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**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation****2009**Department of the Treasury
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning Oct 1 , 2009, **and ending** Sep 30 , 2010**G** Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation J. Marion Sims Foundation, Inc.		A Employer identification number 57-0355295
	Number and street (or P O box number if mail is not delivered to street address) Room/suite Post Office Box 818		B Telephone number (see the instructions) (803) 286-8772
	City or town State ZIP code Lancaster SC 29721-0868		C If exemption application is pending, check here ☐
			D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 62,070,849.		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc., received (att sch)				
	2 Ck ☒ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	1,465,625.	1,465,625.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		4,446,677.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule) See Line 11 Stmt	2,144,888.				
12 Total. Add lines 1 through 11	3,610,513.	5,912,302.			
ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc	146,075.	17,370.		128,705.
	14 Other employee salaries and wages	272,446.	30,953.		241,494.
	15 Pension plans, employee benefits	144,475.	15,694.		128,782.
	16a Legal fees (attach schedule) L-16a Stmt	5,073.	13.		5,060.
	b Accounting fees (attach sch) L-16b Stmt	17,367.	6,594.		10,772.
	c Other prof fees (attach sch) L-16c Stmt	475,392.	473,211.		2,181.
	17 Interest				
	18 Taxes (attach schedule)(see instr) See Line 18 Stmt	19,680.			
	19 Depreciation (attach sch) and depletion	30,987.	8,199.		
	20 Occupancy	14,506.	2,176.		12,330.
	21 Travel, conferences, and meetings	37,466.	7,562.		29,904.
	22 Printing and publications				
	23 Other expenses (attach schedule) See Line 23 Stmt	109,820.	10,100.		99,720.
	24 Total operating and administrative expenses. Add lines 13 through 23	1,273,287.	571,872.		658,948.
	25 Contributions, gifts, grants paid	1,566,302.			3,148,488.
26 Total expenses and disbursements. Add lines 24 and 25	2,839,589.	571,872.		3,807,436.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	770,924.				
b Net investment income (if negative, enter -0-)		5,340,430.			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
ASSETS	1 Cash – non-interest-bearing	27,488.	32,874.	32,874.
	2 Savings and temporary cash investments	410,363.	453,849.	453,849.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	31,510.	20,102.	20,102.
	10a Investments – U S and state government obligations (attach schedule) L-10a Stmt	9,820,657.	0.	0.
	b Investments – corporate stock (attach schedule) L-10b Stmt	29,235,587.	0.	0.
	c Investments – corporate bonds (attach schedule) L-10c Stmt	15,381,170.	0.	0.
LIABILITIES	11 Investments – land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule) L-13 Stmt	6,727,600.	60,329,867.	60,329,867.
	14 Land, buildings, and equipment: basis 1,197,931.			
	Less: accumulated depreciation (attach schedule) L-14 Stmt 244,804.	975,752.	953,127.	953,127.
	15 Other assets (describe L-15 Stmt)	281,030.	281,030.	281,030.
	16 Total assets (to be completed by all filers – see instructions. Also, see page 1, item I)	62,891,157.	62,070,849.	62,070,849.
	17 Accounts payable and accrued expenses	87,662.	62,896.	
	18 Grants payable	2,833,962.	1,251,776.	
FUND ASSETS	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe L-22 Stmt)	109,603.	125,323.	
	23 Total liabilities (add lines 17 through 22)	3,031,227.	1,439,995.	
FUND ASSETS	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	59,859,930.	60,630,854.	
	30 Total net assets or fund balances (see the instructions)	59,859,930.	60,630,854.	
	31 Total liabilities and net assets/fund balances (see the instructions)	62,891,157.	62,070,849.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	59,859,930.
2	Enter amount from Part I, line 27a	2	770,924.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	60,630,854.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	60,630,854.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a Security dispositions (pp. 283-404)	P	Various	Various
b Capital gain distributions (pp. 405-435)	P	Various	Various
c Blackrock Fund realized gains (see attached)	P	Various	Various
d Adjustment to realized gains	P	Various	Various
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 141,271,256.		138,118,543.	3,152,713.
b 67,171.		0.	67,171.
c 1,252,950.		0.	1,252,950.
d 0.		26,157.	-26,157.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a 0.	0.	0.	3,152,713.
b 0.	0.	0.	67,171.
c 0.	0.	0.	1,252,950.
d			-26,157.
e			

2 Capital gain net income or (net capital loss)	— [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	4,446,677.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).			
If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8	—]	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	3,822,334.	53,939,123.	0.070864
2007	3,475,255.	75,001,739.	0.046336
2006	4,588,574.	81,151,941.	0.056543
2005	4,038,163.	76,072,459.	0.053083
2004	3,938,961.	71,997,389.	0.054710

2 Total of line 1, column (d)	2	0.281536
3 Average distribution ratio for the 5-year base period— divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.056307
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	60,380,483.
5 Multiply line 4 by line 3	5	3,399,844.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	53,404.
7 Add lines 5 and 6	7	3,453,248.
8 Enter qualifying distributions from Part XII, line 4	8	3,814,543.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instr.)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	53,404.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	53,404.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	53,404.
6 Credits/Payments:			
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6a	3,050.	
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	3,050.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	50,354.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0.	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax ☐ 0. Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) ☐		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities Continued

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>www.jmsims.org</u>	13	X	
14	The books are in care of <u>James T. Morton</u> Telephone no. <u>(803) 286-8772</u> Located at <u>800 N. White Street</u> <u>Lancaster, SC</u> ZIP + 4 <u>29721-0818</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1 b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement – see the instructions.)	2 b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3 b	
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?**5b**

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b**

If 'Yes' to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?**7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
James T. Morton PO Box 818 Lancaster SC 29721	President 45.00	128,425.	19,264.	0.
Dexter L. Cook, Jr., MD PO Box 818 Lancaster SC 29721	Board chair 6.00	3,075.	0.	0.
R. Malcolm Edwards, MD PO Box 818 Lancaster SC 29721	Vice Chair 4.00	2,025.	0.	0.
See Information about Officers, Directors, Trustees, Etc.		12,550.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
E. Brown Crenshaw, Jr., CPA PO Box 818 Lancaster SC 29721	VP for Fin. & Admin. 40.00	95,678.	14,352.	0.
Mary D. Henderson PO Box 818 Lancaster SC 29721	Program Officer 40.00	69,937.	10,491.	0.
Holly Furr PO Box 818 Lancaster SC 29721	Program Officer 40.00	57,978.	8,697.	0.
0				
0				

Total number of other employees paid over \$50,000

None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Atlantic Asset Management, Inc. PO Box 25250 Overland Park KS 66225	Investment manager	63,637.
Barclays Global Investors PO Box 60000 San Francisco CA 94160	Investment manager	80,558.
Fund Evaluation Group, LLC 201 E. Fifth Street, Suite 1600 Cincinnati OH 45202	Investment consultant	61,756.
Total number of others receiving over \$50,000 for professional services		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 None	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 None	0.
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	None

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	61,299,983.
b Average of monthly cash balances	1b	
c Fair market value of all other assets (see instructions)	1c	
d Total (add lines 1a, b, and c)	1d	61,299,983.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	61,299,983.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	919,500.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	60,380,483.
6 Minimum investment return. Enter 5% of line 5	6	3,019,024.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	3,019,024.
2a Tax on investment income for 2009 from Part VI, line 5	2a	53,404.
b Income tax for 2009 (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	53,404.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	2,965,620.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	2,965,620.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,965,620.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	3,807,436.
b Program-related investments — total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	7,107.
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,814,543.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	53,404.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	3,761,139.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

BAA

Form 990-PF (2009)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				2,965,620.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years 20__, 20__, 20__				
3 Excess distributions carryover, if any, to 2009				
a From 2004	439,023.			
b From 2005	310,863.			
c From 2006	657,532.			
d From 2007	0.			
e From 2008	1,139,268.			
f Total of lines 3a through e	2,546,686.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 3,814,543.				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2009 distributable amount				2,965,620.
e Remaining amount distributed out of corpus	848,923.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	3,395,609.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	439,023.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	2,956,586.			
10 Analysis of line 9				
a Excess from 2005	310,863.			
b Excess from 2006	657,532.			
c Excess from 2007	0.			
d Excess from 2008	1,139,268.			
e Excess from 2009	848,923.			

BAA

Form 990-PF (2009)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶					
b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test – enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

James T. Morton, President
800 North White Street, PO Box 818
Lancaster SC 29721-0818 (803) 286-8772

b The form in which applications should be submitted and information and materials they should include.

See attached grant application, guidelines and requirements.

c Any submission deadlines

Semi-annual (April 15th and October 15th)

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

See attached grant application, guidelines and requirements.

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See attached schedule SC 29720	N/A	See attached	See attached	3,148,488.
Total			3a	3,148,488.
b Approved for future payment See attached schedule SC 29720		See attached	See attached	1,974,349.
Total			3b	1,974,349.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue.					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	1,465,625.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	4,446,677.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)				5,912,302.	
13 Total. Add line 12, columns (b), (d), and (e)				13 5,912,302.	

(See worksheet in the instructions for line 13 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII	Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations
------------------	--

	Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a Transfers from the reporting foundation to a noncharitable exempt organization of.		
(1) Cash		X
(2) Other assets		X
b Other transactions		
(1) Sales of assets to a noncharitable exempt organization		X
(2) Purchases of assets from a noncharitable exempt organization		X
(3) Rental of facilities, equipment, or other assets		X
(4) Reimbursement arrangements		X
(5) Loans or loan guarantees		X
(6) Performance of services or membership or fundraising solicitations		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

SIGN
HERE

Signature of officer or trustee

X 2/15/00

► President
Title

**Paid
Pre-
parer's
Use
Only**Preparer's signature

Date

Check if self-employed

Preparer's Identifying number
(See **Signature** in the instrs)

Firm's name (or yours if self-employed), address, and ZIP code

Non-Paid Preparer

EIN

Phone no ▶

BAA

Form 990-PF (2009)

Form 990-PF, Page 1, Part I, Line 11

Line 11 Stmt

Other income:	Rev/Exp Book	Net Inv Inc	Adj Net Inc
Unrealized gain/losses	-2,301,789.		
Realized gain	4,446,677.		
Total	2,144,888.		

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes (see the instructions)	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Current federal excise tax	53,573.			
Deferred excise tax	-33,893.			
Total	19,680.			

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Insurance	16,510.	3,401.		13,109.
Contract services	384.	0.		384.
Organizational affiliations	10,616.	0.		10,616.
Subscriptions and publications	737.	0.		737.
Professional development	900.	268.		632.
Repairs and maintenance	6,693.	1,004.		5,689.
Office exp. and postage	24,209.	3,631.		20,578.
Janitorial service	3,840.	576.		3,264.
Meetings expense	4,508.	1,220.		3,288.
Miscellaneous	1,992.	0.		1,992.
Grants program expenses	20,568.	0.		20,568.
Communications and public relatio	14,935.	0.		14,935.
Community development	3,928.	0.		3,928.
Training				
Total	109,820.	10,100.		99,720.

Form 990-PF, Page 6, Part VIII, Line 1

Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person ☒ Business ☐ Polly Jackson PO Box 818 Lancaster SC 29721	Secretary 4.00	1,925.	0.	0.
Person ☒ Business ☐ Stanley D. Johnson PO Box 818 Lancaster SC 29721	Trustee 4.00	1,950.	0.	0.

Form 990-PF, Page 6, Part VIII, Line 1

Continued

Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person ☒ Business ☐ David R. Blackwell PO Box 818 Lancaster SC 29721	Trustee 4.00	375.	0.	0.
Person ☒ Business ☐ Miriam M. Boucher PO Box 818 Lancaster SC 29721	Trustee 4.00	2,125.	0.	0.
Person ☒ Business ☐ Phyllis B. Bunkley PO Box 818 Lancaster SC 29721	Treasurer 4.00	1,000.	0.	0.
Person ☒ Business ☐ Charles M. Harrell PO Box 818 Lancaster SC 29721	Trustee 4.00	2,225.	0.	0.
Person ☒ Business ☐ Pamela Y. Temple PO Box 818 Lancaster SC 29721	Trustee 4.00	1,125.	0.	0.
Person ☒ Business ☐ Robert K. Folks PO Box 818 Lancaster SC 29721	Trustee 4.00	975.	0.	0.
Person ☒ Business ☐ Marvin Starks PO Box 818 Lancaster SC 29721	Trustee 4.00	600.	0.	0.
Person ☒ Business ☐ Donna Herchek PO Box 818 Lancaster SC 29721	Committee 1.00	100.	0.	0.
Person ☒ Business ☐ Debbie Jaillette PO Box 818 Lancaster SC 29721	Committee 1.00	100.	0.	0.
Person ☒ Business ☐ Tim Hallman PO Box 818 Lancaster SC 29721	Committee 1.00	50.	0.	0.
Person ☒ Business ☐				

Total

12,550.

0.

0.

Form 990-PF, Page 1, Part I

Line 16a - Legal Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Ogletree, Deakins, Na	Legal	113.	13.		100.
Rogers Townsend Thomas	Legal - Locke Little	4,960.	0.		4,960.
Total		<u>5,073.</u>	<u>13.</u>		<u>5,060.</u>

Form 990-PF, Page 1, Part I

Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Moore Stephens Tiller	Audit services	16,155.	6,462.		9,692.
Thompson & Davis, CPA	Payroll services	1,212.	132.		1,080.
Total		<u>17,367.</u>	<u>6,594.</u>		<u>10,772.</u>

Form 990-PF, Page 1, Part I

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Alliance Benefit	Retirement administrati	2,161.	235.		1,926.
MHM, Inc.	125 Plan setup and adm	285.	30.		255.
Various	Investment management f	347,354.	347,354.		0.
FEG/Rogers Casey	Investment consultant f	75,970.	75,970.		0.
US Bank	Investment custodial fe	49,122.	49,122.		0.
501 Advisors	Consulting	500.	500.		0.
Total		<u>475,392.</u>	<u>473,211.</u>		<u>2,181.</u>

Form 990-PF, Page 2, Part II, Line 13

L-13 Stmt

Line 13 - Investments - Other:	End of Year	
	Book Value	Fair Market Value
Vanguard 500 Index	3,977,999.	3,977,999.
Harbor Capital Appreciation	3,478,922.	3,478,922.
DFA Int. Small Cap Value	1,500,000.	1,500,000.
Harbor International	2,497,355.	2,497,355.
PIMCO Total Return	12,001,805.	12,001,805.
Vanguard Windsor II Fund	3,482,537.	3,482,537.
Emerald Advisers	171.	171.
Western Asset Management High Yield Global	4,890,430.	4,890,430.
Alliance Bernstein Emg Mkt Value	3,650,926.	3,650,926.
AEW Capital Mgt REIT	3,909,740.	3,909,740.

Form 990-PF, Page 2, Part II, Line 13

Continued

L-13 Stmt

Line 13 - Investments - Other:	End of Year	
	Book Value	Fair Market Value
PIMCO	4,503,611.	4,503,611.
Arden Alternative Hedge Fund of Funds	3,935,858.	3,935,858.
Gateway Fund	998,819.	998,819.
Vanguard Mid Cap Index	2,996,530.	2,996,530.
Wasatch Small Cap Growth	3,002,631.	3,002,631.
DFA US Small Cap Value	3,005,568.	3,005,568.
Artisan Intl	2,493,938.	2,493,938.
Accrued Income	3,027.	3,027.
Total	<u>60,329,867.</u>	<u>60,329,867.</u>

Form 990-PF, Page 2, Part II, Line 14

L-14 Stmt

Line 14b - Description of Land, Buildings, and Equipment	(a) Cost/Other Basis	(b) Accumulated Depreciation	(c) Book Value
Building	845,949.	132,050.	713,899.
Furniture and fixtures	60,721.	55,165.	5,556.
Computer equipment	44,028.	41,478.	2,550.
Office equipment	16,184.	16,111.	73.
Land	231,049.	0.	231,049.
Total	<u>1,197,931.</u>	<u>244,804.</u>	<u>953,127.</u>

Form 990-PF, Page 2, Part II, Line 15

Other Assets Stmt

Line 15 - Other Assets:	Beginning Year Book Value	End of Year	
		Book Value	Fair Market Value
Security deposits	160.	160.	160.
Charitable gift receivable	280,870.	280,870.	280,870.
Total	<u>281,030.</u>	<u>281,030.</u>	<u>281,030.</u>

Form 990-PF, Page 2, Part II, Line 22

Other Liab Stmt

Line 22 - Other Liabilities:	Beginning Year Book Value	Ending Year Book Value
Deferred tax	65,341.	31,447.
Retirement payable	44,262.	49,358.
Federal Excise tax payable		44,518.
Total	<u>109,603.</u>	<u>125,323.</u>



J. Marion Sims Foundation, Inc.
Part IV – Capital Gains and Losses for Tax on
Investment Income – Line 1a
EI#: 57-0355295
For the Fiscal Year Ended 9/30/10

1013-AC

SECURITY DISPOSITIONS
10/01/09 TO 9/30/10

320078300

CU J. MARION SIMS FDN - COMBINED

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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
S A L E S							
=====							
MONEY MARKETS							

BARCLAYS GLOBAL INV MONEY MKT FD B							
CUSIP 978994101							
9/03/10	9/03/10	328390010	9.0000	1.00	9.00	9.00-	0.00
BARCLAYS GLOBAL INVESTORS CASH							
CUSIP 948994108							
9/03/10	9/03/10	328390010	0.4700	1.00	0.47	0.47-	0.00
FIRST AMER PRIME OBLIG FD CL Z							
CUSIP 31846V625							
10/01/09	10/01/09	328390080	3,080.5900	1.00	3,080.59	3,080.59-	0.00
10/05/09	10/05/09	328390030	487,846.2700	1.00	487,846.27	487,846.27-	0.00
10/05/09	10/05/09	328390080	1,258.1900	1.00	1,258.19	1,258.19-	0.00
10/07/09	10/07/09	328390030	142,222.8700	1.00	142,222.87	142,222.87-	0.00
10/09/09	10/09/09	328390030	154,985.6500	1.00	154,985.65	154,985.65-	0.00
10/09/09	10/09/09	328390030	124,834.5600	1.00	124,834.56	124,834.56-	0.00
10/09/09	10/09/09	328390080	10,819.0300	1.00	10,819.03	10,819.03-	0.00
10/13/09	10/13/09	328390030	435,710.8100	1.00	435,710.81	435,710.81-	0.00
10/13/09	10/13/09	328390080	21,387.1500	1.00	21,387.15	21,387.15-	0.00
10/15/09	10/15/09	328390030	35,318.6500	1.00	35,318.65	35,318.65-	0.00
10/16/09	10/16/09	328390030	115,618.7200	1.00	115,618.72	115,618.72-	0.00
10/16/09	10/16/09	328390080	3,335.3900	1.00	3,335.39	3,335.39-	0.00

SECURITY DISPOSITIONS
10/01/09 TO 9/30/10

320078300

CU J MARION SIMS FDN - COMBINED

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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
10/19/09	10/19/09	328390030	96,792.0600	1.00	96,792.06	96,792.06-	0.00
10/19/09	10/19/09	328390030	287.5300	1.00	287.53	287.53-	0.00
10/19/09	10/19/09	328390080	15,496.8200	1.00	15,496.82	15,496.82-	0.00
10/21/09	10/21/09	328390030	75,481.8300	1.00	75,481.83	75,481.83-	0.00
10/22/09	10/22/09	328390030	1,312.5000	1.00	1,312.50	1,312.50-	0.00
10/23/09	10/23/09	328390030	89,650.0300	1.00	89,650.03	89,650.03-	0.00
10/23/09	10/23/09	328390080	2,998.6100	1.00	2,998.61	2,998.61-	0.00
10/28/09	10/28/09	328390000	30,000.0000	1.00	30,000.00	30,000.00-	0.00
10/28/09	10/28/09	328390030	299.9700	1.00	299.97	299.97-	0.00
10/28/09	10/28/09	328390080	19,402.9900	1.00	19,402.99	19,402.99-	0.00
10/28/09	10/28/09	328390080	2,707.9300	1.00	2,707.93	2,707.93-	0.00
10/30/09	10/30/09	328390030	114,833.9000	1.00	114,833.90	114,833.90-	0.00
11/02/09	11/02/09	328390030	140,843.2200	1.00	140,843.22	140,843.22-	0.00
11/02/09	11/02/09	328390080	6,502.4100	1.00	6,502.41	6,502.41-	0.00
11/03/09	11/03/09	328390030	133,146.9100	1.00	133,146.91	133,146.91-	0.00
11/03/09	11/03/09	328390080	20,760.0200	1.00	20,760.02	20,760.02-	0.00
11/04/09	11/04/09	328390080	13,784.4000	1.00	13,784.40	13,784.40-	0.00
11/05/09	11/05/09	328390030	245,937.5200	1.00	245,937.52	245,937.52-	0.00
11/06/09	11/06/09	328390030	303.1000	1.00	303.10	303.10-	0.00
11/09/09	11/09/09	328390030	24,343.7500	1.00	24,343.75	24,343.75-	0.00
11/09/09	11/10/09	328390000	100,000.0000	1.00	100,000.00	100,000.00-	0.00

SECURITY DISPOSITIONS
10/01/09 TO 9/30/10

320078300

CU J. MARION SIMS FDN - COMBINED

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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
11/10/09	11/10/09	328390080	4,086.9300	1.00	4,086.93	4,086.93-	0.00
11/12/09	11/12/09	328390080	8,109.8400	1.00	8,109.84	8,109.84-	0.00
11/13/09	11/13/09	328390080	6,441.5400	1.00	6,441.54	6,441.54-	0.00
11/16/09	11/16/09	328390030	28,590.4600	1.00	28,590.46	28,590.46-	0.00
11/16/09	11/16/09	328390030	4,566.7900	1.00	4,566.79	4,566.79-	0.00
11/16/09	11/16/09	328390080	5,590.0600	1.00	5,590.06	5,590.06-	0.00
11/17/09	11/17/09	328390080	10,200.0000	1.00	10,200.00	10,200.00-	0.00
11/18/09	11/18/09	328390030	429.6400	1.00	429.64	429.64-	0.00
11/20/09	11/20/09	328390000	45,000.0000	1.00	45,000.00	45,000.00-	0.00
11/20/09	11/20/09	328390030	309,557.3700	1.00	309,557.37	309,557.37-	0.00
11/23/09	11/23/09	328390030	467.1800	1.00	467.18	467.18-	0.00
11/23/09	11/23/09	328390080	12,110.0400	1.00	12,110.04	12,110.04-	0.00
11/25/09	11/25/09	328390000	30,000.0000	1.00	30,000.00	30,000.00-	0.00
11/25/09	11/25/09	328390030	211,100.7200	1.00	211,100.72	211,100.72-	0.00
11/27/09	11/27/09	328390080	6,222.2100	1.00	6,222.21	6,222.21-	0.00
12/01/09	12/01/09	328390030	1,565.6500	1.00	1,565.65	1,565.65-	0.00
12/01/09	12/01/09	328390080	13,141.9000	1.00	13,141.90	13,141.90-	0.00
12/02/09	12/02/09	328390080	5,777.0700	1.00	5,777.07	5,777.07-	0.00
12/03/09	12/03/09	328390030	197,248.3800	1.00	197,248.38	197,248.38-	0.00
12/04/09	12/04/09	328390030	573,370.9400	1.00	573,370.94	573,370.94-	0.00
12/04/09	12/04/09	328390080	154.8800	1.00	154.88	154.88-	0.00

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12/07/09	12/07/09	328390030	62,098.7300	1.00	62,098.73	62,098.73-	0.00
12/08/09	12/08/09	328390080	5,171.0300	1.00	5,171.03	5,171.03-	0.00
12/09/09	12/09/09	328390030	343.7900	1.00	343.79	343.79-	0.00
12/10/09	12/10/09	328390030	617.1400	1.00	617.14	617.14-	0.00
12/10/09	12/10/09	328390080	1,908.0500	1.00	1,908.05	1,908.05-	0.00
12/11/09	12/11/09	328390080	3,259.7000	1.00	3,259.70	3,259.70-	0.00
12/15/09	12/15/09	328390030	44,343.2900	1.00	44,343.29	44,343.29-	0.00
12/15/09	12/15/09	328390080	2,491.4400	1.00	2,491.44	2,491.44-	0.00
12/16/09	12/16/09	328390030	39,407.8000	1.00	39,407.80	39,407.80-	0.00
12/16/09	12/16/09	328390030	68,399.9700	1.00	68,399.97	68,399.97-	0.00
12/17/09	12/17/09	328390030	61,315.9900	1.00	61,315.99	61,315.99-	0.00
12/17/09	12/17/09	328390080	9,814.6400	1.00	9,814.64	9,814.64-	0.00
12/21/09	12/21/09	328390030	174,599.6600	1.00	174,599.66	174,599.66-	0.00
12/22/09	12/22/09	328390080	8,015.5500	1.00	8,015.55	8,015.55-	0.00
12/23/09	12/23/09	328390030	71,051.3200	1.00	71,051.32	71,051.32-	0.00
12/24/09	12/24/09	328390080	6,518.4300	1.00	6,518.43	6,518.43-	0.00
12/28/09	12/28/09	328390080	6,084.6100	1.00	6,084.61	6,084.61-	0.00
12/29/09	12/29/09	328390080	9,462.3000	1.00	9,462.30	9,462.30-	0.00
12/30/09	12/30/09	328390030	144,864.4600	1.00	144,864.46	144,864.46-	0.00
12/30/09	12/30/09	328390080	1,878.2800	1.00	1,878.28	1,878.28-	0.00
12/31/09	12/31/09	328390030	1,312.5100	1.00	1,312.51	1,312.51-	0.00

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1/05/10	1/05/10	328390070	48.9100	1.00	48.91	48.91-	0.00
1/05/10	1/05/10	328390080	9,688.4600	1.00	9,688.46	9,688.46-	0.00
1/06/10	1/06/10	328390030	1,177.9300	1.00	1,177.93	1,177.93-	0.00
1/06/10	1/06/10	328390080	2,427.8300	1.00	2,427.83	2,427.83-	0.00
1/07/10	1/07/10	328390000	34,000.0000	1.00	34,000.00	34,000.00-	0.00
1/07/10	1/07/10	328390030	166,851.3600	1.00	166,851.36	166,851.36-	0.00
1/11/10	1/11/10	328390080	227.4800	1.00	227.48	227.48-	0.00
1/12/10	1/12/10	328390030	242,507.5800	1.00	242,507.58	242,507.58-	0.00
1/12/10	1/12/10	328390080	8,368.1600	1.00	8,368.16	8,368.16-	0.00
1/13/10	1/13/10	328390030	56,977.7200	1.00	56,977.72	56,977.72-	0.00
1/13/10	1/13/10	328390030	7,840.6200	1.00	7,840.62	7,840.62-	0.00
1/13/10	1/13/10	328390080	11,197.5100	1.00	11,197.51	11,197.51-	0.00
1/14/10	1/14/10	328390080	4,956.2200	1.00	4,956.22	4,956.22-	0.00
1/15/10	1/15/10	328390030	229,213.5600	1.00	229,213.56	229,213.56-	0.00
1/15/10	1/15/10	328390030	4,070.3100	1.00	4,070.31	4,070.31-	0.00
1/19/10	1/19/10	328390030	5,242.1900	1.00	5,242.19	5,242.19-	0.00
1/19/10	1/19/10	328390080	685.6300	1.00	685.63	685.63-	0.00
1/20/10	1/20/10	328390030	147,998.4300	1.00	147,998.43	147,998.43-	0.00
1/21/10	1/21/10	328390030	9,066.4600	1.00	9,066.46	9,066.46-	0.00
1/21/10	1/21/10	328390080	3,478.4500	1.00	3,478.45	3,478.45-	0.00
1/27/10	1/27/10	328390030	676.5600	1.00	676.56	676.56-	0.00

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1/27/10	1/27/10	328390080	18,905.1800	1.00	18,905.18	18,905.18-	0.00
1/29/10	1/29/10	328390080	2,866.5800	1.00	2,866.58	2,866.58-	0.00
2/01/10	2/01/10	328390030	4,134.3800	1.00	4,134.38	4,134.38-	0.00
2/01/10	2/02/10	328390030	224,990.2100	1.00	224,990.21	224,990.21-	0.00
2/02/10	2/02/10	328390030	188,556.3900	1.00	188,556.39	188,556.39-	0.00
2/02/10	2/02/10	328390080	3,010.7100	1.00	3,010.71	3,010.71-	0.00
2/03/10	2/03/10	328390030	51,627.0100	1.00	51,627.01	51,627.01-	0.00
2/04/10	2/04/10	328390030	2,362.5000	1.00	2,362.50	2,362.50-	0.00
2/05/10	2/05/10	328390030	75,000.0000	1.00	75,000.00	75,000.00-	0.00
2/05/10	2/05/10	328390080	3,539.5000	1.00	3,539.50	3,539.50-	0.00
2/08/10	2/08/10	328390080	3,132.9100	1.00	3,132.91	3,132.91-	0.00
2/09/10	2/09/10	328390030	2,700.1000	1.00	2,700.10	2,700.10-	0.00
2/10/10	2/10/10	328390030	3,944.9700	1.00	3,944.97	3,944.97-	0.00
2/11/10	2/11/10	328390080	12,795.3800	1.00	12,795.38	12,795.38-	0.00
2/12/10	2/12/10	328390030	109,274.8100	1.00	109,274.81	109,274.81-	0.00
2/12/10	2/12/10	328390080	8,366.7400	1.00	8,366.74	8,366.74-	0.00
2/16/10	2/16/10	328390080	1,133.3600	1.00	1,133.36	1,133.36-	0.00
2/18/10	2/18/10	328390030	65,115.5700	1.00	65,115.57	65,115.57-	0.00
2/18/10	2/18/10	328390080	5,313.6300	1.00	5,313.63	5,313.63-	0.00
2/19/10	2/19/10	328390030	4,078.1100	1.00	4,078.11	4,078.11-	0.00
2/19/10	2/19/10	328390080	1,986.0800	1.00	1,986.08	1,986.08-	0.00

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2/22/10	2/22/10	328390030	130,741.0700	1.00	130,741.07	130,741.07-	0.00
2/22/10	2/22/10	328390080	6,916.7400	1.00	6,916.74	6,916.74-	0.00
2/23/10	2/23/10	328390030	503.1200	1.00	503.12	503.12-	0.00
2/26/10	2/26/10	328390030	1,957.7300	1.00	1,957.73	1,957.73-	0.00
2/26/10	2/26/10	328390080	8,851.8300	1.00	8,851.83	8,851.83-	0.00
3/01/10	3/01/10	328390080	9,562.8700	1.00	9,562.87	9,562.87-	0.00
3/02/10	3/02/10	328390030	2,324.4400	1.00	2,324.44	2,324.44-	0.00
3/02/10	3/02/10	328390080	3,947.4500	1.00	3,947.45	3,947.45-	0.00
3/03/10	3/03/10	328390030	3 5000	1.00	3.50	3.50-	0.00
3/05/10	3/05/10	328390030	296.8700	1.00	296.87	296.87-	0.00
3/08/10	3/08/10	328390030	7,254.7200	1.00	7,254.72	7,254.72-	0.00
3/08/10	3/08/10	328390030	247,609.4100	1.00	247,609.41	247,609.41-	0.00
3/09/10	3/09/10	328390080	14,016.9000	1.00	14,016.90	14,016.90-	0.00
3/10/10	3/10/10	328390080	7,571.5200	1.00	7,571.52	7,571.52-	0.00
3/11/10	3/11/10	328390000	19,500.0000	1.00	19,500.00	19,500.00-	0.00
3/11/10	3/11/10	328390030	247,480.8300	1.00	247,480.83	247,480.83-	0.00
3/15/10	3/15/10	328390030	390.6300	1.00	390.63	390.63-	0.00
3/15/10	3/15/10	328390080	4,503.1600	1.00	4,503.16	4,503.16-	0.00
3/16/10	3/16/10	328390030	134,864.4500	1.00	134,864.45	134,864.45-	0.00
3/16/10	3/16/10	328390030	182,839.2100	1.00	182,839.21	182,839.21-	0.00
3/16/10	3/16/10	328390080	5,447.3500	1.00	5,447.35	5,447.35-	0.00

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3/17/10	3/17/10	328390030	2,651.3400	1.00	2,651.34	2,651.34-	0.00
3/17/10	3/17/10	328390080	7,588.1800	1.00	7,588.18	7,588.18-	0.00
3/19/10	3/19/10	328390030	98,828.0800	1.00	98,828.08	98,828.08-	0.00
3/19/10	3/22/10	328390000	75,000.0000	1.00	75,000.00	75,000.00-	0.00
3/22/10	3/22/10	328390080	5,247.3000	1.00	5,247.30	5,247.30-	0.00
3/23/10	3/23/10	328390030	718.7500	1.00	718.75	718.75-	0.00
3/24/10	3/24/10	328390080	6,837.2000	1.00	6,837.20	6,837.20-	0.00
3/29/10	3/29/10	328390030	45,590.5300	1.00	45,590.53	45,590.53-	0.00
3/30/10	3/30/10	328390030	6.9500	1.00	6.95	6.95-	0.00
3/30/10	3/30/10	328390080	17,986.4900	1.00	17,986.49	17,986.49-	0.00
3/31/10	3/31/10	328390030	999,980.4900	1.00	999,980.49	999,980.49-	0.00
3/31/10	3/31/10	328390030	305,964.0800	1.00	305,964.08	305,964.08-	0.00
4/01/10	4/01/10	328390030	1,104.2600	1.00	1,104.26	1,104.26-	0.00
4/05/10	4/05/10	328390080	2,722.1000	1.00	2,722.10	2,722.10-	0.00
4/06/10	4/06/10	328390030	62,710.0300	1.00	62,710.03	62,710.03-	0.00
4/06/10	4/06/10	328390080	15,257.3900	1.00	15,257.39	15,257.39-	0.00
4/07/10	4/07/10	328390030	738.3000	1.00	738.30	738.30-	0.00
4/07/10	4/07/10	328390030	264.0500	1.00	264.05	264.05-	0.00
4/08/10	4/08/10	328390030	12,935.7600	1.00	12,935.76	12,935.76-	0.00
4/09/10	4/09/10	328390030	132,165.7500	1.00	132,165.75	132,165.75-	0.00
4/09/10	4/09/10	328390080	10,087.3900	1.00	10,087.39	10,087.39-	0.00

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4/12/10	4/12/10	328390080	7,034.1100	1.00	7,034.11	7,034.11-	0.00
4/14/10	4/14/10	328390030	4,465.6600	1.00	4,465.66	4,465.66-	0.00
4/16/10	4/16/10	328390030	196.9300	1.00	196.93	196.93-	0.00
4/19/10	4/19/10	328390030	3,481.2200	1.00	3,481.22	3,481.22-	0.00
4/19/10	4/19/10	328390080	23,830.6800	1.00	23,830.68	23,830.68-	0.00
4/21/10	4/21/10	328390030	125,712.5100	1.00	125,712.51	125,712.51-	0.00
4/21/10	4/21/10	328390080	2,417.5900	1.00	2,417.59	2,417.59-	0.00
4/22/10	4/22/10	328390030	3,165.5900	1.00	3,165.59	3,165.59-	0.00
4/23/10	4/23/10	328390080	11,784.3600	1.00	11,784.36	11,784.36-	0.00
4/27/10	4/27/10	328390030	41,228.9800	1.00	41,228.98	41,228.98-	0.00
4/27/10	4/27/10	328390030	256.2400	1.00	256.24	256.24-	0.00
4/27/10	4/27/10	328390080	3,179.6000	1.00	3,179.60	3,179.60-	0.00
4/29/10	4/29/10	328390030	6,259.3600	1.00	6,259.36	6,259.36-	0.00
4/29/10	4/29/10	328390080	18,073.7300	1.00	18,073.73	18,073.73-	0.00
4/30/10	4/30/10	328390030	2,474.9900	1.00	2,474.99	2,474.99-	0.00
4/30/10	4/30/10	328390080	627.0700	1.00	627.07	627.07-	0.00
5/03/10	5/03/10	328390030	49,403.3200	1.00	49,403.32	49,403.32-	0.00
5/04/10	5/04/10	328390030	189,214.8600	1.00	189,214.86	189,214.86-	0.00
5/05/10	5/05/10	328390080	15,846.3500	1.00	15,846.35	15,846.35-	0.00
5/07/10	5/07/10	328390030	71,453.1200	1.00	71,453.12	71,453.12-	0.00
5/11/10	5/11/10	328390080	18,189.4700	1.00	18,189.47	18,189.47-	0.00

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5/12/10	5/12/10	328390080	1,185.7900	1.00	1,185.79	1,185.79-	0.00
5/13/10	5/13/10	328390030	3,968.7700	1.00	3,968.77	3,968.77-	0.00
5/13/10	5/13/10	328390080	16,838.4000	1.00	16,838.40	16,838.40-	0.00
5/18/10	5/18/10	328390030	276,289.6300	1.00	276,289.63	276,289.63-	0.00
5/18/10	5/18/10	328390080	7,832.8200	1.00	7,832.82	7,832.82-	0.00
5/19/10	5/19/10	328390080	973.9500	1.00	973.95	973.95-	0.00
5/20/10	5/20/10	328390030	156.3000	1.00	156.30	156.30-	0.00
5/21/10	5/21/10	328390080	12,716.3300	1.00	12,716.33	12,716.33-	0.00
5/26/10	5/26/10	328390080	679.2500	1.00	679.25	679.25-	0.00
5/27/10	5/27/10	328390030	7,328.1000	1.00	7,328.10	7,328.10-	0.00
5/28/10	5/28/10	328390080	9,051.9600	1.00	9,051.96	9,051.96-	0.00
6/01/10	6/01/10	328390030	586.3200	1.00	586.32	586.32-	0.00
6/01/10	6/01/10	328390080	6,117.1700	1.00	6,117.17	6,117.17-	0.00
6/03/10	6/03/10	328390030	178,259.3800	1.00	178,259.38	178,259.38-	0.00
6/03/10	6/03/10	328390080	6,811.2200	1.00	6,811.22	6,811.22-	0.00
6/04/10	6/04/10	328390030	2,112.5400	1.00	2,112.54	2,112.54-	0.00
6/09/10	6/09/10	328390080	680.0000	1.00	680.00	680.00-	0.00
6/10/10	6/10/10	328390030	18,922.1000	1.00	18,922.10	18,922.10-	0.00
6/11/10	6/11/10	328390030	7,262.5400	1.00	7,262.54	7,262.54-	0.00
6/11/10	6/11/10	328390080	2,654.2900	1.00	2,654.29	2,654.29-	0.00
6/14/10	6/14/10	328390030	645,029.0500	1.00	645,029.05	645,029.05-	0.00

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6/14/10	6/14/10	328390030	284,780.7400	1.00	284,780.74	284,780.74-	0.00
6/14/10	6/14/10	328390080	2,750.4900	1.00	2,750.49	2,750.49-	0.00
6/15/10	6/15/10	328390080	13,298.9900	1.00	13,298.99	13,298.99-	0.00
6/16/10	6/16/10	328390030	59,263.5500	1.00	59,263.55	59,263.55-	0.00
6/16/10	6/16/10	328390080	819.1600	1.00	819.16	819.16-	0.00
6/17/10	6/17/10	328390030	598,475.0900	1.00	598,475.09	598,475.09-	0.00
6/21/10	6/21/10	328390030	565.0000	1.00	565.00	565.00-	0.00
6/22/10	6/22/10	328390030	1,458.0800	1.00	1,458.08	1,458.08-	0.00
6/23/10	6/23/10	328390080	21,056.9600	1.00	21,056.96	21,056.96-	0.00
6/25/10	6/25/10	328390080	6,574.7200	1.00	6,574.72	6,574.72-	0.00
6/28/10	6/28/10	328390030	1,732.7900	1.00	1,732.79	1,732.79-	0.00
6/28/10	6/28/10	328390080	3,953.8300	1.00	3,953.83	3,953.83-	0.00
6/29/10	6/29/10	328390030	318,875.0800	1.00	318,875.08	318,875.08-	0.00
6/30/10	6/30/10	328390000	20,000.0000	1.00	20,000.00	20,000.00-	0.00
6/30/10	6/30/10	328390030	578,724.6100	1.00	578,724.61	578,724.61-	0.00
6/30/10	6/30/10	328390080	5,783.0400	1.00	5,783.04	5,783.04-	0.00
7/01/10	7/01/10	328390030	1,173.2300	1.00	1,173.23	1,173.23-	0.00
7/01/10	7/01/10	328390080	7,049.3800	1.00	7,049.38	7,049.38-	0.00
7/02/10	7/02/10	328390030	833.7600	1.00	833.76	833.76-	0.00
7/02/10	7/02/10	328390080	1,502.3500	1.00	1,502.35	1,502.35-	0.00
7/06/10	7/06/10	328390030	37,310.7600	1.00	37,310.76	37,310.76-	0.00

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7/06/10	7/06/10	328390080	9,132.8900	1.00	9,132.89	9,132.89-	0.00
7/08/10	7/08/10	328390030	15.6400	1.00	15.64	15.64-	0.00
7/08/10	7/08/10	328390080	8,134.7400	1.00	8,134.74	8,134.74-	0.00
7/12/10	7/12/10	328390030	768.7100	1.00	768.71	768.71-	0.00
7/13/10	7/13/10	328390080	4,399.2300	1.00	4,399.23	4,399.23-	0.00
7/14/10	7/14/10	328390030	39,904.8000	1.00	39,904.80	39,904.80-	0.00
7/14/10	7/14/10	328390030	125,000.0000	1.00	125,000.00	125,000.00-	0.00
7/14/10	7/14/10	328390080	5,813.5600	1.00	5,813.56	5,813.56-	0.00
7/15/10	7/15/10	328390000	19,500.0000	1.00	19,500.00	19,500.00-	0.00
7/15/10	7/15/10	328390030	41,337.2900	1.00	41,337.29	41,337.29-	0.00
7/15/10	7/15/10	328390080	88.1800	1.00	88.18	88.18-	0.00
7/16/10	7/16/10	328390030	109,681.3500	1.00	109,681.35	109,681.35-	0.00
7/16/10	7/16/10	328390080	12,033.1500	1.00	12,033.15	12,033.15-	0.00
7/19/10	7/19/10	328390030	60,831.4300	1.00	60,831.43	60,831.43-	0.00
7/19/10	7/19/10	328390080	499.2200	1.00	499.22	499.22-	0.00
7/20/10	7/20/10	328390080	6,335.4500	1.00	6,335.45	6,335.45-	0.00
7/21/10	7/21/10	328390030	149,925.0000	1.00	149,925.00	149,925.00-	0.00
7/26/10	7/26/10	328390030	404,562.4800	1.00	404,562.48	404,562.48-	0.00
7/29/10	7/29/10	328390030	60,320.6800	1.00	60,320.68	60,320.68-	0.00
7/29/10	7/29/10	328390080	10,644.9000	1.00	10,644.90	10,644.90-	0.00
7/30/10	7/30/10	328390030	334,087.2700	1.00	334,087.27	334,087.27-	0.00

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7/30/10	7/30/10	328390080	21,046.6900	1.00	21,046.69	21,046.69-	0.00
8/03/10	8/03/10	328390030	94,609.1000	1.00	94,609.10	94,609.10-	0.00
8/05/10	8/05/10	328390030	167,300.6800	1.00	167,300.68	167,300.68-	0.00
8/06/10	8/06/10	328390030	140.6100	1.00	140.61	140.61-	0.00
8/09/10	8/09/10	328390030	29,698.5000	1.00	29,698.50	29,698.50-	0.00
8/10/10	8/10/10	328390080	21,796.5200	1.00	21,796.52	21,796.52-	0.00
8/11/10	8/11/10	328390030	143.7500	1.00	143.75	143.75-	0.00
8/11/10	8/11/10	328390080	5,498.0300	1.00	5,498.03	5,498.03-	0.00
8/12/10	8/12/10	328390030	129,198.1200	1.00	129,198.12	129,198.12-	0.00
8/12/10	8/12/10	328390080	3,261.1400	1.00	3,261.14	3,261.14-	0.00
8/13/10	8/13/10	328390030	149,805.0000	1.00	149,805.00	149,805.00-	0.00
8/13/10	8/13/10	328390080	12,871.8400	1.00	12,871.84	12,871.84-	0.00
8/17/10	8/17/10	328390030	175,977.6500	1.00	175,977.65	175,977.65-	0.00
8/17/10	8/17/10	328390080	5,562.4300	1.00	5,562.43	5,562.43-	0.00
8/18/10	8/18/10	328390030	31.2500	1.00	31.25	31.25-	0.00
8/19/10	8/19/10	328390080	2,737.4600	1.00	2,737.46	2,737.46-	0.00
8/20/10	8/20/10	328390080	4,122.3900	1.00	4,122.39	4,122.39-	0.00
8/23/10	8/23/10	328390030	290,476.2300	1.00	290,476.23	290,476.23-	0.00
8/23/10	8/23/10	328390030	640.6400	1.00	640.64	640.64-	0.00
8/23/10	8/23/10	328390080	5,928.4300	1.00	5,928.43	5,928.43-	0.00
8/24/10	8/24/10	328390030	35,602.3500	1.00	35,602.35	35,602.35-	0.00

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8/24/10	8/24/10	328390030	350 0000	1.00	350.00	350.00-	0.00
8/25/10	8/25/10	328390030	38,851.5200	1.00	38,851.52	38,851 52-	0.00
8/27/10	8/27/10	328390080	124.7400	1.00	124.74	124.74-	0.00
8/30/10	8/30/10	328390080	10,329.9000	1.00	10,329.90	10,329.90-	0.00
8/31/10	8/31/10	328390080	2,407.5000	1.00	2,407.50	2,407.50-	0.00
9/02/10	9/02/10	328390030	42,810.1100	1.00	42,810.11	42,810.11-	0.00
9/02/10	9/02/10	328390080	5,228.2900	1.00	5,228.29	5,228.29-	0.00
9/03/10	9/03/10	328390030	13,062.5000	1.00	13,062.50	13,062.50-	0.00
9/03/10	9/03/10	328390080	8,394.1300	1 00	8,394.13	8,394.13-	0.00
9/07/10	9/07/10	328390030	25,234.4500	1.00	25,234.45	25,234.45-	0 00
9/08/10	9/08/10	328390030	1,440,473 6100	1.00	1,440,473.61	1,440,473.61-	0.00
9/09/10	9/09/10	328390080	12,317 8500	1.00	12,317.85	12,317.85-	0.00
9/10/10	9/10/10	328390030	44,812.5300	1.00	44,812.53	44,812.53-	0.00
9/13/10	9/13/10	328390030	10,406.0400	1.00	10,406.04	10,406.04-	0.00
9/13/10	9/13/10	328390080	1,965.2100	1.00	1,965.21	1,965.21-	0.00
9/14/10	9/14/10	328390030	13,229.6300	1.00	13,229.63	13,229.63-	0.00
9/16/10	9/16/10	328390030	13,656.2800	1.00	13,656.28	13,656 28-	0.00
9/17/10	9/17/10	328390030	213,187.4000	1.00	213,187.40	213,187.40-	0.00
9/27/10	9/27/10	328390010	17,409,433.2800	1 00	17,409,433.28	17,409,433.28-	0.00
9/27/10	9/27/10	328390030	14,177,830 0300	1.00	14,177,830.03	14,177,830.03-	0.00
9/27/10	9/27/10	328390080	3,390,328.6100	1.00	3,390,328.61	3,390,328.61-	0.00



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9/27/10	9/27/10	328390090	4,617,709.5800	1.00	4,617,709.58	4,617,709.58-	0.00
9/30/10	9/30/10	328390000	60,000.0000	1.00	60,000.00	60,000.00-	0.00
TOTAL MONEY MARKETS			57,329,848.0800		57,329,848.08	57,329,848.08-	0.00
US TREAS & AGENCY SHORT TERM OBLIG							

U S TREASURY BILL							
CUSIP 912795UU3							
6/07/10	6/08/10	328390030	300,000 0000	99.99	299,962.50	299,962 50-	0.00
U S TREASURY BILL							
CUSIP 912795UJ8							
12/28/09	12/29/09	328390030					
1/07/10	1/08/10	328390030	50,000.0000	99.65	49,824.50	49,873.39-	48 89-
3/25/10	3/26/10	328390030	200,000.0000	99.72	199,441.78	199,493.56-	51 78-
7/13/10	7/16/10	328390030	400,000.0000	99.75	398,987.11	398,987 11-	0.00
8/13/10	8/17/10	328390030	600,000.0000	99.94	599,640.67	599,640.67-	0.00
8/19/10	8/20/10	328390030	100,000.0000	99.95	99,953.07	99,953.07-	0.00
8/19/10	8/20/10	328390030	20,000.0000	99.95	19,990.61	19,990.61-	0.00
9/23/10	9/24/10	328390030	260,000.0000	99.95	259,877.98	259,877.98-	0.00
TOTAL US TREAS & AGENCY SHORT TE			2,150,000.0000		2,147,574.97	2,147,675 64-	100.67-
US TREAS & AGENCY OBLIGATIONS							

F F C B DEB 2.750% 5/04/10
CUSIP 31331YH94

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4/07/10	4/08/10	328390030	165,000 0000	100.19	165,306.90	168,397.35-	3,090.45-
F H L B DEB							
CUSIP 3133XQU34							
9/23/10	9/24/10	328390030	165,000.0000	107 67	177,647.25	173,515.82-	4,131.43
F H L B DEB							
CUSIP 3133XMQ87							
11/05/09	11/06/09	328390030	95,000.0000	108.37	102,947.61	94,840 80-	8,106.81
12/03/09	12/04/09	328390030	110,000.0000	110.23	121,252 01	109,815.65-	11,436.36
9/24/10	9/27/10	328390030	165,000 0000	117 95	194,613.05	165,995.99-	28,617.06
F H L M C GD A81223							
CUSIP 312927LC8							
11/09/09	11/12/09	328390030	528,223.2200	107.34	567,014 61	544,236.24-	22,778.37
F H L M C GD A88048							
CUSIP 3129345H1							
7/27/10	7/29/10	328390030	4,942.4300	107.72	5,323.93	5,233.57-	90.36
8/26/10	8/30/10	328390030	54,307.4700	106.50	57,837.46	57,506.51-	330 95
F H L M C GD G13593							
CUSIP 3128MCGA7							
2/23/10	2/25/10	328390030	41,048.5300	103.72	42,575.03	41,927.22-	647 81
7/27/10	7/29/10	328390030	21,295 2900	105.61	22,489.83	21,751.14-	738.69
8/26/10	8/30/10	328390030	309,864.1600	105.50	326,906.69	316,497.18-	10,409.51
F H L M C GD J11738							
CUSIP 3128PQ4X6							
7/27/10	7/29/10	328390030	9,717.7200	105.61	10,262.83	10,109 47-	153 36

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8/26/10	8/30/10	328390030	115,763.8300	105.50	122,130.85	120,430.56-	1,700.29
		F H L M C GD J11783 4.500% 3/01/25 CUSIP 3128PQ6U0					
7/27/10	7/29/10	328390030	9,518.2800	105.61	10,052.20	9,901.99-	150.21
8/26/10	8/30/10	328390030	89,729.2100	105.50	94,664.32	93,346.41-	1,317.91
		F H L M C MLTCL MTG 3.398% 7/25/19 CUSIP 31398VJ80					
3/26/10	4/06/10	328390030	575,000.0000	101.13	581,468.75	580,730.45-	738.30
		F H L M C MLTCL MTG 5.500% 10/15/14 CUSIP 31397KPG0					
2/23/10	2/25/10	328390030	4,582.8100	101.88	4,668.74	2,374.95-	2,293.79
7/22/10	7/27/10	328390030	52,259.9900	101.00	52,782.60	27,082.76-	25,699.84
		F N M A M T N 1.750% 3/23/11 CUSIP 31398AVQ2					
5/17/10	5/18/10	328390030	610,000.0000	101.10	616,728.30	619,455.00-	2,726.70-
		F N M A M T N 2.500% 5/15/14 CUSIP 31398AXJ6					
12/17/09	12/18/09	328390030	230,000.0000	101.11	232,549.55	224,549.92-	7,999.63
4/14/10	4/15/10	328390030	45,000.0000	100.64	45,287.87	43,933.68-	1,354.19
		F N M A M T N 4.375% 7/17/13 CUSIP 31359MSL8					
9/23/10	9/24/10	328390030	335,000.0000	109.68	367,407.90	359,605.75-	7,802.15
		F N M A #AA2523 5.500% 3/01/39 CUSIP 31416KYV4					
11/09/09	11/12/09	328390030	247,810.0900	105.45	261,323.48	255,126.57-	6,196.91



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F N M A #AA4425 CUSIP 31416M4K7							
2/23/10	2/25/10	328390030	8,212.5700	105.38	8,654.00	8,463.49-	190.51
7/27/10	7/29/10	328390030	8,159.9100	107.81	8,797.41	8,409.22-	388.19
8/26/10	8/30/10	328390030	150,781.7900	106.63	160,771.07	155,388.56-	5,382.51
F N M A #AA4434 CUSIP 31416M4U5							
2/23/10	2/25/10	328390030	21,948.7000	103.50	22,716.91	22,546.25-	170.66
7/27/10	7/29/10	328390030	24,236.1700	106.27	25,754.72	24,896.00-	858.72
8/10/10	8/12/10	328390030	340,799.7400	106.68	363,564.10	350,078.01-	13,486.09
F N M A #AA5026 CUSIP 31416NSQ6							
2/23/10	2/25/10	328390030	4,626.1400	101.59	4,699.88	4,665.87-	34.01
7/27/10	7/29/10	328390030	17,529.5500	104.19	18,263.60	17,680.09-	583.51
8/26/10	8/30/10	328390030	196,090.1600	104.63	205,159.34	197,774.10-	7,385.24
F N M A #AB1051 CUSIP 31416WEZ1							
8/26/10	8/30/10	328390030	389,852.1700	104.50	407,395.52	405,324.44-	2,071.08
F N M A #AC1523 CUSIP 31417KVR5							
8/26/10	8/30/10	328390030	214,187.0000	106.13	227,305.96	229,313.96-	2,008.00-
F N M A #AD2312 CUSIP 31418PSA4							
7/27/10	7/29/10	328390030	4,864.4100	106.75	5,192.76	5,130.43-	62.33

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8/26/10	8/30/10	328390030	77,442.6600	106.13	82,186.03	81,677.81-	508.22
		F N M A #AD3278	4.000%	2/01/25			
		CUSIP 31418QUC5					
8/26/10	8/30/10	328390030	565,845 1500	104 63	592,015.50	591,131.35-	884.15
		F N M A #AD6946	5.500%	6/01/40			
		CUSIP 31418UWG5					
8/26/10	8/30/10	328390030	598,503 8600	106.63	638,154.74	643,157.87-	5,003.13-
		F N M A #AD6992	5.500%	7/01/40			
		CUSIP 31418UXW9					
8/26/10	8/30/10	328390030	269,227.2000	106 63	287,063.51	289,314.07-	2,250 56-
		F N M A #872808	5 840%	6/01/36			
		CUSIP 31409JU99					
2/23/10	2/25/10	328390030	23,489.9600	105.78	24,847.98	23,801.84-	1,046.14
7/16/10	7/27/10	328390030	94,497.6600	106.63	100,758.13	95,752.31-	5,005.82
		F N M A #932121	5.500%	10/01/39			
		CUSIP 31412Q9J9					
7/27/10	7/29/10	328390030	4,869.6500	107.81	5,250.10	5,131.39-	118.71
8/26/10	8/30/10	328390030	87,534.4100	106.63	93,333.57	92,239 38-	1,094.19
		F N M A #935228	5.000%	5/01/39			
		CUSIP 31412UPM6					
2/23/10	2/25/10	328390030	24,643.3200	103 50	25,505.84	25,344.11-	161.73
7/27/10	7/29/10	328390030	23,303.1600	106.27	24,763.25	23,965.84-	797.41
8/26/10	8/30/10	328390030	381,570.4100	105.88	403,987.68	392,421 34-	11,566.34
		F N M A #935235	5.500%	5/01/39			
		CUSIP 31412UPU8					



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2/23/10	2/25/10	328390030	4,404.0500	105.38	4,640.77	4,567.31-	73.46
7/27/10	7/29/10	328390030	8,751.4200	107.81	9,435.13	9,075.84-	359.29
8/26/10	8/30/10	328390030	109,233.6400	106.63	116,470.38	113,282.96-	3,187.42
F N M A #935558 CUSIP 31412VZX1							
7/27/10	7/29/10	328390030	4,939.6200	107.81	5,325.54	5,205.12-	120.42
8/26/10	8/30/10	328390030	73,996.4600	106.63	78,898.73	77,973.77-	924.96
F N M A #959539 CUSIP 31413YPY1							
2/23/10	2/25/10	328390030	20,567.7900	106.59	21,923.98	21,028.15-	895.83
6/09/10	6/28/10	328390030	204,913.4500	107.64	220,570.12	209,499.96-	11,070.16
F N M A #971072 CUSIP 31414NJR6							
10/28/09	10/30/09	328390030	148,909.3300	103.94	154,772.66	152,895.56-	1,877.10
F N M A #971074 CUSIP 31414NJT2							
10/28/09	10/30/09	328390030	133,252.3600	105.39	140,435.50	137,937.50-	2,498.00
F N M A GTD REMIC CUSIP 31398M6S0							
4/20/10	4/30/10	328390030	475,000.0000	100.61	477,894.53	477,375.00-	519.53
F N M A GTD REMIC CUSIP 31395NP92							
2/23/10	2/25/10	328390030	32,828.3400	105.69	34,695.46	34,633.90-	61.56
7/22/10	7/29/10	328390030	284,636.4000	101.03	287,571.72	300,291.41-	12,719.69-



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
8/26/10	8/30/10	G N M A GTD REMIC CUSIP 38376GSW1 328390030	190,000.0000	106.63	202,587.50	196,679.69-	5,907.81
8/26/10	8/30/10	G N M A GTD REMIC CUSIP 38373MY53 328390030					
8/26/10	8/30/10	G N M A GTD REMIC CUSIP 38373Y6X7 328390030	225,000.0000	113.75	255,937.50	239,203.13-	16,734.37
8/26/10	8/30/10	G N M A GTD REMIC CUSIP 38373Y6X7 328390030	600,000.0000	106.25	637,500.00	634,218.75-	3,281.25
11/24/09	11/30/09	S B A GTD DEV PRTN CUSIP 831641EA9 328390030	56,014.2400	106.44	59,620.14	56,130.21-	3,489.93
5/21/10	5/24/10	U S TREASURY BD CUSIP 912810QH4 328390030	40,000.0000	106.19	42,475.00	40,238.84-	2,236.16
8/18/10	8/19/10	328390030	295,000.0000	111.44	328,740.63	305,098.35-	23,642.28
12/18/09	12/21/09	U S TREASURY BD CUSIP 912810QD3 328390030					
12/22/09	12/23/09	328390030	50,000.0000	99.54	49,767.58	50,429.69-	662.11-
2/09/10	2/10/10	328390030	20,000.0000	96.18	19,235.94	20,171.87-	935.93-
2/23/10	2/24/10	328390030	95,000.0000	96.74	91,905.08	91,480.84-	424.24
			30,000.0000	94.52	28,354.69	28,888.69-	534.00-
11/02/09	11/03/09	U S TREASURY BD CUSIP 912810QC5 328390030	50,000.0000	104.22	52,109.38	53,197.01-	1,087.63-



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
11/10/09	11/12/09	328390030	20,000.0000	102.38	20,476.56	21,030.00-	553 44-
11/13/09	11/16/09	328390030	10,000.0000	101.95	10,194.53	10,515.00-	320 47-
11/23/09	11/24/09	328390030	70,000.0000	102.88	72,017.97	73,605.00-	1,587.03-
U S TREASURY BD 5.250% 11/15/28							
12/22/09	12/23/09	CUSIP 912810FF0 328390030	35,000.0000	109.25	38,237.50	39,551.85-	1,314.35-
5/07/10	5/10/10	328390030	20,000.0000	116.46	23,292.19	22,167.43-	1,124.76
6/21/10	6/24/10	328390030	40,000.0000	116.59	46,634.38	44,334.87-	2,299 51
7/12/10	7/13/10	328390030	60,000.0000	119.22	71,533.59	66,502.29-	5,031 30
9/23/10	9/24/10	328390030	470,000.0000	126 17	592,971.09	532,297.27-	60,673.82
U S TREASURY I P 1 250% 4/15/14							
7/13/10	7/16/10	CUSIP 912828KM1 328390030	170,034.1500	103.93	176,709 31	176,559.48-	149.83
U S TREASURY I P 1.250% 7/15/20							
8/06/10	8/09/10	CUSIP 912828NM8 328390030	105,017.8500	102.27	107,397.16	104,331.57-	3,065.59
9/23/10	9/24/10	328390030	99,961 0000	105.01	104,970.76	99,305.09-	5,665.67
U S TREASURY I P 1.375% 1/15/20							
7/08/10	7/15/10	CUSIP 912828MF4 328390030	231,957.3000	100.88	234,005.04	231,741.68-	2,263.36
U S TREASURY I P 1.875% 7/15/19							
1/08/10	1/11/10	CUSIP 912828LA6 328390030	258,233 4000	104.56	270,005.21	260,896.76-	9,108.45



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	U S TREASURY I P CUSIP 912810PZ5	2 500% 1/15/29	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
7/13/10	7/15/10	328390030			167,602.0500	110.83	185,750.21	169,500 30-	16,249.91
7/16/10	7/20/10	328390030			81,269.6000	111.61	90,704.49	82,190.05-	8,514 44
8/11/10	8/16/10	328390030			86,347.2500	114.10	98,520.19	87,316 54-	11,203.65
9/23/10	9/24/10	U S TREASURY NT CUSIP 912828PH7 328390030		0.375% 8/31/12	1,185,000.0000	99.91	1,183,935.35	1,182,037.50-	1,897.85
7/09/10	7/09/10	U S TREASURY NT CUSIP 912828NS5 328390030		0.625% 6/30/12					
8/19/10	8/20/10	328390030			200,000.0000	99.99	199,968.75	200,000.00-	31.25-
9/07/10	9/09/10	U S TREASURY NT CUSIP 912828NQ9 328390030		0.625% 7/31/12	975,000 0000	100.19	976,866 21	975,835.36-	1,030.85
7/06/10	7/07/10	U S TREASURY NT CUSIP 912828NE6 328390030			1,235,000.0000	100 26	1,238,232.23	1,237,565.82-	666.41
9/23/10	9/24/10	U S TREASURY NT CUSIP 912828NU0 328390030		0.750% 8/15/13	380,000.0000	100.27	381,024.22	380,712.50-	311 72
12/08/09	12/09/09	U S TREASURY NT CUSIP 912828MM9 328390030		0.750% 11/30/11	5,000.0000	100.34	5,016.80	4,993 75-	23.05
2/01/10	2/02/10	328390030			325,000.0000	100.02	325,050.78	324,593.75-	457.03
					325,000 0000	99 95	324,822.27	324,593.75-	228 52



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U S TREASURY NT							
CUSIP 912828MJ6							
3/03/10	3/04/10	328390030	455,000.0000	100.20	455,906.45	455,175.00-	731.45
U S TREASURY NT							
CUSIP 912828MQ0							
3/29/10	3/31/10	328390030	150,000.0000	99.77	149,648.44	150,199.22-	550.78-
3/26/10	3/31/10	328390030	285,000.0000	99.74	284,265.23	285,378.51-	1,113.28-
U S TREASURY NT							
CUSIP 912828MU1							
3/29/10	3/31/10	328390030	195,000.0000	99.91	194,824.80	194,759.18-	65.62
4/05/10	4/06/10	328390030	35,000.0000	99.68	34,889.26	34,956.78-	67.52-
4/12/10	4/12/10	328390030	315,000.0000	99.88	314,630.86	314,610.99-	19.87
U S TREASURY NT							
CUSIP 912828LW8							
10/01/09	10/02/09	328390030	265,000.0000	100.24	265,631.44	265,165.63-	465.81
10/05/09	10/06/09	328390030	340,000.0000	100.24	340,823.44	340,212.50-	610.94
11/10/09	11/10/09	328390030	435,000.0000	100.42	436,801.17	435,271.87-	1,529.30
11/12/09	11/16/09	328390030	500,000.0000	100.42	502,089.84	500,312.50-	1,777.34
U S TREASURY NT							
CUSIP 912828LT5							
12/07/09	12/08/09	328390030	670,000.0000	100.45	673,009.77	672,410.16-	599.61
U S TREASURY NT							
CUSIP 912828NH9							
8/16/10	8/17/10	328390030	5,000.0000	101.08	5,053.91	5,019.92-	33.99



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
U S TREASURY NT CUSIP 912828MB3							
1/22/10	1/25/10	328390030	165,000.0000	99.44	164,078.32	163,776.48-	301.84
1/27/10	1/28/10	328390030	280,000.0000	99.42	278,381.25	277,923.72-	457.53
U S TREASURY NT CUSIP 912828MG2							
2/01/10	2/02/10	328390030	165,000.0000	99.93	164,877.54	165,000.00-	122.46-
3/15/10	3/16/10	328390030	310,000.0000	99.81	309,394.53	310,624.61-	1,230.08-
U S TREASURY NT CUSIP 912828MT4							
3/26/10	3/31/10	328390030	120,000.0000	99.18	119,020.31	119,521.88-	501.57-
4/30/10	5/03/10	328390030	210,000.0000	99.67	209,310.94	208,943.16-	367.78
U S TREASURY NT CUSIP 912828NC0							
6/07/10	6/08/10	328390030	200,000.0000	100.60	201,203.13	199,781.25-	1,421.88
6/30/10	7/01/10	328390030	5,000.0000	101.21	5,060.55	4,994.53-	66.02
U S TREASURY NT CUSIP 912828LM0							
10/08/09	10/09/09	328390030	75,000.0000	100.12	75,090.82	74,859.38-	231.44
U S TREASURY NT CUSIP 912828LR9							
11/16/09	11/17/09	328390030	245,000.0000	100.20	245,478.52	244,591.01-	887.51
U S TREASURY NT CUSIP 912828LX6							
12/08/09	12/09/09	328390030	230,000.0000	100.54	231,230.86	230,275.85-	955.01

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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
12/16/09	12/17/09	328390030	85,000.0000	100.25	85,212.50	85,101.94-	110.56
12/22/09	12/23/09	328390030	380,000.0000	100.24	380,890.63	381,223.00-	332.37-
U S TREASURY NT CUSIP 912828MX5							
5/13/10	5/17/10	328390030	205,000.0000	101.06	207,162.11	206,285.35-	876.76
U S TREASURY NT CUSIP 912828NL0							
8/04/10	8/05/10	328390030	110,000.0000	101.47	111,615.63	110,737.05-	878.58
8/26/10	8/27/10	328390030	1,335,000.0000	102.58	1,369,417.97	1,346,115.49-	23,302.48
U S TREASURY NT CUSIP 912828NF3							
6/04/10	6/08/10	328390030	325,000.0000	100.65	327,094.73	325,000.00-	2,094.73
6/29/10	6/30/10	328390030	310,000.0000	101.84	315,715.63	310,143.59-	5,572.04
7/06/10	7/07/10	328390030	675,000.0000	101.80	687,169.12	675,312.66-	11,856.46
U S TREASURY NT CUSIP 912828MH0							
2/08/10	2/09/10	328390030	205,000.0000	100.06	205,120.12	203,814.84-	1,305.28
3/24/10	3/25/10	328390030	575,000.0000	98.63	567,138.67	571,672.51-	4,533.84-
3/26/10	3/29/10	328390030	625,000.0000	98.76	617,260.74	621,383.16-	4,122.42-
U S TREASURY NT CUSIP 912828LK4							
10/02/09	10/05/09	328390030	110,000.0000	100.97	111,069.92	109,745.28-	1,324.64
10/05/09	10/06/09	328390030	160,000.0000	100.95	161,512.50	159,629.50-	1,883.00
10/08/09	10/14/09	328390030	610,000.0000	101.06	616,481.25	609,442.76-	7,038.49

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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
U S TREASURY NT CUSIP 912828LQ1							
10/14/09	10/15/09	328390030	70,000.0000	100.15	70,106.64	70,669.80-	563.16-
11/12/09	11/13/09	328390030	675,000.0000	100.63	679,218.75	680,309.20-	1,090.45-
U S TREASURY NT CUSIP 912828LS7							
12/08/09	12/09/09	328390030	100,000.0000	101.36	101,359.38	100,552.78-	806.60
1/04/10	1/05/10	328390030	1,180,000.0000	99.12	1,169,628.91	1,186,134.92-	16,506.01-
U S TREASURY NT CUSIP 912828MW7							
4/01/10	4/06/10	328390030	130,000.0000	99.59	129,466.80	129,334.39-	132.41
4/30/10	5/03/10	328390030	1,145,000.0000	100.44	1,149,999.07	1,138,716.20-	11,282.87
U S TREASURY NT CUSIP 912828MZ0							
5/28/10	6/01/10	328390030	1,130,000.0000	101.89	1,151,364.06	1,133,354.74-	18,009.32
U S TREASURY NT CUSIP 912828NT3							
9/23/10	9/24/10	328390030	675,000.0000	101.08	682,277.34	673,558.59-	8,718.75
U S TREASURY NT CUSIP 912828ME7							
1/06/10	1/07/10	328390030	165,000.0000	100.05	165,083.79	164,871.09-	212.70
1/07/10	1/08/10	328390030	100,000.0000	100.05	100,046.88	99,921.88-	125.00
1/08/10	1/11/10	328390030	100,000.0000	100.22	100,218.75	99,921.87-	296.88
2/01/10	2/02/10	328390030	1,380,000.0000	101.35	1,398,651.54	1,382,007.62-	16,643.92

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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
U S TREASURY NT CUSIP 912828LY4							
1/06/10	1/07/10	328390030	75,000.0000	96.44	72,328.13	74,637.49-	2,309.36-
1/07/10	1/08/10	328390030	100,000.0000	96.33	96,332.03	99,516.66-	3,184.63-
1/22/10	1/25/10	328390030	35,000.0000	98.18	34,361.52	34,830.83-	469.31-
2/02/10	2/03/10	328390030	55,000.0000	97.77	53,773.24	54,672.37-	899.13-
2/23/10	2/24/10	328390030	905,000.0000	96.54	873,678.52	898,121.17-	24,442.65-
U S TREASURY NT CUSIP 912828ND8							
6/04/10	6/08/10	328390030	190,000.0000	102.53	194,801.95	189,317.19-	5,484.76
6/29/10	6/30/10	328390030	85,000.0000	104.64	88,944.53	85,286.72-	3,657.81
6/30/10	6/30/10	328390030	65,000.0000	104.67	68,036.72	65,219.25-	2,817.47
7/15/10	7/16/10	328390030	35,000.0000	104.20	36,468.36	35,277.73-	1,190.63
7/15/10	7/16/10	328390030	80,000.0000	104.48	83,581.25	80,634.80-	2,946.45
7/16/10	7/19/10	328390030	75,000.0000	104.71	78,530.27	75,595.13-	2,935.14
7/19/10	7/20/10	328390030	80,000.0000	104.79	83,831.25	80,634.81-	3,196.44
8/16/10	8/17/10	328390030	370,000.0000	107.49	397,692.19	382,729.11-	14,963.08
U S TREASURY NT CUSIP 912828MP2							
2/24/10	3/01/10	328390030	255,000.0000	99.42	253,515.82	251,175.00-	2,340.82
3/08/10	3/09/10	328390030	65,000.0000	99.25	64,509.96	64,059.21-	450.75
4/22/10	4/23/10	328390030	115,000.0000	99.10	113,962.30	113,150.24-	812.06
4/22/10	4/27/10	328390030	200,000.0000	98.72	197,429.69	196,783.03-	646.66

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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
4/28/10	4/29/10	328390030	45,000.0000	99.18	44,632.62	44,276.18-	356.44
5/03/10	5/05/10	328390030	175,000.0000	99.37	173,899.41	172,607.54-	1,291.87
5/05/10	5/06/10	328390030	55,000.0000	100.58	55,320.12	54,248.08-	1,072.04
5/07/10	5/11/10	328390030	120,000.0000	101.83	122,193.75	119,192.54-	3,001.21
5/13/10	5/17/10	328390030	575,000.0000	100.63	578,589.70	571,580.45-	7,009.25
U S TREASURY NT 3.625% 8/15/19							
CUSIP 912828LJ7							
10/01/09	10/02/09	328390030	90,000.0000	103.48	93,128.91	91,170.51-	1,958.40
10/02/09	10/05/09	328390030	40,000.0000	103.62	41,446.88	40,520.23-	926.65
10/05/09	10/06/09	328390030	145,000.0000	103.63	150,256.25	146,885.81-	3,370.44
11/03/09	11/04/09	328390030	40,000.0000	101.18	40,470.31	40,573.07-	102.76-
11/10/09	11/12/09	328390030	55,000.0000	101.48	55,812.11	55,745.69-	66.42
11/10/09	11/12/09	328390030	75,000.0000	101.15	75,861.33	76,016.85-	155.52-
11/12/09	11/13/09	328390030	40,000.0000	101.29	40,515.63	40,542.32-	26.69-
11/23/09	11/24/09	328390030	825,000.0000	101.99	841,371.09	836,185.34-	5,185.75
U S TREASURY NT 4.625% 2/15/40							
CUSIP 912810QE1							
5/17/10	5/18/10	328390030	145,000.0000	104.88	152,068.75	146,130.27-	5,938.48
US TREASURY BD 3.875% 8/15/40							
CUSIP 912810QK7							
8/18/10	8/23/10	328390030	15,000.0000	103.02	15,452.34	15,375.00-	77.34
9/22/10	9/23/10	328390030	45,000.0000	102.06	45,928.13	45,981.44-	53.31-
9/23/10	9/24/10	328390030	290,000.0000	103.21	299,311.72	296,324.81-	2,986.91

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TOTAL US TREAS & AGENCY OBLIGATI			40,999,148.4800		41,957,609.70	41,455,375.08	502,234.62

CORPORATE OBLIGATIONS							

A O L TIME WARNER 6 750% 4/15/11							
CUSIP 00184AAB1							
1/19/10	1/21/10	328390030					
3/10/10	3/11/10	328390030	10,000.0000	106.30	10,630.30	10,070.76	559.54
			35,000.0000	106.63	37,318.75	35,247.65	2,071.10
ALTRIA GROUP INC 10.200% 2/06/39							
CUSIP 02209SAH6							
8/25/10	8/30/10	328390030	65,000.0000	144.62	94,001.70	64,975.95	29,025.75
AMERICAN EXPRESS 5.875% 5/02/13							
CUSIP 0258MOCW7							
8/25/10	8/30/10	328390030					
AMERICAN EXPRESS 8.125% 5/20/19							
CUSIP 025816BB4							
6/28/10	7/01/10	328390030	60,000.0000	123.83	74,299.80	72,658.20	1,641.60
AMERICAN EXPRESS 8.150% 3/19/38							
CUSIP 025816AZ2							
1/07/10	1/12/10	328390030					
AMERICAN EXPRESS 0.32734% 3/16/15							
CUSIP 02582JDS6							
2/23/10	2/25/10	328390030	15,000.0000	99.31	14,896.88	12,965.63	1,931.25
6/24/10	6/29/10	328390030	120,000.0000	99.72	119,662.50	103,725.00	15,937.50
AMERICREDIT AUTO REC 1.460% 11/06/13							
CUSIP 03061LAB9							



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6/24/10	6/29/10	328390030	305,000.0000	100.17	305,524.22	304,995.33-	528.89
ANTHEM INC							
1/19/10	1/21/10	CUSIP 03674BAC8 328390030	6.800%	8/01/12			
8/25/10	8/30/10	328390030	15,000.0000	111.02	16,653.30	15,459.75-	1,193.55
			55,000.0000	109.42	60,178.80	56,685.75-	3,493.05
AOL TIME WARNER							
7/14/10	7/19/10	CUSIP 00184AAG0 328390030	7.700%	5/01/32			
			35,000.0000	118.16	41,353.90	34,528.20-	6,825.70
ASSURANT INC							
8/25/10	8/30/10	CUSIP 04621XAC2 328390030	5.625%	2/15/14			
			45,000.0000	106.60	47,970.90	43,213.50-	4,757.40
AT&T INC							
7/22/10	7/27/10	CUSIP 00206RAS1 328390030	6.550%	2/15/39			
8/25/10	8/30/10	328390030	30,000.0000	112.26	33,678.90	30,944.40-	2,734.50
			40,000.0000	118.49	47,396.80	41,259.20-	6,137.60
BANK OF AMER CRP							
10/19/09	10/22/09	CUSIP 06051GEA3 328390030	6.500%	8/01/16			
11/17/09	11/20/09	328390030	20,000.0000	105.88	21,176.40	20,986.30-	190.10
6/16/10	6/21/10	328390030	30,000.0000	107.15	32,143.80	31,479.45-	664.35
8/25/10	8/30/10	328390030	35,000.0000	106.47	37,265.20	36,726.03-	539.17
			35,000.0000	111.62	39,066.30	36,726.02-	2,340.28
BANK OF AMERICA CORP							
9/23/10	9/24/10	CUSIP 06050BAA9 328390030	3.125%	6/15/12			
			85,000.0000	104.45	88,777.40	85,800.70-	2,976.70

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BEAR STEARNS COML MT 4.740% 3/13/40							
CUSIP 07383FQU5							
2/23/10	2/25/10	328390030	30,000.0000	103.56	31,068.75	30,257.81-	810.94
7/21/10	7/26/10	328390030	235,000.0000	105.76	248,540.04	237,019.53-	11,520.51
BECKMAN COULTER							
CUSIP 075811AE9							
10/19/09	10/22/09	328390030	25,000.0000	109.43	27,357.00	25,799.79-	1,557.21
11/18/09	11/23/09	328390030	30,000.0000	110.86	33,258.00	30,959.75-	2,298.25
8/25/10	8/30/10	328390030	40,000.0000	113.52	45,407.20	41,279.66-	4,127.54
CA INC							
CUSIP 12673PAC9							
1/19/10	1/21/10	328390030	15,000.0000	102.36	15,353.40	14,921.25-	432.15
3/23/10	3/26/10	328390030	55,000.0000	101.98	56,087.90	54,711.25-	1,376.65
CAPITAL ONE BANK							
CUSIP 140420MV9							
10/19/09	10/22/09	328390030	20,000.0000	115.28	23,055.40	20,365.60-	2,689.80
1/19/10	1/21/10	328390030	20,000.0000	120.83	24,166.40	20,365.60-	3,800.80
5/06/10	5/11/10	328390030	60,000.0000	120.50	72,300.60	61,096.80-	11,203.80
CAPITAL ONE FINL							
CUSIP 14040HAS4							
8/25/10	8/30/10	328390030	65,000.0000	117.10	76,112.40	74,579.70-	1,532.70
CATERPILLAR FIN SERV 5.500% 3/15/16							
CUSIP 14912L2Y6							
1/07/10	1/12/10	328390030	50,000.0000	107.67	53,835.00	49,442.00-	4,393.00



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8/26/10	8/30/10	CENTERPOINT ENERGY CUSIP 15200DAC1 328390030	105,000.0000	110.63	116,156.25	104,684.18-	11,472.07
8/26/10	8/30/10	CENTERPOINT ENERGY CUSIP 15200DAD9 328390030	50,000.0000	114.63	57,312.50	48,642.58-	8,669.92
1/08/10	1/13/10	CENTERPOINT HOUS CNP CUSIP 15189XAJ7 328390030	35,000.0000	114.98	40,243.70	34,992.30-	5,251.40
2/23/10	2/25/10	CITIBANK CR CARD CUSIP 17305ECN3 328390030	15,000.0000	106.19	15,928.13	14,975.10-	953.03
6/24/10	6/30/10	328390030	65,000.0000	106.09	68,960.94	64,892.10-	4,068.84
8/05/10	8/10/10	CITIGROUP INC CUSIP 172967FF3 328390030	30,000.0000	100.17	30,050.40	29,698.50-	351.90
8/25/10	8/30/10	CITIGROUP INC CUSIP 172967EQ0 328390030	50,000.0000	106.17	53,084.50	50,706.00-	2,378.50
5/06/10	5/11/10	CITIGROUP INC CUSIP 172967EV9 328390030	70,000.0000	115.83	81,077.50	73,751.30-	7,326.20
2/23/10	2/25/10	CNH EQUIPMENT TRUST CUSIP 12612AAD4 328390030	20,000.0000	101.06	20,212.50	19,997.91-	214.59

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8/26/10	8/30/10	328390030	195,000.0000	104.13	203,043.75	194,979.62-	8,064.13
2/09/10	2/12/10	COMCAST CORP CUSIP 20030NAW1 328390030	5 700% 5/15/18				
7/22/10	7/27/10	COMCAST CORP CUSIP 20030NAP6 328390030	6.500% 1/15/17				
8/25/10	8/30/10	328390030	30,000.0000	115.54	34,660.80	34,222.50-	438.30
6/23/10	6/28/10	COMCAST CORP CUSIP 20030NAY7 328390030	6.550% 7/01/39				
8/26/10	8/30/10	CONSUMERS FDG LLC CUSIP 210523AF3 328390030	5 760% 10/20/16				
8/25/10	8/30/10	COX COMMUN INC CUSIP 224044AY3 328390030	7.750% 11/01/10				
10/19/09	10/22/09	CRED SUIS NY CUSIP 22541HCC4 328390030	6.000% 2/15/18				
7/22/10	7/27/10	328390030	25,000.0000	104.51	26,126.25	25,194.56-	931.69
8/25/10	8/30/10	328390030	20,000.0000	107.13	21,426.00	20,155.64-	1,270.36
8/25/10	8/30/10	328390030	30,000.0000	108.75	32,623.80	30,233.47-	2,390.33

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CRH AMERICA INC									
CUSIP 12626PAG8									
10/19/09	10/22/09	328390030			25,000.0000	102.15	25,537.25	24,496.31-	1,040.94
1/19/10	1/21/10	328390030			20,000.0000	105.56	21,112.20	19,597.05-	1,515.15
7/01/10	7/07/10	328390030			60,000.0000	109.98	65,989.80	58,791.14-	7,198.66
CVS CAREMARK									
CUSIP 126650BR0									
6/24/10	6/29/10	328390030	6.125%	9/15/39	60,000.0000	104.64	62,784.00	59,762.40-	3,021.60
CVS CAREMARK CORP									
CUSIP 126650BH2									
8/25/10	8/30/10	328390030	5.750%	6/01/17	60,000.0000	114.44	68,662.20	66,471.60-	2,190.60
CYTEC INDUSTRIES INC									
CUSIP 232820AG5									
7/15/10	7/20/10	328390030	6.000%	10/01/15	90,000.0000	109.67	98,705.73	97,636.50-	1,069.23
DAIMLERCHRYSLER NA									
CUSIP 233835AW7									
8/25/10	8/30/10	328390030	6.500%	11/15/13	60,000.0000	113.30	67,981.20	63,933.60-	4,047.60
DISCOVERY COMMUN									
CUSIP 25470DAC3									
7/22/10	7/27/10	328390030	5.050%	6/01/20	30,000.0000	104.74	31,420.50	30,855.60-	564.90
8/25/10	8/30/10	328390030			40,000.0000	107.23	42,890.80	41,140.80-	1,750.00
DOW CHEMICAL CO									
CUSIP 260543BX0									
10/19/09	10/22/09	328390030	8.550%	5/15/19	30,000.0000	114.42	34,325.10	29,866.72-	4,458.38
11/17/09	11/20/09	328390030			30,000.0000	118.87	35,659.20	29,866.72-	5,792.48



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1/19/10	1/21/10	328390030	15,000.0000	118.77	17,815.80	14,933.36-	2,882.44
6/03/10	6/08/10	328390030	50,000.0000	119.16	59,581.00	49,777.85-	9,803.15
ENERGY TRANSFER PART 5 650% 8/01/12							
11/23/09	11/27/09	CUSIP 29273RAD1 328390030	50,000.0000	106.87	53,436.00	50,628.50-	2,807.50
ENTERPRISE OP LL 6.500% 1/31/19							
8/25/10	8/30/10	CUSIP 29379VAC7 328390030	40,000.0000	116.81	46,724.00	41,312.00-	5,412.00
EQUIFAX INC 7.000% 7/01/37							
8/25/10	8/30/10	CUSIP 294429AG0 328390030	40,000.0000	109.40	43,758.40	40,092.40-	3,666.00
EXELON GENERATION 6.200% 10/01/17							
7/22/10	7/27/10	CUSIP 30161MAE3 328390030	30,000.0000	114.41	34,322.10	34,161.60-	160.50
8/25/10	8/30/10	328390030	35,000.0000	116.83	40,888.75	39,855.20-	1,033.55
EXELON GENERATION 6.250% 10/01/39							
1/19/10	1/21/10	CUSIP 30161MAG8 328390030	15,000.0000	102.64	15,396.15	15,253.65-	142.50
6/30/10	7/06/10	328390030	65,000.0000	106.40	69,158.70	66,099.15-	3,059.55
FEDERAL EXPRESS 7.020% 1/15/16							
8/26/10	8/31/10	CUSIP 31331FAZ4 328390030	40,393.4900	108.42	43,793.42	44,210.70-	417.28-
FIFTH THIRD BANK 4.750% 2/01/15							
8/25/10	8/30/10	CUSIP 31677AA2 328390030	45,000.0000	105.38	47,419.20	45,246.15-	2,173.05



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GE EQUIPMENT MID							
CUSIP 36161FAC9			2 340%	6/17/13			
2/23/10	2/25/10	328390030					
6/24/10	6/30/10	328390030	20,000.0000	101.19	20,237.50	19,996.52-	240.98
			125,000.0000	101.20	126,503.91	124,978.25-	1,525.66
GE EQUIPMENT MID							
CUSIP 36161FAD7			3.130%	11/16/20			
2/23/10	2/25/10	328390030					
8/26/10	8/30/10	328390030	15,000.0000	101.25	15,187.50	14,996.60-	190.90
			245,000.0000	102.88	252,043.75	244,944.51-	7,099.24
GEN ELEC CAP CRP							
CUSIP 36967HAD9			3.000%	12/09/11			
9/23/10	9/24/10	328390030					
			170,000.0000	103.15	175,358.40	170,698.70-	4,659.70
GEN ELEC CAP CRP							
CUSIP 36962G4J0			5.500%	1/08/20			
5/06/10	5/11/10	328390030					
			65,000.0000	101.22	65,791.05	64,693.85-	1,097.20
GENERAL ELEC CAP CRP							
CUSIP 36962G4B7			6.875%	1/10/39			
1/07/10	1/12/10	328390030					
			65,000.0000	104.40	67,857.40	64,648.35-	3,209.05
GENERAL ELECTRIC CAP							
CUSIP 36962G4C5			5.900%	5/13/14			
8/25/10	8/30/10	328390030					
			60,000.0000	112.55	67,529.40	59,933.40-	7,596.00
GOLDMAN SACHS GP							
CUSIP 38141EA58			5.375%	3/15/20			
6/16/10	6/21/10	328390030					
8/25/10	8/30/10	328390030	55,000.0000	96.74	53,206.45	54,081.50-	875.05-
			55,000.0000	103.50	56,923.90	54,081.50-	2,842.40



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GOLDMAN SACHS GP CUSIP 38141GFG4							
3/01/10	3/08/10	328390030	45,000.0000	104.17	46,877.85	44,619.75-	2,258.10
GOLDMAN SACHS GROUP CUSIP 38141GFD1							
10/19/09	10/22/09	328390030	30,000.0000	104.77	31,429.20	26,872.08-	4,557.12
11/17/09	11/20/09	328390030	30,000.0000	104.68	31,402.20	26,872.08-	4,530.12
1/19/10	1/21/10	328390030	15,000.0000	101.36	15,203.25	13,436.04-	1,767.21
5/07/10	5/12/10	328390030	50,000.0000	91.38	45,687.00	44,786.80-	900.20
GREENWICH CAP COML CUSIP 396789FP9							
2/23/10	2/25/10	328390030	5,182.2100	99.88	5,175.74	5,142.44-	33.30
HARLEY DAVIDSON CUSIP 41283GAD8							
3/04/10	3/09/10	328390030	155,000.0000	101.72	157,664.06	154,985.65-	2,678.41
HCP INC CUSIP 421915EB1							
3/12/10	3/17/10	328390030	75,000.0000	106.02	79,510.50	70,875.00-	8,635.50
HEALTH CARE PPTY CUSIP 421915EJ4							
8/25/10	8/30/10	328390030	95,000.0000	105.31	100,043.55	88,455.25-	11,588.30
HEALTH CARE REIT INC 5.875% 5/15/15 CUSIP 42217KAM8							
8/25/10	8/30/10	328390030	70,000.0000	108.78	76,146.70	69,834.90-	6,311.80



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		INTL LEASE FIN CUSIP 45974VA99 328390030	5 400%	2/15/12			
11/09/09	11/13/09		40,000.0000	82.00	32,800.00	40,481.60-	7,681.60-
		INTL LEASE FIN CUSIP 45974VB72 328390030	6.375%	3/25/13			
11/09/09	11/17/09		50,000.0000	78.00	39,000.00	50,705.00-	11,705.00-
		INTL LEASE FIN CORP CUSIP 459745FP5 328390030	5.000%	4/15/10			
11/09/09	11/13/09		50,000.0000	98.75	49,375.00	49,806.87-	431.87-
		INTL PAPER CO CUSIP 460146CD3 328390030	9.375%	5/15/19			
6/22/10	6/25/10		50,000.0000	127.29	63,643.50	62,628.50-	1,015.00
		JP MORGAN CHASE CUSIP 48121CVK6 328390030	6.000%	10/01/17			
1/06/10	1/11/10		65,000.0000	107.91	70,143.45	62,559.20-	7,584.25
		JPM CHASE CAPITAL CUSIP 46631VAA9 328390030	6.800%	10/01/37			
1/19/10	1/21/10		25,000.0000	99.85	24,962.50	23,405.18-	1,557.32
8/25/10	8/30/10		95,000.0000	101.66	96,578.90	88,939.67-	7,639.23
		JPMORGAN CHASE CUSIP 481247AE4 328390030	2.125%	6/22/12			
9/23/10	9/24/10		170,000.0000	102.76	174,692.00	169,915.00-	4,777.00
		JPMORGAN CHASE CUSIP 481247AA2 328390030	3.125%	12/01/11			
9/23/10	9/24/10		85,000.0000	103.24	87,754.85	85,184.45-	2,570.40



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8/25/10	8/30/10	LINCOLN NATL CRP CUSIP 534187AY5 328390030			60,000.0000	111.33	66,796.80	61,830.60-	4,966.20
8/26/10	8/30/10	LONG BEACH AUTO REC CUSIP 542391CA3 328390030	4.250%	4/15/12	38,545.2500	99.75	38,448.90	37,912.02-	536.88
2/23/10	2/25/10	MASTR ASSET CUSIP 55265KXU8 328390030	5.500%	6/25/33	3,925.5700	93.34	3,664.28	3,816.05-	151.77-
2/23/10	3/17/10	328390030			3,925.5700-	93.34	3,664.28-	3,816.05	151.77
2/23/10	3/17/10	328390030			3,880.7900	93.34	3,622.48	3,772.52-	150.04-
8/26/10	8/30/10	328390030			100,502.7900	95.00	95,477.66	97,739.93-	2,262.27-
6/15/10	6/18/10	MERRILL LYNCH & CO CUSIP 5901884M7 328390030	6.050%	5/16/16	50,000.0000	100.32	50,157.00	49,368.25-	788.75
8/25/10	8/30/10	328390030			50,000.0000	105.57	52,786.50	49,368.25-	3,418.25
1/27/10	2/01/10	MERRILL LYNCH & CO CUSIP 59022CAJ2 328390030	6.110%	1/29/37	70,000.0000	90.54	63,379.40	65,583.00-	2,203.60-
8/25/10	8/30/10	METLIFE INC CUSIP 59156PAU2 328390030	6.750%	6/01/16	45,000.0000	118.18	53,179.65	44,893.35-	8,286.30
10/19/09	10/22/09	MORGAN STANLEY CUSIP 61748AAE6 328390030	4.750%	4/01/14	20,000.0000	100.05	20,010.40	17,202.83-	2,807.57



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1/19/10	1/21/10	328390030	20,000.0000	102.00	20,399.40	17,202.84-	3,196.56
8/25/10	8/30/10	328390030	60,000.0000	102.88	61,728.00	51,608.50-	10,119.50
8/25/10	8/30/10	NEVADA POWER CO CUSIP 641423BW7 328390030	6.500%	8/01/18			
8/25/10	8/30/10	328390030	45,000.0000	119.43	53,743.05	45,172.65-	8,570.40
8/25/10	8/30/10	NORTHEAST UTILITIES CUSIP 664397AG1 328390030	5.650%	6/01/13			
8/25/10	8/30/10	328390030	55,000.0000	107.84	59,310.35	54,979.10-	4,331.25
8/25/10	8/30/10	OMNICOM GROUP CUSIP 682134AC5 328390030	4.450%	8/15/20			
8/25/10	8/30/10	328390030	45,000.0000	102.28	46,023.75	44,844.30-	1,179.45
7/22/10	7/27/10	PFD HCP INC CUSIP 40414LAA7 328390030	6.700%	1/30/18			
8/25/10	8/30/10	328390030	70,000.0000	106.81	74,764.20	71,154.48-	3,609.72
8/25/10	8/30/10	328390030	50,000.0000	109.53	54,763.50	50,824.62-	3,938.88
1/19/10	1/21/10	PROLOGIS CUSIP 743410AV4 328390030	7.375%	10/30/19			
3/12/10	3/17/10	328390030	15,000.0000	103.90	15,584.70	15,019.85-	564.85
3/12/10	3/17/10	328390030	55,000.0000	103.73	57,050.95	55,072.80-	1,978.15
3/12/10	3/17/10	PROLOGIS 2006 CUSIP 743410AL6 328390030	5.750%	4/01/16			
3/12/10	3/17/10	328390030	80,000.0000	97.85	78,277.60	80,460.40-	2,182.80-
6/24/10	6/29/10	PRUDENTIAL FIN CUSIP 74432QBJ3 328390030	4.750%	9/17/15			
6/24/10	6/29/10	328390030	45,000.0000	103.33	46,497.60	44,895.15-	1,602.45



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	6 100% 6/15/17	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
8/25/10	8/30/10	PRUDENTIAL FIN INC CUSIP 74432QAY1 328390030	6 100% 6/15/17	55,000.0000	111.30	61,215.00	56,609.85-	4,605.15
8/25/10	8/30/10	PSEG POWER CUSIP 69362BAM4 328390030	6 950% 6/01/12	35,000.0000	108.36	37,926.70	36,882.65-	1,044.05
1/19/10	1/21/10	PSEG POWER LLC CUSIP 69362BAN2 328390030	5 500% 12/01/15	15,000.0000	107.80	16,170.15	15,968.25-	201.90
8/25/10	8/30/10	328390030		55,000 0000	112.40	61,818.35	58,550.25-	3,268 10
8/26/10	8/31/10	REINSURANCE GRP CUSIP 759351AG4 328390030	6 450% 11/15/19	70,000.0000	108 28	75,796.00	70,966.70-	4,829 30
7/22/10	7/27/10	SEMPRA ENERGY CUSIP 816851AK5 328390030	9.800% 2/15/19	20,000.0000	133.67	26,732.80	25,499.20-	1,233.60
8/25/10	8/30/10	328390030		20,000.0000	138 05	27,610.00	25,499.20-	2,110.80
10/27/09	11/02/09	SIMON PROP GP IN CUSIP 828807BY2 328390030	5.300% 5/30/13	75,000.0000	103.36	77,518 50	74,614 25-	2,904.25
7/22/10	7/27/10	SIMON PROP GP LP CUSIP 828807CA3 328390030	10.350% 4/01/19	30,000.0000	134.59	40,375.20	38,172.30-	2,202.90
8/25/10	8/30/10	328390030		30,000 0000	139.38	41,813.10	38,172.30-	3,640.80



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SMALL BUSINESS 5.59353% 3/10/16							
8/26/10	8/30/10	CUSIP 831641EB7 328390030	134,091 7600	106.00	142,137.27	134,091.76-	8,045.51
STRUCTURED ASSET 5.500% 10/25/33							
8/26/10	8/30/10	CUSIP 86359A4B6 328390030	133,405.1500	100.63	134,238.94	130,612.00-	3,626.94
TIME WARNER CABLE 8.250% 4/01/19							
6/23/10	6/28/10	CUSIP 88732JAS7 328390030	60,000.0000	122.09	73,252.20	73,311 60-	59 40-
TIME WARNER INC 4.700% 1/15/21							
8/25/10	8/30/10	CUSIP 887317AG0 328390030	40,000.0000	104.42	41,765.60	39,904.80-	1,860.80
UNITED HEALTH GRP 6.000% 2/15/18							
8/25/10	8/30/10	CUSIP 91324PEJ0 328390030	45,000.0000	117.21	52,743 60	48,339.90-	4,403.70
UNITEDHEALTH GROUP 5.250% 3/15/11							
1/22/10	2/08/10	CUSIP 91324PAP7 328390030	50,000.0000	104.44	52,218.00	50,166.50-	2,051.50
UNITEDHEALTH GROUP 6.500% 6/15/37							
2/23/10	2/26/10	CUSIP 91324PAX0 328390030	45,000.0000	103 47	46,561.50	41,718.60-	4,842 90
VERIZON COMM INC 6.100% 4/15/18							
2/09/10	2/12/10	CUSIP 92343VAM6 328390030	65,000.0000	108.48	70,511.35	70,747 30-	235.95-
VIACOM INC 6.875% 4/30/36							
		CUSIP 925524AX8					



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7/22/10	7/27/10	328390030	30,000.0000	112.67	33,801.90	31,082.70-	2,719.20
8/25/10	8/30/10	328390030	35,000.0000	118.45	41,458.55	36,263.15-	5,195.40
WACHOVIA BANK NA CUSIP 92976GAD3							
1/19/10	1/21/10	328390030	20,000.0000	103.40	20,680.20	16,900.00-	3,780.20
8/25/10	8/30/10	328390030	85,000.0000	107.32	91,224.55	71,825.00-	19,399.55
WELLPOINT INC CUSIP 94973VAR8							
6/15/10	6/18/10	328390030	60,000.0000	116.29	69,774.00	67,544.40-	2,229.60
WESTERN UNION CO CUSIP 959802AH2							
8/25/10	8/30/10	328390030	65,000.0000	109.49	71,165.25	63,892.40-	7,272.85
XEROX CORP CUSIP 984121BS1							
1/19/10	1/21/10	328390030	15,000.0000	106.72	16,008.30	14,100.00-	1,908.30
6/28/10	7/01/10	328390030	50,000.0000	106.35	53,175.00	47,000.00-	6,175.00
XEROX CORP CUSIP 984121BY8							
8/25/10	8/30/10	328390030	65,000.0000	119.24	77,502.75	76,392.55-	1,110.20
XEROX CORPORATION CUSIP 984121BW2							
1/19/10	1/21/10	328390030	15,000.0000	106.93	16,039.65	12,587.50-	3,452.15
6/28/10	7/01/10	328390030	60,000.0000	111.26	66,756.60	50,350.00-	16,406.60



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS

TOTAL CORPORATE OBLIGATIONS				8,331,001.4400	8,937,966.42	8,406,812.40-	531,154.02

FOREIGN OBLIGATIONS							

AMERICA MOVIL SA 6.125% 11/15/37							
CUSIP 02364WAP0							
2/05/10	2/10/10	328390030	60,000.0000	98.44	59,064.60	54,409.37-	4,655.23
AMERICA MOVIL SAB 5.625% 11/15/17							
CUSIP 02364WAN5							
8/25/10	8/30/10	328390030	60,000.0000	112.30	67,378.80	63,080.40-	4,298.40
BARCLAYS BANK PLC 5.450% 9/12/12							
CUSIP 06738GUZ1							
1/27/10	2/01/10	328390030	30,000.0000	108.64	32,592.90	31,021.70-	1,571.20
CIA BRAS BEBIDAS 10.500% 12/15/11							
CUSIP 20441XAB8							
1/19/10	1/21/10	328390030	15,000.0000	115.35	17,302.50	17,751.80-	449.30-
7/22/10	7/27/10	328390030	50,000.0000	112.25	56,125.00	59,172.67-	3,047.67-
8/26/10	8/31/10	328390030	50,000.0000	111.00	55,500.00	59,172.67-	3,672.67-
GRUPO TELEvisa 6.000% 5/15/18							
CUSIP 40049JAX5							
8/26/10	8/31/10	328390030	65,000.0000	111.35	72,377.50	69,776.20-	2,601.30
PETROBRAS INTL 5.875% 3/01/18							
CUSIP 71645WAM3							
5/06/10	5/12/10	328390030	30,000.0000	101.79	30,535.80	29,813.28-	722.52
ROYAL BK SCOTLND 5.625% 8/24/20							
CUSIP 78010XAE1							

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8/25/10	8/30/10	328390030	35,000.0000	100.89	35,310.80	35,602.35-	291.55-
TECK RESOURCES 3.850% 8/15/17 CUSIP 878742AR6							
8/26/10	8/31/10	328390030	25,000.0000	97.33	24,331.00	24,988.00-	657.00-
TELECOM IT CAP 5.250% 10/01/15 CUSIP 87927VAQ1							
2/09/10	2/12/10	328390030	70,000.0000	105.59	73,910.20	67,508.20-	6,402.00
TELECOM IT CAP 7.721% 6/04/38 CUSIP 87927VAV0							
5/17/10	5/20/10	328390030	70,000.0000	104.32	73,020.50	78,801.80-	5,781 30-
TRANS CANADA PL 7.625% 1/15/39 CUSIP 8935268Z9							
1/19/10	1/21/10	328390030	20,000.0000	123.80	24,759.80	24,623.20-	136 60
8/25/10	8/30/10	328390030	65,000.0000	135.30	87,941.10	80,025.40-	7,915.70
XSTRATA CANADA CORP 5.500% 6/15/17 CUSIP 655422AU7							
8/26/10	8/31/10	328390030	45,000.0000	106.15	47,768.85	43,444.80-	4,324.05
TOTAL FOREIGN OBLIGATIONS 757,919.35 739,191.84- 18,727.51							
MUNICIPAL OBLIGATIONS -----							
ILLINOIS ST 4.071% 1/01/14 CUSIP 4521518U0							
9/20/10	9/23/10	328390030	35,000.0000	100.23	35,080.15	35,000.00-	80 15

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TOTAL MUNICIPAL OBLIGATIONS			35,000.0000		35,080.15	35,000.00-	80.15
DOMESTIC COMMON STOCKS							

ABOVENET INC CUSIP 00374N107							
9/15/10	9/20/10	328390080	977.0000	52.32	51,112.35	47,670.02-	3,442.33
ACME PACKET INC CUSIP 004764106							
9/15/10	9/20/10	328390080	1,024.0000	36.38	37,256.48	24,982.43-	12,274.05
ACTUANT CORPORATION CUSIP 00508X203							
9/14/10	9/17/10	328390080	1,183.0000	22.38	26,481.00	26,481.09-	0.09-
ADVANCED ENERGY INDS INC CUSIP 007973100							
9/14/10	9/17/10	328390080	1,400.0000	14.95	20,927.68	19,216.15-	1,711.53
AIRGAS INC CUSIP 009363102							
2/05/10	2/10/10	328390080	10.0000	60.53	605.26	306.54-	298.72
3/04/10	3/09/10	328390080	50.0000	65.67	3,283.45	1,532.70-	1,750.75
3/29/10	4/01/10	328390080	194.0000	63.96	12,408.17	5,946.85-	6,461.32
AIRTRAN HLDGS INC CUSIP 00949P108							
9/14/10	9/17/10	328390080	5,507.0000	4.38	24,147.78	25,988.59-	1,840.81-
ALLEGiant TRAVEL CO CUSIP 01748X102							

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6/15/10	6/18/10	328390080	160.0000	48.64	7,782.56	7,769.04-	13.52
6/16/10	6/21/10	328390080	130.0000	47.74	6,205.60	6,312.35-	106.75-
6/24/10	6/29/10	328390080	20.0000	43.28	865.62	971.13-	105.51-
6/28/10	7/01/10	328390080	189.0000	43.07	8,140.05	9,177.17-	1,037.12-
ALLIED NEVADA GOLD CORP CUSIP 019344100							
1/13/10	1/19/10	328390080	160.0000	15.74	2,519.06	1,424.64-	1,094.42
7/27/10	7/30/10	328390080	220.0000	15.77	3,469.71	2,396.64-	1,073.07
9/14/10	9/17/10	328390080	1,488.0000	26.21	38,993.87	16,210.00-	22,783.87
ALLOS THERAPEUTICS INC CUSIP 019777101							
9/29/09	10/02/09	328390080	364.0000	7.39	2,691.78	2,946.93-	255.15-
AMAG PHARMACEUTICALS INC CUSIP 00163V106							
11/30/09	12/03/09	328390080	30.0000	37.13	1,113.87	1,255.93-	142.06-
12/18/09	12/23/09	328390080	90.0000	37.61	3,384.61	3,767.80-	383.19-
2/04/10	2/09/10	328390080	150.0000	41.00	6,149.46	6,279.66-	130.20-
4/28/10	5/03/10	328390080	210.0000	32.75	6,878.37	8,791.51-	1,913.14-
AMERICAN MED SYS HLDGS INC CUSIP 02744M108							
4/09/10	4/14/10	328390080	320.0000	18.32	5,861.05	5,398.84-	462.21
4/09/10	4/14/10	328390080	120.0000	18.37	2,204.96	2,024.56-	180.40
4/21/10	4/26/10	328390080	148.0000	18.76	2,776.07	2,496.96-	279.11



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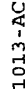
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4/22/10	4/27/10	328390080	130.0000	18.32	2,382.25	2,193.28-	188.97
4/26/10	4/29/10	328390080	482.0000	17.99	8,670.36	8,132.00-	538.36
AMERICAN SUPERCONDUCTOR CORP CUSIP 030111108							
2/01/10	2/04/10	328390080	140.0000	39.55	5,537.18	3,927.93-	1,609.25
3/16/10	3/19/10	328390080	140.0000	26.39	3,695.24	3,927.94-	232.70-
9/14/10	9/17/10	328390080	540.0000	29.13	15,732.68	15,842.43-	109.75-
ANSYS INC CUSIP 03662Q105							
10/12/09	10/15/09	328390080	100.0000	41.84	4,184.41	2,360.09-	1,824.32
1/25/10	1/28/10	328390080	110.0000	40.72	4,478.84	2,596.10-	1,882.74
1/26/10	1/29/10	328390080	190.0000	40.62	7,717.83	4,484.18-	3,233.65
9/14/10	9/17/10	328390080	771.0000	41.97	32,362.48	18,196.34-	14,166.14
ARCSIGHT INC CUSIP 039666102							
3/30/10	4/05/10	328390080	171.0000	27.82	4,756.51	4,478.80-	277.71
9/14/10	9/17/10	328390080	389.0000	43.75	17,020.56	10,912.54-	6,108.02
ARENA PHARMACEUTICALS INC CUSIP 040047102							
7/15/10	7/20/10	328390080	650.0000	4.78	3,108.64	2,086.42-	1,022.22
8/17/10	8/20/10	328390080	134.0000	7.00	938.62	430.12-	508.50
8/25/10	8/30/10	328390080	234.0000	6.77	1,584.78	751.11-	833.67
8/26/10	8/31/10	328390080	130.0000	6.65	863.86	417.28-	446.58



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8/27/10	9/01/10	328390080	340.0000	6.59	2,240.73	1,091.36-	1,149.37
9/09/10	9/14/10	328390080	243.0000	7.15	1,738.07	780.00-	958.07
9/13/10	9/16/10	328390080	463.0000	6.86	3,174.08	1,486.17-	1,687.91
ARUBA NETWORKS INC CUSIP 043176106							
7/30/10	8/04/10	328390080					
8/19/10	8/24/10	328390080	310.0000	17.19	5,330.32	3,520.16-	1,810.16
8/20/10	8/25/10	328390080	160.0000	16.47	2,635.87	1,816.86-	819.01
8/23/10	8/26/10	328390080	50.0000	16.72	835.88	567.77-	268.11
9/15/10	9/20/10	328390080	180.0000	16.59	2,986.25	2,043.97-	942.28
			2,579.0000	20.18	52,054.93	29,285.49-	22,769.44
ATHENAHEALTH INC CUSIP 04685W103							
4/22/10	4/27/10	328390080	230.0000	37.37	8,594.93	8,127.29-	467.64
4/23/10	4/28/10	328390080	320.0000	37.13	11,883.12	11,307.54-	575.58
4/30/10	5/05/10	328390080	247.0000	28.83	7,121.97	8,728.01-	1,606.04-
ATHEROS COMMUNICATIONS INC CUSIP 04743P108							
11/02/09	11/05/09	328390080	230.0000	24.95	5,739.62	4,649.56-	1,090.06
7/06/10	7/09/10	328390080	230.0000	28.06	6,453.30	4,649.55-	1,803.75
7/14/10	7/19/10	328390080	130.0000	30.38	3,949.21	2,628.01-	1,321.20
7/21/10	7/26/10	328390080	444.0000	28.77	12,775.52	8,975.68-	3,799.84
ATLAS ENERGY INC CUSIP 049298102							



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10/21/09	10/26/09	328390080	260.0000	31.72	8,247.68	6,688.50-	1,559.18
10/22/09	10/27/09	328390080	240.0000	30.59	7,342.01	6,174.00-	1,168.01
10/26/09	10/29/09	328390080	55.0000	29.73	1,635.12	1,414.88-	220.24
10/27/09	10/30/09	328390080	138.0000	28.66	3,955.70	3,550.05-	405.65
A123 SYSTEMS INC							
CUSIP 03739T108							
9/29/09	10/02/09	328390080	58.0000	18.72	1,085.92	783.00-	302.92
BALDOR ELECTRIC							
CUSIP 057741100							
9/30/09	10/05/09	328390080	143.0000	27.56	3,940.77	4,067.87-	127.10-
10/01/09	10/06/09	328390080	190.0000	26.42	5,018.99	5,404.87-	385.88-
10/02/09	10/07/09	328390080	128.0000	26.10	3,340.84	3,641.18-	300.34-
10/05/09	10/08/09	328390080	259.0000	26.02	6,738.74	7,367.69-	628.95-
BEACON ROOFING SUPPLY INC							
CUSIP 073685109							
8/04/10	8/09/10	328390080	540.0000	16.52	8,922.80	10,518.15-	1,595.35-
8/06/10	8/11/10	328390080	395.0000	14.66	5,789.73	7,693.81-	1,904.08-
BIO-REFERENCE LABS INC							
CUSIP 09057G602							
9/14/10	9/17/10	328390080	2,492.0000	19.98	49,785.82	31,335.37-	18,450.45
BIOMIMETIC THERAPEUTICS INC							
CUSIP 09064X101							
10/14/09	10/19/09	328390080	390.0000	14.69	5,731.02	4,306.38-	1,424.64
9/14/10	9/17/10	328390080	2,836.0000	10.51	29,814.07	29,960.20-	146.13-



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BIOSCRIP INC							
8/04/10	8/09/10	CUSIP 09069N108 328390080	30.0000	4.17	125.22	231.69-	106.47-
9/14/10	9/17/10	328390080	5,327.0000	5.19	27,637.07	41,139.81-	13,502.74-
BJS RESTAURANTS INC							
9/14/10	9/17/10	CUSIP 09180C106 328390080	1,170.0000	27.41	32,070.67	14,242.83-	17,827.84
BLACKBOARD INC							
10/01/09	10/06/09	CUSIP 091935502 328390080	110.0000	36.52	4,017.05	2,843.63-	1,173.42
10/09/09	10/15/09	328390080	70.0000	39.06	2,733.98	1,809.57-	924.41
10/27/09	10/30/09	328390080	130.0000	37.22	4,838.73	3,360.65-	1,478.08
5/03/10	5/06/10	328390080	100.0000	43.88	4,387.86	3,608.53-	779.33
5/04/10	5/07/10	328390080	130.0000	42.78	5,561.98	4,691.09-	870.89
5/05/10	5/10/10	328390080	353.0000	41.54	14,665.31	12,738.12-	1,927.19
5/11/10	5/14/10	328390080	130.0000	39.12	5,085.38	4,691.08-	394.30
5/12/10	5/17/10	328390080	80.0000	39.67	3,173.46	2,886.83-	286.63
5/17/10	5/20/10	328390080	140.0000	39.80	5,571.59	5,051.94-	519.65
5/18/10	5/21/10	328390080	10.0000	39.77	397.74	360.85-	36.89
5/21/10	5/26/10	328390080	10.0000	37.91	379.06	360.85-	18.21
5/24/10	5/27/10	328390080	200.0000	38.04	7,607.35	7,217.07-	390.28
BLUEGREEN CORP							
		CUSIP 096231105					

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9/14/10	9/17/10	328390080	4,683.0000	2.65	12,387.25	17,849.42-	5,462.17-
BRIGHAM EXPLORATION CO CUSIP 109178103							
5/11/10	5/14/10	328390080	350.0000	18.02	6,306.19	3,694.90-	2,611.29
5/20/10	5/25/10	328390080	39.0000	14.23	554.99	411.72-	143.27
5/21/10	5/26/10	328390080	129.0000	14.53	1,874.17	1,361.83-	512.34
5/24/10	5/27/10	328390080	100.0000	14.92	1,491.62	1,055.68-	435.94
5/25/10	5/28/10	328390080	58.0000	14.64	849.04	612.30-	236.74
6/21/10	6/24/10	328390080	430.0000	17.99	7,736.64	4,539.44-	3,197.20
6/23/10	6/28/10	328390080	390.0000	16.57	6,463.24	4,117.17-	2,346.07
9/15/10	9/20/10	328390080	1,966.0000	16.90	33,220.70	25,713.16-	7,507.54
BROADPOINT GLEACHER SECURITIES INC CUSIP 11134A103							
1/12/10	1/15/10	328390080	10.0000	4.28	42.80	67.38-	24.58-
1/13/10	1/19/10	328390080	190.0000	4.41	838.60	1,280.17-	441.57-
1/14/10	1/20/10	328390080	636.0000	4.55	2,892.68	4,285.23-	1,392.55-
1/15/10	1/21/10	328390080	210.0000	4.48	941.56	1,414.93-	473.37-
1/19/10	1/22/10	328390080	530.0000	4.42	2,343.42	3,571.01-	1,227.59-
1/20/10	1/25/10	328390080	150.0000	4.37	654.95	1,010.67-	355.72-
1/25/10	1/28/10	328390080	419.0000	3.88	1,623.93	2,823.13-	1,199.20-
1/26/10	1/29/10	328390080	26.0000	3.88	100.82	175.18-	74.36-
1/27/10	2/01/10	328390080	299.0000	3.95	1,179.95	2,014.60-	834.65-



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CALGON CARBON CORP CUSIP 129603106							
10/21/09	10/26/09	328390080	530.0000	16.09	8,527.06	7,579.75-	947.31
10/22/09	10/27/09	328390080	310.0000	15.22	4,717.57	4,433.43-	284.14
10/23/09	10/28/09	328390080	250.0000	15.10	3,775.88	3,575.36-	200.52
12/23/09	12/29/09	328390080	444.0000	14.17	6,290.64	6,349.82-	59.18-
12/24/09	12/30/09	328390080	32.0000	14.07	450.15	457.65-	7.50-
12/24/09	12/30/09	328390080	70.0000	14.09	986.53	1,001.10-	14.57-
12/28/09	12/31/09	328390080	358.0000	13.99	5,008.40	5,119.90-	111.50-
12/29/09	1/04/10	328390080	297.0000	14.26	4,235.82	4,247.52-	11.70-
CALIFORNIA PIZZA KITCHEN INC CUSIP 13054D109							
11/06/09	11/12/09	328390080	259.0000	12.99	3,364.55	3,416.29-	51.74-
11/09/09	11/13/09	328390080	69.0000	12.69	875.44	910.13-	34.69-
11/10/09	11/16/09	328390080	68.0000	12.75	867.00	896.94-	29.94-
11/11/09	11/16/09	328390080	86.0000	12.97	1,115.01	1,134.36-	19.35-
CALIX NETWORKS INC CUSIP 13100M509							
5/11/10	5/14/10	328390080	10.0000	10.10	101.05	132.12-	31.07-
5/12/10	5/17/10	328390080	160.0000	10.16	1,625.83	2,113.98-	488.15-
CAPELLA EDUCATION CO CUSIP 139594105							
9/14/10	9/17/10	328390080	270.0000	63.52	17,149.35	17,111.69-	37.66



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CARMIKE CINEMAS INC CUSIP 143436400							
6/28/10	7/01/10	328390080	355.0000	6.80	2,415.73	4,918.65-	2,502.92-
6/29/10	7/02/10	328390080	351.0000	6.17	2,165.24	4,863.22-	2,697.98-
6/30/10	7/06/10	328390080	167.0000	5.89	983.81	2,313.83-	1,330.02-
CATALYST HEALTH SOLUTIONS INC CUSIP 14888B103							
3/29/10	4/01/10	328390080	80.0000	42.38	3,390.71	1,823.31-	1,567.40
4/05/10	4/08/10	328390080	210.0000	41.64	8,743.73	4,786.20-	3,957.53
4/12/10	4/15/10	328390080	270.0000	42.56	11,491.54	6,153.67-	5,337.87
9/14/10	9/17/10	328390080	10.0000	40.91	409.07	246.70-	162.37
9/15/10	9/20/10	328390080	1,020.0000	40.35	41,156.30	25,163.43-	15,992.87
CAVIUM NETWORKS INC CUSIP 14965A101							
3/11/10	3/16/10	328390080	130.0000	24.84	3,229.76	2,309.82-	919.94
9/14/10	9/17/10	328390080	2,179.0000	26.67	58,121.00	42,140.12-	15,980.88
CELL THERAPEUTICS INC CUSIP 150934503							
11/30/09	12/03/09	328390080	937.0000	1.06	997.87	1,519.03-	521.16-
12/01/09	12/04/09	328390080	650.0000	1.04	675.27	1,053.75-	378.48-
12/07/09	12/10/09	328390080	1,390.0000	1.19	1,661.00	2,253.41-	592.41-
3/02/10	3/05/10	328390080	2,623.0000	0.64	1,671.08	4,252.31-	2,581.23-
CEPHEID INC CUSIP 15670R107							



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9/14/10	9/17/10	328390080	616.0000	18.39	11,328.10	8,719.10-	2,609.00
CHARMING SHOPPES INC CUSIP 161133103							
10/15/09	10/20/09	328390080	700.0000	5.44	3,807.69	1,703.69-	2,104.00
10/20/09	10/23/09	328390080	820.0000	5.48	4,492.17	1,995.75-	2,496.42
10/21/09	10/26/09	328390080	250.0000	5.45	1,362.76	608.47-	754.29
10/22/09	10/27/09	328390080	844.0000	5.45	4,599.85	2,054.16-	2,545.69
CHICOS FAS INC CUSIP 168615102							
6/22/10	6/25/10	328390080	650.0000	10.59	6,882.15	6,822.11-	60.04
7/06/10	7/09/10	328390080	710.0000	9.83	6,980.46	7,451.85-	471.39-
7/09/10	7/14/10	328390080	260.0000	10.26	2,666.69	2,728.85-	62.16-
7/12/10	7/15/10	328390080	480.0000	10.10	4,847.53	5,037.87-	190.34-
7/13/10	7/16/10	328390080	41.0000	10.26	420.81	430.32-	9.51-
7/14/10	7/19/10	328390080	146.0000	10.04	1,466.57	1,532.35-	65.78-
7/22/10	7/27/10	328390080	289.0000	9.97	2,881.97	3,033.21-	151.24-
CHIPOTLE MEXICAN GRILL CL A CUSIP 169656105							
1/05/10	1/08/10	328390080	80.0000	88.66	7,093.15	4,472.16-	2,620.99
1/06/10	1/11/10	328390080	71.0000	87.17	6,188.72	3,969.04-	2,219.68
CHIQUITA BRANDS INTL INC CUSIP 170032809							
2/26/10	3/03/10	328390080	440.0000	14.60	6,422.37	7,111.60-	689.23-



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3/01/10	3/04/10	328390080	250.0000	14.41	3,602.65	4,040.69-	438.04-
3/02/10	3/05/10	328390080	150.0000	14.45	2,167.04	2,424.41-	257.37-
3/03/10	3/08/10	328390080	170.0000	14.17	2,408.18	2,747.66-	339.48-
4/05/10	4/08/10	328390080	400.0000	16.12	6,446.85	6,465.10-	18.25-
4/19/10	4/22/10	328390080	270.0000	16.07	4,337.90	4,363.94-	26.04-
4/21/10	4/26/10	328390080	300.0000	16.13	4,839.84	4,848.83-	8.99-
4/26/10	4/29/10	328390080	320.0000	16.57	5,303.33	5,172.08-	131.25
4/27/10	4/30/10	328390080	52.0000	15.64	813.26	840.46-	27.20-
4/27/10	4/30/10	328390080	345.0000	15.67	5,407.46	5,576.15-	168.69-
4/28/10	5/03/10	328390080	260.0000	15.63	4,063.81	4,202.31-	138.50-
4/29/10	5/04/10	328390080	578.0000	15.75	9,106.35	9,342.09-	235.74-
CITI TRENDS INC							
8/13/10	8/18/10	CUSIP 17306X102 328390080	44.0000	27.87	1,226.08	1,276.28-	50.20-
8/16/10	8/19/10	328390080	90.0000	27.76	2,497.98	2,610.56-	112.58-
9/14/10	9/17/10	328390080	568.0000	24.22	13,754.16	16,475.55-	2,721.39-
COINSTAR INC							
11/06/09	11/12/09	CUSIP 19259P300 328390080	290.0000	27.46	7,962.58	9,113.52-	1,150.94-
11/10/09	11/16/09	328390080	140.0000	28.63	4,008.89	4,399.63-	390.74-
COLDWATER CREEK INC							
11/10/09	11/16/09	CUSIP 193068103 328390080	350.0000	5.97	2,087.94	2,337.01-	249.07-

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11/11/09	11/16/09	328390080	330.0000	5.92	1,954.27	2,203.46-	249.19-
12/22/09	12/28/09	328390080	51.0000	4.47	227.83	340.53-	112.70-
12/23/09	12/29/09	328390080	380.0000	4.48	1,703.11	2,537.32-	834.21-
12/28/09	12/31/09	328390080	353.0000	4.49	1,584.46	2,357.04-	772.58-
12/29/09	1/04/10	328390080	510.0000	4.45	2,267.35	3,405.35-	1,138.00-
12/30/09	1/05/10	328390080	710.0000	4.39	3,115.53	4,740.77-	1,625.24-
COLUMBIA BKG SYS INC CUSIP 197236102							
9/14/10	9/17/10	328390080	973.0000	19.20	18,682.93	20,330.71-	1,647.78-
COMMSCOPE INC CUSIP 203372107							
9/29/09	10/02/09	328390080	240.0000	30.45	7,307.69	4,948.05-	2,359.64
9/30/09	10/05/09	328390080	200.0000	29.81	5,961.90	4,123.37-	1,838.53
COMPELLENT TECHNOLOGIES INC CUSIP 20452A108							
6/24/10	6/29/10	328390080	242.0000	12.73	3,080.31	4,566.97-	1,486.66-
6/25/10	6/30/10	328390080	90.0000	12.57	1,131.59	1,698.46-	566.87-
7/09/10	7/14/10	328390080	335.0000	13.03	4,364.43	6,322.03-	1,957.60-
7/12/10	7/15/10	328390080	100.0000	12.61	1,260.92	1,887.17-	626.25-
7/16/10	7/21/10	328390080	30.0000	12.07	361.96	566.15-	204.19-
7/19/10	7/22/10	328390080	300.0000	11.62	3,486.87	5,661.53-	2,174.66-
7/21/10	7/26/10	328390080	353.0000	11.29	3,985.69	6,661.73-	2,676.04-
7/22/10	7/27/10	328390080	302.0000	11.22	3,388.56	5,699.28-	2,310.72-

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COOPER TIRE & RUBR CO CUSIP 216831107							
11/30/09	12/03/09	328390080	38.0000	17.82	677.21	558.40-	118.81
12/01/09	12/04/09	328390080	100.0000	18.30	1,830.46	1,469.46-	361.00
9/02/10	9/08/10	328390080	480.0000	17.78	8,534.92	8,394.79-	140.13
9/14/10	9/17/10	328390080	1,152.0000	18.87	21,737.06	20,147.47-	1,589.59
COST PLUS INC CALIF CUSIP 221485105							
9/14/10	9/17/10	328390080	3,234.0000	3.78	12,225.28	16,704.42-	4,479.14-
CROCS INC CUSIP 227046109							
9/14/10	9/17/10	328390080	3,091.0000	11.13	34,414.29	23,457.64-	10,956.65
DELGATH SYSTEMS INC CUSIP 24661P104							
11/30/09	12/03/09	328390080	625.0000	5.07	3,168.05	2,250.00-	918.05
12/01/09	12/04/09	328390080	340.0000	5.05	1,715.91	1,224.00-	491.91
12/02/09	12/07/09	328390080	352.0000	5.23	1,841.51	1,267.20-	574.31
12/03/09	12/08/09	328390080	240.0000	5.17	1,240.81	864.00-	376.81
12/04/09	12/09/09	328390080	245.0000	5.13	1,257.90	882.00-	375.90
12/07/09	12/10/09	328390080	334.0000	5.25	1,752.68	1,202.40-	550.28
3/26/10	3/31/10	328390080	180.0000	7.28	1,310.24	952.14-	358.10
3/29/10	4/01/10	328390080	230.0000	7.52	1,730.47	1,216.63-	513.84
3/31/10	4/06/10	328390080	199.0000	9.04	1,798.28	1,052.64-	745.64



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7/30/10	8/04/10	328390080	750.0000	7.85	5,887.55	6,820.14-	932.59-
9/14/10	9/17/10	328390080	980.0000	6.78	6,641.05	8,911.67-	2,270.62-
9/14/10	9/17/10	DEMANDTEC INC CUSIP 24802R506 328390080	2,148.0000	9.17	19,693.60	15,484.01-	4,209.59
7/16/10	7/21/10	DEPOMED INC CUSIP 249908104 328390080	90.0000	2.68	241.00	319.62-	78.62-
7/19/10	7/22/10	328390080	120.0000	2.62	314.43	426.16-	111.73-
7/20/10	7/23/10	328390080	130.0000	2.58	334.95	461.66-	126.71-
9/15/10	9/20/10	328390080	1,893.0000	3.96	7,501.26	6,722.62-	778.64
9/14/10	9/17/10	DIAMOND FOODS INC CUSIP 252603105 328390080	400.0000	42.30	16,920.75	11,882.24-	5,038.51
9/15/10	9/20/10	328390080	1,022.0000	42.10	43,022.10	30,359.10-	12,663.00
9/15/10	9/20/10	DIAMONDROCK HOSPITALITY CO CUSIP 252784301 328390080	2,289.0000	9.75	22,325.15	21,728.60-	596.55
3/12/10	3/17/10	DRIL QUIP INC CUSIP 262037104 328390080	100.0000	61.83	6,182.60	4,344.54-	1,838.06
3/15/10	3/18/10	328390080	60.0000	61.49	3,689.67	2,606.72-	1,082.95
3/19/10	3/24/10	328390080	50.0000	60.76	3,037.80	2,172.26-	865.54
3/29/10	4/01/10	328390080	78.0000	60.68	4,733.13	3,388.74-	1,344.39

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3/30/10	4/05/10	328390080	145.0000	60.70	8,801.93	6,299.57-	2,502.36
DUPONT FABROS TECHNOLOGY							
9/15/10	9/20/10	CUSIP 26613Q106 328390080	1,409.0000	26.37	37,153.57	34,627.35-	2,526.22
EAGLE MATERIALS INC							
1/05/10	1/08/10	CUSIP 26969P108 328390080	170.0000	25.22	4,287.20	4,792.34-	505.14-
1/25/10	1/28/10	328390080	30.0000	23.99	719.59	845.71-	126.12-
1/26/10	1/29/10	328390080	150.0000	24.10	3,615.04	4,228.53-	613.49-
1/27/10	2/01/10	328390080	330.0000	22.69	7,486.68	9,302.77-	1,816.09-
EBIX INC							
10/12/09	10/15/09	CUSIP 278715206 328390080	80.0000	60.52	4,841.71	2,423.49-	2,418.22
11/12/09	11/17/09	328390080	36.0000	52.91	1,904.93	1,090.57-	814.36
11/13/09	11/18/09	328390080	40.0000	54.09	2,163.50	1,211.75-	951.75
11/16/09	11/19/09	328390080	10.0000	54.52	545.22	302.94-	242.28
11/17/09	11/20/09	328390080	10.0000	54.33	543.27	302.93-	240.34
11/20/09	11/25/09	328390080	70.0000	49.66	3,476.20	2,120.57-	1,355.63
11/23/09	11/27/09	328390080	120.0000	51.46	6,175.66	3,635.24-	2,540.42
12/15/09	12/18/09	328390080	20.0000	44.93	898.68	605.88-	292.80
12/16/09	12/21/09	328390080	70.0000	44.96	3,147.50	2,120.55-	1,026.95
1/26/10	1/29/10	328390080	200.0000	14.25	2,849.54	2,019.58-	829.96
5/11/10	5/14/10	328390080	70.0000	14.90	1,042.91	706.85-	336.06

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5/12/10	5/17/10	328390080	210.0000	14.91	3,131.09	2,120.56-	1,010.53
5/13/10	5/18/10	328390080	310.0000	15.23	4,721.21	3,130.35-	1,590.86
5/14/10	5/19/10	328390080	40.0000	15.02	601.00	403.91-	197.09
9/14/10	9/17/10	328390080	1,057.0000	18.88	19,951.06	10,673.49-	9,277.57
ECLIPSYS CORP							
CUSIP 278856109							
6/21/10	6/24/10	328390080	300.0000	17.76	5,327.78	6,145.79-	818.01-
8/13/10	8/18/10	328390080	380.0000	20.15	7,657.90	7,784.65-	126.75-
EMERGENT BIOSOLUTIONS INC							
CUSIP 29089Q105							
10/12/09	10/15/09	328390080	300.0000	17.61	5,284.36	4,076.58-	1,207.78
10/16/09	10/21/09	328390080	330.0000	16.47	5,433.94	4,484.25-	949.69
11/13/09	11/18/09	328390080	132.0000	14.65	1,933.91	1,793.70-	140.21
12/02/09	12/07/09	328390080	30.0000	14.91	447.38	407.66-	39.72
12/03/09	12/08/09	328390080	126.0000	14.93	1,880.84	1,712.16-	168.68
12/04/09	12/09/09	328390080	470.0000	14.58	6,852.19	6,386.65-	465.54
12/07/09	12/10/09	328390080	110.0000	14.32	1,575.19	1,494.74-	80.45
12/08/09	12/11/09	328390080	130.0000	12.68	1,648.13	1,766.53-	118.40-
EMULEX CORP							
CUSIP 292475209							
5/21/10	5/26/10	328390080	560.0000	11.05	6,188.17	6,177.73-	10.44
5/25/10	5/28/10	328390080	870.0000	10.45	9,089.08	9,597.55-	508.47-



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ESTERLINE TECHNOLOGIES CORP							
CUSIP 297425100							
9/14/10	9/17/10	328390080	936.0000	55.11	51,583.20	45,463.11-	6,120.09
EXACT SCIENCES CORP							
CUSIP 30063P105							
9/14/10	9/17/10	328390080	2,743.0000	5.61	15,401.67	12,412.88-	2,988.79
EXLSERVICE HOLDINGS INC							
CUSIP 302081104							
9/14/10	9/17/10	328390080	1,536.0000	18.99	29,171.98	24,222.80-	4,949.18
F E I CO							
CUSIP 30241L109							
10/28/09	11/02/09	328390080	120.0000	24.32	2,918.44	2,852.90-	65.54
10/29/09	11/03/09	328390080	10.0000	24.25	242.50	237.74-	4.76
10/30/09	11/04/09	328390080	20.0000	23.83	476.65	475.49-	1.16
11/02/09	11/05/09	328390080	133.0000	23.65	3,145.67	3,161.97-	16.30-
11/03/09	11/06/09	328390080	280.0000	23.47	6,570.25	6,656.77-	86.52-
11/17/09	11/20/09	328390080	30.0000	25.13	753.79	713.22-	40.57
12/09/09	12/14/09	328390080	36.0000	24.77	891.67	855.87-	35.80
12/10/09	12/15/09	328390080	200.0000	24.57	4,913.31	4,754.84-	158.47
12/15/09	12/18/09	328390080	189.0000	24.97	4,718.56	4,493.32-	225.24
FARO TECHNOLOGIES INC							
CUSIP 311642102							
9/14/10	9/17/10	328390080	1,533.0000	18.35	28,137.73	30,553.04-	2,415.31-



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FORMFACTOR INC							
CUSIP 346375108							
8/18/10	8/23/10	328390080	30.0000	9.00	270.00	617.10-	347.10-
8/19/10	8/24/10	328390080	140.0000	8.71	1,218.89	2,879.82-	1,660.93-
8/23/10	8/26/10	328390080	540.0000	8.33	4,498.28	11,107.88-	6,609.60-
8/27/10	9/01/10	328390080	500.0000	7.45	3,722.53	10,285.08-	6,562.55-
8/30/10	9/02/10	328390080	395.0000	7 17	2,831.27	8,125.20-	5,293.93-
FORTINET INC							
CUSIP 34959E109							
11/30/09	12/03/09	328390080	42.0000	17 18	721.74	525.00-	196.74
G I I I APPAREL GROUP LTD							
CUSIP 36237H101							
9/14/10	9/17/10	328390080	1,564.0000	30.42	47,583.89	33,424.97-	14,158 92
GRAFTECH INTL LTD							
CUSIP 384313102							
7/30/10	8/04/10	328390080	142.0000	15.65	2,222.90	2,429.59-	206.69-
GREIF INC CL A							
CUSIP 397624107							
12/23/09	12/29/09	328390080	60.0000	53.97	3,238.30	3,488 06-	249.76-
1/29/10	2/03/10	328390080	100.0000	48.72	4,872.02	5,813 43-	941.41-
2/01/10	2/04/10	328390080	157.0000	48.94	7,683.89	9,127 09-	1,443.20-
2/02/10	2/05/10	328390080	70.0000	48 69	3,407.97	4,069.40-	661.43-
2/04/10	2/09/10	328390080	45 0000	46.76	2,104.16	2,616.05-	511.89-
2/05/10	2/10/10	328390080	79.0000	46.48	3,671.53	4,592.63-	921.10-



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GSI COMMERCE INC							
CUSIP 36238G102							
3/02/10	3/05/10	328390080	270.0000	25.72	6,945.26	3,734.53-	3,210.73
3/12/10	3/17/10	328390080	120.0000	27.49	3,298.46	1,659.80-	1,638.66
3/17/10	3/22/10	328390080	180.0000	28.00	5,039.37	2,489.68-	2,549.69
4/16/10	4/21/10	328390080	110.0000	29.17	3,208.68	1,521.48-	1,687.20
9/15/10	9/20/10	328390080	2,236.0000	23.40	52,322.18	30,927.44-	21,394.74
HAEMONETICS CORP							
CUSIP 405024100							
10/26/09	10/29/09	328390080	90.0000	53.90	4,851.08	5,092.85-	241.77-
12/15/09	12/18/09	328390080	40.0000	55.13	2,205.05	2,263.49-	58.44-
12/16/09	12/21/09	328390080	30.0000	55.00	1,649.93	1,697.62-	47.69-
12/16/09	12/21/09	328390080	145.0000	54.62	7,919.76	8,205.17-	285.41-
12/17/09	12/22/09	328390080	2.0000	54.00	108.00	113.17-	5.17-
HANGER ORTHOPEDIC GROUP INC							
CUSIP 41043F208							
8/04/10	8/09/10	328390080	250.0000	15.30	3,824.51	4,167.35-	342.84-
8/06/10	8/11/10	328390080	310.0000	14.61	4,530.48	5,167.49-	637.01-
HEADWATERS INCORPORATED							
CUSIP 42210P102							
5/05/10	5/10/10	328390080	325.0000	5.49	1,783.40	1,294.45-	488.95
5/06/10	5/11/10	328390080	38.0000	5.37	204.13	151.35-	52.78
5/11/10	5/14/10	328390080	120.0000	4.89	587.25	477.95-	109.30

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6/03/10	6/08/10	328390080	110.0000	3.73	409.78	438.13-	28.35-
6/04/10	6/09/10	328390080	120.0000	3.54	424.37	477.95-	53.58-
6/07/10	6/10/10	328390080	20.0000	3.44	68.74	79.66-	10.92-
6/08/10	6/11/10	328390080	39.0000	3.27	127.71	155.33-	27.62-
6/11/10	6/16/10	328390080	130.0000	3.54	459.76	517.78-	58.02-
6/14/10	6/17/10	328390080	145.0000	3.50	506.93	577.53-	70.60-
6/15/10	6/18/10	328390080	142.0000	3.54	502.51	565.58-	63.07-
6/16/10	6/21/10	328390080	147.0000	3.56	523.14	585.49-	62.35-
6/17/10	6/22/10	328390080	50.0000	3.53	176.32	199.15-	22.83-
6/18/10	6/23/10	328390080	133.0000	3.49	464.81	529.73-	64.92-
6/21/10	6/24/10	328390080	148.0000	3.47	513.06	589.47-	76.41-
6/22/10	6/25/10	328390080	310.0000	3.41	1,056.93	1,234.71-	177.78-
6/23/10	6/28/10	328390080	258.0000	3.25	837.68	1,027.60-	189.92-
6/24/10	6/29/10	328390080	368.0000	3.10	1,141.55	1,465.72-	324.17-
6/25/10	6/30/10	328390080	344.0000	3.08	1,060.08	1,370.13-	310.05-
6/28/10	7/01/10	328390080	169.0000	3.10	524.32	673.12-	148.80-
9/14/10	9/17/10	HEALTHSOUTH CORP CUSIP 421924309 328390080	670.0000	17.94	12,022.94	12,993.74-	970.80-
9/15/10	9/20/10	HIBBETT SPORTS INC CUSIP 428567101 328390080	1,425.0000	23.67	33,724.89	33,812.24-	87.35-



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HITTITE MICROWAVE CORP CUSIP 43365Y104							
10/01/09	10/06/09	328390080	70.0000	36.16	2,531.19	2,134.69-	396.50
1/25/10	1/28/10	328390080	90.0000	37.79	3,401.12	3,030.93-	370.19
3/01/10	3/04/10	328390080	40.0000	43.30	1,731.95	1,347.08-	384.87
3/03/10	3/08/10	328390080	90.0000	43.62	3,926.16	3,030.93-	895.23
9/14/10	9/17/10	328390080	370.0000	46.38	17,161.71	12,460.49-	4,701.22
HMS HLDGS CORP CUSIP 40425J101							
1/20/10	1/25/10	328390080	100.0000	44.36	4,435.99	3,986.64-	449.35
1/22/10	1/27/10	328390080	70.0000	45.98	3,218.92	2,790.65-	428.27
3/08/10	3/11/10	328390080	100.0000	45.82	4,581.91	3,986.64-	595.27
3/24/10	3/29/10	328390080	110.0000	50.51	5,555.58	4,385.30-	1,170.28
3/25/10	3/30/10	328390080	70.0000	51.01	3,570.64	2,790.65-	779.99
HNI CORPORATION CUSIP 404251100							
8/20/10	8/25/10	328390080	33.0000	23.83	786.40	1,011.79-	225.39-
9/14/10	9/17/10	328390080	555.0000	26.49	14,702.19	17,016.42-	2,314.23-
HORSEHEAD HOLDING CORP CUSIP 440694305							
7/20/10	7/23/10	328390080	225.0000	7.73	1,738.50	2,053.89-	315.39-
7/29/10	8/03/10	328390080	52.0000	7.68	399.32	474.68-	75.36-
8/03/10	8/06/10	328390080	300.0000	8.47	2,540.98	2,738.53-	197.55-



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9/15/10	9/20/10	328390080	2,000.0000	8.36	16,722.31	18,256.84	1,534.53
HOVNANIAN ENTERPRISES INC CL A							
8/27/10	9/01/10	CUSIP 442487203 328390080	480.0000	3.60	1,730.18	2,064.56	334.38
8/30/10	9/02/10	328390080	490.0000	3.53	1,727.37	2,107.56	380.19
8/31/10	9/03/10	328390080	540.0000	3.45	1,861.83	2,322.63	460.80
9/01/10	9/07/10	328390080	1,050.0000	3.51	3,686.38	4,516.21	829.83
9/07/10	9/10/10	328390080	914.0000	3.86	3,528.52	3,931.26	402.74
HUB GROUP INC CL A							
9/14/10	9/17/10	CUSIP 443320106 328390080	1,534.0000	29.89	45,853.24	44,310.45	1,542.79
HUMAN GENOME SCIENCES INC							
5/28/10	6/03/10	CUSIP 444903108 328390080	210.0000	25.18	5,288.74	3,880.96	1,407.78
6/17/10	6/22/10	328390080	410.0000	27.06	11,096.09	7,577.11	3,518.98
6/22/10	6/25/10	328390080	120.0000	26.37	3,164.26	2,217.69	946.57
6/24/10	6/29/10	328390080	295.0000	24.41	7,201.43	5,451.82	1,749.61
6/25/10	6/30/10	328390080	260.0000	23.69	6,158.20	4,804.99	1,353.21
9/14/10	9/17/10	328390080	606.0000	28.76	17,430.81	11,199.33	6,231.48
I B E R I A B A N K CORPORATION							
9/14/10	9/17/10	CUSIP 450828108 328390080	461.0000	49.68	22,900.61	26,551.62	3,651.01
ICONIX BRAND GROUP INC							
		CUSIP 451055107					



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9/30/09	10/05/09	328390080	1,010.0000	12.59	12,718.40	15,192.14-	2,473.74-
10/09/09	10/15/09	328390080	480.0000	12.43	5,965.81	7,220.03-	1,254.22-
10/12/09	10/15/09	328390080	870.0000	12.22	10,629.99	13,086.34-	2,456.35-
II-VI INC							
9/14/10	9/17/10	CUSIP 902104108 328390080	1,341.0000	35.23	47,248.93	44,412.06-	2,836.87
IMAX CORP							
12/10/09	12/15/09	CUSIP 45245E109 328390080	360.0000	11.70	4,212.21	2,996.71-	1,215.50
12/15/09	12/18/09	328390080	340.0000	12.70	4,318.73	2,830.23-	1,488.50
12/16/09	12/21/09	328390080	160.0000	12.50	1,999.97	1,331.87-	668.10
3/02/10	3/05/10	328390080	320.0000	13.82	4,422.05	2,663.75-	1,758.30
3/05/10	3/10/10	328390080	170.0000	13.71	2,330.69	1,415.12-	915.57
4/28/10	5/03/10	328390080	250.0000	20.03	5,007.24	2,081.04-	2,926.20
6/01/10	6/04/10	328390080	460.0000	17.19	7,905.19	3,829.13-	4,076.06
6/04/10	6/09/10	328390080	200.0000	16.66	3,332.02	1,664.84-	1,667.18
6/07/10	6/10/10	328390080	100.0000	15.83	1,582.66	832.42-	750.24
6/11/10	6/16/10	328390080	10.0000	15.73	157.32	83.24-	74.08
6/14/10	6/17/10	328390080	280.0000	16.07	4,499.46	2,330.78-	2,168.68
6/21/10	6/24/10	328390080	210.0000	16.51	3,467.61	1,748.08-	1,719.53
1/04/10	1/07/10	IMMUNOGEN INC CUSIP 45253H101 328390080	338.0000	7.96	2,690.58	2,712.85-	22.27-

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1/05/10	1/08/10	328390080	250.0000	7.91	1,978.08	2,006.54-	28.46-
4/19/10	4/22/10	328390080	648.0000	9.90	6,418.39	5,200.96-	1,217.43
4/20/10	4/23/10	328390080	200.0000	9.67	1,934.60	1,605.23-	329.37
4/21/10	4/26/10	328390080	360.0000	9.76	3,513.68	2,889.43-	624.25
7/16/10	7/21/10	328390080	380.0000	8.35	3,171.80	3,045.41-	126.39
7/19/10	7/22/10	328390080	280.0000	8.39	2,350.31	2,244.00-	106.31
8/27/10	9/01/10	328390080	580.0000	5.29	3,068.95	4,648.27-	1,579.32-
8/30/10	9/02/10	328390080	1,110.0000	5.51	6,119.54	8,895.82-	2,776.28-
IMPAX LABORATORIES INC							
CUSIP 45256E101							
2/25/10	3/02/10	328390080	34.0000	15.83	538.32	351.25-	187.07
3/02/10	3/05/10	328390080	460.0000	15.44	7,102.02	4,752.26-	2,349.76
4/20/10	4/23/10	328390080	247.0000	17.27	4,264.50	2,551.76-	1,712.74
4/21/10	4/26/10	328390080	400.0000	17.20	6,881.12	4,132.39-	2,748.73
4/27/10	4/30/10	328390080	170.0000	17.72	3,011.93	1,756.27-	1,255.66
5/04/10	5/07/10	328390080	260.0000	18.41	4,787.50	2,686.05-	2,101.45
6/17/10	6/22/10	328390080	240.0000	21.17	5,081.43	2,479.44-	2,601.99
6/24/10	6/29/10	328390080	100.0000	19.49	1,949.12	1,033.10-	916.02
7/01/10	7/07/10	328390080	498.0000	17.36	8,645.43	5,144.83-	3,500.60
7/01/10	7/07/10	328390080	350.0000	17.15	6,004.08	3,615.84-	2,388.24
7/06/10	7/09/10	328390080	571.0000	18.01	10,281.99	5,898.99-	4,383.00
7/07/10	7/12/10	328390080	90.0000	17.54	1,578.16	929.77-	648.39



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
INSPIRE PHARMACEUTICALS INC							
CUSIP 457733103							
9/14/10	9/17/10	328390080	3,272.0000	5.11	16,704.91	17,529.59-	824.68-
INTER MUNE INC							
CUSIP 45884X103							
5/05/10	5/10/10	328390080	140.0000	10.39	1,455.13	6,369.15-	4,914.02-
5/20/10	5/25/10	328390080	354.0000	9.37	3,315.72	16,104.83-	12,789.11-
INTERDIGITAL INC							
CUSIP 45867G101							
10/19/09	10/22/09	328390080	460.0000	20.31	9,341.29	11,709.37-	2,368.08-
10/20/09	10/23/09	328390080	380.0000	19.76	7,510.04	9,672.95-	2,162.91-
INTERNET CAPITAL GROUP INC							
CUSIP 46059C205							
12/15/09	12/18/09	328390080	239.0000	6.26	1,496.75	2,474.13-	977.38-
12/21/09	12/24/09	328390080	230.0000	6.48	1,489.72	2,380.97-	891.25-
12/22/09	12/28/09	328390080	510.0000	6.63	3,379.78	5,279.52-	1,899.74-
12/23/09	12/29/09	328390080	335.0000	6.76	2,264.77	3,467.93-	1,203.16-
12/24/09	12/30/09	328390080	239.0000	6.75	1,612.63	2,474.14-	861.51-
12/24/09	12/30/09	328390080	139.0000	6.72	933.99	1,438.93-	504.94-
12/28/09	12/31/09	328390080	247.0000	6.68	1,650.55	2,556.95-	906.40-
12/29/09	1/04/10	328390080	270.0000	6.69	1,806.25	2,795.06-	988.81-
12/29/09	1/04/10	328390080	10.0000	6.73	67.29	103.52-	36.23-

IPG PHOTONICS CORP
CUSIP 44980X109



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KBW INC



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
10/20/09	10/23/09	328390080	43.0000	32.99	1,418.61	1,049.21-	369.40
11/30/09	12/03/09	328390080	90.0000	24.28	2,185.01	2,196.02-	11.01-
12/02/09	12/07/09	328390080	120.0000	25.50	3,059.98	2,928.02-	131.96
12/03/09	12/08/09	328390080	44.0000	25.53	1,123.17	1,073.61-	49.56
12/04/09	12/09/09	328390080	70.0000	25.79	1,805.15	1,708.01-	97.14
12/04/09	12/09/09	328390080	10.0000	25.70	257.00	244.00-	13.00
12/07/09	12/10/09	328390080	150.0000	25.44	3,815.80	3,660.03-	155.77
12/08/09	12/11/09	328390080	80.0000	25.39	2,030.81	1,952.01-	78.80
KIRKLANDS INC CUSIP 497498105							
8/09/10	8/12/10	328390080	30.0000	16.79	503.71	656.55-	152.84-
8/10/10	8/13/10	328390080	170.0000	17.60	2,992.21	3,720.45-	728.24-
8/11/10	8/16/10	328390080	80.0000	17.12	1,369.83	1,750.80-	380.97-
8/12/10	8/17/10	328390080	30.0000	17.13	513.80	656.55-	142.75-
8/20/10	8/25/10	328390080	500.0000	12.16	6,079.54	10,942.50-	4,862.96-
8/24/10	8/27/10	328390080	300.0000	11.11	3,332.07	6,565.49-	3,233.42-
KOPPERS HLDGS INC CUSIP 50060P106							
5/05/10	5/10/10	328390080	50.0000	27.43	1,371.28	1,395.69-	24.41-
6/22/10	6/25/10	328390080	41.0000	25.38	1,040.54	1,144.46-	103.92-
6/25/10	6/30/10	328390080	232.0000	24.63	5,714.45	6,476.00-	761.55-
6/28/10	7/01/10	328390080	33.0000	24.05	793.64	921.15-	127.51-



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
7/06/10	7/09/10	328390080	30.0000	22.69	680.57	837.42-	156.85-
7/07/10	7/12/10	328390080	152.0000	21.35	3,245.43	4,242.90-	997.47-
7/08/10	7/13/10	328390080	30.0000	22.52	675.70	837.41-	161.71-
7/21/10	7/26/10	328390080	258.0000	22.65	5,842.88	7,201.76-	1,358.88-
7/22/10	7/27/10	328390080	106.0000	23.28	2,467.43	2,958.88-	491.45-
KORN FERRY INTL CUSIP 500643200							
5/04/10	5/07/10	328390080	90.0000	16.01	1,441.29	1,485.52-	44.23-
5/05/10	5/10/10	328390080	80.0000	16.00	1,280.10	1,320.46-	40.36-
5/06/10	5/11/10	328390080	20.0000	16.12	322.33	330.12-	7.79-
5/11/10	5/14/10	328390080	110.0000	15.82	1,740.47	1,815.63-	75.16-
5/20/10	5/25/10	328390080	110.0000	15.07	1,657.23	1,815.64-	158.41-
5/25/10	5/28/10	328390080	32.0000	13.77	440.65	528.18-	87.53-
5/27/10	6/02/10	328390080	290.0000	13.69	3,971.34	4,786.68-	815.34-
6/02/10	6/07/10	328390080	300.0000	13.59	4,076.60	4,951.74-	875.14-
6/03/10	6/08/10	328390080	250.0000	13.96	3,491.14	4,126.45-	635.31-
9/14/10	9/17/10	328390080	1,439.0000	15.78	22,708.03	23,751.82-	1,043.79-
KRATON PERFORMANCE POLYMERS CUSIP 50077C106							
9/15/10	9/20/10	328390080	528.0000	27.37	14,449.16	13,853.20-	595.96
KULICKE & SOFFA INDS INC CUSIP 501242101							
2/26/10	3/03/10	328390080	260.0000	6.54	1,700.32	1,349.29-	351.03

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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
3/24/10	3/29/10	328390080	550.0000	6.93	3,809.47	2,854.27-	955.20
3/26/10	3/31/10	328390080	338.0000	7.31	2,470.74	1,754.09-	716.65
3/26/10	3/31/10	328390080	82.0000	7.31	599.71	425.54-	174.17
3/29/10	4/01/10	328390080	470.0000	7.12	3,347.70	2,439.10-	908.60
9/01/10	9/07/10	328390080	310.0000	5.78	1,792.35	1,608.77-	183.58
9/02/10	9/08/10	328390080	310.0000	5.78	1,790.33	1,608.77-	181.56
9/09/10	9/14/10	328390080	239.0000	5.64	1,347.24	1,240.31-	106.93
9/10/10	9/15/10	328390080	200.0000	5.50	1,099.86	1,037.92-	61.94
9/13/10	9/16/10	328390080	550.0000	5.66	3,112.89	2,854.27-	258.62
9/14/10	9/17/10	328390080	1,221.0000	5.62	6,858.11	6,336.50-	521.61
LUMBER LIQUIDATORS HOLDINGS							
7/01/10	7/07/10	CUSIP 55003T107 328390080	260.0000	22.58	5,871.66	5,905.83-	34.17-
7/16/10	7/21/10	328390080	30.0000	22.53	675.90	681.44-	5.54-
7/19/10	7/22/10	328390080	110.0000	21.57	2,372.16	2,498.63-	126.47-
9/14/10	9/17/10	328390080	1,010.0000	23.27	23,507.35	22,941.89-	565.46
MAIDENFORM BRANDS INC							
8/23/10	8/26/10	CUSIP 560305104 328390080	230.0000	27.22	6,259.78	3,449.26-	2,810.52
9/15/10	9/20/10	328390080	1,847.0000	28.57	52,764.93	27,699.06-	25,065.87
MARINER ENERGY INC							
9/28/09	10/01/09	CUSIP 56845T305 328390080	153.0000	13.94	2,132.50	2,032.04-	100.46



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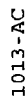
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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
10/21/09	10/26/09	328390080	270.0000	16.42	4,433.96	3,585.96-	848.00
11/05/09	11/10/09	328390080	260.0000	12.99	3,378.19	3,453.14-	74.95-
11/13/09	11/18/09	328390080	180.0000	13.71	2,468.32	2,390.64-	77.68
12/02/09	12/07/09	328390080	300.0000	12.69	3,805.73	3,984.39-	178.66-
12/03/09	12/08/09	328390080	700.0000	12.86	8,999.45	9,296.92-	297.47-
12/04/09	12/09/09	328390080	480.0000	12.84	6,162.90	6,375.04-	212.14-
MARTEK BIOSCIENCES CORP CUSIP 572901106							
10/08/09	10/14/09	328390080	160.0000	21.06	3,370.23	5,113.45-	1,743.22-
10/20/09	10/23/09	328390080	210.0000	20.04	4,208.82	6,711.41-	2,502.59-
10/21/09	10/26/09	328390080	150.0000	19.73	2,958.87	4,793.87-	1,835.00-
MASIMO CORP CUSIP 574795100							
4/09/10	4/14/10	328390080	160.0000	25.23	4,037.07	4,578.05-	540.98-
4/13/10	4/16/10	328390080	275.0000	24.47	6,728.99	7,868.54-	1,139.55-
MASTEC INC CUSIP 576323109							
1/19/10	1/22/10	328390080	210.0000	13.61	2,858.94	2,472.50-	386.44
1/21/10	1/26/10	328390080	480.0000	13.38	6,420.15	5,651.44-	768.71
MCCORMICK & SCHMICKS SEAFOOD CUSIP 579793100							
8/02/10	8/05/10	328390080	45.0000	7.50	337.40	378.48-	41.08-
8/03/10	8/06/10	328390080	35.0000	7.19	251.52	294.37-	42.85-



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION
8/04/10	8/09/10	328390080
9/14/10	9/17/10	328390080
MEDASSETS INC CUSIP 584045108		
4/01/10	4/07/10	328390080
4/06/10	4/09/10	328390080
4/07/10	4/12/10	328390080
4/08/10	4/13/10	328390080
4/09/10	4/14/10	328390080
9/15/10	9/20/10	328390080
MEDIVATION INC CUSIP 58501N101		
2/11/10	2/17/10	328390080
3/08/10	3/11/10	328390080
MERIT MED SYS INC CUSIP 589889104		
3/02/10	3/05/10	328390080
3/03/10	3/08/10	328390080
3/16/10	3/19/10	328390080
3/16/10	3/19/10	328390080
3/17/10	3/22/10	328390080

METALICO INC
CUSIP 591176102

SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
11.0000	6.99	76.94	92.52-	15.58-
2,100.0000	7.47	15,682.74	17,662.39-	1,979.65-
200.0000	20.82	4,164.78	3,780.13-	384.65
137.0000	20.47	2,804.78	2,589.39-	215.39
34.0000	20.47	695.97	642.62-	53.35
45.0000	20.47	921.13	850.53-	70.60
70.0000	20.52	1,436.47	1,323.05-	113.42
856.0000	19.00	16,262.86	16,178.96-	83.90
170.0000	36.74	6,246.43	6,206.64-	39.79
200.0000	12.21	2,441.06	7,301.93-	4,860.87-
237.0000	14.62	3,465.25	4,378.02-	912.77-
80.0000	14.54	1,163.07	1,477.82-	314.75-
361.0000	14.50	5,236.09	6,668.64-	1,432.55-
69.0000	14.50	1,000.41	1,274.61-	274.20-
136.0000	14.63	1,989.13	2,512.28-	523.15-

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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
7/08/10	7/13/10	328390080	20.0000	3.89	77.85	86.65-	8.80-
7/09/10	7/14/10	328390080	248.0000	3.97	983.77	1,074.41-	90.64-
7/12/10	7/15/10	328390080	442.0000	3.69	1,629.18	1,914.87-	285.69-
7/20/10	7/23/10	328390080	623.0000	3.84	2,394.01	2,699.02-	305.01-
7/21/10	7/26/10	328390080	229.0000	3.81	871.53	992.09-	120.56-
7/22/10	7/27/10	328390080	146.0000	4.00	584.08	632.51-	48.43-
8/02/10	8/05/10	328390080	240.0000	4.28	1,027.32	1,039.75-	12.43-
8/03/10	8/06/10	328390080	130.0000	4.35	565.24	563.20-	2.04
8/04/10	8/09/10	328390080	240.0000	4.34	1,040.91	1,039.75-	1.16
8/05/10	8/10/10	328390080	60.0000	4.25	254.72	259.94-	5.22-
8/10/10	8/13/10	328390080	132.0000	3.68	485.68	571.86-	86.18-
8/11/10	8/16/10	328390080	138.0000	3.47	478.78	597.86-	119.08-
8/12/10	8/17/10	328390080	142.0000	3.37	479.18	615.19-	136.01-
8/13/10	8/18/10	328390080	135.0000	3.29	443.87	584.86-	140.99-
8/16/10	8/19/10	328390080	110.0000	3.33	366.15	476.55-	110.40-
8/17/10	8/20/10	328390080	54.0000	3.46	186.79	233.94-	47.15-
8/26/10	8/31/10	328390080	151.0000	3.10	467.95	654.18-	186.23-
8/27/10	9/01/10	328390080	156.0000	3.26	507.83	675.84-	168.01-
8/30/10	9/02/10	328390080	165.0000	3.17	523.00	714.83-	191.83-
9/01/10	9/07/10	328390080	136.0000	3.24	440.89	589.19-	148.30-
9/02/10	9/08/10	328390080	142.0000	3.25	461.05	615.18-	154.13-



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
9/07/10	9/10/10	328390080	173.0000	3.19	551.72	749.49-	197 77-
9/08/10	9/13/10	328390080	346.0000	3.27	1,133.10	1,498.97-	365.87-
9/13/10	9/16/10	328390080	410.0000	3.57	1,463.67	1,776.24-	312.57-
9/14/10	9/17/10	328390080	610.0000	3.58	2,182.91	2,642.70-	459.79-
9/15/10	9/20/10	328390080	40.0000	3.57	142.97	173.29-	30.32-
MICROWET INC							
CUSIP 59509C105							
9/15/10	9/20/10	328390080	3,112.0000	6.55	20,379.83	20,170 54-	209.29
MICROS SYS INC							
CUSIP 594901100							
10/09/09	10/15/09	328390080	140.0000	29.78	4,168.64	3,985 44-	183.20
10/12/09	10/15/09	328390080	90.0000	30.33	2,729.53	2,562.06-	167.47
10/21/09	10/26/09	328390080	110.0000	28.03	3,083.78	3,131.42-	47.64-
10/26/09	10/29/09	328390080	170.0000	27.53	4,679.95	4,839.45-	159 50-
10/27/09	10/30/09	328390080	160.0000	26.92	4,306.74	4,554.78-	248.04-
10/29/09	11/03/09	328390080	120.0000	26.60	3,191 86	3,416 08-	224.22-
10/30/09	11/04/09	328390080	212.0000	26.77	5,674.22	6,035.08-	360 86-
MICROSEMI CORP							
CUSIP 595137100							
10/06/09	10/09/09	328390080	470.0000	15.49	7,280.16	7,748.54-	468.38-
10/08/09	10/14/09	328390080	290.0000	15.53	4,504.74	4,781.01-	276 27-
10/16/09	10/21/09	328390080	360.0000	15.18	5,463.79	5,935.05-	471.26-
9/14/10	9/17/10	328390080	1,777.0000	15.53	27,604.86	29,296.07-	1,691 21-



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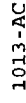
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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
MICROSTRATEGY INC CL A							
1/11/10	1/14/10	CUSIP 594972408 328390080	52.0000	92.45	4,807.31	2,977.41-	1,829.90
1/12/10	1/15/10	328390080	40.0000	92.88	3,715.39	2,290.31-	1,425.08
3/25/10	3/30/10	328390080	100.0000	87.24	8,724.41	5,725.77-	2,998.64
4/06/10	4/09/10	328390080	40.0000	85.77	3,430.90	2,290.31-	1,140.59
4/08/10	4/13/10	328390080	42.0000	85.96	3,610.35	2,404.83-	1,205.52
4/20/10	4/23/10	328390080	70.0000	85.97	6,018.14	4,008.05-	2,010.09
7/22/10	7/27/10	328390080	50.0000	80.10	4,005.09	3,359.63-	645.46
7/23/10	7/28/10	328390080	70.0000	81.03	5,671.78	4,703.48-	968.30
7/26/10	7/29/10	328390080	135.0000	81.77	11,038.75	9,070.99-	1,967.76
8/03/10	8/06/10	328390080	20.0000	79.94	1,598.78	1,343.85-	254.93
8/04/10	8/09/10	328390080	110.0000	79.19	8,711.23	7,391.19-	1,320.04
8/11/10	8/16/10	328390080	48.0000	75.18	3,608.57	3,225.24-	383.33
9/14/10	9/17/10	328390080	203.0000	83.98	17,048.54	13,640.05-	3,408.49
MICRUS ENDOVASCULAR CORP							
7/23/10	7/28/10	CUSIP 59518V102 328390080	223.0000	23.22	5,177.72	4,512.55-	665.17
MINDSPEED TECHNOLOGIES INC							
9/15/10	9/20/10	CUSIP 602682205 328390080	3,650.0000	7.15	26,099.24	30,001.46-	3,902.22-
MOLINA HEALTHCARE INC							
		CUSIP 60855R100					



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SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
475 0000	27.37	13,000.91	12,780.40-	220.51
150.0000	21.28	3,191.70	2,800.30-	391.40
150.0000	19.49	2,924.09	2,800 29-	123.80
50.0000	20 00	999.79	933 43-	66 36
48.0000	19.33	927.96	896.09-	31.87
139 0000	19.57	2,720.42	2,594 94-	125 48
330.0000	17.61	5,810.08	6,317.24-	507 16
250.0000	17.47	4,366.70	4,785.78-	419.08
380.0000	16.40	6,231.05	7,274.40-	1,043.35
371.0000	17.52	6,498.95	7,102.10-	603.15
1,342.0000	55.35	74,282.20	53,144.60-	21,137.60
130.0000	18.78	2,441.54	2,093.61-	347.93
20 0000	18.60	371.94	322.09-	49.85
220.0000	16.13	3,548.74	3,543.03-	5.71
267.0000	16.09	4,295.43	4,299.95-	4.52

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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
11/18/09	11/23/09	328390080	195.0000	5.18	1,009.60	676.52-	333.08
NAVIGATORS GROUP INC CUSIP 638904102							
10/15/09	10/20/09	328390080	10.0000	56.49	564.90	522.57-	42.33
11/20/09	11/25/09	328390080	70.0000	47.51	3,325.84	3,657.96-	332.12-
12/07/09	12/10/09	328390080	50.0000	47.94	2,396.89	2,612.83-	215.94-
12/08/09	12/11/09	328390080	70.0000	47.96	3,357.43	3,657.97-	300.54-
12/09/09	12/14/09	328390080	100.0000	48.04	4,803.61	5,225.67-	422.06-
12/11/09	12/16/09	328390080	51.0000	48.01	2,448.54	2,665.09-	216.55-
12/14/09	12/17/09	328390080	46.0000	48.06	2,210.61	2,403.80-	193.19-
12/15/09	12/18/09	328390080	57.0000	48.14	2,743.97	2,978.62-	234.65-
NCI INC CL A CUSIP 62886K104							
10/09/09	10/15/09	328390080	49.0000	28.40	1,391.84	1,349.81-	42.03
10/12/09	10/15/09	328390080	43.0000	28.16	1,210.88	1,184.53-	26.35
10/13/09	10/16/09	328390080	40.0000	27.18	1,087.21	1,101.89-	14.68-
10/20/09	10/23/09	328390080	131.0000	24.86	3,256.61	3,608.68-	352.07-
11/02/09	11/05/09	328390080	41.0000	26.40	1,082.36	1,129.44-	47.08-
11/03/09	11/06/09	328390080	34.0000	26.36	896.21	936.60-	40.39-
12/07/09	12/10/09	328390080	44.0000	24.98	1,099.06	1,212.08-	113.02-
12/08/09	12/11/09	328390080	170.0000	25.07	4,261.31	4,683.02-	421.71-
12/09/09	12/14/09	328390080	59.0000	25.01	1,475.60	1,625.29-	149.69-



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
12/10/09	12/15/09	328390080	20.0000	25.39	507.75	550.95-	43.20-
9/15/10	9/20/10	NETEZZA CORPORATION CUSIP 64111N101 328390080	1,944.0000	23.27	45,240.97	28,500.63-	16,740.34
9/15/10	9/20/10	NETLOGIC MICROSYSTEMS INC CUSIP 64118E100 328390080	1,248.0000	25.28	31,543.91	16,566.33-	14,977.58
10/08/09	10/14/09	NEUTRAL TANDEM INC CUSIP 64128E108 328390080	80.0000	22.02	1,761.82	1,459.87-	301.95
10/09/09	10/15/09	328390080	50.0000	21.83	1,091.34	912.41-	178.93
11/24/09	11/30/09	328390080	200.0000	23.78	4,756.27	3,649.66-	1,106.61
1/13/10	1/19/10	328390080	10.0000	20.03	200.27	182.48-	17.79
1/20/10	1/25/10	328390080	280.0000	17.84	4,994.07	5,109.51-	115.44-
1/21/10	1/26/10	328390080	150.0000	16.00	2,400.07	2,737.24-	337.17-
1/22/10	1/27/10	328390080	194.0000	16.37	3,176.58	3,540.15-	363.57-
9/14/10	9/17/10	NEWMARKET CORP CUSIP 651587107 328390080	430.0000	107.50	46,225.93	45,225.82-	1,000.11
9/14/10	9/17/10	NEWARK RES INC CUSIP 651718504 328390080	1,000.0000	9.17	9,167.94	8,040.78-	1,127.16
9/15/10	9/20/10	328390080	1,339.0000	9.05	12,112.25	10,766.59-	1,345.66



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
NORDSON CORP							
CUSIP 655663102							
9/14/10	9/17/10	328390080	60.0000	69.58	4,175.07	2,726.91-	1,448.16
9/15/10	9/20/10	328390080	750.0000	69.91	52,430.86	34,086.31-	18,344.55
NOVAVAX INC							
CUSIP 670002104							
1/07/10	1/12/10	328390080	720.0000	2.58	1,860.29	2,376.00-	515.71-
1/08/10	1/13/10	328390080	1,088.0000	2.63	2,858.75	3,590.40-	731.65-
1/08/10	1/13/10	328390080	131.0000	2.64	346.39	432.30-	85.91-
NPS PHARMACEUTICALS INC							
CUSIP 62936P103							
9/15/10	9/20/10	328390080	1,527.0000	6.43	9,822.56	8,742.00-	1,080.56
NUTRI SYSTEM INC							
CUSIP 67069D108							
9/15/10	9/20/10	328390080	1,428.0000	18.06	25,789.95	31,471.11-	5,681.16-
NUVASIVE INC							
CUSIP 670704105							
11/20/09	11/25/09	328390080	80.0000	34.41	2,752.49	3,133.91-	381.42-
11/23/09	11/27/09	328390080	170.0000	33.32	5,664.83	6,659.56-	994.73-
11/24/09	11/30/09	328390080	30.0000	32.27	968.02	1,175.22-	207.20-
12/03/09	12/08/09	328390080	100.0000	30.16	3,016.15	3,917.38-	901.23-
12/04/09	12/09/09	328390080	40.0000	29.96	1,198.49	1,566.95-	368.46-
12/04/09	12/09/09	328390080	99.0000	28.47	2,818.60	3,878.22-	1,059.62-
6/23/10	6/28/10	328390080	170.0000	36.80	6,256.11	7,322.34-	1,066.23-



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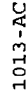
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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
8/27/10	9/01/10	328390080	60.0000	30.10	1,806.07	2,584.35-	778.28-
9/14/10	9/17/10	328390080	140.0000	31.25	4,374.37	6,030.16-	1,655.79-
9/15/10	9/20/10	328390080	320.0000	32.63	10,440.49	13,783.23-	3,342.74-
OLD DOMINION FGHT LINE INC							
10/20/09	10/23/09	CUSIP 679580100					
		328390080	80.0000	29.56	2,364.53	2,453.30-	88.77-
10/21/09	10/26/09	328390080	100.0000	29.47	2,946.58	3,066.63-	120.05-
10/27/09	10/30/09	328390080	100.0000	26.94	2,693.82	3,066.63-	372.81-
10/28/09	11/02/09	328390080	113.0000	26.15	2,955.37	3,465.30-	509.93-
OLIN CORP NEW							
9/15/10	9/20/10	CUSIP 680665205					
		328390080	1,740.0000	19.17	33,347.40	36,515.72-	3,168.32-
ON ASSIGNMENT INC							
5/03/10	5/06/10	CUSIP 682159108					
		328390080	28.0000	7.04	197.24	172.89-	24.35
5/04/10	5/07/10	328390080	46.0000	7.02	322.73	284.04-	38.69
5/07/10	5/12/10	328390080	35.0000	5.93	207.65	216.12-	8.47-
5/11/10	5/14/10	328390080	100.0000	6.24	624.44	617.48-	6.96
5/12/10	5/17/10	328390080	242.0000	6.29	1,522.80	1,494.29-	28.51
5/13/10	5/18/10	328390080	219.0000	6.33	1,385.67	1,352.27-	33.40
5/14/10	5/19/10	328390080	46.0000	6.03	277.21	284.04-	6.83-
5/17/10	5/20/10	328390080	100.0000	5.98	597.98	617.47-	19.49-
5/25/10	5/28/10	328390080	10.0000	5.24	52.42	61.75-	9.33-



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
6/02/10	6/07/10	328390080	90.0000	5.27	474.43	555.73-	81.30-
6/07/10	6/10/10	328390080	137.0000	4.99	683.30	845.93-	162.63-
7/02/10	7/08/10	328390080	39.0000	4.92	191.77	240.82-	49.05-
8/27/10	9/01/10	328390080	340.0000	4.45	1,513.72	2,099.41-	585.69-
9/03/10	9/09/10	328390080	140.0000	4.90	686.50	864.46-	177.96-
9/14/10	9/17/10	328390080	2,453.0000	5.00	12,270.92	15,146.66-	2,875.74-
12/21/09	12/24/09	ONCOGENEX PHARMACEUTICALS INC CUSIP 68230A106 328390080	130.0000	21.56	2,803.15	4,214.29-	1,411.14-
1/28/10	2/02/10	328390080	145.0000	14.46	2,096.79	4,700.56-	2,603.77-
1/29/10	2/03/10	328390080	68.0000	14.45	982.70	2,204.39-	1,221.69-
10/09/09	10/15/09	ONYX PHARMACEUTICALS INC CUSIP 683399109 328390080	80.0000	27.28	2,182.78	2,729.15-	546.37-
10/13/09	10/16/09	328390080	220.0000	27.57	6,064.34	7,505.14-	1,440.80-
10/27/09	10/30/09	OWENS & MINOR INC CUSIP 690732102 328390080	110.0000	39.83	4,381.28	4,139.56-	241.72
10/28/09	11/02/09	328390080	90.0000	40.39	3,635.12	3,386.91-	248.21
9/15/10	9/20/10	PAREXEL INTL CORP CUSIP 699462107 328390080	520.0000	21.77	11,322.80	10,780.03-	542.77
		PENN VA CORP CUSIP 707882106					



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
1/28/10	2/02/10	328390080	110.0000	23.93	2,632.12	2,211.68-	420.44
2/23/10	2/26/10	328390080	240.0000	25.13	6,031.43	4,825.48-	1,205.95
3/09/10	3/12/10	328390080	300.0000	24.91	7,474.16	6,031.85-	1,442.31
6/22/10	6/25/10	328390080	110.0000	22.51	2,475.92	2,379.48-	96.44
6/23/10	6/28/10	328390080	20.0000	22.06	441.14	432.63-	8.51
7/23/10	7/28/10	328390080	70.0000	19.07	1,334.91	1,514.21-	179.30-
7/26/10	7/29/10	328390080	20.0000	19.53	390.62	432.63-	42.01-
7/29/10	8/03/10	328390080	450.0000	19.02	8,560.70	9,734.24-	1,173.54-
8/04/10	8/09/10	328390080	431.0000	19.14	8,250.06	9,323.24-	1,073.18-
PHARMASSET INC							
CUSIP 71715N106							
3/19/10	3/24/10	328390080	190.0000	28.80	5,471.09	3,906.83-	1,564.26
9/14/10	9/17/10	328390080	463.0000	27.60	12,780.15	10,611.89-	2,168.26
POLYCOM INC							
CUSIP 73172K104							
1/26/10	1/29/10	328390080	285.0000	23.48	6,691.60	7,384.69-	693.09-
1/26/10	1/29/10	328390080	35.0000	23.46	821.09	906.89-	85.80-
6/08/10	6/11/10	328390080	220.0000	28.34	6,235.20	5,700.47-	534.73
6/16/10	6/21/10	328390080	220.0000	30.79	6,774.48	5,700.46-	1,074.02
6/17/10	6/22/10	328390080	220.0000	30.39	6,686.25	5,700.47-	985.78
PONTIARD PHARMACEUT							
CUSIP 732449301							
10/06/09	10/09/09	328390080	100.0000	7.33	733.40	752.05-	18.65-



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
10/08/09	10/14/09	328390080	210.0000	7.35	1,544.22	1,579.32-	35.10-
11/09/09	11/13/09	328390080	72.0000	8.44	607.93	541.48-	66.45
11/10/09	11/16/09	328390080	332.0000	7.95	2,640.72	2,496.81-	143.91
11/16/09	11/19/09	328390080	808.0000	1.75	1,414.53	6,076.59-	4,662.06-
PRINCIPAL FINANCIAL GROUP INC CUSIP 74251V102							
10/23/09	10/28/09	328390000	2,273.0000	28.15	63,987.78	1.00-	63,986.78
PRIVATEBANCORP INC CUSIP 742962103							
10/01/09	10/06/09	328390080	37.0000	23.59	872.91	978.87-	105.96-
10/02/09	10/07/09	328390080	220.0000	23.32	5,130.44	5,820.32-	689.88-
10/08/09	10/14/09	328390080	100.0000	23.16	2,315.69	2,645.60-	329.91-
10/15/09	10/20/09	328390080	200.0000	23.47	4,693.45	5,291.20-	597.75-
10/26/09	10/29/09	328390080	49.0000	12.05	590.47	1,296.34-	705.87-
10/27/09	10/30/09	328390080	290.0000	9.99	2,896.32	7,672.23-	4,775.91-
10/30/09	11/05/09	328390080	373.0000	9.15	3,413.27	9,868.07-	6,454.80-
PROSPERITY BANCSHARES INC CUSIP 743606105							
11/02/09	11/05/09	328390080	142.0000	35.51	5,042.84	4,057.16-	985.68
11/03/09	11/06/09	328390080	100.0000	35.32	3,531.78	2,857.15-	674.63
11/05/09	11/10/09	328390080	200.0000	35.77	7,154.67	5,714.29-	1,440.38
11/10/09	11/16/09	328390080	150.0000	35.89	5,382.78	4,285.72-	1,097.06
11/11/09	11/16/09	328390080	170.0000	35.98	6,115.93	4,857.13-	1,258.80



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QLIK TECHNOLOGIES INC CUSIP 74733T105							
9/14/10	9/17/10	328390080	232.0000	22.29	5,172.40	2,320.00-	2,852.40
QUIDEL CORP CUSIP 74838J101							
10/15/09	10/20/09	328390080	43.0000	15.51	667.10	573.51-	93.59
10/16/09	10/21/09	328390080	130.0000	15.64	2,033.09	1,733.86-	299.23
10/19/09	10/22/09	328390080	420.0000	14.59	6,127.56	5,601.70-	525.86
10/20/09	10/23/09	328390080	384.0000	14.69	5,639.54	5,121.56-	517.98
R F MICRO DEVICES INC CUSIP 749941100							
10/01/09	10/06/09	328390080	570.0000	4.66	2,656.30	1,513.87-	1,142.43
10/02/09	10/07/09	328390080	1,340.0000	4.55	6,096.84	3,558.92-	2,537.92
10/16/09	10/21/09	328390080	1,430.0000	4.22	6,032.44	3,797.95-	2,234.49
10/20/09	10/23/09	328390080	1,700.0000	4.29	7,299.27	4,515.04-	2,784.23
RACKSPACE HOSTING INC CUSIP 750086100							
9/15/10	9/20/10	328390080	2,100.0000	21.62	45,394.72	38,581.83-	6,812.89
RADIANT SYS INC CUSIP 75025N102							
9/14/10	9/17/10	328390080	2,911.0000	17.58	51,189.06	43,422.77-	7,766.29
REGENERON PHARMACEUTICALS INC CUSIP 75886F107							
11/11/09	11/16/09	328390080	230.0000	18.55	4,267.10	3,622.35-	644.75



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
11/13/09	11/18/09	328390080	200.0000	18.86	3,772.22	3,149.88-	622.34
6/09/10	6/14/10	328390080	110.0000	24.91	2,739.74	1,732.43-	1,007.31
9/14/10	9/17/10	328390080	829.0000	25.69	21,293.24	13,056.19-	8,237.05
RESMED INC							
9/28/09	10/01/09	CUSIP 761152107 328390080	200.0000	44.77	8,953.90	7,358.39-	1,595.51
1/14/10	1/20/10	328390080	60.0000	52.17	3,130.23	2,207.52-	922.71
2/02/10	2/05/10	328390080	110.0000	52.05	5,725.55	4,047.12-	1,678.43
2/03/10	2/08/10	328390080	180.0000	52.07	9,372.70	6,622.56-	2,750.14
2/05/10	2/10/10	328390080	123.0000	54.85	6,746.71	4,525.41-	2,221.30
RIVERBED TECHNOLOGY INC							
9/01/10	9/07/10	CUSIP 768573107 328390080	120.0000	39.11	4,692.93	2,201.73-	2,491.20
9/15/10	9/20/10	328390080	1,021.0000	44.25	45,174.49	18,733.07-	26,441.42
ROCK TENN CO CL A							
7/27/10	7/30/10	CUSIP 772739207 328390080	140.0000	54.35	7,608.65	5,988.48-	1,620.17
8/23/10	8/26/10	328390080	50.0000	48.02	2,401.16	2,138.74-	262.42
9/02/10	9/08/10	328390080	210.0000	51.55	10,825.29	8,982.71-	1,842.58
9/14/10	9/17/10	328390080	570.0000	52.57	29,964.96	24,381.64-	5,583.32
ROFIN SINAR TECHNOLOGIES INC							
2/05/10	2/10/10	CUSIP 775043102 328390080	220.0000	21.33	4,691.81	5,443.09-	751.28-

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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
2/08/10	2/11/10	328390080	130.0000	19.89	2,586.28	3,216.38-	630.10-
2/09/10	2/12/10	328390080	120.0000	19.63	2,355.22	2,968.96-	613.74-
2/18/10	2/23/10	328390080	259.0000	20.45	5,296.48	6,408.03-	1,111.55-
2/19/10	2/24/10	328390080	50.0000	20.29	1,014.33	1,237.07-	222.74-
ROVI CORP							
10/15/09	10/20/09	CUSIP 779376102 328390080	410.0000	29.73	12,189.80	7,351.37-	4,838.43
12/07/09	12/10/09	328390080	150.0000	29.91	4,486.68	2,689.52-	1,797.16
9/14/10	9/17/10	328390080	613.0000	44.38	27,202.39	10,991.20-	16,211.19
RPC ENERGY SVCS INC							
6/22/10	6/25/10	CUSIP 749660106 328390080	190.0000	14.14	2,686.44	2,423.32-	263.12
9/15/10	9/20/10	328390080	2,393.0000	19.32	46,228.61	30,521.06-	15,707.55
RUBICON TECHNOLOGY INC							
1/28/10	2/02/10	CUSIP 78112T107 328390080	37.0000	16.88	624.53	561.70-	62.83
9/15/10	9/20/10	328390080	1,278.0000	24.37	31,146.37	23,390.97-	7,755.40
RUE21 INC							
11/30/09	12/03/09	CUSIP 781295100 328390080	27.0000	24.33	656.87	513.00-	143.87
SALIX PHARMACEUTICALS LTD							
2/22/10	2/25/10	CUSIP 795435106 328390080	130.0000	23.92	3,109.98	3,649.53-	539.55-
2/22/10	2/25/10	328390080	560.0000	23.93	13,401.18	15,721.03-	2,319.85-

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9/15/10	9/20/10	328390080	1,420.0000	40.70	57,795.01	45,451.67-	12,343.34
SCHWEITZER MAUDUIT INTL INC CUSIP 808541106							
2/11/10	2/17/10	328390080	210.0000	46.16	9,693.25	7,893.19-	1,800.06
3/09/10	3/12/10	328390080	220.0000	42.71	9,396.08	8,269.04-	1,127.04
5/07/10	5/12/10	328390080	130.0000	55.45	7,208.76	4,886.25-	2,322.51
6/04/10	6/09/10	328390080	130.0000	52.66	6,846.07	4,886.26-	1,959.81
6/07/10	6/10/10	328390080	131.0000	51.21	6,708.63	4,923.86-	1,784.77
SEATTLE GENETICS INC /WA CUSIP 812578102							
10/07/09	10/13/09	328390080	155.0000	10.95	1,697.79	1,514.19-	183.60
10/08/09	10/14/09	328390080	140.0000	10.43	1,460.50	1,367.66-	92.84
10/09/09	10/15/09	328390080	100.0000	10.39	1,039.42	976.89-	62.53
10/13/09	10/16/09	328390080	444.0000	10.00	4,439.21	4,337.40-	101.81
SELECT COMFORT CORP CUSIP 81616X103							
4/23/10	4/28/10	328390080	1,020.0000	11.22	11,447.67	6,392.33-	5,055.34
8/19/10	8/24/10	328390080	347.0000	6.18	2,143.83	2,193.49-	49.66-
8/20/10	8/25/10	328390080	130.0000	6.09	791.28	821.76-	30.48-
8/24/10	8/27/10	328390080	90.0000	5.34	480.92	568.92-	88.00-
8/26/10	8/31/10	328390080	10.0000	5.09	50.92	63.21-	12.29-
8/27/10	9/01/10	328390080	680.0000	5.15	3,501.26	4,298.47-	797.21-
9/14/10	9/17/10	328390080	1,247.0000	6.14	7,662.67	7,882.64-	219.97-



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9/15/10	9/20/10	SHUFFLE MASTER INC CUSIP 825549108 328390080	5,497.0000	8.04	44,222.06	43,631.49-	590.57
9/14/10	9/17/10	SIGNATURE BK CUSIP 82669G104 328390080	950.0000	37.23	35,369.80	31,209.89-	4,159.91
4/23/10	4/28/10	SIRONA DENTAL SYSTEMS INC CUSIP 82966C103 328390080	260.0000	42.32	11,002.46	6,945.35-	4,057.11
9/14/10	9/17/10		459.0000	36.20	16,616.19	12,261.21-	4,354.98
1/14/10	1/20/10	SKECHERS U S A INC CUSIP 830566105 328390080	120.0000	31.05	3,726.31	2,148.24-	1,578.07
7/27/10	7/30/10		90.0000	35.22	3,170.07	1,611.18-	1,558.89
7/29/10	8/03/10		150.0000	37.42	5,613.29	2,685.30-	2,927.99
8/10/10	8/13/10		330.0000	29.50	9,734.04	5,907.65-	3,826.39
9/09/10	9/14/10		148.0000	23.35	3,455.67	2,649.49-	806.18
9/13/10	9/16/10		137.0000	22.39	3,067.82	2,452.57-	615.25
5/13/10	5/18/10	SKYWORKS SOLUTIONS INC CUSIP 83088M102 328390080	370.0000	15.90	5,884.53	3,987.47-	1,897.06
6/17/10	6/22/10		230.0000	17.00	3,909.93	2,478.69-	1,431.24
6/22/10	6/25/10		520.0000	17.79	9,251.00	5,604.01-	3,646.99
6/25/10	6/30/10		488.0000	17.16	8,376.37	5,259.13-	3,117.24



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9/14/10	9/17/10			1,545.0000	28.80	44,490.45	34,764.33-	9,726.12
12/28/09	12/31/09	STANDARD MICROSYSTEMS CORP CUSIP 853626109 328390080		45.0000	21.22	954.87	1,025.59-	70.72-
12/29/09	1/04/10			90.0000	21.06	1,895.84	2,051.19-	155.35-
12/30/09	1/05/10			147.0000	20.95	3,080.38	3,350.28-	269.90-
12/31/09	1/06/10			81.0000	20.81	1,685.24	1,846.07-	160.83-
11/04/09	11/09/09	STEC INC CUSIP 784774101 328390080		569.0000	15.41	8,766.29	19,032.60-	10,266.31-
3/16/10	3/19/10	STIFEL FINL CORP CUSIP 860630102 328390080		60.0000	55.06	3,303.64	3,333.61-	29.97-
3/17/10	3/22/10			20.0000	55.08	1,101.66	1,111.20-	9.54-
3/26/10	3/31/10			120.0000	54.26	6,511.79	6,667.23-	155.44-
4/09/10	4/14/10			80.0000	52.73	4,218.26	4,444.81-	226.55-
6/16/10	6/21/10			179.0000	45.49	8,143.48	9,945.27-	1,801.79-
6/17/10	6/22/10			26.0000	45.03	1,170.75	1,444.56-	273.81-
6/29/10	7/02/10	STILLWATER MNG CO CUSIP 86074Q102 328390080		134.0000	11.92	1,597.42	1,896.77-	299.35-

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SUCCESSFACTORS INC							
CUSIP 864596101							
10/09/09	10/15/09	328390080	250.0000	16.00	3,999.89	1,967.78-	2,032.11
10/12/09	10/15/09	328390080	10.0000	16.00	159.98	78.71-	81.27
12/11/09	12/16/09	328390080	340.0000	15.15	5,151.04	2,676.19-	2,474.85
12/16/09	12/21/09	328390080	190.0000	16.85	3,202.09	1,495.51-	1,706.58
6/24/10	6/29/10	328390080	400.0000	21.53	8,610.29	3,148.46-	5,461.83
9/08/10	9/13/10	328390080	80.0000	22.54	1,803.06	629.69-	1,173.37
9/09/10	9/14/10	328390080	280.0000	22.49	6,295.91	2,203.90-	4,092.01
9/15/10	9/20/10	328390080	1,771.0000	23.23	41,147.42	13,939.75-	27,207.67
SVB FINL GROUP							
CUSIP 78486Q101							
7/01/10	7/07/10	328390080	190.0000	39.08	7,425.09	7,824.33-	399.24-
7/06/10	7/09/10	328390080	236.0000	40.10	9,463.61	9,718.64-	255.03-
7/07/10	7/12/10	328390080	110.0000	40.00	4,399.55	4,529.88-	130.33-
9/14/10	9/17/10	328390080	391.0000	40.96	16,014.37	16,072.18-	57.81-
SYBASE INC							
CUSIP 871130100							
11/17/09	11/20/09	328390080	130.0000	40.60	5,278.61	3,968.88-	1,309.73
11/19/09	11/24/09	328390080	152.0000	40.30	6,125.82	4,640.53-	1,485.29
TALEO CORP CL A							
CUSIP 87424N104							
11/20/09	11/25/09	328390080	260.0000	20.37	5,297.02	4,600.71-	696.31

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1/25/10	1/28/10	328390080	160.0000	22.10	3,535.28	2,831.20-	704.08
9/14/10	9/17/10	328390080	1,892.0000	26.78	50,665.01	40,478.72-	10,186.29
TEMPUR PEDIC INTL INC CUSIP 88023U101							
8/25/10	8/30/10	328390080	58.0000	27.48	1,593.92	1,719.48-	125.56-
9/14/10	9/17/10	328390080	1,012.0000	27.62	27,956.12	30,002.00-	2,045.88-
TESSERA TECH INC CUSIP 88164L100							
10/20/09	10/23/09	328390080	160.0000	30.58	4,892.16	4,134.02-	758.14
TETRA TECH INC CUSIP 88162G103							
11/05/09	11/10/09	328390080	260.0000	26.06	6,775.63	5,922.61-	853.02
11/06/09	11/12/09	328390080	203.0000	25.94	5,265.65	4,624.19-	641.46
11/09/09	11/13/09	328390080	70.0000	26.23	1,836.04	1,594.55-	241.49
TEXAS CAPITAL BANCSHARES INC CUSIP 88224Q107							
10/16/09	10/21/09	328390080	20.0000	16.95	338.91	347.80-	8.89-
10/21/09	10/26/09	328390080	70.0000	16.33	1,143.00	1,217.30-	74.30-
9/14/10	9/17/10	328390080	2,566.0000	16.56	42,504.04	41,408.68-	1,095.36
TEXAS ROADHOUSE INC CUSIP 882681109							
9/14/10	9/17/10	328390080	2,911.0000	14.46	42,088.55	31,522.75-	10,565.80
THORATEC CORP CUSIP 885175307							



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12/16/09	12/21/09	328390080	90.0000	27.64	2,488.00	1,777.81-	710.19
12/17/09	12/22/09	328390080	140.0000	27.11	3,794.77	2,765.47-	1,029.30
1/07/10	1/12/10	328390080	260.0000	25.76	6,698.30	5,135.88-	1,562.42
1/20/10	1/25/10	328390080	100.0000	28.72	2,871.86	1,975.34-	896.52
1/21/10	1/26/10	328390080	220.0000	27.96	6,151.93	4,345.75-	1,806.18
1/27/10	2/01/10	328390080	220.0000	27.18	5,980.22	4,345.74-	1,634.48
9/14/10	9/17/10	328390080	1,126.0000	36.92	41,567.04	29,877.49-	11,689.55
TIBCO SOFTWARE INC CUSIP 88632Q103							
9/15/10	9/20/10	328390080	2,089.0000	15.61	32,602.88	30,480.65-	2,122.23
TOWER GROUP INC CUSIP 891777104							
1/27/10	2/01/10	328390080	80.0000	22.26	1,780.97	1,993.20-	212.23-
2/26/10	3/03/10	328390080	100.0000	22.78	2,278.19	2,491.50-	213.31-
3/02/10	3/05/10	328390080	260.0000	21.35	5,550.04	6,477.89-	927.85-
3/24/10	3/29/10	328390080	130.0000	22.23	2,890.50	3,238.95-	348.45-
3/25/10	3/30/10	328390080	90.0000	22.21	1,998.82	2,242.34-	243.52-
3/30/10	4/05/10	328390080	309.0000	22.17	6,849.45	7,698.72-	849.27-
TRIUMPH GROUP INC CUSIP 896818101							
9/15/10	9/20/10	328390080	298.0000	69.90	20,828.92	22,022.84-	1,193.92-
TUPPERWARE BRANDS CORP CUSIP 899896104							



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ULTA SALON COSMETICS & FRAGRANCE
CUSIP 90384S303

ULTIMATE SOFTWARE GROUP INC
CUSIP 90385D107
328390080

UNITED THERAPEUTICS CORP
CUSIP 91307C102



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9/28/09	10/01/09	328390080	150.0000	49.51	7,426.80	6,621.56-	805.24
UNIVERSAL DISPLAY CORPORATION CUSIP 91347F105							
1/25/10	1/28/10	328390080	270.0000	12.13	3,275.59	2,026.70-	1,248.89
2/16/10	2/19/10	328390080	10.0000	10.86	108.63	75.06-	33.57
2/17/10	2/22/10	328390080	110.0000	10.73	1,179.83	825.69-	354.14
2/18/10	2/23/10	328390080	110.0000	10.98	1,207.92	825.69-	382.23
2/19/10	2/24/10	328390080	100.0000	10.94	1,093.55	750.63-	342.92
2/22/10	2/25/10	328390080	60.0000	10.87	651.99	450.38-	201.61
2/23/10	2/26/10	328390080	20.0000	10.88	217.54	150.13-	67.41
3/15/10	3/18/10	328390080	300.0000	11.26	3,378.55	2,251.88-	1,126.67
7/14/10	7/19/10	328390080	20.0000	20.01	400.29	150.13-	250.16
7/19/10	7/22/10	328390080	132.0000	18.59	2,453.29	990.83-	1,462.46
7/20/10	7/23/10	328390080	170.0000	18.03	3,065.82	1,276.07-	1,789.75
8/10/10	8/13/10	328390080	350.0000	21.68	7,588.99	2,627.21-	4,961.78
9/14/10	9/17/10	328390080	496.0000	22.53	11,173.25	3,723.12-	7,450.13
VALUE CLICK INC CUSIP 92046N102							
12/01/09	12/04/09	328390080	920.0000	9.53	8,772.15	12,444.61-	3,672.46-
VALUEVISION MEDIA INC CL A CUSIP 92047K107							
5/13/10	5/18/10	328390080	52.0000	2.68	139.13	214.36-	75.23-
6/24/10	6/29/10	328390080	510.0000	1.96	998.41	2,102.36-	1,103.95-



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6/25/10	6/30/10	328390080	400.0000	1.90	761.86	1,648.91-	887 05-
6/28/10	7/01/10	328390080	100.0000	1.90	190.11	412.23-	222.12-
6/29/10	7/02/10	328390080	200.0000	1.85	369.69	824.46-	454.77-
7/06/10	7/09/10	328390080	245.0000	1.48	363.81	1,009.95-	646.14-
7/08/10	7/13/10	328390080	164.0000	1.52	249.01	676.06-	427.05-
7/09/10	7/14/10	328390080	283.0000	1.60	453.41	1,166.60-	713.19-
7/12/10	7/15/10	328390080	166.0000	1.74	289.03	684.30-	395.27-
7/13/10	7/16/10	328390080	473.0000	1.84	871.91	1,949.83-	1,077.92-
7/14/10	7/19/10	328390080	72.0000	1.82	130.92	296.81-	165.89-
7/15/10	7/20/10	328390080	158.0000	1.82	288.23	651.31-	363.08-
7/16/10	7/21/10	328390080	69.0000	1.70	117.49	284.44-	166.95-
7/19/10	7/22/10	328390080	110.0000	1.64	180.70	453.45-	272.75-
7/20/10	7/23/10	328390080	60.0000	1.60	95.95	247.34-	151.39-
VANDA PHARMACEUTICALS INC							
CUSIP 921659108							
10/13/09	10/16/09	328390080	360.0000	11.78	4,242.35	5,425.33-	1,182.98-
VANTAGE DRILLING CO							
CUSIP G93205113							
3/26/10	3/31/10	328390080	240.0000	1.49	358.79	393.25-	34.46-
3/29/10	4/01/10	328390080	740.0000	1.48	1,098.81	1,212.51-	113.70-
3/30/10	4/05/10	328390080	745.0000	1.47	1,095.42	1,220.71-	125.29-
3/31/10	4/06/10	328390080	44.0000	1.46	64.44	72.09-	7.65-

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4/01/10	4/07/10	328390080	570.0000	1.49	850 08	933.96-	83.88-
4/05/10	4/08/10	328390080	1,072.0000	1.52	1,625.01	1,756.51-	131 50-
4/06/10	4/09/10	328390080	492.0000	1.53	755 15	806.16-	51.01-
VARIAN SEMICONDUCTOR EQUIP							
CUSIP 922207105							
10/20/09	10/23/09	328390080	58.0000	32.48	1,884.06	1,532.56-	351.50
10/21/09	10/26/09	328390080	45.0000	32.45	1,460.21	1,189.06-	271.15
10/22/09	10/27/09	328390080	173 0000	32.49	5,621.09	4,571 28-	1,049 81
VEECO INSTRS INC DEL							
CUSIP 922417100							
3/03/10	3/08/10	328390080	80 0000	35.85	2,868.07	1,646.26-	1,221.81
3/16/10	3/19/10	328390080	60.0000	39 05	2,342 84	1,234.69-	1,108.15
3/18/10	3/23/10	328390080	280.0000	39.36	11,021.69	5,761.89-	5,259.80
3/23/10	3/26/10	328390080	120.0000	42.50	5,100.15	2,469.38-	2,630 77
3/24/10	3/29/10	328390080	170.0000	43 11	7,328.55	3,498.29-	3,830 26
9/01/10	9/07/10	328390080	170.0000	34.38	5,844 04	3,889.68-	1,954.36
9/09/10	9/14/10	328390080	110.0000	33.68	3,704.41	2,516.85-	1,187.56
9/14/10	9/17/10	328390080	357.0000	35.86	12,800.26	8,168.33-	4,631.93
VIASAT INC							
CUSIP 92552V100							
11/16/09	11/19/09	328390080	200.0000	30.57	6,114.12	4,899.44-	1,214.68
11/17/09	11/20/09	328390080	20 0000	30.76	615.19	489.94-	125.25
1/25/10	1/28/10	328390080	140.0000	27.95	3,913.54	3,429.62-	483.92



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
1/26/10	1/29/10	328390080	130.0000	27.90	3,627.51	3,184.63-	442.88
1/27/10	2/01/10	328390080	210.0000	27.42	5,757.47	5,144.41-	613.06
2/19/10	2/24/10	328390080	170.0000	29.79	5,063.53	4,164.52-	899.01
9/14/10	9/17/10	328390080	953.0000	37.99	36,208.71	23,345.82-	12,862.89
VITACOST COM INC							
3/10/10	3/15/10	CUSIP 92847A200 328390080	444.0000	11.48	5,096.16	4,917.03-	179.13
3/10/10	3/15/10	328390080	141.0000	11.33	1,597.60	1,561.49-	36.11
3/11/10	3/16/10	328390080	20.0000	11.21	224.23	221.49-	2.74
4/21/10	4/26/10	328390080	350.0000	8.93	3,126.77	3,876.04-	749.27-
9/13/10	9/16/10	328390080	100.0000	6.81	681.48	1,040.18-	358.70-
9/15/10	9/20/10	328390080	1,551.0000	6.65	10,310.71	16,133.23-	5,822.52-
VOLCANO CORP							
9/15/10	9/20/10	CUSIP 928645100 328390080	2,015.0000	23.57	47,499.38	34,439.61-	13,059.77
VOLTERRA SEMICONDUCTOR CORP							
1/22/10	1/27/10	CUSIP 928708106 328390080	48.0000	17.46	837.98	923.12-	85.14-
1/26/10	1/29/10	328390080	358.0000	20.88	7,473.83	6,884.90-	588.93
WABTEC CORP							
10/12/09	10/15/09	CUSIP 929740108 328390080	264.0000	38.65	10,204.44	5,850.36-	4,354.08
WARNACO GROUP INC							
CUSIP 934390402							



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5/05/10	5/10/10	328390080	170 0000	45.64	7,758.78	4,624.44-	3,134.34
5/10/10	5/13/10	328390080	60 0000	44.18	2,650.70	1,632.15-	1,018.55
9/14/10	9/17/10	328390080	864 0000	48.64	42,024.67	28,801.03-	13,223.64
WESCO INTL INC CUSIP 95082P105							
9/15/10	9/20/10	328390080	1,250.0000	36.83	46,036.97	39,647.69-	6,389.28
WESTERN ALLIANCE BANCORPORATION CUSIP 957638109							
12/02/09	12/07/09	328390080	353.0000	4 07	1,437.06	2,072.11-	635.05-
12/03/09	12/08/09	328390080	133.0000	3.99	530.82	780.71-	249.89-
12/04/09	12/09/09	328390080	90.0000	3.81	343.16	528.30-	185.14-
3/03/10	3/08/10	328390080	151.0000	5.67	856.38	886.37-	29.99-
3/04/10	3/09/10	328390080	250.0000	5.67	1,416.68	1,467.50-	50.82-
3/05/10	3/10/10	328390080	120.0000	5.68	681.40	704.40-	23.00-
3/09/10	3/12/10	328390080	280.0000	5.71	1,599.22	1,643.60-	44.38-
3/11/10	3/16/10	328390080	154.0000	5.91	909.61	903.98-	5.63
3/12/10	3/17/10	328390080	341.0000	5.92	2,020.29	2,001.67-	18.62
3/15/10	3/18/10	328390080	350.0000	5.77	2,021.19	2,054.50-	33.31-
3/19/10	3/24/10	328390080	40.0000	5.87	234.77	234.80-	0.03-
3/23/10	3/26/10	328390080	260.0000	5.86	1,523.66	1,526.20-	2.54-
3/24/10	3/29/10	328390080	270.0000	5.84	1,577.55	1,584.90-	7.35-
3/25/10	3/30/10	328390080	251.0000	5.84	1,465.44	1,473.37-	7.93-

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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
3/26/10	3/31/10	328390080	310.0000	5.66	1,753.58	1,819.70-	66.12-
3/29/10	4/01/10	328390080	210.0000	5.60	1,176.75	1,232.69-	55.94-
3/30/10	4/05/10	328390080	110.0000	5.57	612.71	645.70-	32.99-
WESTWOOD HLDGS GROUP INC							
CUSIP 961765104							
12/01/09	12/04/09	328390080	10.0000	35.33	353.33	397.53-	44.20-
12/02/09	12/07/09	328390080	10.0000	35.26	352.57	397.53-	44.96-
12/07/09	12/10/09	328390080	60.0000	37.38	2,242.61	2,385.18-	142.57-
12/09/09	12/14/09	328390080	55.0000	38.91	2,140.04	2,186.41-	46.37-
12/10/09	12/15/09	328390080	55.0000	39.44	2,169.44	2,186.42-	16.98-
12/11/09	12/16/09	328390080	55.0000	38.14	2,097.64	2,186.41-	88.77-
12/11/09	12/16/09	328390080	1.0000	38.38	38.38	39.75-	1.37-
WET SEAL INC CL A							
CUSIP 961840105							
11/05/09	11/10/09	328390080	860.0000	3.38	2,904.40	3,836.33-	931.93-
11/06/09	11/12/09	328390080	40.0000	3.37	134.99	178.43-	43.44-
7/02/10	7/08/10	328390080	160.0000	3.68	588.18	713.73-	125.55-
7/08/10	7/13/10	328390080	152.0000	3.31	503.34	678.05-	174.71-
7/12/10	7/15/10	328390080	70.0000	3.48	243.34	312.26-	68.92-
7/28/10	8/02/10	328390080	710.0000	3.33	2,362.48	3,167.20-	804.72-
7/29/10	8/03/10	328390080	239.0000	3.28	784.06	1,066.14-	282.08-
7/30/10	8/04/10	328390080	642.0000	3.35	2,147.77	2,863.87-	716.10-

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8/02/10	8/05/10	328390080	260.0000	3.35	869.76	1,159.82-	290.06-
8/03/10	8/06/10	328390080	50.0000	3.28	164.20	223.04-	58.84-
8/13/10	8/18/10	328390080	247.0000	2.97	734.06	1,101.83-	367.77-
8/17/10	8/20/10	328390080	50.0000	3.07	153.68	223.04-	69.36-
8/18/10	8/23/10	328390080	117.0000	3.07	358.82	521.92-	163.10-
8/23/10	8/26/10	328390080	190.0000	2.92	554.54	847.56-	293.02-
9/02/10	9/08/10	328390080	149.0000	3.16	470.65	664.66-	194.01-
9/14/10	9/17/10	328390080	4,775.0000	3.31	15,828.85	21,300.53-	5,471.68-
WHITING PETROLEUM CORP CUSIP 966387102							
1/14/10	1/20/10	328390080	80.0000	73.21	5,856.85	4,062.53-	1,794.32
9/14/10	9/17/10	328390080	597.0000	91.36	54,540.09	30,316.67-	24,223.42
WMS INDS INC CUSIP 929297109							
10/15/09	10/20/09	328390080	150.0000	47.20	7,080.59	4,870.42-	2,210.17
11/23/09	11/27/09	328390080	52.0000	40.56	2,108.87	1,688.41-	420.46
11/24/09	11/30/09	328390080	50.0000	40.09	2,004.70	1,623.47-	381.23
12/16/09	12/21/09	328390080	200.0000	39.08	7,815.71	6,493.89-	1,321.82
12/18/09	12/23/09	328390080	120.0000	39.78	4,773.51	3,896.34-	877.17
12/18/09	12/23/09	328390080	251.0000	39.65	9,950.96	8,149.82-	1,801.14
12/21/09	12/24/09	328390080	103.0000	39.92	4,112.10	3,344.36-	767.74

WOODWARD GOVERNOR CO
CUSIP 980745103

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4/21/10	4/26/10	328390080	100.0000	32.25	3,224.62	2,488.15-	736.47
9/14/10	9/17/10	328390080	490.0000	29.78	14,592.59	12,191.95-	2,400.64
ZUMIEZ INC							
1/04/10	1/07/10	CUSIP 989817101 328390080	144.0000	12.35	1,778.61	2,200.41-	421.80-
1/05/10	1/08/10	328390080	140.0000	12.03	1,684.23	2,139.29-	455.06-
1/05/10	1/08/10	328390080	270.0000	12.45	3,361.17	4,125.77-	764.60-
1/06/10	1/11/10	328390080	149.0000	12.93	1,926.07	2,276.81-	350.74-
1/06/10	1/11/10	328390080	352.0000	12.65	4,452.12	5,378.79-	926.67-
1/07/10	1/12/10	328390080	31.0000	15.03	465.81	473.70-	7.89-
3COM CORP							
11/16/09	11/19/09	CUSIP 885535104 328390080	810.0000	7.48	6,058.64	4,570.12-	1,488.52
11/19/09	11/24/09	328390080	1,370.0000	7.44	10,192.53	7,729.72-	2,462.81
11/20/09	11/25/09	328390080	1,636.0000	7.42	12,138.96	9,230.54-	2,908.42
99 CENTS ONLY STORES							
10/22/09	10/27/09	CUSIP 65440K106 328390080	380.0000	11.86	4,506.07	4,276.97-	229.10
2/26/10	3/03/10	328390080	340.0000	16.33	5,552.97	3,826.77-	1,726.20
3/01/10	3/04/10	328390080	310.0000	16.86	5,227.71	3,489.11-	1,738.60
3/25/10	3/30/10	328390080	140.0000	16.88	2,363.20	1,575.73-	787.47
3/26/10	3/31/10	328390080	410.0000	17.14	7,026.53	4,614.62-	2,411.91
3/29/10	4/01/10	328390080	448.0000	17.50	7,839.68	5,042.32-	2,797.36

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3/30/10	4/05/10	328390080	159.0000	16.92	2,690.23	1,789.57	900.66
TOTAL DOMESTIC COMMON STOCKS			355,853.0000		6,102,228.11	5,222,488.27	879,739.84

FOREIGN STOCKS

SXC HEALTH SOLUTIONS CORP

CUSIP 78505P100

1/15/10	1/21/10	328390080	40.0000	47.10	1,884.16	1,233.98	650.18
1/28/10	2/02/10	328390080	90.0000	47.66	4,289.70	2,776.46	1,513.24
5/07/10	5/12/10	328390080	110.0000	63.24	6,956.14	3,393.46	3,562.68
7/21/10	7/26/10	328390080	90.0000	66.54	5,988.45	2,776.46	3,211.99
7/30/10	8/04/10	328390080	94.0000	67.07	6,304.71	2,899.87	3,404.84

U T I WORLDWIDE INC

CUSIP G87210103

9/14/10	9/17/10	328390080	1,936.0000	15.88	30,748.18	30,571.37	176.81
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VISTAPRINT NV

CUSIP N93540107

10/20/09	10/23/09	328390080	209.0000	49.50	10,346.30	7,022.02	3,324.28
TOTAL FOREIGN STOCKS			2,569.0000		66,517.64	50,673.62	15,844.02

COLLECTIVE INVESTMENT FUNDS

AXA ROSENBURG INTL ALL CAP

CUSIP 994993103

5/27/10	5/27/10	328390090	401.7540	11.59	4,655.10	4,373.15	281.95
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5/27/10	5/27/10	328390090	401,351.5840	11.59	4,650,435.92	4,368,772.26-	281,663.66
TOTAL COLLECTIVE INVESTMENT FUND			401,753.3380		4,655,091.02	4,373,145.41-	281,945.61
MUTUAL FUNDS							

BARCLAYS GLOBAL INV ALPHA TILTS FD B							
CUSIP 949995104							
9/03/10	9/03/10	328390010	597,276.0508	29.14	17,407,515.51	16,545,116.94-	862,398.57
TOTAL MUTUAL FUNDS			597,276.0508		17,407,515.51	16,545,116.94-	862,398.57
=====							
TOTAL SALES							
=====							
139,397,350.95 136,305,327.28- 3,092,023.67							
=====							
MATURITIES							
=====							
US TREAS & AGENCY SHORT TERM OBLIG							

U S TREASURY BILL							
CUSIP 912795UU3							
6/17/10	6/17/10	328390030	600,000.0000	99.99	599,925.00	599,925.00-	0.00
TOTAL US TREAS & AGENCY SHORT TE			600,000.0000		599,925.00	599,925.00-	0.00
CORPORATE OBLIGATIONS							

SIMON PPTY GROUP LP 4.600%							
CUSIP 828807EL0							
6/15/10	6/15/10	328390030	75,000.0000	100.00	75,000.00	71,945.25-	3,054.75



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TOTAL CORPORATE OBLIGATIONS			75,000.0000		75,000.00	71,945.25-	3,054.75
=====							
TOTAL MATURITIES					674,925.00	671,870.25-	3,054.75
=====							
PRINCIPAL PAYDOWNS							
=====							
US TREAS & AGENCY OBLIGATIONS							
=====							
F H L M C GD A81223 6.500% 8/01/38							
CUSIP 312927LC8							
10/15/09	10/15/09	328390030	10,356.9500	100.00	10,356.95	10,670.92-	313.97-
11/15/09	11/16/09	328390030	29,910.8300	100.00	29,910.83	30,817.58-	906.75-
=====							
F H L M C GD A88048 5.500% 8/01/39							
CUSIP 3129345H1							
4/15/10	4/15/10	328390030	63.2500	100.00	63.25	66.98-	3.73-
5/15/10	5/17/10	328390030	64.4000	100.00	64.40	68.19-	3.79-
6/15/10	6/15/10	328390030	63.8100	100.00	63.81	67.57-	3.76-
7/15/10	7/15/10	328390030	64.1200	100.00	64.12	67.90-	3.78-
8/15/10	8/16/10	328390030	59.3500	100.00	59.35	62.85-	3.50-
=====							
F H L M C GD G13593 4.500% 7/01/24							
CUSIP 3128MCGA7							
10/15/09	10/15/09	328390030	3,334.8600	100.00	3,334.86	3,406.25-	71.39-
11/15/09	11/16/09	328390030	3,229.2800	100.00	3,229.28	3,298.41-	69.13-
12/15/09	12/15/09	328390030	8,185.3400	100.00	8,185.34	8,360.56-	175.22-

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1/15/10	1/15/10	328390030	6,602.4100	100.00	6,602.41	6,743.74-	141.33-
2/15/10	2/16/10	328390030	9,025.3900	100.00	9,025.39	9,218.59-	193.20-
3/15/10	3/15/10	328390030	3,590.5100	100.00	3,590.51	3,667.37-	76.86-
4/15/10	4/15/10	328390030	4,552.9000	100.00	4,552.90	4,650.36-	97.46-
5/15/10	5/17/10	328390030	3,178.2900	100.00	3,178.29	3,246.33-	68.04-
6/15/10	6/15/10	328390030	5,024.3800	100.00	5,024.38	5,131.93-	107.55-
7/15/10	7/15/10	328390030	7,503.2000	100.00	7,503.20	7,663.82-	160.62-
8/15/10	8/16/10	328390030	5,306.1700	100.00	5,306.17	5,419.76-	113.59-
F H L M C GD J11738 4.500% 2/01/25							
CUSIP 3128PQ4X6							
4/15/10	4/15/10	328390030	748.4700	100.00	748.47	778.64-	30.17-
5/15/10	5/17/10	328390030	792.5900	100.00	792.59	824.54-	31.95-
6/15/10	6/15/10	328390030	601.0700	100.00	601.07	625.30-	24.23-
7/15/10	7/15/10	328390030	932.6500	100.00	932.65	970.25-	37.60-
8/15/10	8/16/10	328390030	848.8400	100.00	848.84	883.06-	34.22-
F H L M C GD J11783 4.500% 3/01/25							
CUSIP 3128PQ6U0							
4/15/10	4/15/10	328390030	1,318.5900	100.00	1,318.59	1,371.75-	53.16-
5/15/10	5/17/10	328390030	1,303.9100	100.00	1,303.91	1,356.47-	52.56-
6/15/10	6/15/10	328390030	1,147.7500	100.00	1,147.75	1,194.02-	46.27-
7/15/10	7/15/10	328390030	904.0300	100.00	904.03	940.47-	36.44-
8/15/10	8/16/10	328390030	694.4600	100.00	694.46	722.46-	28.00-

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F H L M C MLTCL MTG 5.500% 10/15/14 CUSIP 31397KPG0							
10/15/09	10/15/09	328390030	8,716.1200	100.00	8,716.12	4,516.97-	4,199.15
11/15/09	11/16/09	328390030	9,204.1700	100.00	9,204.17	4,769.89-	4,434.28
11/15/09	12/14/09	328390030	8,496.0900	100.00	8,496.09	4,402.93-	4,093.16
1/15/10	1/15/10	328390030	11,000.6200	100.00	11,000.62	5,700.86-	5,299.76
2/15/10	2/16/10	328390030	9,029.1000	100.00	9,029.10	4,679.16-	4,349.94
3/15/10	3/15/10	328390030	40,368.0800	100.00	40,368.08	20,920.00-	19,448.08
4/15/10	4/15/10	328390030	9,769.9000	100.00	9,769.90	5,063.06-	4,706.84
5/15/10	5/17/10	328390030	9,598.1600	100.00	9,598.16	4,974.07-	4,624.09
6/15/10	6/15/10	328390030	8,123.5500	100.00	8,123.55	4,209.87-	3,913.68
7/15/10	7/15/10	328390030	8,199.1000	100.00	8,199.10	4,249.04-	3,950.06
F N M A #AA2523 5.500% 3/01/39 CUSIP 31416KYV4							
10/25/09	10/26/09	328390030	4,154.6000	100.00	4,154.60	4,277.26-	122.66-
11/25/09	11/25/09	328390030	13,007.7100	100.00	13,007.71	13,391.76-	384.05-
F N M A #AA4425 5.500% 3/01/39 CUSIP 31416M4K7							
10/25/09	10/26/09	328390030	226.3200	100.00	226.32	233.23-	6.91-
11/25/09	11/25/09	328390030	227.4400	100.00	227.44	234.39-	6.95-
12/25/09	12/28/09	328390030	1,481.4900	100.00	1,481.49	1,526.75-	45.26-
1/25/10	1/25/10	328390030	22,532.5600	100.00	22,532.56	23,220.99-	688.43-
2/25/10	2/25/10	328390030	184.9400	100.00	184.94	190.59-	5.65-



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
3/25/10	3/25/10	328390030	193.8700	100.00	193.87	199.80-	5.93-
4/25/10	4/26/10	328390030	254.6800	100.00	254.68	262.46-	7.78-
5/25/10	5/25/10	328390030	208.1400	100.00	208.14	214.50-	6.36-
6/25/10	6/25/10	328390030	184.8700	100.00	184.87	190.52-	5.65-
7/25/10	7/26/10	328390030	185.2600	100.00	185.26	190.92-	5.66-
8/25/10	8/25/10	328390030	176.6400	100.00	176.64	182.03-	5.39-

F N M A #AA4434 5.000% 3/01/39

CUSIP 31416M4U5

10/25/09	10/26/09	328390030	3,464.9100	100.00	3,464.91	3,559.24-	94.33-
11/25/09	11/25/09	328390030	4,103.1800	100.00	4,103.18	4,214.89-	111.71-
12/25/09	12/28/09	328390030	5,859.6000	100.00	5,859.60	6,019.13-	159.53-
1/25/10	1/25/10	328390030	8,805.5900	100.00	8,805.59	9,045.32-	239.73-
2/25/10	2/25/10	328390030	7,252.9300	100.00	7,252.93	7,450.39-	197.46-
3/25/10	3/25/10	328390030	5,084.8500	100.00	5,084.85	5,223.29-	138.44-
4/25/10	4/26/10	328390030	5,093.1100	100.00	5,093.11	5,231.77-	138.66-
5/25/10	5/25/10	328390030	4,768.0000	100.00	4,768.00	4,897.81-	129.81-
6/25/10	6/25/10	328390030	5,266.1300	100.00	5,266.13	5,409.50-	143.37-
7/25/10	7/26/10	328390030	13,073.9200	100.00	13,073.92	13,429.85-	355.93-
8/25/10	8/25/10	328390030	18,703.5400	100.00	18,703.54	19,212.75-	509.21-

F N M A #AA5026 4.000% 3/01/24

CUSIP 31416NSQ6

10/25/09	10/26/09	328390030	1,214.1700	100.00	1,214.17	1,224.60-	10.43-
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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
11/25/09	11/25/09	328390030	1,480.2000	100.00	1,480.20	1,492.91-	12.71-
12/25/09	12/28/09	328390030	2,159.4200	100.00	2,159.42	2,177.96-	18.54-
1/25/10	1/25/10	328390030	1,287.0400	100.00	1,287.04	1,298.09-	11.05-
2/25/10	2/25/10	328390030	2,333.6700	100.00	2,333.67	2,353.71-	20.04-
3/25/10	3/25/10	328390030	1,113.2600	100.00	1,113.26	1,122.82-	9.56-
4/25/10	4/26/10	328390030	4,112.7200	100.00	4,112.72	4,148.03-	35.31-
5/25/10	5/25/10	328390030	3,651.0900	100.00	3,651.09	3,682.44-	31.35-
6/25/10	6/25/10	328390030	1,902.8200	100.00	1,902.82	1,919.16-	16.34-
7/25/10	7/26/10	328390030	1,164.3200	100.00	1,164.32	1,174.32-	10.00-
8/25/10	8/25/10	328390030	1,117.2900	100.00	1,117.29	1,126.88-	9.59-
F N M A #AB1051 CUSIP 31416WEZ1							
7/25/10	7/26/10	328390030	717.6500	100.00	717.65	746.13-	28.48-
8/25/10	8/25/10	328390030	3,459.7900	100.00	3,459.79	3,597.10-	137.31-
F N M A #AC1523 CUSIP 31417KVR5							
7/25/10	7/26/10	328390030	3,240.2600	100.00	3,240.26	3,469.10-	228.84-
8/25/10	8/25/10	328390030	5,891.2800	100.00	5,891.28	6,307.35-	416.07-
F N M A #AD2312 CUSIP 31418PSA4							
4/25/10	4/26/10	328390030	346.1400	100.00	346.14	365.07-	18.93-
5/25/10	5/25/10	328390030	323.0400	100.00	323.04	340.71-	17.67-
6/25/10	6/25/10	328390030	356.4500	100.00	356.45	375.94-	19.49-



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
7/25/10	7/26/10	328390030	731.6600	100.00	731.66	771.67-	40 01-
8/25/10	8/25/10	328390030	387.9000	100.00	387.90	409.11-	21 21-
F N M A #AD3278 CUSIP 31418QU05							
7/25/10	7/26/10	328390030	3,393.0100	100.00	3,393.01	3,544.64-	151.63-
8/25/10	8/25/10	328390030	3,298.0200	100.00	3,298.02	3,445.40-	147.38-
F N M A #AD6946 CUSIP 31418UWG5							
7/25/10	7/26/10	328390030	805.1500	100.00	805.15	865.22-	60.07-
8/25/10	8/25/10	328390030	690.9800	100.00	690.98	742.53-	51 55-
F N M A #AD6992 CUSIP 31418UXW9							
7/25/10	7/26/10	328390030	453.3200	100.00	453.32	487.14-	33.82-
8/25/10	8/25/10	328390030	319.4700	100.00	319.47	343.31-	23.84-
F N M A #872808 CUSIP 31409JU99							
10/25/09	10/26/09	328390030	11,406.3700	100.00	11,406.37	11,557.80-	151.43-
11/25/09	11/25/09	328390030	294.9000	100.00	294.90	298.81-	3.91-
12/25/09	12/28/09	328390030	16,669.4800	100.00	16,669.48	16,890.80-	221.32-
1/25/10	1/25/10	328390030	15,689.4700	100.00	15,689.47	15,897.78-	208.31-
2/25/10	2/25/10	328390030	10,088.3600	100.00	10,088.36	10,222.31-	133.95-
3/25/10	3/25/10	328390030	242.6700	100.00	242.67	245.89-	3 22-
4/25/10	4/26/10	328390030	246.3400	100.00	246.34	249.61-	3.27-

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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
5/25/10	5/25/10	328390030	245.1400	100.00	245 14	248.39-	3.25-
6/25/10	6/25/10	328390030	39,102.8300	100.00	39,102.83	39,622.01-	519.18-
7/25/10	7/27/10	328390030	198.8000	100.00	198.80	201.44-	2.64-
F N M A #932121 CUSIP 314120SJ9 5.500% 10/01/39							
4/25/10	4/26/10	328390030	1,550.9800	100.00	1,550.98	1,634.35-	83 37-
5/25/10	5/25/10	328390030	112 6200	100 00	112.62	118.67-	6.05-
6/25/10	6/25/10	328390030	135 5600	100.00	135.56	142 85-	7.29-
7/25/10	7/26/10	328390030	136.2200	100.00	136.22	143.54-	7 32-
8/25/10	8/25/10	328390030	119 3600	100.00	119.36	125.78-	6.42-
F N M A #935228 CUSIP 31412UPM6 5 000% 5/01/39							
10/25/09	10/26/09	328390030	1,682 5600	100.00	1,682 56	1,730.41-	47.85-
11/25/09	11/25/09	328390030	569.7000	100.00	569 70	585.90-	16 20-
12/25/09	12/28/09	328390030	581 5800	100.00	581.58	598.12-	16.54-
1/25/10	1/25/10	328390030	614.9100	100.00	614 91	632 40-	17.49-
2/25/10	2/25/10	328390030	597.9200	100 00	597.92	614.92-	17.00-
3/25/10	3/25/10	328390030	558.3200	100.00	558 32	574.20-	15.88-
4/25/10	4/26/10	328390030	3,539.4900	100.00	3,539.49	3,640.14-	100.65-
5/25/10	5/25/10	328390030	9,791.7300	100.00	9,791.73	10,070.18-	278.45-
6/25/10	6/25/10	328390030	524 0900	100.00	524 09	538 99-	14.90-
7/25/10	7/26/10	328390030	8,905.2800	100 00	8,905.28	9,158 52-	253.24-



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
8/25/10	8/25/10	328390030	601.4300	100.00	601.43	618.53-	17 10-
F N M A #935235 CUSIP 31412UPU8							
10/25/09	10/26/09	328390030	159.5200	100.00	159.52	165.43-	5.91-
11/25/09	11/25/09	328390030	164.4600	100.00	164.46	170.56-	6.10-
12/25/09	12/28/09	328390030	156.3000	100.00	156.30	162.09-	5.79-
1/25/10	1/25/10	328390030	15,422.7700	100.00	15,422.77	15,994.50-	571.73-
2/25/10	2/25/10	328390030	149.2000	100.00	149.20	154.73-	5.53-
3/25/10	3/25/10	328390030	157.3300	100.00	157.33	163.16-	5.83-
4/25/10	4/26/10	328390030	142.0800	100.00	142.08	147.35-	5.27-
5/25/10	5/25/10	328390030	138.7500	100.00	138.75	143.89-	5.14-
6/25/10	6/25/10	328390030	166.2200	100.00	166.22	172.38-	6.16-
7/25/10	7/26/10	328390030	160.9200	100.00	160.92	166.89-	5.97-
8/25/10	8/25/10	328390030	159.1300	100.00	159.13	165.03-	5.90-
F N M A #935558 CUSIP 31412UZX1							
4/25/10	4/26/10	328390030	102.4300	100.00	102.43	107.94-	5.51-
5/25/10	5/25/10	328390030	103.4800	100.00	103.48	109.04-	5.56-
6/25/10	6/25/10	328390030	103.4600	100.00	103.46	109.02-	5.56-
7/25/10	7/26/10	328390030	103.9800	100.00	103.98	109.57-	5.59-
8/25/10	8/25/10	328390030	97.9700	100.00	97.97	103.24-	5.27-

F N M A #959539
CUSIP 31413YPY1

6.038% 12/01/37

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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
10/25/09	10/26/09	328390030	25,198.6600	100.00	25,198.66	25,762.68-	564.02-
11/25/09	11/25/09	328390030	13,528.9300	100.00	13,528.93	13,831.75-	302.82-
12/25/09	12/28/09	328390030	425.5200	100.00	425.52	435.04-	9.52-
1/25/10	1/25/10	328390030	15,621.3600	100.00	15,621.36	15,971.00-	349.64-
2/25/10	2/25/10	328390030	28,336.6900	100.00	28,336.69	28,970.94-	634.25-
3/25/10	3/25/10	328390030	371.9600	100.00	371.96	380.29-	8.33-
4/25/10	4/26/10	328390030	17,533.4200	100.00	17,533.42	17,925.87-	392.45-
5/25/10	5/25/10	328390030	29,373.1400	100.00	29,373.14	30,030.59-	657.45-
6/25/10	6/28/10	328390030	59,263.1500	100.00	59,263.15	60,589.62-	1,326.47-
F N M A #971072 CUSIP 31414NJR6							
10/25/09	10/26/09	328390030	2,612.0000	100.00	2,612.00	2,681.92-	69.92-
F N M A #971074 CUSIP 31414NJT2							
10/25/09	10/26/09	328390030	6,266.4600	100.00	6,266.46	6,486.79-	220.33-
F N M A GTD REMIC CUSIP 31395NFP92							
10/25/09	10/26/09	328390030	4,407.9000	100.00	4,407.90	4,650.33-	242.43-
11/25/09	11/25/09	328390030	4,431.7800	100.00	4,431.78	4,675.53-	243.75-
12/25/09	12/28/09	328390030	4,455.7800	100.00	4,455.78	4,700.85-	245.07-
1/25/10	1/25/10	328390030	4,479.9200	100.00	4,479.92	4,726.32-	246.40-
2/25/10	2/25/10	328390030	4,504.1800	100.00	4,504.18	4,751.91-	247.73-
3/25/10	3/25/10	328390030	4,088.9100	100.00	4,088.91	4,313.80-	224.89-

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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
4/25/10	4/26/10	328390030	4,111.0600	100.00	4,111.06	4,337.17-	226 11-
5/25/10	5/25/10	328390030	4,133.3300	100.00	4,133 33	4,360 66-	227.33-
6/25/10	6/25/10	328390030	4,155 7200	100.00	4,155.72	4,384.28-	228.56-
7/25/10	7/26/10	328390030	4,178.2300	100.00	4,178 23	4,408 03-	229 80-
S B A GTD DEV PRTN 5.408% 2/10/16 CUSIP 831641EA9							
11/10/09	11/10/09	328390030	3,515.9100	100.00	3,515.91	3,523.19-	7.28-
TOTAL US TREAS & AGENCY OBLIGATI					789,045.21	747,728 12-	41,317.09

CORPORATE OBLIGATIONS

AMERICREDIT AUTO 3.430% 7/06/11 CUSIP 03061NHT9							
10/06/09	10/06/09	328390030	4,831.0500	100.00	4,831.05	4,738.51-	92.54
11/06/09	11/06/09	328390030	4,666.7700	100.00	4,666.77	4,577.37-	89.40
12/06/09	12/07/09	328390030	4,062.7500	100 00	4,062.75	3,984.92-	77.83
1/06/10	1/06/10	328390030	37,086.9000	100.00	37,086.90	36,376.48-	710.42
FEDERAL EXPRESS 7.020% 1/15/16 CUSIP 31331FAZ4							
1/15/10	1/15/10	328390030	1,525 1600	100.00	1,525.16	1,669.29-	144.13-
GREENWICH CAP COML 4.344% 6/10/36 CUSIP 396789FP9							
10/13/09	10/13/09	328390030	4,653.4500	100 00	4,653.45	4,617.74-	35.71
11/13/09	11/16/09	328390030	4,191.7400	100 00	4,191.74	4,159.58-	32.16

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12/11/09	12/11/09	328390030	4,695.1900	100.00	4,695.19	4,659.17-	36.02
1/12/10	1/12/10	328390030	4,235.0600	100.00	4,235.06	4,202.57-	32.49
2/12/10	2/12/10	328390030	9,905.3100	100.00	9,905.31	9,829.31-	76.00
3/12/10	3/12/10	328390030	4,974.5400	100.00	4,974.54	4,936.37-	38.17
4/12/10	4/12/10	328390030	13,739.0500	100.00	13,739.05	13,633.62-	105.43
HOUSEHOLD AUTOMOTIVE 4 940% 11/19/12							
CUSIP 44328FAM1							
10/17/09	10/20/09	328390030	3,130.5900	100.00	3,130.59	3,103.44-	27.15
11/17/09	11/17/09	328390030	3,321.1400	100.00	3,321.14	3,292.34-	28.80
12/17/09	12/17/09	328390030	3,004.5500	100.00	3,004.55	2,978.49-	26.06
1/17/10	1/19/10	328390030	2,714.3800	100.00	2,714.38	2,690.84-	23.54
2/17/10	2/17/10	328390030	2,976.2800	100.00	2,976.28	2,950.47-	25.81
3/17/10	3/18/10	328390030	40,272.1900	100.00	40,272.19	39,922.96-	349.23
LONG BEACH AUTO REC 4.250% 4/15/12							
CUSIP 542391CA3							
10/15/09	10/15/09	328390030	6,583.2200	100.00	6,583.22	6,475.07-	108.15
11/15/09	11/16/09	328390030	7,376.4300	100.00	7,376.43	7,255.24-	121.19
12/15/09	12/15/09	328390030	5,950.9700	100.00	5,950.97	5,853.21-	97.76
1/15/10	1/15/10	328390030	6,401.8300	100.00	6,401.83	6,296.66-	105.17
2/15/10	2/16/10	328390030	5,817.8600	100.00	5,817.86	5,722.28-	95.58
3/15/10	3/15/10	328390030	6,180.3300	100.00	6,180.33	6,078.80-	101.53
4/15/10	4/15/10	328390030	6,111.2800	100.00	6,111.28	6,010.88-	100.40

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5/15/10	5/17/10	328390030	5,217.6400	100.00	5,217.64	5,131.92-	85.72
6/15/10	6/15/10	328390030	4,827.1300	100.00	4,827.13	4,747.82-	79.31
7/15/10	7/15/10	328390030	4,315.4300	100.00	4,315.43	4,244.53-	70.90
8/15/10	8/16/10	328390030	4,285.0600	100.00	4,285.06	4,214.67-	70.39
MASTR ASSET							
5.500% 6/25/33							
CUSIP 55265KKU8							
10/25/09	10/26/09	328390030	1,390.1700	100.00	1,390.17	1,351.38-	38.79
11/25/09	11/25/09	328390030	1,751.5300	100.00	1,751.53	1,702.66-	48.87
12/25/09	12/28/09	328390030	2,303.0400	100.00	2,303.04	2,238.79-	64.25
1/25/10	1/25/10	328390030	2,525.2100	100.00	2,525.21	2,454.76-	70.45
2/25/10	2/25/10	328390030	1,298.6100	100.00	1,298.61	1,262.38-	36.23
3/25/10	3/25/10	328390030	598.3000	100.00	598.30	581.60-	16.70
4/25/10	4/26/10	328390030	1,657.2900	100.00	1,657.29	1,611.05-	46.24
5/25/10	5/25/10	328390030	2,004.8100	100.00	2,004.81	1,949.69-	55.12
6/25/10	6/25/10	328390030	712.2700	100.00	712.27	692.69-	19.58
7/25/10	7/26/10	328390030	1,476.2600	100.00	1,476.26	1,435.68-	40.58
8/25/10	8/25/10	328390030	1,710.5100	100.00	1,710.51	1,663.49-	47.02
RENAISSANCE HOME							
5.608% 5/25/36							
CUSIP 759950GV4							
10/25/09	10/27/09	328390030	1,778.8900	100.00	1,778.89	1,636.58-	142.31
RESIDENTIAL ASST							
0.45994% 7/25/36							
CUSIP 75156WAB9							
10/25/09	10/26/09	328390030	21,086.3900	100.00	21,086.39	19,379.71-	1,706.68

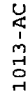
SECURITY DISPOSITIONS
10/01/09 TO 9/30/10

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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
11/25/09	11/25/09	328390030	17,146.2600	100.00	17,146.26	15,758.48-	1,387.78
12/25/09	12/28/09	328390030	21,205.8300	100.00	21,205.83	19,489.48-	1,716.35
1/25/10	1/25/10	328390030	15,504.8100	100.00	15,504.81	14,249.89-	1,254.92
2/25/10	2/26/10	328390030	16,881.3500	100.00	16,881.35	15,515.02-	1,366.33
3/25/10	3/25/10	328390030	12,972.1200	100.00	12,972.12	11,922.19-	1,049.93
4/25/10	4/26/10	328390030	17,373.5400	100.00	17,373.54	15,967.38-	1,406.16
5/25/10	5/25/10	328390030	18,155.0800	100.00	18,155.08	16,685.66-	1,469.42
6/25/10	6/25/10	328390030	11,154.1200	100.00	11,154.12	10,251.33-	902.79
7/25/10	7/28/10	328390030	7,426.8700	100.00	7,426.87	6,825.75-	601.12
SMALL BUSINESS 5.59353% 3/10/16							
CUSIP 831641EB7							
3/10/10	3/11/10	328390030	8,491.9400	100.00	8,491.94	8,491.94-	0.00
STRUCTURED ASSET 5.500% 10/25/33							
CUSIP 86359A4B6							
10/25/09	10/26/09	328390030	285.5700	100.00	285.57	279.59-	5.98
11/25/09	11/25/09	328390030	770.4100	100.00	770.41	754.28-	16.13
12/25/09	12/28/09	328390030	278.3300	100.00	278.33	272.50-	5.83
1/25/10	1/25/10	328390030	127.0200	100.00	127.02	124.36-	2.66
2/25/10	2/25/10	328390030	590.6900	100.00	590.69	578.32-	12.37
3/25/10	3/25/10	328390030	413.6800	100.00	413.68	405.02-	8.66
4/25/10	4/26/10	328390030	479.1300	100.00	479.13	469.10-	10.03
5/25/10	5/25/10	328390030	1,148.6500	100.00	1,148.65	1,124.60-	24.05



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TRADE DATE	SETTLE DATE	ASSET DESCRIPTION	SHARES/ PAR VALUE	AVG UNIT PROCEEDS	TOTAL PROCEEDS	COST	REALIZED GAIN/LOSS
6/25/10	6/25/10	328390030	1,176.9200	100.00	1,176.92	1,152.28-	24.64
7/25/10	7/28/10	328390030	298.7900	100.00	298.79	292.53-	6.26
8/25/10	8/25/10	328390030	707.3300	100.00	707.33	692.52-	14.81
TOTAL CORPORATE OBLIGATIONS			409,935.0000		409,935.00	393,617.23-	16,317.77
=====							
TOTAL PRINCIPAL PAYDOWNS					1,198,980.21	1,141,345.35-	57,634.86
=====							
=====							
TOTAL SECURITY DISPOSITIONS					141,271,256.16	138,118,542.88-	3,152,713.28
=====							



J. Marion Sims Foundation, Inc.
Part IV – Capital Gains and Losses for Tax on
Investment Income – Line 1b
For the Fiscal Year Ended 9/30/10

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DATE	DESCRIPTION	COST/MARKET
10/01/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	627.75
10/02/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,664.88
10/05/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	831.22
10/06/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	256.25-
10/07/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	456.25
10/08/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,231.25
10/09/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	6.25
10/13/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	675.03

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DATE	DESCRIPTION	COST/MARKET
10/14/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	224.97
10/15/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	212.50-
10/16/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	618.72-
10/19/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	287.53-
10/20/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	237.47-
10/21/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	512.50
10/22/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,312.50-
10/23/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	349.97

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DATE	DESCRIPTION	COST/MARKET
10/26/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	831.25-
10/27/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	299.97-
10/28/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,425 00
10/29/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	327.78
10/30/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,125.06-
11/02/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,756.28
11/03/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	210 38-
11/04/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	40.65-



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DATE	DESCRIPTION	COST/MARKET
11/05/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	303.10-
11/06/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV CHASE MARGIN VARIATION 328390030	225 00
11/09/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	656.25
11/10/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	296.85
11/12/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	300.00-
11/13/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	190.65
11/16/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	681.25-
11/17/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	15.65-



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DATE	DESCRIPTION	COST/MARKET
11/18/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	429.64-
11/19/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	32.82
11/20/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	931.25-
11/23/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV CHASE MARGIN VARIATION 328390030	467.18-
11/24/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	282.79
11/25/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	941.00-
11/27/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	192.97
11/30/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	610.93-



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DATE	DESCRIPTION	COST/MARKET
12/01/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,565.65-
12/02/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,783.92
12/03/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,751.62
12/04/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,851.56
12/07/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	6,695.28
12/08/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	3,620.66-
12/09/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	343.79-
12/10/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	617.14-

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DATE	DESCRIPTION	COST/MARKET
12/11/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	820.30
12/14/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	687.51
12/15/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	85.98-
12/16/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	562.53
12/17/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,226.58-
12/18/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	4,296.85-
12/21/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	15.59
12/22/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,226.59



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DATE	DESCRIPTION	COST/MARKET
12/23/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	746.51
12/24/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	320.28
12/28/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,734.38
12/29/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,421.89
12/30/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	70.30
12/31/09	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,312.51-
1/04/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	304.71
1/05/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,177.93-

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DATE	DESCRIPTION	COST/MARKET
1/06/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	5,876.57-
1/07/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	4,073.44
1/08/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	863.70
1/11/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	328.14-
1/12/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,134.38
1/13/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	7,840.62-
1/14/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	4,098.88
1/15/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	4,070.31-



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DATE	DESCRIPTION	COST/MARKET
1/19/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	5,242.19-
1/20/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,001.57
1/21/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	4,237.50-
1/22/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	4,376.57-
1/25/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,228.12-
1/26/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,625.00
1/27/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	676.56-
1/28/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	173.00-

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DATE	DESCRIPTION	COST/MARKET
1/29/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	765.58
2/01/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	4,134.38-
2/02/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	821.41
2/03/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,250.01
2/04/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,362.50-
2/08/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION FROM 2/5/10 328390030	3,584.31
2/08/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	4,806.32
2/09/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,115.62-

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DATE	DESCRIPTION	COST/MARKET
2/10/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,353.14-
2/11/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,265.67-
2/12/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,153.08-
2/16/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,768.76
2/17/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,024.93
2/18/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	5,115.57-
2/19/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	4,078.11-
2/22/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	137.56-

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DATE	DESCRIPTION	COST/MARKET
2/23/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	503.12-
2/24/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	5,768.79
2/25/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	673.71-
2/26/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,918.75
3/01/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,443.75
3/02/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,324.44-
3/03/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV TUMOL FIN FUT US 2YR CBT 6/ 6/16/10 FUTURES MARGIN VARIATION CUSIP 9MWFC038 328390030	3.50-
3/04/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	234.40



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DATE	DESCRIPTION	COST/MARKET
3/05/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	296.87-
3/08/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,390.59
3/09/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	609.41
3/10/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	796.87-
3/11/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	156.29-
3/12/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	812.46-
3/15/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	390.63-
3/16/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	828.16-



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DATE	DESCRIPTION	COST/MARKET
3/17/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,609.38-
3/18/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,187.50-
3/19/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,171.92
3/22/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	609.34
3/23/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	718.75-
3/24/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	109.41
3/25/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	3,171.87
3/26/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,593.71



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DATE	DESCRIPTION	COST/MARKET
3/29/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	93.75
3/30/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	6.95-
3/31/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	968.75-
4/01/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,104.26-
4/05/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,286.36
4/06/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	598.41
4/07/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	264.05-
4/08/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	4,524.60-

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DATE	DESCRIPTION	COST/MARKET
4/09/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,475.04
4/12/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	868.76-
4/14/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,175 04-
4/14/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV TUMOL FIN FUT US 2YR CBT 6/ 6/16/10 FUTURES MARGIN VARIATION CUSIP 9NMFCD038 328390030	2,290 62-
4/15/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,559.42
4/16/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	196 93-
4/19/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	3,481.22-
4/20/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,396.87

CAPITAL GAINS DISTRIBUTIONS
FOR 10/01/09 TO 9/30/10320078300
CU J MARION SIMS FDN - COMBINED

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DATE	DESCRIPTION	COST/MARKET
4/21/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	712.51-
4/22/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	3,165.59-
4/23/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,899.95
4/26/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,918.76
4/27/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	256.24-
4/28/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	6,259.36-
4/29/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	3,931.25
4/30/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,474.99-

CAPITAL GAINS DISTRIBUTIONS
FOR 10/01/09 TO 9/30/10320078300
CU J. MARION SIMS FDN - COMBINED

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DATE	DESCRIPTION	COST/MARKET
5/03/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV CHASE VARIATION MARGIN 328390030	2,662.49-
5/04/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	514.57
5/05/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	8,187.53
5/06/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	6,437.49
5/07/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	18,546.88
5/10/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	3,046.94-
5/11/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	13,546.88-
5/12/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,015.69



CAPITAL GAINS DISTRIBUTIONS
FOR 10/01/09 TO 9/30/10

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CU J. MARION SIMS FDN - COMBINED

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DATE	DESCRIPTION	COST/MARKET
5/13/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	3,968.77-
5/14/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,390.64
5/17/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	13,031.25
5/18/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,468.76-
5/19/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	8,171.88
5/20/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	156.30-
5/21/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	9,421.93
5/24/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	6,515.55



CAPITAL GAINS DISTRIBUTIONS
FOR 10/01/09 TO 9/30/10

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CU J MARION SIMS FDN - COMBINED

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DATE	DESCRIPTION	COST/MARKET
5/25/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,086.66
5/26/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	7,687.49
5/27/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	7,328 10-
5/28/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	13,062.58-
6/01/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	6,123.17
6/02/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	516.40
6/03/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	3,259 38-
6/04/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,112.54-

CAPITAL GAINS DISTRIBUTIONS
FOR 10/01/09 TO 9/30/10320078300
CU J. MARION SIMS FDN - COMBINED

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DATE	DESCRIPTION	COST/MARKET
6/07/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	10,753.14
6/08/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	193.74
6/09/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	324.96
6/10/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,990.58-
6/11/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	7,262.54-
6/14/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	5,900.04
6/15/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,637.54-
6/16/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,062.46-

CAPITAL GAINS DISTRIBUTIONS
FOR 10/01/09 TO 9/30/10320078300
CU J. MARION SIMS FDN - COMBINED

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DATE	DESCRIPTION	COST/MARKET
6/17/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,165.58
6/18/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	4,512.54
6/21/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV CHASE VARIATION MARGIN 328390030	2,325.00-
6/22/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,128.08-
6/23/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	4,431.16
6/24/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	4,646.96
6/25/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	734.38
6/28/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	575.00

CAPITAL GAINS DISTRIBUTIONS
FOR 10/01/09 TO 9/30/10

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CU J. MARION SIMS FDN - COMBINED

DATE	DESCRIPTION	COST/MARKET
6/29/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	4,228.08
6/30/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,784.34
7/01/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,220.18
7/02/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	833.76
7/06/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	374.98
7/07/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,303.12
7/08/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	15.64
7/09/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	146.87

CAPITAL GAINS DISTRIBUTIONS
FOR 10/01/09 TO 9/30/10320078300
CU J. MARION SIMS FDN - COMBINED

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DATE	DESCRIPTION	COST/MARKET
7/12/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	768.71-
7/13/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	921.86
7/14/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,552.29-
7/15/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	928.15
7/16/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	784.35
7/19/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,496.90
7/20/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	328.10
7/21/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	578.15



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CAPITAL GAINS DISTRIBUTIONS
FOR 10/01/09 TO 9/30/10

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CU J. MARION SIMS FDN - COMBINED

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DATE	DESCRIPTION	COST/MARKET
7/22/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	359.40-
7/23/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	71.90
7/26/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	900.00-
7/27/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	187 50
7/28/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	306.25-
7/29/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,093.75
7/30/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,234.35
8/02/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	156.25



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CAPITAL GAINS DISTRIBUTIONS
FOR 10/01/09 TO 9/30/10

320078300
CU J MARION SIMS FDN - COMBINED

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DATE	DESCRIPTION	COST/MARKET
8/03/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	390.90
8/04/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	18.74
8/05/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	228.12
8/06/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	140.61-
8/09/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	87.50-
8/10/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	350.00
8/11/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	143.75-
8/12/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,543 76-

CAPITAL GAINS DISTRIBUTIONS
FOR 10/01/09 TO 9/30/10320078300
CU J MARION SIMS FDN - COMBINED

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DATE	DESCRIPTION	COST/MARKET
8/13/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	134.37
8/16/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	584.36-
8/17/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	2,175 76-
8/18/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	31.25-
8/19/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,400.00-
8/20/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	1,068.75-
8/23/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	640.64-
8/24/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	350.00-

CAPITAL GAINS DISTRIBUTIONS
FOR 10/01/09 TO 9/30/10320078300
CU J MARION SIMS FDN - COMBINED

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DATE	DESCRIPTION	COST/MARKET
8/25/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	375.00-
8/26/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	828.94
8/27/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	5,805.60
8/30/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	59,134.34-
8/31/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	49,690.66
9/01/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	20,878.05
9/02/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURE MARGINS VARIATIONS 328390030	42,810.11-
9/03/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURE MARGINS VARIATIONS 328390030	13,062.50-

CAPITAL GAINS DISTRIBUTIONS
FOR 10/01/09 TO 9/30/10320078300
CU J. MARION SIMS FDN - COMBINED

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DATE	DESCRIPTION	COST/MARKET
9/07/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	25,234.45-
9/08/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURE MARGINS VARIATIONS 328390030	41,671.77
9/09/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	21,843.75-
9/10/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURE MARGINS VARIATIONS 328390030	44,812.53-
9/13/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	10,406.04-
9/14/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	26,827.98
9/15/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	28,453.02
9/16/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	13,656.28-



CAPITAL GAINS DISTRIBUTIONS
FOR 10/01/09 TO 9/30/10

320078300
CU J. MARION SIMS FDN - COMBINED

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DATE	DESCRIPTION	COST/MARKET
9/17/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	13,187.40-
9/20/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURE MARGINS VARIATIONS 328390030	11,328.20
9/21/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	12,656 18
9/22/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURE MARGINS VARIATIONS 328390030	47,109.27
9/23/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	7,703 16
9/24/10	CASH RECEIPT SHORT-TERM CAPITAL GAIN DIV FUTURES MARGIN VARIATION 328390030	17,767.34
TOTAL CAPITAL GAINS DISTRIBUTIONS		67,171.23

10/28/2010

Income Summary

BLACKROCK

J. Marion Sims Foundation, Inc.
Part IV – Capital Gains and Losses for Tax on
Investment Income – Line 1c
EI#: 57-0355295
For the Fiscal Year Ended 9/30/10

Oct 28, 2010
 E Brown Crenshaw
 bcrenshaw@jmsims.org

Income Summary

From Date 10/01/2009 To Date 09/30/2010

Fund	NET INVESTMENT INCOME	SECURITIES LENDING INCOME	NET CAPITAL GAIN / LOSS	TOTAL NET INCOME
In USD				
J. Marion Sims Foundation	307,226.79	2,928.14	1,252,949.50	1,563,104.43
(527076) Sims Foundation, J. Marlon	307,226.79	2,928.14	1,252,949.50	1,563,104.43
Alpha Tilts Fund B	307,226.79	2,928.14	1,252,949.50	1,563,104.43

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J. Marion Sims Foundation, Inc.
Parts XV Supplementary Information
Lines 3a and 3b
EI: 57-0355295
For the Fiscal Year Ended 9/30/10

Recipient and/or Purpose	Tax Status	Beginning Balance 2010	Newly Allocated 2010	Amended 2010	Amount Paid 2010	Ending Balance 2010
American National Red Cross and its Constituent Chapters and Branches						
200 Piedmont Blvd.						
Rock Hill, SC 29732						
<i>"Family Emergency Assistance Program"</i>						
\$15,000.00						
2010						
CareNet, Inc.						
338 West Meeting Street						
Medical Arts V, Suite H						
Lancaster, SC 29720						
<i>"Care Health Center"</i>						
\$529,522.00						
2009						
Chester County Literacy Council						
100 Center Street, Suite B						
Chester, SC 29706						
<i>ALT 09: Guided Academic Preparation Program (GAPP)</i>						
\$74,901.00						
2009						
Chester County Literacy Council						
100 Center Street, Suite B						
Chester, SC 29706						
<i>ALC - Chester County Literacy Council "GAPP"</i>						
\$93,200.00						
2010						

J. Marion Sims Foundation, Inc.
Parts XV Supplementary Information
Lines 3a and 3b
EI: 57-0355295
For the Fiscal Year Ended 9/30/10

Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
Chester County School District											
109 Hinton Street		\$41,159.00		\$0.00		\$0.00		\$41,159.00		\$0.00	
Chester, SC 29706											
<i>"Social Worker for Great Falls and</i>											
<i>Lewisville Attendance Centers"</i>											
\$159,410.00											
2008											
Chester County School District											
109 Hinton Street		\$31,136.00		\$0.00		\$0.00		\$31,136.00		\$0.00	
Chester, SC 29706											
<i>"A Structured System of Extra Help"</i>											
<i>Lewisville High School</i>											
\$89,372.00											
2008											
Chester County School District											
109 Hinton Street		\$0.00		\$485.00		\$0.00		\$485.00		\$0.00	
Chester, SC 29706											
<i>Angela Oliver</i>											
<i>"CPR/1st Aid Certification"</i>											
<i>Great Falls High School</i>											
\$485.00											
2010											

J. Marion Sims Foundation, Inc.
Parts XV Supplementary Information
Lines 3a and 3b
EI: 57-0355295
For the Fiscal Year Ended 9/30/10

Recipient and/or Purpose	Tax Status	Beginning Balance 2010	Newly Allocated 2010	Amended 2010	Amount Paid 2010	Ending Balance 2010
Chester County School District						
109 Hinton Street		\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
Chester, SC 29706						
<i>Casey Davis</i>						
<i>"Making Mathematics"</i>						
<i>Lewisville Elementary</i>						
\$500.00						
2010						
Chester County School District						
109 Hinton Street		\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
Chester, SC 29706						
<i>Jennifer Cannon</i>						
<i>"Just Write - Writer's Workshop"</i>						
<i>Lewisville Elementary</i>						
\$500.00						
2010						
Chester County School District						
109 Hinton Street		\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
Chester, SC 29706						
<i>Jayson Jones</i>						
<i>"Print Shop"</i>						
<i>Great Falls Elementary</i>						
\$500.00						
2010						

J. Marion Sims Foundation, Inc.
Parts XV Supplementary Information
Lines 3a and 3b
EI: 57-0355295
For the Fiscal Year Ended 9/30/10

Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010	2010	2010	2010	2010	2010	2010	2010	2010	2010
Chester County School District											
109 Hinton Street		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Chester, SC 29706											
Darlene Whitaker											
"Celebrating Language, Learning and Culture"											
Great Falls High School											
\$500.00											
2010											
Chester County School District											
109 Hinton Street		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Chester, SC 29706											
Tracey Hyatt											
"Career and Self Exploration"											
Lewisville Middle											
\$500.00											
2010											
Chester County School District											
109 Hinton Street		\$0.00		\$497.00		\$0.00		\$497.00		\$0.00	
Chester, SC 29706											
Susie Varnadore											
"Getting All Students Involved"											
Lewisville High School											
\$497.00											
2010											

J. Marion Sims Foundation, Inc.
Parts XV Supplementary Information
Lines 3a and 3b
EI: 57-0355295
For the Fiscal Year Ended 9/30/10

Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
Chester County School District											
109 Hinton Street		\$0.00		\$482.00		\$0.00		\$482.00		\$0.00	
Chester, SC 29706											
<i>Cindy Taylor</i>											
<i>"Quick Response Boards"</i>											
<i>Great Falls High School</i>											
\$482.00											
2010											
Chester County School District											
109 Hinton Street		\$0.00		\$496.00		\$0.00		\$496.00		\$0.00	
Chester, SC 29706											
<i>Kayla Swinyer</i>											
<i>"All Hands-on Math"</i>											
<i>Great Falls High</i>											
\$496.00											
2010											
Chester County School District											
109 Hinton Street		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Chester, SC 29706											
<i>Amanda Freeman</i>											
<i>"Integrating Physical Science and Math"</i>											
<i>Lewisville High School</i>											
\$500.00											
2010											

J. Marion Sims Foundation, Inc.
Parts XV Supplementary Information
Lines 3a and 3b
EI: 57-0355295
For the Fiscal Year Ended 9/30/10

Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
Chester County School District 109 Hinton Street Chester, SC 29706 <i>Cheri Collins</i> <i>"Versatiles Reading/Language Arts Labs"</i> <i>Great Falls Elementary</i> \$488.00 2010		\$0.00		\$488.00		\$0.00		\$488.00		\$0.00	
Chester County School District 109 Hinton Street Chester, SC 29706 <i>Joanne Clark</i> <i>"Boys Cn Do Algebra"</i> <i>Lewisville High</i> \$500.00 2010		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Chester County School District 109 Hinton Street Chester, SC 29706 <i>Johnnie Catoe</i> <i>"Alternative Energy Explorations"</i> <i>Lewisville High</i> \$498.00 2010		\$0.00		\$498.00		\$0.00		\$498.00		\$0.00	

J. Marion Sims Foundation, Inc.
Parts XV Supplementary Information
Lines 3a and 3b
EI: 57-0355295
For the Fiscal Year Ended 9/30/10

Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
Chester County School District											
109 Hinton Street		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Chester, SC 29706											
Shannon Mills											
"Character Counts!"											
Great Falls High											
\$500.00											
2010											
The Childrens Council											
Post Office Box 171	501c(3)	\$50,049.00		\$0.00		\$0.00		\$50,049.00		\$0.00	
Lancaster, SC 29721											
ALT 09: Skills for Success											
\$50,049.00											
2009											
The Childrens Council											
Post Office Box 171	501c(3)	\$0.00		\$94,852.00		\$0.00		\$0.00		\$94,852.00	
Lancaster, SC 29721											
ALC - The Children's Council											
"Skills for Success"											
\$94,852.00											
2010											
Christian Services Inc.											
101 S . White Street	501c(3)	\$43,710.00		\$0.00		\$0.00		\$43,710.00		\$0.00	
Lancaster, SC 29720											
"Food Connections Food Pantry"											
\$43,710.00											
2009											

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
Christian Services Inc.											
101 S . White Street	501c(3)	\$109,992.00		\$0.00		\$0.00		\$52,496.00		\$57,496.00	
Lancaster, SC 29720											
<i>ALC 09: Up With Literacy and Basic Skills</i>											
\$109,992.00											
2009											
Christian Services Inc.											
101 S . White Street	501c(3)	\$0.00		\$15,000.00		\$0.00		\$15,000.00		\$0.00	
Lancaster, SC 29720											
<i>"Board Approved Discretionary"</i>											
\$15,000.00											
2010											
Columbus Parker Track Club											
P.O. Box 145		\$0.00		\$20,000.00		\$0.00		\$20,000.00		\$0.00	
Lancaster, SC 29721											
<i>Columbus Parker Track Club</i>											
<i>"Columbus Parker Track Club"</i>											
\$20,000.00											
2010											
Communities In Schools of Lancaster											
County		\$77,630.00		\$0.00		\$0.00		\$77,630.00		\$0.00	
P.O. Box 3241											
Lancaster, SC 29721											
<i>Challenge Grant 08: YouthBuild</i>											
\$155,260.00											
2008											

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
Communities In Schools of Lancaster County											
P.O. Box 3241		\$0.00		\$116,444.00		\$0.00		\$0.00		\$116,444.00	
Lancaster, SC 29721											
<i>Challenge Phase 2 - Communities in Schools</i>											
<i>"YouthBuild"</i>											
\$116,444.00											
2010											
Crisis Pregnancy Center	501c(3)	\$0.00		\$11,383.00		\$0.00		\$11,383.00		\$0.00	
PO Box 1377											
Lancaster, SC 29721											
<i>Crisis Pregnancy Center</i>											
<i>"Pregnancy Care Center Service Expansion"</i>											
\$11,383.00											
2010											
Deliverance Word of Faith Church	501c(3)	\$44,022.00		\$0.00		\$0.00		\$44,022.00		\$0.00	
PO Box 1772											
Lancaster, SC 29720											
<i>Challenge Grant 08: Southside Adult Family Literacy Project</i>											
\$88,086.00											
2008											

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
Deliverance Word of Faith Church PO Box 1772 Lancaster, SC 29720 <i>Challenge Grant - Phase 2 - Deliverance</i> <i>Word of Faith</i> <i>"Southside Adult Family Literacy Project"</i> \$66,066.00 2010	501c(3)	\$0.00		\$66,066.00		\$0.00		\$0.00		\$66,066.00	
Faith, Hope, & Victory Christian Church P.O. Box 2787 Lancaster, SC 29721 <i>ALT 09. The Community Powerhouse</i> \$62,959.00 2009	501c(3)	\$62,959.00		\$0.00		\$0.00		\$62,959.00		\$0.00	
Faith, Hope, & Victory Christian Church P.O. Box 2787 Lancaster, SC 29721 <i>Faith, Hope & Victory</i> <i>ALC - The Community Powerhouse</i> \$76,612.00 2010	501c(3)	\$0.00		\$76,612.00		\$0.00		\$0.00		\$76,612.00	
Family Promise of Lancaster County, Inc. P.O. Box 854 Lancaster, SC 29721 <i>OE - Family Promise of Lancaster County</i> \$184,662.00 2009	501c(3)	\$125,370.00		\$0.00		\$0.00		\$61,456.00		\$63,914.00	

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
First Steps 115 W. Arch St. Suite 105 Lancaster, SC 29720 <i>Step by Step Family Resource</i> \$145,077.00 2007	501c(3)	\$37,821.00		\$0.00		\$0.00		\$37,821.00		\$0.00	
Fort Lawn Community Center, Inc. PO Box 103 Fort Lawn, SC 29714 <i>Challenge Grant 08: FLCC Literacy Project</i> \$235,680.00 2008	501c(3)	\$117,840.00		\$0.00		\$0.00		\$117,840.00		\$0.00	
Fort Lawn Community Center, Inc. PO Box 103 Fort Lawn, SC 29714 <i>Fort Lawn Community Center - Challenge Phase 2</i> <i>"FLCC"</i> \$166,760.00 2010	501c(3)	\$0.00		\$166,760.00		\$0.00		\$0.00		\$166,760.00	
GoldenCare 403 W. Meeting Street Lancaster, SC 29721 <i>"Technology Enhancement"</i> \$6,206.00 2010	501c(3)	\$0.00		\$6,206.00		\$0.00		\$6,206.00		\$0.00	

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
Good Samaritan Medical Clinic 139 Church Street Chester, SC 29706 <i>"Patient Care: Residents of Lancaster County, Fort Lawn, Great Falls"</i> \$41,400.00 2010	501c(3)	\$0.00		\$41,400.00		\$0.00		\$41,400.00		\$0.00	
Great Falls Referral and Assistance Service Project P.O. Box 424 Great Falls, SC 29055 <i>"Board Approved Discretionary"</i> \$5,000.00 2010	501c(3)	\$0.00		\$5,000.00		\$0.00		\$5,000.00		\$0.00	
HOPE in Lancaster, Inc. P.O. Box 166 Lancaster, SC 29721 <i>"Helping Those in Crisis"</i> \$920,000.00 2008	Approved	\$115,000.00		\$0.00		\$0.00		\$115,000.00		\$0.00	
HOPE in Lancaster, Inc. P.O. Box 166 Lancaster, SC 29721 <i>"Helping Those in Crisis"</i> \$468,000.00 2009	Approved	\$468,000.00		\$0.00		\$0.00		\$468,000.00		\$0.00	

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
HOPE in Lancaster, Inc. P.O. Box 166 Lancaster, SC 29721 "Board Approved Discretionary" \$20,000.00 2010	Approved	\$0.00		\$20,000.00		\$0.00		\$20,000.00		\$0.00	
HOPE in Lancaster, Inc. P.O. Box 166 Lancaster, SC 29721 "Helping Those in Crisis in 2010 and 2011" \$468,000.00 2010	Approved	\$0.00		\$468,000.00		\$0.00		\$0.00		\$468,000.00	
Housing Authority of Lancaster County, Inc. P.O. Box 1235 Lancaster, SC 29721 ALT 09: Map to Success Family Literacy Program \$75,905.00 2009	Government 1	\$75,905.00		\$0.00		\$0.00		\$75,905.00		\$0.00	
Housing Authority of Lancaster County, Inc. P.O. Box 1235 Lancaster, SC 29721 ALT 10 - Housing Authority "Map to Success Family Literacy Program" \$75,905.00 2010	Government 1	\$0.00		\$75,905.00		\$0.00		\$0.00		\$75,905.00	

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		2010		2010		2010		2010		2010	
Kershaw Area Resource Exchange (KARE) P.O. Box 364 Kershaw, SC 29067 <i>OE - Direct Assistance</i> \$110,123.00 2009	Approved	\$71,623.00		\$0.00		\$0.00		\$71,623.00		\$0.00	
Kershaw Area Resource Exchange (KARE) P.O. Box 364 Kershaw, SC 29067 <i>"Board Approved Discretionary"</i> \$10,000.00 2010	Approved	\$0.00		\$10,000.00		\$0.00		\$10,000.00		\$0.00	
Keystone Substance Abuse Services P.O. Box 4437 Rock Hill, SC 29732 <i>"Economic Crisis Bridging Detoxification"</i> \$18,000.00 2009	501c(3)	\$18,000.00		\$0.00		\$0.00		\$18,000.00		\$0.00	
Lancaster Area Literacy Cooperative P.O. Box 3241 Lancaster, SC 29721 <i>"LALC Start-up"</i> \$494,712.00 2008	501c(3)	\$294,862.00		\$0.00		\$0.00		\$157,449.00		\$137,413.00	

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
Lancaster County 116 West Dunlap PO Box 1809 Lancaster, SC 29720 <i>Lancaster County (joint project with Council on Aging)</i> <i>"Lancaster Health Ride"</i> \$444,495.00 2009	Government 1	\$379,995.00		\$0.00		\$0.00		\$126,495.00		\$253,500.00	
Lancaster County Council of the Arts P. O. Box 613 201 W. Gay Street Lancaster, SC 29721 <i>"The Future of the Arts in Lancaster"</i> \$17,500.00 2009		\$17,500.00		\$0.00		\$0.00		\$17,500.00		\$0.00	
Lancaster County Literacy Council 610 W. Meeting Street Lancaster, SC 29720 <i>ALT 09: Reading for Workplace Success</i> \$73,000.00 2009	501c(3)	\$73,000.00		\$0.00		\$0.00		\$73,000.00		\$0.00	
Lancaster County Literacy Council 610 W. Meeting Street Lancaster, SC 29720 <i>ALC - Lancaster County Literacy Council</i> <i>"Reading for Workplace Success"</i> \$97,383.00 2010	501c(3)	\$0.00		\$97,383.00		\$0.00		\$0.00		\$97,383.00	

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Lancaster High School</i> <i>Kristie Taylor, Journalism Teacher</i> <i>Publish Student Newspaper</i> \$500.00 2002		\$100.00		\$0.00		\$0.00		\$0.00		\$100.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Swimming and Water Safety</i> \$91,083.00 2007		\$30,361.00		\$0.00		\$0.00		\$30,361.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>ALC 09: The Home Literacy Trail</i> \$81,650.00 2009		\$81,650.00		\$0.00		\$0.00		\$40,825.00		\$40,825.00	

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010	2010	2010	2010	2010	2010	2010	2010	2010	2010
Lancaster County School District											
300 S. Catawba Street											
Lancaster, SC 29720			\$0.00		\$85.00		\$0.00		\$85.00		\$0.00
Josianne Campbell											
"Interpreting Clues and Making											
Inferences in Literature and Life Using											
Mystery Fiction"											
Lancaster High School											
\$85.00											
2010											
Lancaster County School District											
300 S. Catawba Street			\$0.00		\$500.00		\$0.00		\$500.00		\$0.00
Lancaster, SC 29720											
Susan Gardner											
"Catawba Clay Creations"											
McDonald Green Elementary											
\$500.00											
2010											
Lancaster County School District											
300 S. Catawba Street			\$0.00		\$497.00		\$0.00		\$497.00		\$0.00
Lancaster, SC 29720											
Shirnelha Belk											
"Backyard Birds"											
Eastside Academy											
\$497.00											
2010											

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Shandra Hall</i> <i>"Bully Buster's Grant"</i> <i>McDonald Green Elementary</i> \$500.00 2010		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Melissa Hinson</i> <i>"What is Held in a Clay Vessel?"</i> <i>Buford Elementary</i> \$444.00 2010		\$0.00		\$444.00		\$0.00		\$444.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Kerri Rowell (joint with Allison Teschke)</i> <i>"Don't Stress! It's Just a Test!"</i> <i>North Elementary</i> \$500.00 2010		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Linda Brown</i> <i>"Everyday Math Workshop"</i> <i>Indian Land Elementary</i> \$438.00 2010		\$0.00		\$438.00		\$0.00		\$438.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Teryn Dalton</i> <i>"Incorporating Manipulatives into</i> <i>Everyday Mathematics"</i> <i>Indian Land Elementary</i> \$500.00 2010		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Allison Teschke (joint with Kerri Rowell)</i> <i>"Don't Stress! It's Just a Test!"</i> <i>North Elementary</i> \$500.00 2010		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Jessica Rigler</i> <i>"Working with Words"</i> <i>Indian Land Elementary</i> \$470.00 2010		\$0.00		\$470.00		\$0.00		\$470.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Jerry Wright (joint with Donna Wallace)</i> <i>"Math/Science Integrated Learning"</i> <i>South Middle</i> \$497.00 2010		\$0.00		\$497.00		\$0.00		\$497.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Donna Wallace (joint with Jerry Wright)</i> <i>"Math/Science Integrated Learning"</i> <i>South Middle School</i> \$485.00 2010		\$0.00		\$485.00		\$0.00		\$485.00		\$0.00	

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Christopher Shade (joint with Brandon Galbraith)</i> <i>"Holocaust Memorial"</i> <i>Indian Land Middle</i> \$495.00 2010		\$0.00		\$495.00		\$0.00		\$495.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Brandon Galbraith (joint with Christopher Shade)</i> <i>"Holocaust Memorial"</i> <i>Indian Land Middle</i> \$495.00 2010		\$0.00		\$495.00		\$0.00		\$495.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Angela McGee</i> <i>"Coping through Counseling"</i> <i>North Elementary</i> \$500.00 2010		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	

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		2010	2010	2010	2010	2010	2010	2010	2010	2010	2010
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Linda Stegall</i> <i>"Flying into Writing"</i> <i>Brooklyn Springs</i> \$500.00 2010		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>John Marlette</i> <i>"Introduction to Engineering and Design"</i> <i>Indian Land High School</i> \$500.00 2010		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Kimberly Malone</i> <i>"Everyday Math"</i> <i>Indian Land Elementary</i> \$500.00 2010		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
Lancaster County School District											
300 S. Catawba Street		\$0.00		\$486.00		\$0.00		\$486.00		\$0.00	
Lancaster, SC 29720											
<i>Laura Grimm</i>											
<i>"Kidsplay Handbells"</i>											
<i>Buford Elementary</i>											
\$486.00											
2010											
Lancaster County School District											
300 S. Catawba Street		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Lancaster, SC 29720											
<i>Marci Lail (joint with Aimee Freshour)</i>											
<i>"Brainpop"</i>											
<i>Indian Land Middle</i>											
\$500.00											
2010											
Lancaster County School District											
300 S. Catawba Street		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Lancaster, SC 29720											
<i>Risa Lail (joint with Jason Weiss)</i>											
<i>"BrainPop"</i>											
<i>Indian Land Elementary</i>											
\$500.00											
2010											

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010	2010	2010	2010	2010	2010	2010	2010	2010	2010
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Joann Burns</i> <i>"Chess in the Classroom"</i> <i>A.J. Middle</i> \$209.00 2010		\$0.00	\$209.00		\$209.00	\$0.00	\$0.00	\$209.00	\$0.00	\$0.00	\$0.00
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Susan Morrison</i> <i>"Strike Up the Rhythm Band"</i> <i>Indian Land Elementary</i> \$499.00 2010		\$0.00	\$499.00		\$499.00	\$0.00	\$0.00	\$499.00	\$0.00	\$0.00	\$0.00
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Ben Eason</i> <i>"Automotive Electrical Lab"</i> <i>A.J. High School</i> \$500.00 2010		\$0.00	\$500.00		\$500.00	\$0.00	\$0.00	\$500.00	\$0.00	\$0.00	\$0.00

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010	2010	2010	2010	2010	2010	2010	2010	2010	2010
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Scott Kendrick</i> <i>"Coiling with the Catawba Indians"</i> <i>Buford Middle</i> \$492.00 2010		\$0.00	\$492.00		\$0.00	\$0.00	\$0.00	\$492.00	\$0.00	\$0.00	\$0.00
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Jessica Hardy (joint with David Sween)</i> <i>"Getting the Edge!"</i> <i>Indian Land Middle</i> \$500.00 2010		\$0.00	\$500.00		\$0.00	\$0.00	\$0.00	\$500.00	\$0.00	\$0.00	\$0.00
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Jason Weiss (joint with Risa Lail)</i> <i>"BrainPop"</i> <i>Indian Land Elementary</i> \$500.00 2010		\$0.00	\$500.00		\$0.00	\$0.00	\$0.00	\$500.00	\$0.00	\$0.00	\$0.00

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		2010		2010		2010		2010		2010	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Glenn Robinson</i> <i>"Implementing Sustainability"</i> <i>A.J. Middle</i> \$500.00 2010		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Cheryl Lino</i> <i>"Read in English"</i> <i>Indian Land Middle</i> \$287.00 2010		\$0.00		\$287.00		\$0.00		\$287.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Anna Snipes</i> <i>"Making the Most of Small Group Time"</i> <i>McDonald Green</i> \$464.00 2010		\$0.00		\$464.00		\$0.00		\$464.00		\$0.00	

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Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720		\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
<i>Aimee Freshour (joint with Marci Lai)</i> <i>"BrainPop"</i> <i>Indian Land Middle</i> \$500.00 2010						
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720		\$0.00	\$464.00	\$0.00	\$464.00	\$0.00
<i>Tracie Veal</i> <i>"Making the Most of Small Group Time"</i> <i>McDonald Green Elementary</i> \$464.00 2010						
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720		\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
<i>David Sween (joint with Jessica Hardy)</i> <i>"Getting the Edge!"</i> <i>Indian Land Middle</i> \$500.00 2010						

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Tracey Snipes-Purser</i> <i>"Parent Education Pantry (PEP) Kits"</i> <i>Buford Elementary</i> \$464.00 2010		\$0.00		\$464.00		\$0.00		\$464.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Erin Cundiff (joint with Katina Heyward)</i> <i>"BrainPop Jr"</i> <i>Indian Land Elementary</i> \$500.00 2010		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Susan Nunn</i> <i>"I Can Read on My Own"</i> <i>Indian Land Elementary</i> \$500.00 2010		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	Newly Allocated 2010	Amended 2010	Amount Paid 2010	Ending Balance 2010
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Robin Parker</i> <i>"How to Survive Seventh Grade by</i> <i>Scrapbooking"</i> <i>A.R. Rucker</i> \$500.00 2010		\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Katrina Heyward (joint with Erin Cundiff)</i> <i>"BrainPop.jr"</i> <i>Indian Land Elementary</i> \$500.00 2010		\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Joe Timmons</i> <i>"Sewing Machine Studies"</i> <i>Andrew Jackson High School</i> \$500.00 2010		\$0.00	\$500.00	\$0.00	\$500.00	\$0.00

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Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Doris Knapp</i> <i>"Making a Math Connection"</i> <i>Clinton Elementary</i> \$181.00 2010		\$0.00	\$181.00	\$0.00	\$181.00	\$0.00
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Dianne Mills</i> <i>"Hands-On Math"</i> <i>South Middle</i> \$492.00 2010		\$0.00	\$492.00	\$0.00	\$492.00	\$0.00
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Debra Hickel</i> <i>"Robotics in Action"</i> <i>Buford Elementary</i> \$493.00 2010		\$0.00	\$493.00	\$0.00	\$493.00	\$0.00

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010	2010	2010	2010	2010	2010	2010	2010	2010	2010
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Carrie Smith</i> <i>"I'm a Test Buster"</i> <i>Indian Land Elementary</i> \$491.00 2010		\$0.00	\$491.00		\$491.00	\$0.00	\$0.00	\$491.00	\$0.00	\$0.00	\$0.00
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Brittany Crimminger</i> <i>"Integrating Manipulatives into Everyday Math"</i> <i>Indian Land Elementary</i> \$500.00 2010		\$0.00	\$500.00		\$500.00	\$0.00	\$0.00	\$500.00	\$0.00	\$0.00	\$0.00
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Brenda Jackson</i> <i>"Mosaic Glass Coasters"</i> <i>Clinton Elementary</i> \$436.00 2010		\$0.00	\$436.00		\$436.00	\$0.00	\$0.00	\$436.00	\$0.00	\$0.00	\$0.00

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Brandy Engel</i> <i>"Just Right Literacy Centers"</i> <i>North Elementary</i> \$500.00 2010		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Joy Duncan</i> <i>"Writers Notebooks"</i> <i>Indian Land Elementary</i> \$316.00 2010		\$0.00		\$316.00		\$0.00		\$316.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Sharon Minors</i> <i>"Actively Learning & Listening in a Small Group Setting"</i> <i>Erwin Elementary</i> \$463.00 2010		\$0.00		\$463.00		\$0.00		\$463.00		\$0.00	

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		2010		2010		2010		2010		2010	
Lancaster County School District											
300 S. Catawba Street											
Lancaster, SC 29720		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
<i>Laticia Stewart (joint with Wendi Dunlap)</i>											
<i>"Greek Mythology Meets Popular Culture"</i>											
<i>South Middle</i>											
\$500.00											
2010											
Lancaster County School District											
300 S. Catawba Street		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Lancaster, SC 29720											
<i>Wendi Dunlap (joint with Laticia Stewart)</i>											
<i>"Greek Mythology Meets Popular Culture"</i>											
<i>South Middle</i>											
\$500.00											
2010											
Lancaster County School District											
300 S. Catawba Street		\$0.00		\$485.00		\$0.00		\$485.00		\$0.00	
Lancaster, SC 29720											
<i>Laurie Ritter</i>											
<i>"A Stitch in Time Saves Nine - Part 2"</i>											
<i>Indian Land Middle</i>											
\$485.00											
2010											

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Jeanna Rathel</i> <i>"Managing Money in a Virtual World"</i> <i>Andrew Jackson Middle</i> \$499.00 2010		\$0.00		\$499.00		\$0.00		\$499.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Erlinda Broughton</i> <i>"Making Science Fun"</i> <i>South Middle</i> \$492.00 2010		\$0.00		\$492.00		\$0.00		\$492.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Erica Anderson</i> <i>"Reading and Writing Centers Enrichment and Reinforcement"</i> <i>Indian Land Elementary</i> \$500.00 2010		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010	2010	2010	2010	2010	2010	2010	2010	2010	2010
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Jennie Canty</i> <i>"HSAP (Helping Students Achieve Progress)"</i> <i>Lancaster High School</i> \$500.00 2010		\$0.00	\$500.00	\$0.00	\$0.00	\$0.00	\$500.00	\$0.00	\$0.00	\$0.00	\$0.00
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Kathy Wall (joint with Elizabeth James)</i> <i>"Mustange Muses II"</i> <i>South Middle School</i> \$500.00 2010		\$0.00	\$500.00	\$0.00	\$0.00	\$0.00	\$500.00	\$0.00	\$0.00	\$0.00	\$0.00
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Tracey Jones (joint with Nelida Raffin)</i> <i>"Reading With a Scroll"</i> <i>North Elementary/McDonald Green Elementary</i> \$500.00 2010		\$0.00	\$500.00	\$0.00	\$0.00	\$0.00	\$500.00	\$0.00	\$0.00	\$0.00	\$0.00

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		2010		2010		2010		2010		2010	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Terry Lasyone</i> <i>"Hands-on for Resource"</i> <i>South Middle School</i> \$498.00 2010		\$0.00		\$498.00		\$0.00		\$498.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Sally Brandwood</i> <i>"Math Olympics"</i> <i>Indian Land Middle</i> \$493.00 2010		\$0.00		\$493.00		\$0.00		\$493.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Paige Johnson (joint with Adrienne Langley)</i> <i>"Jousting through Medieval Times"</i> <i>Buford Middle School</i> \$500.00 2010		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Nelida Raffin (joint with Tracey Jones)</i> <i>"Reading With a Scroll"</i> <i>North Elementary School/McDonald</i> <i>Green Elementary</i> \$500.00 2010		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Adrianne Langley (joint with Paige</i> <i>Johnson)</i> <i>"Jousting through Medieval Times"</i> <i>Buford Middle School</i> \$500.00 2010		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Katie Groty (joint with Britney Howell)</i> <i>"Centered Learning in Science"</i> <i>Indian Land Middle School</i> \$497.00 2010		\$0.00		\$497.00		\$0.00		\$497.00		\$0.00	

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
Lancaster County School District											
300 S. Catawba Street		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Lancaster, SC 29720											
<i>Elizabeth James (joint with Kathy Wall)</i>											
<i>"Mustang Muses II"</i>											
<i>South Middle School</i>											
\$500.00											
2010											
Lancaster County School District											
300 S. Catawba Street		\$0.00		\$497.00		\$0.00		\$497.00		\$0.00	
Lancaster, SC 29720											
<i>Briney Howell (joint with Katie Groty)</i>											
<i>"Centered Learning in Science"</i>											
<i>Indian Land Middle</i>											
\$497.00											
2010											
Lancaster County School District											
300 S. Catawba Street		\$0.00		\$490.00		\$0.00		\$490.00		\$0.00	
Lancaster, SC 29720											
<i>Brian Winn</i>											
<i>"Reaching New Heights - An Aerial Dance</i>											
<i>Exploration"</i>											
<i>Andrew Jackson High School</i>											
\$490.00											
2010											

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Recipient and/or Purpose	Tax Status	Beginning Balance 2010	Newly Allocated 2010	Amended 2010	Amount Paid 2010	Ending Balance 2010
Lancaster County School District						
300 S. Catawba Street						
Lancaster, SC 29720		\$0.00	\$477.00	\$0.00	\$477.00	\$0.00
<i>Brandy Bradley</i>						
<i>"Driving to Keep the Daily Five Alive"</i>						
<i>Indian Land Elementary</i>						
\$477.00						
2010						
Lancaster County School District						
300 S. Catawba Street		\$0.00	\$377.00	\$0.00	\$377.00	\$0.00
Lancaster, SC 29720						
<i>Brandi Brueck</i>						
<i>"Math Made Fun"</i>						
<i>Erwin Elementary School</i>						
\$377.00						
2010						
Lancaster County School District						
300 S. Catawba Street		\$0.00	\$500.00	\$0.00	\$500.00	\$0.00
Lancaster, SC 29720						
<i>Anetra King</i>						
<i>"Resource Success in the Regular Room"</i>						
<i>South Middle School</i>						
\$500.00						
2010						

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		2010		2010		2010		2010		2010	
Lancaster County School District											
300 S. Catawba Street		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Lancaster, SC 29720											
Lizzie Eargle											
"Can You Hear it Now?"											
Andrew Jackson High School											
\$500.00											
2010											
Lancaster County School District											
300 S. Catawba Street		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Lancaster, SC 29720											
Lisa Willis (joint with Michelle Reece)											
"Light, Electricity & Magnets"											
North Elementary											
\$500.00											
2010											
Lancaster County School District											
300 S. Catawba Street		\$0.00		\$478.00		\$0.00		\$478.00		\$0.00	
Lancaster, SC 29720											
Sarah Tanner (joint with Jennifer Hardin)											
"Communication: What Do You Say?"											
South Middle School											
\$478.00											
2010											

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		2010		2010		2010		2010		2010	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Monica Polson (joint with Devin Moore)</i> <i>"Plug into Playaways"</i> <i>Heath Springs Elementary</i> \$500.00 2010		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Michelle White (joint with Marni Peavy)</i> <i>"SCIENCE ROCKS"</i> <i>A.R. Rucker Middle</i> \$484.00 2010		\$0.00		\$484.00		\$0.00		\$484.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Tia Harden</i> <i>"Learning in Language Arts"</i> \$497.00 2010		\$0.00		\$497.00		\$0.00		\$497.00		\$0.00	

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		2010	2010	2010	2010	2010	2010	2010	2010	2010	2010
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Marni Peavy (joint with Michelle White)</i> <i>"SCIENCE ROCKS"</i> <i>A.R. Rucker Middle</i> \$484.00 2010			\$0.00	\$484.00		\$0.00		\$484.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Jennifer Hardin (joint with Sarah Tanner)</i> <i>"Communication: What Do You Say?"</i> <i>South Middle</i> \$478.00 2010			\$0.00	\$478.00		\$0.00		\$478.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Glenda Truesdale (joint with Joy Faulkenberry)</i> <i>"Light My World"</i> <i>Kershaw Elementary</i> \$500.00 2010			\$0.00	\$500.00		\$0.00		\$500.00		\$0.00	

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		2010		2010		2010		2010		2010	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
<i>Devin Moore (joint with Monica Polson)</i> <i>"Plug into Playaways"</i> <i>Heath Springs Elementary</i> \$500.00 2010											
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720		\$0.00		\$463.00		\$0.00		\$463.00		\$0.00	
<i>Dana Shull</i> <i>"Chemistry's Next Top Model"</i> <i>Indian Land High</i> \$463.00 2010											
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720		\$0.00		\$497.00		\$0.00		\$497.00		\$0.00	
<i>Charlotte Keefer</i> <i>"Let's Learn with Puppets"</i> <i>Indian Land Elementary</i> \$497.00 2010											

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		2010	2010	2010	2010	2010	2010	2010	2010	2010	2010
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Amy Wamsley</i> <i>"Math Center Manipulatives"</i> <i>Indian Land Elementary</i> \$500.00 2010			\$0.00		\$500.00		\$0.00		\$500.00		\$0.00
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Joy Faulkenberry (joint with Glenda Truesdale)</i> <i>"Light My World"</i> <i>Kershaw Elementary</i> \$500.00 2010			\$0.00		\$500.00		\$0.00		\$500.00		\$0.00
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Michelle Reece (joint with Lisa Willis_</i> <i>"Lights, Electricity & Magnets"</i> <i>North Elementary</i> \$500.00 2010			\$0.00		\$500.00		\$0.00		\$500.00		\$0.00

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		2010		2010		2010		2010		2010	
Lancaster County School District											
300 S. Catawba Street		\$0.00		\$473.00		\$0.00		\$473.00		\$0.00	
Lancaster, SC 29720											
<i>Sherri Gamble</i>											
<i>"Writers Workshop"</i>											
<i>Indian Land Elementary</i>											
\$473.00											
2010											
Lancaster County School District											
300 S. Catawba Street		\$0.00		\$402.00		\$0.00		\$402.00		\$0.00	
Lancaster, SC 29720											
<i>Gwen Tucker</i>											
<i>"Technology in the Therapy Room"</i>											
<i>Erwin Elementary</i>											
\$402.00											
2010											
Lancaster County School District											
300 S. Catawba Street		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Lancaster, SC 29720											
<i>Kristin McDonald (joint with Deborah Cole)</i>											
<i>"Roll with Reading"</i>											
<i>North Elementary</i>											
\$500.00											
2010											

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		2010		2010		2010		2010		2010	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Mica Parker</i> <i>"Math Center Manipulatives"</i> <i>Indian Land Elementary</i> \$500.00 2010		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Michael Lutomski</i> <i>"Science Writing"</i> <i>Indian Land Middle</i> \$499.00 2010		\$0.00		\$499.00		\$0.00		\$499.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Mindy Ries</i> <i>"The Layers of the Earth"</i> <i>North Elementary</i> \$500.00 2010		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	

J. Marion Sims Foundation, Inc.
Parts XV Supplementary Information
Lines 3a and 3b
EI: 57-0355295
For the Fiscal Year Ended 9/30/10

Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010	2010	2010	2010	2010	2010	2010	2010	2010	2010
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Emilee Vaughn (joint with Lynn Morris)</i> <i>"Exploration Centers"</i> <i>Discovery School</i> \$487.00 2010		\$0.00	\$487.00	\$487.00	\$0.00	\$0.00	\$487.00	\$487.00	\$0.00	\$0.00	\$0.00
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Paula Truesdale</i> <i>"Hopping in for Hands-on Learning"</i> <i>Buford Elementary</i> \$495.00 2010		\$0.00	\$495.00	\$495.00	\$0.00	\$0.00	\$495.00	\$495.00	\$0.00	\$0.00	\$0.00
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Jane Hudson (joint with Deborah Wood)</i> <i>"Sound, Matter, and Fossils: The Magic of Earth"</i> <i>North Elementary</i> \$500.00 2010		\$0.00	\$500.00	\$500.00	\$0.00	\$0.00	\$500.00	\$500.00	\$0.00	\$0.00	\$0.00

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Sherri McClemore (joint with Chastity Robinson)</i> <i>"As the World Turns"</i> <i>Kershaw Elementary</i> \$500.00 2010		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Tammy Shirley</i> <i>"End of Year Science Review"</i> <i>South Middle</i> \$496.00 2010		\$0.00		\$496.00		\$0.00		\$496.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Olivia Terzo (joint with Brian Lucchesi)</i> <i>"Lifetime Fitness Activity"</i> <i>Indian Land High School</i> \$491.00 2010		\$0.00		\$491.00		\$0.00		\$491.00		\$0.00	

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
<i>Deborah Wood (joint with Jane Hudson)</i>											
<i>"Sound, Matter, and Fossils: The Magic of Earth"</i>											
<i>North Elementary</i>											
\$500.00											
2010											
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
<i>Deborah Cole (joint with Kristen McDonald)</i>											
<i>"Roll with Reading"</i>											
<i>North Elementary</i>											
\$500.00											
2010											
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720		\$0.00		\$496.00		\$0.00		\$496.00		\$0.00	
<i>Cynthia Robinson</i>											
<i>"Making Math More Hands-On"</i>											
<i>Erwin Elementary</i>											
\$496.00											
2010											

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010	2010	2010	2010	2010	2010	2010	2010	2010	2010
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Courtney Brooks</i> <i>"The Wonderful World of Writing"</i> <i>Erwin Elementary</i>		\$0.00		\$453.00		\$0.00		\$453.00		\$0.00	
\$453.00 2010											
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Chasity Robinson (joint with Sherri McClemore)</i> <i>"As the World Turns"</i> <i>Kershaw Elementary</i>		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
\$500.00 2010											
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Charles Rivers</i> <i>"Motion with Battery Operated Vehicles"</i> <i>Discovery School</i>		\$0.00		\$475.00		\$0.00		\$475.00		\$0.00	
\$475.00 2010											

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Cecelia Gay</i> <i>"Art Around the World"</i> <i>Indian Land Elementary</i> \$499.00 2010		\$0.00		\$499.00		\$0.00		\$499.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Casey Burgess</i> <i>"No More Mad Math"</i> <i>North Elementary</i> \$476.00 2010		\$0.00		\$476.00		\$0.00		\$476.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Brian Lucchesi</i> <i>"Lifetime Fitness Activity"</i> <i>Indian Land High School</i> \$491.00 2010		\$0.00		\$491.00		\$0.00		\$491.00		\$0.00	

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Brad McGee</i> "Literature Circles" Discovery School \$500.00 2010		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Angela Knight</i> "Curriculum Enhancement" South Middle \$496.00 2010		\$0.00		\$496.00		\$0.00		\$496.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Amy Montgomery</i> "Building Bridges Between Reading and Science" North Elementary \$500.00 2010		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Derelle Ballard</i> <i>"Exploring the Life Cycle of a Butterfly"</i> <i>Erwin Elementary</i> \$500.00 2010		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>Lynn Morris (joint with Emilee Vaughn)</i> <i>"Exploration Centers"</i> <i>Discovery School</i> \$500.00 2010		\$0.00		\$500.00		\$0.00		\$500.00		\$0.00	
Lancaster County School District 300 S. Catawba Street Lancaster, SC 29720 <i>"Swimming and Water Safety Project"</i> \$65,000.00 2010		\$0.00		\$65,000.00		\$0.00		\$0.00		\$65,000.00	
Lancaster Fatherhood Project P.O. Box 1896 Lancaster, SC 29721 <i>"Reconnecting Low-income, Non-custodial Fathers to their Children"</i> \$270,035.00 2008	501c(3)	\$72,843.00		\$0.00		\$0.00		\$72,843.00		\$0.00	

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
Life Skills Opportunity Corporation P.O. Box 2821 Lancaster, SC 29720 <i>"Quality Child Care Voucher Program"</i> \$27,500.00 2009	501c(3)	\$27,500.00		\$0.00		\$0.00		\$27,500.00		\$0.00	
Multicultural Information Center P.O. Box 267 Lancaster, SC 29721 <i>ALT 09: Empowering Our Future Today</i> \$42,824.00 2009	501c(3)	\$42,824.00		\$0.00		\$0.00		\$42,824.00		\$0.00	
Multicultural Information Center P.O. Box 267 Lancaster, SC 29721 <i>ALC - Multi-Cultural Information Center</i> <i>"Empowering our Future Today"</i> \$50,432.00 2010	501c(3)	\$0.00		\$50,432.00		\$0.00		\$0.00		\$50,432.00	
Parkison Association of Mecklenburg County 601 East Fifth Street, Suite 330 Charlotte, NC 28202 <i>"Tri-County Parkinson's Disease Project"</i> \$20,000.00 2010	501c(3)	\$0.00		\$20,000.00		\$0.00		\$20,000.00		\$0.00	

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		2010		2010		2010		2010		2010	
United Way of Lancaster County, Inc. PO Box 56 Lancaster, SC 29721 <i>"United Way of Lancaster County 2-1-1"</i> \$11,500.00 2010	501c(3)	\$0.00		\$11,500.00		\$0.00		\$11,500.00		\$0.00	
University of South Carolina, Lancaster Post Office Box 889 Lancaster, SC 29721-0889 <i>Project ACCESS: a Health Enhancement Program for Low Income Citizens</i> \$204,762.00 2006	Educational	\$37,222.00		\$0.00		-\$19,884.00		\$17,338.00		\$0.00	
University of South Carolina, Lancaster Post Office Box 889 Lancaster, SC 29721-0889 <i>"Project Access"</i> \$54,600.00 2009	Educational	\$54,600.00		\$0.00		\$0.00		\$35,974.76		\$18,625.24	
University of South Carolina, Lancaster Post Office Box 889 Lancaster, SC 29721-0889 <i>"The Diabetes Education Clinic"</i> \$166,986.00 2010	Educational	\$0.00		\$166,986.00		\$0.00		\$166,986.00		\$0.00	

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
University of South Carolina, Lancaster Post Office Box 889 Lancaster, SC 29721-0889 <i>"Project Access"</i> \$54,600.00 2010	Educational	\$0.00		\$54,600.00		\$0.00		\$0.00		\$54,600.00	
University of South Carolina Research Foundation 901 Sumter Street 5th Floor Byrnes Building Columbia, SC 29208 <i>Challenge Grant 08: Improving the Health Literacy of Health Care Consumers in Southern Lancaster County</i> \$54,340.00 2008	501c(3)	\$27,170.00		\$0.00		\$0.00		\$27,170.00		\$0.00	
York Technical College Foundation 452 South Anderson Road Rock Hill, SC 29730 <i>ALT 09: Bridge to Enhanced Skills Training (BEST)</i> \$58,000.00 2009		\$58,000.00		\$0.00		\$0.00		\$58,000.00		\$0.00	

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Recipient and/or Purpose	Tax Status	Beginning Balance		Newly Allocated		Amended		Amount Paid		Ending Balance	
		2010		2010		2010		2010		2010	
York Technical College Foundation 452 South Anderson Road Rock Hill, SC 29730 ALC - York Technical College "Career Success Network (CSN) fka (BEST)" \$116,000.00 2010		\$0.00		\$153,720.00		-\$37,720.00		\$0.00		\$116,000.00	
Grand Totals (181 items)		\$3,292,266.00		\$2,031,953.00		-\$ 57,604.00		\$3,148,487.76		\$2,118,127.24	

J. MARION SIMS FOUNDATION

FUNDING PRIORITIES

The J. Marion Sims Foundation, Inc. supports programs and projects of prevention and education that enhance health and wellness in Lancaster County and the communities of Great Falls and Fort Lawn, South Carolina.

- All funded projects will serve the citizens of the Foundation's giving area.
- The Foundation will give priority to grant applicants for programs of community service that provide ongoing support.
- The Foundation seeks to make grants that will have significant and lasting impact on the community.
- Prospective grantees are encouraged to propose innovative collaborations and partnerships which respond to community needs.
- Proposals should emphasize preventive health care and creative ways of solving longstanding health and wellness concerns.
- The Foundation will favor proposals for specific projects, rather than for general operations.

FUNDING GUIDELINES

- Awards can be granted only to organizations and institutions exempt from federal taxation under Section 501 (c)(3) of the Internal Revenue Code.
- All grants will be in accordance with the Foundation's status as a tax-exempt 501 (c)(3) organization.
- Single-year and multi-year grants will be awarded. Seed grants will not exceed three years.
- While the Foundation has no limit on the amount that can be requested, Trustees will consider availability of funds and the impact of a large request.
- Since the Foundation's Board of Trustees feels strongly that the orientation of grants should be in the area of projects and programs, **funding in the following areas may be limited:**
 - Operations
 - Capital improvement projects
 - Scholarships, conferences, or seminars that result in professional advancement but provide no benefit to the greater community
 - Seed grants that do not address an urgent community need and do not demonstrate the potential for ongoing community support

The Foundation does not make grants to or for the following:

- | | |
|---------------------------|--|
| • Individuals | • Legislative lobbying or other political purposes |
| • Indigent Care | • Programs or projects generally considered to be the role of government |
| • Endowments | • Projects of organizations that primarily benefit their own members or adherents |
| • Loans | • Tickets to charitable events or dinners |
| • Retire accumulated debt | • Indirect costs, including payments of a percentage of a grant to a local organization's national affiliate |

APPLICATION PROCESS

Step # 1 Plan ahead to apply.

The decision to apply for funding should be made after careful planning and budgeting. Visit the Foundation's website (www.jmsims.org) or contact the Foundation to request guidelines and application materials.

Step # 2 Contact the Foundation to schedule a meeting with the Program Officer for Responsive Grants.

If your organization would like to submit a proposal that fits the Foundation's mission, contact the Foundation well in advance (we recommend 60-90 days before the deadline) to schedule an appointment.

Step # 3 Meet with the Program Officer at least 30 days before the grant deadline.

The Program Officer will discuss your proposal and may invite your nonprofit to undergo a free organizational assessment designed to help nonprofits with their planning efforts.

Step # 4 Submit a grant proposal.

Refer to the checklist provided to ensure all materials and attachments are submitted. All documents must be received in the Foundation's office by 5:00pm on the due date.

The Foundation will acknowledge receipt of your proposal.

Each proposal will be reviewed by the Foundation's Grants Committee. This committee may request an interview and will make recommendations to the Board of Trustees. The Board has final authority for all requests.

Step # 5 Receive notification from the Foundation about funding.

The Foundation will notify all applicants in writing of the Board's decision.

APPLICATION TIMETABLE

The Foundation has two Responsive Grant application deadlines:

April 15
October 15

IF YOU WISH TO SUBMIT AN APPLICATION FOR THE APRIL 15 DEADLINE:

- Contact the Foundation to schedule a meeting with the program officer in **January or February**.
- Meet with the program officer to discuss your proposal by **March 15**.
- Submit your proposal, which must be received in the Foundation's office by 5:00pm on **APRIL 15**.
- You will receive notification about the board's decision, normally made in **August**.

IF YOU WISH TO SUBMIT AN APPLICATION FOR THE OCTOBER 15 DEADLINE:

- Contact the Foundation to schedule a meeting with the program officer in **July or August**.
- Meet with the program officer to discuss your proposal by **September 15**.
- Submit your proposal, which must be received in the Foundation's office by 5:00pm on **OCTOBER 15**.
- You will receive notification about the board's decision, normally made in **February**.

NOTE: *If the application deadline falls on a weekend or holiday, the deadline shall be 5:00 p.m. on the next business day. Applications received after the deadline date may be considered in the next grant cycle.*

GRANTEES' ACCOUNTABILITY

- The Foundation may request a site visit.
- Expenditure reports will be required. Independent financial audits may also be required of grantees.
- Grantees will be asked to conduct a formal self-evaluation at the end of the grant period, including a written report. Reports may also be required at other times during the grant period.

RESPONSIVE GRANT APPLICATION REQUIREMENTS

To make the most effective use of limited resources, the Foundation has outlined its funding priorities and guidelines. Please review them carefully. For your convenience, a space is provided for you to check once each application requirement has been completed. Use of this checklist will help ensure the application is submitted correctly and includes all required attachments. If you have any questions about how to comply with these requirements, please contact Foundation staff.

- All proposal information must be **typed with a minimum of 10 pt. font**. Margins must be no less than one inch.
- Please submit **one copy** of your application and supporting documents **in the order listed below**.
- Please use only binder clips to secure your materials. **Do not staple or bind any part of your proposal** in any other way. Documents that are typically bound—such as audit reports—must also be submitted unbound.
- The Foundation **will not accept** materials sent via email or facsimile—only original hardcopies.
- Applications **must be received in the Foundation's office by 5:00pm** on the due date.
- Proposals adhering to these guidelines will receive full consideration by the Foundation.

CHECKLIST

- ___ A. **Advance consultation meeting** conducted with a Foundation program officer at least 30 days before the grant application deadline. (Meeting must take place prior to March 15 for the April proposal deadline and prior to September 15 for the October proposal deadline.)
- ___ B. A **cover letter** addressed to the Foundation's president of **no more than one page** that concisely states the following:
 - ___ (a) name of the project/program for which funding is being requested
 - ___ (b) amount requested from the J. Marion Sims Foundation
 - ___ (c) one-to-two sentence description of the project/program and what you hope to accomplish
 - ___ (d) brief description of how this project/program will be sustained after funding from the J. Marion Sims Foundation has been expended

- Checklist continued next page

- ___ C. A proposal narrative of **no more than three (3) single-spaced pages** that includes the following: **(Please use the underlined words as headers in your proposal.)**
- ___ **PROJECT OVERVIEW** Provide a brief introduction to your organization and a summary of the proposed project/program. Describe how your organization proposes to improve the health and wellness of the residents of Lancaster County, Fort Lawn and/or Great Falls, South Carolina.
- ___ **NEED** Describe the need for this project/program. Be sure to include numerical information about the geographical area to be served. (Relevant and up-to-date data about the residents of Lancaster County, Fort Lawn and/or Great Falls, SC should be included.)
- ___ **POTENTIAL** Identify current research or other evidence that supports why your proposed project/program might be successful in addressing this need.
- ___ **BACKGROUND** Provide a brief explanation about how this project/program was developed. Did you involve representatives of your target population(s) in the planning and design of this program? If so, how?
- ___ **SCHEDULE** Give a brief list of the major steps for this project and identify the date by which each step is projected to be completed.
- ___ **QUALIFICATIONS** Include a couple of sentences about how the organization and personnel concerned are qualified to implement the proposed project/program.
- ___ D. **FORM #1: RESPONSIVE GRANT APPLICATION** (must use form provided)
This form must be signed by the Board Chairman and Executive Director of the applicant organization. If the applicant organization is exempt due to its affiliation with a central organization such as county governmental agency, school district, or other similar organization, the proposal must also show evidence of coordination through the central organization with the appropriate signature on the application.
- ___ E. **FORM #2: GOALS, OBJECTIVES, AND MEASURES** (must use form provided)
This form enables applicants to identify project goals, state plans for fulfilling these goals, and describe how progress will be measured.
- ___ F. **FORM #3: PROJECT BUDGET** (must use form provided)
This Microsoft Excel form requires applicants to list projected revenue (from all sources) and expenses for each year of this project that funding is being requested from the J. Marion Sims Foundation. For example, if you are requesting funding for three years for your project, you will complete all three columns provided on the line-item budget form.
- ___ G. **FORM #4: FUNDS REQUESTED FROM J. MARION SIMS FOUNDATION** (must use form provided)
This Microsoft Excel form requires applicants to list only the expense items that are proposed to be paid for with grant funds from the J. Marion Sims Foundation. This form also includes a section for a budget justification so that organizations may explain how they arrived at the amounts listed for each expense line item.

- Checklist continued next page

H. ATTACHMENTS

- **ATTACHMENT 1:** An overall budget for the applicant organization. The budget should show sources of income as well as expenditure items. This information can be provided in any format.
- **ATTACHMENT 2:** Job descriptions for positions to be funded by this grant, if applicable.
- **ATTACHMENT 3:** A list of the names, addresses and professional affiliation of the current Board of Directors.
- **ATTACHMENT 4:** If this project/program is a collaborative effort or involves other organizations, please attach letters from each partnering organization describing their level of commitment. These letters should specify how partners will collaborate with the applicant organization to implement this program, such as contributing time, materials, meeting space, etc.
- **ATTACHMENT 5:** Information regarding the status of regulatory approval for your project/program, if applicable.
- **ATTACHMENT 6:** A copy of the applicant's most recent IRS 501(c)(3) letter. If the applicant is exempt as a local affiliate of a national organization, attach certification of membership. (Note: Please be sure not to send your Federal Tax ID number or your State Tax Exemption Notice, as these items do not meet this requirement.)
- **ATTACHMENT 7:** One copy of the applicant's most recent audit. If no audit exists, please explain and attach the most recent financial statements.
- **ATTACHMENT 8:** A copy of the organization's IRS Form 990 for the last three years.

FORM #1 – RESPONSIVE GRANT APPLICATION

J. MARION SIMS FOUNDATION, INC.

(Revised 2009)

1. Legal Name of Organization (as it appears on 501©(3) letter)

Name and Job Title of Chief Executive Officer/Executive Director:

Address:

Phone:

Fax:

Email:

Website:

2. Mission of your organization:

3. Name of project for which funding is requested:

Project director or contact person for this project:

Address (if different from above):

Phone:

Email:

4. Project Abstract (Please provide an abstract, or summary, of your project using no more than 50 words.)

5. What is your total budget for this project? \$

6. What is the amount you are requesting for this project from the J. Marion Sims Foundation?

\$ Year One	\$ Year Two (if requested)	\$ Year Three (if requested)	\$ Total Amount Requested
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(These amounts must equal the totals presented in Forms #3 and #4)

7. Will receiving a grant in the amount requested jeopardize your status as a public charity?
(Contact Foundation staff if you are unsure about how to answer this question.)

8. Is this a new or existing project?

9. When is this project scheduled to begin? To end?

10. If this program is to be sustained over time, what are your plans for long-term funding?

11. How many people will receive direct services from your organization as a result of this grant? (Please specify whether this number is a duplicated or unduplicated number.)

12. How will this project help your organization carry out its mission?

13. How will this project address the health and wellness needs of Lancaster County and/or the communities of Fort Lawn and Great Falls?

14. Do you need any licensing, zoning or other regulatory approval to conduct this project?

If yes, please provide information in Attachment #5.

15. Does this project need to be coordinated through a central organization?

If yes, please have the appropriate person sign below to indicate support and understanding of the proposal.

Print Name and Title

Signature

Date

16. Approval of Board Chairman and Executive Director:

We approve submission of this grant request. We certify that the applicant organization does not discriminate on the basis of race, color, age, sex, or national origin. We also certify that our most recent IRS notification of our organization's 501 (c)(3) status is attached to this application and that the organization has received no notice from the IRS of any proposal, threat or suggestion to revoke or modify this determination.

Executive Director (typed)

Signature

Date
