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Form **990-PF**

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2009Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning 10/01/09, and ending 09/30/10

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation FRANK G. & FRIEDA K. BROTZ FAMILY FOUNDATION, INC.		A Employer identification number 39-6060552
	Number and street (or P O box number if mail is not delivered to street address) 3518 LAKESHORE ROAD	Room/suite	B Telephone number (see page 10 of the instructions) 920-458-2121
	City or town, state, and ZIP code SHEBOYGAN WI 53083		C If exemption application is pending, check here ☐ D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 22,000,931 (Part I, column (d) must be on cash basis)		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify)	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ☒ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	2,152	2,152		
4 Dividends and interest from securities	444,666	444,666		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10 STMT 1	908,730			
b Gross sales price for all assets on line 6a 10,357,681				
7 Capital gain net income (from Part IV, line 2)		908,730		
8 Net short-term capital gain			0	
9 Income modifications				
10a Gross sales less returns & allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	1,355,548	1,355,548	0	
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule)				
17 Interest	31,210	31,210		
18 Taxes (attach schedule) (see page 14 of the instructions)	15,000			
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (att sch) STMT 4	2,754			
24 Total operating and administrative expenses. Add lines 13 through 23	48,964	31,210		0
25 Contributions, gifts, grants paid	1,636,880			1,636,880
26 Total expenses and disbursements. Add lines 24 and 25	1,685,844	31,210	0	1,636,880
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-330,296			
b Net investment income (if negative, enter -0-)		1,324,338		
c Adjusted net income (if negative, enter -0-)			0	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Form **990-PF** (2009)

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	1,961,279	2,375,266	2,375,266
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule) SEE STATEMENT 5	17,721,512	16,977,229	19,625,665
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶			
Liabilities	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	19,682,791	19,352,495	22,000,931
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
Net Assets or Fund Balances	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	19,682,791	19,352,495	
Net Assets or Fund Balances	30 Total net assets or fund balances (see page 17 of the instructions)	19,682,791	19,352,495	
	31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	19,682,791	19,352,495	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	19,682,791
2 Enter amount from Part I, line 27a	2	-330,296
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	19,352,495
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	19,352,495

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE SCHEDULE 1				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	908,730
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	1,340,034	18,453,101	0.072618
2007	1,509,354	24,382,147	0.061904
2006	1,349,874	25,507,214	0.052921
2005	1,451,250	24,081,894	0.060263
2004	1,128,460	23,446,452	0.048129

2 Total of line 1, column (d)	2	0.295835
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.059167
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	20,915,852
5 Multiply line 4 by line 3	5	1,237,528
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	13,243
7 Add lines 5 and 6	7	1,250,771
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.	8	1,636,880

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	13,243
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3 Add lines 1 and 2		3	13,243
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	13,243
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	31,505	
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	31,505	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	18,262	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax 18,262 Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) WI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► FOUNDATION SECRETARY 3518 LAKESHORE ROAD Located at ► SHEBOYGAN, WI	Telephone no ► 920-458-2121 ZIP+4 ► 53083		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year	► 15 ► ☐		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes	☒ No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes	☒ No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A ► ☐	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	N/A	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ► 20 , 20 , 20 , 20	☐ Yes	☒ No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions)	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	19,399,323
b	Average of monthly cash balances	1b	1,835,045
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	21,234,368
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	21,234,368
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	318,516
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	20,915,852
6	Minimum investment return. Enter 5% of line 5	6	1,045,793

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,045,793
2a	Tax on investment income for 2009 from Part VI, line 5	2a	13,243
b	Income tax for 2009 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	13,243
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,032,550
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,032,550
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,032,550

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	1,636,880
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,636,880
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	13,243
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,623,637

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				1,032,550
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				276,532
c From 2006				103,913
d From 2007				327,115
e From 2008				426,811
f Total of lines 3a through e	1,134,371			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 1,636,880				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
d Applied to 2009 distributable amount				1,032,550
e Remaining amount distributed out of corpus	604,330			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,738,701			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	1,738,701			
10 Analysis of line 9				
a Excess from 2005				276,532
b Excess from 2006				103,913
c Excess from 2007				327,115
d Excess from 2008				426,811
e Excess from 2009				604,330

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Part XV · **Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year GRANTS PAID SEE STATEMENT 7				1,636,880
Total			▶ 3a	1,636,880
b Approved for future payment N/A				
Total			▶ 3b	

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets

Description			How Received	Whom Sold	Date Acquired
Date Sold	Sale Price	Cost	Expense	Depreciation	Net Gain / Loss
10028.079SH	MAINSTAY S&P 500 INDEX		PURCHASE		VARIOUS
11/06/09	\$ 250,000	\$ 210,306	\$	\$	39,694
3008.424SH	MAINSTAY S&P 500 INDEX		PURCHASE		VARIOUS
11/06/09	75,000	63,092			11,908
15686.275SH	MAINSTAY S&P 500 INDEX		PURCHASE		VARIOUS
12/08/09	400,000	328,968			71,032
11170.011SH	MAINSTAY S&P 500 INDEX		PURCHASE		VARIOUS
1/11/10	295,000	235,080			59,920
27216.174SH	MAINSTAY S&P 500 INDEX		PURCHASE		VARIOUS
9/03/10	700,000	588,245			111,755
70422.535SH	MAINSTAY INDEXED BOND		PURCHASE		VARIOUS
10/06/09	800,000	775,796			24,204
17605.635SH	MAINSTAY INDEXED BOND		PURCHASE		VARIOUS
10/06/09	200,000	193,949			6,051
13227.513SH	MAINSTAY INCOME BOND		PURCHASE		VARIOUS
3/09/10	150,000	146,504			3,496
44347.826SH	MAINSTAY INDEXED BOND		PURCHASE		VARIOUS
6/04/10	510,000	491,290			18,710
8695.652SH	MAINSTAY INDEXED BOND		PURCHASE		VARIOUS
6/04/10	100,000	96,331			3,669
1724.138SH	MAINSTAY INDEXED BOND		PURCHASE		VARIOUS
7/07/10	20,000	19,102			898
6896.552SH	MAINSTAY INDEXED BOND		PURCHASE		VARIOUS
7/07/10	80,000	76,410			3,590
10889.292SH	MAINSTAY HIGH YIELD		PURCHASE		VARIOUS
10/06/09	60,000	55,771			4,229
13489.209SH	MAINSTAY HIGH YIELD		PURCHASE		VARIOUS
11/06/09	75,000	69,125			5,875
26737.968SH	MAINSTAY HIGH YIELD		PURCHASE		VARIOUS
12/08/09	150,000	137,102			12,898
54101.222SH	MAINSTAY HIGH YIELD		PURCHASE		VARIOUS
1/11/10	310,000	277,588			32,412
28795.812SH	MAINSTAY HIGH YIELD		PURCHASE		VARIOUS
1/11/10	165,000	147,748			17,252
89117.541SH	MAINSTAY HIGH YIELD		PURCHASE		VARIOUS
5/06/10	510,644	470,630			40,014
26814.159SH	MAINSTAY HIGH YIELD		PURCHASE		VARIOUS
7/07/10	152,037	150,967			1,070
5208.333SH	VANGUARD TOTAL INTL		PURCHASE		VARIOUS
11/06/09	75,000	62,023			12,977
21367.521SH	VANGUARD TOTAL INTL		PURCHASE		VARIOUS
9/01/10	300,000	276,036			23,964
778.008SH	VANGUARD MID CAP INDEX		PURCHASE		VARIOUS
1/11/10	60,000	51,421			8,579
2480.916SH	VANGUARD MID CAP INDEX		PURCHASE		VARIOUS
3/09/10	195,000	163,971			31,029
3614.893SH	VANGUARD MID CAP INDEX		PURCHASE		VARIOUS
4/06/10	300,000	238,927			61,073
1582.278SH	VANGUARD SMALL CAP INDEX		PURCHASE		VARIOUS
1/11/10	45,000	33,350			11,650
5401.756SH	VANGUARD SMALL CAP INDEX		PURCHASE		VARIOUS
3/09/10	160,000	113,854			46,146
2406.16SH	VANGUARD SMALL CAP INDEX		PURCHASE		VARIOUS
4/06/10	75,000	50,719			24,281

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description			How Received	Whom Sold	Date Acquired
Date Sold	Sale Price	Cost	Expense	Depreciation	Net Gain / Loss
5133.141SH VANGUARD SMALL CAP INDEX			PURCHASE		VARIOUS
4/06/10	\$ 160,000	\$ 108,200	\$	\$	51,800
7672.634SH VANGUARD SMALL CAP INDEX			PURCHASE		VARIOUS
7/07/10	210,000	161,730			48,270
2998.8SH VANGUARD TIPS			PURCHASE		VARIOUS
2/05/10	75,000	73,147			1,853
31387.445SH VANGUARD TIPS			PURCHASE		VARIOUS
2/05/10	785,000	765,609			19,391
8761.206SH VANGUARD TIPS			PURCHASE		VARIOUS
4/06/10	215,000	213,709			1,291
29515.939SH VANGUARD TIPS			PURCHASE		VARIOUS
5/06/10	750,000	719,970			30,030
13774.105SH VANGUARD TIPS			PURCHASE		VARIOUS
5/06/10	350,000	335,986			14,014
20907.298SH VANGUARD TIPS			PURCHASE		VARIOUS
6/04/10	530,000	509,983			20,017
20643.112SH VANGUARD TIPS			PURCHASE		VARIOUS
7/07/10	520,000	503,628			16,372
3969.829SH VANGUARD TIPS			PURCHASE		VARIOUS
7/07/10	100,000	96,852			3,148
17864.232SH VANGUARD TIPS			PURCHASE		VARIOUS
7/07/10	450,000	435,832			14,168
TOTAL	\$ 10,357,681	\$ 9,448,951	\$ 0	\$ 0	\$ 908,730

Statement 2 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INVESTMENT FEES NYLIM	\$ 31,210	\$ 31,210	\$	\$
TOTAL	\$ 31,210	\$ 31,210	\$ 0	\$ 0

Statement 3 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
PREPAID TAX	\$ 15,000	\$	\$	\$
TOTAL	\$ 15,000	\$ 0	\$ 0	\$ 0

Federal Statements

Statement 4 - Form 990-PF, Part I, Line 23 - Other Expenses

Description				
	Total	Net Investment	Adjusted Net	Charitable Purpose
	\$	\$	\$	\$
EXPENSES				
FEES AND MISCELLANEOUS	2,754			
TOTAL	\$ 2,754	\$ 0	\$ 0	\$ 0

Federal Statements

Statement 5 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
MAINSTAY INDEXED BOND FUND	\$ 3,151,077	\$ 2,109,144		\$ 2,231,385
MAINSTAY HIGH YIELD CORP BD FUND	996,953			
MAINSTAY S&P 500 INDEX FUND	6,497,570	6,463,239		7,954,290
VANGUARD TOTAL INTL STOCK FUND	1,130,216	3,033,013		3,509,969
VANGUARD SMALL CAP INDEX	608,523	625,890		763,323
VANGUARD MID CAP INDEX	1,251,487	1,383,624		1,633,062
VANGUARD INFLATION PROTECTED SEC	4,085,686	3,362,319		3,533,636
TOTAL	\$ 17,721,512	\$ 16,977,229		\$ 19,625,665

Form 990-PF, Part XV, Line 2b - Application Format and Required Contents

Description

SEE STATEMENT 6

Form 990-PF, Part XV, Line 2c - Submission Deadlines

Description

SEE STATEMENT 6

Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations

Description

SEE STATEMENT 6

Federal Statements**Taxable Interest on Investments**

<u>Description</u>	<u>Amount</u>	<u>Unrelated Business Code</u>	<u>Exclusion Code</u>	<u>Postal Code</u>
U.S. BANK CHECKING	\$ 86		14	
U.S. BANK MONEY MARKET	1,142		14	
MAINSTAY CASH RESERVE	924		14	
TOTAL	<u>\$ 2,152</u>			

Taxable Dividends from Securities

<u>Description</u>	<u>Amount</u>	<u>Unrelated Business Code</u>	<u>Exclusion Code</u>	<u>Postal Code</u>
MAINSTAY S&P 500 INDEX	\$ 116,358		14	
VANGUARD TOTAL INTERNATIONAL	30,857		14	
VANGUARD SMALL CAP INDEX	10,221		14	
VANGUARD MID CAP INDEX	16,456		14	
MAINSTAY INDEXED BOND FD	87,449		14	
MAINSTAY HI YLD CORP BOND FD	31,976		14	
VANGUARD TIPS	151,349		14	
TOTAL	<u>\$ 444,666</u>			

**FRANK G. & FRIEDA K. BROTZ
FAMILY FOUNDATION, INC.
2009 FORM 990-PF
EIN: 39-6060552**

STATEMENT 6

Page 10, Part XV, Item 2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

- a.) The name, address, and telephone number of the person to whom applications should be addressed:

*Frank G. & Frieda K. Brotz Family Foundation, Inc.
Attention: Grants Committee
3518 Lakeshore Road
Sheboygan, Wisconsin 53083
Telephone: 920-458-2121*

- b.) The form in which applications should be submitted and information and materials they should include:

A written letter giving details of the applicant, its purpose, its intended use of any grant, evidence that shows the applicant is qualified under Section 170(c) of the Internal Revenue Code.

- c.) Any submission deadlines:

None, the grants committee of this private foundation meets periodically.

- d.) Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

This private foundation's grants are made to public supported Section 170(c) Internal Revenue Code qualified organizations; primarily in Wisconsin. No grants to individuals or organizations that require "expenditure responsibility under Treasury Regulations".

Frank G. & Frieda K. Brotz Family Foundation, Inc.

Grants made FYE 9/30/2010

NAME	Address	AMOUNT	DATE	PURPOSE
Above & Beyond Children's Museum	Sheboygan, Wisconsin 53081	\$10,000.00	9/21/2010	Matching grant from Efromyson Family Fdn.
Above & Beyond Children's Museum	Sheboygan, Wisconsin 53081	\$10,000.00	1/12/2010	Continued support and matching gift goal
American Red Cross	Sheboygan, Wisconsin 53081	\$1,000.00	5/18/2010	Putt for Red Cross
Archdiocese of Milwaukee	Milwaukee, Wisconsin 53201	\$100,000.00	10/9/2009	Pledge 2 of 5 - Faith in Our Future Campaign
Bay-Lakes Council - Boy Scouts of America	Appleton, Wisconsin 54912	\$5,000.00	5/18/2010	Continued support
Bay-Lakes Council - Boy Scouts of America	Appleton, Wisconsin 54912	\$15,000.00	12/16/2009	Upgrade of Camp Rokilio facilities
Big Brothers & Big Sisters of Sheb. Cty	Sheboygan, Wisconsin 53081	\$10,000.00	12/16/2009	Continued support
Bookworm Gardens	Sheboygan, Wisconsin 53081	\$50,000.00	5/24/2010	Pledge 1 of 3-The Magic Treehouse
Boy & Girls Club of Sheboygan County	Sheboygan, Wisconsin 53081	\$10,000.00	12/16/2009	Continued support
Camp Anokijig	Plymouth, Wisconsin 53073	\$10,000.00	9/21/2010	Camp scholarship fund to assist low-income families
Camp Evergreen Corporation	Sheboygan, Wisconsin 53081	\$5,000.00	6/11/2010	Continued support
Catholic Charities of Sheboygan	Sheboygan, Wisconsin 53081	\$25,000.00	12/16/2009	Pledge 2 of 4 -Support Sheb. County Programs
Catholic Relief Services	Baltimore, Maryland 21201	\$10,000.00	5/18/2010	Haiti relief
Children's Hospital Foundation	Milwaukee, Wisconsin 53201	\$50,000.00	12/16/2009	Pledge 4 of 7 - Brotz Chapel
Children's Hospital of Wisconsin	Milwaukee, Wisconsin 53201	\$10,000.00	9/23/2010	Louie's Last Regatta Event
Christ Child Academy	Sheboygan, Wisconsin 53081	\$25,000.00	10/9/2009	Pledge 2 of 2 - Construct 4 classrooms
Circle of Friends	Kohler, Wisconsin 53044	\$10,000.00	5/18/2010	Continued support
DISMAS	Milwaukee, Wisconsin 53201	\$500.00	12/16/2009	Continued support
Environmental Park Trust of Sheb. County	Sheboygan, Wisconsin 53081	\$25,000.00	10/9/2009	Pledge 5 of 5 - Brotz Ecology Hall
Foundation for Religious Retirement	St. Francis, Wisconsin 53235	\$1,000.00	9/21/2010	Continued support
Friends of St. Nicholas Hospital	Sheboygan, Wisconsin 53081	\$2,500.00	11/13/2009	12th Annual St. Nicholas Eve-charity care
Great Lakes Spaceport Education	Sheboygan, Wisconsin 53081	\$10,000.00	5/18/2010	Continued support
Great Marriages for Sheboygan County	Sheboygan, Wisconsin 53081	\$500.00	9/21/2010	Continued support
Guide Dog Foundation	Smithtown, New York 11787	\$500.00	9/21/2010	Continued support
Herreshoff Marine Museum	Bristol, Rhode Island 02809	\$1,000.00	12/16/2009	2009 Annual Fund
Junior Achievement	Sheboygan, Wisconsin 53081	\$500.00	5/18/2010	Continued support
Kohler School District Foundation, Inc.	Kohler, Wisconsin 53044	\$40,000.00	10/9/2009	Pledge 2 of 3 - Distance Learning Lab
Lakeland College	Sheboygan, Wisconsin 53081	\$11,000.00	7/15/2010	Movers & Shakers Gala-auction
Lakeland College	Sheboygan, Wisconsin 53081	\$1,000.00	6/21/2010	Movers & Shakers Gala-auction
Lakeland College	Sheboygan, Wisconsin 53081	\$10,000.00	5/17/2010	Movers & Shakers Gala
Lakeland College	Sheboygan, Wisconsin 53081	\$2,000.00	4/30/2010	Movers & Shakers Gala
Lakeland College	Sheboygan, Wisconsin 53081	\$25,000.00	2/16/2010	Movers & Shakers Gala-Dinner Sponsorship
Lake Country Academy	Sheboygan, Wisconsin 53081	\$100,000.00	2/1/2010	Pledge 1 of 5-Sesquicentennial Campaign
Lakeshore Technical College	Sheboygan, Wisconsin 53081	\$50,000.00	8/16/2010	Pledge 1 of 2-Stage equipment
Marquette University Law School	Cleveland, Wisconsin 53015	\$5,000.00	5/18/2010	2009-10 Annual Fund Campaign
Meals on Wheels of Sheboygan County, Inc	Milwaukee, Wisconsin 53201	\$100,000.00	10/9/2009	Pledge 2 of 5 - New law school facility
Medical College of Wisconsin, Inc	Sheboygan, Wisconsin 53081	\$10,000.00	12/16/2009	Continued support
Milwaukee School of Engineering	Milwaukee, Wisconsin 53201	\$25,000.00	10/9/2009	Pledge 1 of 5 - Annual Fund for Excellence
Optimist Club of Sheboygan	Sheboygan, Wisconsin 53081	\$10,000.00	4/14/2010	2009-10 Annual Scholarship Fund
		\$500.00	6/21/2010	Back to School Basics School Supply Giveaway

NAME	Address	AMOUNT	DATE	PURPOSE
Rainbow Kids	Sheboygan, Wisconsin 53081	\$1,000.00	5/18/2010	Continued support
Rawhide	New London, Wisconsin 54961	\$2,500.00	9/21/2010	Continued support
RCS Empowers, Inc	Sheboygan, Wisconsin 53081	\$250,000.00	10/9/2009	Pledge 1 of 5 - Improvements to RCS Campus
Riveredge Nature Center	Newburg, Wisconsin 53060	\$1,000.00	9/21/2010	Continued support
Roncalli High School	Manitowoc, Wisconsin 54220	\$10,000.00	10/9/2009	Pledge 4 of 5 - Upgrade school buses
Safe Harbor Domestic Abuse Shelter	Sheboygan, Wisconsin 53081	\$10,000.00	9/21/2010	Continued support
Sail Sheboygan Ltd	Sheboygan, Wisconsin 53081	\$100,000.00	10/9/2009	Site improvements and purchase additional sailboats
Saint Norbert College	DePere, Wisconsin 54115	\$10,000.00	12/16/2009	Pledge 5 of 5 - Strategic Funding Initiative
The Salvation Army	Sheboygan, Wisconsin 53081	\$50,000.00	5/24/2010	Pledge 1 of 6-new construction and renovation
The Salvation Army	Sheboygan, Wisconsin 53081	\$5,000.00	12/16/2009	Continued support
Sharon S. Richardson Hospice Home	Sheboygan Falls, Wisconsin 53085	\$50,000.00	10/9/2009	Pledge 6 of 7 - Building campaign
Sheboygan Area Lutheran High	Sheboygan, Wisconsin 53081	\$25,000.00	5/24/2010	Pledge 5 of 5-Leading the Way Capital Campaign
Sheboygan Area School District	Sheboygan, Wisconsin 53081	\$500.00	5/18/2010	Summer Playground Partnership
Sheboygan Arts Foundation, Inc.	Sheboygan, Wisconsin 53081	\$50,000.00	10/9/2009	Pledge 4 of 5 - Continuing support
Sheboygan County Aviation Corporation	Sheboygan Falls, Wisconsin 53085	\$10,000.00	8/2/2010	Fall 2010 semester educational program
Sheboygan County Aviation Corporation	Sheboygan Falls, Wisconsin 53085	\$10,000.00	11/18/2009	Pledge 2 of 6 - Educational programs
Sheboygan County Christian High School	Sheboygan, Wisconsin 53081	\$25,000.00	10/9/2009	Gymnasium renovation
Sheb. County Historical Research Center	Sheboygan Falls, Wisconsin 53085	\$500.00	9/21/2010	Continued support
Sheboygan County Historical Society	Sheboygan, Wisconsin 53081	\$25,000.00	10/9/2009	Pledge 2 of 4 - Support Exhibit Restoration Center
Sheboygan County Humane Society	Sheboygan, Wisconsin 53081	\$2,000.00	6/11/2010	Parking lot repairs
Sheboygan County Humane Society	Sheboygan, Wisconsin 53081	\$1,500.00	12/16/2009	Continued support
Sheboygan County Interfaith Organization	Sheboygan, Wisconsin 53081	\$10,000.00	5/18/2010	Bridgeway & Beyond Transitional Living Program
Sheboygan County YMCA	Sheboygan, Wisconsin 53081	\$10,000.00	12/16/2009	2009 Partner with Youth Program
Sheboygan Food Pantry	Sheboygan, Wisconsin 53081	\$5,000.00	12/16/2009	Continued support
Sheboygan Theater Company	Sheboygan, Wisconsin 53081	\$1,000.00	5/18/2010	Continued support
Sheboygan Youth Sailing Club, Inc	Sheboygan, Wisconsin 53081	\$17,380.00	3/24/2010	Purchase 2-420 Racing Sailboats
Silver Lake College	Manitowoc, Wisconsin 54220	\$5,000.00	4/14/2010	Brotz Endowment Fund
Silver Lake College	Manitowoc, Wisconsin 54220	\$5,000.00	4/14/2010	Annual Fund
Sinsinawa Dominicans	Sinsinawa, Wisconsin 53824	\$10,000.00	9/21/2010	Replace carpeting in dining room
Society of St. Vincent DePaul	Sheboygan, Wisconsin 53081	\$25,000.00	5/24/2010	Pledge 8 of 8-School Tuition Assistance Program
Society of St. Vincent DePaul	Sheboygan, Wisconsin 53081	\$7,500.00	12/16/2009	Continued support
Theater for Young Audiences	Sheboygan, Wisconsin 53081	\$2,500.00	9/21/2010	Continued support
US Business & Industry Educ Fdn.	Washington, DC 20002	\$1,000.00	5/18/2010	Continued support
Unity Walk/Run	Sheboygan, Wisconsin 53081	\$2,500.00	4/14/2010	4th Annual Unity Walk/Run for Sheb. Catholic Educ
UW-Sheboygan	Sheboygan, Wisconsin 53081	\$5,000.00	6/11/2010	4 Science Scholarships (Fall semester)
UW-Sheboygan	Sheboygan, Wisconsin 53081	\$3,750.00	3/11/2010	3 Science Scholarships (Spring semester)
Weill Center Foundation, Inc.	Sheboygan, Wisconsin 53081	\$25,000.00	10/9/2009	Pledge 4 of 4 - Support Stephanie H. Weill Center
Wisconsin Council of the Blind, Inc	Madison, Wisconsin 53703	\$250.00	12/16/2009	Continued support
Wisconsin Dental Assoc. Fd.	West Allis, Wisconsin 53214	\$10,000.00	5/18/2010	WI Mission of Mercy-free dental care for needy
Wisconsin Fdn for Independent Colleges	Milwaukee, Wisconsin 53201	\$10,000.00	921/10	Continued support
Wisconsin Maritime Museum	Manitowoc, Wisconsin 54220	\$50,000.00	5/24/2010	Pledge 1 of 3-Operating Challenge
Wisconsin Right to Life Education Fund	Milwaukee, Wisconsin 53201	\$5,000.00	9/21/2010	Continued support
TOTAL		\$1,636,880.00		

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

Department of the Treasury
Internal Revenue Service► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension-check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print File by the due date for filing your return. See instructions	Name of exempt organization FRANK G. & FRIEDA K. BROTZ FAMILY FOUNDATION, INC.	Employer identification number 39-6060552
	Number, street, and room or suite no. If a P O box, see instructions 3518 LAKESHORE ROAD	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions SHEBOYGAN WI 53083	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► **FOUNDATION SECRETARY**
Telephone No ► **920-458-2121** FAX No ► **920-451-3805**
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **05/15/11**, to file the exempt organization return for the organization named above. The extension is for the organization's return for

- ☐ calendar year or
 ► ☒ tax year beginning **10/01/09**, and ending **09/30/10**

2 If this tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	20,000
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	31,505
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	0

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing your return See instructions	Name of exempt organization FRANK G. & FRIEDA K. BROTZ FAMILY FOUNDATION, INC.	Employer identification number 39-6060552
	Number, street, and room or suite no. If a P O box, see instructions 3518 LAKESHORE ROAD	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions SHEBOYGAN WI 53083	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of **FOUNDATION SECRETARY**
Telephone No **920-458-2121** FAX No. **920-451-3805**
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **08/15/11**

5 For calendar year _____, or other tax year beginning **10/01/09**, and ending **09/30/10**

6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return

☐ Change in accounting period

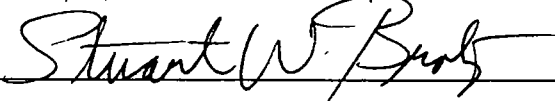
7 State in detail why you need the extension

ADDITIONAL TIME IS REQUESTED TO GATHER INFORMATION TO PREPARE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	25,000
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$	31,505
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$	0

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature 

Title **President**

Date **05/09/11**

Form **8868** (Rev 1-2011)