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Form **990**Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0047

2009**Open to Public
Inspection****A For the 2009 calendar year, or tax year beginning** 09/01, 2009, and ending 08/31, 2010

B Check if applicable: ☐ Address change ☐ Name change ☐ Initial return ☐ Terminated ☐ Amended return ☐ Application pending	Please use IRS label or print or type See Specific Instructions	C Name of organization BIRTH TO FIVE POLICY ALLIANCE		D Employer identification number 26-2797011
		Doing Business As		E Telephone number (913) 406-7750
		Number and street (or P O box if mail is not delivered to street address) Room/suite P.O. BOX 6756		
		City or town, state or country, and ZIP + 4 LEAWOOD, KS 66206-0756		
F Name and address of principal officer: LISA KLEIN P.O. BOX 6756 LEAWOOD, KS 66206-0756				G Gross receipts \$ 3,453,299. H(a) Is this a group return for affiliates? Yes ☐ No ☒ H(b) Are all affiliates included? Yes ☐ No ☒ If "No," attach a list (see instructions) H(c) Group exemption number ▶
I Tax-exempt status ☒ 501(c) (3) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527				
J Website: WWW.BIRHTOFIVEPOLICY.ORG				
K Form of organization ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶			L Year of formation 2008 M State of legal domicile NE	

Part I Summary

Activities & Governance	1 Briefly describe the organization's mission or most significant activities SEE SCHEDULE O.			
	2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.			
	3 Number of voting members of the governing body (Part VI, line 1a)	3	4	
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	4	
	5 Total number of employees (Part V, line 2a)	5	1	
	6 Total number of volunteers (estimate if necessary)	6	5	
	7a Total gross unrelated business revenue from Part VIII, column (C), line 12	7a	0.	
	b Net unrelated business taxable income from Form 990-T, line 34	7b	0.	
	Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
		9 Program service revenue (Part VIII, line 2g)	7,750,000.	3,450,000.
10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)		0.	0.	
11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)		0.	3,299.	
12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)		7,750,000.	3,453,299.	
13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)		793,562.	6,259,000.	
14 Benefits paid to or for members (Part IX, column (A), line 4)		0.	0.	
15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)		184,000.	174,383.	
16a Professional fundraising fees (Part IX, column (A), line 11e)		0.	0.	
b Total fundraising expenses, Part IX, column (D), line 25		44,798.		
Expenses	17 Other expenses (Part IX, column (A), lines 11a-11d, 14f-24f)	84,924.	142,861.	
	18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	1,062,486.	6,576,244.	
	19 Revenue less expenses. Subtract line 18 from line 12	6,687,514.	-3,122,945.	
	Net Assets or Fund Balances	20 Total assets (Part X, line 16)	Beginning of Year	End of Year
		21 Total liabilities (Part X, line 26)	6,687,514.	3,564,569.
		22 Net assets or fund balances. Subtract line 21 from line 20.	6,687,514.	3,564,569.

Part II Signature Block

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge	
	☒ Signature of officer ☒ LISA KLEIN EXECUTIVE DIRECTOR Type or print name and title	Date 7-15-11
Paid Preparer's Use Only	Preparer's signature <i>Brunita W. Son</i> Date 7/14/11 Check if self-employed ☐	Preparer's identifying number (see instructions) 000638700
	Firm's name (or yours if self-employed), address, and ZIP + 4 CBIZ MHM, LLC 11440 TOMAHAWK CREEK PARKWAY LEAWOOD, KS 66211	EIN 34-1874260 Phone no 913.234.1000
	May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No	

For Privacy Act and Paperwork Reduction Act Notice, see the separate instructions.*

Form 990 (2009)

JSA
9E1010 3 000

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Part III Statement of Program Service Accomplishments**1** Briefly describe the organization's mission:

SEE SCHEDULE O.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses.

Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 6,363,958. including grants of \$ 6,259,000.) (Revenue \$ 0.)

STATE-BASED ADVOCACY - THE ALLIANCE INVESTS IN ADVOCACY ACTIVITIES

"OUTSIDE GOVERNMENT" TO INFORM POLICY DEBATES. WE INVEST IN BOTH

TRADITIONAL AND NON-TRADITIONAL ORGANIZATIONS, INCLUDING CHILD

ADVOCATES, BUSINESS AND CIVIC LEADERS, LAW ENFORCEMENT, AND

NATIONAL NON-PROFIT ORGANIZATIONS.

4b (Code:) (Expenses \$ 35,239. including grants of \$ 0.) (Revenue \$ 0.)

STRATEGIC AND BROAD-BASED LEADERSHIP - THE ALLIANCE INVESTS IN

EFFECTIVE LEADERSHIP "INSIDE GOVERNMENT" TO BUILD NEW CHAMPIONS

FOR EARLY CHILDHOOD POLICY. WE INVEST IN ACTIVITIES TO INFORM

POLICY AND BUDGET DEBATES AND DECISIONS.

4c (Code:) (Expenses \$ 35,239. including grants of \$ 0.) (Revenue \$ 0.)

KNOWLEDGE DEVELOPMENT AND DISSEMINATION - THE ALLIANCE INVESTS IN

NEW INFORMATION AND DISSEMINATION ACTIVITIES. WE SUPPORT NEW

RESEARCH AND POLICY ANALYSIS/SYNTHESIS AND SHARE IT WITH ADVOCATES

AND LEADERS.

4d Other program services. (Describe in Schedule O)

(Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses ► 6,434,436.

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	X	
2 Is the organization required to complete Schedule B, Schedule of Contributors?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II		X
5 Sections 501(c)(4), 501(c)(5), and 501(c)(6) organizations. Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? If "Yes," complete Schedule C, Part III		
6 Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III		X
9 Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV		X
10 Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? If "Yes," complete Schedule D, Part V		X
11 Is the organization's answer to any of the following questions "Yes"? If so, complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		X
• Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI.		
• Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII.		
• Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII.		
• Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX.		
• Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X.		
• Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? If "Yes," complete Schedule D, Part X		
12 Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII.		X
12A Was the organization included in consolidated, independent audited financial statement for the tax year? If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional.	Yes	No
		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E.		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? If "Yes," complete Schedule F, Part I		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Part II.	X	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If "Yes," complete Schedule F, Part III		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II		X
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III		X
20 Did the organization operate one or more hospitals? If "Yes," complete Schedule H		X

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Part IV Checklist of Required Schedules (continued)

		Yes	No
21	Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II.</i>	X	
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III.</i>		X
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J.</i>	X	
24 a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to question 25.</i>		X
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25 a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I.</i>		
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I.</i>		
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II.</i>		X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III.</i>		X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV.</i>		X
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV.</i>		X
c	An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV.</i>		X
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M.</i>		X
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M.</i>		X
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I.</i>		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II.</i>		X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I.</i>		X
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1.</i>		X
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2.</i>		X
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2.</i>		X
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI.</i>		X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? Note. All Form 990 filers are required to complete Schedule O.	X	

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Part V Statements Regarding Other IRS Filings and Tax Compliance

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal of U.S. Information Returns. Enter -0- if not applicable.	1a	2
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	1b	0
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	1
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return. (see instructions)	2b	X
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?	3a	X
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O	3b	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	X
b	If "Yes," enter the name of the foreign country: ▶ See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a	X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b	X
c	If "Yes," to question 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?	5c	
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?	6a	X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b	
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	X
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c	X
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d	
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e	X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f	X
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?	7g	
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?	7h	
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8	X
9	Sponsoring organizations maintaining donor advised funds.		
a	Did the organization make any taxable distributions under section 4966?	9a	X
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b	X
10	Section 501(c)(7) organizations. Enter:		
a	Initiation fees and capital contributions included on Part VIII, line 12	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b	
11	Section 501(c)(12) organizations. Enter:		
a	Gross income from members or shareholders	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b	
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b	

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Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Section A. Governing Body and Management

	Yes	No
1a Enter the number of voting members of the governing body	4	
b Enter the number of voting members that are independent	4	
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		X
4 Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?		X
5 Did the organization become aware during the year of a material diversion of the organization's assets?		X
6 Does the organization have members or stockholders?		X
7a Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?		X
b Are any decisions of the governing body subject to approval by members, stockholders, or other persons?		X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a The governing body?	X	
b Each committee with authority to act on behalf of the governing body?	X	
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Does the organization have local chapters, branches, or affiliates?		X
b If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?		
11 Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	X	
11A Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a Does the organization have a written conflict of interest policy? If "No," go to line 13	X	
b Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	X	
c Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	X	
13 Does the organization have a written whistleblower policy?	X	
14 Does the organization have a written document retention and destruction policy?		X
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official	X	
b Other officers or key employees of the organization		X
If "Yes" to line 15a or 15b, describe the process in Schedule O. (See instructions.)		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		X
b If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ►

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.
☐ Own website ☐ Another's website ☒ Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ► LISA KLEIN 9830 ASH STREET OVERLAND PARK, KS 66207
913-406-7750

Part VIII Statement of Revenue

26-2797011

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns	1a			
	b	Membership dues	1b			
	c	Fundraising events	1c			
	d	Related organizations	1d			
	e	Government grants (contributions)	1e			
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	3,450,000.		
	g	Noncash contributions included in lines 1a-1f \$				
	h	Total. Add lines 1a-1f		3,450,000		
Program Service Revenue			Business Code			
	2a					
	b					
	c					
	d					
	e					
	f	All other program service revenue				
	g	Total. Add lines 2a-2f		0.		
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)		3,299.		3,299
	4	Income from investment of tax-exempt bond proceeds		0.		
	5	Royalties		0.		
			(i) Real	(ii) Personal		
	6a	Gross Rents				
	b	Less: rental expenses				
	c	Rental income or (loss)				
	d	Net rental income or (loss)		0.		
			(i) Securities	(ii) Other		
	7a	Gross amount from sales of assets other than inventory				
	b	Less: cost or other basis and sales expenses				
	c	Gain or (loss)				
	d	Net gain or (loss)		0.		
	8a	Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c) See Part IV, line 18	a			
	b	Less: direct expenses	b			
	c	Net income or (loss) from fundraising events		0		
	9a	Gross income from gaming activities. See Part IV, line 19	a			
	b	Less: direct expenses	b			
	c	Net income or (loss) from gaming activities		0		
	10a	Gross sales of inventory, less returns and allowances	a			
b	Less: cost of goods sold	b				
c	Net income or (loss) from sales of inventory		0.			
Miscellaneous Revenue		Business Code				
11a						
b						
c						
d	All other revenue					
e	Total. Add lines 11a-11d		0.			
12	Total Revenue. See instructions		3,453,299.		3,299	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

<i>Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.</i>	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21 . . .	6,259,000.	6,259,000.		
2 Grants and other assistance to individuals in the U.S. See Part IV, line 22	0.			
3 Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16	0.			
4 Benefits paid to or for members	0.			
5 Compensation of current officers, directors, trustees, and key employees	136,250.	68,125.	34,063.	34,062.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . .	0.			
7 Other salaries and wages	0.			
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions) . . .	0.			
9 Other employee benefits	0.			
10 Payroll taxes	38,133.	19,067.	9,533.	9,533.
11 Fees for services (non-employees):				
a Management	0.			
b Legal	0.			
c Accounting	0.			
d Lobbying	0.			
e Professional fundraising services See Part IV, line 17	0.			
f Investment management fees	0.			
g Other	18,636.		18,636.	
12 Advertising and promotion	0.			
13 Office expenses	1,931.	1,075.	428.	428.
14 Information technology	2,836.	1,418.	1,418.	
15 Royalties	0.			
16 Occupancy	0.			
17 Travel	26,619.	13,310.	13,309.	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	0.			
19 Conferences, conventions, and meetings	0.			
20 Interest	0.			
21 Payments to affiliates	0.			
22 Depreciation, depletion, and amortization . . .	0.			
23 Insurance	0.			
24 Other expenses. Itemize expenses not covered above. (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below.)				
a POLICY EXPENSES	68,703.	51,527.	17,176.	0.
b COMMUNICATIONS	18,191.	18,191.	0.	0.
c UTILITIES AND PHONE	3,103.	1,552.	776.	775.
d MEALS	2,342.	1,171.	1,171.	0.
e REGISTRATION FEES	500.	0.	500.	0.
f All other expenses				
25 Total functional expenses. Add lines 1 through 24f	6,576,244.	6,434,436.	97,010.	44,798.
26 Joint Costs. Check here ☐ If following SOP 98-2. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing	6,687,514.	1	3,564,569.
	2 Savings and temporary cash investments		2	
	3 Pledges and grants receivable, net		3	
	4 Accounts receivable, net		4	
	5 Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6 Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete Part II of Schedule L		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges		9	
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a		
	b Less: accumulated depreciation	10b	10c	
	11 Investments - publicly traded securities		11	
	12 Investments - other securities See Part IV, line 11		12	
	13 Investments - program-related See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11		15	
16 Total assets. Add lines 1 through 15 (must equal line 34)	6,687,514.	16	3,564,569.	
Liabilities	17 Accounts payable and accrued expenses		17	
	18 Grants payable		18	
	19 Deferred revenue		19	
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities. Complete Part X of Schedule D		25	
26 Total liabilities. Add lines 17 through 25		26		
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ☐ and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets		27	
	28 Temporarily restricted net assets		28	
	29 Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117, check here ☒ and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds	6,687,514.	32	3,564,569.
33 Total net assets or fund balances	6,687,514.	33	3,564,569.	
34 Total liabilities and net assets/fund balances	6,687,514.	34	3,564,569.	

Form 990 (2009)

Part XI Financial Statements and Reporting

1 Accounting method used to prepare the Form 990. ☒ Cash ☐ Accrual ☐ Other _____
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.

2a Were the organization's financial statements compiled or reviewed by an independent accountant?

b Were the organization's financial statements audited by an independent accountant?

c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?

If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.

d If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both:

☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis

3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?

b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.

	Yes	No
2a		X
2b	X	
2c	X	
3a		X
3b		

Form **990** (2009)

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")				7,750,000	3,450,000	11,200,000
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3.				7,750,000	3,450,000	11,200,000
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f).						9,431,538
6 Public support. Subtract line 5 from line 4.						1,768,462

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4				7,750,000	3,450,000	11,200,000
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources					3,299	3,299
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 Total support. Add lines 7 through 10						11,203,299
12 Gross receipts from related activities, etc. (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						☒

Section C. Computation of Public Support Percentage

14 Public support percentage for 2009 (line 6, column (f) divided by line 11, column (f))	14	%
15 Public support percentage from 2008 Schedule A, Part II, line 14	15	%
16a 33 1/3% support test - 2009. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		☐
b 33 1/3% support test - 2008. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		☐
17a 10%-facts-and-circumstances test - 2009. If the organization did not check a box on line 13, 16a or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		☐
b 10%-facts-and-circumstances test - 2008. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		☐
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions		☐

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b.						
8 Public support (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
9 Amounts from line 6.						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ☐						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2009 (line 8, column (f) divided by line 13, column (f)).	15	%
16 Public support percentage from 2008 Schedule A, Part III, line 15.	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2009 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2008 Schedule A, Part III, line 17	18	%

19a **33 1/3% support tests - 2009.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ☐

b **33 1/3% support tests - 2008.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐

Part IV **Supplemental Information.** Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Provide any other additional information. See instructions

**SCHEDULE I
(Form 990)**

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.
► Attach to Form 990.

OMB No 1545-0047

2009

**Open to Public
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Department of the Treasury
Internal Revenue Service

Name of the organization

BIRTH TO FIVE POLICY ALLIANCE

Employer identification number

26-2797011

Part I General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States ☐ Yes ☐ No

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed ☐

1	(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
	CENTER FOR LAW AND SOCIAL POLICY WASHINGTON, DC 20005	23-7000150	501 (C) 3	225,000	0	FMV	NONE	CHARITABLE DONATION
	CHILD TRENDS WASHINGTON, DC 20008	13-2798269	501 (C) 3	250,000	0	FMV	NONE	CHARITABLE DONATION
	CHILDREN'S ALLIANCE SEATTLE, WA 98122	91-0982879	501 (C) 3	75,000	0	FMV	NONE	CHARITABLE DONATION
	CHILDREN'S INSTITUTE PORTLAND, OR 97205	93-1095351	501 (C) 3	75,000	0	FMV	NONE	CHARITABLE DONATION
	COMMITTEE FOR ECONOMIC DEVELOPMENT WASHINGTON, DC 20008	13-1623973	501 (C) 3	250,000	0	FMV	NONE	CHARITABLE DONATION
	COUNCIL OF CHIEF STATE SCHOOL OFFICERS WASHINGTON, DC 20001	53-0198090	501 (C) 3	115,000	0	FMV	NONE	CHARITABLE DONATION
	COUNCIL FOR STRONG AMERICA WASHINGTON, DC 20005	13-3840271	501 (C) 3	400,000	0	FMV	NONE	CHARITABLE DONATION
	BUILD SILVER SPRING, MD 20910	52-2164844	501 (C) 3	100,000	0	FMV	NONE	CHARITABLE DONATION
	KANSAS ACTION FOR CHILDREN TOPEKA, KS 66603	48-0879502	501 (C) 3	74,750	0	FMV	NONE	CHARITABLE DONATION
	KANSAS HEAD START ASSOCIATION LAWRENCE, KS 66044	48-1195593	501 (C) 3	25,125	0	FMV	NONE	CHARITABLE DONATION
	KANSAS ASSN OF CHILD CARE RESOURCE & REFERRAL SALINA, KS 67402	48-1102008	501 (C) 3	25,125	0	FMV	NONE	CHARITABLE DONATION
	MAINE CHILDREN'S ALLIANCE AUGUSTA, ME 04330	22-2546643	501 (C) 3	125,000	0	FMV	NONE	CHARITABLE DONATION

2 Enter total number of section 501(c)(3) and government organizations **56**

3 Enter total number of other organizations **0**

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) 2009

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Part III Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 22.
Use Part IV and Schedule I-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance

Part IV Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information.

PROCEDURE FOR MONITORING THE USE OF GRANT FUNDS

PART I, LINE 2

DURING THE APPLICATION PROCESS, THE ALLIANCE EVALUATES THE PROPOSED SCOPE

OF WORK AS WELL AS THE APPLICANT'S ABILITY TO ACHIEVE THE DESIRED

RESULTS. THE EVALUATION ALSO INCLUDES A REVIEW OF KEY PERSONNEL ON THE

PROPOSED PROJECT AND THE ORGANIZATION'S BOARD OF DIRECTORS. GRANTEE ARE

REQUIRED TO SIGN A STATEMENT PROHIBITING THE USE OF GRANT FUNDS FOR

LOBBYING AND A GRANT AGREEMENT FORM. GRANTEE PROVIDE INTERIM AND ANNUAL

NARRATIVE AND BUDGET REPORTS TO THE ALLIANCE TO ENSURE THAT THEIR WORK

CONFORMS TO THE GRANT DELIVERABLES AND AGREEMENT. GRANT BUDGETS AND

**SCHEDULE I-1
(Form 990)**

Department of the Treasury
Internal Revenue Service
Name of the organization

Continuation Sheet for Schedule I (Form 990)

► Attach to Form 990 to list additional information for
Schedule I (Form 990), Part II or Part III.

OMB No 1545-0047

2009

**Open to Public
Inspection**

BIRTH TO FIVE POLICY ALLIANCE
Employer identification number
26-2797011

Part I Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part I.)									
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance		
NATIONAL ASSN FOR THE EDUCATION OF YOUNG CH WASHINGTON, DC 20005	36-6009499	501 (C) 3	100,000	0	FMV	NONE	CHARITABLE DONATION		
NATIONAL ASSN OF CHILD CARE RESOURCE & REFE ARLINGTON, VA 22201	94-3060756	501 (C) 3	100,000	0	FMV	NONE	CHARITABLE DONATION		
NATIONAL CENTER FOR CHILDREN IN POVERTY NEW YORK, NY 10001	23-7311208	501 (C) 3	300,000	0	FMV	NONE	CHARITABLE DONATION		
NATIONAL CONFERENCE OF STATE LEGISLATURES DENVER, CO 80230	74-2232576	501 (C) 3	286,000	0	FMV	NONE	CHARITABLE DONATION		
NATIONAL COUNCIL OF LA RAZA WASHINGTON, DC 20036	86-0212873	501 (C) 3	75,000	0	FMV	NONE	CHARITABLE DONATION		
NATIONAL WOMEN'S LAW CENTER WASHINGTON, DC 20036	52-1213010	501 (C) 3	125,000	0	FMV	NONE	CHARITABLE DONATION		
NATIONAL GOVERNORS ASSOCIATION WASHINGTON, DC 20001	23-7391796	501 (C) 3	400,000	0	FMV	NONE	CHARITABLE DONATION		
OUNCE OF PREVENTION FUND CHICAGO, IL 60303	36-3186328	501 (C) 3	585,000	0	FMV	NONE	CHARITABLE DONATION		
RHODE ISLAND KIDS COUNT PROVIDENCE, RI 02903	06-1485449	501 (C) 3	190,000	0	FMV	NONE	CHARITABLE DONATION		
SMART START NATIONAL TECHNICAL ASSISTANCE C RALEIGH, NC 27604	56-1850485	501 (C) 3	100,000	0	FMV	NONE	CHARITABLE DONATION		
CENTER ON THE DEVELOPING CHILD AT HARVARD U CAMBRIDGE, MA 02142	54-21176407	501 (C) 3	500,000	0	FMV	NONE	CHARITABLE DONATION		
REGENTS OF THE UNIV OF CAL C/O SPONSORED P BERKELEY, CA 94720	27-0093858	501 (C) 3	50,000	0	FMV	NONE	CHARITABLE DONATION		
UNITED WAY WORLDWIDE ARLINGTON, VA 22314	13-1635294	501 (C) 3	172,000	0	FMV	NONE	CHARITABLE DONATION		
URBAN INSTITUTE WASHINGTON, DC 20037	52-0880375	501 (C) 3	123,000	0	FMV	NONE	CHARITABLE DONATION		
VOICES FOR AMERICA'S CHILDREN WASHINGTON, DC 20005	34-1479461	501 (C) 3	115,000	0	FMV	NONE	CHARITABLE DONATION		

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Schedule I-1 (Form 990) 2009

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**SCHEDULE I-1
(Form 990)**

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule I (Form 990)

► Attach to Form 990 to list additional information for
Schedule I (Form 990), Part II or Part III.

OMB No 1545-0047

2009

**Open to Public
Inspection**

Name of the organization

BIRTH TO FIVE POLICY ALLIANCE

Employer identification number

26-2797011

Part I Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part I.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SCHUYLER CENTER FOR ANALYSIS & ADVOCACY ALBANY, NY 12207	13-5562357	501 (C) 3	61,000.	0.	FMV	NONE	CHARITABLE DONATION
CHILD CARE, INC NEW YORK, NY 10001	13-3039812	501 (C) 3	18,000	0.	FMV	NONE	CHARITABLE DONATION
EARLY CARE AND LEARNING CENTER NEW YORK, NY 10001	11-2348051	501 (C) 3	18,000	0.	FMV	NONE	CHARITABLE DONATION
NEW YORK ASSN FOR THE EDUCATION OF YOUNG CH ALBANY, NY 12203	14-1593530	501 (C) 3	28,000	0	FMV	NONE	CHARITABLE DONATION
WISCONSIN COUNCIL ON CHILDREN AND FAMILIES MADISON, WI 53703	32-0090509	501 (C) 3	100,000.	0.	FMV	NONE	CHARITABLE DONATION
ZERO TO THREE WASHINGTON, DC 20037	52-1105189	501 (C) 3	31,250	0.	FMV	NONE	CHARITABLE DONATION
CELEBRATE CHILDREN FOUNDATION MADISON, WI 53703	39-1946398	501 (C) 3	25,000	0.	FMV	NONE	CHARITABLE DONATION
CHILDREN NOW OAKLAND, CA 94612	94-3059243	501 (C) 3	18,750	0	FMV	NONE	CHARITABLE DONATION
COMMUNITY INITIATIVES FOR PRESCHOOL CALIFOR OAKLAND, CA 94612	94-3059243	501 (C) 3	50,000	0	FMV	NONE	CHARITABLE DONATION
UNITED WAY OF GREATER TOPEKA TOPEKA, KS 66604	48-0561978	501 (C) 3	7,500	0	FMV	NONE	CHARITABLE DONATION
MILE HIGH UNITED WAY DENVER, CO 80211	84-0404235	501 (C) 3	7,500.	0	FMV	NONE	CHARITABLE DONATION
UNITED WAY OF WASHINGTON SPOKANE, WA 99210	91-1055031	501 (C) 3	8,000.	0	FMV	NONE	CHARITABLE DONATION
UNITED WAY OF NEW YORK STATE ALBANY, NY 12210	14-1705108	501 (C) 3	10,000	0	FMV	NONE	CHARITABLE DONATION
UNITED WAY OF FLORIDA INC TALLAHASSEE, FL 32303	59-2104175	501 (C) 3	10,000.	0	FMV	NONE	CHARITABLE DONATION
UNITED WAY OF GREATER RICHMOND & PETERSBURG RICHMOND, VA 11807	23-7375346	501 (C) 3	10,000.	0	FMV	NONE	CHARITABLE DONATION

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**SCHEDULE I-1
(Form 990)**

Department of the Treasury
Internal Revenue Service

Name of the organization

BIRTH TO FIVE POLICY ALLIANCE

Continuation Sheet for Schedule I (Form 990)

► Attach to Form 990 to list additional information for
Schedule I (Form 990), Part II or Part III.

OMB No. 1545-0047

2009

**Open to Public
Inspection**

Employer identification number

26-2797011

Part I Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part I.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ZERO TO THREE WASHINGTON, DC 20037	52-1105189	501 (C) 3	420,000	0	FMV	NONE	CHARITABLE DONATION
MICHIGAN'S CHILDREN LANSING, MI 48933	38-3078577	501 (C) 3	50,000	0	FMV	NONE	CHARITABLE DONATION
THE CENTER FOR MICHIGAN ANN ARBOR, MI 48103	36-4338567	501 (C) 3	40,000	0	FMV	NONE	CHARITABLE DONATION
EARLY CHILDHOOD INVESTMENT CORPORATION LANSING, MI 48917	38-2768272	501 (C) 3	10,000	0	FMV	NONE	CHARITABLE DONATION
VOICES FOR NEW MEXICO'S CHILDREN ALBUQUERQUE, NM 87106	85-0348301	501 (C) 3	72,500	0	FMV	NONE	CHARITABLE DONATION
NEW MEXICO ASSN FOR THE EDUCATION OF YOUNG ALBUQUERQUE, NM 87106	51-0137970	501 (C) 3	25,000	0	FMV	NONE	CHARITABLE DONATION
CHILDREN'S INSTITUTE PORTLAND, OR 97205	93-1095351	501 (C) 3	50,000	0	FMV	NONE	CHARITABLE DONATION
ADVANCEMENT PROJECT LOS ANGELES, CA 90017	95-4835230	501 (C) 3	15,000	0	FMV	NONE	CHARITABLE DONATION
CHILDREN NOW OAKLAND, CA 94612	94-3059243	501 (C) 3	11,250	0	FMV	NONE	CHARITABLE DONATION
PRESCHOOL CALIFORNIA OAKLAND, CA 94612	94-3059243	501 (C) 3	30,000	0	FMV	NONE	CHARITABLE DONATION
ZERO TO THREE WASHINGTON, DC 20037	52-1105189	501 (C) 3	18,750	0	FMV	NONE	CHARITABLE DONATION
MISSISSIPPI CENTER FOR EDUCATION INNOVATION JACKSON, MS 39201	26-2001840	501 (C) 3	66,500	0	FMV	NONE	CHARITABLE DONATION
MISSISSIPPI LOW INCOME CHILD CARE INITIATIV BILOXI, MS 39530	64-0943404	501 (C) 3	33,500	0	FMV	NONE	CHARITABLE DONATION

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I-1 (Form 990) 2009

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**SCHEDULE J
(Form 990)**

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest
Compensated Employees

▶ **Complete if the organization answered "Yes" to Form 990,
Part IV, line 23.**

▶ **Attach to Form 990. ▶ See separate instructions.**

OMB No 1545-0047

2009

**Open to Public
Inspection**

Name of the organization

BIRTH TO FIVE POLICY ALLIANCE

Employer identification number

26-2797011

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- ☐ First-class or charter travel
☐ Travel for companions
☐ Tax indemnification and gross-up payments
☐ Discretionary spending account

- ☐ Housing allowance or residence for personal use
☐ Payments for business use of personal residence
☐ Health or social club dues or initiation fees
☐ Personal services (e.g., maid, chauffeur, chef)

b If any of the boxes on line 1a is checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?

3 Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director. Check all that apply.

- ☐ Compensation committee
☐ Independent compensation consultant
☒ Form 990 of other organizations
☐ Written employment contract
☒ Compensation survey or study
☒ Approval by the board or compensation committee

4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment?
b Participate in, or receive payment from, a supplemental nonqualified retirement plan?
c Participate in, or receive payment from, an equity-based compensation arrangement?

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.

5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization?
b Any related organization?

If "Yes" to line 5a or 5b, describe in Part III.

6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization?
b Any related organization?

If "Yes" to line 6a or 6b, describe in Part III.

7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III.

8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs. section 53.4958-4(a)(3)? If "Yes," describe in Part III.

9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

Yes No

1b

2

4a

4b

4c

5a

5b

6a

6b

7

8

9

X

X

X

X

X

X

X

X

X

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2009

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

Area with horizontal dashed lines for supplemental information.

**SCHEDULE O
(Form 990)**

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

**Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.
▶ Attach to Form 990.**

OMB No. 1545-0047

2009

**Open to Public
Inspection**

Name of the organization

BIRTH TO FIVE POLICY ALLIANCE

Employer identification number

26-2797011

ATTACHMENT 1

REVIEW OF FORM 990

FORM 990, PART VI, SECTION B, LINE 11A

AN ELECTRONIC COPY OF THE FORM 990 WILL BE PROVIDED TO ALL BOARD MEMBERS
FOR REVIEW PRIOR TO FILING WITH THE IRS.

CONFLICT OF INTEREST POLICY

FORM 990, PART VI, SECTION B, LINE 12C

ALL BOARD MEMBERS ARE REQUIRED TO SUBMIT A CONFLICT OF INTEREST
DISCLOSURE STATEMENT ANNUALLY.

INFORMATION AVAILABLE TO THE PUBLIC

FORM 990, PART VI, SECTION C, LINE 19

THE ORGANIZATION MAKES IT GOVERNING DOCUMENTS, CONFLICT OF INTEREST
POLICY AND FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC UPON REQUEST.

ORGANIZATION'S MISSION

FORM 990, PART I, LINE 1 AND PART III, LINE 1

PROMOTE STATE POLICIES WITH THE FUNDING NECESSARY TO SHIFT THE ODDS IN
FAVOR OF YOUNG CHILDREN AT RISK FOR POOR HEALTH AND DEVELOPMENTAL
OUTCOMES. THE ALLIANCE GOAL IS TO PROMOTE STATE POLICIES, PUBLIC SUPPORT,
AND PRIVATE INVESTMENTS THAT RESULT IN POSITIVE EARLY LEARNING, STRONG
FAMILIES, AND GOOD HEALTH FOR CHILDREN BIRTH TO AGE FIVE WHO ARE AT RISK
FOR POOR OUTCOMES.

Name of the organization

BIRTH TO FIVE POLICY ALLIANCE

Employer identification number

26-2797011

ATTACHMENT 1 (CONT'D)

EXECUTIVE DIRECTOR COMPENSATION

PART VI, SECTION B, LINE 15A

THE COMPENSATION FOR THE EXECUTIVE DIRECTOR WAS DETERMINED BASED UPON
REVIEW OF FORM 990 OF OTHER SIMILAR NON-PROFITS AND FROM SALARY
INFORMATION PROVIDED FROM LOCAL RESOURCES. THE ORGANIZATION ALSO
CONDUCTED A SALARY SURVEY AND TOOK INTO CONSIDERATION PREVIOUS EARNINGS
OF THE EXECUTIVE DIRECTOR. A FORMAL COMPENSATION STUDY WAS PERFORMED.

AUDITED FINANCIAL STATEMENTS

FORM 990, PART XI, LINE 2B

THE ORGANIZATION HAD AUDITED FINANCIAL STATEMENTS FOR THE PERIOD OF
INCEPTION OF THE ORGANIZATION THROUGH AUGUST 31, 2010. THE PERIOD BEFORE
SEPTEMBER 1, 2009 WAS REFLECTED ON THE PRIOR RETURN.

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).
- Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.**

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Type or print File by the due date for filing your return. See instructions.	Name of Exempt Organization BIRTH TO FIVE POLICY ALLIANCE	Employer identification number 26-2797011
	Number, street, and room or suite no. If a P.O. box, see instructions. P.O. BOX 6756	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. LEAWOOD, KS 66206-0756	

Check type of return to be filed (file a separate application for each return):

☒ Form 990	☐ Form 990-T (corporation)	☐ Form 4720
☐ Form 990-BL	☐ Form 990-T (sec. 401(a) or 408(a) trust)	☐ Form 5227
☐ Form 990-EZ	☐ Form 990-T (trust other than above)	☐ Form 6069
☐ Form 990-PF	☐ Form 1041-A	☐ Form 8870

- The books are in the care of ► LISA KLEIN

Telephone No. ► 913 406-7750

FAX No. ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 04/15, 2011, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

► ☐ calendar year _____ or
 ► ☒ tax year beginning 09/01, 2009, and ending 08/31, 2010.

2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box. ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing your return See instructions	Name of exempt organization	Employer identification number
	BIRTH TO FIVE POLICY ALLIANCE	26-2797011
	Number, street, and room or suite no. If a P.O. box, see instructions.	
	P.O. BOX 6756	
City, town or post office, state, and ZIP code. For a foreign address, see instructions		
LEAWOOD, KS 66206-0756		

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of
Telephone No FAX No.
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until
- 5 For calendar year , or other tax year beginning , and ending
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7 State in detail why you need the extension **ADDITIONAL TIME IS NEEDED IN ORDER TO GATHER THE INFORMATION NECESSARY TO PREPARE A COMPLETE AND ACCURATE RETURN.**

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions.	8a \$	0.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b \$	
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c \$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature Title Date

CBIZ MHM, LLC
11440 TOMAHAWK CREEK PARKWAY
LEAWOOD, KS 66211

Form 8868 (Rev 1-2011)