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## Department of the Treasury Internal Revenue Service

## Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No. 1545-0052

	lar year 2009, o				01 , 2009,		<del></del>	09/30, 2010
G Check a	all that apply.	Initial retu		Initial return	-	ublic chari	<del>-</del> 7	Final return
		Amended	return	Addres	s change		Name change	
	Name of foun	dation					A Employer iden	tification number
Use the IR		ON COUNDE	חזמכווו	II POINDAMTON	TNC		,	6-1210140
label.				H FOUNDATION,		D		<u> </u>
Otherwise print	Number and s	street (or PO box	numberif	mail is not delivered to street	address)	Room/sui	(e B Telephone number	(see page 10 of the instructions)
or type.	0.75 NO.DE	u MTGUTCA			ļ	3516	] ,	312) 664-6488
See Specif	fic ————	H MICHIGAN		OF .		3310		
Instruction	is. City or town,	state, and ZIP co	de				C If exemption app pending, check h	ere · · · · · ·
	0117.07.00	TT (0(11					D 1 Foreign organ	
		IL 60611						sizations meeting the ck here and attach
	· · · · ·		-	c)(3) exempt private f			computation	▶∟
	on 4947(a)(1) none			Other taxable pr			E If private found:	ation status was terminated
	rket value of all a			unting method: Ca	ash 🔼 🐴 Acc	ruai	under section 50	7(b)(1)(A), check here . 🕨 📙
-	(from Part II, col	<i>(c), line</i> 0 , 248 .		her (specify)			1	is in a 60-month termination
16) ▶ :		<del></del> _		column (d) must be on	Casii Dasis.)	<del></del>	under section 50	7(b)(1)(B), check here .
Paint I Ar	nalysis of Reven	iue and Expen: lumns (b), (c), ar	ses (The   nd (d)	(a) Revenue and	(b) Net inve	stment	(c) Adjusted net	(d) Disbursements for charitable
ma	ay not necessarily e	equal the amount	s in l	expenses per books	incom	e	income	purposes
	lumn (a) (see page			2,556.	, - ;		i 1	(cash basis only)
1 Cont	ributions, gifts, grants, et	c , received (attach so undation is <b>not</b> rec	hedule) uired to	2,330.	-		- ,	
	ck X if the for attach S							<del></del>
	rest on savings and to	•	ſ	273,935.	26	1,433.		
	idends and interes			273,333.		1,100.		
1	ss rents		ſ	( )	- ;		<del></del>	<del></del>
_	rental income or (loss	· ———		-79,755.			<del></del>	<del> </del>
b Gro	gain or (loss) from sa ss sales price for all		line 10	757,553	<del></del>			·- <del></del> -
asse	ets on line 6a —				<del> `-</del> -	0.1		<del></del>
7 Car	oital gain net incom	•	,	1	-	<del></del>	<u> </u>	<del>  -</del>
1	short-term capital	-		· · · · · · · · · · · · · · · · · · ·	<del></del>			
10 a Gros	ome modifications							
	allowances · · · ·			. <u> </u>	`t_ 1			
	s Cost of goods sold oss profit or (loss) (a							<del></del> -
	er income (attach			23,049.	-7	2,202.		ATCH 1
	al. Add lines 1 thro			219,785.		9,231.	<del></del>	
				259,076.	2	5,908.	· <u>·</u>	233,1
14 016	npensation of efficers her employee salari	es and wanes	ำ๊เป	65,282.		6,528.		58,7
15 Per	ision plans, employ	vee henefits • 11	. 180	98,983.	_	9,898.		89,0
16a lec	al fees Pattach Sch		IRS:0	6,070.		607.		5,4
b Acc	counting fees (atta		<u>-</u> ₩	31,715.		3,172.		28,5
c Oth	er professional fee	estratach kehed	(a) * (a)	82,528.	7	5,817.		6,
17 Inte	er protessional fee	DEN'S						
18 Tax	es (attach schedule) (s	see page 14 of the in:	structions)	17,867.		1,825.		13,1
19 De	preciation (attach			2,903.				
20 Oc	cupancy	•		48,377.		0.		48,3
21 Tra	vel, conferences, a			11,478.		1,148.		10,3
22 Pri	nting and publication							
	er expenses (attac			82,511.		8,253.		74,2
24 Tot	al operating and a						<del></del>	
Add	d lines 13 through			706,790	13	3,156.		567,7
25 Co	ntributions, gifts, g	rants paid		613,466.				577,4
1 .	l expenses and disburse			1,320,256.	13	3,156.		1,145,
27 Sut	otract line 26 from	line 12						
a Exce	ess of revenue over expe	enses and disbursen	nents	-1,100,471.				
b Net	investment incon	ne (if negative, e	nter -0-)		5	6,075.		
								1

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PAGE 2

В	art II	Balance Sheets Attached schedules and amounts in the description column should be for end-c	e of vector	Beginning of year	End	d of year
_	G1 ( 11	amounts only (See instructions )	Ji-yeai	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest-bearing		303,467.	1,387,836	
	2	Savings and temporary cash investments		3,004,821.	2,064,339	2,064,339.
	3	Accounts receivable				
1		Less allowance for doubtful accounts				
	4	Pledges receivable				
		Less allowance for doubtful accounts ▶				
	5					
	6	Grants receivable				<del></del>
	u			i .		İ
	_	disqualified persons (attach schedule) (see page 16 of the instruction	-	-	<del> </del>	
	7	Other notes and loans receivable (attach schedule)				
	_	Less allowance for doubtful accounts ▶			<u> </u>	
ţ	8	Inventories for sale or use		22,111.	16,754	16,754.
Assets	9	Prepaid expenses and deferred charges		22,111.		
ä		Investments - U.S. and state government obligations (attach schedul	le) .	1 2 2 2 7 7 2 3 4	987,805	
	1	Investments - corporate stock (attach schedule) ATCH		9,852,889.	11,003,786	
		Investments - corporate bonds (attach schedule), ATCH		1,202,733.	612,048	612,048.
	11	Investments - land, buildings, and equipment basis			• •	
		Less: accumulated depreciation (attach schedule)	11.	8,737.	10,232	10,232.
	12	Investments - mortgage loans				<u> </u>
	13	Investments - other (attach schedule) ATCH 8		3,006,991.	2,967,894	2,967,894.
	14	Land, buildings, and equipment basis			1	
		Less accumulated depreciation				<u> </u>
	15	(attach schedule) Other assets (describe ATCH 9		756,947.	689,554	689,554.
	16	Total assets (to be completed by all filers - see the	'			<u> </u>
		instructions Also, see page 1, item I)		19,428,425.	19,740,248	19,740,248.
$\dashv$	17	Accounts payable and accrued expenses		45,119.	39,921	
				<del></del>		
"	18	Grants payable		l I		- : "
Liabilities	19	Deferred revenue				┥
) High	20	Loans from officers, directors, trustees, and other disqualified person	•			
Lia	21	Mortgages and other notes payable (attach schedule)		443,298.	552,114	<del>,</del> ,
	22	Other liabilities (describe ► ATCH_10	}	443,230.	332,114	<u></u>
				488,417.	592,035	
_	23	Total liabilities (add lines 17 through 22)	-1 -7	400,417.	592,033	· ·
		Foundations that follow SFAS 117, check here				,
"		and complete lines 24 through 26 and lines 30 an	id 31.		10 140 010	
ë	24	Unrestricted		18,940,008.	19,148,213	3.
lan	25	Temporarily restricted				i .
Ва	26	Permanently restricted				
Б	24 25 26 27 28 29 30	Foundations that do not follow SFAS 117,				
교		check here and complete lines 27 through 31. ▶	· []			
ŏ	27	Capital stock, trust principal, or current funds				
its	28	Paid-in or capital surplus, or land, bldg , and equipment fund				
SS	29	Retained earnings, accumulated income, endowment, or other fund	ls			
Ā	30	Total net assets or fund balances (see page 17 of the			<del></del>	
Š		instructions)		18,940,008.	19,148,213	3.
_	31	Total liabilities and net assets/fund balances (see page 1	7			
		of the instructions)		19,428,425.	19,740,248	3.
E	art	Analysis of Changes in Net Assets or F				
		I net assets or fund balances at beginning of year -			agree with	
•		of-year figure reported on prior year's return)				18,940,008.
2						1 100 151
2	O+ha	er amount from Part I, line 27a er increases not included in line 2 (itemize) ATT	י אַ הַי			
						10 140 010
		lines 1, 2, and 3			,	
		reases not included in line 2 (itemize)		June 5) Doet II column (h)	- Inc. 20	10 140 013
0	iota	I net assets or fund balances at end of year (line 4 m	า ต	ime b) - Part II, column (b)	, line 30   6	Form <b>990-PF</b> (2009)
		** ATCI	1 D			rom 990-PF (2009)

JSA

			(b) How		
• •	d describe the kind(s) of property sold	• •	acquired P-Purchase	(c) Date	(d) Date sold (mo , day, yr )
075 DADE THE COUR	rick warehouse, or common stock, 200	I Shs_MLC Co )	D-Donation	(mo , day, yr )	
18	.0016				<del> </del>
<u>b</u>			+		<del> </del> -
<u>c</u>				<u> </u>	<del> </del>
	<del></del>				<del> </del>
e	(f) Depreciation allowed	(g) Cost or other basis	-	(h) Gain or (lo	) 
(e) Gross sales price	(or allowable)	plus expense of sale		(e) plus (f) min	
a				· <u></u>	
b					
С					
d					
е					
Complete only for assets s	howing gain in column (h) and ow	ned by the foundation on 12/31/6	9 (1)	Gains (Col (h) g	ain minus
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		(k), but not less Losses (from co	than -0-) or
a				. =	
b				********	
C					
d					
е					
0.000	( I	f gain, also enter in Part I, line 7			
2 Capital gain net income or	(net capital loss) {	f (loss), enter -0- ın Part I, line 7	2		-79,755.
	or (loss) as defined in sections 12				
	line 8, column (c) (see pages 13	and 17 of the instructions)	1		
If gain, also enter in Part I,	illie o, column (c) (see pages 13	and it of the instructions).	<b>}</b>		
If (loss), enter -0- in Part I,	line 8	<u></u>	] 3		
If (loss), enter -0- in Part I,  Part V Qualification Un  (For optional use by domestic	Ine 8	uced Tax on Net Investment I	ncome	ome )	
If (loss), enter -0- in Part I, Part V Qualification Ur (For optional use by domestic If section 4940(d)(2) applies, Was the foundation liable for	Inne 8	uced Tax on Net Investment Invest	ncome stment inco	_	Yes X No
If (loss), enter -0- in Part I, Part V Qualification Ur (For optional use by domestic If section 4940(d)(2) applies, Was the foundation liable for If "Yes," the foundation does	Inne 8	butable amount of any year in the	ncome stment inco	d? [	
If (loss), enter -0- in Part I,  Part V Qualification Ur  (For optional use by domestic  If section 4940(d)(2) applies,  Was the foundation liable for  If "Yes," the foundation does  I Enter the appropriate am	Inne 8	butable amount of any year in the . Do not complete this part.	ncome stment inco	id?	
If (loss), enter -0- in Part I,  Part V Qualification Ur  (For optional use by domestic  If section 4940(d)(2) applies,  Was the foundation liable for  If "Yes," the foundation does  1 Enter the appropriate ame  (a)  Base penod years	Inne 8,	butable amount of any year in the	ncome stment inco	king any entries (d) Distribution i	ratio
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If (loss), enter -0- in Part I, Part V Qualification Ur (For optional use by domestic If section 4940(d)(2) applies, Was the foundation liable for If "Yes," the foundation does 1 Enter the appropriate ame (a) Base penod years Calendar year (or tax year beginning in) 2008 2007 2006 2005	Inne 8	butable amount of any year in the Do not complete this part.  r; see page 18 of the instructions  (c)  Net value of nonchantable-use assets  17,382,277.  21,373,649.  25,782,349.	ncome stment inco	king any entries (d) Distribution i	ratio y col (c)) 0.072183 0.066405 0.069632
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If (loss), enter -0- in Part I,  Part V Qualification Ur  (For optional use by domestic  If section 4940(d)(2) applies,  Was the foundation liable for  If "Yes," the foundation does  1 Enter the appropriate am  (a)  Base period years  Calendar year (or tax year beginning in)  2008  2007  2006  2005  2004  2 Total of line 1, column (d)  3 Average distribution ratio number of years the foundation  4 Enter the net value of nor  Multiply line 4 by line 3  6 Enter 1% of net investme	Inne 8	butable amount of any year in the Do not complete this part.  r; see page 18 of the instructions  (c)  Net value of nonchantable-use assets  17,382,277.  21,373,649.  25,782,349.  24,609,817.  23,340,999.  de the total on line 2 by 5, or by the set than 5 years  om Part X, line 5	base period before males.	sking any entries (d) Distribution r (col (b) divided b	0.064405 0.069632 0.080795 0.061441 0.350456 0.070091 178,165. 344,217.
If (loss), enter -0- in Part I,  Part V Qualification Ur  (For optional use by domestic  If section 4940(d)(2) applies,  Was the foundation liable for  If "Yes," the foundation does  1 Enter the appropriate am  (a)  Base period years  Calendar year (or tax year beginning in)  2008  2007  2006  2005  2004  2 Total of line 1, column (d)  3 Average distribution ratio number of years the foundation  4 Enter the net value of nor  Multiply line 4 by line 3  6 Enter 1% of net investme	Inne 8	butable amount of any year in the Do not complete this part.  r; see page 18 of the instructions  (c)  Net value of nonchantable-use assets  17,382,277.  21,373,649.  25,782,349.  24,609,817.  23,340,999.  de the total on line 2 by 5, or by the set than 5 years  om Part X, line 5	base period before males.	sking any entries (d) Distribution r (col (b) divided b	o. 066405 0.066405 0.069632 0.080795 0.061441 0.350456 0.070091 178,165.
If (loss), enter -0- in Part I, Part V Qualification Ur (For optional use by domestic If section 4940(d)(2) applies, Was the foundation liable for If "Yes," the foundation does 1 Enter the appropriate ame (a) Base penod years Calendar year (or tax year beginning in) 2008 2007 2006 2005 2004  2 Total of line 1, column (d) 3 Average distribution ratio number of years the found 4 Enter the net value of nor 5 Multiply line 4 by line 3 6 Enter 1% of net investme 7 Add lines 5 and 6	Inne 8	butable amount of any year in the Do not complete this part.  Tr: see page 18 of the instructions (c)  Net value of nonchantable-use assets  17,382,277.  21,373,649.  25,782,349.  24,609,817.  23,340,999.  The the total on line 2 by 5, or by the set than 5 years  om Part X, line 5	base period before males and the street income before income before income before males and the street income before inco	sid? (d) Distribution r (col (b) divided b	0.072183 0.066405 0.069632 0.080795 0.061441 0.350456 0.070091 178,165. 344,217. 561.

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• By state legislation that effectively amends the governing instrument so that no mandatory directions that

8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the

Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page

Form 990-PF (2009)

Χ

X

Х

7

8b

10

instructions) ► IL,

names and addresses

Х

X

X

3b

4a

XNo

3a Did the foundation hold more than a 2% direct or indirect interest in any business

b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .
 b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? . . .

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none	, enter "NONE."
(a) Name and address of each person paid more than \$50,000 (b) Type of service	(c) Compensation
Nove	
NONE	<del> </del>
	_
Total number of others receiving over \$50,000 for professional services	▶ NONE
Part IX-A Summary of Direct Charitable Activities	
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficianes served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
	-
<u></u>	-
2	
*	
3	
4	1
	}
Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 HEARTLAND INTERNATIONAL	
ALLIANCE OF CHICAGO COMMUNITY HEALTH SERVICES HEALTH	
INFORMATION TECHNOLOGY ENHANCEMENT PROJECT.	150,000
2	
All other program-related investments See page 24 of the instructions 3 NONE	
2 noted	
Total. Add lines 1 through 3	150,000
	Form <b>990-PF</b> (2009

Pa	Minimum Investment Return (All domestic foundations must complete this part. Foreign see page 24 of the instructions.)	n foundatior	ıs,
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc.,		
	purposes:		
а	Average monthly fair market value of securities	1a	18,533,908.
b	Average of monthly cash balances	1b	868,952.
С	Fair market value of all other assets (see page 24 of the instructions)	1c	67,358.
d	Total (add lines 1a, b, and c)	1d	19,470,218.
е			<u> </u>
	1c (attach detailed explanation)		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	19,470,218.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25		
	of the instructions)	4	292,053.
5	of the instructions)  Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	19,178,165.
6	Minimum investment return. Enter 5% of line 5	6	958,908.
_	Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) privation foundations and certain foreign organizations check here ▶ and do not complete this privation.	te operating art.)	
1	Minimum investment return from Part X, line 6	1	958,908.
2 a	Tax on investment income for 2009 from Part VI, line 5 2a 1,122.		
b	Income tax for 2009 (This does not include the tax from Part VI) 2b		
С		2c	1,122.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	957,786.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	957,786.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII,		
	line 1	7	957,786.
Pa	art XII Qualifying Distributions (see page 25 of the instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
а	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	1,145,265.
b	Program-related investments - total from Part IX-B	1b	150,000.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the	-	
а	, , , , , , , , , , , , , , , , , , , ,	3a	0.
b	Cash distribution test (attach the required schedule)	3 b	0.
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,295,265.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income		
	Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,295,265.
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating we qualifies for the section 4940(e) reduction of tax in those years	hether the for	undation

Pa	rt XIII Undistributed Income (see page	26 of the instruction	ns)		<del></del>
4	Distributable amount for 0000 from Dat M	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI,	Corpus	. 3010 p.1.01 to 2000	2000	957,786.
_	line 7				337,700.
2	Undistributed income, if any, as of the end of 2009.				
	Enter amount for 2008 only				
	Total for pnor years 20_07_,20_06_,20_05_			<del>_</del> ·	<u> </u>
3	Excess distributions carryover, if any, to 2009				
	From 2004				
	000 024				
	265 152				
	. 1011 2000	1 EOO E70			
f	Total of lines 3a through e . , , ,	1,589,578.	<del></del>	<del></del>	<del> </del>
4	Qualifying distributions for 2009 from Part XII, line 4 > \$ 1,295,265.				
а	Applied to 2008, but not more than line 2a				
_	Applied to undistributed income of prior years (Election				
	required - see page 26 of the instructions)				
•	Treated as distributions out of corpus (Election				
·	required - see page 26 of the instructions)				
d	Applied to 2009 distributable amount	1			957,786.
	Remaining amount distributed out of corpus	337,479.			
5	Excess distributions carryover applied to 2009				
	(If an amount appears in column (d), the same				'
6	amount must be shown in column (a)) Enter the net total of each column as			•	
•	indicated below:	•			
а	Corpus. Add lines 3f, 4c, and 4e Subtract line 5	1,927,057.	'		ŀ
	Prior years' undistributed income Subtract				
	line 4b from line 2b			1 ,	
C	Enter the amount of prior years' undistributed				
	income for which a notice of deficiency has been				
	issued, or on which the section 4942(a) tax has been previously assessed				
đ	Subtract line 6c from line 6b Taxable	+*			
ч	amount - see page 27 of the instructions				
e	Undistributed income for 2008 Subtract line			_	
	4a from line 2a Taxable amount - see page 27 of the instructions	l			
			· · · · · · · · · · · · · · · · · · ·		
1	Undistributed income for 2009 Subtract lines 4d and 5 from line 1. This amount must be				
	distributed in 2010				
7	Amounts treated as distributions out of corpus				
	to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the				
	instructions)				
8	Excess distributions carryover from 2004 not				
	applied on line 5 or line 7 (see page 27 of the instructions)	132,218.			
9	Excess distributions carryover to 2010.				
	Subtract lines 7 and 8 from line 6a	1,794,839.			
10	Analysis of line 9				
	Excess from 2005 602, 383.				
	Excess from 2006 299, 990.				
	Excess from 2007 289, 834.				
	Excess from 2008 265, 153.				
	Excess from 2009				1

Pa	rt XIV Private Oper	rating Foundations (	see page 27 of the	instructions and Par	t VII-A, question 9)	NOT APPLICABLE		
	If the foundation has							
	foundation, and the ruling							
b	Check box to indicate wh				4942(j	)(3) or 4942(j)(5)		
2 -	E. 0. 1	Tax year		Prior 3 years		(-) 7.4.4		
2 a	Enter the lesser of the ad- justed net income from Part	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total		
	I or the minimum investment return from Part X for each year listed							
_								
b	85% of line 2a		-					
С	Qualifying distributions from Part XII, line 4 for each year listed .							
d	Amounts included in line 2c not used directly for active conduct of exempt activities							
е	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c							
3	Complete 3a, b, or c for the				<del></del>			
	alternative test relied upon			)		}		
а	"Assets" alternative test - enter (1) Value of all assets							
	(2) Value of assets qualifying under section							
b	4942(j)(3)(B)(i) "Endowment" alternative test-							
	enter 2/3 of minimum invest-							
	ment return shown in Part X, line 6 for each year listed							
C	"Support" alternative test - enter							
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities to the control securities).							
	loans (section 512(a)(5)), or royalties)							
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942							
	(j)(3)(B)(iii) (3) Largest amount of support from an exempt				<u> </u>			
	organization (4) Gross investment income	ļ						
Рa		ary Information (C	omplete this part	only if the found:	ation had \$5,000	or more in assets		
		during the year - se			, , , , , , ,			
1	Information Regardin	g Foundation Manage	rs:					
а	List any managers of before the close of any							
	N/A							
b	List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.							
	N/A							
2	Information Regardin	g Contribution, Grant	, Gift, Loan, Scholars	hip, etc., Programs:				
	Check here ▶ ☐ If the unsolicited requests forganizations under other than the control of the c	for funds If the foun	dation makes gifts, g	grants, etc. (see page		and does not accept ons) to individuals or		
а	The name, address, a	•	of the person to who	m applications should b	e addressed:			
b	The form in which app	lications should be su	bmitted and informati	on and materials they	should include.			
_	SEE ATTACH	ED STATEMENT						
С	Any submission deadle	ines.						
	ATTACHM							
d	Any restrictions or li factors:		such as by geogra	aphical areas, charita	ble fields, kınds of	institutions, or other		
	<b>ር</b> ድር አጥጥአ <u>ር</u> ሀ	בר כהצהבאבאה						

Part XV Supplementary Information (continued) 3 Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, show any relationship to any foundation manager or substantial contributor Foundation status of recipient Recipient Purpose of grant or contribution Amount Name and address (home or business) a Paid during the year 577,466. SEE ATTACHED STATEMENT 577,466. **b** Approved for future payment 0. NONE

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Total. .

0.

ter gross amounts unless otherwise indicated	Unrela	ated business income	Excluded by	section 512, 513, or 514	(e) Related or exempt function income
D	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	function income (See page 28 of the instructions)
Program service revenue			<del></del>		the manacoons /
a	-	·			
c	_				
d					
		<u>-</u>			
e	-				-
g Fees and contracts from government agencies					<del></del> ''
Membership dues and assessments		-			
Interest on savings and temporary cash investments	D	-			
Dividends and interest from securities			14	273,935.	-
Net rental income or (loss) from real estate	•			_	
a Debt-financed property					
b Not debt-financed property					
Net rental income or (loss) from personal property	I				
Other investment income	I				
Gain or (loss) from sales of assets other than invent			18	<del>-</del> 79,755.	
Net income or (loss) from special events					
Gross profit or (loss) from sales of inventory.	1				
Other revenue a					
b REFUND					23,04
с					
d	_				
e					
O - Land - Add Love - 20 \ 20 \ \ 17 \ \	1				
Subtotal Add columns (b), (d), and (e)			( , = "	194,180.	
Subtotal Add columns (b), (d), and (e)  Total. Add line 12, columns (b), (d), and (e)  ee worksheet in line 13 instructions on page art XVI-B Relationship of Activit	28 to venfy calc	ulations)	Exempt Purp		217,22
Total. Add line 12, columns (b), (d), and (e) eworksheet in line 13 instructions on page	28 to verify calc	ulations) complishment of I	Exempt Purp	poses  (e) of Part XVI-A con	23,04 217,22  tributed importantly such purposes). (
Total. Add line 12, columns (b), (d), and (e) .  e worksheet in line 13 instructions on page art XVI-B Relationship of Activitions.  Explain below how each active the accomplishment of the	28 to verify calc	ulations) complishment of I	Exempt Purp	poses  (e) of Part XVI-A con	217,22
Total. Add line 12, columns (b), (d), and (e) .  e worksheet in line 13 instructions on page art XVI-B Relationship of Activitions.  Explain below how each active the accomplishment of the	28 to verify calc	ulations) complishment of I	Exempt Purp	poses  (e) of Part XVI-A con	217,22
Total. Add line 12, columns (b), (d), and (e). e worksheet in line 13 instructions on page art XVI-B Relationship of Activition ne No. Explain below how each activition accomplishment of the	28 to verify calc	ulations) complishment of I	Exempt Purp	poses  (e) of Part XVI-A con	217,22
Total. Add line 12, columns (b), (d), and (e) e worksheet in line 13 instructions on page art XVI-B Relationship of Activitions No.  Explain below how each active the accomplishment of the	28 to verify calc	ulations) complishment of I	Exempt Purp	poses  (e) of Part XVI-A con	217,22
Total. Add line 12, columns (b), (d), and (e) e worksheet in line 13 instructions on page int XVI-B Relationship of Activitions No.  Explain below how each active the accomplishment of the	28 to verify calc	ulations) complishment of I	Exempt Purp	poses  (e) of Part XVI-A con	217,22
Total. Add line 12, columns (b), (d), and (e) e worksheet in line 13 instructions on page int XVI-B Relationship of Activitions No.  Explain below how each active the accomplishment of the	28 to verify calc	ulations) complishment of I	Exempt Purp	poses  (e) of Part XVI-A con	217,22
Total. Add line 12, columns (b), (d), and (e) e worksheet in line 13 instructions on page int XVI-B Relationship of Activitions No.  Explain below how each active the accomplishment of the	28 to verify calc	ulations) complishment of I	Exempt Purp	poses  (e) of Part XVI-A con	217,22
Total. Add line 12, columns (b), (d), and (e) e worksheet in line 13 instructions on page int XVI-B Relationship of Activitions No.  Explain below how each active the accomplishment of the	28 to verify calc	ulations) complishment of I	Exempt Purp	poses  (e) of Part XVI-A con	217,22
Total. Add line 12, columns (b), (d), and (e) e worksheet in line 13 instructions on page int XVI-B Relationship of Activitions No.  Explain below how each active the accomplishment of the	28 to verify calc	ulations) complishment of I	Exempt Purp	poses  (e) of Part XVI-A con	217,22
Total. Add line 12, columns (b), (d), and (e) e worksheet in line 13 instructions on page int XVI-B Relationship of Activitions No.  Explain below how each active the accomplishment of the	28 to verify calc	ulations) complishment of I ch income is report exempt purposes	Exempt Purped in column (other than	poses  (e) of Part XVI-A con	217,22
Total. Add line 12, columns (b), (d), and (e) e worksheet in line 13 instructions on page int XVI-B Relationship of Activitions No.  Explain below how each active the accomplishment of the	28 to verify calc	ulations) complishment of I	Exempt Purped in column (other than	poses  (e) of Part XVI-A con	217,22
rt XVI-B Relationship of Activities  Explain below how each active the accomplishment of the	28 to verify calc	ulations) complishment of I ch income is report exempt purposes	Exempt Purped in column (other than	poses  (e) of Part XVI-A con	217,22
rt XVI-B Relationship of Activities  Explain below how each active the accomplishment of the	28 to verify calc	ulations) complishment of I ch income is report exempt purposes	Exempt Purped in column (other than	poses  (e) of Part XVI-A con	217,22
rt XVI-B Relationship of Activities  Explain below how each active the accomplishment of the	28 to verify calc	ulations) complishment of I ch income is report exempt purposes	Exempt Purped in column (other than	poses  (e) of Part XVI-A con	217,22
rt XVI-B Relationship of Activities  Explain below how each active the accomplishment of the	28 to verify calc	ulations) complishment of I ch income is report exempt purposes	Exempt Purped in column (other than	poses  (e) of Part XVI-A con	217,22
rt XVI-B Relationship of Activities  Explain below how each active the accomplishment of the	28 to verify calc	ulations) complishment of I ch income is report exempt purposes	Exempt Purped in column (other than	poses  (e) of Part XVI-A con	217,22
Total. Add line 12, columns (b), (d), and (e) e worksheet in line 13 instructions on page int XVI-B Relationship of Activitions No.  Explain below how each active the accomplishment of the	28 to verify calc	ulations) complishment of I ch income is report exempt purposes	Exempt Purped in column (other than	poses  (e) of Part XVI-A con	217,22
Total. Add line 12, columns (b), (d), and (e) e worksheet in line 13 instructions on page int XVI-B Relationship of Activitions No.  Explain below how each active the accomplishment of the	28 to verify calc	ulations) complishment of I ch income is report exempt purposes	Exempt Purped in column (other than	poses  (e) of Part XVI-A con	217,22
Total. Add line 12, columns (b), (d), and (e) e worksheet in line 13 instructions on page int XVI-B Relationship of Activitions No.  Explain below how each active the accomplishment of the	28 to verify calc	ulations) complishment of I ch income is report exempt purposes	Exempt Purped in column (other than	poses  (e) of Part XVI-A con	217,22
Total. Add line 12, columns (b), (d), and (e). e worksheet in line 13 instructions on page art XVI-B Relationship of Activition ne No. Explain below how each activition accomplishment of the	28 to verify calc	ulations) complishment of I ch income is report exempt purposes	Exempt Purped in column (other than	poses  (e) of Part XVI-A con	217,22
Total. Add line 12, columns (b), (d), and (e) e worksheet in line 13 instructions on page int XVI-B Relationship of Activitions No.  Explain below how each active the accomplishment of the	28 to verify calc	ulations) complishment of I ch income is report exempt purposes	Exempt Purped in column (other than	poses  (e) of Part XVI-A con	217,22
Total. Add line 12, columns (b), (d), and (e) e worksheet in line 13 instructions on page art XVI-B Relationship of Activitions No.  Explain below how each active the accomplishment of the	28 to verify calc	ulations) complishment of I ch income is report exempt purposes	Exempt Purped in column (other than	poses  (e) of Part XVI-A con	217,22
Total. Add line 12, columns (b), (d), and (e). e worksheet in line 13 instructions on page art XVI-B Relationship of Activition ne No. Explain below how each activition accomplishment of the	28 to verify calc	ulations) complishment of I ch income is report exempt purposes	Exempt Purped in column (other than	poses  (e) of Part XVI-A con	217,22

Form	990-PF	(200	9)				3	6-1210140		Pa	ige 13
_	rt XVII	<u> </u>			Transfers To	and Transa	ctions a	and Relationships Wit	h Nonc	harit	able
1	Did ti in sec	ction	n 501(c) of the C	ly or indirectly code (other than	engage in any on section 501(c)(3	of the following  3) organizations	ng with ar s) or in se	ny other organization descrit ection 527, relating to politi	ed	Yes	No
а	Trăns	fers	from the reporting f		•	-			1a(1)		x
									1	I	X
b			sactions								
									1	I -	X
											X
											X
										•	Х
											X
C		•			•			Column (h) should always (		four r	X
a								Column (b) should always s If the foundation received			
								of the goods, other assets,			
				T			1	<del></del>			
(a) I	Ine no	<u> </u>	b) Amount involved N/A	(c) Name of	noncharitable exempt	organization	N/A	ription of transfers, transactions, and	shanng arra	ingeme	nts
<del></del> -			11/11	<del> </del>	<u> </u>		1				
			<del></del>		<del></del>						
		ļ		-			<u> </u>				
		$\vdash$		<del> </del>				<del></del>			
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				<del> </del>		<del> </del>		,			
			· -	<del></del>							
		Ļ					L				
2a			undation directly or )1(c) of the Code (ot	•			more tax-ex	xempt organizations described		es 🗀	No No
ь			complete the following						·	c.s	
			(a) Name of organization	on .	(b) Type	of organization		(c) Description of re	ationship		
			·								
	·	-	<del></del> -								
							1	<u> </u>			
ı	Under belief,	pena it is	alties of perjuty, I dec toue, correst, and com	lare that I have ex oplete Declaration	amined this return, is of preparer (other that	ncluding accomp: an taxpayer or fid	anying sched uciary) is bas	ules and statements, and to the b sed on all information of which pr	est of my k eparer has a	nowled ny kno	ge and wledge
		/	Im	M/	mm	_	2-8-	11 TREASURE	-R		
<u>و</u>	Sı	igpat	of officer or trustee		7		Date	Title	<u> </u>		
Sign Here	Paid Preparer's Use Only	Pre	eparer's	N. J.W	oodhul	Pate Date	17/11	Check if self-employed page 3	rer's identi er (See Sign 0 of the inst 305268	áture o	
	Paid Preparer's Use Only	Fir	m's name (or yours i		HORWATH LL	P' \	1	EIN ▶			
	د ۵	1 26	lf-employed), address d ZIP code		ST MADISON	STREET, S	UITE 70			2000	
		1 411	u zir wae \	CHICA	GO, IL		606	502-4903 Phone no 31.	2-899-7		(2009)

PAGE 16

WASHINGTON SQUARE HEALTH FOUNDATION, INC.

ATTACHMENT

- OTHER INCOME PART FORM 990PE,

DESCRIPTION INCOME FROM SCHEDULES K-1 FEDERAL EXCISE TAX REFUND

NET 23,049. EXPENSES PER BOOKS REVENUE AND

INVESTMENT

-72,202. INCOME

-72,202.

23,049.

TOTALS

ATTACHMENT 1

# - OTHER PROFESSIONAL FEES PART I FORM 990PF,

	REVENUE AND	E E E	
DESCRIPTION	EXPENSES PER BOOKS	INVESTMENT	CHARITABLE PURPOSES
ADVISORY MANAGEMENT FEES CONSULTING FEES	75,071.	75,071. 746.	0. 6,711.
S.TATOT	82,528.	75,817.	6,711.

6,711.

0.6,711.

## TAXES ı PART FORM 990PF,

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITAI <u>PURPOSI</u>
FEDERAL EXCISE TAX PAYROLL TAX FOREIGN TAXES		3,300. 14,567. 0.	0. 1,457. 368.	13,
	TOTALS	17,867.	1,825.	13,

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PAGE 19

WASHINGTON SQUARE HEALTH FOUNDATION, INC.

EXPENSES
- OTHER
PART I
990PF,
FORM

	NET	INVESTMENT	INCOME	3,923.	1,388.	1,448.	550.	319.	274.	151.	138.	58.	4.	8,253.
REVENUE	AND		PER BOOKS	0	13,872.	14,478.	5,497.	3,190.	2,739.	1,514.	1,376.	580.	35.	82,511.
														TOTALS
			DESCRIPTION	INSURANCE	OFFICE SUPPLIES	BOARD EXPENSES	STAFF DEVELOPMENT	DUES AND SUBSCRIPTIONS	SERVICE CONTRACTS	DELIVERY EXPENSE	EXECUTIVE DIRECTOR EXPENSE	BANK CHARGES	DIABETES PROGRAM MEETING	

PURPOSES 35,307. 12,484. 13,030. 4,947. 2,871. 2,465. 1,363. 1,238. 522.

CHARITABLE

AND STATE OBLIGATIONS - U.S. PART II FORM 990PF,

DESCRIPTION

ENDING ATTACHMENT BOOK VALUE ENDING

FMV

987,805. 987,805.

US OBLIGATIONS TOTAL

U.S GOVERNMENT AGENCY ISSUES

987,805.

987,805.

INC.
FOUNDATION,
HEALTH
SQUARE
WASHINGTON

- CORPORATE STOCK

PART II

FORM 990PF,

ENDING FMV_	7. 4,307,927.	7. 3,768,647.	1,663,488. 4. 552,114.	.7. 477, 627. :3. 233, 983.
ENDING BOOK VALUE	4,307,927	3,768,647	1,663,488. 552,114.	477,627.
DESCRIPTION	INTERNATIONAL AND GLOBAL MUTUAL FUNDS	DOMESTIC LARGE CAP MUTUAL FUNDS	MUTUAL FUNDS DOMESTIC EQUITY FUNDS	COMMODILLES AND NATURAL RESOURCES MUTUAL FUNDS FIXED INCOME

TOTALS

36-1210140

- CORPORATE BONDS PART II FORM 990PF,

WASHINGTON SQUARE HEALTH FOUNDATION, INC.

DESCRIPTION

BOND MUTUAL FUNDS

TOTALS

ATTACHMENT

ENDING BOOK VALUE

ENDING FMV

612,048.

612,048.

612,048.

612,048.

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION

FUND OF HEDGE FUNDS

TOTALS

ATTACHMENT 8

ENDING BOOK VALUE

ENDING <u>EMV</u>

2,967,894.

2,967,894.

2,967,894.

2,967,894.

LNC.
FOUNDAT' 10N,
HEALTH
SQUARE
SHINGTON

- OTHER ASSETS

PART II

FORM 990PF,

Q	Ì
	TTACHMENT

ACCRUED INTEREST/DIVIDENDS RENT SECURITY DEPOSIT PROGRAM RELATED INVESTMENTS DESCRIPTION

TOTALS

ENDING	FMV	
ENDING	BOOK VALUE	

23,870. 6,971. 658,713. 23,870. 6,971. 658,713.

689,554. 689,554. 9

WASHINGTON SQUARE HEALTH FOUNDATION, INC.

36-1210140

FORM 990PF, PART II - OTHER LIABILITIES

ATTACHMENT 10

DESCRIPTION

ENDING BOOK VALUE

EMPLOYEE BENEFIT PLAN

552,114.

TOTALS

552,114.

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION\_

AMOUNT

UNREALIZED GAIN ON INVESTMENTS

1,308,676.

TOTAL

1,308,676.

WASHINGTON SQUARE HEALTH FOUNDATION, INC.
FORM 990-PF - PART IV

Kınd of Pı	operty	INS AND LO		cription		P] Di	Date acquired	Date sold
Gross sale price less	Depreciation allowed/	Cost or other	FMV as of	Adj basis as of	Excess of FMV over		Gain or	
expenses of sale	allowable	basis	12/31/69	12/31/69	adı basıs	+	(loss)	
		DODGE & COX	ζ					05/04/201
750,000.		791,444.					-41,444.	
		LKCM SMALL	CND					05/04/201
600,000.		572,858.	CAI				27,142.	00,01,202
·								
-75 000		CASTLE ARK	INV TRUST			İ	-49,843.	05/03/201
575,000.		624,843.			_		-49,043.	1
		THORNBURG I	INTERNATION	AL				09/01/201
90,000.		105,610.					-15,610.	
						1		
OTAL GAIN(LC	SS)					=	-79 <u>,</u> 755.	
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HEALTH FOUNDATION,
HEALTH
SQUARE
WASHINGTON

PART VIII - LIST OF OFFICERS,

FORM 990PE,

DIRECTORS, AND TRUSTEES

ACCT ER CES		. 0	. 0	· o	. 0
EXPENSE ACCT AND OTHER ALLOWANCES					
CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS		.0	0	.0	.0
COMPENSATION	21,250.	7,000.	9,250.	8,500.	2,500.
TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	PRESIDENT & DIRECTOR 2.00	VICE PRESIDENT & DIRECTOR 2.00	SECRETARY & DIRECTOR 2.00	TREASURER & DIRECTOR 2.00	DIRECTOR 2.00
NAME AND ADDRESS	ANGELO P. CRETICOS, MD 875 NORTH MICHIGAN AVENUE 3516 CHICAGO, IL 60611	WILLIAM N. WERNER, MD, MPH 875 NORTH MICHIGAN AVENUE 3516 CHICAGO, IL 60611	WILLIAM B. FRIEDEMAN 875 NORTH MICHIGAN AVENUE 3516 CHICAGO, IL 60611	JAMES M. SNYDER 875 NORTH MICHIGAN AVENUE 3516 CHICAGO, IL 60611	SUNNY A. WIRTZ 875 NORTH MICHIGAN AVENUE 3516 CHICAGO, IL 60611

FORM 990PE, PART VIII - LIST OF OFFICERS,	ICERS, DIRECTORS, AND TRUSTEES		ATTACHMENT	MENT 12 (CONT'D)
NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JOHN C. YORK 875 NORTH MICHIGAN AVENUE 3516 CHICAGO, IL 60611	DIRECTOR 2.00	3,500.		·
RICHARD B. PATTERSON, DPM, MSPH 875 NORTH MICHIGAN AVENUE 3516 CHICAGO, IL 60611	DIRECTOR 2.00	6,250.	o	
BILL WILEY 875 NORTH MICHIGAN AVENUE 3516 CHICAGO, IL 60611	DIRECTOR 2.00	7,750.	Ö	·
HOWARD NOCHUMSON 875 NORTH MICHIGAN AVENUE 3516 CHICAGO, IL 60611	EXEC DIR/ASSIST. SEC. & TREAS. 40.00	193,076.	108,500.	.0
HOWARD M. MCCUE III 875 NORTH MICHIGAN AVENUE 3516 CHICAGO, IL 60611	OFFICER/ASSIST. SEC. & TREAS. 2.00	ö	0	.0
	GRAND TOTALS	259,076.	108,500.	0

	990PF. PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES
	PAID
	HIGHEST
LNC.	FIVE
	THE
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WASHINGTON SQUARE HEALTH FOUNDATION,	SATION
HEALTH	COMPEN
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QUAI	. 1 1 1
STONS	PART
WASHIN	99005

1 13	EXPENSE ACCT AND OTHER ALLOWANCES	.0	0
ATTACHMENT 13	CONTRIBUTIONS EXPENSE ACCT TO EMPLOYEE AND OTHER BENEFIT PLANS ALLOWANCES	18,024.	18,024.
Mr Lo I ces	COMPENSATION	59,314.	59,314.
ON OF THE FIVE HIGHEST FAID E	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	PROGRAM OFFICER 40.00	TOTAL COMPENSATION
990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST FAID EMFLOIDES	NAME AND ADDRESS	CATHERINE BAGINSKI 875 NORTH MICHIGAN AVENUE CHICAGO, IL 60611	

### FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

HOWARD NOCHUMSON 875 NORTH MICHIGAN AVE., SUITE 3516 CHICAGO, IL 60611 312-664-6488

#### 990PF, PART XV - SUBMISSION DEADLINES

FOR THE FISCAL YEAR COVERED BY THE RETURN, GRANT SUBMISSIONS WERE ACCEPTED ON A CONTINUOUS ROLLING BASIS FOR EITHER THE DECEMBER 1 OR OR JUNE 1 DEADLINES.

BY-LAWS

OF

#### WASHINGTON SQUARE HEALTH FOUNDATION, INC.

#### ARTICLE I - NAME AND PURPOSES

Section 1. Name. The name of the corporation shall be Washington Square Health Foundation, Inc.

Section 2. Offices. The corporation shall maintain a registered office in the State of Illinois and a registered agent at such office and may have other offices within or without the state.

Section 3. <u>Purposes</u>. The purposes for which the corporation is organized are exclusively charitable, scientific and educational purposes, including, for such purposes, the making of distributions to organizations that qualify as exempt organizations under section 501(c)(3) of the Internal Revenue Code of 1986 (or the corresponding provision of any future United States Internal Revenue Law), including, without limitation, exempt organizations that are limited to promoting general health and welfare.

No part of the net earnings of the corporation shall inure to the benefit of, or be distributable to its directors, officers, or other private persons, except that the corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in these by laws. substantial part of the activities of the corporation shall be the carrying on of propaganda, or otherwise attempting to influence legislation, and the corporation shall not participate in, or intervene in (including the publishing or distribution of statements) any political campaign on behalf of any candidate for public office. Notwithstanding any other provision of these bylaws, the corporation shall not carry on any other activities not permitted to be carried on (a) by a corporation exempt from federal income tax under section 501(c)(3) of the Internal Revenue Code of 1986 (or the corresponding provision of any future United States Internal Revenue Law) or (b) by a corporation, contributions to which are deductible under section 170(c)(2) of the Internal Revenue Code of 1986 (or the corresponding provision of any future United States Internal Revenue Law).

Upon the dissolution of the corporation, the board of directors shall, after paying or making provision for the payment

of all of the liabilities of the corporation, dispose of all of the assets of the corporation exclusively for the purposes of the corporation in such manner, or to such organization or organizations organized and operated exclusively for charitable, scientific or educational purposes as shall at the time qualify as an exempt organization or organizations under section 501(c)(3) of the Internal Revenue Code of 1986 (or the corresponding provision of any future United States Internal Revenue Law), as the board of directors shall determine. Any such assets not so disposed of shall be disposed of by the Circuit Court or the Court of Common Fleas of the county in which the principal office of the corporation is then located, exclusively for such purposes or to such organization or organizations, as said Court shall determine, which are organized and operated exclusively for such purposes.

The corporation shall distribute its income for each tax year at such time and in such manner as not to become subject to the tax on undistributed income imposed by section 4942 of the Internal Revenue Code of 1986 (or the corresponding provision of any future United States Internal Revenue Law). Further, the corporation shall not engage in any act of self-dealing as defined in section 4941(d) of the Internal Revenue Code of 1986 (or the corresponding provision of any future United States Internal Revenue Law), nor retain any excess business holdings as defined in section 4943(c) of the Internal Revenue Code of 1986 (or the corresponding provision of any future United States Internal Revenue Law), nor make any investments in such manner as to incur tax liability under section 4944 of the Internal Revenue Code of 1986 (or the corresponding provision of any future United States Internal Revenue Law), nor make any taxable expenditures as defined in section 4945(d) of the Internal Revenue Code of 1986 (or the corresponding provision of any future United States Internal Revenue Law).

#### ARTICLE II - MEMBERS

The corporation shall have no members.

#### ARTICLE III - BOARD OF DIRECTORS !

Section 1. <u>General Powers</u>. The affairs of the corporation shall be managed by its board of directors.

Section 2. Number. Tenure and Qualifications. The number of directors shall be fixed by resolution of the board of directors and shall be not fixer than seven (7) nor more than twelve (12). Directors shall be elected annually by the board of directors at the annual meeting of the board of directors. If the election of directors shall not be held at such meeting, such

election shall be held as soon thereafter as conveniently possible. Each director shall hold office until his or her successor shall have been duly elected and shall have qualified or until his or her death or until he or she shall resign. Directors need not be residents of Illinois.

(Revised 5/11/2000)

Section 3. Annual Meeting. An annual meeting of the board of directors shall be held in December or on such date and at such and at such time and place as may be fixed by the president of the board, of if the president of the board (or vice president acting in place of the president of the board pursuant to Article V) is for any reason unable to act, by any two (2) directors.

Section 4. Other Regular Meetings, The board of directors may provide by resolution the time and place for the holding of additional regular meetings of the board without other notice than such resolution.

Section 5. Special Nactings. Special meetings of the board of directors may be called by or at the request of the chairman of the board or any two directors. The person or persons authorized to call special meetings of the board may fix any place within the city of Chicago, Illinois, as the place for holding any special meeting of the board called by them.

Section 6. Notice. Notice of any special meeting of the board of directors shall be given at least ten (10) days prior thereto, or, if the removal of one or more directors will be discussed, at least twenty (20) days wright thereto, in either case by written notice to each director the address shown for such director on the records of the corporation. If mailed, such notice shall be deemed to be delivered when deposited in the United States mail in a scaled envelope so addressed, with postage thereon prepaid. If notice small be given by telegram, such notice shall be deemed to be delivered when the telegram is delivered to the telegraph company. Notice of any special meeting of the board of directors may be waived in writing signed by the person or persons entitled to such notice wither before or after the time of the meeting. The attendance of a director at any meeting shall constitute a waiver of notice of such meeting, except where a director attends a meeting for the express purpose of objecting to the transaction of any business because the meeting is not lawfully called or convened. Neither the business to be transacted at, nor the purpose of, any regular or special meeting of the board need be specified in the notice or waiver of notice of such meeting, unless specifically required by law or by these by-laws.

Section 7. Ougrum. At all meatings of the board of directors a majority of the total number of directors shall

-3-

constitute a quorum for the transaction of business, provided that if less than a majority of the directors is present at said meeting, a majority of the directors present may adjourn the meeting to another time without further motice.

Section 8. Manner of Acting. The act of a majority of the directors present at a meeting at which a quorum is present shall be the act of the board of directors, unless the act of a greater number is required by statute, the articles of incorporation or these by-laws.

Section 9. Action Without Meeting. Any action required to be taken at a meeting of the directors of the corporation, or any other action which may be taken at a meeting of directors, may be taken without a meeting if a consent in writing, setting forth the action so taken, shall be signed by all the directors entitled to vote with respect to the subject matter thereof.

Section 10. Attendance by Telephone. Directors may participate in any meeting through the use of a conference telephone or similar communications equipment by means of which all persons participating in the meeting can hear each other, and such participation in a meeting shall constitute presence in person at the meeting.

Section 11. <u>Vacancies</u>. Any vacancy occurring in the board of directors or any directorship to be filled by reason of an increase in the number of directors shall be filled by the board of directors. A director elected to fill a vacancy shall serve for the unexpired term of his or her predecessor.

Section 12. Compensation. Directors shall not receive any stated salaries for their services, but by resolution of the board of directors a fixed sum and expenses of attendance, if any, may be paid for each regular or special meeting of the board, provided that nothing herein contained shall be construed to preclude any director from serving the corporation in any other capacity and receiving reasonable compensation therefor.

Section 13. Removal. A director may be removed for cause by a vote of two-thirds (2/3) of all directors then in office. Such action shall be taken at a regular meeting of the board of directors or at a special meeting called for such purpose, and the proposed removal shall be set forth in the notice of any such regular or special meeting, sent at least twenty (20) days prior thereto.

#### ARTICLE IV - COMMITTEES

Section 1. Creation of Committees. The board of directors, by resolution adopted by a majority of the directors in office, may designate one (1) or more committees, each of which shall consist of two (2) or more directors and such other persons as the board shall appoint, provided that a majority of the members of each committee shall be directors.

Section 2. Manner of Acting. Each committee, to the extent provided in the resolution creating such committee and except as limited by law, the articles of incorporation or these by-laws, shall have and exercise the authority of the board of directors in the management of the corporation; but the designation of such committees and the delegation thereto of authority shall not operate to relieve the board of directors, or any individual director, of an responsibility imposed upon it or him or her by law. Unless otherwise provided in the resolution creating a committee, such committee may select its chairman, fix the time and place of its meetings, specify what notice of meetings, if any, shall be given, and fix its rules of procedure which shall not be inconsistent with these by-laws pr with rules adopted by the board of directors. The act of a majority of committee members present at a meeting at which a quorum is present shall be the act of the committee.

Section 3. Term of Office. Each member of a committee shall continue as such until his or her successor is appointed, unless the committee shall be sooner terminated, on unless such member be removed from such committee, or unless such member shall cease to qualify as a member thereof.

Section 4. <u>Vacancies</u>. Vacancies in the membership of any committee may be filled by appointments made in the same manner as provided in the case of the original appointments.

Section 5. Quorum. Unless otherwise provided in the resolution of the board of directors designating a committee, a majority of the whole committee shall constitute a quorum and the act of a majority of the members present at a meeting at which a quorum is present shall be the act of the committee.

Section 6. Action Without Meeting, Any action which may be taken at a meeting of a committee may be taken without a meeting if a consent in writing, setting forth the action so taken, shall be signed by all of the members of the committee entitled to vote with respect to the subject matter thereof.

Section 7. Attendance by Telephane. Members of a committee may participate in any meeting through the use of a conference telephone or similar communications equipment by means of which all persons participating in the meeting can hear each other, and such participation in a meeting shall constitute presence in person at the meeting.

#### ARTICLE V - OFFICERS

Section 1. Enumeration. The officers of the corporation shall be a chairman of the board, a vice-chairman of the board, a president, a vice-president, a secretary, a treasurer and an executive director. The board of directors may also elect one or more additional vice-presidents, one or more assistant secretaries or assistant treasurers and such other officers as it shall deem appropriate. Officers whose authority and duties are not prescribed in these by-laws shall have the authority and perform the duties prescribed, from time to time, by the board of directors. Any two or more offices may be held by the same person, except the offices of chairman of the board, vice-chairman of the board and secretary.

Section 2. Term of Office. The officers of the corporation shall be elected at the annual meeting of the board of directors and shall hold office until their successors are elected and qualified or until their death, resignation or removal. Vacancies may be filled or new offices created and filled at any meeting of the board of directors. Election of an officer shall not of itself create contract rights. Any officer elected by the board of directors may be removed by the board whenever in its judgment the best interests of the corporation would be served thereby. Such removal shall be without prejudice to the contract rights, if any, of the person so removed.

Section 3. Chairman of the Board, The chairman of the board shall be the principal executive officer of the corporation. Subject to the direction and control of the board of directors, the chairman of the board shall have general supervision, direction and control of the business and affairs of the corporation and shall perform all duties incident to the office of chairman of the board and such other duties as may be assigned to him or her by the board of directors. Except in those instances in which the authority to execute is expressly delegated to another officer or agent of the corporation or a different mode of execution is expressly prescribed by the board of directors, the chairman of the board may execute for the corporation any contracts, deeds, mortgages, bonds, or other instruments which the board of directors has authorized to be executed, and he or she may accomplish such execution either under or without the seal of the corporation and either

individually or with the executive director, president, secretary, any assistant secretary, or any other officer thereunto authorized by the board of directors, according to the requirements of the form of the instrument. The chairman of the board may vote all securities which the corporation is entitled to vote except as and to the extent such authority shall be vested in a different officer or agent of the corporation by the board of directors.

Section 4. Vice-Chairman of the Board. The vice-chairman of the board shall perform such duties and have such other powers as shall be assigned to him or her by the chairman of the board or the board of directors. Further, in the absence of the chairman of the board or in the event of his or her inability or refusal to act, the vice-chairman of the board shall perform the duties of the chairman of the board and when so acting, shall have all the powers of and be subject to all the restrictions upon the chairman of the board.

Section 5. President. The president shall perform such duties and have such other powers as shall be assigned to him or her by the chairman of the board, the vice-chairman of the board or the board of directors. Further, in the absence of the chairman of the board and the vice-chairman of the board or in the event of the inability or refusal to act of the chairman of the board and the vice-chairman of the board, the president shall perform the duties of the chairman of the board and when so acting, shall have all the powers of and be subject to all the restrictions upon the chairman of the board.

Section 6. Vice-President. The vice-president shall perform such duties and have such other powers as shall be assigned to him or her by the chairman of the board, the vice-chairman of the board, the president or the board of directors. Further, in the absence of the president or in the event of his or her inability or refusal to act, the vice-president shall perform the duties of the president and when so acting, shall have all the powers of and be subject to all the restrictions upon the president.

Section 7. Secretary. The secretary shall keep a record of all proceedings of the board of directors in a book to be kept for that purpose; see that all notices are duly given in accordance with the provisions of these by-laws or as required by law; be custodian of the corporate records and of the seal of the corporation; and perform all duties inclident to the office of secretary and such other duties as from time to time may be assigned to him or her by the chairman of the board, the vice-chairman of the board or by the board of directors. With the approval of the board of directors, the secretary may delegate

specified duties to an assistant secretary or other person for the effective conduct of the affairs of the corporation.

Section 8. Treasurer. The treasurer shall have charge of and be responsible for the maintenance of adequate books of account for the corporation; have charge and custody of all funds and securities of the corporation, and be responsible for the receipt and disbursement thereof; and perform all duties incident to the office of a treasurer and such other duties as may be assigned to him or her by the chairman of the board, the vice-chairman of the board or the board of directors. With the approval of the board of directors, the treasurer may delegate specified duties to an assistant treasurer or other person for the effective conduct of the affairs of the corporation.

Section 9. Executive Director. The executive director shall be the principal staff officer of the corporation. Subject to the direction and control of the board of directors, the executive director shall have day-to-day supervision, direction and control of the business and officers of the corporation and shall perform all duties incident to the office of executive director and such other duties as may be assigned to him or her by the board of directors. Except in those instances in which the authority to execute is expressly delegated to another officer or agent of the corporation or a different mode of execution is required by law or expressly prescribed by the board of directors, the executive director may execute for the corporation any contracts, deeds, mortgages, bonds, or other instruments which the board of directors has authorized to be executed, and he or she may accomplish such execution either under or without the seal of the corporation and either individually or with the chairman of the board, vice-chairman of the board, president, secretary, any assistant secretary, or any other officer thereunto authorized by the board of directors, according to the requirements of the form of the instrument. executive director may vote all securities which the corporation is entitled to vote except as and to the extent such authority shall be vested in a different officer or agent of the corporation by the board of directors.

#### ARTICLE VI - GENERAL PROVISIONS

Section 1. Contracts. The board of directors may authorize any officer or officers or agent or agents of the corporation to enter into any contract or execute and deliver any instrument in the name of and on behalf of the corporation and such authority may be general or confined to specific instances.

Section 2. Checks, Drafts, Etc. All funds of the corporation shall be deposited from time to time to the credit of

the corporation in such banks, trust companies, or other depositories as the board of directors may select. All checks, drafts or other orders for the payment of money, notes or other evidences of indebtedness issued in the name of the corporation, shall be signed by such officer or officers or agent or agents of the corporation and in such manner as shall from time to time be determined by resolution of the board of directors. In the absence of such determination by the board of directors, such instruments shall be signed by the executive director and countersigned by the chairman of the board of the corporation.

Section 3. Fiscal Year. The fiscal year of the corporation shall be fixed by resolution of the board of directors.

Section 4. Waiver of Notice. Whenever any notice is required to be given under law, the articles of incorporation or the by-laws of the corporation, a waiver thereof in writing signed by the person or persons entitled to such notice, whether before or after the time stated therein, shall be deemed equivalent to the giving of such notice.

Section 5. Amendments. The power to alter, amend, or repeal the by-laws or adopt new by-laws shall be vested in the board of directors. Such action may be taken at a regular or special meeting for which written notice of the purpose shall be given. The by-laws may contain any provisions for the regulation and management of the affairs of the corporation not inconsistent with law or the articles of incorporation.

#### ARTICLE VII - INDEMNIFICATION AND INSURANCE

Section 1. The corporation may indemnify any person who was or is a party, or is threatened to be made a party to any threatened, pending or completed action, suit or proceeding, whether civil, criminal, administrative or investigative (other than an action by or in the right of the corporation) by reason of the fact that he or she is or was a director, officer, employee or agent of the corporation, or who is or was serving at the request of the corporation as a director, officer, employee or agent of another corporation, partnership, joint venture, trust or other enterprise, against expenses (including attorneys' fees), judgments, fines and amounts paid in settlement actually and reasonably incurred by such person in connection with such action, suit or proceeding, if such person acted in good faith and in a manner he or she reasonably believed to be in, or not opposed to, the best interests of the corporation, and, with respect to any criminal action or proceeding, had no reasonable cause to believe his or her conduct was unlawful. The termination of any action, suit or proceeding by judgment, order, settlement, conviction, or upon a plea of nolo contendere or its

equivalent, shall not, of itself, create a presumption that the person did not act in good faith and in a manner which he or she reasonably believed to be in, or not opposed to, the best interests of the corporation, or, with respect to any criminal action or proceeding, that the person had reasonable cause to believe that his or her conduct was unlawful.

Section 2. The corporation may indemnify any person who was or is a party, or is threatened to be made a party to any threatened, pending or completed action or suit by or in the right of the corporation to procure a judgment in its favor by reason of the fact that such person is or was a director, officer, employee or agent of the corporation, or is or was serving at the request of the corporation as a director, officer, employee or agent of another corporation, partnership, joint venture, trust or other enterprise, against expenses (including attorneys' fees) actually and reasonably incurred by such person in connection with the defense or settlement of such action or suit, if such person acted in good faith and in a manner he or she reasonably believed to be in, or not opposed to, the best interests of the corporation, provided that no indemnification shall be made in respect of any claim, issue or matter as to which such person shall have been adjudged to be liable for negligence or misconduct in the performance of his or her duty to the corporation, unless, and only to the extent that the court in which such action or suit was brought shall determine upon application that, despite the adjudication of liability, but in view of all the circumstances of the case, such person is fairly and reasonably entitled to indemnity for such expenses as the court shall deem proper.

Section 3. To the extent that a director, officer, employee or agent of the corporation has been successful, on the merits or otherwise, in the defense of any action, suit or proceeding referred to in Sections (1) and (2) of this Article VII, or in defense of any claim, issue or matter therein, such person shall be indemnified against expenses (including attorneys' fees) actually and reasonably incurred by such person in connection therewith.

Section 4. Any indemnification under Sections (1) and (2) of this Article VII (unless ordered by a court) shall be made by the corporation only as authorized in the specific case, upon a determination that indemnification of the director, officer, employee or agent is proper in the circumstances because he or she has met the applicable standard of conduct set forth in Sections (1) and (2) of this Article VII. Such determination shall be made (i) by the board of directors by a majority vote of a quorum consisting of directors who were not parties to such action, suit or proceeding, (ii) if such a quorum is not

obtainable, or, even if obtainable, a querum of disinterested directors so directs, by independent legal counsel in a written opinion, or (iii) by the members entitled to vote, if any.

Section 5. Expenses incurred in defending a civil or criminal action, suit or proceeding may be paid by the corporation in advance of the final disposition of such action, suit or proceeding, as authorized by the board of directors in the specific case, upon receipt of an undertaking by or on behalf of the director, officer, employee or agent to repay such amount, unless it shall ultimately be determined that he or she is entitled to be indemnified by the corporation as authorized in this Article VII.

Section 6. The indemnification provided by this Article VII shall not be deemed exclusive of any other rights to which those seeking indemnification may be entitled under any agreement, vote of disinterested directors, or otherwise, both as to action in his or her official capacity and as to action in another capacity while holding such office, and shall continue as to a person who has ceased to be a director, officer, employee or agent, and shall inure to the benefit of the heirs, executors and administrators of such a person.

Section 7. The corporation may purchase and maintain insurance on behalf of any person who is or was a director, officer, employee or agent of the corporation, or who is or was serving at the request of the corporation as a director, officer, employee or agent of another corporation, partnership, joint venture, trust or other enterprise, against any liability asserted against such person and incurred by such person in any such capacity, or arising out of his or her status as such, whether or not the corporation would have the power to indemnify such person against such liability under the provisions of this Article VII.

of all of the liabilities of the corporation, dispose of all of the assets of the corporation exclusively for the purposes of the corporation in such manner, or to such organization or organizations organized and operated exclusively for charitable, scientific or educational purposes as shall at the time qualify as an exempt organization or organizations under section 501(c)(3) of the Internal Revenue Code of 1986 (or the corresponding provision of any future United States Internal Revenue Law), as the board of directors shall determine. Any such assets not so disposed of shall be disposed of by the Circuit Court or the Court of Common Pleas of the county in which the principal office of the corporation is then located, exclusively for such purposes or to such organization or organizations, as said Court shall determine, which are organized and operated exclusively for such purposes.

The corporation shall distribute its income for each tax year at such time and in such manner as not to become subject to the tax on undistributed income imposed by section 4942 of the Internal Revenue Code of 1986 (or the corresponding provision of any future United States Internal Revenue Law). Further, the corporation shall not engage in any act of self-dealing as defined in section 4941(d) of the Internal Revenue Code of 1986 (or the corresponding provision of any future United States Internal Revenue Law), nor retain any excess business holdings as defined in section 4943(c) of the Internal Revenue code of 1986 (or the corresponding provision of any future United States Internal Revenue Law), nor make any investments in such manner as to incur tax liability under section 4944 of the internal Revenue Code of 1986 (or the corresponding provision of any future United States Internal Revenue Law), nor make any taxable expenditures as defined in section 4945(d) of the Internal Revenue Code of 1986 (or the corresponding provision of any future United States Internal Revenue Law).

#### ARTICLE II - MEMBERS

The corporation shall have no members.

#### ARTICLE III -BOARD OF DIRECTORS

Section 1. General Powers The affairs of the corporation shall be managed by its board of directors.

Section 2. Number, Tenure and Qualifications. The number of directors shall be fixed by resolution of the board of directors and shall be not fewer than five (5) nor more than twelve (12). Directors shall be elected annually by the board of directors at the annual meeting of the board of directors. If the election of directors shall not be held at such meeting, such

#### Grant Application Check List



The intent of this check list is not to remove the necessity of reading the General and Specific Grant making Guidelines, and completing the Grant Application in it's entirety, but to highlight the most common omissions.

They are as follows:

- 1 Failure to provide four copies of the completed and signed application, with all attachments.
- 2 Not having the application signed by the appropriate organization official-President or CEO. Not having a budget of the project for each year of funding requested (up to three), detailing amounts requested, with appropriate justification and if part of a larger project, the budget for the entire project, including projected expenditures and revenue.
- 3. A completed and most recent completed Audit and notes and opinion, including management letter, if available, and/or Financial Statement. Completed and up to date copy of "Principles for Community Health Care," one copy to also to be on file with the Donors Forum of Chicago. See Pages 2 through 6 under General Guidelines, especially pages 4 and 5 for the format for the report. Be sure to provide the statistical information requested under item 4.
- 4 Be sure to identify the type of project you are requesting funds for and complete all required information:

Section A – Program Support Section B -- Research Support Section C -- Equipment Only

- If a project is both program and research, all questions requested must be answered; however, be sure to note the IRB requirement, under Research Support.
- 6 If your project involves equipment, and is either a program and/or research request you must complete the required equipment questions. You should be aware that, if your request is funded, that funds will not be disbursed until we receive purchase orders or requisitions for all equipment requested, with delivery dates of with in three months of the date of the award announcement letter
- Finally, if you are using the Donors Forum Common Application it is your responsibility to provide all missing information requested by the regular Washington Square Application
- 8 Grant submission deadlines (application must be received in the Foundation office) are as follows: December 1, for the January\February Grant Committee Meeting or June 1 for the July\ August Grant Committee meeting



#### General Guidelines

The Washington Square Health Foundation grant making process is conducted by the Board of Directors in an impartial manner based upon an evaluation of each project request. Therefore, solicitation of individual members of the Washington Square Health Foundation, Inc. Board of Directors by potential recipients for specific Washington Square Health Foundation, Inc. grant requests is discouraged.

Priorities for funding will vary from time to time. Even though a project meets established criteria, it may not be adopted because of limited funds or other priorities.

Washington Square Health Foundation, Inc. Grants do not merely provide funds to an existing project, however worthy. They must be designed to provide significant health related benefits. Projects must have the support of appropriate organizations and/or governmental units and in the community where the project is to be carried out. To be eligible for consideration, projects must be efforts involving a not for profit tax exempt 501(C3) organization or association as sponsor. The grant request must be submitted by an organization exempt from income tax under sec 501 (c)(3), and designated as a public charity under section 509(a)(1) or 509(a)(2), of the Internal Revenue Code. Washington Square Health Foundation does not provide grants to section 509(a)(3) "supporting organizations." Your most recent IRS Determination Letter should state your status as a 501(c)(3) organization with a 509(a)(1) or 509(a)(2) designation.

The following criteria have been established by the Board of Directors of the Washington Square Health Foundation.

- · Specific Grant Making Guidelines
- Principles for Community Health Care
- Overview
- Format for Community Health Care Report
- · Additional Grant Making Policies and Guidelines
- Guidelines for funding HIV/AIDS Projects

#### Specific Grant Making Guidelines

The grant / project must:

- be applicable to one of the following categories: Health Services, Medical Research, or Medical Education. (see fundable grants)
- reasonably ensure continued support after involvement by the Washington Square Health Foundation is discontinued.
- not involve liability to the Washington Square Health Foundation except to provide the amount of the grant.
- 4. Involve active participation by the sponsor which must be a not for profit tax exempt 501(C3) organization, institution, or association.
- 5. demonstrably benefit others beyond the individual organization recipient of the grant
- 6. not benefit a Washington Square Health Foundation Director; an employee of Washington Square Health Foundation; or a spouse, a lineal descendant (child or grandchild by blood or any legally adopted child), a spouse of a lineal descendant, or an ancestor (parent or grandparent by blood) of any living Washington Square Health Foundation Director or Washington Square Health Foundation employee.
- 7 not duplicate any existing Foundation grant.
- 8 not involve the purchase of land or the payment of general administration costs for the sponsoring organization.
- 9 not involve the establishment of a permanent foundation or trust or a permanent interest-bearing account, or involve support for the general operating costs of any organization.
- 10. not involve any of the following
  - carrying on propaganda or otherwise attempt to influence legislation.

- restrict the services or facilities or employment provided by the grant to individuals based on race, creed, color, sex or national origin
- 11. not involve construction or capital fund raising campaigns.
- if a direct health care service project be located in the Chicago Metropolitan area or impact or be "linked" to the Chicago Metropolitan Area.
- 13. have an up-to-date annual community healthcare report for the Principles for Community Health Care on file with the Donors Forum (see below). Until these forms are available on the Donors Forum website, a copy should be included with the grant application

#### Principles for Community Health Care

Representatives from Chicago area foundations, with input from public health, hospital, payer and community based health care organizations, have developed *Principles for Community Health Care*. These principles reinforce the value placed by the philanthropic community on activities to increase access to health care among at-risk populations and expand capacity to address important health care needs. They will offer grant makers a consistent approach to assessing the commitment of potential grantees to these community health goals. The criteria are included to clarify the meaning of each broad principle.

- 1 Provides service to high risk, underserved and/or disadvantaged in the community. Criteria - Demonstration that the organization has:
  - Collected assessment data about the health care needs of high risk, underserved and/or disadvantaged populations in service area
  - Implemented targeted activities to increase the accessibility of health care services to one of more high risk, underserved and/or disadvantaged populations.
  - Designed and implemented with community involvement new or expanded services to address the health care needs of one or more high risk, underserved and/or disadvantaged populations.
  - Identified opportunities to increase assets of high risk, underserved and/or disadvantaged communities such as by employing community members as staff in health programs, locating health service delivery sites in the community, negotiating purchasing contracts with local businesses for health service-related products
- Establishes decision making links with high-risk, underserved and/or disadvantaged communities.

Criteria - Demonstration that the organization has:

- Implemented a process to gather input from community leaders representing one or more high risk, underserved and/or disadvantaged populations.
- Developed formal mechanisms to include community leaders in decision making roles such as through advisory committees, board representation, or other means.
- 3. Partners with relevant providers and other community based organizations. Cnteria - Demonstration that the organization has:
  - Partnered with a wide variety of others including competitors and community based organizations to provide services effectively and efficiently to high risk, underserved and/or disadvantaged populations.
  - Developed linkages with other related programs to promote continuity of care.
- Utilizes a community-oriented approach program development.
   Criteria Demonstration that the organization has incorporated the following dimensions into program development for high-risk, underserved and/or disadvantaged population.
  - Documentation of need from multiple perspectives including target population.
  - Involvement of clients in program decision making and implementation

- Partnerships with other relevant providers and community organizations.
- Incorporation of continuous quality improvement processes to identify/resolve problems and monitor outcomes
- · Inclusion of actions to promote financial sustainability of program

#### Overview

The Principle for Community Health Care are NOT specific to an individual grant but will provide background information to complement a general organizational profile

#### Important Notes

- 1 Each health care organization applying for grant funds to a Chicago area foundation should complete the narrative report as described on page 2. The actual principles and criteria follow on page 3. The report should be submitted to the Donors Forum of Chicago. Copies of the form may also be included with specific grant applications as an extension of the organizational background section. The information should be updated once a year.
- 2 Grantmakers recognize the diversity of organizations that provide health services. The principles will be applied flexibly, taking these differences into consideration.
- Each grantmaker will use the information provided within the context of their own grantmaking process and priorities.
- 4. The terms high-risk, underserved, and disadvantaged are not defined more precisely because these target populations will vary greatly by community and organization.

#### Resources

- Call or write each potential donor to obtain a copy of its funding guidelines and/or annual report.
- There are many resources that may be helpful to you in addressing these principles such as:

Grantmakers in Health - www gih org

Coalition for Healthier Cities and Communities - www.healthycommunities org A Workbook on Community Accountability

St Louis, MO. The Catholic Health Association of the United States, 1995.

Building Communities from the Inside Out

(JP Kretzmann and JL McKnight). Evanston, IL

Center for Urban Affairs and Policy Research, Northwestern University, 1993.

#### Format for Community Health Care Report

To provide potential funders with information about how your organization is addressing the principles and associated criteria, please answer the following questions in three to five pages (single space, 12 point type or larger, 1 inch margins) and submit to the Donors Forum of Chicago. This information should be updated once a year

NAME OF ORGANIZATION CONTACT ADDRESS PHONE/E-MAIL MISSION OF THE ORGANIZATION

- Identify the high risk/underserved and/or disadvantaged populations in the community(ies) that you serve and describe specifically the actions you have taken, based on relevant assessment data, to increase their accessibility to health services
- Describe specifically the strategies you have used to gather input from high risk, underserved and/or disadvantaged population and their leaders as a basis for program or service development.
- 3 Describe specific partnerships with other providers and community-based organizations to promote continuity of health care for high risk/underserved and/or disadvantaged populations.
- 4 Provide two examples of how you have used the community-oriented approach to

program development specified in the attached principles to develop a program of service for high risk/underserved and/or disadvantaged populations specified in the guidelines. Include in each description components of the current program and the following quantitative information for the most recent year available.

- · Number of clients served
- Total amount budgeted by your organization for the program
- · Percent that program budget is of total agency budget
- Percent of program budget that is directly reimbursed by third party payers
- Percent of program budget that is covered by public/private grants

Submit this information to:

Donors Forum of Chicago Director, Member Services 208 S. LaSalle Street, Suite 1540 Chicago, IL 60604

#### Additional Grant Making Policies and Guidelines

- If your grant request includes new medical/healthcare equipment, please investigate whether reconditioned and/or used equipment can be substituted.
- WASHINGTON SQUARE HEALTH FOUNDATION, INC. FUNDS MAY NOT BE USED TO PAY FOR ANY OPERATING AND/OR ADMINISTRATIVE EXPENSES INCLUDING BUT NOT LIMITED TO FRINGE BENEFITS, OFFICE FURNITURE AND COMPUTER EQUIPMENT UTILIZED FOR ADMINISTRATIVE RECORD KEEPING, ETC
- Hurricane Katrina Disaster Capacity Health Care Grant Guidelines
- Guidelines for funding HIV/AIDS Projects



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#### General Guidelines

#### Guidelines for Funding HIV & AIDS Projects

The following guidelines should be used as a way to focus grant funding on areas where the foundation can have a significant impact given the changing face of the epidemic, the multiple providers and funders involved, and the broad range of needs. These guidelines represent overall priorities for funding, not absolute rules about what can and cannot be funded Extraordinary circumstances and/or an extraordinary grant proposal, for example, might result in funding a non-priority activity. The guidelines should be reexamined on an annual basis to assess their match with current needs.

- 1. New funding should address the needs of high risk and underserved populations where current HIV/AIDS transmission is concentrated. These include women, adolescents, undocumented immigrants, and men of color who have sex with men. Program strategies to address these priority populations should represent interventions that are accessible, acceptable and effective within these cultures. Applicant organizations should demonstrate that they have experience working with these populations and legitimacy within the high risk communities
- 2. Projects requesting funding for direct health care should be considered, especially for the priority populations described above. Direct health care includes primary medical care, home care, hospice care in residences, mental health services, nutritional care, dentistry, day health care, and ancillary health care services such as physical therapy. Case management services should not be a priority, first because of the support of these services by the AIDs Foundation, and second because of the lack of consensus about their scope. "M.D.
- 3 Priority should be given to projects that increase the availability of specialty HIV/AIDS health care through primary care networks. This can increase the cost-effectiveness of care overall and accessibility by those who are unlikely to utilize AIDs-specific agencies or services or through a greater integration of neighborhood clinics with primary care networks.
- Projects requesting funding for supportive services such as peer support should be considered, especially for the priority populations. Homemaker and transportation services are not a priority.
- 5 Volunteer programs should be funded only on a limited basis and only when they meet one or more of the following criteria:
  - represent consolidation of volunteer services across agencies
  - demonstrate cost-effective delivery of care including adequate recruitment and retention of volunteers
  - serve a priority population
  - · demonstrate an innovative use of volunteers
  - provide material support such as food for a food pantry within an already existing program
- Projects with alternative therapies should be considered only if they have a strong research/evaluation component that could produce evidence of impact on health status and/or quality of life outcomes.
- 7 The following types of projects are not recommended for current funding consideration:
  - Clinical and biomedical research regarding HIV/AIDs because of the availability of federal and pharmaceutical funding
  - Prevention programs because of the availability of state, local and other foundation funding
  - Health services research/policy questions such as HIV/AIDS within managed care because of national projects already underway in this area
  - Medication coverage for patients because of the enormous cost per patient (\$9-12,000 per year)
- All projects should have clearly stated and measurable objectives for which
  monitoring data can be collected over the course of the project regarding attainment
  of these objectives.



#### How to Apply

Before you begin please visit our "Fundable Grants" link for an explanation of grants Washington Square wishes to fund. For more detailed information about our grant making process, we recommend that you review the General and Specific Grant making Guidelines. If you are interested in applying for a grant through Washington Square Health Foundation, Inc., please follow the instructions below:



#### 1 Provide General Information About Your Project

Anyone applying for a grant must provide the information requested in the form helow

#### O Grant Application Form

You can fill out this form online and save it to your computer's hard drive or you can simply save the blank form, complete it off line and print it out.

#### 2 Prepare a Brief Project Proposal

Identify the type of project for which you are seeking support by reading the descriptions below. Then, depending on the type of project, a series of questions must be answered that will guide you in the preparation of a brief project proposal if a project is both program and research all questions requested for both types of projects must be answered if your project involves equipment, and is either a program and/or research request, you must complete the required equipment questions as well

#### Program Support

These proposals are to support a broad range of NEW program initiatives including (but not limited to) a new health education program, a new type of health care delivery system, a new treatment program, a new medical education program, an institutional change such as a new Emergency Department triage system, a new use of computer technology such as for clinician training or evaluation, or a new health service such as a dental clinic within a community health center. These projects are often being done as pilots or as initial phases of larger projects.

If your project matches this description, please answer all the questions in Section A.

#### Research Support

These proposals are for support of research activities—usually clinical research, health services research or health education program evaluation All proposals seeking funding for equipment that will be used in a research project should be submitted as this type of project

If your project matches this description, please answer all the questions in Section B.

#### Equipment Only

These proposals are generally for specific pieces of patient care-related equipment such as a neonatal transport unit, a dental x-ray machine, or specialized surgical or laboratory equipment. There are several exclusions:

- Requests for resources to purchase computer equipment or information systems should be submitted as a program support or research support grant as appropriate. The Foundation does not provide funding for computers to be used for administrative/operating purposes.
- All requests for equipment that will be used in a research project should be submitted as a research support grant

If your project matches this description, please answer all the questions in Section  ${\bf C}$ .

#### 3 Complete the Budget Form

Anyone applying for a grant, must complete the following form:

O Budget Form

You can fill out this form online and save it to your computer's hard drive or you can simply save the blank form, complete it off line and print it out.

#### 4. Attach Appropriate Documentation

Do not forget to attach a copy of the most recent annual report, audited financial statement and official documents certifying the not-for-profit status (IRS 501(c)(3) determination letter) of the sponsoring organization, association or institution.

For Research Support grants, IRB approval, if required, must also be submitted to the Foundation office with the completed application

#### 5 Verify Application is Complete

To ensure your application materials are complete, please see the Grant Application Check List we have provided to highlight some of the most common mistakes or omissions that requestors make.

#### 6 Submit Application

Please submit four copies of all Grant Request Application materials to Washington Square Health Foundation Be prepared to provide additional copies as needed.

Grant submission deadlines (application must be received in the Foundation office) are as follows: December 1, for the January\February Grant Committee Meeting or June 1 for the July\ August Grant Committee meeting.



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# WASHINGTON SQUARE HEALTH FOUNDATION, INC.

#### **GRANT APPLICATION**

1.	Title of project:		
2.	Location of proposed project Street: City:	t: State:	Zıp Code.
3.	Name/address of organizatio Name: Street: City	n responsible for proje State: Zip Co	
4.	Mission of organization: (mag	y use attachment, clearly	marked Mission Statement)
5.	Name/address of contact per Name: Street: City: Office Phone: Email:	State: Home Phone	Zip code:
6.	Name/address of person resp Name: Street: City: Office Phone: Email:	ponsible for project: State: Home Phone:	Zip code:
7.	Concise description of project	ct:	
8.	Expected duration of project: Start Date:	: Completion Da	ate:
9. (	Contribution Information:	Total requeste Total from othe Grand Total	
Da	te Signature	of President or CEO	Title



### WASHINGTON SQUARE HEALTH FOUNDATION, INC.

10. How will the funds for this project be administered and who will direct/monitor the project?

11. What other groups or organizations are cooperating in the project or contributing to it? What is the nature of their cooperation or the extent of their anticipated contribution? (If commitment letters of agreement are required, please attach.)
12. How will the project be continued after support from The Washington Square Health Foundation? Be specific.
13. What is the total population of the target region or community where the project will be carried out?
How many people are expected to benefit directly or indirectly from the project?
Please attach a copy of the most recent annual report, audited financial statement and official documents certifying the not-for-profit status (IRS 501(c)(3) determination letter) of the sponsoring organization, association or institution. The grant request must be submitted by an organization exempt from income tax under sec. 501 (c)(3), and designated as a public charity under section 509(a)(1) or 509(a)(2), of the Internal Revenue Code. Washington Square Health Foundation does not provide grants to section 509(a)(3) "supporting organizations." Your most recent IRS Determination Letter should state your status as a 501(c)(3) organization with a 509(a)(1) or 509(a)(2) designation.



# WASHINGTON SQUARE HEALTH FOUNDATION, INC.

	BUDGET REQU	JEST	
Number of years for which WSHF			
grant support is requested:	1	2	3
Proposed hudget for use of requested			

**Proposed budget for use of requested WSHF grant:** attach detail as needed. (NOTE: Do not include expenditures to be funded through non-WSHF sources.)

PERSONNEL - No. & Type	YEAR 1	YEAR 2	YEAR 3	TOTAL
				-
				-
				-
Total Personnel:		्रेट्ट <del>ाक्ष्</del> र स्ट्रेस्ट ट्रे		
OPERATIONS				
				<u> </u>
Total Operations:	-	-	<u> </u>	-
MATERIALS/SUPPLIES (specify)				
				-
Total Materials/Supplies:	-	_		-
EQUIPMENT (specify)			The second secon	
	to the second to refer to	To the second second second	di i i hat dand amanda 6 ha ar	(** <u>- )                                 </u>
				-
				-
Total Cardamant				<u> </u>
Total Equipment:			27 77 77 77 7	-
OTHER COSTS (specify)		( <u>, )                                   </u>	र्थित के जिल्लामा के प्राप्तिक के कार्या के कि	
				-
Tatal Other Cools				-
Total Other Costs: GRAND TOTAL WSHF	-	-	-	-
GRANT REQUEST:	-	<u> </u>		-

**NOTE:** The number in the box at the lower right should match the first line item on question number 9 of the Grant Application

## Grant Application - Section A (Program Support)



Use the following questions to guide you through the preparation of a project brief. The brief should be no more than 10 pages and all questions must be answered. Use the word processing application and format of your choice and attach the brief to the General Application form when submitting the application

- 1. Why is this project being undertaken?
- 2. Have you involved the target population in shaping the goals and activities associated with this project? If so, how? If not, why not?
- 3. How will the project meet identified needs of the target population?
- 4. What are the goal(s) of this project and what are specific measurable objectives? You should have at least one outcome objective as well as process objectives. Please see the examples below of outcome and process objectives. NOTE: you will be expected to track these objectives and report on level of attainment over the course of the project.
- 5. How do you plan to track progress toward reaching these objectives
- 6. What methods wil you use to achieve your objectives?
- Any other information that you think would be important for the Foundation to know about this project.

#### SAMPLE GOAL AND OBJECTIVES

Objectives are indicators of achievement that are **measurable** in some way. All objectives should include an indicator statement, a target population, a time frame, and the amount of change expected in the indicator. For example, the number of eye surgeries (*indicator*) among older adults (*target population*) will increase by 50% (*amount of change*) in one year (*time frame*).

Outcome and Process objectives are defined below:

**OUTCOME OBJECTIVES:** Direct results of the program that are stated in terms of performance and not effort. They should described changes in knowledge, attitude, behavior, skill, community capacity, health status or health care utilazation.

PROCESS OBJECTIVE: Activities which must be performed in order to accomplish the outcome objectives.

#### SAMPLE 1:

**Goal:** To improve the ability of low-income famalies to manage their asthma.

#### Objectives:

#### Outcome

The average number of days of school absence will decrease by 30% among program participants during the first year of the program.

and/or

Average number of emergency room visits for asthma among program participants will decrease by 50% during the first year of the program.

and/or

Ninety percent of parents and

children seen in the asthma clinic will be able to demonstrate correct use of the peak flow meter

#### **Process**

Clinicians will document patient education provided to asthma patients/families during their visits 80% of the time and/or Patient surveys will be administered every six months to 10% of the clinic population

#### SAMPLE 2:

**Goal**: To reduce the use of emergency department for non-emergency conditions.

#### Objectives:

#### Outcome

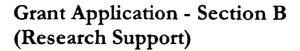
The number of patient visits to the ER for non-emergency conditions will decrease by 20% during the first year of the program. and/or At least 75% of follow-up appoinments in primary care clinics for non-emergent emergency department visits will be kept during the first year of the program. and/or At least 80% of patients seen in pediatric, primary care and internal medicine clinics during the firest six months of the program will be able to describe the Ask-A-Nurse program and options for after-hours care

#### **Process**

Utilization of the Ask-A-Nurse Service will increase by 30% during the first six months of the program.

and/or
The pediatric service will ad 10 additional hours per week of late afternoon, evening and weekend clinic time during the first year of the program







Use the following questions to guide you through the preparation of a project brief. The brief should be no more than 10 pages and all questions must be answered. Use the word processing application and format of your choice and attach the brief to the General Application form when submitting the application.

- Why is this project being undertaken? How is this related to previous research interests?
- 2. What is the research question to be addressed? What do you expect to be able to report at the end of the project?
- What is the research design? Please describe the sample size rationale, specific experimental or quasi experimental design, subject enrollment procedures, data collection strategies, analysis plans.
- 4. What are the strengths and weaknesses of your proposed approach especially regarding threats to internal validity and potential problems related to recruitment of subjects?
- 5. How will you track interim progress of the research?
- Have you applied to the IRB for approval of the research process? (NOTE: IRB approval, if required, must be submitted to the Foundation office with the completed application)
- 7 How will the results be used? (e.g. to improve care; as a pilot for a larger project?)
- 8. What complementary funding do you plan to secure in order to implement the research project?
- 9. Include curriculum vitae of principal investigators (outside of page limit).



## Grant Application - Section C (Equipment Only)



Use the following questions to guide you through the preparation of a project brief. The brief should be no more than 10 pages and all questions must be answered. Use the word processing application and format of your choice and attach the brief to the General Application form when submitting the application.

- 1 What is the equipment needed? What evidence is there that this equipment will improve patient care/medical education/community health?
- 2. What process did you use to select the specific type/brand of equipment you wish to purchase?
- 3. What are the goal and objectives of the project? You should be specific about who will use this equipment, where it will be installed and how many patients are expected to benefit. Please see the sample goals and objectives below.
  NOTE: you will be expected to track these objectives and report on level of attainment over the course of the project.
- 4. How will staff training needs regarding the new equipment be addressed?
- 5. Will additional staff be necessary for operating the equipment? Where will funds for additional staff and/or maintenance of the equipment be obtained?
- 6. What do you estimate will be the expected useful life of this equipment given usage and changes in technology?
- 7 Any other information that you think would be important for the Foundation to know about this project.

#### **SAMPLE GOAL AND OBJECTIVES**

An objective should be an indicator of achievement that is measurable in some way. All objectives should include an indicator statement, a target population, a time frame and the amount of change expected in the indicator. For example, the number of eye surgeries (indicator) among older adults (target population) will increase by 50% (amount of change) in one year (time frame).

Below is a sample goal and objectives:

**Goal:** To improve the care of elderly eye surgery patients (specify surgical technique)

#### Objectives:

The average surgical time per patient will be reduced by 20% in the first year of equipment use and/or

The number of eye surgeries performed per year will increase by 50%

and/or

The average amount of nursing time per patient during the post-surgery recovery period will decrease by 50% in the first year of equipment use

and/or

The number of patient reporting a specific complication will decrease by 50% in the first year of equipment use.



### **FY 09-10 Grants**

Check Number	Docket Number	Organization Name	Project Title	Amount
8729	1707 III	Northwestern	Washington Square Health Foundation Neonatal Intensive Care Unit - Jet Ventilator	\$50,000.00
1841	1841	Old Irving Park Community Clinic	Old Irving Park Community Clinic Expansion of Services	\$13,000.00
8872	1841	Old Irving Park Community Clinic	Old Irving Park Community Clinic Expansion of Services	\$13,000.00
8721	1853Ъ	The Children's Memorial Hospital	Hematology, Oncology and Stem Cell Transplantation Research Fellowship	\$15,000.00
8873	1853Ъ	The Children's Memorial Hospital	Hematology, Oncology and Stem Cell Transplantation Research Fellowship	\$15,000.00
8831	1865b	Northwestern	McCormick Engineering Faculty Member Quarterly Fellowship into the Lurie Cancer Center Program	\$30,000.00
8732	1881b	Cambodian Association of Illinois	The Khmer Wellness Project	\$10,220.50
8874	1881b	Cambodian Association of Illinois	The Khmer Wellness Project	\$10,220.50
8733	1891b	Erie Family Health Center	Healthy Women Pre-Diabetes Program	\$5,167.00
8875	1891b	Erie Family Health Center	Healthy Women Pre-Diabetes Program	\$5,167.00
8642	1911	Clearbrook	Supporting the Transition and Long-Term Safety of Adults with Developmental Disabilities and Physical Challenges and Staff.	\$7,745.00
8780	1912	University of Illinois	5th Annual Chicago Diabetes Project Conference	\$6,416.47
8644	1916	The Children's Memorial Hospital	"Children Celebrate Children" Project to Support Children Memorial Hospital	\$10,000.00
8734	1917	Council on Foundations	Foundation Designated Grant	\$2,310.00
8735	1923	Almost Home Kids	Respite Transportation Program	\$6,000.00
8876	1923	Almost Home Kids	Respite Transportation Program	\$4,000.00

8736	1924	Chicago Chesed Fund	A variety of small items in service to Chicago's needy	\$5,000.00
8738	1926	Loretto Hospital	Datascope Anesthesia Machines	\$39,632.00
8739	1930a	Zacharias Sexual Abuse Center	Sexual Assault Coordinating Council	\$9,000.00
8858	1931a	Centro de Salud Esperanza	Paso-a-Paso: Un Peso Saludable (Step-by-Step: A Healthy Weight)	\$13,180.00
8756	1932	Indian American Medical Association (IL) Charitable Foundation, Inc.	Community Health Clinic Coordinator	\$12,500.00
8877	1933	Hektoen Institute for Medical Research	Assessing breastfeeding intention, initiation, duration, and exclusivity in pregnant and postpartum diabetic patients.	\$5,075.00
8741	1933	Hektoen Institute for Medical Research	Assessing breastfeeding intention, initiation, duration, and exclusivity in pregnant and postpartum diabetic patients.	\$5,075.00
8878	1936a	Howard Area Community Center	Howard Area Community Center Dental Clinic	\$7,945.00
8742	1936a	Howard Area Community Center	Howard Area Community Center Dental Clinic	\$7,945.00
8743	1937	Goldie's Place	Goldie's Place Volunteer Dental Care Program and Student-Run Clinic	\$14,723.00
8879	1939	CommunityHealth,NFP	CommunityHealth Southside Satellite Site	\$19,150.00
8744	1939	CommunityHealth,NFP	CommunityHealth Southside Satellite Site	\$19,150.00
8745	1940	Donors Forum of Chicago	Foundation Designated Grant	\$1,101.00
8713	1941	The Children's Memorial Hospital	Mr. William B. Friedeman's Board of Director Grant for the Eleanor Clarke Research Scholar in Development Neurobiology Research	\$1,000.00

1942	Rosalind Franklin University of Medicine and Science	Dr. Richard B. Patterson's Board of Director Grant to the Jack Scholl Memorial Scholarship Fund for the Scholl Scholarship Benefit, in honor of Dr. Terrence Albright.	\$2,000.00
1943	North Park Friendship Center	Dr. William N. Werner's Board of Director Grant	\$2,000.00
1944	Advocate Illinois Masonic Medical Center	Dr. William N. Werner's Board of Director Grant to the Angelo P. Creticos, MD Cancer Center Fund.	\$1,000.00
1945	University of Illinois Foundation	Grant in Memory of Sunny A. Wirtz	\$25,000.00
1946	PCC Community Wellness Center	Special Designated Grant	\$500.00
1947	University of Illinois Foundation	6th Annual The Chicago Project Scientific Team Meeting	\$18,000.00
1948	Clearbrook	The FIT (Fitness is Terrific) Program	\$12,743.50
1949	Mount Sinai Hospital and Medical Center	Increased access to ultrasounds	\$24,500.00
1953	University of Illinois Foundation	Chicago Diabetes Project Research	\$25,000.00
1954	St. Bernard Hospital and Health Care Center	St. Bernard Hospital Dental Center	\$17,500.00
1955	La Rabida Children's Hospital and Research Center	AcuVein Finder	\$9,078.00
1956	Advocate Trinity Hospital	Addressing Stroke Disparities in Southeast Chicago	\$12,153.00
1960	Centro Comunitario Juan Diego	El Mejor Regalo	\$10,000.00
1963	Erie Family Health Center	Expanding Access to Prenatal Care at Erie Humboldt Park Health Center through the New Family Practice Residency Program	\$3,269.00
1966	Breakthrough Urban Ministries	Health Care for Homeless Adults	\$10,000.00
1967	University of Illinois Foundation	Special Designated Grant for the Sponsorship of the Mid West Institute of Medicine Meeting to be held at the University of Illinois at Chicago	\$25,000.00
	1943 1944 1945 1946 1949 1953 1954 1955 1956 1960	1942 University of Medicine and Science  1943 North Park Friendship Center  1944 Advocate Illinois Masonic Medical Center  1945 University of Illinois Foundation  1946 PCC Community Wellness Center  1947 University of Illinois Foundation  1948 Clearbrook  1949 Mount Sinai Hospital and Medical Center  1953 University of Illinois Foundation  1954 St. Bernard Hospital and Health Care Center  1955 La Rabida Children's Hospital and Research Center  1956 Advocate Trinity Hospital  1960 Centro Comunitario Juan Diego  1963 Erie Family Health Center  1966 Breakthrough Urban Ministries  1967 University of Illinois	1942 University of Medicine and Science 1943 North Park Friendship Center 1944 Masonic Medical Center 1945 Valvestity of Illinois Foundation 1946 PCC Community Wellness Center 1947 University of Illinois Foundation 1948 Clearbrook The FIT (Fitness is Terrific) Program 1948 Clearbrook The FIT (Fitness is Terrific) Program 1949 Mount Sinai Hospital and Medical Center 1953 Valvestity of Illinois Foundation 1954 St. Bernard Hospital and Health Care Center 1955 Center 1960 Centro Community Adversing Stroke Disparities in Southeast Chicago 1960 Centro Communitario Jun Diego 1960 Erie Family Health Center Humboldt Park Health Center through the New Family Practice Residency Program 1960 University of Illinois Sepecial Designated Grant 1960 Program Increased access to ultrasounds 1960 Centro Communitario El Mejor Regalo 1960 Centro Communitario 1960 Program Increased Stroke Disparities in Southeast Chicago 1960 Centro Communitario El Mejor Regalo 1960 Centro Communitario Health Center Humboldt Park Health Center through the New Family Practice Residency Program 1960 University of Illinois Program Health Care for Homeless Adults 1960 University of Illinois Special Designated Grant for the Sponsorship of the Mid West Institute of Medicine Meeting to be

8833	1968	Advocate Illinois Masonic Medical Center	Mr. Nochumson's Board of Director Grant to the Eye Center	\$500.00
8835	1969	Grantmakers in Aging	Grant to Sponsor 2010 Annual Grantmaker in Aging Conference in Chicago, IL	\$1,000.00
8836	1970	Advocate Charitable Foundation	Dr. Angelo P. Creticos' Board of Director Grant to the Anastasia M. Creticos Memorial Fund in support of the Creticos Cancer Center	\$1,500.00
8837	1971	Advocate Illinois Masonic Medical Center	Dr. Angelo P. Creticos' Board of Director Grant to the Angelo P. Creticos, MD Visiting Professorship of the Department of Medicine Fund	\$1,500.00
8850	1972	The Night Ministry	Mr. Bill Wiley's Board of Director Grant	\$3,000.00
8851	1973	Rush University Medical Center	Mr. William B. Friedeman's Board of Director Grant for the Oncology and Hematology Department in honor of Mr. and Mrs. David O. MacKenzie.	\$1,000.00
8852	1974	La Rabida Children's Hospital and Research Center	Mr. James M. Snyder's Board of Director Grant	\$2,000.00
8853	1975	Chicago Children's Advocacy Center	Mr. James M. Snyder's Board of Director Grant	\$1,000.00
8854	1976	Team Spirit Institute	Howard Nochumson's Board of Director Grant to Team Spirit Institute	\$500.00
8855	1977	Chicago Chesed Fund	Howard Nochumson's Board of Director Grant	\$1,000.00
8857	1978	Keshet	Howard Nochumson's Board of Director Grant for the David Nochumson, Charles Nochumson and Sara Wolf Torah Memorial Scholarship Fund	\$1,000.00
8856	1979	PCC Community Wellness Center	Dr. Richard B. Patterson's Board of Director Grant to PCC Community Wellness Center's Dental Program.	\$1,000.00
8883	1981	University of Illinois Foundation	Mr. William B. Friedeman's Board of Director Grant to the Chicago Diabetes Project in Memory of Sunny Wirtz	\$1,000.00

**TOTAL GRANTS PAID FOR FISCAL YEAR 09-10** 

\$577,466

ADD: FORGIVENESS OF LOANS

\$ 36,000

TOTAL GRANTS PAID PER FINANCIAL STATEMENTS

\$613,466

#### FEDERAL FOOTNOTES

CONTROLLED FOREIGN PARTNERSHIP REPORTING

THE TAXPAYER WAS REQUIRED TO FILE FORM 8865, BUT IT IS NOT DOING SO UNDER THE CONSTRUCTIVE OWNERS EXCEPTION. THE TAXPAYER HAS AN INDIRECT INTEREST IN THE FOLLOWING ENTITY WHICH FILED FORM 8865:

PENINSULA FUND, LP 235 PINE STREET, SUITE 1600 SAN FRANCISCO, CA 94104

THE TAXPAYER WOULD HAVE HAD TO FILE FORM 8865 FOR ITS INDIRECT OWNERSHIP IN THE ENTITY LISTED BELOW BUT FOR THIS EXCEPTION:

PENINSULA MASTER FUND, LTD.
C/O MOURANT CAYMAN CORPORATE SERVICES, LTD.
HARBOUR CENTRE, 42 NORTH CHURCH STREET, PO BOX 1348
GRAND CAYMAN, KY1-1108, CAYMAN ISLANDS

i. Name and address of grantee:

Midwest Palliative & Hospice CareCenter 2050 Claire Court Glenview, IL 60025

ii. Date and amount of grant:

Approved January 22, 2004 Funds disbursed July 15, 2004 \$125,000.00

iii. The WSHF #1422 PRI grant of \$125,000.00 was given to Midwest Palliative & Hospice CareCenter to develop a hospice and palliative care center for Chicago's South Suburbs.

During the 2007 calendar year, the Olympia Field's south suburban site of Midwest Palliative & Hospice CareCenter (formerly Palliative CareCenter & Hospice of the North Shore) has continued to implement the objectives outlined in its November 2003 proposal to Washington Square Health Foundation, Inc. ("the Foundation"). Midwest CareCenter has assembled a local interdisciplinary team to deliver professional services in the south suburbs, developed relationships with local hospitals and nursing homes, implemented a community education plan and provided hospice services to patients and grief support to both patient family members and community members.

Through 10/31/2008, the number of people that have benefited to date from this project are:

The Foundation, on July 12, 2007, forgave \$24,000 of PRI principal (Grant #1727fPRI) to provide for additional equipment for the Hospice.

The Foundation, on July 30, 2008, forgave \$24,000 of PRI principal (Grant #1814 fPRI) to provide for additional equipment for the Hospice.

The number of hospice patients who have benefited from the two new beds between 12/16/08 and 12/01/09 is 58.

Ownership of the South Suburban operation was transferred as of 10/1/2008 to Horizon Hospice, also, a 501(c)(3) organization. It has accepted the reporting responsibilities, etc of the PRI.

2009 Horizon Hospice: South Suburbs

150 Hospice Patients25 Continuing to be served in 2010330 pieces of information on bereavement sent

138 condolence calls and cards

135 Post death assessments

544 Counseling sessions

477 clients attended support group, composed of 252 adults and 225 children

In the Hospice Kids: How Care Program:

1000 students participated and an increase in hours from 2,900 to 3,300

2010 Horizon Hospice: South Suburbs

22-23 hospice patients per day 50 palliative care patients per day 20+ patient care volunteers 1153 volunteer hours

The Braveheart program, designed to help grieving children, was reinstated by Horizon last year. 804 children and adults participated in group sessions.

- iv. The grantee received the entire PRI of \$125,000.00 on July 15, 2004 and expended all on costs associated with establishment of the "Center" as identified in item iii above.
- v. Based upon the knowledge of the grantor, none of the grant funds have been diverted from the purpose of the grant.
- vi. Reports and follow-up letters and telephone calls dated as follows have been received from the grantee:

Numerous phone calls regarding the progress of the project over the course of the year.

May 13, 2005 - Report
January 3, 2006 - Report
January 4, 2007 - Report
December 21, 2007 - Report
January 14, 2009 - Report
September 30, 2009 - Report

January 19, 2011 - Meeting with Licia Chazim to review progress of Horizon Hospice acquisition.

i. Name and address of grantee:

New Address: Center for Speech and Language Disorders 606 N. Michigan Avenue Elmhurst, IL 60126

Old Address: 195 West Spangler, Suite B Elmhurst, IL 60126

ii. Date and amount of grant:

September 1, 2004 Award Letter \$120,000 Amount/Date 9/21/04 Grant #1490 PRI

August 17, 2005 Deferred second principal payment only for one year to fund a "fund raising consultant" plus printing and meeting costs to help create additional cash flow for the center.

At the August 2005 Board Meeting the Foundation voted to defer \$20,000 of the second year payment principal. Grant #1550 RPRIPS.

iii. The WSHF #1490 PRI grant was made to alleviate the cash flow crisis that The Center for Speech and Language Disorders was experiencing. This program support "cash flow" loan in the amount of \$120,000 would serve as general operating funds and help bring the organization to recovery.

In August 2005, #1550 RPRIPS (Revised Program Related Investment Schedule) grant was approved to delay a \$20,000 payment of principal to help CSLD to achieve their objective of funding the first year of a fundraising consultant for 20 hours per month and associated printing and meeting costs.

Unfortunately, no grant funds received from application.

The Center for Speech and Language Disorders in 2008 provided over 200 "free speech and language screenings" to children under 3.

Clinical staff presented both at the National Convention of Speech Pathologists and National Convention on Autism.

Sponsored several "free parent support" meeting.

In October, the Center for Speech and Language Disorders presented the 24<sup>th</sup> annual conference on The Language Disordered Child for professionals and parents.

- iv. The grantee received the entire PRI of \$120,000 on September 21, 2004 and the deferred amount (\$20,000) in August 2005. The clinic expended the total amount which was used to support working cash operational need (cash flow) and forgiven payment to hire fund raising consultant.
- v. Based upon the knowledge of the grantor, none of the grant funds or deferred funds have been diverted from the purpose of the grant.
- vi. Reports and follow-up letters and telephone calls dated as follows have been received from the grantee:

December 20, 2004 - Report March 22, 2005 - Report

May 12, 2005 - Report (Loan Update)

July 26, 2005 - Report

September 12, 2005 - Report (Grant No. 1550 RPRIPS)

December 12, 2006 - Loan Update and Report (Grant No. 1550 RPRIPS)

October 2, 2007 - Loan Update

October 30, 2007 - Bank letter

November 5, 2007 - Letter

December 12, 2007 - Site Visit to CSLD

December 20, 2007 - Bank Statement of Escrow Account

January 8, 2008 - New repayment plan request submitted

Numerous phone calls over the course of the year.

January 25, 2009 - Report

Numerous phone calls between January and May 2009 to plan fund raiser.

January 10, 2010 - Report

On October 15, 2007, The Center for Speech and Language Disorders paid the interest (\$4,914.15 and principal \$1,085.85) for the second loan payment and requested an extension of the loan payment (principal) to January 2008, because a key therapist had resigned from full time therapy, thereby reducing The Center's cash flow.

The Center made some progress in becoming financially solvent by reducing this year's deficit from \$43,201 to \$19,615. The Center lost some \$45,090 on disposition due to a move build out and moving expense of \$7,130. The move was designed to reduce rent expenditures. Thus, the Center would have had an operating profit of \$32,650, with \$31,679 of financial aid actually expended.

The Foundation received \$15,000 of additional principal on January 10, 2008.

The Foundation re-structured, in July 2008, the remaining loan balance to provide an additional five year loan repayment schedule. The Center is current on their payments under the re-structured loan schedule.

The Foundation forgave \$15,000 (#1864fPRI) of principal on February 11, 2009 to underwrite the Center for Speech and Language Disorder's May 30, 2009 30th Anniversary dinner/dance fund raiser.

Payments received under the previous re-payment plan in 2009 were:

\$6,000.00 on January 29, 2009 \$3,000.00 on February 2, 2009 \$3,000.00 on February 10, 2009

Payment received under the new re-payment plan to date: \$3,117.00 (interest) on November 9, 2009

<u>2009 Service</u>: The Center for Speech and Language Disorders provided therapy for 336 children and their families. 200+ free speech and language screenings.

The Foundation met with Nevin Hedlund on August 31, 2010 to discuss the Center for Speech and Language Disorder's acquisition of a new site (at no cost to CSLD) and its plan for a capital campaign to rehab the site.

The Board (via ballot by mail) authorized the forgiveness of the remaining FY 09-10 payment of \$40,000, if matched by the CSLD capital campaign by October 2011.

2010 Service: The Center for Speech and Language Disorders provided therapy for 296 children and their families. 200+ "free speech and language screenings" were provided to children under 3.

i. Name and address of grantee:

Family Christian Health Center 31 West 155<sup>th</sup> Street Harvey, IL 60426

ii. Date and amount of grant:

May 23, 2006 Award Letter \$300,000 Amount/Date 6/30/06

iii. The WSHF #1635 PRI grant was made to stabilize the operating budget –. Usage January 1, 2009 – December 31, 2010 are as follows:

Medical Patients

26,461

Medical Visits

75,308

- iv. The grantee received the entire PRI of \$300,000, Merrill Lynch check no. 7698, and used same to stabilize the operating budget.
- v. Based upon the knowledge of the grantor, none of the grant funds have been diverted from the purpose of the grant. (See usage iii above)
- vi. Reports and follow-up letters and telephone calls dated as follows have been received from the grantee:

Final Report

January 11, 2008

Email

January 14, 2008

Report

January 22, 2009

Report

January 20, 2009

Report

December 31, 2010

i. Name and address of grantee:

Clearbrook 1835 W. Central Rd. Arlington Heights, IL 60005

ii. Date and amount of grant:

July 12, 2007 \$125,000.00

- iii. This #1721 PRI grant was made to Clearbrook for completion of the installation of fire suppression systems (sprinklers) in eight CILA residences as required by the Illinois State Fire Marshal.
- iv. The grantee received the entire PRI of \$125,000.00, Merrill Lynch check no. 8085, and used same to help fund the installation of fire suppression systems in 7 CILA residences. (One CILA was sold prior to installation).
- v. Based upon the knowledge of the grantor, none of the grant funds have been diverted from the purpose of the grant.
- vi. Reports and follow-up letters and telephone calls dated as follows have been received from the grantee:

First re-payment received	- October 15, 2008
Discussion lunch meetings with Carl LaMell (President of Clearbrook)	- May 4, 2009 September 21, 2009
Report	- January 24, 2010
Discussion lunch meetings with Carl LaMell (President of Clearbrook)	- January 25, 2010 through December 31, 2010
Second re-payment received	- October 15, 2009
Report	- January 25, 2010 through December 31, 2010. (Received February 1, 2011)

i. Name and address of grantee:

Thresholds 4101 N. Ravenswood Ave. Chicago, IL 60613

ii. Date and amount of grant:

July 12, 2007 \$150,000.00

iii. This #1731 PRI grant was made to Thresholds for the renovation of an area located on the first floor of the Young Adult Building. This space was used for the Integrated Health Project Clinic. The space was built to ADA and JCAHO standards, and contains a reception area, a waiting room, a bathroom, a lab and 2-3 exam rooms.

7/1/2008 - 6/30/2009: 500+ clients and over 4,000 patient/client visits.

The clinic for FY 09 and FY 10 averaged 8.8 clinic visits totaling more than 6,200 visits from men and women with severe mental illness. 900 clients actively participating in the project.

- iv. The grantee received the entire PRI of \$150,000.00 and expended all on costs associated with the build out of the Integrated Health Project Clinic
- v. Based upon the knowledge of the grantor, none of the grant funds have been diverted from the purpose of the grant.
- vi. Reports and follow-up letters and telephone calls dated as follows have been received from the grantee:

May 2009

- Site Visit

October 1, 2008

- First Payment Received

January 20, 2010

- Report

i. Name and address of grantee:

PCC Community Wellness Center 14 W. Lake Street Oak Park, IL 60302

ii. Date and amount of grant:

February 11, 2009 \$263,616.00

- iii. This #1733 PRI grant was made to PCC Community Wellness Center for PCC's new Electronic Health Records Implementation Project.
  - 1. Software for GE Centricity practice management software, July 2009,
  - 2. February 2010, PCC's new Austin Family Health Center on the system.
  - 3. Three other PCC sites on system.
  - 4. \$25,810 clients on system.
- iv. The grantee received the PRI of \$263,616.00 in two payments, Merrill Lynch check no. 8484 in the amount of \$116,311 and Merrill Lynch check no. 8617 in the amount of \$147,305. Grantee used same to help fund the installation of an EHR System at four sites, with plans for another 6 sites.
- v. Based upon the knowledge of the grantor, none of the grant funds have been diverted from the purpose of the grant.
- vi. Reports and follow-up letters and telephone calls dated as follows have been received from the grantee:

Payments received	September 2010
Board site visit to PCC Austin Family Health Center	- May 11, 2010

Report - May 1, 2009 to June 30, 2010