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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust

OMB No 1545-0052

2009**Note:** The foundation may be able to use a copy of this return to satisfy state reporting requirements.**For calendar year 2009, or tax year beginning** 10/01, 2009, **and ending** 09/30, 2010**G** Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name changeUse the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions.

Name of foundation

EDWARD J MALLINCKRODT JR FOUNDATION

A Employer identification number

43-6030295

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see page 10 of the instructions)

ONE NORTH JEFFERSON STREET

(314) 955-3136

City or town, state, and ZIP code

ST. LOUIS, MO 63103

C If exemption application is pending, check here ☐**D** 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**H** Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation**I** Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$** 33,387,590.
J Accounting method: ☐ Cash ☐ Accrual
☒ Other (specify) **MODIFIED CASH**
(Part I, column (d) must be on cash basis.)**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments	66,593.	66,593.		
4 Dividends and interest from securities	461,213.	461,213.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	586,157.			
b Gross sales price for all assets on line 6a	7,501,248.			
7 Capital gain net income (from Part IV, line 2)		217,780.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	5,162.	269,154.		ATCH 1
12 Total. Add lines 1 through 11	1,119,125.	1,014,740.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.	0.			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)	8,930.	18,661.	0.	0.
c Other professional fees (attach schedule)	101,166.	173,396.		
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions)				
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings	11,952.			11,952.
22 Printing and publications				
23 Other expenses (attach schedule)				
24 Total operating and administrative expenses. Add lines 13 through 23	122,048.	192,057.	0.	11,952.
25 Contributions, gifts, grants paid	2,010,000.			2,010,000.
26 Total expenses and disbursements. Add lines 24 and 25	2,132,048.	192,057.	0.	2,021,952.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-1,012,923.			
b Net investment income (if negative, enter -0-)		822,683.		
c Adjusted net income (if negative, enter -0-)			-0-	

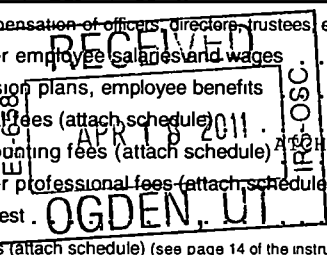
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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	602,887.	1,000,558.	1,000,558.
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges <u>ATCH 4</u>	17,346.	17,346.	17,346.
	10 a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) <u>ATCH 5</u>	22,595,047.	23,604,978.	23,604,978.
	c Investments - corporate bonds (attach schedule) <u>ATCH 6</u>	9,117,450.	8,764,708.	8,764,708.
	11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)			
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	32,332,730.	33,387,590.	33,387,590.	
Liabilities	17 Accounts payable and accrued expenses	3,970.	0.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	3,970.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	32,328,760.	33,387,590.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see page 17 of the instructions)	32,328,760.	33,387,590.	
	31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	32,332,730.	33,387,590.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	32,328,760.
2 Enter amount from Part I, line 27a	2	-1,012,923.
3 Other increases not included in line 2 (itemize) ▶ ATTACHMENT 7	3	2,071,753.
4 Add lines 1, 2, and 3	4	33,387,590.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	33,387,590.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	217,780.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8.			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	1,826,904.	30,526,804.	0.059846
2007	1,915,327.	40,558,720.	0.047224
2006	1,670,000.	43,951,814.	0.037996
2005	3,327,728.	40,303,000.	0.082568
2004	1,663,424.	38,818,425.	0.042851
2 Total of line 1, column (d)			2 0.270485
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.054097
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 33,165,004.
5 Multiply line 4 by line 3			5 1,794,127.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 8,227.
7 Add lines 5 and 6			7 1,802,354.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.			8 2,021,952.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	8,227.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	8,227.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	8,227.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	17,346.	
b Exempt foreign organizations-tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	17,346.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	9,119.	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax <input checked="" type="checkbox"/> 9,119. Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file Form 1120-POL for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
If "Yes," attach a detailed description of the activities			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
If "Yes," attach the statement required by General Instruction T			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input checked="" type="checkbox"/> MO,			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <input type="checkbox"/> N/A				
14	The books are in care of <input type="checkbox"/> JUDY MURPHY Telephone no <input type="checkbox"/> 314-290-3300			
Located at <input type="checkbox"/> ONE NORTH BRENTWOOD ST. LOUIS, MO ZIP + 4 <input type="checkbox"/> 63105				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	<input type="checkbox"/>		
and enter the amount of tax-exempt interest received or accrued during the year		15		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? <input type="checkbox"/>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years <input type="checkbox"/>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="checkbox"/>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** ☒

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATTACHMENT 9		147,595.

Total number of others receiving over \$50,000 for professional services NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	33,047,921.
b	Average of monthly cash balances	1b	622,134.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	Total (add lines 1a, b, and c)	1d	33,670,055.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	33,670,055.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	505,051.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	33,165,004.
6	Minimum investment return. Enter 5% of line 5	6	1,658,250.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,658,250.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	8,227.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	8,227.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,650,023.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,650,023.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,650,023.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,021,952.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,021,952.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	8,227.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,013,725.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				1,650,023.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			747,203.	
b Total for prior years 20 07, 20 06, 20 05				
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e				
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 2,021,952.				
a Applied to 2008, but not more than line 2a			747,203.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				1,274,749.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions				
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				375,274.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009	0.			

Form 990-PF (2009)

4942(j)(5)

PAGE 12

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year ATTACHMENT 12				
Total. ▶ 3a				2,010,000.
b Approved for future payment ATTACHMENT 13				
Total. ▶ 3b				2,435,000.

Form **990-PF** (2009)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments				14	66,593.	
4 Dividends and interest from securities				14	461,213.	
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property .						
7 Other investment income				14	5,162.	
8 Gain or (loss) from sales of assets other than inventory				18	586,157.	
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory . . .						
11 Other revenue: a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)					1,119,125.	
13 Total. Add line 12, columns (b), (d), and (e)						1,119,125.

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

FORM 990-PF - PART IV**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL SHORT-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					-207,344.	
		TOTAL LONG-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					-161,033.	
2,798,087.		WELLS FARGO - CONFLUENCE 2,520,850.					VAR 277,237.	VAR
4,556,603.		WELLS FARGO - FAMCO 4,394,241.					VAR 162,362.	VAR
146,558.		WALTER SCOTT INTL FUND					VAR 146,558.	VAR
TOTAL GAIN (LOSS)					<u>217,780.</u>	

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER INVESTMENT INCOME	5,162.	5,162.
INTEREST - K-1'S		168,843.
DIVIDENDS - K-1'S		95,149.
TOTALS	5,162.	269,154.

ATTACHMENT 2FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES - RUBIN BROWN	7,000.	7,000.		
ACCOUNTING FEES - SHARAMITARO	1,930.	1,930.		
ACCOUNTING FEES - K-1'S		9,731.		
TOTALS	<u>8,930.</u>	<u>18,661.</u>	<u>0.</u>	<u>0.</u>

ATTACHMENT 3FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INVESTMENT MANAGEMENT FEES	101,166.	101,166.
INVESTMENT MGMT FEES - K-1'S		72,230.
TOTALS	<u>101,166.</u>	<u>173,396.</u>

FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGESATTACHMENT 4

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
OVERPAID EXCISE TAX PRIOR YR	17,346.	17,346.
TOTALS	<u>17,346.</u>	<u>17,346.</u>

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 5

DESCRIPTION	ENDING	ENDING
	BOOK VALUE	FMV
WELLS FARGO - CONFLUENCE	9,322,779.	9,322,779.
WELLS FARGO - FAMCO	5,120,532.	5,120,532.
WALTER SCOTT INTL FUND	2,752,222.	2,752,222.
FIDUCIARY PARTNERS FUND	6,409,445.	6,409,445.
TOTALS	23,604,978.	23,604,978.

Edward J. Mallinckrodt, Jr. Foundation
Securities Detail
September 30, 2010

Corporate Stock:

Security	Shares/Units	Book Value	Fair Value
Wells Fargo - Confluence:			
Affiliated Managers	860	67,088.60	67,088.60
Ambassadors Group, Inc.	5,000	56,700.00	56,700.00
American Express Company	7,570	318,167.10	318,167.10
Bed Bath & Veyond, Inc.	6,500	282,165.00	282,165.00
Berkley W R Corporation	11,935	323,080.45	323,080.45
Berkshire Hathaway, Inc.	4,071	336,590.28	336,590.28
Brown and Brown, Inc.	3,300	66,627.00	66,627.00
CPI Corp	2,487	64,363.56	64,363.56
California Pizza Kitchen	3,250	55,445.00	55,445.00
Chevron Corporation	4,150	336,357.50	336,357.50
Diageo PLC	4,690	323,656.90	323,656.90
Dionex Corp	820	70,880.80	70,880.80
Emerson Electric Co.	6,000	315,960.00	315,960.00
Exxon Mobil Corp	5,205	321,616.95	321,616.95
Gladstone Commercial Corp	1,000	17,160.00	17,160.00
Haemonetics Corp.	1,155	67,602.15	67,602.15
Heartland Express Inc.	4,400	65,428.00	65,428.00
Hibbett Sports Inc.	2,390	59,630.50	59,630.50
Illinois Tool Works Inc.	3,000	141,060.00	141,060.00
John Wiley & Sons Inc.	1,435	58,634.10	58,634.10
Johnson & Johnson	5,140	318,474.40	318,474.40
Lowes Companies, Inc.	13,025	290,327.25	290,327.25
Markel Corp.	870	299,793.30	299,793.30
Medical Action Industries, Inc.	5,125	46,381.25	46,381.25
Mercury General Corp	1,595	65,187.65	65,187.65
Microsoft Corp.	12,820	313,961.80	313,961.80
Morningstar Inc.	1,472	65,592.32	65,592.32
MVC Capital Inc.	4,895	63,488.15	63,488.15
Panera Bread Company	765	67,786.65	67,786.65
Patterson Companies, Inc.	2,315	66,324.75	66,324.75
Peabody Energy Corp.	6,585	322,730.85	322,730.85
Pennantpark Investment Corp.	6,655	70,609.55	70,609.55
Pepsico Incorporated	5,125	340,505.00	340,505.00
Pfizer Incorporated	18,775	322,366.75	322,366.75
Quest Diagnostics Inc.	5,700	287,679.00	287,679.00
RLI Corp.	1,250	70,775.00	70,775.00
Ritchie Bros. Auctioneers, Inc.	3,100	64,387.00	64,387.00
Scotts Miracle-Gro Class A	1,388	71,801.24	71,801.24
Sigma Aldrich Corp.	1,110	67,021.80	67,021.80
Simpson Manufacturing Inc.	2,450	63,161.00	63,161.00
SM Energy Co.	1,500	56,190.00	56,190.00
Snap-On Inc.	1,425	66,276.75	66,276.75
Techne Corp.	900	55,557.00	55,557.00
Thermo Fisher Scientific	6,485	310,501.80	310,501.80

TJX Cos. Inc.	6,850	305,715.50	305,715.50
TYCO International, Ltd.	8,265	303,573.45	303,573.45
VCA Antech, Inc.	2,600	54,834.00	54,834.00
Vulcan Materials Company	6,000	221,520.00	221,520.00
Wal-Mart Stores, Inc.	6,151	329,201.52	329,201.52
Waters Corporation	4,415	312,493.70	312,493.70
Wells Fargo Company	10,275	258,056.62	258,056.62
West Pharmaceutical Services, Inc.	1,535	52,665.85	52,665.85
Western Union Co.	16,825	297,297.75	297,297.75
Zebra Technologies Corp.	2,150	72,326.00	72,326.00
		<u>9,322,778.54</u>	<u>9,322,778.54</u>

Wells Fargo - Fiduciary Asset Management:

Apache Corporation	1,235	120,733.60	120,733.60
Bank of America Corp.	6,575	86,148.93	86,148.93
Chevron Corporation	2,325	188,441.25	188,441.25
Cisco Systems, Inc.	9,705	212,539.50	212,539.50
Coca-Cola Company	2,860	167,367.20	167,367.20
DirecTV Class A Common	3,035	126,347.05	126,347.05
EMC Corp. Mass	5,815	118,102.65	118,102.65
Express Scripts, Inc.	3,505	170,693.50	170,693.50
FedEx Corporation	1,515	129,532.50	129,532.50
General Electric Company	11,425	185,656.25	185,656.25
General Dynamics Corp.	2,740	172,099.40	172,099.40
Gilead Sciences, Inc.	3,935	140,125.35	140,125.35
Goldman Sachs Group, Inc.	810	117,109.80	117,109.80
Intel Corp.	9,075	174,240.00	174,240.00
International Business Machines Corp.	1,950	261,573.00	261,573.00
Johnson & Johnson	3,770	233,589.20	233,589.20
Kellogg Company	2,025	102,282.75	102,282.75
Lowes Companies, Inc.	4,995	111,338.55	111,338.55
Microsoft Corp.	8,250	202,042.50	202,042.50
Nextera Energy, Inc.	2,795	152,020.05	152,020.05
Pfizer Incorporated	13,745	236,001.65	236,001.65
Procter & Gamble, Co.	1,520	91,154.40	91,154.40
Quest Diagnostics, Inc.	2,745	138,540.15	138,540.15
Southwestern Energy, Co.	3,935	131,586.40	131,586.40
T Rowe Price Group, Inc.	2,485	124,411.52	124,411.52
Time Warner, Inc.	5,980	183,287.00	183,287.00
TJX Cos., Inc.	4,575	204,182.25	204,182.25
Union Pacific, Corp.	2,460	201,228.00	201,228.00
US Bancorp	5,755	124,423.10	124,423.10
Verizon Communications	5,580	181,852.20	181,852.20
Visa, Inc. Class A	1,435	106,563.10	106,563.10
Wal-Mart Stores, Inc.	4,210	225,319.20	225,319.20
		<u>5,120,532.00</u>	<u>5,120,532.00</u>

Fiduciary Partners Fund L.P.	2,615.08	6,409,445.20	6,409,445.20
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Walter Scott International Fund, LLC	16,907.7441	<u>2,752,222.00</u>	<u>2,752,222.00</u>
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TOTAL CORPORATE STOCK

<u><u>23,604,977.74</u></u>	<u><u>23,604,977.74</u></u>
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FORM 990PF, PART II - CORPORATE BONDS

ATTACHMENT 6

<u>DESCRIPTION</u>	<u>ENDING</u>	<u>ENDING</u>
	<u>BOOK VALUE</u>	<u>FMV</u>
WELLS FARGO - CONFLUENCE	4,171,589.	4,171,589.
FIDUCIARY FIXED INCOME FUND	4,593,119.	4,593,119.
TOTALS	<u>8,764,708.</u>	<u>8,764,708.</u>

Corporate Bonds:

Security	Shares/Units	Book Value	Fair Value
Wells Fargo - Confluence:			
Ishares Barclays MBS Bond Fund	5,821	635,245.73	635,245.73
Ishares Barclays Intermediate Credit Bond Fund	7,880	854,270.80	854,270.80
Ishares Barclays 1-3 Year Credit Bond fund	8,065	847,187.92	847,187.92
Ishares Investment Grade Corporate Bond Fund	16,225	1,834,885.25	1,834,885.25
		<u>4,171,589.70</u>	<u>4,171,589.70</u>
 Fiduciary Fixed Income Fund, LLC	 1,425.66	 4,593,119.11	 4,593,119.11
 TOTAL CORPORATE BONDS		 <u><u>8,764,708.81</u></u>	 <u><u>8,764,708.81</u></u>
 TOTAL INVESTMENTS		 <u><u>32,369,686.55</u></u>	 <u><u>32,369,686.55</u></u>

ATTACHMENT 7FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
NET UNREALIZED GAINS - 2009 TAX YEAR	1,218,454.
CUMULATIVE UNR. GAINS - 2008 & PRIOR	853,299.
TOTAL	<u>2,071,753.</u>

EDWARD J MALLINCKRODT JR FOUNDATION

43-6030295

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 8

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
DR. THOMAS F. DEUEL ONE NORTH JEFFERSON STREET ST. LOUIS, MO 63103	PRESIDENT 1.00	0.	0.	0.
OLIVER M. LANGENBERG ONE NORTH JEFFERSON STREET ST. LOUIS, MO 63103	CHAIRMAN, TREASURER 1.00	0.	0.	0.
DR. THOMAS CORI ONE NORTH JEFFERSON STREET ST. LOUIS, MO 63103	VICE-PRESIDENT 1.00	0.	0.	0.
CHARLES C. ALLEN, JR. ONE NORTH JEFFERSON STREET ST. LOUIS, MO 63103	SECRETARY 1.00	0.	0.	0.
DR. STEWART KORNFELD ONE NORTH JEFFERSON STREET ST. LOUIS, MO 63103	DIRECTOR 1.00	0.	0.	0.
SPENCER B. BURKE ONE NORTH JEFFERSON STREET ST. LOUIS, MO 63103	DIRECTOR 1.00	0.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 8 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
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DR. ROBERT G. JOHNSON ONE NORTH JEFFERSON STREET ST. LOUIS, MO 63103	DIRECTOR 1.00	0.	0.	0.
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GRAND TOTALS	0.	0.	0.	0.
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990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 9

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
CONFLUENCE INVESTMENT MANAGEMENT 349 MARSHALL AVE, SUITE 302 ST LOUIS, MO 63119	INVESTMENT MGMT	75,365.
FIDUCIARY ASSET MANAGEMENT 8235 FORSYTH BLVD, SUITE 700 ST LOUIS, MO 63105	INVESTMENT MGMT	72,230.
TOTAL COMPENSATION		<u>147,595.</u>

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

MR. OLIVER LANGENBERG
ONE NORTH JEFFERSON STREET
ST. LOUIS, MO 63103
314-955-3136

990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

- 1.THE BASIC AIM OF THE FOUNDATION IS TO DISPENSE THAT AMOUNT OF YEARLY INCOME NECESSARY FOR THE PURPOSE OF ADVANCING KNOWLEDGE IN THE VARIOUS FIELDS OF CLINICAL AND LABORATORY MEDICAL RESEARCH.
- 2.IT IS THE FURTHER GENERAL AIM TO CONFINE CONTRIBUTIONS TO THOSE WORTHY PROJECTS THAT ARE IN NEED OF INITIAL START-UP FUNDING.
- 3.PROPOSALS MUST CONTAIN SUCH ADEQUATE DETAILED DESCRIPTION AS TO BE UNDERSTANDABLE BY THE LAY AND MEDICAL MEMBERS OF THE TRUSTEES OF THE FOUNDATION. THEY NEED NOT BE IN THE DETAIL REQUESTED BY THE N.I.H. TWO TO FOUR DOUBLE-SPACED TYPEWRITTEN PAGES MAY BE SUFFICIENT, ADDITIONAL MATERIAL CAN BE SUBMITTED AS AN ATTACHMENT, BUT THE TWO TO FOUR PAGE COVER LETTER SHOULD CONTAIN THE ESSENTIAL INFORMATION. REFERENCES SHOULD ALSO BE INCLUDED.
- 4.PROPOSALS MUST BE INITIATED BY MEMBERS OF THE FACULTIES OF ACCREDITED MEDICAL SCHOOLS AND MUST BE ACCOMPANIED BY LETTERS OF APPROVAL BY THE DEAN OF SAID SCHOOL AND/OR FROM THE SENIOR FACULTY MEMBER OR MEMBERS ASSOCIATED WITH THE PROJECT AND OTHERS ACQUAINTED WITH THE QUALIFICATIONS OF THE APPLICANT.
- 5.PROPOSALS MUST CONTAIN AN ADEQUATE BUDGET WITH BREAKDOWN OF USE OF THE REQUESTED AMOUNT AND AN ACCOUNTING OF ANY GRANT SUPPORT ALREADY AVAILABLE OR PENDING.
- 6.THE FOUNDATION WILL NOT FUND OVERHEAD.
- 7.APPLICANTS MAY BE REQUESTED TO APPEAR BEFORE THE FOUNDATION FOR INTERVIEW. TRAVEL EXPENSES WILL BE REIMBURSED.
- 8.GRANTS MAY BE MADE FOR PERIODS OF ONE TO THREE YEARS AND ARE CONTINGENT UPON A YEARLY PROGRESS REPORT BY THE APPLICANT. ANNUAL GRANTS RANGE IN THE \$50,000 TO \$75,000 LEVEL, NOT TO EXCEED \$100,000.
- 9.A GRANT IS MADE ON THE ASSUMPTION THAT THE RECIPIENT WILL USE THE FUNDS FOR THE PURPOSE INDICATED IN THE APPLICATION WHILE SERVING ON THE FACULTY OF THE SAME INSTITUTION AT THE TIME THE APPLICATION IS MADE. IF THE RECIPIENT MOVES TO ANOTHER INSTITUTION DURING THE TERM OF THE GRANT, PAYMENTS MAY OR MAY NOT BE CONTINUED.

FORM 990FE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
UNIVERSITY OF CALIFORNIA - SAN FRANCISCO 513 PARANASSUS AVENUE, S224 SAN FRANCISCO, CA 94143-0410	N/A PUBLIC		SCHOLAR AWARD (DR. SHAH)	75,000
JOHNS HOPKINS UNIVERSITY 3400 NORTH CHARLES STREET BALTIMORE, MD 21218	N/A PUBLIC		SCHOLAR PROGRAM (DR. CHEN)	75,000
VANDERBILT UNIVERSITY MEDICAL CENTER 2525 WEST END AVENUE, SUITE 450 NASHVILLE, TN 37203	N/A PUBLIC		SCHOLAR AWARD (DR. SEBDZA)	75,000
UNIVERSITY OF IOWA CARVER COLLEGE OF MEDICINE 312 CMAB IOWA CITY, IA 52242-2600	N/A PUBLIC		SCHOLAR PROGRAM (DR. SUTTERWALLA)	65,000
UNIVERSITY OF WASHINGTON 4333 BROOKLYN AVENUE NE SEATTLE, WA 98105	N/A PUBLIC		SCHOLAR PROGRAM (DR. FOSTER-SCHUBERT)	65,000
WASHINGTON UNIVERSITY SCHOOL OF MEDICINE 700 ROSEDALE AVENUE ST LOUIS, MO 63112-1408	N/A PUBLIC		FELLOWSHIP (DR. TRAN)	45,000

FORM 990FE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
DONALD DANFORTH PLANT SCIENCE CENTER 975 NORTH WARSON ROAD ST LOUIS, MO 63132	N/A	PUBLIC	OPIATE PATHWAYS (DR KUTCHAN)	60,000
WASHINGTON UNIVERSITY SCHOOL OF MEDICINE 700 ROSEDALE AVENUE ST LOUIS, MO 63112-1408	N/A	PUBLIC	RNA & PROTEIN TRAFFICKING (DR PONTES)	60,000
YALE UNIVERSITY SCHOOL OF MEDICINE PO BOX 208000 NEW HAVEN, CT 06520-8000	N/A	PUBLIC	PLURIPOTENCY IN STEM CELLS (DR IVANOVA)	60,000
WASHINGTON UNIVERSITY SCHOOL OF MEDICINE 700 ROSEDALE AVENUE ST LOUIS, MO 63112-1408	N/A	PUBLIC	ESCHERICHIA COLI (DR. HUNSTAD)	60,000.
WASHINGTON UNIVERSITY SCHOOL OF MEDICINE 700 ROSEDALE AVENUE ST LOUIS, MO 63112-1408	N/A	PUBLIC	MOLECULAR PROFILING (DR FEHNIGER)	60,000
YALE UNIVERSITY SCHOOL OF MEDICINE PO BOX 208000 NEW HAVEN, CT 06520	N/A	PUBLIC	ENHANCER TARGET CAPTURE (DR NOONAN)	60,000

FORM 990FE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
STANFORD UNIVERSITY SCHOOL OF MEDICINE 300 PASTEUR DRIVE STANFORD, CA 94305	N/A	PUBLIC	REPROGRAMMING STEM CELL (DR WU)	60,000
SOUTHERN ILLINOIS UNIVERSITY SCHOOL OF MEDICINE NECKENS BUILDING, ROOM 287 CARBONDALE, IL 62901-4413	N/A	PUBLIC	DNA REPAIR (DR. BHAUMIK)	60,000
UNIFORMED SERVICES UNIVERSITY 1401 ROCKVILLE PIKE, SUITE 600 ROCKVILLE, MD 20852	N/A	PUBLIC	HIV - 1 VIRION INFECTIVITY FACTOR (DR MAYNARD)	60,000
WASHINGTON UNIVERSITY SCHOOL OF MEDICINE 700 ROSEDALE AVENUE ST LOUIS, MO 63112-1408	N/A	PUBLIC	AXONAL REGENERATION (DR CAVALLI)	60,000
UNIVERSITY OF CHICAGO 970 EAST 58TH CHICAGO, IL 60637	N/A	PUBLIC	CHEMOTHERAPETUCIS (DR GUPTA)	60,000
WASHINGTON UNIVERSITY SCHOOL OF MEDICINE 700 ROSEDALE AVENUE ST LOUIS, MO 63112-1408	N/A	PUBLIC	FRAGILE X SYNDROME (DR. KLYACHKO)	60,000

FORM 990RE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF CHICAGO 970 EAST 58TH CHICAGO, IL 60637	N/A PUBLIC		EPITHELIAL MORPHOGENESIS (DR HORNE-BADOVINAC)	60,000
UNIVERSITY OF IOWA CARVER COLLEGE OF MEDICINE 200 HAWKINS DRIVE IOWA CITY, IA 52242-1081	N/A PUBLIC		MAMMALIAN CELLS SPLICING CODE (DR XING)	60,000
WASHINGTON UNIVERSITY SCHOOL OF MEDICINE 700 ROSEDALE AVENUE ST LOUIS, MO 63112-1408	N/A PUBLIC		AMYOTROPHIC LATERAL SCLEROSIS (DR MILLER)	60,000.
WASHINGTON UNIVERSITY SCHOOL OF MEDICINE 700 ROSEDALE AVENUE ST LOUIS, MO 63112-1408	N/A PUBLIC		NK CELL FUNCTION (DR TRIPATHY)	120,000
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 EAST CALIFORNIA BOULEVARD PASADENA, CA 91125	N/A PUBLIC		NEURAL CIRCUITRY OF SLEEP (DR PROBER)	120,000
WASHINGTON UNIVERSITY SCHOOL OF MEDICINE 700 ROSEDALE AVENUE ST LOUIS, MO 63112-1408	N/A PUBLIC		SERINE/THREONIN KINASE MUTATION (DR BOSE)	60,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
STANFORD UNIVERSITY 300 PASTEUR DRIVE STANFORD, CA 94305-5119	N/A PUBLIC		STEM CELL GENE EXPRESSION (DR DIEHN)	60,000.
UNIVERSITY OF CHICAGO 970 EAST 58TH CHICAGO, IL 60637	N/A PUBLIC		NEURULATION IN ASCINIANS (DR MUNRO)	60,000
WASHINGTON UNIVERSITY OF MEDICINE 700 ROSEDALE AVENUE ST. LOUIS, MO 63112-1408	N/A PUBLIC		VISITING LECTURESHIP SERIES	50,000
DUKE UNIVERSITY SCHOOL OF MEDICINE ERWIN SQUARE PLAZA, SUITE 820 DURHAM, NC 27705	N/A PUBLIC		PH REGULATION BY HIV (DR LEE)	60,000
WASHINGTON UNIVERSITY 700 ROSEDALE AVENUE ST. LOUIS, MO 63112-1408	N/A PUBLIC		MALARIA VACCINE (DR TOLIA)	60,000.
CALIFORNIA INSTITUTE OF TECHNOLOGY MAILCODE 5-32 PASADENA, CA 91125	N/A PUBLIC		SMALL RNA'S (DR. TOTH)	60,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
WASHINGTON UNIVERSITY SCHOOL OF MEDICINE 700 ROSEDALE AVENUE ST. LOUIS, MO 63112-1408	N/A PUBLIC	CANCER GENE REGULATORY WKS (DR WANG)	60,000.
			TOTAL CONTRIBUTIONS PAID
			<u>2,010,000</u>

FORM 990FE, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 13

RECIPIENT NAME AND ADDRESS		RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF IOWA CARVER COLLEGE OF MEDICINE 312 CWAB IOWA CITY, IA 52242-2600			SCHOLAR PROGRAM (DR SUTTERWALLA)	145,000
UNIVERSIY OF WASHINGTON 4333 BROOKLYN AVENUE NE SEATTLE, WA 98105			SCHOLAR PROGAM (DR FOSTER-SCHUBERT)	145,000
WASHINGTON UNIVERSITY SCHOOL OF MEDICINE 700 ROSEDALE AVE ST LOUIS, MO 63112-1408			FELLOWSHIP (DR TRAN)	45,000
UNIFORMED SERVICES UNIVERSITY 1401 ROCKVILLE PIKE, SUITE 600 ROCKVILLE, MD 20852			HIV - 1 VIRION INFECTIVITY FACTOR (DR. MAYNARD)	60,000
WASHINGTON UNIVERSITY SCHOOL OF MEDICINE 700 ROSEDALE AVENUE ST. LOUIS, MO 63112-1408			AXONAL REGENERATION (DR CAVALLI)	60,000.
UNIVERSITY OF CHICAGO 970 EAST 58TH CHICAGO, IL 60637			CHEMOTHERAPEUCTUCIS (DR GUPTA)	60,000

FORM 990PE, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 13 (CONT'D)

RECIPIENT NAME AND ADDRESS		RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
WASHINGTON UNIVERSITY SCHOOL OF MEDICINE 700 ROSEDALE AVENUE ST. LOUIS, MO 63112-1408			FRAGILE X SYNDROME (DR KLYACHKO)	60,000.
UNIVERSITY OF CHICAGO 970 EAST 58TH CHICAGO, IL 60637			EPITHELIAL MORPHOGENESIS (DR HORNE-BADOVINAC)	60,000
UNIVERSITY OF IOWA CARVER COLLEGE OF MEDICINE 200 HAWKINS DRIVE IOWA CITY, IA 52242-1081			MAMMALIAN CELLS SPLICING CODE (DR. XING)	60,000
WASHINGTON UNIVERSITY SCHOOL OF MEDICINE 700 ROSEDALE AVENUE ST LOUIS, MO 63112-1408			AMYOTOPHIC LATERAL SCLEROSIS (DR MILLER)	60,000.
WASHINGTON UNIVERSITY SCHOOL OF MEDICINE 700 ROSEDALE AVENUE ST LOUIS, MO 63112-1408			NK CELL FUNCTION (DR TRIPATHY)	60,000
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 EAST CALIFORNIA BOULEVARD PASADENA, CA 91125			NEURAL CIRCUITRY OF SLEEP (DR PROBER)	60,000

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 13 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND				PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT				
WASHINGTON UNIVERSITY SCHOOL OF MEDICINE 700 ROSEDALE AVENUE ST. LOUIS, MO 63112-1408				SERINE/THREONIN KINASE MUTATION (DR BOSE)	120,000
STANFORD UNIVERSITY 300 PASTEUR DRIVE STANFORD, CA 94305-5119				STEM CELL GENE EXPRESSION (DR. DIEHN)	120,000
UNIVERSITY OF CHICAGO 970 EAST 58TH CHICAGO, IL 60637				NEURULATION IN ASCINIANS (DR MUNRO)	120,000
DUKE UNIVERSITY SCHOOL OF MEDICINE ERWIN SQUARE PLAZA, SUITE 820 DURHAM, NC 27705				PH REGULATION BY HIV (DR LEE)	120,000.
WASHINGTON UNIVERSITY SCHOOL OF MEDICINE 700 ROSEDALE AVENUE ST LOUIS, MO 63112-1408				MALARIA VACCINE (DR TOLIA)	120,000
CALIFORNIA INSTITUTE OF TECHNOLOGY MAILCODE 5-32 PASADENA, CA 91125				SMALL RNA'S (DR TOTH)	120,000

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 13 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
WASHINGTON UNIVERSITY SCHOOL OF MEDICINE 700 ROSEDALE AVENUE ST LOUIS, MO 63112-1408			CANCER GENE REGULATORY WKS (DR WANG)	120,000
WASHINGTON UNIVERSITY SCHOOL OF MEDICINE 700 ROSEDALE AVENUE ST. LOUIS, MO 63112-1408			DISSECTION OF RETINA (DR KERSCHENSTEINER)	180,000
WISTAR INSTITUTE 3601 SPRUCE STREET, SUITE 242 PHILADELPHIA, PA 19104			IDENTIFYING NOVEL PATHWAY (DR NOMA)	180,000
WISTAR INSTITUTE 3601 SPRUCE STREET, SUITE 242 PHILADELPHIA, PA 19104			EPIGENETIC REGULATION (DR HUANG)	180,000
UNIVERSITY OF MICHIGAN 500 S. STATE STREET ANN ARBOR, MI 48109			DEADENYLASE NOCTURNIN (DR GOLDSTROHN)	180,000
TOTAL CONTRIBUTIONS APPROVED				<u>2,435,000</u>

FEDERAL FOOTNOTES

FORM 990PF PART III LINE 3

EXPLANATION OF OTHER INCREASES IN NET ASSETS

DURING THE CURRENT YEAR, THE FOUNDATION ADOPTED THE MODIFIED CASH BASIS OF ACCOUNTING, WHICH REPORTS INVESTMENTS AT THEIR FAIR MARKET VALUES AND RECOGNIZES UNREALIZED GAINS. ACCORDINGLY, A ONE-TIME INCREASE IN NET ASSETS OF \$853,299 WAS RECOGNIZED IN ORDER TO CAPTURE ACCUMULATED UNREALIZED GAINS FROM PREVIOUS YEARS.

Form **8868**

(Rev. January 2011)

Department of the Treasury
Internal Revenue Service**Application for Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization	Employer identification number
	EDWARD J MALLINCKRODT JR FOUNDATION	43-6030295
	Number, street, and room or suite no. If a P O box, see instructions	
	ONE NORTH JEFFERSON STREET	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	ST. LOUIS, MO 63103	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► JUDY MURPHY

Telephone No. ► 314 290-3300

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 05/15, 20 11, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☐ calendar year 20 or
- ☒ tax year beginning 10/01, 20 09, and ending 09/30, 20 10.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$	8,145.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$	17,346.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2011)