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1

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2009

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning 10/01, 2009, and ending 09/30, 2010

G Check all that apply: Initial return, Amended return, Initial return of a former public charity, Address change, Final return, Name change

Name of foundation: EDITH C. BLUM FOUNDATION, INC.
Employer identification number: 13-3564317
Address: C/O EISNERAMPER LLP, 750 THIRD AVENUE, NEW YORK, NY 10017
H Check type of organization: Section 501(c)(3) exempt private foundation
J Accounting method: Cash

Part I Analysis of Revenue and Expenses table with columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12), Operating and Administrative Expenses (13-26), and Summary (27).

SCANNED MAR 7 2011

Revenue

Operating and Administrative Expenses

RECEIVED

FEB 22 2011

GOLDEN, UT

Handwritten numbers: 614, 6

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash - non-interest-bearing	203,860.	32,680.	32,680.
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ 0.			
		Less allowance for doubtful accounts ▶	210,676.	0.	0.
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)	2,710,812.	1,086,961.	1,206,195.
	b	Investments - corporate stock (attach schedule)	6,870,646.	11,166,443.	13,031,202.
	c	Investments - corporate bonds (attach schedule)	2,933,340.	1,948,651.	2,182,610.
	11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶				
12	Investments - mortgage loans				
13	Investments - other (attach schedule)				
14	Land, buildings, and equipment basis ▶				
	Less accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	12,929,334.	14,234,735.	16,452,687.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	12,929,334.	14,234,735.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30	Total net assets or fund balances (see page 17 of the instructions)	12,929,334.	14,234,735.		
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	12,929,334.	14,234,735.		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,929,334.
2	Enter amount from Part I, line 27a	2	1,305,401.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	14,234,735.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	14,234,735.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,554,956.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		} }	3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	665,920.	13,354,249.	0.049866
2007	725,670.	14,894,003.	0.048722
2006	726,511.	15,401,993.	0.047170
2005	660,357.	14,954,212.	0.044159
2004	643,883.	14,855,536.	0.043343

2	Total of line 1, column (d)	2	0.233260
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.046652
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	15,529,098.
5	Multiply line 4 by line 3	5	724,463.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	19,971.
7	Add lines 5 and 6	7	744,434.
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18	8	691,661.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

Table with 11 rows for excise tax calculations. Includes categories like 'Exempt operating foundations', 'Domestic foundations', 'Tax under section 511', 'Credits/Payments', and 'Tax due'. Total tax due is 35,181.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, and IRS reporting. Includes 'Yes' and 'No' columns.

Part VII-A: Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ EISNERAMPER LLP Telephone no ▶ 212-949-8700 Located at ▶ 750 THIRD AVENUE, NEW YORK, NY ZIP + 4 ▶ 10017			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year			15

Part VII-B: Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions)	2b	X
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Part VII-B: Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 8		70,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NOT APPLICABLE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	15,543,894.
b	Average of monthly cash balances	1b	221,688.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	Total (add lines 1a, b, and c)	1d	15,765,582.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	15,765,582.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	236,484.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	15,529,098.
6	Minimum investment return. Enter 5% of line 5	6	776,455.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part)

1	Minimum investment return from Part X, line 6	1	776,455.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	39,941.
b	Income tax for 2009. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	39,941.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	736,514.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	736,514.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	736,514.

Part XII Qualifying Distributions(see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	691,661.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	691,661.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	691,661.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				736,514.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2009				
a From 2004 0.				
b From 2005				
c From 2006				
d From 2007				
e From 2008 7,650.				
f Total of lines 3a through e	7,650.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 691,661.				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				691,661.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	7,650.			-7,650.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				37,203.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with 5 columns: (a) 2009, (b) 2008, (c) 2007, (d) 2006, (e) Total. Rows include 2a-2e (Qualifying distributions) and 3a-3c (Alternative tests).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here [] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed
ATTACHMENT 9

b The form in which applications should be submitted and information and materials they should include
NO SPECIFIC FORMAT

c Any submission deadlines
NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
MUST BE EXEMPT UNDER SEC. 501(C)(3) OF THE INTERNAL REVENUE CODE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p>a <i>Paid during the year</i></p> <p>ATTACHMENT 10</p>				
Total				587,445.
b <i>Approved for future payment</i>				
Total				3b

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
3,837,077.		SEE SCHEDULE ATTACHED PROPERTY TYPE: SECURITIES 2,966,843.				P	VARIOUS 870,234.	VARIOUS
960,635.		SEE SCHEDULE ATTACHED PROPERTY TYPE: SECURITIES 861,966.				P	VARIOUS 98,669.	VARIOUS
2,341,110.		SEE SCHEDULE ATTACHED PROPERTY TYPE: SECURITIES 1,799,734.				P	VARIOUS 541,376.	VARIOUS
1,065,145.		SEE SCHEDULE ATTACHED PROPERTY TYPE: SECURITIES 1,007,775.				P	VARIOUS 57,370.	VARIOUS
2,291,490.		SEE SCHEDULE ATTACHED PROPERTY TYPE: SECURITIES 2,304,183.				P	VARIOUS -12,693.	VARIOUS
TOTAL GAIN (LOSS)					<u>1,554,956.</u>	

Client: 12' Report Date: 12-2010 Time:
16:07:46 REPORT # 5

EDITH C BLUM FOUNDATION

CAPITAL GAINS AND LOSSES - SHORT TERM()

FROM: 10/01/09 TO: 09/30/10

STOCKS

QUANTITY	DATE ACQUIRED	DATE SOLD	SALES PROCEEDS	COST OR BASIS	GAIN OR LOSS
Allergan Inc.					
1,400.00	03/06/09	11/02/09	78,975.61	50,565.17	28,410.44
Anadarko Petroleum Corp.					
1,500.00	04/30/09	10/26/09	100,803.40	66,693.27	34,110.13
Anadarko Petroleum Corp.					
1,900.00	04/30/09	11/30/09	114,484.40	84,478.15	30,006.25
Anadarko Petroleum Corp.					
1,100.00	05/22/09	11/30/09	66,280.45	47,551.92	18,728.53
Baxter International Inc.					
1,000.00	05/22/09	05/10/10	46,126.52	49,220.37	-3,093.85
Baxter International Inc.					
700.00	11/04/09	05/10/10	32,288.56	37,786.00	-5,497.44
Baxter International Inc.					
1,200.00	11/25/09	05/10/10	55,351.82	66,179.04	-10,827.22
Bhp Billiton PLC ADR					
1,500.00	09/28/09	01/25/10	93,910.79	81,326.01	12,584.78
Bhp Billiton PLC ADR					
3,500.00	09/28/09	02/09/10	207,392.31	189,760.69	17,631.62
Bristol-Myers Squibb Co.					
4,500.00	10/26/10	05/24/10	102,823.25	101,425.95	1,397.30
Burlington Northern Santa Fe Corp.					
2,500.00	04/01/09	11/04/09	243,869.73	148,976.11	94,893.62
Caterpillar Inc.					
1,100.00	05/01/09	02/09/10	58,322.25	40,890.60	17,431.65

CAPITAL GAINS AND LOSSES - SHORT TERM()

FROM: 10/01/09 TO: 09/30/10

STOCKS

QUANTITY	DATE ACQUIRED	DATE SOLD	SALES PROCEEDS	COST OR BASIS	GAIN OR LOSS
Cliffs Natural Resources Inc.					
3,300.00	04/02/09	11/25/09	143,347.32	68,421.54	74,925.78
Cliffs Natural Resources Inc.					
4,000.00	04/02/09	01/11/10	212,128.54	82,935.20	129,193.34
ConocoPhillips					
1,100.00	05/06/09	01/25/10	56,136.80	48,718.88	7,417.92
ConocoPhillips					
1,900.00	07/13/09	01/25/10	96,963.56	75,633.06	21,330.50
Idenix Pharmaceuticals Inc.					
1,600.00	10/26/10	08/30/10	9,154.24	4,401.44	4,752.80
Idenix Pharmaceuticals Inc.					
25,000.00	11/09/09	09/07/10	78,033.67	48,955.00	29,078.67
Idenix Pharmaceuticals Inc.					
600.00	01/26/10	09/07/10	1,872.81	1,578.00	294.81
Idenix Pharmaceuticals Inc.					
34,400.00	10/26/10	09/07/10	107,374.33	94,630.96	12,743.37
Johnson & Johnson Co.					
700.00	11/04/09	08/05/10	41,767.38	41,363.00	404.38
Johnson & Johnson Co.					
1,000.00	11/25/09	08/05/10	59,667.69	63,133.90	-3,466.21
Oracle Corp.					
13,000.00	03/22/10	09/07/10	317,324.62	332,142.20	-14,817.58
Royal Dutch Shell PLC					
1,100.00	03/13/09	02/05/10	59,586.79	49,691.60	9,895.19

CAPITAL GAINS AND LOSSES - SHORT TERM()

FROM: 10/01/09 TO: 09/30/10

STOCKS

QUANTITY	DATE ACQUIRED	DATE SOLD	SALES PROCEEDS	COST OR BASIS	GAIN OR LOSS
Royal Dutch Shell PLC					
1,000.00	05/28/09	02/05/10	54,169.81	52,639.00	1,530.81
Royal Dutch Shell PLC					
1,000.00	11/02/09	02/05/10	54,169.82	59,640.00	-5,470.18
Stryker Corp.					
2,000.00	10/26/10	08/25/10	85,898.55	97,376.80	-11,478.25
Teva Pharmaceutical Indus ADR					
1,200.00	11/02/09	03/19/10	74,690.05	60,972.00	13,718.05
Total S A ADR					
3,300.00	03/06/09	11/02/09	202,060.07	149,564.60	52,495.47
United Technologies Corp.					
3,300.00	03/27/09	02/11/10	218,703.40	149,256.60	69,446.80
United Technologies Corp.					
600.00	11/04/09	02/11/10	39,764.25	38,352.00	1,412.25
Vale SA-Sp ADR					
5,000.00	03/06/09	11/25/09	145,306.26	62,700.00	82,606.26
Vale SA-Sp ADR					
7,000.00	03/06/09	02/09/10	183,152.67	87,780.00	95,372.67
Verizon Communications Inc.					
2,800.00	03/13/09	10/26/09	80,386.77	78,593.76	1,793.01
Verizon Communications Inc.					
1,700.00	05/22/09	10/26/09	48,806.26	48,958.04	-151.78
Zimmer Holding, Inc.					
3,800.00	07/13/09	10/26/09	210,569.31	150,669.04	59,900.27

CAPITAL GAINS AND LOSSES - SHORT TERM()

FROM: 10/01/09 TO: 09/30/10

STOCKS

QUANTITY	DATE ACQUIRED	DATE SOLD	SALES PROCEEDS	COST OR BASIS	GAIN OR LOSS
Zimmer Holding, Inc.					
1,000.00	09/28/09	10/26/09	55,412.97	53,882.92	1,530.05
STOCKS					
TOTALS:			\$3,837,077.03	\$2,966,842.82	\$870,234.21

CAPITAL GAINS AND LOSSES - SHORT TERM()

FROM: 10/01/09 TO: 09/30/10

MUTUAL FUNDS

QUANTITY	DATE ACQUIRED	DATE SOLD	SALES PROCEEDS	COST OR BASIS	GAIN OR LOSS
Artio Int'l Equity Fund II					
14,660.56	03/17/09	03/15/10	170,355.68	119,336.94	51,018.74
Artio Int'l Equity Fund II					
19,762.85	05/22/09	03/15/10	229,644.32	200,000.00	29,644.32
BNY MELLON SHORT-TERM US GOVT SEC. FD CALSS M SHARES					
885.67	11/20/09	12/03/09	11,000.00	11,017.71	-17.71
BNY MELLON SHORT-TERM US GOVT SEC. FD CALSS M SHARES					
20,128.83	10/01/09	01/13/10	249,194.85	250,000.00	-805.15
BNY MELLON SHORT-TERM US GOVT SEC. FD CALSS M SHARES					
2,012.88	10/08/09	01/13/10	24,919.48	25,000.00	-80.52
BNY MELLON SHORT-TERM US GOVT SEC. FD CALSS M SHARES					
2,417.41	11/06/09	01/13/10	29,927.47	30,000.00	-72.53
BNY MELLON SHORT-TERM US GOVT SEC. FD CALSS M SHARES					
320.12	11/20/09	01/13/10	3,963.09	3,982.29	-19.20
BNY MELLON SHORT-TERM US GOVT SEC. FD CALSS M SHARES					
1,213.59	12/24/09	01/13/10	15,024.27	15,000.00	24.27
H&Q Healthcare Fund					
2,338.00	07/13/09	05/21/10	26,605.99	24,754.15	1,851.84
HARDING LOEVNER INTERNATIONAL EQUITY PORTFOLIO					
15,290.52	02/08/10	07/14/10	200,000.00	182,874.62	17,125.38
MUTUAL FUNDS					
TOTALS:			\$960,635.15	\$861,965.71	\$98,669.44
CAPITAL GAINS AND LOSSES - SHORT TERM					
TOTALS:			\$4,797,712.18	\$3,828,808.53	\$968,903.65

CAPITAL GAINS AND LOSSES - LONG TERM > 1 YR()

FROM: 10/01/09 TO: 09/30/10

STOCKS

QUANTITY	DATE ACQUIRED	DATE SOLD	SALES PROCEEDS	COST OR BASIS	GAIN OR LOSS
Allergan Inc.					
2,700.00	10/30/08	11/02/09	152,310.10	100,891.24	51,418.86
Baxter International Inc.					
3,100.00	05/06/09	05/10/10	142,992.21	151,085.80	-8,093.59
Bristol-Myers Squibb Co.					
900.00	01/14/94	05/24/10	20,564.65	12,491.36	8,073.29
Bristol-Myers Squibb Co.					
400.00	05/11/94	05/24/10	9,139.85	5,181.95	3,957.90
Bristol-Myers Squibb Co.					
400.00	10/12/94	05/24/10	9,139.85	5,475.57	3,664.28
Bristol-Myers Squibb Co.					
1,300.00	07/06/00	05/24/10	29,704.50	72,788.60	-43,084.10
Bristol-Myers Squibb Co.					
5,100.00	10/06/08	05/24/10	116,533.02	97,614.00	18,919.02
Caterpillar Inc.					
3,300.00	10/06/08	02/09/10	174,966.74	153,811.00	21,155.74
ConocoPhillips					
2,600.00	10/06/08	01/25/10	132,686.97	155,780.00	-23,093.03
Idenix Pharmaceuticals Inc.					
20,000.00	03/10/09	06/16/10	98,122.33	57,250.00	40,872.33
Idenix Pharmaceuticals Inc.					
12,743.00	03/06/09	08/30/10	72,907.84	32,864.82	40,043.02
Idenix Pharmaceuticals Inc.					
32,257.00	03/09/09	08/30/10	184,555.29	85,919.94	98,635.35

CAPITAL GAINS AND LOSSES - LONG TERM > 1 YR()

FROM: 10/01/09 TO: 09/30/10

STOCKS

QUANTITY	DATE ACQUIRED	DATE SOLD	SALES PROCEEDS	COST OR BASIS	GAIN OR LOSS
Johnson & Johnson Co.					
3,000.00	03/13/09	08/05/10	179,003.07	149,429.12	29,573.95
Johnson & Johnson Co.					
1,000.00	05/22/09	08/05/10	59,667.69	54,928.46	4,739.23
Royal Dutch Shell PLC					
2,100.00	05/09/01	02/05/10	113,756.60	125,497.05	-11,740.45
Stryker Corp.					
4,800.00	03/06/09	08/25/10	206,156.51	152,243.72	53,912.79
Teva Pharmaceutical Indus ADR					
3,000.00	08/16/06	03/19/10	186,725.13	102,720.00	84,005.13
Teva Pharmaceutical Indus ADR					
1,100.00	03/06/09	03/19/10	68,465.88	48,585.81	19,880.07
Verizon Communications Inc.					
244.00	03/22/94	10/26/09	7,005.13	6,127.83	877.30
Verizon Communications Inc.					
122.00	05/11/94	10/26/09	3,502.57	3,027.95	474.62
Verizon Communications Inc.					
244.00	10/12/94	10/26/09	7,005.13	5,832.42	1,172.71
Verizon Communications Inc.					
610.00	10/25/94	10/26/09	17,512.83	14,415.61	3,097.22
Verizon Communications Inc.					
1,780.00	04/17/06	10/26/09	51,103.02	56,099.84	-4,996.82
Whole Foods Market Inc.					
8,800.00	10/06/08	02/23/10	297,583.18	149,672.16	147,911.02

Client: 12
EDITH C BLUM FOUNDATION

Report Date: 10-12-2010 Time: 16:

CAPITAL GAINS AND LOSSES - LONG TERM > 1 YR()

FROM: 10/01/09 TO: 09/30/10

STOCKS

QUANTITY	DATE ACQUIRED	DATE SOLD	SALES PROCEEDS	COST OR BASIS	GAIN OR LOSS
STOCKS					
TOTALS:			\$2,341,110.09	\$1,799,734.25	\$541,375.84

Client: 12
EDITH C BLUM FOUNDATION

Report Date: 10-12-2010 Time: 16:

CAPITAL GAINS AND LOSSES - LONG TERM > 1 YR()

FROM: 10/01/09 TO: 09/30/10

BONDS AND NOTES

QUANTITY	DATE ACQUIRED	DATE SOLD	SALES PROCEEDS	COST OR BASIS	GAIN OR LOSS
John Deere Capital Corp. 2013/04/03 - 4.50%					
500,000.00	03/25/09	06/08/10	537,020.00	495,270.00	41,750.00
Pfizer Inc. 2012/03/15 - 4.45%					
500,000.00	03/19/09	06/08/10	528,125.00	512,505.00	15,620.00
BONDS AND NOTES TOTALS:			\$1,065,145.00	\$1,007,775.00	\$57,370.00

CAPITAL GAINS AND LOSSES - LONG TERM > 1 YR()

FROM: 10/01/09 TO: 09/30/10

MUTUAL FUNDS

QUANTITY	DATE ACQUIRED	DATE SOLD	SALES PROCEEDS	COST OR BASIS	GAIN OR LOSS
Artio Int'l Equity Fund II					
108,189.56	03/17/09	05/24/10	1,108,943.00	880,663.05	228,279.95
Artio Int'l Equity Fund II					
0.00	03/17/09	05/31/10	-0.01	0.00	-0.01
H&Q Healthcare Fund					
900.00	11/30/98	10/26/09	10,116.10	13,142.25	-3,026.15
H&Q Healthcare Fund					
72.00	01/04/99	10/26/09	809.29	1,039.68	-230.39
H&Q Healthcare Fund					
4,100.00	01/19/99	10/26/09	46,084.45	62,691.87	-16,607.42
H&Q Healthcare Fund					
697.00	01/06/00	10/26/09	7,834.36	14,727.68	-6,893.32
H&Q Healthcare Fund					
207.00	03/27/00	10/26/09	2,326.70	6,499.92	-4,173.22
H&Q Healthcare Fund					
215.00	09/27/00	10/26/09	2,416.62	7,606.69	-5,190.07
H&Q Healthcare Fund					
3,609.00	12/07/00	10/26/09	40,565.56	98,751.26	-58,185.70
H&Q Healthcare Fund					
200.00	12/27/00	10/26/09	2,248.02	4,926.00	-2,677.98
H&Q Healthcare Fund					
541.00	12/27/00	11/09/09	6,070.35	13,324.82	-7,254.47
H&Q Healthcare Fund					
333.00	04/03/01	11/09/09	3,736.46	6,736.73	-3,000.27

CAPITAL GAINS AND LOSSES - LONG TERM > 1 YR()

FROM: 10/01/09 TO: 09/30/10

MUTUAL FUNDS

QUANTITY	DATE ACQUIRED	DATE SOLD	SALES PROCEEDS	COST OR BASIS	GAIN OR LOSS
H&Q Healthcare Fund					
214.00	06/20/01	11/09/09	2,401.21	5,760.88	-3,359.67
H&Q Healthcare Fund					
313.00	09/20/01	11/09/09	3,512.05	7,318.08	-3,806.03
H&Q Healthcare Fund					
3,599.00	09/24/01	11/09/09	40,382.98	72,796.98	-32,414.00
H&Q Healthcare Fund					
1,401.00	09/24/01	02/23/10	16,935.91	28,338.02	-11,402.11
H&Q Healthcare Fund					
1,044.00	12/28/01	02/23/10	12,620.34	27,039.60	-14,419.26
H&Q Healthcare Fund					
1,307.00	12/30/02	02/23/10	15,799.60	19,186.76	-3,387.16
H&Q Healthcare Fund					
462.00	03/26/03	02/23/10	5,584.86	6,551.29	-966.43
H&Q Healthcare Fund					
346.00	06/27/03	02/23/10	4,182.60	6,331.84	-2,149.24
H&Q Healthcare Fund					
424.00	09/26/03	02/23/10	5,125.50	7,615.11	-2,489.61
H&Q Healthcare Fund					
675.00	12/31/03	02/23/10	8,159.70	12,177.08	-4,017.38
H&Q Healthcare Fund					
400.00	03/30/04	02/23/10	4,835.38	8,056.00	-3,220.62
H&Q Healthcare Fund					
7,020.00	06/08/04	02/23/10	84,860.89	117,936.00	-33,075.11

CAPITAL GAINS AND LOSSES - LONG TERM > 1 YR()

FROM: 10/01/09 TO: 09/30/10

MUTUAL FUNDS

QUANTITY	DATE ACQUIRED	DATE SOLD	SALES PROCEEDS	COST OR BASIS	GAIN OR LOSS
H&Q Healthcare Fund					
600.00	09/29/04	02/23/10	7,253.07	10,692.08	-3,439.01
H&Q Healthcare Fund					
596.00	07/02/07	02/23/10	7,204.71	10,322.72	-3,118.01
H&Q Healthcare Fund					
3,000.00	10/10/07	02/23/10	36,265.34	52,963.20	-16,697.86
H&Q Healthcare Fund					
7,000.00	10/11/07	02/23/10	84,619.12	124,462.10	-39,842.98
H&Q Healthcare Fund					
5,725.00	10/15/07	02/23/10	69,206.37	101,295.59	-32,089.22
H&Q Healthcare Fund					
8,000.00	10/15/07	03/22/10	101,831.50	141,548.39	-39,716.89
H&Q Healthcare Fund					
2,275.00	10/15/07	03/22/10	28,915.11	40,252.82	-11,337.71
H&Q Healthcare Fund					
1,200.00	10/19/07	03/22/10	15,251.93	20,964.00	-5,712.07
H&Q Healthcare Fund					
7,924.00	03/06/09	03/22/10	100,713.54	67,857.96	32,855.58
H&Q Healthcare Fund					
7,000.00	03/06/09	03/22/10	89,889.35	59,945.21	29,944.14
H&Q Healthcare Fund					
1,476.00	03/06/09	05/21/10	16,796.60	12,639.87	4,156.73
H&Q Healthcare Fund					
24,600.00	03/09/09	05/21/10	279,943.26	216,780.12	63,163.14

CAPITAL GAINS AND LOSSES - LONG TERM > 1 YR()

FROM: 10/01/09 TO: 09/30/10

MUTUAL FUNDS

QUANTITY	DATE ACQUIRED	DATE SOLD	SALES PROCEEDS	COST OR BASIS	GAIN OR LOSS
H&Q Healthcare Fund					
1,586.00	03/31/09	05/21/10	18,048.37	15,241.43	2,806.94
MUTUAL FUNDS					
TOTALS:			\$2,291,490.19	\$2,304,183.08	-\$12,692.89
CAPITAL GAINS AND LOSSES - LONG TERM > 1 YR					
TOTALS:			\$5,697,745.28	\$5,111,692.33	\$586,052.95
TOTALS:			\$10,495,457.46	\$8,940,500.86	\$1,554,956.60
SHORT AND LONG TERM					
TOTALS:			\$10,495,457.46	\$8,940,500.86	\$1,554,956.60

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST ON SAVINGS	274.	274.
TOTAL	<u>274.</u>	<u>274.</u>

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
DIVIDENDS & INTEREST	509,203.	509,203.
TOTAL	<u>509,203.</u>	<u>509,203.</u>

ATTACHMENT 3

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
LEGAL FEES	540.			540.
TOTALS	<u>540.</u>	<u>0.</u>	<u>0.</u>	<u>540.</u>

ATTACHMENT 4

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING	15,500.	12,400.		3,100.
TOTALS	<u>15,500.</u>	<u>12,400.</u>	<u>0.</u>	<u>3,100.</u>

ATTACHMENT 5

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
CONSULTING CUSTODIAN FEES	40,000. 33,743.	33,743.	40,000. 0.
TOTALS	<u>73,743.</u>	<u>33,743.</u>	<u>40,000.</u>

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAXES	3,912.	3,912.
US INCOME TAXES	2,121.	2,121.
TOTALS	<u>6,033.</u>	<u>6,033.</u>

ATTACHMENT 7

FORM 990PE, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
NY STATE FILING FEE	750.	750.	
MISCELLANEOUS BUSINESS EXPENSE	445.	445.	
PHONE, SUPPLIES, STAMPS, MISC	4,576.		4,576.
TOTALS	<u>5,771.</u>	<u>1,195.</u>	<u>4,576.</u>

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 8

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
FRANCES M. FRIEDMAN 1016 FIFTH AVE, APT 2D NEW YORK, NY 10028	CHAIRMAN & PRESIDENT 19.00	17,500.	0.	0.
ROY R. FRIEDMAN 10 GRANT AVENUE WELLESLEY, MA 02481	VICE CHAIRMAN, SECR. & TREAS. 30.00	52,500.	0.	0.
NANCY R. GREEN 10 GRANT AVENUE WELLESLEY, MA 02481	DIRECTOR 0	0.	0.	0.
SETH A. FRIEDMAN 1016 FIFTH AVE, APT 2D NEW YORK, NY 10028	DIRECTOR 0	0.	0.	0.
<u>GRAND TOTALS</u>		<u>70,000.</u>	<u>0.</u>	<u>0.</u>

EDITH C. BLUM FOUNDATION, INC.

13-3564317

ATTACHMENT 9

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

EDITH C. BLUM FOUNDATION, INC.
GRACIE STATION P.O. BOX 0
NEW YORK, NY 10028

EDITH C. BLUM FOUNDATION, INC

13-3564317

FORM 9900PE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND

RECIPIENT NAME AND ADDRESS _____ FOUNDATION STATUS OF RECIPIENT _____ PURPOSE OF GRANT OR CONTRIBUTION _____ AMOUNT _____

SEE ATTACHMENT 11

NONE
PUBLIC

GENERAL OPERATING

587,445.

TOTAL CONTRIBUTIONS PAID

587,445.

Edith C. Blum Foundation, Inc. (FY 9/30/2010)
 EIN: 13-3564317

Recipient	Address	Relationship	Status of recipient	Purpose of grant or contribution	Amount
Action Arts League, Inc/Frigment	10 Spring St Suite #1 New York, NY 10012	None	Public	General Operating	1,000
Alliance for Young Artists & Writers, Inc	557 Broadway, New York, NY 10012	None	Public	General Operating	2,900
American Globe Theatre, Ltd	145 West 46th Street, 3rd Floor, New York, NY 10036	None	Public	General Operating	2,500
American School for the Deaf	139 N139 North Main Street West Hartford, CT 06107	None	Public	General Operating	500
Animal Medical Center	510 East 62nd Street, New York, NY 10065	None	Public	General Operating	5,000
Apollo Theatre Foundation, Inc	253 West 125th Street, New York, NY, 10027	None	Public	General Operating	2,000
Art Education for the Blind, Inc	589 Broadway, Third Floor New York, NY 10012	None	Public	General Operating	2,000
Association of Small Foundations	1720 N Street, NW, Washington, DC 20036	None	Public	General Operating	500
Association of Small Foundations	1721 N Street, NW, Washington, DC 20036	None	Public	General Operating	495
Association of the Bar NY/City Bar Fund	42 West 44th Street New York NY 10036	None	Public	General Operating	1,000
Birch Family Services, Inc	104 West 29 th Street, 3 rd Floor New York, NY 10001-5310	None	Public	General Operating	1,000
Bowery Residents' Committee, Inc	324 Lafayette Street, 8th Floor New York, NY 10012	None	Public	General Operating	2,000
Bronxworks/ Citizens Advocate Bureau	60 E Tremont Ave Bronx, NY 10453	None	Public	General Operating	1,500
Brooklyn Academy of Music	30 Lafayette Ave , Brooklyn, NY 11217	None	Public	General Operating	2,000
Brooklyn Community Housing and Services, Inc	105 Carlton Avenue, Brooklyn, NY 11205	None	Public	General Operating	3,500
Brooklyn Public Library	280 Cadman Plaza West at Tillary St Brooklyn, NY 11201	None	Public	General Operating	1,000
Care for the Homeless	12 West 21st Street, 8th Fl New York NY 10010-6902	None	Public	General Operating	2,000
Career Transition for Dancers	165 W 46 Street, Suite 701 New York, NY 10036	None	Public	General Operating	500
Carnegie Hall Society, Inc	881 Seventh Ave, New York, NY 10019	None	Public	General Operating	2,500
Central Park Conservancy	Central Park Conservancy, 14 E 60th St, New York, NY, 10022	None	Public	General Operating	7,000
Child Center of NY, Inc (Queens Child Center)	60-02 Queens Boulevard Woodside, NY 11377	None	Public	General Operating	1,000
Children's Health Fund	2821 Emerywood Parkway Richmond VA 23294	None	Public	General Operating	1,500
Church of St. Luke in Fields of New York	487 Hudson Street New York, NY 10014	None	Public	General Operating	2,500
City Harvest	575 Eighth Avenue, 4th Floor, NY, NY 10018	None	Public	General Operating	5,000
Classic Stage (CSC) Ltd	136 East 13th Street New York, NY 10003	None	Public	General Operating	1,000
Coalition for the Homeless	129 Fulton Street, New York, NY 10038	None	Public	General Operating	5,000
Community Service Society	105 E. 22nd Street, New York, 10010	None	Public	General Operating	250
Court Appointed Special Advocates (CASA)	100 West Harrison, North Tower Suite 500, Seattle, WA 98119	None	Public	General Operating	5,000
Dead Sea Scrolls	101 Independence Ave, SE Washington, DC 20540	None	Public	General Operating	25,000
Deafness Research Foundation	641 Lexington Avenue, Fl 15, New York, NY 10022-4503	None	Public	General Operating	3,500
Evangelical Lutheran Church of Manhattan-Trinity	8765 W Higgins Road, Chicago, IL 60631	None	Public	General Operating	1,000
Fifth Avenue Committee	6621 DeGraw Street Brooklyn, NY 11217	None	Public	General Operating	2,500
Figure Skating in Harlem, Inc	361 West 125th Street, 4th Floor, New York, NY 10027	None	Public	General Operating	2,000
Folksbühne National Yiddish Theater, Inc	135 West 29th Street, New York, Ny 10001	None	Public	General Operating	1,000
Food Bank for New York/Food for Survival	39 Broadway, New York, NY 10006	None	Public	General Operating	5,000
Foundation for Contemporary Arts	820 Greenwich Street New York, NY 10014	None	Public	General Operating	2,000
Freedom Guide Dogs for the Blind	P O Box 151200, San Rafael, California 94915-1200	None	Public	General Operating	2,500
Guiding Eyes for the Blind	611 Granite Springs Road, Yorktown Heights, NY 10598	None	Public	General Operating	2,500
Henry Street Settlement	265 Henry Street, New York, NY 10002	None	Public	General Operating	1,000
Hospital for Special Surgery	535 East 70th Street, New York, NY 10021	None	Public	General Operating	150,000

Edith C. Blum Foundation, Inc. (FY 9/30/2010)
 EIN: 13-3564317

Recipient	Address	Relationship	Status of recipient	Purpose of grant or contribution	Amount
Irish Repertory Theatre	132 West 22nd Street, New York, NY 10011	None	Public	General Operating	1,000
Jewish Board of Family & Children's Service(Youth)	120 West 57th St, New York, NY 10019	None	Public	General Operating	5,000
Jewish Braille International/JBI	110 East 30th Street, New York, NY 10016	None	Public	General Operating	1,000
Jewish Child Care Association of New York	120 Wall Street, New York, NY 10005	None	Public	General Operating	5,000
Jewish Guild for the Blind	15 West 65 Street, New York, NY 10023	None	Public	General Operating	2,000
Joyce Theatre	175 8th Ave New York, NY 10011	None	Public	General Operating	1,000
Learning Leaders, Inc	80 Maiden Lane, 11th Floor, New York, NY, 10038	None	Public	General Operating	4,000
Legal Information for Families Today(LIFT)	350 Broadway, Suite 400, New York, NY 10013	None	Public	General Operating	3,000
Memorial Sloan-Kettering Cancer Ctr/Hospital	1275 York Avenue New York, NY 10065	None	Public	General Operating	5,000
Metropolitan Museum of Art	1000 Fifth Avenue, New York, NY 10028	None	Public	General Operating	10,000
Museum of the City of New York	1220 5th Avenue, New York, NY 10029	None	Public	General Operating	5,000
National Children's Cancer Society	One South Memorial Drive, Suite 800, Saint Louis, MO 63102	None	Public	General Operating	500
National Foundation for Facial Reconstruction	317 East 34th Street, Room 901, New York, NY 10016	None	Public	General Operating	2,500
Neighborhood Coalition for Shelter, Inc	157 East 86th Street, New York, NY 10028	None	Public	General Operating	3,000
New School for Drama	151 Bank Street New York, NY 10014	None	Public	General Operating	1,000
New York City Industries for the Blind, Inc	3611 14th Avenue, Brooklyn, NY 11218	None	Public	General Operating	5,000
New York City Opera, Inc	20 Lincoln Center Plaza, New York, NY 10023	None	Public	General Operating	5,000
New York Foundling Health Services Program	590 Avenue of the Americas New York, NY 10011	None	Public	General Operating	2,500
New York Glaucoma Research Institute	310 East 14th Street, New York, NY 10003	None	Public	General Operating	50,000
New York Philharmonic Orchestra	10 Lincoln Center Plaza New York, NY 10023	None	Public	General Operating	5,000
New York Police Foundation, Inc	555 Fifth Avenue, 15th Floor, New York, NY 10017	None	Public	General Operating	1,000
New York Public Library	Fifth Avenue at 42nd Street, New York, NY 10018-2788	None	Public	General Operating	2,500
New York Shakespeare Festival-Public Thire	331 North La Salle Street, Chicago IL 60654	None	Public	General Operating	10,000
Ninety-Second Street Y/Tisch Ctr for Arts	1395 Lexington Avenue, New York, NY 10128	None	Public	General Operating	5,000
One Stop Senior Service	747 Amsterdam Avenue, Ne York, NY 10025	None	Public	General Operating	2,000
Operation Exodus Inner City Housing & Services	21 Wadsworth Ave New York, NY 10033	None	Public	General Operating	1,000
Partnership for the Homeless	920 Northwest 7th Avenue, Fort Lauderdale, FL 33311	None	Public	General Operating	2,500
Pearl Theater	80 St. Mark's Place, New York, NY 10003	None	Public	General Operating	5,000
Performance Space 122, Inc	150 First Avenue, New York, NY 10009	None	Public	General Operating	1,000
Perkins School for the Blind	175 North Beacon Street, Watertown, MA, 02472	None	Public	General Operating	1,000
Planned Parenthood, Inc	26 Bleecker Street, New York, NY 10012	None	Public	General Operating	1,000
Princeton Theological Seminary	64 Mercer Street, Princeton, NJ 08542-0803,	None	Public	General Operating	20,000
Project Orbis International, Inc	520 8th Avenue, 11th Floor, New York, NY 10018	None	Public	General Operating	1,000
Red Bull, Inc	600 Cape May Street, Harrison, NJ 07029	None	Public	General Operating	2,000
Rockefeller University	1230 York Avenue, New York, NY 10065	None	Public	General Operating	100,000
Search & Care, Inc	1844 Second Avenue, New York, NY 10128	None	Public	General Operating	1,500
Service Program for Older Peoples/SPOP	302 West 91st Street, New York, NY 10024	None	Public	General Operating	2,500
Signature Theatre	555 West 42nd Street, New York, NY 10036	None	Public	General Operating	1,000
Soc for Preservation of the Weeksville Heritage	72 E First Street, New York, NY 10003	None	Public	General Operating	1,000
Symphony Space	2537 Broadway, New York, NY 10025	None	Public	General Operating	2,000
TB/TB - Theater by the Blind	306 West 18th Street, New York, NY 10011	None	Public	General Operating	1,000

Edith C. Blum Foundation, Inc. (FY 9/30/2010)
EIN: 13-3564317

Recipient	Address	Relationship	Status of recipient	Purpose of grant or contribution	Amount
Union Settlement Association	237 East 104th Street NY, NY 10029	None	Public	General Operating	1,000
United Hospital Fund	350 Fifth Avenue, 23rd Floor, New York, NY 10118	None	Public	General Operating	300
United Jewish Appeal	130 East 59th Street New York, NY 10022	None	Public	General Operating	10,000
Visions – Services for the Blind & Visually Impaired	500 Greenwich Street, 3rd Floor New York, NY 10013	None	Public	General Operating	1,500
Vivian Beaumont Theatre, Inc /Lincoln Center	70 Lincoln Center Plaza, New York, NY 10023	None	Public	General Operating	2,500
Volunteer Referral Center, Inc	161 Madison Avenue, New York, NY 10016	None	Public	General Operating	1,000
Weill Medical College Cornell Univ Dept Asso	525 East 68th Street, New York, NY 10065	None	Public	General Operating	5,000
Weill Medical College of Cornell Univ/Rivlin	525 East 68th Street, New York, NY 10065	None	Public	General Operating	10,000
Whitney Museum of American Arts	945 Madison Avenue, New York, NY 10021	None	Public	General Operating	5,000
WNYC - New York Public Radio	160 Varck St, New York, NY 10013	None	Public	General Operating	2,000
Women In Need, Inc	115 West 31st Street New York, NY 10001	None	Public	General Operating	2,500
Women's Prison Assoc & Hopper Home, Inc	110 Second Ave New York NY 10003	None	Public	General Operating	5,000
Youth Service League, Inc	181A 29th Street, Brooklyn, NY 11232	None	Public	General Operating	1,000
TOTAL					587,445

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2009

Name of estate or trust

EDITH C. BLUM FOUNDATION, INC.

Employer identification number

13-3564317

Note: Form 5227 filers need to complete **only** Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					
b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b					1b 968,903.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824					2
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts					3
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet					4 ()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back					5 968,903.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					
b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b					6b 586,053.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824					7
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts					8
9 Capital gain distributions					9
10 Gain from Form 4797, Part I					10
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet					11 ()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back					12 586,053.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2009

Part III Summary of Parts I and II Caution: Read the instructions before completing this part.		(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
13	Net short-term gain or (loss)	13		968,903.
14	Net long-term gain or (loss):			
a	Total for year	14a		586,053.
b	Unrecaptured section 1250 gain (see line 18 of the wrksh)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a	15		1,554,956.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet** necessary.

Part IV Capital Loss Limitation		
16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of a The loss on line 15, column (3) or b \$3,000	16 ()

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates
Form 1041 filers. Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.
Caution: Skip this part and complete the worksheet on page 8 of the instructions if
 • Either line 14b, col (2) or line 14c, col (2) is more than zero, or
 • Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.
Form 990-T trusts. Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17		
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18		
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19		
20	Add lines 18 and 19	20		
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0-	21		
22	Subtract line 21 from line 20. If zero or less, enter -0-	22		
23	Subtract line 22 from line 17. If zero or less, enter -0-	23		
24	Enter the smaller of the amount on line 17 or \$2,300	24		
25	Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> Yes. Skip lines 25 and 26, go to line 27 and check the "No" box <input type="checkbox"/> No. Enter the amount from line 23	25		
26	Subtract line 25 from line 24	26		
27	Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> Yes. Skip lines 27 thru 30, go to line 31 <input type="checkbox"/> No. Enter the smaller of line 17 or line 22	27		
28	Enter the amount from line 26 (if line 26 is blank, enter -0-)	28		
29	Subtract line 28 from line 27	29		
30	Multiply line 29 by 15% (15)			30
31	Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			31
32	Add lines 30 and 31			32
33	Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			33
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)			34

