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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2009

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning 09/01, 2009, and ending 08/31, 2010

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type See Specific Instructions

Name of foundation

CHARINA FOUNDATION, INC.

A Employer identification number

13-3050294

Number and street (or P O box number if mail is not delivered to street address)

C/O BCRS ASSOCIATES, LLC
100 WALL STREET, 11TH FLOOR

Room/suite

B Telephone number (see page 10 of the instructions)

(212) 440-0800

City or town, state, and ZIP code

NEW YORK, NY 10005

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 20,293,990.
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

C If exemption application is pending check here ☐
D 1 Foreign organizations, check here ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ☐
E If private foundation status was terminated under section 507(b)(1)(A) check here ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue			N/A	
1 Contributions, gifts, grants, etc., received (attach schedule) if the foundation is not required to attach Sch. B.	0.			
2 Check ☐ Interest on savings and temporary cash investments	15.	15.	0.	ATCH 1
3 Dividends and interest from securities	379,405.	379,405.	0.	ATCH 2
4 Dividends and interest from securities				
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	632,606.			
b Gross sales price for all assets on line 6a	3,760,957.			
7 Capital gain net income (from Part IV, line 2)		632,606.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	2,405.	2,411.		ATCH 3
12 Total. Add lines 1 through 11	1,014,431.	1,014,437.	0.	
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.	0.	0.	0.	0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) ATCH 4	7,000.	3,500.	0.	3,500.
c Other professional fees (attach schedule)	4,000.	0.	0.	4,000.
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions) *	14,250.	0.	0.	0.
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 7	1,610.	701.	0.	909.
24 Total operating and administrative expenses. Add lines 13 through 23	26,860.	4,201.	0.	8,409.
25 Contributions, gifts, grants paid	988,470.			988,470.
26 Total expenses and disbursements. Add lines 24 and 25	1,015,330.	4,201.	0.	996,879.
27 Subtract line 26 from line 12	-899.			
a Excess of revenue over expenses and disbursements				
b Net investment income (if negative, enter -0-)		1,010,236.		
c Adjusted net income (if negative, enter -0-)			-00.	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions. * ATCH 5 JSA ** ATCH 6 Form 990-PF (2009)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash - non-interest-bearing	0.	0.	0.
	2	Savings and temporary cash investments	256,462.	141,858.	141,858.
	3	Accounts receivable			
		Less: allowance for doubtful accounts			
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7	Other notes and loans receivable (attach schedule)			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U.S. and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) <u>ATCH 8</u>	11,830,581.	11,942,531.	20,122,132.
	c	Investments - corporate bonds (attach schedule)			
	Liabilities	11	Investments - land, buildings, and equipment basis		
		Less: accumulated depreciation (attach schedule)			
12		Investments - mortgage loans			
13		Investments - other (attach schedule) <u>ATCH 9</u>	22,419.	24,175.	30,000.
14		Land, buildings, and equipment basis			
		Less: accumulated depreciation (attach schedule)			
15		Other assets (describe)			
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	12,109,462.	12,108,564.	20,293,990.
17		Accounts payable and accrued expenses			
18		Grants payable			
19	Deferred revenue				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable (attach schedule)				
22	Other liabilities (describe)				
23	Total liabilities (add lines 17 through 22)				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒				
	27	Capital stock, trust principal, or current funds	12,109,462.	12,108,564.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30	Total net assets or fund balances (see page 17 of the instructions)	12,109,462.	12,108,564.	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	12,109,462.	12,108,564.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,109,462.
2	Enter amount from Part I, line 27a	2	-899.
3	Other increases not included in line 2 (itemize) <u>ATTACHMENT 10</u>	3	1.
4	Add lines 1, 2, and 3	4	12,108,564.
5	Decreases not included in line 2 (itemize)	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	12,108,564.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	632,606.	
If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7					
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)			3		
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8.				0.	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of nonchangible-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	1,127,553.	17,138,232.	0.065792
2007	1,354,312.	24,733,491.	0.054756
2006	1,292,580.	26,516,758.	0.048746
2005	1,185,804.	25,129,957.	0.047187
2004	1,069,423.	24,190,528.	0.044208
2 Total of line 1, column (d)			2 0.260689
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.052138
4 Enter the net value of nonchangible-use assets for 2009 from Part X, line 5			4 20,243,556.
5 Multiply line 4 by line 3			5 1,055,459.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 10,102.
7 Add lines 5 and 6			7 1,065,561.
8 Enter qualifying distributions from Part XII, line 4			8 996,879.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		1	20,205.
Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	20,205.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	20,205.
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a 18,620.		
b Exempt foreign organizations-tax withheld at source	6b 0.		
c Tax paid with application for extension of time to file (Form 8868)	6c 0.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	18,620.
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	1,585.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax Refunded		11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
4b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) NEW YORK		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A **Statements Regarding Activities** *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ☐ N/A				
14	The books are in care of ☐ BCRS ASSOCIATES, LLC Telephone no ☐ 212-440-0800			
Located at ☐ 100 WALL STREET, 11TH FLOOR, NEW YORK, NY		ZIP + 4 ☐ 10005		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐			
and enter the amount of tax-exempt interest received or accrued during the year ☐ 15		0.		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a During the year did the foundation (either directly or indirectly)			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	☐	1b	N/A
Organizations relying on a current notice regarding disaster assistance check here			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c	N/A
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No		
If "Yes," list the years			
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions)		2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
	☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)		3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☒ **5b** *N/A*Organizations relying on a current notice regarding disaster assistance check here ☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** *X*

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b** *N/A***Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		0.	0.	0.

Total number of other employees paid over \$50,000 ☐ NONE

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE	NONE	0.

Total number of others receiving over \$50,000 for professional services NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 PLEASE NOTE, THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS EXEMPT UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE.	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	0.
2 N/A	0.
All other program-related investments See page 24 of the instructions	
3 N/A	0.
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	20,203,066.
b	Average of monthly cash balances	1b	318,762.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	30,005.
d	Total (add lines 1a, b, and c)	1d	20,551,833.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	20,551,833.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	308,277.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	20,243,556.
6	Minimum investment return. Enter 5% of line 5	6	1,012,178.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	1,012,178.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	20,205.
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	20,205.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	991,973.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	991,973.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	991,973.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	996,879.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	996,879.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	996,879.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				991,973.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004				0.
b From 2005				0.
c From 2006				0.
d From 2007				132,123.
e From 2008				283,025.
f Total of lines 3a through e	415,148.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 996,879.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)		0.		
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)	0.			
d Applied to 2009 distributable amount				991,973.
e Remaining amount distributed out of corpus	4,906.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	420,054.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0.		
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	420,054.			
10 Analysis of line 9				
a Excess from 2005				0.
b Excess from 2006				0.
c Excess from 2007				132,123.
d Excess from 2008				283,025.
e Excess from 2009				4,906.

Form 990-PF (2009)

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest dividends, rents, payments on securities loans (section 512(e)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ATTACHMENT 12

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE SCHEDULE ATTACHED				988,470.
Total			▶ 3a	988,470.
b Approved for future payment NONE				0.
Total			▶ 3b	0.

Form 990-PF (2009)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	15.		
4 Dividends and interest from securities			14	379,405.		
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property .						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	632,606.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue a _____						
b ATTACHMENT 13	523000	-6.	01	2,411.		
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		-6.		1,014,437.		
13 Total. Add line 12, columns (b), (d), and (e)					13	1,014,431.

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?	Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
(1)	Cash	1a(1)	X
(2)	Other assets	1a(2)	X
b	Other transactions:		
(1)	Sales of assets to a noncharitable exempt organization	1b(1)	X
(2)	Purchases of assets from a noncharitable exempt organization	1b(2)	X
(3)	Rental of facilities, equipment, or other assets	1b(3)	X
(4)	Reimbursement arrangements	1b(4)	X
(5)	Loans or loan guarantees	1b(5)	X
(6)	Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
	N / A	

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.			
	Signature of officer or trustee <i>Richard J. Monarchel</i>		Date 11/24/10	Title PRESIDENT
Paid Preparer's Use Only	Preparer's signature <i>D-J. Be...</i>	Date 12/16/10	Check if self-employed ☐	Preparer's identifying number (See Signature on page 30 of the instructions) 133509496
	Firm's name (or yours if self-employed), address, and ZIP code BCRS ASSOCIATES, LLC 100 WALL STREET - 11TH FL NEW YORK, NY 10005	EIN 13-4078147	Phone no 212-440-0800	

Form 990-PF (2009)

CHARINA FOUNDATION, INC.

13-3050294

SUPPLEMENTARY STATEMENTS

PART: XV

LINE: 2

SUPPLEMENTAL INFORMATION

THE ORGANIZATION'S PRIMARY ACTIVITY IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS QUALIFYING FOR EXEMPTION UNDER SECTION 501(c)(3) OF THE INTERNAL REVENUE CODE THE TRUSTEES CHOOSE THESE ORGANIZATIONS BASED UPON THEIR KNOWLEDGE OF THE ORGANIZATIONS' ACTIVITIES NO CONTRIBUTIONS, GRANTS, GIFTS, LOANS OR SCHOLARSHIPS ARE MADE TO INDIVIDUALS. THE ORGANIZATION DOES NOT PRESENTLY RECEIVE APPLICATIONS OR CONDUCT PROGRAMS FOR DONATIONS IN ITS ACTIVITIES

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
2,503,950.		SEE STATEMENT I ATTACHED PROPERTY TYPE: SECURITIES 1,180,410.				P	VARIOUS 1,323,540.	VARIOUS
1,254,160.		SEE STATEMENT II ATTACHED PROPERTY TYPE: SECURITIES 1,947,446.				P	VARIOUS -693,286.	VARIOUS
2,847.		LTCG THRU BRIDGE ST. ASIA FUND, L.P. PROPERTY TYPE: SECURITIES				P	VARIOUS 2,847.	VARIOUS
		STCG THRU BRIDGE ST. ASIA FUND, L.P. PROPERTY TYPE: SECURITIES 3.				P	VARIOUS -3.	VARIOUS
		SEC 1231 15% THRU BS ASIA FUND, LP PROPERTY TYPE: SECURITIES 492.				P	VARIOUS -492.	VARIOUS
TOTAL GAIN (LOSS)							<u>632,606.</u>	

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
INTEREST ON CREDIT BALANCE	5.	5.	0.
INTEREST ON CHECKING	10.	10.	0.
TOTAL	<u>15.</u>	<u>15.</u>	<u>0.</u>

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
DIVIDENDS THRU GOLDMAN & SACHS CO. BRKG	379,216.	379,216.	0.
DIVIDENDS THRU BS ASIA FUND, L.P.	11.	11.	0.
INTEREST THRU MONEY MARKET ACCOUNT	17.	17.	0.
INTEREST THRU BS ASIA FUND, L.P.	103.	103.	0.
CASH IN LIEU	58.	58.	0.
TOTAL	<u>379,405.</u>	<u>379,405.</u>	<u>0.</u>

ATTACHMENT 3FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
ORD INCOME THRU BS ASIA FUND, LP	7.	7.
ORD INCOME THRU BS ASIA FUND, LP - UBTI	-6.	0.
RRE THRU BRIDGE ST ASIA FUND, LP	-8.	-8.
SEC 988 THRU BRIDGE ST ASIA FUND, LP	-8.	-8.
SEC 987 THRU BRIDGE ST ASIA FUND, LP	6.	6.
LITIGATION SETTLEMENTS	2,414.	2,414.
TOTALS	<u>2,405.</u>	<u>2,411.</u>

ATTACHMENT 4

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
BCRS ASSOCIATES, LLC	7,000.	3,500.	0.	3,500.
TOTALS	<u>7,000.</u>	<u>3,500.</u>	<u>0.</u>	<u>3,500.</u>

ATTACHMENT 5

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
BOOKKEEPING FEE (DOUG TURNER)	4,000.	0.	0.	4,000.
TOTALS	<u>4,000.</u>	<u>0.</u>	<u>0.</u>	<u>4,000.</u>

ATTACHMENT 6

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
NYS CORP TAX FYE 8/31/09	250.	0.	0.	0.
FED'L TAX 4TH QTR EST 8/31/10	14,000.	0.	0.	0.
TOTALS	<u>14,250.</u>	<u>0.</u>	<u>0.</u>	<u>0.</u>

ATTACHMENT 7FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
NEW YORK STATE FILING FEE	750.	0.	0.	750.
PUBLICATION NOTICE FEE	120.	0.	0.	120.
PORTFOLIO DEDUCTIONS	660.	660.	0.	0.
FOREIGN TAX PAID	14.	14.	0.	0.
INTEREST EXPENSE	27.	27.	0.	0.
BANK CHARGES	39.	0.	0.	39.
TOTALS	<u>1,610.</u>	<u>701.</u>	<u>0.</u>	<u>909.</u>

CHARINA FOUNDATION, INC.

13-3050294

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 8

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SEE LONG POSITION I ATTACHED	7,760,917.	8,916,254.	15,439,080.
SEE LONG POSITION II ATTACHED	4,069,664.	3,026,277.	4,683,052.
TOTALS	<u>11,830,581.</u>	<u>11,942,531.</u>	<u>20,122,132.</u>

CHARINA FOUNDATION, INC.

13-3050294

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ATTACHMENT 9</u>	
		<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
BRIDGE STREET ASIA FUND, L.P. PHOTOGRAPHS	19,900. 2,519.	21,656. 2,519.	9,500. 20,500.
TOTALS	<u>22,419.</u>	<u>24,175.</u>	<u>30,000.</u>

ATTACHMENT 10FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

ROUNDING

1.

TOTAL

1.

CHARINA FOUNDATION, INC.

13-3050294

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 11

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
RICHARD L. MENSCHEL C/O BCBS ASSOCIATES, LLC 100 WALL STREET - 11TH FL. NEW YORK, NY 10005	PRESIDENT/PART-TIME	0.	0.	0.
RONAY MENSCHEL C/O BCBS ASSOCIATES, LLC 100 WALL STREET - 11TH FL. NEW YORK, NY 10005	SECRETARY/PART-TIME	0.	0.	0.
EUGENE P. POLK C/O BCBS ASSOCIATES, LLC 100 WALL STREET - 11TH FL. NEW YORK, NY 10005	DIRECTOR/PART-TIME	0.	0.	0.
GRAND TOTALS		0.	0.	0.

ATTACHMENT 11

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CHARINA FOUNDATION, INC.

13-3050294

ATTACHMENT 12

FORM 990PF, PART XV - INFORMATION REGARDING FOUNDATION MANAGERS

RICHARD L. MENSCHER; C/O BCRS ASSOCIATES, LLC
100 WALL STREET - 11TH FLOOR, NEW YORK, NY 10005

CHARINA FOUNDATION, INC.

13-3050294

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 13

DESCRIPTION	BUSINESS CODE	EXCLUSION		RELATED OR EXEMPT FUNCTION INCOME
		AMOUNT	CODE	
THRU BS ASIA FUND:				
- ORDINARY INCOME	523000	-6.	01	7.
- RENTAL RE			01	-8.
- SEC. 988 G/L			01	-8.
- SEC. 987 G/L			01	6.
LITIGATION INCOME			01	2,414.
TOTALS		-6.		2,411.

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2009

Name of estate or trust

CHARINA FOUNDATION, INC.

Employer identification number

13-3050294

Note: Form 5227 filers need to complete only Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day , yr)	(c) Date sold (mo , day , yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	-3.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back	5	-3.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day , yr)	(c) Date sold (mo , day , yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	632,609.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back	12	632,609.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2009

Part III Summary of Parts I and II**Caution: Read the instructions before completing this part.**

	(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
13 Net short-term gain or (loss)	13		-3.
14 Net long-term gain or (loss):			
a Total for year	14a		632,609.
b Unrecaptured section 1250 gain (see line 18 of the wrkst)	14b		
c 28% rate gain	14c		
15 Total net gain or (loss). Combine lines 13 and 14 ▶	15		632,606.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet** is necessary.

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of	16 ()
a The loss on line 15, column (3) or b \$3,000	

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the worksheet on page 8 of the instructions if

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17		
18 Enter the smaller of line 14a or 15 in column (2) but not less than zero	18		
19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19		
20 Add lines 18 and 19	20		
21 If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	21		
22 Subtract line 21 from line 20. If zero or less, enter -0-	22		
23 Subtract line 22 from line 17. If zero or less, enter -0-	23		
24 Enter the smaller of the amount on line 17 or \$2,300	24		
25 Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26, go to line 27 and check the "No" box ☐ No. Enter the amount from line 23	25		
26 Subtract line 25 from line 24	26		
27 Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31 ☐ No. Enter the smaller of line 17 or line 22	27		
28 Enter the amount from line 26 (If line 26 is blank, enter -0-)	28		
29 Subtract line 28 from line 27	29		
30 Multiply line 29 by 15% (15)	30		
31 Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31		
32 Add lines 30 and 31	32		
33 Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33		
34 Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34		

Schedule D (Form 1041) 2009

**Continuation Sheet for Schedule D
(Form 1041)**

► See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust

CHARINA FOUNDATION, INC.

Employer identification number

13-3050294

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

[illegible]

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b -3.

Contrib. - Fiscal Year (9/1 - 8/31) Year To Date Ending Aug 31, 2010

Fund(s): Charina FOUNDATION As Of: Aug 31, 2010

Date Paid	Chk.#	Organization	City	St.	Amount
9/1/2009	8502	The Municipal Art Society	New York	NY	10,000
9/1/2009	8503	The HealthCare Chaplaincy, Inc.	New York	NY	4,000
9/1/2009	8504	Phipps Community Development Corp	New York	NY	13,000
9/1/2009	8505	Foundation of Orthopedics and Complex Spine (FOCOS)	New York	NY	5,000
9/1/2009	8506	American Federation for Aging Research (AFAR)	New York	NY	5,000
9/1/2009	8507	Socrates Sculpture Park, Inc.	Queens	NY	2,500
9/14/2009	8508	Museum of the City of New York	New York	NY	25,000
9/14/2009	8509	The Municipal Art Society	New York	NY	20,000
9/14/2009	8510	Hospital for Special Surgery Fund, Inc.	New York	NY	25,000
9/14/2009	8511	The Jewish Museum	New York	NY	15,000
9/14/2009	8512	The Morgan Library and Museum	New York	NY	30,000
9/14/2009	8513	UJA-Federation of New York (was Fed of Jewish Philanth.)	New York	NY	100,000
9/14/2009	8514	Freedom From Addiction Foundation, Inc. (FFA)	Palm Beach Gdns	FL	1,000
9/14/2009	8515	Hospice/Visiting Nurse Assoc. of the Florida Keys	Key West	FL	500
9/14/2009	8516	The Fund for Park Avenue	New York	NY	500
9/14/2009	8517	The Studio Museum in Harlem	New York	NY	1,500
9/14/2009	8518	Breast Cancer Research Foundation	New York	NY	2,500
9/14/2009	8519	WCAI	Woods Hole	MA	500
9/14/2009	8520	Congregation Shirat Ha Yam	Nantucket	MA	500
9/14/2009	8521	John Jay College of Criminal Justice Foundation	New York	NY	2,700
9/14/2009	8522	Audubon New York	New York	NY	2,390
9/14/2009	8523	New York Landmarks Conservancy	New York	NY	1,000
9/14/2009	8524	Arthritis Foundation	New York	NY	1,000
9/20/2009	8525	New Visions for Public Schools (Millennium High School)	New York	NY	1,000

Contrib. - Fiscal Year (9/1 - 8/31) Year To Date Ending Aug 31, 2010**Fund(s):****Charina Ex. Expenses****As Of: Aug 31, 2010**

Date Paid	Chk.#	Organization	City	St.	Amount
9/20/2009	8527	Facing History and Ourselves	Brookline	MA	1,500
9/20/2009	8528	Whitney Museum of American Art	New York	NY	2,500
9/20/2009	8529	BRIC	Brooklyn	NY	500
9/23/2009	8530	The Nature Conservancy - New York State Group	New York	NY	500
9/28/2009	8531	Prospect Hill Cemetery Association	Nantucket	MA	1,000
9/28/2009	8532	WNYC Radio	New York	NY	1,500
10/4/2009	8533	Neue Galerie New York	New York	NY	1,000
10/4/2009	8534	Israel Philharmonic Orchestra, American Friends of	New York	NY	1,000
10/9/2009	8535	Michael J. Fox Foundation for Parkinson's Research	New York	NY	2,500
10/9/2009	8536	Princeton University	Princeton	NJ	7,500
10/11/2009	8537	South Church Preservation Fund	Nantucket	MA	1,000
10/11/2009	8538	Congregation Emanu-El	New York	NY	3,500
10/11/2009	8539	AISH	New York	NY	1,000
10/11/2009	8540	Hospital for Special Surgery Fund, Inc.	New York	NY	1,000
10/25/2009	8542	National Mentoring Partnership	Alexandria	VA	2,000
10/25/2009	8543	Museum of Arts and Design (was American Craft Museum)	New York	NY	1,000
10/25/2009	8544	International Center of Photography	New York	NY	1,000
10/25/2009	8545	Telluride Foundation	Telluride	CO	1,000
10/25/2009	8546	Make the Road	Brooklyn	NY	2,500
10/25/2009	8547	Inner City Scholarship Fund	New York	NY	5,000

Contrib. - Fiscal Year (9/1 - 8/31) Year To Date Ending Aug 31, 2010

Fund(s): Charina Ex. Expenses As Of: Aug 31, 2010

Date Paid	Chk.#	Organization	City	St.	Amount
11/8/2009	8548	Hunter College Foundation, Inc.	New York	NY	1,000
11/11/2009	8550	Partnership for Public Service	New York	NY	5,000
11/22/2009	8551	Citizens Committee for New York City	New York	NY	1,000
11/22/2009	8552	Trickle Up	New York	NY	1,000
11/22/2009	8553	Central Park Conservancy	New York	NY	10,000
11/22/2009	8554	Guggenheim Museum (Solomon R. Guggenheim Fdn)	New York	NY	500
12/13/2009	8556	Lincoln Center Institute	New York	NY	2,500
12/16/2009	8555	Fidelity Charitable Fund	Boston	MA	10,000
12/20/2009	8557	International Women's Health Coalition	New York	NY	2,500
12/20/2009	8558	St. John's Preparatory School	Queens	NY	1,000
12/24/2009	8562	Learning Leaders (was NYC School Volunteer Program)	New York	NY	1,500
12/24/2009	8563	Lenox Hill Neighborhood House	New York	NY	1,500
12/24/2009	8564	Light Work	Syracuse	NY	1,000
12/24/2009	8565	Lincoln Center for the Performing Arts, Inc.	New York	NY	5,000
12/24/2009	8566	The Lymphoma Foundation	New York	NY	5,000
12/24/2009	8567	Manhattan Theatre Club	New York	NY	7,500
12/24/2009	8568	The March of Dimes - (was National Foundation)	New York	NY	2,000
12/24/2009	8569	Maria Mitchell Association	Nantucket	MA	1,500
12/24/2009	8570	MASS MoCA	North Adams	MA	2,500

Contrib. - Fiscal Year (9/1 - 8/31) Year To Date Ending Aug 31, 2010

Fund(s): Charina Ex. Expenses As Of: Aug 31, 2010

Date Paid	Chk.#	Organization	City	St.	Amount
12/24/2009	8571	Memorial Sloan Kettering	New York	NY	5,000
12/24/2009	8572	Metropolitan Museum of Art	New York	NY	30,000
12/24/2009	8573	Modern Art Oxford (was Museum of ...)	Oxford	XU	2,500
12/24/2009	8574	Meserve Kunhardt Foundation	Pleasantville	NY	1,000
12/24/2009	8575	Montpelier Foundation	Montpelier	VT	3,500
12/24/2009	8576	The Mountain School	Vershire	VT	1,000
12/24/2009	8577	Museum of African American History	Boston	MA	1,000
12/24/2009	8578	El Museo del Barrio	New York	NY	1,500
12/24/2009	8579	The Frick Collection	New York	NY	1,000
12/24/2009	8580	Nantucket Atheneum	Nantucket	MA	7,500
12/24/2009	8581	Nantucket Boys & Girls Club	Nantucket	MA	2,500
12/24/2009	8582	Nantucket Conservation Foundation, Inc.	Nantucket	MA	7,500
12/24/2009	8583	Nantucket Cottage Hospital	Nantucket	MA	5,000
12/24/2009	8584	Nantucket Historical Association	Nantucket	MA	4,000
12/24/2009	8585	Nantucket Land Council	Nantucket	MA	1,500
12/24/2009	8586	National Gallery of Art	Washington	DC	2,500
12/24/2009	8587	The Nature Conservancy - New York State Group	New York	NY	1,000
12/24/2009	8588	The Neighborhood Coalition for Shelter	New York	NY	2,500
12/24/2009	8589	New Victory Theatre/The New 42nd Street Inc.	New York	NY	1,000
12/24/2009	8590	The New York Academy of Medicine	New York	NY	5,000
12/24/2009	8591	New York Academy of Sciences	New York	NY	2,500
12/24/2009	8592	The Abraham Fund Initiatives	New York	NY	2,500
12/24/2009	8593	Adult Care Services (was Prescott Sr. Daycare Ctr)	Prescott	AZ	7,500
12/24/2009	8594	Alliance for the Arts (was Cultural Assist.Center)	New York	NY	8,500
12/24/2009	8595	American Academy in Rome	New York	NY	1,500

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Contrib. - Fiscal Year (9/1 - 8/31) Year To Date Ending Aug 31, 2010

Fund(s): Charina Ex. Expenses As Of: Aug 31, 2010

Date Paid	Chk.#	Organization	City	St.	Amount
12/24/2009	8596	American Civil Liberties Union Foundation	New York	NY	5,000
12/24/2009	8597	American Jewish Committee	New York	NY	10,000
12/24/2009	8598	American Jewish World Service	New York	NY	5,000
12/24/2009	8599	American Museum of Natural History	New York	NY	10,000
12/24/2009	8600	Anti-Defamation League	New York	NY	1,500
12/24/2009	8601	Argus Community, Inc.	Bronx	NY	1,000
12/24/2009	8602	Arizona Friends of Foster Children	Phoenix	AZ	5,000
12/24/2009	8603	Art Institute of Chicago	Chicago	IL	4,000
12/24/2009	8604	Arthritis Foundation	New York	NY	1,500
12/24/2009	8605	Artists' Assoc of Nantucket	Nantucket	MA	500
12/24/2009	8606	Ballet Tech Foundation (was Original Ballet)	New York	NY	1,000
12/24/2009	8607	Bard College	Annandale Hudson	NY	2,500
12/24/2009	8608	Ben-Gurion University of the Negev, American Associates	New York	NY	5,000
12/24/2009	8609	Boston Med Flight	Bedford	MA	1,500
12/24/2009	8610	Boys and Girls Club of Kingston	Kingston	NY	1,000
12/24/2009	8611	Breakthrough Collaborative	New York	NY	1,000
12/24/2009	8612	Brennan Center for Justice	New York	NY	1,500
12/24/2009	8613	Bronx Council on the Arts	Bronx	NY	2,000
12/24/2009	8614	Brooklyn Academy of Music	Brooklyn	NY	5,000
12/24/2009	8615	Cathedral Church of St. John the Divine	New York	NY	1,000
12/24/2009	8616	The Center for Book Arts	New York	NY	1,000
12/24/2009	8617	Children's Aid Society	New York	NY	5,000
12/24/2009	8618	Citizens' Housing & Planning Council	New York	NY	2,500
12/24/2009	8619	City Harvest	New York	NY	2,500
12/24/2009	8620	Citymeals-on-Wheels	New York	NY	3,000
12/24/2009	8621	Classical American Homes Preservation Trust	New York	NY	1,000

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Contrib. - Fiscal Year (9/1 - 8/31) Year To Date Ending Aug 31, 2010

Fund(s): Charina Ex. Expenses As Of: Aug 31, 2010

Date Paid	Chk.#	Organization	City	St.	Amount
12/24/2009	8622	Community Service Society of NY	New York	NY	1,500
12/24/2009	8623	Congregation Emanu-El	New York	NY	2,000
12/24/2009	8624	The Cooper Union	New York	NY	2,500
12/24/2009	8625	Cornell University	Ithaca	NY	25,000
12/24/2009	8626	Creative Alternatives of New York, Inc.	New York	NY	1,000
12/24/2009	8627	Creative Time	New York	NY	2,000
12/24/2009	8628	Deer Hill Foundation	Mancos	CO	1,000
12/24/2009	8629	The Doe Fund, Inc.	New York	NY	2,500
12/24/2009	8630	DOROT	New York	NY	5,000
12/24/2009	8631	FINCA	Washington	DC	1,000
12/24/2009	8632	Foundation for Contemporary Performing Arts	New York	NY	1,000
12/24/2009	8633	The Foundation for Landscape Studies	New York	NY	1,000
12/24/2009	8634	The Fresh Air Fund	New York	NY	1,500
12/24/2009	8636	George Eastman House (was Int'l Museum of Photography)	New York	NY	6,000
12/24/2009	8637	The Graduate Center Foundation, City University of NY	New York	NY	2,500
12/24/2009	8638	Graham-Windham	New York	NY	1,500
12/24/2009	8639	Harry S. Truman Library Institute	Independence	MO	1,000
12/24/2009	8640	Harvard College Library (was Harv. U., Friends of Library)	Cambridge	MA	1,000
12/24/2009	8641	Harvard Club of NY Foundation	New York	NY	500
12/24/2009	8642	Harvard University Friends of Judaica	Cambridge	MA	1,500
12/24/2009	8643	The Hastings Center	New York	NY	1,000
12/24/2009	8644	Hospital Audiences	New York	NY	1,500
12/24/2009	8645	Housing & Solutions	New York	NY	1,000
12/24/2009	8646	Human Rights First (was Lawyers Comm. for Human Rights)	New York	NY	2,500
12/24/2009	8647	International Rescue Committee	New York	NY	5,000

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Contrib. - Fiscal Year (9/1 - 8/31) Year To Date Ending Aug 31, 2010

Fund(s): Charina Ex. Expenses As Of: Aug 31, 2010

Date Paid	Chk.#	Organization	City	St.	Amount
12/24/2009	8648	International Foundation for Art Research	New York	NY	2,000
12/24/2009	8649	The Jewish Home Lifecare (was ..Home & Hospital Fdn)	New York	NY	5,000
12/24/2009	8650	Joyce Theater	New York	NY	3,000
12/24/2009	8651	Lawyers for Children	New York	NY	2,500
12/24/2009	8652	New York Botanical Garden	New York	NY	3,000
12/24/2009	8653	New York Hall of Science	New York	NY	2,000
12/24/2009	8654	New York Housing Conference	New York	NY	2,500
12/24/2009	8655	New York Philharmonic	New York	NY	2,500
12/24/2009	8656	New York Public Library	New York	NY	5,000
12/24/2009	8657	New York Weill Cornell Medical Center	New York	NY	10,000
12/24/2009	8658	New Yorkers for Parks (was Parks Council)	New York	NY	1,000
12/24/2009	8659	Orchestra of St. Luke's	New York	NY	4,000
12/24/2009	8660	Peer Health Exchange	New York	NY	1,000
12/24/2009	8661	Philadelphia Museum of Art	Philadelphia	PA	2,500
12/24/2009	8662	Police Athletic League	New York	NY	1,500
12/24/2009	8663	Prep for Prep	New York	NY	2,500
12/24/2009	8664	Prescott YMCA	Prescott	AZ	1,000
12/24/2009	8665	Preservation League of New York State	Albany	NY	1,000
12/24/2009	8666	Project Exploration	Chicago	IL	1,000
12/24/2009	8667	Prospect Park Alliance	Brooklyn	NY	5,000
12/24/2009	8668	Public Art Fund Inc.	New York	NY	10,000
12/24/2009	8669	Publicolor	New York	NY	1,500
12/24/2009	8670	The Public Theater (was New York Shakespeare Festival)	New York	NY	3,000
12/24/2009	8671	Rappahannock Community College Educational Foundation	Warsaw	VA	2,500
12/24/2009	8672	Roosevelt Warm Springs Development Fund, Inc.	Warm Springs	GA	1,000

Contrib. - Fiscal Year (9/1 - 8/31) Year To Date Ending Aug 31, 2010

Fund(s): Charina Ex. Expenses **As Of: Aug 31, 2010**

Date Paid	Chk.#	Organization	City	St.	Amount
12/24/2009	8673	St. Ann's Warehouse	Brooklyn	NY	1,000
12/24/2009	8674	St. Aloysius School	New York	NY	1,000
12/24/2009	8675	St. Luke's	Bronx	NY	1,000
12/24/2009	8676	Salk Institute	La Jolla	CA	2,500
12/24/2009	8677	Sanctuary for Families	New York	NY	2,500
12/24/2009	8678	Scenic Hudson	Poughkeepsie	NY	2,500
12/24/2009	8679	Sculpture Center	New York	NY	1,000
12/24/2009	8680	Second Stage Theatre	New York	NY	2,500
12/24/2009	8681	Signature Theatre Co.	New York	NY	2,500
12/24/2009	8682	The Skyscraper Museum	New York	NY	3,500
12/24/2009	8683	Small Friends on Nantucket	Nantucket	MA	2,500
12/24/2009	8684	Socrates Sculpture Park, Inc.	Queens	NY	10,000
12/24/2009	8685	Southampton Fresh Air Home	Southampton	NY	1,500
12/24/2009	8686	Spence Chapin	New York	NY	5,000
12/24/2009	8687	Sponsors for Educational Opportunity	New York	NY	2,500
12/24/2009	8688	Storm King Art Center	Mountainville	NY	7,500
12/24/2009	8689	Studio in a School	New York	NY	2,500
12/24/2009	8690	Symphony Space	New York	NY	1,500
12/24/2009	8691	Syracuse University	Syracuse	NY	20,000
12/24/2009	8692	Theatre for a New Audience	New York	NY	2,500
12/24/2009	8693	Third Street Music School Settlement	New York	NY	2,500
12/24/2009	8694	Thomas Jefferson Foundation	New York	NY	2,500
12/24/2009	8695	United Hospital Fund	New York	NY	1,500
12/24/2009	8696	United Nations Association of the USA	New York	NY	10,000
12/24/2009	8697	Upper East Side Historic Districts, Friends of the	New York	NY	1,000
12/24/2009	8698	Urban Assembly	New York	NY	2,500
12/24/2009	8699	Urban Pathways, Inc.(was Westside Cluster Ctr. Homeless)	New York	NY	1,500

Contrib. - Fiscal Year (9/1 - 8/31) Year To Date Ending Aug 31, 2010

Fund(s): Charina Ex. Expenses **As Of: Aug 31, 2010**

Date Paid	Chk.#	Organization	City	St.	Amount
12/24/2009	8700	USO	New York	NY	1,000
12/24/2009	8701	Vera Institute of Justice	New York	NY	5,000
12/24/2009	8702	The Wildlife Conservation Society	New York	NY	2,500
12/27/2009	8703	Crotona Park, Friends of	New York	NY	2,500
1/1/2010	8706	Henry Street Settlement	New York	NY	1,000
1/14/2010	8707	PEN American Center	New York	NY	1,850
1/18/2010	8709	The Jewish Museum	New York	NY	2,500
1/18/2010	8710	Nantucket Preservation Trust, Inc.	Nantucket	MA	1,000
1/18/2010	8711	Partnership with Children, Inc. (was Big Sisters)	New York	NY	1,000
1/18/2010	8712	Nantucket Musical Arts Society	Nantucket	MA	1,000
1/18/2010	8713	New Sector Alliance	Cambridge	MA	1,000
1/18/2010	8714	Citizens Committee for Children of New York	New York	NY	1,000
1/18/2010	8715	Women in Need	New York	NY	1,000
1/18/2010	8716	New York University (FACES)	New York	NY	5,000
1/22/2010	8717	Hutchinson School	Memphis	TN	1,000
2/2/2010	8718	The Drawing Center	New York	NY	1,000
2/7/2010	8719	Breast Cancer Research Foundation	New York	NY	2,500
2/7/2010	8720	Harvard Magazine	Cambridge	MA	250
2/7/2010	8721	Museum of Modern Art	New York	NY	850
2/7/2010	8722	Second Stage Theatre	New York	NY	3,500

Contrib. - Fiscal Year (9/1 - 8/31) Year To Date Ending Aug 31, 2010

Fund(s): Charina Ex. Expenses **As Of: Aug 31, 2010**

Date Paid	Chk.#	Organization	City	St.	Amount
2/7/2010	8723	The New-York Historical Society	New York	NY	5,000
2/13/2010	8724	Hospital for Special Surgery Fund, Inc.	New York	NY	13,000
2/13/2010	8725	Arts Connection	New York	NY	1,000
2/13/2010	8726	The Moving Image	New York	NY	2,500
2/13/2010	8727	Urban Assembly	New York	NY	430
2/13/2010	8728	Playwrights Horizon	New York	NY	10,000
2/21/2010	8729	Skowhegan School of Painting and Sculpture	Skowhegan	ME	1,000
2/21/2010	8730	Lower Manhattan Cultural Council	New York	NY	2,500
2/21/2010	8731	Weill Cornell Medical College	New York	NY	1,000
2/28/2010	8733	Institute of Classical Architecture & Classical America	New York	NY	1,000
2/28/2010	8735	Seeds of Peace	Portland	ME	1,000
2/28/2010	8736	Young Audiences	New York	NY	1,000
2/28/2010	8737	Marla Lamb Fund	Nantucket	MA	1,000
3/7/2010	8738	National MS Society, New York Chapter	New York	NY	1,000
3/14/2010	8739	Nantucket Arts Council	Nantucket	MA	500
3/14/2010	8740	Bronx High School of Science Alumni Association	Bronx	NY	1,000
3/14/2010	8741	International Institute of Rural Reconstruction	New York	NY	2,500
3/14/2010	8742	Museum of the City of New York	New York	NY	8,500
3/21/2010	8743	Boy Scouts of America	New York	NY	5,000
3/21/2010	8744	Migraine Research Foundation	New York	NY	1,000
3/21/2010	8745	Learning Leaders (was NYC School Volunteer Program)	New York	NY	1,000
3/21/2010	8746	PENCIL, Inc.	New York	NY	2,000

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Contrib. - Fiscal Year (9/1 - 8/31) Year To Date Ending Aug 31, 2010

Fund(s): Charina Ex. Expenses As Of: Aug 31, 2010

Date Paid	Chk.#	Organization	City	St.	Amount
3/28/2010	8747	Gurwin Jewish Healthcare Foundation	Commack	NY	2,500
3/28/2010	8748	The New York Academy of Medicine	New York	NY	2,500
3/28/2010	8749	Nantucket Ice	Nantucket	MA	1,000
4/4/2010	8750	Harvard Business School Club of Greater NY	New York	NY	1,000
4/4/2010	8751	The Museum for African Art	New York	NY	1,000
4/11/2010	8752	Storm King Art Center	Mountainville	NY	5,000
4/11/2010	8753	Theatre for a New Audience	New York	NY	2,500
4/18/2010	8754	Seeds of Peace	Portland	ME	5,000
4/18/2010	8755	Museum of Modern Art	New York	NY	5,500
4/18/2010	8756	Historic House Trust of New York City	New York	NY	2,000
4/18/2010	8757	The Morgan Library and Museum	New York	NY	5,500
4/18/2010	8758	Public Art Fund Inc.	New York	NY	5,000
4/19/2010	8759	BBYO (Bnai Brith Youth Org)	Washington	DC	1,000
4/25/2010	8760	Egan Maritime Institute	Nantucket	MA	1,000
4/25/2010	8761	Freedom Institute	New York	NY	1,000
4/29/2010	8762	St. Sebastian Roman Catholic Church	Queens	NY	1,000
5/2/2010	8763	Target Margin Theatre	New York	NY	2,500
5/9/2010	8764	Sustainable Nantucket (was Nant. Sustainable Dev.)	Nantucket	MA	1,000
5/9/2010	8765	A Safe Place	Nantucket	MA	1,000
5/9/2010	8766	Project Renewal	New York	NY	2,500

Contrib. - Fiscal Year (9/1 - 8/31) Year To Date Ending Aug 31, 2010

Fund(s): Charina Ex. Expenses **As Of: Aug 31, 2010**

Date Paid	Chk.#	Organization	City	St.	Amount
5/16/2010	8767	The Fort Tryon Park Trust	New York	NY	1,000
5/16/2010	8768	Black Rock Forest Consortium	Cornwall	NY	1,000
5/23/2010	8770	Comprehensive Development Inc.	New York	NY	1,000
6/6/2010	8771	Metropolitan Waterfront Alliance	New York	NY	1,000
6/6/2010	8772	The Mac Dowell Colony	New York	NY	1,000
6/6/2010	8773	The Young Women's Leadership Network	New York	NY	2,500
6/13/2010	8774	NMAI/Smithsonian	New York	NY	1,000
6/13/2010	8775	The Correctional Association of New York	New York	NY	1,000
6/13/2010	8776	The Eleanor Roosevelt Center at Val-Kill	Hyde Park	NY	1,000
6/13/2010	8777	The Jewish Home Lifecare (was ..Home & Hospital Fdn)	New York	NY	3,000
6/20/2010	8778	Boston Atheneum	Boston	MA	1,000
6/27/2010	8779	The Care Communities (was Interfaith Care Alliance)	Austin	TX	1,500
6/30/2010	8780	Cystic Fibrosis Foundation	New York	NY	500
6/30/2010	8781	Bruce Museum	Greenwich	CT	1,000
7/18/2010	8782	Thirteen/WNET (was Thirteen Associates)	New York	NY	1,000
7/18/2010	8783	National Parks of New York Harbor Conservancy	New York	NY	1,000
7/25/2010	8784	WCAI	Woods Hole	MA	500

Contrib. - Fiscal Year (9/1 - 8/31) Year To Date Ending Aug 31, 2010

Fund(s): Charina Ex. Expenses As Of: Aug 31, 2010

Date Paid	Chk.#	Organization	City	St.	Amount
8/15/2010	8785	City Parks Foundation	New York	NY	1,000
8/15/2010	8786	George Eastman House (was Int'l Museum of Photography)	New York	NY	500
8/15/2010	8787	Congregation Shirat Ha Yam	Nantucket	MA	1,000
8/15/2010	8788	Vera Institute of Justice	New York	NY	1,000
8/15/2010	8790	Visiting Nurse Service of New York	New York	NY	1,500
8/17/2010	8791	Alliance for the Arts (was Cultural Assist.Center)	New York	NY	10,000

Subtotal F 987,970

CHARINA FOUNDATION, INC.
Charitable Contributions [Non-Cash]
FYE: August 31, 2010
EIN: 13-3050294

DATE	DONEE / DESCRIPTION OF GIFT	CITY, STATE	FMV
VARIOUS	PRINCETON UNIVERSITY ART MUSEUM INKJET PRINT ON RAG PAPERS, "ALISA BENSON", 2004 BY RICHARD BENSON	PRINCETON, NJ	\$ 500.00
Subtotal II			\$ 500.00
Subtotal I			987,970.00
Subtotal II			500.00
TOTAL CONTRIBUTIONS PAID *			\$ 988,470.00

* ALL CONTRIBUTIONS WERE MADE TO THE GENERAL PURPOSE
FUND OF PUBLIC CHARITABLE ORGANIZATIONS THAT WERE
CLASSIFIED UNDER SECTION 501(c)(3) OF THE INTERNAL REVENUE
CODE.

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CHARINA FDN INC
From September 01, 2009 to August 31, 2010

Realized Gains/Losses

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss	Gain Type
CADBURY PLC SPONSORED ADR CMN	06/20/86	04/06/10	6,400	345,365.19	39,117.10	306,248.09	Long-Term
CADBURY PLC SPONSORED ADR CMN	06/20/86	04/06/10	16,000	863,412.98	97,792.75	765,620.23	Long-Term
CADBURY PLC SPONSORED ADR CMN	06/20/86	04/06/10	9,600	518,047.78	58,675.65	459,372.13	Long-Term
BROADRIDGE FINANCIAL SOLUTIONS IN CMN	09/14/93	04/13/10	300	6,529.24	1,415.37	5,113.87	Long-Term
WESTERN UNION COMPANY (THE) CMN	03/22/93	04/13/10	5,200	90,281.39	18,948.80	71,332.59	Long-Term
BROADRIDGE FINANCIAL SOLUTIONS IN CMN	09/03/93	04/13/10	1,900	41,351.84	8,985.32	32,366.52	Long-Term
HOSPIRA, INC. CMN	03/24/80	04/13/10	800	45,920.58	542.33	45,378.25	Long-Term
HOSPIRA, INC. CMN	08/08/79	04/13/10	640	36,736.47	449.66	36,286.81	Long-Term
J M SMUCKER CO CMN	04/07/93	04/13/10	16	998.38	146.94	851.44	Long-Term
J M SMUCKER CO CMN	11/03/93	04/13/10	20	1,247.98	206.83	1,041.15	Long-Term
J M SMUCKER CO CMN	05/04/00	04/13/10	100	6,239.89	2,361.14	3,878.75	Long-Term
HOSPIRA, INC. CMN	09/10/80	04/13/10	1,600	91,841.16	1,550.82	90,290.34	Long-Term
HOSPIRA, INC. CMN	07/03/79	04/13/10	160	9,184.12	102.35	9,081.77	Long-Term
WESTERN UNION COMPANY (THE) CMN	09/13/93	04/13/10	400	6,944.72	1,668.04	5,276.68	Long-Term
WESTERN UNION COMPANY (THE) CMN	09/08/93	04/13/10	1,600	27,778.89	6,573.78	21,205.11	Long-Term
EASTMAN KODAK COMPANY CMN	08/12/97	04/13/10	2,800	21,587.91	182,077.00	(160,489.09)	Long-Term
NEWELL RUBBERMAID INC CMN	03/04/80	04/13/10	3,153	52,405.12	5,977.04	46,428.08	Long-Term
NEWELL RUBBERMAID INC CMN	05/22/79	04/13/10	3,153	52,405.12	5,882.53	46,522.59	Long-Term
NEWELL RUBBERMAID INC CMN	05/25/79	04/13/10	3,153	52,405.12	5,851.03	46,554.09	Long-Term
NEWELL RUBBERMAID INC CMN	03/05/80	04/13/10	3,153	52,405.12	5,945.54	46,459.58	Long-Term
NEWELL RUBBERMAID INC CMN	03/07/80	04/13/10	3,153	52,405.12	5,819.53	46,585.59	Long-Term
NEWELL RUBBERMAID INC CMN	01/22/93	04/13/10	1,498	24,897.84	59,604.90	(34,707.06)	Long-Term
NEWELL RUBBERMAID INC CMN	02/05/93	04/13/10	473	7,861.60	19,947.00	(12,085.40)	Long-Term
NEWELL RUBBERMAID INC CMN	02/22/93	04/13/10	473	7,861.60	18,850.80	(10,989.20)	Long-Term
NEWELL RUBBERMAID INC CMN	03/01/93	04/13/10	552	9,174.64	22,584.80	(13,410.16)	Long-Term
EASTMAN KODAK COMPANY CMN	08/14/97	04/13/10	3,600	27,755.89	228,249.00	(200,493.11)	Long-Term
J M SMUCKER CO. CMN	01/22/93	04/13/10	40	2,495.96	393.06	2,102.90	Long-Term
J M SMUCKER CO. CMN	02/05/93	04/13/10	12	748.79	120.24	628.55	Long-Term
J M SMUCKER CO. CMN	03/24/93	04/13/10	12	748.79	118.84	629.95	Long-Term
EASTMAN KODAK COMPANY CMN	08/14/97	04/22/10	1,400	10,261.82	88,763.50	(78,501.68)	Long-Term
EASTMAN KODAK COMPANY CMN	09/16/97	04/22/10	2,000	14,659.75	115,930.00	(101,270.25)	Long-Term
EASTMAN KODAK COMPANY CMN	09/16/97	04/22/10	2,000	14,659.75	115,180.00	(100,520.25)	Long-Term
EASTMAN KODAK COMPANY CMN	09/16/97	04/22/10	400	2,931.95	24,261.64	(21,329.69)	Long-Term
EASTMAN KODAK COMPANY CMN	09/16/97	04/22/10	600	4,397.92	36,317.46	(31,919.54)	Long-Term
TOTAL LONG TERM CAPITAL GAINS/LOSSES:				\$ 2,503,950.42	\$ 1,180,410.79	\$ 1,323,539.63	

Realized Gains/Losses

Description	Trade Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss	Gain Type
LIBERTY MEDIA-STARZ SER A TRACKING STOCK	4/5/93	11/20/09	0 8	38 03	0	38 03	Long-Term
LIBERTY ENTERTAINMENT INC CMN CLASS A	4/5/93	11/20/09	0 8	25 53	0	25 53	Long-Term
DIRECTV CMN	4/5/93	11/25/09	0 99	31 8	0	31 80	Long-Term
AOL INC CMN	7/26/91	12/10/09	0 64	15 05	0	15 05	Long-Term
ASCENT MEDIA CORPORATION CMN SERIES A	12/3/02	4/13/10	129	3,697 64	868 69	2,828 95	Long-Term
ROVI CORPORATION CMN	9/17/01	4/13/10	722	27,293 37	206,954 29	(179,660 92)	Long-Term
MADISON SQUARE GARDEN, INC CMN CLASS A	6/18/97	4/13/10	334	7,329 83	43 95	7,285 88	Long-Term
WYNDHAM WORLDWIDE CORP CMN	4/20/01	4/13/10	32	834 34	1,092 42	(258 08)	Long-Term
AVIS BUDGET GROUP INC CMN	2/8/01	4/13/10	8	117 46	163 18	(45 72)	Long-Term
AVIS BUDGET GROUP INC CMN	8/18/00	4/13/10	18	264 28	351 51	(87 23)	Long-Term
DELL INC CMN	6/26/01	4/13/10	506	7,898 52	12,230 02	(4,331 50)	Long-Term
DELL INC CMN	6/26/01	4/13/10	659	10,286 82	15,783 05	(5,496 23)	Long-Term
DELL INC CMN	6/21/01	4/13/10	21	327 8	498 12	(170 32)	Long-Term
DELL INC CMN	6/20/01	4/13/10	129	2,013 66	2,974 74	(961 08)	Long-Term
DELL INC CMN	6/18/01	4/13/10	172	2,684 87	4,148 07	(1,463 20)	Long-Term
DELL INC CMN	6/15/01	4/13/10	28	437 07	698 6	(261 53)	Long-Term
DELL INC CMN	6/13/01	4/13/10	98	1,529 75	2,487 24	(957 49)	Long-Term
DELL INC CMN	6/12/01	4/13/10	269	4,199 02	6,828 24	(2,629 22)	Long-Term
DELL INC CMN	6/14/01	4/13/10	41	640	1,032 93	(392 93)	Long-Term
DELL INC CMN	6/13/01	4/13/10	276	4,308 29	7,217 40	(2,909 11)	Long-Term
DELL INC CMN	6/5/01	4/13/10	25	390 24	632	(241 76)	Long-Term
DELL INC CMN	6/1/01	4/13/10	32	499 51	783 04	(283 53)	Long-Term
DELL INC CMN	5/30/01	4/13/10	130	2,029 27	3,188 90	(1,159 63)	Long-Term
AVIS BUDGET GROUP INC CMN	9/19/01	4/13/10	4	58 73	70 61	(11 88)	Long-Term
DELL INC CMN	5/31/01	4/13/10	26	405 85	640 12	(234 27)	Long-Term
DELL INC CMN	6/7/01	4/13/10	172	2,684 87	4,410 32	(1,725 45)	Long-Term
AVIS BUDGET GROUP INC CMN	9/17/01	4/13/10	7	102 78	155 73	(52 95)	Long-Term
AVIS BUDGET GROUP INC CMN	9/6/01	4/13/10	4	58 73	101 1	(42 37)	Long-Term
AVIS BUDGET GROUP INC CMN	4/30/01	4/13/10	6	88 09	166 6	(78 51)	Long-Term
AVIS BUDGET GROUP INC CMN	4/25/01	4/13/10	14	205 55	361 91	(156 36)	Long-Term
AVIS BUDGET GROUP INC CMN	8/9/01	4/13/10	7	102 78	120 96	(120 96)	Long-Term
AVIS BUDGET GROUP INC CMN	8/1/01	4/13/10	10	146 82	299 39	(152 57)	Long-Term
AVIS BUDGET GROUP INC CMN	7/26/01	4/13/10	5	73 41	157 98	(84 57)	Long-Term
AVIS BUDGET GROUP INC CMN	4/27/01	4/13/10	3	44 05	84 91	(40 86)	Long-Term
AVIS BUDGET GROUP INC CMN	4/26/01	4/13/10	9	132 14	233 84	(101 70)	Long-Term
AVIS BUDGET GROUP INC CMN	4/26/01	4/13/10	43	631 34	1,188 42	(557 08)	Long-Term
AVIS BUDGET GROUP INC CMN	4/24/01	4/13/10	5	73 41	133 53	(60 12)	Long-Term
AVIS BUDGET GROUP INC CMN	4/20/01	4/13/10	16	234 92	421 17	(186 25)	Long-Term
AVIS BUDGET GROUP INC CMN	7/20/01	4/13/10	4	58 73	120 07	(61 34)	Long-Term
AVIS BUDGET GROUP INC CMN	4/19/01	4/13/10	103	1,512 28	2,713 23	(1,200 95)	Long-Term
AVIS BUDGET GROUP INC CMN	4/19/01	4/13/10	30	440 47	790 3	(349 83)	Long-Term
AVIS BUDGET GROUP INC CMN	4/19/01	4/13/10	9	132 14	237 51	(105 37)	Long-Term
AVIS BUDGET GROUP INC CMN	6/26/01	4/13/10	18	264 28	524 47	(260 19)	Long-Term
AVIS BUDGET GROUP INC CMN	6/22/01	4/13/10	7	102 78	199 57	(96 79)	Long-Term
AVIS BUDGET GROUP INC CMN	6/20/01	4/13/10	10	146 82	312 15	(165 33)	Long-Term
AVIS BUDGET GROUP INC CMN	4/19/01	4/13/10	61	895 62	1,625 91	(730 29)	Long-Term
AVIS BUDGET GROUP INC CMN	4/19/01	4/13/10	27	396 42	712 68	(316 26)	Long-Term
AVIS BUDGET GROUP INC CMN	5/16/01	4/13/10	3	44 05	85 62	(41 57)	Long-Term
AVIS BUDGET GROUP INC CMN	6/8/01	4/13/10	32	469 84	890 13	(420 29)	Long-Term
AVIS BUDGET GROUP INC CMN	5/21/01	4/13/10	1	14 68	36 58	(21 90)	Long-Term
AVIS BUDGET GROUP INC CMN	5/15/01	4/13/10	5	73 41	131 91	(58 50)	Long-Term
AVIS BUDGET GROUP INC CMN	5/14/01	4/13/10	6	88 09	163 66	(75 57)	Long-Term
AVIS BUDGET GROUP INC CMN	5/3/01	4/13/10	8	117 46	218 83	(101 37)	Long-Term
AVIS BUDGET GROUP INC CMN	5/11/01	4/13/10	3	44 05	81 22	(37 17)	Long-Term
AVIS BUDGET GROUP INC CMN	5/7/01	4/13/10	20	293 65	530 53	(236 88)	Long-Term
AVIS BUDGET GROUP INC CMN	5/4/01	4/13/10	5	73 41	140 04	(66 63)	Long-Term
AVIS BUDGET GROUP INC CMN	5/2/01	4/13/10	12	176 19	331 77	(155 58)	Long-Term
AVIS BUDGET GROUP INC CMN	4/30/01	4/13/10	31	455 15	843 98	(388 83)	Long-Term
AVIS BUDGET GROUP INC CMN	4/30/01	4/13/10	31	455 15	843 98	(388 83)	Long-Term
VERISIGN INC CMN	4/5/00	4/13/10	12	316 72	1,581 25	(1,264 53)	Long-Term
VERISIGN INC CMN	4/3/00	4/13/10	59	1,557 20	6,682 50	(5,125 30)	Long-Term
VERISIGN INC CMN	4/4/00	4/13/10	61	1,609 98	6,566 40	(4,956 42)	Long-Term
VERISIGN INC CMN	4/5/00	4/13/10	12	316 72	1,529 00	(1,212 28)	Long-Term
VERISIGN INC CMN	4/27/00	4/13/10	8	211 14	829 5	(618 36)	Long-Term
VERISIGN INC CMN	1/11/99	4/13/10	472	12,457 57	9,227 85	3,229 72	Long-Term
VERISIGN INC CMN	2/2/99	4/13/10	1,364	36,000 26	32,035 69	3,964 57	Long-Term
VERISIGN INC CMN	2/3/99	4/13/10	400	10,557 26	9,269 50	1,287 76	Long-Term
VERISIGN INC CMN	2/18/99	4/13/10	400	10,557 26	8,865 33	1,691 93	Long-Term
VERISIGN INC CMN	2/22/99	4/13/10	611	16,126 22	14,544 67	1,581 55	Long-Term
VERISIGN INC CMN	2/10/99	4/13/10	400	10,557 26	8,444 50	2,112 76	Long-Term

VERISIGN INC CMN	2/22/99	4/13/10	400	10,557 26	9,412 50	1,144 76	Long-Term
VERISIGN INC CMN	4/7/00	4/13/10	172	4,539 62	28,360 00	(23,820 38)	Long-Term
DELL INC CMN	7/20/01	4/13/10	30	468 29	823 5	(355 21)	Long-Term
DELL INC CMN	6/27/01	4/13/10	350	5,463 41	9,030 00	(3,566 59)	Long-Term
DELL INC CMN	7/6/01	4/13/10	484	7,555 11	12,430 62	(4,875 51)	Long-Term
DELL INC CMN	6/28/01	4/13/10	34	530.73	916 13	(385 40)	Long-Term
DELL INC CMN	7/18/01	4/13/10	279	4,355 12	7,714 35	(3,359 23)	Long-Term
DELL INC CMN	7/6/01	4/13/10	335	5,229 26	8,609 50	(3,380 24)	Long-Term
DELL INC CMN	7/18/01	4/13/10	186	2,903 41	5,124 30	(2,220 89)	Long-Term
DELL INC CMN	9/20/01	4/13/10	18	280 98	314 64	(33 66)	Long-Term
DELL INC CMN	8/8/01	4/13/10	70	1,092 68	1,860 83	(768 15)	Long-Term
DELL INC CMN	7/2/01	4/13/10	209	3,262 43	5,622 10	(2,359 67)	Long-Term
DELL INC CMN	7/2/01	4/13/10	837	13,065 35	22,540 41	(9,475 06)	Long-Term
DELL INC CMN	6/29/01	4/13/10	36	561 95	948 57	(386 62)	Long-Term
DELL INC CMN	9/19/01	4/13/10	73	1,139 51	1,373 86	(234 35)	Long-Term
DELL INC CMN	9/10/01	4/13/10	45	702 44	1,000 95	(298 51)	Long-Term
DELL INC CMN	8/17/01	4/13/10	75	1,170 73	1,737 38	(566 65)	Long-Term
DELL INC CMN	8/7/01	4/13/10	112	1,748 29	3,136 00	(1,387 71)	Long-Term
DELL INC CMN	7/26/01	4/13/10	38	593 17	1,032 08	(438 91)	Long-Term
DELL INC CMN	7/17/01	4/13/10	96	1,498 54	2,601 60	(1,103 06)	Long-Term
DELL INC CMN	7/20/01	4/13/10	93	1,451 70	2,552 85	(1,101 15)	Long-Term
DELL INC CMN	7/13/01	4/13/10	186	2,903 41	5,206 14	(2,302 73)	Long-Term
DELL INC CMN	7/9/01	4/13/10	74	1,155 12	2,001 70	(846 58)	Long-Term
DELL INC CMN	7/13/01	4/13/10	1,693	26,427 28	47,844 18	(21,416 90)	Long-Term
DELL INC CMN	7/11/01	4/13/10	149	2,325 85	3,899 33	(1,573 48)	Long-Term
DELL INC CMN	6/28/01	4/13/10	707	11,036 08	19,019 22	(7,983 14)	Long-Term
VERISIGN INC CMN	11/13/00	4/13/10	182	4,803 55	20,099 63	(15,296 08)	Long-Term
VERISIGN INC CMN	2/26/01	4/13/10	21	554 26	1,038 19	(483 93)	Long-Term
VERISIGN INC CMN	6/5/00	4/13/10	77	2,032 27	13,801 01	(11,768 74)	Long-Term
VERISIGN INC CMN	7/19/00	4/13/10	107	2,824 07	18,778 50	(15,954 43)	Long-Term
VERISIGN INC CMN	12/13/00	4/13/10	91	2,401 78	9,685 77	(7,283 99)	Long-Term
VERISIGN INC CMN	1/3/01	4/13/10	15	395 9	945	(549 10)	Long-Term
VERISIGN INC CMN	2/14/01	4/13/10	16	422 29	983	(560 71)	Long-Term
VERISIGN INC CMN	3/23/01	4/13/10	29	765 4	984 19	(218 79)	Long-Term
VERISIGN INC CMN	4/3/01	4/13/10	43	1,134 91	1,197 28	(62 37)	Long-Term
VERISIGN INC CMN	4/26/01	4/13/10	124	3,272 75	6,206 05	(2,933 30)	Long-Term
VERISIGN INC CMN	5/15/01	4/13/10	48	1,266 87	2,599 20	(1,332 33)	Long-Term
VERISIGN INC CMN	7/18/01	4/13/10	19	501 47	938 22	(436 75)	Long-Term
VERISIGN INC CMN	7/23/01	4/13/10	36	950 15	1,622 88	(672 73)	Long-Term
VERISIGN INC CMN	7/2/01	4/13/10	15	395 9	843 7	(447 80)	Long-Term
VERISIGN INC CMN	7/20/01	4/13/10	30	791 8	1,278 00	(486 20)	Long-Term
VERISIGN INC CMN	7/26/01	4/13/10	34	897 37	1,518 44	(621 07)	Long-Term
VERISIGN INC CMN	9/5/01	4/13/10	149	3,932 58	5,409 07	(1,476 49)	Long-Term
VERISIGN INC CMN	9/6/01	4/13/10	73	1,926 70	2,412 65	(485 95)	Long-Term
AOL INC CMN	7/26/91	4/13/10	2	56 22	0 329	55 89	Long-Term
AOL INC CMN	7/26/91	4/13/10	1	28 11	0 158	27 95	Long-Term
AOL INC CMN	7/26/91	4/13/10	3	84 33	0 652	83 68	Long-Term
AOL INC CMN	2/4/00	4/13/10	1	28 11	83 371	(55 26)	Long-Term
AOL INC CMN	2/4/00	4/13/10	1	28 11	141 171	(113 06)	Long-Term
AOL INC CMN	2/4/00	4/13/10	4	112 44	426 233	(313 79)	Long-Term
AOL INC CMN	2/3/00	4/13/10	4	112 44	402 417	(289 98)	Long-Term
AOL INC CMN	2/3/00	4/13/10	3	84 33	283 686	(199 36)	Long-Term
AOL INC CMN	5/3/00	4/13/10	7	196 77	673 089	(476 32)	Long-Term
AOL INC CMN	3/8/00	4/13/10	4	112 44	312 09	(199 65)	Long-Term
AOL INC CMN	5/5/00	4/13/10	1	28 11	59 854	(31 74)	Long-Term
AOL INC CMN	5/3/00	4/13/10	7	196 77	690 454	(493 68)	Long-Term
AOL INC CMN	5/3/00	4/13/10	2	56 22	187 657	(131 44)	Long-Term
AOL INC CMN	4/17/00	4/13/10	1	28 11	80 972	(52 86)	Long-Term
AOL INC CMN	4/7/00	4/13/10	6	168 66	652 936	(484 28)	Long-Term
AOL INC CMN	4/4/00	4/13/10	1	28 11	136 359	(108 25)	Long-Term
AOL INC CMN	3/1/00	4/13/10	4	112 44	325 793	(213 35)	Long-Term
AOL INC CMN	2/17/00	4/13/10	1	28 11	61 987	(33 88)	Long-Term
AOL INC CMN	2/8/00	4/13/10	3	84 33	318 611	(234 28)	Long-Term
AOL INC CMN	2/7/00	4/13/10	2	56 22	198 561	(142 34)	Long-Term
AOL INC CMN	10/6/00	4/13/10	2	56 22	221 5	(165 28)	Long-Term
AOL INC CMN	8/17/00	4/13/10	11	309 2	1,017 94	(708 74)	Long-Term
AOL INC CMN	2/7/00	4/13/10	1	28 11	134 589	(106 48)	Long-Term
AOL INC CMN	10/4/00	4/13/10	3	84 33	288 117	(203 79)	Long-Term
AOL INC CMN	7/19/00	4/13/10	18	505 97	1,746 64	(1,240 67)	Long-Term
AOL INC CMN	6/5/00	4/13/10	5	140 55	453 489	(312 94)	Long-Term
AOL INC CMN	5/18/00	4/13/10	2	56 22	150 315	(94 10)	Long-Term
AOL INC CMN	1/13/00	4/13/10	3	84 33	235 435	(151 11)	Long-Term
AOL INC CMN	5/24/00	4/13/10	2	56 22	161 687	(105 47)	Long-Term
AOL INC CMN	5/15/00	4/13/10	6	168 66	598 157	(429 50)	Long-Term
AOL INC CMN	1/13/00	4/13/10	11	309 2	925 37	(616 17)	Long-Term
AOL INC CMN	1/12/00	4/13/10	6	168 66	506 157	(337 50)	Long-Term
AOL INC CMN	1/13/00	4/13/10	1	28 11	75 426	(47 32)	Long-Term
AOL INC CMN	2/4/00	4/13/10	1	28 11	121 564	(93 45)	Long-Term
AOL INC CMN	2/4/00	4/13/10	2	56 22	206 005	(149 79)	Long-Term
AOL INC CMN	1/20/00	4/13/10	7	196 77	638 416	(441 65)	Long-Term

AOL INC CMN	1/14/00	4/13/10	1	28 11	78 239	(50 13) Long-Term
AOL INC CMN	1/12/00	4/13/10	7	196 77	599 659	(402 89) Long-Term
AOL INC CMN	1/10/00	4/13/10	21	590 3	2,150 43	(1,560 13) Long-Term
AOL INC CMN	2/8/00	4/13/10	3	84 33	319 014	(234 68) Long-Term
AOL INC CMN	2/4/00	4/13/10	7	196 77	620 281	(423 51) Long-Term
AOL INC CMN	7/26/91	4/13/10	1	28 11	0 158	27 95 Long-Term
AOL INC CMN	2/18/00	4/13/10	1	28 11	72 996	(44 89) Long-Term
AOL INC CMN	10/17/00	4/13/10	5	140 55	376 631	(236 08) Long-Term
AOL INC CMN	7/26/91	4/13/10	5	140 55	1 087	139 46 Long-Term
AOL INC CMN	9/10/99	4/13/10	1	28 11	67 377	(39 27) Long-Term
AOL INC CMN	7/26/91	4/13/10	1	28 11	0 178	27 93 Long-Term
AOL INC CMN	7/26/91	4/13/10	68	1,911 45	677 073	1,234 38 Long-Term
AOL INC CMN	7/26/91	4/13/10	1	28 11	0 369	27 74 Long-Term
AOL INC CMN	8/10/99	4/13/10	1	28 11	0 266	27 84 Long-Term
AOL INC CMN	7/26/91	4/13/10	1	28 11	0 168	27 94 Long-Term
AOL INC CMN	7/26/91	4/13/10	4	112 44	0 84	111 60 Long-Term
AOL INC CMN	7/26/91	4/13/10	1	28 11	0 266	27 84 Long-Term
AOL INC CMN	11/1/00	4/13/10	7	196 77	604 34	(407 57) Long-Term
AOL INC CMN	6/28/01	4/13/10	1	28 11	90 072	(61 96) Long-Term
AOL INC CMN	11/1/00	4/13/10	4	112 44	303 379	(190 94) Long-Term
AOL INC CMN	7/12/01	4/13/10	2	56 22	192 455	(136 24) Long-Term
AOL INC CMN	11/2/00	4/13/10	7	196 77	625 314	(428 54) Long-Term
AOL INC CMN	10/17/00	4/13/10	1	28 11	87 797	(59 69) Long-Term
AOL INC CMN	10/18/00	4/13/10	3	84 33	186 156	(101 83) Long-Term
AOL INC CMN	8/14/01	4/13/10	5	140 55	345 902	(205 35) Long-Term
AOL INC CMN	7/24/01	4/13/10	1	28 11	54 529	(26 42) Long-Term
AOL INC CMN	10/18/00	4/13/10	2	56 22	123 352	(67 13) Long-Term
AOL INC CMN	8/9/01	4/13/10	3	84 33	199 23	(114 90) Long-Term
AOL INC CMN	7/23/01	4/13/10	6	168 66	387 569	(218 91) Long-Term
AOL INC CMN	7/18/01	4/13/10	3	84 33	232 894	(148 56) Long-Term
AOL INC CMN	7/17/01	4/13/10	4	112 44	301 683	(189 24) Long-Term
AOL INC CMN	4/3/01	4/13/10	1	28 11	72 231	(44 12) Long-Term
AOL INC CMN	12/18/00	4/13/10	5	140 55	306 531	(165 98) Long-Term
AOL INC CMN	12/13/00	4/13/10	6	168 66	479 81	(311 15) Long-Term
AOL INC CMN	12/13/00	4/13/10	2	56 22	166 741	(110 52) Long-Term
AOL INC CMN	12/5/00	4/13/10	1	28 11	51 627	(23 52) Long-Term
AOL INC CMN	11/20/00	4/13/10	15	421 64	1,115 55	(693 91) Long-Term
AOL INC CMN	12/1/00	4/13/10	1	28 11	58 61	(30 50) Long-Term
AOL INC CMN	11/30/00	4/13/10	7	196 77	475 654	(278 88) Long-Term
AOL INC CMN	11/21/00	4/13/10	7	196 77	523 171	(326 40) Long-Term
AOL INC CMN	10/17/00	4/13/10	1	28 11	62 032	(33 92) Long-Term
AOL INC CMN	11/21/00	4/13/10	1	28 11	60 347	(32 24) Long-Term
AOL INC CMN	11/17/00	4/13/10	7	196 77	835 63	(638 86) Long-Term
NEWS CORPORATION CMN CLASS A	12/8/00	4/13/10	80	1,233 18	657 64	575 54 Long-Term
NEWS CORPORATION CMN CLASS A	12/1/00	4/13/10	80	1,233 18	625 95	607 23 Long-Term
NEWS CORPORATION CMN CLASS A	11/7/00	4/13/10	157	2,420 11	1,631 25	788 86 Long-Term
NEWS CORPORATION CMN CLASS A	11/3/00	4/13/10	310	4,778 57	3,132 25	1,646 32 Long-Term
NEWS CORPORATION CMN CLASS A	9/10/01	4/13/10	73	1,125 28	837 99	287 29 Long-Term
NEWS CORPORATION CMN CLASS A	12/18/00	4/13/10	35	539 52	315 35	224 17 Long-Term
NEWS CORPORATION CMN CLASS A	12/14/00	4/13/10	39	601 18	345 33	255 85 Long-Term
NEWS CORPORATION CMN CLASS A	12/13/00	4/13/10	532	8,200 64	4,662 11	3,538 53 Long-Term
NEWS CORPORATION CMN CLASS A	12/11/00	4/13/10	638	9,834 60	5,559 91	4,274 69 Long-Term
NEWS CORPORATION CMN CLASS A	11/30/00	4/13/10	31	477 86	239 81	238 05 Long-Term
NEWS CORPORATION CMN CLASS A	11/6/00	4/13/10	192	2,959 63	2,037 96	921 67 Long-Term
NEWS CORPORATION CMN CLASS A	12/8/00	4/13/10	430	6,628 34	3,490 00	3,138 34 Long-Term
NEWS CORPORATION CMN CLASS A	11/16/00	4/13/10	437	6,736 24	3,849 33	2,886 91 Long-Term
NEWS CORPORATION CMN CLASS A	11/2/00	4/13/10	224	3,452 90	2,449 36	1,003 54 Long-Term
WYNDHAM WORLDWIDE CORP CMN	2/14/00	4/13/10	39	1,016 86	1,311 48	(294 62) Long-Term
WYNDHAM WORLDWIDE CORP CMN	2/14/00	4/13/10	39	1,016 86	1,346 06	(329 20) Long-Term
WYNDHAM WORLDWIDE CORP CMN	4/19/01	4/13/10	18	469 32	616 05	(146 73) Long-Term
WYNDHAM WORLDWIDE CORP CMN	2/16/00	4/13/10	10	260 73	337 15	(76 42) Long-Term
WYNDHAM WORLDWIDE CORP CMN	2/14/00	4/13/10	22	573 61	775 35	(201 74) Long-Term
WYNDHAM WORLDWIDE CORP CMN	4/19/01	4/13/10	123	3,207 01	4,217 21	(1,010 20) Long-Term
WYNDHAM WORLDWIDE CORP CMN	4/19/01	4/13/10	53	1,381 88	1,848 51	(466 63) Long-Term
WYNDHAM WORLDWIDE CORP CMN	12/13/00	4/13/10	45	1,173 30	973 4	199 90 Long-Term
WYNDHAM WORLDWIDE CORP CMN	8/30/00	4/13/10	24	625 76	644 05	(18 29) Long-Term
WYNDHAM WORLDWIDE CORP CMN	2/8/01	4/13/10	16	417 17	423 24	(6 07) Long-Term
WYNDHAM WORLDWIDE CORP CMN	1/30/01	4/13/10	6	156 44	144 91	11 53 Long-Term
WYNDHAM WORLDWIDE CORP CMN	1/29/01	4/13/10	8	208 59	204 31	4 28 Long-Term
WYNDHAM WORLDWIDE CORP CMN	10/5/00	4/13/10	10	260 73	205 92	54 81 Long-Term
WYNDHAM WORLDWIDE CORP CMN	9/7/00	4/13/10	6	156 44	160 97	(4 53) Long-Term
WYNDHAM WORLDWIDE CORP CMN	8/31/00	4/13/10	3	78 22	88 27	(10 05) Long-Term
WYNDHAM WORLDWIDE CORP CMN	8/21/00	4/13/10	35	912 56	885 37	27 19 Long-Term
WYNDHAM WORLDWIDE CORP CMN	8/18/00	4/13/10	36	938 64	911 73	26 91 Long-Term
WYNDHAM WORLDWIDE CORP CMN	8/18/00	4/13/10	7	182 51	180 69	1 82 Long-Term
WYNDHAM WORLDWIDE CORP CMN	8/17/00	4/13/10	49	1,277 59	1,270 31	7 28 Long-Term
WYNDHAM WORLDWIDE CORP CMN	8/16/00	4/13/10	14	365 03	363 47	1 56 Long-Term
WYNDHAM WORLDWIDE CORP CMN	8/15/00	4/13/10	21	547 54	548 68	(1 14) Long-Term
WYNDHAM WORLDWIDE CORP CMN	8/16/00	4/13/10	71	1,851 20	1,829 37	21 83 Long-Term
WYNDHAM WORLDWIDE CORP CMN	8/16/00	4/13/10	2	52 15	46 51	5 64 Long-Term

WYNDHAM WORLDWIDE CORP CMN	8/15/00	4/13/10	56	1,460 10	1,476 31	(16 21) Long-Term
WYNDHAM WORLDWIDE CORP CMN	8/14/00	4/13/10	43	1,121 15	1,113 78	7 37 Long-Term
WYNDHAM WORLDWIDE CORP CMN	8/11/00	4/13/10	29	756 12	744 15	11 97 Long-Term
WYNDHAM WORLDWIDE CORP CMN	4/14/00	4/13/10	17	443 24	557 23	(113 99) Long-Term
WYNDHAM WORLDWIDE CORP CMN	8/14/00	4/13/10	14	365 03	370 42	(5 39) Long-Term
WYNDHAM WORLDWIDE CORP CMN	7/31/00	4/13/10	18	469 32	459	10 32 Long-Term
WYNDHAM WORLDWIDE CORP CMN	3/20/00	4/13/10	6	156 44	195 8	(39 36) Long-Term
WYNDHAM WORLDWIDE CORP CMN	3/14/00	4/13/10	128	3,337 38	4,552 20	(1,214 82) Long-Term
WYNDHAM WORLDWIDE CORP CMN	3/3/00	4/13/10	11	286 81	398 96	(112 15) Long-Term
WYNDHAM WORLDWIDE CORP CMN	3/1/00	4/13/10	4	104 29	137 01	(32 72) Long-Term
WYNDHAM WORLDWIDE CORP CMN	3/9/00	4/13/10	11	286 81	398 96	(112 15) Long-Term
WYNDHAM WORLDWIDE CORP CMN	3/9/00	4/13/10	26	677 9	909 89	(231 99) Long-Term
WYNDHAM WORLDWIDE CORP CMN	3/8/00	4/13/10	14	365 03	467 07	(102 04) Long-Term
WYNDHAM WORLDWIDE CORP CMN	3/2/00	4/13/10	8	208 59	266 32	(57 73) Long-Term
WYNDHAM WORLDWIDE CORP CMN	3/2/00	4/13/10	29	756 12	972 24	(216 12) Long-Term
WYNDHAM WORLDWIDE CORP CMN	3/2/00	4/13/10	6	156 44	195 8	(39 36) Long-Term
WYNDHAM WORLDWIDE CORP CMN	2/29/00	4/13/10	12	312 88	432 99	(120 11) Long-Term
WYNDHAM WORLDWIDE CORP CMN	2/29/00	4/13/10	5	130 37	165 54	(35 17) Long-Term
WYNDHAM WORLDWIDE CORP CMN	4/19/01	4/13/10	66	1,720 84	2,262 99	(542 15) Long-Term
WYNDHAM WORLDWIDE CORP CMN	2/28/00	4/13/10	8	208 59	263 14	(54 55) Long-Term
WYNDHAM WORLDWIDE CORP CMN	2/24/00	4/13/10	2	52 15	81 91	(29 76) Long-Term
WYNDHAM WORLDWIDE CORP CMN	2/23/00	4/13/10	23	599 68	789 95	(190 27) Long-Term
WYNDHAM WORLDWIDE CORP CMN	2/25/00	4/13/10	4	104 29	143 35	(39 06) Long-Term
WYNDHAM WORLDWIDE CORP CMN	2/24/00	4/13/10	7	182 51	223 62	(41 11) Long-Term
WYNDHAM WORLDWIDE CORP CMN	2/22/00	4/13/10	20	521 46	680 91	(159 45) Long-Term
WYNDHAM WORLDWIDE CORP CMN	2/22/00	4/13/10	16	417 17	527 6	(110 43) Long-Term
WYNDHAM WORLDWIDE CORP CMN	2/18/00	4/13/10	105	2,737 69	3,713 55	(975 86) Long-Term
WYNDHAM WORLDWIDE CORP CMN	2/18/00	4/13/10	52	1,355 81	1,870 10	(514 29) Long-Term
WYNDHAM WORLDWIDE CORP CMN	2/18/00	4/13/10	97	2,529 11	3,403 57	(874 46) Long-Term
WYNDHAM WORLDWIDE CORP CMN	2/18/00	4/13/10	52	1,355 81	1,853 24	(497 43) Long-Term
WYNDHAM WORLDWIDE CORP CMN	2/16/00	4/13/10	75	1,955 49	2,715 26	(759 77) Long-Term
CITADEL BROADCASTING CORP CMN	4/5/01	4/13/10	3	0 54	16 48	(15 94) Long-Term
CITADEL BROADCASTING CORP CMN	3/29/01	4/13/10	1	0 18	4 08	(3 90) Long-Term
CITADEL BROADCASTING CORP CMN	3/15/01	4/13/10	11	1 98	53 22	(51 24) Long-Term
CITADEL BROADCASTING CORP CMN	4/3/01	4/13/10	2	0 36	7 73	(7 37) Long-Term
CITADEL BROADCASTING CORP CMN	3/30/01	4/13/10	2	0 36	12 19	(11 83) Long-Term
CITADEL BROADCASTING CORP CMN	3/30/01	4/13/10	2	0 36	7 82	(7 46) Long-Term
CITADEL BROADCASTING CORP CMN	3/22/01	4/13/10	3	0 54	14 73	(14 19) Long-Term
CITADEL BROADCASTING CORP CMN	3/21/01	4/13/10	2	0 36	7 54	(7 18) Long-Term
CITADEL BROADCASTING CORP CMN	3/21/01	4/13/10	1	0 18	3 96	(3 78) Long-Term
CITADEL BROADCASTING CORP CMN	3/14/01	4/13/10	14	2 52	65 72	(63 20) Long-Term
CITADEL BROADCASTING CORP CMN	4/25/01	4/13/10	36	6 48	186 82	(180 34) Long-Term
CITADEL BROADCASTING CORP CMN	3/6/01	4/13/10	1	0 18	2 58	(2 40) Long-Term
CITADEL BROADCASTING CORP CMN	4/25/01	4/13/10	24	4 32	123 6	(119 28) Long-Term
CITADEL BROADCASTING CORP CMN	4/25/01	4/13/10	24	4 32	122 25	(117 93) Long-Term
CITADEL BROADCASTING CORP CMN	4/17/01	4/13/10	2	0 36	12 12	(11 76) Long-Term
CITADEL BROADCASTING CORP CMN	4/25/01	4/13/10	36	6 48	184 7	(178 22) Long-Term
CITADEL BROADCASTING CORP CMN	4/25/01	4/13/10	12	2 16	61 17	(59 01) Long-Term
CITADEL BROADCASTING CORP CMN	4/16/01	4/13/10	13	2 34	66 86	(64 52) Long-Term
WYNDHAM WORLDWIDE CORP CMN	4/26/01	4/13/10	17	443 24	606 53	(163 29) Long-Term
WYNDHAM WORLDWIDE CORP CMN	4/25/01	4/13/10	27	703 98	938 69	(234 71) Long-Term
CBS CORPORATION CMN CLASS B	5/15/01	4/13/10	24	367 44	1,006 38	(638 94) Long-Term
CBS CORPORATION CMN CLASS B	9/7/99	4/13/10	71	1,087 00	2,554 57	(1,467 57) Long-Term
CBS CORPORATION CMN CLASS B	10/12/00	4/13/10	80	1,224 79	3,119 84	(1,895 05) Long-Term
CBS CORPORATION CMN CLASS B	5/27/97	4/13/10	14	214 34	3 81	210 53 Long-Term
CBS CORPORATION CMN CLASS B	10/11/00	4/13/10	38	581 77	1,518 07	(934 30) Long-Term
CBS CORPORATION CMN CLASS B	4/18/01	4/13/10	18	275 58	725 04	(449 46) Long-Term
CBS CORPORATION CMN CLASS B	10/11/00	4/13/10	37	566 46	1,491 92	(925 46) Long-Term
CBS CORPORATION CMN CLASS B	9/27/00	4/13/10	99	1,515 67	4,463 29	(2,947 62) Long-Term
CBS CORPORATION CMN CLASS B	5/27/97	4/13/10	12	183 72	3 13	180 59 Long-Term
CBS CORPORATION CMN CLASS B	4/12/01	4/13/10	21	321 51	785 79	(464 28) Long-Term
CBS CORPORATION CMN CLASS B	4/5/01	4/13/10	60	918 59	2,003 15	(1,084 56) Long-Term
CBS CORPORATION CMN CLASS B	4/4/01	4/13/10	43	658 32	1,396 33	(738 01) Long-Term
CBS CORPORATION CMN CLASS B	4/4/01	4/13/10	9	137 79	284 62	(146 83) Long-Term
CBS CORPORATION CMN CLASS B	4/2/01	4/13/10	12	183 72	435 53	(251 81) Long-Term
CBS CORPORATION CMN CLASS B	9/3/99	4/13/10	50	765 49	1,778 15	(1,012 66) Long-Term
CBS CORPORATION CMN CLASS B	4/3/01	4/13/10	54	826 73	1,706 09	(879 36) Long-Term
CBS CORPORATION CMN CLASS B	3/30/01	4/13/10	11	168 41	364 27	(195 86) Long-Term
CBS CORPORATION CMN CLASS B	3/28/01	4/13/10	24	367 44	855 78	(488 34) Long-Term
CBS CORPORATION CMN CLASS B	3/22/01	4/13/10	11	168 41	331 81	(163 40) Long-Term
CBS CORPORATION CMN CLASS B	2/2/01	4/13/10	6	91 86	239 28	(147 42) Long-Term
CBS CORPORATION CMN CLASS B	3/22/01	4/13/10	31	474 6	987 86	(513 26) Long-Term
CBS CORPORATION CMN CLASS B	3/22/01	4/13/10	5	76 55	155 79	(79 24) Long-Term
CBS CORPORATION CMN CLASS B	10/19/00	4/13/10	76	1,163 55	3,077 84	(1,914 29) Long-Term
CBS CORPORATION CMN CLASS B	9/3/99	4/13/10	50	765 49	1,706 86	(941 37) Long-Term
CBS CORPORATION CMN CLASS B	10/16/00	4/13/10	19	290 89	744 61	(453 72) Long-Term
CBS CORPORATION CMN CLASS B	10/13/00	4/13/10	151	2,311 76	6,023 55	(3,711 76) Long-Term
CBS CORPORATION CMN CLASS B	10/19/00	4/13/10	113	1,730 01	4,568 02	(2,838 01) Long-Term
CBS CORPORATION CMN CLASS B	10/17/00	4/13/10	10	153 1	335 13	(182 03) Long-Term
CBS CORPORATION CMN CLASS B	10/17/00	4/13/10	19	290 89	677 32	(386 43) Long-Term

CBS CORPORATION CMN CLASS B	10/16/00	4/13/10	19	290 89	743 67	(452 78) Long-Term
CBS CORPORATION CMN CLASS B	10/16/00	4/13/10	37	566 46	1,462 20	(895 74) Long-Term
CBS CORPORATION CMN CLASS B	10/13/00	4/13/10	30	459 3	1,181 65	(722 35) Long-Term
WYNDHAM WORLDWIDE CORP CMN	9/19/01	4/13/10	8	208 59	183 15	25 44 Long-Term
WYNDHAM WORLDWIDE CORP CMN	4/26/01	4/13/10	86	2,242 30	3,082 46	(840 16) Long-Term
WYNDHAM WORLDWIDE CORP CMN	4/24/01	4/13/10	10	260 73	346 36	(85 63) Long-Term
WYNDHAM WORLDWIDE CORP CMN	9/17/01	4/13/10	15	391 1	403 91	(12 81) Long-Term
WYNDHAM WORLDWIDE CORP CMN	7/26/01	4/13/10	10	280 73	409 77	(149 24) Long-Term
WYNDHAM WORLDWIDE CORP CMN	6/20/01	4/13/10	21	547 54	809 63	(262 09) Long-Term
WYNDHAM WORLDWIDE CORP CMN	9/6/01	4/13/10	8	208 59	262 23	(53 64) Long-Term
WYNDHAM WORLDWIDE CORP CMN	8/9/01	4/13/10	15	391 1	580 32	(189 22) Long-Term
WYNDHAM WORLDWIDE CORP CMN	8/1/01	4/13/10	20	521 46	776 54	(255 08) Long-Term
WYNDHAM WORLDWIDE CORP CMN	7/20/01	4/13/10	7	182 51	311 44	(128 93) Long-Term
WYNDHAM WORLDWIDE CORP CMN	6/26/01	4/13/10	35	912 56	1,360 33	(447 77) Long-Term
WYNDHAM WORLDWIDE CORP CMN	6/22/01	4/13/10	13	338 95	517 62	(178 67) Long-Term
NEWS CORPORATION CMN CLASS A	11/10/98	4/13/10	45	693 66	9 43	684 23 Long-Term
NEWS CORPORATION CMN CLASS A	11/10/98	4/13/10	35	539 52	7 28	532 24 Long-Term
WYNDHAM WORLDWIDE CORP CMN	6/8/01	4/13/10	64	1,668 69	2,308 77	(640 08) Long-Term
WYNDHAM WORLDWIDE CORP CMN	5/21/01	4/13/10	3	78 22	94 88	(16 66) Long-Term
WYNDHAM WORLDWIDE CORP CMN	5/16/01	4/13/10	6	156 44	222 07	(65 63) Long-Term
WYNDHAM WORLDWIDE CORP CMN	5/15/01	4/13/10	10	260 73	342 14	(81 41) Long-Term
NEWS CORPORATION CMN CLASS A	11/10/98	4/13/10	71	1,094 45	15	1,079 45 Long-Term
NEWS CORPORATION CMN CLASS A	11/10/98	4/13/10	86	1,325 67	18	1,307 67 Long-Term
NEWS CORPORATION CMN CLASS A	10/16/00	4/13/10	153	2,358 46	1,562 81	795 65 Long-Term
NEWS CORPORATION CMN CLASS A	10/19/99	4/13/10	306	4,716 91	3,121 95	1,594 96 Long-Term
WYNDHAM WORLDWIDE CORP CMN	5/11/01	4/13/10	6	156 44	210 65	(54 21) Long-Term
WYNDHAM WORLDWIDE CORP CMN	5/4/01	4/13/10	10	260 73	363 24	(102 51) Long-Term
WYNDHAM WORLDWIDE CORP CMN	5/14/01	4/13/10	13	338 95	424 5	(85 55) Long-Term
WYNDHAM WORLDWIDE CORP CMN	5/7/01	4/13/10	39	1,016 86	1,376 07	(359 21) Long-Term
NEWS CORPORATION CMN CLASS A	8/18/99	4/13/10	1,044	16,092 99	11,934 72	4,158 27 Long-Term
NEWS CORPORATION CMN CLASS A	10/6/00	4/13/10	155	2,389 28	1,728 81	660 47 Long-Term
NEWS CORPORATION CMN CLASS A	8/18/99	4/13/10	1,371	21,133 61	15,496 32	5,637 29 Long-Term
NEWS CORPORATION CMN CLASS A	8/18/99	4/13/10	518	7,984 84	5,857 24	2,127 60 Long-Term
WYNDHAM WORLDWIDE CORP CMN	5/3/01	4/13/10	16	417 17	567 6	(150 43) Long-Term
WYNDHAM WORLDWIDE CORP CMN	5/2/01	4/13/10	25	651 83	860 53	(208 70) Long-Term
WYNDHAM WORLDWIDE CORP CMN	4/30/01	4/13/10	62	1,616 54	2,189 06	(572 52) Long-Term
WYNDHAM WORLDWIDE CORP CMN	4/30/01	4/13/10	12	312 88	432 11	(119 23) Long-Term
NEWS CORPORATION CMN CLASS A	1/19/99	4/13/10	1,181	18,204 81	13,785 99	4,418 82 Long-Term
NEWS CORPORATION CMN CLASS A	11/11/98	4/13/10	37	570 34	7 3	563 04 Long-Term
NEWS CORPORATION CMN CLASS A	11/11/98	4/13/10	18	277 46	3 65	273 81 Long-Term
NEWS CORPORATION CMN CLASS A	1/15/99	4/13/10	557	8,586 01	6,499 94	2,086 07 Long-Term
WYNDHAM WORLDWIDE CORP CMN	4/30/01	4/13/10	62	1,616 54	2,189 06	(572 52) Long-Term
WYNDHAM WORLDWIDE CORP CMN	4/19/01	4/13/10	137	3,572 04	4,695 89	(1,123 65) Long-Term
WYNDHAM WORLDWIDE CORP CMN	4/27/01	4/13/10	6	156 44	220 25	(63 81) Long-Term
WYNDHAM WORLDWIDE CORP CMN	4/19/01	4/13/10	205	5,345 02	7,037 45	(1,692 43) Long-Term
NEWS CORPORATION CMN CLASS A	11/11/98	4/13/10	71	1,094 45	14 19	1,080 26 Long-Term
NEWS CORPORATION CMN CLASS A	11/11/98	4/13/10	37	570 35	7 3	563 05 Long-Term
NEWS CORPORATION CMN CLASS A	11/1/00	4/13/10	55	847 81	582 12	265 69 Long-Term
NEWS CORPORATION CMN CLASS A	11/11/98	4/13/10	143	2,204 31	28 39	2,175 92 Long-Term
CITADEL BROADCASTING CORP CMN	9/20/01	4/13/10	9	1 62	21 75	(20 13) Long-Term
CITADEL BROADCASTING CORP CMN	9/17/01	4/13/10	1	0 18	4 22	(4 04) Long-Term
WYNDHAM WORLDWIDE CORP CMN	4/19/01	4/13/10	59	1,538 32	2,049 85	(511 53) Long-Term
CITADEL BROADCASTING CORP CMN	5/4/01	4/13/10	3	0 54	17 88	(17 34) Long-Term
NEWS CORPORATION CMN CLASS A	11/11/98	4/13/10	18	277 46	3 65	273 81 Long-Term
NEWS CORPORATION CMN CLASS A	11/11/98	4/13/10	265	4,084 91	52 72	4,032 19 Long-Term
NEWS CORPORATION CMN CLASS A	11/11/98	4/13/10	430	6,628 34	85 56	6,542 78 Long-Term
NEWS CORPORATION CMN CLASS A	11/11/98	4/13/10	5,671	87,416 98	53,012 00	34,404 98 Long-Term
CBS CORPORATION CMN CLASS B	10/13/00	4/13/10	79	1,209 48	3,082 74	(1,873 26) Long-Term
CBS CORPORATION CMN CLASS B	9/2/99	4/13/10	46	704 25	1,518 91	(814 66) Long-Term
CBS CORPORATION CMN CLASS B	5/27/97	4/13/10	13	199 03	3 29	195 74 Long-Term
PHH CORPORATION CMN	4/30/01	4/13/10	16	385 33	253 18	132 15 Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	106	1,622 84	27 66	1,595 18 Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	18	275 58	4 7	270 88 Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	5	76 55	1 3	75 25 Long-Term
PHH CORPORATION CMN	2/28/00	4/13/10	2	48 17	30 43	17 74 Long-Term
PHH CORPORATION CMN	4/24/01	4/13/10	3	72 25	40 06	32 19 Long-Term
PHH CORPORATION CMN	4/27/01	4/13/10	2	48 17	25 47	22 70 Long-Term
PHH CORPORATION CMN	4/26/01	4/13/10	22	529 82	356 51	173 31 Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	19	290 89	4 96	285 93 Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	14	214 34	3 52	210 82 Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	18	275 58	4 7	270 88 Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	15	229 65	4 05	225 60 Long-Term
PHH CORPORATION CMN	4/26/01	4/13/10	4	96 33	70 15	26 18 Long-Term
PHH CORPORATION CMN	4/25/01	4/13/10	7	168 58	108 57	60 01 Long-Term
PHH CORPORATION CMN	4/19/01	4/13/10	52	1,252 31	813 94	438 37 Long-Term
PHH CORPORATION CMN	4/19/01	4/13/10	13	313 08	213 8	99 28 Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	21	321 51	5 48	316 03 Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	5	76 55	1 3	75 25 Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	8	122 48	2 09	120 39 Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	625	9,568 65	163 22	9,405 43 Long-Term

PHH CORPORATION CMN	4/19/01	4/13/10	17	409 41	261 73	147 68	Long-Term
PHH CORPORATION CMN	4/19/01	4/13/10	4	96 33	71 25	25 08	Long-Term
PHH CORPORATION CMN	1/30/01	4/13/10	1	24 08	16 76	7 32	Long-Term
PHH CORPORATION CMN	12/13/00	4/13/10	11	264 91	112 58	152 33	Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	13	199 03	3 39	195 64	Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	209	3,199 76	54 41	3,145 35	Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	11	168 41	2 74	165 67	Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	10	153 1	2 74	150 36	Long-Term
PHH CORPORATION CMN	8/17/00	4/13/10	12	288 99	146 92	142 07	Long-Term
PHH CORPORATION CMN	8/11/00	4/13/10	7	168 58	86 07	82 51	Long-Term
PHH CORPORATION CMN	9/7/00	4/13/10	2	48 16	18 62	29 54	Long-Term
PHH CORPORATION CMN	8/30/00	4/13/10	6	144 5	74 49	70 01	Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	55	842 04	14 29	827 75	Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	55	842 04	14 29	827 75	Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	9	137 79	2 41	135 38	Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	11	168 41	2 83	165 58	Long-Term
PHH CORPORATION CMN	8/18/00	4/13/10	9	216 75	105 45	111 30	Long-Term
PHH CORPORATION CMN	8/16/00	4/13/10	3	72 25	42 04	30 21	Long-Term
PHH CORPORATION CMN	8/15/00	4/13/10	5	120 41	63 46	56 95	Long-Term
PHH CORPORATION CMN	8/14/00	4/13/10	11	264 91	128 82	136 09	Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	27	413 37	7 08	406 29	Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	96	1,469 74	24 91	1,444 83	Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	136	2,082 14	35 67	2,046 47	Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	40	612 39	10 62	601 77	Long-Term
PHH CORPORATION CMN	4/14/00	4/13/10	4	96 33	64 45	31 88	Long-Term
PHH CORPORATION CMN	3/14/00	4/13/10	32	770 65	526 5	244 15	Long-Term
PHH CORPORATION CMN	3/9/00	4/13/10	6	144 5	105 24	39 26	Long-Term
PHH CORPORATION CMN	3/3/00	4/13/10	3	72 25	46 14	26 11	Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	41	627 7	10 62	617 08	Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	44	673 63	11 32	662 31	Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	697	10,670 96	8,550 79	2,120 17	Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	7	107 17	1 98	105 19	Long-Term
PHH CORPORATION CMN	3/1/00	4/13/10	1	24 08	15 85	8 23	Long-Term
PHH CORPORATION CMN	4/20/01	4/13/10	8	192 66	126 35	66 31	Long-Term
PHH CORPORATION CMN	3/2/00	4/13/10	7	168 58	112 45	56 13	Long-Term
PHH CORPORATION CMN	2/29/00	4/13/10	1	24 08	19 15	4 93	Long-Term
NEWS CORPORATION CMN CLASS A	11/10/98	4/13/10	69	1,063 62	14 57	1,049 05	Long-Term
NEWS CORPORATION CMN CLASS A	10/27/00	4/13/10	77	1,186 94	826 41	360 53	Long-Term
NEWS CORPORATION CMN CLASS A	11/1/00	4/13/10	277	4,269 88	3,000 16	1,269 72	Long-Term
NEWS CORPORATION CMN CLASS A	11/10/98	4/13/10	94	1,448 98	19 71	1,429 27	Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	12	183 72	3 11	180 61	Long-Term
VIACOM INC CMN CLASS B	4/12/01	4/13/10	21	748 44	1,197 03	(448 59)	Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	15	229 65	3 96	225 69	Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	29	443 98	7 5	436 48	Long-Term
PHH CORPORATION CMN	4/19/01	4/13/10	34	818 82	543 12	275 70	Long-Term
PHH CORPORATION CMN	4/19/01	4/13/10	15	361 24	237 08	124 16	Long-Term
PHH CORPORATION CMN	2/8/01	4/13/10	4	96 33	48 95	47 38	Long-Term
PHH CORPORATION CMN	10/5/00	4/13/10	2	48 17	23 82	24 35	Long-Term
CITADEL BROADCASTING CORP CMN	8/1/01	4/13/10	19	3 42	87 18	(83 76)	Long-Term
CITADEL BROADCASTING CORP CMN	9/20/01	4/13/10	11	1 98	30 43	(28 45)	Long-Term
CITADEL BROADCASTING CORP CMN	9/19/01	4/13/10	7	1 26	21 86	(20 60)	Long-Term
CITADEL BROADCASTING CORP CMN	9/17/01	4/13/10	3	0 54	9 53	(8 99)	Long-Term
VIACOM INC CMN CLASS B	3/22/01	4/13/10	11	392 04	505 46	(113 42)	Long-Term
VIACOM INC CMN CLASS B	3/22/01	4/13/10	5	178 2	237 31	(59 11)	Long-Term
VIACOM INC CMN CLASS B	10/19/00	4/13/10	76	2,708 66	4,688 60	(1,979 94)	Long-Term
VIACOM INC CMN CLASS B	10/17/00	4/13/10	10	356 4	510 51	(154 11)	Long-Term
PHH CORPORATION CMN	4/19/01	4/13/10	31	746 57	487 76	258 81	Long-Term
PHH CORPORATION CMN	1/29/01	4/13/10	2	48 17	23 63	24 54	Long-Term
PHH CORPORATION CMN	8/31/00	4/13/10	1	24 08	10 21	13 87	Long-Term
PHH CORPORATION CMN	8/21/00	4/13/10	9	216 75	102 4	114 35	Long-Term
CITADEL BROADCASTING CORP CMN	9/10/01	4/13/10	3	0 54	11 25	(10 71)	Long-Term
CITADEL BROADCASTING CORP CMN	9/7/01	4/13/10	3	0 54	12 09	(11 55)	Long-Term
CITADEL BROADCASTING CORP CMN	9/6/01	4/13/10	9	1 62	37 85	(36 23)	Long-Term
CITADEL BROADCASTING CORP CMN	8/17/01	4/13/10	3	0 54	12 98	(12 44)	Long-Term
VIACOM INC CMN CLASS B	2/2/01	4/13/10	6	213 84	364 51	(150 67)	Long-Term
VIACOM INC CMN CLASS B	10/19/00	4/13/10	113	4,027 34	6,958 65	(2,931 31)	Long-Term
VIACOM INC CMN CLASS B	10/17/00	4/13/10	19	677 16	1,031 78	(354 62)	Long-Term
VIACOM INC CMN CLASS B	4/5/01	4/13/10	60	2,138 41	3,051 49	(913 08)	Long-Term
PHH CORPORATION CMN	8/14/00	4/13/10	4	96 33	42 84	53 49	Long-Term
PHH CORPORATION CMN	3/8/00	4/13/10	4	96 33	54 02	42 31	Long-Term
PHH CORPORATION CMN	8/18/00	4/13/10	2	48 17	20 9	27 27	Long-Term
PHH CORPORATION CMN	8/16/00	4/13/10	18	433 49	211 58	221 91	Long-Term
CITADEL BROADCASTING CORP CMN	8/13/01	4/13/10	3	0 54	13 48	(12 94)	Long-Term
CITADEL BROADCASTING CORP CMN	8/6/01	4/13/10	1	0 18	6 75	(6 57)	Long-Term
CITADEL BROADCASTING CORP CMN	8/17/01	4/13/10	1	0 18	6 46	(6 28)	Long-Term
CITADEL BROADCASTING CORP CMN	8/8/01	4/13/10	3	0 54	11 79	(11 25)	Long-Term
VIACOM INC CMN CLASS B	5/15/01	4/13/10	24	855 36	1,533 06	(677 70)	Long-Term
VIACOM INC CMN CLASS B	3/28/01	4/13/10	24	855 36	1,303 65	(448 29)	Long-Term
VIACOM INC CMN CLASS B	4/4/01	4/13/10	43	1,532 53	2,127 09	(594 56)	Long-Term
VIACOM INC CMN CLASS B	4/4/01	4/13/10	9	320 76	433 58	(112 82)	Long-Term

PHH CORPORATION CMN	8/15/00	4/13/10	14	337 16	170 75	166 41	Long-Term
PHH CORPORATION CMN	7/31/00	4/13/10	5	120 41	53 09	67 32	Long-Term
PHH CORPORATION CMN	3/20/00	4/13/10	1	24 08	22 65	1 43	Long-Term
PHH CORPORATION CMN	3/9/00	4/13/10	3	72 25	46 14	26 11	Long-Term
CITADEL BROADCASTING CORP CMN	4/25/01	4/13/10	24	4 32	122 88	(118 56)	Long-Term
AMERIPRISE FINANCIAL, INC CMN	6/7/01	4/13/10	5	236 7	126 93	109 77	Long-Term
AMERIPRISE FINANCIAL, INC CMN	1/26/01	4/13/10	19	899 44	560 49	338 95	Long-Term
AMERIPRISE FINANCIAL, INC CMN	1/23/01	4/13/10	43	2,035 58	1,119 10	764 48	Long-Term
VIACOM INC CMN CLASS B	4/3/01	4/13/10	54	1,924 57	2,598 95	(674 38)	Long-Term
VIACOM INC CMN CLASS B	4/18/01	4/13/10	18	641 52	1,104 48	(462 96)	Long-Term
VIACOM INC CMN CLASS B	4/2/01	4/13/10	12	427 68	663 47	(235 79)	Long-Term
VIACOM INC CMN CLASS B	3/30/01	4/13/10	11	392 04	554 9	(162 86)	Long-Term
PHH CORPORATION CMN	3/2/00	4/13/10	2	48 17	30 8	17 37	Long-Term
PHH CORPORATION CMN	3/2/00	4/13/10	1	24 08	22 65	1 43	Long-Term
PHH CORPORATION CMN	2/29/00	4/13/10	3	72 25	50 08	22 17	Long-Term
PHH CORPORATION CMN	4/30/01	4/13/10	15	361 24	253 18	108 06	Long-Term
AMERIPRISE FINANCIAL, INC CMN	1/26/01	4/13/10	38	1,798 89	1,119 49	679 40	Long-Term
AMERIPRISE FINANCIAL, INC CMN	1/24/01	4/13/10	19	899 44	563 48	335 96	Long-Term
AMERIPRISE FINANCIAL, INC CMN	1/22/01	4/13/10	15	710 09	431 56	278 53	Long-Term
AMERIPRISE FINANCIAL, INC CMN	1/22/01	4/13/10	8	378 71	218 58	160 13	Long-Term
VIACOM INC CMN CLASS B	3/22/01	4/13/10	31	1,104 85	1,504 84	(399 99)	Long-Term
PHH CORPORATION CMN	2/24/00	4/13/10	2	48 17	25 86	22 31	Long-Term
PHH CORPORATION CMN	2/24/00	4/13/10	1	24 08	9 47	14 61	Long-Term
PHH CORPORATION CMN	2/23/00	4/13/10	6	144 5	91 36	53 14	Long-Term
PHH CORPORATION CMN	2/25/00	4/13/10	1	24 08	16 58	7 50	Long-Term
AMERIPRISE FINANCIAL, INC CMN	4/17/01	4/13/10	14	662 75	349 44	313 31	Long-Term
AMERIPRISE FINANCIAL, INC CMN	3/22/01	4/13/10	83	3,929 15	1,778 52	2,150 63	Long-Term
AMERIPRISE FINANCIAL, INC CMN	5/2/01	4/13/10	3	142 02	85 14	56 88	Long-Term
AMERIPRISE FINANCIAL, INC CMN	4/26/01	4/13/10	9	426 05	221 67	204 38	Long-Term
PHH CORPORATION CMN	2/22/00	4/13/10	5	120 41	78 75	41 66	Long-Term
PHH CORPORATION CMN	2/18/00	4/13/10	13	313 08	216 29	96 79	Long-Term
PHH CORPORATION CMN	2/22/00	4/13/10	4	96 33	61 02	35 31	Long-Term
PHH CORPORATION CMN	2/18/00	4/13/10	26	626 16	429 5	196 66	Long-Term
AMERIPRISE FINANCIAL, INC CMN	4/18/01	4/13/10	38	1,798 89	1,025 83	773 06	Long-Term
AMERIPRISE FINANCIAL, INC CMN	2/26/01	4/13/10	10	473 39	285 21	188 18	Long-Term
AMERIPRISE FINANCIAL, INC CMN	3/22/01	4/13/10	28	1,325 50	606 26	719 24	Long-Term
AMERIPRISE FINANCIAL, INC CMN	9/19/01	4/13/10	3	142 02	58 79	83 23	Long-Term
PHH CORPORATION CMN	2/16/00	4/13/10	19	457 58	314 04	143 54	Long-Term
PHH CORPORATION CMN	2/14/00	4/13/10	5	120 41	89 68	30 73	Long-Term
PHH CORPORATION CMN	2/18/00	4/13/10	13	313 08	214 34	98 74	Long-Term
PHH CORPORATION CMN	2/14/00	4/13/10	10	240 83	155 68	85 15	Long-Term
AMERIPRISE FINANCIAL, INC CMN	9/4/01	4/13/10	4	189 36	81 64	107 72	Long-Term
AMERIPRISE FINANCIAL, INC CMN	3/22/01	4/13/10	21	994 12	449 8	544 32	Long-Term
AMERIPRISE FINANCIAL, INC CMN	8/17/01	4/13/10	8	378 71	178 79	199 92	Long-Term
AMERIPRISE FINANCIAL, INC CMN	3/21/01	4/13/10	27	1,278 16	588 24	689 92	Long-Term
PHH CORPORATION CMN	2/18/00	4/13/10	24	577 99	393 65	184 34	Long-Term
PHH CORPORATION CMN	2/16/00	4/13/10	2	48 17	38 99	9 18	Long-Term
PHH CORPORATION CMN	2/14/00	4/13/10	10	240 83	151 69	89 14	Long-Term
CBS CORPORATION CMN CLASS B	5/27/97	4/13/10	10	153 1	2 5	150 60	Long-Term
AMERIPRISE FINANCIAL, INC CMN	3/21/01	4/13/10	2	94 68	43 66	51 02	Long-Term
AMERIPRISE FINANCIAL, INC CMN	6/1/01	4/13/10	7	331 37	183 99	147 38	Long-Term
AMERIPRISE FINANCIAL, INC CMN	8/16/01	4/13/10	15	710 09	354 59	355 50	Long-Term
AMERIPRISE FINANCIAL, INC CMN	7/6/01	4/13/10	4	189 36	90 84	98 52	Long-Term
AMERIPRISE FINANCIAL, INC CMN	3/9/01	4/13/10	15	710 09	407 49	302 60	Long-Term
AMERIPRISE FINANCIAL, INC CMN	6/12/01	4/13/10	5	236 7	133 49	103 21	Long-Term
AMERIPRISE FINANCIAL, INC CMN	2/22/01	4/13/10	3	142 02	91 62	50 40	Long-Term
AMERIPRISE FINANCIAL, INC CMN	2/14/01	4/13/10	13	615 41	380 68	234 73	Long-Term
NEWS CORPORATION CMN CLASS A	11/10/98	4/13/10	90	1,387 33	18 86	1,368 47	Long-Term
NEWS CORPORATION CMN CLASS A	10/26/00	4/13/10	110	1,695 62	1,158 17	537 45	Long-Term
NEWS CORPORATION CMN CLASS A	10/25/00	4/13/10	579	8,925 13	5,910 04	3,015 09	Long-Term
NEWS CORPORATION CMN CLASS A	10/25/00	4/13/10	304	4,686 08	3,063 78	1,622 30	Long-Term
AMERIPRISE FINANCIAL, INC CMN	2/28/01	4/13/10	4	189 36	115 35	74 01	Long-Term
AMERIPRISE FINANCIAL, INC CMN	5/15/01	4/13/10	10	473 39	252 41	220 98	Long-Term
AMERIPRISE FINANCIAL, INC CMN	2/6/01	4/13/10	6	284 04	166 83	117 21	Long-Term
AMERIPRISE FINANCIAL, INC CMN	2/1/01	4/13/10	10	473 39	296 6	176 79	Long-Term
NEWS CORPORATION CMN CLASS A	10/16/00	4/13/10	78	1,202 35	819 28	383 07	Long-Term
NEWS CORPORATION CMN CLASS A	10/25/00	4/13/10	116	1,788 11	1,180 23	607 88	Long-Term
NEWS CORPORATION CMN CLASS A	10/16/00	4/13/10	78	1,202 35	791 97	410 38	Long-Term
NEWS CORPORATION CMN CLASS A	4/17/00	4/13/10	196	3,021 29	2,291 87	729 42	Long-Term
AVIS BUDGET GROUP INC CMN	1/30/01	4/13/10	3	44 05	55 87	(11 82)	Long-Term
AVIS BUDGET GROUP INC CMN	8/16/00	4/13/10	7	102 78	140 13	(37 35)	Long-Term
AMERIPRISE FINANCIAL, INC CMN	1/29/01	4/13/10	59	2,793 01	1,749 94	1,043 07	Long-Term
AVIS BUDGET GROUP INC CMN	2/14/00	4/13/10	19	278 96	505 63	(226 67)	Long-Term
NEWS CORPORATION CMN CLASS A	9/30/99	4/13/10	204	3,144 61	2,124 75	1,019 86	Long-Term
NEWS CORPORATION CMN CLASS A	8/17/99	4/13/10	204	3,144 61	2,331 00	813 61	Long-Term
NEWS CORPORATION CMN CLASS A	11/11/98	4/13/10	53	816 98	10 54	806 44	Long-Term
NEWS CORPORATION CMN CLASS A	10/25/00	4/13/10	78	1,202 35	788 41	413 94	Long-Term
AVIS BUDGET GROUP INC CMN	2/14/00	4/13/10	19	278 96	518 96	(240 00)	Long-Term
AVIS BUDGET GROUP INC CMN	8/18/00	4/13/10	4	58 73	69 66	(10 93)	Long-Term
AVIS BUDGET GROUP INC CMN	8/17/00	4/13/10	25	367 06	489 76	(122 70)	Long-Term

AVIS BUDGET GROUP INC CMN	8/16/00	4/13/10	35	513 88	705 3	(191 42) Long-Term
NEWS CORPORATION CMN CLASS A	8/18/99	4/13/10	1,059	16,324 21	12,020 04	4,304 17 Long-Term
NEWS CORPORATION CMN CLASS A	8/18/99	4/13/10	1,059	16,324 21	12,143 30	4,180 91 Long-Term
NEWS CORPORATION CMN CLASS A	1/15/99	4/13/10	296	4,562 76	3,434 33	1,128 43 Long-Term
NEWS CORPORATION CMN CLASS A	11/11/98	4/13/10	90	1,387 33	17 84	1,369 49 Long-Term
AVIS BUDGET GROUP INC CMN	8/16/00	4/13/10	1	14 68	17 93	(3 25) Long-Term
AVIS BUDGET GROUP INC CMN	8/15/00	4/13/10	11	161 51	211 54	(50 03) Long-Term
AVIS BUDGET GROUP INC CMN	8/15/00	4/13/10	28	411 11	569 18	(158 07) Long-Term
AVIS BUDGET GROUP INC CMN	8/14/00	4/13/10	21	308 33	429 41	(121 08) Long-Term
NEWS CORPORATION CMN CLASS A	11/11/98	4/13/10	37	570 35	7 3	563 05 Long-Term
NEWS CORPORATION CMN CLASS A	11/11/98	4/13/10	37	570 34	7 3	563 04 Long-Term
NEWS CORPORATION CMN CLASS A	11/11/98	4/13/10	63	971 13	12 57	958 56 Long-Term
NEWS CORPORATION CMN CLASS A	11/11/98	4/13/10	18	277 46	3 65	273 81 Long-Term
AVIS BUDGET GROUP INC CMN	8/11/00	4/13/10	14	205 55	286 9	(81 35) Long-Term
AVIS BUDGET GROUP INC CMN	7/31/00	4/13/10	9	132 14	176 96	(44 82) Long-Term
AVIS BUDGET GROUP INC CMN	8/14/00	4/13/10	7	102 78	142 81	(40 03) Long-Term
AVIS BUDGET GROUP INC CMN	1/29/01	4/13/10	4	58 73	78 77	(20 04) Long-Term
NEWS CORPORATION CMN CLASS A	11/11/98	4/13/10	428	6,597 51	85 15	6,512 36 Long-Term
NEWS CORPORATION CMN CLASS A	11/11/98	4/13/10	45	693 66	8 92	684 74 Long-Term
NEWS CORPORATION CMN CLASS A	11/10/98	4/13/10	35	539 52	7 29	532 23 Long-Term
NEWS CORPORATION CMN CLASS A	11/11/98	4/13/10	392	6,042 58	77 86	5,964 72 Long-Term
AVIS BUDGET GROUP INC CMN	4/14/00	4/13/10	9	132 14	214 84	(82 70) Long-Term
AVIS BUDGET GROUP INC CMN	3/20/00	4/13/10	3	44 05	75 49	(31 44) Long-Term
AVIS BUDGET GROUP INC CMN	3/9/00	4/13/10	6	88 09	153 81	(65 72) Long-Term
AVIS BUDGET GROUP INC CMN	3/8/00	4/13/10	7	102 78	180 08	(77 30) Long-Term
NEWS CORPORATION CMN CLASS A	11/10/98	4/13/10	8	123 32	1 71	121 61 Long-Term
NEWS CORPORATION CMN CLASS A	11/10/98	4/13/10	18	277 46	3 86	273 60 Long-Term
NEWS CORPORATION CMN CLASS A	11/10/98	4/13/10	35	539 52	7 29	532 23 Long-Term
MOTOROLA INC CMN	11/7/00	4/13/10	31	229 09	665 81	(436 72) Long-Term
VIACOM INC CMN CLASS B	10/16/00	4/13/10	19	677 16	1,134 30	(457 14) Long-Term
VIACOM INC CMN CLASS B	10/16/00	4/13/10	19	677 16	1,132 87	(455 71) Long-Term
VIACOM INC CMN CLASS B	10/16/00	4/13/10	37	1,318 69	2,227 43	(908 74) Long-Term
VIACOM INC CMN CLASS B	10/13/00	4/13/10	30	1,069 21	1,800 05	(730 84) Long-Term
PHH CORPORATION CMN	5/11/01	4/13/10	2	48 17	24 36	23 81 Long-Term
PHH CORPORATION CMN	5/2/01	4/13/10	6	144 5	99 53	44 97 Long-Term
VIACOM INC CMN CLASS B	10/13/00	4/13/10	151	5,381 67	9,175 93	(3,794 26) Long-Term
VIACOM INC CMN CLASS B	10/13/00	4/13/10	79	2,815 58	4,696 06	(1,880 48) Long-Term
VIACOM INC CMN CLASS B	10/12/00	4/13/10	80	2,851 22	4,752 57	(1,901 35) Long-Term
VIACOM INC CMN CLASS B	10/11/00	4/13/10	38	1,354 33	2,309 49	(955 16) Long-Term
PHH CORPORATION CMN	5/15/01	4/13/10	2	48 17	39 57	8 60 Long-Term
PHH CORPORATION CMN	5/4/01	4/13/10	3	72 25	42 01	30 24 Long-Term
PHH CORPORATION CMN	9/19/01	4/13/10	2	48 17	21 18	26 99 Long-Term
PHH CORPORATION CMN	6/26/01	4/13/10	9	216 75	157 33	59 42 Long-Term
VIACOM INC CMN CLASS B	10/11/00	4/13/10	37	1,318 69	2,272 71	(954 02) Long-Term
VIACOM INC CMN CLASS B	9/27/00	4/13/10	99	3,528 38	6,799 12	(3,270 74) Long-Term
VIACOM INC CMN CLASS B	9/3/99	4/13/10	50	1,782 01	2,600 14	(818 13) Long-Term
VIACOM INC CMN CLASS B	5/27/97	4/13/10	13	463 32	5	458 32 Long-Term
PHH CORPORATION CMN	7/20/01	4/13/10	2	48 17	36 02	12 15 Long-Term
PHH CORPORATION CMN	6/8/01	4/13/10	15	361 24	267 03	94 21 Long-Term
PHH CORPORATION CMN	9/17/01	4/13/10	3	72 25	46 72	25 53 Long-Term
PHH CORPORATION CMN	9/6/01	4/13/10	2	48 17	30 33	17 84 Long-Term
VIACOM INC CMN CLASS B	9/3/99	4/13/10	50	1,782 01	2,708 72	(926 71) Long-Term
VIACOM INC CMN CLASS B	9/2/99	4/13/10	46	1,639 45	2,313 82	(674 37) Long-Term
VIACOM INC CMN CLASS B	5/27/97	4/13/10	10	356 4	3 8	352 60 Long-Term
VIACOM INC CMN CLASS B	5/27/97	4/13/10	10	356 4	4	352 40 Long-Term
PHH CORPORATION CMN	8/1/01	4/13/10	5	120 41	89 81	30 60 Long-Term
PHH CORPORATION CMN	8/9/01	4/13/10	4	96 33	67 12	29 21 Long-Term
PHH CORPORATION CMN	7/26/01	4/13/10	2	48 17	47 39	0 78 Long-Term
PHH CORPORATION CMN	6/22/01	4/13/10	3	72 25	59 87	12 38 Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	9	320 76	3 58	317 18 Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	14	498 96	5 37	493 59 Long-Term
VIACOM INC CMN CLASS B	5/27/97	4/13/10	14	498 96	5 8	493 16 Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	19	677 16	7 55	669 61 Long-Term
PHH CORPORATION CMN	5/16/01	4/13/10	2	48 17	25 68	22 49 Long-Term
PHH CORPORATION CMN	6/20/01	4/13/10	5	120 41	93 64	26 77 Long-Term
PHH CORPORATION CMN	5/14/01	4/13/10	3	72 25	49 1	23 15 Long-Term
PHH CORPORATION CMN	5/7/01	4/13/10	10	240 83	159 15	81 68 Long-Term
AVIS BUDGET GROUP INC CMN	3/14/00	4/13/10	64	939 67	1,755 07	(815 40) Long-Term
AVIS BUDGET GROUP INC CMN	3/9/00	4/13/10	13	190 87	350 8	(159 93) Long-Term
AVIS BUDGET GROUP INC CMN	3/3/00	4/13/10	6	88 09	153 81	(65 72) Long-Term
AVIS BUDGET GROUP INC CMN	3/2/00	4/13/10	4	58 73	102 68	(43 95) Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	18	641 52	7 15	634 37 Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	18	641 52	7 15	634 37 Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	15	534 6	6 16	528 44 Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	5	178 2	1 99	176 21 Long-Term
MOTOROLA INC CMN	11/8/00	4/13/10	18	133 02	381 57	(248 55) Long-Term
NEWS CORPORATION CMN CLASS A	10/18/00	4/13/10	59	909 47	576 01	333 46 Long-Term
MOTOROLA INC CMN	11/8/00	4/13/10	54	399 05	1,151 74	(752 69) Long-Term
MOTOROLA INC CMN	11/9/00	4/13/10	45	332 54	916 16	(583 62) Long-Term
PHH CORPORATION CMN	4/30/01	4/13/10	3	72 25	49 98	22 27 Long-Term

PHH CORPORATION CMN	5/3/01	4/13/10	4	96 33	65 65	30 68	Long-Term
PHH CORPORATION CMN	5/21/01	4/13/10	1	24 08	10 97	13 11	Long-Term
AVIS BUDGET GROUP INC CMN	3/1/00	4/13/10	2	29 36	52 82	(23 46)	Long-Term
AVIS BUDGET GROUP INC CMN	2/28/00	4/13/10	4	58 73	101 45	(42 72)	Long-Term
AVIS BUDGET GROUP INC CMN	3/2/00	4/13/10	14	205 55	374 84	(169 29)	Long-Term
AVIS BUDGET GROUP INC CMN	12/13/00	4/13/10	22	323 01	375 29	(52 28)	Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	106	3,777 86	42 14	3,735 72	Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	21	748 44	8 35	740 09	Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	5	178 2	1 99	176 21	Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	13	463 32	5 17	458 15	Long-Term
MOTOROLA INC CMN	11/10/00	4/13/10	155	1,145 43	3,034 26	(1,888 83)	Long-Term
MOTOROLA INC CMN	11/13/00	4/13/10	725	5,357 66	14,394 77	(9,037 11)	Long-Term
MOTOROLA INC CMN	11/20/00	4/13/10	29	214 31	582 3	(367 99)	Long-Term
MOTOROLA INC CMN	11/10/00	4/13/10	193	1,426 25	3,740 37	(2,314 12)	Long-Term
AVIS BUDGET GROUP INC CMN	3/2/00	4/13/10	3	44 05	75 49	(31 44)	Long-Term
AVIS BUDGET GROUP INC CMN	2/29/00	4/13/10	6	88 09	166 94	(78 85)	Long-Term
AVIS BUDGET GROUP INC CMN	2/29/00	4/13/10	2	29 36	63 82	(34 46)	Long-Term
AVIS BUDGET GROUP INC CMN	10/5/00	4/13/10	5	73 41	79 39	(5 98)	Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	8	285 12	3 18	281 94	Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	209	7,448 80	82 88	7,365 92	Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	625	22,275 12	248 65	22,026 47	Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	10	356 4	4 17	352 23	Long-Term
MOTOROLA INC CMN	11/10/00	4/13/10	377	2,785 98	7,384 80	(4,598 82)	Long-Term
MOTOROLA INC CMN	11/10/00	4/13/10	16	118 24	316 79	(198 55)	Long-Term
MOTOROLA INC CMN	11/13/00	4/13/10	242	1,788 35	4,913 34	(3,124 99)	Long-Term
MOTOROLA INC CMN	11/16/00	4/13/10	356	2,630 80	7,387 20	(4,756 40)	Long-Term
AVIS BUDGET GROUP INC CMN	2/25/00	4/13/10	2	29 36	55 27	(25 91)	Long-Term
AVIS BUDGET GROUP INC CMN	2/24/00	4/13/10	1	14 68	31 58	(16 90)	Long-Term
AVIS BUDGET GROUP INC CMN	2/24/00	4/13/10	3	44 05	86 22	(42 17)	Long-Term
AVIS BUDGET GROUP INC CMN	2/23/00	4/13/10	12	176 19	304 56	(128 37)	Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	11	392 04	4 31	387 73	Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	11	392 04	4 17	387 87	Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	9	320 76	3 66	317 10	Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	27	962 28	10 78	951 50	Long-Term
MOTOROLA INC CMN	11/17/00	4/13/10	285	2,106 11	5,770 43	(3,664 32)	Long-Term
MOTOROLA INC CMN	11/20/00	4/13/10	69	509 9	1,415 70	(905 80)	Long-Term
MOTOROLA INC CMN	11/21/00	4/13/10	106	783 33	2,116 74	(1,333 41)	Long-Term
MOTOROLA INC CMN	11/30/00	4/13/10	65	480 34	1,144 60	(664 26)	Long-Term
AVIS BUDGET GROUP INC CMN	2/22/00	4/13/10	8	117 46	203 41	(85 95)	Long-Term
AVIS BUDGET GROUP INC CMN	2/18/00	4/13/10	48	704 75	1,312 22	(607 47)	Long-Term
AVIS BUDGET GROUP INC CMN	2/22/00	4/13/10	10	146 82	262 52	(115 70)	Long-Term
AVIS BUDGET GROUP INC CMN	9/7/00	4/13/10	3	44 05	62 06	(18 01)	Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	55	1,960 21	21 78	1,938 43	Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	136	4,847 07	54 34	4,792 73	Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	55	1,960 21	21 78	1,938 43	Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	96	3,421 46	37 95	3,383 51	Long-Term
MOTOROLA INC CMN	12/1/00	4/13/10	64	472 95	1,149 14	(676 19)	Long-Term
MOTOROLA INC CMN	12/5/00	4/13/10	16	118 24	281 75	(163 51)	Long-Term
MOTOROLA INC CMN	12/19/00	4/13/10	19	140 41	339 89	(199 48)	Long-Term
MOTOROLA INC CMN	12/1/00	4/13/10	51	376 88	863 84	(486 96)	Long-Term
AVIS BUDGET GROUP INC CMN	2/18/00	4/13/10	53	778 16	1,431 73	(653 57)	Long-Term
AVIS BUDGET GROUP INC CMN	2/18/00	4/13/10	26	381 74	714 5	(332 76)	Long-Term
AVIS BUDGET GROUP INC CMN	2/16/00	4/13/10	5	73 41	129 98	(56 57)	Long-Term
AVIS BUDGET GROUP INC CMN	8/31/00	4/13/10	2	29 36	34 03	(4 67)	Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	40	1,425 61	16 17	1,409 44	Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	41	1,461 25	16 17	1,445 08	Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	44	1,568 17	17 25	1,550 92	Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	7	249 48	3 02	246 46	Long-Term
MOTOROLA INC CMN	12/13/00	4/13/10	131	968 07	2,381 25	(1,413 18)	Long-Term
MOTOROLA INC CMN	12/22/00	4/13/10	125	923 73	2,110 24	(1,186 51)	Long-Term
MOTOROLA INC CMN	12/29/00	4/13/10	23	169 97	424 52	(254 55)	Long-Term
NEWS CORPORATION CMN CLASS A	11/2/00	4/13/10	157	2,420 11	1,698 62	721 49	Long-Term
AVIS BUDGET GROUP INC CMN	2/18/00	4/13/10	26	381 74	721	(339 26)	Long-Term
AVIS BUDGET GROUP INC CMN	2/16/00	4/13/10	38	557 93	1,046 85	(488 92)	Long-Term
AVIS BUDGET GROUP INC CMN	4/19/01	4/13/10	33	484 52	872 48	(387 96)	Long-Term
AVIS BUDGET GROUP INC CMN	2/14/00	4/13/10	11	161 51	298 93	(137 42)	Long-Term
CBS CORPORATION CMN CLASS B	5/27/97	4/13/10	10	153 1	2 63	150 47	Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	697	24,841 22	13,025 77	11,815 45	Long-Term
VIACOM INC CMN CLASS B	9/7/99	4/13/10	71	2,530 45	3,891 49	(1,361 04)	Long-Term
VIACOM INC CMN CLASS B	5/27/97	4/13/10	12	427 68	4 76	422 92	Long-Term
NEWS CORPORATION CMN CLASS A	12/19/00	4/13/10	20	308 3	188	120 30	Long-Term
MOTOROLA INC CMN	4/6/01	4/13/10	299	2,209 57	3,151 05	(941 48)	Long-Term
NEWS CORPORATION CMN CLASS A	3/6/01	4/13/10	33	508 69	368 82	139 87	Long-Term
NEWS CORPORATION CMN CLASS A	12/15/00	4/13/10	497	7,661 12	4,433 26	3,227 86	Long-Term
AVIS BUDGET GROUP INC CMN	8/21/00	4/13/10	17	249 6	341 35	(91 75)	Long-Term
DELL INC CMN	6/22/01	4/13/10	130	2,029 27	3,099 20	(1,069 93)	Long-Term
AVIS BUDGET GROUP INC CMN	4/19/01	4/13/10	68	998 4	1,810 46	(812 06)	Long-Term
AVIS BUDGET GROUP INC CMN	8/30/00	4/13/10	12	176 19	248 31	(72 12)	Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	15	534 6	6 04	528 56	Long-Term
VIACOM INC CMN CLASS B	5/23/97	4/13/10	12	427 68	4 75	422 93	Long-Term

VIACOM INC CMN CLASS B	5/23/97	4/13/10	29	1,033 56	11 43	1,022 13	Long-Term
CBS CORPORATION CMN CLASS B	5/23/97	4/13/10	9	137 79	2 36	135 43	Long-Term
NEWS CORPORATION CMN CLASS A	12/13/00	4/13/10	365	5,626 38	3,209 97	2,416 41	Long-Term
NEWS CORPORATION CMN CLASS A	11/30/00	4/13/10	157	2,420 11	1,202 16	1,217 95	Long-Term
NEWS CORPORATION CMN CLASS A	12/20/00	4/13/10	41	632	351	281 00	Long-Term
NEWS CORPORATION CMN CLASS A	12/12/00	4/13/10	80	1,233 18	669 83	563 35	Long-Term
ROVI CORPORATION CMN	9/17/01	4/22/10	722	26,733 47	206,954 29	(180,220 82)	Long-Term
TIME WARNER INC CMN	2/4/00	4/22/10	10	325 85	1,187 40	(861 55)	Long-Term
TIME WARNER INC CMN	7/26/91	4/22/10	33	1,075 29	9 284	1,066 01	Long-Term
TIME WARNER INC CMN	7/26/91	4/22/10	8	260 68	2 249	258 43	Long-Term
TIME WARNER INC CMN	2/4/00	4/22/10	49	1,596 65	6,070 53	(4,473 88)	Long-Term
TIME WARNER INC CMN	2/4/00	4/22/10	16	521 35	2,010 60	(1,489 25)	Long-Term
TIME WARNER INC CMN	2/3/00	4/22/10	48	1,564 06	5,731 33	(4,167 27)	Long-Term
TIME WARNER INC CMN	2/3/00	4/22/10	32	1,042 71	4,040 33	(2,997 62)	Long-Term
TIME WARNER INC CMN	5/3/00	4/22/10	81	2,639 36	9,833 64	(7,194 28)	Long-Term
TIME WARNER INC CMN	5/3/00	4/22/10	80	2,606 77	9,586 32	(6,979 55)	Long-Term
TIME WARNER INC CMN	5/5/00	4/22/10	7	228 09	852 462	(624 37)	Long-Term
TIME WARNER INC CMN	5/3/00	4/22/10	22	716 86	2,672 66	(1,855 80)	Long-Term
TIME WARNER INC CMN	4/27/00	4/22/10	5	162 92	624 058	(461 14)	Long-Term
TIME WARNER INC CMN	4/17/00	4/22/10	10	325 85	1,153 23	(827 38)	Long-Term
TIME WARNER INC CMN	4/4/00	4/22/10	15	488 77	1,942 06	(1,453 29)	Long-Term
TIME WARNER INC CMN	3/1/00	4/22/10	39	1,270 80	4,640 04	(3,369 24)	Long-Term
TIME WARNER INC CMN	4/7/00	4/22/10	67	2,183 17	9,299 29	(7,116 12)	Long-Term
TIME WARNER INC CMN	3/8/00	4/22/10	38	1,238 22	4,444 87	(3,206 65)	Long-Term
TIME WARNER INC CMN	2/18/00	4/22/10	10	325 85	1,039 63	(713 78)	Long-Term
TIME WARNER INC CMN	2/17/00	4/22/10	8	260 68	882 83	(622 15)	Long-Term
TIME WARNER INC CMN	2/7/00	4/22/10	16	521 35	1,916 85	(1,395 50)	Long-Term
TIME WARNER INC CMN	5/15/00	4/22/10	69	2,248 34	8,519 12	(6,270 78)	Long-Term
TIME WARNER INC CMN	2/17/00	4/22/10	5	162 92	600 998	(438 08)	Long-Term
TIME WARNER INC CMN	2/8/00	4/22/10	37	1,205 63	4,537 74	(3,332 11)	Long-Term
TIME WARNER INC CMN	2/7/00	4/22/10	24	782 03	2,827 96	(2,045 93)	Long-Term
TIME WARNER INC CMN	6/5/00	4/22/10	55	1,792 16	6,458 72	(4,666 56)	Long-Term
TIME WARNER INC CMN	5/24/00	4/22/10	21	684 28	2,302 79	(1,618 51)	Long-Term
TIME WARNER INC CMN	5/18/00	4/22/10	18	586 52	2,140 83	(1,554 31)	Long-Term
TIME WARNER INC CMN	7/26/91	4/22/10	9	293 26	2 53	290 73	Long-Term
TIME WARNER INC CMN	7/26/91	4/22/10	55	1,792 16	15 476	1,776 68	Long-Term
TIME WARNER INC CMN	7/26/91	4/22/10	729	23,754 21	9,643 06	14,111 15	Long-Term
TIME WARNER INC CMN	1/13/00	4/22/10	29	944 96	3,353 13	(2,408 17)	Long-Term
TIME WARNER INC CMN	1/12/00	4/22/10	64	2,085 42	7,208 83	(5,123 41)	Long-Term
TIME WARNER INC CMN	12/7/99	4/22/10	3	97 75	275 191	(177 44)	Long-Term
TIME WARNER INC CMN	1/13/00	4/22/10	116	3,779 82	13,179 38	(9,399 56)	Long-Term
TIME WARNER INC CMN	12/9/99	4/22/10	5	162 92	460 842	(297 92)	Long-Term
TIME WARNER INC CMN	9/10/99	4/22/10	11	358 43	959 6	(601 17)	Long-Term
TIME WARNER INC CMN	7/26/91	4/22/10	19	619 11	5 25	613 86	Long-Term
TIME WARNER INC CMN	1/20/00	4/22/10	74	2,411 26	9,092 50	(6,681 24)	Long-Term
TIME WARNER INC CMN	1/13/00	4/22/10	9	293 26	1,074 24	(780 98)	Long-Term
TIME WARNER INC CMN	2/4/00	4/22/10	25	814 62	2,933 98	(2,119 36)	Long-Term
TIME WARNER INC CMN	1/14/00	4/22/10	9	293 26	1,114 30	(821 04)	Long-Term
TIME WARNER INC CMN	1/12/00	4/22/10	78	2,541 60	8,540 51	(5,998 91)	Long-Term
TIME WARNER INC CMN	1/10/00	4/22/10	230	7,494 47	30,627 02	(23,132 55)	Long-Term
TIME WARNER INC CMN	7/26/91	4/22/10	13	423 6	3 796	419 80	Long-Term
TIME WARNER INC CMN	8/10/99	4/22/10	13	423 6	3 796	419 80	Long-Term
TIME WARNER INC CMN	7/26/91	4/22/10	43	1,401 14	11 962	1,389 18	Long-Term
TIME WARNER INC CMN	7/26/91	4/22/10	8	260 68	2 389	258 29	Long-Term
TIME WARNER INC CMN	2/8/00	4/22/10	38	1,238 22	4,543 49	(3,305 27)	Long-Term
TIME WARNER INC CMN	2/4/00	4/22/10	14	456 18	1,731 34	(1,275 16)	Long-Term
TIME WARNER INC CMN	2/4/00	4/22/10	74	2,411 26	8,834 21	(6,422 95)	Long-Term
TIME WARNER INC CMN	10/17/00	4/22/10	13	423 6	1,250 44	(826 84)	Long-Term
TIME WARNER INC CMN	10/17/00	4/22/10	57	1,857 32	5,364 08	(3,506 76)	Long-Term
TIME WARNER INC CMN	10/6/00	4/22/10	25	814 62	3,154 67	(2,340 05)	Long-Term
TIME WARNER INC CMN	10/4/00	4/22/10	35	1,140 46	4,103 45	(2,962 99)	Long-Term
TIME WARNER INC CMN	7/19/00	4/22/10	196	6,386 59	24,876 19	(18,489 60)	Long-Term
TIME WARNER INC CMN	11/1/00	4/22/10	79	2,574 19	8,607 18	(6,032 99)	Long-Term
TIME WARNER INC CMN	8/17/00	4/22/10	125	4,073 08	14,497 71	(10,424 63)	Long-Term
TIME WARNER INC CMN	7/19/00	4/22/10	4	130 34	543 135	(412 80)	Long-Term
TIME WARNER INC CMN	10/18/00	4/22/10	28	912 37	2,651 29	(1,738 92)	Long-Term
TIME WARNER INC CMN	10/18/00	4/22/10	19	619 11	1,756 82	(1,137 71)	Long-Term
TIME WARNER INC CMN	7/23/01	4/22/10	60	1,955 08	5,519 86	(3,564 78)	Long-Term
TIME WARNER INC CMN	6/28/01	4/22/10	12	391 02	1,282 83	(891 81)	Long-Term
TIME WARNER INC CMN	8/14/01	4/22/10	57	1,857 32	4,926 43	(3,069 11)	Long-Term
TIME WARNER INC CMN	8/9/01	4/22/10	30	977 54	2,837 49	(1,859 95)	Long-Term
TIME WARNER INC CMN	7/24/01	4/22/10	9	293 26	776 62	(483 36)	Long-Term
TIME WARNER INC CMN	7/18/01	4/22/10	34	1,107 88	3,316 95	(2,209 07)	Long-Term
TIME WARNER INC CMN	7/17/01	4/22/10	41	1,335 97	4,296 65	(2,960 68)	Long-Term
TIME WARNER INC CMN	7/12/01	4/22/10	26	847 2	2,741 00	(1,893 80)	Long-Term
TIME WARNER INC CMN	6/8/01	4/22/10	5	162 92	539 347	(376 43)	Long-Term
TIME WARNER INC CMN	4/3/01	4/22/10	14	456 18	1,028 74	(572 56)	Long-Term
TIME WARNER INC CMN	12/18/00	4/22/10	49	1,596 65	4,365 69	(2,769 04)	Long-Term
TIME WARNER INC CMN	12/15/00	4/22/10	2	65 17	198 034	(132 86)	Long-Term
TIME WARNER INC CMN	12/13/00	4/22/10	23	749 45	2,374 77	(1,625 32)	Long-Term

TIME WARNER INC CMN	11/20/00	4/22/10	159	5,180 96	15,887 97	(10,707 01) Long-Term
TIME WARNER INC CMN	12/13/00	4/22/10	67	2,183 17	6,833 58	(4,650 41) Long-Term
TIME WARNER INC CMN	12/5/00	4/22/10	8	260 68	735 279	(474 60) Long-Term
TIME WARNER INC CMN	11/2/00	4/22/10	79	2,574 19	8,905 90	(6,331 71) Long-Term
TIME WARNER INC CMN	12/20/00	4/22/10	2	65 17	200 199	(135 03) Long-Term
TIME WARNER INC CMN	12/1/00	4/22/10	9	293 26	834 736	(541 48) Long-Term
TIME WARNER INC CMN	11/21/00	4/22/10	9	293 26	859 483	(566 22) Long-Term
TIME WARNER INC CMN	12/18/00	4/22/10	2	65 17	221 811	(156 64) Long-Term
TIME WARNER INC CMN	11/30/00	4/22/10	80	2,606 77	6,774 39	(4,167 62) Long-Term
TIME WARNER INC CMN	11/21/00	4/22/10	80	2,606 77	7,451 15	(4,844 38) Long-Term
TIME WARNER INC CMN	11/17/00	4/22/10	81	2,639 36	8,236 52	(5,597 16) Long-Term
TIME WARNER INC CMN	11/1/00	4/22/10	40	1,303 39	4,320 80	(3,017 41) Long-Term
TIME WARNER INC CMN	10/17/00	4/22/10	9	293 26	883 477	(590 22) Long-Term
TIME WARNER INC CMN	7/26/91	4/22/10	4	130 34	1 033	129 31 Long-Term
TIME WARNER INC CMN	7/26/91	4/22/10	17	553 94	4 688	549 25 Long-Term
TIME WARNER INC CMN	7/26/91	4/22/10	8	260 68	2 249	258 43 Long-Term
BROADCOM CORP CL-A CMN CLASS A	6/16/00	4/22/10	18	623 4	1,757 40	(1,134 00) Long-Term
BROADCOM CORP CL-A CMN CLASS A	11/7/00	4/22/10	157	5,437 41	19,692 31	(14,254 90) Long-Term
BROADCOM CORP CL-A CMN CLASS A	6/14/00	4/22/10	221	7,653 94	22,278 72	(14,624 78) Long-Term
BROADCOM CORP CL-A CMN CLASS A	6/19/00	4/22/10	18	623 4	1,696 50	(1,073 10) Long-Term
BROADCOM CORP CL-A CMN CLASS A	6/23/00	4/22/10	33	1,142 90	3,668 50	(2,525 60) Long-Term
BROADCOM CORP CL-A CMN CLASS A	6/23/00	4/22/10	16	554 13	1,806 75	(1,252 62) Long-Term
BROADCOM CORP CL-A CMN CLASS A	11/8/00	4/22/10	122	4,225 25	12,781 13	(8,555 88) Long-Term
BROADCOM CORP CL-A CMN CLASS A	11/10/00	4/22/10	6	207 8	682 5	(474 70) Long-Term
BROADCOM CORP CL-A CMN CLASS A	12/13/00	4/22/10	26	900 46	2,351 31	(1,450 85) Long-Term
BROADCOM CORP CL-A CMN CLASS A	12/29/00	4/22/10	34	1,177 53	2,024 00	(846 47) Long-Term
BROADCOM CORP CL-A CMN CLASS A	1/10/01	4/22/10	10	346 33	769 56	(423 23) Long-Term
BROADCOM CORP CL-A CMN CLASS A	1/26/01	4/22/10	30	1,039 00	2,130 00	(1,091 00) Long-Term
BROADCOM CORP CL-A CMN CLASS A	1/9/01	4/22/10	23	796 56	1,458 75	(662 19) Long-Term
BROADCOM CORP CL-A CMN CLASS A	1/26/01	4/22/10	60	2,077 99	4,242 50	(2,164 51) Long-Term
BROADCOM CORP CL-A CMN CLASS A	1/29/01	4/22/10	14	484 86	1,000 13	(515 27) Long-Term
BROADCOM CORP CL-A CMN CLASS A	1/30/01	4/22/10	31	1,073 63	2,417 63	(1,344 00) Long-Term
BROADCOM CORP CL-A CMN CLASS A	1/31/01	4/22/10	86	2,978 46	6,829 31	(3,850 85) Long-Term
BROADCOM CORP CL-A CMN CLASS A	2/2/01	4/22/10	30	1,039 00	2,001 25	(962 25) Long-Term
BROADCOM CORP CL-A CMN CLASS A	1/30/01	4/22/10	21	727 3	1,628 38	(901 08) Long-Term
BROADCOM CORP CL-A CMN CLASS A	2/1/01	4/22/10	30	1,039 00	2,183 75	(1,144 75) Long-Term
BROADCOM CORP CL-A CMN CLASS A	2/14/01	4/22/10	9	311 7	483 38	(171 68) Long-Term
BROADCOM CORP CL-A CMN CLASS A	2/23/01	4/22/10	13	450 23	556 31	(106 08) Long-Term
BROADCOM CORP CL-A CMN CLASS A	2/23/01	4/22/10	27	935 1	1,181 25	(246 15) Long-Term
BROADCOM CORP CL-A CMN CLASS A	4/11/01	4/22/10	213	7,376 87	4,622 10	2,754 77 Long-Term
BROADCOM CORP CL-A CMN CLASS A	5/9/01	4/22/10	53	1,835 56	1,485 75	349 81 Long-Term
BROADCOM CORP CL-A CMN CLASS A	5/14/01	4/22/10	46	1,593 13	1,133 05	460 08 Long-Term
BROADCOM CORP CL-A CMN CLASS A	5/15/01	4/22/10	72	2,493 59	1,893 60	599 99 Long-Term
BROADCOM CORP CL-A CMN CLASS A	5/21/01	4/22/10	20	692 66	579 15	113 51 Long-Term
BROADCOM CORP CL-A CMN CLASS A	9/17/01	4/22/10	112	3,878 92	2,107 50	1,771 42 Long-Term
TOTAL LONG TERM CAPITAL GAINS/LOSSES:				\$ 1,254,159.90	\$ 1,947,445.69	\$ (693,285.79)



Statement Detail
CHARINA FDN INC
Holdings

Period Ended August 31, 2010

The alternative investments(s) described below may not be owned by the account holder(s) for this brokerage account. Instead, the investments may be owned by one of the account holders or a related party to the account holder(s)

CASH, DEPOSITS & MONEY MARKET FUNDS

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
DEPOSITS & MONEY MARKET FUNDS								
MONEY MARKET FUNDS								
GOLDMAN SACHS FINANCIAL SQUARE FEDERAL FUND (FST SHARES) Moody's Aaa	2,657,067.640	1.0000	2,657,067.64	1.0000	2,657,067.64	0.00	0.0400	1,062.83

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
US STOCKS								
ABBOTT LABORATORIES CMN (ABT)	32,000.00	49.3400	1,578,880.00	1.2461	39,874.78	1,539,005.22	3.5671	56,320.00
AUTOMATIC DATA PROCESSING, INC CMN (ADP)	8,800.00	38.6100	339,768.00	11.0404	97,155.51	242,612.49	3.5224	11,968.00
COCA-COLA COMPANY (THE) CMN (KO)	10,000.00	55.8825	558,825.00	21.2563	212,563.30	346,261.70	3.1495	17,600.00
DR PEPPER SNAPPLE GROUP, INC. CMN (DPS)	24,000.00	36.8200	883,680.00	3.0485	73,164.50	810,515.50	2.7159	24,000.00
INTL BUSINESS MACHINES CORP CMN (IBM)	4,000.00	123.1300	492,520.00	16.5957	66,382.99	426,137.01	2.1116	10,400.00

KELLOGG COMPANY CMN (K)	2,200.00	49.6800	109,296.00	32.2373	70,922.00	38,374.00	3.2609	3,564.00
KRAFT FOODS INC. CMN CLASS A (KFT)	25,940.00	29.9100	775,865.40	30.0800	780,275.20	(4,409.80)	3.8783	30,090.40
MC DONALDS CORP CMN (MCD)	11,200.00	73.0600	818,272.00	12.5523	140,585.70	677,686.30	3.0112	24,640.00
			6,160.00					
NEW YORK TIMES CO-A CMN CLASS A (NYT)	25,100.00	7.1800	180,218.00	15.2560	382,925.60	(202,707.60)		
PEPSICO INC CMN (PEP)	6,800.00	64.1800	436,424.00	18.9158	128,627.23	307,796.77	2.9916	13,056.00
PROCTER & GAMBLE COMPANY (THE) CMN (PG)	29,750.00	59.6700	1,775,182.50	19.0487	566,697.41	1,208,485.09	3.2298	57,334.20
SYSCO CORPORATION CMN (SYN)	18,800.00	27.4900	516,812.00	6.3218	118,849.70	397,962.30	3.6377	18,800.00
UNITEDHEALTH GROUP INCORPORATE CMN (UNH)	19,070.00	31.7200	604,900.40	14.5407	277,292.00	327,608.40	1.5763	9,535.00
USG CORP (NEW) CMN (USG)	50,000.00	12.1700	608,500.00	24.0275	1,201,375.00	(592,875.00)		
WAL MART STORES INC CMN (WMT)	10,400.00	50.1400	521,456.00	14.9279	155,249.80	366,206.20	2.4132	12,584.00

Statement Detail
CHARINA FDN INC
 Holdings (Continued)

Period Ended August 31, 2010

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
US STOCKS								
WALGREEN CO CMN (WAG)	20,000.00	26.8800	537,600.00	39.5886	791,771.00	(254,171.00)	2.6042	14,000.00
THE HOME DEPOT, INC. CMN (HD)	20,847.00	27.8200	579,963.54	10.3012	214,749.20	365,214.34	3.3968	19,700.42
TOTAL US STOCKS			11,318,162.84		5,318,460.92	5,999,701.92	3.0732	323,592.02
NON-US EQUITY								
NON-US STOCKS								
INGERSOLL-RAND PLC CMN (IR)	45,000.00	32.5300	1,463,850.00	20.9050	940,725.00	523,125.00	0.8607	12,600.00
TOTAL PUBLIC EQUITY			12,782,012.84		6,259,185.92	6,522,826.92	2.8032	336,192.02

Total Portfolio 15,439,080.48 8,916,253.56 6,522,826.92



Statement Detail

CHARINA FDN INC 2
Holdings

Period Ended August 31, 2010

CASH, DEPOSITS & MONEY MARKET FUNDS

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
DEPOSITS & MONEY MARKET FUNDS								
MONEY MARKET FUNDS								
GOLDMAN SACHS FINANCIAL SQUARE FEDERAL FUND (FST SHARES) Moody's Aaa	1,492,252.760	1.0000	1,492,252.76	1.0000	1,492,252.76	0.00	0.0400	596.90

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
US STOCKS								
AMAZON COM INC CMN (AMZN)	3,740.00	124.8300	466,864.20	12.5779	47,041.53	419,822.67		
AMERICAN EXPRESS CO CMN (AXP)	2,740.00	39.8700	109,243.80	36.5524	100,153.64	9,090.16	1.8059	1,972.80
BANK OF AMERICA CORP CMN (BAC)	1,736.00	12.4600	21,630.56	46.4930	80,711.88	(59,081.32)	0.3210	69.44
CABLEVISION SYSTEMS CORP CABLEVISION NY GROUP COM (CVC)	1,338.00	25.0900	33,570.42	0.1597	213.67	33,356.75	1.9928	669.00
COMCAST CORPORATION CMN CLASS A NON VOTING (CMCSK)	12,222.00	16.0800	196,529.76	2.6655	32,577.45	163,952.31	2.3507	4,619.92
COMCAST CORPORATION CMN CLASS A VOTING (CMCSA)	3,508.00	17.0950	59,969.26	9.9541	34,918.87	25,050.39	2.2112	1,326.02
DIRECTV CMN (DTV)	5,191.00	37.8975	196,725.92	3.7210	19,315.58	177,410.34		
DISCOVERY COMMUNICATIONS, INC. CMN (DISCK)	1,298.00	33.8000	43,872.40	3.4329	4,455.92	39,416.48		
DISCOVERY COMMUNICATIONS, INC. CMN SERIES A (DISCA)	1,298.00	37.7500	48,999.50	3.8234	4,962.73	44,036.77		
GENERAL ELECTRIC CO CMN (GE)	10,000.00	14.4800	144,800.00	32.6000	326,000.00	(181,200.00)	3.3149	4,800.00
GOLDMAN SACHS GROUP, INC (THE) CMN (GS)	2,025.00	136.9300	277,283.25	103.9156	210,429.01	66,854.24	1.0224	2,835.00
LIBERTY GLOBAL INC CMN CLASS A (LBTYA)	1,557.00	27.5700	42,926.49	6.6671	10,380.67	32,545.82		
LIBERTY GLOBAL, INC. CMN SERIES C (LBTYK)	1,557.00	27.5400	42,879.78	6.3116	9,827.10	33,052.68		
LIBERTY MEDIA HLDG CORP CMN SERIES A INTERACTIVE (LINTA)	6,495.00	10.5500	68,522.25	5.1923	33,723.89	34,798.36		
LIBERTY MEDIA HOLDING CORP CAPITAL CMN SERIES A TRACKING STOCK (LCAPA)	1,298.00	45.0800	58,513.84	3.0638	3,976.79	54,537.05		



Statement Detail

CHARINA FDN INC 2
Holdings (Continued)

Period Ended August 31, 2010

PUBLIC EQUITY (Continued)

US EQUITY	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US STOCKS								
LIBERTY MEDIA-STARZ SER A TRACKING STOCK (LSTZA)	518.00	59.7400	30,945.32	5.8337	3,021.85	27,923.47		
MC DONALDS CORP CMN (MCD)	4,554.00	73.0600	332,715.24	12.1658	55,402.94	277,312.30	3.0112	10,018.80
MICROSOFT CORPORATION CMN (MSFT)	2,912.00	23.4650	68,330.08	34.6013	100,758.99	(32,428.91)	2.2161	1,514.24
QUALCOMM INC CMN (QCOM)	20,092.00	38.3000	769,523.60	11.1908	224,846.00	544,677.60	1.9843	15,269.92
TIME WARNER CABLE INC CMN (TWC)	1,026.00	51.5700	52,910.82	124.4503	127,685.97	(74,775.14)	3.1026	1,841.60
WALT DISNEY COMPANY (THE) CMN (DIS)	3,812.00	32.5400	124,042.48	27.1824	103,619.39	20,423.09	1.0756	1,334.20
TOTAL US STOCKS			3,190,798.97		1,534,023.87	1,656,775.11	2.1032	46,070.94
TOTAL PORTFOLIO			Market Value 4,683,051.73		Adjusted Cost / * Original Cost 3,026,276.63	Unrealized Gain (Loss) 1,656,775.10		Estimated Annual Income 46,667.84