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Form **990-PF**

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2009Department of the Treasury
Internal Revenue Service (77)

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning 10/1/2009, and ending 9/30/2010

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label Otherwise, print or type. See Specific Instructions.	Name of foundation Tartaglino Richards Foundation		A Employer identification number 30-0256097
	Number and street (or P O box number if mail is not delivered to street address) 4419 Normandy	Room/suite	B Telephone number (see page 10 of the instructions) 214-526-5435
	City or town, state, and ZIP code Dallas TX 75205		C If exemption application is pending, check here ☐ D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
H Check type of organization. ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 3,574,829		J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b) (c) and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)	2,100,000			
2 Check ☐ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	6,001	6,001		
4 Dividends and interest from securities	15,350	15,350		
5 a Gross rents		0		
b Net rental income or (loss)	0			
6 a Net gain or (loss) from sale of assets not on line 10	166,820			
b Gross sales price for all assets on line 6a	643,676			
7 Capital gain net income (from Part IV, line 2)		15,876		
8 Net short-term capital gain		0		
9 Income modifications				
10 a Gross sales less returns and allowances	0			
b Less Cost of goods sold	0			
c Gross profit or (loss) (attach schedule)	0			
11 Other income (attach schedule)	0	0	0	
12 Total Add lines 1 through 11	2,288,171	37,227	0	
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.	0			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16 a Legal fees (attach schedule)	0	0	0	0
b Accounting fees (attach schedule)	8,771	0	8,771	0
c Other professional fees (attach schedule)	14,464	14,464	0	0
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions)	481	100	381	0
19 Depreciation (attach schedule) and depletion	864	0	864	
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)	10,901	0	10,901	0
24 Total operating and administrative expenses. Add lines 13 through 23	35,481	14,564	20,917	0
25 Contributions, gifts, grants paid	136,988			136,988
26 Total expenses and disbursements. Add lines 24 and 25	172,469	14,564	20,917	136,988
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	2,115,702			
b Net investment income (if negative, enter -0-)		22,663		
c Adjusted net income (if negative, enter -0-)			0	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Form **990-PF** (2009)

(HTA)

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing		3,172,982	
	2 Savings and temporary cash investments			
	3 Accounts receivable ☐ 0			
	Less allowance for doubtful accounts ☐ 0	0	0	0
	4 Pledges receivable ☐ 0			
	Less allowance for doubtful accounts ☐ 0	0	0	0
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)	0	0	0
	7 Other notes and loans receivable (attach schedule) ☐ 0			
	Less allowance for doubtful accounts ☐ 0	0	0	0
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments—U S and state government obligations (attach schedule)	1,062,946	3,562,753	3,562,753
	b Investments—corporate stock (attach schedule)	0	0	0
	c Investments—corporate bonds (attach schedule)	0	0	0
	11 Investments—land, buildings, and equipment basis ☐ 0			
Less accumulated depreciation (attach schedule) ☐ 0	0	0	0	
12 Investments—mortgage loans				
13 Investments—other (attach schedule)	0	0	0	
14 Land, buildings, and equipment basis ☐ 4,319				
Less accumulated depreciation (attach schedule) ☐ 4,319	864	0	0	
15 Other assets (describe ☐ Purchased interest on bonds)	0	12,076	12,076	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,063,810	6,747,811	3,574,829	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons	0	0	
	21 Mortgages and other notes payable (attach schedule)	0	0	
	22 Other liabilities (describe ☐)	0	0	
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	4,632,110	6,747,811	
	30 Total net assets or fund balances (see page 17 of the instructions)	4,632,110	6,747,811	
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	4,632,110	6,747,811		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,632,110
2 Enter amount from Part I, line 27a	2	2,115,702
3 Other increases not included in line 2 (itemize) ☐	3	0
4 Add lines 1, 2, and 3	4	6,747,812
5 Decreases not included in line 2 (itemize) ☐ Rounding	5	1
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	6,747,811

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Goldman Sachs 13669 - stmt attached				
b Goldman Sachs 13688 - stmt attached				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a	0	0	2,063	
b	0	0	13,813	
c	0	0	0	
d	0	0	0	
e	0	0	0	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a	0	0	2,063	
b	0	0	13,813	
c	0	0	0	
d	0	0	0	
e	0	0	0	
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	15,876
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8 }			3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	136,761	5,817,394	0.023509
2007	328,147	4,502,988	0.072873
2006	146,716	3,918,399	0.037443
2005	119,013	3,233,066	0.036811
2004	1,000	2,045,807	0.000489

2 Total of line 1, column (d)	2	0.171125
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.034225
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	5,817,394
5 Multiply line 4 by line 3	5	199,100
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	227
7 Add lines 5 and 6	7	199,327
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.	8	136,988

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments

a 2009 estimated tax payments and 2008 overpayment credited to 2009

b Exempt foreign organizations—tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be Credited to 2010 estimated tax 0 Refunded

Part VII-A Statements Regarding Activities

1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year
(1) On the foundation \$ 0 (2) On foundation managers \$ 0

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV

8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) TX

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)?

If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses NANCY G. TARTAGLINO, 4436 N. Versailles, Dallas, TX

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address **▶ N/A**

14 The books are in care of **▶ A. James Lynn, Attorney at Law, CPA** Telephone no **▶ (214) 341-0000**
 Located at **▶ 6820 Walling Lane Dallas TX** ZIP+4 **▶ 75231**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here **▶** ☐
 and enter the amount of tax-exempt interest received or accrued during the year **▶ 15**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If "Yes," list the years ▶ 20 , 20 , 20 , 20		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?**5b**

N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**6b****b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870☐ Yes ☒ No**7b****7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Statement	00	0	0	0
	00	0	0	0
	00	0	0	0
	00	0	0	0
	00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE "

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None in excess of \$50,000		0
		0
		0
		0
		0
		0

Total number of others receiving over \$50,000 for professional services

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 See attached statement

2

3

4

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1

2

All other program-related investments See page 24 of the instructions

3

Total. Add lines 1 through 3



Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,843,160
b	Average of monthly cash balances	1b	4,062,032
c	Fair market value of all other assets (see page 24 of the instructions)	1c	792
d	Total (add lines 1a, b, and c)	1d	5,905,984
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	5,905,984
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	88,590
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,817,394
6	Minimum investment return. Enter 5% of line 5	6	290,870

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	290,870
2a	Tax on investment income for 2009 from Part VI, line 5	2a	227
b	Income tax for 2009 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	227
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	290,643
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	290,643
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	290,643

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	136,988
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	136,988
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	227
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	136,761

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				290,643
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			135,509	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2009				
a From 2004	0			
b From 2005	0			
c From 2006	0			
d From 2007	0			
e From 2008	0			
f Total of lines 3a through e	0			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 136,988				
a Applied to 2008, but not more than line 2a			135,509	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)	0			
d Applied to 2009 distributable amount				1,479
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions		0		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				289,164
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	0			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2005	0			
b Excess from 2006	0			
c Excess from 2007	0			
d Excess from 2008	0			
e Excess from 2009	0			

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)**N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2009	(b) 2008	(c) 2007	(d) 2006	
0				0

b 85% of line 2a

0	0	0	0	0
---	---	---	---	---

c Qualifying distributions from Part XII, line 4 for each year listed

0				0
---	--	--	--	---

d Amounts included in line 2c not used directly for active conduct of exempt activities

				0
--	--	--	--	---

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

0	0	0	0	0
---	---	---	---	---

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

				0
--	--	--	--	---

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

				0
--	--	--	--	---

b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

0				0
---	--	--	--	---

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

				0
--	--	--	--	---

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

				0
--	--	--	--	---

(3) Largest amount of support from an exempt organization

				0
--	--	--	--	---

(4) Gross investment income

				0
--	--	--	--	---

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

Nancy G Tartaglino

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

Judy Abington 4419 Normandy Dallas TX 75205 (214) 526-5434

b The form in which applications should be submitted and information and materials they should include

Two or three page grant request including non-profit status and financial statements

c Any submission deadlines

April 1 & November 1

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

No restrictions, all grants are considered and voted upon by the board.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a			0		0	0
b			0		0	0
c			0		0	0
d			0		0	0
e			0		0	0
f			0		0	0
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities					
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory				0	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a		0		0	0
b			0		0	0
c			0		0	0
d			0		0	0
e			0		0	0
12	Subtotal. Add columns (b), (d), and (e)		0		0	0
13	Total. Add line 12, columns (b), (d), and (e)					

13 **0**

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | |
|---|-------------------|------------------|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received</p> | <p>Yes</p> | <p>No</p> |
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[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

Sign Here

**Paid
Preparer's
Use Only**

Preparer's
signature

Date _____

Check if self-employed ☒

Preparer's identifying
number (see **Signature** on
page 30 of the instructions)
449-80-4140

Firm's name (or yours if self-employed), address, and ZIP code

A James Lynn, Attorney at Law, CPA
6820 Walling Lane, Dallas, Texas 75231

EIN ▶
Phone no (214) 341-0000

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

Employer identification number

Tartaglino Richards Foundation

30-0256097

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

Tartaglino Richards Foundation

Employer identification number

30-0256097

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	First Preston Management, Inc 5040 Addison Circle, Suite 400 Addison TX 75001 Foreign State or Province Foreign Country	\$ 2,100,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
2	 Foreign State or Province Foreign Country	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
3	 Foreign State or Province Foreign Country	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
4	 Foreign State or Province Foreign Country	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
5	 Foreign State or Province Foreign Country	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
6	 Foreign State or Province Foreign Country	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization

Tartaglino Richards Foundation

Employer identification number

30-0256097

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc.,

contributions of \$1,000 or less for the year (Enter this information once See instructions)

► \$ 0

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
1			
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
.....			
For Prov Country			
	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
			
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
.....			
For Prov Country			
	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
			
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
.....			
For Prov Country			
	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
			
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
.....			
For Prov Country			

Line 16b (990-PF) - Accounting Fees

	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	A James Lynn, JD, CPA	8,771		8,771	
2					
3					
4					
5					
6					
7					
8					
9					
10					

8,771 0 8,771 0

Line 16c (990-PF) - Other Fees					
		14,464	14,464	0	0
	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	Goldman Sachs - Mgmt fee	14,464	14,464		
2					
3					
4					
5					
6					
7					
8					
9					
10					

Line 18 (990-PF) - Taxes

		481	100	381	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Real estate tax not included in line 20				
2	Foreign tax	100	100		
3	Excise tax	381		381	
4					
5					
6					
7					
8					
9					
10					

Amount of depreciation included in cost of goods sold

Line 19 (990-PF) - Depreciation and Depletion

	Description	Date Acquired	Method of Computation	Asset Life	Cost or Other Basis	Beginning Accumulated Depreciation	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	Furniture & fixtures		Straight line	5	4,319	3,455	864		
2						0			
3						0			
4						0			
5						0			
6						0			
7						0			
8						0			
9						0			
10						0			

Line 23 (990-PF) - Other Expenses

		10,901	0	10,901	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Amortization See attached statement	0	0	0	0
2	Rent, parking, other occupancy expenses	1,719		1,719	
3	Utilities	4,434		4,434	
4	Telephone	1,736		1,736	
5	Grounds, supplies, maintenance	1,352		1,352	
6	Repair, maintenance	701		701	
7	Supplies	806	0	806	
8	Miscellaneous	153		153	
9					
10					

Part II, Line 14 (990-PF) - Land, Buildings, and Equipment

		4,319	3,455	4,319	864	0	0
		Cost or Other Basis	Accumulated Depreciation Beg. of Year	Accumulated Depreciation End of Year	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
1	Depreciable assets	4,319	3,455	4,319	864	0	
2					0	0	
3					0	0	
4					0	0	
5					0	0	
6					0	0	
7					0	0	
8					0	0	
9					0	0	
10					0	0	

Part II, Line 15 (990-PF) - Other Assets

	Description	0	12,076	12,076
		Beginning Balance	Ending Balance	Fair Market Value
1	Purchased interest on bonds		12,076	12,076
2				
3				
4				
5				
6				
7				
8				
9				
10				

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Long Term CG Distributions Short Term CG Distributions	Amount		CUSIP #	How Acquired	Date Acquired	Totals			Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses	15,876
		0	0				Date Sold	Gross Sales Price	0								
1	Kind(s) of Property Sold																
2	Goldman Sachs 13669 - slmt attached											2,063				4,063	
3	Goldman Sachs 13688 - slmt attached											13,813				13,813	
4												0				0	
5												0				0	
6												0				0	
7												0				0	
8												0				0	
9												0				0	
10												0				0	

Part VII-A, Lines 1a and 1b (990-PF) - Political Participation

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

☐ Yes ☒ No

1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?

☐ Yes ☒ No

If "Yes" to 1a or 1b, please describe the activities

Part VII-A, Line 2 (990-PF) - Activities Not Previously Reported

Has the organization engaged in any activities that have not previously been reported to the IRS?

☐ Yes ☒ No

If "Yes", please describe the activities

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

[illegible]

Part

	0	0	0	0
	Compensation	Benefits	Expense Account	Explanation
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				

Part VIII, Line 3 (990-PF) - Highest-Paid Independent Contractors for Professional Services

Name and address of each person paid more than \$50,000		(b) Type of service	(c) Compensation
Name <u>None in excess of \$50,000</u>			
1.	Street _____	Explanation	
	City _____ ST _____ ZIP _____		
	Check if Business ☐ Foreign Country ☐		
2.	Name _____	Explanation	
	Street _____		
	City _____ ST _____ ZIP _____		
	Check if Business ☐ Foreign Country ☐		
3.	Name _____	Explanation	
	Street _____		
	City _____ ST _____ ZIP _____		
	Check if Business ☐ Foreign Country ☐		
4.	Name _____	Explanation	
	Street _____		
	City _____ ST _____ ZIP _____		
	Check if Business ☐ Foreign Country ☐		
5.	Name _____	Explanation	
	Street _____		
	City _____ ST _____ ZIP _____		
	Check if Business ☐ Foreign Country ☐		

Part XV, Lines 1a-1b (990-PF) - Information Regarding Foundation Managers

List Managers who contributed more than 2% of the total contributions received by the foundation	List Managers who own 10% or more of the stock of a corporation of which the foundation has a 10% or greater interest
1 Nancy G Tartaglino	
2	
3	
4	
5	
6	
7	
8	
9	
10	

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

YMCA

☐ Person ☒ Business

Street

4144 North Central Expr , # 580

City

Dallas

State

TX

Zip code

Foreign Country

Relationship

None

Foundation Status

501(c)(3)

Purpose of grant/contribution

General purpose

Amount

10,000

Name

Kids Across America Foundation

☐ Person ☒ Business

Street

P O Box 930

City

Branson

State

MO

Zip code

Foreign Country

Relationship

None

Foundation Status

501(c)(3)

Purpose of grant/contribution

General fund

Amount

2,500

Name

Girls, Inc

☐ Person ☒ Business

Street

2040 Empire Central Drive

City

Dallas

State

TX

Zip code

Foreign Country

Relationship

None

Foundation Status

501(c)(3)

Purpose of grant/contribution

General fund

Amount

5,000

Name

Jubilee Park & Commnity Center

☐ Person ☒ Business

Street

907 Bank Street

City

Dallas

State

TX

Zip code

Foreign Country

Relationship

None

Foundation Status

501(c)(3)

Purpose of grant/contribution

Kids summer program

Amount

5,000

Name

Camp Grady Spruce

☐ Person ☒ Business

Street

9409 Garland Road, Suite 200

City

Dallas

State

TX

Zip code

Foreign Country

Relationship

None

Foundation Status

501(c)(3)

Purpose of grant/contribution

Summer camper

Amount

300

Name

Baylor Business Women

☐ Person ☒ Business

Street

One Bear Run 98001

City

Waco

State

TX

Zip code

Foreign Country

Relationship

None

Foundation Status

501(c)(3)

Purpose of grant/contribution

Speaker series

Amount

4,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

Conerstone Baptist Church

☐ Person ☒ Business

Street

1819 MLK Blvd

City

Dallas

State

TX

Zip code

Foreign Country

Relationship

None

Foundation Status

501(c)(3)

Purpose of grant/contribution

Meat processing

Amount

324

Name

Plano Children's Medical Clinic

☐ Person ☒ Business

Street

1407 14th Street

City

Plano

State

TX

Zip code

Foreign Country

Relationship

None

Foundation Status

501(c)(3)

Purpose of grant/contribution

First year immunizations

Amount

5,000

Name

Children's Education Fund

☐ Person ☒ Business

Street

P O Box 225748

City

Dallas

State

TX

Zip code

Foreign Country

Relationship

None

Foundation Status

501(c)(3)

Purpose of grant/contribution

2010 scholarship grant

Amount

2,500

Name

Cornerstone Baptist Church

☐ Person ☒ Business

Street

1819MLK Blvd

City

Dallas

State

TX

Zip code

Foreign Country

Relationship

None

Foundation Status

501(c)(3)

Purpose of grant/contribution

Meat processing - reserve fund

Amount

2,500

Name

☐ Person ☐ Business

Street

City

State

Zip code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

0

Name

☐ Person ☐ Business

Street

City

State

Zip code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

0

Tartaglino Richards Foundation - September 30, 2010

TRF09302010

	Cash	Securities	Other	Total Assets	Exempt Assets	Min cash 1.50%	Excludible Assets	Assets FMV
October 2009	4,067,481	1,062,946	864	5,131,291	0	76,969	76,969	5,054,322
November 2009	3,962,105	1,207,969	864	5,170,938	0	77,564	77,564	5,093,374
December 2009	3,951,941	1,207,968	864	5,160,773	0	77,412	77,412	5,083,361
January 2010	3,947,098	1,254,414	864	5,202,376	0	78,036	78,036	5,124,340
February 2010	3,931,415	1,243,465	864	5,175,744	0	77,636	77,636	5,098,108
March 2010	4,880,586	1,325,181	864	6,206,631	0	93,099	93,099	6,113,532
April 2010	4,638,956	1,559,250	864	6,199,070	0	92,986	92,986	6,106,084
May 2010	4,635,513	1,441,613	864	6,077,990	0	91,170	91,170	5,986,820
June 2010	5,187,837	1,355,375	864	6,544,076	0	98,161	98,161	6,445,915
July 2010	3,184,877	3,456,681	864	6,642,422	0	99,636	99,636	6,542,786
August 2010	3,183,589	3,428,231	864	6,612,684	0	99,190	99,190	6,513,494
September 2010	3,172,982	3,574,830	0	6,747,811	0	101,217	101,217	6,646,594
Average for 12 months	4,062,032	1,843,160	792	5,905,984				5,817,394 (2)
Applicable percentage	5.00%							5%
Minimum investment return								<u>290,870 (1)</u>

Notes"

(1) Unless set-a-side rules applicable - distribute by 9/30/11

(2) MIR base computed on monthly average as determined by financial statements

TARTAGLINO-RICHARDS FDN LC VAL 012-13688-3 (AD DI)(SA) From 01-Oct-2009 To 30-Sep-2010				Realized Gains/Losses			
Gain Type				Gains		Losses	
Short-Term				33,798 89	-12,266 05	Total	
Long-Term				18,498 48	-26,218 13		
Total Realized Gains/Losses				52,297 36	-38,484 18		

Acct Number	Acct Name	Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	AEP	AMERICAN ELECTRIC POWER INC CMN	07-Apr-2009	09-Oct-2009	140	4,292 93	3,667 80	625 15	185	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	AFL	AFLAC INCORPORATED CMN	07-May-2009	13-Aug-2010	63	3,018 35	2,102 236	916 11	463	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	APA	APACHE CORP CMN	08-Oct-2009	25-Feb-2010	27	2,723 53	2,696 415	27 12	140	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	APA	APACHE CORP CMN	08-Oct-2009	03-Mar-2010	24	2,544 56	2,396 814	147 75	146	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	APA	APACHE CORP CMN	08-Oct-2009	04-Mar-2010	50	5,231 03	4,993 361	237 67	147	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	BA	BOEING COMPANY CMN	25-Jul-2008	22-Feb-2010	36	2,308 52	2,281 604	26 92	577	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	BA	BOEING COMPANY CMN	25-Jul-2008	16-Mar-2010	41	2,812 07	2,598 493	213 58	599	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	BA	BOEING COMPANY CMN	25-Jul-2008	01-Jul-2010	13	807 67	823 913	-16 24	706	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	BA	BOEING COMPANY CMN	03-Sep-2008	01-Jul-2010	20	1,242 57	1,318 100	-75 53	666	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	BBBY	BED BATH & BEYOND INC CMN	05-Apr-2010	17-Jun-2010	31	1,313 58	1,395 520	-81 94	73	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	BBBY	BED BATH & BEYOND INC CMN	06-Apr-2010	17-Jun-2010	54	2,288 18	2,413 377	-125 20	72	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	BBBY	BED BATH & BEYOND INC CMN	06-Apr-2010	02-Jul-2010	103	3,725 22	4,603 293	-878 07	87	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	BBBY	BED BATH & BEYOND INC CMN	12-Apr-2010	02-Jul-2010	33	1,193 52	1,514 040	-320 52	81	Short-Term

Acct Number	Acct Name	Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	BDX	BECTON DICKINSON & CO CMN	08-May-2009	09-Oct-2009	90	6,176 68	5,629 950	546 71	154	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	BEN	FRANKLIN RESOURCES INC CMN	24-Oct-2008	29-Oct-2009	25	2,686 20	1,379 787	1,306 41	370	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	BEN	FRANKLIN RESOURCES INC CMN	24-Oct-2008	16-Dec-2009	8	829 50	441 532	387 97	418	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	BEN	FRANKLIN RESOURCES INC CMN	24-Oct-2008	15-Dec-2009	8	838 65	441 531	397 12	417	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	BEN	FRANKLIN RESOURCES INC CMN	19-Nov-2008	16-Dec-2009	12	1,244 25	609 521	634 73	392	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	BEN	FRANKLIN RESOURCES INC CMN	19-Nov-2008	05-Jan-2010	23	2,495 28	1,168 249	1 327 03	412	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	BEN	FRANKLIN RESOURCES INC CMN	21-Jan-2009	05-Jan-2010	2	216 98	105 715	111 27	349	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	BIIB	BIOMGEN IDEC INC CMN	25-Feb-2009	09-Feb-2010	44	2,375 53	2,258 076	117 45	349	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	BIIB	BIOMGEN IDEC INC CMN	25-Feb-2009	30-Jul-2010	50	2,779 04	2,565 995	213 04	519	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	BP	BP P L C SPONSORED ADR CMN	05-Nov-2009	04-Feb-2010	38	2,047 66	2,218 289	-170 63	91	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	BP	BP P L C SPONSORED ADR CMN	05-Nov-2009	08-Feb-2010	41	2,169 41	2,393 417	-224 01	95	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	BP	BP P L C SPONSORED ADR CMN	17-Nov-2009	11-Feb-2010	46	2,487 78	2,731 160	-243 38	86	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	BP	BP P L C SPONSORED ADR CMN	05-Nov-2009	11-Feb-2010	4	216 33	233 504	-17 18	98	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	BP	BP P L C SPONSORED ADR CMN	03-Dec-2009	11-Feb-2010	44	2,379 61	2,559 630	-180 02	70	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	CBS	CBS CORPORATION CMN CLASS B	08-Mar-2010	01-Jul-2010	256	3,263 90	3,765 695	-501 80	114	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	CBS	CBS CORPORATION CMN CLASS B	08-Mar-2010	26-Aug-2010	195	2,666 32	2,868 401	-202 08	170	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	CBS	CBS CORPORATION CMN CLASS B	08-Mar-2010	27-Aug-2010	104	1,425 10	1,529 814	-104 72	171	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	CBS	CBS CORPORATION CMN CLASS B	08-Apr-2010	27-Aug-2010	166	2,274 68	2,470 300	-195 62	141	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	CBS	CBS CORPORATION CMN CLASS B	09-Apr-2010	27-Aug-2010	51	698 85	769 180	-70 33	140	Short-Term

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012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	CBS	CBS CORPORATION CMN CLASS B	12-Apr-2010	27-Aug-2010	136	1,863 59	2,060 310	-196 72	137	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	CLF	CLIFFS NATURAL RESOURCES INC CMN	29-Jan-2010	16-Mar-2010	50	3 294 55	2,014 532	1,280 02	45	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	CLF	CLIFFS NATURAL RESOURCES INC CMN	29-Jan-2010	30-Apr-2010	139	8,978 75	5,600 398	3,378 35	90	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	CLF	CLIFFS NATURAL RESOURCES INC CMN	12-Apr-2010	30-Apr-2010	25	1,614 88	1,869 340	-254 46	18	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	30-Mar-2009	01-Oct-2009	118	1,859 45	1,611 006	248 44	185	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	30-Mar-2009	07-Oct-2009	186	2,871 76	2,539 382	332 38	191	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	07-May-2009	14-Oct-2009	119	1,827 44	1,862 577	-35 14	160	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	30-Mar-2009	14-Oct-2009	24	368 56	327 662	40 90	198	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	08-Apr-2009	14-Oct-2009	184	2,825 62	2,588 950	236 66	189	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	27-May-2009	15-Oct-2009	31	479 12	447 100	32 02	141	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	07-May-2009	15-Oct-2009	17	262 74	266 083	-3 34	161	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	01-Jun-2009	15-Oct-2009	180	2,782 00	2,507 180	274 82	136	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	09-Sep-2009	15-Oct-2009	140	2,163 78	2,347 250	-183 47	36	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	COV	COVIDIEN PLC CMN	28-Oct-2009	24-May-2010	170	7,102 06	7,194 920	-92 86	208	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	COV	COVIDIEN PLC CMN	12-Apr-2010	24-May-2010	30	1,253 30	1,530 000	-276 70	42	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	CSCO	CISCO SYSTEMS, INC CMN	19-Aug-2009	29-Apr-2010	136	3,735 32	2,898 594	836 73	253	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	CVX	CHEVRON CORPORATION CMN	29-Oct-2009	23-Dec-2009	59	4,564 15	4,606 921	-42 77	55	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	CVX	CHEVRON CORPORATION CMN	29-Oct-2009	15-Jan-2010	35	2,756 83	2,732 919	23 91	78	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	CVX	CHEVRON CORPORATION CMN	23-Nov-2009	15-Jan-2010	32	2,520 53	2,519 910	0 62	53	Short-Term

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012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	DOW	DOW CHEMICAL CO CMN	21-May-2009	07-Jan-2010	140	4,344.30	2,413.295	1,931.00	231	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	DOW	DOW CHEMICAL CO CMN	11-May-2009	07-Jan-2010	64	1,985.96	1,096.325	889.64	241	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	DOW	DOW CHEMICAL CO CMN	21-May-2009	07-May-2010	80	2,086.04	1,379.025	707.01	351	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	DOW	DOW CHEMICAL CO CMN	11-Sep-2009	07-May-2010	151	3,937.39	3,516.160	421.23	238	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	DOW	DOW CHEMICAL CO CMN	07-Oct-2009	07-May-2010	33	860.49	827.260	33.23	212	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	DOW	DOW CHEMICAL CO CMN	09-Oct-2009	07-May-2010	168	4,380.68	4,386.880	-6.20	210	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	DOW	DOW CHEMICAL CO CMN	12-Apr-2010	07-May-2010	74	1,929.58	2,280.680	-351.10	25	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	DTV	DIRECTV CMN	20-Nov-2009	04-Mar-2010	95	3,255.06	3,007.910	247.15	104	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	DTV	DIRECTV CMN	08-Oct-2009	04-Mar-2010	184	6,304.54	5,021.820	1,282.72	147	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	DVN	DEVON ENERGY CORPORATION (NEW) CMN	29-Jan-2008	05-Nov-2009	3	202.43	251.942	-49.51	646	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	DVN	DEVON ENERGY CORPORATION (NEW) CMN	11-May-2007	05-Nov-2009	12	809.73	900.402	-90.68	909	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	DVN	DEVON ENERGY CORPORATION (NEW) CMN	18-May-2007	05-Nov-2009	25	1,686.93	1,943.250	-256.32	902	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	DVN	DEVON ENERGY CORPORATION (NEW) CMN	20-Jan-2009	13-Nov-2009	17	1,141.58	953.725	187.85	297	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	DVN	DEVON ENERGY CORPORATION (NEW) CMN	29-Jan-2008	13-Nov-2009	22	1,477.33	1,847.578	-370.24	654	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	DVN	DEVON ENERGY CORPORATION (NEW) CMN	20-Jan-2009	19-Nov-2009	23	1,594.14	1,290.335	303.81	303	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	DVN	DEVON ENERGY CORPORATION (NEW) CMN	27-Jan-2009	19-Nov-2009	35	2,425.87	2,165.190	260.68	296	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	DVN	DEVON ENERGY CORPORATION (NEW) CMN	11-Jun-2009	19-Nov-2009	26	1,802.07	1,740.760	61.31	161	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	DVN	DEVON ENERGY CORPORATION (NEW) CMN	07-Oct-2009	19-Nov-2009	13	901.04	867.690	33.35	43	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	EMR	EMERSON ELECTRIC CO CMN	21-Apr-2009	27-Sep-2010	67	3,577.83	2,132.414	1,445.42	524	Long-Term

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012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	EOG	EOG RESOURCES INC CMN	20-Jun-2008	29-Oct-2009	33	2,822 47	4,348 094	-1,525 62	496	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	EOG	EOG RESOURCES INC CMN	21-Oct-2008	30-Dec-2009	7	692 88	511 409	181 47	435	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	EOG	EOG RESOURCES INC CMN	23-Jun-2008	29-Dec-2009	20	1,994 76	2,688 010	-693 25	554	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	EOG	EOG RESOURCES INC CMN	18-Feb-2009	27-Jan-2010	18	1,649 59	1,018 958	630 63	343	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	EOG	EOG RESOURCES INC CMN	26-Jun-2008	30-Dec-2009	13	1,286 77	1,650 830	-364 06	552	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	EOG	EOG RESOURCES INC CMN	21-Oct-2008	05-Jan-2010	24	2,356 98	1,753 402	603 58	441	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	EOG	EOG RESOURCES INC CMN	21-Oct-2008	27-Jan-2010	7	641 51	511 409	130 10	463	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	EOG	EOG RESOURCES INC CMN	18-Feb-2009	14-Jun-2010	14	1,527 00	792 522	734 48	480	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	EOG	EOG RESOURCES INC CMN	20-Jul-2009	14-Jun-2010	29	3 163 08	2,066 260	1,096 82	329	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	EOG	EOG RESOURCES INC CMN	07-Oct-2009	14-Jun-2010	12	1,308 86	1,014 000	294 86	250	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	EOG	EOG RESOURCES INC CMN	10-May-2010	30-Jun-2010	37	3,696 95	3,919 610	-222 66	51	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	EOG	EOG RESOURCES INC CMN	12-Oct-2009	30-Jun-2010	27	2,697 78	2,449 580	248 20	261	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	EOG	EOG RESOURCES INC CMN	11-Feb-2010	30-Jun-2010	27	2,697 78	2,440 740	257 04	138	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	EOG	EOG RESOURCES INC CMN	12-Apr-2010	30-Jun-2010	19	1,898 44	1,984 630	-86 20	79	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	ETR	ENTERGY CORPORATION CMN	04-Nov-2004	28-Sep-2010	45	3,471 09	2,963 442	507 65	2,153	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	FCX	FREEPORT-MCMORAN COPPER & GOLD CMN	06-May-2009	09-Oct-2009	75	5,543 91	3,884 600	1,659 31	156	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	FE	FIRSTENERGY CORP CMN	23-Mar-2007	26-Feb-2010	47	1,820 71	3,099 260	-1,278 55	1,071	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	FE	FIRSTENERGY CORP CMN	26-Mar-2007	26-Feb-2010	64	2,479 27	4,231 418	-1,752 15	1,068	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	FE	FIRSTENERGY CORP CMN	27-Mar-2007	20-Apr-2010	30	1,132 42	1,990 940	-858 52	1,120	Long-Term

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012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	FE	FIRSTENERGY CORP CMN	26-Mar-2007	20-Apr-2010	124	4,680 68	8 198 372	-3,517 70	1,121	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	FE	FIRSTENERGY CORP CMN	07-Oct-2009	20-Apr-2010	15	566 21	686 040	-119 83	195	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	FE	FIRSTENERGY CORP CMN	12-Apr-2010	20-Apr-2010	30	1,132 42	1,197 600	-65 18	8	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	GE	GENERAL ELECTRIC CO CMN	23-Dec-2009	21-Jun-2010	250	4,065 95	3,849 549	216 40	179	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	GIS	GENERAL MILLS INC CMN	12-Dec-2008	06-Jan-2010	21	1,486 66	1,270 920	215 74	390	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	GIS	GENERAL MILLS INC CMN	15-Dec-2008	06-Jan-2010	42	2,973 33	2,532 160	441 17	387	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	GIS	GENERAL MILLS INC CMN	09-Feb-2010	15-Jun-2010	120	4,588 55	4,168 953	419 60	125	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HAL	HALLIBURTON COMPANY CMN	10-Sep-2009	03-Dec-2009	97	2,778 75	2,492 055	286 70	84	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HAL	HALLIBURTON COMPANY CMN	10-Sep-2009	20-Jan-2010	82	2,730 97	2,106 665	624 29	132	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HAL	HALLIBURTON COMPANY CMN	14-Sep-2009	20-Jan-2010	2	66 61	52 228	14 38	128	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HAL	HALLIBURTON COMPANY CMN	14-Sep-2009	26-Jan-2010	67	2,058 05	1,749 644	308 41	134	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HAL	HALLIBURTON COMPANY CMN	01-Oct-2009	24-Feb-2010	61	1,826 68	1,630 176	196 50	146	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HAL	HALLIBURTON COMPANY CMN	14-Sep-2009	24-Feb-2010	19	568 96	496 168	72 80	163	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HAL	HALLIBURTON COMPANY CMN	20-Oct-2009	23-Jun-2010	105	2,704 00	3,238 612	-534 61	246	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HAL	HALLIBURTON COMPANY CMN	01-Oct-2009	23-Jun-2010	20	515 05	534 484	-19 44	265	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HAL	HALLIBURTON COMPANY CMN	07-Oct-2009	23-Jun-2010	27	695 31	732 510	-37 20	259	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HAL	HALLIBURTON COMPANY CMN	16-Oct-2009	23-Jun-2010	71	1,828 42	2,182 710	-354 29	250	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HAL	HALLIBURTON COMPANY CMN	29-Apr-2010	12-Aug-2010	30	848 14	994 795	-146 66	105	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HAL	HALLIBURTON COMPANY CMN	20-Oct-2009	12-Aug-2010	30	848 14	925 318	-77 18	296	Short-Term

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012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HAL	HALLIBURTON COMPANY CMN	12-Apr-2010	12-Aug-2010	44	1,243 94	1,400 280	-156 34	122	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HAL	HALLIBURTON COMPANY CMN	29-Apr-2010	28-Sep-2010	85	2,760 67	2,818 585	-57 92	152	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HAL	HALLIBURTON COMPANY CMN	27-May-2010	28-Sep-2010	13	422 22	347 065	75 16	124	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HES	HESS CORPORATION CMN	03-Nov-2008	08-Oct-2009	4	226 65	233 303	-6 65	338	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HES	HESS CORPORATION CMN	12-Dec-2008	08-Oct-2009	35	1,983 22	1,634 800	348 42	299	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HES	HESS CORPORATION CMN	17-Dec-2008	08-Oct-2009	53	3,003 17	2,780 600	222 57	294	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HES	HESS CORPORATION CMN	23-Dec-2008	08-Oct-2009	32	1,813 23	1,540 250	272 98	288	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HES	HESS CORPORATION CMN	12-May-2009	08-Oct-2009	40	2,266 54	2,549 150	-282 61	149	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HES	HESS CORPORATION CMN	07-Oct-2009	08-Oct-2009	14	793 29	774 150	19 14	1	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HES	HESS CORPORATION CMN	20-May-2009	08-Oct-2009	20	1,133 27	1,301 060	-167 79	141	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HES	HESS CORPORATION CMN	23-Dec-2009	07-May-2010	62	3,552 82	3,674 282	-121 46	134	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HES	HESS CORPORATION CMN	04-Jan-2010	10-May-2010	37	2,174 47	2,335 600	-161 13	125	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HES	HESS CORPORATION CMN	27-Jan-2010	10-May-2010	43	2,527 09	2,499 950	27 14	102	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HES	HESS CORPORATION CMN	23-Dec-2009	10-May-2010	26	1,528 01	1,540 828	-12 82	137	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HES	HESS CORPORATION CMN	05-Jan-2010	10-May-2010	42	2,468 32	2,660 730	-192 41	124	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HES	HESS CORPORATION CMN	12-Apr-2010	10-May-2010	37	2,174 47	2,409 070	-234 60	28	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HPQ	HEWLETT-PACKARD CO CMN	09-Jan-2008	22-Mar-2010	23	1,208 81	1,003 478	205 33	802	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HPQ	HEWLETT-PACKARD CO CMN	17-Apr-2007	22-Mar-2010	32	1,681 82	1,309 667	372 15	1,070	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HPQ	HEWLETT-PACKARD CO CMN	18-Apr-2007	22-Mar-2010	30	1,576 70	1,226 100	350 60	1,069	Long-Term

Acct Number	Acct Name	Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HPQ	HEWLETT-PACKARD CO CMN	11-Feb-2008	21-Apr-2010	30	1,605 15	1,272 111	334 04	799	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HPQ	HEWLETT-PACKARD CO CMN	09-Jan-2008	21-Apr-2010	17	910 15	741 702	168 45	832	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HPQ	HEWLETT-PACKARD CO CMN	14-Jan-2008	21-Apr-2010	20	1,070 77	932 330	138 44	827	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HPQ	HEWLETT-PACKARD CO CMN	07-Apr-2009	28-Apr-2010	119	6,347 96	3,941 280	2,406 68	386	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HPQ	HEWLETT-PACKARD CO CMN	12-Apr-2010	28-Apr-2010	37	1,973 73	1,994 300	-20 57	16	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HPQ	HEWLETT-PACKARD CO CMN	11-Feb-2008	28-Apr-2010	5	266 72	212 019	54 70	806	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	HPQ	HEWLETT-PACKARD CO CMN	07-Oct-2009	28-Apr-2010	19	1,013 54	888 630	124 91	203	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	JNJ	JOHNSON & JOHNSON CMN	16-Aug-2007	23-Feb-2010	68	4,308 69	4,169 216	139 48	922	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	JNJ	JOHNSON & JOHNSON CMN	17-Dec-2007	23-Feb-2010	22	1,393 99	1,488 432	-94 44	799	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	JNJ	JOHNSON & JOHNSON CMN	17-Dec-2007	08-Apr-2010	23	1,491 61	1,556 088	-64 47	842	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	JNJ	JOHNSON & JOHNSON CMN	22-Jan-2008	08-Apr-2010	70	4,539 70	4,601 620	-61 92	806	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	JNJ	JOHNSON & JOHNSON CMN	27-Oct-2008	08-Apr-2010	37	2,399 55	2,295 840	103 71	528	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	JNJ	JOHNSON & JOHNSON CMN	07-Oct-2009	08-Apr-2010	17	1,102 50	1,020 780	81 72	183	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	KFT	KRAFT FOODS INC CMN CLASS A	09-Feb-2010	30-Apr-2010	47	1,410 12	1,360 833	49 29	79	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	KFT	KRAFT FOODS INC CMN CLASS A	09-Feb-2010	03-May-2010	61	1,830 05	1,766 187	63 86	82	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	KFT	KRAFT FOODS INC CMN CLASS A	09-Feb-2010	04-May-2010	67	1,984 66	1,939 910	44 75	83	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	KFT	KRAFT FOODS INC CMN CLASS A	16-Feb-2010	04-May-2010	94	2,784 44	2,695 780	88 66	76	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	KFT	KRAFT FOODS INC CMN CLASS A	26-Feb-2010	04-May-2010	7	207 35	198 867	8 48	66	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	KFT	KRAFT FOODS INC CMN CLASS A	26-Feb-2010	05-May-2010	48	1,422 24	1,363 661	58 58	67	Short-Term

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012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	KFT	KRAFT FOODS INC CMN CLASS A	26-Feb-2010	11-May-2010	63	1,915.84	1,789.804	126.04	73	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	KFT	KRAFT FOODS INC CMN CLASS A	26-Feb-2010	12-May-2010	30	908.44	852.288	56.15	74	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	KFT	KRAFT FOODS INC CMN CLASS A	12-Apr-2010	12-May-2010	73	2,210.54	2,214.090	-3.55	30	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	MOS	THE MOSAIC COMPANY CMN	08-Jan-2010	29-Jan-2010	48	2,582.19	3,190.300	-608.11	21	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	MOS	THE MOSAIC COMPANY CMN	11-Jan-2010	29-Jan-2010	53	2,851.16	3,568.000	-716.84	18	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	MS	MORGAN STANLEY CMN	13-Feb-2009	21-Oct-2009	164	5,713.27	3,782.477	1,930.79	249	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	NFX	NEWFIELD EXPLORATION CO CMN	28-Sep-2009	17-Nov-2009	53	2,331.35	2,219.189	112.16	50	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	NFX	NEWFIELD EXPLORATION CO CMN	28-Sep-2009	05-May-2010	52	2,848.19	2,177.318	670.87	219	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	NFX	NEWFIELD EXPLORATION CO CMN	28-Sep-2009	27-May-2010	54	2,706.84	2,261.061	445.78	241	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	ORCL	ORACLE CORPORATION CMN	24-Dec-2009	18-Aug-2010	135	3,100.63	3,361.440	-260.81	236	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	OXY	OCCIDENTAL PETROLEUM CORP CMN	25-Jun-2009	29-Oct-2009	37	2,935.46	2,410.367	525.09	126	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	OXY	OCCIDENTAL PETROLEUM CORP CMN	25-Jun-2009	28-Jul-2010	35	2,766.38	2,280.077	486.30	398	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	PM	PHILIP MORRIS INTL INC CMN	21-Aug-2007	28-Oct-2009	33	1,622.76	1,540.590	82.17	799	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	PM	PHILIP MORRIS INTL INC CMN	22-Aug-2007	28-Oct-2009	34	1,671.94	1,602.051	69.89	798	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	PM	PHILIP MORRIS INTL INC CMN	22-Aug-2007	23-Dec-2009	36	1,758.70	1,696.289	62.41	854	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	PM	PHILIP MORRIS INTL INC CMN	07-Oct-2009	23-Dec-2009	17	830.50	842.360	-11.86	77	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	PM	PHILIP MORRIS INTL INC CMN	20-May-2008	23-Dec-2009	120	5,862.33	6,387.350	-525.02	582	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	RIG	TRANSOCEAN LTD CMN	23-Feb-2009	01-Oct-2009	28	2,359.87	1,626.651	733.22	219	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	RIG	TRANSOCEAN LTD CMN	23-Feb-2009	20-Oct-2009	3	273.15	174.284	98.87	238	Short-Term

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012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	RIG	TRANSOCEAN LTD CMN	26-Mar-2009	20-Oct-2009	27	2,458 37	1,742 090	716 28	208	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	RIG	TRANSOCEAN LTD CMN	10-Sep-2009	20-Oct-2009	27	2,458 37	2,202 080	256 29	40	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	RRC	RANGE RESOURCES CORPORATION CMN	15-Jan-2010	25-Jun-2010	18	783 68	947 730	-164 05	160	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	RRC	RANGE RESOURCES CORPORATION CMN	19-Jan-2010	25-Jun-2010	27	1,175 53	1,420 422	-244 90	156	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	S	SPRINT NEXTEL CORPORATION CMN	24-Jan-2007	23-Jul-2010	142	666 12	2,489 540	-1,823 42	1 275	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	S	SPRINT NEXTEL CORPORATION CMN	26-Feb-2007	23-Jul-2010	112	525 39	2,173 570	-1,648 18	1,242	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	S	SPRINT NEXTEL CORPORATION CMN	13-Aug-2007	23-Jul-2010	125	586 37	2,443 200	-1,856 83	1,075	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	S	SPRINT NEXTEL CORPORATION CMN	10-Jan-2008	23-Jul-2010	160	750 56	2,057 020	-1,306 46	924	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	S	SPRINT NEXTEL CORPORATION CMN	24-Apr-2008	23-Jul-2010	128	600 44	1,010 022	-409 58	820	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	S	SPRINT NEXTEL CORPORATION CMN	07-Nov-2006	23-Jul-2010	18	84 44	351 345	-266 91	1,353	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	S	SPRINT NEXTEL CORPORATION CMN	08-Nov-2006	23-Jul-2010	360	1,688 75	7,042 790	-5,354 04	1,352	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	SLB	SCHLUMBERGER LTD CMN	24-Feb-2010	27-May-2010	86	5,108 46	5,212 060	-103 60	91	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	SLB	SCHLUMBERGER LTD CMN	04-Mar-2010	27-May-2010	82	4,870 86	5,133 910	-263 05	83	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	SLB	SCHLUMBERGER LTD CMN	12-Apr-2010	27-May-2010	30	1,782 02	1,992 600	-210 58	45	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	SPLS	STAPLES, INC CMN	23-Apr-2009	05-Jan-2010	102	2,495 76	2,140 380	355 38	257	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	SPLS	STAPLES, INC CMN	07-Oct-2009	03-Sep-2010	38	722 06	874 040	-151 98	331	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	SPLS	STAPLES, INC CMN	12-Apr-2010	03-Sep-2010	74	1,408 12	1,799 250	-393 13	144	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	SPLS	STAPLES, INC CMN	23-Apr-2009	03-Sep-2010	114	2,166 19	2,392 190	-226 00	498	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	SPLS	STAPLES, INC CMN	01-Jun-2009	03-Sep-2010	153	2,907 26	3,319 560	-412 30	459	Long-Term

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012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	SPLS	STAPLES, INC CMN	05-Aug-2009	03-Sep-2010	128	2,432 21	2,691 420	-259 21	394	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	STI	SUNTRUST BANKS INC \$1 00 PAR CMN	02-Feb-2010	18-Jun-2010	76	1,990 50	1,840 380	150 12	135	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	STI	SUNTRUST BANKS INC \$1 00 PAR CMN	12-Apr-2010	18-Jun-2010	38	995 25	1,107 700	-112 45	67	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	STI	SUNTRUST BANKS INC \$1 00 PAR CMN	03-Feb-2010	18-Jun-2010	139	3,640 52	3,269 130	371 40	134	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	STT	STATE STREET CORPORATION (NEW) CMN	19-May-2009	22-Oct-2009	88	4,024 52	3,828 899	195 62	156	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	STT	STATE STREET CORPORATION (NEW) CMN	07-Oct-2009	01-Feb-2010	17	743 23	899 300	-156 07	117	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	STT	STATE STREET CORPORATION (NEW) CMN	19-May-2009	01-Feb-2010	145	6,339 30	6,308 981	30 32	258	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	TGT	TARGET CORPORATION CMN	14-Oct-2009	09-Feb-2010	62	3,048 41	3,153 441	-105 03	118	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	TGT	TARGET CORPORATION CMN	14-Oct-2009	24-Mar-2010	15	800 29	762 929	37 36	161	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	TGT	TARGET CORPORATION CMN	17-Dec-2009	24-Mar-2010	55	2,934 38	2,614 180	320 20	96	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	TGT	TARGET CORPORATION CMN	15-Oct-2009	24-Mar-2010	99	5,281 89	5,019 420	262 47	160	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	TJX	TJX COMPANIES INC (NEW) CMN	07-Apr-2009	22-Oct-2009	104	4,118 96	2,681 476	1,437 48	198	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	TJX	TJX COMPANIES INC (NEW) CMN	07-Apr-2009	17-Dec-2009	39	1,432 94	1,005 554	427 38	254	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	TJX	TJX COMPANIES INC (NEW) CMN	02-Jun-2009	17-Dec-2009	86	3,159 81	2,648 260	511 55	198	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	TJX	TJX COMPANIES INC (NEW) CMN	01-Jun-2009	17-Dec-2009	104	3,821 16	3,194 390	626 78	199	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	TJX	TJX COMPANIES INC (NEW) CMN	07-Oct-2009	17-Dec-2009	25	918 55	960 250	-41 70	71	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	TRV	THE TRAVELERS COMPANIES, INC CMN	20-Nov-2007	08-Mar-2010	55	2,956 75	2,821 130	135 62	839	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	TRV	THE TRAVELERS COMPANIES, INC CMN	19-Nov-2007	08-Mar-2010	16	860 15	823 983	36 16	840	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	UN	UNILEVER N V NY SHS (NEW) ADR CMN	06-Dec-2007	16-Dec-2009	86	2,776 40	3,051 263	-274 86	741	Long-Term

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012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	UN	UNILEVER N V NY SHS (NEW) ADR CMN	22-Jan-2008	17-Dec-2009	21	666 72	670 711	-3 99	695	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	UN	UNILEVER N V NY SHS (NEW) ADR CMN	06-Dec-2007	17-Dec-2009	10	317 49	354 798	-37 31	742	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	UN	UNILEVER N V NY SHS (NEW) ADR CMN	22-Jan-2008	16-Feb-2010	85	2,550 49	2,714 781	-164 29	756	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	UN	UNILEVER N V NY SHS (NEW) ADR CMN	23-Jan-2008	26-Feb-2010	50	1,506 13	1,512 520	-6 39	765	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	UN	UNILEVER N V NY SHS (NEW) ADR CMN	22-Jan-2008	26-Feb-2010	58	1,747 12	1,852 438	-105 32	766	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	UN	UNILEVER N V NY SHS (NEW) ADR CMN	11-Feb-2008	26-Feb-2010	20	602 45	613 080	-10 63	746	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	UN	UNILEVER N V NY SHS (NEW) ADR CMN	07-Oct-2009	26-Feb-2010	25	753 07	715 500	37 57	142	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	VIAB	VIACOM INC CMN CLASS B	15-Oct-2008	02-Dec-2009	86	2,580 71	1,590 390	990 32	413	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	VIAB	VIACOM INC CMN CLASS B	15-Oct-2008	23-Dec-2009	105	3,095 88	1,941 756	1,154 12	434	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	VIAB	VIACOM INC CMN CLASS B	15-Oct-2008	24-Dec-2009	18	531 97	332 872	199 10	435	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	VIAB	VIACOM INC CMN CLASS B	11-Sep-2009	24-Dec-2009	96	2,837 16	2,519 164	318 00	104	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	VIAB	VIACOM INC CMN CLASS B	11-Sep-2009	28-Dec-2009	5	148 11	131 206	16 91	108	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	VIAB	VIACOM INC CMN CLASS B	28-Oct-2009	28-Dec-2009	99	2,932 67	2,733 990	198 68	61	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	WMT	WAL MART STORES INC CMN	27-Oct-2009	21-Jun-2010	59	3,016 37	2,942 470	73 90	237	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	WRB	W R BERKLEY CORPORATION CMN	08-Apr-2009	28-Sep-2010	68	1,825 70	1,604 320	221 38	538	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	WRB	W R BERKLEY CORPORATION CMN	09-Apr-2009	28-Sep-2010	118	3,168 14	2,824 635	343 50	537	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	WRB	W R BERKLEY CORPORATION CMN	09-Apr-2009	29-Sep-2010	31	833 26	742 065	91 20	538	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	WRB	W R BERKLEY CORPORATION CMN	15-Jun-2009	29-Sep-2010	152	4,085 67	3,415 460	670 21	471	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	WRB	W R BERKLEY CORPORATION CMN	07-Oct-2009	29-Sep-2010	27	725 74	700 110	25 63	357	Short-Term

Acct Number	Acct Name	Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	WRB	W R BERKLEY CORPORATION CMN	12-Apr-2010	29-Sep-2010	4	107 52	104 384	3 13	170	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	WRB	W R BERKLEY CORPORATION CMN	12-Apr-2010	30-Sep-2010	63	1,703 00	1,644 046	58 95	171	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL	X	UNITED STATES STEEL CORPORATIO CMN	07-Aug-2009	28-Oct-2009	98	3,519 86	4,402 440	-882 58	82	Short-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL		WYETH CMN	18-Jan-2007	06-Oct-2009	79	3,857 19	4,084 894	-227 70	991	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL		WYETH CMN	02-Feb-2007	08-Oct-2009	40	1,961 60	2,026 190	-64 59	978	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL		WYETH CMN	18-Jan-2007	08-Oct-2009	4	196 16	206 830	-10 67	993	Long-Term
012-13688-3	TARTAGLINO-RICHARDS FDN LC VAL		WYETH CMN	19-Jan-2007	08-Oct-2009	51	2,501 03	2,656 240	-155 21	992	Long-Term
TOTAL							503,581 34		13,813.19		

GSAM Separate Accounts will reflect current date trading activity after 4:00 PM ET

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TARTAGLINO-RICHARDS FND DEL 012-13669-3
(AD/ID)(SA)
From 01-Oct-2009 To 30-Sep-2010

Realized Gains/Losses

Separate Account Strategy - Delaware Large Cap Growth
Base Currency: USD
Custodian: Goldman Sachs & Company

Gain Type		Gains		Losses		Total
Short-Term		996 37		-3,743 02		-2,746 65
Long-Term		22,441 14		-17,631 99		4,809 15
Total Realized Gains/Losses.		23,437 51		-21,375 01		2,062 51

Realized Gains/Losses

Acct Number	Acct Name	Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
012-13669-3	TARTAGLINO-RICHARDS FND DEL	AAPL	APPLE, INC CMN	24-Oct-2007	20-Nov-2009	2	400 48	367 596	32 88	758	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	AAPL	APPLE, INC CMN	29-Oct-2007	20-Nov-2009	3	600 72	556 185	44 54	753	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	AAPL	APPLE, INC CMN	29-Oct-2007	19-Jan-2010	7	1,496 41	1,297 765	198 64	813	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	AAPL	APPLE, INC CMN	29-Oct-2007	27-Sep-2010	1	293 75	185 395	108 36	1,084	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	AGN	ALLERGAN INC CMN	04-Nov-2004	23-Apr-2010	29	1,799 78	1,086 989	712 79	1,995	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	BK	THE BANK OF NY MELLON CORP CMN	15-Oct-2008	08-Apr-2010	10	314 95	312 860	2 09	540	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	CME	CME GROUP INC CMN CLASS A	05-Apr-2005	08-Apr-2010	1	312 57	188 079	124 49	1,829	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	EOG	EOG RESOURCES INC CMN	05-Mar-2008	19-Jan-2010	6	581 58	719 962	-138 38	685	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	EXPD	EXPEDITORS INTL WASH INC CMN	04-Nov-2004	16-Feb-2010	27	914 66	731 515	183 14	1,930	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	GILD	GILEAD SCIENCES CMN	26-Feb-2009	06-Aug-2010	72	2,574 56	3,530 953	-956 39	525	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	GILD	GILEAD SCIENCES CMN	11-Mar-2009	09-Aug-2010	12	430 16	530 771	-100 61	516	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	GILD	GILEAD SCIENCES CMN	26-Feb-2009	09-Aug-2010	57	2,043 27	2,795 337	-752 07	528	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	GILD	GILEAD SCIENCES CMN	02-Mar-2009	09-Aug-2010	17	609 40	749 790	-140 39	524	Long-Term

Acct Number	Acct Name	Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
012-13669-3	TARTAGLINO-RICHARDS FND DEL	GILD	GILEAD SCIENCES CMN	07-Oct-2009	31-Aug-2010	35	1,117 25	1,586 550	-469 30	328	Short-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	GILD	GILEAD SCIENCES CMN	11-Mar-2009	31-Aug-2010	9	287 29	398 079	-110 79	538	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	GILD	GILEAD SCIENCES CMN	17-Mar-2009	31-Aug-2010	18	574 58	811 280	-236 70	532	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	GILD	GILEAD SCIENCES CMN	06-Jul-2009	31-Aug-2010	21	670 35	959 870	-289 52	421	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	GILD	GILEAD SCIENCES CMN	23-Apr-2009	31-Aug-2010	32	1,021 48	1,464 140	-442 66	495	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	GILD	GILEAD SCIENCES CMN	24-Jul-2009	31-Aug-2010	65	2,074 89	3,125 120	-1,050 23	403	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	GILD	GILEAD SCIENCES CMN	07-Oct-2009	07-Sep-2010	8	268 29	362 640	-94 35	335	Short-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	GILD	GILEAD SCIENCES CMN	19-Jan-2010	07-Sep-2010	7	234 76	324 460	-89 70	230	Short-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	GILD	GILEAD SCIENCES CMN	12-Feb-2010	07-Sep-2010	30	1,006 10	1,389 420	-383 32	206	Short-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	GILD	GILEAD SCIENCES CMN	12-Apr-2010	07-Sep-2010	121	4,057 93	5,563 580	-1,505 65	148	Short-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	GOOG	GOOGLE, INC CMN CLASS A	06-Feb-2006	16-Oct-2009	2	1,108 72	770 937	337 78	1,347	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	GOOG	GOOGLE, INC CMN CLASS A	06-Feb-2006	19-Jan-2010	2	1,171 67	770 938	400 73	1,443	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	GOOG	GOOGLE, INC CMN CLASS A	22-Mar-2006	27-Sep-2010	4	2,142 95	1,371 940	771 01	1,649	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	INTU	INTUIT INC CMN	04-Nov-2004	08-Apr-2010	84	2,876 01	1,919 818	956 19	1,980	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	MA	MASTERCARD INCORPORATED CMN CLASS A	20-Dec-2007	28-Oct-2009	5	1,112 66	1,032 164	80 49	677	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	MA	MASTERCARD INCORPORATED CMN CLASS A	16-Jan-2008	28-Oct-2009	1	222 53	174 814	47 72	650	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	MA	MASTERCARD INCORPORATED CMN CLASS A	16-Jan-2008	29-Oct-2009	2	452 15	349 627	102 52	651	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	NKE	NIKE CLASS-B CMN CLASS B	01-Apr-2009	28-Sep-2010	21	1,678 48	986 790	691 69	545	Long-Term

Acct Number	Acct Name	Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
012-13669-3	TARTAGLINO-RICHARDS FND DEL	NVO	NOVO-NORDISK A/S ADR ADR CMN	04-Mar-2009	07-Sep-2010	41	3,589 57	1,911 109	1,678 46	551	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	NVO	NOVO-NORDISK A/S ADR ADR CMN	04-Mar-2009	27-Sep-2010	24	2,300 52	1,118 698	1,181 82	571	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	PCLN	PRICELINE COM INC CMN	21-Sep-2009	23-Sep-2010	13	4,377 80	2,141 986	2,235 81	367	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	PCLN	PRICELINE COM INC CMN	21-Sep-2009	28-Sep-2010	8	2,752 39	1,318 145	1,434 24	372	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	PX	PRAXAIR, INC CMN SERIES	04-Nov-2004	30-Oct-2009	28	2,266 37	1,199 520	1,066 85	1,820	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	PX	PRAXAIR, INC CMN SERIES	04-Nov-2004	12-May-2010	16	1,292 42	685 440	606 98	2,014	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	PX	PRAXAIR, INC CMN SERIES	04-Nov-2004	17-May-2010	78	6,088 34	3,341 520	2,746 82	2,019	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	PX	PRAXAIR, INC CMN SERIES	07-Oct-2009	19-May-2010	3	228 57	243 840	-15 27	224	Short-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	PX	PRAXAIR, INC CMN SERIES	04-Nov-2004	19-May-2010	37	2,819 00	1,585 080	1,233 92	2,021	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	PX	PRAXAIR, INC CMN SERIES	07-Oct-2009	20-May-2010	17	1,276 53	1,381 760	-105 23	225	Short-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	PX	PRAXAIR, INC CMN SERIES	12-Apr-2010	20-May-2010	24	1,802 17	2,039 520	-237 35	38	Short-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	QCOM	QUALCOMM INC CMN	04-Nov-2004	06-Nov-2009	75	3,262 46	2,874 750	387 71	1,828	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	SYMC	SYMANTEC CORP CMN	08-Apr-2009	01-Sep-2010	99	1,381 55	1,637 220	-255 67	511	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	SYMC	SYMANTEC CORP CMN	09-Apr-2009	01-Sep-2010	117	1,632 74	2,010 630	-377 89	510	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	SYMC	SYMANTEC CORP CMN	15-Apr-2009	01-Sep-2010	237	3,307 35	3,860 820	-553 47	504	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	SYMC	SYMANTEC CORP CMN	23-Apr-2009	01-Sep-2010	67	934 99	1,147 930	-212 94	496	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	SYMC	SYMANTEC CORP CMN	26-May-2009	01-Sep-2010	75	1,046 63	1,094 090	-47 46	463	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	SYMC	SYMANTEC CORP CMN	06-Jul-2009	01-Sep-2010	36	502 38	555 056	-52 67	422	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	SYMC	SYMANTEC CORP CMN	12-Apr-2010	16-Sep-2010	69	1,028 27	1,183 350	-155 08	157	Short-Term

Acct Number	Acct Name	Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
012-13669-3	TARTAGLINO-RICHARDS FND DEL	SYMC	SYMANTEC CORP CMN	06-Jul-2009	16-Sep-2010	26	387.46	400.874	-13.41	437	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	SYMC	SYMANTEC CORP CMN	30-Oct-2009	16-Sep-2010	117	1,743.58	2,060.300	-316.72	321	Short-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	SYMC	SYMANTEC CORP CMN	12-Apr-2010	27-Sep-2010	215	3,316.21	3,687.250	-371.04	168	Short-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	TDC	TERADATA CORPORATION CMN	11-Oct-2007	13-May-2010	14	464.26	377.622	86.64	945	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	TDC	TERADATA CORPORATION CMN	10-Oct-2007	13-May-2010	96	3,183.48	2,599.430	584.05	946	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	TDC	TERADATA CORPORATION CMN	11-Oct-2007	21-Sep-2010	60	2,243.52	1,618.378	625.14	1,076	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	UNH	UNITEDHEALTH GROUP INCORPORATE CMN	10-Aug-2007	31-Aug-2010	29	919.45	1,373.610	-454.16	1,117	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	UNH	UNITEDHEALTH GROUP INCORPORATE CMN	17-Aug-2006	31-Aug-2010	37	1,173.09	1,817.483	-644.39	1,475	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	UNH	UNITEDHEALTH GROUP INCORPORATE CMN	13-Dec-2006	31-Aug-2010	29	919.45	1,447.690	-528.24	1,356	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	UNH	UNITEDHEALTH GROUP INCORPORATE CMN	02-Mar-2009	10-Sep-2010	53	1,798.08	948.210	849.87	556	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	UNH	UNITEDHEALTH GROUP INCORPORATE CMN	04-Sep-2007	10-Sep-2010	27	918.00	1,365.640	-449.64	1,102	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	UNH	UNITEDHEALTH GROUP INCORPORATE CMN	14-Mar-2008	10-Sep-2010	50	1,696.30	1,865.120	-168.82	910	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	UNH	UNITEDHEALTH GROUP INCORPORATE CMN	17-Mar-2008	10-Sep-2010	13	441.04	464.280	-23.24	907	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	UNH	UNITEDHEALTH GROUP INCORPORATE CMN	12-Apr-2010	27-Sep-2010	29	1,026.40	933.800	92.60	168	Short-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	UNH	UNITEDHEALTH GROUP INCORPORATE CMN	02-Mar-2009	27-Sep-2010	26	920.22	465.160	455.06	573	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	UNH	UNITEDHEALTH GROUP INCORPORATE CMN	23-Apr-2009	27-Sep-2010	41	1,451.12	934.770	516.35	522	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	UNH	UNITEDHEALTH GROUP INCORPORATE CMN	11-Mar-2009	27-Sep-2010	40	1,415.73	760.810	654.92	565	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	UNH	UNITEDHEALTH GROUP INCORPORATE CMN	12-Apr-2010	29-Sep-2010	140	4,913.95	4,508.000	405.95	170	Short-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	UPS	UNITED PARCEL SERVICE, INC CLASS B COMMON STOCK	04-Nov-2004	08-Feb-2010	58	3,303.73	4,665.520	-1,361.79	1,922	Long-Term

Acct Number	Acct Name	Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
012-13669-3	TARTAGLINO-RICHARDS FND DEL	UPS	UNITED PARCEL SERVICE, INC CLASS B COMMON STOCK	03-Aug-2006	12-Feb-2010	21	1,177 29	1,428 565	-251 28	1,289	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	UPS	UNITED PARCEL SERVICE, INC CLASS B COMMON STOCK	01-Feb-2006	12-Feb-2010	49	2,747 01	3,646 830	-899 82	1,472	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	UPS	UNITED PARCEL SERVICE, INC CLASS B COMMON STOCK	04-Nov-2004	12-Feb-2010	45	2,522 76	3,619 800	-1,097 04	1,926	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	UPS	UNITED PARCEL SERVICE, INC CLASS B COMMON STOCK	07-Oct-2009	19-Feb-2010	15	867 72	833 100	34 62	135	Short-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	UPS	UNITED PARCEL SERVICE, INC CLASS B COMMON STOCK	03-Aug-2006	19-Feb-2010	5	289 24	340 135	-50 89	1,296	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	UPS	UNITED PARCEL SERVICE, INC CLASS B COMMON STOCK	13-Feb-2007	19-Feb-2010	24	1,388 36	1,776 490	-388 13	1,102	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	UPS	UNITED PARCEL SERVICE, INC CLASS B COMMON STOCK	07-Oct-2009	08-Mar-2010	46	2,742 94	2,554 840	188 10	152	Short-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	V	VISA INC CMN CLASS A	27-Mar-2008	29-Oct-2009	51	3,959 21	3,250 213	709 00	581	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	V	VISA INC CMN CLASS A	27-Mar-2008	19-Jan-2010	5	437 92	318 648	119 27	663	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	V	VISA INC CMN CLASS A	01-Apr-2008	19-Jan-2010	17	1,488 93	1,046 281	442 65	658	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	V	VISA INC CMN CLASS A	01-Apr-2008	08-Apr-2010	1	91 27	61 546	29 72	737	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	VRN	VERISIGN INC CMN	15-May-2008	28-Sep-2010	49	1,540 08	1,988 923	-448 84	866	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	VRN	VERISIGN INC CMN	30-May-2008	28-Sep-2010	5	157 15	199 990	-42 84	851	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	VRN	VERISIGN INC CMN	02-Jun-2008	28-Sep-2010	38	1,194 35	1,496 250	-301 90	848	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	VRN	VERISIGN INC CMN	23-Jun-2008	28-Sep-2010	36	1,131 48	1,411 408	-279 92	827	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	WAG	WALGREEN CO CMN	04-Nov-2004	29-Oct-2009	2	75 54	74 780	0 76	1,819	Long-Term

Acct Number	Acct Name	Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
012-13669-3	TARTAGLINO-RICHARDS FND DEL	WAG	WALGREEN CO CMN	04-Nov-2004	17-Jun-2010	55	1,607 34	2,056 450	-449 11	2,050	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	WTW	WEIGHT WATCHERS INTERNATIONAL, INC CMN	04-Nov-2004	02-Oct-2009	26	680 05	955 500	-275 45	1,792	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	WTW	WEIGHT WATCHERS INTERNATIONAL, INC CMN	04-Nov-2004	06-Oct-2009	27	710 32	992 250	-281 93	1,796	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	WTW	WEIGHT WATCHERS INTERNATIONAL, INC CMN	04-Nov-2004	19-Oct-2009	45	1,266 19	1,653 750	-387 56	1,809	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	WTW	WEIGHT WATCHERS INTERNATIONAL, INC CMN	04-Nov-2004	12-Nov-2009	54	1,436 41	1,984 500	-548 09	1,834	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	WTW	WEIGHT WATCHERS INTERNATIONAL, INC CMN	18-Aug-2006	13-Nov-2009	10	264 81	426 858	-162 05	1,183	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	WTW	WEIGHT WATCHERS INTERNATIONAL, INC CMN	04-Nov-2004	13-Nov-2009	32	847 39	1,176 000	-328 61	1,835	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	WTW	WEIGHT WATCHERS INTERNATIONAL, INC CMN	09-Aug-2006	13-Nov-2009	15	397 21	587 150	-189 94	1,192	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	WTW	WEIGHT WATCHERS INTERNATIONAL, INC CMN	17-Aug-2006	13-Nov-2009	16	423 69	680 230	-256 54	1,184	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	WTW	WEIGHT WATCHERS INTERNATIONAL, INC CMN	22-May-2007	20-Jan-2010	11	319 89	570 480	-250 59	974	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	WTW	WEIGHT WATCHERS INTERNATIONAL, INC CMN	23-May-2007	20-Jan-2010	25	727 03	1,323 410	-596 38	973	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	WTW	WEIGHT WATCHERS INTERNATIONAL, INC CMN	18-Aug-2006	20-Jan-2010	9	261 73	384 172	-122 44	1,251	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	WTW	WEIGHT WATCHERS INTERNATIONAL, INC CMN	21-Aug-2006	20-Jan-2010	6	174 49	254 520	-80 03	1,248	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	WTW	WEIGHT WATCHERS INTERNATIONAL, INC CMN	24-May-2007	20-Jan-2010	6	174 49	313 430	-138 94	972	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	WTW	WEIGHT WATCHERS INTERNATIONAL, INC CMN	07-Oct-2009	01-Feb-2010	34	984 94	901 000	83 94	117	Short-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	WTW	WEIGHT WATCHERS INTERNATIONAL, INC CMN	24-May-2007	01-Feb-2010	19	550 41	992 530	-442 12	984	Long-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	WTW	WEIGHT WATCHERS INTERNATIONAL, INC CMN	07-Oct-2009	08-Feb-2010	47	1,339 95	1,245 500	94 45	124	Short-Term
012-13669-3	TARTAGLINO-RICHARDS FND DEL	WTW	WEIGHT WATCHERS INTERNATIONAL, INC CMN	07-Oct-2009	16-Feb-2010	39	1,130 20	1,033 500	96 70	132	Short-Term
TOTAL							143,681 31		2,062 51		

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