12,919)		-	(12,919)
BCV	800	8/5/2010	2/4/2008	12,386	14,936	(2,550)		-	(2,550)
BCV	400	8/5/2010	2/4/2008	6,181	7,468	(1,287)		-	(1,287)
BCV	600	8/17/2010	2/4/2008	9,263	11,202	(1,939)		-	(1,939)
BCV	400	8/17/2010	2/4/2008	6,183	7,468	(1,285)		-	(1,285)
BCV	800	8/17/2010	2/4/2008	12,366	14,936	(2,570)		-	(2,570)
BCV	600	8/17/2010	2/4/2008	9,310	11,202	(1,892)		-	(1,892)
BCV	825	8/19/2010	2/4/2008	12,688	15,403	(2,715)		-	(2,715)
BCV	1,500	8/20/2010	2/4/2008	23,007	28,005	(4,998)		-	(4,998)
BCV	1,200	8/24/2010	2/4/2008	18,387	22,404	(4,017)		-	(4,017)
BCV	800	8/24/2010	2/4/2008	12,262	14,936	(2,675)		-	(2,675)
BCV	600	9/9/2010	2/4/2008	9,338	11,202	(1,864)		-	(1,864)
BCV	450	9/9/2010	2/4/2008	7,009	8,402	(1,393)		-	(1,393)
BCV	500	9/9/2010	2/4/2008	7,786	9,335	(1,549)		-	(1,549)
BCV	700	9/9/2010	2/4/2008	10,924	13,069	(2,145)		-	(2,145)
BCV	750	9/9/2010	2/4/2008	11,701	14,003	(2,302)		-	(2,302)
BCV	3,000	9/10/2010	2/4/2008	46,829	56,010	(9,181)		-	(9,181)
BCV	800	9/14/2010	2/4/2008	12,541	14,936	(2,395)		-	(2,395)
BCV	500	9/14/2010	2/4/2008	7,843	9,335	(1,492)		-	(1,492)
BCV	700	9/20/2010	2/4/2008	11,073	13,069	(1,996)		-	(1,996)
BCV	300	9/20/2010	2/4/2008	4,766	5,601	(835)		-	(835)
BCV	620	9/20/2010	2/4/2008	9,824	11,575	(1,751)		-	(1,751)
BCV	800	9/20/2010	2/4/2008	12,686	14,936	(2,250)		-	(2,250)
BCV	730	9/20/2010	2/4/2008	11,575	13,629	(2,054)		-	(2,054)
BCV	500	9/20/2010	2/4/2008	7,928	9,335	(1,407)		-	(1,407)
BCV	700	9/20/2010	2/4/2008	11,108	13,069	(1,961)		-	(1,961)
BCV	650	9/20/2010	2/4/2008	10,326	12,136	(1,809)		-	(1,809)
BCV	700	9/23/2010	2/4/2008	11,090	13,069	(1,979)		-	(1,979)
BCV	500	9/23/2010	2/4/2008	7,910	9,335	(1,425)		-	(1,425)
BCV	6	9/23/2010	2/4/2008	95	112	(17)		-	(17)
BCV	994	9/24/2010	2/4/2008	15,873	18,558	(2,685)		-	(2,685)
BCV	500	9/24/2010	2/4/2008	7,984	9,335	(1,351)		-	(1,351)
ADX	CGD	12/28/09				339,250		-	339,250
GAM	CGD	12/28/09				12,304		-	12,304
ADX	CGD	12/28/09				254,898		-	254,898
ADX	CGD	03/01/10				11,083		-	11,083
ADX	CGD	03/01/10				14,750		-	14,750
				<b>651,521</b>	<b>784,140</b>	<b>499,665</b>		<b>-</b>	<b>499,665</b>

**DEBT FINANCED**

BCV	500	3/5/2010	2/20/2004	7,569	9,972	(2,403)	100 00%	(2,403)	-
BCV	1,000	3/5/2010	2/20/2004	15,138	19,944	(4,806)	100 00%	(4,806)	-
BCV	100	3/22/2010	2/20/2004	1,555	1,994	(440)	100 00%	(440)	-
BCV	197	3/30/2010	2/20/2004	3,100	3,929	(829)	100 00%	(829)	-
BCV	3	3/30/2010	2/20/2004	47	60	(12)	100 00%	(12)	-
BCV	54	2/22/2010	4/26/2004	499	1,220	(721)	100 00%	(721)	-
BCV	346	2/22/2010	4/27/2004	5,376	6,418	(1,042)	100 00%	(1,042)	-
BCV	500	2/22/2010	4/27/2004	7,408	9,315	(1,907)	100 00%	(1,907)	-
BCV	400	2/23/2010	4/27/2004	5,905	7,420	(1,515)	100 00%	(1,515)	-
BCV	694	2/23/2010	4/27/2004	10,324	13,124	(2,800)	100 00%	(2,800)	-
BCV	6	2/24/2010	4/27/2004	85	111	(27)	100 00%	(27)	-
BCV	200	2/24/2010	4/27/2004	2,977	3,725	(748)	100 00%	(748)	-
BCV	4,500	3/22/2010	4/27/2004	70,627	83,835	(13,208)	100 00%	(13,208)	-
BCV	800	3/30/2010	4/27/2004	12,651	14,904	(2,253)	100 00%	(2,253)	-
BCV	400	3/22/2010	4/27/2004	6,266	7,452	(1,186)	100 00%	(1,186)	-
BCV	1,000	4/6/2010	4/27/2004	15,806	18,630	(2,824)	100 00%	(2,824)	-
BCV	1,000	4/6/2010	4/27/2004	15,811	18,630	(2,819)	100 00%	(2,819)	-
BCV	1,000	4/6/2010	4/27/2004	15,801	18,630	(2,829)	100 00%	(2,829)	-
BCV	1,000	4/29/2010	4/27/2004	16,064	18,630	(2,566)	100 00%	(2,566)	-
BCV	300	4/29/2010	4/27/2004	4,828	5,589	(761)	100 00%	(761)	-

## Capital Gain / (Loss)

	Shares	Sold	Purchase	Proceeds	Basis	Gain/Loss	Debt/Basis %	990T	990PF
BCV	4,000	3/30/2010	4/27/2004	63,218	74,440	(11,222)	100 00%	(11,222)	-
BCV	1200	4/7/2010	10/13/2005	18,886	21,123	(2,237)	100 00%	(2,237)	-
BCV	800	4/7/2010	10/13/2005	12,594	14,082	(1,488)	100 00%	(1,488)	-
BCV	200	4/30/2010	10/13/2005	3,178	3,520	(342)	100 00%	(342)	-
BCV	200	4/29/2010	10/13/2005	3,213	3,520	(308)	100 00%	(308)	-
BCV	350	04/29/10	10/13/2005	5,622	6,195	(573)	100 00%	(573)	-
BCV	250	05/03/10	10/13/2005	4,013	4,425	(412)	100 00%	(412)	-
BCV	552	05/03/10	10/13/2005	8,860	9,759	(899)	100 00%	(899)	-
BCV	448	05/03/10	10/13/2005	7,189	7,921	(732)	100 00%	(732)	-
BCV	400	07/22/10	10/13/2005	6,027	7,064	(1,037)	100 00%	(1,037)	-
BCV	600	07/22/10	10/13/2005	9,066	10,596	(1,530)	100 00%	(1,530)	-
BCV	1	07/22/10	10/21/2005	15	18	(2)	100 00%	(2)	-
BCV	349	07/26/10	10/21/2005	5,314	6,160	(846)	100 00%	(846)	-
BCV	550	08/02/10	10/21/2005	8,437	9,708	(1,271)	100 00%	(1,271)	-
BCV	1,100	07/22/10	10/21/2005	16,599	19,426	(2,827)	100 00%	(2,827)	-
BCV	500	07/22/10	10/21/2005	7,550	8,830	(1,280)	100 00%	(1,280)	-
BCV	500	07/22/10	10/21/2005	7,545	8,830	(1,285)	100 00%	(1,285)	-
BCV	2,000	07/29/10	10/21/2005	30,450	35,445	(4,995)	100 00%	(4,995)	-
BCV	200	05/03/10	3/10/2006	3,209	3,756	(547)	100 00%	(547)	-
BCV	1,500	07/29/10	3/13/2006	22,901	28,130	(5,228)	100 00%	(5,228)	-
BCV	300	07/22/10	3/13/2006	4,527	5,627	(1,100)	100 00%	(1,100)	-
BCV	2,500	04/12/10	3/13/2006	39,629	47,200	(7,571)	100 00%	(7,571)	-
BCV	1500	07/30/10	3/14/2006	22,803	28,190	(5,386)	100 00%	(5,386)	-
BCV	150	07/22/10	3/14/2006	2,263	2,819	(556)	100 00%	(556)	-
BCV	150	07/22/10	3/14/2006	2,268	2,819	(551)	100 00%	(551)	-
BCV	1,000	07/30/10	3/15/2006	15,205	18,740	(3,535)	100 00%	(3,535)	-
BCV	100	07/26/10	3/15/2006	1,526	1,874	(349)	100 00%	(349)	-
BCV	1,200	07/26/10	3/15/2006	18,261	22,622	(4,362)	100 00%	(4,362)	-
BCV	400	07/26/10	3/15/2006	6,085	7,541	(1,456)	100 00%	(1,456)	-
BCV	500	07/26/10	3/15/2006	7,613	9,426	(1,813)	100 00%	(1,813)	-
BCV	200	07/26/10	3/15/2006	3,051	3,771	(719)	100 00%	(719)	-
BCV	300	07/26/10	3/15/2006	4,565	5,656	(1,091)	100 00%	(1,091)	-
BCV	2,500	04/14/10	3/16/2006	39,927	47,131	(7,204)	100 00%	(7,204)	-
BCV	500	12/28/09	3/17/2006	7,513	9,320	(1,807)	100 00%	(1,807)	-
BCV	250	07/26/10	3/17/2006	3,804	4,669	(865)	100 00%	(865)	-
BCV	250	08/02/10	3/17/2006	3,835	4,687	(852)	100 00%	(852)	-
BCV	2,500	12/30/09	3/20/2006	37,594	46,831	(9,237)	100 00%	(9,237)	-
BCV	1,000	12/28/09	3/27/2006	15,022	18,901	(3,879)	100 00%	(3,879)	-
BCV	1,000	12/28/09	3/27/2006	15,025	18,901	(3,875)	100 00%	(3,875)	-
BCV	2,500	12/29/09	3/27/2006	37,540	47,252	(9,712)	100 00%	(9,712)	-
BCV	2,500	12/30/09	3/27/2006	37,539	47,252	(9,713)	100 00%	(9,713)	-
BCV	800	04/29/10	3/27/2006	12,850	15,152	(2,302)	100 00%	(2,302)	-
BCV	700	04/29/10	3/27/2006	11,245	13,258	(2,013)	100 00%	(2,013)	-
BCV	500	08/02/10	3/27/2006	7,674	9,470	(1,796)	100 00%	(1,796)	-
BCV	5000	04/27/10	11/15/2007	80,121	105,056	(24,935)	100 00%	(24,935)	-
BCV	500	02/25/10	11/30/2007	7,464	9,375	(1,911)	100 00%	(1,911)	-
BCV	300	02/26/10	11/30/2007	4,458	5,645	(1,187)	100 00%	(1,187)	-
BCV	700	02/26/10	11/30/2007	10,435	13,125	(2,690)	100 00%	(2,690)	-
BCV	2,500	02/26/10	11/30/2007	37,285	46,711	(9,426)	100 00%	(9,426)	-
BCV	1,000	02/26/10	11/30/2007	14,914	18,706	(3,792)	100 00%	(3,792)	-
BCV	600	02/24/10	11/30/2007	8,930	11,202	(2,272)	100 00%	(2,272)	-
BCV	500	02/24/10	11/30/2007	7,445	9,335	(1,890)	100 00%	(1,890)	-
BCV	1,000	08/24/10	11/30/2007	15,328	18,729	(3,401)	100 00%	(3,401)	-
BCV	1,000	08/24/10	11/30/2007	15,327	18,729	(3,402)	100 00%	(3,402)	-
BCV	400	08/02/10	11/30/2007	6,139	7,488	(1,349)	100 00%	(1,349)	-
BCV	200	02/24/10	11/30/2007	2,977	3,840	(863)	100 00%	(863)	-
BCV	25	08/02/10	11/30/2007	384	470	(86)	100 00%	(86)	-
BCV	275	08/17/10	11/30/2007	4,275	5,173	(897)	100 00%	(897)	-
BCV	1,000	08/24/10	11/30/2007	15,327	18,732	(3,405)	100 00%	(3,405)	-
ADJUST		08/31/10			3,777	(3,777)	100 00%	(3,777)	-
BCV	4,000	11/03/09	11/3/2008	57,879	47,046	10,833	26 61%	2,882	7,951
BCV	4,400	11/03/09	11/14/2008	64,251	50,536	13,715	26 61%	3,649	10,066
BCV	700	12/31/09	11/14/2008	10,374	7,728	2,646	24 75%	655	1,991
BCV	88	01/31/10	11/14/2008	1,358	1,271	86	23 20%	20	66
BCV	119	01/31/10	12/2/2008	1,836	1,281	555	23 20%	129	426
BCV	1,000	01/31/10	12/2/2008	15,430	10,765	4,665	23 20%	1,082	3,583
BCV	193	01/31/10	12/2/2008	2,978	2,078	900	23 20%	209	692
BCV	1,000	01/31/10	12/17/2008	15,430	10,416	5,014	23 20%	1,163	3,851



## Capital Gain / (Loss)

	Shares	Sold	Purchase	Proceeds	Basis	Gain/Loss	Debt/Basis %	990T	990PF
BCV	3,000	01/31/10	12/18/2008	46,290	30,936	15,354	23 20%	3,562	11,791
BCV	500	12/31/09	12/18/2008	7,370	5,080	2,290	24 75%	567	1,723
BCV	1	01/31/10	12/19/2008	15	16	(1)	23 20%	(0)	(1)
BCV	999	01/31/10	12/19/2008	15,414	10,300	5,115	23 20%	1,187	3,928
BCV	1,000	12/31/09	12/19/2008	14,811	10,180	4,631	24 75%	1,146	3,485
BCV	1,300	12/31/09	12/22/2008	19,296	13,149	6,147	24 75%	1,521	4,626
BCV	300	12/31/09	12/22/2008	4,416	3,048	1,368	24 75%	339	1,029
BCV	593	01/31/10	12/22/2008	9,150	6,055	3,095	23 20%	718	2,377
BCV	7	01/31/10	12/22/2008	108	71	37	23 20%	8	28
BCV	100	01/31/10	12/22/2008	1,543	1,021	522	23 20%	121	401
BCV	700	01/31/10	12/22/2008	10,801	7,147	3,654	23 20%	848	2,806
BCV	800	01/31/10	12/22/2008	12,344	8,088	4,256	23 20%	987	3,269
BCV	200	01/31/10	12/22/2008	3,086	2,022	1,064	23 20%	247	817
BCV	1,000	01/31/10	12/22/2008	15,430	10,060	5,370	23 20%	1,246	4,124
BCV	4,000	12/31/09	12/23/2008	58,551	41,246	17,305	24 75%	4,282	13,022
BCV	1,700	12/31/09	12/24/2008	25,108	17,278	7,830	24 75%	1,938	5,893
BCV	100	10/30/09	12/24/2008	1,463	1,013	450	29 26%	132	318
BCV	200	01/31/10	12/24/2008	3,086	2,025	1,061	23 20%	246	815
BCV	87	10/30/09	1/12/2009	1,273	1,041	232	29 26%	68	164
BCV	913	10/30/09	1/12/2009	13,360	10,874	2,486	29 26%	727	1,759
BCV	500	10/30/09	1/12/2009	7,320	5,895	1,425	29 26%	417	1,008
BCV	500	12/31/09	1/12/2009	7,303	5,895	1,408	24 75%	348	1,059
GAM	CGD	12/28/09				12,304	100 00%	12,304	-
ADX	CGD	12/28/09				567,353	24 75%	140,397	426,955
CET	CGD	12/28/09				58,900	24 75%	14,575	44,325
ADX	CGD	03/01/10				24,668	23 07%	5,691	18,977
CET	CGD	07/12/10				6,460	22 75%	1,469	4,991
ADJUST		09/30/10				(10,840)	22 75%	(2,466)	(8,374)
ADJUST		09/30/10				126,319	22 75%	28,735	97,584
						<u>1,496,966</u>		<u>(638)</u>	<u>677,526</u>
						<u>2,148,487</u>		<u>(638)</u>	<u>1,177,191</u>

public charity exempt under Sec 501 (c)(3) of the Internal Revenue Code of the United States of America as a publicly supported charity under Sec 509 (a)(1) and defined in Sec. 170(b)(1)(A)(vi) of the Internal Revenue Code of the United States of America in order that BF may include the grant as a qualifying distribution in satisfaction of BF's minimum distribution requirements because (i) Mexfam is an organization that qualifies under Article 97 special authorization of the Income Tax Laws of the United Mexican States to issue tax-deductible receipts; and (ii) Article 17 of the Protocol adopted pursuant to Article 22, paragraph 2 of the Mexico-United States Income Tax Treaty provides that a Mexican charitable organization that so qualifies shall be treated as the equivalent of an organization described in Section 509(a)(1) of the Code

Purpose of Grant or Contribution:

These grants were made to help support activities in Mexico to expand and improve services for reproductive health and for incentives for promoters in the rural areas

4 ) Grupo de Información en Reproducción Elegida, A C (GIRE)

Viena 160, Colonia del Carmen Coyoacan  
C P. 04100

México D F , México

Amount of grants approved ... ..	\$ 25,000 00
Amount paid during the year . . . . .	\$ 22,000.00
Amount to be paid as of 9/30/10 . . . . .	\$ 3,000.00

Foundation Status of Recipient:

A contribution of \$25,000 00 was made to Grupo de Información en Reproducción Elegida, A C (GIRE), a Mexican charitable organization, which is considered to be equivalent to a United States public charity exempt under Sec 501 (c)(3) of the Internal Revenue Code of the United States of America as a publicly supported charity under Sec 509 (a)(1) and defined in Sec 170(b)(1)(A)(vi) of the Internal Revenue Code of the United States of America in order that BF may include the grant as a qualifying distribution in satisfaction of BF's minimum distribution requirements because (i) GIRE is an organization that qualifies under Article 97 special authorization of the Income Tax Laws of the United Mexican States to issue tax-deductible receipts, and (ii) Article 17 of the Protocol adopted pursuant to Article 22, paragraph 2 of the Mexico-United States Income Tax Treaty provides that a Mexican charitable organization that so qualifies shall be treated as the equivalent of an organization described in Section 509(a)(1) of the Code

Purpose of Grant or Contribution:

This grant will be used for the project on state level judicial strategies in Mexico

5 ) International Planned Parenthood Federation

120 Wall Street, New York, NY 10005

Amount of grants approved . . . . .	\$ 690,000.00
Amount paid during the year. . . . .	\$ 128,500 00
Amount to be paid as of 9/30/10 .. . . .	\$ 561,500 00

Foundation Status of Recipient

A contribution of \$690,000 00 was made to the grant of \$1,926 659 00 approved to International Planned Parenthood Federation in 2005 International Planned Parenthood Federation is a publicly supported charity under Sec. 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public.

Purpose of Grant or Contribution

These funds provide partial support to increase access to contraception in Bolivia

- 6 ) Ipas  
300 Market Street, Suite 200, Chapel Hill, NC 27516
- |   |               |
|---|---------------|
| Amount of grant approved .. . . . . .   | \$ 255,000.00 |
| Amount paid during the year... . . . .  | \$ 195,000 00 |
| Amount to be paid as of 9/30/10.. . . . | \$ 60,000 00  |

Foundation Status of Recipient

A contribution of \$255,000 00 was made to Ipas which is listed in the Internal Revenue Service Publication 78 Ipas is a publicly supported charity under Sec 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public.

Purpose of Grant or Contribution

These funds are being used to fund part of the expenditures by Ipas for Latin American field operations to improve reproductive health services

- 7.) International Women's Health Coalition  
24 East 21 Street, New York, NY 10010
- |  |               |
|--|---------------|
| Amount of grant approved .. . . . . .    | \$ 125,000 00 |
| Amount paid during the year .. . . . . . | \$ 62,500.00  |
| Amount to be paid as of 9/30/10. . . . . | \$ 62,500 00  |

Foundation Status of Recipient

A grant of \$125,000 00 was approved to International Women's Health Coalition which is listed in the Internal Revenue Service Publication 78 International Women's Health Coalition is a publicly supported charity under Sec 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec. 501(c)(3) of the Internal Revenue Code It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public

Purpose of Grant or Contribution

These funds are being used by the International Women's Health Coalition to support work in Brazil and Peru to expand and improve reproductive health care

- 8 ) Philanthropic Ventures Foundation  
1222 Preservation Park Way Oakland, CA 94612-1201
- |  |              |
|--|--------------|
| Amount of grant approved .. . . . . .    | \$211,000 00 |
| Amount paid during the year . . . . .    | \$211,000 00 |
| Amount to be paid as of 9/30/10 .. . . . | \$ 0 00      |

Foundation Status of Recipient

A grant of \$211,000 00 was approved to Philanthropic Ventures Foundation which is listed in the Internal Revenue Service Publication 78 Philanthropic Ventures Foundation is a publicly supported charity under Sec 509(a)(1) and defined in Sec. 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the

Internal Revenue Code. It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public

Purpose of Grant or Contribution

These funds provide support for the improvement of reproductive health in Latin America

- 9 ) Planned Parenthood Federation of America, Inc  
810 Seventh Ave , New York, NY 10019
- |   |              |
|---|--------------|
| Amount of grant approved . . . . .        | \$316,775 00 |
| Amount paid during the year . . . . .     | \$316,775 00 |
| Amount to be paid as of 9/30/10 . . . . . | \$ 0 00      |

Foundation Status of Recipient

A grant of \$316,775 00 was approved to Planned Parenthood Federation of America, Inc which is listed in the Internal Revenue Service Publication 78 Planned Parenthood Federation of America, Inc is a publicly supported charity under Sec. 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public

Purpose of Grant or Contribution

These funds provide partial support for the provision of family planning and reproductive health services in Costa Rica, Ecuador, Guatemala, Nicaragua and Peru

- 10 ) Population Connection  
2120 L Street NW, Suite 500  
Washington, DC 20037
- |  |              |
|--|--------------|
| Amount of grant approved . . . . .       | \$ 25,500 00 |
| Amount paid during the year . . . . .    | \$ 12,750 00 |
| Amount to be paid as of 9/30/10. . . . . | \$ 12,750 00 |

Foundation Status of Recipient

A grant of \$25,500 00 was approved to Population Connection which is listed in the Internal Revenue Service Publication 78 Population Connection is a publicly supported charity under Sec 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code It is not a private foundation as defined in Sec. 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public

Purpose of Grant or Contribution

These funds will fund the World of 7 Billion Website and the World of 7 Billion Student Contest .

- 11.) Women's International Network for Guatemalan Solutions, Inc  
793 Ashbury Street

San Francisco, CA 94117

Amount of grant approved.. . . . .	\$125,000 00
Amount paid during the year . . . . .	\$ 93,750 00
Amount to be paid as of 9/30/10. . . . .	\$ 31,250 00

Foundation Status of Recipient

A grant of \$125,000.00 was approved to Women's International Network for Guatemalan Solutions ("Wings") which is listed in the Internal Revenue Service Publication 78 Population Services International is a publicly supported charity under Sec 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public

Purpose of Grant or Contribution

These funds are being used to support the provision of family planning in Guatemala

**Grants paid during the year approved prior to October 1, 2009**

1.) Asociación Pro-Bienestar de la Familia de Guatemala

9a Calle0-57, Zona 1

Guatemala City, Guatemala

Amount of grant approved . . . . .	\$2,436,435 12
Amount paid during the year . . . . .	\$ 215,994.00
Amount to be paid as of 9/30/10. . . . .	\$ 0 00

Foundation Status of Recipient:

A grant of \$2,436,435.12 was approved to the Asociación Pro-Bienestar de la Familia de Guatemala ("APROFAM"), a Guatemalan charitable organization, which is the Member Association in Guatemala of the International Planned Parenthood Federation. APROFAM is **not** a U.S. section 501(c)(3) organization that is described in section 509(a)(1) of the Internal Revenue Code ("Code"). This is an expenditure responsibility grant.

Purpose of Grant or Contribution:

This grant was approved to help support activities in Guatemala to expand and improve services for reproductive health in the Departments of Peten, Alta Verapaz, Baja Verapaz, Solola, Quetzaltenango, San Marcos, Huehuetenango, Totonicapan and Quiche.

2 ) Fundación Mexicana para la Planeación Familiar, A C

Juárez 208, Tlalpan 14000, México D F.

Amount of grant approved . . . . .	\$ 408,303 96
Amount paid during the year . . . . .	\$ 257,587 10
Amount to be paid as of 9/30/10 . . . . .	\$ 0 00

Foundation Status of Recipient

A contribution of \$408,303.96 was made to Fundación Mexicana para la Planeación Familiar, A C ("Mexfam"), a Mexican charitable organization, which is the Member Association in Mexico of the International Planned Parenthood Federation. Mexfam is considered to be equivalent to a United States public charity exempt under Sec 501 (c)(3) of the Internal Revenue Code of the United States of America as

a publicly supported charity under Sec 509 (a)(1) and defined in Sec 170(b)(1)(A)(vi) of the Internal Revenue Code of the United States of America in order that BF may include the grant as a qualifying distribution in satisfaction of BF's minimum distribution requirements because (i) Mexfam is an organization that qualifies under Article 97 special authorization of the Income Tax Laws of the United Mexican States to issue tax-deductible receipts, and (ii) Article 17 of the Protocol adopted pursuant to Article 22, paragraph 2 of the Mexico-United States Income Tax Treaty provides that a Mexican charitable organization that so qualifies shall be treated as the equivalent of an organization described in Section 509(a)(1) of the Code

Purpose of Grant or Contribution:

These grants were made to help support activities in Mexico to expand and improve services for reproductive health and for incentives for promoters in the rural areas

3 ) International Planned Parenthood Federation

120 Wall Street, New York, NY 10005

Amount of grants approved . . . . .	\$ 500,000 00
Amount paid during the year . . . . .	\$ 166,666 00
Amount to be paid as of 9/30/10 . . . . .	\$ 83,000 00

Foundation Status of Recipient:

A grant of \$1,190,000 00 was approved to International Planned Parenthood Federation which is listed in the Internal Revenue Service Publication 78 International Planned Parenthood Federation is a publicly supported charity under Sec 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public

Purpose of Grant or Contribution

These funds provide partial support to increase access to post abortion care and contraception in Barbados, Bolivia, Colombia, Mexico, Peru, Puerto Rico, Trinidad, Uruguay and Venezuela

4 ) Ipas

300 Market Street, Suite 200, Chapel Hill, NC 27516

Amount of grant approved . . . . .	\$ 180,000 00
Amount paid during the year . . . . .	\$ 60,000 00
Amount to be paid as of 9/30/10 . . . . .	\$ 0 00

Foundation Status of Recipient:

A contribution of \$180,000 00 was made to Ipas which is listed in the Internal Revenue Service Publication 78 Ipas is a publicly supported charity under Sec 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec 501(c)(3) of the Internal Revenue Code It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public.

Purpose of Grant or Contribution:

These funds are being used to fund part of the expenditures by Ipas for Latin American field operations to improve reproductive health services

5 ) Pathfinder International, Suite 217

Nine Galen Street, Watertown, MA 02172

Amount of grant approved ...	150,000 00
Amount paid during the year	\$ 30,000 00
Amount to be paid as of 9/30/10	\$ 0 00

Foundation Status of Recipient

A contribution of \$150,000 00 was made to Pathfinder International which is listed in the Internal Revenue Service Publication 78. Pathfinder International is a publicly supported charity under Sec 509(a)(1) and defined in Sec 170(b)(1)(A)(vi) and is an organization exempt under Sec. 501(c)(3) of the Internal Revenue Code. It is not a private foundation as defined in Sec 509(a) of the Internal Revenue Code, nor an operating foundation, but is an organization that normally receives a substantial part of its support from a government unit or from the general public.

Purpose of Grant or Contribution

This grant was made to fund a contraceptive supply security project in Bolivia and Peru

Total grants approved	\$2,798,285 23
Total grants paid during the year	\$1,664,591 90
Total grants approved (during the year) to be paid as of 9/30/10.	\$1,133,693 33

Total grants approved (prior years) to be paid	\$ 1,158,295.57
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ERIK E. AND EDITH H. BERGSTROM FOUNDATION,  
A CHARITABLE TRUST

91-2155835

FORM 990-PF, PART VII-B, LINE 1a(3) - SELF-DEALING ACTIVITIES

OFFICE SPACE WAS FURNISHED, WITHOUT CHARGE, BY THIS FOUNDATION'S  
MANAGER.

REFERENCE: INTERNAL REVENUE SERVICE REGULATION SECTION 53.4941 (d)-2



Grantee Name & Award Date	Grant Amount in Q	Grant Purpose / Project Description	Q Amount Expended by Grantee	to the Grantor's Knowledge, Grantee Has Diverted a	Date of Reports Received from Grantee	Dates and Results of Any Verification of the Grantee's Reports
Asociación Pro-Bienestar de la Familia de Guatemala (APROFAM) 9a Calle 57 Zona 1, Guatemala City, Guatemala	14-Dec-09 5,725,400.00	Help support activities in Guatemala to expand and improve services for reproductive health in the departments of the Peten, Alta Verapaz, Baja Verapaz, San Marcos, Quetzaltenango, Huehuetenango, Solola, Totonicapan, Quiché and Izabal	2,076,772.23	No	4/30/2010 7/30/2010 10/31/2010 1/31/11	Copies of Aprofam/s audited financial reports APROFAM is the Member Association in Guatemala of the International Planned Parenthood Federation Verification trip scheduled for April, 2011 by Sarah J. Holcombe, Program Officer of the Foundation, fluent in Spanish.

#### Grant Amount

A grant for 24 months was approved on December, 2009 in the amount of Q5,725,400 to be paid in quarterly installments contingent upon certain requirements During fiscal year 2010 Q3,021,150 was granted

#### Amount Expended by grantee

In fiscal years 2010 Q2,076,772.23 were spent from the grant approved on November, 2009

FORM 990-PF		DIVIDENDS AND INTEREST FROM SECURITIES		STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT		
DIVIDEND INCOME	1,594,475.	0.	1,594,475.		
TOTAL TO FM 990-PF, PART I, LN 4	1,594,475.	0.	1,594,475.		

FORM 990-PF		ACCOUNTING FEES		STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	29,980.	14,990.		14,990.	
TO FORM 990-PF, PG 1, LN 16B	29,980.	14,990.		14,990.	

FORM 990-PF		TAXES		STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
CURRENT FEDERAL EXCISE TAX	26,341.	0.		0.	
DEFERRED FEDERAL EXCISE TAX	33,952.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	60,293.	0.		0.	

FORM 990-PF		OTHER EXPENSES		STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
DSL/TELEPHONE EXPENSES	566.	283.		283.	
OFFICE SUPPLIES	2,227.	1,114.		1,113.	
MEMBERSHIPS	4,244.	0.		4,244.	
POSTAGE	1,159.	579.		580.	
COMPUTER NETWORK EXPENSE	15,695.	7,848.		7,848.	
OTHER MISCELLANEOUS EXPENSES	1,174.	587.		587.	

ERIK E AND EDITH H BERGSTROM FOUNDATION,			91-2155835
INVESTMENT MANAGEMENT FEES	55,085.	41,307.	0.
TO FORM 990-PF, PG 1, LN 23	80,150.	51,718.	14,655.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	5
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DESCRIPTION	AMOUNT
UNREALIZED GAIN	2,395,212.
PRESENT VALUE ADJUSTMENT ON REMINDER INTEREST IN REAL PROPERTY	186,798.
TOTAL TO FORM 990-PF, PART III, LINE 3	2,582,010.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
ADAMS EXPRESS COMPANY	50,197,000.	50,197,000.
BANCROFT CONVERTIBLE FUND, INC	812,000.	812,000.
CENTRAL SECURITIES CORP.	3,777,200.	3,777,200.
GENERAL AMERICAN INVESTORS	3,656,250.	3,656,250.
LATIN AMERICA EQUITY FUND	906,000.	906,000.
SOURCE CAPITAL INC.	6,268,725.	6,268,725.
TOTAL TO FORM 990-PF, PART II, LINE 10B	65,617,175.	65,617,175.

FORM 990-PF	OTHER ASSETS	STATEMENT	7
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
UNRELATED BUSINESS INCOME TAXES RECEIVABLE	50,000.	50,000.	50,000.
FEDERAL EXCISE TAXES RECEIVABLE	46,000.	46,000.	46,000.
DEFERRED FEDERAL EXCISE TAX ASSET	248,645.	214,693.	214,693.
LONG TERM CONTRIBUTIONS RECEIVABLE	3,804,353.	3,991,151.	3,991,151.
TO FORM 990-PF, PART II, LINE 15	4,148,998.	4,301,844.	4,301,844.

FORM 990-PF

OTHER LIABILITIES

STATEMENT 8

DESCRIPTIONBOY AMOUNTEOY AMOUNT

MARGIN DEBT LIABILITY

20,940,139.

19,084,401.

TOTAL TO FORM 990-PF, PART II, LINE 22

20,940,139.

19,084,401.

FORM 990-PF

PART XV - LINE 1A  
LIST OF FOUNDATION MANAGERS

STATEMENT 10

## NAME OF MANAGER

ERIK E. BERGSTROM  
EDITH H. BERGSTROM