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Form 990-PF

Department of the Treasury Internal Revenue Service

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545 0052

2009

Internal F	Revenue Service	Note The fo	undation r	may be able to use a copy of th	is return to satisfy state re	porti		
For cal	endar year 2009, or	tax year beginnı	ng 1	10/01 , 200 9	, and ending)/3	0 , 2010	
G Che	eck all that apply	Initial return	Init	ial Return of a former p	oublic charity		Fin	al return
		Amended ret	urn	Address	change Na	me (change	
Use t	he					TA	Employer identification nu	mber
IRS la	oel. Hsu Hwa Cl	hao Foundat	ion				33-0591357	
Otherw		50846				В	Telephone number (see the	instructions)
prin or typ		A 92619				-	(714) 669-889	•
See Spe						c	If exemption application is	
Instruct	ions					I -	1 Foreign organizations, che	· —
H C	heck type of organiz	ation X Sec	tion 50	1(c)(3) exempt private t	foundation	۲	2 Foreign organizations mee	
ř	Section 4947(a)(1				private foundation		here and attach computation	on P
I F	air market value of all ass			counting method X C		E	If private foundation status	
	from Part II, column (c), lir			Other (specify)	asii Maccidal		under section 507(b)(1)(A)	•
► s		, 934.	(Port I	, column (d) must be or		F	If the foundation is in a 60	
Part I	Analysis of R		(Fart i	, colultiit (a) thast be of	T Casri basis)	<u> </u>	under section 507(b)(1)(B)), check here
raiti	Expenses (The		c (n	(a) Revenue and	(b) Net investme	nt	(c) Adjusted net	(d) Disbursements
	columns (b), (c),	and (d) mav not	s III neces-	expenses per books	income		income	for charitable
	sarily equal the a	amounts ın colum	n (a)				:	purposes (cash basis only)
	(see the instruction						<u></u>	(caon basis only)
		, grants, etc, received		<u> </u>				
		foundn is not req to at						
	3 Interest on sa cash investme	vings and tempo	rary	17.	,	L7.		
	1	erest from securities		27,154.	27,19		·-····································	<u> </u>
	5a Gross rents	erest from securities		21,134.	21,13	<u> </u>		
	b Net rental income				Statement 1			
P	or (loss) 6a Net gain/(loss) from	m colo of accets and a	h== 10	18,187.	Statement 1			
R E V	b Gross sales price f		,145.	10,107.				
ν	assets on line 6a 7 Capital gain net in							
É	8 Net short-term	come (from Part IV, lir	ie 2)					
U	9 Income modifi	, -					-1.	
Ε		POPIVI	En				-1.	
	10 a Gross sales less returns and allowances	RECEIV		U)				
	b Less Cost of goods sold			S				
	c Gross profit (dos	(entre (D) 1 8	011					
	11 Other income	(attach schedule	- .)	18				
	4	(arraon borroadro		# <u>F</u>				
	12 Total, Add line	es photonal	UT	45,358.	27,17	71	-1.	
	13 Compensation of o	theers, directors, trust	ees etc	0.	2,,1	<u> </u>		
	14 Other employee sa							
		s, employee bene	fits					
А	16a Legal fees (attach							
D M	b Accounting fees (a	•						
ı	c Other prof tees (a		St 2	3,606.			<u> </u>	
o N	17 Interest		-	3,000.				
O I S T R A T	18 Taxes (attach schedule)	(cap instr.)						
RRA	19 Depreciation (, ,						
A A	sch) and deple							
Ņ V	20 Occupancy							
GÉ		ences, and meet	ıngs					
A E N X D P	22 Printing and p							
	23 Other expense	es (attach schedu	ıle)					
E N								
S E	24 Total operatin	ng and administr d lines 13 throug	ative	2				
S	expenses. Add	a lines 13 throug	n 23	3,606.			<u> </u>	
	i	s, grants paid Par		69,145.				69,145.
	26 Total expense Add lines 24 a	es and disbursen	nents.	72,751.		0.	0.	60 1/15
		26 from line 12:		14, 131.		υ.	 	69,145.
		enue over expen	Sec					
	and disburser	nents	363	-27,393.				
j	b Net investment inc	come (if negative ente	r 0)		27,17	71.		
		ne (if negative, enter					0.	

Part		Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year		t year
		(See instructions)	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash – non-interest-bearing	14,957.	19,892.	
	2	Savings and temporary cash investments			
	3	Accounts receivable			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable			
		Less. allowance for doubtful accounts ▶	1		
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
		disqualified persons (attach schedule) (see the instructions)			
	7	Other notes and loans receivable (attach sch)]		
ŝ	İ	Less allowance for doubtful accounts ▶			
Ş	8	Inventories for sale or use			
A S E T	9	Prepaid expenses and deferred charges			
S	10	a Investments – U.S. and state government			
		obligations (attach schedule)			
	1	b Investments — corporate stock (attach schedule) Statement 3	624,300.	591,972.	1,381,934.
	1	c Investments — corporate bonds (attach schedule)			
	11	Investments — land, buildings, and equipment basis.			
		Less accumulated depreciation (attach schedule)			
	12	Investments – mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis			
		Less accumulated depreciation (attach schedule)			
	15	Other assets (describe ►)			
	16	Total assets (to be completed by all filers — see instructions Also, see page 1, item I)	639, 257.	611,864.	1,381,934.
Ļ	17	Accounts payable and accrued expenses			
Å	18	Grants payable			
B	19	Deferred revenue			
Ł	20	Loans from officers, directors, trustees, & other disqualified persons			
Ī	21	Mortgages and other notes payable (attach schedule)			
H	22	Other liabilities (describe)			
Ε		**************************************		_	
<u> </u>	23	Total liabilities (add lines 17 through 22)	0.	0.	
		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
NF	24	Unrestricted			
Ë U T N	25	Temporarily restricted			
ם	26	Permanently restricted			
A B A L A		Foundations that do not follow SFAS 117, check here ■ X and complete lines 27 through 31.			
EL	27	Capital stock, trust principal, or current funds	639,257.	611,864.	
S N C	28	Paid-in or capital surplus, or land, building, and equipment fund			
0 E	29	Retained earnings, accumulated income, endowment, or other funds			
R S	30	Total net assets or fund balances (see the instructions)	639,257.	611,864.	
	31	Total liabilities and net assets/fund balances (see the instructions)	639,257.	611,864.	
Part	<u>' </u>	Analysis of Changes in Net Assets or Fund Balance	PS	011,004.	
ı	end-	I net assets or fund balances at beginning of year - Part II, colu of-year figure reported on prior year's return)	umn (a), line 30 (must ag	ree with	639,257.
		er amount from Part I, line 27a		2	-27,393.
		increases not included in line 2 (itemize).		3	21,333.
		lines 1, 2, and 3			611,864.
		ases not included in line 2 (itemize).		5	011,004.
		I net assets or fund balances at end of year (line 4 minus line 5)) - Part II, column (b) iir		611,864.
			,		011,001.

BAA

Form **990-PF** (2009)

1 a N/A b c c d e (e) Gross sales price (f) Depreciation allowed (or allowable) (or allowable) (or allowable) (f) Cost or other basis (e) plus (f) minus (g) a b c d d e Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (g) Fair Market Value as of 12/31/69 (g) Adjusted basis as of 12/31/69 (g) Adjusted basis (h) Excess of column (h) (h) an minus column (h), but not less over column (h), if any a b c d d e 2 Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 If (loss), enter -0 - in Part I, line 7 In Part I, line 8 Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment income For optional use by domestic private foundations subject to the section 4940(a) lax on net investment income For optional use by domestic private foundations subject to the section 4940(a) lax on net investment income For optional use by domestic private foundations subject to the section 4940(a) lax on net investment income For optional use by domestic private foundations subject to the section 4940(a) lax on net investment income For optional use by domestic private foundations subject to the section 4940(a) lax on net investment income For optional use by domestic private foundations subject to the section 4940(a) lax on net investment income For optional use by domestic private foundations subject to the section 4940(a) lax on net investment income For optional use by domestic private foundations subject to the section 4940(a) lax on net investment income For optional use by domestic private foundations subject to the section 4940(a) lax on net investment income For optional use by domestic private foundations subject to the section 4940(a) lax on net investment income For optional use by domestic private foundations subject to the section 4940(a) lax on net investment income For optional use by domestic private foundations subject to the section 4940(a) lax on net investment income For optional use by domestic private foun	(a) List and describ 2-story brick warehou	e the kind(s) of property sold (e.g., isse, or common stock, 200 shares M	C Company)	D) How acquired P — Purchase D — Donation	(C) Date acquired (month, day year)	(d) Date sold (month, day year)
b (c) Gross sales price (f) Depreciation allowed (or allowable) (g) Cost or other basis (h) Gain or (loss) (p) plus (f) minute (g) a plus expense of sale (g) plus (f) minute (g) (g) Cost or other basis (g) plus (f) minute (g) (g) Cost or other basis (g) plus (f) minute (g) (g) Cost or other basis (g) plus (f) minute (g) (g) Cost or other basis (g) plus (f) minute (g) (g) Cost or other basis (g) plus (f) minute (g) (g) Cost or other basis (g) plus (f) minute (g) (g) Cost or other basis (g) plus (f) minute (g) (g) Cost or other basis (g) plus (g)	1a N/A		·········	D — Donation		
de (e) Gross sales price (f) Depreciation allowed (g) Cost or other basis (e) plus (f) minus (g) a library of the plus expense of sale (e) plus (f) minus (g) (g) (g) plus (g) minus (g) (g) plus (g) minus (g)		-			·	
(e) Gross sales price (f) Depressation allowed (gr Cost or other basis (h) Gain or (loss) (e) plus (f) minus (g) b	С					
(e) Gross sales price (f) Depreciation allowed (g) Cost or other basis (h) Gain or (loss) (plus (f) minus (g)) a b c d c d e Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (f) Fair Market Value as of 12/31/69 (g) Fair Market Value as of 12/31/69 (g) Adjusted basis over column (f), if any) a C Capital gain net income or (net capital loss) If gain, also enter in Part I, line 8 Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income For optional use by domestic private foundations subject to the section 4940(a) lax on net investment income If Yes, the foundation does not qualify under section 4940(e). Do not complete this part 1 Enter the appropriate amount in each folium for each year, see the instructions before making any entries Calendar year (or lax year column (a) 2 2008 2007 2008 2007 2009 2009 2009 2009 2009 2009 2009	d					
(or allowable) "plus expense of sale" (e) plus (f) minus (g) a b c c c c c c c c c c c c c c c c c c		T			<u> </u>	<u> </u>
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (l) Fair Market Value	(e) Gross sales price				(h) Gain or (e) plus (f) m	(loss) ınus (g)
c d d e Complete celly for assets showing gain in column (h) and owned by the foundation on 12/31/69 (1) Sans (Column (h) gain minus column (h) over column (h), if any over column (h), if any as of 12/31/69 (2) Excess of column (h), if any over column (h), if any over column (h), if any over column (h) over column (h), if any over column (h) over c						
de Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (i) Fair Market Yalue (i) Adjusted basis as of 12/31/69 (ii) Eanis (column (h) over column (i) over column (i) as of 12/31/69 (iii) Eanis (column (h) over column (i) if any over column (iii) as of 12/31/69 (iii) Eanis (column (h) over column (iii) if any over column (iiii) if any over column (iiiii) if any over column (iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii						
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (l) Fair Market Value as of 12/31/69 as of 12/31/69 (l) Excess of column (l) gain aminus column (h) but over column (l), if any						
Complete only for assets showing gain in column (ft) and owned by the foundation on 12/31/69 (i) Fair Market Value	 					
(i) Fair Market Value as of 12/31/69 (ii) Adjusted basis as of 12/31/69 (iii) Excess of column (i) than (iii) than (iii) as of 12/31/69		ung gain in column (b) and owned b	v the foundation on 12/31/69		(I) Como (Colu	.mn (h)
b c d d e 2 Capital gain net income or (net capital loss)	(i) Fair Market Value	(j) Adjusted basis	(k) Excess of column (i		aın minus column (F	(), but not less
c d d e e e e e e e e e e e e e e e e e	a					
d e 2 Capital gain net income or (net capital loss)						
Capital gain net income or (net capital loss)	С					
2 Capital gain net income or (net capital loss)	d					
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0. 3 Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income) If section 4940(d)(2) applies, leave this part blank Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? If yes, the foundation does not qualify under section 4940(e). Do not complete this part I Enter the appropriate amount in each column for each year, see the instructions before making any entries. Adjusted qualifying distributions Calendar year (or lax year beginning in) Adjusted qualifying distributions Calendar year (or lax year beginning in) 2008 2007 2006 2005 9,851. 2004 87,795. 1,472,447. 0.05962: Adverage distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years A verage distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years Multiply line 4 by line 3 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 Multiply line 4 by line 3 Enter qualifying distributions from Part XII, line 27b) 8 Enter qualifying distributions from Part XII, line 4 8 69,145	e				,	
(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income) If section 4940(d)(2) applies, leave this part blank Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No If Yes, the foundation does not qualify under section 4940(e). Do not complete this part Enter the appropriate amount in each column for each year, see the instructions before making any entries. (a) Base period years Calendar year (of tax year beginning in) Adjusted qualifying distributions Calendar year (of tax year beginning in) 2008 2008 2007 2006 2006 2006 3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 Multiply line 4 by line 3 6 Enter 1% of net investment income (1% of Part I, line 27b) 7 Add lines 5 and 6 Enter qualifying distributions from Part XII, line 4	in Part I, line 8					
If section 4940(d)(2) applies, leave this part blank Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes X No If Yes, the foundation does not qualify under section 4940(e). Do not complete this part I Enter the appropriate amount in each column for each year, see the instructions before making any entries. (a) Calendar year (of tax year beginning in) Adjusted qualifying distributions 2008 2007 2008 2007 2006 2006 2007 2006 2004 87,795. 1,472,447. 0.05962: 3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 Multiply line 4 by line 3 6 Enter 1% of net investment income (1% of Part I, line 27b) 7 Add lines 5 and 6 Enter qualifying distributions from Part XII, line 4						
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2007 2006 2005 2004 87,795. 1,472,447. 0.05962 2 Total of line 1, column (d) 2 0.05962 3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 Multiply line 4 by line 3 5 Enter 1% of net investment income (1% of Part I, line 27b) 6 Add lines 5 and 6 7 272 8 Enter qualifying distributions from Part XII, line 4	Base period years Calendar year (or tax year	Adjusted qualifying distributions	Net value of	s (coli	Distribution	
2006 2005 9,851. 2004 87,795. 1,472,447. 0.05962 2 Total of line 1, column (d) 2 0.05962 3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 Multiply line 4 by line 3 5 Enter 1% of net investment income (1% of Part I, line 27b) 6 272 7 Add lines 5 and 6 8 Enter qualifying distributions from Part XII, line 4 8 69,145	2008					
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2 Total of line 1, column (d) 2 0.059623 3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 5 Multiply line 4 by line 3 6 Enter 1% of net investment income (1% of Part I, line 27b) 7 Add lines 5 and 6 7 272 8 Enter qualifying distributions from Part XII, line 4	·····					
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number of years the foundation has been in existence if less than 5 years 4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 Multiply line 4 by line 3 5 Enter 1% of net investment income (1% of Part I, line 27b) 6 272 7 Add lines 5 and 6 Enter qualifying distributions from Part XII, line 4	2 Total of line 1, column (d)			2		0.059625
5 Multiply line 4 by line 3 6 Enter 1% of net investment income (1% of Part I, line 27b) 7 Add lines 5 and 6 7 272 8 Enter qualifying distributions from Part XII, line 4	3 Average distribution ratio for the number of years the foundation	ne 5-year base period – divide the to n has been in existence if less than !	otal on line 2 by 5, or by the 5 years	3		0.029813
6 Enter 1% of net investment income (1% of Part I, line 27b) 6 272 7 Add lines 5 and 6 7 272 8 Enter qualifying distributions from Part XII, line 4 8 69,145	4 Enter the net value of nonchar	itable-use assets for 2009 from Part	X, line 5	4		
7 Add lines 5 and 6 7 272 8 Enter qualifying distributions from Part XII, line 4 8 69,145	5 Multiply line 4 by line 3			5		
7 Add lines 5 and 6 7 272 8 Enter qualifying distributions from Part XII, line 4 8 69,145	6 Enter 1% of net investment inc	come (1% of Part I. line 27b)		6		272.
8 Enter qualifying distributions from Part XII, line 4 8 69,145		(
		om Part VII. Ima 4				
		·	line 1h, and complete that no	<u> </u>	tax rate See t	

Form	990-PF (2009) Hsu Hwa Chao Foundation 33-0591357		Р	age 4
Par	VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see the instruc	tions)		$\overline{}$
_ 1 a	Exempt operating foundations described in section 4940(d)(2), check here and enter 'N/A' on line 1.			
	Date of ruling or determination letter (attach copy of letter if necessary - see instr.)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V,		2	272.
	check here ► X and enter 1% of Part I, line 27b			
C	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable			
	foundations only Others enter -0-)			<u>0.</u>
3	Add lines 1 and 2		2	272.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			0.
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		2	272.
6	Credits/Payments			
	2009 estimated tax pmts and 2008 overpayment credited to 2009			
	Exempt foreign organizations – tax withheld at source			
	Tax paid with application for extension of time to file (Form 8868)			
	Backup withholding erroneously withheld 6d			^
_	Total credits and payments Add lines 6a through 6d			<u>0.</u>
8	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached			77
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed			272.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11	Enter the amount of line 10 to be Credited to 2010 estimated tax			
Par	t VII-A Statements Regarding Activities	Г		NI a
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No X
t	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1 b		x
	If the answer is 'Yes' to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file Form 1120-POL for this year?	1 c		Х
C	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year			
	(1) On the foundation $\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \$			ľ
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$\Bigsim \\$\$.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		Х
2	If 'Yes,' attach a detailed description of the activities			
_				
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes	3		Х
Δa	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
	If 'Yes,' has it filed a tax return on Form 990-T for this year?	4b	N	/A
	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
	If 'Yes,' attach the statement required by General Instruction T	<u> </u>		
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:			
	By language in the governing instrument, or			
	 By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 	6	Х	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	7	Х	
8 a	Enter the states to which the foundation reports or with which it is registered (see the instructions)			
	CA			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ² <i>if 'No,' attach explanation</i>	8b	Х	<u> </u>
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If 'Yes,' complete Part XIV	9		х
10	Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names			,
BAA	and addresses	10 orm 990)-PF	(2009)

orm 990-PF (2009) HSU Hwa Chao Foundation	33-059	1357	Pag	ge 5
Part VII-A Statements Regarding Activities Continued				
At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)		11		<u>X_</u>
12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?		12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exempti	on application?	13	Х	_
Website address ► N/A				- -
14 The books are in care of ► Machiavelli W. Chao Telephoi		<u>14) 669</u>	-8899	
Located at ► <u>2882 Walnut Avenue</u> , <u>Suite C Tustin CA</u> ZIP + 4 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — Check here		_{N/A}		Ţ-
and enter the amount of tax-exempt interest received or accrued during the year	▶ 15		N	1/A
Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required				
File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.			Yes I	No_
1 a During the year did the foundation (either directly or indirectly)			İ	
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	Yes X	No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	Yes X	No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	Yes X			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	Yes X	No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	Yes X	No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	Yes X	No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see the instruc	n tions)?	1 b	N/Z	<u> </u>
Organizations relying on a current notice regarding disaster assistance check here	▶ 🗌			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted act that were not corrected before the first day of the tax year beginning in 2009?	S,	1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	Yes X	No		
If 'Yes,' list the years ► 20, 20, 20				
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 494 (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 494)			/	_
all years listed, answer 'No' and attach statement — see the instructions)		2 b	N/Z	<u>A</u>
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years ▶ 20, 20, 20	s riere.			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	Yes X	No		
b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approximately by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to	n ved	26	M	7.
determine if the foundation had excess business holdings in 2009)		3b	N/I	<u> </u>
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		Х
BAA		Form 99	0-PF (2	.009)

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Form 990-PF (2009) HSU HWA Chao Found			33-059	3135/	Pag	<u>е</u> (
Part VII-B Statements Regarding Activit		n 4720 May Be Req	uired (continued)		- E	
5a Düring the year did the foundation pay or incu	•	/ L 4045/->>2	□ v ₂₂ [V]	N-		
(1) Carry on propaganda, or otherwise attemp			Yes X	No		
(2) Influence the outcome of any specific pub on, directly or indirectly, any voter registra	olic election (see section ation drive?	n 4955), or to carry	Yes X	No		
(3) Provide a grant to an individual for travel,		purposes?	\vdash	No		
(4) Provide a grant to an organization other t in section 509(a)(1), (2), or (3), or section	han a charitable, etc, o n 4940(d)(2)? (see instri	rganization described uctions)	Yes X	No		
(5) Provide for any purpose other than religio educational purposes, or for the prevention	ous, charitable, scientific on of cruelty to children	c, literary, or or animals?	Yes X	No		
b If any answer is 'Yes' to 5a(1)-(5), did any of described in Regulations section 53 4945 or in (see instructions)?	the transactions fail to a current notice regar	qualify under the excep ding disaster assistance	tions	5b	N/A	
Organizations relying on a current notice rega	ording disaster assistani	ce check here	▶ 🗍			
c If the answer is 'Yes' to question 5a(4), does tax because it maintained expenditure respon	isibility for the grant?	·	N/A Yes	No		
If 'Yes,' attach the statement required by Reg		, ,				
 6a Did the foundation, during the year, receive a on a personal benefit contract? b Did the foundation, during the year, pay prem 			∐ Yes X	No		v
If 'Yes' to 6b, file Form 8870	nums, affectly of maired	cily, on a personal bene	iit contract?	6Ь		<u>X</u> _
7a At any time during the tax year, was the found	dation a party to a proh	nibited tax shelter transa	ction? Yes X	No		
b If yes, did the foundation receive any proceed			ansaction?	7b		
Part VIII Information About Officers, D and Contractors	irectors, Trustees,	Foundation Manag	ers, Highly Paid E	mployee	s,	
1 List all officers, directors, trustees, foundation	on managers and their	compensation (see inst	ructions)			
	(b) Title and average	(c) Compensation	(d) Contributions to	(e) Expens	se accour	— nt
(a) Name and address	hours per week devoted to position	(If not paid, enter -0-)	employee benefit plans and deferred compensation		owances	
Phylis Y. Hsia	President	0.	0.			0
14 Pelican Point Drive	1.00					
Newport Coast, CA 92657						_
David C. Hsia	Secretary	0.	0.			0
14 Pelican Point Drive Newport Coast, CA 92657	1.00					
nowpole oddsey on 32007						_
						-
2. Compared to a 4 fine big back and a supply				1310315		
 2 Compensation of five highest-paid employee (a) Name and address of each employee 				T		
paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expens other all	owances	π,
None						
						_
Total number of other employees paid over \$50,000	0		<u> </u>	<u> </u>	0 DF (20)	0

Form 990-PF (2009) Hsu Hwa Chao Foundation	33-0591357	Page 7
Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Hig and Contractors (continued)	inly Paid Employees	; ,
3 Five highest-paid independent contractors for professional services — (see instructions). If none, enter 'NONE.'		
(a) Name and address of each person paid more than \$50,000 (b) Type of serving	ce (c) Comp	ensation
None		
Total number of others receiving over \$50,000 for professional services	•	0
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number organizations and other beneficiaries served, conferences convened, research papers produced, etc.	r of Exper	nses
1 Grants Paid (See Part XV)		
2		69,145.
3		
4		
Part IX-B Summary of Program-Related Investments (see instructions)		
Describe the two largest program-related investments made by the foundation during the tax year on lines 1	1 and 2 Amo	unt
1 <u>N/A</u>		
2		
All other program-related investments See instructions		
3		
Total Add 1		
Total. Add lines 1 through 3 BAA	Form 990	0. D-PF (2009)
	1 01111 330	, (2003)

Forn	n 990-PF (2009) Hsu Hwa Chao Foundation	<u>33-0591357</u>	Page 8
Par	Minimum Investment Return (All domestic foundations must complete this part. F see instructions.)	oreign founda	tions,
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes Average monthly fair market value of securities.	1 a	
	Average of monthly cash balances	1b	
	Fair market value of all other assets (see instructions)	1c	
	I Total (add lines 1a, b, and c)	1 d	0.
	Reduction claimed for blockage or other factors reported on lines 1a and 1c		
	(attach detailed explanation)		
2	Acquisition indebtedness applicable to line 1 assets	7 2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	_0.
6	Minimum investment return. Enter 5% of line 5	6	0.
Par	TXI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operand certain foreign organizations check here ► □ and do not complete this part of the complete this part of th		ions
1	Minimum investment return from Part X. line 6	1 1	
2 2	Tax on investment income for 2009 from Part VI, line 5	<u> </u>	
	Income tax for 2009 (This does not include the tax from Part VI)	`	
	Add lines 2a and 2b		272.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	-272.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	-272.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	0.
Pai	t XII Qualifying Distributions (see ınstructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	69,145.
ŀ	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the a Suitability test (prior IRS approval required)	3a	
	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	69,145.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	272.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	68,873.
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating when the line is the line	nether the foundat	ion

qualifies for the section 4940(e) reduction of tax in those years

Form 990-PF (2009)

BAA

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI,				
Inne 7 2 Undistributed income, if any, as of the end of 2009				0.
a Enter amount for 2008 only			0.	
b Total for prior years 20 , 20 , 20		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004 14,583.				
b From 2005 10,000.				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	24,583.			····
4 Qualifying distributions for 2009 from Part				
XII, line 4 ► \$ 69,145.			_	
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus	0.			
(Election required – see instructions) d Applied to 2009 distributable amount	<u> </u>			0.
e Remaining amount distributed out of corpus	69,145.			
5 Excess distributions carryover applied to 2009	0.			0.
(If an amount appears in column (d), the				
same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	93,728.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		· · · · · · · · · · · · · · · · · · ·
c Enter the amount of prior years' undistribut-		•		
ed income for which a notice of deficiency has been issued, or on which the section				
4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable	-			
amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from			•	
line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2009 Subtract lines				
4d and 5 from line 1. This amount must be distributed in 2010.				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed				
by section 170(b)(1)(F) or 4942(g)(3)	0.			
(see instructions)	<u> </u>			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	14,583.			
9 Excess distributions carryover to 2010.				
Subtract lines 7 and 8 from line 6a	79,145.			
10 Analysis of line 9				
a Excess from 2005 10,000.				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008 e Excess from 2009 69,145.				
E LACESS HUITI 2003 03, 143.		1		

Form 990-PF (2009) Hsu Hwa Chao Fou				<u>33-0591357</u>	Page 10		
Part XIV Private Operating Foundation					N/A		
1a If the foundation has received a ruling or is effective for 2009, enter the date of the	determination letter	r that it is a private	operating foundation	n, and the ruling			
b Check box to indicate whether the foundar	•	erating foundation (described in section	4942(j)(3) or	4942(j)(5)		
2a Enter the lesser of the adjusted net	Tax year		Prior 3 years	12 - 47 (27)	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		
income from Part I or the minimum investment return from Part X for	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total		
each year listed							
b 85% of line 2a							
c Qualifying distributions from Part XII, line 4 for each year listed							
d Amounts included in line 2c not used directly for active conduct of exempt activities							
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c							
3 Complete 3a, b, or c for the alternative test relied upon							
a 'Assets' alternative test — enter							
(1) Value of all assets							
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)							
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed							
c 'Support' alternative test — enter							
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section							
512(a)(5)), or royalties)			 				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III)							
(3) Largest amount of support from an exempt organization							
(4) Gross investment income							
Part XV Supplementary Information	(Complete this	part only if the	organization ha	d \$5,000 or mor	e in		
assets at any time during th		structions.)					
1 Information Regarding Foundation Manag	jers:	ore than 20/ of the	total contributions r	accured by the forms	lation hafara tha		
a List any managers of the foundation who is close of any tax year (but only if they have	e contributed more	than \$5,000) (See	section 507(d)(2))	eceived by the found	adon before the		
See Statement 4							
blatan manager of the foundation to	100/						
b List any managers of the foundation who a partnership or other entity) of which the	own 10% or more of foundation has a 1	of the stock of a coi 0% or greater inter	rporation (or an equa rest	ally large portion of t	the ownership of		
None							
2 Information Regarding Contribution, Gran							
Check here \ if the foundation only n requests for funds. If the foundation make complete items 2a, b, c, and d	nakes contributions s gifts, grants, etc,	s to preselected cha (see instructions)	aritable organizations to individuals or orga	s and does not acce anizations under oth	pt unsolicited er conditions,		
a The name, address, and telephone number	r of the person to	whom applications	should be addressed	<u> </u>			
See Statement 5		<u> </u>					
b The form in which applications should be s	submitted and infor	mation and materia	als they should inclu	de			
See Statement for Line 2a	· - · · · · · · · · · · · · · · · · · ·						
c Any submission deadlines							
See Statement for Line 2a							
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors							
See Statement for Line 2a							

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3 Grants and Contributions Paid During the Year or Approved for Future Payment								
Recipient		Foundation status of		Amount				
Name and address (home or business)	substantial contributor	recipient						
a Paid during the year								
See Statement 6								
				:				
				!				
Total	,		► 3a	69,145.				
b Approved for future payment		<u> </u>						
		L	<u> </u>					
Total			► 3b	i				

. Part XVI-A . Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelate	d business income	Excluded by	section 512, 513, or 514	
1 Program service revenue	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	(e) Related or exempt function income (see the instructions)
a					
b	-		 		
c			+		
d					
e		<u> </u>	 		
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	17.	
4 Dividends and interest from securities			14	27,154.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					18,187.
9 Net income or (loss) from special events.					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					· · · · · · · · · · · · · · · · · · ·
a					
b			4		
C			<u> </u>		·····
d					
e			<u> </u>	_	
12 Subtotal Add columns (b), (d), and (e)				27,171.	18,187.
13 Total. Add line 12, columns (b), (d), and (e)				13	45,358.
See worksheet in the instructions for line 13 to verify ca	Iculations)				

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See the instructions)
N/A	
_	
	

	<u>(=/, =====</u>	
Part XVII	Information Regarding Transfers To and Transactions and Relationships V	Vith Noncharitable
•	Exempt Organizations	

	Exclipt Organ	ilzations					
desc	the organization directly cribed in section 501(c) ting to political organizations	of the Code (other tha	n any of the following wi in section 501(c)(3) organ	th any other organiza nizations) or in section	tion n 527,	Yes	s No
a Trar	sfers from the reporting	g foundation to a nonc	harıtable exempt organız	ation of			
, ,	Cash					1 a (1)	X
(2)	(2) Other assets				1a (2)	X	
	er transactions						
	Sales of assets to a no				<u> </u>	1 b (1)	X
	Purchases of assets fro		, -		<u> </u>	1b (2)	X
	Rental of facilities, equi		5		_	1 b (3)	X
	Reimbursement arrange				_	1b (4)	X
	Loans or loan guarante				-	1 b (5)	<u> X</u>
	Performance of service	•	-		-	1 b (6)	X
c Sha	ring of facilities, equipm	nent, mailing lists, othe	er assets, or paid employ	ees	L.	1c	<u> </u>
d If th the	e answer to any of the goods, other assets, or transaction or sharing:	above is 'Yes,' comple services given by the	te the following schedule reporting foundation. If the column (d) the value of the	Column (b) should a received a goods, other asset	always show the fair maded less than fair market	arket value o value in	f
(a) Line no			ritable exempt organization		ransfers, transactions, and sh		ents
N/A	- Amount myoryed	Traine or noncha		(a) Description of t	and and and and and and	a.mg arrangeme	
					_		
					_		-
			· ·				
					-		
				1	·		
	ne foundation directly or cribed in section 501(c) es,' complete the follow		th, or related to, one or r in section 501(c)(3)) or in	nore tax-exempt orga n section 527?	nızatıons	Yes [ΧNο
	(a) Name of organiza	tion	(b) Type of organization	1	(c) Description of relat	ionship	
N/A							
			······································		·		
Under pe complete	enalties of perjury, I declare that Declaration of preparer (other	t I have examined this return, than taxpayer or fiduciary) is	including accompanying schedule based on all information of which	s and statements, and to the preparer has any knowledge	e best of my knowledge and bel e	ief, it is true, con	rect, and
s ► xª	Meuls	1 WAL.	<u></u> _	1	► President		
S G G G	mature of officer or trustee	J. 1		Date	Title		
Ñ				Date		er's Identifying ni	
H Paid	Preparer s signature	111111 6	\mathcal{Y}	218/11	Check if (See S	ignature in the ir	
Pre-	Mact	ni∕avelli W. Cha	<u> </u>	11011	employed ► N/A		
^E parer's Use	yours if self				EIN ► N/A		
Only	employed), address, and	_aw Offices of Machi				\ CC0 01	200
	ZIP code	2882 Walnut Avenue			Phone no ► (714		
BAA	·	Fustin Ranch, Califor	nia 92780			Form 990-P	r (2009)

2009	Federal Statements		Page 1
	Hsu Hwa Chao Foundation		33-0591357
Statement 1 Form 990-PF, Part I, Line 6a Net Gain (Loss) from Nonin Assets Not Included in Part	ventory Sales Per Books IV		
Description: Date Acquired: How Acquired: Date Sold: To Whom Sold:	77 sh St. Jude Medical, Inc. 8/27/2008 Purchase 10/06/2009		
Gross Sales Price: Cost or Other Basis: Basis Method:	2,930. 3,520. Cost	Gain (Loss)	-590.
Description: Date Acquired: How Acquired: Date Sold: To Whom Sold:	150 sh St. Jude Medical, Inc. 8/06/2009 Purchase 10/06/2009		
Gross Sales Price: Cost or Other Basis: Basis Method:	5,123. 5,599. Cost	Gain (Loss)	-476.
Description: Date Acquired: How Acquired: Date Sold: To Whom Sold:	158 sh Progress Energy, Inc. 6/03/2008 Purchase 11/24/2009		
Gross Sales Price: Cost or Other Basis: Basis Method:	6,189. 0. Cost	Gain (Loss)	6,189.
Description: Date Acquired:	200 sh Progress Energy, Inc. 8/06/2009		

Date Acquired: 8/06/2009

How Acquired: Purchase Date Sold: 11/24/2009 To Whom Sold:

Gross Sales Price: 7,834. 7,790. Cost or Other Basis:

Cost Basis Method:

Gain (Loss) 44.

92 sh Exelon Corporation 11/01/2004 Purchase Description: Date Acquired:

How Acquired: Date Sold: 2/25/2010 To Whom Sold:

Gross Sales Price: 4,019. Cost or Other Basis: 3,301.

Basis Method: Cost

Gain (Loss) 718.

20	09

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Hsu Hwa Chao Foundation

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-695.

303.

-182.

Statement 1 (continued)
Form 990-PF, Part I, Line 6a
Net Gain (Loss) from Noninventory Sales Per Books
Assets Not Included in Part IV

Description:

Date Acquired: How Acquired: Date Sold:

To Whom Sold: Gross Sales Price:

Cost or Other Basis:

Date Acquired:

How Acquired:

Basis Method:

Description:

Description:

Date Sold:

76 sh Exelon Corporation 12/19/2008

Purchase 2/25/2010

3,320. 4,015.

25000 sh Goldman Sachs Group 8/06/2009 Purchase 4/19/2010

Cost

To Whom Sold: Gross Sales Price: Cost or Other Basis:

Basis Method:

26,250. 25,947.

Cost

49 sh Goldman Sachs Group

Date Acquired: 11/24/2009 How Acquired: Purchase Date Sold: 4/16/2010 To Whom Sold:

Gross Sales Price:

Cost or Other Basis: Basis Method:

8,197.

8,379.

Cost

21 sh Goldman Sachs Group

Description: Date Acquired: 11/24/2009 How Acquired: Purchase Date Sold: 4/26/2010 To Whom Sold:

Gross Sales Price: Cost or Other Basis:

3,211. 3,591.

4/30/2010

Basis Method:

Cost

Gain (Loss) -380.

Description: 156 sh Noble Corp. Date Acquired: 11/01/2004 How Acquired: Purchase

Date Sold: To Whom Sold:

Gross Sales Price: Cost or Other Basis: 5,984. 3,941.

Basis Method:

Cost

Gain (Loss)

Gain (Loss)

Gain (Loss)

Gain (Loss)

2,043.

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Hsu Hwa Chao Foundation

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Statement 1 (continued)
Form 990-PF, Part I, Line 6a
Net Gain (Loss) from Noninventory Sales Per Books
Assets Not Included in Part IV

Description: Date Acquired: 37 sh Emerson Electric Company

How Acquired: Date Sold: To Whom Sold:

1/11/2007 Purchase 7/22/2010

Gross Sales Price: Cost or Other Basis: 1,804. 1,617.

550 sh Federated Investors B

Basis Method:

Cost

Gain (Loss) 187.

Description: Date Acquired:

8/06/2009 How Acquired: Purchase Date Sold: 7/23/2010

To Whom Sold: Gross Sales Price:

Cost or Other Basis:

11,528. 14,387.

Basis Method: Cost

Gain (Loss)

-2,859.

Description: Date Acquired:

5/24/2006 How Acquired: Purchase Date Sold: 7/09/2010

To Whom Sold:

Gross Sales Price: Cost or Other Basis: 361. 401.

49 sh Frontier Communications

Basis Method:

Cost

Gain (Loss)

-40.

Description: Date Acquired:

How Acquired: Date Sold: To Whom Sold:

Gross Sales Price: Cost or Other Basis:

28 sh McKesson Corporation 8/27/2008 Purchase

7/22/2010

1,822. 1,622.

Cost

Gain (Loss)

200.

Description: Date Acquired:

Basis Method:

How Acquired: Date Sold: To Whom Sold:

25 sh McDonalds Corp. 10/22/2008

7/22/2010

Purchase

Gross Sales Price: Cost or Other Basis: 1,771. 1,389.

Basis Method: Cost

Gain (Loss)

382.

2009	

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Hsu Hwa Chao Foundation

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Statement 1 (continued)
Form 990-PF, Part I, Line 6a
Net Gain (Loss) from Noninventory Sales Per Books
Assets Not Included in Part IV

Description:
Date Acquired:

84 sh CenturyLink Inc. 12/26/2007 Purchase

How Acquired: Date Sold: To Whom Sold: Purchase 9/22/2010

Cost or Other Basis:

Gross Sales Price:

3,315. 3,064.

Basis Method:

Cost

Gain (Loss) 251.

Description:
Date Acquired:
How Acquired:
Date Sold:

62 sh Clorox Co. 9/27/2007 Purchase 9/22/2010

To Whom Sold: Gross Sales Price: Cost or Other Basis:

4,117. 3,801.

85 sh Emerson Electric Company

Basis Method:

Cost

Gain (Loss) 316.

Description:
Date Acquired:
How Acquired:

1/11/2007 Purchase 9/22/2010

Date Sold: To Whom Sold: Gross Sales Price:

4,396. 3,714.

Cost or Other Basis: Basis Method:

Cost

Gain (Loss) 682.

Description:
Date Acquired:

92 sh Goodrich Corporation 5/23/2006 Purchase 9/22/2010

How Acquired: Date Sold: To Whom Sold:

Gross Sales Price: Cost or Other Basis: 6,620. 3,910.

Basis Method: Cost

Gain (Loss) 2,710.

Description:
Date Acquired:
How Acquired:

43 sh McDonalds Corp. 11/17/2008 Purchase 9/22/2010

Date Sold: To Whom Sold:

Gross Sales Price: Cost or Other Basis:

3,232. 2,439.

Basis Method:

Cost

Gain (Loss)

793.

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Hsu Hwa Chao Foundation

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Statement 1 (continued)
Form 990-PF, Part I, Line 6a
Net Gain (Loss) from Noninventory Sales Per Books
Assets Not Included in Part IV

Description: Date Acquired: 28 sh PPG Industries Inc. 6/04/2008

How Acquired: Date Sold:

Purchase 9/22/2010

To Whom Sold: Gross Sales Price:

2,025. 1,744.

Cost or Other Basis: Basis Method:

Cost

Gain (Loss) 281.

Description:

46 sh PPG Industries Inc. 8/06/2009

Date Acquired: How Acquired: Date Sold:

Purchase 9/22/2010

To Whom Sold:

Gross Sales Price: Cost or Other Basis:

3,328. 2,576.

Basis Method:

Cost

Gain (Loss) 752.

Description:

170 sh PPL Corporation Date Acquired: 10/31/2005 How Acquired: Purchase Date Sold: 9/22/2010

To Whom Sold:

Gross Sales Price: Cost or Other Basis: 4,623. 0.

Cost

Gain (Loss) 4,623.

Description:

Basis Method:

76 sh Praxair Inc. Date Acquired: 11/01/2004 How Acquired: Purchase 9/22/2010 Date Sold:

To Whom Sold:

Gross Sales Price: Cost or Other Basis: 6,685. 3,393.

Cost

Gain (Loss) 3,292.

Description: Date Acquired:

Basis Method:

46 sh Procter & Gamble Co. 11/01/2004

Date Sold: To Whom Sold:

How Acquired: Purchase 9/22/2010

Gross Sales Price: Cost or Other Basis:

2,851. 2,165.

Basis Method: Cost

Gain (Loss) 686.

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Hsu Hwa Chao Foundation

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Statement 1 (continued)
Form 990-PF, Part I, Line 6a
Net Gain (Loss) from Noninventory Sales Per Books
Assets Not Included in Part IV

Description:

81 sh Stancorp Financial Group Inc.

Date Acquired: How Acquired:

11/27/2006 Purchase 9/22/2010

Date Sold: To Whom Sold:

Gross Sales Price: Cost or Other Basis: 3,024. 3,659.

Basis Method:

Cost

Gain (Loss)

-635.

Description:

100 sh Stancorp Financial Group Inc.

Date Acquired: How Acquired:

8/06/2009 Purchase 9/22/2010

Date Sold: To Whom Sold:

Gross Sales Price:

3,734.

Cost or Other Basis:

3,607.

Basis Method:

Cost

Cost

Gain (Loss)

127.

Description:

346 sh Staples Inc.

Date Acquired: How Acquired: Date Sold: 1/17/2008 Purchase 9/22/2010

To Whom Sold: Gross Sales Price:

6,852. 7,387.

Cost or Other Basis: Basis Method:

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Gain (Loss)

-535.

Total \$ 18,187.

Statement 2 Form 990-PF, Part I, Line 16c Other Professional Fees

		(a) Expenses per Books	(b) Net Investment <u>Income</u>	(c) Adjusted <u>Net Income</u>	(d) Charitable <u>Purposes</u>
Investment Advisory Fees	Total	\$ 3,606. \$ 3,606.	\$ 0.	\$ 0.	\$ 0.

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Hsu Hwa Chao Foundation

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Statement 3 Form 990-PF, Part II, Line 10b **Investments - Corporate Stocks**

Corporate Stocks	Valuation	Book	Fair Market
	<u>Method</u>	Value	<u>Value</u>
Equities (See Statement Attached)	Cost	0.	\$ 1,381,934.
Watson Pharmaceuticals	Cost		0.
	Total	\$ 591,972.	\$ 1,381,934.

Statement 4 Form 990-PF, Part XV, Line 1a Foundation Managers - 2% or More Contributors

Phylis Y. Hsia David C. Hsia

Statement 5 Form 990-PF, Part XV, Line 2a-d **Application Submission Information**

Name of Grant Program:

Name:

Hsu Hwa Chao Foundation

Care Of:

Phylis Hsia

Street Address:

2882 Walnut Avenue, Suite C

City, State, Zip Code:

Tustin Ranch, CA 92780

Telephone:

Form and Content:

All applications should include the name, address and telephone number of the applicant, along with a detailed description of the proposed use of any grants.

March 15 of each year

Subject to financial availability and submission of

Submission Deadlines:

Restrictions on Awards:

complete applications, the Foundation may award grants to

those individual or organizations which promote the purposes for which the Foundation was established.

Statement 6 Form 990-PF, Part XV, Line 3a Recipient Paid During the Year

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	 Amount
Free Wheelchair Mission 9341 Irvine Boulevard Irvine, CA 92618	Charitable Organization	501(c)(3)	To fund the purchase of wheelchairs	\$ 1,000.

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Hsu Hwa Chao Foundation

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Statement 6 (continued) Form 990-PF, Part XV, Line 3a Recipient Paid During the Year

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	 Amount
Queen of Hearts Foundation 500 Claudel Court SW Atlanta, GA 30331	Charitable Organization	501(c)(3)	To fund women's health and wellness issues	\$ 1,100.
UCI Foundation for Diabetic Center 555 Administration Building Irvine, CA 92697	Charitable Organization	501(c)(3)	To fund diabetes treatment and research	5,000.
UCI Foundation 555 Administration Building Irvine, CA 92697	Charitable Organization	501(c)(3)	To fund education programs	61,045.
Pacific Symphony Youth Orchestra 3631 South Harbor Boulevard #100 Santa Ana, CA 92704	Charitable Organization	501(c)(3)	To fund music education programs	1,000.
			Total	\$ 69,145.