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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052
2009

For calendar year 2009, or tax year beginning 10-01-2009 , and ending 09-30-2010

G

Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation XERIC FOUNDATION		A Employer identification number 22-3149258	
	Number and street (or P O box number if mail is not delivered to street address) Room/suite 351 PLEASANT STREET PMB 214		B Telephone number (see page 10 of the instructions) (413) 585-0671	
	City or town, state, and ZIP code NORTHAMPTON, MA 010603900		C If exemption application is pending, check here ☐ D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 3,367,304		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	1,003,061			
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	71,023	71,023		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	279,683			
	b Gross sales price for all assets on line 6a <u>343,153</u>				
	7 Capital gain net income (from Part IV , line 2)		279,683		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	1,353,767	350,706		
	13 Compensation of officers, directors, trustees, etc	38,500	0		38,500
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,300	1,150		1,150
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications	1,995	0		0
	23 Other expenses (attach schedule)	3,742	0		0
	24 Total operating and administrative expenses. Add lines 13 through 23	46,537	1,150		39,650
	25 Contributions, gifts, grants paid	143,085			143,085
	26 Total expenses and disbursements. Add lines 24 and 25	189,622	1,150		182,735
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	1,164,145			
	b Net investment income (if negative, enter -0-)		349,556		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	7,921	20,299	20,299
	2	Savings and temporary cash investments	1,584	151,013	151,013
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	30,550	101,500	914,000
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	2,172,684	2,207,119	2,281,992
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	2,212,739	2,479,931	3,367,304	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	2,212,739	2,479,931	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	2,212,739	2,479,931	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	2,212,739	2,479,931	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 2,212,739
2	Enter amount from Part I, line 27a	2 1,164,145
3	Other increases not included in line 2 (itemize) ▶ _____	3 0
4	Add lines 1, 2, and 3	4 3,376,884
5	Decreases not included in line 2 (itemize) ▶ _____	5 896,953
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 2,479,931

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	CORNING INC			2009-12-30
b	CORNING INC			2010-02-17
c	INCOME FUND OF ANERICA	P		2009-10-16
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 175,789		30,550	145,239
b 143,947		4,608	139,339
c 23,417		28,312	-4,895
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			145,239
b			139,339
c			-4,895
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	279,683
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	159,263	1,982,339	0 080341
2007	200,815	2,950,850	0 068053
2006	216,088	2,791,672	0 077405
2005	137,232	2,561,547	0 053574
2004	325,083	2,501,465	0 129957

2 Total of line 1, column (d).	2	0 409330
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 081866
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5.	4	3,101,353
5 Multiply line 4 by line 3.	5	253,895
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	3,496
7 Add lines 5 and 6.	7	257,391
8 Enter qualifying distributions from Part XII, line 4.	8	182,735

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1aExempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
bDomestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	6,991
cAll other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3Add lines 1 and 2.		3	6,991
4Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	6,991
6Credits/Payments			
a2009 estimated tax payments and 2008 overpayment credited to 2009	6a	4,073	
bExempt foreign organizations—tax withheld at source	6b		
cTax paid with application for extension of time to file (Form 8868)	6c		
dBackup withholding erroneously withheld	6d		
7Total credits and payments Add lines 6a through 6d.		7	4,073
8Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	2,918
10Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11Enter the amount of line 10 to be Credited to 2010 estimated tax ☐ Refunded ☐		11	

Part VII-AStatements Regarding Activities

1aDuring the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
bDid it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.	1b		No
cDid the foundation file Form 1120-POL for this year?.	1c		No
dEnter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0			
eEnter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0			
2Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4aDid the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
bIf "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8aEnter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☐ MA			
bIf the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		No
10Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address  www.xericfoundation.org	13	Yes	
14	The books are in care of  Kendall Clark Engelman Telephone no  (413) 585-0671 Located at  351 Pleasant Street PMB 214 Northampton MA ZIP+4  01060			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here  ☐ and enter the amount of tax-exempt interest received or accrued during the year.		15	

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  Yes  No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.  Yes  No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  Yes  No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  Yes  No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.  Yes  No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).  Yes  No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. 	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?.  Yes  No If "Yes," list the years  20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here  20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.  Yes  No			
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?

Organizations relying on a current notice regarding disaster assistance check here.

☒

5b

No

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

6b

No

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

☐ Yes ☒ No

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Peter A Laird	President	0	0	0
C/O Mirage Studios Northampton, MA 01060	2 00			
Christopher B Milne	Vice President	0	0	0
C/O Gage-Wiley Inc Northampton, MA 01060	2 00			
Kendall Clark Engelman	Secretary/Treasurer	38,500	0	0
C/O Mirage Studios Northampton, MA 01060	20 00			

2

Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions).
If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total

number of other employees paid over \$50,000.

0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 The foundation offers assistance to 501(c)(3) charities from the western massachusetts, area code 413, area Grants are usually limited to \$5,000 per charity	83,162
2 The foundation offers financial assistance to self-publishing comic creators in furtherance of the arts. Grants are limited to direct expense reimbursements to the comics	59,923
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	3,124,998
b	Average of monthly cash balances.	1b	23,584
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	3,148,582
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	3,148,582
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	47,229
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,101,353
6	Minimum investment return. Enter 5% of line 5.	6	155,068

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	155,068
2a	Tax on investment income for 2009 from Part VI, line 5.	2a	6,991
b	Income tax for 2009 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	6,991
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	148,077
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	148,077
6	Deduction from distributable amount (see page 25 of the instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	148,077

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	182,735
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	182,735
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	182,735
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				148,077
2 Undistributed income, if any, as of the end of 2008				
a Enter amount for 2008 only.			0	
b Total for prior years 20__, 20__, 20__		0		
3 Excess distributions carryover, if any, to 2009				
a From 2004.				203,504
b From 2005.				12,989
c From 2006.				81,354
d From 2007.				60,667
e From 2008.				63,094
f Total of lines 3a through e.	421,608			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 182,735				
a Applied to 2008, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .	0			
d Applied to 2009 distributable amount.				148,077
e Remaining amount distributed out of corpus	34,658			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	456,266			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .		0		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	0			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	203,504			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	252,762			
10 Analysis of line 9				
a Excess from 2005. . . .				12,989
b Excess from 2006. . . .				81,354
c Excess from 2007. . . .				60,667
d Excess from 2008. . . .				63,094
e Excess from 2009. . . .				34,658

Part XIV

Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2009	(b) 2008	(c) 2007	(d) 2006	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

Peter A Laird

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

Xeric Foundation
351 Pleasant Street PMB 214
Northampton, MA 01060
(413) 585-0671

b

The form in which applications should be submitted and information and materials they should include

Western Mass 501(c)(3) charities and any self publishing comic may submit a written request, no special application form is necessary. Complete details can be obtained @ www.xericfoundation.org

c

Any submission deadlines

Charities - January 31 for March 1 review

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

501(c)(3) charities must be in western Massachusetts 413 area code. Requests generally should not exceed \$5,000.

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			▶ 3a	143,085
b Approved for future payment See Additional Data Table				
Total			▶ 3b	25,545

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2009)

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.				
*****			2011-02-08	*****
Signature of officer or trustee			Date	Title

Paid Preparer's Use Only	Preparer's Signature ROBERT SUPRENANT	Date	Check if self-employed ☒	Preparer's identifying number (see Signature on page 30 of the instructions)
	Firm's name (or yours if self-employed), address, and ZIP code	MORIARTY & PRIMACK PC ONE MONARCH PLACE SUITE 1300 SPRINGFIELD, MA 011444011		EIN
			Phone no (413) 739-1800	

Additional Data

Software ID: 09000028
Software Version: 2009.05000
EIN: 22-3149258
Name: XERIC FOUNDATION

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ARTSPACE COMMUNITY ARTS CENTER15 MILL STREET GREENFIELD,MA 01301	NONE	501 (C)(3)	STRINGS PROGRAM AT NEWTON	3,000
CENTER FOR NEW AMERICANS17 NEW SOUTH ST 301 NORTHAMPTON,MA 01060	NONE	501 (C)(3)	JEANNINE ATKINS AND PETER LAIRD CONTRIBUTION	500
FRIENDS OF THE WHATELY LIBRARYPO BOX 187 WHATELY,MA 01093	NONE	501 (C)(3)	GENERAL CONTRIBUTION	500
FRANKLIN LAND TRUSTPO BOX 450 SHELBURNE FALLS,MA 01370	NONE	501 (C)(3)	JEANNINE ATKINS CONTRIBUTION	10,000
HARTLEY LYN546 MANNING AVE TORONTO,Ontario ONTM6R CA	NONE	SELF PUBLISHING COMI	UNDERWRITER EXPENSES	599
JACOB'S PILLOW DANCE FESTIVAL INC358 GEORGE CARTER ROAD BECKET,MA 01223	NONE	501 (C)(3)	COMMUNITY DAY	3,000
LILLY LIBRARY19 MEADOW STREET FLORENCE,MA 01062	NONE	501 (C)(3)	SUMMER CHILDREN'S PROGRAM	787
NORTHAMPTON SURVIVAL CENTER INC265 PROSPECT STREET NORTHAMPTON,MA 01060	NONE	501 (C)(3)	KIDS SUMMER MEAL PROGRAM	10,000
READER TO READER INC24 MT VIEW CIRCLE AMHERST,MA 01002	NONE	501 (C)(3)	BOOKS	3,000
THE FOOD BANKPO BOX 160 HATFIELD,MA 01038	NONE	501 (C)(3)	DOOR TO DOOR DELIVERY PROGRAM	5,000
UMASS ENGLISH DEPTRECORD GIFT PROCESSING MEMORIAL HALL 134 HICK WAY UMASS AMHERST,MA 010039270	NONE	501 (C)(3)	JEANNINE ATKINS	10,000
WOMENS HOUSE OF PEACEPO BOX 1843 NORTH ADAMS,MA 01247	NONE	501 (C)(3)	JOURNEY CAMP FINANCIAL AID	1,425
WILLISTON NORTHAMPTON SCHOOL ANNUAL FUND19 PAYSON AVENUE EASTHAMPTON,MA 01027	NONE	501 (C)(3)	JEANNINE ATKINS AND PETER LAIRD CONTRIBUTION	1,000
ADAM HINES270 WELMWOOD BURBANK,CA 91502	NONE	SELF PUBLISHING COMI	UNDERWRITER EXPENSES	5,000
ANNIE MURPHY121 NE BUFFALO ST PORTLAND,OR 97211	NONE	SELF PUBLISHING COMI	UNDERWRITER EXPENSES	167
Total ▶ 3a				143,085

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BRIAN BROWN315 S 45TH ST PHILADELPHIA,PA 19104	NONE	SELF PUBLISHING COMI	UNDERWRITER EXPENSES	1,007
ED CHOY MOORMAN101 E 25 ST MINNEAPOLIS,MN 55404	NONE	SELF PUBLISHING COMI	UNDERWRITER EXPENSES	477
JOE BORUCHOW921 W RITNER ST PHILADELPHIA,PA 19148	NONE	SELF PUBLISHING COMI	UNDERWRITER EXPENSES	4,250
JOSHUA SMEATON3902 PENROD LANE VALRICO,FL 33596	NONE	SELF PUBLISHING COMI	UNDERWRITER EXPENSES	4,250
TIMOTHY GODEK809 LINCOLN ST WOOSTER,OH 44691	NONE	SELF PUBLISHING COMI	UNDERWRITER EXPENSES	6,000
ERIC CARLE MUSUEM OF PICTURE BOOK ART125 WEST BAY ROAD AMHERST,MA 01002	NONE	501 (C)(3)	JEANNINE ATKINS AND PETER LAIRD CONTRIBUTION	10,000
HOLYOKE ROWSPO BOX 4936 HOLYOKE,MA 01041	NONE	501 (C)(3)	ROWING BARGE PURCHASE	3,000
RED GATE FARM EDUCATION CENTERPO BOX 300 BUCKLAND,MA 01338	NONE	501 (C)(3)	SUMMER YOUTH CORPS	750
RIVERSIDE INDUSTRIES INCONE COTTAGE STREET EASTHAMPTON,MA 01027	NONE	501 (C)(3)	BUSINESS LAUNCH TO PRODUCE & SELL ITEMS	1,000
UMASS FINE ARTS CENTERCURRY HICKS 100 HICKS WAY AMHERST,MA 01003	NONE	501 (C)(3)	JAZZ IN JULY SUMMER MUSIC PROGRAM	2,400
AMHERST SURVIVAL CENTERPO BOX 9629 N AMHERST,MA 010599629	NONE	501 (C)(3)	HOMELESS HEALTH PROJECT	3,000
ELMS COLLEGE291 SPRINGFIELD ST CHICOPEE,MA 010132839	NONE	501 (C)(3)	STANDING ON THE FOUNDATION OF SUCCESS PROGRAM	3,200
NORTH AMHERST COMMUNITY FARMPO BOX 9648 N AMHERST,MA 01059	NONE	501 (C)(3)	FARM CAMP DEVELOPMENT PROJECT	3,000
PV HABITAT FOR HUMANITYPO BOX 60642 FLORENCE,MA 01062	NONE	501 (C)(3)	CONSTRUCTION MATERIALS FOR WARNER ST HOUSE, TURNERS FALLS	5,000
RK FINN RYAN RD ELEMENTARY SCHOOL PTO498 RYAN ROAD FLORENCE,MA 01062	NONE	501 (C)(3)	PITI THEATRE CO PRESENTATION	1,600
Total  3a				143,085

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SHELBURNE FALLS AREA BEAUTIFICATION ASSOCIATION 75 BRIDGE ST SHELBURNE FALLS,MA 01370	NONE	501 (C)(3)	MOSAIC MURAL PROJECT	2,000
BLAISE LARMEE3720 SE 28TH PL 19 PORTLAND,OR 97202	NONE	SELF PUBLISHING COMI	UNDERWRITER EXPENSES	3,100
LANE MILBURN838 PARK AVE 4A BALTIMORE,MD 21201		SELF PUBLISHING COMI	UNDERWRITER EXPENSES	3,400
STEFAN SALINAS2130 FILLMORE ST 275 SAN FRANCISCO,CA 94115		SELF PUBLISHING COMI	UNDERWRITER EXPENSES	3,530
SARAH BECAN3151 W WELLINGTON AVE 2 CHICAGO,IL 60618		SELF PUBLISHING COMI	UNDERWRITER EXPENSES	4,000
NATHAN SCHREIBERPO BOX 310576 BROOKLYN,NY 11231		SELF PUBLISHING COMI	UNDERWRITER EXPENSES	4,925
BEN COSTA3711 SAN BRUNO AVE SAN FRANCISCO,CA 94134		SELF PUBLISHING COMI	UNDERWRITER EXPENSES	6,000
WEI LI217-2960 PRICESS CR COQUITLAM,British Columbia V3B 7P2 CA		SELF PUBLISHING COMI	UNDERWRITER EXPENSES	4,930
JED MCGOWAN4225 DEL MAR AVE 310 LOS ANGELES,CA 90029		SELF PUBLISHING COMI	UNDERWRITER EXPENSES	5,300
BRITTNEY SABO7316 CEDAR LAKE RD S 8 MINNEAPOLIS,MN 55426		SELF PUBLISHING COMI	UNDERWRITER EXPENSES	2,988
Total  3a				143,085

Form 990PF Part XV Line 3b - Grants and Contributions Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
b <i>Approved for future payment</i>				
BRITTNEY SABO7316 CEDAR LAKE RD S 8 MINNEAPOLIS,MN 55426		SELF PUBLISHING COMI	UNDERWRITER EXPENSES	2,012
KELLY CLANCY88 61ST STREET OAKLAND,CA 94608		SELF PUBLISHING COMI	UNDERWRITER EXPENSES	5,579
BRIAN BROWN315 S 45TH ST PHILADELPHIA,PA 19104		SELF PUBLISHING COMI	UNDERWRITER EXPENSES	493
MARGARET ASHFORD-TROTTER 1906 BIGELOW AVE N 201 SEATTLE,WA 98109		SELF PUBLISHING COMI	UNDERWRITER EXPENSES	2,500
JONATHON DALTONBSTMT STE 31328 VISTA CT ABBOTSFORD,British Columbia V2T 6N5 CA		SELF PUBLISHING COMI	UNDERWRITER EXPENSES	4,000
JED MCGOWAN4225 DEL MAR AVE 310 LOS ANGELES,CA 90029		SELF PUBLISHING COMI	UNDERWRITER EXPENSES	1,246
ANSIS PURINS14 SAXTON ROAD NEWTON,MA 02461		SELF PUBLISHING COMI	UNDERWRITER EXPENSES	4,715
JASON BRUBAKER13319 STRATHERN ST NORTH HOLLYWOOD,CA 91605		SELF PUBLISHING COMI	UNDERWRITER EXPENSES	5,000
Total			▶ 3b	25,545

TY 2009 Accounting Fees Schedule

Name: XERIC FOUNDATION

EIN: 22-3149258

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Professional Fees	2,300	1,150		1,150

**TY 2009 Investments Corporate
Stock Schedule****Name:** XERIC FOUNDATION**EIN:** 22-3149258

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORNING INC	101,500	914,000

TY 2009 Investments - Other Schedule

Name: XERIC FOUNDATION

EIN: 22-3149258

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
America Mutual Fund	AT COST	273,859	262,757
Income Fund of America	AT COST	1,192,170	1,129,376
New Perspective	AT COST	741,090	889,859

TY 2009 Other Decreases Schedule

Name: XERIC FOUNDATION

EIN: 22-3149258

Description	Amount
CONTRIBUTED STOCK DIFFERENCE BETWEEN FAIR MARKET VALUE AND BOOK VALUE	896,953

TY 2009 Other Expenses Schedule

Name: XERIC FOUNDATION

EIN: 22-3149258

Description	Revenue and Expenses per Books	Net Invest ment Income	Adjusted Net Income	Disbursement s for Charit able Purposes
Insurance	2,273	0		0
Dues & Fees	710	0		0
Miscellaneous	-253	0		0
O ffice	1,012	0		0