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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No 1545-0052

2009

Department of the Treasury  
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning 10/01, 2009, and ending 9/30, 2010

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name changeUse the  
IRS label.  
Otherwise,  
print  
or type.  
See Specific  
Instructions.HAROLD S COLEMAN & MARIAN B COLEMAN  
CHARITABLE FOUNDATION INC  
2 OLD APPLE TREE TRAIL  
PLYMOUTH, MA 02360

A Employer identification number

13-6115668

B Telephone number (see the instructions)

508 209-0908

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐H Check type of organization: ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year  
(from Part II, column (c), line 16)

\$ 191,395.

J Accounting method: ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis)

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

REVENUE

1 Contributions, gifts, grants, etc., received (att sch)

2 Ck ☒ if the foundn is not req to att Sch B

3 Interest on savings and temporary cash investments

1,135.

1,135.

1,135.

4 Dividends and interest from securities

2,800.

2,800.

2,800.

5a Gross rents

b Net rental income or (loss)

Statement 1

6a Net gain/(loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a 10,000.

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit/(loss) (att sch)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

3,935.

3,935.

3,935.

13 Compensation of officers, directors, trustees, etc

0.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach sch) See St. 2

1,800.

1,800.

c Other prof fees (attach sch)

17 Interest

18 Taxes (attach schedule)(see instr)

19 Depreciation (attach sch) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

See Statement 3

2,432.

2,432.

24 Total operating and administrative expenses. Add lines 13 through 23

4,232.

4,232.

25 Contributions, gifts, grants paid Part XV

6,310.

6,310.

26 Total expenses and disbursements. Add lines 24 and 25

10,542.

0.

10,542.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

-6,607.

b Net investment income (if negative, enter -0-)

3,935.

c Adjusted net income (if negative, enter -0-)

3,935.

RECEIVED  
See St. 2  
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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash – non-interest-bearing	4,086.	7,843.	7,843.
	2	Savings and temporary cash investments			
	3	Accounts receivable			
		Less: allowance for doubtful accounts			
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7	Other notes and loans receivable (attach sch)			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U.S. and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule) Statement 4	234,263.	233,899.	140,152.
	c	Investments – corporate bonds (attach schedule) Statement 5	25,664.	15,664.	15,813.
	11	Investments – land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)				
12	Investments – mortgage loans				
13	Investments – other (attach schedule) Statement 6	4,984.	4,984.	6,850.	
14	Land, buildings, and equipment: basis				
	Less: accumulated depreciation (attach schedule)				
15	Other assets (describe See Statement 7)	20,737.	20,737.	20,737.	
16	<b>Total assets</b> (to be completed by all filers – see instructions Also, see page 1, item i)	289,734.	283,127.	191,395.	
LIABILITIES	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe)			
	23	<b>Total liabilities</b> (add lines 17 through 22)	0.	0.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input checked="" type="checkbox"/>				
	24	Unrestricted	289,734.	283,127.	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	<b>Total net assets or fund balances</b> (see the instructions)	289,734.	283,127.	
	31	<b>Total liabilities and net assets/fund balances</b> (see the instructions)	289,734.	283,127.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	289,734.
2	Enter amount from Part I, line 27a	2	-6,607.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	283,127.
5	Decreases not included in line 2 (itemize)	5	
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) – Part II, column (b), line 30	6	283,127.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a N/A				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss). <span style="float: right;">[ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ]</span>	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8 <span style="float: right;">]</span>	3

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	12,575.	168,077.	0.074817
2007	17,583.	295,339.	0.059535
2006	13,210.	305,706.	0.043211
2005	18,983.	304,925.	0.062255
2004	21,141.	313,857.	0.067359

2 Total of line 1, column (d)	2	0.307177
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0.061435
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	173,544.
5 Multiply line 4 by line 3	5	10,662.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	39.
7 Add lines 5 and 6	7	10,701.
8 Enter qualifying distributions from Part XII, line 4	8	10,542.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)**

1 a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter. _____ (attach copy of letter if necessary – see instr.)	1	79.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	79.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	79.
6	Credits/Payments:		
a	2009 estimated tax pmts and 2008 overpayment credited to 2009	6a	
b	Exempt foreign organizations – tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	0.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	79.
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	
11	Enter the amount of line 10 to be <b>Credited to 2010 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	11	

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1 b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
1 c Did the foundation file <b>Form 1120-POL</b> for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0. (2) On foundation managers ▶ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) NY		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses.</i>		X

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**Part VII-A Statements Regarding Activities Continued**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>CAROL KAUFMAN</u> Telephone no. <u>508 209-0908</u> Located at <u>2 OLD APPLE TREE TRAIL PLYMOUTH MA</u> ZIP + 4 <u>02360</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>	N/A	<input type="checkbox"/>	N/A

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? ... Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions.)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20__ , 20__ , 20__ , 20__</u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) . .

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CAROL KAUFMAN 2 OLD APPLE TREE TRAIL PLYMOUTH, MA 02360	President 0	0.	0.	0.
LISA KAUFMAN 74 DONALD STREET WEYMOUTH, MA 02188	Vice Preside 0	0.	0.	0.
LAURIE KAUFMAN MILLER 6 BRAMBLEWOOD STREET BRIDGEWATER, MA 02324	Treasurer 0	0.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'**

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		

Total number of others receiving over \$50,000 for professional services 0**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	148,975.
b Average of monthly cash balances	1b	6,475.
c Fair market value of all other assets (see instructions)	1c	20,737.
d Total (add lines 1a, b, and c)	1d	176,187.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	176,187.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	2,643.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	173,544.
6 Minimum investment return. Enter 5% of line 5	6	8,677.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	8,677.
2a Tax on investment income for 2009 from Part VI, line 5	2a	79.	
b Income tax for 2009. (This does not include the tax from Part VI.)	2b		
c Add lines 2a and 2b		2c	79.
3 Distributable amount before adjustments. Subtract line 2c from line 1		3	8,598.
4 Recoveries of amounts treated as qualifying distributions		4	
5 Add lines 3 and 4		5	8,598.
6 Deduction from distributable amount (see instructions)		6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	8,598.

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	10,542.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	10,542.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	10,542.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				8,598.
2 Undistributed income, if any, as of the end of 2009.				
a Enter amount for 2008 only			0.	
b Total for prior years 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004	5,698.			
b From 2005	4,000.			
c From 2006				
d From 2007	3,024.			
e From 2008	4,311.			
f Total of lines 3a through e	17,033.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$ 10,542.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2009 distributable amount				8,598.
e Remaining amount distributed out of corpus	1,944.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a) )	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	18,977.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	5,698.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	13,279.			
10 Analysis of line 9:				
a Excess from 2005	4,000.			
b Excess from 2006				
c Excess from 2007	3,024.			
d Excess from 2008	4,311.			
e Excess from 2009	1,944.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

- |   |          |               |          |          |           |
|---|----------|---------------|----------|----------|-----------|
| <b>1</b> a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling <span style="float: right;">▶</span> |          |               |          |          |           |
| <b>b</b> Check box to indicate whether the foundation is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)                                       |          |               |          |          |           |
| <b>2</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed  | Tax year | Prior 3 years |          |          | (e) Total |
|   | (a) 2009 | (b) 2008      | (c) 2007 | (d) 2006 |           |
| <b>b</b> 85% of line 2a   |          |               |          |          |           |
| <b>c</b> Qualifying distributions from Part XII, line 4 for each year listed  |          |               |          |          |           |
| <b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities  |          |               |          |          |           |
| <b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c  |          |               |          |          |           |
| <b>3</b> Complete 3a, b, or c for the alternative test relied upon:   |          |               |          |          |           |
| <b>a</b> 'Assets' alternative test — enter:   |          |               |          |          |           |
| <b>(1)</b> Value of all assets  |          |               |          |          |           |
| <b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)  |          |               |          |          |           |
| <b>b</b> 'Endowment' alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed  |          |               |          |          |           |
| <b>c</b> 'Support' alternative test — enter:  |          |               |          |          |           |
| <b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)  |          |               |          |          |           |
| <b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)   |          |               |          |          |           |
| <b>(3)</b> Largest amount of support from an exempt organization  |          |               |          |          |           |
| <b>(4)</b> Gross investment income  |          |               |          |          |           |

**Part XV** **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)**

## 1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)
- None

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed:

See Statement 8

- b** The form in which applications should be submitted and information and materials they should include:

See Statement for Line 2a

- c Any submission deadlines:

See Statement for Line 2a

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

See Statement for Line 2a

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year See Statement 9				
<b>Total</b>			<b>3a</b>	6,310.
<b>b</b> Approved for future payment				
<b>Total</b>			<b>3b</b>	





**Federal Statements**  
**HAROLD S COLEMAN & MARIAN B COLEMAN**  
**CHARITABLE FOUNDATION INC**

**Statement 1**

Form 990-PF, Part I, Line 6a

**Net Gain (Loss) from Noninventory Sales Per Books****Assets Not Included in Part IV**

Description:	10000 HARTFORD LIFE INSURANCE		
Date Acquired:	8/22/2005		
How Acquired:	Purchase		
Date Sold:	2/16/2010		
To Whom Sold:			
Gross Sales Price:	10,000.		
Cost or Other Basis:	10,000.		
Basis Method:	Cost		
		Gain (Loss)	0.
		Total	\$ 0.

**Statement 2**

Form 990-PF, Part I, Line 16b

**Accounting Fees**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ACCOUNTING FEES	\$ 1,800.			\$ 1,800.
Total	\$ 1,800.	\$ 0.	\$ 0.	\$ 1,800.

**Statement 3**

Form 990-PF, Part I, Line 23

**Other Expenses**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
CHECK BOOK PRINTING	\$ 50.			\$ 50.
INSURANCE	117.			117.
NY FILING FEE	50.			50.
POSTAGE	55.			55.
STORAGE	2,160.			2,160.
Total	\$ 2,432.	\$ 0.	\$ 0.	\$ 2,432.

**Statement 4**

Form 990-PF, Part II, Line 10b

**Investments - Corporate Stocks**

Corporate Stocks	Valuation Method	Book Value	Fair Market Value
SCHEDULE ATTACHED	Cost	\$ 233,899.	\$ 140,152.
	Total	\$ 233,899.	\$ 140,152.

**Federal Statements**  
**HAROLD S COLEMAN & MARIAN B COLEMAN**  
**CHARITABLE FOUNDATION INC**

**Statement 5**  
**Form 990-PF, Part II, Line 10c**  
**Investments - Corporate Bonds**

<u>Corporate Bonds</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
SCHEDULE ATTACHED	Cost	\$ 15,664.	\$ 15,813.
	Total	<u>\$ 15,664.</u>	<u>\$ 15,813.</u>

**Statement 6**  
**Form 990-PF, Part II, Line 13**  
**Investments - Other**

<u>Other Investments</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
SCHEDULE ATTACHED	Cost	\$ 4,984.	\$ 6,850.
	Total	<u>\$ 4,984.</u>	<u>\$ 6,850.</u>

**Statement 7**  
**Form 990-PF, Part II, Line 15**  
**Other Assets**

	<u>Book Value</u>	<u>Fair Market Value</u>
INVESTMENT IN ART	\$ 20,737.	\$ 20,737.
Total	<u>\$ 20,737.</u>	<u>\$ 20,737.</u>

**Statement 8**  
**Form 990-PF, Part XV, Line 2a-d**  
**Application Submission Information**

Name of Grant Program:	
Name:	HAROLD S COLEMAN & MARIAN B COLEMAN FDTN
Care Of:	CAROL KAUFMAN
Street Address:	2 OLD APPLE TREE TRAIL
City, State, Zip Code:	PLYMOUTH, MA 02360
Telephone:	508 209-0908
Form and Content:	N/A
Submission Deadlines:	N/A
Restrictions on Awards:	N/A



**Statement 9**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
EARTHJUSTICE 426 17TH ST OAKLAND CA 94612,	NONE		TO SUPPORT CHARITABLE PURPOSES	\$ 100.
NCJW ESSEX CTY SECTION 513 MT PLEASANT AVE LIVINGSTON, NJ 07039,	NONE		TO SUPPORT CHARITABLE PURPOSES	250.
NATL ARBOR DAY FOUNDATION 211 N. 12TH STREET LINCOLN NE 68508-1497,	NONE		TO SUPPORT CHARITABLE PURPOSES	15.
NATL ARBOR DAY FOUNDATION 211 N. 12TH ST LINCOLN NE 68508-1497,	NONE		TO SUPPORT CHARITABLE PURPOSES	125.
AMERICANS UNITED PO BOX 96033 WASHINGTON DC 20090,	NONE		TO SUPPORT CHARITABLE PURPOSES	50.
NATL PARKS CONSERV ASSN P.O. BOX 97202 WASHINGTON DC 20077-7430,	NONE		TO SUPPORT CHARITABLE PURPOSES	100.
ANTI DEFAMATION LEAGUE PO BOX 96226 WASHINGTON, DC 20090	NONE		TO SUPPORT CHARITABLE PURPOSES	50.
MAKE A WISH FOUNDATION PO BOX 97104 WASHINGTON, DC 20090	NONE		TO SUPPORT CHARITABLE PURPOSES	75.
NATURAL RESR DEFENSE CNCL PO BOX 1830 MERRIFIELD VA 22116-8030,	NONE		TO SUPPORT CHARITABLE PURPOSES	300.
NATL TR FOR HIST PRESERV PO BOX 5043 HAGERSTOWN MD 21741-9823,	NONE		TO SUPPORT CHARITABLE PURPOSES	100.
HYACINTH AIDS FOUNDATION 317 GEORGE ST SUITE 203 NEW BRUNSWICK, NJ 08901,	NONE		TO SUPPORT CHARITABLE PURPOSES	750.
COUNCIL OF INDIAN NATIONS P.O. BOX 1800 APACHE JUNCTION, AZ 85217,	NONE		TO SUPPORT CHARITABLE PURPOSES	100.
SIERRA CLUB PO BOX 421041 PALM COAST, FL 32142	NONE		TO SUPPORT CHARITABLE PURPOSES	100.

HAROLD S COLEMAN & MARIAN B COLEMAN  
CHARITABLE FOUNDATION INC

13-6115668

Statement 9 (continued)  
Form 990-PF, Part XV, Line 3a  
Recipient Paid During the Year

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
NATL ARBOR DAY FDTN 211 N 12TH ST LINCOLN NE 68508,	NONE		TO SUPPORT CHARITABLE PURPOSES	\$ 75.
ACLU FOUNDATION 125 BROAD ST 18TH FLR NEW YORK NY 10004-2400,	NONE		TO SUPPORT CHARITABLE PURPOSES	50.
DOUGLASS COLLEGE 181 RYDERS LANE NEW BRUNSWICK NJ 08901-8557,	NONE		TO SUPPORT CHARITABLE PURPOSES	100.
DEFENDERS OF WILDLIFE PO BOX 1553 MERRIFIELD, VA 22116	NONE		TO SUPPORT CHARITABLE PURPOSES	150.
ST BARNABAS HOSPICE & PALLATIVE CARE 94 OLD SHORT HILLS RD LIVINGSTON NJ 07039,	NONE		TO SUPPORT CHARITABLE PURPOSES	750.
DOCTORS WITHOUT BORDERS PO BOX 5023 HAGERSTOWN, MA 21744	NONE		TO SUPPORT CHARITABLE PURPOSES	100.
SCENIC HUDSON ONE CIVIC CTR PLAZA POUGHKEEPSIE NY, 12601	NONE		TO SUPPORT CHARITABLE PURPOSES	100.
MADD PO BOX 758521 TOPEKA, KS 66675	NONE		TO SUPPORT CHARITABLE PURPOSES	100.
POPULATION CONNECTION PO BOX 97129 WASHINGTON DC 2007-7768,	NONE		TO SUPPORT CHARITABLE PURPOSES	50.
MORGAN MEMORIAL GOODWILL INDUSTRIES INC PO BOX 55009 BOSTON, MA 02205	NONE		TO SUPPORT CHARITABLE PURPOSES	50.
MARINE TOYS FOR TOTS MARINE CORP BASE PO BOX 227 QUANTICO, VA 22134	NONE		TO SUPPORT CHARITABLE PURPOSES	50.
RED TAIL PROJECT PO BOX 8039 TOPEKA, KS 66608	NONE		TO SUPPORT CHARITABLE PURPOSES	150.

HAROLD S COLEMAN & MARIAN B COLEMAN  
CHARITABLE FOUNDATION INC

13-6115668

Statement 9 (continued)  
Form 990-PF, Part XV, Line 3a  
Recipient Paid During the Year

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
DANA FARBER CANCER INST- JIMMY FUND 44 BINNEY ST BOSTON, MA 02115	NONE		TO SUPPORT CHARITABLE PURPOSES	\$ 350.
BE THE MATCH FOUNDATION 3001 BROADWAY STREET NE SUITE 100 MINNEAPOLIS, MN 55413	NONE		TO SUPPORT CHARITABLE PURPOSES	100.
SPARROW HOUSE 42 SUMMER STREET PLYMOUTH, MA 02360	NONE		TO SUPPORT CHARITABLE PURPOSES	350.
AMERICAN INDIAN RELIEF COUNCIL PO BOX 6200 RAPID CITY, SD 57709	NONE		TO SUPPORT CHARITABLE PURPOSES	50.
SMITHSONIAN INSTITUTION PO BOX 9016 PITTSFIELD, MA 01202	NONE		TO SUPPORT CHARITABLE PURPOSES	100.
ST JUDE PO BOX 50 MEMPHIS, TN 38101	NONE		TO SUPPORT CHARITABLE PURPOSES	50.
NATIONAL MUSEUM OF THE AMERICAN INDIAN PO BOX 96836 WASHINGTON, DC 20090	NONE		TO SUPPORT CHARITABLE PURPOSES	200.
WILLSTONE ACTION FUND PO BOX 14386 ST PAUL, MN 55114	NONE		TO SUPPORT CHARITABLE PURPOSES	50.
SPECIAL OLYMPICS PO BOX 322 HATHORNE, MA 01937	NONE		TO SUPPORT CHARITABLE PURPOSES	50.
AMERICANS UNITED PO BOX 96033 WASHINGTON, DC 20090	NONE		TO SUPPORT CHARITABLE PURPOSES	150.
MUSEUM OF FINE ARTS BOSTON PO BOX 9002 PITTSFIELD, MA 01202	NONE		TO SUPPORT CHARITABLE PURPOSES	100.
PMC- JIMMY FUND 135 BROADSTREET CROSSING PLYMOUTH, MA 02360	NONE		TO SUPPORT CHARITABLE PURPOSES	50.

**Statement 9 (continued)**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
WOMANADE PLYMOUTH HOMELESS SHELTER PO BOX 570 HINGHAM, MA 02043	NONE		TO SUPPORT CHARITABLE PURPOSES	\$ 20.
GREATER BOSTON FOOD BANK 70 SOUTH BAY AVE BOSTON, MA 02118	NONE		TO SUPPORT CHARITABLE PURPOSES	50.
WGBH CHANNEL 13 PO BOX 55875 BOSTON, MA 02205	NONE		TO SUPPORT CHARITABLE PURPOSES	100.
DIABETES HOPE FOUNDATION 6150 DIXIE RD UNIT 1 , ONTARIO LST929 Canada	NONE		TO SUPPORT CHARITABLE PURPOSES	100.
LIFE IS GOOD KIDS FOUNDATION 285 NEWBURY STREET BOSTON, MA 02115	NONE		TO SUPPORT CHARITABLE PURPOSES	100.
NATIONAL MUSEUM OF THE AMERICAN INDIAN PO BOX 96836 WASHINGTON, DC 20090	NONE		TO SUPPORT CHARITABLE PURPOSES	50.
COYLE & CASSIDY HIGH SCHOOL 2 HAMILTON ST TAUNTON, MA 02780	NONE		TO SUPPORT CHARITABLE PURPOSES	25.
SMILE TRAIN PO BOX 96231 WASHINGTON, DC 20090	NONE		TO SUPPORT CHARITABLE PURPOSES	50.
PERKINS SCHOOL FOR THE BLIND 175 N BEACON STREET E WATERTOWN, MA 02472	NONE		TO SUPPORT CHARITABLE PURPOSES	25.
WCA CAPER ISLAND NPR RADIO STATION PO BOX 82 WOODS HOLE, MA 02543	NONE		TO SUPORT CHARITABLE PURPOSES	50.
AARP FOUNDATION PO BOX 93207 LONG BEACH, CA 90809	NONE		TO SUPPORT CHARITABLE PURPOSES	100.

Statement 9 (continued)  
Form 990-PF, Part XV, Line 3a  
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
AMERICAN ASSOCIATION OF UNIVERSITY WOMEN PO BOX 96974 WASHUNGTION, DC 20077	NONE		TO SUPPORT CHARITABLE PURPOSES	\$ 75.
NAVAJO RELIEF FUND PO BOX 90000 FLAGSTAFF, AZ 86063	NONE		TO SUPPORT CHARITABLE PURPOSES	50.
NAACP PO BOX 64983 BALTIMORE , MD 21298	NONE		TO SUPPORT CHARITABLE PURPOSES	25.
WORLD JEWISH CONGRESS FOUNDATION PO BOX 90400 WASHINGTON, DC 20090	NONE		TO SUPPORT CHARITABLE PURPOSES	50.
Total \$				<u>6,310.</u>

# Scott & Stringfellow

A BB&T Corporation Affiliate

PO BOX 1575  
RICHMOND VA 23218

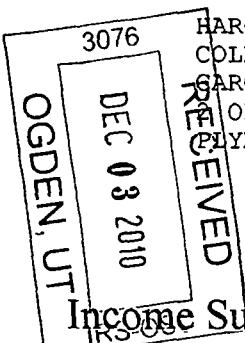
Member NYSE/FINRA  
Member SIPC

MB 02 056688 27644 H 288 A



HAROLD S COLEMAN & MARIAN B  
COLEMAN CHARITABLE FND INC  
CAROL KAUFMAN PRESIDENT  
OLD APPLE TREE TRAIL  
PLYMOUTH MA 02360-8807

RR12



## Income Summary

	<u>This Period</u>	<u>This Year</u>
<b>Taxable*</b>		
Dividends	119.00	2,336.50
Money Market Dividends		
Interest		692.50
Accrued Interest		
Credit Interest		
<b>Total Taxable</b>	<b>119.00</b>	<b>3,029.00</b>
<b>Federal Non-Taxable</b>		
Dividends		
Money Market Dividends	0.08	0.29
Interest		
Accrued Interest		
<b>Total Federal Non-Taxable</b>	<b>0.08</b>	<b>0.29</b>
<b>Total Income</b>	<b>119.08</b>	<b>3,029.29</b>

\* IRA or Qualified Retirement Account income is not currently taxable.

## Investment Objective: Income & Growth

Scott & Stringfellow, a subsidiary of BB&T Corporation (BB&T), is not a bank, and is separate from any BB&T bank or non-bank subsidiary. Securities and insurance products or annuities sold, offered, or recommended by Scott & Stringfellow are not a deposit, not FDIC insured, not guaranteed by a bank, not guaranteed by any Federal Government Agency and may lose value. A BB&T bank or non-bank subsidiary may be a lender to the issuer of securities sold through Scott & Stringfellow. Cash on deposit at a bank participating in the Insured Deposit Program and Certificates of Deposit are insured by the Federal Deposit Insurance Corporation up to \$250,000 per depositor per bank in accordance with FDIC rules. Accounts carried by Clearview Correspondent Services, LLC, member NYSE/FINRA/SIPC. Deposits in the Insured Deposit Program are not held by Clearview Correspondent Services, LLC and are not protected by SIPC.

## Statement of Account: September 1, 2010 to September 30, 2010

Account Number: 86677279

Financial Advisor: RR12

CHARLES L GOLD

Telephone number: (804) 780-3210 (804) 643-1811

## Account Activity Summary

Securities Purchased		Deposits	
Securities Sold		Withdrawals	
Income	119.08	Checking	-117.00
Income Reinvestment	-0.08	Visa	
Maturities		Automatic Activity	
Other Income		Other/Money Transfer	
(Income not reported by this broker/dealer)			

## Portfolio Summary

PREVIOUS STATEMENT: August 31, 2010

	<u>Previous Value</u>	<u>Ending Value</u>	<u>% of Portfolio</u>
Equities	132,653.94	140,152.36	81.4
Non-Taxable Fixed Income			
Fixed Income	15,757.05	15,813.45	9.2
Government & Agency Bonds			
Options, Rights, Warrants			
Limited Partnerships	6,701.00	6,850.00	4.0
Mutual Funds			
529 Plans			
Annuities			
Alternative Investments*			
Outside Investments*			
Short Securities Value			
Margin Cash Balance			
Cash Balance		7.00	< 0.1
Money Market Balance	9,258.74	9,253.82	5.4
Insured Deposit Program*			
<b>Estimated Portfolio Value</b>	<b>164,370.73</b>	<b>172,076.63</b>	<b>100.0%</b>

\*These assets are not held at Clearview. See Detail section for disclosure information.

Portfolio Value does not include unpriced securities. Bond values are provided as general guidelines.



**Statement of Account: September 1, 2010 to September 30, 2010**

**Account Number: 86677279      Financial Advisor: RR12**  
CHARLES L GOLD  
**HAROLD S COLEMAN & MARIAN B**

**Daily Account Activity**

Date	Transaction	Quantity	Description	Symbol/CUSIP	Type	Price	Amount	Cash & Margin Cash Balance	Money Market & IDP Balance
			<b>BEGINNING TOTALS</b>					.00	9,258.74
09-01-10	DIVIDEND		FORTUNE BRANDS INC 090110 250	FO	1		47.50	47.50	9,258.74
09-01-10	DIVIDEND		WELLS FARGO & CO NEW 090110 100	WFC	1		5.00	52.50	9,258.74
09-02-10	MONEY MARKET BUY		MONEY MKT OBLIGS TR NJ MUN CASH INSTL SVC SHS		1	1.0000	-52.50	.00	9,311.24
09-09-10	DIVIDEND		MICROSOFT CORP 090910 150	MSFT	1		19.50	19.50	9,311.24
09-10-10	MONEY MARKET BUY		MONEY MKT OBLIGS TR NJ MUN CASH INSTL SVC SHS		1	1.0000	-19.50	.00	9,330.74
09-10-10	DIVIDEND		CHEVRON CORP 091010 50	CVX	1		36.00	36.00	9,330.74
09-13-10	MONEY MARKET BUY		MONEY MKT OBLIGS TR NJ MUN CASH INSTL SVC SHS		1	1.0000	-36.00	.00	9,366.74
09-24-10	DIVIDEND		BANK OF AMERICA CORP 092410 400	BAC	1		4.00	4.00	9,366.74

**Statement of Account: September 1, 2010 to September 30, 2010**

Account Number: 86677279

Financial Advisor:

RR12

CHARLES L GOLD

HAROLD S COLEMAN & MARIAN B

**Daily Account Activity** (continued)

Date	Transaction	Quantity	Description	Symbol/CUSIP	Type	Price	Amount	Cash & Margin Cash Balance	Money Market & IDP Balance
09-27-10	MONEY MARKET BUY		MONEY MKT OBLIGS TR NJ MUN CASH INSTL SVC SHS		1	1.0000	-4.00	.00	9,370.74
09-28-10	MONEY MARKET SELL		MONEY MKT OBLIGS TR NJ MUN CASH INSTL SVC SHS		1	1.0000	117.00	117.00	9,253.74
09-28-10	CHECK		ACA CHECK #01257		1		-117.00	.00	9,253.74
09-30-10	DIVIDEND		INGERSOLL RAND PLC 093010 100	IR	1		7.00	7.00	9,253.74
09-30-10	DIVIDEND		MONEY MKT OBLIGS TR NJ MUN CASH INSTL SVC SHS 093010 9,253		1		0.08	7.08	9,253.74
09-30-10	REINVEST DIV		MONEY MKT OBLIGS TR NJ MUN CASH INSTL SVC SHS		1		-0.08	7.00	9,253.82
							<b>TOTAL</b>	<b>7.00</b>	<b>9,253.82</b>



**Statement of Account: September 1, 2010 to September 30, 2010**

**Account Number: 86677279**

**Financial Advisor:**

**RR12**

**CHARLES L GOLD**

**HAROLD S COLEMAN & MARIAN B**

## Money Market/Insured Deposit Program

**MONEY MKT OBLIGS TR NJ MUN CASH INSTL SVC**

**Average Rate For This Period Was 0.01%**

**Summary**

Beginning Balance	9,258.74
Ending Balance	9,253.82

**Portfolio Positions** *Prices are provided as a general guideline and may not reflect the actual current price. Refer to the disclosure on the back of this statement regarding the price/value presented.*

*Cost basis and the associated gain/loss for unrealized open positions are provided on this statement for informational purposes only. This statement is not a tax document and should not be used for tax preparation.*

## Equities

Quantity		Description	Symbol Cusip	Account Type	Price	Market Value	Cost	Unrealized Gains/Loss	Estimated Annual Income	Estimated Yield
Long	Short									
300		AMERICAN EXPRESS COMPANY	AXP	1	42.030	12,609.00	16,608.36	-3,999.36	216	1.71
75		BAKER HUGHES INC	BHI	1	42.600	3,195.00	3,307.54	-112.54	45	1.41
400		BANK OF AMERICA CORP	BAC	1	13.103	5,241.00	17,545.75	-12,304.75	16	0.31
50		CHEVRON CORP	CVX	1	81.050	4,052.50	2,743.50	1,309.00	144	3.55
500		CINTAS CORP	CTAS	1	27.550	13,775.00	16,579.87	-2,804.87	240	1.74
50		CITIGROUP INC	C	1	3.910	195.50	2,360.50	-2,165.00		
1,000		COGDELL SPENCER INC	CSA	1	6.320	6,320.00	16,269.47	-9,949.47	400	6.33

**Statement of Account: September 1, 2010 to September 30, 2010**

Account Number: 86677279 Financial Advisor: RR12  
CHARLES L GOLD

HAROLD S COLEMAN & MARIAN B

**Portfolio Positions (continued)**

**Equities (continued)**

Quantity		Description	Symbol Cusip	Account Type	Price	Market Value	Cost	Unrealized Gains/Loss	Estimated Annual Income	Estimated Yield
Long	Short									
500		FIRST INDUSTRIAL REALTY TRUST	FR	1	5.070	2,535.00	19,212.54	-16,677.54		
250		FORTUNE BRANDS INC	FO	1	49.230	12,307.50	19,735.28	-7,427.78	190	1.54
1,250		GENERAL ELECTRIC COMPANY	GE	1	16.250	20,312.50	36,170.14	-15,857.64	600	2.95
100		INGERSOLL RAND PLC	IR	1	35.710	3,571.00	3,561.25	9.75	28	0.78
75		JPMORGAN CHASE & COMPANY	JPM	1	38.060	2,854.50	2,816.25	38.25	15	0.53
50		MARKEL CORP	MKL	1	344.590	17,229.50	23,910.23	-6,680.73		
150		MICROSOFT CORP	MSFT	1	24.490	3,673.50	3,993.37	-319.87	96	2.61
150		STAPLES INC	SPLS	1	20.920	3,138.00	2,937.00	201.00	54	1.72
1,200		SYNALLOY CORP	SYNL	1	8.500	10,200.00	18,411.49	-8,211.49	300	2.94
778		UDR INC	UDR	1	21.120	16,431.36	14,645.02	1,786.34	575	3.50
100		WELLS FARGO & CO NEW	WFC	1	25.115	2,511.50	2,909.00	-397.50	20	0.80
Total for Equities						140,152.36			2,939	2.10
Total for Equities with cost data						140,152.36	223,716.56	-83,564.20		



**Portfolio Positions (continued)**

**Fixed Income**

Quantity		Description	Symbol Cusip/Rating	Account Type	Price	Market Value	Cost	Unrealized Gains/Loss	Estimated Annual Income	Estimated Yield
Long	Short									
15,000		BANK ONE CORP SUBORDINATE NOTE CPN 5.900% DUE 11/15/11 DTD 11/20/01 FC 05/15/02	06423AAQ6 S&P A MOODY A1	1	105.423	15,813.45	15,664.20	149.25	885	5.60
Total for Fixed Income						15,813.45			885	5.60
Total for Fixed Income with cost data						15,813.45	15,664.20	149.25		

**Limited Partnerships**

Quantity		Description	Symbol Cusip	Account Type	Price	Market Value	Cost	Unrealized Gains/Loss	Estimated Annual Income	Estimated Yield
Long	Short									
100		KINDER MORGAN ENERGY PARTNERS LP UNIT LP INT	KMP	1	68.500	6,850.00	4,984.00		436	6.36
Total for Limited Partnerships						6,850.00			436	6.36
Total for Limited Partnerships with cost data						6,850.00	4,984.00			

**Check Activity**

Date	Check No.	Description	Amount
09-28-10	001257	CHECK	-117.00
Total:			-117.00



**Statement of Account: September 1, 2010 to September 30, 2010**

**Account Number: 86677279**

**Financial Advisor:**  
CHARLES L GOLD

**RR12**

**HAROLD S COLEMAN & MARIAN B**

Scott & Stringfellow, LLC released its unaudited Statement of Financial Condition. At June 30, 2010, its regulatory net capital was \$116,190,812 which was \$114,901,585 in excess of its minimum net capital requirement of \$1,289,227. The Statement is available via our internet site at [www.scottstringfellow.com](http://www.scottstringfellow.com) in the About Us section, or you may request a copy from your Financial Advisor. While visiting our site, check out all the features available for registered clients

Our Clearing Firm, Clearview Correspondent Services, LLC released its unaudited Statement of Financial Condition. At June 30, 2010, its regulatory net capital of \$37,350,138 was 25.9% of aggregate debit balances and its net capital in excess of the minimum requirement was \$34,470,867. The Statement is also available via our internet site at [www.ScottStringfellow.com](http://www.ScottStringfellow.com) in the About Us section, or you may request a copy by calling toll free 800-552-7757.

## **IMPORTANT CLIENT INFORMATION**

**IMPORTANT - KEEP FOR TAX PURPOSES.** Please notify your financial advisor of any change of address.

- ◆ Types of Accounts: 1) Cash Account 2) Margin Account 4) When-issued Account 6) Short Account 8) Stock Loan Account 9) Annuity
- ◆ This is a combined statement of your Margin Account and of a Special Miscellaneous Account (SMA) maintained for you under Section 4(f)(6) of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by Regulation T is available for your inspection upon request.
- ◆ Further information with respect to commissions and other charges has been included in confirmations of such transactions previously furnished to you and such information will be made available to you promptly upon request. You should also advise us of any material change in your investment objectives and/or financial situation.
- ◆ Customer free credit balances may be used in this firm's business subject to the limitations of 17 CFR Section 240.15c3-3 under the Securities Exchange Act of 1934. You have the right to receive from us upon demand and in the course of normal business operation the delivery of: a) any free credit balance to which you are entitled, b) any fully paid securities to which you are entitled, and c) any securities purchased on margin upon full payment of any indebtedness to us.
- ◆ A financial statement of this firm is available for your personal inspection at its office or a copy of it will be mailed upon your written request.
- ◆ All securities held by Clearview Correspondent Services, LLC for you, but which are not registered in your name, are commingled with identical securities being held for other clients. In the event any securities so held are "called" by the issuer, we will determine the beneficial ownership thereof by an impartial random selection system required by FINRA rules.
- ◆ SIPC provides protection to each Clearview Correspondent Services, LLC correspondent client account through the Securities Investor Protection Corporation amounting to a total of \$500,000 inclusive of up to \$250,000 in cash. Clearview Correspondent Services, LLC carries insurance coverage issued by Lloyd's of London in excess of the standard SIPC coverage with a maximum limit per customer of \$50,000,000 and a \$200,000,000 total aggregate over all customers and every situation during the policy period. You may obtain information about SIPC, including the SIPC Brochure, by contacting SIPC at [www.sipc.org](http://www.sipc.org) or by calling SIPC at 1-202-371-8300.
- ◆ The Statement of Financial Condition for Clearview Correspondent Services, LLC may be obtained, at no cost, by accessing the company's website at [clearviewcs.com](http://clearviewcs.com) or by calling the company toll free at 1-800-552-7757, extension 3238.
- ◆ This statement will be deemed conclusive if not objected to within 10 days. All inquiries regarding your account or the activity therein should be directed to your financial advisor. Please report any inaccuracy or discrepancy in your account in writing to the Branch Manager of the office servicing your account or to Clearview Correspondent Services, LLC, 8006 Discovery Drive, Richmond, VA 23229, tel. (877) 679-5463 ext 6500. Customer complaints may be directed to Scott & Stringfellow's Compliance Department at 1-877-679-5463 ext. 8878. You may reach FINRA by calling the FINRA Regulation Public Disclosure Program Hotline at (800) 289-9999 or by visiting FINRA Regulation online at [www.finra.org](http://www.finra.org). A brochure describing the FINRA Regulation Public Disclosure Program is also available from FINRA upon request.
- ◆ The prices displayed on this Client Statement represent the value on the last day of the statement period based on pricing information provided to us by quotation services or other sources. The sources of this information are considered reliable, however the accuracy of this information cannot be guaranteed. It is possible that certain securities may be illiquid and either can not be priced or an estimated value is assigned to them on the Client Statement. Please contact your financial advisor for a current market quote.
- ◆ Credit rating contained herein is obtained by Clearview Correspondent Services, LLC from sources believed by it to be accurate and reliable. The credit ratings are statements of opinion and not statements of fact or recommendations to purchase, sell or hold any securities and are subject to change. Please contact your Financial Advisor for current credit rating information.