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Form **990-PF**

**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

OMB No 1545-0052

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning **09/01/09**, and ending **08/31/10**

G Check all that apply
 Initial return Initial return of a former public charity Final return
 Amended return Address change Name change

Use the IRS label Otherwise, print or type. See Specific Instructions.	Name of foundation JOHN N. BLACKMAN SR. FOUNDATION C/O SCHAIN LEIFER GURALNICK		A Employer identification number 22-2938619
	Number and street (or P O box number if mail is not delivered to street address) Room/suite 10 EAST 40TH STREET 2710		B Telephone number (see page 10 of the instructions) 212-679-0380
City or town, state, and ZIP code NEW YORK NY 10016-0348		C If exemption application is pending, check here <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 1,265,584 (Part I, column (d) must be on cash basis)		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	13,405	13,405	13,405	
	4 Dividends and interest from securities	25,259	25,259	25,259	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	78,715			
	b Gross sales price for all assets on line 6a 1,431,155				
	7 Capital gain net income (from Part IV-line 2)		78,715		
	8 Net short-term capital gain			50,804	
	9 Income modifications				
	10a Gross sales less returns & allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	117,379	117,379	89,468		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) SEE STMT 1	697	279	418	
	b Accounting fees (attach schedule) STMT 2	21,036	8,414	12,622	
	c Other professional fees (attach schedule) STMT 3	19,368	19,368	19,368	
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions) STMT 4	234	234	234	
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
22 Printing and publications					
23 Other expenses (att sch) STMT 5	1,608	223	1,583		
24 Total operating and administrative expenses. Add lines 13 through 23	42,943	28,518	34,225	0	
25 Contributions, gifts, grants paid	194,000			194,000	
26 Total expenses and disbursements. Add lines 24 and 25	236,943	28,518	34,225	194,000	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-119,564				
b Net investment income (if negative, enter -0-)		88,861			
c Adjusted net income (if negative, enter -0-)			55,243		

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	18,057	25,939	25,939
	2 Savings and temporary cash investments	110,636	63,710	63,710
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7 Other notes and loans receivable (att. schedule) ▶ Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule) STMT 6	391,984	387,767	399,388
	b Investments—corporate stock (attach schedule) SEE STMT 7	875,228	798,354	769,501
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule) SEE STATEMENT 8	6,000	6,000	6,000
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶			
15 Other assets (describe ▶ SEE STATEMENT 9)	475	1,046	1,046	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,402,380	1,282,816	1,265,584	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	1,402,380	1,282,816	
30 Total net assets or fund balances (see page 17 of the instructions)	1,402,380	1,282,816		
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,402,380	1,282,816		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,402,380
2 Enter amount from Part I, line 27a	2	-119,564
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	1,282,816
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,282,816

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a SEE WORKSHEET				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7			2	78,715
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8			3	50,804

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	190,879	1,547,754	0.123326
2007	202,821	2,207,821	0.091865
2006	219,807	2,357,913	0.093221
2005	206,279	2,371,724	0.086974
2004	185,500	2,440,800	0.076000
2 Total of line 1, column (d)			2 style="text-align: right;"> 0.471386
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 style="text-align: right;"> 0.094277
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 style="text-align: right;"> 1,444,756
5 Multiply line 4 by line 3			5 style="text-align: right;"> 136,207
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 style="text-align: right;"> 889
7 Add lines 5 and 6			7 style="text-align: right;"> 137,096
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18			8 style="text-align: right;"> 194,000

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	889
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	889
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	889
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	1,319
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d	7	1,319
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	430
11	Enter the amount of line 10 to be Credited to 2010 estimated tax 430 Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b	N/A	
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ SCHAIN LEIFER GURALNICK 10 E40TH ST Located at ▶ NEW YORK, NY	Telephone no ▶ 212-679-0380 ZIP+4 ▶ 10016		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year	▶ 15 ▶ <input type="checkbox"/>		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ▶ <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? N/A	1c	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20 , 20 , 20 , 20		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Yes No **N/A** 5b

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** Yes No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No 6b **X**

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HOWARD SCHAIN 10 EAST 40TH STREET NEW YORK NY 10016	PRESIDENT 1.00	0	0	0
MARK W. BLACKMAN 10 EAST 40TH STREET NEW YORK NY 10016	TRUSTEE 0.00	0	0	0
JOHN N. BLACKMAN, JR. 10 EAST 40TH STREET NEW YORK NY 10016	TRUSTEE 0.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions) If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

Expenses

1 N/A

2

3

4

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 N/A

2

All other program-related investments See page 24 of the instructions

3

Total Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,312,343
b	Average of monthly cash balances	1b	148,414
c	Fair market value of all other assets (see page 24 of the instructions)	1c	6,000
d	Total (add lines 1a, b, and c)	1d	1,466,757
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,466,757
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see page 25 of the instructions)	4	22,001
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,444,756
6	Minimum investment return. Enter 5% of line 5	6	72,238

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part)

1	Minimum investment return from Part X, line 6	1	72,238
2a	Tax on investment income for 2009 from Part VI, line 5	2a	889
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	889
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	71,349
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	71,349
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	71,349

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	194,000
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	194,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	889
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	193,111

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				71,349
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2009				
a From 2004	88,135			
b From 2005				
c From 2006	102,297			
d From 2007	92,788			
e From 2008	113,733			
f Total of lines 3a through e	396,953			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 194,000				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
d Applied to 2009 distributable amount				71,349
e Remaining amount distributed out of corpus	122,651			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	519,604			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	88,135			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	431,469			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006	102,297			
c Excess from 2007	92,788			
d Excess from 2008	113,733			
e Excess from 2009	122,651			

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed
N/A

b The form in which applications should be submitted and information and materials they should include
BY LETTER

c Any submission deadlines
NO

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
NO

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHED SCHEDULE				194,000
Total			▶ 3a	194,000
b Approved for future payment N/A				
Total			▶ 3b	

Capital Gains and Losses for Tax on Investment Income

Form **990-PF**

2009

For calendar year 2009, or tax year beginning **09/01/09**, and ending **08/31/10**

Name **JOHN N. BLACKMAN SR. FOUNDATION
C/O SCHAIN LEIFER GURALNICK** Employer Identification Number **22-2938619**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) UBS FINANCIAL SERVICES: JG 67280	P	VARIOUS	VARIOUS
(2) UBS FINANCIAL SERVICES: JG 67281	P	VARIOUS	VARIOUS
(3) UBS FINANCIAL SERVICES: JG 67283	P	VARIOUS	VARIOUS
(4) UBS FINANCIAL SERVICES: JG 67284	P	VARIOUS	VARIOUS
(5) UBS FINANCIAL SERVICES: JG 67280	P	VARIOUS	VARIOUS
(6) UBS FINANCIAL SERVICES: JG 67281	P	VARIOUS	VARIOUS
(7) UBS FINANCIAL SERVICES: JG 67283	P	VARIOUS	VARIOUS
(8) UBS FINANCIAL SERVICES: JG 67284	P	VARIOUS	VARIOUS
(9) LT CAP GAIN DIV: UBS 67280			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 466,065		425,638	40,427
(2) 487,127		487,752	-625
(3) 28,690		23,836	4,854
(4) 167,908		161,760	6,148
(5) 73,337		73,146	191
(6) 31,410		31,928	-518
(7) 63,282		56,774	6,508
(8) 112,730		91,606	21,124
(9) 606			606
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(1)			40,427
(2)			-625
(3)			4,854
(4)			6,148
(5)			191
(6)			-518
(7)			6,508
(8)			21,124
(9)			606
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

Capital Gains 67280
9/1/09 Through 8/31/10

10/18/10

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
SHORT TERM							
UBS - JG 67280 55	VORNADO REALTY TRUST	104 000	4/27/09	9/1/09	6,006 34	4,797 20	1,209 14
UBS - JG 67280 55	HEWLETT PACKARD CO	114 000	3/13/09	9/16/09	5,247 44	3,042 35	2,205 09
UBS - JG 67280 55	HEWLETT PACKARD CO	62 000	3/13/09	9/17/09	2,827.76	1,654 61	1,173 15
UBS - JG 67280 55	HEWLETT PACKARD CO	136 000	4/1/09	9/17/09	6,202 82	4,499 27	1,703 55
UBS - JG 67280 55	HEWLETT PACKARD CO	124 000	4/7/09	9/17/09	5,655 52	4,262 76	1,392 76
UBS - JG 67280 55	QUALCOMM INC	134 000	3/13/09	9/17/09	6,174 95	4,692 26	1,482 69
UBS - JG 67280 55	QUALCOMM INC	34 000	3/24/09	9/17/09	1,566 78	1,283 54	283 24
UBS - JG 67280 55	WAL MART STORES INC	194 000	10/23/08	9/17/09	9,764 75	10,406 96	-642 21
UBS - JG 67280 55	WAL MART STORES INC	110 000	4/22/09	9/17/09	5,536 72	5,580 76	-44 04
UBS - JG 67280 55	QUALCOMM INC	158 000	3/24/09	9/23/09	7,016.47	5,964 69	1,051 78
UBS - JG 67280 55	QUALCOMM INC	98 000	4/7/09	9/23/09	4,351 99	4,051 45	300 54
UBS - JG 67280 55	BANCO SANTANDER S A SPON ADR	1,234 000	8/5/09	10/14/09	20,153 79	17,505 65	2,648 14
UBS - JG 67280 55	JP MORGAN CHASE & CO	64 000	10/21/08	10/15/09	2,899 67	2,546 56	353 11
UBS - JG 67280 55	ORACLE CORP SO OI	694 000	10/30/08	10/15/09	14,410 19	11,478 07	2,932 12
UBS - JG 67280 55	ORACLE CORP SO OI	82 000	5/1/09	10/15/09	1,702 64	1,621 40	81 24
UBS - JG 67280 55	POTASH CORP SASK INC CANADA	134 000	9/17/09	10/15/09	12,044.83	11,845 94	198 89
UBS - JG 67280 55	GOLDMAN SACHS GROUP INC	24 000	3/26/09	10/16/09	4,456 50	2,448 36	2,008 14
UBS - JG 67280 55	ALCON INC	56 000	12/15/08	10/22/09	8,179 49	4,568 95	3,610 54
UBS - JG 67280 55	ALCON INC	42 000	3/4/09	10/22/09	6,134 62	3,555 12	2,579 50
UBS - JG 67280 55	CANADIAN NATURAL RESOURCES	81 000	5/7/09	10/23/09	5,924 28	4,101 49	1,822 79
UBS - JG 67280 55	JOHNSON & JOHNSON COM	198 000	11/10/08	10/23/09	12,074 40	11,935 30	139 10
UBS - JG 67280 55	JOHNSON & JOHNSON COM	98 000	4/22/09	10/23/09	5,976 22	5,195 22	781 00
UBS - JG 67280 55	LOCKHEED MARTIN CORP	118 000	2/12/09	10/23/09	8,504 99	9,529 58	-1,024 59
UBS - JG 67280 55	LOCKHEED MARTIN CORP	144 000	7/24/09	10/23/09	10,378 98	10,914 44	-535 46
UBS - JG 67280 55	PETROLEO BRASILEIRO SA	182 000	3/24/09	10/27/09	9,076 47	5,897 47	3,179 00
UBS - JG 67280 55	PETROLEO BRASILEIRO SA	132 000	4/7/09	10/27/09	6,582 93	4,597 74	1,985 19
UBS - JG 67280 55	MONSANTO CO NEW DEL	97 000	1/12/09	10/29/09	6,852 33	8,384 05	-1,531 72
UBS - JG 67280 55	MONSANTO CO NEW DEL	44 000	8/21/09	10/29/09	3,108 27	3,559 17	-450 90
UBS - JG 67280 55	VORNADO REALTY TRUST	78 000	4/27/09	12/21/09	5,493 48	3,597 90	1,895 58
UBS - JG 67280 55	BHP BILLITON LTD SPON ADR	30 000	3/24/09	12/23/09	2,157 84	1,369 34	788 50
UBS - JG 67280 55	BHP BILLITON LTD SPON ADR	84 000	4/7/09	12/23/09	6,041 96	4,156 62	1,885 34
UBS - JG 67280 55	BHP BILLITON LTD SPON ADR	133 000	5/18/09	12/23/09	9,566 44	6,540 37	3,026 07
UBS - JG 67280 55	ENBRIDGE ENERGY MANAGEMENT L	388 000	2/27/09	1/20/10	17,585 87	11,839 75	5,746 12
UBS - JG 67280 55	CANADIAN NATURAL RESOURCES	27 000	5/7/09	1/29/10	1,781 46	1,367 16	414 30
UBS - JG 67280 55	CHARLES SCHWAB CORP	980 000	9/28/09	2/16/10	17,500 61	18,588 93	-1,088 32
UBS - JG 67280 55	CHARLES SCHWAB CORP	358 000	1/20/10	2/16/10	6,393 08	6,802 00	-408 92

Capital Gains 67280

9/1/09 Through 8/31/10

10/18/10

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
UBS - JG 67280 55	HSBC HOLDINGS PLC	350 000	8/6/09	2/17/10	18,027 71	19,027 58	-999 87
UBS - JG 67280 55	INTL BUSINESS MACH	23 000	10/23/09	2/17/10	2,848 88	2,815 02	33 86
UBS - JG 67280 55	TEEKAY CORP	64 000	9/29/09	2/17/10	1,448 40	1,375 64	72 76
UBS - JG 67280 55	VORNADO REALTY TRUST	44 000	4/27/09	2/18/10	2,744 29	2,029 58	714 71
UBS - JG 67280 55	VORNADO REALTY TRUST	2 000	6/12/09	2/18/10	124 74	96 20	28 54
UBS - JG 67280 55	VORNADO REALTY TRUST	132 000	7/24/09	2/18/10	8,232 86	6,293 17	1,939 69
UBS - JG 67280 55	VORNADO REALTY TRUST	1 000	9/14/09	2/18/10	62 37	54 27	8 10
UBS - JG 67280 55	PRAXAIR	206 000	8/5/09	2/24/10	15,908 86	16,068 70	-159 84
UBS - JG 67280 55	CORNING INC	410 000	1/25/10	3/9/10	7,176 99	7,995 49	-818 50
UBS - JG 67280 55	CORNING INC	76 000	1/25/10	3/10/10	1,347 73	1,482 09	-134 36
UBS - JG 67280 55	NORFOLK STHN CORP	191 000	10/22/09	3/10/10	10,081 49	9,473 89	607 60
UBS - JG 67280 55	NORFOLK STHN CORP	19 000	10/27/09	3/10/10	1,002 87	910 49	92 38
UBS - JG 67280 55	CATERPILLAR INC	104 000	9/18/09	4/14/10	6,770 62	5,372 75	1,397 87
UBS - JG 67280 55	CH ROBINSON WORLDWIDE	8 000	10/27/09	4/15/10	454 55	469 26	-14 71
UBS - JG 67280 55	BHP BILLITON LTD SPON ADR	76 000	12/23/09	4/19/10	5,356 77	4,666 96	689 81
UBS - JG 67280 55	BANCO SANTANDER S A SPON ADR	1,379 000	10/13/09	4/28/10	15,994 47	18,004 78	-2,010 31
UBS - JG 67280 55	BANCO SANTANDER S A SPON ADR	446 000	1/8/10	4/28/10	5,172 98	6,235 21	-1,062 23
UBS - JG 67280 55	PLUM CREEK TIMBER CO INC REIT	150 000	8/13/09	4/28/10	6,429 49	5,010 33	1,419 16
UBS - JG 67280 55	CATERPILLAR INC	114 000	9/18/09	5/3/10	7,869 04	5,889 36	1,979 68
UBS - JG 67280 55	CANADIAN NATURAL RESOURCES	72 000	5/7/09	5/3/10	5,400 09	3,645 77	1,754 32
UBS - JG 67280 55	CANADIAN NATURAL RESOURCES	132 000	8/4/09	5/18/10	9,481 04	7,794 82	1,686 22
UBS - JG 67280 55	CATERPILLAR INC	76 000	9/18/09	6/8/10	4,638 98	3,926 24	712 74
UBS - JG 67280 55	MEDCO HEALTH SOLUTIONS	182 000	10/26/09	6/8/10	10,664 21	10,362 66	301 55
UBS - JG 67280 55	BHP BILLITON LTD SPON ADR	62 000	12/23/09	6/15/10	3,414 85	3,807 26	-392 41
UBS - JG 67280 55	BHP BILLITON LTD SPON ADR	40 000	12/23/09	6/16/10	2,200 00	2,456 30	-256 30
UBS - JG 67280 55	BAXTER INTERNATL INC	206 000	7/8/09	6/29/10	8,476 96	10,942 18	-2,465 22
UBS - JG 67280 55	BAXTER INTERNATL INC	112 000	7/24/09	6/29/10	4,608 83	6,009 01	-1,400 18
UBS - JG 67280 55	BAXTER INTERNATL INC	106 000	12/16/09	6/29/10	4,361 93	6,309 32	-1,947 39
UBS - JG 67280 55	BHP BILLITON LTD SPON ADR	27 000	12/23/09	8/20/10	1,603 77	1,658 00	-54 23
UBS - JG 67280 55	CATERPILLAR INC	2 000	9/18/09	8/20/10	140 41	103 32	37 09
UBS - JG 67280 55	CATERPILLAR INC	23 000	12/15/09	8/20/10	1,614 66	1,311 27	303 39
UBS - JG 67280 55	ECOLAB INC	46 000	5/4/10	8/20/10	2,225 94	2,252 72	-26 78
UBS - JG 67280 55	INTL BUSINESS MACH	17 000	10/23/09	8/20/10	2,195 06	2,080 67	114 39
UBS - JG 67280 55	OCCIDENTAL PETROLEUM CRP	27 000	2/17/10	8/20/10	2,077 36	2,161 85	-84 49
UBS - JG 67280 55	PALL CORP	42 000	6/7/10	8/20/10	1,542 23	1,434 17	108 06
UBS - JG 67280 55	PARTNERRE LTD ORD	26 000	11/16/09	8/20/10	1,908 36	2,001 91	-93 55
UBS - JG 67280 55	VERISK ANALYTICS INC CL A	170 000	10/15/09	8/20/10	4,829 95	4,562 27	267 68
UBS - JG 67280 55	YAHOO INC	878 000	4/14/10	8/23/10	12,291 97	15,365 00	-3,073 03

Capital Gains 67280
9/1/09 Through 8/31/10

10/18/10

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
TOTAL SHORT TERM							
					466,064.59	425,637.94	40,426.65
LONG TERM							
UBS - JG 67280 55	EXPEDITORS INTL WASH INC	64 000	12/19/06	10/23/09	2,192.89	2,792.53	-599.64
UBS - JG 67280 55	EXPEDITORS INTL WASH INC	8 000	12/26/07	10/23/09	274.11	358.70	-84.59
UBS - JG 67280 55	JP MORGAN CHASE & CO	128 000	10/21/08	10/30/09	5,613.10	5,093.12	519.98
UBS - JG 67280 55	EXPEDITORS INTL WASH INC	17 000	12/26/07	1/13/10	591.03	762.25	-171.22
UBS - JG 67280 55	EXPEDITORS INTL WASH INC	115 000	1/7/08	1/13/10	3,998.14	5,104.33	-1,106.19
UBS - JG 67280 55	EXPEDITORS INTL WASH INC	140 000	2/20/08	1/13/10	4,867.30	5,621.01	-753.71
UBS - JG 67280 55	AMER TOWER CORP	182 000	7/10/06	2/17/10	7,747.91	5,822.76	1,925.15
UBS - JG 67280 55	AMER TOWER CORP	25 000	7/11/06	2/17/10	1,064.27	808.41	255.86
UBS - JG 67280 55	AMER TOWER CORP	19 000	12/19/07	2/17/10	808.85	775.17	33.68
UBS - JG 67280 55	SUNCOR ENERGY INC	42 000	3/7/07	2/19/10	1,261.44	1,455.83	-194.39
UBS - JG 67280 55	SUNCOR ENERGY INC	196 000	12/7/07	2/19/10	5,886.74	9,551.79	-3,665.05
UBS - JG 67280 55	CH ROBINSON WORLDWIDE	94 000	11/15/07	4/15/10	5,341.01	4,419.98	921.03
UBS - JG 67280 55	CH ROBINSON WORLDWIDE	110 000	12/6/07	4/15/10	6,250.12	5,627.77	622.35
UBS - JG 67280 55	GOLDMAN SACHS GROUP INC	8 000	3/26/09	4/21/10	1,279.97	816.12	463.85
UBS - JG 67280 55	JP MORGAN CHASE & CO	110 000	10/21/08	5/14/10	4,573.19	4,376.90	196.29
UBS - JG 67280 55	JP MORGAN CHASE & CO	134 000	11/10/08	5/14/10	5,570.98	5,501.18	69.80
UBS - JG 67280 55	CANADIAN NATURAL RESOURCES	28 000	5/7/09	5/18/10	2,011.13	1,417.80	593.33
UBS - JG 67280 55	GOLDMAN SACHS GROUP INC	4 000	3/26/09	8/20/10	596.39	408.06	188.33
UBS - JG 67280 55	GOLDMAN SACHS GROUP INC	9 000	4/7/09	8/20/10	1,341.87	1,029.18	312.69
UBS - JG 67280 55	ITC HOLDINGS CORP	65 000	3/25/09	8/20/10	3,740.68	2,586.22	1,154.46
UBS - JG 67280 55	NEXTERA ENERGY INC COM	88 000	3/23/09	8/20/10	4,645.51	4,363.90	281.61
UBS - JG 67280 55	PLUM CREEK TIMBER CO INC REIT	56 000	8/13/09	8/20/10	1,963.88	1,870.52	93.36
UBS - JG 67280 55	SUNCOR ENERGY INC	53 000	12/7/07	8/20/10	1,716.68	2,582.88	-866.20
TOTAL LONG TERM					73,337.19	73,146.41	190.78
OVERALL TOTAL					539,401.78	498,784.35	40,617.43

Capital Gains 67281
9/1/09 Through 8/31/10

10/18/10

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
SHORT TERM							
UBS - JG 67281 55	US T-NOTE 4 5% 9/30/11	8,000 000	5/5/09	9/1/09	8,569 69	8,634 71	-65 02
UBS - JG 67281 55	US T-NOTE 4 5% 5/15/10	7,000 000	5/22/09	9/1/09	7,204 26	7,276 99	-72 73
UBS - JG 67281 55	US T-NOTE 4 375% 12/15/10	6,000 000	5/5/09	10/2/09	6,284 77	6,342 68	-57 91
UBS - JG 67281 55	US T-NOTE 4 375% 12/15/10	4,000 000	6/2/09	10/2/09	4,189 84	4,220 94	-31 10
UBS - JG 67281 55	US T-NOTE 1 75% 11/15/11	16,000 000	8/13/09	11/2/09	16,260 00	16,151 87	108 13
UBS - JG 67281 55	US T-NOTE 4 375% 12/15/10	11,000 000	6/2/09	1/5/10	11,403 04	11,607 57	-204 53
UBS - JG 67281 55	US T-NOTE 3 125% 5/15/19	5,000 000	7/1/09	1/8/10	4,744 53	4,843 75	-99 22
UBS - JG 67281 55	US T-NOTE 3 125% 5/15/19	5,000 000	1/5/10	1/8/10	4,744 53	4,739 46	5 07
UBS - JG 67281 55	US T-NOTE 3 625% 8/15/19	6,000 000	11/2/09	1/8/10	5,910 70	6,118 13	-207 43
UBS - JG 67281 55	US Treasury Bond 9% 11/15/18	6,000 000	5/22/09	1/8/10	8,441 28	8,703 52	-262 24
UBS - JG 67281 55	US T-NOTE 3 75% 11/15/18	11,000 000	4/2/09	2/1/10	11,231 99	12,027 82	-795 83
UBS - JG 67281 55	FHLMC 3 75% 3/27/19	5,000 000	5/22/09	2/1/10	4,952 40	4,958 23	-5 83
UBS - JG 67281 55	US T-NOTE 4 75% 8/15/17	9,000 000	5/5/09	2/1/10	9,946 08	10,186 21	-240 13
UBS - JG 67281 55	US T-NOTE 4 75% 8/15/17	6,000 000	6/2/09	2/1/10	6,630 72	6,570 94	59 78
UBS - JG 67281 55	US T-NOTE 4 25% 11/15/17	21,000 000	4/2/09	2/1/10	22,440 39	23,894 06	-1,453 67
UBS - JG 67281 55	US T-NOTE 1 75% 11/15/11	53,000 000	8/13/09	2/1/10	53,981 56	53,503 08	478 48
UBS - JG 67281 55	US T-NOTE 1 125% 1/15/12	14,000 000	4/2/09	2/10/10	14,080 92	14,009 29	71 63
UBS - JG 67281 55	FHLMC 3 75% 3/27/19	7,000 000	5/22/09	2/10/10	6,914 60	6,941 52	-26 92
UBS - JG 67281 55	US T-NOTE 1 375% 4/15/12	6,000 000	7/1/09	2/10/10	6,056 28	5,979 38	76 90
UBS - JG 67281 55	FNMA 4 875% 12/15/16	45,000 000	4/2/09	2/10/10	49,094 55	49,812 98	-718 43
UBS - JG 67281 55	US T-NOTE 875% 12/31/10	7,000 000	5/5/09	4/5/10	7,027 09	7,004 95	22 14
UBS - JG 67281 55	US TREASURY BILL DUE 7/29/10	14,000 000	8/14/09	5/6/10	13,995 30	13,942 32	52 98
UBS - JG 67281 55	US T-NOTE 2 25% 1/31/15	100,000 000	2/1/10	5/28/10	100,757 80	99,554 70	1,203 10
UBS - JG 67281 55	US T-NOTE 2 25% 1/31/15	13,000 000	2/10/10	5/28/10	13,098 51	12,957 35	141 16
UBS - JG 67281 55	US T-NOTE 2 25% 1/31/15	6,000 000	3/2/10	5/28/10	6,045 47	6,002 58	42 89
UBS - JG 67281 55	US T-NOTE 3 625% 2/15/20	4,000 000	4/5/10	6/14/10	4,125 48	3,923 75	201 73
UBS - JG 67281 55	US T-NOTE 3 625% 2/15/20	3,000 000	4/7/10	6/14/10	3,094 11	2,917 03	177 08
UBS - JG 67281 55	US T-NOTE 3 625% 2/15/20	3,000 000	5/6/10	6/14/10	3,094 11	3,027 18	66 93
UBS - JG 67281 55	US T-NOTE 4 25% 8/15/15	4,000 000	5/28/10	6/14/10	4,419 08	4,396 88	22 20
UBS - JG 67281 55	FREDDIE MAC MED TERM NTS 3 25%	21,000 000	1/8/10	7/16/10	21,000 00	21,334 95	-334 95
UBS - JG 67281 55	US T-NOTE 4 25% 8/15/15	42,000 000	5/28/10	8/2/10	47,387 76	46,167 20	1,220 56
TOTAL SHORT TERM					487,126.84	487,752.02	-625.18
LONG TERM							
UBS - JG 67281 55	FNMA 4 875% 12/15/16	5,000 000	9/25/07	2/10/10	5,454 95	4,950 25	504 70
UBS - JG 67281 55	US T-NOTE 4 75% 3/31/11	25,000 000	4/2/09	5/12/10	25,955 00	26,977 55	-1,022 55

Capital Gains 67281
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10/18/10

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
TOTAL LONG TERM			31,409.95		31,409.95	31,927.80	-517.85
OVERALL TOTAL					518,536.79	519,679.82	-1,143.03

Capital Gains 67283
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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
SHORT TERM							
UBS - JG 67283 55	CARDINAL HEALTH INC	5 000	3/3/09	9/1/09	169 85	167 79	2 06
UBS - JG 67283 55	CARDINAL HEALTH INC	35 000	3/9/09	9/1/09	1,188 92	1,103 91	85 01
UBS - JG 67283 55	CARDINAL HEALTH INC	30 000	3/13/09	9/1/09	1,019 07	944 19	74 88
UBS - JG 67283 55	PNC FINCL SERVICES	105 000	2/26/09	10/9/09	4,796 56	2,585 99	2,210 57
UBS - JG 67283 55	PNC FINCL SERVICES	35 000	2/26/09	10/13/09	1,592 83	862 00	730 83
UBS - JG 67283 55	NORFOLK STHN CORP	30 000	3/24/09	11/16/09	1,559 64	955 16	604 48
UBS - JG 67283 55	NORFOLK STHN CORP	5 000	3/24/09	11/17/09	257 65	159 19	98 46
UBS - JG 67283 55	NORFOLK STHN CORP	25 000	3/27/09	11/17/09	1,288 27	859 83	428 44
UBS - JG 67283 55	NORFOLK STHN CORP	30 000	4/2/09	11/17/09	1,545 92	1,012 28	533 64
UBS - JG 67283 55	NORFOLK STHN CORP	30 000	4/8/09	11/17/09	1,545 92	1,111 67	434 25
UBS - JG 67283 55	SUPERVALU INC	50 000	2/11/09	11/30/09	738 57	982 88	-244 31
UBS - JG 67283 55	SUPERVALU INC	40 000	2/20/09	11/30/09	590 86	689 54	-98 68
UBS - JG 67283 55	SUPERVALU INC	60 000	2/27/09	11/30/09	886 28	1,053 06	-166 78
UBS - JG 67283 55	SUPERVALU INC	20 000	2/27/09	12/1/09	295 68	351 02	-55 34
UBS - JG 67283 55	SUPERVALU INC	70 000	3/9/09	12/1/09	1,034 86	1,125 31	-90 45
UBS - JG 67283 55	BECTON & DICKINSON & CO	20 000	5/29/09	1/14/10	1,562 78	1,341 22	221 56
UBS - JG 67283 55	BECTON & DICKINSON & CO	35 000	6/3/09	1/14/10	2,734 87	2,349 91	384 96
UBS - JG 67283 55	BECTON & DICKINSON & CO	15 000	6/3/09	1/15/10	1,166 35	1,007 10	159 25
UBS - JG 67283 55	VALERO ENERGY CORP NEW	55 000	4/17/09	2/22/10	982 93	1,133 15	-150 22
UBS - JG 67283 55	VALERO ENERGY CORP NEW	50 000	4/21/09	2/22/10	893 57	1,080 20	-186 63
UBS - JG 67283 55	VALERO ENERGY CORP NEW	65 000	4/23/09	2/22/10	1,161 64	1,298 09	-136 45
UBS - JG 67283 55	VALERO ENERGY CORP NEW	23 000	4/28/09	2/22/10	411 04	479 73	-68 69
UBS - JG 67283 55	VALERO ENERGY CORP NEW	20 000	4/30/09	2/22/10	357 43	417 53	-60 10
UBS - JG 67283 55	LUBRIZOL	10 000	1/14/10	8/25/10	908 85	764 82	144 03
TOTAL SHORT TERM					28,690.34	23,835.57	4,854.77
LONG TERM							
UBS - JG 67283 55	BOEING CO	15 000	8/11/08	10/30/09	715 61	979 62	-264 01
UBS - JG 67283 55	BOEING CO	5 000	8/13/08	10/30/09	238 54	342 54	-104 00
UBS - JG 67283 55	BOEING CO	25 000	8/13/08	11/2/09	1,187 28	1,712 72	-525 44
UBS - JG 67283 55	BOEING CO	5 000	8/15/08	11/2/09	237 46	329 09	-91 63
UBS - JG 67283 55	ENCANA CORP	25 000	8/28/08	11/16/09	1,461 69	1,778 34	-316 65
UBS - JG 67283 55	ENCANA CORP	25 000	9/5/08	11/16/09	1,461 69	1,771 49	-309 80
UBS - JG 67283 55	ENCANA CORP	30 000	9/11/08	11/16/09	1,754 03	2,031 85	-277 82
UBS - JG 67283 55	ENCANA CORP	25 000	9/18/08	11/16/09	1,461 69	1,662 25	-200 56
UBS - JG 67283 55	MICROSOFT CORP	60 000	10/24/08	1/14/10	1,834 49	1,448 81	385 68

Capital Gains 67283

9/1/09 Through 8/31/10

10/18/10

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
UBS - JG 67283 55	MICROSOFT CORP	50 000	10/31/08	1/14/10	1,528 74	1,096 03	432 71
UBS - JG 67283 55	MICROSOFT CORP	70 000	11/6/08	1/14/10	2,140 23	1,578 35	561 88
UBS - JG 67283 55	MICROSOFT CORP	65 000	11/14/08	1/14/10	1,987 36	1,375 70	611 66
UBS - JG 67283 55	BOEING CO	30 000	8/15/08	3/18/10	2,078 15	1,974 55	103 60
UBS - JG 67283 55	BOEING CO	35 000	8/19/08	3/18/10	2,424 51	2,281 73	142 78
UBS - JG 67283 55	BOEING CO	30 000	2/4/09	3/18/10	2,078 15	1,235 99	842 16
UBS - JG 67283 55	BOEING CO	15 000	2/5/09	3/18/10	1,039 08	614 31	424 77
UBS - JG 67283 55	HOME DEPOT INC	50 000	12/21/07	4/16/10	1,711 75	1,301 94	409 81
UBS - JG 67283 55	HOME DEPOT INC	40 000	1/18/08	4/16/10	1,369 40	1,015 29	354 11
UBS - JG 67283 55	MATTEL	45 000	11/29/05	4/16/10	1,056 61	737 78	318 83
UBS - JG 67283 55	MATTEL	45 000	12/6/05	4/16/10	1,056 61	736 41	320 20
UBS - JG 67283 55	TRAVELERS COS INC	60 000	9/15/05	4/16/10	3,132 96	2,514 00	618 96
UBS - JG 67283 55	VF CORP	20 000	9/15/05	4/16/10	1,685 53	1,218 55	466 98
UBS - JG 67283 55	VF CORP	15 000	9/15/05	4/19/10	1,263 69	913 92	349 77
UBS - JG 67283 55	HOME DEPOT INC	20 000	1/18/08	5/19/10	697 92	507 65	190 27
UBS - JG 67283 55	HOME DEPOT INC	40 000	1/18/08	5/21/10	1,394 93	1,015 29	379 64
UBS - JG 67283 55	HOME DEPOT INC	60 000	1/24/08	5/21/10	2,092 40	1,582 19	510 21
UBS - JG 67283 55	HOME DEPOT INC	20 000	1/28/08	5/21/10	697 47	580 60	116 87
UBS - JG 67283 55	HOME DEPOT INC	45 000	1/29/08	5/21/10	1,569 30	1,325 63	243 67
UBS - JG 67283 55	3M CO	20 000	6/2/08	6/8/10	1,579 04	1,531 18	47 86
UBS - JG 67283 55	3M CO	30 000	6/9/08	6/8/10	2,368 56	2,304 55	64 01
UBS - JG 67283 55	3M CO	30 000	6/12/08	6/8/10	2,368 56	2,275 74	92 82
UBS - JG 67283 55	VALOR/WINDSTREAM	118 000	9/15/05	6/18/10	1,329 95	1,317 99	11 96
UBS - JG 67283 55	VALOR/WINDSTREAM	45 000	8/9/06	6/18/10	507 18	587 28	-80 10
UBS - JG 67283 55	VALOR/WINDSTREAM	7 000	8/14/06	6/18/10	78 90	90 41	-11 51
UBS - JG 67283 55	ANNALY CAPITAL MANAGEMENT INC	30 000	7/3/08	7/23/10	523 05	469 18	53 87
UBS - JG 67283 55	ANNALY CAPITAL MANAGEMENT INC	60 000	7/10/08	7/23/10	1,046 10	901 29	144 81
UBS - JG 67283 55	VALOR/WINDSTREAM	153 000	8/14/06	8/9/10	1,756 68	1,976 09	-219 41
UBS - JG 67283 55	VALOR/WINDSTREAM	120 000	8/23/06	8/9/10	1,377 79	1,565 54	-187 75
UBS - JG 67283 55	VALOR/WINDSTREAM	47 000	8/30/06	8/9/10	539 63	609 97	-70 34
UBS - JG 67283 55	VALOR/WINDSTREAM	3 000	8/30/06	8/11/10	34 56	38 93	-4 37
UBS - JG 67283 55	VALOR/WINDSTREAM	150 000	9/6/06	8/11/10	1,727 92	1,971 39	-243 47
UBS - JG 67283 55	VALOR/WINDSTREAM	20 000	8/25/08	8/11/10	230 39	239 57	-9 18
UBS - JG 67283 55	ALTRIA GROUP INC	60 000	9/15/05	8/25/10	1,363 50	830 50	533 00
UBS - JG 67283 55	ALTRIA GROUP INC	15 000	4/30/07	8/25/10	340 88	200 90	139 98
UBS - JG 67283 55	CONOCOPHILLIPS	5 000	2/28/06	8/25/10	266 85	306 61	-39 76
UBS - JG 67283 55	REYNOLDS AMERN INC	10 000	9/15/05	8/25/10	564 73	414 65	150 08
UBS - JG 67283 55	WASTE MGMT INC	35 000	1/16/08	8/25/10	1,171 40	1,094 83	76 57

Capital Gains 67283
9/1/09 Through 8/31/10

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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
UBS - JG 67283 55	WASTE MGMT INC	20 000	1/29/08	8/25/10	669 37	599 97	69 40
UBS - JG 67283 55	MATTEL	35 000	12/6/05	8/31/10	743 26	572 77	170 49
UBS - JG 67283 55	MATTEL	45 000	12/15/05	8/31/10	955 63	756 23	199 40
TOTAL LONG TERM					62,901.20	56,318.19	6,583.01
SUPER LONG TERM							
UBS - JG 67283 55	FRONTIER COMMUNICATIONS CORP	0 390	1/28/03	7/2/10	2 96	3 53	-0 57
UBS - JG 67283 55	FRONTIER COMMUNICATIONS CORP	50 010	1/28/03	8/18/10	377 49	452 57	-75 08
TOTAL SUPER LONG...					380.45	456.10	-75.65
<i>TOTAL LONG TERM</i>					<i>63,281.65</i>	<i>56,774.29</i>	<i>6,507.36</i>
OVERALL TOTAL					91,971.99	80,609.86	11,362.13

Capital Gains 67284
9/1/09 Through 8/31/10

10/18/10

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
SHORT TERM							
UBS - JG 67284 55	LOCKHEED MARTIN CORP	5 000	9/24/08	9/1/09	375 38	554 52	-179 14
UBS - JG 67284 55	WAL MART STORES INC	20 000	10/21/08	9/11/09	1,028 24	1,073 99	-45 75
UBS - JG 67284 55	WAL MART STORES INC	14 000	10/22/08	9/14/09	712 99	757 90	-44 91
UBS - JG 67284 55	WAL MART STORES INC	8 000	2/24/09	9/14/09	407 43	400 49	6 94
UBS - JG 67284 55	LOWES	5 000	1/29/09	9/18/09	106 17	104 15	2 02
UBS - JG 67284 55	LOWES	18 000	1/29/09	9/22/09	390 10	374 94	15 16
UBS - JG 67284 55	LOWES	72 000	1/29/09	10/6/09	1,476 08	1,499 76	-23 68
UBS - JG 67284 55	CVS CORP	35 000	1/13/09	10/9/09	1,210 47	1,023 16	187 31
UBS - JG 67284 55	CVS CORP	5 000	1/29/09	10/9/09	172 92	141 28	31 64
UBS - JG 67284 55	MONSANTO CO NEW DEL	5 000	10/15/08	10/9/09	375 05	400 06	-25 01
UBS - JG 67284 55	MONSANTO CO NEW DEL	1 000	10/15/08	10/13/09	74 33	80 01	-5 68
UBS - JG 67284 55	MONSANTO CO NEW DEL	7 000	2/2/09	10/13/09	520 34	557 99	-37 65
UBS - JG 67284 55	MONSANTO CO NEW DEL	2 000	2/2/09	10/15/09	149 17	159 42	-10 25
UBS - JG 67284 55	INTEL CORP	33 000	7/21/09	10/21/09	669 26	605 72	63 54
UBS - JG 67284 55	TAIWAN SEMICONDUCTOR MFG CO	44 000	4/22/09	10/30/09	434 78	422 43	12 35
UBS - JG 67284 55	BANCO SANTANDER S A SPON ADR	5 000	10/20/09	11/2/09	61 11	66 69	-5 58
UBS - JG 67284 55	TAIWAN SEMICONDUCTOR MFG CO	24 385	4/22/09	11/2/09	236 77	234 12	2 65
UBS - JG 67284 55	TAIWAN SEMICONDUCTOR MFG CO	54 000	5/8/09	11/2/09	524 30	598 24	-73 94
UBS - JG 67284 55	BANCO SANTANDER S A SPON ADR	25 000	10/20/09	11/3/09	310 69	333 47	-22 78
UBS - JG 67284 55	INTEL CORP	25 000	7/21/09	11/3/09	480 15	458 88	21 27
UBS - JG 67284 55	INTEL CORP	18 000	7/22/09	11/3/09	345 71	330 85	14 86
UBS - JG 67284 55	INTEL CORP	38 000	7/22/09	11/3/09	729 84	705 31	24 53
UBS - JG 67284 55	INTEL CORP	37 000	7/23/09	11/3/09	710 63	689 89	20 74
UBS - JG 67284 55	BANCO SANTANDER S A SPON ADR	31 000	10/20/09	11/9/09	384 90	413 50	-28 60
UBS - JG 67284 55	XTO ENERGY INC	16 000	5/12/09	11/13/09	711 78	687 17	24 61
UBS - JG 67284 55	JP MORGAN CHASE & CO	11 000	3/18/09	11/16/09	481 53	258 27	223 26
UBS - JG 67284 55	JP MORGAN CHASE & CO	18 000	3/24/09	11/16/09	787 97	452 83	335 14
UBS - JG 67284 55	JUNIPER NETWORKS INC	23 000	7/17/09	11/16/09	585 74	562 66	23 08
UBS - JG 67284 55	JUNIPER NETWORKS INC	6 000	7/17/09	11/16/09	151 54	146 78	4 76
UBS - JG 67284 55	JUNIPER NETWORKS INC	13 000	7/10/09	11/16/09	328 33	311 19	17 14
UBS - JG 67284 55	JUNIPER NETWORKS INC	14 000	7/13/09	11/16/09	353 59	316 90	36 69
UBS - JG 67284 55	BANK OF NY CO INC	21 000	4/17/09	11/19/09	579 59	656 64	-77 05
UBS - JG 67284 55	BANK OF NY CO INC	15 000	4/24/09	11/19/09	413 99	412 26	1 73
UBS - JG 67284 55	JP MORGAN CHASE & CO	5 000	3/24/09	12/8/09	211 17	125 78	85 39
UBS - JG 67284 55	JP MORGAN CHASE & CO	28 000	3/26/09	12/8/09	1,182 56	773 44	409 12
UBS - JG 67284 55	NRG ENERGY INC	19 000	7/16/09	12/29/09	465 77	436 25	29 52

Capital Gains 67284

9/1/09 Through 8/31/10

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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
UBS - JG 67284 55	NRG ENERGY INC	5 000	7/16/09	12/30/09	123 12	114 80	8 32
UBS - JG 67284 55	NRG ENERGY INC	4 000	7/16/09	12/31/09	98 00	91 84	6 16
UBS - JG 67284 55	PETROLEO BRASILEIRO SA	12 000	2/3/09	1/7/10	583 96	317 14	266 82
UBS - JG 67284 55	PETROLEO BRASILEIRO SA	2 000	2/3/09	1/8/10	96 92	52 86	44 06
UBS - JG 67284 55	PETROLEO BRASILEIRO SA	10 000	2/4/09	1/8/10	484 61	268 16	216 45
UBS - JG 67284 55	PETROLEO BRASILEIRO SA	2 000	4/16/09	1/8/10	96 92	71 68	25 24
UBS - JG 67284 55	PETROLEO BRASILEIRO SA	2 000	5/7/09	1/8/10	96 92	74 59	22 33
UBS - JG 67284 55	INTL BUSINESS MACH	13 000	2/4/09	2/2/10	1,618 91	1,206 84	412 07
UBS - JG 67284 55	INTL BUSINESS MACH	1 000	2/12/09	2/2/10	124 53	96 34	28 19
UBS - JG 67284 55	INTL BUSINESS MACH	3 000	2/12/09	2/3/10	371 83	289 03	82 80
UBS - JG 67284 55	INTL BUSINESS MACH	4 000	4/7/09	2/3/10	495 77	400 65	95 12
UBS - JG 67284 55	QUALCOMM INC	15 000	4/7/09	2/3/10	600 47	620 34	-19 87
UBS - JG 67284 55	QUALCOMM INC	14 000	4/7/09	2/3/10	540 93	578 99	-38 06
UBS - JG 67284 55	QUALCOMM INC	16 000	9/11/09	2/3/10	618 20	735 43	-117 23
UBS - JG 67284 55	QUALCOMM INC	9 000	9/14/09	2/3/10	347 74	417 81	-70 07
UBS - JG 67284 55	HSBC HOLDINGS PLC	4 000	9/2/09	2/5/10	218 38	220 50	-2 12
UBS - JG 67284 55	HSBC HOLDINGS PLC	2 000	9/2/09	2/8/10	108 85	110 25	-1 40
UBS - JG 67284 55	HSBC HOLDINGS PLC	5 000	9/2/09	2/9/10	262 86	275 62	-12 76
UBS - JG 67284 55	HSBC HOLDINGS PLC	10 000	9/2/09	2/10/10	509 16	551 24	-42 08
UBS - JG 67284 55	HSBC HOLDINGS PLC	5 000	9/4/09	2/10/10	254 58	265 18	-10 60
UBS - JG 67284 55	PETROLEO BRASILEIRO SA	16 000	5/7/09	2/11/10	615 33	596 72	18 61
UBS - JG 67284 55	PETROLEO BRASILEIRO SA	3 000	5/11/09	2/11/10	115 38	116 55	-1 17
UBS - JG 67284 55	PETROLEO BRASILEIRO SA	11 000	5/11/09	2/12/10	435 34	427 36	7 98
UBS - JG 67284 55	HSBC HOLDINGS PLC	7 000	9/4/09	2/17/10	358 45	371 25	-12 80
UBS - JG 67284 55	PETROLEO BRASILEIRO SA	4 000	5/11/09	2/17/10	160 07	155 40	4 67
UBS - JG 67284 55	ADOBE SYSTEMS	1 000	10/21/09	2/18/10	31 49	35 73	-4 24
UBS - JG 67284 55	ADOBE SYSTEMS	22 000	10/21/09	2/19/10	693 11	785 99	-92 88
UBS - JG 67284 55	ADOBE SYSTEMS	2 000	11/6/09	2/19/10	63 01	66 07	-3 06
UBS - JG 67284 55	HSBC HOLDINGS PLC	8 000	9/4/09	2/19/10	419 39	424 29	-4 90
UBS - JG 67284 55	INTL BUSINESS MACH	6 000	4/7/09	2/19/10	748 39	600 97	147 42
UBS - JG 67284 55	YAHOO INC	22 000	10/1/09	3/5/10	347 52	382 46	-34 94
UBS - JG 67284 55	YAHOO INC	15 000	10/2/09	3/5/10	236 95	261 14	-24 19
UBS - JG 67284 55	INTL BUSINESS MACH	3 000	4/7/09	3/9/10	379 62	300 49	79 13
UBS - JG 67284 55	YAHOO INC	7 000	10/2/09	3/9/10	109 78	121 87	-12 09
UBS - JG 67284 55	YAHOO INC	45 000	10/5/09	3/9/10	705 73	782 48	-76 75
UBS - JG 67284 55	INTL BUSINESS MACH	1 000	4/7/09	3/10/10	127 19	100 16	27 03
UBS - JG 67284 55	INTL BUSINESS MACH	2 000	4/7/09	3/10/10	254 37	201 63	52 74
UBS - JG 67284 55	INTL BUSINESS MACH	3 000	4/7/09	3/11/10	381 36	302 45	78 91

Capital Gains 67284
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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
UBS - JG 67284 55	INTL BUSINESS MACH	3 000	8/12/09	3/11/10	381 36	357 56	23 80
UBS - JG 67284 55	INTL BUSINESS MACH	1 000	9/1/09	3/11/10	127 12	119 39	7 73
UBS - JG 67284 55	ADOBE SYSTEMS	10 000	11/6/09	3/12/10	352 39	330 37	22 02
UBS - JG 67284 55	ADOBE SYSTEMS	4 000	11/9/09	3/12/10	140 95	137 56	3 39
UBS - JG 67284 55	INTL BUSINESS MACH	13 000	9/1/09	3/12/10	1,631 94	1,552 02	79 92
UBS - JG 67284 55	MERCK & CO	17 000	9/14/09	3/12/10	628.70	536 04	92 66
UBS - JG 67284 55	MERCK & CO	2 000	9/14/09	3/15/10	73 81	63 06	10 75
UBS - JG 67284 55	FORD MOTOR CO	73 000	1/21/10	3/23/10	1,000 44	847 88	152 56
UBS - JG 67284 55	ADOBE SYSTEMS	6 000	11/9/09	3/24/10	210 51	206 34	4 17
UBS - JG 67284 55	ADOBE SYSTEMS	6 000	2/4/10	3/24/10	210 51	194 30	16 21
UBS - JG 67284 55	ADOBE SYSTEMS	12 000	2/4/10	3/24/10	415 95	388 60	27 35
UBS - JG 67284 55	BAIDU INC ADS REPSNTG CL A ORD	1 000	9/22/09	3/24/10	572 98	401 05	171 93
UBS - JG 67284 55	EOG RESOURCES INC	4 000	5/12/09	3/24/10	364 88	302 46	62 42
UBS - JG 67284 55	ABBOTT LABS	10 000	9/14/09	3/25/10	536 17	461 72	74 45
UBS - JG 67284 55	GOLDMAN SACHS GROUP INC	6 000	3/30/09	3/26/10	1,047 70	663 87	383 83
UBS - JG 67284 55	ABBOTT LABS	8 000	9/14/09	3/30/10	429 67	369.37	60 30
UBS - JG 67284 55	BAIDU INC ADS REPSNTG CL A ORD	1 000	9/22/09	3/30/10	601.76	401 05	200 71
UBS - JG 67284 55	JOHNSON & JOHNSON COM	7 000	11/17/09	3/30/10	453 18	428 64	24 54
UBS - JG 67284 55	JOHNSON & JOHNSON COM	4 000	11/17/09	3/30/10	258 96	244 96	14 00
UBS - JG 67284 55	ABBOTT LABS	4 000	9/14/09	3/31/10	210 05	184 69	25 36
UBS - JG 67284 55	ABBOTT LABS	4 000	9/15/09	3/31/10	210 05	186 88	23 17
UBS - JG 67284 55	AMER TOWER CORP	14 000	9/14/09	3/31/10	579 61	478 77	100 84
UBS - JG 67284 55	ABBOTT LABS	11 000	9/15/09	4/1/10	563.59	513 92	69 67
UBS - JG 67284 55	ABBOTT LABS	4 000	9/17/09	4/1/10	212 22	188 14	24 08
UBS - JG 67284 55	ABBOTT LABS	4 000	9/17/09	4/5/10	210 99	188 14	22 85
UBS - JG 67284 55	ABBOTT LABS	13 000	2/5/10	4/5/10	685 71	704 58	-18 87
UBS - JG 67284 55	ABBOTT LABS	3 000	2/5/10	4/6/10	157 15	162 59	-5 44
UBS - JG 67284 55	ABBOTT LABS	13 000	2/9/10	4/6/10	680 99	701 82	-20 83
UBS - JG 67284 55	EOG RESOURCES INC	2 000	5/12/09	4/6/10	187 54	151 23	36 31
UBS - JG 67284 55	RESEARCH IN MOTION LTD	17 000	2/19/10	4/8/10	1,143 25	1,186 05	-42 80
UBS - JG 67284 55	GILEAD SCIENCES INC	9 000	10/27/09	4/15/10	413 33	402 74	10 59
UBS - JG 67284 55	GILEAD SCIENCES INC	20 000	10/27/09	4/27/10	812 89	894 99	-82 10
UBS - JG 67284 55	GILEAD SCIENCES INC	19 000	10/28/09	4/27/10	772 24	837 96	-65 72
UBS - JG 67284 55	JOHNSON & JOHNSON COM	9 000	11/17/09	4/27/10	579 46	551 15	28 31
UBS - JG 67284 55	JOHNSON & JOHNSON COM	3 000	11/19/09	4/27/10	193 15	185 97	7 18
UBS - JG 67284 55	MERCK & CO	5 000	9/14/09	4/27/10	170 41	157 66	12 75
UBS - JG 67284 55	MERCK & CO	8 000	9/16/09	4/27/10	272 66	259 60	13 06
UBS - JG 67284 55	DOW CHEMICAL PV 2 50	41 000	6/12/09	5/13/10	1,124 09	719 80	404 29

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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
UBS - JG 67284 55	AMER EXPRESS COMPANY	7 000	9/1/09	5/19/10	282 65	238 32	44 33
UBS - JG 67284 55	AMER EXPRESS COMPANY	12 000	10/20/09	5/19/10	484 54	419 34	65 20
UBS - JG 67284 55	MASTERCARD INC CL A	2 000	12/7/09	5/25/10	402 63	486 48	-83 85
UBS - JG 67284 55	MASTERCARD INC CL A	3 000	12/7/09	5/25/10	624 91	729 73	-104 82
UBS - JG 67284 55	MASTERCARD INC CL A	3 000	3/9/10	5/25/10	624 91	693 56	-68 65
UBS - JG 67284 55	VISA INC CL A	8 000	12/7/09	5/25/10	593 01	663 86	-70 85
UBS - JG 67284 55	CREE INC	11 000	4/27/10	5/26/10	729 64	877 86	-148 22
UBS - JG 67284 55	CREE INC	1 000	5/6/10	5/26/10	66 33	75 47	-9 14
UBS - JG 67284 55	CREE INC	5 000	5/6/10	5/26/10	330 16	377 33	-47 17
UBS - JG 67284 55	POLO RALPH LAUREN CORP	5 000	2/8/10	5/26/10	425 29	392 30	32 99
UBS - JG 67284 55	FEDEX CORP	10 000	3/23/10	6/22/10	778 37	893 71	-115 34
UBS - JG 67284 55	FEDEX CORP	9 000	3/24/10	7/12/10	638 26	813 60	-175 34
UBS - JG 67284 55	FEDEX CORP	2 000	3/26/10	7/12/10	141 83	181 47	-39 64
UBS - JG 67284 55	POTASH CORP SASK INC CANADA	4 000	2/4/10	7/12/10	345 98	410 15	-64 17
UBS - JG 67284 55	POTASH CORP SASK INC CANADA	4 000	2/4/10	7/12/10	341 35	410 15	-68 80
UBS - JG 67284 55	AMER EXPRESS COMPANY	2 000	10/20/09	7/14/10	84 58	69 89	14 69
UBS - JG 67284 55	AMER EXPRESS COMPANY	14 000	11/18/09	7/14/10	592 08	562 18	29 90
UBS - JG 67284 55	AMER EXPRESS COMPANY	15 000	2/4/10	7/14/10	634 37	572 06	62 31
UBS - JG 67284 55	FEDEX CORP	5 000	3/26/10	7/14/10	365 88	453 68	-87 80
UBS - JG 67284 55	HOME DEPOT INC	21 000	5/10/10	7/14/10	592 19	743 73	-151 54
UBS - JG 67284 55	HOME DEPOT INC	3 000	5/10/10	7/16/10	86 33	106 25	-19 92
UBS - JG 67284 55	HOME DEPOT INC	26 000	5/13/10	7/16/10	748 17	911 86	-163 69
UBS - JG 67284 55	HOME DEPOT INC	8 000	5/13/10	7/20/10	224 05	280 57	-56 52
UBS - JG 67284 55	HOME DEPOT INC	5 000	5/14/10	7/20/10	140 03	179 28	-39 25
UBS - JG 67284 55	JOHNSON & JOHNSON COM	3 000	11/19/09	7/26/10	173 96	185 97	-12 01
UBS - JG 67284 55	JOHNSON & JOHNSON COM	11 000	11/19/09	7/26/10	637 84	685 09	-47 25
UBS - JG 67284 55	HOME DEPOT INC	28 000	5/14/10	7/27/10	792 19	1,003 99	-211 80
UBS - JG 67284 55	JOHNSON & JOHNSON COM	3 000	11/19/09	7/27/10	172 48	186 84	-14 36
UBS - JG 67284 55	JOHNSON & JOHNSON COM	12 000	11/25/09	7/27/10	689 91	749 48	-59 57
UBS - JG 67284 55	JOHNSON & JOHNSON COM	3 000	12/2/09	7/27/10	172 48	188 27	-15 79
UBS - JG 67284 55	JOHNSON & JOHNSON COM	9 000	12/4/09	7/27/10	517 43	571 58	-54 15
UBS - JG 67284 55	HOME DEPOT INC	6 000	5/14/10	7/28/10	167 95	215 14	-47 19
UBS - JG 67284 55	HOME DEPOT INC	23 000	5/28/10	7/28/10	643 81	764 46	-120 65
UBS - JG 67284 55	PRICELINE COM INC	4 000	12/14/09	8/9/10	1,130 89	859 86	271 03
UBS - JG 67284 55	EMC CORP MASS	24 000	1/20/10	8/11/10	482 09	431 80	50 29
UBS - JG 67284 55	EMC CORP MASS	23 000	1/21/10	8/11/10	462 00	413 63	48 37
UBS - JG 67284 55	EMC CORP MASS	9 000	1/22/10	8/11/10	180 78	163 38	17 40
UBS - JG 67284 55	EMC CORP MASS	14 000	1/22/10	8/17/10	261 28	254 14	7 14

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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
UBS - JG 67284 55	EMC CORP MASS	25 000	1/25/10	8/18/10	472 55	445 95	26 60
UBS - JG 67284 55	EMC CORP MASS	17 000	1/29/10	8/18/10	321 34	291 55	29 79
UBS - JG 67284 55	AMAZON.COM INC	5 000	10/28/09	8/20/10	636 69	581 03	55 66
UBS - JG 67284 55	AMAZON.COM INC	12 000	11/5/09	8/20/10	1,528 05	1,409 33	118 72
UBS - JG 67284 55	AMAZON.COM INC	4 000	3/4/10	8/20/10	509 35	495 86	13 49
UBS - JG 67284 55	AMAZON.COM INC	6 000	3/5/10	8/20/10	764 03	755 21	8 82
UBS - JG 67284 55	AMAZON.COM INC	3 000	3/5/10	8/20/10	382 01	378 24	3 77
UBS - JG 67284 55	AMAZON.COM INC	3 000	7/19/10	8/20/10	382 01	368 95	13 06
UBS - JG 67284 55	APPLE COMPUTER	5 000	10/6/09	8/20/10	1,250 48	916 88	333 60
UBS - JG 67284 55	APPLE COMPUTER	5 000	5/4/10	8/20/10	1,250 48	1,347 08	-96 60
UBS - JG 67284 55	APPLE COMPUTER	1 000	7/19/10	8/20/10	250 10	253 15	-3.05
UBS - JG 67284 55	APPLE COMPUTER	2 000	7/26/10	8/20/10	500 19	518 42	-18 23
UBS - JG 67284 55	ANADARKO PETROLEUM CORP	17 000	7/16/10	8/20/10	903 87	820 92	82 95
UBS - JG 67284 55	ANADARKO PETROLEUM CORP	17 000	7/19/10	8/20/10	903 87	802 60	101 27
UBS - JG 67284 55	ANADARKO PETROLEUM CORP	6 000	8/6/10	8/20/10	319 01	316 26	2 75
UBS - JG 67284 55	ANADARKO PETROLEUM CORP	13 000	8/10/10	8/20/10	691 20	724 37	-33 17
UBS - JG 67284 55	ANADARKO PETROLEUM CORP	7 000	8/12/10	8/20/10	372 18	389 79	-17 61
UBS - JG 67284 55	AMER TOWER CORP	8 000	9/14/09	8/20/10	370 07	273 59	96 48
UBS - JG 67284 55	AMER TOWER CORP	10 000	9/17/09	8/20/10	462 59	349 61	112 98
UBS - JG 67284 55	AMER TOWER CORP	12 000	9/21/09	8/20/10	555 11	426 45	128 66
UBS - JG 67284 55	AMER TOWER CORP	7 000	10/9/09	8/20/10	323 81	261 06	62 75
UBS - JG 67284 55	AMER TOWER CORP	13 000	10/15/09	8/20/10	601 37	488 60	112 77
UBS - JG 67284 55	AMER TOWER CORP	11 000	2/8/10	8/20/10	508 85	470 90	37 95
UBS - JG 67284 55	BHP BILLITON LTD SPON ADR	6 000	2/5/10	8/20/10	359 99	375 46	-15 47
UBS - JG 67284 55	BHP BILLITON LTD SPON ADR	6 000	2/9/10	8/20/10	359 99	348 36	11 63
UBS - JG 67284 55	BHP BILLITON LTD SPON ADR	6 000	3/10/10	8/20/10	359 99	402 36	-42 37
UBS - JG 67284 55	BHP BILLITON LTD SPON ADR	7 000	3/11/10	8/20/10	419 99	472 82	-52 83
UBS - JG 67284 55	BHP BILLITON LTD SPON ADR	7 000	5/27/10	8/20/10	419 99	368 53	51 46
UBS - JG 67284 55	BAIDU INC ADS REPSNTG CL A ORD	20 000	9/29/09	8/20/10	1,715 57	776 68	938 89
UBS - JG 67284 55	BAIDU INC ADS REPSNTG CL A ORD	10 000	10/9/09	8/20/10	857 79	396 42	461 37
UBS - JG 67284 55	BAIDU INC ADS REPSNTG CL A ORD	20 000	11/2/09	8/20/10	1,715 57	786 59	928 98
UBS - JG 67284 55	BAIDU INC ADS REPSNTG CL A ORD	10 000	11/10/09	8/20/10	857 79	396 55	461 24
UBS - JG 67284 55	BAIDU INC ADS REPSNTG CL A ORD	20 000	11/12/09	8/20/10	1,715 57	805 81	909 76
UBS - JG 67284 55	CISCO SYSTEMS INC	49 000	2/11/10	8/20/10	1,082 39	1,160 34	-77 95
UBS - JG 67284 55	CISCO SYSTEMS INC	17 000	2/17/10	8/20/10	375 52	401 90	-26 38
UBS - JG 67284 55	CISCO SYSTEMS INC	17 000	3/9/10	8/20/10	375 52	421 54	-46 02
UBS - JG 67284 55	CISCO SYSTEMS INC	49 000	3/10/10	8/20/10	1,082 39	1,229 30	-146 91
UBS - JG 67284 55	CISCO SYSTEMS INC	33 000	3/11/10	8/20/10	728 96	845 08	-116 12

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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
UBS - JG 67284 55	CISCO SYSTEMS INC	30 000	3/12/10	8/20/10	662 69	783 14	-120 45
UBS - JG 67284 55	CISCO SYSTEMS INC	27 000	5/27/10	8/20/10	596 42	633 49	-37 07
UBS - JG 67284 55	CISCO SYSTEMS INC	9 000	7/19/10	8/20/10	198 81	214 46	-15 65
UBS - JG 67284 55	CROWN CASTLE INTL CORP	11 000	2/3/10	8/20/10	437 90	410 04	27 86
UBS - JG 67284 55	CROWN CASTLE INTL CORP	11 000	2/16/10	8/20/10	437 90	391 93	45 97
UBS - JG 67284 55	CUMMINS INC	5 000	7/19/10	8/20/10	393 44	372 61	20 83
UBS - JG 67284 55	CUMMINS INC	6 000	7/20/10	8/20/10	472 13	442 89	29 24
UBS - JG 67284 55	CUMMINS INC	5 000	8/17/10	8/20/10	393 44	391 21	2 23
UBS - JG 67284 55	CUMMINS INC	5 000	8/18/10	8/20/10	393 44	390 29	3 15
UBS - JG 67284 55	EOG RESOURCES INC	9 000	11/19/09	8/20/10	857 23	812 35	44 88
UBS - JG 67284 55	EOG RESOURCES INC	4 000	12/24/09	8/20/10	380 99	378 19	2 80
UBS - JG 67284 55	EOG RESOURCES INC	3 000	12/29/09	8/20/10	285 74	296 88	-11 14
UBS - JG 67284 55	EOG RESOURCES INC	2 000	12/31/09	8/20/10	190 50	199 69	-9 19
UBS - JG 67284 55	EOG RESOURCES INC	6 000	1/4/10	8/20/10	571 49	599 96	-28 47
UBS - JG 67284 55	EOG RESOURCES INC	6 000	1/12/10	8/20/10	571 49	587 31	-15 82
UBS - JG 67284 55	EOG RESOURCES INC	4 000	3/10/10	8/20/10	380 99	385 89	-4 90
UBS - JG 67284 55	EOG RESOURCES INC	9 000	3/15/10	8/20/10	857 23	884 19	-26 96
UBS - JG 67284 55	EOG RESOURCES INC	4 000	5/14/10	8/20/10	380 99	419 56	-38 57
UBS - JG 67284 55	EOG RESOURCES INC	3 000	5/17/10	8/20/10	285 74	320 74	-35 00
UBS - JG 67284 55	DANAHER CORP	2 000	3/12/10	8/20/10	74 72	77 51	-2 79
UBS - JG 67284 55	DANAHER CORP	8 000	3/15/10	8/20/10	298 87	311 13	-12 26
UBS - JG 67284 55	DANAHER CORP	12 000	3/16/10	8/20/10	448 31	465 85	-17 54
UBS - JG 67284 55	DANAHER CORP	22 000	4/27/10	8/20/10	821 90	913 80	-91 90
UBS - JG 67284 55	DANAHER CORP	18 000	5/6/10	8/20/10	672 47	769 53	-97 06
UBS - JG 67284 55	DANAHER CORP	10 000	5/14/10	8/20/10	373 59	421 08	-47 49
UBS - JG 67284 55	DANAHER CORP	8 000	5/17/10	8/20/10	298 87	347 26	-48 39
UBS - JG 67284 55	DANAHER CORP	5 000	7/19/10	8/20/10	186 80	189 88	-3 08
UBS - JG 67284 55	DIRECTV GROUP INC	21 000	4/23/10	8/20/10	816 26	761 12	55 14
UBS - JG 67284 55	DIRECTV GROUP INC	12 000	5/4/10	8/20/10	466 43	438 33	28 10
UBS - JG 67284 55	DIRECTV GROUP INC	12 000	5/5/10	8/20/10	466 43	439 05	27 38
UBS - JG 67284 55	DIRECTV GROUP INC	2 000	5/27/10	8/20/10	77 74	73 98	3 76
UBS - JG 67284 55	DIRECTV GROUP INC	15 000	7/6/10	8/20/10	583 04	514 60	68 44
UBS - JG 67284 55	DIRECTV GROUP INC	20 000	7/12/10	8/20/10	777 39	697 37	80 02
UBS - JG 67284 55	WALT DISNEY CO	25 000	4/15/10	8/20/10	847 73	899 44	-51 71
UBS - JG 67284 55	WALT DISNEY CO	24 000	4/23/10	8/20/10	813 83	877 54	-63 71
UBS - JG 67284 55	WALT DISNEY CO	25 000	4/26/10	8/20/10	847 73	914 17	-66 44
UBS - JG 67284 55	WALT DISNEY CO	25 000	4/27/10	8/20/10	847 73	914 68	-66 95
UBS - JG 67284 55	WALT DISNEY CO	11 000	5/7/10	8/20/10	373 00	402 93	-29 93

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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
UBS - JG 67284 55	WALT DISNEY CO	5 000	5/13/10	8/20/10	169 55	176 66	-7 11
UBS - JG 67284 55	WALT DISNEY CO	22 000	5/28/10	8/20/10	746 01	710 45	35 56
UBS - JG 67284 55	WALT DISNEY CO	7 000	7/26/10	8/20/10	237 37	233 28	4 09
UBS - JG 67284 55	DOW CHEMICAL PV 2 50	21 000	9/22/09	8/20/10	537 17	549 28	-12 11
UBS - JG 67284 55	DOW CHEMICAL PV 2 50	9 000	9/28/09	8/20/10	230 22	240 60	-10 38
UBS - JG 67284 55	DOW CHEMICAL PV 2 50	30 000	12/7/09	8/20/10	767 39	854 01	-86 62
UBS - JG 67284 55	DOW CHEMICAL PV 2 50	76 000	12/31/09	8/20/10	1,944 04	2,152 12	-208 08
UBS - JG 67284 55	EMC CORP MASS	5 000	1/29/10	8/20/10	94 65	85 75	8 90
UBS - JG 67284 55	EMC CORP MASS	20 000	1/29/10	8/20/10	378 59	354 19	24 40
UBS - JG 67284 55	EMC CORP MASS	24 000	3/29/10	8/20/10	454 31	452 20	2 11
UBS - JG 67284 55	EMC CORP MASS	21 000	5/28/10	8/20/10	397 52	367 78	29 74
UBS - JG 67284 55	EMC CORP MASS	38 000	7/28/10	8/20/10	719 33	759 09	-39 76
UBS - JG 67284 55	FEDEX CORP	3 000	3/26/10	8/20/10	245 22	272 21	-26 99
UBS - JG 67284 55	FEDEX CORP	9 000	3/31/10	8/20/10	735 65	817 98	-82 33
UBS - JG 67284 55	FEDEX CORP	9 000	4/1/10	8/20/10	735 65	830 55	-94 90
UBS - JG 67284 55	FEDEX CORP	10 000	4/6/10	8/20/10	817 38	928 14	-110 76
UBS - JG 67284 55	FEDEX CORP	1 000	4/19/10	8/20/10	81 74	94 00	-12 26
UBS - JG 67284 55	GOOGLE	2 000	9/1/09	8/20/10	976 72	932 17	44 55
UBS - JG 67284 55	GOOGLE	1 000	3/29/10	8/20/10	488 36	550 62	-62 26
UBS - JG 67284 55	GOOGLE	1 000	4/20/10	8/20/10	488 36	594 89	-106 53
UBS - JG 67284 55	GOOGLE	1 000	7/26/10	8/20/10	488 36	484 05	4 31
UBS - JG 67284 55	GOLDMAN SACHS GROUP INC	6 000	2/3/10	8/20/10	891 46	914 92	-23 46
UBS - JG 67284 55	GOLDMAN SACHS GROUP INC	6 000	5/17/10	8/20/10	891 46	879 41	12 05
UBS - JG 67284 55	GOLDMAN SACHS GROUP INC	2 000	5/28/10	8/20/10	297 15	285 53	11 62
UBS - JG 67284 55	GOLDMAN SACHS GROUP INC	6 000	7/19/10	8/20/10	891 46	827 93	63 53
UBS - JG 67284 55	JP MORGAN CHASE & CO	9 000	1/19/10	8/20/10	341 36	397 16	-55 80
UBS - JG 67284 55	JP MORGAN CHASE & CO	22 000	3/10/10	8/20/10	834 44	937 05	-102 61
UBS - JG 67284 55	ESTEE LAUDER COS	13 000	7/20/10	8/20/10	751 52	839 87	-88 35
UBS - JG 67284 55	ESTEE LAUDER COS	7 000	8/17/10	8/20/10	404 66	403 78	0 88
UBS - JG 67284 55	MCDONALDS CORP	6 000	7/14/10	8/20/10	431 81	414 86	16 95
UBS - JG 67284 55	MEAD JOHNSON NUTRITION CO	9 000	8/5/10	8/20/10	477 17	488 08	-10 91
UBS - JG 67284 55	MERCK & CO	15 000	9/16/09	8/20/10	527 99	486 74	41 25
UBS - JG 67284 55	MERCK & CO	11 000	9/17/09	8/20/10	387 19	359 38	27 81
UBS - JG 67284 55	MERCK & CO	12 000	9/18/09	8/20/10	422 39	393 67	28 72
UBS - JG 67284 55	MERCK & CO	14 000	10/23/09	8/20/10	492 79	473 24	19 55
UBS - JG 67284 55	MERCK & CO	18 000	12/2/09	8/20/10	633 59	656 29	-22 70
UBS - JG 67284 55	MERCK & CO	8 000	12/4/09	8/20/10	281 59	295 41	-13 82
UBS - JG 67284 55	MONSANTO CO NEW DEL	16 000	6/2/10	8/20/10	953 58	774 85	178 73

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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
UBS - JG 67284 55	MONSANTO CO NEW DEL	16 000	6/3/10	8/20/10	953 58	813 23	140 35
UBS - JG 67284 55	MONSANTO CO NEW DEL	16 000	6/16/10	8/20/10	953 58	815 98	137 60
UBS - JG 67284 55	MONSANTO CO NEW DEL	9 000	7/7/10	8/20/10	536 39	412 94	123 45
UBS - JG 67284 55	MONSANTO CO NEW DEL	8 000	7/8/10	8/20/10	476 79	371 76	105 03
UBS - JG 67284 55	NIKE INC CL B	9 000	2/8/10	8/20/10	645 74	575 40	70 34
UBS - JG 67284 55	NIKE INC CL B	5 000	2/9/10	8/20/10	358 74	311 67	47 07
UBS - JG 67284 55	NIKE INC CL B	5 000	7/26/10	8/20/10	358 74	349 40	9 34
UBS - JG 67284 55	NORDSTROM INC	12 000	11/19/09	8/20/10	362 03	420 39	-58 36
UBS - JG 67284 55	NORDSTROM INC	12 000	11/25/09	8/20/10	362 03	404 40	-42 37
UBS - JG 67284 55	NORDSTROM INC	10 000	2/5/10	8/20/10	301 69	361 03	-59 34
UBS - JG 67284 55	NORDSTROM INC	9 000	5/5/10	8/20/10	271 53	372 52	-100 99
UBS - JG 67284 55	NORDSTROM INC	13 000	6/30/10	8/20/10	392 20	462 01	-69 81
UBS - JG 67284 55	ORACLE CORP	34 000	7/26/10	8/20/10	778 59	808 85	-30 26
UBS - JG 67284 55	ORACLE CORP	33 000	7/26/10	8/20/10	755 69	783 58	-27 89
UBS - JG 67284 55	ORACLE CORP	48 000	8/11/10	8/20/10	1,099 18	1,151 27	-52 09
UBS - JG 67284 55	PPG INDUSTRIES INC	7 000	9/1/09	8/20/10	471 23	385 32	85 91
UBS - JG 67284 55	PPG INDUSTRIES INC	6 000	9/2/09	8/20/10	403 91	335 43	68 48
UBS - JG 67284 55	PPG INDUSTRIES INC	7 000	9/3/09	8/20/10	471 23	387 02	84 21
UBS - JG 67284 55	PPG INDUSTRIES INC	7 000	9/4/09	8/20/10	471 23	380 39	90 84
UBS - JG 67284 55	PPG INDUSTRIES INC	7 000	9/14/09	8/20/10	471 23	394 67	76 56
UBS - JG 67284 55	PPG INDUSTRIES INC	6 000	3/30/10	8/20/10	403 91	390 54	13 37
UBS - JG 67284 55	PPG INDUSTRIES INC	7 000	3/31/10	8/20/10	471 23	461 68	9 55
UBS - JG 67284 55	PPG INDUSTRIES INC	2 000	7/14/10	8/20/10	134 64	129 06	5 58
UBS - JG 67284 55	PPG INDUSTRIES INC	5 000	7/26/10	8/20/10	336 59	325 52	11 07
UBS - JG 67284 55	PNC FINCL SERVICES	13 000	2/8/10	8/20/10	731 24	707 17	24 07
UBS - JG 67284 55	PNC FINCL SERVICES	20 000	3/30/10	8/20/10	1,124 98	1,233 27	-108 29
UBS - JG 67284 55	PNC FINCL SERVICES	17 000	4/26/10	8/20/10	956 23	1,158 44	-202 21
UBS - JG 67284 55	PNC FINCL SERVICES	5 000	5/6/10	8/20/10	281 25	339 19	-57 94
UBS - JG 67284 55	PNC FINCL SERVICES	6 000	5/27/10	8/20/10	337 49	365 87	-28 38
UBS - JG 67284 55	PNC FINCL SERVICES	4 000	7/20/10	8/20/10	225 00	243 14	-18 14
UBS - JG 67284 55	PRECISION CASTPARTS CORP	10 000	7/27/10	8/20/10	1,191 57	1,161 69	29 88
UBS - JG 67284 55	PRICELINE COM INC	2 000	12/21/09	8/20/10	595 89	444 05	151 84
UBS - JG 67284 55	PRICELINE COM INC	1 000	1/15/10	8/20/10	297 94	214 00	83 94
UBS - JG 67284 55	PRICELINE COM INC	4 000	4/16/10	8/20/10	1,191 78	1,028 27	163 51
UBS - JG 67284 55	PRICELINE COM INC	3 000	5/14/10	8/20/10	893 83	659 75	234 08
UBS - JG 67284 55	PRICELINE COM INC	2 000	7/26/10	8/20/10	595 89	443 54	152 35
UBS - JG 67284 55	PRAXAIR	8 000	7/14/10	8/20/10	709 91	647 16	62 75
UBS - JG 67284 55	TIFFANY & CO	9 000	1/15/10	8/20/10	379 97	416 14	-36 17

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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
UBS - JG 67284 55	TIFFANY & CO	10 000	1/20/10	8/20/10	422 19	455 95	-33 76
UBS - JG 67284 55	TIFFANY & CO	5 000	1/27/10	8/20/10	211 10	205 99	5 11
UBS - JG 67284 55	TIFFANY & CO	5 000	1/29/10	8/20/10	211 10	208 58	2 52
UBS - JG 67284 55	TIFFANY & CO	4 000	3/25/10	8/20/10	168 88	186 50	-17 62
UBS - JG 67284 55	TIFFANY & CO	4 000	7/19/10	8/20/10	168 88	164 01	4 87
UBS - JG 67284 55	TIFFANY & CO	3 000	7/21/10	8/20/10	126 66	121 71	4 95
UBS - JG 67284 55	TIFFANY & CO	19 000	7/30/10	8/20/10	802 16	796 22	5 94
UBS - JG 67284 55	TJX COS INC	9 000	5/28/10	8/20/10	376 01	398 19	-22 18
UBS - JG 67284 55	TJX COS INC	9 000	6/2/10	8/20/10	376 01	410 24	-34 23
UBS - JG 67284 55	TJX COS INC	17 000	6/15/10	8/20/10	710 25	783 41	-73 16
UBS - JG 67284 55	TJX COS INC	37 000	6/23/10	8/20/10	1,545 83	1,715 80	-169 97
UBS - JG 67284 55	US BANCORP	37 000	4/26/10	8/20/10	825 08	1,041 58	-216 50
UBS - JG 67284 55	US BANCORP	25 000	4/27/10	8/20/10	557 49	681 82	-124 33
UBS - JG 67284 55	US BANCORP	22 000	7/14/10	8/20/10	490 59	520 72	-30 13
UBS - JG 67284 55	WELLS FARGO & CO NEW	27 000	10/6/09	8/20/10	699 56	740 49	-40 93
UBS - JG 67284 55	WELLS FARGO & CO NEW	3 000	3/10/10	8/20/10	77 73	86 69	-8 96
UBS - JG 67284 55	WELLS FARGO & CO NEW	48 000	7/30/10	8/20/10	1,243 66	1,363 38	-119 72
UBS - JG 67284 55	WELLS FARGO 8% PREFERRED	23 000	9/2/09	8/20/10	646 98	565 53	81 45
UBS - JG 67284 55	WHIRLPOOL CORP	2 000	7/23/10	8/20/10	156 90	177 14	-20 24
UBS - JG 67284 55	WHIRLPOOL CORP	3 000	7/26/10	8/20/10	235 34	267 58	-32 24
UBS - JG 67284 55	WHIRLPOOL CORP	4 000	7/26/10	8/20/10	313 79	343 82	-30 03
UBS - JG 67284 55	WYNN RESORTS LTD	10 000	7/19/10	8/20/10	883 88	825 63	58 25
UBS - JG 67284 55	WYNN RESORTS LTD	4 000	8/4/10	8/20/10	353 55	348 46	5 09
TOTAL SHORT TERM					167,907.62	161,759.60	6,148.02
LONG TERM							
UBS - JG 67284 55	MCDONALDS CORP	30 000	5/25/07	9/16/09	1,628 06	1,575 67	52 39
UBS - JG 67284 55	MCDONALDS CORP	13 000	5/25/07	9/17/09	702 79	682 79	20 00
UBS - JG 67284 55	LOWES	13 000	8/19/05	9/18/09	276 04	424 10	-148 06
UBS - JG 67284 55	YUM! BRANDS	10 000	6/28/05	9/21/09	336 33	263 83	72 50
UBS - JG 67284 55	CVS CORP	15 000	5/31/07	9/23/09	540 37	581 09	-40 72
UBS - JG 67284 55	CVS CORP	16 000	5/31/07	9/28/09	581 34	619 83	-38 49
UBS - JG 67284 55	CVS CORP	22 000	5/31/07	9/30/09	776 61	852 27	-75 66
UBS - JG 67284 55	CVS CORP	11 000	5/31/07	10/1/09	387 89	426 14	-38 25
UBS - JG 67284 55	COSTCO WHOLESALE GRP INC	10 000	7/28/08	10/1/09	564 45	631 90	-67 45
UBS - JG 67284 55	COSTCO WHOLESALE GRP INC	12 000	7/28/08	10/5/09	671 98	758 28	-86 30
UBS - JG 67284 55	COSTCO WHOLESALE GRP INC	10 000	7/31/08	10/5/09	559 98	611 43	-51 45
UBS - JG 67284 55	COSTCO WHOLESALE GRP INC	1 000	8/4/08	10/5/09	56 00	62 73	-6 73

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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
UBS - JG 67284 55	COSTCO WHOLESALE GRP INC	5 000	8/4/08	10/5/09	279 99	314 08	-34 09
UBS - JG 67284 55	COSTCO WHOLESALE GRP INC	5 000	8/4/08	10/6/09	278 79	314 08	-35 29
UBS - JG 67284 55	COSTCO WHOLESALE GRP INC	8 000	8/11/08	10/6/09	446 07	525 31	-79 24
UBS - JG 67284 55	CVS CORP	1 000	5/31/07	10/7/09	35 34	38 74	-3 40
UBS - JG 67284 55	CVS CORP	11 000	1/28/08	10/7/09	388 75	407 01	-18 26
UBS - JG 67284 55	CVS CORP	2 000	1/28/08	10/9/09	69 17	74 00	-4 83
UBS - JG 67284 55	MONSANTO CO NEW DEL	6 000	10/18/06	10/9/09	450 07	274 80	175 27
UBS - JG 67284 55	VISA INC CL A	4 000	8/4/08	10/9/09	274 21	311 29	-37 08
UBS - JG 67284 55	YUM! BRANDS	23 000	6/28/05	10/21/09	806 06	606 81	199 25
UBS - JG 67284 55	YUM! BRANDS	23 000	6/28/05	11/2/09	774 93	606 81	168 12
UBS - JG 67284 55	YUM! BRANDS	11 000	6/28/05	11/4/09	364 11	290 21	73 90
UBS - JG 67284 55	YUM! BRANDS	35 000	6/28/05	11/5/09	1,157 78	923 41	234 37
UBS - JG 67284 55	GOLDMAN SACHS GROUP INC	1 000	4/29/08	11/16/09	176 00	188 64	-12 64
UBS - JG 67284 55	GOLDMAN SACHS GROUP INC	11 000	9/24/08	11/16/09	1,935 98	1,457 76	478 22
UBS - JG 67284 55	GOLDMAN SACHS GROUP INC	1 000	9/24/08	12/8/09	166 77	132 52	34 25
UBS - JG 67284 55	GOLDMAN SACHS GROUP INC	6 000	12/1/08	12/8/09	1,000 63	422 82	577 81
UBS - JG 67284 55	US BANCORP	21 000	9/8/08	12/8/09	493 66	682 67	-189 01
UBS - JG 67284 55	APPLE COMPUTER	4 000	6/20/08	12/21/09	783 01	717 96	65 05
UBS - JG 67284 55	VISA INC CL A	2 000	8/4/08	12/21/09	174 59	155 64	18 95
UBS - JG 67284 55	VISA INC CL A	7 000	10/14/08	12/21/09	611 06	373 01	238 05
UBS - JG 67284 55	VISA INC CL A	6 000	10/14/08	12/24/09	522 24	319 72	202 52
UBS - JG 67284 55	MCDONALDS CORP	1 000	5/25/07	1/7/10	62 55	52 52	10 03
UBS - JG 67284 55	MCDONALDS CORP	5 000	6/29/07	1/7/10	312 74	258 49	54 25
UBS - JG 67284 55	PETROLEO BRASILEIRO SA	11 000	10/17/08	1/7/10	535 30	357 04	178 26
UBS - JG 67284 55	GOOGLE	3 000	11/4/08	1/13/10	1,804 34	1,085 42	718 92
UBS - JG 67284 55	GOOGLE	1 000	11/4/08	1/15/10	593 26	361 81	231 45
UBS - JG 67284 55	MCDONALDS CORP	11 000	6/29/07	1/15/10	686 09	568 68	117 41
UBS - JG 67284 55	QUALCOMM INC	8 000	3/31/08	2/2/10	331 30	322 20	9 10
UBS - JG 67284 55	QUALCOMM INC	14 000	7/29/08	2/2/10	579 77	738 45	-158 68
UBS - JG 67284 55	QUALCOMM INC	21 000	7/29/08	2/2/10	852 84	1,107 68	-254 84
UBS - JG 67284 55	QUALCOMM INC	9 000	8/13/08	2/2/10	365 50	497 72	-132 22
UBS - JG 67284 55	QUALCOMM INC	7 000	8/13/08	2/3/10	280 22	387 12	-106 90
UBS - JG 67284 55	QUALCOMM INC	2 000	11/4/08	2/3/10	80 06	78 85	1 21
UBS - JG 67284 55	QUALCOMM INC	16 000	11/5/08	2/3/10	640 50	619 62	20 88
UBS - JG 67284 55	ABBOTT LABS	5 000	1/28/09	3/9/10	270 65	264 12	6 53
UBS - JG 67284 55	ABBOTT LABS	6 000	2/2/09	3/9/10	324 78	326 86	-2 08
UBS - JG 67284 55	ABBOTT LABS	1 000	2/4/09	3/9/10	54 13	55 37	-1 24
UBS - JG 67284 55	ABBOTT LABS	7 000	2/4/09	3/10/10	380 12	387 61	-7 49

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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
UBS - JG 67284 55	MCDONALDS CORP	4 000	6/29/07	3/10/10	253 62	206 79	46 83
UBS - JG 67284 55	ABBOTT LABS	3 000	2/4/09	3/11/10	162 55	166 12	-3 57
UBS - JG 67284 55	ABBOTT LABS	3 000	2/12/09	3/11/10	162 55	171 43	-8 88
UBS - JG 67284 55	ABBOTT LABS	9 000	2/12/09	3/23/10	483 07	514 30	-31 23
UBS - JG 67284 55	ABBOTT LABS	5 000	2/24/09	3/23/10	268 37	272 42	-4 05
UBS - JG 67284 55	ABBOTT LABS	5 000	2/24/09	3/25/10	268 08	272 42	-4 34
UBS - JG 67284 55	GILEAD SCIENCES INC	5 000	12/26/08	3/30/10	231 88	250 15	-18 27
UBS - JG 67284 55	GILEAD SCIENCES INC	3 000	12/29/08	3/30/10	139 13	151 28	-12 15
UBS - JG 67284 55	GILEAD SCIENCES INC	2 000	12/29/08	4/15/10	91 85	100 86	-9 01
UBS - JG 67284 55	GILEAD SCIENCES INC	7 000	12/29/08	4/15/10	321 48	353 71	-32 23
UBS - JG 67284 55	GILEAD SCIENCES INC	8 000	2/2/09	4/15/10	367 40	402 32	-34 92
UBS - JG 67284 55	TRANSOCEAN INC	1 000	12/28/07	4/15/10	85 86	145 38	-59 52
UBS - JG 67284 55	TRANSOCEAN INC	3 000	1/14/08	4/15/10	257 59	418 53	-160 94
UBS - JG 67284 55	UNION PACIFIC CORP	3 000	4/4/06	4/19/10	231 00	139 03	91 97
UBS - JG 67284 55	UNION PACIFIC CORP	10 000	4/6/06	4/19/10	769 98	472 66	297 32
UBS - JG 67284 55	GOLDMAN SACHS GROUP INC	11 000	3/30/09	4/21/10	1,773 22	1,217 10	556 12
UBS - JG 67284 55	GOLDMAN SACHS GROUP INC	2 000	4/17/09	4/21/10	322 40	246 40	76 00
UBS - JG 67284 55	JP MORGAN CHASE & CO	13 000	3/26/09	4/22/10	586 20	359 10	227 10
UBS - JG 67284 55	JP MORGAN CHASE & CO	15 000	3/27/09	4/22/10	676 38	427 36	249 02
UBS - JG 67284 55	TRANSOCEAN INC	12 000	1/14/08	4/26/10	1,079 35	1,674 13	-594 78
UBS - JG 67284 55	GOOGLE	2 000	11/5/08	5/4/10	1,056 49	716 46	340 03
UBS - JG 67284 55	MASTERCARD INC CL A	2 000	3/16/07	5/4/10	513 02	205 95	307 07
UBS - JG 67284 55	TRANSOCEAN INC	10 000	10/16/08	5/5/10	713 10	664 54	48 56
UBS - JG 67284 55	TRANSOCEAN INC	16 000	10/16/08	5/5/10	1,165 45	1,063 26	102 19
UBS - JG 67284 55	TRANSOCEAN INC	9 000	2/12/09	5/5/10	655 57	546 70	108 87
UBS - JG 67284 55	TRANSOCEAN INC	2 000	2/12/09	5/6/10	143 07	121 49	21 58
UBS - JG 67284 55	TRANSOCEAN INC	5 000	2/12/09	5/6/10	357 68	307 40	50 28
UBS - JG 67284 55	TRANSOCEAN INC	18 000	2/13/09	5/6/10	1,287 66	1,058 16	229 50
UBS - JG 67284 55	VISA INC CL A	6 000	10/14/08	5/7/10	530 34	319 72	210 62
UBS - JG 67284 55	MASTERCARD INC CL A	4 000	3/16/07	5/10/10	971 22	411 90	559 32
UBS - JG 67284 55	AMER EXPRESS COMPANY	15 000	5/11/09	5/12/10	619 45	400 92	218 53
UBS - JG 67284 55	MASTERCARD INC CL A	1 000	3/28/08	5/12/10	226 48	229 25	-2 77
UBS - JG 67284 55	MASTERCARD INC CL A	1 000	10/15/08	5/12/10	226 48	156 18	70 30
UBS - JG 67284 55	MASTERCARD INC CL A	4 000	10/15/08	5/14/10	896 42	624 73	271 69
UBS - JG 67284 55	AMER EXPRESS COMPANY	11 000	5/11/09	5/19/10	444 17	294 00	150 17
UBS - JG 67284 55	VISA INC CL A	6 000	10/14/08	5/19/10	461 23	319 72	141 51
UBS - JG 67284 55	VISA INC CL A	10 000	10/17/08	5/19/10	768 71	576 59	192 12
UBS - JG 67284 55	MASTERCARD INC CL A	1 000	2/2/09	5/24/10	210 21	133 92	76 29

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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
UBS - JG 67284 55	VISA INC CL A	3 000	10/17/08	5/24/10	219 19	172 98	46 21
UBS - JG 67284 55	VISA INC CL A	8 000	1/14/09	5/24/10	584 49	442 79	141 70
UBS - JG 67284 55	MASTERCARD INC CL A	2 000	2/2/09	5/25/10	402 63	267 84	134 79
UBS - JG 67284 55	VISA INC CL A	14 000	1/14/09	5/25/10	1,037 78	774 87	262 91
UBS - JG 67284 55	GENL DYNAMICS CORP	2 000	7/29/05	6/9/10	130 78	116 52	14 26
UBS - JG 67284 55	JP MORGAN CHASE & CO	6 000	3/27/09	6/9/10	229 72	170 94	58 78
UBS - JG 67284 55	JP MORGAN CHASE & CO	5 000	3/30/09	6/9/10	191 44	135 89	55 55
UBS - JG 67284 55	GOLDMAN SACHS GROUP INC	11 000	4/17/09	6/15/10	1,451 16	1,355 19	95 97
UBS - JG 67284 55	POTASH CORP SASK INC CANADA	2 000	3/12/09	7/7/10	169 64	137 80	31 84
UBS - JG 67284 55	POTASH CORP SASK INC CANADA	3 000	3/13/09	7/7/10	254 45	217 73	36 72
UBS - JG 67284 55	NORFOLK STHN CORP	3 000	2/14/08	7/7/10	159 47	164 53	-5 06
UBS - JG 67284 55	NORFOLK STHN CORP	4 000	3/6/08	7/7/10	212 62	212 96	-0 34
UBS - JG 67284 55	POTASH CORP SASK INC CANADA	3 000	3/13/09	7/8/10	257 12	217 73	39 39
UBS - JG 67284 55	POTASH CORP SASK INC CANADA	2 000	5/22/09	7/8/10	171 42	227 94	-56 52
UBS - JG 67284 55	NORFOLK STHN CORP	6 000	3/6/08	7/8/10	305 39	319 43	-14 04
UBS - JG 67284 55	NORFOLK STHN CORP	3 000	3/7/08	7/9/10	154 45	159 03	-4 58
UBS - JG 67284 55	NORFOLK STHN CORP	4 000	5/13/08	7/9/10	205 93	247 87	-41 94
UBS - JG 67284 55	NORFOLK STHN CORP	3 000	3/7/08	7/12/10	153 19	79 52	73 67
UBS - JG 67284 55	NORFOLK STHN CORP	8 000	5/13/08	7/12/10	408 51	330 49	78 02
UBS - JG 67284 55	NORFOLK STHN CORP	3 000	6/3/08	7/12/10	153 19	195 99	-42 80
UBS - JG 67284 55	POTASH CORP SASK INC CANADA	5 000	5/29/09	7/12/10	432 48	567 32	-134 84
UBS - JG 67284 55	NORFOLK STHN CORP	5 000	6/3/08	7/13/10	259 40	326 64	-67 24
UBS - JG 67284 55	NORFOLK STHN CORP	2 000	10/2/08	7/13/10	103 76	132 04	-28 28
UBS - JG 67284 55	NORFOLK STHN CORP	5 000	10/28/08	7/13/10	259 40	268 28	-8 88
UBS - JG 67284 55	NORFOLK STHN CORP	10 000	1/13/09	7/13/10	518 80	474 87	43 93
UBS - JG 67284 55	NORFOLK STHN CORP	8 000	1/14/09	7/13/10	415 04	371 58	43 46
UBS - JG 67284 55	DIRECTV GROUP INC	14 000	7/6/09	8/5/10	526 94	346 63	180 31
UBS - JG 67284 55	DIRECTV GROUP INC	3 000	7/7/09	8/5/10	112 92	74 68	38 24
UBS - JG 67284 55	DIRECTV GROUP INC	4 000	7/7/09	8/6/10	149 04	99 57	49 47
UBS - JG 67284 55	GOOGLE	1 000	2/3/09	8/17/10	490 99	341 61	149 38
UBS - JG 67284 55	GOOGLE	2 000	2/12/09	8/17/10	981 97	757 70	224 27
UBS - JG 67284 55	JP MORGAN CHASE & CO	19 000	3/30/09	8/17/10	719 07	516 39	202 68
UBS - JG 67284 55	JP MORGAN CHASE & CO	2 000	4/6/09	8/17/10	75 69	56 14	19 55
UBS - JG 67284 55	JP MORGAN CHASE & CO	22 000	4/6/09	8/19/10	830 43	617 52	212 91
UBS - JG 67284 55	WELLS FARGO & CO NEW	26 534	5/7/09	8/19/10	683 13	614 62	68 51
UBS - JG 67284 55	WELLS FARGO & CO NEW	2 466	5/8/09	8/19/10	63 48	56 58	6 90
UBS - JG 67284 55	AMAZON COM INC	9 000	7/15/09	8/20/10	1,146 04	699 19	446 85
UBS - JG 67284 55	APPLE COMPUTER	6 000	6/23/08	8/20/10	1,500 57	1,076 64	423 93

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Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
UBS - JG 67284 55	APPLE COMPUTER	14 000	7/29/08	8/20/10	3,501 34	2,257 31	1,244 03
UBS - JG 67284 55	APPLE COMPUTER	1 000	3/18/09	8/20/10	250 10	96 18	153 92
UBS - JG 67284 55	APPLE COMPUTER	7 000	4/7/09	8/20/10	1,750 67	794 29	956 38
UBS - JG 67284 55	APPLE COMPUTER	5 000	4/7/09	8/20/10	1,250 48	557 07	693 41
UBS - JG 67284 55	BHP BILLITON LTD SPON ADR	15 000	3/17/09	8/20/10	899 98	535 51	364 47
UBS - JG 67284 55	BHP BILLITON LTD SPON ADR	15 000	3/18/09	8/20/10	899 98	574 57	325 41
UBS - JG 67284 55	BHP BILLITON LTD SPON ADR	5 000	4/7/09	8/20/10	299 99	216 71	83 28
UBS - JG 67284 55	BHP BILLITON LTD SPON ADR	4 000	4/24/09	8/20/10	240 00	162 42	77 58
UBS - JG 67284 55	BHP BILLITON LTD SPON ADR	8 000	4/28/09	8/20/10	479 99	329 05	150 94
UBS - JG 67284 55	BHP BILLITON LTD SPON ADR	7 000	5/11/09	8/20/10	419 99	329 59	90 40
UBS - JG 67284 55	BHP BILLITON LTD SPON ADR	2 000	8/11/09	8/20/10	120 00	105 90	14 10
UBS - JG 67284 55	BHP BILLITON LTD SPON ADR	7 000	8/13/09	8/20/10	419 99	360 34	59 65
UBS - JG 67284 55	EOG RESOURCES INC	3 000	5/12/09	8/20/10	285 74	226 84	58 90
UBS - JG 67284 55	DIRECTV GROUP INC	7 000	7/7/09	8/20/10	272 09	174 25	97 84
UBS - JG 67284 55	DOW CHEMICAL PV 2 50	25 000	7/10/09	8/20/10	639 49	370 54	268 95
UBS - JG 67284 55	DOW CHEMICAL PV 2 50	23 000	7/13/09	8/20/10	588 33	344 65	243 68
UBS - JG 67284 55	DOW CHEMICAL PV 2 50	41 000	8/4/09	8/20/10	1,048 76	884 74	164 02
UBS - JG 67284 55	DOW CHEMICAL PV 2 50	30 000	8/11/09	8/20/10	767 39	691 52	75 87
UBS - JG 67284 55	GENL DYNAMICS CORP	26 000	7/25/06	8/20/10	1,587 79	1,785 07	-197 28
UBS - JG 67284 55	GENL DYNAMICS CORP	13 000	1/14/09	8/20/10	793 90	754 56	39 34
UBS - JG 67284 55	GOOGLE	1 000	2/12/09	8/20/10	488 36	378 85	109 51
UBS - JG 67284 55	GOOGLE	2 000	2/23/09	8/20/10	976 72	700 00	276 72
UBS - JG 67284 55	JP MORGAN CHASE & CO	8 000	4/6/09	8/20/10	303 43	224 55	78 88
UBS - JG 67284 55	JP MORGAN CHASE & CO	14 000	4/16/09	8/20/10	531 01	448 16	82 85
UBS - JG 67284 55	JP MORGAN CHASE & CO	11 000	7/16/09	8/20/10	417 22	375 01	42 21
UBS - JG 67284 55	MCDONALDS CORP	14 000	6/29/07	8/20/10	1,007 56	723 77	283 79
UBS - JG 67284 55	MCDONALDS CORP	30 000	7/6/07	8/20/10	2,159 06	1,537 54	621 52
UBS - JG 67284 55	MCDONALDS CORP	10 000	10/10/07	8/20/10	719 69	560 63	159 06
UBS - JG 67284 55	MCDONALDS CORP	11 000	10/11/07	8/20/10	791 66	616 49	175 17
UBS - JG 67284 55	MCDONALDS CORP	19 000	1/30/08	8/20/10	1,367 41	1,028 82	338 59
UBS - JG 67284 55	MCDONALDS CORP	2 000	3/28/08	8/20/10	143 94	112 81	31 13
UBS - JG 67284 55	MCDONALDS CORP	5 000	1/29/09	8/20/10	359 84	291 08	68 76
UBS - JG 67284 55	NIKE INC CL B	4 000	7/16/08	8/20/10	287 00	225 46	61 54
UBS - JG 67284 55	NIKE INC CL B	10 000	7/21/08	8/20/10	717 49	571 18	146 31
UBS - JG 67284 55	NIKE INC CL B	12 000	7/22/08	8/20/10	860 99	701 76	159 23
UBS - JG 67284 55	NIKE INC CL B	15 000	12/2/08	8/20/10	1,076.23	777 91	298 32
UBS - JG 67284 55	NIKE INC CL B	8 000	12/11/08	8/20/10	573 99	453 04	120 95
UBS - JG 67284 55	NIKE INC CL B	12 000	12/12/08	8/20/10	860 99	645 73	215 26

Capital Gains 67284
9/1/09 Through 8/31/10

10/18/10

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
UBS - JG 67284 55	PRAXAIR	15 000	5/23/07	8/20/10	1,331.08	1,035.13	295.95
UBS - JG 67284 55	PRAXAIR	26 000	7/30/07	8/20/10	2,307.20	1,970.90	336.30
UBS - JG 67284 55	STARWOOD HOTELS & RESORTS W	15 000	10/23/08	8/20/10	726.74	312.10	414.64
UBS - JG 67284 55	STARWOOD HOTELS & RESORTS W	20 000	10/24/08	8/20/10	968.98	429.34	539.64
UBS - JG 67284 55	US BANCORP	10 000	9/8/08	8/20/10	223.00	325.08	-102.08
UBS - JG 67284 55	US BANCORP	23 000	12/8/08	8/20/10	512.89	587.96	-75.07
UBS - JG 67284 55	US BANCORP	50 000	3/18/09	8/20/10	1,114.98	714.63	400.35
UBS - JG 67284 55	US BANCORP	54 000	3/30/09	8/20/10	1,204.18	860.36	343.82
UBS - JG 67284 55	UNION PACIFIC CORP	24 000	4/6/06	8/20/10	1,776.69	1,134.39	642.30
UBS - JG 67284 55	UNION PACIFIC CORP	14 000	2/6/08	8/20/10	1,036.40	884.54	151.86
UBS - JG 67284 55	UNION PACIFIC CORP	30 000	1/29/09	8/20/10	2,220.66	1,305.02	915.84
UBS - JG 67284 55	WELLS FARGO & CO NEW	40 534	5/8/09	8/20/10	1,050.22	930.16	120.06
UBS - JG 67284 55	WELLS FARGO & CO NEW	92 000	5/13/09	8/20/10	2,383.68	2,477.37	-93.69
UBS - JG 67284 55	WELLS FARGO & CO NEW	21 000	8/11/09	8/20/10	544.10	592.41	-48.31
UBS - JG 67284 55	WELLS FARGO 8% PREFERRED	10 000	1/2/09	8/20/10	281.29	218.98	62.31
UBS - JG 67284 55	WELLS FARGO 8% PREFERRED	7 000	1/6/09	8/20/10	196.91	153.64	43.27
UBS - JG 67284 55	WELLS FARGO 8% PREFERRED	6 000	3/23/09	8/20/10	168.78	97.50	71.28
TOTAL LONG TERM					110,532.20	89,508.74	21,023.46
SUPER LONG TERM							
UBS - JG 67284 55	GENL DYNAMICS CORP	36 000	7/29/05	8/20/10	2,198.48	2,097.38	101.10
TOTAL SUPER LONG...					2,198.48	2,097.38	101.10
<i>TOTAL LONG TERM</i>					<i>112,730.68</i>	<i>91,606.12</i>	
OVERALL TOTAL					280,638.30	253,365.72	27,272.58

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 16a - Legal Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INDIRECT LEGAL FEES	\$ 697	\$ 279	\$ 418	\$
TOTAL	<u>\$ 697</u>	<u>\$ 279</u>	<u>\$ 418</u>	<u>\$ 0</u>

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INDIRECT ACCOUNTING FEES	\$ 21,036	\$ 8,414	\$ 12,622	\$
TOTAL	<u>\$ 21,036</u>	<u>\$ 8,414</u>	<u>\$ 12,622</u>	<u>\$ 0</u>

Statement 3 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INVESTMENT ADVISORY FEES	\$ 19,368	\$ 19,368	\$ 19,368	\$
TOTAL	<u>\$ 19,368</u>	<u>\$ 19,368</u>	<u>\$ 19,368</u>	<u>\$ 0</u>

Statement 4 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FOREIGN TAX WITHHELD	\$ 234	\$ 234	\$ 234	\$
TOTAL	<u>\$ 234</u>	<u>\$ 234</u>	<u>\$ 234</u>	<u>\$ 0</u>

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Statement 5 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
EXPENSES	\$	\$	\$	\$
BANK CHARGES	73	73	73	
BROKERAGE FEES	150	150	150	
DUES AND SUBSCRIPTIONS	990		990	
NEW YORK STATE FILING FEES	275		250	
ADVERTISING	120		120	
TOTAL	1,608	223	1,583	0

Federal Statements**Statement 6 - Form 990-PF, Part II, Line 10a - US and State Government Investments**

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>	<u>Basis of Valuation</u>	<u>Fair Market Value</u>
UBS - JG 67281-55: US GOV'T	\$ 391,984	\$ 387,767	COST	\$ 399,388
TOTAL	<u>\$ 391,984</u>	<u>\$ 387,767</u>		<u>\$ 399,388</u>

Statement 7 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>	<u>Basis of Valuation</u>	<u>Fair Market Value</u>
UBS - JG 67280-55: COMMON STOCK	\$ 466,033	\$ 495,624	COST	\$ 497,364
UBS - JG 67283-55: COMMON STOCK	288,905	302,730	COST	272,137
UBS - JG 67284-55: COMMON STOCK	119,820		COST	
UBS - JG 67284-55: PREFERRED STOCK	470		COST	
TOTAL	<u>\$ 875,228</u>	<u>\$ 798,354</u>		<u>\$ 769,501</u>

Statement 8 - Form 990-PF, Part II, Line 13 - Other Investments

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>	<u>Basis of Valuation</u>	<u>Fair Market Value</u>
BARNWELL INDUSTRIES	\$ 6,000	\$ 6,000	COST	\$ 6,000
TOTAL	<u>\$ 6,000</u>	<u>\$ 6,000</u>		<u>\$ 6,000</u>

Statement 9 - Form 990-PF, Part II, Line 15 - Other Assets

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>	<u>Fair Market Value</u>
PREPAID INTEREST	\$ 475	\$ 1,046	\$ 1,046
TOTAL	<u>\$ 475</u>	<u>\$ 1,046</u>	<u>\$ 1,046</u>

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Form 990-PF, Part XV, Line 2b - Application Format and Required Contents

Description

BY LETTER

Form 990-PF, Part XV, Line 2c - Submission Deadlines

Description

NO

Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations

Description

NO

Federal Statements**Taxable Interest on Investments**

<u>Description</u>	<u>Amount</u>	<u>Unrelated Business Code</u>	<u>Exclusion Code</u>	<u>Postal Code</u>
INTEREST - UBS 67281: US GOVT	\$ 13,405		14	NY
TOTAL	\$ <u>13,405</u>			

Taxable Dividends from Securities

<u>Description</u>	<u>Amount</u>	<u>Unrelated Business Code</u>	<u>Exclusion Code</u>	<u>Postal Code</u>
DIVIDENDS: UBS 67280	\$ 10,122		14	NY
DIVIDENDS: UBS 67281	2		14	NY
DIVIDENDS: UBS 67282			14	NY
DIVIDENDS: UBS 67283	13,129		14	NY
DIVIDENDS: UBS 67284	2,006		14	NY
TOTAL	\$ <u>25,259</u>			

SALVATION ARMY OF GREATER NEW YORK	120 West 14th Street	New York, NY 10011-7393	2,500 00	AFTER SCHOOL PROGRAMS	Qual Chartrv	Fulfillment of Charitable Purpose	None
SANCTUARY FOR FAMILIES	P O Box 1406	New York, NY 10268-1406	3,000 00	DOMESTIC VIOLENCE	Qual Chartrv	Fulfillment of Charitable Purpose	None
SMILES FOR SCOTT FOUNDATION	P O Box 643	Plainview, NY 11803	3,000 00	CHILDREN'S CANCER CARE	Qual Chartrv	Fulfillment of Charitable Purpose	None
ST CHRISTOPHER CHILD CARE SERVICES	71 South Broadway	Dobbs Ferry, NY 10522 2800	3,000 00	FAMILIES IN CRISIS	Qual Chartrv	Fulfillment of Charitable Purpose	None
SUNRISE DAY CAMP	15 Neil Court	Oceanside, NY 11572	3,000 00	CHILDREN'S PROGRAM	Qual Chartrv	Fulfillment of Charitable Purpose	None
VOLUNTEERS OF AMERICA	340 West 85th Street	New York, NY 10024	3,000 00	HOMELESS, AIDS, ADDICTION, DISABILITIES	Qual Chartrv	Fulfillment of Charitable Purpose	None
WE CARE BLANKETS	C/O T Baker, 25 Eliza 2F	Farmingdale, NY 11735	3,000 00	BLANKETS FOR HOSPITAL PATIENTS	Qual Chartrv	Fulfillment of Charitable Purpose	None
WOMEN'S PRISON ASSOCIATION	110 Second Avenue	New York, NY 10003	3,000 00	LIFE SKILLS FOR PRISONERS	Qual Chartrv	Fulfillment of Charitable Purpose	None
YAI/NYL	460 West 34th Street	New York, NY 10001-2382	3,000 00	DISABLED CHILDREN & FAMILIES	Qual Chartrv	Fulfillment of Charitable Purpose	None
YOUTH SERVICE LEAGUE, INC	2323 East 21st Street	Brooklyn, NY 11229	3,000 00	INNER CITY DELINQUENCY PREVENTION	Qual Chartrv	Fulfillment of Charitable Purpose	None

194,000 00