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Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2009

Open to Public Inspection

A For the 2009 calendar year, or tax year beginning 10-01-2009 and ending 09-30-2010

B Check if applicable
- Address change
- Name change
- Initial return
- Terminated
- Amended return
- Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization
THE FOUNDATION FOR APPALACHIAN OHIO

Doing Business As

Number and street (or P O box if mail is not delivered to street address) Room/suite
36 PUBLIC SQUARE P O BOX 456

City or town, state or country, and ZIP + 4
NELSONVILLE, OH 45764

D Employer identification number
31-1620483

E Telephone number
(740) 753-1111

G Gross receipts $ 1,661,245

F Name and address of principal officer
CARA DINGUS BROOK
36 PUBLIC SQUARE P O BOX 456
NELSONVILLE,OH 45764

H(a) Is this a group return for affiliates? ☐ Yes ☑ No

H(b) Are all affiliates included? ☐ Yes ☐ No
If "No," attach a list (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status ☑ 501(c) (3) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ WWW APPALACHIANOHIO ORG

K Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation 1998 **M** State of legal domicile OH

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities
THE MISSION OF THE FOUNDATION FOR APPALACHIAN OHIO IS TO ENHANCE CURRENT AND FUTURE QUALITY OF LIFE IN THE 32 COUNTY REGION OF APPALACHIAN OHIO THE FOUNDATION'S CURRENT FOCUS IS TO CREATE ACCESS TO EDUCATIONAL OPPORTUNITIES TO FULFILL THIS FOCUS, THE FOUNDATION WORKS TO A INSPIRE CONFIDENCE IN THE YOUNG AND YOUNG AT HEART, B SUPPORT EDUCATORS, ESPECIALLY TEACHERS, C HELP FAMILIES PREPARE FOR EDUCATIONAL SUCCESS, D GROW AND LEVERAGE EDUCATIONAL PHILANTHROPY, AND E PARTNER WITH OTHERS TO STRENGTHEN THE INFRASTRUCTURE OF EDUCATIONAL SUCCESS

2 Check this box ▶☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3	Number of voting members of the governing body (Part VI, line 1a)	3	15
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	15
5	Total number of employees (Part V, line 2a)	5	10
6	Total number of volunteers (estimate if necessary)	6	110
7a	Total gross unrelated business revenue from Part VIII, column (C), line 12	7a	0
b	Net unrelated business taxable income from Form 990-T, line 34	7b	

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	2,465,977	765,147
	9	Program service revenue (Part VIII, line 2g)		0
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	-77,953	156,081
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)		543
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	2,388,024	921,771
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	147,032	313,254
	14	Benefits paid to or for members (Part IX, column (A), line 4)		0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	268,750	293,893
	16a	Professional fundraising fees (Part IX, column (A), line 11e)		0
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶74,878		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f)	172,932	154,753
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	588,714	761,900
	19	Revenue less expenses Subtract line 18 from line 12	1,799,310	159,871
Net Assets or Fund Balances			Beginning of Current Year	End of Year
	20	Total assets (Part X, line 16)	6,727,563	7,188,105
	21	Total liabilities (Part X, line 26)	11,962	9,531
	22	Net assets or fund balances Subtract line 21 from line 20	6,715,601	7,178,574

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

****** 2011-06-15
Signature of officer Date

CARA DINGUS BROOK CHIEF EXECUTIVE OFFICER
Type or print name and title

Paid Preparer's Use Only

Preparer's signature ▶ BRIAN D LONG CPA | Date 2011-06-15 | Check if self-employed ▶ ☐ | Preparer's identifying number (see instructions)

Firm's name (or yours if self-employed), address, and ZIP + 4 ▶ JONES COCHENOUR & CO
125 W MULBERRY ST
LANCASTER, OH 431303014

EIN ▶

Phone no ▶ (740) 653-9581

May the IRS discuss this return with the preparer shown above? (see instructions) ☐ Yes ☐ No

Part III Statement of Program Service Accomplishments

1 Briefly describe the organization's mission

THE MISSION OF THE FOUNDATION FOR APPALACHIAN OHIO IS TO ENHANCE CURRENT AND FUTURE QUALITY OF LIFE IN THE 32 COUNTY REGION OF APPALACHIAN OHIO THE FOUNDATION'S CURRENT FOCUS IS TO CREATE ACCESS TO EDUCATIONAL OPPORTUNITIES TO FULFILL THIS FOCUS, THE FOUNDATION WORKS TO A INSPIRE CONFIDENCE IN THE YOUNG AND YOUNG AT HEART, B SUPPORT EDUCATORS, ESPECIALLY TEACHERS, C HELP FAMILIES PREPARE FOR EDUCATIONAL SUCCESS, D GROW AND LEVERAGE EDUCATIONAL PHILANTHROPY, AND E PARTNER WITH OTHERS TO STRENGTHEN THE INFRASTRUCTURE OF EDUCATIONAL SUCCESS

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . ☐ Yes ☑ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . ☐ Yes ☑ No

If "Yes," describe these changes on Schedule O

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses $ 243,004 including grants of $ 243,004) (Revenue $)

THE FOUNDATION FOR APPALACHIAN OHIO PROVIDES GRANTS TO ORGANIZATIONS AND SCHOOLS THROUGHOUT THE 32 COUNTIES OF APPALACHIAN OHIO IN 2010 THE FOUNDATION PARTNERED WITH A VARIETY OF DONORS TO FUND INNOVATIVE EFFORTS TARGETED AT INCREASING PURSUIT OF POST-SECONDARY EDUCATION AS A RESULT, WELL OVER 18,000 STUDENTS AND TEACHERS RECEIVED SUPPORT IN THEIR EDUCATIONAL ENDEAVORS

4b (Code) (Expenses $ 70,250 including grants of $ 70,250) (Revenue $)

SCHOLARSHIP PROGRAM THE FOUNDATION IS COMMITTED TO ENSURING EVERY CHILD IN THE 32 COUNTIES OF APPALACHIAN OHIO HAS ACCESS TO THE EDUCATIONAL OPPORTUNITIES NECESSARY FOR SUCCESS BY PARTNERING WITH OUR DONORS TO PROVIDE SCHOLARSHIPS THE FOUNDATION IS HELPING ELIMINATE BARRIERS TO POST-SECONDARY PURSUITS AND IMPROVING ACCESS TO EDUCATION WITH THE GOAL OF ENCHANCING QUALITY OF LIFE FOR CITIZENS ACROSS APPALACHIAN OHIO

4c (Code) (Expenses $ 171,579 including grants of $) (Revenue $)

CONVENING AND COMMUNITY LEADERSHIP THROUGHOUT FY2010 THE FOUNATION MANAGED A COMMUNITY CONVENING PROCESS ACROSS THE APPALACHIAN OHIO REGION FOCUSED ON SCIENCE, TECHNOLOGY, ENGINEERING, MATH AND MEDICINE (STEMM) EDUCATION IN FOCUSING THE FOUNDATION RESOURCES OF FUNDING AND TIME TO BRINGING MULTI-SECTOR STAKEHOLDERS ACROSS THE REGION TOGETHER THE FOUNDATION'S COMMUNITY LEADERSHIP ROLE LED TO A NEARLY 1 MILLION STATE GRANT TO APPALACHIAN OHIO TO INCREASE EDUCATIONAL OPPORTUNITIES AND RIGOR IN THE STEMM DISCIPLINES

4d Other program services (Describe in Schedule O) **See also Additional Data for Description**

(Expenses $ including grants of $) (Revenue $)

4e **Total program service expenses** ▶$ 484,833

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	Yes	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		No
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities? *If "Yes," complete Schedule C, Part II*	4		No
5	**Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations.** Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? *If "Yes," complete Schedule C, Part III*	5		
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6	Yes	
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? *If "Yes," complete Schedule D, Part II*	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		No
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		No
10	Did the organization, directly or through a related organization, hold assets in term, permanent,or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10	Yes	
11	Is the organization's answer to any of the following questions "Yes"? *If so,complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.*	11	Yes	
	• Did the organization report an amount for land, buildings, and equipment in Part X, line10? *If "Yes," complete Schedule D, Part VI.*			
	• Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII.*			
	• Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII.*			
	• Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX.*			
	• Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X.*			
	• Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? *If "Yes," complete Schedule D, Part X.*			
12	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI, XII, and XIII*	12	Yes	
12A	Was the organization included in consolidated, independent audited financial statements for the tax year? (Yes / No) *If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional* — 12A: No			
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		No
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, and program service activities outside the United States? *If "Yes," complete Schedule F, Part I*	14b		No
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the U S ? *If "Yes," complete Schedule F, Part II*	15		No
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the U S ? *If "Yes," complete Schedule F, Part III*	16		No
17	Did the organization report a total of more than $15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I*	17		No
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		No
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		No
20	Did the organization operate one or more hospitals? *If "Yes," complete Schedule H*	20		No

Part IV Checklist of Required Schedules *(continued)*

21	Did the organization report more than $5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21		No
22	Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23		No
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer questions 24b–24d and complete Schedule K. If "No," go to line 25*	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II*	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? *If "Yes," complete Schedule L, Part III*	27		No
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or owner? *If "Yes," complete Schedule L, Part IV*	28c	Yes	
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I*	33		No
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1*	34		No
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35		No
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Line	Question	Box	Amount	Line	Yes	No
1a	Enter the number reported in Box 3 of Form 1096, *Annual Summary and Transmittal of U.S. Information Returns. Enter -0- if not applicable*	1a	4			
b	Enter the number of Forms W-2G included in line 1a *Enter -0- if not applicable*	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c	Yes	
2a	Enter the number of employees reported on Form W-3, *Transmittal of Wage and Tax Statements* filed for the calendar year ending with or within the year covered by this return	2a	10			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note:** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return (see instructions)			2b	Yes	
3a	Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return?			3a		No
b	If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O*			3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			4a		No
b	If "Yes," enter the name of the foreign country ▶ See the instructions for exceptions and filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			5a		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b		No
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?			5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible?			6a		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b		
7	**Organizations that may receive deductible contributions under section 170(c).**					
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?			7a		No
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c		No
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			7f		No
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?			7g		No
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?			7h		No
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?			8		No
9	**Sponsoring organizations maintaining donor advised funds.**					
a	Did the organization make any taxable distributions under section 4966?			9a		No
b	Did the organization make a distribution to a donor, donor advisor, or related person?			9b		No
10	**Section 501(c)(7) organizations.** Enter					
a	Initiation fees and capital contributions included on Part VIII, line 12	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	**Section 501(c)(12) organizations.** Enter					
a	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				

Part VI **Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body	1a 15			
b	Enter the number of voting members that are independent	1b 15			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		2		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		3		No
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?		4		No
5	Did the organization become aware during the year of a material diversion of the organization's assets?		5		No
6	Does the organization have members or stockholders?		6		No
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?		7a		No
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?		7b		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following				
a	The governing body?		8a	Yes	
b	Each committee with authority to act on behalf of the governing body?		8b	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		9		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

			Yes	No
10a	Does the organization have local chapters, branches, or affiliates?	10a		No
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b		
11	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11	Yes	
11A	Describe in Schedule O the process, if any, used by the organization to review the Form 990			
12a	Does the organization have a written conflict of interest policy? *If "No," go to line 13*	12a	Yes	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	12c	Yes	
13	Does the organization have a written whistleblower policy?	13	Yes	
14	Does the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	Yes	
b	Other officers or key employees of the organization	15b	Yes	
	If "Yes" to line a or b, describe the process in Schedule O (See instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		No
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed ▶

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you make these available Check all that apply

☐ Own website ☐ Another's website ☑ Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public See Additional Data Table

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶

CARA DINGUS BROOK CEO
36 PUBLIC SQUARE
P O BOX 456
NELSONVILLE, OH 45764
(740) 753-1111

Part VII **Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year Use Schedule J-2 if additional space is needed

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and **current** key employees Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☐ Check this box if the organization did not compensate any current or former officer, director, trustee or key employee

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply) Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
See add'l data										

1b Total . . . . ▶	81,169		

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 in reportable compensation from the organization ▶

		Yes	No
3 Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	**3**		No
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	**4**		No
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? *If "Yes," complete Schedule J for such person*	**5**		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization

(A) Name and business address	**(B)** Description of services	**(C)** Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶	

Part VIII Statement of Revenue

Section	Line	Description		(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns . . 1a					
	b	Membership dues 1b					
	c	Fundraising events 1c					
	d	Related organizations . . . 1d					
	e	Government grants (contributions) 1e	104,895				
	f	All other contributions, gifts, grants, and similar amounts not included above 1f	660,252				
	g	Noncash contributions included in lines 1a-1f $					
	h	**Total.** Add lines 1a-1f . . . . ▶		765,147			
Program Service Revenue			Business Code				
	2a						
	b						
	c						
	d						
	e						
	f	All other program service revenue					
	g	**Total.** Add lines 2a–2f . . . . ▶					
Other Revenue	3	Investment income (including dividends, interest and other similar amounts) . . . . . ▶		136,020			136,020
	4	Income from investment of tax-exempt bond proceeds . . ▶					
	5	Royalties . . . . ▶					
			(i) Real / (ii) Personal				
	6a	Gross Rents					
	b	Less rental expenses					
	c	Rental income or (loss)					
	d	Net rental income or (loss) . . . . ▶					
			(i) Securities / (ii) Other				
	7a	Gross amount from sales of assets other than inventory	747,581 / 11,954				
	b	Less cost or other basis and sales expenses	739,341 / 133				
	c	Gain or (loss)	8,240 / 11,821				
	d	Net gain or (loss) . . . . ▶		20,061	8,107		11,954
	8a	Gross income from fundraising events (not including $ of contributions reported on line 1c) See Part IV, line 18 . . . a					
	b	Less direct expenses . . . b					
	c	Net income or (loss) from fundraising events . . ▶					
	9a	Gross income from gaming activities See Part IV, line 19 . . . a					
	b	Less direct expenses . . . b					
	c	Net income or (loss) from gaming activities . . . ▶					
	10a	Gross sales of inventory, less returns and allowances . a					
	b	Less cost of goods sold . . b					
	c	Net income or (loss) from sales of inventory . . ▶					
		Miscellaneous Revenue	Business Code				
	11a	MISCELLANEOUS		543			543
	b						
	c						
	d	All other revenue					
	e	**Total.** Add lines 11a–11d . . . . . . ▶		543			
	12	**Total revenue.** See Instructions . . . ▶		921,771	8,107		148,517

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.
All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	**(A)** Total expenses	**(B)** Program service expenses	**(C)** Management and general expenses	**(D)** Fundraising expenses
1 Grants and other assistance to governments and organizations in the U S See Part IV, line 21				
2 Grants and other assistance to individuals in the U S See Part IV, line 22	313,254	313,254		
3 Grants and other assistance to governments, organizations, and individuals outside the U S See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	97,820	32,613	32,603	32,604
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	159,757	79,879	55,915	23,963
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	3,100	1,550	1,085	465
9 Other employee benefits	7,747	3,873	2,711	1,163
10 Payroll taxes	25,469	12,735	8,914	3,820
11 Fees for services (non-employees)				
a Management	14,688		14,688	
b Legal	35		35	
c Accounting	30,744	4,557	26,187	
d Lobbying				
e Professional fundraising *See Part IV, line 17* . .				
f Investment management fees				
g Other	11,337	2,091	7,156	2,090
12 Advertising and promotion	729		729	
13 Office expenses	16,151	7,320	8,831	
14 Information technology				
15 Royalties . .				
16 Occupancy	8,470		8,470	
17 Travel	14,557	873	2,911	10,773
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	12,238	6,119	6,119	
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	4,317	4,317		
23 Insurance	1,433		1,433	
24 Other expenses Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below)				
a SUB-CONTRACTS	11,835	11,835		
b UTILITIES	7,492		7,492	
c ADMINISTRATIVE	6,448		6,448	
d CLEANING AND MAINTENANCE	3,186		3,186	
e TELEPHONE	2,995	2,492	503	
f All other expenses	8,098	1,325	6,773	
25 **Total functional expenses.** Add lines 1 through 24f	761,900	484,833	202,189	74,878
26 **Joint costs.** Check here ☐ if following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

Section	Line	Description			(A) Beginning of year		(B) End of year
Assets	1	Cash—non-interest-bearing				1	
	2	Savings and temporary cash investments			1,484,514	2	475,116
	3	Pledges and grants receivable, net			852,170	3	721,786
	4	Accounts receivable, net				4	
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L				5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Complete Part II of Schedule L				6	
	7	Notes and loans receivable, net				7	
	8	Inventories for sale or use				8	
	9	Prepaid expenses and deferred charges				9	
	10a	Land, buildings, and equipment cost or other basis *Complete Part VI of Schedule D*	10a	79,816			
	b	Less accumulated depreciation	10b	57,498	12,191	10c	22,318
	11	Investments—publicly traded securities			4,376,373	11	5,965,197
	12	Investments—other securities See Part IV, line 11				12	
	13	Investments—program-related See Part IV, line 11				13	
	14	Intangible assets				14	
	15	Other assets See Part IV, line 11			2,315	15	3,688
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)			6,727,563	16	7,188,105
Liabilities	17	Accounts payable and accrued expenses			7,862	17	9,531
	18	Grants payable			4,100	18	
	19	Deferred revenue				19	
	20	Tax-exempt bond liabilities				20	
	21	Escrow or custodial account liability *Complete Part IV of Schedule D*				21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons *Complete Part II of Schedule L*				22	
	23	Secured mortgages and notes payable to unrelated third parties				23	
	24	Unsecured notes and loans payable to unrelated third parties				24	
	25	Other liabilities Complete Part X of Schedule D				25	
	26	**Total liabilities.** Add lines 17 through 25			11,962	26	9,531
Net Assets or Fund Balances		**Organizations that follow SFAS 117, check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.**					
	27	Unrestricted net assets			2,672,071	27	2,823,995
	28	Temporarily restricted net assets			253,738	28	493,062
	29	Permanently restricted net assets			3,789,792	29	3,861,517
		Organizations that do not follow SFAS 117, check here ▶ ☐ and complete lines 30 through 34.					
	30	Capital stock or trust principal, or current funds				30	
	31	Paid-in or capital surplus, or land, building or equipment fund				31	
	32	Retained earnings, endowment, accumulated income, or other funds				32	
	33	Total net assets or fund balances			6,715,601	33	7,178,574
	34	Total liabilities and net assets/fund balances			6,727,563	34	7,188,105

Part XI Financial Statements and Reporting

			Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other ______ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? . .	2a		No
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes	
c	If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O . . .	2c	Yes	
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both ☐ Separate basis ☑ Consolidated basis ☐ Both consolidated and separated basis			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		No
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits . .	3b		

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SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
THE FOUNDATION FOR APPALACHIAN OHIO

Employer identification number
31-1620483

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1 ☐ A church, convention of churches, or association of churches **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☑ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9 ☐ An organization that normally receives (1) more than 331/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 331/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a ☐ Type I **b** ☐ Type II **c** ☐ Type III - Functionally integrated **d** ☐ Type III - Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i) a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization?	**11g(i)**		
(ii) a family member of a person described in (i) above?	**11g(ii)**		
(iii) a 35% controlled entity of a person described in (i) or (ii) above?	**11g(iii)**		

h Provide the following information about the supported organization(s)

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document? Yes	(iv) No	(v) Did you notify the organization in col (i) of your support? Yes	(v) No	(vi) Is the organization in col (i) organized in the U S ? Yes	(vi) No	(vii) Amount of support?
Total									

Part II **Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")	874,904	1,318,452	1,065,043	2,465,977	765,147	6,489,523
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 through 3	874,904	1,318,452	1,065,043	2,465,977	765,147	6,489,523
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 **Public Support.** Subtract line 5 from line 4						6,489,523

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4	874,904	109,422	1,065,043	2,465,977	765,147	6,489,523
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	83,799	109,422	141,342	129,044	136,020	599,627
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income (Explain in Part IV) Do not include gain or loss from the sale of capital assets		1,634	2,437	-1		4,070
11 **Total support** (Add lines 7 through 10)						7,093,220

12 Gross receipts from related activities, etc (See instructions)	**12**	9,472

13 **First Five Years** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ☐

Section C. Computation of Public Support Percentage

14 Public Support Percentage for 2009 (line 6 column (f) divided by line 11 column (f))	**14**	91 490 %
15 Public Support Percentage for 2008 Schedule A, Part II, line 14	**15**	65 070 %

16a **33 1/3% support test—2009.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ☑

b **33 1/3% support test—2008.** If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ☐

17a **10%-facts-and-circumstances test—2009.** If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ☐

b **10%-facts-and-circumstances test—2008.** If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ☐

18 **Private Foundation** If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions ☐

Part III **Support Schedule for Organizations Described in IRC 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	**(a)** 2005	**(b)** 2006	**(c)** 2007	**(d)** 2008	**(e)** 2009	**(f)** Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public Support** (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in)	**(a)** 2005	**(b)** 2006	**(c)** 2007	**(d)** 2008	**(e)** 2009	**(f)** Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13 **Total support** (Add lines 9, 10c, 11 and 12)						

14 **First Five Years** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ▶☐

Section C. Computation of Public Support Percentage

15 Public Support Percentage for 2009 (line 8 column (f) divided by line 13 column (f))	**15**	
16 Public support percentage from 2008 Schedule A, Part III, line 15	**16**	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for **2009** (line 10c column (f) divided by line 13 column (f))	**17**	
18 Investment income percentage from **2008** Schedule A, Part III, line 17	**18**	

19a **33 1/3% support tests—2009.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

b **33 1/3% support tests—2008.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

20 **Private Foundation** If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions ▶☐

Part IV **Supplemental Information.** Supplemental Information. Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Provide any other additional information. See instructions

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

► Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.
► Attach to Form 990. ► See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
THE FOUNDATION FOR APPALACHIAN OHIO

Employer identification number
31-1620483

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	19	81
2	Aggregate contributions to (during year)	91,675	673,482
3	Aggregate grants from (during year)	32,748	280,505
4	Aggregate value at end of year	806,438	6,372,136

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☑ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit ☑ Yes ☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)
- ☐ Preservation of land for public use (e g , recreation or pleasure)
- ☐ Preservation of an historically importantly land area
- ☐ Protection of natural habitat
- ☐ Preservation of a certified historic structure
- ☐ Preservation of open space

2 Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

			Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ►________

4 Number of states where property subject to conservation easement is located ►________

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☑ No

6 Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ►________

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ► $ ________

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)? ☐ Yes ☑ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ► $ ________

(ii) Assets included in Form 990, Part X ► $ ________

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a Revenues included in Form 990, Part VIII, line 1 ► $ ________

b Assets included in Form 990, Part X ► $ ________

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ **Yes** ☑ **No**

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ **Yes** ☑ **No**

b If "Yes," explain the arrangement in Part XIV and complete the following table

			Amount
c	Beginning balance	**1c**	
d	Additions during the year	**1d**	
e	Distributions during the year	**1e**	
f	Ending balance	**1f**	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ **Yes** ☑ **No**

b If "Yes," explain the arrangement in Part XIV

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

		(a) Current Year	**(b)** Prior Year	**(c)** Two Years Back	**(d)** Three Years Back	**(e)** Four Years Back
1a	Beginning of year balance	4,619,102	3,559,474			
b	Contributions	207,287	1,141,088			
c	Investment earnings or losses	208,383	54,055			
d	Grants or scholarships	66,800	78,402			
e	Other expenditures for facilities and programs					
f	Administrative expenses	67,615	57,113			
g	End of year balance	4,900,357	4,619,102			

2 Provide the estimated percentage of the year end balance held as

a Board designated or quasi-endowment ▶ **12.000 %**

b Permanent endowment ▶ **88.000 %**

c Term endowment ▶

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

			Yes	No
	(i) unrelated organizations	**3a(i)**		No
	(ii) related organizations	**3a(ii)**		No
b	If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	**3b**		No

4 Describe in Part XIV the intended uses of the organization's endowment funds

Part VI Investments—Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	**(a)** Cost or other basis (investment)	**(b)** Cost or other basis (other)	**(c)** Accumulated depreciation	**(d)** Book value
1a Land				
b Buildings				
c Leasehold improvements				
d Equipment		79,816	57,498	22,318
e Other				
Total. Add lines 1a-1e *(Column (d) should equal Form 990, Part X, column (B), line 10(c).)* ▶				22,318

Part VII Investments—Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Financial derivatives		
Closely-held equity interests		
Other		
Total. *(Column (b) should equal Form 990, Part X, col (B) line 12)* ▶		

Part VIII Investments—Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Total. *(Column (b) should equal Form 990, Part X, col (B) line 13)* ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
Total. *(Column (b) should equal Form 990, Part X, col.(B) line 15.)* . . . . ▶	

Part X Other Liabilities. See Form 990, Part X, line 25.

1 (a) Description of Liability	(b) Amount
Federal Income Taxes	
Total. *(Column (b) should equal Form 990, Part X, col (B) line 25)* ▶	

2. Fin 48 Footnote In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48

Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	921,771
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	761,900
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	159,871
4	Net unrealized gains (losses) on investments	4	303,102
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	
9	Total adjustments (net) Add lines 4 - 8	9	303,102
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	462,973

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements			1	1,301,174
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains on investments	2a	303,102		
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIV)	2d	76,301		
e	Add lines **2a** through **2d**			2e	379,403
3	Subtract line **2e** from line **1**			3	921,771
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total Revenue Add lines **3** and **4c.** (This should equal Form 990, Part I, line 12)			5	921,771

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements			1	838,201
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIV)	2d	76,301		
e	Add lines **2a** through **2d**			2e	76,301
3	Subtract line **2e** from line **1**			3	761,900
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total expenses Add lines **3** and **4c.** (This should equal Form 990, Part I, line 18)			5	761,900

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b Also complete this part to provide any additional information

Identifier	Return Reference	Explanation
RECONCILIATION OF CHANGES - OTHER	SCHEDULE D, PAGE 4, PART XI, LINE 8	INTER-FUND REVENUE AND EXPENSE - OFFSET ON RETURN 76,301 INTER-FUND REVENUE AND EXPENSE - OFFSET ON RETURN -76,301
REVENUE AMOUNTS INCLUDED IN FINANCIALS - OTHER	SCHEDULE D, PAGE 4, PART XII, LINE 2D	INTER-FUND REVENUE AND EXPENSE - OFFSET ON RETURN 76,301
EXPENSE AMOUNTS INCLUDED IN FINANCIALS - OTHER	SCHEDULE D, PAGE 4, PART XIII, LINE 2D	INTER-FUND REVENUE AND EXPENSE - OFFSET ON RETURN 76,301

Schedule I (Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
THE FOUNDATION FOR APPALACHIAN OHIO

Employer identification number
31-1620483

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . ☑ **Yes** ☐ **No**

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States.

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than $5,000. Check this box if no one recipient received more than $5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed . . . . . ▶ ☑

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance

2 Enter total number of section 501(c)(3) and government organizations . . . . . ▶

3 Enter total number of other organizations . . . . . ▶

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Use Schedule I-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	**(b)** Number of recipients	**(c)** Amount of cash grant	**(d)** Amount of non-cash assistance	**(e)** Method of valuation (book, FMV, appraisal, other)	**(f)** Description of non-cash assistance
SCHOLARSHIPS	42	70,250			
GRANTS	200	243,004			
See Additional Data Table					

Part IV **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

Identifier	Return Reference	Explanation

Schedule L
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Transactions with Interested Persons

▶ Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V lines 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ. ▶See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization	Employer identification number
THE FOUNDATION FOR APPALACHIAN OHIO	31-1620483

Part I Excess Benefit Transactions (section 501(c)(3) and section 501 (c)(4) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1 (a) Name of disqualified person	(b) Description of transaction	(c) Corrected? Yes	No

2 Enter the amount of tax imposed on the organization managers or disqualified persons during the year under section 4958 . . . . . ▶ $

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization . . . . . ▶ $

Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 26, or Form 990-EZ, Part V, line 38a

(a) Name of interested person and purpose	(b) Loan to or from the organization? To	From	(c) Original principal amount	(d) Balance due	(e) In default? Yes	No	(f) Approved by board or committee? Yes	No	(g) Written agreement? Yes	No
Total . . . . . ▶ $										

Part III Grants or Assistance Benefitting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of grant or type of assistance

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues? Yes	No
ED LOWRY	HUSBAND OF		INVESTMENT ADVICE		No
OF EDWARD JONES	EX-OFFICIO		AND MANAGMENT		No
	BD MEMBER				No

Additional Data

Software ID:
Software Version:
EIN: 31-1620483
Name: THE FOUNDATION FOR APPALACHIAN OHIO

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93493166007011

SCHEDULE O (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
THE FOUNDATION FOR APPALACHIAN OHIO

Employer identification number
31-1620483

Identifier	Return Reference	Explanation
ORGANIZATION'S MISSION	FORM 990 - ORGANIZATION'S MISSION	THE MISSION OF THE FOUNDATION FOR APPALACHIAN OHIO IS TO ENHANCE CURRENT AND FUTURE QUALITY OF LIFE IN THE 32 COUNTY REGION OF APPALACHIAN OHIO THE FOUNDATION'S CURRENT FOCUS IS TO CREATE ACCESS TO EDUCATIONAL OPPORTUNITIES TO FULFILL THIS FOCUS, THE FOUNDATION WORKS TO A INSPIRE CONFIDENCE IN THE YOUNG AND YOUNG AT HEART, B SUPPORT EDUCATORS, ESPECIALLY TEACHERS, C HELP FAMILIES PREPARE FOR EDUCATIONAL SUCCESS, D GROW AND LEVERAGE EDUCATIONAL PHILANTHROPY, AND E PARTNER WITH OTHERS TO STRENGTHEN THE INFRASTRUCTURE OF EDUCATIONAL SUCCESS

Identifier	Return Reference	Explanation
EXPLANATION ON VOLUNTEERS AND TYPES OF SERVICES OR BENEFITS	FORM 990, PAGE 1, PART I, LINE 6	VOLUNTEERS JUDGE I'M A CHILD OF APPALACHIA WRITING CONTEST ENTRIES, SUPPORT OUR ANNUAL CHILD OF APPALACHIA EVENT, AND REVIEW SCHOLARSHIP AND GRANT REQUESTS

Identifier	Return Reference	Explanation
ALL OTHER ACHIEVEMENTS DESCRIPTION	FORM 990, PAGE 2, PART III, LINE 4D	GRANTS TO FUND ENTREPRENEURIAL PROGRAMS, SCHOLARSHIPS AND COMMUNITY IMPROVEMENT PROJECTS

Identifier	Return Reference	Explanation
ORGANIZATION'S PROCESS USED TO REVIEW FORM 990	FORM 990, PAGE 6, PART VI, LINE 11	THE CHIEF EXECUTIVE OFFICER REVIEWS THE FORM 990 OBSERVING THAT ALLOCATIONS AMONG EXPENSE AND REVENUE ACCOUNTS ARE REASONABLE OTHER INFORMATION REGARDING THE ORGANIZATION'S EXEMPT PURPOSES ARE REVIEWED FOR ACCURACY ANY CHANGES ARE MADE AND REVIEWED WITH THE BOARD OF DIRECTORS

Identifier	Return Reference	Explanation
ENFORCEMENT OF CONFLICTS POLICY	FORM 990, PAGE 6, PART VI, LINE 12C	BOARD MEMBERS AND EMPLOYEES HAVE TO COMPLETE A CONFLICT OF INTEREST QUESTIONNAIRE ANNUALLY

Identifier	Return Reference	Explanation
COMPENSATION PROCESS FOR TOP OFFICIAL	FORM 990, PAGE 6, PART VI, LINE 15A	COMPARABLE INDEPENDENT DATA IS REVIEWED TO HELP DETERMINE COMPENSATION RANGES

Identifier	Return Reference	Explanation
COMPENSATION PROCESS FOR OFFICERS	FORM 990, PAGE 6, PART VI, LINE 15B	SEE THE PROCEDURES FOR OFFICERS

Identifier	Return Reference	Explanation
GOVERNING DOCUMENTS DISCLOSURE EXPLANATION	FORM 990, PAGE 6, PART VI, LINE 19	GOVERNING DOCUMENTS ARE OPEN TO PUBLIC INSPECTION UPON REQUEST

Form **4562**

Depreciation and Amortization

(Including Information on Listed Property)

Department of the Treasury
Internal Revenue Service

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2009

Attachment Sequence No **67**

Name(s) shown on return	Business or activity to which this form relates	Identifying number
THE FOUNDATION FOR APPALACHIAN OHIO	INDIRECT DEPRECIATION	31-1620483

Part I Election To Expense Certain Property Under Section 179

Note: *If you have any listed property, complete Part V before you complete Part I.*

1 Maximum amount See the instructions for a higher limit for certain businesses	1	250,000
2 Total cost of section 179 property placed in service (see instructions)	2	
3 Threshold cost of section 179 property before reduction in limitation (see instructions)	3	800,000
4 Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0-	4	
5 Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6	**(a)** Description of property	**(b)** Cost (business use only)	**(c)** Elected cost
6			

7 Listed property Enter the amount from line 29	7			
8 Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7			8	
9 Tentative deduction Enter the **smaller** of line 5 or line 8			9	
10 Carryover of disallowed deduction from line 13 of your 2008 Form 4562			10	
11 Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)			11	
12 Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11			12	
13 Carryover of disallowed deduction to 2010 Add lines 9 and 10, less line 12 ▶	13			

Note: *Do not use Part II or Part III below for listed property. Instead, use Part V.*

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property) (See instructions)

14 Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15 Property subject to section 168(f)(1) election	15	
16 Other depreciation (including ACRS)	16	4,317

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)

Section A

17 MACRS deductions for assets placed in service in tax years beginning before 2009	17	
18 If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B—Assets Placed in Service During 2008 Tax Year Using the General Depreciation System

(a) Classification of property	**(b)** Month and year placed in service	**(c)** Basis for depreciation (business/investment use only—see instructions)	**(d)** Recovery period	**(e)** Convention	**(f)** Method	**(g)** Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27 5 yrs	MM	S/L	
			27 5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	
				MM	S/L	

Section C—Assets Placed in Service During 2009 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Non-Res Prop Type 1 count 0 Non-Res Prop Type 2 count 0 Non-Res Prop Totals count 0

Part IV Summary (see instructions)

21 Listed proper

22 Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations—see instructions			22	4,317
23 For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23			

Part V Listed Property (Include automobiles, certain other vehicles, cellular telephones, certain computers, and property used for entertainment, recreation, or amusement.)

Note: *For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete **only** 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.*

Section A—Depreciation and Other Information (Caution: *See the instructions for limits for passenger automobiles.*)

24a Do you have evidence to support the business/investment use claimed? Yes No | **24b** If "Yes," is the evidence written? Yes No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation/ deduction	(i) Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions)						25		
26 Property used more than 50% in a qualified business use								
		%						
		%						
		%						
27 Property used 50% or less in a qualified business use								
		%				S/L -		
		%				S/L -		
		%				S/L -		
28 Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1 .						28		
29 Add amounts in column (i), line 26 Enter here and on line 7, page 1							29	

Section B—Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person

If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

	(a) Vehicle 1		(b) Vehicle 2		(c) Vehicle 3		(d) Vehicle 4		(e) Vehicle 5		(f) Vehicle 6	
30 Total business/investment miles driven during the year (**do not** include commuting miles) . .												
31 Total commuting miles driven during the year .												
32 Total other personal(noncommuting) miles driven												
33 Total miles driven during the year Add lines 30 through 32												
	Yes	**No**	**Yes**	**No**	**Yes**	**No**	**Yes**	**No**	**Yes**	**No**	**Yes**	**No**
34 Was the vehicle available for personal use during off-duty hours?												
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use? .												

Section C—Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons (see instructions)

	Yes	**No**
37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use? (See instructions)		
Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles		

Part VI Amortization

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2009 tax year (see instructions)					
43 Amortization of costs that began before your 2009 tax year				43	
44 Total. Add amounts in column (f) See the instructions for where to report . .				44	

The Foundation for Appalachian Ohio

Security Sales Fiscal Year 09-10

Purchase Date	Sold Date			Shares	Cost	Total Sale	Investments at Tax Cost	Realized gain (loss)
Various	06/24/10	Sold	555 806 Shares of Artio International Equity II - I	555 806	10 850	$6,030 50	-$6,652 29	-$621 79
Various	07/01/10	Sold	39 593 Shares of Artio International Equity II - I	39 593	10 330	$409 00	-$474 32	-$65 32
Various	02/19/10	Sold	134 579 Shares of Artio International Equity II -I	134 579	11 200	$1,507 28	-$1,612 26	-$104 98
						$7,946 78	-$8,738 87	-$792 09
Various	06/24/10	Sold	296 593 Shares of Artisan Intl Value Fund - INV	296 593	22 600	$6,703 00	-$6,744 53	-$41 53
Various	06/24/10	Sold	134 935 Shares of Artisan Intl Value Fund - INV	134 935	22 600	$3,049 53	-$3,065 72	-$16 19
Various	07/01/10	Sold	57 657 Shares of Artisan Intl Value Fund - INV	57 657	21 589	$1,244 81	-$1,312 27	-$67 46
						$10,997 34	-$11,122 52	-$125 18
Various	02/19/10	Sold	23 684 Shares of Aston FDS Tamro S Cap I	23 684	16 660	$394 58	-$306 47	$88 11
Various	02/22/10	Sold	53 075 Shares of Aston FDS Tamro S Cap I	53 075	16 740	$888 47	-$686 79	$201 68
Various	07/01/10	Sold	96 121 Shares of Aston FDS Tamro S Cap I	96 121	16 239	$1,561 00	-$1,280 66	$280 34
						$2,844 05	-$2,273 92	$570 13
Various	03/24/10	Sold	12000 Shares of Bank of Hiawassee CD	12,000 000	1 000	$12,000 00	-$12,000 00	$0 00
Various	01/06/10	Sold	130 Shares of Davis New York Venture Fund A	130 000	20 359	$4,102 80	-$4,014 40	$88 40
Various	02/23/10	Sold	20 474 Shares of Eagle Ser	20 474	23 030	$471 52	-$367 51	$104 01
Various	07/01/10	Sold	59 936 Shares of Eagle Ser	59 936	21 940	$1,315 00	-$1,075 85	$239 15
						$1,786 52	-$1,443 36	$343 16
Various	06/24/10	Sold	449 124 Shares of Eaton Vance SPL INVT TR	449 124	16 240	$7,293 78	-$7,053 75	$240 03
Various	10/14/09	Sold	15000 Shares of FHLMC Medium Term Note	15,000 000	0 960	$15,000 00	-$14,404 95	$595 05
Various	06/24/10	Sold	453 25 Shares of FMI FDS Inc	453 250	13 950	$6,322 84	-$4,935 89	$1,386 95
Various	04/23/10	Sold	35000 Shares of FNMA Medium Term Note	35,000 000	1 000	$35,000 00	-$35,000 00	$0 00
Various	06/24/10	Sold	2061 Shares of Goldman Sachs Trust	2,061 000	6 970	$14,365 17	-$14,571 27	-$206 10
Various	06/24/10	Sold	1368 Shares of Goldman Sachs Trust	1,368 000	6 970	$9,534 96	-$9,671 76	-$136 80
Various	07/01/10	Sold	384 Shares of Goldman Sachs Trust	384 000	6 920	$2,657 28	-$2,714 88	-$57 60
						$26,557 41	-$26,957 91	-$400 50
Various	06/24/10	Sold	1420 Shares of Harbor High Yield Bond Fund - Ins	1,420 000	10 700	$15,194 00	-$15,108 80	$85 20
Various	01/13/10	Sold	15000 Shares of Horizon Bank CD	15,000 000	0 994	$15,000 00	-$14,910 00	$90 00
Various	04/29/10	Sold	14 Shares of IShares Barclays Tips Bond Fund	14 000	105 074	$1,471 03	-$1,408 46	$62 57
Various	04/29/10	Sold	22 Shares of IShares Barclays Tips Bond Fund	22 000	105 074	$2,311 62	-$2,206 70	$104 92
Various	04/29/10	Sold	10 Shares of IShares Barclays Tips Bond Fund	10 000	105 073	$1,050 73	-$1,007 21	$43 52
Various	05/05/10	Sold	18 Shares of IShares Barclays Tips Bond Fund	18 000	105 848	$1,905 27	-$1,804 05	$101 22

Various	05/05/10	Sold	53	Shares of IShares Barclays Tips Bond Fund	53 000	105 848	$5,609 96	-$5,332 02	$277 94
Various	05/05/10	Sold	75	Shares of IShares Barclays Tips Bond Fund	75 000	105 848	$7,938 63	-$7,522 85	$415 78
Various	05/05/10	Sold	33	Shares of IShares Barclays Tips Bond Fund	33 000	105 848	$3,493 00	-$3,323 79	$169 21
							$23,780 24	-$22,605 08	$1,175 16
Various	02/25/10	Sold	3	Shares of IShares MSCI Asian Ex Japan	3 000	52 944	$158 82	-$124 69	$34 13
Various	06/28/10	Sold	93	Shares of IShares MSCI EAFE Index Fund	93 000	49 237	$4,579 05	-$5,180 93	-$601 88
Various	06/28/10	Sold	133	Shares of IShares MSCI EAFE Index Fund	133 000	49 237	$6,548 53	-$7,402 72	-$854 19
Various	06/28/10	Sold	59	Shares of IShares MSCI EAFE Index Fund	59 000	49 237	$2,904 99	-$3,287 30	-$382 31
Various	07/06/10	Sold	25	Shares of IShares MSCI EAFE Index Fund	25 000	46 880	$1,171 23	-$1,391 25	-$220 02
							$15,203 80	-$17,262 20	-$2,058 40
Various	03/25/10	Sold	80	Shares of IShares Russell 2000 Index Fund	80 000	68 411	$5,472 87	-$4,406 37	$1,066 50
Various	03/25/10	Sold	238	Shares of IShares Russell 2000 Index Fund	238 000	68 411	$16,281 77	-$13,108 97	$3,172 80
Various	03/25/10	Sold	105	Shares of IShares Russell 2000 Index Fund	105 000	68 411	$7,183 13	-$5,783 37	$1,399 76
Various	02/23/10	Sold	5	Shares of IShares Russell 2000 Index Fund	5 000	62 498	$312 49	-$275 40	$37 09
							$29,250 26	-$23,574 11	$5,676 15
Various	02/23/10	Sold	15	Shares of IShares Russell 1000 Growth Index	15 000	49 229	$738 44	-$526 47	$211 97
Various	06/28/10	Sold	101	Shares of IShares Russell 1000 Growth Index	101 000	48 866	$4,935 43	-$3,517 20	$1,418 23
							$5,673 87	-$4,043 67	$1,630 20
Various	02/23/10	Sold	4	Shares of IShares Russell Midcap Index Fund	4 000	82 958	$331 83	-$219 48	$112 35
Various	02/24/10	Sold	11	Shares of IShares Russell Midcap Index Fund	11 000	83 226	$915 49	-$603 56	$311 93
Various	02/26/10	Sold	2	Shares of IShares Russell Midcap Index Fund	2 000	83 940	$167 88	-$109 74	$58 14
Various	06/28/10	Sold	85	Shares of IShares Russell Midcap Index Fund	85 000	85 150	$7,237 76	-$4,663 88	$2,573 88
Various	06/28/10	Sold	38	Shares of IShares Russell Midcap Index Fund	38 000	85 150	$3,235 70	-$2,085 02	$1,150 68
Various	07/06/10	Sold	16	Shares of IShares Russell Midcap Index Fund	16 000	81 170	$1,298 37	-$877 90	$420 47
							$13,187 03	-$8,559 58	$4,627 45
Various	02/23/10	Sold	24	Shares of IShares S&P Midcap 400 Index Fund	24 000	73 260	$1,758 24	-$1,632 03	$126 21
Various	07/01/10	Sold	153 791	Shares of Ivy Global Natural Resource-I	153 791	15 570	$2,394 53	-$2,233 04	$161 49
Various	02/19/10	Sold	719 158	Shares of JP Morgan Equity Income Fund	719 158	7 700	$5,537 52	-$5,178 99	$358 53
Various	06/24/10	Sold	1320 453	Shares of JP Morgan Equity Income Fund	1,320 453	7 720	$10,193 90	-$8,226 42	$1,967 48
							$15,731 42	-$13,405 41	$2,326 01
Various	02/22/10	Sold	510 034	Shares of JP Morgan International Value Fund	510 034	12 020	$6,130 61	-$9,348 92	-$3,218 31
Various	06/24/10	Sold	650 82	Shares of JP Morgan International Value Fund	650 820	11 470	$7,464 91	-$9,087 38	-$1,622 47
Various	06/24/10	Sold	1079 036	Shares of JP Morgan International Value Fund	1,079 036	11 470	$12,376 54	-$19,778 74	-$7,402 20
Various	06/24/10	Sold	806 462	Shares of JP Morgan International Value Fund	806 462	11 470	$9,250 12	-$14,782 45	-$5,532 33
Various	07/01/10	Sold	411 732	Shares of JP Morgan International Value Fund	411 732	10 740	$4,422 00	-$7,527 85	-$3,105 85
							$39,644 18	-$60,525 34	-$20,881 16
Various	05/04/10	Sold	21 687	Shares of JP Morgan Intrepid America Fund	21 687	15 660	$339 62	-$461 28	-$121 66
Various	06/24/10	Sold	378 262	Shares of JP Morgan Intrepid America Fund	378 262	19 960	$7,550 11	-$8,160 61	-$610 50

Various	06/24/10	Sold	160 45 Shares of JP Morgan Intrepid America Fund	160 450	19 960	$3,202 58	-$3,547 01	-$344 43
Various	07/01/10	Sold	138 886 Shares of JP Morgan Intrepid America Fund	138 886	18 680	$2,594 39	-$2,915 10	-$320 71
						$13,686 70	-$15,084 00	-$1,397 30
Various	02/19/10	Sold	216 307 Shares of JP Morgan Intrepid International Fund	216 307	15 080	$3,261 91	-$5,027 06	-$1,765 15
Various	02/22/10	Sold	487 288 Shares of JP Morgan Intrepid International Fund	287 288	14 970	$7,294 70	-$11,333 88	-$4,039 18
Various	02/23/10	Sold	87 512 Shares of JP Morgan Intrepid International Fund	87 512	15 040	$1,316 18	-$2,044 44	-$728 26
						$11,872 79	-$18,405 38	-$6,532 59
Various	02/19/10	Sold	210 889 Shares of JP Morgan Large Cap Growth Fund	210 899	16 510	$3,481 94	-$3,887 58	-$405 64
Various	02/22/10	Sold	906 624 Shares of JP Morgan Large Cap Growth Fund	906 624	16 530	$14,986 49	-$14,192 66	$793 83
Various	02/23/10	Sold	86 29 Shares of JP Morgan Large Cap Growth Fund	86 290	16 460	$1,420 33	-$1,747 49	-$327 16
						$19,888 76	-$19,827 73	$61 03
Various	06/24/10	Sold	156 626 Shares of JP Morgan Market Expansion Index Fund	156 626	8 950	$1,401 80	-$1,013 37	$388 43
Various	11/16/09	Sold	181 Shares of JP Morgan Short Duration Bond Fund	181 000	10 900	$1,972 90	-$1,954 80	$18 10
Various	11/16/09	Sold	254 Shares of JP Morgan Short Duration Bond Fund	254 000	10 900	$2,768 60	-$2,730 50	$38 10
Various	11/17/09	Sold	47 Shares of JP Morgan Short Duration Bond Fund	47 000	10 910	$512 77	-$511 83	$0 94
Various	01/15/10	Sold	68 Shares of JP Morgan Short Duration Bond Fund	68 000	10 900	$741 20	-$734 40	$6 80
Various	01/15/10	Sold	94 Shares of JP Morgan Short Duration Bond Fund	94 000	10 900	$1,024 60	-$1,023 66	$0 94
Various	02/19/10	Sold	197 356 Shares of JP Morgan Short Duration Bond Fund	197 356	10 910	$2,153 15	-$2,149 21	$3 94
Various	07/01/10	Sold	52 694 Shares of JP Morgan Short Duration Bond Fund	52 694	10 950	$577 00	-$569 10	$7 90
						$9,750 22	-$9,673 50	$76 72
Various	12/16/09	Sold	505 192 Shares of JP Morgan Strategic Income	505 192	0 005	$2 52		
Various	06/24/10	Sold	489 437 Shares of JP Morgan Strategic Income	489 437	11 620	$5,687 26	-$5,167 54	$519 72
						$5,689 78	-$5,167 54	$519 72
Various	06/24/10	Sold	731 864 Shares of JP Morgan Tax Aware Disciplined	731 864	15 390	$11,263 39	-$8,994 61	$2,268 78
Various	06/24/10	Sold	1480 437 Shares of JP Morgan Tax Aware Disciplined	1,480 437	15 390	$22,783 93	-$24,650 02	-$1,866 09
Various	06/24/10	Sold	629 943 Shares of JP Morgan Tax Aware Disciplined	629 943	15 390	$9,694 82	-$12,082 31	-$2,387 49
Various	07/01/10	Sold	165 798 Shares of JP Morgan Tax Aware Disciplined	165 798	14 390	$2,385 83	-$3,180 01	-$794 18
						$46,127 97	-$48,906 95	-$2,778 98
Various	11/12/09	Sold	2576 859 Shares of JP Morgan Total Return Fund Select	2,576 859	10 590	$27,288 94	-$23,939 02	$3,349 92
Various	01/19/10	Sold	392 Shares of JP Morgan Total Return Fund Select	392 000	10 460	$4,100 32	-$3,831 22	$269 11
Various	02/08/10	Sold	2873 Shares of JP Morgan Total Return Fund Select	2,873 000	10 440	$29,994 12	-$26,690 17	$3,303 95
Various	02/22/10	Sold	2720 906 Shares of JP Morgan Total Return Fund Select	2,720 906	10 360	$28,188 59	-$25,277 21	$2,911 38
Various	06/24/10	Sold	168 212 Shares of JP Morgan Total Return Fund Select	168 212	10 950	$1,841 92	-$1,831 83	$10 09
						$91,413 89	-$81,569 45	$9,844 45
Various	03/19/10	Sold	104 27 Shares of JP Morgan TR I	104 270	15 980	$1,666 23	-$1,711 23	-$45 00
Various	03/19/10	Sold	391 97 Shares of JP Morgan TR I	391 970	15 980	$6,263 68	-$6,472 70	-$209 02
Various	03/19/10	Sold	529 83 Shares of JP Morgan TR I	529 830	15 980	$8,466 68	-$8,751 24	-$284 56
Various	03/19/10	Sold	256 91 Shares of JP Morgan TR I	256 910	15 980	$4,105 42	-$4,242 37	-$136 95
Various	06/24/10	Sold	2079 693 Shares of JP Morgan TR I	2,979 693	7 290	$21,721 96	-$19,683 11	$2,038 85
Various	06/24/10	Sold	1321 837 Shares of JP Morgan TR I	1,321 837	7 290	$9,636 19	-$8,098 20	$1,537 99

							$51,860 16	-$48,958 85	$2,901 31
Various	06/24/10	Sold	831 415 Shares of	JP Morgan Treasury & Agency Fund	831 415	9 990	$8,305 84	-$8,455 49	-$149 65
Various	02/19/10	Sold	135 164 Shares of	JP Morgan US Equity Fund	135 164	8 950	$1,209 72	-$1,554 28	-$344 56
Various	02/22/10	Sold	1899 778 Shares of	JP Morgan US Equity Fund	1,899 778	8 980	$17,060 01	-$18,763 00	-$1,702 99
Various	06/24/10	Sold	832 983 Shares of	JP Morgan US Equity Fund	832 983	8 840	$7,363 57	-$5,755 91	$1,607 66
Various	06/24/10	Sold	1409 463 Shares of	JP Morgan US Equity Fund	1,409 463	8 840	$12,459 65	-$12,991 25	-$531 60
Various	07/01/10	Sold	467 96 Shares of	JP Morgan US Equity Fund	467 960	8 300	$3,884 07	-$4,518 62	-$634 55
							$41,977 02	-$43,583 06	-$1,606 04
Various	02/19/10	Sold	167 281 Shares of	JP Morgan US Large Cap Core Plus Fund	167 281	18 030	$3,016 08	-$3,226 85	-$210 77
Various	02/19/10	Sold	94 882 Shares of	JP Morgan US Large Cap Core Plus Fund	94 882	6 000	$1,710 73	-$1,830 28	-$119 55
Various	02/22/10	Sold	237 655 Shares of	JP Morgan US Large Cap Core Plus Fund	237 655	18 080	$4,296 80	-$3,196 46	$1,100 34
Various	02/23/10	Sold	36 723 Shares of	JP Morgan US Large Cap Core Plus Fund	36 723	18 050	$662 85	-$493 93	$168 92
Various	06/24/10	Sold	369 103 Shares of	JP Morgan US Large Cap Core Plus Fund	369 103	17 890	$6,603 26	-$4,964 43	$1,638 83
Various	06/24/10	Sold	180 675 Shares of	JP Morgan US Large Cap Core Plus Fund	180 675	17 890	$3,232 28	-$3,485 22	-$252 94
Various	07/01/10	Sold	71 879 Shares of	JP Morgan US Large Cap Core Plus Fund	71 879	16 820	$1,209 00	-$966 77	$242 23
							$20,731 00	-$18,163 94	$2,567 06
Various	02/19/10	Sold	54 35 Shares of	JP Morgan US Real Estate Fund	54 350	12 100	$657 63	-$1,046 24	-$388 61
Various	02/22/10	Sold	125 686 Shares of	JP Morgan US Real Estate Fund	125 686	12 120	$1,523 31	-$2,419 46	-$896 15
Various	02/23/10	Sold	44 113 Shares of	JP Morgan US Real Estate Fund	44 113	12 160	$536 42	-$750 80	-$214 38
							$2,717 36	-$4,216 50	-$1,499 14
Various	02/19/10	Sold	237 674 Shares of	Legg Mason Partners Appreciation	237 674	12 280	$2,918 64	-$2,452 80	$465 84
Various	02/22/10	Sold	647 736 Shares of	Legg Mason Partners Appreciation	647 736	12 320	$7,980 11	-$6,626 34	$1,353 77
Various	06/24/10	Sold	1163 882 Shares of	Legg Mason Partners Appreciation	1,163 882	12 160	$14,152 81	-$11,906 51	$2,246 30
Various	06/24/10	Sold	515 326 Shares of	Legg Mason Partners Appreciation	515 326	12 160	$6,266 36	-$5,318 16	$948 20
							$31,317 92	-$26,303 81	$5,014 11
Various	02/22/10	Sold	687 013 Shares of	Manning & Napier Fund Inc	687 013	7 870	$5,406 79	-$5,468 62	-$61 83
Various	06/24/10	Sold	809 772 Shares of	Manning & Napier Fund Inc	809 772	7 560	$6,121 88	-$5,012 49	$1,109 39
Various	06/24/10	Sold	3609 706 Shares of	Manning & Napier Fund Inc	3,609 706	7 560	$27,289 38	-$25,122 97	$2,166 41
							$38,818 05	-$35,604 08	$3,213 97
Various	02/19/10	Sold	187 284 Shares of	Matthews Pacific Tiger Fund - CL I	187 284	18 440	$3,453 51	-$2,936 61	$516 90
Various	06/24/10	Sold	571 867 Shares of	Matthews Pacific Tiger Fund - CL I	571 867	19 750	$11,294 37	-$8,585 38	$2,708 99
							$14,747 88	-$11,521 99	$3,225 89
Various	06/28/10	Sold	119 Shares of	Powershares DB Commodity Index	119 000	21 928	$2,609 48	-$2,748 11	-$138 63
Various	07/06/10	Sold	51 Shares of	Powershares DB Commodity Index	51 000	21 550	$1,098 01	-$1,193 19	-$95 18
							$3,707 49	-$3,941 30	-$233 81
Various	02/23/10	Sold	3 Shares of	SPDR Gold Trust	3 000	109 517	$328 55	-$271 62	$56 93
Various	02/23/10	Sold	26 908 Shares of	T Rowe Price International Funds Inc	26 908	15 400	$414 39	-$338 20	$76 19

Various	06/24/10	Sold	99 424 Shares of Thornburg Value Fund	99 424	30 420	$3,024 48	-$3,118 92	-$94 44
Various	07/01/10	Sold	51 45 Shares of Thornburg Value Fund	51 450	28 629	$1,473 00	-$1,257 96	$215 04
						$4,497 48	-$4,376 88	$120 60
Various	02/23/10	Sold	4 Shares of SPDR Gold Trust	4 000	109 518	$438 07	-$365 06	$73 01
Various	02/24/10	Sold	4 Shares of SPDR Gold Trust	4 000	109 268	$437 07	-$362 16	$74 91
						$875 14	-$727 22	$147 92
Various	06/24/10	Sold	673 963 Shares of Thornburg Value Fund	673 963	30 420	$20,501 95	-$21,209 61	-$707 66
Various	07/06/10	Sold	1 Shares of SPDR Gold Trust	1 000	121 070	$121 04	-$90 91	$30 13
			TOTAL SALES			$747,581 09	-$739,340 90	$8,237 68

Additional Data

Software ID:
Software Version:
EIN: 31-1620483
Name: THE FOUNDATION FOR APPALACHIAN OHIO

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

4d. Other program services
(Code) (Expenses $ including grants of $) (Revenue $)
GRANTS TO FUND ENTREPRENEURIAL PROGRAMS, SCHOLARSHIPS AND COMMUNITY IMPROVEMENT PROJECTS

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply): Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
JENNIFER SIMON CHAIRPERSON	1 00	X						0	0	0
ROBERT MCLAUGHLIN TREASURER	1 00	X						0	0	0
MARIBETH WRIGHT CPA SECRETARY	1 00	X						0	0	0
MIKE CAREY TRUSTEE	1 00	X						0	0	0
RON CREMEANS TRUSTEE	1 00	X						0	0	0
MATT ELLI TRUSTEE	1 00	X						0	0	0
TERI GEIGER TRUSTEE	1 00	X						0	0	0
ANN HAMILTON TRUSTEE	1 00	X						0	0	0
BARBARA A HANSEN TRUSTEE	1 00	X						0	0	0
BELINDA JONES TRUSTEE	1 00	X						0	0	0
GORDON LITT TRUSTEE	1 00	X						0	0	0
JOY PADGETT TRUSTEE	1 00	X						0	0	0
B J SMITH TRUSTEE	1 00	X						0	0	0
RON STRICKMAKER TRUSTEE	1 00	X						0	0	0
MIKE WORKMAN TRUSTEE	1 00	X						0	0	0
MARIANNE CAMPBELL EX-OFFICIO	1 00	X						0	0	0
CARLA LOWRY EX-OFFICIO	1 00	X						0	0	0
CARA DINGUS BROOK PRESIDENT/CE	40 00			X				81,169	0	0

Form 990, Part IX - Statement of Functional Expenses - 24a - 24e Other Expenses

Do not include amounts reported on line 6b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
SUB-CONTRACTS	11,835	11,835		
UTILITIES	7,492		7,492	
ADMINISTRATIVE	6,448		6,448	
CLEANING AND MAINTENANCE	3,186		3,186	
TELEPHONE	2,995	2,492	503	