



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2009

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning OCT 1, 2009, and ending SEP 30, 2010

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☒ Address change ☐ Name change

Use the IRS label Otherwise, print or type. See Specific Instructions	Name of foundation THE MULLEN FAMILY FOUNDATION, INC.		A Employer identification number 36-4270223
	C/O HARRISON & HELD, LLP		B Telephone number 3123321111
	Number and street (or P O box number if mail is not delivered to street address)	Room/suite	
	333 W. WACKER DR.	1700	
City or town, state, and ZIP code CHICAGO, IL 60606		C If exemption application is pending, check here ☐ D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 3,974,604.52 (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		477.42	477.42		STATEMENT 1
4 Dividends and interest from securities		144,378.33	144,378.33		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		68,421.16			
b Gross sales price for all assets on line 6a		6,045,512.72			
7 Capital gain net income (from Part IV, line 2)			68,421.16		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		213,276.91	213,276.91		
13 Compensation of officers, directors, trustees, etc		0.00	0.00		0.00
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees STMT 3		13,605.68	13,605.68		0.00
17 Interest					
18 Taxes STMT 4		125.45	0.00		0.00
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		27.69	17.69		10.00
24 Total operating and administrative expenses Add lines 13 through 23		13,758.82	13,623.37		10.00
25 Contributions, gifts, grants paid		167,500.00			167,500.00
26 Total expenses and disbursements Add lines 24 and 25		181,258.82	13,623.37		167,510.00
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		32,018.09			
b Net investment income (if negative, enter -0-)			199,653.54		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	<0.24>			
	2 Savings and temporary cash investments	77,147.96	262,642.71	262,642.71	
	3 Accounts receivable ▶				
	Less: allowance for doubtful accounts ▶				
	4 Pledges receivable ▶				
	Less: allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ▶				
	Less: allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations				
	b Investments - corporate stock STMT 6	3,673,073.98	1,412,003.61	1,501,616.27	
	c Investments - corporate bonds				
Liabilities	11 Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶				
	12 Investments - mortgage loans				
	13 Investments - other STMT 7	0.00	2,080,095.64	2,210,345.54	
	14 Land, buildings, and equipment, basis ▶				
	Less accumulated depreciation ▶				
	15 Other assets (describe ▶ STATEMENT 8)	0.00	27,497.83	0.00	
	16 Total assets (to be completed by all filers)	3,750,221.70	3,782,239.79	3,974,604.52	
	17 Accounts payable and accrued expenses				
	18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	0.00	0.00			
Foundations that follow SFAS 117, check here ▶ ☐	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒	27 Capital stock, trust principal, or current funds	6,454,482.00	6,454,482.00	
		28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.00	0.00	
		29 Retained earnings, accumulated income, endowment, or other funds	<2,704,260.30>	2,672,242.21	
		30 Total net assets or fund balances	3,750,221.70	3,782,239.79	
	31 Total liabilities and net assets/fund balances	3,750,221.70	3,782,239.79		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,750,221.70
2 Enter amount from Part I, line 27a	2	32,018.09
3 Other increases not included in line 2 (itemize) ▶	3	0.00
4 Add lines 1, 2, and 3	4	3,782,239.79
5 Decreases not included in line 2 (itemize) ▶	5	0.00
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,782,239.79

Form 990-PF (2009)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 222,922.29		154,501.13	68,421.16

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			68,421.16

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	68,421.16
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	280,282.42	3,440,915.27	.081456
2007	275,000.00	4,451,225.30	.061781
2006	345,635.00	4,731,853.00	.073044
2005	242,287.00	4,539,165.00	.053377
2004	416,902.00	4,409,779.00	.094540

2 Total of line 1, column (d)	2	.364198
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.072840
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	4,538,575.65
5 Multiply line 4 by line 3	5	330,589.85
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,996.54
7 Add lines 5 and 6	7	332,586.39
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	167,510.00

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	3,993.07
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.00
3 Add lines 1 and 2		3	3,993.07
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.00
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	3,993.07
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	5,513.77	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	5,513.77	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,520.70	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ☐ 1,520.70 Refunded ☐	11	0.00	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0.00 (2) On foundation managers. \$ 0.00		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.00		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>ALICIA MULLEN</u> Telephone no ► <u>3129438072</u> Located at ► <u>1955 N BURLING STREET, CHICAGO, IL</u> ZIP+4 ► <u>60614</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No	
If "Yes," list the years ► _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b****X**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		0.00	0.00	0.00

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000**0**

Form 990-PF (2009)

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Total number of Others receiving over \$50,000 for professional services	
Part IX-A	Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B	Summary of Program-Related Investments
------------------	---

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

Describe the two largest program-related investments made by the foundation during the last year of the reporting period.	
1	N/A
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.00

Form **990-PF** (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,100,695.21
b	Average of monthly cash balances	1b	296,650.27
c	Fair market value of all other assets	1c	2,210,345.54
d	Total (add lines 1a, b, and c)	1d	4,607,691.02
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.00
2	Acquisition indebtedness applicable to line 1 assets	2	0.00
3	Subtract line 2 from line 1d	3	4,607,691.02
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	69,115.37
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,538,575.65
6	Minimum investment return. Enter 5% of line 5	6	226,928.78

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	226,928.78
2a	Tax on investment income for 2009 from Part VI, line 5	2a	3,993.07
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	3,993.07
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	222,935.71
4	Recoveries of amounts treated as qualifying distributions	4	0.00
5	Add lines 3 and 4	5	222,935.71
6	Deduction from distributable amount (see instructions)	6	0.00
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	222,935.71

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	167,510.00
b	Program-related investments - total from Part IX-B	1b	0.00
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	167,510.00
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.00
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	167,510.00

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				222,935.71
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.00	
b Total for prior years:		0.00		
3 Excess distributions carryover, if any, to 2009:				
a From 2004	200,599.00			
b From 2005	28,640.00			
c From 2006	109,042.00			
d From 2007	59,871.38			
e From 2008	112,171.82			
f Total of lines 3a through e	510,324.20			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$	167,510.00			
a Applied to 2008, but not more than line 2a			0.00	
b Applied to undistributed income of prior years (Election required - see instructions)		0.00		
c Treated as distributions out of corpus (Election required - see instructions)	0.00			
d Applied to 2009 distributable amount				167,510.00
e Remaining amount distributed out of corpus	0.00			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	55,425.71			55,425.71
6 Enter the net total of each column as indicated below:	454,898.49			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.00		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.00		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.00		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.00	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.00
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.00			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	145,173.29			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	309,725.20			
10 Analysis of line 9:				
a Excess from 2005	28,640.00			
b Excess from 2006	109,042.00			
c Excess from 2007	59,871.38			
d Excess from 2008	112,171.82			
e Excess from 2009				

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
FRANCIS W. PARKER SCHOOL CHICAGO IL	NONE		AID TO CARRY OUT ORGANIZATION'S EXEMPT FUNCTION	100,000.00
NANTUCKET CHILDRENS CHARITY	NONE		AID TO CARRY OUT ORGANIZATION'S EXEMPT FUNCTION	15,000.00
US SKI & SNOWBOARDING TEAM FOUNDATION	NONE		AID TO CARRY OUT ORGANIZATION'S EXEMPT FUNCTION	20,000.00
RAY'S BASEBALL FOUNDATION	NONE		AID TO CARRY OUT ORGANIZATION'S EXEMPT FUNCTION	30,000.00
MEMORIAL SLOAN KETTERING CANCER CENTER	NONE		AID TO CARRY OUT ORGANIZATION'S EXEMPT FUNCTION	1,500.00
JONATHAN'S PLACE	NONE		AID TO CARRY OUT ORGANIZATION'S EXEMPT FUNCTION	1,000.00
Total			► 3a	167,500.00
b Approved for future payment				
NONE				
Total			► 3b	0.00

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | | |
|----------|--|--------------|------------|-----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) | Cash | 1a(1) | | X |
| (2) | Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| (1) | Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) | Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) | Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) | Reimbursement arrangements | 1b(4) | | X |
| (5) | Loans or loan guarantees | 1b(5) | | X |
| (6) | Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

PRESIDENT

Preparer's
signature

M. A. Wil

Date

8-10-11

Check if self-employee	
------------------------	--

Preparer's identifying number

Firm's name (or yours if self-employed), address, and ZIP code

HARRISON & HELD, LLP
333 W. WACKER DR., SUITE 1700
CHICAGO IL 60606

FIN ▶

Phone no. 312-332-1111

Form **990-PF** (2009)

Sign Here

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UBS SHORT TERM	P		
b	UBS LONG TERM	P		
c	ML ACCT. 628-07477 LONG TERM	P		
d	ML ACCT. 628-07477 SHORT TERM	P		
e	ML ACCT. 628-07474 SHORT TERM	P		
f	ML ACCT. 628-07473 SHORT TERM	P		
g	ML ACCT. 628-07472 SHORT TERM	P		
h	ML ACCT. 62807471 SHORT TERM	P		
i	ML ACCT. 628-07460 LONG TERM	P		
j	ML ACCT. 628-07460 SHORT TERM	P		
k	ML ACCT. -7473 RTN OF CAP.	P		
l	ML ACCT. - 7473 C-I-L	P		
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 106,057.45			106,057.45
b		135,940.02	<135,940.02>
c		245.09	<245.09>
d 10,772.45			10,772.45
e 6,015.56			6,015.56
f 6,982.23			6,982.23
g 6,709.36			6,709.36
h		7,284.86	<7,284.86>
i		11,031.16	<11,031.16>
j 86,309.34			86,309.34
k 22.59			22.59
l 53.31			53.31
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			106,057.45
b			<135,940.02>
c			<245.09>
d			10,772.45
e			6,015.56
f			6,982.23
g			6,709.36
h			<7,284.86>
i			<11,031.16>
j			86,309.34
k			22.59
l			53.31
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	68,421.16
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
MERRILL LYNCH - MULTIPLE ACCTS.	477.42
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	477.42

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
ACCT. -7474 OPTIONAL DIV. REINVEST.	730.38	0.00	730.38
MERRILL LYNCH TAX EXEMPT	94.94	0.00	94.94
UBS AND MERRILL LYNCH	143,553.01	0.00	143,553.01
TOTAL TO FM 990-PF, PART I, LN 4	144,378.33	0.00	144,378.33

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	13,605.68	13,605.68		0.00
TO FORM 990-PF, PG 1, LN 16C	13,605.68	13,605.68		0.00

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX PAID	125.45	0.00		0.00
TO FORM 990-PF, PG 1, LN 18	125.45	0.00		0.00

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
SECRETARY OF STATE	10.00	0.00		10.00	
ACCOUNT SERVICE FEES	17.69	17.69		0.00	
TO FORM 990-PF, PG 1, LN 23	27.69	17.69		10.00	

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
UBS A/C#Y4 3737888	0.00	0.00		
ML ACCT. 628-07471	498,660.48	543,640.37		
ML ACCT. 628-07472	503,623.50	529,267.75		
ML ACCT. 628-07473	198,835.51	207,368.17		
ML ACCT. 628-07474	210,884.12	221,339.98		
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,412,003.61	1,501,616.27		

FORM 990-PF	OTHER INVESTMENTS		STATEMENT	7
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE	
ML ACCT. 628-07476 - INT. EQUITY	COST	441,999.38	467,919.77	
ML ACCT. 628-07477 - FIXED INCOME	COST	1,240,099.26	1,341,222.88	
ML ACCT. 628-04062 - TEI FUND	COST	397,997.00	401,202.89	
TOTAL TO FORM 990-PF, PART II, LINE 13		2,080,095.64	2,210,345.54	

FORM 990-PF	OTHER ASSETS	STATEMENT	8
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
9/30/2010 BASIS ADJUSTMENT	0.00	27,497.83	0.00
TO FORM 990-PF, PART II, LINE 15	0.00	27,497.83	0.00

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	9
-------------	---	-----------	---

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
TIMOTHY MULLEN 1955 N. BURLING STREET CHICAGO, IL 60614	DIR./PRESIDENT/TREASURER 3.00	0.00	0.00	0.00
ALICIA MULLEN 1955 N. BURLING STREET CHICAGO, IL 60614	DIR./VICE PRES./SECRETARY 1.00	0.00	0.00	0.00
MARY L. MULLEN 1955 N. BURLING STREET CHICAGO, IL 60614	DIRECTOR 1.00	0.00	0.00	0.00
JOSEPH MULLEN 1955 N. BURLING STREET CHICAGO, IL 60614	DIRECTOR 1.00	0.00	0.00	0.00
LOUIS S. HARRISON 333 W. WACKER DR., SUITE 1700 CHICAGO, IL 60606	ASST. SECRETARY 1.00	0.00	0.00	0.00
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.00	0.00	0.00



Prepared for MULLEN FAMILY FOUNDATION
Y4 37,178 • Foundation • Basic Retail
Risk profile Moderate
Return objective Current Income and Capital Appreciation

Realized gain/(loss) from 10/01/2009 to 12/31/2009

Quantity	Description	Purchase Date	Purchase Amount	Sale Date	Sale Amount	Gain or Loss		
						Realized Gain/(Loss)	Percent Gain/(Loss)	Term
30.00	BERKSHIRE HATHAWAY INC **RECAPITALIZATION EFF: 01/2010** CL B	07/30/2009	95,898.54	11/04/2009	103,092.99	7,194.45	7.50%	S
3,000.00	BLACKROCK GLOBAL FLOATING RATE INCOME TRUST	05/20/2008	46,614.16	10/27/2009	38,400.46	-8,213.70	-17.62%	L
3,200.00	BLACKROCK GLOBAL FLOATING RATE INCOME TRUST	05/21/2008	49,857.85	10/27/2009	40,980.49	-8,877.36	-17.85%	L
1,500.00	BLACKROCK GLOBAL FLOATING RATE INCOME TRUST	05/19/2009	14,990.25	10/27/2009	19,200.23	4,209.98	28.08%	S
4,000.00	EATON VANCE LTD DURATION INCOME FUND	11/20/2007	59,637.05	10/27/2009	57,585.20	-2,051.85	-3.44%	L
2,000.00	EATON VANCE LTD DURATION INCOME FUND	12/28/2007	30,245.25	10/27/2009	28,792.60	-1,452.65	-4.80%	L
3,400.00	EATON VANCE LTD DURATION INCOME FUND	03/03/2008	50,062.55	10/27/2009	48,947.42	-1,115.13	-2.23%	L
3,000.00	EATON VANCE LTD DURATION INCOME FUND	05/20/2008	45,482.25	10/27/2009	43,188.90	-2,293.35	-5.04%	L
3,000.00	EATON VANCE LTD DURATION INCOME FUND	05/19/2009	36,058.29	10/27/2009	43,188.90	7,130.61	19.78%	S
8,000.00	EATON VANCE TAX ADVANTAGED DIVID INCOME FUND	05/19/2009	92,057.67	10/27/2009	121,438.13	29,380.46	31.92%	S
2,500.00	EATON VANCE TAX ADVANTAGED DIVID INCOME FUND	07/14/2009	30,490.18	10/27/2009	37,949.41	7,459.23	24.46%	S
4,000.00	EATON VANCE TAX MANAGED GLOBAL BUY WRITE OPPORTUNITIES FUND	11/20/2007	67,546.84	10/27/2009	53,130.97	-14,415.87	-21.34%	L
2,000.00	EATON VANCE TAX MANAGED GLOBAL BUY WRITE OPPORTUNITIES FUND	12/28/2007	34,225.25	10/27/2009	26,565.48	-7,659.77	-22.38%	L
2,000.00	EATON VANCE TAX MANAGED GLOBAL BUY WRITE OPPORTUNITIES FUND	01/18/2008	34,579.45	10/27/2009	26,565.48	-8,013.97	-23.18%	L
3,000.00	EATON VANCE TAX MANAGED GLOBAL BUY WRITE OPPORTUNITIES FUND	03/03/2008	49,976.25	10/27/2009	39,848.22	-10,128.03	-20.27%	L
3,000.00	EATON VANCE TAX MANAGED GLOBAL BUY WRITE OPPORTUNITIES FUND	05/20/2008	51,092.25	10/27/2009	39,848.22	-11,244.03	-22.01%	L
3,500.00	EATON VANCE TAX MANAGED GLOBAL BUY WRITE OPPORTUNITIES FUND	05/19/2009	37,840.25	10/27/2009	46,489.60	8,649.35	22.86%	S
2,500.00	EATON VANCE TAX MANAGED GLOBAL BUY WRITE OPPORTUNITIES FUND	07/14/2009	29,815.25	10/27/2009	33,206.85	3,391.60	11.38%	S
3,000.00	EATON VANCE TAX MANAGED GLOBAL BUY WRITE OPPORTUNITIES FUND	10/28/2007	51,472.10	10/27/2009	37,693.24	-13,778.86	-26.77%	L
2,000.00	EATON VANCE TAX MANAGED GLOBAL BUY WRITE OPPORTUNITIES FUND	12/28/2007	32,845.25	10/27/2009	25,128.83	-7,716.42	-23.49%	L



Prepared for MULLEN FAMILY FOUNDATION

Y4 37378 • Foundation • Basic Retail

Risk profile: Moderate

Return objective: Current Income and Capital Appreciation

Realized gain/(loss) - from 10/01/2009 to 12/31/2009 (continued)

Quantity	Description	Purchase Date	Purchase Amount	Sale Date	Sale Amount	Gain/(Loss)		Term
						Realized	Percent	
						Gain/(Loss)	Gain/(Loss)	
3,000.00	EATON VANCE TAX MANAGED GLOBAL DIVERSIFIED EQUITY INCOME FUND	03/03/2008	49,589.25	10/27/2009	37,693.24	-11,896.01	-23.99%	L
3,000.00	EATON VANCE TAX MANAGED GLOBAL DIVERSIFIED EQUITY INCOME FUND	05/20/2008	51,899.25	10/27/2009	37,693.24	-14,206.01	-27.37%	L
3,000.00	EATON VANCE TAX MANAGED GLOBAL DIVERSIFIED EQUITY INCOME FUND	05/21/2008	50,550.25	10/27/2009	37,693.24	-12,857.01	-25.43%	L
3,500.00	EATON VANCE TAX MANAGED GLOBAL DIVERSIFIED EQUITY INCOME FUND	05/19/2009	37,280.25	10/27/2009	43,975.44	6,695.19	17.96%	S
2,500.00	EATON VANCE TAX MANAGED GLOBAL DIVERSIFIED EQUITY INCOME FUND	07/14/2009	27,176.25	10/27/2009	31,411.03	4,234.78	15.58%	S
31,250.00	WELLS FARGO ADVANTAGE ULTRA SHORT TERM INCOME FUND CLASS A	05/19/2009	250,005.25	11/20/2009	261,557.92	11,552.67	4.62%	S
62,974.65	WELLS FARGO ADVANTAGE ULTRA SHORT TERM INCOME FUND CLASS A	07/30/2009	512,618.51	12/01/2009	527,722.29	15,103.78	2.95%	S
4,592.82	WELLS FARGO ADVANTAGE ULTRA SHORT TERM INCOME FUND CLASS A	07/30/2009	37,386.73	11/20/2009	38,442.08	1,055.35	2.82%	S
TOTAL GAINS:						106,057.45		
TOTAL LOSS:						-135,940.02		
SHORT TERM - TOTAL REALIZED GAIN/(LOSS):						106,057.45		S
LONG TERM - TOTAL REALIZED GAIN/(LOSS):						-135,940.02		L
TOTAL REALIZED GAIN/(LOSS):			1,957,292.67		1,927,410.10	-29,882.57	-1.53%	

EMASM Fiscal Statement

MULLEN FAMILY FOUNDATION

FISCAL YEAR ACTIVITY

REALIZED CAPITAL GAIN AND LOSS SUMMARY - 628-07477

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
2,777.7780	EATON VANCE FL RATE A	07/14/09	05/26/10	25,000.00	22,583.42	2,416.58 ST
6,482.2820	JP MORGAN STRATEGIC	11/20/09	05/26/10	74,438.96	74,805.52	(366.56) ST
5,430.8470	L SAYLES STRAT INCM CL A	07/14/09	05/26/10	74,327.11	65,604.68	8,722.43 ST
3,501.4010	BLKRCK H/Y BD PT CL A	03/20/08	05/26/10	25,000.00	25,245.09	(245.09) LT
						LT (245.09)
						ST 10,772.45

EMATM Fiscal Statement

MULLEN FAMILY FOUNDATION

REALIZED CAPITAL GAIN AND LOSS SUMMARY - 628-07474

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
11.0000	BORG WARNER INC COM	02/08/10	02/17/10	409.30	392.81	16.49 ST
14.0000	BORG WARNER INC COM	02/08/10	02/18/10	517.57	499.94	17.63 ST
6.0000	BARD C R INC	02/08/10	02/18/10	499.43	486.66	12.77 ST
109.0000	CHIMERA INVESTMENT CORP	02/08/10	02/19/10	391.31	439.17	(47.86) ST
17.0000	KELLOGG CO	02/08/10	02/10/10	892.49	887.23	5.26 ST
12.0000	MERCURY GENL CORP NEW	02/08/10	02/19/10	487.68	466.55	21.13 ST
18.0000	SUNTRUST BKS INC COM	02/08/10	02/19/10	413.83	408.55	5.28 ST
26.0000	BB&T CORPORATION	02/08/10	03/15/10	797.22	713.17	84.05 ST
8.0000	BARD C R INC	02/08/10	03/10/10	666.00	648.88	17.12 ST
18.0000	CARDINAL HEALTH INC OHIO	02/08/10	03/04/10	632.72	584.23	48.49 ST
16.0000	CARDINAL HEALTH INC OHIO	02/08/10	03/05/10	565.13	519.32	45.81 ST
15.0000	EQUITY RESIDENTIAL	02/08/10	03/08/10	563.99	492.90	71.09 ST
16.0000	ENDO PHARMACEUTCLS HLDGS	02/08/10	03/24/10	384.15	314.56	69.59 ST
10.0000	GEN-PROBE INC DELAWARE	02/08/10	03/16/10	464.34	429.90	34.44 ST
9.0000	GENERAL MILLS	02/08/10	03/04/10	648.45	621.09	27.36 ST
12.0000	IDEX CORP DELAWARE COM	02/08/10	03/16/10	390.62	353.87	36.75 ST
25.0000	IDEX CORP DELAWARE COM	02/08/10	03/19/10	802.02	737.26	64.76 ST
17.0000	JOHNSON CONTROLS INC	02/08/10	03/05/10	548.94	478.00	70.94 ST
5.0000	LUBRIZOL CORP	02/08/10	03/23/10	448.81	358.50	90.31 ST
11.0000	MERCURY GENL CORP NEW	02/08/10	03/03/10	469.77	427.69	42.08 ST
50.0000	NOKIA CORP SPON ADR	02/08/10	03/18/10	760.36	664.42	95.94 ST
13.0000	NORTH TRUST CORP	02/08/10	03/15/10	703.89	654.68	49.21 ST
45.0000	OLD REPUB INTL CORP	02/08/10	03/08/10	515.24	466.16	49.08 ST
20.0000	POLYCOM INC COM	02/08/10	03/17/10	659.58	464.19	195.39 ST
54.0000	PROLOGIS	02/08/10	03/18/10	784.71	666.36	118.35 ST
30.0000	SUNTRUST BKS INC COM	02/08/10	03/04/10	731.01	680.93	50.08 ST
19.0000	WOLVERINE WORLD WIDE	02/08/10	03/24/10	560.48	479.74	80.74 ST
20.0000	ALLSTATE CORP DEL COM	02/08/10	04/14/10	681.21	571.69	109.52 ST
21.0000	ARCH COAL INC	02/08/10	03/29/10	490.95	427.76	63.19 ST
22.0000	ARCH COAL INC	02/08/10	03/29/10	509.60	448.15	61.45 ST
11.0000	BERKSHIRE HATHAWAY INC	02/08/10	04/20/10	878.83	817.62	61.21 ST
8.0000	KANSAS CITY SOUTHERN	02/08/10	04/19/10	297.50	242.87	54.63 ST
39.0000	NEWALLIANCE BANCSHS INC	02/08/10	04/07/10	486.68	442.65	44.03 ST
11.0000	PROCTER & GAMBLE CO	02/08/10	04/19/10	690.14	674.72	15.42 ST
6.0000	QUESTAR CORP NPV	02/08/10	04/22/10	298.78	241.50	57.28 ST
5.0000	VIACOM INC NEW CL B	02/08/10	04/19/10	172.60	140.70	31.90 ST
22.0000	AKAMAI TECHNOLOGIES INC	02/08/10	04/29/10	833.62	555.06	278.56 ST
13.0000	AKAMAI TECHNOLOGIES INC	02/08/10	05/04/10	499.34	327.99	171.35 ST
15.0000	ADVANCE AUTO PARTS INC	02/08/10	05/25/10	753.40	618.30	135.10 ST

PLEASE SEE REVERSE SIDE

Page 205 of 226
Statement Period Year Ending 09/30/10
Account No. 628-07474

EMA Fiscal Statement

MULLEN FAMILY FOUNDATION

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
16.0000	AON CORP COM	02/08/10	05/14/10	670.54	638.39	32.15 ST
28.0000	AUTODESK INC DEL PV\$0.01	02/08/10	04/28/10	949.44	651.00	298.44 ST
25.0000	BB&T CORPORATION	02/08/10	05/20/10	771.99	685.75	86.24 ST
26.0000	BROWN & BROWN INC FLA	02/08/10	05/04/10	519.70	463.77	55.93 ST
16.0000	CENTURYTEL INC	02/08/10	04/28/10	536.91	553.75	(16.84) ST
14.0000	CVS CAREMARK CORP	02/08/10	05/12/10	503.48	460.73	42.75 ST
4.0000	CHARLES RIVER LABS INTL	02/08/10	04/28/10	135.91	143.07	(7.16) ST
9.0000	DEVON ENERGY CORP NEW	02/08/10	05/17/10	582.05	601.47	(19.42) ST
12.0000	DEERE CO	02/08/10	05/12/10	726.25	594.83	131.42 ST
36.0000	ENCANA CORP	02/08/10	04/30/10	1,199.61	1,103.76	95.85 ST
19.0000	FLOWERS FOODS INC COM	02/08/10	05/19/10	504.87	469.11	35.76 ST
7.0000	HSBC HLDG PLC SP ADR	02/08/10	05/25/10	312.33	352.80	(40.47) ST
76.0000	HUNTING BANCSHS INC MD	04/21/10	05/05/10	497.25	496.28	0.97 ST
22.0000	JOHNSON CONTROLS INC	02/08/10	04/28/10	732.54	618.61	113.93 ST
17.0000	MARRIOTT INTL INC NEW A	02/08/10	05/12/10	615.69	446.08	169.61 ST
11.0000	NORFOLK SOUTHERN CORP	02/08/10	05/05/10	644.30	514.25	130.05 ST
8.0000	NORFOLK SOUTHERN CORP	02/08/10	05/12/10	482.80	374.00	108.80 ST
15.0000	O REILLY AUTOMOTIVE INC	02/08/10	05/12/10	728.88	577.94	150.94 ST
10.0000	PUBLIC STORAGE \$0.10	02/08/10	05/14/10	940.46	770.10	170.36 ST
21.0000	ST MARY LD & EXPL CO	02/08/10	04/30/10	846.78	672.42	174.36 ST
13.0000	ULTRA PETROLEUM CORP	02/08/10	05/12/10	599.13	600.97	(1.84) ST
17.0000	WARNACO GROUP INC CL A	02/18/10	04/28/10	835.46	703.75	131.71 ST
16.0000	WOLVERINE WORLD WIDE	02/08/10	05/12/10	486.00	404.01	81.99 ST
43.0000	ACTIVISION BLIZZARD INC	02/08/10	06/07/10	460.78	439.39	21.39 ST
18.0000	ANALOG DEVICES INC COM	02/08/10	06/03/10	540.09	486.18	53.91 ST
15.0000	ANALOG DEVICES INC COM	02/08/10	06/07/10	424.99	405.15	19.84 ST
3.0000	ANADARKO PETE CORP	02/08/10	06/04/10	137.49	188.88	(51.39) ST
3.0000	ANADARKO PETE CORP	02/08/10	06/09/10	128.57	188.88	(60.31) ST
7.0000	BP PLC SPON ADR	05/04/10	06/09/10	221.47	353.75	(132.28) ST
13.0000	BP PLC SPON ADR	05/04/10	06/22/10	387.17	656.97	(269.80) ST
26.0000	BROWN & BROWN INC FLA	02/08/10	06/09/10	491.74	463.77	27.97 ST
19.0000	BROWN & BROWN INC FLA	02/08/10	06/25/10	376.18	338.91	37.27 ST
8.0000	CAMERON INTL CORP	05/17/10	06/09/10	277.23	296.32	(19.09) ST
12.0000	CAMERON INTL CORP	05/17/10	06/18/10	452.40	444.48	7.92 ST
25.0000	EQUITY RESIDENTIAL	02/08/10	05/26/10	1,090.59	821.50	269.09 ST
35.0000	FRONTIER OIL CORP	02/08/10	06/21/10	496.07	439.95	56.12 ST
7.0000	HSBC HLDG PLC SP ADR	02/08/10	06/23/10	338.79	352.80	(14.01) ST
39.0000	NEWALLIANCE BANCSHS INC	02/08/10	06/25/10	447.96	442.65	5.31 ST
19.0000	NEWALLIANCE BANCSHS INC	02/08/10	06/25/10	219.63	215.65	3.98 ST
10.0000	O REILLY AUTOMOTIVE INC	02/08/10	05/27/10	502.61	385.30	117.31 ST

PLEASE SEE REVERSE SIDE

EMA Fiscal Statement

MULLEN FAMILY FOUNDATION

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
5.0000	O REILLY AUTOMOTIVE INC	02/08/10	06/01/10	255.49	192.65	62.84 ST
4.0000	O REILLY AUTOMOTIVE INC	02/08/10	06/02/10	202.01	154.13	47.88 ST
10.0000	PEOPLES UNITED FNL INC	02/08/10	06/22/10	145.09	153.88	(8.79) ST
9.0000	SCHLUMBERGER LTD	06/01/10	06/22/10	535.49	469.06	66.43 ST
11.0000	SOUTHWESTERN ENERGY CO	03/12/10	06/04/10	467.38	491.45	(24.07) ST
18.0000	TRANSOCEAN LTD	02/08/10	06/22/10	957.00	1,517.05	(560.05) ST
8.0000	UNION PACIFIC CORP	02/08/10	06/01/10	575.83	491.75	84.08 ST
21.0000	BROWN & BROWN INC FLA	02/08/10	06/28/10	417.72	374.59	43.13 ST
19.0000	BROWN & BROWN INC FLA	02/08/10	07/02/10	359.76	338.91	20.85 ST
11.0000	BERKSHIRE HATHAWAY INC	02/08/10	07/06/10	853.22	817.63	35.59 ST
14.0000	COPART INC COM	02/08/10	07/14/10	511.58	466.20	45.38 ST
37.0000	E M C CORPORATION MASS	02/08/10	07/22/10	736.28	628.16	108.12 ST
28.0000	FOREST OIL CORP NEW	02/08/10	07/06/10	761.52	673.12	88.40 ST
20.0000	GENERAL MILLS	02/08/10	07/16/10	714.76	690.10	24.66 ST
5.0000	HSBC HLDG PLC SP ADR	02/08/10	07/09/10	237.59	252.00	(14.41) ST
25.0000	INVESCO LTD	02/08/10	07/27/10	485.87	463.43	22.44 ST
15.0000	POLYCOM INC COM	02/08/10	07/01/10	433.29	348.15	85.14 ST
13.0000	PPL CORPORATION	02/08/10	07/15/10	335.14	376.74	(41.60) ST
16.0000	PUB SVC ENTERPRISE GRP	02/08/10	06/30/10	507.53	474.40	33.13 ST
21.0000	PUB SVC ENTERPRISE GRP	02/08/10	07/19/10	699.92	622.64	77.28 ST
54.0000	QUESTAR CORP NPV	02/08/10	07/02/10	824.06	736.81	87.25 ST
12.0000	AON CORP COM	02/08/10	08/11/10	449.82	478.79	(28.97) ST
23.0000	E M C CORPORATION MASS	02/08/10	08/10/10	459.96	390.48	69.48 ST
5.0000	GENZYME CORPORATION	02/08/10	07/29/10	349.42	274.05	75.37 ST
3.0000	GENZYME CORPORATION	02/08/10	08/10/10	201.11	164.43	36.68 ST
4.0000	GENZYME CORPORATION	02/18/10	08/10/10	268.16	222.86	45.30 ST
12.0000	KIMBERLY CLARK	02/08/10	08/12/10	779.78	711.79	67.99 ST
7.0000	KIMBERLY CLARK	02/08/10	08/18/10	457.80	415.22	42.58 ST
11.0000	LABORATORY CP AMER HLDGS	02/08/10	07/30/10	802.68	774.73	27.95 ST
4.0000	MCAFFEE INC	04/30/10	08/19/10	188.63	140.24	48.39 ST
11.0000	MCDERMOTT INTL INC	02/08/10	08/10/10	148.52	127.26	21.26 ST
14.0000	MCDERMOTT INTL INC	02/08/10	08/11/10	179.82	161.97	17.85 ST
13.0000	MCDERMOTT INTL INC	02/08/10	08/11/10	166.98	150.40	16.58 ST
12.0000	MCDERMOTT INTL INC	02/08/10	08/12/10	153.92	138.84	15.08 ST
11.0000	MC GRAW HILL COMPANIES	02/08/10	08/02/10	340.37	372.57	(32.20) ST
7.0000	MC GRAW HILL COMPANIES	02/08/10	08/11/10	210.68	237.09	(26.41) ST
8.0000	MC GRAW HILL COMPANIES	02/08/10	08/11/10	242.17	270.96	(28.79) ST
38.0000	NCR CORP NEW	02/08/10	07/28/10	531.10	484.12	46.98 ST
13.0000	NCR CORP NEW	02/08/10	08/12/10	163.44	165.62	(2.18) ST
17.0000	NCR CORP NEW	02/08/10	08/12/10	212.56	216.58	(4.02) ST

PLEASE SEE REVERSE SIDE

Page 207 of 226
Statement Period Year Ending 09/30/10
Account No. 628-07474

EMATM Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
18.0000	NCR CORP NEW	02/08/10	08/12/10	220.29	229.32	(9.03) ST
5.0000	OCEANEERING INTL INC	06/09/10	08/16/10	248.03	219.88	28.15 ST
8.0000	PACTIV CORPORATION	03/29/10	07/28/10	247.69	206.03	41.66 ST
1.0000	PACTIV CORPORATION	03/29/10	07/29/10	30.84	25.75	5.09 ST
6.0000	PACTIV CORPORATION	04/14/10	07/29/10	185.06	154.92	30.14 ST
10.0000	PACTIV CORPORATION	04/14/10	08/10/10	304.81	258.19	46.62 ST
4.0000	PACTIV CORPORATION	04/14/10	08/10/10	121.47	103.28	18.19 ST
17.0000	PPL CORPORATION	02/08/10	08/11/10	442.22	492.66	(50.44) ST
29.0000	REPUBLIC SERVICES INC	02/08/10	08/11/10	872.06	749.36	122.70 ST
8.0000	SNAP ON INC COM	02/08/10	08/04/10	362.25	323.04	39.21 ST
5.0000	SNAP ON INC COM	02/08/10	08/05/10	223.93	201.90	22.03 ST
18.0000	AMERIPRISE FINL INC	02/08/10	09/20/10	868.47	675.36	193.11 ST
3.0000	AMERIPRISE FINL INC	02/12/10	09/20/10	144.75	113.64	31.11 ST
23.0000	E M C CORPORATION MASS	02/08/10	09/15/10	473.28	390.48	82.80 ST
6.0000	W W GRAINGER INCORP	02/08/10	09/21/10	723.00	596.04	126.96 ST
22.0000	MENS WEARHOUSE INC COM	02/08/10	09/02/10	446.01	441.76	4.25 ST
6.0000	MENS WEARHOUSE INC COM	02/08/10	09/07/10	126.43	120.48	5.95 ST
16.0000	MENS WEARHOUSE INC COM	02/08/10	09/09/10	348.42	321.28	27.14 ST
8.0000	NOBLE ENERGY INC	02/08/10	09/16/10	603.95	585.92	18.03 ST
5.0000	OCEANEERING INTL INC	06/09/10	09/08/10	258.25	219.88	38.37 ST
1.0000	OCEANEERING INTL INC	06/09/10	09/09/10	51.79	43.98	7.81 ST
3.0000	OCEANEERING INTL INC	07/06/10	09/09/10	155.39	135.04	20.35 ST
18.0000	WAL-MART STORES INC	02/08/10	09/24/10	975.13	957.05	18.08 ST

ST 6,015.56

PLEASE SEE REVERSE SIDE

Page 208 of 226
Statement Period Year Ending 09/30/10
Account No. 628-07474

EMATM Fiscal Statement

MULLEN FAMILY FOUNDATION

REALIZED CAPITAL GAIN AND LOSS SUMMARY - 628-07473

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
16.0000	COOPER COS INC COM NEW	02/09/10	02/12/10	601.91	576.00	25.91 ST
30.0000	HASBRO INC COM	02/09/10	02/12/10	1,074.59	1,042.80	31.79 ST
75.0000	PENN WEST ENERGY TR	02/09/10	02/12/10	1,355.23	1,309.49	45.74 ST
10.0000	PRECISION CASTPARTS	02/09/10	02/12/10	1,082.19	1,069.39	12.80 ST
139.0000	SK TELECOM ADR	02/09/10	02/12/10	2,334.14	2,360.21	(26.07) ST
55.0000	SARA LEE CORP COM	02/09/10	02/12/10	711.69	687.49	24.20 ST
44.0000	DEL MONTE FOODS CO	02/09/10	03/04/10	584.89	510.39	74.50 ST
174.0000	DEL MONTE FOODS CO	02/09/10	03/22/10	2,464.45	2,018.39	446.06 ST
29.0000	INTL FLAVORS&FRAGRNC	02/09/10	03/19/10	1,307.11	1,184.07	123.04 ST
36.0000	MOODY'S CORP	02/09/10	03/18/10	1,064.25	964.07	100.18 ST
49.0000	SARA LEE CORP COM	02/09/10	03/04/10	683.46	612.49	70.97 ST
15.0000	VARIAN MEDICAL SYS INC	02/09/10	03/04/10	755.38	720.15	35.23 ST
22.0000	ZIMMER HOLDINGS INC COM	02/09/10	03/04/10	1,289.09	1,228.91	60.18 ST
95.0000	AXIS CAPITAL HOLDINGS	02/09/10	04/13/10	2,998.56	2,735.05	263.51 ST
61.0000	COOPER COS INC COM NEW	02/09/10	04/15/10	2,350.85	2,196.00	154.85 ST
37.0000	ENERGIZER HLDGS INC COM	02/09/10	04/14/10	2,297.73	2,070.52	227.21 ST
17.0000	GENUINE PARTS CO	02/09/10	04/13/10	733.81	636.64	97.17 ST
17.0000	NEWFIELD EXPL CO COM	02/09/10	04/13/10	911.40	857.48	53.92 ST
44.0000	PIONEER NATURAL RES CO	02/09/10	04/13/10	2,715.36	2,004.63	710.73 ST
164.0000	SARA LEE CORP COM	02/09/10	04/20/10	2,333.55	2,049.99	283.56 ST
37.0000	SMITH INTL INC DEL	02/09/10	04/13/10	1,628.62	1,137.37	491.25 ST
40.0000	SOUTHWESTERN ENERGY CO	02/09/10	04/13/10	2,034.11	2,101.12	(67.01) ST
45.0000	UGI CORP NEW	02/09/10	04/15/10	1,068.26	973.99	94.27 ST
214.0000	VARIAN MEDICAL SYS INC	02/09/10	04/13/10	2,496.79	2,160.45	336.34 ST
31.0000	BJ SERVICES CO	02/09/10	04/30/10	575.66	128.39	447.28 ST
61.0000	PHARM PROD DEV INC	02/09/10	05/10/10	797.60	688.19	129.41 ST
11.0000	PROGRESSIVE CRP OHIO	02/09/10	05/10/10	1,196.35	998.40	197.95 ST
17.0000	SIGMA ALDRICH CORP	02/09/10	05/10/10	600.20	525.46	74.74 ST
12.0000	FURIEX PHARMACEUTICALS	06/14/10	06/22/10	179.77	N/A	179.77 we ST
66.0000	GOODRICH CORPORATION	02/09/10	06/07/10	765.59	752.15	13.44 ST
38.0000	HASBRO INC COM	02/09/10	06/03/10	2,621.72	2,294.16	327.56 ST
61.0000	LEGG MASON INC	02/09/10	06/07/10	1,143.34	940.49	202.85 ST
18.0000	PHARM PROD DEV INC	02/09/10	06/07/10	1,500.95	1,314.84	186.11 ST
7.0000	PARKER HANIFIN CORP	02/09/10	06/07/10	1,027.75	1,004.04	23.71 ST
21.0000	PRECISION CASTPARTS	02/09/10	06/07/10	740.98	748.58	(7.60) ST
24.0000	GENZYME CORPORATION	02/09/10	07/29/10	1,476.20	1,157.10	319.10 ST
107.0000	MOODY'S CORP	02/09/10	08/30/10	1,682.09	1,322.40	359.69 ST
195.0000	MOTOROLA INC COM	02/09/10	09/16/10	2,654.14	2,865.46	(211.32) ST
		02/09/10	09/03/10	1,543.67	1,288.93	254.74 ST

PLEASE SEE REVERSE SIDE
 Page 128 of 226
 Statement Period Year Ending 09/30/10
 Account No. 628-07473

EMASM Fiscal Statement

MULLEN FAMILY FOUNDATION

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
106.0000	PENN WEST ENERGY TR	02/09/10	09/03/10	1,999.12	1,850.75	148.37 ST
38.0000	SCHLUMBERGER LTD	02/09/10	09/03/10	2,169.23	1,676.90	492.33 ST
33.0000	TYCO INTL LTD NAMEN-AKT	02/09/10	09/03/10	1,281.97	1,130.51	151.46 ST
80.0000	WESTERN UN CO	02/12/10	09/03/10	1,316.21	1,293.90	22.31 ST

ST 6982.23

PLEASE SEE REVERSE SIDE
 Page 129 of 226
 Statement Period Year Ending 09/30/10
 Account No. 628-07473

EMASM Fiscal Statement

MULLEN FAMILY FOUNDATION

FISCAL YEAR ACTIVITY

REALIZED CAPITAL GAIN AND LOSS SUMMARY - 628-07472

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
53.0000	ACCENTURE PLC SHS	02/08/10	02/10/10	2,118.01	2,138.50	(20.49) ST
123.0000	KROGER CO	02/08/10	02/17/10	2,678.63	2,611.22	67.41 ST
171.0000	PFIZER INC	02/08/10	02/10/10	3,041.38	3,071.00	(29.62) ST
104.0000	CVS CAREMARK CORP	02/08/10	03/24/10	3,718.31	3,422.64	295.67 ST
94.0000	KIMBERLY CLARK	02/08/10	03/10/10	5,591.63	5,575.72	15.91 ST
24.0000	KIMBERLY CLARK	02/08/10	03/11/10	1,424.63	1,423.59	1.04 ST
176.0000	KROGER CO	02/08/10	03/03/10	3,945.04	3,736.40	208.64 ST
54.0000	NESTLE S A REP RG SH ADR	02/08/10	03/24/10	2,709.70	2,484.00	225.70 ST
17.0000	NORTHROP GRUMMAN CORP	02/08/10	03/03/10	1,057.94	993.13	64.81 ST
44.0000	TOTAL S.A. SP ADR	02/08/10	02/24/10	2,481.82	2,445.73	36.09 ST
21.0000	INTL BUSINESS MACHINES	02/08/10	04/14/10	2,745.28	2,573.28	172.00 ST
39.0000	NIKE INC CL B	02/08/10	04/21/10	2,989.38	2,407.93	581.45 ST
57.0000	PPG INDUSTRIES INC SHS	02/08/10	04/14/10	3,941.14	3,311.67	629.47 ST
166.0000	PFIZER INC	02/08/10	04/14/10	2,818.38	2,981.21	(162.83) ST
90.0000	PUB SVC ENTERPRISE GRP	02/08/10	04/07/10	2,750.58	2,668.47	82.11 ST
154.0000	STATE STREET CORP	02/08/10	03/31/10	5,974.56	6,660.47	(314.09) ST
49.0000	TOTAL S.A. SP ADR	02/08/10	04/07/10	2,861.68	2,723.65	138.03 ST
55.0000	APOLLO GROUP INC CL A	02/08/10	05/14/10	2,917.01	3,281.85	(364.84) ST

PLEASE SEE REVERSE SIDE

Page 96 of 226
Statement Period Year Ending 09/30/10
Account No. 628-07472

EMA Fiscal Statement

MULLEN FAMILY FOUNDATION

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
65.0000	AON CORP COM	02/08/10	05/19/10	2,623.03	2,593.46	34.57 ST
75.0000	HOME DEPOT INC	03/03/10	05/19/10	2,564.05	2,374.84	189.21 ST
32.0000	LOCKHEED MARTIN CORP	02/08/10	05/05/10	2,713.47	2,398.27	320.20 ST
74.0000	METLIFE INC COM	02/08/10	04/28/10	3,275.84	2,512.26	763.58 ST
62.0000	METLIFE INC COM	02/08/10	05/14/10	2,562.59	2,104.87	457.72 ST
74.0000	WELLS FARGO & CO NEW DEL	02/08/10	05/14/10	2,403.89	1,981.68	422.21 ST
49.0000	AON CORP COM	02/08/10	06/09/10	1,863.80	1,955.07	(88.27) ST
48.0000	AON CORP COM	02/08/10	06/10/10	1,830.75	1,915.19	(84.44) ST
48.0000	EATON CORP	02/08/10	05/26/10	3,322.63	3,009.60	313.03 ST
22.0000	EATON CORP	02/08/10	05/27/10	1,535.22	1,379.40	156.82 ST
28.0000	HASBRO INC COM	02/08/10	06/23/10	1,150.89	972.15	178.74 ST
52.0000	KELLOGG CO	03/10/10	05/26/10	2,763.78	2,733.05	30.73 ST
58.0000	TOTAL S.A. SP ADR	02/08/10	06/15/10	2,855.44	3,223.92	(368.48) ST
46.0000	EXXON MOBIL CORP COM	02/08/10	07/07/10	2,665.29	2,982.52	(317.23) ST
36.0000	NIKE INC CL B	02/08/10	07/07/10	2,451.74	2,222.71	229.03 ST
62.0000	PNC FINCL SERVICES GROUP	02/08/10	07/14/10	3,783.31	3,176.26	613.05 ST
45.0000	SHERWIN WILLIAMS	02/08/10	06/30/10	3,133.25	2,866.05	273.20 ST
29.0000	APACHE CORP	02/08/10	08/04/10	2,841.99	2,847.18	(5.19) ST
16.0000	GOLDMAN SACHS GROUP INC	02/08/10	08/11/10	2,417.54	2,437.07	(19.53) ST
57.0000	PG&E CORP	02/08/10	08/18/10	2,577.94	2,381.45	196.49 ST
43.0000	PNC FINCL SERVICES GROUP	02/08/10	07/28/10	2,563.89	2,202.89	361.00 ST
44.0000	PNC FINCL SERVICES GROUP	03/31/10	07/28/10	2,623.53	2,623.57	(0.04) ST
50.0000	TOTAL S.A. SP ADR	02/08/10	07/28/10	2,493.55	2,779.24	(280.69) ST
52.0000	TOTAL S.A. SP ADR	02/08/10	08/11/10	2,613.34	2,890.41	(277.07) ST
14.0000	NESTLE S A REP RG SH ADR	02/08/10	09/08/10	745.64	644.00	101.64 ST
35.0000	NESTLE S A REP RG SH ADR	02/08/10	09/09/10	1,851.07	1,610.00	241.07 ST
39.0000	NIKE INC CL B	02/08/10	09/15/10	2,974.46	2,407.94	566.52 ST
81.0000	PUB SVC ENTERPRISE GRP	02/08/10	09/15/10	2,547.99	2,401.63	146.36 ST
111.0000	VODAFONE GROU PLC SP ADR	02/08/10	09/01/10	2,717.56	2,425.07	292.49 ST

ST 6,709.36

MULLEN FAMILY FOUNDATION

EMA Fiscal Statement

FISCAL YEAR ACTIVITY

REALIZED CAPITAL GAIN AND LOSS SUMMARY - 628-07471

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
114.0000	BEST BUY CO INC	02/08/10	03/03/10	4,186.14	4,098.19	87.95 ST
21.0000	FREEPRT-MCMRAN CPR & GLD	02/08/10	02/24/10	1,533.56	1,477.50	56.06 ST
55.0000	GILEAD SCIENCES INC COM	02/08/10	03/10/10	2,602.89	2,543.60	59.29 ST
32.0000	GILEAD SCIENCES INC COM	02/08/10	03/11/10	1,498.82	1,479.92	18.90 ST

PLEASE SEE REVERSE SIDE

Account No
628-07471

Statement Period
Year Ending 09/30/10

Page
62 of 226

EMA[™] Fiscal Statement

MULLEN FAMILY FOUNDATION

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
114.0000	ORACLE CORP \$0.01 DEL	02/08/10	03/19/10	2,847.08	2,642.50	204.58 ST
44.0000	PEPSICO INC	02/08/10	03/04/10	2,820.65	2,611.28	209.37 ST
13.0000	POTASH CORP SASKATCHEWAN	02/08/10	03/04/10	1,496.80	1,347.19	149.61 ST
40.0000	POTASH CORP SASKATCHEWAN	02/08/10	03/23/10	4,909.38	4,145.20	764.18 ST
46.0000	PROCTER & GAMBLE CO	02/08/10	03/04/10	2,922.43	2,822.07	100.36 ST
93.0000	ST JUDE MEDICAL INC	02/08/10	03/22/10	3,721.17	3,494.89	226.28 ST
42.0000	TIME WARNER INC SHS	02/08/10	03/03/10	1,247.63	1,139.85	107.78 ST
24.0000	UNION PACIFIC CORP	02/08/10	02/24/10	1,593.53	1,481.56	111.97 ST
91.0000	UNION PACIFIC CORP	02/08/10	03/03/10	6,150.71	5,617.61	533.10 ST
387.0000	YAHOO INC	02/08/10	03/23/10	6,205.12	5,858.72	346.40 ST
73.0000	WYNN RESORTS LTD	02/08/10	02/24/10	4,632.08	4,516.50	115.58 ST
116.0000	BAXTER INTERNTL INC	02/08/10	04/12/10	6,743.36	6,575.73	167.63 ST
59.0000	BECTON DICKINSON CO	02/08/10	04/19/10	4,621.01	4,417.83	203.18 ST
37.0000	COACH INC	02/08/10	04/22/10	1,600.13	1,279.27	320.86 ST
284.0000	CORNING INC	02/08/10	04/08/10	5,618.99	5,094.85	524.14 ST
24.0000	FREERT-MCMRAN CPR & GLD	02/08/10	04/23/10	1,908.01	1,688.58	219.43 ST
5.0000	GOOGLE INC CL A	02/08/10	04/22/10	2,737.15	2,686.94	50.21 ST
22.0000	INTL BUSINESS MACHINES	02/08/10	04/08/10	2,807.68	2,692.08	115.60 ST
75.0000	INTL BUSINESS MACHINES	02/08/10	04/13/10	9,617.79	9,177.57	440.22 ST
27.0000	MEAD JOHNSON NUTRTION CO	02/08/10	04/09/10	1,401.82	1,245.76	156.06 ST
31.0000	PEPSICO INC	02/08/10	04/23/10	2,002.75	1,839.77	162.98 ST
30.0000	TARGET CORP COM	02/08/10	04/22/10	1,729.34	1,480.86	248.48 ST
180.0000	ABBOTT LABS	02/08/10	05/19/10	8,654.21	9,687.15	(1,032.94) ST
166.0000	AMER EXPRESS COMPANY	02/08/10	05/05/10	7,427.59	6,159.81	1,267.78 ST
34.0000	CUMMINS INC COM	02/08/10	05/07/10	2,267.60	1,738.13	529.47 ST
339.0000	EBAY INC COM	02/08/10	05/19/10	7,353.03	7,677.74	(324.71) ST
94.0000	FREERT-MCMRAN CPR & GLD	02/08/10	05/07/10	6,356.07	6,613.61	(257.54) ST
10.0000	GOOGLE INC CL A	02/08/10	05/21/10	4,663.39	5,373.90	(710.51) ST
67.0000	HEWLETT PACKARD CO DEL	02/08/10	05/17/10	3,167.23	3,207.28	(40.05) ST
341.0000	INTEL CORP	02/08/10	04/28/10	7,912.30	6,673.37	1,238.93 ST
59.0000	JPMORGAN CHASE & CO	02/08/10	05/07/10	2,405.41	2,227.21	178.20 ST
69.0000	OCCIDENTAL PETE CORP CAL	02/08/10	05/07/10	5,564.39	5,304.46	259.93 ST
100.0000	PARKER HANNIFIN CORP	02/08/10	05/24/10	6,051.79	5,490.90	560.89 ST
221.0000	STAPLES INC	02/08/10	05/10/10	4,925.19	5,170.80	(245.61) ST
77.0000	STAPLES INC	03/04/10	05/10/10	1,716.02	1,755.89	(39.87) ST
206.0000	WAL-MART STORES INC	02/08/10	04/30/10	11,126.88	10,960.72	166.16 ST
130.0000	AETNA INC NEW	03/23/10	06/11/10	3,727.61	4,522.65	(795.04) ST
31.0000	AETNA INC NEW	03/23/10	06/14/10	909.31	1,078.49	(169.18) ST
6.0000	GOOGLE INC CL A	02/08/10	06/09/10	2,852.87	3,224.34	(371.47) ST
77.0000	JOHNSON AND JOHNSON COM	02/08/10	06/11/10	4,502.26	4,830.21	(327.95) ST

PLEASE SEE REVERSE SIDE

Page 63 of 226
Statement Period Year Ending 09/30/10
Account No 628-07471

EMASM Fiscal Statement

MULLEN FAMILY FOUNDATION

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
196.0000	JOHNSON CONTROLS INC	02/08/10	06/08/10	5,105.57	5,525.14	(419.57) ST
87.0000	METLIFE INC COM	04/19/10	06/07/10	3,336.05	3,972.93	(636.88) ST
36.0000	METLIFE INC COM	04/20/10	06/07/10	1,380.44	1,664.58	(284.14) ST
190.0000	PHILIP MORRIS INTL INC	02/08/10	06/11/10	8,317.47	8,719.08	(401.61) ST
41.0000	PEPSICO INC	02/08/10	06/16/10	2,612.38	2,433.24	179.14 ST
70.0000	PROCTER & GAMBLE CO	02/08/10	06/11/10	4,331.99	4,294.46	37.53 ST
171.0000	QUALCOMM INC	02/08/10	05/27/10	6,047.85	6,456.50	(408.65) ST
87.0000	UNITED PARCEL SVC CL B	02/08/10	06/08/10	5,048.26	4,952.82	95.44 ST
40.0000	UNITED TECHS CORP COM	02/08/10	06/08/10	2,539.66	2,640.61	(100.95) ST
51.0000	AMGEN INC COM PV \$0.0001	02/08/10	07/23/10	2,682.20	2,949.70	(267.50) ST
35.0000	COVIDIEN PLC SHS	02/08/10	07/23/10	1,356.06	1,738.34	(382.28) ST
41.0000	JOHNSON AND JOHNSON COM	02/08/10	07/12/10	2,465.08	2,571.93	(106.85) ST
53.0000	JOHNSON AND JOHNSON COM	02/08/10	07/27/10	3,082.23	3,324.69	(242.46) ST
240.0000	LOWE'S COMPANIES INC	02/08/10	07/12/10	4,850.82	5,267.52	(416.70) ST
73.0000	MONSANTO CO NEW DEL COM	03/03/10	07/01/10	3,363.69	5,392.87	(2,029.18) ST
135.0000	MICROSOFT CORP	02/08/10	07/12/10	3,347.89	3,748.94	(401.05) ST
83.0000	PEPSICO INC	02/08/10	07/15/10	5,253.01	4,925.84	327.17 ST
353.0000	PFIZER INC	03/23/10	07/23/10	5,150.14	6,169.10	(1,018.96) ST
52.0000	RAYTHEON CO DELAWARE NEW	02/08/10	07/22/10	2,534.76	2,772.61	(237.85) ST
24.0000	ALLERGAN INC	02/08/10	07/29/10	1,453.08	1,405.68	47.40 ST
11.0000	COVIDIEN PLC SHS	02/08/10	07/29/10	407.02	546.33	(139.31) ST
27.0000	COVIDIEN PLC SHS	02/08/10	07/30/10	992.66	1,341.00	(348.34) ST
161.0000	CONAGRA FOODS INC	05/19/10	08/09/10	3,554.04	3,984.67	(430.63) ST
116.0000	CONAGRA FOODS INC	05/20/10	08/09/10	2,560.69	2,823.54	(262.85) ST
42.0000	CONAGRA FOODS INC	05/20/10	08/11/10	929.53	1,022.32	(92.79) ST
227.0000	CORNING INC	05/28/10	08/19/10	3,655.32	3,958.99	(303.67) ST
32.0000	EXPRESS SCRIPTS INC COM	06/14/10	07/30/10	1,427.73	1,708.85	(281.12) ST
118.0000	HEWLETT PACKARD CO DEL	02/08/10	08/09/10	5,033.79	5,648.65	(614.86) ST
37.0000	JOHNSON AND JOHNSON COM	02/08/10	07/28/10	2,138.71	2,321.01	(182.30) ST
45.0000	JOHNSON AND JOHNSON COM	04/12/10	07/28/10	2,601.15	2,928.38	(327.23) ST
14.0000	LABORATORY CP AMER HLDGS	05/19/10	07/29/10	1,019.36	1,085.87	(66.51) ST
88.0000	MICROSOFT CORP	02/08/10	08/03/10	2,287.95	2,443.75	(155.80) ST
125.0000	MICROSOFT CORP	02/08/10	08/11/10	3,085.31	3,471.24	(385.93) ST
200.0000	MICROSOFT CORP	02/08/10	08/17/10	4,938.48	5,553.98	(615.50) ST
101.0000	RAYTHEON CO DELAWARE NEW	02/08/10	08/05/10	4,691.88	5,385.27	(693.39) ST
36.0000	UNITED TECHS CORP COM	02/08/10	08/18/10	2,519.67	2,376.56	143.11 ST
8.0000	GOOGLE INC CL A	02/08/10	09/14/10	3,850.79	4,299.13	(448.34) ST
5.0000	GOOGLE INC CL A	02/08/10	09/15/10	2,397.41	2,686.95	(289.54) ST
137.0000	HEWLETT PACKARD CO DEL	02/08/10	09/14/10	5,419.60	6,558.18	(1,138.58) ST
83.0000	MICROSOFT CORP	02/08/10	09/09/10	1,999.66	2,304.90	(305.24) ST

PLEASE SEE REVERSE SIDE

Page 64 of 226
Statement Period Year Ending 09/30/10
Account No 628-07471

EMA Fiscal Statement

MULLEN FAMILY FOUNDATION

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
16 0000	NETFLIX COM INC	08/06/10	09/27/10	2,618 40	1,883 18	735 22 ST
						ST (7,284,86)

PLEASE SEE REVERSE SIDE
 Page 65 of 226
 Statement Period Year Ending 09/30/10
 Account No 628-07471

EMATM Fiscal Statement

MULLEN FAMILY FOUNDATION

FISCAL YEAR ACTIVITY

REALIZED CAPITAL GAIN AND LOSS SUMMARY - 228-07460

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
4,000.0000	BARCLAYS BANK PLC	04/09/08	02/01/10	99,318.74	100,000.00	(681.26) LT
300.0000	BANK OF AMERICA	04/23/08	02/01/10	7,484.90	7,500.00	(15.10) LT
1,700.0000	BANK OF AMERICA	04/23/08	02/01/10	42,397.46	42,500.00	(102.54) LT
1,000.0000	ISHARES MSCI AUSTRALIA	12/01/09	02/01/10	21,441.73	24,000.00	(2,558.27) ST
900.0000	ISHARES MSCI CDA INDX FD	12/01/09	02/01/10	22,312.52	23,940.00	(1,627.48) ST
1,000.0000	EATON VANCE SENIOR INC	01/16/08	02/01/10	6,409.92	7,200.00	(790.08) LT
3,800.0000	EATON VANCE SENIOR INC	01/16/08	02/01/10	24,395.69	27,359.99	(2,964.30) LT
2,000.0000	EATON VANCE SENIOR INC	01/16/08	02/01/10	12,859.84	14,400.00	(1,540.16) LT
1,100.0000	EATON VANCE SENIOR INC	01/16/08	02/01/10	7,094.91	7,920.00	(825.09) LT

PLEASE SEE REVERSE SIDE

Page 15 of 226
Statement Period Year Ending 09/30/10
Account No. 628-07460

EMATM Fiscal Statement

MULLEN FAMILY FOUNDATION

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
2,100.0000	EATON VANCE SENIOR INC	01/16/08	02/01/10	13,565.82	15,120.01	(1,554.19) LT
1,100.0000	EATON VANCE SENIOR INC	03/03/08	02/01/10	7,105.92	7,392.00	(286.08) LT
1,500.0000	EATON VANCE SENIOR INC	03/03/08	02/01/10	9,704.88	10,080.00	(375.12) LT
2,500.0000	EATON VANCE SENIOR INC	03/03/08	02/01/10	6,199.79	16,799.99	(600.20) LT
2,000.0000	EATON VANCE SENIOR INC	03/03/08	02/01/10	12,979.83	13,440.00	(460.17) LT
400.0000	EATON VANCE SENIOR INC	03/03/08	02/01/10	2,599.96	2,688.01	(88.05) LT
2,400.0000	EATON VANCE SENIOR INC	03/03/08	02/01/10	15,599.81	10,655.99	4,943.82 ST
1,900.0000	EATON VANCE SENIOR INC	05/19/09	02/01/10	12,159.84	8,436.01	3,723.83 ST
185.0000	ISHARES FTSE XINHUA HK	12/01/09	02/01/10	7,243.14	8,325.00	(1,081.86) ST
2,300.0000	VANGUARD EUROPEAN ETF	07/14/09	02/01/10	106,697.02	90,919.00	15,778.02 ST
3,400.0000	VANGUARD EUROPEAN ETF	07/30/09	02/01/10	157,726.04	150,620.00	7,106.04 ST
3,500.0000	VANGUARD EMERGING MKTS	12/01/09	02/01/10	136,677.46	144,550.00	(7,872.54) ST
6,500.0000	VANGUARD FTSE ALL WORLD	12/01/09	02/01/10	271,700.45	292,500.00	(20,799.55) ST
600.0000	ISHARES IBOX\$ HIGH YIEL	07/14/09	02/01/10	51,582.54	46,350.00	5,232.54 ST
28,819.6180	ARTIO GLOBAL HIGH INC A	11/20/09	02/01/10	306,064.33	300,012.21	6,052.12 ST
163.0000	ARTIO GLOBAL HIGH INC A	11/30/09	02/01/10	1,731.06	1,691.93	39.13 ST
182.0000	ARTIO GLOBAL HIGH INC A	12/30/09	02/01/10	1,932.85	1,916.45	16.40 ST
4,623.0090	JP MORGAN STRATEGIC	11/20/09	02/01/10	53,314.10	53,349.53	(35.43) ST
121.0000	JP MORGAN STRATEGIC	12/01/09	02/01/10	1,395.41	1,393.92	1.49 ST
230.0000	JP MORGAN STRATEGIC	12/16/09	02/01/10	2,652.44	2,658.79	(6.35) ST
22.0000	JP MORGAN STRATEGIC	12/16/09	02/01/10	253.71	254.09	(0.38) ST
153.0000	JP MORGAN STRATEGIC	01/04/10	02/01/10	1,764.47	1,770.20	(5.73) ST
16,779.3800	L SAYLES STRAT INCM CL A	07/30/09	02/01/10	230,703.05	210,581.20	20,121.85 ST
6,296.4980	BLKRCK H/Y BD PT CL A	03/20/08	02/01/10	44,894.02	45,397.75	(503.73) LT
1.0000	BLKRCK H/Y BD PT CL A	03/20/08	02/01/10	7.15	7.21	(0.06) ST
21,968.0000	BLKRCK H/Y BD PT CL A	05/19/09	02/01/10	155,631.83	124,997.90	31,633.93 ST
64,895.4770	VIRTUS MLTI SEC S/T BD A	11/20/09	02/01/10	300,466.05	300,001.61	464.44 ST
39.0000	VIRTUS MLTI SEC S/T BD A	11/30/09	02/01/10	180.57	179.01	1.56 ST
463.0000	VIRTUS MLTI SEC S/T BD A	12/31/09	02/01/10	2,143.69	2,120.54	23.15 ST
463.0000	VIRTUS MLTI SEC S/T BD A	01/07/10	02/01/10	2,143.70	0.00	2,143.70 ST

ST 86,309.34
LT (11,031.16)