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Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

OMB No 1545-0052

**2009**

Department of the Treasury

Internal Revenue Service

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning 09-01-2009 , and ending 08-31-2010

G

Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

<b>Use the IRS label. Otherwise, print or type. See Specific Instructions.</b>	Name of foundation Richard L McConnell Family Foundation		<b>A Employer identification number</b> 42-1493274	
	Number and street (or P O box number if mail is not delivered to street address) Room/suite 7295 NW 100th Street		<b>B Telephone number</b> (see page 10 of the instructions) (515) 986-4574	
	City or town, state, and ZIP code Grimes, IA 50111		<b>C</b> If exemption application is pending, check here <input type="checkbox"/> <b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 1,179,306		<b>J</b> Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	<b>1</b> Contributions, gifts, grants, etc , received (attach schedule)	50,000			
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B				
	<b>3</b> Interest on savings and temporary cash investments	9,841	9,481		
	<b>4</b> Dividends and interest from securities. . . . .	16,134	16,134		
	<b>5a</b> Gross rents . . . . .				
	<b>b</b> Net rental income or (loss) _____				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	1,356			
	<b>b</b> Gross sales price for all assets on line 6a _____ 184,650				
	<b>7</b> Capital gain net income (from Part IV, line 2) . . . .		1,356		
	<b>8</b> Net short-term capital gain . . . . .				
	<b>9</b> Income modifications . . . . .				
	<b>10a</b> Gross sales less returns and allowances				
	<b>b</b> Less Cost of goods sold . . . . .				
Operating and Administrative Expenses	<b>c</b> Gross profit or (loss) (attach schedule) . . . . .				
	<b>11</b> Other income (attach schedule) . . . . .	5,561	5,561		
	<b>12 Total.</b> Add lines 1 through 11 . . . . .	82,892	32,532		
	<b>13</b> Compensation of officers, directors, trustees, etc				
	<b>14</b> Other employee salaries and wages . . . . .				
	<b>15</b> Pension plans, employee benefits . . . . .				
	<b>16a</b> Legal fees (attach schedule) . . . . .				
	<b>b</b> Accounting fees (attach schedule) . . . . .	2,750	1,375	0	1,375
	<b>c</b> Other professional fees (attach schedule) . . . . .	9,507	4,753		4,754
	<b>17</b> Interest . . . . .				
	<b>18</b> Taxes (attach schedule) (see page 14 of the instructions)	322	322		
	<b>19</b> Depreciation (attach schedule) and depletion . . .				
	<b>20</b> Occupancy . . . . .				
	<b>21</b> Travel, conferences, and meetings . . . . .				
	<b>22</b> Printing and publications . . . . .				
	<b>23</b> Other expenses (attach schedule) . . . . .				
	<b>24 Total operating and administrative expenses.</b>				
	Add lines 13 through 23 . . . . .	12,579	6,450	0	6,129
	<b>25</b> Contributions, gifts, grants paid . . . . .	12,870			12,870
	<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	25,449	6,450	0	18,999
	<b>27</b> Subtract line 26 from line 12				
	<b>a Excess of revenue over expenses and disbursements</b>	57,443			
	<b>b Net investment income</b> (if negative, enter -0-)		26,082		
	<b>c Adjusted net income</b> (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	234,126	104,421	104,421
	2	Savings and temporary cash investments . . . . .			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .			
	c	Investments—corporate bonds (attach schedule). . . . .			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .	905,718	1,092,866	1,074,885
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,139,844	1,197,287	1,179,306	
Liabilities	17	Accounts payable and accrued expenses . . . . .	0	0	
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22) . . . . .	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1,139,844	1,197,287	
	30	Total net assets or fund balances (see page 17 of the instructions) . . . . .	1,139,844	1,197,287	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .	1,139,844	1,197,287		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	11,139,844
2	Enter amount from Part I, line 27a . . . . .	57,443
3	Other increases not included in line 2 (itemize) ▶ _____	
4	Add lines 1, 2, and 3 . . . . .	1,197,287
5	Decreases not included in line 2 (itemize) ▶ _____	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	1,197,287

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,356
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8 . . . . .		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	101,537	1,025,432	0 099019
2007	52,090	1,219,900	0 0427
2006	103,653	1,201,241	0 086288
2005	36,400	1,056,316	0 034459
2004	38,925	881,417	0 044162

2	Total of line 1, column (d). . . . .	2	0 306628
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . .	3	0 061326
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5. . . . .	4	1,151,765
5	Multiply line 4 by line 3. . . . .	5	70,633
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	261
7	Add lines 5 and 6. . . . .	7	70,894
8	Enter qualifying distributions from Part XII, line 4. . . . .	8	18,999

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1aExempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
bDomestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	522
cAll other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3Add lines 1 and 2. . . . .		3	522
4Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .		5	522
6Credits/Payments			
a2009 estimated tax payments and 2008 overpayment credited to 2009	6a	128	
bExempt foreign organizations—tax withheld at source . . . . .	6b		
cTax paid with application for extension of time to file (Form 8868)	6c	350	
dBackup withholding erroneously withheld . . . . .	6d		
7Total credits and payments Add lines 6a through 6d. . . . .		7	478
8Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	
9Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	44
10Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10	
11Enter the amount of line 10 to be Credited to 2010 estimated tax 0 Refunded		11	

Part VII-AStatements Regarding Activities

1aDuring the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	1a	Yes	No
bDid it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.	1b		No
cDid the foundation file Form 1120-POL for this year? . . . . .	1c		
dEnter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$			
eEnter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities.	2		No
3Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .	3		No
4aDid the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	4a		No
bIf "Yes," has it filed a tax return on Form 990-T for this year? . . . . .	4b		
5Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T.	5		No
6Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	6	Yes	
7Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8aEnter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) IA			
bIf the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV . . . . .	9		No
10Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of DEBORAH MCCONNELL Telephone no (515) 986-4574 Located at 7295 NW 100TH STREET GRIMES IA ZIP+4 50111			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.		15	

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. Yes No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. Yes No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). Yes No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?.	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?. If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions ).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. Yes No			
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

✓

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

✓

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

✓

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).

Yes

✓

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

✓

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.

Yes

▶

No

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

YesNo

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

✓

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

Yes

✓

No

If "Yes " to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

✓

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

Yes

✓

No

5b

6b

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD L MCCONNELL	TRUSTEE	0		
7295 NW 100TH STREET GRIMES,IA 50111	0			
DEBORAH K MCCONNELL	TRUSTEE	0		
7295 NW 100TH STREET GRIMES,IA 50111	0			

2

Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total

number of other employees paid over \$50,000.

▶

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services. . . . .		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments See page 24 of the instructions	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3. . . . .	



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	1,012,264
b	Average of monthly cash balances. . . . .	1b	157,041
c	Fair market value of all other assets (see page 24 of the instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	1,169,305
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	1,169,305
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions). . . . .	4	17,540
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,151,765
6	Minimum investment return. Enter 5% of line 5. . . . .	6	57,588

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	57,588
2a	Tax on investment income for 2009 from Part VI, line 5. . . . .	2a	522
b	Income tax for 2009 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	522
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	57,066
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	57,066
6	Deduction from distributable amount (see page 25 of the instructions). . . . .	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	57,066

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	18,999
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	0
b	Cash distribution test (attach the required schedule). . . . .	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	18,999
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions). . . . .	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	18,999
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7				57,066
2	Undistributed income, if any, as of the end of 2008				
a	Enter amount for 2008 only. . . . .				
b	Total for prior years 2007 , 2006 , 2005				
3	Excess distributions carryover, if any, to 2009				
a	From 2004. . . . .				0
b	From 2005. . . . .				
c	From 2006. . . . .				
d	From 2007. . . . .				
e	From 2008. . . . .				47,862
f	Total of lines 3a through e. . . . .	47,862			
4	Qualifying distributions for 2009 from Part XII, line 4 ➤ \$ 18,999				
a	Applied to 2008, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .				
d	Applied to 2009 distributable amount. . . . .				18,999
e	Remaining amount distributed out of corpus	0			
5	Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	38,067			38,067
6	Enter the net total of each column as indicated below:				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	9,795			
b	Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .				
e	Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions . . . . .				
f	Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010 . . . . .				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .				
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .				
9	Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a . . . . .	9,795			
10	Analysis of line 9				
a	Excess from 2005. . . .				
b	Excess from 2006. . . .				
c	Excess from 2007. . . .				
d	Excess from 2008. . . .	9,795			
e	Excess from 2009. . . .				

Part XIV

Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2009	(b) 2008	(c) 2007	(d) 2006	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

RICHARD MCCONNELL

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

Deborah K McConnell  
1295 NW 100th Street  
Grimes, IA 50111  
(515) 986-4574

b

The form in which applications should be submitted and information and materials they should include

NONE

c

Any submission deadlines

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Form 990-PF (2009)

**Part XV** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> THE NATURE CONSERVANCY 303 LOCUST STREET SUITE 402 DES MOINES, IA 50309		PUBLIC CHARITY	OPERATING FUND	10,000
AGRONOMIC SCIENCE FOUNDATION 5585 GUILFORD ROAD FITCHBURG, WI 53711		PUBLIC CHARITY	AWARDS	1,000
COLORADO STATE UNIVERSITY 711 OVAL DRIVE FORT COLLINS, CO 80523		PUBLIC CHARITY	SOIL & CROP EDUCATION	1,870
<b>Total</b> . . . . .			<b>3a</b>	12,870
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . .			<b>3b</b>	

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2009)

<b>1</b>	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		<b>Yes</b>	<b>No</b>
<b>a</b>	Transfers from the reporting foundation to a noncharitable exempt organization of			
	<b>(1)</b> Cash. . . . .	<b>1a(1)</b>		<b>No</b>
	<b>(2)</b> Other assets. . . . .	<b>1a(2)</b>		<b>No</b>
<b>b</b>	Other transactions			
	<b>(1)</b> Sales of assets to a noncharitable exempt organization. . . . .	<b>1b(1)</b>		<b>No</b>
	<b>(2)</b> Purchases of assets from a noncharitable exempt organization. . . . .	<b>1b(2)</b>		<b>No</b>
	<b>(3)</b> Rental of facilities, equipment, or other assets. . . . .	<b>1b(3)</b>		<b>No</b>
	<b>(4)</b> Reimbursement arrangements. . . . .	<b>1b(4)</b>		<b>No</b>
	<b>(5)</b> Loans or loan guarantees. . . . .	<b>1b(5)</b>		<b>No</b>
	<b>(6)</b> Performance of services or membership or fundraising solicitations. . . . .	<b>1b(6)</b>		<b>No</b>
<b>c</b>	Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .	<b>1c</b>		<b>No</b>
<b>d</b>	If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received.			

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge				
*****		2011-03-07		*****
Signature of officer or trustee		Date		Title
Paid Preparer's Use Only	Preparer's Signature		Date	Check if self-employed <input checked="checked" type="checkbox"/>
	Preparer's identifying number (see <b>Signature</b> on page 30 of the instructions)			
	Firm's name (or yours if self-employed), address, and ZIP code		HAMILTON JUFFER & ASSOCIATES LLP 666 GRAND AVENUE SUITE 2400 DES MOINES, IA 50309	EIN Phone no (515) 245-3737

<div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury Internal Revenue Service</div>	<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF.</div>	<div>OMB No 1545-0047</div>
		<div>2009</div>

<div>Name of organization</div> <div>Richard L McConnell Family Foundation</div>	<div>Employer identification number</div> <div>42-1493274</div>
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Organization type (check one)

<div>Filers of:</div> <div>Form 990 or 990-EZ</div> <div>Form 990-PF</div>	<div>Section:</div> <div><div><input type="checkbox"/> 501(c)( ) (enter number) organization</div><div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation</div><div><input type="checkbox"/> 527 political organization</div><div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div><div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div><div><input type="checkbox"/> 501(c)(3) taxable private foundation</div></div>
--	---

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule—

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not aggregate to more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution.** An Organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2 of its Form 990, or check the box in the heading of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

<b>Name of organization</b> Richard L McConnell Family Foundation	<b>Employer identification number</b> 42-1493274
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Part I

Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	RICHARD L DEBORAH K MCCONNELL 7295 NW 100TH STREET  GRIMES, IA 50311	\$ 50,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II if there is a noncash contribution )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II if there is a noncash contribution )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II if there is a noncash contribution )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II if there is a noncash contribution )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II if there is a noncash contribution )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II if there is a noncash contribution )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II if there is a noncash contribution )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II if there is a noncash contribution )



Name of organization Richard L McConnell Family Foundation	Employer identification number 42-1493274
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Part II Noncash Property (see Instructions)			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization Richard L McConnell Family Foundation	Employer identification number 42-1493274
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. (Complete columns (a) through (e) and the following line entry )

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions ) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div>	<div></div>	<div></div>
	<div>(e) Transfer of gift</div>		
	<div>Transferee's name, address, and ZIP 4</div>	<div>Relationship of transferor to transferee</div>	
—	<div></div>	<div></div>	<div></div>
	<div>(e) Transfer of gift</div>		
	<div>Transferee's name, address, and ZIP 4</div>	<div>Relationship of transferor to transferee</div>	
—	<div></div>	<div></div>	<div></div>
	<div>(e) Transfer of gift</div>		
	<div>Transferee's name, address, and ZIP 4</div>	<div>Relationship of transferor to transferee</div>	
—	<div></div>	<div></div>	<div></div>
	<div>(e) Transfer of gift</div>		
	<div>Transferee's name, address, and ZIP 4</div>	<div>Relationship of transferor to transferee</div>	
—	<div></div>	<div></div>	<div></div>
	<div>(e) Transfer of gift</div>		
	<div>Transferee's name, address, and ZIP 4</div>	<div>Relationship of transferor to transferee</div>	

Additional Data

Software ID: 09000054

Software Version: 09-9.3

EIN: 42-1493274

Name: Richard L McConnell Family Foundation

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
FLEXTRONICS INLT LTD USD	P	2008-01-02	2009-09-30
ANADARKO PETROLEUM CORP	P	2008-10-23	2009-09-09
CA INC	P	2010-01-01	2010-07-01
E I DU PONT DE NEMOURS & CO	P	2008-01-02	2009-09-08
EMC CORP-MASS	P	2009-02-18	2009-09-24
GOODRICH CORP	P	2008-01-02	2009-09-16
HALLIBURTON CO HOLDINGS CO	P	2010-01-01	2010-07-07
KROGER CO	P	2008-01-02	2009-09-24
LIMITED BRANDS INC	P	2008-01-02	
MATTEL INC DE	P	2010-01-01	2010-07-01
NORFOLK SOUTHERN CORP	P		2009-09-09
NORFOLK SOUTHERN CORP	P	2009-03-02	2009-09-09
TRANSOCEAN LTD SWITZERLAND	P	2008-09-18	2009-10-12
ACTIVISION BLIZZARD INC	P	2008-07-17	2009-10-30
AETNA INC NEW		2008-10-31	2009-10-14
AMERIPISE FINANCIAL INC	P		2009-10-12
ANADARKO PETROLEUM CORP	P	2008-10-23	2009-10-14
CELESIO AG	P	2008-10-20	2009-10-22
E I DU PONT DE NEMOURS & CO	P		
E I DU PONT DE NEMOURS & CO	P		2009-10-27
ENTERGY CORPORATION	P	2009-02-25	2009-10-19
HOSPIRA INC	P	2009-04-29	2009-10-14
INTEL CORP	P	2008-01-02	2009-10-14
INTERPUBLIC GROUP OF COS INC		2008-01-02	2009-10-06
JOY GLOBAL INC	P	2009-08-04	2009-10-30
MARATHON OIL CORP	P	2008-05-30	
MARATHON OIL CORP	P	2008-11-06	2009-10-26
MICROSOFT CORP		2008-01-02	2009-10-30
PEABODY ENERGY CORP	P	2009-06-24	2009-10-22
PRICELINE COM INC	P	2009-06-05	2009-10-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
519		837	-318
783		422	361
2,260		1,904	356
254		349	-95
172		119	53
1,999		2,494	-495
1,148		823	325
1,354		1,704	-350
2,049		2,177	-128
1,820		1,452	368
1,712		2,490	-778
1,027		629	398
91		116	-25
1,346		2,254	-908
52		47	5
1,400		1,943	-543
409		181	228
267		344	-77
2,589		3,526	-937
1,086		846	240
648		541	107
226		163	63
105		126	-21
142		159	-17
405		322	83
1,259		1,887	-628
1,282		1,064	218
646		810	-164
220		147	73
171		117	54

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-318
			361
			356
			-95
			53
			-495
			325
			-350
			-128
			368
			-778
			398
			-25
			-908
			5
			-543
			228
			-77
			-937
			240
			107
			63
			-21
			-17
			83
			-628
			218
			-164
			73
			54

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
REED ELSEVIER NV	P	2008-01-02	2009-10-14
ST JUDE MEDICAL INC	P	2008-10-21	2009-10-12
TEVA PHARMACEUTICAL INDS LTD ADR	P	2008-10-01	2009-10-14
UNION PACIFIC CORP	P	2009-03-03	2009-10-07
THE WESTERN UNION COMPANY	P	2008-12-10	2009-10-14
ACE LIMITED	P	2008-01-02	2009-11-06
AETNA INC NEW	P	2008-10-31	2009-11-09
BECTON DICKINSON & CO		2008-01-02	2009-11-06
COLGATE PALMOLIVE	P	2008-01-02	2009-11-20
DIRECTV CL A	P	2008-01-02	2009-11-23
GOODRICH CORP	P	2008-01-02	2009-11-06
KNIGHT CAPITAL GROUP INC	P	2008-11-20	2009-11-06
MURPHY OIL CORP	P	2008-01-02	2009-11-24
OLYMPUS CORP SPONSORED ADR	P		2009-11-09
PAREXEL INTL CORP	P		
SK TELECOM LTD SPON ADR	P	2008-01-02	2009-11-11
TAKEDA PHARMACEUTICAL CO	P	2008-07-15	2009-11-09
TAKEDA PHARMACEUTICALS CO	P	2009-03-20	2009-11-09
AOL INC	P		
AOL INC		2009-06-03	
AETNA INC NEW			2009-12-28
COGNIZANT TECH SOLUTIONS CL A	P	2009-08-24	2009-12-09
COMMERCE BANCSHARES INC	P		2009-12-15
DELL INC	P	2008-01-02	2009-12-11
DIRECT TV CL A	P	2008-01-02	
FLOUR CORP NEW	P	2009-02-11	2009-12-09
GENERAL DYNAMICS CORP	P	2008-01-02	2009-12-29
GRAFTECH INTERNATIONAL INC	P	2008-01-02	2009-12-22
KNIGHT CAPITAL GROUP INC CL A	P	2008-11-20	2009-12-03
KNIGHT CAPITAL GROUP INC CL A	P		2009-12-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
275		541	-266
1,705		1,922	-217
608		562	46
1,986		1,238	748
59		41	18
513		613	-100
353		284	69
2,457		3,057	-600
2,272		2,084	188
822		590	232
1,664		2,009	-345
325		288	37
1,551		2,332	-781
648		583	65
775		921	-146
155		249	-94
317		396	-79
40		36	4
181		138	43
19		13	6
233		169	64
482		383	99
14		13	1
1,394		2,583	-1,189
1,379		931	448
1,626		1,686	-60
2,132		2,717	-585
60		69	-9
467		461	6
1,459		2,228	-769

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-266
			-217
			46
			748
			18
			-100
			69
			-600
			188
			232
			-345
			37
			-781
			65
			-146
			-94
			-79
			4
			43
			6
			64
			99
			1
			-1,189
			448
			-60
			-585
			-9
			6
			-769



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
NOVARTIS AG ADR	P	2008-01-02	2009-12-16
PACER INTERNATIONAL INC	P	2008-08-26	2009-12-22
PEABODY ENEGRY CORP	P		2009-12-15
PRICELINE COM INC (NEW)	P	2009-06-05	2009-12-03
SUEZ ENVIORMENT CO S A-EUR	P		2009-12-03
3 COM CORP	P	2009-11-06	2009-12-22
CHECK POINT SOFTWARE TECH	P	2008-01-02	2010-01-13
APACHE CORP	P	2008-05-23	2010-01-21
ENTERGY CORPORATION-NEW	P		2010-01-11
FUJIFILM HLDGS CORP - JPY	P	2008-01-02	2010-01-28
INTERPUBLIC GROUP OF COS	P	2008-01-02	2010-01-28
MCDONALDS CORP	P	2008-01-02	2010-01-08
NEWS CORP CLASS A NEW	P	2008-10-09	
OWENS ILLINOIS INC NEW	P	2009-08-14	
VINCI S A ADR	P	2008-06-09	2010-01-28
WEATHERFORD INTERNATIONAL LTD	P		2010-02-12
WEATHERFORD INTERNATIONAL LTD	P		
CADBURY PLC ADR	P		2010-02-09
EOG RESOURCES	P	2009-09-16	2010-02-12
HALLIBURTON CO HOLDINGS CO	P	2008-11-06	
HALLIBURTON CO HOLDINGS CO	P	2009-07-07	
INTERPUBLIC GROUP OF COS INC	P	2008-01-02	
KIMBERLY CLARK CORP	P	2009-10-30	2010-02-12
ELI LILLY & CO	P	2008-01-02	2010-02-12
MICROSOFT CORP	P	2008-01-02	
PORTUGAL TELECOM SPON ADR	P	2008-01-02	2010-02-04
QUALCOMM INC	P	2009-06-29	2010-02-02
UNITEDHEALTH GROUP INC	P	2009-12-09	2010-02-02
WELLPOINT INC	P	2009-05-27	2010-02-02
ACCENTURE PLC	P	2008-01-02	2010-03-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
108		109	-1
171		1,160	-989
2,503		1,678	825
665		352	313
265		233	32
1,442		1,055	387
34		22	12
1,995		2,638	-643
2,587		2,177	410
226		293	-67
113		135	-22
1,791		1,685	106
2,059		1,687	372
1,807		2,040	-233
149		193	-44
1,412		1,013	399
2,059		2,408	-349
954		881	73
2,276		2,065	211
1,513		910	603
1,265		775	490
1,527		1,879	-352
2,058		2,146	-88
1,664		2,573	-909
1,227		1,549	-322
375		486	-111
1,560		1,840	-280
337		290	47
388		278	110
2,331		2,023	308

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			-989
			825
			313
			32
			387
			12
			-643
			410
			-67
			-22
			106
			372
			-233
			-44
			399
			-349
			73
			211
			603
			490
			-352
			-88
			-909
			-322
			-111
			-280
			47
			110
			308

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
COOPER INDUSTRIES PLC	P	2008-01-02	2010-03-09
AMERISOURCEBERGEN CORP	P	2008-01-02	2010-03-30
APOLLO GROUP INC CL A	P	2008-07-17	2010-03-30
APOLLO GROUP INC CL A	P	2009-08-24	2010-03-30
APPLE INC	P	2009-03-11	2010-03-12
H&R BLOCK INC	P	2008-12-03	2010-03-12
H&R BOLCK INC	P	2009-08-24	2010-03-12
CASEYS GENERAL STORES INC	P	2008-11-20	2010-03-30
DEVON ENEGRY CORP	P	2009-08-07	2010-03-11
EBAY INC	P	2009-08-24	2010-03-30
GEA GROUP AG-USD	P	2009-12-01	2010-03-18
HEWITT ASSOCIATES INC CLASS A	P	2009-08-24	2010-03-12
HOSPIRA INC	P	2009-04-29	2010-03-09
NEUSTAR INC CL A	P	2009-01-29	2010-03-30
RALCORP HLDGS INC NEW	P	2009-11-25	2010-03-18
TD AMERITRADE HOLDING CORP	P	2009-06-05	2010-03-16
UNION PACIFIC CORP	P	2009-10-23	2010-03-18
AMERPRISE FINANCIAL INC	P		2010-04-30
BP PLC SPONS ADR	P	2008-01-25	2010-04-29
CAP GEMINI S A-ADR	P		
ILLINOIS TOOL WORKS INC	P	2009-12-30	2010-04-14
INTEL CORP	P	2008-01-02	2010-04-20
NESTLE SA SPONSORED ADR	P	2008-01-02	2010-04-28
TELEFONICA S A SPON ADR	P	2008-01-02	2010-04-21
WALTER ENERGY INC	P	2010-02-16	2010-04-14
WESTERN UNION COMPANY	P		2010-04-14
TRANSOCEAN LTD SWITZERLAND	P	2008-09-18	2010-05-28
TRANSOCEAN LTD SWITZERLAND	P	2009-08-24	2010-05-28
ABBOTT LABORATORIES	P	2008-05-01	2010-05-11
AMERIPRISE FINANCIAL INC	P	2008-10-02	2010-05-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
572		612	-40
586		447	139
1,302		1,195	107
558		583	-25
453		187	266
1,375		1,588	-213
554		581	-27
850		790	60
1,378		1,214	164
108		89	19
231		217	14
2,146		1,970	176
266		163	103
1,690		909	781
135		116	19
1,883		1,885	-2
589		462	127
669		536	133
892		1,078	-186
178		177	1
2,207		2,235	-28
313		328	-15
573		551	22
277		388	-111
195		157	38
2,333		1,829	504
690		1,392	-702
690		943	-253
2,272		2,468	-196
694		545	149

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-40
			139
			107
			-25
			266
			-213
			-27
			60
			164
			19
			14
			176
			103
			781
			19
			-2
			127
			133
			-186
			1
			-28
			-15
			22
			-111
			38
			504
			-702
			-253
			-196
			149

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
ANADARKO PETROLEUM CORP	P		2010-05-04
APPLE INC	P	2009-03-11	2010-05-11
COGNIZANT TECH SOLUTIONS CL A	P	2009-08-24	2010-05-12
BP PLC SPONS ADR	P		2010-05-03
DIRECTV CL A	P	2008-01-02	2010-05-07
HOSPIRA INC	P		2010-05-04
MICROSOFT CORP	P		2010-05-25
PORTUGAL TELECOM SPON ADR	P	2008-01-02	2010-05-28
PRICELINE COM INC (NEW)	P	2009-06-05	2010-05-28
SANDISK CORP	P	2010-03-25	
TAKEDA PHARMACEUTICALS CO	P	2009-03-20	2010-05-28
WELLPOINT INC	P	2009-05-27	2010-05-03
ALBERTO-CULVER CO NEW	P	2009-04-27	2010-06-25
CHEVRON CORP	P	2008-01-02	2010-06-16
COACH INC	P	2008-06-09	2010-06-16
DIRECTTV CL A	P		2010-06-18
DYNCORP INTL INC	P	2009-11-06	2010-06-25
EBAY INC	P	2009-08-24	2010-06-16
EXXON MOBIL CORP	P		2010-06-28
EXXON MOBIL CORP	P	2009-12-14	2010-06-28
FRANKLIN RESOURCES INC	P	2009-07-29	2010-06-25
MCGRAW HILL COS INC	P		2010-06-01
NOVARTIS AG ADR	P	2008-01-02	2010-06-17
OLIN CORP	P	2009-04-27	2010-06-25
PACTIV CORP	P	2010-02-04	2010-06-09
PEARSON PLC SPONSORED ADR	P	2008-01-02	2010-06-16
PROCTER & GAMBLE CO	P	2009-11-06	2010-06-16
SYBASE INC	P	2008-09-26	2010-06-25
TARGET CORP	P	2008-01-02	2010-06-01
TELEKOM AUSTRIA AG SPON ADR	P	2008-01-02	2010-06-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,371		1,288	1,083
515		187	328
2,565		1,705	860
3,667		4,781	-1,114
250		159	91
720		421	299
2,104		1,975	129
111		145	-34
1,952		1,174	778
896		698	198
245		216	29
1,829		1,576	253
1,080		872	208
1,356		1,682	-326
876		704	172
1,388		773	615
1,095		1,100	-5
1,914		1,908	6
4		4	
1		1	
1,997		1,771	226
1,656		1,842	-186
146		163	-17
1,163		868	295
801		595	206
142		145	-3
2,153		2,128	25
2,386		1,203	1,183
330		299	31
113		284	-171



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,083
			328
			860
			-1,114
			91
			299
			129
			-34
			778
			198
			29
			253
			208
			-326
			172
			615
			-5
			6
			226
			-186
			-17
			295
			206
			-3
			25
			1,183
			31
			-171

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
ACE LIMITED	P	2008-01-02	2010-07-09
ALTRIA GROUP INC	P		
COLGATE PALMOLIVE CO	P		2010-07-12
DOLLAR TREE INC	P	2009-03-02	2010-06-24
HOSPIRA INC	P	2009-05-01	2010-07-01
INTEL CORP	P		
NATIONAL GRID PLC	P	2010-05-27	2010-05-27
PHILIP MORRIS INTL INC	P	2008-01-02	2010-07-01
ROLLS ROYCE GROUP PLC	P	2008-01-02	2010-07-02
SYMANTEC CORP	P		2010-07-29
VESTAS WIND SYS A/S UTD	P		2010-07-02
VISHAY PRECISION GROUP INC	P	2009-12-22	2010-07-07
CHECK POINT SOFTWARE TECH	P	2008-01-02	2010-08-25
ALTERA CORP	P	2010-03-12	2010-08-03
AMERIPRISE FINANCIAL INC	P	2008-10-02	2010-08-31
BANCO ESPIRITO SANTO SA-EU	P	2008-12-12	2010-08-19
DIRECTV CL A	P		2010-08-10
DIRECTV CL A	P		2010-08-10
FLOWERVE CORP	P	2008-08-12	2010-08-25
ITT EDUCATIONAL SERVICES INC	P	2009-01-09	2010-08-03
ITT EDUCATIONAL SERVICES INC	P	2009-08-24	2010-08-03
KBR INC	P		2010-08-27
KONINKLIJKE PHILIPS	P	2008-01-02	2010-08-20
LONZA GROUP AG UNSPON ADR	P	2008-10-20	
NESTLE S A SPONSORED ADR	P	2008-01-02	2010-08-20
PACTIV CORP	P		2010-08-31
PHILIP MORRIS INTL INC	P	2008-01-02	2010-08-09
SYMANTIC CORP	P		
PEABODY ENERGY CORP	P	2009-06-24	2009-09-22
PROMISE CO LTD	P	2008-05-13	2009-09-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
221		245	-24
2,068		1,491	577
2,153		1,760	393
21		13	8
343		194	149
2,004		1,521	483
21			21
417		469	-52
125		163	-38
832		991	-159
279		416	-137
11		12	-1
140		88	52
304		275	29
474		400	74
159		296	-137
750		394	356
2,013		1,595	418
1,955		2,688	-733
1,022		1,298	-276
550		747	-197
673		507	166
167		254	-87
242		324	-82
251		229	22
1,452		999	453
1,520		1,511	9
2,449		2,979	-530
523		381	142
97		475	-378

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

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(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-24
			577
			393
			8
			149
			483
			21
			-52
			-38
			-159
			-137
			-1
			52
			29
			74
			-137
			356
			418
			-733
			-276
			-197
			166
			-87
			-82
			22
			453
			9
			-530
			142
			-378

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
WOODWARD GOVERNOR CO DELAWARE	P	2008-09-26	2009-11-06
DREAMWORKS ANIMATION INC CLA	P	2008-05-30	2009-12-22
CVS CAREMARK CORP	P	2008-01-02	2010-02-08
NEWS CORP CLASS A NEW	P		2010-02-03
DOLLAR TREE INC	P	2009-03-02	2010-05-28
SENTINEL SHORT MATURITY GOVERNMENT FUND	P	2009-12-15	2010-06-24
UNSETTLED SALES	P	2010-01-01	2010-05-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
720		1,136	-416
681		532	149
495		592	-97
643		457	186
311		197	114
3,331		3,313	18
128			128

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-416
			149
			-97
			186
			114
			18
			128

**TY 2009 Accounting Fees Schedule**

**Name:** Richard L McConnell Family Foundation

**EIN:** 42-1493274

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	2,750	1,375		1,375



**Note:** To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

**TY 2009 Depreciation Schedule**

**Name:** Richard L McConnell Family Foundation

**EIN:** 42-1493274

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
-------------------------	---------------	---------------------	---------------------------	--------------------	--------------------------	-------------------------------------	-----------------------	---------------------	---------------------------------

TY 2009 Investments - Land Schedule

**Name:** Richard L McConnell Family Foundation

**EIN:** 42-1493274

Category/ Item	Cost/Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
----------------	------------------	-----------------------------	---------------	----------------------------------

**TY 2009 Investments - Other Schedule****Name:** Richard L McConnell Family Foundation**EIN:** 42-1493274

<b>Category / Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
ACCRUED INT 90-15		979	979
EXCHANGE TRADED & CLOSE ENDED		20,350	20,371
MUTUAL FUNDS		47,533	47,942
CITIBANK MLD 90-15		75,255	79,113
CERTIFICATES OF DEPOSIT 90-15		200,000	204,650
GOVERNMENT & GSE BONDS		23,789	26,611
BANK DEPOSIT PROGRAM 91-14		10,320	10,320
COMMON STOCK 91-14		368,857	348,442
UNSETTLED PURCHASE/SALE 91-14		1,206	1,206
BANK DEPOSIT PROGRAM 92-13		1,729	1,729
MUTUAL FUNDS 92-13		261,989	247,235
EXCHANGE TRADED CLOSE END FUND		29,671	36,184
UNIT INVESTMENT TRUSTS		51,188	50,103

TY 2009 Land, Etc. Schedule

**Name:** Richard L McConnell Family Foundation

**EIN:** 42-1493274

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
--------------------	-----------------------	-----------------------------	---------------	----------------------------------

# TY 2009 Other Income Schedule

**Name:** Richard L McConnell Family Foundation

**EIN:** 42-1493274

Description	Revenue And Expenses Per Books	Net Invest ment Income	Adjusted Net Income
OTHER INCOME	5,500		
MISCELLANEOUS INCOME	61		

**TY 2009 Other Professional Fees Schedule**

**Name:** Richard L McConnell Family Foundation

**EIN:** 42-1493274

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MANAGEMENT FEES	9,507	4,753		4,754

TY 2009 Taxes Schedule

**Name:** Richard L McConnell Family Foundation

**EIN:** 42-1493274

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX WITHHELD	322	322		