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Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0047

2009

Open to Public Inspection

A For the **2009** calendar year, or tax year beginning **10/01**, 2009, and ending **09/30**, 20 **10**

B Check if applicable:
☐ Address change
☐ Name change
☐ Initial return
☐ Terminated
☐ Amended return
☐ Application pending

Please use IRS label or print or type. See Specific Instructions

C Name of organization **WESTERN STATES ARTS FEDERATION**
 Doing Business As
 Number and street (or P.O. box if mail is not delivered to street address) Room/suite
1743 Wazee Street Suite 300
 City or town, state or country, and ZIP + 4
Denver, CO 80202

D Employer identification number
23 7255426

E Telephone number
(303) 626-1166

G Gross receipts \$ **3,774,659**

F Name and address of principal officer **Anthony Radich**
1743 Wazee Street, Suite 300, Denver, CO 80202

H(a) Is this a group return for affiliates? ☐ Yes ☒ No
H(b) Are all affiliates included? ☐ Yes ☐ No
 If "No," attach a list (see instructions)
H(c) Group exemption number ▶

I Tax-exempt status. ☒ 501(c) (3) (insert no) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ **www.westaf.org**

K Form of organization ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation **1972**

M State of legal domicile **CO**

Part I Summary

1 Briefly describe the organization's mission or most significant activities **WESTAF's mission is to strengthen the financial, organizational, and policy infrastructure of arts in the West. Utilizing innovation, advocacy, grantmaking, and other services, we encourage the creative development and preservation of the arts regionally and through a national network of customers and alliances.**

2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.

3 Number of voting members of the governing body (Part VI, line 1a) **3** **19**

4 Number of independent voting members of the governing body (Part VI, line 1b) **4** **19**

5 Total number of employees (Part V, line 2a) **5** **18**

6 Total number of volunteers (estimate if necessary) **6** **0**

7a Total gross unrelated business revenue from Part VIII, column (C), line 12. **7a** **0**

b Net unrelated business taxable income from Form 990-T, line 34. **7b** **0**

	Prior Year	Current Year
8 Contributions and grants (Part VIII, line 1h)	1,628,049	2,019,581
9 Program service revenue (Part VIII, line 2g)	1,443,802	1,564,533
10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	-13,816	13,234
11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	0	0
12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	3,058,035	3,597,348
13 Grants and similar amounts paid (Part IX, column (A), lines 1–3)	636,374	1,104,298
14 Benefits paid to or for members (Part IX, column (A), line 4)	0	0
15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	1,011,975	1,062,841
16a Professional fundraising fees (Part IX, column (A), line 11e)	0	0
b Total fundraising expenses (Part IX, column (D), line 25) ▶	0	0
17 Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f)	1,276,685	1,298,703
18 Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25)	2,925,034	3,465,842
19 Revenue less expenses. Subtract line 18 from line 12	133,001	131,506
20 Total assets (Part X, line 16)	1,742,108	2,096,500
21 Total liabilities (Part X, line 26)	498,819	509,839
22 Net assets or fund balances. Subtract line 21 from line 20	1,243,289	1,586,661

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here Signature of officer **Kate McRae** Date **5.16.11**

Type or print name and title **Kate McRae, Finance Officer**

Paid Preparer's Use Only

Preparer's signature ▶ Date ▶ Check if self-employed ☐ Preparer's identifying number (see instructions) ▶

Firm's name (or yours if self-employed), address, and ZIP + 4 ▶ EIN ▶ Phone no ▶ () ▶

May the IRS discuss this return with the preparer shown above? (see instructions) ☐ Yes ☐ No

SCANNED JUN 16 2011

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Part III Statement of Program Service Accomplishments**1** Briefly describe the organization's mission:

WESTAF, the Western States Arts Federation, is a nonprofit arts service organization dedicated to the creative advancement and preservation of the arts. Based in Denver, Colorado, WESTAF fulfills its mission to strengthen the financial, organizational and policy infrastructure of the arts by providing innovative programs and services to artists and arts organizations in the West and nationwide.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☒ Yes ☐ No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ **502,131** including grants of \$ **497,995**) (Revenue \$ **497,995**)

Through an ARRA award from the National Endowment for the Arts, WESTAF provided funding to 16 not-for-profit arts organizations in the thirteen western states to preserve arts sector jobs that were threatened by the economic downturn.

4b (Code:) (Expenses \$ **733,651** including grants of \$ **606,303**) (Revenue \$ **731,861**)

With support from the National Endowment for the Arts, WESTAF provided grants to fund presenting, touring, and exhibiting organizations in the thirteen western states. These performances and exhibitions showcased 3,000 artists benefited 335,000 people in under-served communities, including 191,000 children.

4c (Code:) (Expenses \$ **1,728,799** including grants of \$ **0**) (Revenue \$ **2,354,370**)

WESTAF provided professional development, research and information technology services, and technical assistance to communities and art and arts professionals in the thirteen western states in the disciplines of performing, visual, media, literary, folk, and multicultural arts.

4d Other program services. (Describe in Schedule O.)

(Expenses \$ **0** including grants of \$ **0**) (Revenue \$ **0**)

4e Total program service expenses **2,964,581**

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1 ✓	
2 Is the organization required to complete Schedule B, Schedule of Contributors?	2 ✓	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3	✓
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II	4 ✓	
5 Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations. Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? If "Yes," complete Schedule C, Part III	5	
6 Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6	✓
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7	✓
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8	✓
9 Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9	✓
10 Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? If "Yes," complete Schedule D, Part V	10	✓
11 Is the organization's answer to any of the following questions "Yes"? If so, complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable	11 ✓	
• Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI.		
• Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII.		
• Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII.		
• Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX.		
• Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X.		
• Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? If "Yes," complete Schedule D, Part X.		
12 Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII.	12 ✓	
12A Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional.	12A Yes No ✓	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13	✓
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a	✓
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? If "Yes," complete Schedule F, Part I	14b	✓
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Part II.	15	✓
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If "Yes," complete Schedule F, Part III	16	✓
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I	17	✓
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18	✓
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19	✓
20 Did the organization operate one or more hospitals? If "Yes," complete Schedule H	20	✓

Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II.	☒	☐
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III.	☐	☒
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J.	☐	☒
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25.	☐	☒
24b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	☐	☐
24c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	☐	☐
24d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	☐	☐
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I.	☐	☒
25b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I.	☐	☒
26 Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II.	☐	☒
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? If "Yes," complete Schedule L, Part III.	☐	☒
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):	☐	☐
28a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV.	☐	☒
28b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV.	☐	☒
28c An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV.	☐	☒
29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M.	☐	☒
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M.	☐	☒
31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I.	☐	☒
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II.	☐	☒
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I.	☐	☒
34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1.	☒	☐
35 Is any related organization a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2.	☐	☒
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2.	☐	☒
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI.	☐	☒
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? Note. All Form 990 filers are required to complete Schedule O.	☒	☐

Part V Statements Regarding Other IRS Filings and Tax Compliance

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal of U.S. Information Returns. Enter -0- if not applicable	1a 35	
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b 0	
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c ✓	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a 18	
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return. (see instructions)	2b ✓	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?	3a	✓
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O	3b	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	✓
b	If "Yes," enter the name of the foreign country ▶ See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a	✓
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b	✓
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?	5c	
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?	6a	✓
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b	
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	✓
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c	✓
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d	
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e	✓
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f	✓
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?	7g	
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?	7h	
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8	
9	Sponsoring organizations maintaining donor advised funds.		
a	Did the organization make any taxable distributions under section 4966?	9a	
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b	
10	Section 501(c)(7) organizations. Enter:		
a	Initiation fees and capital contributions included on Part VIII, line 12	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b	
11	Section 501(c)(12) organizations. Enter:		
a	Gross income from members or shareholders	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b	
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b	

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Section A. Governing Body and Management

	Yes	No
1a Enter the number of voting members of the governing body	1a	19
b Enter the number of voting members that are independent	1b	19
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	✓
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3	✓
4 Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?	4	✓
5 Did the organization become aware during the year of a material diversion of the organization's assets?	5	✓
6 Does the organization have members or stockholders?	6	✓
7a Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	7a	✓
b Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	7b	✓
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a The governing body?	8a	✓
b Each committee with authority to act on behalf of the governing body?	8b	✓
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9a	✓

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Does the organization have local chapters, branches, or affiliates?	10a	✓
b If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b	
11 Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11	✓
11A Describe in Schedule O the process, if any, used by the organization to review this Form 990		
12a Does the organization have a written conflict of interest policy? If "No," go to line 13	12a	✓
b Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	✓
c Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	12c	✓
13 Does the organization have a written whistleblower policy?	13	✓
14 Does the organization have a written document retention and destruction policy?	14	✓
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official	15a	✓
b Other officers or key employees of the organization	15b	✓
If "Yes" to line 15a or 15b, describe the process in Schedule O. (See instructions.)		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	✓
b If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ►

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.
☐ Own website ☐ Another's website ☒ Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ► **The Organization, (303)629-1166**
1743 Wazee Street, Suite 300, Denver, CO 80202

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors
Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year. Use Schedule J-2 if additional space is needed.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

- List all of the organization's **current** key employees. See instructions for definition of "key employee."

- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.

- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons

☐ Check this box if the organization did not compensate any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
Sandra Albano Trustee	2	✓						0	0	0
Margo Aragon Trustee	2	✓						0	0	0
Robert Booker Trustee	2	✓						0	0	0
Luis Cancel Trustee	2	✓						0	0	0
Christine D'Arcy Trustee	2	✓						0	0	0
Dale Erqulaga Chair	2	✓						0	0	0
Annette Evans Smith Trustee	2	✓						0	0	0
Charlotte Fox Trustee	2	✓						0	0	0
Ricardo Frazer Secretary	2	✓						0	0	0
Bill Frazier Trustee	2	✓						0	0	0
Tony Garcia Trustee	2	✓						0	0	0
Virginia Gowski Treasurer	2	✓						0	0	0
Erin Graham Trustee	2	✓						0	0	0
Eric Hayashi Trustee	2	✓						0	0	0
Michael Hillerby Trustee	2	✓						0	0	0
Margaret Hunt Trustee	2	✓						0	0	0

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (*continued*)

(A) Name and title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
Aaron Miles Trustee	2	☒						0	0	0
Tey Marianna Nunn Trustee	2	☒						0	0	0
Malissa Feruzzi Shriver Trustee	2	☒						0	0	0
Kris Tucker Trustee	2	☒						0	0	0
Anthony Radlich Executive Director	45.00			☒				119,627	0	11,246
Sylvain Billere Assoc Dir Fin/Admin - Jan - Sept	45.00			☒				57,000	0	3,452
Kate McRae Finance Officer - Sept - Dec	45.00			☒				24,429	0	1,827
1b Total								201,056	0	16,525

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization **▶ 1**

	Yes	No
3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual	☐	☒
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual.	☐	☒
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? If "Yes," complete Schedule J for such person	☐	☒

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization.

(A) Name and business address	(B) Description of services	(C) Compensation
BrownRice Internet Inc, 201 Camino de la Merced, Taos, NM 87571	Technology Development &	179,322
Software Developers Inc, 20665 Fourth Street, Suite 101, Saratoga, CA 9507	Technology Development	115,541

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **▶ 2**

Part VIII Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1a Federated campaigns	1a	0				
	b Membership dues	1b	0				
	c Fundraising events	1c	0				
	d Related organizations	1d	0				
	e Government grants (contributions).	1e	2,018,356				
	f All other contributions, gifts, grants, and similar amounts not included above	1f	1,225				
	g Noncash contributions included in lines 1a-1f: \$		0				
	h Total. Add lines 1a-1f			2,019,581			
Program Service Revenue		Business Code					
	2a Internet/web services	518210	608,688	608,688	0	0	
	b License & Use Fees	519100	336,865	336,865	0	0	
	c Income from flow-through entity	518210	183,932	183,932	0	0	
	d Research Services	900099	108,000	108,000	0	0	
	e State Participation Fees	541900	317,390	317,390	0	0	
	f All other program service revenue		9,658	9,658	0	0	
g Total. Add lines 2a-2f			1,564,533				
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		15,080	0	0	15,080	
	4 Income from investment of tax-exempt bond proceeds		0	0	0	0	
	5 Royalties		0	0	0	0	
		(i) Real	(ii) Personal				
	6a Gross Rents						
	b Less: rental expenses						
	c Rental income or (loss)	0	0				
	d Net rental income or (loss)						
		(i) Securities	(ii) Other				
	7a Gross amount from sales of assets other than inventory	173,760	1,705				
	b Less: cost or other basis and sales expenses	177,311	0				
	c Gain or (loss)	-3,551	1,705				
	d Net gain or (loss)			-1,846	1,705	0	-3,551
	8a Gross income from fundraising events (not including \$ of contributions reported on line 1c) See Part IV, line 18	a					
	b Less: direct expenses	b					
	c Net income or (loss) from fundraising events						
	9a Gross income from gaming activities See Part IV, line 19	a					
	b Less: direct expenses	b					
	c Net income or (loss) from gaming activities						
	10a Gross sales of inventory, less returns and allowances	a					
b Less: cost of goods sold	b						
c Net income or (loss) from sales of inventory							
Miscellaneous Revenue		Business Code					
11a							
b							
c							
d All other revenue							
e Total. Add lines 11a-11d			0				
12 Total revenue. See instructions.			3,597,348	1,566,238	0	11,529	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21	1,104,298	1,104,298		
2	Grants and other assistance to individuals in the U.S. See Part IV, line 22				
3	Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16				
4	Benefits paid to or for members				
5	Compensation of current officers, directors, trustees, and key employees	217,581	141,428	76,153	
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0	0	0	
7	Other salaries and wages	664,063	597,657	66,406	
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	17,189	15,470	1,719	
9	Other employee benefits	98,308	88,477	9,831	
10	Payroll taxes	65,700	52,560	13,140	
11	Fees for services (non-employees):				
a	Management	42,749	34,199	8,550	
b	Legal	20,394		20,394	
c	Accounting	124,471		124,471	
d	Lobbying				
e	Professional fundraising services. See Part IV, line 17	4,551		4,551	
f	Investment management fees	224,836	220,339	4,497	
g	Other	12,526		12,526	
12	Advertising and promotion	80,534	64,427	16,107	
13	Office expenses	142,714	135,578	7,136	
14	Information technology				
15	Royalties	94,299	75,439	18,860	
16	Occupancy	137,126	116,208	20,918	
17	Travel				
18	Payments of travel or entertainment expenses for any federal, state, or local public officials	49,193	32,847	16,346	
19	Conferences, conventions, and meetings	61,634	49,307	12,327	
20	Interest	1,903		1,903	
21	Payments to affiliates				
22	Depreciation, depletion, and amortization	224,300	190,655	33,645	
23	Insurance	13,991		13,991	
24	Other expenses. Itemize expenses not covered above. (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below.)				
a	Publications	27,702	27,148	554	0
b	Credit card fees	11,696	0	11,696	0
c	Design fees	7,916	7,916	0	0
d	Property taxes	2,883	0	2,883	0
e	Dues and subscriptions	3,255	2,604	651	0
f	All other expenses	10,030	8,024	2,006	
25	Total functional expenses. Add lines 1 through 24f	3,465,842	2,964,581	501,261	0
26	Joint costs. Check here ☐ if following SOP 98-2. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

		(A) Beginning of year		(B) End of year
Assets	1 Cash—non-interest-bearing	480,105	1	385,769
	2 Savings and temporary cash investments		2	
	3 Pledges and grants receivable, net		3	
	4 Accounts receivable, net	123,497	4	191,585
	5 Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6 Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete Part II of Schedule L		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	33,630	9	25,332
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 1,970,249		
	b Less: accumulated depreciation	10b 1,290,282		
	11 Investments—publicly traded securities	478,497	11	528,504
	12 Investments—other securities. See Part IV, line 11		12	
	13 Investments—program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11	58,605	15	285,343
16 Total assets. Add lines 1 through 15 (must equal line 34)	1,742,108	16	2,096,500	
Liabilities	17 Accounts payable and accrued expenses	83,902	17	199,667
	18 Grants payable		18	
	19 Deferred revenue	381,912	19	300,950
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties	11,422	24	0
	25 Other liabilities. Complete Part X of Schedule D	21,583	25	9,222
	26 Total liabilities. Add lines 17 through 25	498,819	26	509,839
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ☒ and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	1,243,289	27	1,586,661
	28 Temporarily restricted net assets	0	28	0
	29 Permanently restricted net assets	0	29	0
	Organizations that do not follow SFAS 117, check here ☐ and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances	1,243,289	33	1,586,661
	34 Total liabilities and net assets/fund balances	1,742,108	34	2,096,500

Part XI Financial Statements and Reporting

1 Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other _____

If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.

2a Were the organization's financial statements compiled or reviewed by an independent accountant? . . .

b Were the organization's financial statements audited by an independent accountant?

c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? . . .

If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.

d If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both:

☐ Separate basis ☒ Consolidated basis ☐ Both consolidated and separate basis

3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?

b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.

	Yes	No
2a		✓
2b	✓	
2c	✓	
3a	✓	
3b	✓	

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2009

**Open to Public
Inspection**

Name of the organization

WESTERN STATES ARTS FEDERATION

Employer identification number

23 7255426

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 ☐ A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E.)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: _____
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9 ☐ An organization that normally receives: (1) more than 33 1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3)**. Check the box that describes the type of supporting organization and complete lines 11e through 11h.
- a ☐ Type I b ☐ Type II c ☐ Type III—Functionally integrated d ☐ Type III—Other
- e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
- f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
- (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? ☐
- (ii) A family member of a person described in (i) above? ☐
- (iii) A 35% controlled entity of a person described in (i) or (ii) above? ☐
- h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1–9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U.S.?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
Total									

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants")	1,900,017	1,780,006	1,581,603	1,945,429	2,336,971	9,544,026
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	1,900,017	1,780,006	1,581,603	1,945,429	2,336,971	9,544,026
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						9,544,026

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4	1,900,017	1,780,006	1,581,603	1,945,429	2,336,971	9,544,026
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	25,821	41,819	17,240	6,473	11,529	102,882
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV)	546,926	853,203	942,074	972,019	1,237,783	4,552,005
11 Total support. Add lines 7 through 10						14,198,913
12 Gross receipts from related activities, etc. (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2009 (line 6, column (f) divided by line 11, column (f))	14	67.22 %
15 Public support percentage from 2008 Schedule A, Part II, line 14	15	70.74 %
16a 33⅓ % support test—2009. If the organization did not check the box on line 13, and line 14 is 33⅓ % or more, check this box and stop here. The organization qualifies as a publicly supported organization	☒	
b 33⅓ % support test—2008. If the organization did not check a box on line 13 or 16a, and line 15 is 33⅓ % or more, check this box and stop here. The organization qualifies as a publicly supported organization	☐	
17a 10%-facts-and-circumstances test—2009. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization	☐	
b 10%-facts-and-circumstances test—2008. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization	☐	
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ►	☐	

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13 Total support. (Add lines 9, 10c, 11, and 12)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ► ☐						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2009 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2008 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2009 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2008 Schedule A, Part III, line 17	18	%

19a 33⅓% support tests—2009. If the organization did not check the box on line 14, and line 15 is more than 33⅓%, and line 17 is not more than 33⅓%, check this box and **stop here**. The organization qualifies as a publicly supported organization ► ☐

b 33⅓% support tests—2008. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33⅓%, and line 18 is not more than 33⅓%, check this box and **stop here**. The organization qualifies as a publicly supported organization ► ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ► ☐

Part IV **Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Provide any other additional information. See instructions.

General Explanation - Other income includes program service revenue but does not include state participation fees, which are included on line 1.

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ **Complete if the organization is described below.**

▶ **Attach to Form 990 or Form 990-EZ.** ▶ **See separate instructions.**

OMB No. 1545-0047

2009

**Open to Public
Inspection**

If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization

WESTERN STATES ARTS FEDERATION

Employer identification number

23

7255426

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.

2 Political expenditures ▶ \$

3 Volunteer hours ▶ \$

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ **Yes** ☐ **No**

4a Was a correction made? ☐ **Yes** ☐ **No**

b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$

3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ▶ \$

4 Did the filing organization file **Form 1120-POL** for this year? ☐ **Yes** ☐ **No**

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which payments were made. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**A** Check ☒ if the filing organization belongs to an affiliated group.**B** Check ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)		0	0												
b Total lobbying expenditures to influence a legislative body (direct lobbying)		124,471	0												
c Total lobbying expenditures (add lines 1a and 1b)		124,471	0												
d Other exempt purpose expenditures		3,339,479	860,746												
e Total exempt purpose expenditures (add lines 1c and 1d)		3,463,950	860,746												
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.		323,198	154,112												
<table border="1"> <thead> <tr> <th>If the amount on line 1e, column (a) or (b) is:</th> <th>The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000</td> </tr> </tbody> </table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e.														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
g Grassroots nontaxable amount (enter 25% of line 1f)		80,800	38,528												
h Subtract line 1g from line 1a. If zero or less, enter -0-		0	0												
i Subtract line 1f from line 1c. If zero or less, enter -0-		0	0												
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		☐ Yes ☐ No													

4-Year Averaging Period Under Section 501(h)
 (Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) Total
2a Lobbying nontaxable amount	268,453	287,022	298,320	323,198	1,176,993
b Lobbying ceiling amount (150% of line 2a, column (e))					1,765,490
c Total lobbying expenditures	95,887	109,631	99,861	124,471	429,850
d Grassroots nontaxable amount	67,113	71,756	74,580	80,800	294,249
e Grassroots ceiling amount (150% of line 2d, column (e))					441,374
f Grassroots lobbying expenditures	0	0	0	0	0

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

	(a)		(b)
	Yes	No	Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?			
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c Media advertisements?			
d Mailings to members, legislators, or the public?			
e Publications, or published or broadcast statements?			
f Grants to other organizations for lobbying purposes?			
g Direct contact with legislators, their staffs, government officials, or a legislative body?			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i Other activities? If "Yes," describe in Part IV			
j Total. Add lines 1c through 1i			
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carryover lobbying and political expenditures from the prior year?	3	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes."

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a Current year	2a	
b Carryover from last year	2b	
c Total	2c	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5 Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; and Part II-B, line 1i. Also, complete this part for any additional information.

Schedule C, Part II-A, Line A - WESTAF advocacy funds are available to each state participating in the work of WESTAF. The funds are allocated to arts advocacy organizations and independent contractors who present and argue the case for state-level support of the arts to administrators and elected officials at the state level.

**SCHEDULE D
(Form 990)**

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990,
Part IV, line 6, 7, 8, 9, 10, 11, or 12.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

**Open to Public
Inspection**

Name of the organization

WESTERN STATES ARTS FEDERATION

Employer identification number

23 : 7255426

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?		☐ Yes ☐ No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?		☐ Yes ☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

☐ Preservation of land for public use (e.g., recreation or pleasure)	☐ Preservation of an historically important land area
☐ Protection of natural habitat	☐ Preservation of a certified historic structure
☐ Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶

4 Number of states where property subject to conservation easement is located ▶

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

- 1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items.
- b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items
- (i) Revenues included in Form 990, Part VIII, line 1 ▶ \$
- (ii) Assets included in Form 990, Part X ▶ \$
- 2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items:
- a Revenues included in Form 990, Part VIII, line 1 ▶ \$
- b Assets included in Form 990, Part X ▶ \$

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3** Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a** ☐ Public exhibition **d** ☐ Loan or exchange programs
- b** ☐ Scholarly research **e** ☐ Other
- c** ☐ Preservation for future generations
- 4** Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV
- 5** During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV **Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a** Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

- b** If “Yes,” explain the arrangement in Part XIV and complete the following table:

	Amount
1c	
1d	
1e	
1f	

- 2a** Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No
b If "Yes," explain the arrangement in Part XIV.

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

- 2 Provide the estimated percentage of the year end balance held as:**

- a Board designated or quasi-endowment ▶ %
b Permanent endowment ▶ %
c Term endowment ▶ %

- 3a** Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

	Yes	No
3a(i)		
3a(ii)		
3b		

- | | |
|-----------------------------|-------|
| (i) unrelated organizations | |
| (ii) related organizations | |

- b** If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

- 4 Describe in Part XIV the intended uses of the organization's endowment funds.

Part VI Investments—Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land	0	0		0
b Buildings	0	0	0	0
c Leasehold improvements	0	2,500	896	1,604
d Equipment	0	1,967,749	1,289,386	678,363
e Other	0	0	0	0
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c).) ▶				679,967

Part VII Investments—Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Financial derivatives		
Closely-held equity interests		
Other		
.....		
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.....		
.....		
.....		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 12) ►		

Part VIII Investments—Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Total. (Column (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

Part IX **Other Assets.** See Form 990, Part X, line 15.

(a) Description	(b) Book value
Intercompany receivable	3,820
Security deposit	8,500
Investment in subsidiary	273,023
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.)	285,343

Part X **Other Liabilities.** See Form 990, Part X, line 25.

1.	(a) Description of liability	(b) Amount
	Federal income taxes	0
	Current portion of capital lease obligation	3,513
	Capital lease obligation, net of current	5,709
	Total. (Column (b) must equal Form 990, Part X, col (B) line 25) ►	9,222

2. FIN 48 Footnote. In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48.

Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	3,597,348
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	3,465,842
3	Excess or (deficit) for the year. Subtract line 2 from line 1	3	131,506
4	Net unrealized gains (losses) on investments	4	45,513
5	Donated services and use of facilities	5	0
6	Investment expenses	6	0
7	Prior period adjustments	7	0
8	Other (Describe in Part XIV.)	8	-9,472
9	Total adjustments (net). Add lines 4 through 8	9	36,041
10	Excess or (deficit) for the year per audited financial statements. Combine lines 3 and 9	10	167,547

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements	1	3,627,133
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains on investments	2a	45,513
b	Donated services and use of facilities	2b	0
c	Recoveries of prior year grants	2c	0
d	Other (Describe in Part XIV.)	2d	0
e	Add lines 2a through 2d	2e	45,513
3	Subtract line 2e from line 1	3	3,581,620
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	4,551
b	Other (Describe in Part XIV.)	4b	11,177
c	Add lines 4a and 4b	4c	15,728
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	3,597,348

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements	1	3,459,586
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	0
b	Prior year adjustments	2b	0
c	Other losses	2c	0
d	Other (Describe in Part XIV.)	2d	-1,705
e	Add lines 2a through 2d	2e	-1,705
3	Subtract line 2e from line 1	3	3,461,291
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	4,551
b	Other (Describe in Part XIV.)	4b	0
c	Add lines 4a and 4b	4c	4,551
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	3,465,842

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, line 8; Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

Schedule D, Part X - Income Taxes WESTAF is exempt from Federal income taxes under Section 501(c)(3) of the Internal Revenue Code and as such is subject to Federal income taxes on unrelated business income. WESTAF had no unrelated business income for the years ended September 30, 2010 and 2009. WESTAF is not a private foundation within the meaning of Section 509(a) of the Internal Revenue Code. WESTAF's affiliate, ZAPP, has elected to be treated as a Partnership for income tax purposes. Accordingly, taxable income and losses of ZAPP are reported on the income tax returns of ZAPP's members, of which WESTAF is a member.

Schedule D, Part XI, Line 8 - There is a difference of \$9,472 between the income from the flow-through entity recorded in the audited financial statements and the income per the tax return reflected in the Form 990.

Schedule D, Part XII, Line 4b - Gain on disposal of assets of \$1,705 is not included in revenue per audited financial statements, but is a reduction of expense. There is a difference of \$9,472 in the income per books of the flow-through

Part XIV - Supplemental Information (Continued)

entity recorded in the audited financial statements and the income per tax return recorded in the Form 990.

Schedule D, Part XIII, Line 2d - Gain on sale of fixed assets recorded as revenue on Form 990, while is recorded as a reduction in expense in audited financial statements.

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Department of the Treasury
Internal Revenue Service

OMB No 1545-0047

2009

Open to Public Inspection

► Attach to Form 990.

Name of the organization

Empty

23

7255426

WESTERN STATES ARTS FEDERATION

Part I General Information on Grants and Assistance

- | | | | | |
|----------|---|-----------|--|------------------------------------|
| 1 | Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? | | ☒ Yes | ☐ No |
| 2 | Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States. | | | |

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to

Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed

[illegible]

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Cat No 50055P

Schedule I (Form 990) 2009

Description of Grants and Other Assistance to Governments and Organizations in the United States		Amount of cash grant	Amount of non-cash assistance
Name and address	Central District Forum for Arts & Ideas PO Box 22824 Seattle, WA 98122-0824	20,000	
EIN	91-1954917		
IRC code section	501(c)(3)		
Method of valuation			
Description of non-cash assistance			
Purpose of grant	The Western States Arts Federation received an ARRA grant from the National Endowment for the Arts to preserve staffing at non-for-profit arts organizations during the recent economic downturn. Central District Forum was one of the awardees for this stimulus funding.		
Name and address	Childsplay Inc 900 South Mitchell Drive Tempe, AZ 85281	23,979	
EIN	86-0336473		
IRC code section	501(c)(3)		
Method of valuation			
Description of non-cash assistance			
Purpose of grant	The Western States Arts Federation received an ARRA grant from the National Endowment for the Arts to preserve staffing at non-for-profit arts organizations during the recent economic downturn. Childsplay was one of the awardees for this stimulus funding.		
Name and address	El Centro Su Teatro 4725 High Street Denver, CO 80216	20,456	
EIN	74-2440659		
IRC code section	501(c)(3)		
Method of valuation			
Description of non-cash assistance			
Purpose of grant	The Western States Arts Federation received an ARRA grant from the National Endowment for the Arts to preserve staffing at non-for-profit arts organizations during the recent economic downturn. El Centro Su Teatro was one of the awardees for this stimulus funding.		
Name and address	Great Leap Inc 1145 Wilshire Blvd Suite 100-D Los Angeles, CA 90017	24,500	
EIN	95-3337990		
IRC code section	501(c)(3)		
Method of valuation			
Description of non-cash assistance			
Purpose of grant	The Western States Arts Federation received an ARRA grant from the National Endowment for the Arts to preserve staffing at non-for-profit arts organizations during the recent economic downturn. Great Leap was one of the awardees for this stimulus funding.		

Schedule I, Part IV, Statement 1

WESTERN STATES ARTS FEDERATION

Name and address	Hawai Craftsman 1159 Nuuanu Avenue Honolulu, HI 96817	23,680
EIN	99-0180884	
IRC code section	501(c)(3)	
Method of valuation		
Description of non-cash assistance		
Purpose of grant	The Western States Arts Federation received an ARRA grant from the National Endowment for the Arts to preserve staffing at non-for-profit arts organizations during the recent economic downturn. Hawai Craftsman was one of the awardees for this stimulus funding.	
Name and address	LaRaza Bookstore 1022 22nd Street Sacramento, CA 95816	17,587
EIN	94-2365928	
IRC code section	501(c)(3)	
Method of valuation		
Description of non-cash assistance		
Purpose of grant	The Western States Arts Federation received an ARRA grant from the National Endowment for the Arts to preserve staffing at non-for-profit arts organizations during the recent economic downturn. La Raza Bookstore was one of the awardees for this stimulus funding.	
Name and address	Museum of Contemporary Art San Diego 700 Prospect Street San Diego, CA 92037	40,418
EIN	95-1855640	
IRC code section	501(c)(3)	
Method of valuation		
Description of non-cash assistance		
Purpose of grant	The Western States Arts Federation received an ARRA grant from the National Endowment for the Arts to preserve staffing at non-for-profit arts organizations during the recent economic downturn. The Museum of Contemporary Art San Diego was one of the awardees for this stimulus funding.	
Name and address	Nez Perce Tribe PO Box 365 Lapwai, ID 83540	14,403
EIN	82-0255928	
IRC code section	Government entity	
Method of valuation		
Description of non-cash assistance		
Purpose of grant	The Western States Arts Federation received an ARRA grant from the National Endowment for the Arts to preserve staffing at non-for-profit arts organizations during the recent economic downturn. The Nez Perce Tribe was one of the awardees for this stimulus funding.	
Name and address	Northwest Museum of Arts and Culture 2316 West First Avenue Spokane, WA 99201	40,702
EIN	91-6000186	
IRC code section	501(c)(3)	

Method of valuation**Description of non-cash assistance**

Purpose of grant The Western States Arts Federation received an ARRA grant from the National Endowment for the Arts to preserve staffing at non-for-profit arts organizations during the recent economic downturn Northwest Museum of Art and Culture was one of the awardees for this stimulus funding

Name and address Perseverance Theater 39,586
914 Third Street
Douglas, AK 99824

EIN 92-0071124

IRC code section 501(c)(3)

Method of valuation**Description of non-cash assistance**

Purpose of grant The Western States Arts Federation received an ARRA grant from the National Endowment for the Arts to preserve staffing at non-for-profit arts organizations during the recent economic downturn Perseverance Theater was one of the awardees for this stimulus funding

Name and address Portland Institute for Contemporary Art 31,467
224 NW 13th Number 305
Portland, OR 97209

EIN 93-1177971

IRC code section 501(c)(3)

Method of valuation**Description of non-cash assistance**

Purpose of grant The Western States Arts Federation received an ARRA grant from the National Endowment for the Arts to preserve staffing at non-for-profit arts organizations during the recent economic downturn Portland Institute for Contemporary Art was one of the awardees for this stimulus funding

Name and address Sierra Arts Foundation 14,038
17 South Virginia Street
Suite 120
Reno, NV 89501-2905

EIN 88-0113398

IRC code section 501(c)(3)

Method of valuation**Description of non-cash assistance**

Purpose of grant The Western States Arts Federation received an ARRA grant from the National Endowment for the Arts to preserve staffing at non-for-profit arts organizations during the recent economic downturn Sierra Arts Foundation was one of the awardees for this stimulus funding

Name and address Southwest Association for Indian Arts 36,667
PO Box 969
Sante Fe, NM 87504

EIN 85-0210254

IRC code section 501(c)(3)

Method of valuation**Description of non-cash assistance**

Purpose of grant The Western States Arts Federation received an

Schedule I, Part IV, Statement 1

WESTERN STATES ARTS FEDERATION

ARRA grant from the National Endowment for the Arts to preserve staffing at non-for-profit arts organizations during the recent economic downturn Southwest Association for Indian Arts was one of the awardees for this stimulus funding

Name and address	The Museum at Warm Springs PO Box 909 Warm Springs, OR 97761	25,449
EIN	93-0639711	
IRC code section	501(c)(3)	
Method of valuation		
Description of non-cash assistance		
Purpose of grant	The Western States Arts Federation received an ARRA grant from the National Endowment for the Arts to preserve staffing at non-for-profit arts organizations during the recent economic downturn The Museum at Warm Springs was one of the awardees for this stimulus funding	
Name and address	Tucson Pima Arts Council 10 East Broadway Tucson, AZ 85701	30,396
EIN	86-0465675	
IRC code section	501(c)(3)	
Method of valuation		
Description of non-cash assistance		
Purpose of grant	The Western States Arts Federation received an ARRA grant from the National Endowment for the Arts to preserve staffing at non-for-profit arts organizations during the recent economic downturn Tucson Pima Arts Council was one of the awardees for this stimulus funding	
Name and address	Wind River Tribal College PO Box 8300 Ethete, WY 82520	15,833
EIN	83-0336501	
IRC code section	501(c)(3)	
Method of valuation		
Description of non-cash assistance		
Purpose of grant	The Western States Arts Federation received an ARRA grant from the National Endowment for the Arts to preserve staffing at non-for-profit arts organizations during the recent economic downturn Wind River Tribal College was one of the awardees for this stimulus funding	
Name and address	El Centro Su Teatro 4725 High Street Denver, CO 80216	11,875
EIN	74-2440659	
IRC code section	501(c)(3)	
Method of valuation		
Description of non-cash assistance		
Purpose of grant	Presenting and touring	
Name and address	Moapa Valley Performing Arts Council Box 1617 Overton, NV 89040	5,588
EIN	88-0311497	
IRC code section	501(c)(3)	

Schedule I, Part IV, Statement 1

WESTERN STATES ARTS FEDERATION

Method of valuation

Description of non-cash assistance

Purpose of grant Presenting and touring

Name and address Museum of Contemporary Craft 25,000

724 Northwest Davis Street

Portland, OR 97209

EIN 93-6028398

IRC code section 501(c)(3)

Method of valuation

Description of non-cash assistance

Purpose of grant To support visual arts touring

Name and address San Jose Museum of Quilts and Textiles 33,650

520 South First Street

San Jose, CA 95113-2806

EIN 77-0123939

IRC code section 501(c)(3)

Method of valuation

Description of non-cash assistance

Purpose of grant To support visual arts touring

Name and address SOMArts Cultural Center 18,652

934 Brannan Street

San Francisco, CA 94103

EIN 94-2655955

IRC code section 501(c)(3)

Method of valuation

Description of non-cash assistance

Purpose of grant To support visual arts touring

Name and address Western Arts Alliance 9,000

715 Southwest Morrison Street

Suite 600

Portland, OR 97205-3102

EIN 95-3497056

IRC code section 501(c)(3)

Method of valuation

Description of non-cash assistance

Purpose of grant Conference support

Name and address Nevada Museum of Art 25,000

160 West Street

Reno, NV 89501-1916

EIN 88-6003042

IRC code section 501(c)(3)

Method of valuation

Description of non-cash assistance

Purpose of grant To support visual arts touring

Name and address Whatcom Museum Society Inc 10,000

121 Prospect Street

Bellingham, WA 98225

EIN 91-6174771

IRC code section 501(c)(3)

Method of valuation

Description of non-cash assistance

Purpose of grant To support visual arts touring

Name and address Tacoma Art Museum 10,000

Schedule I, Part IV, Statement 1**WESTERN STATES ARTS FEDERATION**

1701 Pacific Avenue
Tacoma, WA 98402

EIN 91-0697444

IRC code section 501(c)(3)

Method of valuation

**Description of non-
cash assistance**

Purpose of grant To support visual arts touring

Name and address Northwest Museum of Arts and Culture
2316 West First Avenue
Spokane, WA 99201

10,000

EIN 32-8040011

IRC code section 501(c)(3)

Method of valuation

**Description of non-
cash assistance**

Purpose of grant To support visual arts touring

**SCHEDULE O
(Form 990)**

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.

▶ Attach to Form 990.

OMB No 1545-0047

2009

**Open to Public
Inspection**

Name of the organization

WESTERN STATES ARTS FEDERATION

Employer identification number

23 : 7255426

Form 990, Part III, Line 2 - In 2009, WESTAF was named as a prime recipient of an American Reinvestment and Recovery Act Grant (ARRA) through the National Endowment for the Arts. With this grant, WESTAF provided funding to 16 not-for-profit arts organizations in the thirteen western states to preserve arts sector jobs that were threatened by the economic downturn.

Form 990, Part VI, Section B, Line 11 - The Form 990 was distributed to members of the Executive Committee of the Board prior to filing.

Form 990, Part VI, Section B, Line 12c - Board members complete a conflict-of-interest disclosure form annually. All disbursements of the organization are reviewed by the Finance Officer to ensure that payments made are in compliance with all organizational policies.

Form 990, Part VI, Section B, Line 15 - The Executive Director compensation is determined annually by the Executive Committee of the Board of Directors, most recently in 2008. The Executive Committee has access to salary surveys from comparable organizations. The Executive Director sets compensation for key employees, based on a market review of salaries for similar positions.

Form 990, Part VI, Section C, Line 19 - Governing documents, conflict of interest policies, and financial statements are available to the public upon request.

Form 990, Part IX, Line 18 - Public Official Travel: Per the organization's by-laws, WESTAF's board allots five positions to Executive Directors of the State Arts Agencies from its 13 western-state region. WESTAF expects trustees to attend all board meetings and thus funds required travel to the three scheduled board meetings each year, and to the Executive Committee meeting if applicable. In furtherance of its mission, WESTAF provides professional development opportunities, seminars, and symposia for the Executive Directors of its region, and funds travel to these seminars and conferences. On occasion, WESTAF may engage speakers for these seminars and conferences who are public officials, and WESTAF will also fund this travel.