NOTICE 2008-6 STATUS CHANGE

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning SEP 1, 2009 , and ending AUG 31, 2010

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
MARGARET D. SMOCK CHARITABLE TRUST
TRUST UNDER AGREEMENT

Number and street (or P O box number if mail is not delivered to street address) — Room/suite
C/O PNC BANK, 620 LIBERTY AVENUE — 10THFL

City or town, state, and ZIP code
PITTSBURGH, PA 15222

A Employer identification number
61-6155883

B Telephone number
(412) 762-3792

C If exemption application is pending, check here ▶ [ ]

D 1 Foreign organizations, check here ▶ [ ]

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 3,938,726.

J Accounting method: [X] Cash [ ] Accrual
[ ] Other (specify)
(Part I, column (d) must be on cash basis)

ENVELOPE POSTMARK DATE JUN 15 2011

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	46.	46.		STATEMENT 2
	4 Dividends and interest from securities	71,405.	71,405.		STATEMENT 3
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	54,102.			STATEMENT 1
	b Gross sales price for all assets on line 6a 726,030.				
	7 Capital gain net income (from Part IV, line 2)		113,545.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	7,277.	0.		STATEMENT 4
	12 Total Add lines 1 through 11	132,830.	184,996.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	30,502.	28,977.		1,525.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 5	700.	0.		700.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 6	37.	37.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 7	6,298.	6,298.		0.
	24 Total operating and administrative expenses Add lines 13 through 23	37,537.	35,312.		2,225.
	25 Contributions, gifts, grants paid	245,728.			245,728.
	26 Total expenses and disbursements Add lines 24 and 25	283,265.	35,312.		247,953.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-150,435.			
	b Net investment income (if negative, enter -0-)		149,684.		
	c Adjusted net income (if negative, enter -0-)			N/A	

RECEIVED
JUL 19 2011
OGDEN, UT
IRS-OSC

14
3

923501
02-02-10 LHA For Privacy Act and Paperwork Reduction Act Notice, see the instructions

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	87,700.	84,199.	84,199.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 10	0.	806,047.	875,886.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 11	3,676,253.	2,723,257.	2,978,641.
	14 Land, buildings, and equipment. basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers)	3,763,953.	3,613,503.	3,938,726.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	3,731,917.	3,582,238.	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	32,036.	31,265.	
	30 **Total net assets or fund balances**	3,763,953.	3,613,503.	
	31 **Total liabilities and net assets/fund balances**	3,763,953.	3,613,503.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,763,953.
2	Enter amount from Part I, line 27a	2	-150,435.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3	10,028.
4	Add lines 1, 2, and 3	4	3,623,546.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 9	5	10,043.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,613,503.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SALES OF PUBLICLY TRADED SECURITIES	P		
b	PROCEEDS FROM ENSCO CASH & STOCK MERGER	P		
c	PROCEEDS FROM SCHERING-PLOUGH CASH & STOCK			
d	MERGER	P		
e	CLASS ACTION SETTLEMENTS	P		

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	726,030.		617,189.	108,841.
b	2,868.		2,023.	845.
c				
d	5,481.		3,403.	2,078.
e	1,856.		75.	1,781.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				108,841.
b				845.
c				
d				2,078.
e				1,781.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	113,545.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008			
2007			
2006			
2005			
2004			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter. ________ (attach copy of letter if necessary-see instructions) b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b)	1	2,994.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3 Add lines 1 and 2	3	2,994.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5 **Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-	5	2,994.
6 Credits/Payments.		
a 2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a		
b Exempt foreign organizations - tax withheld at source — 6b		
c Tax paid with application for extension of time to file (Form 8868) — 6c		
d Backup withholding erroneously withheld — 6d		
7 Total credits and payments Add lines 6a through 6d	7	0.
8 Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	2,994.
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11 Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶ | **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*	1b		X
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either. • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ KY			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes" attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ PNC BANK TRUSTEE Telephone no ▶ (412)762-3792
Located at ▶ 620 LIBERTY AVENUE, 10TH FL, PITTSBURGH, PA ZIP+4 ▶15222-2705

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII | Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PNC BANK, N.A. 620 LIBERTY AVENUE PITTSBURGH, PA 15222-2705	TRUSTEE 6.00	30,502.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

923551
02-02-10

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	0.

023561
02-02-10

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes:		
a	Average monthly fair market value of securities	1a	3,994,182.
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	3,994,182.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,994,182.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	59,913.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,934,269.
6	**Minimum investment return** Enter 5% of line 5	6	196,713.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	196,713.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	2,994.		
b	Income tax for 2009 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	2,994.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	193,719.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	193,719.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	193,719.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes.		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	247,953.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	247,953.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	247,953.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

923571
02-02-10

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				193,719.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 247,953.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				193,719.
e Remaining amount distributed out of corpus	54,234.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	54,234.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a. Taxable amount - see instr			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010 Subtract lines 7 and 8 from line 6a	54,234.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009 54,234.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
UNIVERSITY OF LOUISVILLE FOUNDATION 201 ABRAHAM FLEXNER WAY LOUISVILLE, KY 40202	NONE	PUBLIC CHARITY	TO PROVIDE SUPPORT TO THE SCHOOL OF MEDICINE @ THE UNIVERSITY OF LOUISVILLE	245,728.
Total			▶ 3a	245,728.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	46.	
4 Dividends and interest from securities			14	71,405.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	54,102.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a PROCEEDS FROM					
b SCHERING-PLOUGH CASH &					
c STOCK MERGER			14	5,481.	
d CLASS ACTION PROCEEDS			14	1,781.	
e RETURN OF CAPITAL			14	15.	
12 Subtotal Add columns (b), (d), and (e)		0.		132,830.	0.
13 Total Add line 12, columns (b), (d), and (e)				13	132,830.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of.			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

PNC BANK
By: Dale M. Kohser — 7/14/11 — ASSISTANT VICE PRESIDENT

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature	Date	Check if self-employed ☐	Preparer's identifying number
Firm's name (or yours if self-employed), address and ZIP code		EIN	
		Phone no.	

923622
02-02-10

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SALES OF PUBLICLY TRADED SECURITIES	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
726,030.	671,928.	0.	0.	54,102.

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	54,102.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	AMOUNT
MONEY MARKET & OTHER TEMPORARY INVESTMENTS	46.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	46.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
TRUST INVESTMENT INCOME	71,405.	0.	71,405.
TOTAL TO FM 990-PF, PART I, LN 4	71,405.	0.	71,405.

MARGARET D. SMOCK CHARITABLE TRUST TRUST 61-6155883

FORM 990-PF OTHER INCOME STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
PROCEEDS FROM SCHERING-PLOUGH CASH & STOCK MERGER	5,481.	0.	
CLASS ACTION PROCEEDS	1,781.	0.	
RETURN OF CAPITAL	15.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	7,277.	0.	

FORM 990-PF ACCOUNTING FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREP FEE	700.	0.		700.
TO FORM 990-PF, PG 1, LN 16B	700.	0.		700.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	37.	37.		0.
TO FORM 990-PF, PG 1, LN 18	37.	37.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MAP MANAGER FEES	6,285.	6,285.		0.
PROCESSING FEES	13.	13.		0.
TO FORM 990-PF, PG 1, LN 23	6,298.	6,298.		0.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 8

DESCRIPTION	AMOUNT
RECEIVED - DUE TO MANDATORY MERGERS (ENSCO & SCHERING-PLOUGH)	10,028.
TOTAL TO FORM 990-PF, PART III, LINE 3	10,028.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 9

DESCRIPTION	AMOUNT
DELIVERED - DUE TO MANDATORY MERGERS (ENSCO & SCHERING-PLOUGH)	10,028.
NOBLE CORP CARRYING VALUE ADJUSTMENT	15.
TOTAL TO FORM 990-PF, PART III, LINE 5	10,043.

FORM 990-PF CORPORATE STOCK STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
COMMON STOCKS	806,047.	875,886.
TOTAL TO FORM 990-PF, PART II, LINE 10B	806,047.	875,886.

'ORM 990-PF OTHER INVESTMENTS STATEMENT 11

›ESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
'OTAL TRUST INVESTMENTS	COST	0.	0.
IUTUAL FUNDS - EQUITY	COST	1,477,927.	1,630,051.
IUTUAL FUNDS - FIXED	COST	1,245,330.	1,348,590.
'OTAL TO FORM 990-PF, PART II, LINE 13		2,723,257.	2,978,641.

BANK 21
REGION 80
OFFICE 001

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -

09/01/09 THROUGH 08/31/10
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR

DATE 01/13/11
TIME 08:34
PAGE 0003

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN TAX INDV	HISTORY	- C A S H - INCOME	- C A S H - PRINCIPAL	INVEST/UNITS
TOTALS FOR TAX CODE 081	LONG TERM CAPITAL GAIN DISTRIBUTION				.26	
TOTALS FOR TAX CODE 831	SALES-COLLECTIVE FUNDS				362,670.00	323,970.53-P

38,699.73
MAP 653 12,807.93
MAP 640 2273.26
MAP 672 2467.84
MAP 690 (2146.85)
54,102.01

BANK 21
REGION 80
OFFICE 001

- T R A N S A C T I O N   A N A L Y S I S / S U M M A R Y -

09/01/09 THROUGH 08/31/10

ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR

DATE 01/13/11
TIME 08·34
PAGE 0001

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
09-04-09	MUTUAL FUND AGENT 09/03 SALE 691.563 SHS @ 14.4599 MFS CORE GROWTH FUND CLASS I	085	831	9464	40577-00002 09-04-09		10,000.00	8,803.39- 691.563-
09-23-09	MUTUAL FUND AGENT 09/22 SALE 2,693.209 SHS @ 17.0799 ARTISAN MID CAP VALUE FUND	085	831	9464	40596-00001 09-23-09		46,000.00	37,370 28- 2,693.209-
12-16-09	MUTUAL FUND AGENT 12/15 SALE 4,192.286 SHS @ 17.8899 ARTISAN MID CAP VALUE FUND	085	831	9464	40680-00002 12-16-09		75,000.00	58,171.09- 4,192.286-
12-16-09	MUTUAL FUND AGENT 12/15 SALE 1,101.564 SHS @ 45.3899 BARON ASSET FUND FD #585	085	831	9464	40680-00003 12-16-09		50,000.00	58,894.01- 1,101.564-
12-16-09	MUTUAL FUND AGENT 12/15 SALE 1,096.491 SHS @ 15.96 MFS CORE GROWTH FUND CLASS I	085	831	9464	40680-00004 12-16-09		17,500.00	13,958.01- 1,096.491-
12-16-09	MUTUAL FUND AGENT 12/15 SALE 650.558 SHS @ 26.90 T ROWE PRICE GROWTH STOCK FD # 40	085	831	9464	40680-00005 12-16-09		17,500.00	16,803.37- 650.558-
02-26-10	LONG TERM CAPITAL GAINS DISTRIBUTION PAYABLE 12/31/09 AT .0000 PER SHARE BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1	081	081	00-0000	40757-00001 02-26-10		.26	
05-28-10	MUTUAL FUND AGENT 05/27 SALE 704.371 SHS @ 48.27 BARON ASSET FUND FD #585	085	831	9464	40848-00001 05-28-10		34,000.00	37,658.48- 704.371-
06-23-10	MUTUAL FUND AGENT 06/22 SALE 2,146.437 SHS @ 17.9599 ARTISAN MID CAP VALUE FUND	085	831	9464	40874-00001 06-23-10		38,550.00	29,783.41- 2,146.437-
06-23-10	MUTUAL FUND AGENT 06/22 SALE 3,404.669 SHS @ 15.4199 BLACKROCK FUNDS EQUITY DIVIDEND INSTITUTIONAL CLASS FUND 383	085	831	9464	40874-00002 06-23-10		52,500.00	43,464.58- 3,404.669-

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- CASH - INCOME	PRINCIPAL	INVEST/UNITS
06-23-10	MUTUAL FUND AGENT 06/22 SALE 689.413 SHS @ 15.68 MFS CORE GROWTH FUND CLASS I	085	831	9464	40874-00003 06-23-10		10,810.00	8,776.03- 689.413-
06-23-10	MUTUAL FUND AGENT 06/22 SALE 398.305 SHS @ 27.14 T ROWE PRICE GROWTH STOCK FD # 40	085	831	9464	40874-00004 06-23-10		10,810.00	10,287.88- 398.305-

BANK 21
REGION 80
OFFICE 633

- T R A N S A C T I O N   A N A L Y S I S / S U M M A R Y -
09/01/09 THROUGH 08/31/10
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA LCVA

DATE 01/13/11
TIME 08:37
PAGE 0007

-TRANSACTION- DATE	DESCRIPTION		-CODES- TRAN TAX INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
TOTALS FOR TAX CODE 081	LONG TERM CAPITAL GAIN DISTRIBUTION					.15	
TOTALS FOR TAX CODE 830	SALES					214,847.12	202,036.28-P
TOTALS FOR TAX CODE 833	SALE OF RIGHTS/FRACTIONAL SHARE OF STOCK					10.64	13.70-P
						214,857.91	202,049.98-

12,807.93

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
09-03-09	BNY CONVERGEX 08/31 SALE 70 SHS @ 25.62 MISC .05 AGILENT TECHNOLOGIES (IPO)	085	830	9333	40576-00002 09-03-09		1,793.35	1,993.74- 70.000-
09-03-09	BNY CONVERGEX 08/31 SALE 267 SHS @ 33.6918 MISC .24 AMERICAN EXPRESS CO	085	830	9333	40576-00003 09-03-09		8,995.47	12,004.04- 267.000-
09-03-09	BNY CONVERGEX 08/31 SALE 168 SHS @ 30.1018 MISC .13 AMERIPRISE FINANCIAL INC-W/I	085	830	9333	40576-00004 09-03-09		5,056.97	5,586.22- 168.000-
09-03-09	BNY CONVERGEX 08/31 SALE 127 SHS @ 29.4118 MISC .10 BANK NEW YORK MELLON CORP COM	085	830	9333	40576-00005 09-03-09		3,735.20	4,591.57- 127.000-
09-03-09	BNY CONVERGEX 08/31 SALE 24 SHS @ 69.31 MISC .05 BECTON DICKINSON & CO	085	830	9333	40576-00006 09-03-09		1,663.39	1,607.60- 24.000-
09-03-09	BNY CONVERGEX 08/31 SALE 79 SHS @ 36.49 MISC .08 BED BATH AND BEYOND	085	830	9333	40576-00007 09-03-09		2,882.63	2,540.07- 79.000-
09-03-09	BNY CONVERGEX 08/31 SALE 2 SHS @ 3,246.40 MISC .17 BERKSHIRE HATHAWAY INC DEL CL B	085	830	9333	40576-00008 09-03-09		6,492.63	5,760.00- 2.000-
09-03-09	BNY CONVERGEX 08/31 SALE 119 SHS @ 17.2518 MISC .06 BLOCK H & R INC	085	830	9333	40576-00009 09-03-09		2,052.90	2,916.69- 119.000-
09-03-09	BNY CONVERGEX 08/31 SALE 143 SHS @ 37.5818 MISC .14 CVS CAREMARK CORPORATION	085	830	9333	40576-00010 09-03-09		5,374.06	4,335.19- 143.000-

BANK 21
REGION 80
OFFICE 633

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -
09/01/09 THROUGH 08/31/10
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA LCVA

DATE 01/13/11
TIME 08:37
PAGE 0002

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- CASH - INCOME	PRINCIPAL	INVEST/UNITS
09-03-09	BNY CONVERGEX 08/31 SALE 164 SHS @ 14.5827 MISC .07 COMCAST CORP CLASS A SPECIAL	085	830	9333	40576-00011 09-03-09		2,391.49	3,015.47- 164.000-
09-03-09	BNY CONVERGEX 08/31 SALE 58 SHS @ 44.87 MISC .07 CONOCOPHILLIPS	085	830	9333	40576-00012 09-03-09		2,602.39	2,291 49- 58.000-
09-03-09	BNY CONVERGEX 08/31 SALE 301 SHS @ 51.0718 MISC .40 COSTCO WHOLESALE CORP	085	830	9333	40576-00013 09-03-09		15,372.21	12,826.89- 301.000-
09-03-09	BNY CONVERGEX 08/31 SALE 25 SHS @ 61.90 MISC .04 DIAGEO PLC SPONSORED ADR	085	830	9333	40576-00014 09-03-09		1,547.46	1,241.25- 25.000-
09-03-09	BNY CONVERGEX 08/31 SALE 60 SHS @ 71.84 MISC .12 EOG RES INC	085	830	9333	40576-00015 09-03-09		4,310.28	1,328.63- 60.000-
09-03-09	BNY CONVERGEX 08/31 SALE 36 SHS @ 72.11 MISC .07 EXPRESS SCRIPTS INC	085	830	9333	40576-00016 09-03-09		2,595.89	2,344.19- 36.000-
09-03-09	BNY CONVERGEX 08/31 SALE 177 SHS @ 17.4918 MISC .08 GRUPO TELEVISA SA DE CV SPONSORED ADR REPSTG ORD PARTN CTF	085	830	9333	40576-00018 09-03-09		3,095.97	2,921.69- 177.000-
09-03-09	BNY CONVERGEX 08/31 SALE 196 SHS @ 29.3518 MISC .15 IRON MTN INC PA	085	830	9333	40576-00019 09-03-09		5,752.80	3,844.68- 196.000-
09-03-09	BNY CONVERGEX 08/31 SALE 115 SHS @ 43.4418 MISC .13 JPMORGAN CHASE & CO	085	830	9333	40576-00020 09-03-09		4,995.68	4,562.11- 115.000-

BANK 21
REGION 80
OFFICE 633

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -
09/01/09 THROUGH 08/31/10
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA LCVA

DATE 01/13/11
TIME 08:37
PAGE 0003

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
09-03-09	BNY CONVERGEX 08/31 SALE 132 SHS @ 34.05 MISC .12 LOEWS CORPORATION	085	830	9333	40576-00022 09-03-09		4,494.48	6,627.48- 132.000-
09-03-09	BNY CONVERGEX 08/31 SALE 284 SHS @ 24.5827 MISC .18 MICROSOFT CORP	085	830	9333	40576-00023 09-03-09		6,981.31	6,051.41- 284.000-
09-03-09	BNY CONVERGEX 08/31 SALE 49 SHS @ 27.13 MISC .04 MOODY'S CORP	085	830	9333	40576-00024 09-03-09		1,329.33	1,203.07- 49.000-
09-03-09	BNY CONVERGEX 08/31 SALE 170 SHS @ 72.7118 MISC .32 OCCIDENTAL PETROLEUM CORP	085	830	9333	40576-00025 09-03-09		12,360.69	4,327.35- 170.000-
09-03-09	BNY CONVERGEX 08/31 SALE 286 SHS @ 16.4518 MISC .13 PROGRESSIVE CORP OHIO	085	830	9333	40576-00026 09-03-09		4,705.08	5,772.91- 286.000-
09-03-09	BNY CONVERGEX 08/31 SALE 63 SHS @ 28.19 MISC .05 SCHERING-PLOUGH CORP	085	830	9333	40576-00027 09-03-09		1,775.92	966.09- 63.000-
09-03-09	BNY CONVERGEX 08/31 SALE 116 SHS @ 18.8518 MISC .06 SEALED AIR CORP	085	830	9333	40576-00028 09-03-09		2,186.75	2,838.52- 116.000-
09-03-09	BNY CONVERGEX 08/31 SALE 83 SHS @ 24.57 MISC .06 TEXAS INSTRUMENTS INC	085	830	9333	40576-00029 09-03-09		2,039.25	2,156.46- 83.000-
09-03-09	BNY CONVERGEX 08/31 SALE 27 SHS @ 48.79 MISC .04 TRANSATLANTIC HOLDINGS INC	085	830	9333	40576-00030 09-03-09		1,317.29	1,493.14- 27.000-
09-03-09	BNY CONVERGEX 08/31 SALE 10 SHS @ 50.09 MISC .02 VULCAN MATERIALS CO	085	830	9333	40576-00031 09-03-09		500.88	475 70- 10.000-

BANK 21
REGION 80
OFFICE 633

- T R A N S A C T I O N   A N A L Y S I S / S U M M A R Y -
09/01/09 THROUGH 08/31/10
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA LCVA

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
09-03-09	BNY CONVERGEX 08/31 SALE 408 SHS @ 27.4818 MISC .29 WELLS FARGO & COMPANY	085	830	9333	40576-00032 09-03-09		11,212.28	8,164.75- 408.000-
09-10-09	CASH IN LIEU OF .500 FRACTIONAL SHARES/PAR AT 18.8000 PER SHARE/BOND CAREFUSION CORP	085	833	9999	40583-00001 09-10-09		9.40	12.71- .500-
10-28-09	BNY CONVERGEX 10/23 SALE 160 SHS @ 20.2875 MISC .08 GRUPO TELEVISA SA DE CV SPONSORED ADR REPSTG ORD PARTN CTF	085	830	9333	40631-00002 10-28-09		3,245.92	2,641.07- 160.000-
11-10-09	CASH IN LIEU OF .037 FRACTIONAL SHARES/PAR AT 33.4700 PER SHARE/BOND MERCK & CO INC	085	833	9999	40644-00003 11-10-09		1.24	.99- .037-
12-21-09	BNY CONVERGEX 12/16 SALE 210 SHS @ 32.00 MISC .17 UNITEDHEALTH GROUP INC	085	830	9333	40685-00001 12-21-09		6,719.83	10,612.69- 210.000-
01-19-10	BNY CONVERGEX 01/13 SALE 85 SHS @ 32.00 MISC .03 CARDINAL HEALTH INC	085	830	9333	40719-00001 01-19-10		2,719.97	2,816 43- 85.000-
01-19-10	BNY CONVERGEX 01/13 SALE 12 SHS @ 584.6535 MISC .09 GOOGLE INC-CL A	085	830	9333	40719-00002 01-19-10		7,015.75	4,715.69- 12.000-
01-29-10	BNY CONVERGEX 01/26 SALE 30 SHS @ 50.5732 MISC .02 CONOCOPHILLIPS	085	830	9333	40729-00001 01-29-10		1,517.18	1,185.25- 30.000-
02-04-10	BNY CONVERGEX 02/01 SALE 256 SHS @ 21.5099 MISC .07 BLOCK H & R INC	085	830	9333	40735-00001 02-04-10		5,506.46	6,274.56- 256.000-

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN TAX INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
02-12-10	BNY CONVERGEX 02/09 SALE 25 SHS @ 74.1893 MISC .02 BERKSHIRE HATHAWAY INC CLASS B	085 830 9333	40743-00001 02-12-10		1,854.71	1,440.00- 25.000-
02-19-10	BNY CONVERGEX 02/16 SALE 856 SHS @ 14.6457 MISC .16 COMCAST CORP CLASS A SPECIAL	085 830 9333	40750-00001 02-19-10		12,536.56	15,739.25- 856.000-
02-26-10	LONG TERM CAPITAL GAINS DISTRIBUTION PAYABLE 12/31/09 AT .0000 PER SHARE BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1	081 081 00-0000	40757-00003 02-26-10		.15	
03-15-10	BNY CONVERGEX 03/10 SALE 130 SHS @ 43.15 MISC .07 JPMORGAN CHASE & CO	085 830 9333	40774-00001 03-15-10		5,609.43	5,157 17- 130.000-
03-19-10	BNY CONVERGEX 03/16 SALE 160 SHS @ 14.0544 MISC .03 NEWS CORPORATION CL A	085 830 9333	40778-00001 03-19-10		2,248.68	2,829.17- 160.000-
04-29-10	BNY CONVERGEX 04/26 SALE 70 SHS @ 79.5841 MISC .09 BERKSHIRE HATHAWAY INC CLASS B	085 830 9333	40819-00001 04-29-10		5,570.79	4,032.00- 70.000-
05-27-10	BNY CONVERGEX 05/24 SALE 115 SHS @ 39.5755 MISC .08 JPMORGAN CHASE & CO	085 830 9333	40847-00001 05-27-10		4,551.11	4,562.11- 115.000-
06-01-10	BNY CONVERGEX 05/26 SALE 114 SHS @ 39.2034 MISC .08 JPMORGAN CHASE & CO	085 830 9333	40852-00003 06-01-10		4,469.11	4,522.44- 114.000-
06-16-10	BNY CONVERGEX 06/11 SALE 165 SHS @ 25.0292 MISC .07 MICROSOFT CORP	085 830 9333	40867-00002 06-16-10		4,129.75	3,515.78- 165.000-

DATE	TRANSACTION DESCRIPTION	CODES TRAN	TAX	INDV	HISTORY	CASH INCOME	CASH PRINCIPAL	INVEST/UNITS
06-21-10	BNY CONVERGEX 06/16 SALE 110 SHS @ 35.7687 MISC .07 CARDINAL HEALTH INC	085	830	9333	40872-00001 06-21-10		3,934.49	3,644.78- 110.000-
07-13-10	BNY CONVERGEX 07/08 SALE 67 SHS @ 51.2538 MISC .06 CONOCOPHILLIPS	085	830	9333	40894-00001 07-13-10		3,433.95	2,647.06- 67.000-
07-28-10	BNY CONVERGEX 07/23 SALE 70 SHS @ 63.5414 MISC .08 UNITED PARCEL SERVICE CL B	085	830	9333	40909-00001 07-28-10		4,447.82	4,035.89- 70.000-
07-30-10	BNY CONVERGEX 07/27 SALE 86 SHS @ 40.7859 MISC .06 JPMORGAN CHASE & CO	085	830	9333	40911-00002 07-30-10		3,507.53	3,411.66- 86.000-
08-17-10	BNY CONVERGEX 08/12 SALE 97 SHS @ 22.8256 MISC .04 CAREFUSION CORP	085	830	9333	40929-00001 08-17-10		2,214.05	2,464.88- 97.000-

BANK 21
REGION 80
OFFICE 640

- T R A N S A C T I O N   A N A L Y S I S / S U M M A R Y -
09/01/09 THROUGH 08/31/10
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA MCGR

DATE 01/13/11
TIME 08:44
PAGE 0010

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN TAX INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
TOTALS FOR TAX CODE 081	LONG TERM CAPITAL GAIN DISTRIBUTION				.02	
TOTALS FOR TAX CODE 830	SALES				118,164.04	115,891.20-P
TOTALS FOR TAX CODE 833	SALE OF RIGHTS/FRACTIONAL SHARE OF STOCK				12.00	11.50-P
					118,176.06	115,902.70

2273.36

BANK 21
REGION 80
OFFICE 640

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -
09/01/09 THROUGH 08/31/10
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA MCGR

DATE 01/13/11
TIME 08:44
PAGE 0001

DATE	-TRANSACTION- DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- CASH - INCOME	PRINCIPAL	INVEST/UNITS
09-03-09	BNY CONVERGEX 08/31 SALE 50 SHS @ 46.2018 MISC .06 QUALCOMM INC	085	830	9333	40576-00003 09-03-09		2,310.03	2,406.93- 50.000-
09-04-09	BNY CONVERGEX 09/01 SALE 4 SHS @ 23.1958 SEAHAWK DRILLING INC W/I	085	830	9333	40577-00002 09-04-09		92.78	98.50- 4.000-
09-11-09	BNY CONVERGEX 09/08 SALE 27 SHS @ 32.2097 MISC .02 AMETEK INC NEW	085	830	9333	40584-00001 09-11-09		869.64	1,190.73- 27.000-
09-15-09	BNY CONVERGEX 09/10 SALE 29 SHS @ 55.1628 MISC .04 NIKE INC CLASS B	085	830	9333	40588-00001 09-15-09		1,599.68	1,341.60- 29.000-
09-18-09	BNY CONVERGEX 09/15 SALE 32 SHS @ 43.0079 MISC .04 WMS INDUSTRIES INC	085	830	9333	40591-00002 09-18-09		1,376.22	1,163.68- 32.000-
09-25-09	BNY CONVERGEX 09/22 SALE 5 SHS @ 183.7877 MISC .02 APPLE INC	085	830	9333	40598-00001 09-25-09		918.91	103.68- 5.000-
10-07-09	BNY CONVERGEX 10/02 SALE 21 SHS @ 65.7679 MISC .04 RESEARCH IN MOTION LTD	085	830	9333	40610-00002 10-07-09		1,381.09	1,186.32- 21.000-
10-09-09	BNY CONVERGEX 10/06 SALE 45 SHS @ 32.6749 MISC .04 COACH INC	085	830	9333	40612-00001 10-09-09		1,470.33	1,295.97- 45.000-
10-20-09	BNY CONVERGEX 10/15 SALE 23 SHS @ 57.926 MISC .03 ALLERGAN INC	085	830	9333	40623-00001 10-20-09		1,332.26	818.49- 23.000-
10-26-09	BNY CONVERGEX 10/21 SALE 46 SHS @ 40.0715 MISC .05 EMERSON ELECTRIC CO	085	830	9333	40629-00004 10-26-09		1,843.24	1,423.39- 46.000-

BANK 21
REGION 80
OFFICE 640

- T R A N S A C T I O N   A N A L Y S I S / S U M M A R Y -
09/01/09 THROUGH 08/31/10
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA MCGR

DATE 01/13/11
TIME 08:44
PAGE 0002

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
11-02-09	BNY CONVERGEX 10/28 SALE 2 SHS @ 194.09 MISC .01 APPLE INC	085	830	9333	40636-00001 11-02-09		388.17	41.47- 2.000-
11-13-09	BNY CONVERGEX 11/09 SALE 38 SHS @ 13.3753 MISC .01 STEC INC	085	830	9333	40647-00003 11-13-09		508.25	1,350.93- 38.000-
11-18-09	BNY CONVERGEX 11/13 SALE 45 SHS @ 32.0031 MISC .04 CHECK POINT SOFTWARE TECH SEDOL 2181334 ISIN IL0010824113	085	830	9333	40652-00001 11-18-09		1,440.10	1,002.28- 45.000-
11-18-09	BNY CONVERGEX 11/13 SALE 39 SHS @ 33.9062 MISC .03 DENTSPLY INTERNATIONAL INC	085	830	9333	40652-00002 11-18-09		1,322.31	1,469.72- 39.000-
11-19-09	BNY CONVERGEX 11/16 SALE 24 SHS @ 54.0189 MISC .03 AGRIUM INC SEDOL 2015530 ISIN CA0089161081	085	830	9333	40653-00003 11-19-09		1,296.42	1,188.85- 24.000-
11-19-09	BNY CONVERGEX 11/16 SALE 32 SHS @ 44.6689 MISC .04 FLUOR CORP	085	830	9333	40653-00006 11-19-09		1,429.37	1,806.91- 32.000-
11-24-09	BNY CONVERGEX 11/19 SALE 57 SHS @ 36.5645 MISC .05 JACOBS ENGINEERING GROUP INC	085	830	9333	40658-00002 11-24-09		2,084.12	2,898 86- 57.000-
12-01-09	BNY CONVERGEX 11/25 SALE 38 SHS @ 30.3722 MISC .03 AGCO CORP	085	830	9333	40665-00001 12-01-09		1,154.11	2,277.23- 38.000-
12-03-09	BNY CONVERGEX 11/30 SALE 1 SHS @ 580.01 MISC .02 GOOGLE INC-CL A	085	830	9333	40667-00001 12-03-09		580.00	303.64- 1.000-

DATE	-TRANSACTION- DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- CASH - INCOME	PRINCIPAL	INVEST/UNITS
12-04-09	BNY CONVERGEX 12/01 SALE 60 SHS @ 12.3891 MISC .02 MEMC ELECTR MATLS INC	085	830	9333	40668-00001 12-04-09		743.33	2,008.29- 60.000-
01-07-10	BNY CONVERGEX 01/04 SALE 68 SHS @ 23.80 MISC .04 EBAY INC	085	830	9333	40707-00001 01-07-10		1,618.36	1,936.83- 68.000-
01-08-10	BNY CONVERGEX 01/05 SALE 32 SHS @ 42.6968 MISC .04 ENSCO INTERNATIONAL PLC	085	830	9333	40708-00002 01-08-10		1,366.26	952 13- 32.000-
01-08-10	BNY CONVERGEX 01/05 SALE 65 SHS @ 40.3991 MISC .07 HONEYWELL INTL INC	085	830	9333	40708-00004 01-08-10		2,625.87	3,681.98- 65.000-
01-14-10	BNY CONVERGEX 01/11 SALE 92 SHS @ 20.252 MISC .05 GAMESTOP CORP CL A	085	830	9333	40714-00004 01-14-10		1,863.14	3,650.76- 92.000-
01-14-10	BNY CONVERGEX 01/11 SALE 63 SHS @ 33.4065 MISC .05 PRIDE INTERNATIONAL INC	085	830	9333	40714-00005 01-14-10		2,104.56	1,476.70- 63.000-
01-22-10	BNY CONVERGEX 01/19 SALE 26 SHS @ 65.8244 MISC .02 BUCYRUS INTL INC	085	830	9333	40722-00001 01-22-10		1,711.41	1,384.93- 26.000-
01-22-10	BNY CONVERGEX 01/19 SALE 31 SHS @ 43.2009 MISC .02 FTI CONSULTING INC	085	830	9333	40722-00002 01-22-10		1,339.21	2,267.42- 31.000-
01-29-10	BNY CONVERGEX 01/26 SALE 66 SHS @ 42.6061 MISC .04 AMERICA MOVIL S A B DE C V	085	830	9333	40729-00001 01-29-10		2,811.97	984 42- 66.000-
02-04-10	BNY CONVERGEX 02/01 SALE 26 SHS @ 56.8271 MISC .02 CELGENE CORP	085	830	9333	40735-00001 02-04-10		1,477.49	1,386.38- 26.000-

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
02-05-10	BNY CONVERGEX 02/02 SALE 5 SHS @ 424.0815 MISC .03 BAIDU INC	085	830	9333	40736-00002 02-05-10		2,120.38	699.00- 5.000-
02-09-10	BNY CONVERGEX 02/04 SALE 74 SHS @ 18.2006 MISC .02 LKQ CORP	085	830	9333	40740-00002 02-09-10		1,346.83	998.27- 74.000-
02-10-10	BNY CONVERGEX 02/05 SALE 26 SHS @ 48.5428 MISC .02 ITT CORPORATION	085	830	9333	40741-00001 02-10-10		1,262.10	1,340.69- 26.000-
02-10-10	BNY CONVERGEX 02/05 SALE 69 SHS @ 20.9017 MISC .02 PERKINELMER INC	085	830	9333	40741-00003 02-10-10		1,442.20	1,211.26- 69.000-
02-16-10	BNY CONVERGEX 02/10 SALE 27 SHS @ 49.1079 MISC .02 PRICE T ROWE GROUP INC	085	830	9333	40747-00001 02-16-10		1,325.90	1,537.12- 27.000-
02-19-10	BNY CONVERGEX 02/16 SALE 53 SHS @ 30.0059 MISC .02 SUNCOR ENERGY INC ISIN CA867224107 SEDOL B3NBOP5	085	830	9333	40750-00001 02-19-10		1,590.29	1,601.84- 53.000-
02-26-10	BNY CONVERGEX 02/23 SALE 64 SHS @ 23.1502 MISC .02 ALTERA CORP	085	830	9333	40757-00005 02-26-10		1,481.59	1,407.09- 64.000-
02-26-10	LONG TERM CAPITAL GAINS DISTRIBUTION PAYABLE 12/31/09 AT .0000 PER SHARE BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1	081	081	00-0000	40757-00006 02-26-10		.02	
03-08-10	BNY CONVERGEX 03/03 SALE 14 SHS @ 81.6177 MISC .01 TRANSOCEAN LTD SEDOL B3KFWW1 ISIN CH0048265513	085	830	9333	40767-00001 03-08-10		1,142.63	1,925.46- 14.000-

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- CASH - INCOME	PRINCIPAL	INVEST/UNITS
03-11-10	BNY CONVERGEX 03/08 SALE 16 SHS @ 85.2166 MISC .02 TRANSOCEAN LTD SEDOL B3KFWW1 ISIN CH0048265513	085	830	9333	40770-00001 03-11-10		1,363.45	2,200.53- 16.000-
03-11-10	BNY CONVERGEX 03/08 SALE 44 SHS @ 20.40 MISC .01 SUNPOWER CO.	085	830	9333	40770-00003 03-11-10		897.59	3,236.92- 44.000-
03-12-10	BNY CONVERGEX 03/09 SALE 55 SHS @ 26.5413 MISC .02 FLIR SYSTEM INC	085	830	9333	40771-00001 03-12-10		1,459.75	1,153.51- 55.000-
03-15-10	BNY CONVERGEX 03/10 SALE 80 SHS @ 32.3814 MISC .03 BROADCOM CORP	085	830	9333	40774-00001 03-15-10		2,590.48	1,188.54- 80.000-
03-17-10	BNY CONVERGEX 03/12 SALE 34 SHS @ 64.0959 MISC .03 SCHLUMBERGER LTD	085	830	9333	40776-00001 03-17-10		2,179.23	1,947.33- 34.000-
03-18-10	BNY CONVERGEX 03/15 SALE 33 SHS @ 43.2514 MISC .02 NOBLE CORPORATION SEDOL: B65Z9D7 ISIN: CH0033347318	085	830	9333	40777-00001 03-18-10		1,427.28	1,903.71- 33.000-
03-19-10	BNY CONVERGEX 03/16 SALE 31 SHS @ 64.5611 MISC .03 BUCYRUS INTL INC	085	830	9333	40778-00001 03-19-10		2,001.37	1,651.27- 31.000-
03-30-10	BNY CONVERGEX 03/25 SALE 41 SHS @ 40.3218 MISC .02 NOBLE CORPORATION SEDOL: B65Z9D7 ISIN: CH0033347318	085	830	9333	40789-00001 03-30-10		1,653.17	2,365.22- 41.000-

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
03-30-10	BNY CONVERGEX 03/25 SALE 3 SHS @ 230.4443 MISC .01 APPLE INC	085	830	9333	40789-00002 03-30-10		691.32	62.21- 3.000-
03-31-10	BNY CONVERGEX 03/26 SALE 18 SHS @ 39.8241 MISC .01 NOBLE CORPORATION SEDOL: B65Z9D7 ISIN: CH0033347318	085	830	9333	40790-00001 03-31-10		716.82	1,038.39- 18.000-
04-05-10	BNY CONVERGEX 03/30 SALE 34 SHS @ 36.9106 MISC .02 PERFECT WORLD CO LTD ADR ISIN US71372U1043 SEDOL B23F975	085	830	9333	40795-00001 04-05-10		1,254.94	1,403.95- 34.000-
04-13-10	BNY CONVERGEX 04/08 SALE 26 SHS @ 69.6362 MISC .03 RESEARCH IN MOTION LTD	085	830	9333	40803-00001 04-13-10		1,810.51	1,468 78- 26.000-
04-16-10	BNY CONVERGEX 04/13 SALE 30 SHS @ 83.4765 MISC .04 3M COMPANY	085	830	9333	40806-00006 04-16-10		2,504.25	2,131.08- 30.000-
04-21-10	BNY CONVERGEX 04/16 SALE 16 SHS @ 163.7605 MISC .04 GOLDMAN SACHS GROUP INC	085	830	9333	40811-00001 04-21-10		2,620.12	1,375.99- 16.000-
04-27-10	BNY CONVERGEX 04/22 SALE 3 SHS @ 256.7754 MISC .01 APPLE INC	085	830	9333	40817-00002 04-27-10		770.31	62.21- 3.000-
05-06-10	BNY CONVERGEX 05/03 SALE 68 SHS @ 40.4345 MISC .05 CAMERON INTL CORP	085	830	9333	40826-00001 05-06-10		2,749.50	2,375.76- 68.000-
05-07-10	BNY CONVERGEX 05/04 SALE 74 SHS @ 27.8503 MISC .03 JUNIPER NETWORKS INC	085	830	9333	40827-00002 05-07-10		2,060.89	1,168.45- 74.000-

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- CASH - INCOME	PRINCIPAL	INVEST/UNITS
05-21-10	BNY CONVERGEX 05/18 SALE 29 SHS @ 50.4854 MISC .02 ALEXION PHARMACEUTICALS INC	085	830	9333	40841-00001 05-21-10		1,464.05	1,287.09- 29.000-
05-24-10	BNY CONVERGEX 05/19 SALE 32 SHS @ 63.0447 MISC .03 DOLBY LABORATORIES INC CLASS A	085	830	9333	40844-00002 05-24-10		2,017.40	1,022.92- 32.000-
06-01-10	BNY CONVERGEX 05/26 SALE 3 SHS @ 249.533 MISC .01 APPLE INC	085	830	9333	40852-00002 06-01-10		748.59	62.21- 3.000-
06-04-10	BNY CONVERGEX 06/01 SALE 49 SHS @ 20.9102 MISC .02 BLUE COAT SYSTEMS INC	085	830	9333	40855-00001 06-04-10		1,024.58	1,416.22- 49.000-
06-09-10	BNY CONVERGEX 06/04 SALE 6 SHS @ 199.0728 MISC .02 MASTERCARD INC CL A	085	830	9333	40860-00001 06-09-10		1,194.42	1,544.67- 6.000-
06-09-10	BNY CONVERGEX 06/04 SALE 29 SHS @ 60.9893 MISC .03 NOBLE ENERGY INC	085	830	9333	40860-00002 06-09-10		1,768.66	913.92- 29.000-
06-16-10	BNY CONVERGEX 06/11 SALE 24 SHS @ 67.7568 MISC .03 DEVON ENERGY CORP NEW	085	830	9333	40867-00001 06-16-10		1,626.14	1,679.71- 24.000-
07-07-10	BNY CONVERGEX 07/01 SALE 30 SHS @ 62.2656 MISC .03 VMWARE INC CLASS A	085	830	9333	40888-00005 07-07-10		1,867.94	1,447.64- 30.000-
07-12-10	BNY CONVERGEX 07/07 SALE 109 SHS @ 10.1159 MISC .02 CHICOS FAS INC	085	830	9333	40893-00001 07-12-10		1,102.61	1,483.09- 109.000-

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN TAX INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
07-21-10	BNY CONVERGEX 07/16 SALE 36 SHS @ 27.6468 MISC .02 ALTERA CORP	085 830 9333	40902-00001 07-21-10		995.27	791.49- 36.000-
07-22-10	BNY CONVERGEX 07/19 SALE 38 SHS @ 28.3785 MISC .02 TRIMBLE NAVIGATION LTD	085 830 9333	40903-00004 07-22-10		1,078.36	1,102.21- 38.000-
07-28-10	BNY CONVERGEX 07/23 SALE 92 SHS @ 12.8118 MISC .02 SEAGATE TECHNOLOGY ISIN IE00B58JVZ52 SEDOL B5BJVZ5	085 830 9333	40909-00001 07-28-10		1,178.67	1,277.34- 92.000-
08-02-10	BNY CONVERGEX 07/28 SALE 18 SHS @ 78.7648 MISC .02 OCCIDENTAL PETROLEUM CORP	085 830 9333	40914-00005 08-02-10		1,417.74	1,479.43- 18.000-
08-03-10	BNY CONVERGEX 07/29 SALE 27 SHS @ 51.0322 MISC .02 HOSPIRA INC	085 830 9333	40915-00002 08-03-10		1,377.85	1,362.68- 27.000-
08-04-10	BNY CONVERGEX 07/30 SALE 26 SHS @ 38.0308 MISC .02 AKAMAI TECHNOLOGIES	085 830 9333	40916-00001 08-04-10		988.78	646.79- 26.000-
08-05-10	BNY CONVERGEX 08/02 SALE 33 SHS @ 36.9212 MISC .02 AKAMAI TECHNOLOGIES	085 830 9333	40917-00001 08-05-10		1,218.38	820.92- 33.000-
08-06-10	CASH IN LIEU OF .500 FRACTIONAL SHARES/PAR AT 23.9900 PER SHARE/BOND BABCOCK & WILCOX CO	085 833 9999	40918-00002 08-06-10		12.00	11.50- .500-
08-10-10	BNY CONVERGEX 08/05 SALE 70 SHS @ 19.503 MISC .02 SEI INVESTMENT CO	085 830 9333	40922-00001 08-10-10		1,365.19	1,582.06- 70.000-
08-13-10	BNY CONVERGEX 08/10 SALE 39 SHS @ 37.3603 MISC .02 WMS INDUSTRIES INC	085 830 9333	40925-00001 08-13-10		1,457.03	1,543.32- 39.000-

DATE	TRANSACTION DESCRIPTION	CODES TRAN	CODES TAX	CODES INDV	HISTORY	CASH INCOME	CASH PRINCIPAL	INVEST/UNITS
08-17-10	BNY CONVERGEX 08/12 SALE 43 SHS @ 25.9414 MISC .02 MINDRAY MEDICAL INTL LTD ADR ISIN US6026751007 SEDOL B1FCP24	085	830	9333	40929-00001 08-17-10		1,115.46	1,429 05- 43.000-
08-19-10	BNY CONVERGEX 08/16 SALE 109 SHS @ 9.0724 MISC .02 NVIDIA CORP	085	830	9333	40931-00002 08-19-10		988.87	1,399.82- 109.000-
08-23-10	BNY CONVERGEX 08/18 SALE 128 SHS @ 22.3264 MISC .05 EBAY INC	085	830	9333	40935-00002 08-23-10		2,857.73	3,379.83- 128.000-
08-24-10	BNY CONVERGEX 08/19 SALE 36 SHS @ 41.9535 MISC .03 ENSCO PLC ADS	085	830	9333	40936-00001 08-24-10		1,510.30	1,071.15- 36.000-
08-24-10	BNY CONVERGEX 08/19 SALE 51 SHS @ 38.7368 MISC .03 NATIONAL OILWELL VARCO INC	085	830	9333	40936-00003 08-24-10		1,975.54	1,777.88- 51.000-
08-25-10	BNY CONVERGEX 08/20 SALE 42 SHS @ 62.2157 MISC .04 DEVON ENERGY CORP NEW	085	830	9333	40937-00002 08-25-10		2,613.02	2,939.49- 42.000-
08-26-10	BNY CONVERGEX 08/23 SALE 45 SHS @ 37.5546 MISC .03 ACCENTURE PLC CLASS A ISIN IE00B4BNMY34 SEDOL B4BNMY3	085	830	9333	40938-00001 08-26-10		1,689.93	1,876.67- 45.000-

BANK 21
REGION 80
OFFICE 672

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -
09/01/09 THROUGH 08/31/10
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA SCGR

DATE 01/13/11
TIME 08:48
PAGE 0007

DATE	-TRANSACTION- DESCRIPTION		-CODES- TRAN TAX INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
	TOTALS FOR TAX CODE 830	SALES				26,642.02	24,205.73-P
	TOTALS FOR TAX CODE 833	SALE OF RIGHTS/FRACTIONAL SHARE OF STOCK				102.09	70.54-P
						26,744.11	24,276.27-

2467.84

DATE	-TRANSACTION- DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
09-09-09	BNY CONVERGEX 09/03 SALE 10 SHS @ 39.1649 MISC .01 BALLY TECHNOLOGIES INC	085	830	9333	40582-00002 09-09-09		391.64	269.78- 10.000-
09-11-09	BNY CONVERGEX 09/08 SALE 15 SHS @ 27.0189 MISC .01 PENN NATL GAMING INC	085	830	9333	40584-00001 09-11-09		405.27	437.11- 15.000-
09-14-09	BNY CONVERGEX 09/09 SALE 15 SHS @ 25.5878 MISC .01 LINCARE HOLDINGS INC	085	830	9333	40587-00001 09-14-09		383.81	376.50- 15.000-
09-18-09	BNY CONVERGEX 09/15 SALE 10 SHS @ 39.2401 MISC .01 AMEDISYS INC	085	830	9333	40591-00001 09-18-09		392.39	374.89- 10.000-
09-23-09	BNY CONVERGEX 09/18 SALE 10 SHS @ 37.8041 MISC .01 BALLY TECHNOLOGIES INC	085	830	9333	40596-00001 09-23-09		378.03	269.78- 10.000-
09-29-09	BNY CONVERGEX 09/24 SALE 50 SHS @ 4.6746 MISC .01 NOVELL INC	085	830	9333	40602-00002 09-29-09		233.72	264.15- 50.000-
10-08-09	BNY CONVERGEX 10/05 SALE 15 SHS @ 10.545 NETEZZA CORP	085	830	9333	40611-00001 10-08-09		158.17	148.78- 15.000-
10-13-09	BNY CONVERGEX 10/07 SALE 40 SHS @ 21.42 MISC .02 OMNITURE INC	085	830	9333	40616-00001 10-13-09		856.78	499.94- 40.000-
10-27-09	BNY CONVERGEX 10/22 SALE 10 SHS @ 29.4968 MISC .01 AMERICAN DAIRY INC	085	830	9333	40630-00002 10-27-09		294.96	313.37- 10.000-
11-06-09	BNY CONVERGEX 11/03 SALE 25 SHS @ 19.5663 MISC .01 COMPELLENT TECHNOLOGIES INC	085	830	9333	40640-00001 11-06-09		489.14	308.27- 25.000-

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
11-09-09	BNY CONVERGEX 11/04 SALE 45 SHS @ 21.071 MISC .02 TRUE RELIGION APPAREL INC	085	830	9333	40643-00001 11-09-09		948.17	709.85- 45.000-
11-10-09	BNY CONVERGEX 11/05 SALE 50 SHS @ 13.2175 MISC .02 EMS TECHNOLOGIES INC	085	830	9333	40644-00001 11-10-09		660.86	926.61- 50.000-
11-20-09	BNY CONVERGEX 11/17 SALE 60 SHS @ 4.4501 MISC .01 INTEGRATED SILICON SOLUTION INC	085	830	9333	40654-00001 11-20-09		267.00	471.60- 60.000-
12-14-09	BNY CONVERGEX 12/09 SALE 55 SHS @ 9.6941 MISC .01 TERADYNE INCORPORATED	085	830	9333	40678-00003 12-14-09		533.16	377.03- 55.000-
12-22-09	BNY CONVERGEX 12/17 SALE 10 SHS @ 53.9721 MISC .01 NETFLIX INC	085	830	9333	40686-00001 12-22-09		539.71	275.43- 10.000-
12-31-09	CASH IN LIEU OF .250 FRACTIONAL SHARES/PAR AT 333.6300 PER SHARE/BOND STEAK N SHAKE COMPANY	085	833	9999	40695-00003 12-31-09		83.41	54.08- .250-
01-07-10	BNY CONVERGEX 01/04 SALE 15 SHS @ 29.8362 MISC .01 COHERENT INC	085	830	9333	40707-00001 01-07-10		447.53	429.75- 15.000-
01-12-10	BNY CONVERGEX 01/07 SALE 1 SHS @ 292.1865 MISC .01 STEAK N SHAKE COMPANY	085	830	9333	40712-00001 01-12-10		292.18	216.33- 1.000-
01-19-10	BNY CONVERGEX 01/13 SALE 10 SHS @ 42.9207 MISC .01 HERBALIFE LTD	085	830	9333	40719-00001 01-19-10		429.20	207.00- 10.000-
01-19-10	BNY CONVERGEX 01/13 SALE 10 SHS @ 20.4973 SURMODICS INC	085	830	9333	40719-00002 01-19-10		204.97	303.96- 10.000-

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
02-03-10	BNY CONVERGEX 01/29 SALE 25 SHS @ 20.2134 MISC .01 GENCO SHIPPING & TRADING LTD ISIN MYH2685T1073 SEDOL B0DPJS2	085	830	9333	40734-00001 02-03-10		505.33	593.89- 25.000-
02-03-10	BNY CONVERGEX 01/29 SALE 60 SHS @ 4.60 NOVELL INC	085	830	9333	40734-00003 02-03-10		276.00	316.98- 60.000-
02-17-10	BNY CONVERGEX 02/11 SALE 70 SHS @ 11.5788 MISC .01 HUNTSMAN CORP	085	830	9333	40748-00001 02-17-10		810.51	288 99- 70.000-
02-26-10	BNY CONVERGEX 02/23 SALE 35 SHS @ 41.01 MISC .02 TERRA INDUSTRIES INC	085	830	9333	40757-00002 02-26-10		1,435.33	684.18- 35.000-
03-16-10	BNY CONVERGEX 03/11 SALE 5 SHS @ 32.7183 COHERENT INC	085	830	9333	40775-00002 03-16-10		163.59	143 25- 5.000-
03-31-10	BNY CONVERGEX 03/26 SALE 15 SHS @ 34.0473 MISC .01 THORATEC CORP	085	830	9333	40790-00003 03-31-10		510.70	283.41- 15.000-
04-08-10	BNY CONVERGEX 04/05 SALE 10 SHS @ 44.9791 MISC .01 OYO GEOSPACE	085	830	9333	40798-00001 04-08-10		449.78	685.15- 10.000-
04-08-10	BNY CONVERGEX 04/05 SALE 15 SHS @ 29.9783 MISC .01 PSYCHIATRIC SOLUTIONS INC	085	830	9333	40798-00002 04-08-10		449.67	353.90- 15.000-
04-15-10	BNY CONVERGEX 04/12 SALE 50 SHS @ 12.3097 MISC .01 COMPELLENT TECHNOLOGIES INC	085	830	9333	40805-00001 04-15-10		615.47	616.53- 50.000-
04-15-10	BNY CONVERGEX 04/12 SALE 50 SHS @ 12.536 MISC .01 MASTEC INC	085	830	9333	40805-00002 04-15-10		626.79	602.03- 50.000-

BANK 21
REGION 80
OFFICE 672

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -
09/01/09 THROUGH 08/31/10
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA SCGR

DATE 01/13/11
TIME 08:48

DATE	-TRANSACTION- DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- CASH - INCOME	PRINCIPAL	INVEST/UNITS
04-27-10	BNY CONVERGEX 04/22 SALE 35 SHS @ 12.8636 MISC .01 TERADYNE INCORPORATED	085	830	9333	40817-00003 04-27-10		450.22	239.92- 35.000-
05-05-10	BNY CONVERGEX 04/30 SALE 15 SHS @ 45.061 MISC .01 COINSTAR INC	085	830	9333	40825-00001 05-05-10		675.90	476.41- 15.000-
05-11-10	BNY CONVERGEX 05/06 SALE 10 SHS @ 95.3068 MISC .02 BIO RAD LABORATORIES INC CLASS A	085	830	9333	40831-00001 05-11-10		953.05	897.44- 10.000-
05-12-10	CASH IN LIEU OF .500 FRACTIONAL SHARES/PAR AT 37.3500 PER SHARE/BOND MADDEN STEVEN LTD	085	833	9999	40832-00001 05-12-10		18.68	16.46- .500-
05-13-10	BNY CONVERGEX 05/10 SALE 20 SHS @ 30.4296 MISC .01 PSYCHIATRIC SOLUTIONS INC	085	830	9333	40833-00001 05-13-10		608.58	471.86- 20.000-
05-14-10	BNY CONVERGEX 05/11 SALE 20 SHS @ 36.1441 MISC .01 CASH AMERICA INTERNATIONAL INC	085	830	9333	40834-00001 05-14-10		722.87	491.18- 20.000-
05-27-10	BNY CONVERGEX 05/24 SALE 15 SHS @ 19.0633 UNIVERSAL ELECTRONICS	085	830	9333	40847-00001 05-27-10		285.94	381.71- 15.000-
06-09-10	BNY CONVERGEX 06/04 SALE 20 SHS @ 21.0836 MISC .01 CUBIST PHARMACEUTICALS INC	085	830	9333	40860-00001 06-09-10		421.66	334.65- 20.000-
06-18-10	BNY CONVERGEX 06/15 SALE 65 SHS @ 10.9803 MISC .01 FORM FACTOR INC	085	830	9333	40869-00001 06-18-10		713.71	1,209 47- 65.000-
06-21-10	BNY CONVERGEX 06/16 SALE 30 SHS @ 18.4345 MISC .01 GENOPTIX INC	085	830	9333	40872-00002 06-21-10		553.03	902.58- 30.000-

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
06-21-10	BNY CONVERGEX 06/16 SALE 25 SHS @ 10.5611 VIVUS INC	085	830	9333	40872-00003 06-21-10		264.02	290.07- 25.000-
07-01-10	BNY CONVERGEX 06/28 SALE 15 SHS @ 83.4141 MISC .02 WHITING PETROLEUM CORP	085	830	9333	40882-00002 07-01-10		1,251.19	715.61- 15.000-
07-13-10	BNY CONVERGEX 07/08 SALE 10 SHS @ 41.3202 MISC .01 UNIT CORP	085	830	9333	40894-00001 07-13-10		413.20	495.07- 10.000-
07-16-10	BNY CONVERGEX 07/13 SALE 15 SHS @ 27.0064 MISC .01 AMEDISYS INC	085	830	9333	40897-00001 07-16-10		405.09	562.33- 15.000-
07-19-10	BNY CONVERGEX 07/14 SALE 25 SHS @ 18.5732 MISC .01 RITCHIE BROS AUCTIONEERS INC	085	830	9333	40900-00003 07-19-10		464.32	506.52- 25.000-
07-19-10	BNY CONVERGEX 07/14 SALE 25 SHS @ 18.8398 MISC .01 RITCHIE BROS AUCTIONEERS INC	085	830	9333	40900-00004 07-19-10		470.99	506.52- 25.000-
07-22-10	BNY CONVERGEX 07/19 SALE 85 SHS @ 2.3165 GLEACHER & CO INC	085	830	9333	40903-00002 07-22-10		196.90	453.83- 85.000-
07-22-10	BNY CONVERGEX 07/19 SALE 30 SHS @ 53.45 MISC .03 NBTY INC	085	830	9333	40903-00003 07-22-10		1,603.47	1,052.69- 30.000-
07-29-10	BNY CONVERGEX 07/26 SALE 30 SHS @ 7.6713 CUTERA INC	085	830	9333	40910-00001 07-29-10		230.14	690.30- 30.000-
07-29-10	BNY CONVERGEX 07/26 SALE 50 SHS @ 5.1109 FIRST COMMONWEALTH FINANCIAL CRP (PA)	085	830	9333	40910-00002 07-29-10		255.54	369.45- 50.000-

BANK 21
REGION 80
OFFICE 672

- T R A N S A C T I O N   A N A L Y S I S / S U M M A R Y -

09/01/09 THROUGH 08/31/10
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA SCGR

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- CASH - INCOME	PRINCIPAL	INVEST/UNITS
08-04-10	BNY CONVERGEX 07/30 SALE 20 SHS @ 44.3138 MISC .01 COINSTAR INC	085	830	9333	40916-00001 08-04-10		886.26	635.22- 20.000-
08-06-10	BNY CONVERGEX 08/03 SALE 15 SHS @ 26.5124 MISC .01 ONYX PHARMACEUTICALS INC	085	830	9333	40918-00001 08-06-10		397.68	464.68- 15.000-
08-10-10	BNY CONVERGEX 08/05 SALE 10 SHS @ 26.8407 ONYX PHARMACEUTICALS INC	085	830	9333	40922-00001 08-10-10		268.40	309.78- 10.000-

BANK 21
REGION 80
OFFICE 690

- T R A N S A C T I O N A N A L Y S I S / S U M M A R Y -

09/01/09 THROUGH 08/31/10
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA SCVA

DATE 01/13/11
TIME 08:53

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN TAX INDV	HISTORY	- C A S H - INCOME	PRINCIPAL	INVEST/UNITS
	TOTALS FOR TAX CODE 081	LONG TERM CAPITAL GAIN DISTRIBUTION			.01	
	TOTALS FOR TAX CODE 830	SALES			3,573.89	5,723.69-P
	TOTALS FOR TAX CODE 833	SALE OF RIGHTS/FRACTIONAL SHARE OF STOCK			8.29	5.35-P
					3582.19	5729.04

(2146.85)

BANK 21
REGION 80
OFFICE 690

- T R A N S A C T I O N   A N A L Y S I S / S U M M A R Y -

09/01/09 THROUGH 08/31/10
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA SCVA

DATE 01/13/11
TIME 08:53
PAGE 0001

-TRANSACTION- DATE	DESCRIPTION	-CODES- TRAN	TAX	INDV	HISTORY	- CASH - INCOME	PRINCIPAL	INVEST/UNITS
10-05-09	BNY CONVERGEX 09/30 SALE 60 SHS @ 3.8733 MISC .01 HEADWATERS INC	085	830	9333	40608-00001 10-05-09		232.39	1,017.05- 60.000-
10-08-09	BNY CONVERGEX 10/05 SALE 50 SHS @ 8.9823 MISC .01 EAST WEST BANCORP INC	085	830	9333	40611-00001 10-08-09		449.10	667.38- 50.000-
12-15-09	BNY CONVERGEX 12/10 SALE 31 SHS @ 29.261 MISC .02 REPUBLIC SVCS INC	085	830	9333	40679-00001 12-15-09		907.07	711.62- 31.000-
02-08-10	BNY CONVERGEX 02/03 SALE 15 SHS @ 30.2586 MISC .01 GRANITE CONSTRUCTION INC	085	830	9333	40739-00001 02-08-10		453.87	795.88- 15.000-
02-26-10	LONG TERM CAPITAL GAINS DISTRIBUTION PAYABLE 12/31/09 AT .0000 PER SHARE BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1	081	081	00-0000	40757-00002 02-26-10		.01	
04-23-10	BNY CONVERGEX 04/20 SALE 25 SHS @ 39.5667 MISC .02 ST MARY LD & EXPL CO	085	830	9333	40813-00001 04-23-10		989.15	898.80- 25.000-
04-28-10	BNY CONVERGEX 04/23 SALE 10 SHS @ 30.0215 MISC .01 STUDENT LOAN CORP COMMON	085	830	9333	40818-00001 04-28-10		300.21	1,042.99- 10.000-
06-23-10	CASH IN LIEU OF .750 FRACTIONAL SHARES/PAR AT 11.0500 PER SHARE/BOND FURIEX PHARMACEUTICALS INC	085	833	9999	40874-00001 06-23-10		8.29	5.35- .750-
06-25-10	BNY CONVERGEX 06/22 SALE 3 SHS @ 10.50 FURIEX PHARMACEUTICALS INC	085	830	9333	40876-00001 06-25-10		31.50	21.42- 3.000-
08-30-10	BNY CONVERGEX 08/25 SALE 15 SHS @ 14.04 SCHOOL SPECIALTY INC	085	830	9333	40942-00002 08-30-10		210.60	568.55- 15.000-

REPORT: TMS-TX-23
BANK 21 PNC BANK (21)
REGION 80 KENTUCKY
OFFICE 001 C & E

GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR
FROM: 09/01/09 TO: 08/31/10

TAX CODE	OR-OFF	SERIAL SEQ LOT#	DATES ACQUIRED	DATES TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET G1151C101 ACCENTURE PLC CLASS A ISIN IE00B4BNMY34 SEDOL B4BNMY3									
830	640	40938-00001 00000	02/02/10	08/23/10	45.000	1,689.93	1,876.67	186.74-S	.00
TOTAL-SHORT					45.000	1,689.93	1,876.67	186.74-	.00
ASSET G4412G101 HERBALIFE LTD									
830	672	40719-00001 00000	02/12/09	01/13/10	10.000	429.20	207.00	222.20 S	.00
TOTAL-SHORT					10.000	429.20	207.00	222.20	.00
ASSET G7945M107 SEAGATE TECHNOLOGY ISIN IE00B58JVZ52 SEDOL B5BJVZ5									
830	640	40909-00001 00000	08/31/09	07/23/10	92.000	1,178.67	1,277.34	98.67-S	.00
TOTAL-SHORT					92.000	1,178.67	1,277.34	98.67-	.00
ASSET H5833N103 NOBLE CORPORATION SEDOL: B65Z9D7 ISIN: CH0033347318									
830	640	40777-00001 00000	01/08/10	03/15/10	20.000	865.02	898.82	33.80-S	.00
830	640	40777-00001 00000	05/12/08	03/15/10	13.000	562.26	795.98	233.72-L	.00
830	640	40789-00001 00000	05/12/08	03/25/10	41.000	1,653.17	2,510.40	857.23-L	.00
830	640	40790-00001 00000	05/12/08	03/26/10	18.000	716.82	1,102.12	385.30-L	.00
TOTAL-SHORT					92.000	3,797.27	5,307.32	33.80-	.00
TOTAL-LONG								1,476.25-	.00
ASSET H8817H100 TRANSOCEAN LTD SEDOL B3KFWW1 ISIN CH0048265513									
830	640	40767-00001 00000	05/17/07	03/03/10	8.807	718.80	772.07	53.27-L	.00
830	640	40767-00001 00000	08/30/07	03/03/10	5.193	423.83	530.31	106.48-L	.00
830	640	40770-00001 00000	08/30/07	03/08/10	6.000	511.29	612.72	101.43-L	.00
830	640	40770-00001 00000	12/20/07	03/08/10	10.000	852.16	1,340.32	488.16-L	.00
TOTAL-LONG					30.000	2,506.08	3,255.42	749.34-	.00
ASSET M22465104 CHECK POINT SOFTWARE TECH SEDOL 2181334 ISIN IL0010824113									
830	640	40652-00001 00000	03/31/09	11/13/09	45.000	1,440.10	1,002.28	437.82 S	.00

TAX CODE	OR-OFF	SERIAL	SEQ	LOT#	DATES ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	GAIN/LOSS INFORMATION FEDERAL G/L	STATE G/L
		TOTAL-SHORT					45.000	1,440.10	1,002.28	437.82	.00
ASSET Y2685T107 GENCO SHIPPING & TRADING LTD ISIN MYH2685T1073 SEDOL B0DPJS2											
830	672	40734-00001	00000		07/20/09	01/29/10	10.000	202.13	236.61	34.48-S	.00
830	672	40734-00001	00000		07/17/09	01/29/10	15.000	303.20	357.28	54.08-S	.00
		TOTAL-SHORT					25.000	505.33	593.89	88.56-	.00
ASSET 001084102 AGCO CORP											
830	640	40665-00001	00000		07/30/08	11/25/09	38.000	1,154.11	2,277.23	1,123.12-L	.00
		TOTAL-LONG					38.000	1,154.11	2,277.23	1,123.12-	.00
ASSET 00846U101 AGILENT TECHNOLOGIES (IPO)											
830	633	40576-00002	00000		02/20/09	08/31/09	70.000	1,793.35	1,067.30	726.05 S	.00
		TOTAL-SHORT					70.000	1,793.35	1,067.30	726.05	.00
ASSET 008916108 AGRIUM INC SEDOL 2015530 ISIN CA0089161081											
830	640	40653-00003	00000		06/16/09	11/16/09	19.000	1,026.33	910.04	116.29 S	.00
830	640	40653-00003	00000		05/27/09	11/16/09	5.000	270.09	254.45	15.64 S	.00
		TOTAL-SHORT					24.000	1,296.42	1,164.49	131.93	.00
ASSET 00971T101 AKAMAI TECHNOLOGIES											
830	640	40916-00001	00000		11/16/09	07/30/10	26.000	988.78	646.79	341.99 S	.00
830	640	40917-00001	00000		11/16/09	08/02/10	33.000	1,218.38	820.92	397.46 S	.00
		TOTAL-SHORT					59.000	2,207.16	1,467.71	739.45	.00
ASSET 015351109 ALEXION PHARMACEUTICALS INC											
830	640	40841-00001	00000		07/31/09	05/18/10	29.000	1,464.05	1,287.09	176.96 S	.00

TAX CODE	OR-OFF	SERIAL	DATES SEQ	LOT#	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	GAIN/LOSS INFORMATION FEDERAL G/L	STATE G/L
ASSET 015351109 (CONT'D)											
	TOTAL-SHORT						29.000	1,464.05	1,287.09	176.96	.00
ASSET 018490102 ALLERGAN INC											
830	640	40623-00001	00000		03/03/09	10/15/09	23.000	1,332.26	818.49	513.77 S	.00
	TOTAL-SHORT						23.000	1,332.26	818.49	513.77	.00
ASSET 021441100 ALTERA CORP											
830	640	40757-00005	00000		05/06/08	02/23/10	64.000	1,481.59	1,407.09	74.50 L	.00
830	640	40902-00001	00000		05/06/08	07/16/10	36.000	995.27	791.49	203.78 L	.00
	TOTAL-LONG						100.000	2,476.86	2,198.58	278.28	.00
ASSET 023436108 AMEDISYS INC											
830	672	40591-00001	00000		09/03/09	09/15/09	10.000	392.39	324.49	67.90 S	.00
830	672	40897-00001	00000		09/03/09	07/13/10	5.000	135.03	162.24	27.21-S	.00
830	672	40897-00001	00000		02/24/09	07/13/10	10.000	270.06	450.49	180.43-L	.00
	TOTAL-SHORT						25.000	797.48	937.22	40.69	.00
	TOTAL-LONG									180.43-	.00
ASSET 02364W105 AMERICA MOVIL S A B DE C V											
830	640	40729-00001	00000		11/05/04	01/26/10	66.000	2,811.97	984.42	1,827.55 L	.00
	TOTAL-LONG						66.000	2,811.97	984.42	1,827.55	.00
ASSET 025334103 AMERICAN DAIRY INC											
		NAME CHG 11/08/10 SEE 31429Y103									
830	672	40630-00002	00000		10/15/09	10/22/09	10.000	294.96	313.37	18.41-S	.00
	TOTAL-SHORT						10.000	294.96	313.37	18.41-	.00
ASSET 025816109 AMERICAN EXPRESS CO											
830	633	40576-00003	00000		08/27/04	08/31/09	267.000	8,995.47	11,679.79	2,684.32-L	.00
	TOTAL-LONG						267.000	8,995.47	11,679.79	2,684.32-	.00
ASSET 03076C106 AMERIPRISE FINANCIAL INC-W/I											
830	633	40576-00004	00000		08/27/04	08/31/09	168.000	5,056.97	5,304.99	248.02-L	.00

TAX CODE	OR-OFF	SERIAL	SEQ	DATES ACQUIRED	DATES TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 03076C106 (CONT'D)										
		TOTAL-LONG				168.000	5,056.97	5,304.99	248.02-	.00
ASSET 031100100 AMETEK INC NEW										
830	640	40584-00001	00000	02/01/08	09/08/09	27.000	869.64	1,190.73	321.09-L	.00
		TOTAL-LONG				27.000	869.64	1,190.73	321.09-	.00
ASSET 037833100 APPLE INC										
830	640	40598-00001	00000	10/28/04	09/22/09	5.000	918.91	129.79	789.12 L	.00
830	640	40636-00001	00000	10/28/04	10/28/09	2.000	388.17	51.92	336.25 L	.00
830	640	40789-00002	00000	10/28/04	03/25/10	3.000	691.32	77.88	613.44 L	.00
830	640	40817-00002	00000	10/28/04	04/22/10	3.000	770.31	77.88	692.43 L	.00
830	640	40852-00002	00000	10/28/04	05/26/10	3.000	748.59	77.88	670.71 L	.00
		TOTAL-LONG				16.000	3,517.30	415.35	3,101.95	.00
ASSET 04314H709 ARTISAN MID CAP VALUE FUND										
831	000	40596-00001	00000	03/10/09	09/22/09	2,693.209	46,000.00	28,413.35	17,586.65 S	.00
831	000	40680-00002	00000	03/10/09	12/15/09	4,192.286	75,000.00	44,228.62	30,771.38 S	.00
831	000	40874-00001	00000	03/10/09	06/22/10	507.870	9,121.34	5,358.03	3,763.31 L	.00
831	000	40874-00001	00000	12/30/08	06/22/10	1,638.567	29,428.66	20,793.41	8,635.25 L	.00
		TOTAL-SHORT				9,031.932	159,550.00	98,793.41	48,358.03	.00
		TOTAL-LONG							12,398.56	.00
ASSET 05615F102 BABCOCK & WILCOX CO										
833	640	40918-00002	00000	01/20/10	08/06/10	.500	12.00	11.50	.50 S	.00
		TOTAL-SHORT				.500	12.00	11.50	.50	.00
ASSET 056752108 BAIDU INC										
830	640	40736-00002	00000	02/24/09	02/02/10	5.000	2,120.38	699.00	1,421.38 S	.00
		TOTAL-SHORT				5.000	2,120.38	699.00	1,421.38	.00
ASSET 05874B107 BALLY TECHNOLOGIES INC										
830	672	40582-00002	00000	11/18/08	09/03/09	10.000	391.64	165.22	226.42 S	.00
830	672	40596-00001	00000	10/20/08	09/18/09	10.000	378.03	223.25	154.78 S	.00

TAX CODE	OR-OFF	SERIAL	SEQ	DATES LOT#	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 05874B107 (CONT'D)											
	TOTAL-SHORT						20.000	769.67	388.47	381.20	.00
ASSET 064058100 BANK NEW YORK MELLON CORP COM											
830	633	40576-00005		00000	03/10/09	08/31/09	100.000	2,941.10	1,888.61	1,052.49 S	.00
830	633	40576-00005		00000	11/18/08	08/31/09	27.000	794.10	729.16	64.94 S	.00
	TOTAL-SHORT						127.000	3,735.20	2,617.77	1,117.43	.00
ASSET 068278100 BARON ASSET FUND FD #585											
831	000	40680-00003		00000	12/30/08	12/15/09	716.949	32,542.32	25,000.00	7,542.32 S	.00
831	000	40680-00003		00000	03/15/07	12/15/09	384.615	17,457.68	23,284.60	5,826.92-L	.00
831	000	40848-00001		00000	03/15/07	05/27/10	704.371	34,000.00	42,642.63	8,642.63-L	.00
	TOTAL-SHORT						1,805.935	84,000.00	90,927.23	7,542.32	.00
	TOTAL-LONG									14,469.55-	.00
ASSET 075887109 BECTON DICKINSON & CO											
830	633	40576-00006		00000	06/08/09	08/31/09	24.000	1,663.39	1,607.60	55.79 S	.00
	TOTAL-SHORT						24.000	1,663.39	1,607.60	55.79	.00
ASSET 075896100 BED BATH AND BEYOND											
830	633	40576-00007		00000	02/01/08	08/31/09	79.000	2,882.63	2,540.07	342.56 L	.00
	TOTAL-LONG						79.000	2,882.63	2,540.07	342.56	.00
ASSET 084670207 BERKSHIRE HATHAWAY INC DEL EXCH 01/20/10 SEE 084670702											
830	633	40576-00008		00000	08/27/04	08/31/09	2.000	6,492.63	5,760.00	732.63 L	.00
	TOTAL-LONG						2.000	6,492.63	5,760.00	732.63	.00
ASSET 084670702 BERKSHIRE HATHAWAY INC CLASS B											
830	633	40743-00001		00000	08/27/04	02/09/10	25.000	1,854.71	1,440.00	414.71 L	.00
830	633	40819-00001		00000	08/27/04	04/26/10	70.000	5,570.79	4,032.00	1,538.79 L	.00

TAX CODE	OR-OFF	SERIAL	SEQ	LOT#	DATES ACQUIRED	DATES TRADE	UNITS	PROCEEDS	TAX COST	GAIN/LOSS INFORMATION FEDERAL G/L	STATE G/L
ASSET 084670702 (CONT'D)											
		TOTAL-LONG					95.000	7,425.50	5,472.00	1,953.50	.00
ASSET 090572207 BIO RAD LABORATORIES INC CLASS A											
830	672	40831-00001	00000		06/13/08	05/06/10	10.000	953.05	897.44	55.61 L	.00
		TOTAL-LONG					10.000	953.05	897.44	55.61	.00
ASSET 09251M504 BLACKROCK FUNDS EQUITY DIVIDEND INSTITUTIONAL CLASS FUND 383											
831	000	40874-00002	00000		03/10/09	06/22/10	2,050.326	31,616.03	22,000.00	9,616.03 L	.00
831	000	40874-00002	00000		12/30/08	06/22/10	1,354.343	20,883.97	17,714.81	3,169.16 L	.00
		TOTAL-LONG					3,404.669	52,500.00	39,714.81	12,785.19	.00
ASSET 093671105 BLOCK H & R INC											
830	633	40576-00009	00000		08/27/04	08/31/09	119.000	2,052.90	2,916.69	863.79-L	.00
830	633	40735-00001	00000		08/27/04	02/01/10	256.000	5,506.46	6,274.56	768.10-L	.00
		TOTAL-LONG					375.000	7,559.36	9,191.25	1,631.89-	.00
ASSET 09534T508 BLUE COAT SYSTEMS INC											
830	640	40855-00001	00000		01/22/10	06/01/10	49.000	1,024.58	1,416.22	391.64-S	.00
		TOTAL-SHORT					49.000	1,024.58	1,416.22	391.64-	.00
ASSET 111320107 BROADCOM CORP											
830	640	40774-00001	00000		11/25/08	03/10/10	80.000	2,590.48	1,188.54	1,401.94 L	.00
		TOTAL-LONG					80.000	2,590.48	1,188.54	1,401.94	.00
ASSET 118759109 BUCYRUS INTL INC											
830	640	40722-00001	00000		09/01/09	01/19/10	26.000	1,711.41	765.55	945.86 S	.00
830	640	40778-00001	00000		07/30/08	03/16/10	31.000	2,001.37	2,270.65	269.28-L	.00

TAX CODE	OR-OFF	SERIAL	SEQ	LOT#	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 118759109 (CONT'D)											
	TOTAL-SHORT						57.000	3,712.78	3,036.20	945.86	.00
	TOTAL-LONG									269.28-	.00
ASSET 126650100 CVS CAREMARK CORPORATION											
830	633	40576-00010	00000		12/01/08	08/31/09	143.000	5,374.06	3,980.78	1,393.28 S	.00
	TOTAL-SHORT						143.000	5,374.06	3,980.78	1,393.28	.00
ASSET 13342B105 CAMERON INTL CORP											
830	640	40826-00001	00000		06/17/09	05/03/10	30.000	1,213.01	851.21	361.80 S	.00
830	640	40826-00001	00000		09/15/08	05/03/10	38.000	1,536.49	1,524.55	11.94 L	.00
	TOTAL-SHORT						68.000	2,749.50	2,375.76	361.80	.00
	TOTAL-LONG									11.94	.00
ASSET 14149Y108 CARDINAL HEALTH INC											
830	633	40719-00001	00000		08/27/04	01/13/10	85.000	2,719.97	2,816.42	96.45-L	.00
830	633	40872-00001	00000		08/27/04	06/16/10	110.000	3,934.49	3,644.79	289.70 L	.00
	TOTAL-LONG						195.000	6,654.46	6,461.21	193.25	.00
ASSET 14170T101 CAREFUSION CORP											
833	633	40583-00001	00000		08/27/04	09/10/09	.500	9.40	12.71	3.31-L	.00
830	633	40929-00001	00000		08/27/04	08/12/10	97.000	2,214.05	2,464.88	250.83-L	.00
	TOTAL-LONG						97.500	2,223.45	2,477.59	254.14-	.00
ASSET 14754D100 CASH AMERICA INTERNATIONAL INC											
830	672	40834-00001	00000		01/28/09	05/11/10	15.000	542.15	291.28	250.87 L	.00
830	672	40834-00001	00000		09/02/04	05/11/10	5.000	180.72	114.85	65.87 L	.00
	TOTAL-LONG						20.000	722.87	406.13	316.74	.00
ASSET 151020104 CELGENE CORP											
830	640	40735-00001	00000		10/10/08	02/01/10	26.000	1,477.49	1,386.38	91.11 L	.00

TAX CODE	OR-OFF	SERIAL	SEQ	LOT#	DATES ACQUIRED	DATES TRADE	UNITS	PROCEEDS	TAX COST	GAIN/LOSS INFORMATION FEDERAL G/L	STATE G/L
ASSET 151020104 (CONT'D)											
	TOTAL-LONG						26.000	1,477.49	1,386.38	91.11	.00
ASSET 168615102 CHICOS FAS INC											
830	640	40893-00001	00000		01/20/10	07/07/10	109.000	1,102.61	1,483.09	380.48-S	.00
	TOTAL-SHORT						109.000	1,102.61	1,483.09	380.48-	.00
ASSET 189754104 COACH INC											
830	640	40612-00001	00000		10/31/08	10/06/09	45.000	1,470.33	921.43	548.90 S	.00
	TOTAL-SHORT						45.000	1,470.33	921.43	548.90	.00
ASSET 192479103 COHERENT INC											
830	672	40707-00001	00000		09/02/04	01/04/10	15.000	447.53	385.50	62.03 L	.00
830	672	40775-00002	00000		09/02/04	03/11/10	5.000	163.59	128.50	35.09 L	.00
	TOTAL-LONG						20.000	611.12	514.00	97.12	.00
ASSET 19259P300 COINSTAR INC											
830	672	40825-00001	00000		08/14/09	04/30/10	15.000	675.90	468.43	207.47 S	.00
830	672	40916-00001	00000		08/14/09	07/30/10	5.000	221.57	156.14	65.43 S	.00
830	672	40916-00001	00000		04/28/09	07/30/10	15.000	664.69	487.06	177.63 L	.00
	TOTAL-SHORT						35.000	1,562.16	1,111.63	272.90	.00
	TOTAL-LONG									177.63	.00
ASSET 20030N200 COMCAST CORP CLASS A SPECIAL											
830	633	40576-00011	00000		08/27/04	08/31/09	164.000	2,391.49	3,029.63	638.14-L	.00
830	633	40750-00001	00000		08/27/04	02/16/10	856.000	12,536.56	15,813.17	3,276.61-L	.00
	TOTAL-LONG						1,020.000	14,928.05	18,842.80	3,914.75-	.00
ASSET 20452A108 COMPELLENT TECHNOLOGIES INC											
830	672	40640-00001	00000		04/06/09	11/03/09	25.000	489.14	298.74	190.40 S	.00
830	672	40805-00001	00000		04/06/09	04/12/10	12.000	147.71	143.40	4.31 L	.00
830	672	40805-00001	00000		04/06/09	04/12/10	8.000	98.48	97.60	.88 L	.00
830	672	40805-00001	00000		03/02/09	04/12/10	30.000	369.28	385.06	15.78-L	.00

TAX CODE	OR-OFF	SERIAL	SEQ	DATES ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 20452A108 (CONT'D)										
		TOTAL-SHORT				75.000	1,104.61	924.80	190.40	.00
		TOTAL-LONG							10.59-	.00
ASSET 20825C104 CONOCOPHILLIPS										
830	633	40576-00012	00000	08/27/04	08/31/09	58.000	2,602.39	2,130.05	472.34 L	.00
830	633	40729-00001	00000	08/27/04	01/26/10	7.000	354.01	257.07	96.94 L	.00
830	633	40729-00001	00000	09/14/06	01/26/10	23.000	1,163.17	1,360.37	197.20-L	.00
830	633	40894-00001	00000	09/14/06	07/08/10	67.000	3,433.95	3,962.83	528.88-L	.00
		TOTAL-LONG				155.000	7,553.52	7,710.32	156.80-	.00
ASSET 22160K105 COSTCO WHOLESALE CORP										
830	633	40576-00013	00000	08/27/04	08/31/09	301.000	15,372.21	12,666.38	2,705.83 L	.00
		TOTAL-LONG				301.000	15,372.21	12,666.38	2,705.83	.00
ASSET 229678107 CUBIST PHARMACEUTICALS INC										
830	672	40860-00001	00000	04/23/09	06/04/10	10.000	210.83	162.16	48.67 L	.00
830	672	40860-00001	00000	04/15/09	06/04/10	10.000	210.83	172.49	38.34 L	.00
		TOTAL-LONG				20.000	421.66	334.65	87.01	.00
ASSET 232109108 CUTERA INC										
830	672	40910-00001	00000	05/08/07	07/26/10	30.000	230.14	690.30	460.16-L	.00
		TOTAL-LONG				30.000	230.14	690.30	460.16-	.00
ASSET 249030107 DENTSPLY INTERNATIONAL INC										
830	640	40652-00002	00000	09/29/08	11/13/09	39.000	1,322.31	1,469.72	147.41-L	.00
		TOTAL-LONG				39.000	1,322.31	1,469.72	147.41-	.00
ASSET 25179M103 DEVON ENERGY CORP NEW										
830	640	40867-00001	00000	06/09/09	06/11/10	24.000	1,626.14	1,532.92	93.22 L	.00
830	640	40937-00002	00000	06/09/09	08/20/10	2.000	124.43	127.74	3.31-L	.00
830	640	40937-00002	00000	11/19/09	08/20/10	14.000	871.01	969.32	98.31-S	.00
830	640	40937-00002	00000	01/05/10	08/20/10	26.000	1,617.58	1,989.22	371.64-S	.00

TAX CODE	OR-OFF	SERIAL	SEQ LOT#	DATES ACQUIRED	DATES TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 25179M103 (CONT'D)										
TOTAL-SHORT						66.000	4,239.16	4,619.20	469.95-	.00
TOTAL-LONG									89.91	.00
ASSET 25243Q205 DIAGEO PLC SPONSORED ADR										
830	633	40576-00014	00000	08/27/04	08/31/09	25.000	1,547.46	1,241.25	306.21 L	.00
TOTAL-LONG						25.000	1,547.46	1,241.25	306.21	.00
ASSET 25659T107 DOLBY LABORATORIES INC CLASS A										
830	640	40844-00002	00000	11/10/08	05/19/10	32.000	2,017.40	1,022.92	994.48 L	.00
TOTAL-LONG						32.000	2,017.40	1,022.92	994.48	.00
ASSET 26873N108 EMS TECHNOLOGIES INC										
830	672	40644-00001	00000	05/12/09	11/05/09	15.000	198.26	261.79	63.53-S	.00
830	672	40644-00001	00000	04/09/09	11/05/09	15.000	198.26	265.45	67.19-S	.00
830	672	40644-00001	00000	02/26/09	11/05/09	20.000	264.34	399.37	135.03-S	.00
TOTAL-SHORT						50.000	660.86	926.61	265.75-	.00
ASSET 26875P101 EOG RES INC										
830	633	40576-00015	00000	08/19/03	08/31/09	50.000	3,591.90	1,019.25	2,572.65 L	.00
830	633	40576-00015	00000	06/16/03	08/31/09	10.000	718.38	210.15	508.23 L	.00
TOTAL-LONG						60.000	4,310.28	1,229.40	3,080.88	.00
ASSET 27579R104 EAST WEST BANCORP INC										
830	690	40611-00001	00000	05/06/09	10/05/09	5.000	44.91	45.98	1.07-S	.00
830	690	40611-00001	00000	05/09/08	10/05/09	45.000	404.19	621.40	217.21-L	.00

TAX CODE	OR-OFF	SERIAL	SEQ LOT#	DATES ACQUIRED	DATES TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 27579R104 (CONT'D)										
			TOTAL-SHORT			50.000	449.10	667.38	1.07-	.00
			TOTAL-LONG						217.21-	.00
ASSET 278642103 EBAY INC										
830	640	40707-00001	00000	08/11/09	01/04/10	46.000	1,094.77	1,031.72	63.05 S	.00
830	640	40707-00001	00000	05/12/08	01/04/10	22.000	523.59	684.42	160.83-L	.00
830	640	40935-00002	00000	06/11/10	08/18/10	44.000	982.34	987.27	4.93-S	.00
830	640	40935-00002	00000	05/12/08	08/18/10	84.000	1,875.39	2,613.25	737.86-L	.00
			TOTAL-SHORT			196.000	4,476.09	5,316.66	58.12	.00
			TOTAL-LONG						898.69-	.00
ASSET 291011104 EMERSON ELECTRIC CO										
830	640	40629-00004	00000	04/02/09	10/21/09	46.000	1,843.24	1,423.39	419.85 S	.00
			TOTAL-SHORT			46.000	1,843.24	1,423.39	419.85	.00
ASSET 29358Q109 ENSCO PLC ADS										
830	640	40708-00002	00000	01/22/09	01/05/10	32.000	1,366.26	1,349.60	16.66 S	.00
830	640	40936-00001	00000	10/28/08	08/19/10	28.000	1,174.68	1,180.90	6.22-L	.00
830	640	40936-00001	00000	01/22/09	08/19/10	8.000	335.62	337.40	1.78-L	.00
			TOTAL-SHORT			68.000	2,876.56	2,867.90	16.66	.00
			TOTAL-LONG						8.00-	.00
ASSET 302182100 EXPRESS SCRIPTS INC										
830	633	40576-00016	00000	02/21/08	08/31/09	36.000	2,595.89	2,344.19	251.70 L	.00
			TOTAL-LONG			36.000	2,595.89	2,344.19	251.70	.00
ASSET 302445101 FLIR SYSTEM INC										
830	640	40771-00001	00000	05/14/07	03/09/10	55.000	1,459.75	1,153.51	306.24 L	.00
			TOTAL-LONG			55.000	1,459.75	1,153.51	306.24	.00
ASSET 302941109 FTI CONSULTING INC										
830	640	40722-00002	00000	08/25/08	01/19/10	31.000	1,339.21	2,267.42	928.21-L	.00

REPORT: TMS-TX-23
BANK 21 PNC BANK (21)
REGION 80 KENTUCKY
OFFICE 001 C & E

GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR
FROM: 09/01/09 TO: 08/31/10

TAX CODE	OR-OFF	SERIAL	SEQ	LOT#	DATES ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	GAIN/LOSS INFORMATION FEDERAL G/L	STATE G/L
ASSET 302941109 (CONT'D)											
		TOTAL-LONG					31.000	1,339.21	2,267.42	928.21-	.00
ASSET 319829107 FIRST COMMONWEALTH FINANCIAL CRP (PA)											
830	672	40910-00002	00000		03/10/09	07/26/10	50.000	255.54	369.45	113.91-L	.00
		TOTAL-LONG					50.000	255.54	369.45	113.91-	.00
ASSET 343412102 FLUOR CORP											
830	640	40653-00006	00000		07/26/07	11/16/09	32.000	1,429.37	1,806.91	377.54-L	.00
		TOTAL-LONG					32.000	1,429.37	1,806.91	377.54-	.00
ASSET 346375108 FORM FACTOR INC											
830	672	40869-00001	00000		11/06/09	06/15/10	30.000	329.40	516.47	187.07-S	.00
830	672	40869-00001	00000		04/07/10	06/15/10	15.000	164.70	285.13	120.43-S	.00
830	672	40869-00001	00000		07/06/09	06/15/10	15.000	164.71	293.04	128.33-S	.00
830	672	40869-00001	00000		07/31/09	06/15/10	5.000	54.90	114.83	59.93-S	.00
		TOTAL-SHORT					65.000	713.71	1,209.47	495.76-	.00
ASSET 36106P101 FURIEX PHARMACEUTICALS INC											
833	690	40874-00001	00000		09/02/04	06/23/10	.750	8.29	5.35	2.94 L	.00
830	690	40876-00001	00000		09/02/04	06/22/10	3.000	31.50	21.42	10.08 L	.00
		TOTAL-LONG					3.750	39.79	26.77	13.02	.00
ASSET 36467W109 GAMESTOP CORP CL A											
830	640	40714-00004	00000		06/29/07	01/11/10	92.000	1,863.14	3,650.76	1,787.62-L	.00
		TOTAL-LONG					92.000	1,863.14	3,650.76	1,787.62-	.00
ASSET 37243V100 GENOPTIX INC											
830	672	40872-00002	00000		05/06/09	06/16/10	15.000	276.51	396.86	120.35-L	.00
830	672	40872-00002	00000		01/14/09	06/16/10	15.000	276.52	505.72	229.20-L	.00

TAX CODE	OR-OFF	SERIAL	SEQ	LOT#	DATES ACQUIRED	DATES TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 37243V100 (CONT'D)											
TOTAL-LONG							30.000	553.03	902.58	349.55-	.00
ASSET 377341102 GLEACHER & CO INC											
830	672	40903-00002	00000		05/04/10	07/19/10	35.000	81.08	145.87	64.79-S	.00
830	672	40903-00002	00000		07/29/09	07/19/10	50.000	115.82	307.96	192.14-S	.00
TOTAL-SHORT							85.000	196.90	453.83	256.93-	.00
ASSET 38141G104 GOLDMAN SACHS GROUP INC											
830	640	40811-00001	00000		01/28/09	04/16/10	16.000	2,620.12	1,375.99	1,244.13 L	.00
TOTAL-LONG							16.000	2,620.12	1,375.99	1,244.13	.00
ASSET 38259P508 GOOGLE INC-CL A											
830	640	40667-00001	00000		03/22/05	11/30/09	1.000	580.00	180.70	399.30 L	.00
830	633	40719-00002	00000		10/16/08	01/13/10	12.000	7,015.75	4,087.12	2,928.63 L	.00
TOTAL-LONG							13.000	7,595.75	4,267.82	3,327.93	.00
ASSET 387328107 GRANITE CONSTRUCTION INC											
830	690	40739-00001	00000		09/26/07	02/03/10	15.000	453.87	795.88	342.01-L	.00
TOTAL-LONG							15.000	453.87	795.88	342.01-	.00
ASSET 40049J206 GRUPO TELEVISA SA DE CV SPONSORED ADR REPSTG ORD PARTN CTF											
830	633	40576-00018	00000		11/20/08	08/31/09	177.000	3,095.97	2,432.41	663.56 S	.00
830	633	40631-00002	00000		11/20/08	10/23/09	160.000	3,245.92	2,198.78	1,047.14 S	.00
TOTAL-SHORT							337.000	6,341.89	4,631.19	1,710.70	.00
ASSET 42210P102 HEADWATERS INC											
830	690	40608-00001	00000		05/04/09	09/30/09	30.000	116.20	79.59	36.61 S	.00
830	690	40608-00001	00000		03/23/05	09/30/09	30.000	116.19	984.43	868.24-L	.00

TAX CODE	OR-OFF	SERIAL	SEQ	LOT#	DATES ACQUIRED	DATES TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 42210P102 (CONT'D)											
		TOTAL-SHORT					60.000	232.39	1,064.02	36.61	.00
		TOTAL-LONG								868.24-	.00
ASSET 438516106 HONEYWELL INTL INC											
830	640	40708	00004	00000	05/09/07	01/05/10	65.000	2,625.87	3,681.98	1,056.11-L	.00
		TOTAL-LONG					65.000	2,625.87	3,681.98	1,056.11-	.00
ASSET 441060100 HOSPIRA INC											
830	640	40915	00002	00000	02/04/10	07/29/10	27.000	1,377.85	1,362.68	15.17 S	.00
		TOTAL-SHORT					27.000	1,377.85	1,362.68	15.17	.00
ASSET 447011107 HUNTSMAN CORP											
830	672	40748	00001	00000	12/17/08	02/11/10	70.000	810.51	211.94	598.57 L	.00
		TOTAL-LONG					70.000	810.51	211.94	598.57	.00
ASSET 450911102 ITT CORPORATION											
830	640	40741	00001	00000	09/30/09	02/05/10	26.000	1,262.10	1,340.69	78.59-S	.00
		TOTAL-SHORT					26.000	1,262.10	1,340.69	78.59-	.00
ASSET 45812P107 INTEGRATED SILICON SOLUTION INC											
830	672	40654	00001	00000	09/02/04	11/17/09	60.000	267.00	471.60	204.60-L	.00
		TOTAL-LONG					60.000	267.00	471.60	204.60-	.00
ASSET 462846106 IRON MTN INC PA											
830	633	40576	00019	00000	02/01/05	08/31/09	196.000	5,752.80	3,667.35	2,085.45 L	.00
		TOTAL-LONG					196.000	5,752.80	3,667.35	2,085.45	.00
ASSET 46625H100 JPMORGAN CHASE & CO											
830	633	40576	00020	00000	08/27/04	08/31/09	115.000	4,995.68	4,567.80	427.88 L	.00
830	633	40774	00001	00000	08/27/04	03/10/10	130.000	5,609.43	5,163.60	445.83 L	.00
830	633	40847	00001	00000	08/27/04	05/24/10	115.000	4,551.11	4,567.80	16.69-L	.00
830	633	40852	00003	00000	08/27/04	05/26/10	114.000	4,469.11	4,528.08	58.97-L	.00
830	633	40911	00002	00000	08/27/04	07/27/10	86.000	3,507.53	3,415.92	91.61 L	.00

TAX CODE	OR-OFF	SERIAL	SEQ	DATES LOT# ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	GAIN/LOSS INFORMATION FEDERAL G/L	STATE G/L
ASSET 46625H100 (CONT'D)										
	TOTAL-LONG					560.000	23,132.86	22,243.20	889.66	.00
ASSET 469814107 JACOBS ENGINEERING GROUP INC										
830	640	40658-00002	00000	11/10/08	11/19/09	31.000	1,133.47	1,155.61	22.14-L	.00
830	640	40658-00002	00000	08/10/07	11/19/09	26.000	950.65	1,743.25	792.60-L	.00
	TOTAL-LONG					57.000	2,084.12	2,898.86	814.74-	.00
ASSET 48203R104 JUNIPER NETWORKS INC										
830	640	40827-00002	00000	11/25/08	05/04/10	74.000	2,060.89	1,168.45	892.44 L	.00
	TOTAL-LONG					74.000	2,060.89	1,168.45	892.44	.00
ASSET 501889208 LKQ CORP										
830	640	40740-00002	00000	03/02/09	02/04/10	74.000	1,346.83	998.27	348.56 S	.00
	TOTAL-SHORT					74.000	1,346.83	998.27	348.56	.00
ASSET 532791100 LINCARE HOLDINGS INC										
830	672	40587-00001	00000	11/24/08	09/09/09	5.000	127.94	108.27	19.67 S	.00
830	672	40587-00001	00000	12/08/08	09/09/09	10.000	255.87	234.80	21.07 S	.00
	TOTAL-SHORT					15.000	383.81	343.07	40.74	.00
ASSET 540424108 LOEWS CORPORATION										
830	633	40576-00022	00000	06/18/08	08/31/09	132.000	4,494.48	6,627.48	2,133.00-L	.00
	TOTAL-LONG					132.000	4,494.48	6,627.48	2,133.00-	.00
ASSET 552715104 MEMC ELECTR MATLS INC										
830	640	40668-00001	00000	02/28/06	12/01/09	60.000	743.33	2,008.29	1,264.96-L	.00
	TOTAL-LONG					60.000	743.33	2,008.29	1,264.96-	.00
ASSET 552983678 MFS CORE GROWTH FUND CLASS I										
831	000	40577-00002	00000	03/10/09	09/03/09	691.563	10,000.00	7,773.17	2,226.83 S	.00
831	000	40680-00004	00000	03/10/09	12/15/09	1,096.491	17,500.00	12,324.56	5,175.44 S	.00
831	000	40874-00003	00000	03/10/09	06/22/10	689.413	10,810.00	7,749.00	3,061.00 L	.00

TAX CODE	OR-OFF	SERIAL	SEQ	LOT#	DATES ACQUIRED	DATES TRADE	UNITS	PROCEEDS	TAX COST	GAIN/LOSS INFORMATION FEDERAL G/L	STATE G/L
ASSET 552983678 (CONT'D)											
		TOTAL-SHORT					2,477.467	38,310.00	27,846.73	7,402.27	.00
		TOTAL-LONG								3,061.00	.00
ASSET 556269108 MADDEN STEVEN LTD											
833	672	40832-00001	00000		03/26/10	05/12/10	.500	18.68	16.46	2.22 S	.00
		TOTAL-SHORT					.500	18.68	16.46	2.22	.00
ASSET 576323109 MASTEC INC											
830	672	40805-00002	00000		09/24/09	04/12/10	25.000	313.40	299.74	13.66 S	.00
830	672	40805-00002	00000		11/10/09	04/12/10	25.000	313.39	302.29	11.10 S	.00
		TOTAL-SHORT					50.000	626.79	602.03	24.76	.00
ASSET 57636Q104 MASTERCARD INC CL A											
830	640	40860-00001	00000		01/04/10	06/04/10	6.000	1,194.42	1,544.67	350.25-S	.00
		TOTAL-SHORT					6.000	1,194.42	1,544.67	350.25-	.00
ASSET 58933Y105 MERCK & CO INC											
833	633	40644-00003	00000		11/07/08	11/10/09	.037	1.24	.95	.29 L	.00
		TOTAL-LONG					.037	1.24	.95	.29	.00
ASSET 594918104 MICROSOFT CORP											
830	633	40576-00023	00000		09/22/95	08/31/09	245.000	6,022.61	1,378.12	4,644.49 L	.00
830	633	40576-00023	00000		12/14/05	08/31/09	39.000	958.70	1,053.00	94.30-L	.00
830	633	40867-00002	00000		12/14/05	06/11/10	106.000	2,653.05	2,862.00	208.95-L	.00
830	633	40867-00002	00000		03/27/06	06/11/10	59.000	1,476.70	1,601.26	124.56-L	.00
		TOTAL-LONG					449.000	11,111.06	6,894.38	4,216.68	.00
ASSET 602675100 MINDRAY MEDICAL INTL LTD ADR											
		ISIN US6026751007									
		SEDOL B1FCP24									
830	640	40929-00001	00000		11/09/09	08/12/10	43.000	1,115.46	1,429.05	313.59-S	.00

TAX CODE	OR-OFF SERIAL	DATES SEQ LOT#	ACQUIRED	TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
	ASSET 602675100	(CONT'D)							
		TOTAL-SHORT			43.000	1,115.46	1,429.05	313.59-	.00
	ASSET 615369105 MOODY'S CORP								
830	633 40576-00024	00000	03/28/03	08/31/09	49.000	1,329.33	1,138.52	190.81 L	.00
		TOTAL-LONG			49.000	1,329.33	1,138.52	190.81	.00
	ASSET 628782104 NBTY INC	MERGER 10/1/2010 @ $55.00 P/S							
830	672 40903-00003	00000	05/21/10	07/19/10	20.000	1,068.98	685.22	383.76 S	.00
830	672 40903-00003	00000	06/21/10	07/19/10	10.000	534.49	367.47	167.02 S	.00
		TOTAL-SHORT			30.000	1,603.47	1,052.69	550.78	.00
	ASSET 637071101 NATIONAL OILWELL VARCO INC								
830	640 40936-00003	00000	02/27/09	08/19/10	30.000	1,162.08	798.57	363.51 L	.00
830	640 40936-00003	00000	11/03/08	08/19/10	21.000	813.46	641.53	171.93 L	.00
		TOTAL-LONG			51.000	1,975.54	1,440.10	535.44	.00
	ASSET 64110L106 NETFLIX INC								
830	672 40686-00001	00000	12/11/08	12/17/09	10.000	539.71	278.26	261.45 L	.00
		TOTAL-LONG			10.000	539.71	278.26	261.45	.00
	ASSET 64111N101 NETEZZA CORP	MERGED 11/10/10 @ $27.00 P/S							
830	672 40611-00001	00000	02/01/08	10/05/09	10.000	105.45	91.70	13.75 L	.00
830	672 40611-00001	00000	01/15/08	10/05/09	5.000	52.72	47.72	5.00 L	.00
		TOTAL-LONG			15.000	158.17	139.42	18.75	.00
	ASSET 65248E104 NEWS CORPORATION CL A								
830	633 40778-00001	00000	04/07/06	03/16/10	160.000	2,248.68	2,745.35	496.67-L	.00
		TOTAL-LONG			160.000	2,248.68	2,745.35	496.67-	.00
	ASSET 654106103 NIKE INC	CLASS B							
830	640 40588-00001	00000	10/25/06	09/10/09	29.000	1,599.68	1,341.61	258.07 L	.00

REPORT: TMS-TX-23
BANK 21 PNC BANK (21)
REGION 80 KENTUCKY
OFFICE 001 C & E

GAIN/LOSS FEDERAL REPORT INTERNAL USE ONLY
ACCOUNT 5847005 M D SMOCK CHARITABLE TR TUA CHAR
FROM: 09/01/09 TO: 08/31/10

TAX CODE	OR-OFF	SERIAL	SEQ LOT#	DATES ACQUIRED	DATES TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 654106103 (CONT'D)										
		TOTAL-LONG				29.000	1,599.68	1,341.61	258.07	.00
ASSET 655044105 NOBLE ENERGY INC										
830	640	40860-00002	00000	10/10/08	06/04/10	29.000	1,768.66	913.92	854.74 L	.00
		TOTAL-LONG				29.000	1,768.66	913.92	854.74	.00
ASSET 670006105 NOVELL INC										
830	672	40602-00002	00000	07/01/08	09/24/09	50.000	233.72	292.40	58.68-L	.00
830	672	40734-00003	00000	07/01/08	01/29/10	60.000	276.00	350.89	74.89-L	.00
		TOTAL-LONG				110.000	509.72	643.29	133.57-	.00
ASSET 67066G104 NVIDIA CORP										
830	640	40931-00002	00000	11/20/09	08/16/10	109.000	988.87	1,399.82	410.95-S	.00
		TOTAL-SHORT				109.000	988.87	1,399.82	410.95-	.00
ASSET 671074102 OYO GEOSPACE										
830	672	40798-00001	00000	02/08/07	04/05/10	10.000	449.78	657.77	207.99-L	.00
		TOTAL-LONG				10.000	449.78	657.77	207.99-	.00
ASSET 674599105 OCCIDENTAL PETROLEUM CORP										
830	633	40576-00025	00000	08/27/04	08/31/09	170.000	12,360.69	4,327.35	8,033.34 L	.00
830	640	40914-00005	00000	05/21/10	07/28/10	16.000	1,260.21	1,264.59	4.38-S	.00
830	640	40914-00005	00000	03/25/10	07/28/10	2.000	157.53	167.11	9.58-S	.00
		TOTAL-SHORT				188.000	13,778.43	5,759.05	13.96-	.00
		TOTAL-LONG							8,033.34	.00
ASSET 68212S109 OMNITURE INC										
		MERGED 10/23/09 @ $21.50 P/S								
830	672	40616-00001	00000	06/19/09	10/07/09	20.000	428.39	245.94	182.45 S	.00
830	672	40616-00001	00000	06/24/09	10/07/09	20.000	428.39	254.00	174.39 S	.00

TAX CODE	OR-OFF	SERIAL	SEQ	LOT#	DATES ACQUIRED	DATES TRADE	UNITS	PROCEEDS	TAX COST	GAIN/LOSS INFORMATION FEDERAL G/L	STATE G/L
ASSET 68212S109 (CONT'D)											
		TOTAL-SHORT					40.000	856.78	499.94	356.84	.00
ASSET 683399109 ONYX PHARMACEUTICALS INC											
830	672	40918-00001	00000		12/28/09	08/03/10	15.000	397.68	443.09	45.41-S	.00
830	672	40922-00001	00000		02/13/09	08/05/10	10.000	268.40	331.37	62.97-L	.00
		TOTAL-SHORT					25.000	666.08	774.46	45.41-	.00
		TOTAL-LONG								62.97-	.00
ASSET 707569109 PENN NATL GAMING INC											
830	672	40584-00001	00000		07/23/08	09/08/09	15.000	405.27	437.11	31.84-L	.00
		TOTAL-LONG					15.000	405.27	437.11	31.84-	.00
ASSET 71372U104 PERFECT WORLD CO LTD ADR ISIN US71372U1043 SEDOL B23F975											
830	640	40795-00001	00000		12/17/09	03/30/10	34.000	1,254.94	1,403.95	149.01-S	.00
		TOTAL-SHORT					34.000	1,254.94	1,403.95	149.01-	.00
ASSET 714046109 PERKINELMER INC											
830	640	40741-00003	00000		07/01/09	02/05/10	69.000	1,442.20	1,211.26	230.94 S	.00
		TOTAL-SHORT					69.000	1,442.20	1,211.26	230.94	.00
ASSET 74144T108 PRICE T ROWE GROUP INC											
830	640	40747-00001	00000		01/31/08	02/10/10	10.000	491.07	498.29	7.22-L	.00
830	640	40747-00001	00000		11/20/07	02/10/10	17.000	834.83	1,018.33	183.50-L	.00
		TOTAL-LONG					27.000	1,325.90	1,516.62	190.72-	.00
ASSET 741479109 T ROWE PRICE GROWTH STOCK FD # 40											
831	000	40680-00005	00000		09/02/04	12/15/09	650.558	17,500.00	15,626.40	1,873.60 L	.00
831	000	40874-00004	00000		09/02/04	06/22/10	398.305	10,810.00	9,567.29	1,242.71 L	.00

TAX CODE	OR-OFF	SERIAL	SEQ LOT#	DATES ACQUIRED	DATES TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 741479109 (CONT'D)										
	TOTAL-LONG					1,048.863	28,310.00	25,193.69	3,116.31	.00
ASSET 74153Q102 PRIDE INTERNATIONAL INC										
830	640	40714-00005	00000	06/19/09	01/11/10	63.000	2,104.56	1,476.70	627.86 S	.00
	TOTAL-SHORT					63.000	2,104.56	1,476.70	627.86	.00
ASSET 743315103 PROGRESSIVE CORP OHIO										
830	633	40576-00026	00000	08/27/04	08/31/09	286.000	4,705.08	5,772.91	1,067.83-L	.00
	TOTAL-LONG					286.000	4,705.08	5,772.91	1,067.83-	.00
ASSET 74439H108 PSYCHIATRIC SOLUTIONS INC MERGED 11/16/10 @ $33.75 P/S										
830	672	40798-00002	00000	02/24/10	04/05/10	10.000	299.78	220.20	79.58 S	.00
830	672	40798-00002	00000	07/16/09	04/05/10	5.000	149.89	119.94	29.95 S	.00
830	672	40833-00001	00000	07/16/09	05/10/10	10.000	304.29	239.88	64.41 S	.00
830	672	40833-00001	00000	07/22/09	05/10/10	10.000	304.29	245.74	58.55 S	.00
	TOTAL-SHORT					35.000	1,058.25	825.76	232.49	.00
ASSET 747525103 QUALCOMM INC										
830	640	40576-00003	00000	06/20/08	08/31/09	50.000	2,310.03	2,406.93	96.90-L	.00
	TOTAL-LONG					50.000	2,310.03	2,406.93	96.90-	.00
ASSET 760759100 REPUBLIC SVCS INC										
830	690	40679-00001	00000	09/02/04	12/10/09	31.000	907.07	711.62	195.45 L	.00
	TOTAL-LONG					31.000	907.07	711.62	195.45	.00
ASSET 760975102 RESEARCH IN MOTION LTD										
830	640	40610-00002	00000	02/06/07	10/02/09	14.000	920.73	631.81	288.92 L	.00
830	640	40610-00002	00000	07/26/07	10/02/09	7.000	460.36	518.36	58.00-L	.00
830	640	40803-00001	00000	07/26/07	04/08/10	26.000	1,810.51	1,925.32	114.81-L	.00

TAX CODE	OR-OFF	SERIAL SEQ	LOT#	DATES ACQUIRED	DATES TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 760975102 (CONT'D)										
		TOTAL-LONG				47.000	3,191.60	3,075.49	116.11	.00
ASSET 767744105 RITCHIE BROS AUCTIONEERS INC										
830	672	40900-00003	00000	03/13/09	07/14/10	10.000	185.73	156.99	28.74 L	.00
830	672	40900-00003	00000	03/18/09	07/14/10	10.000	185.73	169.42	16.31 L	.00
830	672	40900-00003	00000	03/31/09	07/14/10	5.000	92.86	91.93	.93 L	.00
830	672	40900-00004	00000	03/31/09	07/14/10	5.000	94.20	91.92	2.28 L	.00
830	672	40900-00004	00000	09/24/09	07/14/10	20.000	376.79	502.78	125.99-S	.00
		TOTAL-SHORT				50.000	935.31	1,013.04	125.99-	.00
		TOTAL-LONG							48.26	.00
ASSET 784117103 SEI INVESTMENT CO										
830	640	40922-00001	00000	05/06/10	08/05/10	70.000	1,365.19	1,582.06	216.87-S	.00
		TOTAL-SHORT				70.000	1,365.19	1,582.06	216.87-	.00
ASSET 784774101 STEC INC										
830	640	40647-00003	00000	08/13/09	11/09/09	38.000	508.25	1,350.93	842.68-S	.00
		TOTAL-SHORT				38.000	508.25	1,350.93	842.68-	.00
ASSET 792228108 ST MARY LD & EXPL CO NAME CHANGE 6/2/2010 SEE 78454L100										
830	690	40813-00001	00000	11/04/08	04/20/10	15.000	593.49	374.17	219.32 L	.00
830	690	40813-00001	00000	06/12/08	04/20/10	10.000	395.66	579.67	184.01-L	.00
		TOTAL-LONG				25.000	989.15	953.84	35.31	.00
ASSET 806605101 SCHERING-PLOUGH CORP MERGED 11/03/09 @ $10.50 P/S & SEE 58933Y105										
830	633	40576-00027	00000	11/07/08	08/31/09	63.000	1,775.92	931.25	844.67 S	.00

G A I N / L O S S   I N F O R M A T I O N

TAX CODE	OR-OFF SERIAL	SEQ LOT#	DATES ACQUIRED	DATES TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 806605101 (CONT'D)									
	TOTAL-SHORT				63.000	1775.??	931.25	844.17	.00
ASSET 806857108 SCHLUMBERGER LTD									
	SEDOL 2779201 ISIN AN8068571086								
830	640 40776-00001	00000	06/16/09	03/12/10	34.000	2,179.23	1,947.33	231.90 S	.00
	TOTAL-SHORT				34.000	2,179.23	1,947.33	231.90	.00
ASSET 807863105 SCHOOL SPECIALTY INC									
830	690 40942-00002	00000	01/19/05	08/25/10	15.000	210.60	577.50	366.90-L	.00
	TOTAL-LONG				15.000	210.60	577.50	366.90-	.00
ASSET 81201R107 SEAHAWK DRILLING INC W/I									
830	640 40577-00002	00000	06/19/09	09/01/09	4.000	92.78	98.50	5.72-S	.00
	TOTAL-SHORT				4.000	92.78	98.50	5.72-	.00
ASSET 81211K100 SEALED AIR CORP									
830	633 40576-00028	00000	08/27/04	08/31/09	116.000	2,186.75	2,838.52	651.77-L	.00
	TOTAL-LONG				116.000	2,186.75	2,838.52	651.77-	.00
ASSET 857873202 STEAK N SHAKE COMPANY									
	NAME CHANGE 4/9/2010								
	SEE 08986R101								
833	672 40695-00003	00000	08/25/09	12/31/09	.250	83.41	54.08	29.33 S	.00
830	672 40712-00001	00000	08/25/09	01/07/10	1.000	292.18	216.33	75.85 S	.00
	TOTAL-SHORT				1.250	375.59	270.41	105.18	.00
ASSET 863902102 STUDENT LOAN CORP									
	MERGED 12/31/2010 @ $30.00 P/S								
830	690 40818-00001	00000	02/10/09	04/23/10	5.000	150.11	268.36	118.25-L	.00
830	690 40818-00001	00000	12/27/04	04/23/10	5.000	150.10	894.91	744.81-L	.00

GAIN/LOSS INFORMATION

TAX CODE	OR-OFF	SERIAL SEQ LOT#	DATES ACQUIRED	DATES TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 863902102 (CONT'D)									
		TOTAL-LONG			10.000	300.21	1,163.27	863.06-	.00
ASSET 867224107 SUNCOR ENERGY INC									
		ISIN CA867224107 SEDOL B3NBOP5							
830	640	40750-00001 00000	06/25/09	02/16/10	53.000	1,590.29	1,601.84	11.55-S	.00
		TOTAL-SHORT			53.000	1,590.29	1,601.84	11.55-	.00
ASSET 867652109 SUNPOWER CO.									
830	640	40770-00003 00000	09/28/09	03/08/10	27.000	550.79	816.47	265.68-S	.00
830	640	40770-00003 00000	11/06/07	03/08/10	17.000	346.80	2,420.45	2,073.65-L	.00
		TOTAL-SHORT			44.000	897.59	3,236.92	265.68-	.00
		TOTAL-LONG						2,073.65-	.00
ASSET 868873100 SURMODICS INC									
830	672	40719-00002 00000	10/08/09	01/13/10	10.000	204.97	303.96	98.99-S	.00
		TOTAL-SHORT			10.000	204.97	303.96	98.99-	.00
ASSET 880770102 TERADYNE INCORPORATED									
830	672	40678-00003 00000	06/25/09	12/09/09	55.000	533.16	375.84	157.32 S	.00
830	672	40817-00003 00000	06/25/09	04/22/10	10.000	128.63	68.33	60.30 S	.00
830	672	40817-00003 00000	07/14/09	04/22/10	25.000	321.59	171.84	149.75 S	.00
		TOTAL-SHORT			90.000	983.38	616.01	367.37	.00
ASSET 880915103 TERRA INDUSTRIES INC									
		MERGED 4/15/10 @ $37.15 P/S							
		SEE 125269100							
830	672	40757-00002 00000	11/12/08	02/23/10	25.000	1,025.24	417.19	608.05 L	.00
830	672	40757-00002 00000	04/21/09	02/23/10	10.000	410.09	266.99	143.10 S	.00
		TOTAL-SHORT			35.000	1,435.33	684.18	143.10	.00
		TOTAL-LONG						608.05	.00
ASSET 882508104 TEXAS INSTRUMENTS INC									
830	633	40576-00029 00000	11/06/08	08/31/09	83.000	2,039.25	1,458.95	580.30 S	.00

TAX CODE	OR-OFF	SERIAL	SEQ LOT#	ACQUIRED (DATES)	TRADE (DATES)	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 882508104 (CONT'D)										
	TOTAL-SHORT					83.000	2,039.25	1,458.95	580.30	.00
ASSET 885175307 THORATEC CORP										
830	672	40790-00003	00000	01/02/08	03/26/10	15.000	510.70	273.78	236.92 L	.00
	TOTAL-LONG					15.000	510.70	273.78	236.92	.00
ASSET 88579Y101 3M COMPANY										
830	640	40806-00006	00000	08/14/09	04/13/10	30.000	2,504.25	2,131.08	373.17 S	.00
	TOTAL-SHORT					30.000	2,504.25	2,131.08	373.17	.00
ASSET 893521104 TRANSATLANTIC HOLDINGS INC										
830	633	40576-00030	00000	08/27/04	08/31/09	27.000	1,317.29	1,493.14	175.85-L	.00
	TOTAL-LONG					27.000	1,317.29	1,493.14	175.85-	.00
ASSET 896239100 TRIMBLE NAVIGATION LTD										
830	640	40903-00004	00000	01/05/09	07/19/10	38.000	1,078.36	834.76	243.60 L	.00
	TOTAL-LONG					38.000	1,078.36	834.76	243.60	.00
ASSET 89784N104 TRUE RELIGION APPAREL INC										
830	672	40643-00001	00000	04/15/09	11/04/09	15.000	316.06	213.69	102.37 S	.00
830	672	40643-00001	00000	04/21/09	11/04/09	15.000	316.05	223.04	93.01 S	.00
830	672	40643-00001	00000	04/28/09	11/04/09	15.000	316.06	273.12	42.94 S	.00
	TOTAL-SHORT					45.000	948.17	709.85	238.32	.00
ASSET 909218109 UNIT CORP										
830	672	40894-00001	00000	01/14/10	07/08/10	10.000	413.20	495.07	81.87-S	.00
	TOTAL-SHORT					10.000	413.20	495.07	81.87-	.00
ASSET 911312106 UNITED PARCEL SERVICE CL B										
830	633	40909-00001	00000	02/04/10	07/23/10	70.000	4,447.82	4,035.89	411.93 S	.00

GAIN/LOSS INFORMATION

TAX CODE	OR-OFF SERIAL	SEQ LOT#	DATES ACQUIRED	DATES TRADE	UNITS	PROCEEDS	TAX COST	FEDERAL G/L	STATE G/L
ASSET 911312106 (CONT'D)									
	TOTAL-SHORT				70.000	4,447.82	4,035.89	411.93	.00
ASSET 91324P102 UNITEDHEALTH GROUP INC									
830	633 40685-00001	00000	10/23/06	12/16/09	210.000	6,719.83	10,612.69	3,892.86-L	.00
	TOTAL-LONG				210.000	6,719.83	10,612.69	3,892.86-	.00
ASSET 913483103 UNIVERSAL ELECTRONICS									
830	672 40847-00001	00000	02/27/08	05/24/10	15.000	285.94	341.25	55.31-L	.00
	TOTAL-LONG				15.000	285.94	341.25	55.31-	.00
ASSET 928551100 VIVUS INC									
830	672 40872-00003	00000	09/18/09	06/16/10	25.000	264.02	290.07	26.05-S	.00
	TOTAL-SHORT				25.000	264.02	290.07	26.05-	.00
ASSET 928563402 VMWARE INC CLASS A									
830	640 40888-00005	00000	02/24/10	07/01/10	30.000	1,867.94	1,447.64	420.30 S	.00
	TOTAL-SHORT				30.000	1,867.94	1,447.64	420.30	.00
ASSET 929160109 VULCAN MATERIALS CO									
830	633 40576-00031	00000	08/27/04	08/31/09	10.000	500.88	475.70	25.18 L	.00
	TOTAL-LONG				10.000	500.88	475.70	25.18	.00
ASSET 929297109 WMS INDUSTRIES INC									
830	640 40591-00002	00000	03/31/08	09/15/09	32.000	1,376.22	1,163.68	212.54 L	.00
830	640 40925-00001	00000	03/16/10	08/10/10	39.000	1,457.03	1,543.32	86.29-S	.00
	TOTAL-SHORT				71.000	2,833.25	2,707.00	86.29-	.00
	TOTAL-LONG							212.54	.00
ASSET 949746101 WELLS FARGO & COMPANY									
830	633 40576-00032	00000	03/06/09	08/31/09	408.000	11,212.28	3,542.59	7,669.69 S	.00

SUMMARY OF INVESTMENTS

AS OF 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET
PRINCIPAL CASH						
CASH EQUIVALENTS	74,612.70	74,612.70	74,612.70	1.908	43.27	.058
FIXED INCOME						
MUTUAL FUNDS - FIXED	1,245,329.81	1,247,445.41	1,348,589.85	34.482	44,243.21	3.281
EQUITIES						
COMMON STOCK	806,046.98	820,445.93	875,885.84	22.395	12,753.68	1.456
MUTUAL FUNDS - EQUITY	1,456,248.48	1,598,645.47	1,611,957.81	41.216	12,410.17	.770
TOTAL EQUITIES	2,262,295.46	2,419,091.40	2,487,843.65	63.611	25,163.85	1.011
TOTAL PRINCIPAL ASSETS	3,582,237.97	3,741,149.51	3,911,046.20	100.000	69,450.33	1.776
CASH EQUIVALENTS	9,586.46	9,586.46	9,586.46	34.633	5.56	.058
EQUITIES						
MUTUAL FUNDS - EQUITY	21,678.93	21,678.93	18,093.72	65.367	78.85	.436
ACCOUNT TOTAL	3,613,503.36	3,772,414.90	3,938,726.38		69,534.74	1.765

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
	CASH EQUIVALENTS							
1,056.360	BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1 ACCOUNT 21-80-690-5847005	1,056.36	1,056.36	1,056.36	.027	.61	.058	1.000
90.750	BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1 (INCOME INVESTMENT) ACCOUNT 21-80-690-5847005	90.75	90.75	90.75	.002	.05	.055	1.000
11.960	BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1 (INCOME INVESTMENT) ACCOUNT 21-80-672-5847005	11.96	11.96	11.96		.01	.084	1.000
1,748.880	BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1 ACCOUNT 21-80-672-5847005	1,748.88	1,748.88	1,748.88	.044	1.01	.058	1.000
43,295.970	BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1 ACCOUNT 21-80-633-5847005	43,295.97	43,295.97	43,295.97	1.099	25.11	.058	1.000
883.230	BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1 (INCOME INVESTMENT) ACCOUNT 21-80-633-5847005	883.23	883.23	883.23	.022	.51	.058	1.000

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
21,353.490	BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1 ACCOUNT 21-80-001-5847005	21,353.49	21,353.49	21,353.49	.542	12.39	.058	1.000
8,502.810	BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1 (INCOME INVESTMENT) ACCOUNT 21-80-001-5847005	8,502.81	8,502.81	8,502.81	.216	4.93	.058	1.000
97.710	BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1 (INCOME INVESTMENT) ACCOUNT 21-80-640-5847005	97.71	97.71	97.71	.002	.06	.061	1.000
7,158.000	BLACKROCK LIQUIDITY FUNDS TEMPFUND ADMINISTRATION SHARES #H1 ACCOUNT 21-80-640-5847005	7,158.00	7,158.00	7,158.00	.182	4.15	.058	1.000
	TOTAL CASH EQUIVALENTS	84,199.16	84,199.16	84,199.16	2.136	48.83	.058	
	COMMON STOCK							
51.000	GUESS INC	2,008.31	2,008.31	1,648.32	.042	40.80	2.475	32.320
107.000	STERLITE INDUSTRIES INDI ADS ADT ISIN US8597372072 SEDOL B1YX3G8	1,249.20	1,249.20	1,378.16	.035	13.16	.955	12.880
33.000	MEDTRONIC INC	1,392.56	1,392.56	1,037.85	.026	29.70	2.862	31.450

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
16.000	GEOEYE INC	485.27	485.27	583.04	.015			36.440
37.000	ATWOOD OCEANICS INC	1,455.77	1,455.77	929.07	.024			25.110
30.000	LINCARE HOLDINGS INC	501.99	535.42	689.70	.018	24.00	3.480	22.990
65.000	AMERICAN MEDICAL SYS HLDGS	934.98	945.88	1,184.95	.030			18.230
760.000	NEWS CORPORATION CL A	13,438.55	13,522.37	9,545.60	.242	114.00	1.194	12.560
162.000	NUANCE COMMUNICATIONS INC	2,426.53	2,426.53	2,373.30	.060			14.650
36.000	NUCOR CORP	1,121.67	1,121.67	1,324.08	.034	52.20	3.942	36.780
40.000	UNITED TECHNOLOGIES CORP	2,934.61	2,934.61	2,608.40	.066	68.00	2.607	65.210
215.000	DISNEY WALT CO	6,956.45	6,956.45	6,996.10	.178	86.00	1.229	32.540
85.000	ON SEMICONDUCTOR CORPORATION	458.58	458.58	525.30	.013			6.180
50.000	NETEZZA CORP MERGED 11/10/10 @ $27.00 P/S	495.92	531.48	973.00	.025			19.460
54.000	LINEAR TECHNOLOGY CORP	1,954.61	1,954.61	1,545.48	.039	49.68	3.215	28.620
84.000	ALIGN TECHNOLOGY INC	1,414.80	1,414.80	1,343.16	.034			15.990
39.000	SKECHERS U S A INC CL A	1,349.10	1,349.10	993.33	.025			25.470
40.000	SONIC CORP	666.61	666.61	306.80	.008			7.670
30.000	CUMMINS INC	1,416.32	1,416.32	2,232.30	.057	31.50	1.411	74.410

PNC

TRSBOX 03/00

STATEMENT OF INVESTMENTS

AS OF 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
9.000	INTUITIVE SURGICAL INC	2,387.08	2,387.08	2,385.27	.061			265.030
55.000	XILINX INC	1,518.90	1,518.90	1,327.70	.034	35.20	2.651	24.140
137.000	HALLIBURTON CO	4,123.04	4,123.04	3,864.77	.098	49.32	1.276	28.210
18.000	NEW ORIENTAL ED & TECHNOLOGY GROUP INC SPONSORED ADR	1,325.96	1,325.96	1,774.62	.045			98.590
54.000	WARNER CHILCOTT PLC CL A SEDOL B446CM7 ISIN IE00B446CM77	1,414.83	1,414.83	1,534.68	.039			28.420
105.000	DEAN FOODS CO NEW	1,236.28	1,236.28	1,073.10	.027			10.220
30.000	BRINKER INTERNATIONAL INC	614.40	614.40	472.50	.012	16.80	3.556	15.750
100.000	VULCAN MATERIALS CO	4,757.00	4,757.00	3,676.00	.093	100.00	2.720	36.760
15.000	WATSCO INC	437.55	437.55	770.25	.020	31.20	4.051	51.350
45.000	AARON'S INC. EXCH 12/13/10 SEE 002535300	771.64	771.64	733.05	.019	2.34	.319	16.290
54.000	ATHEROS COMMUNICATIONS	1,423.58	1,423.58	1,328.94	.034			24.610
110.000	AVON PRODUCTS INC	3,130.05	3,130.05	3,201.00	.081	96.80	3.024	29.100
20.000	CHOICE HOTELS INTL INC	674.19	674.19	657.60	.017	14.80	2.251	32.880
205.000	ABB LTD SPONSORED ADR	4,078.60	4,078.60	3,970.85	.101	97.17	2.447	19.370

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
10.000	HERBALIFE LTD ACCOUNT 21-80-672-5847005	207.00	207.00	555.80	.014	9.00	1.619	55.580
135.000	MARVELL TECHNOLOGY GROUP LTD ACCOUNT 21-80-640-5847005	1,484.82	1,484.82	2,147.85	.055			15.910
87.000	TRANSOCEAN LTD SEDOL B3KFWW1 ISIN CH0048265513 ACCOUNT 21-80-633-5847005	9,936.55	6,936.17	4,428.30	.112	7.83	.177	50.900
136.000	TYCO INTERNATIONAL LTD SEDOL: B64GC98 ISIN: CH0100383485 ACCOUNT 21-80-633-5847005	6,558.61	6,809.97	5,070.08	.129	114.24	2.253	37.280
96.000	ASML HOLDING NV F ISIN USN070591862 ACCOUNT 21-80-640-5847005	2,827.01	2,827.01	2,374.08	.060	21.89	.922	24.730
20.000	CORE LABORATORIES N V ACCOUNT 21-80-690-5847005	639.98	639.98	1,578.60	.040	4.80	.304	78.930
30.000	AAR CORP ACCOUNT 21-80-690-5847005	920.17	920.51	460.80	.012	3.00	.651	15.360
35.000	ABERCROMBIE & FITCH CO CL A ACCOUNT 21-80-640-5847005	1,459.45	1,459.45	1,211.00	.031	24.50	2.023	34.600
345.000	AGILENT TECHNOLOGIES (IPO) ACCOUNT 21-80-633-5847005	9,826.30	10,752.74	9,304.65	.236			26.970

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
18.000	AGRIUM INC SEDOL 2015530 ISIN CA0089161081 ACCOUNT 21-80-640-5847005	891.64	916.00	1,252.26	.032	1.98	.158	69.570
66.000	ALLSCRIPTS HEALTHCARE SOLUTIONS INC ACCOUNT 21-80-672-5847005	763.42	939.67	1,099.56	.028			16.660
45.000	ALTERA CORP ACCOUNT 21-80-640-5847005	989.36	989.36	1,109.25	.028	10.80	.974	24.650
56.000	AMAZON COM INC ACCOUNT 21-80-640-5847005	3,678.65	3,780.31	6,990.48	.177			124.830
21.000	AMEDISYS INC ACCOUNT 21-80-690-5847005	824.61	824.61	486.15	.012			23.150
80.000	AMERICAN AXLE & MFG HOLDINGS ACCOUNT 21-80-672-5847005	617.01	617.01	669.60	.017	6.40	.956	8.370
818.000	AMERICAN EXPRESS CO ACCOUNT 21-80-633-5847005	36,776.43	37,465.01	32,613.66	.828	588.96	1.806	39.870
30.000	AMERCIGROUP CORPORATION ACCOUNT 21-80-690-5847005	792.75	843.20	1,107.00	.028			36.900
169.000	AMERIPRISE FINANCIAL INC-W/I ACCOUNT 21-80-633-5847005	5,619.47	5,900.70	7,365.02	.187	121.68	1.652	43.580
53.000	AMPHENOL CORP NEW CL A ACCOUNT 21-80-640-5847005	2,507.73	2,507.73	2,158.16	.055	3.18	.147	40.720

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
109.000	ANGLO AMERICAN PLC UNSP ADR ACCOUNT 21-80-640-5847005	1,628.49	1,628.49	1,941.29	.049	11.45	.590	17.810
80.000	ANSYS INC ACCOUNT 21-80-672-5847005	2,776.71	2,783.55	3,102.40	.079			38.780
39.000	APACHE CORPORATION ACCOUNT 21-80-640-5847005	4,072.41	4,072.41	3,504.15	.089	23.40	.668	89.850
34.000	APPLE INC ACCOUNT 21-80-640-5847005	705.03	882.59	8,265.40	.210			243.100
95.000	ARRIS GROUP INC ACCOUNT 21-80-690-5847005	1,033.76	1,033.76	777.10	.020			8.180
70.000	ARVINMERITOR INCORPORATED ACCOUNT 21-80-672-5847005	818.22	818.22	914.90	.023	28.00	3.060	13.070
65.000	ASTORIA FINL CORP ACCOUNT 21-80-690-5847005	1,145.87	1,150.67	783.90	.020	33.80	4.312	12.060
30.000	BABCOCK & WILCOX CO ACCOUNT 21-80-640-5847005	689.89	689.89	672.00	.017			22.400
40.000	BAIDU INC ACCOUNT 21-80-640-5847005	559.20	559.20	3,137.20	.080			78.430
25.000	BALLY TECHNOLOGIES INC ACCOUNT 21-80-672-5847005	674.44	825.53	786.25	.020			31.450
713.000	BANK NEW YORK MELLON CORP COM ACCOUNT 21-80-633-5847005	24,005.37	25,979.17	17,297.38	.439	256.68	1.484	24.260

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
43.000	BARRICK GOLD CORP SEDOL 2024677 ISIN CA0679011084 ACCOUNT 21-80-640-5847005	1,276.32	1,276.32	2,010.68	.051	20.64	1.027	46.760
107.000	BAXTER INTERNATIONAL INC ACCOUNT 21-80-633-5847005	4,758.91	4,758.91	4,552.85	.116	132.68	2.914	42.550
81.000	BECTON DICKINSON & CO ACCOUNT 21-80-633-5847005	5,425.66	5,425.66	5,523.39	.140	132.84	2.405	68.190
261.000	BED BATH AND BEYOND ACCOUNT 21-80-633-5847005	9,108.48	9,108.48	9,388.17	.238			35.970
505.000	BERKSHIRE HATHAWAY INC CLASS B ACCOUNT 21-80-633-5847005	29,088.00	29,088.00	39,783.90	1.010			78.780
40.000	BIOMARIN PHARMACEUTICAL INC ACCOUNT 21-80-672-5847005	699.34	699.34	811.60	.021			20.290
50.000	BJ'S RESTAURANTS, INC ACCOUNT 21-80-672-5847005	560.07	560.07	1,197.00	.030			23.940
10.000	BRIGHAM EXPL CO ACCOUNT 21-80-672-5847005	139.33	139.33	153.20	.004			15.320
24.000	BRISTOW GROUP INC ACCOUNT 21-80-690-5847005	780.77	780.77	792.00	.020			33.000
207.000	BRUKER CORP ACCOUNT 21-80-640-5847005	2,628.39	2,628.39	2,461.23	.062			11.890
50.000	BRUKER CORP ACCOUNT 21-80-672-5847005	655.66	655.66	594.50	.015			11.890

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
69.000	BUCYRUS INTL INC ACCOUNT 21-80-690-5847005	2,032.89	2,349.63	3,966.81	.101	6.90	.174	57.490
7.000	CME GROUP INC A DERIVATIVIES EXCHANGE ACCOUNT 21-80-640-5847005	1,534.90	1,534.90	1,737.12	.044	32.20	1.854	248.160
931.000	CVS CAREMARK CORPORATION ACCOUNT 21-80-633-5847005	28,838.97	29,193.38	25,118.38	.638	465.50	1.853	26.980
44.000	CABOT OIL & GAS CORP COM ACCOUNT 21-80-690-5847005	609.26	609.26	1,224.96	.031	5.28	.431	27.840
540.000	CANADIAN NATURAL RESOURCES SEDOL 2171573 ISIN CA1363851017 ACCOUNT 21-80-633-5847005	18,559.26	18,559.26	17,328.60	.440	162.54	.938	32.090
18.000	CANTEL MEDICAL CORP ACCOUNT 21-80-690-5847005	304.32	304.32	258.66	.007	2.16	.835	14.370
67.000	CARMAX INC ACCOUNT 21-80-640-5847005	1,427.17	1,427.17	1,335.31	.034			19.930
20.000	CASH AMERICA INTERNATIONAL INC ACCOUNT 21-80-690-5847005	733.70	733.70	612.60	.016	2.80	.457	30.630
30.000	CASH AMERICA INTERNATIONAL INC ACCOUNT 21-80-672-5847005	736.78	870.63	918.90	.023	4.20	.457	30.630
40.000	CENTENE CORP ACCOUNT 21-80-672-5847005	914.86	1,068.36	808.80	.021			20.220
30.000	CHECKPOINT SYSTEMS INC ACCOUNT 21-80-690-5847005	495.75	549.85	549.90	.014			18.330

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
105.000	CHICOS FAS INC ACCOUNT 21-80-672-5847005	1,242.75	1,242.75	867.30	.022	16.80	1.937	8.260
160.000	CISCO SYSTEMS INC ACCOUNT 21-80-640-5847005	4,430.01	4,448.02	3,197.60	.081			19.985
83.000	CIRRUS LOGIC INC ACCOUNT 21-80-640-5847005	1,431.54	1,431.54	1,253.30	.032			15.100
40.000	CLOUD PEAK ENERGY INC ACCOUNT 21-80-672-5847005	723.84	723.84	632.80	.016			15.820
84.000	COACH INC ACCOUNT 21-80-640-5847005	2,419.15	2,793.69	3,010.56	.076	50.40	1.674	35.840
168.000	COCA COLA CO ACCOUNT 21-80-633-5847005	8,870.22	8,870.22	9,388.18	.238	295.68	3.149	55.882
46.000	COGNIZANT TECHNOLOGY SOLUTIONS ACCOUNT 21-80-640-5847005	1,913.50	1,913.50	2,649.83	.067			57.605
30.000	COHERENT INC ACCOUNT 21-80-672-5847005	859.50	918.50	1,114.50	.028			37.150
614.000	COSTCO WHOLESALE CORP ACCOUNT 21-80-633-5847005	26,165.16	26,389.65	34,691.00	.881	503.48	1.451	56.500
20.000	COVANCE INC ACCOUNT 21-80-690-5847005	757.40	757.40	758.60	.019			37.930
40.000	DTS INC ACCOUNT 21-80-672-5847005	949.16	949.16	1,402.00	.036			35.050

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
35.000	DELPHI FINL GROUP INC CL A ACCOUNT 21-80-690-5847005	752.91	752.91	780.50	.020	15.40	1.973	22.300
420.000	DEVON ENERGY CORP NEW ACCOUNT 21-80-633-5847005	13,658.40	13,658.40	25,317.60	.643	268.80	1.062	60.280
205.000	DIAGEO PLC SPONSORED ADR ACCOUNT 21-80-633-5847005	10,178.25	10,178.25	13,427.50	.341	484.62	3.609	65.500
30.000	DIGITAL RIV INC ACCOUNT 21-80-690-5847005	1,118.55	1,118.55	791.10	.020			26.370
30.000	DOVER CORP ACCOUNT 21-80-640-5847005	1,550.49	1,550.49	1,342.80	.034	33.00	2.458	44.760
15.000	DUFF & PHELPS CORP CL A ACCOUNT 21-80-672-5847005	235.92	235.92	149.85	.004	3.60	2.402	9.990
98.000	EMC CORP ACCOUNT 21-80-640-5847005	1,785.93	1,785.93	1,787.52	.045			18.240
45.000	EMS TECHNOLOGIES INC ACCOUNT 21-80-672-5847005	644.95	644.95	653.40	.017			14.520
330.000	EOG RES INC ACCOUNT 21-80-633-5847005	7,307.47	7,708.95	28,667.10	.728	204.60	.714	86.870
26.000	EATON CORP ACCOUNT 21-80-640-5847005	2,060.49	2,060.49	1,806.48	.046	60.32	3.339	69.480
35.000	EATON VANCE CORP COM NON VTG ACCOUNT 21-80-690-5847005	702.45	702.45	909.65	.023	25.20	2.770	25.990

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
125.000	EXPEDIA INC ACCOUNT 21-80-640-5847005	3,056.47	3,056.47	2,857.50	.073	35.00	1.225	22.860
300.000	EXPRESS SCRIPTS INC ACCOUNT 21-80-633-5847005	13,013.32	13,013.32	12,780.00	.324			42.600
60.000	FLIR SYSTEM INC ACCOUNT 21-80-690-5847005	914.01	972.99	1,506.00	.038			25.100
35.000	FTI CONSULTING INC ACCOUNT 21-80-672-5847005	1,212.83	1,212.83	1,147.30	.029	32.66	2.847	32.780
27.000	F5 NETWORKS INC ACCOUNT 21-80-640-5847005	1,392.94	1,392.94	2,360.61	.060			87.430
33.000	FIRST POTOMAC RLTY TR ACCOUNT 21-80-690-5847005	372.98	372.98	489.39	.012	26.40	5.394	14.830
10.000	FIRST SOLAR INC ACCOUNT 21-80-640-5847005	2,153.95	2,153.95	1,278.50	.032			127.850
62.000	FLUOR CORP ACCOUNT 21-80-640-5847005	2,835.18	2,835.18	2,768.92	.070	31.00	1.120	44.660
14.000	FRANKLIN RESOURCES INC ACCOUNT 21-80-640-5847005	1,297.65	1,297.65	1,351.14	.034	14.00	1.036	96.510
59.000	THE GEO GROUP INC ACCOUNT 21-80-672-5847005	866.07	866.07	1,303.90	.033			22.100
30.000	GEN PROBE INC ACCOUNT 21-80-640-5847005	2,136.68	2,136.68	1,350.90	.034			45.030
55.000	GENESCO INC ACCOUNT 21-80-672-5847005	1,149.36	1,236.79	1,388.20	.035			25.240

PNC

03-013

STATEMENT OF INVESTMENTS

AS OF 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
30.000	GLOBAL PAYMENTS INC-W/I ACCOUNT 21-80-690-5847005	666.45	666.45	1,128.90	.029	2.40	.213	37.630
17.000	GOOGLE INC-CL A ACCOUNT 21-80-640-5847005	5,532.59	6,553.58	7,650.34	.194			450.020
13.000	GOOGLE INC-CL A ACCOUNT 21-80-633-5847005	5,108.66	5,737.23	5,850.26	.149			450.020
110.000	GRAFTECH INTERNATIONAL LTD ACCOUNT 21-80-640-5847005	1,659.95	1,659.95	1,546.60	.039			14.060
60.000	GRAFTECH INTERNATIONAL LTD ACCOUNT 21-80-672-5847005	660.69	660.69	843.60	.021			14.060
268.000	GRUPO TELEVISA SA DE CV SPONSORED ADR REPSTG ORD PARTN CTF ACCOUNT 21-80-633-5847005	4,423.79	5,355.36	4,955.32	.126	139.63	2.818	18.490
335.000	HARLEY DAVIDSON INC ACCOUNT 21-80-633-5847005	16,160.26	16,160.26	8,150.55	.207	134.00	1.644	24.330
25.000	HARSCO CORP ACCOUNT 21-80-690-5847005	696.12	696.13	498.50	.013	20.50	4.112	19.940
35.000	HEALTHWAYS INC ACCOUNT 21-80-690-5847005	1,031.62	1,094.84	437.50	.011			12.500
270.000	HEWLETT-PACKARD CO ACCOUNT 21-80-633-5847005	10,229.02	10,229.02	10,381.50	.264	86.40	.832	38.450
60.000	HEXCEL CORP ACCOUNT 21-80-690-5847005	1,206.89	1,233.76	1,023.60	.026	26.40	2.579	17.060

PNC

1RSBOX 0 1/00

STATEMENT OF INVESTMENTS

AS OF 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
150.000	HUNTSMAN CORP ACCOUNT 21-80-672-5847005	770.40	847.45	1,369.50	.035	60.00	4.381	9.130
40.000	ICON PUB LTD CO SPONSORED ADR ACCOUNT 21-80-672-5847005	851.90	889.63	880.00	.022			22.000
38.000	ILLUMINA INC ACCOUNT 21-80-640-5847005	1,533.85	1,533.85	1,629.82	.041			42.890
77.000	IMAX CORP ACCOUNT 21-80-640-5847005	1,522.23	1,522.23	1,088.01	.028			14.130
45.000	INFORMATICA CORP ACCOUNT 21-80-672-5847005	946.62	946.62	1,446.30	.037			32.140
25.000	INFOSYS TECHNOLOGIES LTD SPONSORED ADR REPSTG 1/4 ACCOUNT 21-80-640-5847005	1,554.96	1,554.96	1,432.00	.036	12.68	.885	57.280
99.000	INTEL CORP ACCOUNT 21-80-640-5847005	1,944.70	1,944.70	1,748.84	.044	62.37	3.566	17.665
23.000	INTERCONTINENTAL EXCHANGE INC ACCOUNT 21-80-640-5847005	1,750.54	1,750.54	2,197.88	.056			95.560
25.000	INTREPID POTASH INC ACCOUNT 21-80-672-5847005	643.35	643.35	561.25	.014			22.450
554.000	IRON MTN INC PA ACCOUNT 21-80-633-5847005	10,867.12	11,044.45	11,235.12	.285	415.50	3.698	20.280
45.000	ITRON, INC. ACCOUNT 21-80-690-5847005	2,496.13	2,496.13	2,430.00	.062			54.000

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
95.000	JABIL CIRCUIT ACCOUNT 21-80-640-5847005	1,459.24	1,459.24	973.75	.025	26.60	2.732	10.250
40.000	JEFFRIES GROUP INC ACCOUNT 21-80-690-5847005	670.20	670.20	900.40	.023	12.00	1.333	22.510
337.000	JOHNSON & JOHNSON ACCOUNT 21-80-633-5847005	20,112.94	20,112.94	19,215.74	.488	727.92	3.788	57.020
25.000	LANDSTAR SYS INC ACCOUNT 21-80-672-5847005	832.67	836.52	899.50	.023	5.00	.556	35.980
40.000	LIFE TIME FITNESS INC ACCOUNT 21-80-690-5847005	1,193.34	1,193.34	1,358.80	.034			33.970
578.000	LOEWS CORPORATION ACCOUNT 21-80-633-5847005	29,020.34	29,020.34	20,310.92	.516	144.50	.711	35.140
44.000	LONGTOP FINL TECHNOLOGIES LTD ADR ISIN US54318P1084 SEDOL B28G1Z5 ACCOUNT 21-80-640-5847005	1,596.47	1,596.47	1,433.52	.036			32.580
20.000	LUFKIN INDS, INC. ACCOUNT 21-80-690-5847005	755.19	755.19	773.20	.020	10.00	1.293	38.660
50.000	LUFKIN INDS, INC. ACCOUNT 21-80-672-5847005	889.65	889.65	1,933.00	.049	25.00	1.293	38.660
48.000	LULULEMON ATHLETICA INC ACCOUNT 21-80-640-5847005	1,514.45	1,514.45	1,577.86	.040			32.872
60.000	MGIC INVT CORP WIS ACCOUNT 21-80-672-5847005	717.09	717.09	433.20	.011	6.00	1.385	7.220

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
22.000	MADDEN STEVEN LTD ACCOUNT 21-80-672-5847005	724.38	724.38	756.69	.019			34.395
61.000	MC DERMOTT INTERNATIONAL INC ACCOUNT 21-80-640-5847005	765.79	765.79	782.02	.020	12.20	1.560	12.820
30.000	MEDASSETS INC ACCOUNT 21-80-672-5847005	476.60	476.60	594.00	.015			19.800
98.000	MEDICAL PROPERTIES TRUST INC ACCOUNT 21-80-690-5847005	713.14	713.14	964.32	.024	78.40	8.130	9.840
20.000	MEDNAX INC ACCOUNT 21-80-690-5847005	1,136.22	1,136.22	926.80	.024			46.340
35.000	MERCADOLIBRE INC ACCOUNT 21-80-640-5847005	1,454.75	1,454.75	2,307.90	.059			65.940
621.000	MERCK & CO INC ACCOUNT 21-80-633-5847005	17,763.24	14,394.96	21,834.36	.554	943.92	4.323	35.160
20.000	MERITAGE HOMES CORPORATION ACCOUNT 21-80-690-5847005	679.80	679.80	357.20	.009	30.00	8.399	17.860
376.000	MICROSOFT CORP ACCOUNT 21-80-633-5847005	8,011.72	10,384.88	8,822.84	.224	240.64	2.727	23.465
52.000	MONSANTO CO ACCOUNT 21-80-633-5847005	3,977.98	3,977.98	2,737.80	.070	58.24	2.127	52.650
35.000	MONSTER WORLDWIDE INC ACCOUNT 21-80-672-5847005	664.91	664.91	386.05	.010			11.030
231.000	MOODY'S CORP ACCOUNT 21-80-633-5847005	5,671.64	5,588.48	4,883.34	.124	106.26	2.176	21.140

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
22.000	MOOG INC CLASS A ACCOUNT 21-80-690-5847005	569.72	652.02	686.40	.017	1.54	.224	31.200
41.000	NII HOLDINGS INC CL B ACCOUNT 21-80-640-5847005	1,398.16	1,398.16	1,486.25	.038			36.250
49.000	NATIONAL OILWELL VARCO INC ACCOUNT 21-80-640-5847005	1,708.15	2,045.93	1,843.87	.047	21.56	1.169	37.630
80.000	NETAPP INC ACCOUNT 21-80-640-5847005	1,920.00	1,920.00	3,230.40	.082			40.380
20.000	NORTHWEST PIPE CO ACCOUNT 21-80-672-5847005	596.23	596.23	299.00	.008			14.950
20.000	NOVO NORDISK A S ADR ACCOUNT 21-80-640-5847005	1,381.13	1,381.13	1,712.40	.043	19.58	1.143	85.620
20.000	OYO GEOSPACE ACCOUNT 21-80-672-5847005	1,370.29	1,397.67	985.00	.025			49.250
505.000	OCCIDENTAL PETROLEUM CORP ACCOUNT 21-80-633-5847005	14,840.52	14,888.25	36,905.40	.937	767.60	2.080	73.080
20.000	ONEOK INC NEW ACCOUNT 21-80-690-5847005	474.80	474.80	858.20	.022	38.40	4.474	42.910
110.000	ORACLE CORP ACCOUNT 21-80-640-5847005	1,948.58	1,948.58	2,402.95	.061	22.00	.916	21.845

PNC

1HSBOX 01/00

STATEMENT OF INVESTMENTS

AS OF 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
20.000	OPTIONSXPRESS HOLDINGS INC ACCOUNT 21-80-672-5847005	320.26	320.26	284.60	.007	6.40	2.249	14.230
52.000	PARKER HANNIFIN CORP ACCOUNT 21-80-640-5847005	3,435.75	3,435.75	3,076.32	.078	60.32	1.961	59.160
905.000	PFIZER INC ACCOUNT 21-80-633-5847005	14,362.67	14,362.67	14,398.55	.366	724.00	5.028	15.910
45.000	PHARMACUTICAL PROD DEV INC ACCOUNT 21-80-690-5847005	737.78	737.78	1,033.65	.026	27.00	2.612	22.970
25.000	PHILLIPS-VAN HEUSEN CORP ACCOUNT 21-80-690-5847005	498.75	498.75	1,142.00	.029	3.75	.328	45.680
55.000	PINNACLE ENTERTAINMENT INC ACCOUNT 21-80-672-5847005	645.32	645.32	539.00	.014	26.35	4.889	9.800
43.000	PLANTRONICS INC NEW ACCOUNT 21-80-640-5847005	1,369.07	1,369.07	1,174.33	.030	8.60	.732	27.310
57.000	PRICE T ROWE GROUP INC ACCOUNT 21-80-640-5847005	3,245.04	3,435.99	2,496.03	.063	61.56	2.466	43.790
25.000	PRICELINE.COM INC ACCOUNT 21-80-640-5847005	5,850.03	5,850.03	7,287.00	.185			291.480
190.000	PROCTER & GAMBLE CO ACCOUNT 21-80-633-5847005	10,602.00	10,602.00	11,337.30	.288	366.13	3.229	59.670
994.000	PROGRESSIVE CORP OHIO ACCOUNT 21-80-633-5847005	20,063.89	20,063.89	19,681.20	.500	160.03	.813	19.800
35.000	PROTECTIVE LIFE CORP ACCOUNT 21-80-690-5847005	748.18	910.91	653.80	.017	19.60	2.998	18.680

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
106.000	QUALCOMM INC ACCOUNT 21-80-640-5847005	4,079.91	4,079.91	4,059.80	.103	80.56	1.984	38.300
20.000	QUALITY SYSTEMS INC ACCOUNT 21-80-672-5847005	679.91	692.37	1,121.00	.028	24.00	2.141	56.050
35.000	RADIANT SYS INC ACCOUNT 21-80-672-5847005	518.76	593.99	626.85	.016			17.910
42.000	RAYMOND JAMES FINANCIAL INC ACCOUNT 21-80-690-5847005	684.04	684.04	969.36	.025	21.84	2.253	23.080
25.000	REDWOOD TR INC ACCOUNT 21-80-672-5847005	366.39	366.39	345.25	.009	25.00	7.241	13.810
20.000	REGAL BELOIT CORP ACCOUNT 21-80-672-5847005	880.72	880.72	1,106.40	.028	13.60	1.229	55.320
20.000	REGENERON PHARMACEUTICALS INC ACCOUNT 21-80-672-5847005	428.65	428.65	439.60	.011			21.980
25.000	REINSURANCE GROUP OF AMERICA ACCOUNT 21-80-690-5847005	719.80	719.80	1,093.50	.028	12.00	1.097	43.740
-33.000	RIVERBED TECHNOLOGY INC ACCOUNT 21-80-672-5847005	480.35	480.35	-1,265.88	.032			38.360
106.000	RIVERBED TECHNOLOGY INC ACCOUNT 21-80-640-5847005	1,522.58	1,522.58	4,066.16	.103			38.360
28.000	ROVI CORP ACCOUNT 21-80-640-5847005	1,804.66	1,804.66	1,218.28	.031			43.510
17.000	ROVI CORP ACCOUNT 21-80-672-5847005	-1,070.50	-1,070.50	739.67	.019			43.510

PNC

03-013

STATEMENT OF INVESTMENTS

AS OF 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
20.000	SBA COMMUNICATIONS CORP (IPO) ACCOUNT 21-80-690-5847005	403.51	403.51	716.00	.018			35.800
35.000	SRA INTERNATIONAL INC CL A ACCOUNT 21-80-690-5847005	888.52	888.52	673.75	.017			19.250
10.000	SALIX PHARMACEUTICALS LTD ACCOUNT 21-80-672-5847005	394.44	394.44	378.60	.010			37.860
25.000	THE SCOTTS MIRACLE-GRO COMPANY ACCOUNT 21-80-690-5847005	915.55	915.55	1,180.75	.030	25.00	2.117	47.230
794.000	SEALED AIR CORP ACCOUNT 21-80-633-5847005	19,429.18	19,429.18	16,284.94	.413	412.88	2.535	20.510
25.000	SEATTLE GENETICS INC / WA ACCOUNT 21-80-672-5847005	287.90	287.90	286.25	.007			11.450
70.000	SHUFFLE MASTER INC ACCOUNT 21-80-672-5847005	200.79	200.79	556.50	.014			7.950
20.000	SNAP ON INC ACCOUNT 21-80-690-5847005	638.60	638.60	824.60	.021	25.60	3.105	41.230
35.000	SOTHEBY'S ACCOUNT 21-80-672-5847005	446.92	446.92	931.35	.024	7.00	.752	26.610
25.000	SOUTH JERSEY INDUSTRIES INC ACCOUNT 21-80-690-5847005	985.77	985.77	1,174.75	.030	36.50	3.107	46.990
113.000	STARBUCKS CORP ACCOUNT 21-80-640-5847005	1,577.51	1,577.51	2,596.74	.066	58.76	2.263	22.980

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
25.000	STATE AUTO FINANCIAL CORP ACCOUNT 21-80-690-5847005	847.57	847.57	349.50	.009	15.00	4.292	13.980
30.000	SUCCESSFACTORS INC ACCOUNT 21-80-672-5847005	644.43	644.43	633.00	.016			21.100
30.000	SWIFT ENERGY CO ACCOUNT 21-80-690-5847005	692.27	692.27	808.50	.021			26.950
52.000	TALEO CORP CLASS A ACCOUNT 21-80-640-5847005	1,331.88	1,331.88	1,332.76	.034			25.630
20.000	TELEDYNE TECHNOLOGIES INC ACCOUNT 21-80-690-5847005	901.02	901.02	723.60	.018			36.180
35.000	TENNECO INC ACCOUNT 21-80-672-5847005	715.85	715.85	865.20	.022	7.00	.809	24.720
50.000	TERADYNE INCORPORATED ACCOUNT 21-80-672-5847005	342.75	343.69	449.00	.011			8.980
637.000	TEXAS INSTRUMENTS INC ACCOUNT 21-80-633-5847005	16,550.17	17,247.68	14,663.74	.372	331.24	2.259	23.020
45.000	THORATEC CORP ACCOUNT 21-80-672-5847005	850.22	917.73	1,449.00	.037			32.200
110.000	TIBCO SOFTWARE INC ACCOUNT 21-80-672-5847005	845.78	865.04	1,593.57	.040			14.487
35.000	TIMKEN CO ACCOUNT 21-80-690-5847005	1,011.50	1,011.50	1,144.85	.029	25.20	2.201	32.710
50.000	TITANIUM METALS CORP ACCOUNT 21-80-672-5847005	602.62	602.62	908.00	.023	15.00	1.652	18.160

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
20.000	TORO CO ACCOUNT 21-80-690-5847005	777.60	777.60	998.00	.025	16.00	1.603	49.900
183.000	TRANSATLANTIC HOLDINGS INC ACCOUNT 21-80-633-5847005	10,120.17	10,120.17	8,723.61	.221	153.72	1.762	47.670
58.000	TRIMBLE NAVIGATION LTD ACCOUNT 21-80-640-5847005	1,682.32	1,949.77	1,631.54	.041			28.130
10.000	TRIUMPH GROUP INC NEW ACCOUNT 21-80-672-5847005	645.00	645.00	663.80	.017	1.60	.241	66.380
30.000	TRUSTMARK CORP ACCOUNT 21-80-690-5847005	497.05	497.05	572.10	.015	27.60	4.824	19.070
15.000	UMB FINL CORP ACCOUNT 21-80-672-5847005	689.95	689.95	478.20	.012	11.70	2.447	31.880
20.000	URS CORP NEW ACCOUNT 21-80-690-5847005	810.67	810.67	713.40	.018			35.670
20.000	UNITED BANKSHARES INC W VIRGINIA ACCOUNT 21-80-690-5847005	649.62	649.62	460.20	.012	24.00	5.215	23.010
25.000	UNITED FIRE & CASUALTY CO ACCOUNT 21-80-690-5847005	802.59	802.59	505.75	.013	15.00	2.966	20.230
45.000	UNIVERSAL ELECTRONICS ACCOUNT 21-80-672-5847005	1,145.12	1,185.58	860.85	.022			19.130
37.000	VALSPAR CORP ACCOUNT 21-80-690-5847005	942.41	942.41	1,114.44	.028	26.64	2.390	30.120
29.000	VARIAN MEDICAL SYSTEMS INC ACCOUNT 21-80-640-5847005	850.18	850.18	1,543.96	.039			53.240

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
45.000	VARIAN SEMICONDUCTOR EQUIP W/I ACCOUNT 21-80-672-5847005	892.34	892.34	1,116.90	.028			24.820
20.000	VEECO INSTRUMENTS INC DEL ACCOUNT 21-80-672-5847005	657.60	657.60	664.60	.017			33.230
33.000	VEECO INSTRUMENTS INC DEL ACCOUNT 21-80-640-5847005	1,506.79	1,506.79	1,096.59	.028			33.230
40.000	VITAMIN SHOPPE INC ACCOUNT 21-80-672-5847005	823.43	823.43	976.40	.025			24.410
30.000	WGL HLDGS INC ACCOUNT 21-80-690-5847005	853.08	853.08	1,058.10	.027	45.30	4.281	35.270
20.000	WABCO HOLDINGS INC ACCOUNT 21-80-672-5847005	695.22	695.22	705.20	.018	5.60	.794	35.260
30.000	WASTE CONNECTIONS INC ACCOUNT 21-80-672-5847005	592.20	592.20	1,132.50	.029	6.00	.530	37.750
39.000	WATERS CORP ACCOUNT 21-80-640-5847005	2,158.44	2,158.44	2,360.28	.060			60.520
1,352.000	WELLS FARGO & COMPANY ACCOUNT 21-80-633-5847005	27,055.72	33,321.45	31,839.60	.808	270.40	.849	23.550
	TOTAL COMMON STOCK	806,046.98	820,445.93	875,885.84	22.238	12,753.68	1.456	
MUTUAL FUNDS - FIXED								
123,610.435	VANGUARD TOTAL BOND MARKET INDEX FUND CL SIG FD #1351 ACCOUNT 21-80-001-5847005	1,245,329.81	1,247,445.41	1,348,589.85	34.239	44,243.21	3.281	10.910

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
	TOTAL MUTUAL FUNDS - FIXED	1,245,329.81	1,247,445.41	1,348,589.85	34.239	44,243.21	3.281	
	MUTUAL FUNDS - EQUITY							
32,323.021	MFS CORE GROWTH FUND CLASS I	411,462.57	415,153.27	485,815.01	12.334			15.030
513.363	ARTISAN MID CAP VALUE FUND (INCOME INVESTMENT) ACCOUNT 21-80-001-5847005	9,689.83	9,689.83	8,865.78	.225	5.13	.058	17.270
4,982.382	ARTISAN MID CAP VALUE FUND ACCOUNT 21-80-001-5847005	69,134.27	95,706.30	86,045.74	2.185	49.82	.058	17.270
770.404	BARON ASSET FUND FD #585 ACCOUNT 21-80-001-5847005	41,188.87	46,814.13	34,329.20	.872			44.560
11,948.409	BLACKROCK FUNDS EQUITY DIVIDEND INSTITUTIONAL CLASS FUND 383 ACCOUNT 21-80-001-5847005	152,535.42	156,285.19	180,659.94	4.587	3,811.54	2.110	15.120
67.160	HARBOR INTERNATIONAL FUND CLASS INS (INCOME INVESTMENT) ACCOUNT 21-80-001-5847005	4,636.75	4,636.75	3,407.70	.087	69.17	2.030	50.740
6,602.982	HARBOR INTERNATIONAL FUND CLASS INS ACCOUNT 21-80-001-5847005	301,236.12	355,838.46	335,035.31	8.506	6,801.07	2.030	50.740

PNC

STATEMENT OF INVESTMENTS

AS OF 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

PAR VALUE /SHARES	INVESTMENT DESCRIPTION	CARRYING VALUE	TAX COST	MARKET VALUE	% OF MARKET	ESTIMATED INCOME	YIELD AT MARKET	MARKET UNIT PRICE
4,187.817	RS EMERGING MARKETS FUND CLASS A ACCOUNT 21-80-001-5847005	82,500.00	82,500.00	96,989.84	2.462	1,465.74	1.511	23.160
227.353	T ROWE PRICE GROWTH STOCK FD # 40 (INCOME INVESTMENT) ACCOUNT 21-80-001-5847005	7,352.35	7,352.35	5,820.24	.148	4.55	.078	25.600
14,100.002	T ROWE PRICE GROWTH STOCK FD # 40 ACCOUNT 21-80-001-5847005	364,191.23	412,348.12	360,960.05	9.164	282.00	.078	25.600
672.868	T ROWE PRICE MID CAP GROWTH INC FD #64 ACCOUNT 21-80-001-5847005	34,000.00	34,000.00	32,122.72	.816			47.740
	TOTAL MUTUAL FUNDS - EQUITY	1,477,927.41	1,620,324.40	1,630,051.53	41.386	12,489.02	.766	
TOTAL INVESTMENTS		3,613,503.36	3,772,414.90	3,938,726.38	100.000	69,534.74	1.765	

PNC

ACCOUNT SUMMARY

08/31/10 THROUGH 08/31/10

ACCOUNT
21-80-501-5847005

M D SMOCK CHARITABLE TR TUA CHAR

	INCOME CASH	INCOME CARRYING VALUE	PRINCIPAL CASH	PRINCIPAL CARRYING VALUE
YOUR TRUST ACCOUNT BALANCES ON 08/31/10 WERE	0.00	31,265.39	0.00	3,582,237.97
DURING THE PERIOD INCOME WAS RECEIVED FROM				
NO DIVIDENDS THIS PERIOD				
NO NON-TAXABLE INTEREST INCOME THIS PERIOD				
NO TAXABLE INTEREST INCOME THIS PERIOD				
NO RENTAL INCOME THIS PERIOD				
THUS TOTAL INCOME RECEIVED EQUALED				
NO CONTRIBUTIONS WERE RECEIVED THIS PERIOD				
NO SALES THIS PERIOD				
NO INCOME OR PRINCIPAL TRANSFERS THIS PERIOD				
NO PURCHASES THIS PERIOD				
EXPENSES INCURRED DURING THIS PERIOD WERE				
NO FEES CHARGED THIS PERIOD				
NO OTHER EXPENSES THIS PERIOD				
FOR TOTAL EXPENSES OF				
NO PAYMENTS WERE MADE TO OR FOR YOU THIS PERIOD				
YOUR CURRENT BALANCES AS OF 08/31/10 ARE	0.00	31,265.39	0.00	3,582,237.97

PNC

- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II and check this box ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3 month extension on a previously filed Form 8868

- If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1)

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed)

Type or print. File by the extended due date for filing your return See instructions

Name of exempt organization: MARGARET D. SMOCK CHARITABLE TRUST TRUST UNDER AGREEMENT

Employer identification number: 61-6155883

Number, street, and room or suite no If a P O box, see instructions: C/O PNC BANK, 620 LIBERTY AVENUE, NO. 10THFL

City, town or post office, state, and ZIP code For a foreign address, see instructions: PITTSBURGH, PA 15222

Enter the Return code for the return that this application is for (file a separate application for each return) 0 1

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ PNC BANK TRUSTEE - 620 LIBERTY AVENUE, 10TH FL - PITTSBURGH, PA 15222-2705

  Telephone No ▶ (412)762-3779 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until JULY 15, 2011

5 For calendar year ______ , or other tax year beginning SEP 1, 2009 , and ending AUG 31, 2010

6 If the tax year entered in line 5 is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
ADDITIONAL TIME IS REQUIRED TO FILE A COMPLETE AND ACCURATE TAX RETURN

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	$ 0.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	$ 0.
c	**Balance due.** Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	$ 0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ John W. Olenitz Title ▶ ASSISTANT VICE PRESIDENT Date ▶

923842
01-03-11