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Form **990-EZ****Short Form
Return of Organization Exempt From Income Tax**

OMB No 1545-1150

2009**Open to Public
Inspection**Department of the Treasury
Internal Revenue Service

- Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code
(except black lung benefit trust or private foundation)
- Sponsoring organizations of donor advised funds and controlling organizations as defined in section 512(b)(13) must file Form 990. All other organizations with gross receipts less than \$500,000 and total assets less than \$1,250,000 at the end of the year may use this form.
- The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2009 calendar year, or tax year beginning **10/01/09**, and ending **09/30/10****B** Check if applicable:

- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Termination
- ☐ Amended return
- ☐ Application pending

Please
use IRS
label or
print or
type
See
Specific
Instruc-
tions.**C** Name of organization**CABALLEROS DE VARGAS**

Number and street (or P.O. box, if mail is not delivered to street address)

PO BOX 1403

Room/suite

City or town, state or country, and ZIP + 4

SANTA FE**NM 87504****D** Employer identification number**85-6011841****E** Telephone number**505-424-8526****F** Group Exemption

Number



- **Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).**

G Accounting method ☒ Cash ☐ Accrual

Other (specify) ►

I Website: ► **N/A****J** Tax-exempt status (check only one) — ☒ 501(c) (**4**) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

H Check ☒ if the organization is not required to attach Schedule B (Form 990, 990-EZ, or 990-PF)

K Check ☐ if the organization is not a section 509(a)(3) supporting organization and its gross receipts are normally not more than \$25,000. A Form 990-EZ or Form 990 return is not required, but if the organization chooses to file a return, be sure to file a complete return.

L Add lines 5b, 6b, and 7b, to line 9 to determine gross receipts, if \$500,000 or more, file Form 990 instead of Form 990-EZ. ► \$ **66,257****Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances** (See the instructions for Part I)

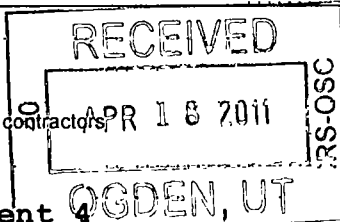
1	Contributions, gifts, grants, and similar amounts received	1	1,651
2	Program service revenue including government fees and contracts	2	
3	Membership dues and assessments	3	1,495
4	Investment income	4	27,428
5a	Gross amount from sale of assets other than inventory	5a	35,683
b	Less cost or other basis and sales expenses	5b	44,292
c	Gain or (loss) from sale of assets other than inventory (Subtract line 5b from line 5a)	5c	-8,609
6	Special events and activities (complete applicable parts of Schedule G) If any amount is from gaming, check here <input type="checkbox"/>		See Stmt 3
a	Gross revenue (not including \$ _____ of contributions reported on line 1)	6a	
b	Less direct expenses other than fundraising expenses	6b	
c	Net income or (loss) from special events and activities (Subtract line 6b from line 6a)	6c	
7a	Gross sales of inventory, less returns and allowances	7a	
b	Less cost of goods sold	7b	
c	Gross profit or (loss) from sales of inventory (Subtract line 7b from line 7a)	7c	
8	Other revenue (describe ► _____)	8	
9	Total revenue. Add lines 1, 2, 3, 4, 5c, 6c, 7c, and 8	9	21,965
10	Grants and similar amounts paid (attach schedule)	10	4,640
11	Benefits paid to or for members	11	
12	Salaries, other compensation, and employee benefits	12	
13	Professional fees and other payments to independent contractors	13	850
14	Occupancy, rent, utilities, and maintenance	14	
15	Printing, publications, postage, and shipping	15	
16	Other expenses (describe ► See Statement 4)	16	38,067
17	Total expenses. Add lines 10 through 16	17	43,557
18	Excess or (deficit) for the year (Subtract line 17 from line 9)	18	-21,592
19	Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree with end-of-year figure reported on prior year's return)	19	596,550
20	Other changes in net assets or fund balances (attach explanation) See Statement 5	20	-62,849
21	Net assets or fund balances at end of year. Combine lines 18 through 20	21	512,109

Part II Balance Sheets. If Total assets on line 25, column (B) are \$1,250,000 or more, file Form 990 instead of Form 990-EZ.

(See the instructions for Part II)

	(A) Beginning of year	(B) End of year
22 Cash, savings, and investments	231,163	244,542
23 Land and buildings	365,387	267,567
24 Other assets (describe ► _____)		
25 Total assets	596,550	512,109
26 Total liabilities (describe ► _____)	0	0
27 Net assets or fund balances (line 27 of column (B) must agree with line 21)	596,550	512,109

For Privacy Act and Paperwork Reduction Act Notice, see the separate instructions.

Form **990-EZ** (2009)

Part V Other Information (Note the statement requirements in the instructions for Part V.)

	Yes	No
33 Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity		X
34 Were any changes made to the organizing or governing documents? If "Yes," attached a conformed copy of the changes		X
35 If the organization had income from business activities, such as those reported on lines 2, 6a, and 7a (among others), but not reported on Form 990-T, attach a statement explaining why the organization did not report the income on Form 990-T		
a Did the organization have unrelated business gross income of \$1,000 or more or was it subject to section 6033(e) notice, reporting, and proxy tax requirements?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
36 Did the organization undergo a liquidation, dissolution, termination, or significant disposition of net assets during the year? If "Yes," complete applicable parts of Schedule N		X
37a Enter amount of political expenditures, direct or indirect, as described in the instr 37a		
b Did the organization file Form 1120-POL for this year?		X
38a Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee or were any such loans made in a prior year and still outstanding at the end of the period covered by this return?		X
b If "Yes," complete Schedule L, Part II and enter the total amount involved 38b		
39 Section 501(c)(7) organizations Enter		
a Initiation fees and capital contributions included on line 9 39a		
b Gross receipts, included on line 9, for public use of club facilities 39b		
40a Section 501(c)(3) organizations Enter amount of tax imposed on the organization during the year under section 4911 40a , section 4912 40a , section 4955 40a		
b Section 501(c)(3) and 501(c)(4) organizations Did the organization engage in any section 4958 excess benefit transaction during the year or is it aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I 40b		X
c Section 501(c)(3) and 501(c)(4) organizations Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 40c		
d Section 501(c)(3) and 501(c)(4) organizations Enter amount of tax on line 40c reimbursed by the organization 40d		
e All organizations At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If "Yes," complete Form 8886-T 40e		X
41 List the states with which a copy of this return is filed 41 None		
42a The organization's books are in care of 42a R. GREGORY FERNANDEZ Telephone no 42a 505-424-8526 2606 ALAMOSA DR. Located at 42a SANTA FE, NM ZIP + 4 42a 87505		
b At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? 42b		X
If "Yes," enter the name of the foreign country 42b		
See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
c At any time during the calendar year, did the organization maintain an office outside of the U S ? 42c		X
If "Yes," enter the name of the foreign country 42c		
43 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of Form 1041—Check here 43		
and enter the amount of tax-exempt interest received or accrued during the tax year 43		
44 Did the organization maintain any donor advised funds? If "Yes," Form 990 must be completed instead of Form 990-EZ 44		X
45 Is any related organization a controlled entity of the organization within the meaning of section 512(b)(13)? If "Yes," Form 990 must be completed instead of Form 990-EZ 45		X

Part VI Section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts only. All section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts must answer questions 46-49b and complete the tables for lines 50 and 51.

	Yes	No
46 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	46	
47 Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II	47	
48 Is the organization operating a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	48	
49a Did the organization make any transfers to an exempt non-charitable related organization?	49a	
b If "Yes," was the related organization a section 527 organization?	49b	

50 Complete this table for the organization's five highest compensated employees (other than officers, directors, trustees and key employees) who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

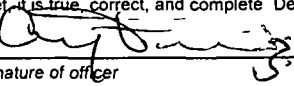
(a) Name and address of each employee paid more than \$100,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances

f Total number of other employees paid over \$100,000 ▶

51 Complete this table for the organization's five highest compensated independent contractors who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and address of each independent contractor paid more than \$100,000	(b) Type of service	(c) Compensation

d Total number of other independent contractors each receiving over \$100,000 ▶

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.			
	 Signature of officer R. GREGORY FERNANDEZ Type or print name and title		Date 4/13/2011 TREASURER	
Paid Preparer's Use Only	Preparer's signature	Edwin S. Fernandez, CPA/PFS	Date	Preparer's Identifying Number (See instr.)
	Firm's name (or yours if self-employed), address, and ZIP + 4	Legacy Financial Group 9400 Holly Ave NE Bldg 4 Albuquerque, NM 87122-2969	Check if self-employed <input type="checkbox"/>	P00463777
	EIN	85-0471868	Phone	505-839-0224
May the IRS discuss this return with the preparer shown above? See instructions ▶ <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No				

Depreciation and Amortization
(Including Information on Listed Property)

OMB No 1545-0172

2009Attachment
Sequence No **67**

▶ See separate instructions.

▶ Attach to your tax return.

Name(s) shown on return

CABALLEROS DE VARGAS

Identifying number

85-6011841

Business or activity to which this form relates

Indirect Depreciation**Part I Election To Expense Certain Property Under Section 179****Note:** If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount See the instructions for a higher limit for certain businesses	1	250,000
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	800,000
4	Reduction in limitation Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1. If zero or less, enter -0- If married filing separately, see instructions	5	
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property Enter the amount from line 29	7	
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2008 Form 4562	10	
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2010 Add lines 9 and 10, less line 12	13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V**Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property) (See instr.)**

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)**Section A**

17	MACRS deductions for assets placed in service in tax years beginning before 2009	17	13,286
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here		

Section B—Assets Placed in Service During 2009 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only—see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27 5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	

Section C—Assets Placed in Service During 2009 Tax Year Using the Alternative Depreciation System

20a Class life				S/L	
b 12-year			12 yrs	S/L	
c 40-year			40 yrs	MM	S/L

Part IV Summary (See instructions.)

21	Listed property Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations—see instructions	22	13,286
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

For Paperwork Reduction Act Notice, see separate instructions.

Form **4562** (2009)

CABALLEROSD CABALLEROS DE VARGAS

85-6011841

Federal Statements

FYE: 9/30/2010

Statement 1 - Form 990-EZ, Part I, Line 3 - Membership Dues and Assessments

<u>Description</u>	<u>Amount</u>
MEMBERSHIP DUES	\$ <u>1,495</u>
Total	\$ <u><u>1,495</u></u>

CABALLEROSD CABALLEROS DE VARGAS

85-6011841

FYE: 9/30/2010

Federal Statements

Statement 2 - Form 990-EZ, Part I, Line 5c - Sale of Assets Other than Inventory -
Securities

Description		How Received	Whom Sold	Date Acquired	Date Sold	Sale Price	Cost & Expense	Depreciation	Gain / Loss
260.787 SHARES OF COLUMBIA ACORN FUN		Purchase		Various	9/22/10	\$ 6,209	\$ 6,225	\$	-16
EATON VANCE DIVIDEND BLDR FUND		Purchase		Various	9/22/10				
EATON VANCE FLTG RATE FUND		Purchase		Various	9/22/10	143	141		2
WELLS FARGO ADVANTAGE HIGH INC FND		Purchase		Various	9/22/10	559	539		20
WELLS FARGO ADVANTAGE DISCIPLINED VA		Purchase		Various	9/22/10	141	138		3
WELLS FARGO FDS TR DIVERSIFIED INTL		Purchase		12/21/09	9/22/10	30	29		1
EATON VANCE DIVIDEND BUILDER FND		Purchase		Various	8/24/10	7	11		-4
EATON VANCE FLTG RATE FUND		Purchase		Various	9/22/10	3,512	3,875		-363
WELLS FARGO ADVANTAGE HIGH INCOME FD		Purchase		Various	9/22/10	7,989	8,474		-485
WELLS FARGO ADVANTAGE DISCIPLINED VA		Purchase		Various	9/22/10	3,008	6,345		-3,337
WELLS FARGO ADVANTAGE PERMIER LARGE		Purchase		Various	9/22/10	5,672	5,745		-73
WELLS FARGO ADVANTAGE CAP GROWTH FD		Purchase		Various	9/22/10	4,624	7,663		-3,039
WELLS FARGO ADVANTAGE LARGE CO GROWT		Purchase		Various	7/16/10				
WELLS FARGO ADV. DIVERSIFIED INTL FD		Purchase		Various	9/22/10	3,753	5,107		-1,354
Total						\$ 35,647	\$ 44,292	\$ 0	\$ -8,645

CABALLEROSD CABALLEROS DE VARGAS

85-6011841

FYE: 9/30/2010

Federal Statements

Statement 3 - Form 990-EZ, Part I, Line 5c - Sale of Assets Other than Inventory - Other

Description		How Received	Whom Sold	Date Acquired	Date Sold	Sale Price	Cost & Expense	Depreciation	Gain / Loss
WELLS FARGO						\$ 36	\$		36
Total						\$ 36	\$ 0	\$ 0	36

Statement 4 - Form 990-EZ, Part I, Line 16 - Other Expenses

Description	Amount
Expenses	\$
Procession Picnic	1,065
Knighting Celebration	6,581
Caballero Breakfast Celeb	2,320
Caballero Picnic	1,613
Entrada Expenses	1,213
Gift Baskets for the Need	2,034
Dues	1,434
Gifts	479
Float Committe Expenses	444
Meals	551
Office Expenses	542
Utilities	50
Postage	141
Supplies	5,017
Retreat Expenses	26
Travel	130
Tres Reyes Magos	931
IRS FEES	180
TAXES	30
Investment Depreciation	13,286
Total	\$ 38,067

Statement 5 - Form 990-EZ, Part I, Line 20 - Other Changes in Net Assets or Fund Balances

Description	Amount
PRIOR YEARS ACCUM DEPR. & ADJ TO CASH	\$ -62,849
Total	\$ -62,849

Statement 6 - Form 990-EZ, Part III - Organization's Primary Exempt Purpose**Description**

The Caballeros de Vargas were founded in 1956 as a service organization to the Catholic Church. The purpose of the Caballeros is to (1) perpetuate the memory of Don Diego de Vargas, (2) honor Our Lady, La Conquistadora, Our Lady of Peace by carrying, protecting and escorting her on visits to parishes or religious activities from requesting parishes and communities, and (3) promote the Hispanic-Christian culture of Santa Fe and its history.

Statement 7 - Form 990-EZ, Part III, Line 28 - Statement of Program Service Accomplishments**Description**

We provided 74 Holiday baskets to the needy for Thanksgiving and Christmas, we promoted Catholic education through monetary donations to Catholic churches and to Santo Nino Catholic Elementary School and St. Michael's High School, and donations to the Catholic Church and Search for Christain Maturity, produced a historical and cultural community play "Tres Reyes Magos", Re-enacted the peaceful arrival of Don Diego De Vargas into Santa Fe, Knighted new Caballeros into organization, kept prayer procession and vespers per the 1712 proclomation.

Forms 990 / 990-EZ Return SummaryFor calendar year 2009, or tax year beginning **10/01/09**, and ending **09/30/10****85-6011841****CABALLEROS DE VARGAS****Net Asset / Fund Balance at Beginning of Year** **596,550****Revenue**

Contributions	<u>1,651</u>
Program service revenue	<u>1,495</u>
Investment income	<u>27,428</u>
Capital gain / loss	<u>-8,609</u>

Special events

Gross revenue

Direct expenses

Net income

Other income

Total revenue**21,965****Expenses**

Program services

Management and general

Fundraising

Total expenses**43,557****Excess / (deficit)****-21,592**

Other changes

-62,849**Net Asset / Fund Balance at End of Year****512,109****Reconciliation of Revenue**

Total revenue per financial statements

Less

Unrealized gains

Donated services

Recoveries

Other

Plus

Investment expenses

Other

Total revenue per return**Reconciliation of Expenses**

Total expenses per financial statements

Less

Donated services

Prior year adjustments

Losses

Other

Plus

Investment expenses

Other

Total expenses per return**Balance Sheet**

	Beginning	Ending	Differences
Assets	<u>596,550</u>	<u>512,109</u>	
Liabilities			
Net assets	<u>596,550</u>	<u>512,109</u>	<u>-84,441</u>

Miscellaneous Information

Amended return

Return / extended due date **05/15/11**

Failure to file penalty