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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning 08-01-2009 , and ending 07-31-2010

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
ANNE S RICHARDSON FUND P60833004

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
JPMORGAN CHASE BANK PO BOX 3038

City or town, state, and ZIP code
MILWAUKEE, WI 53201

A Employer identification number
13-6192516

B Telephone number (see page 10 of the instructions)
(414) 977-1210

C If exemption application is pending, check here ▶ ☐
D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 11,333,325

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) _
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	490	490		
	4	Dividends and interest from securities	294,612	294,537		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	552,482			
	b	Gross sales price for all assets on line 6a 7,208,522				
	7	Capital gain net income (from Part IV, line 2)		552,482		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	847,584	847,509		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	106,065	95,458		10,607
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	16,319	0		16,319
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions)	5,378	2,909		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	1,053	0		1,053
	24	**Total operating and administrative expenses.** Add lines 13 through 23	128,815	98,367		27,979
	25	Contributions, gifts, grants paid	525,000			525,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	653,815	98,367		552,979
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	193,769			
	b	**Net investment income** (if negative, enter -0-)		749,142		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	59,997	14,443	14,443
	2	Savings and temporary cash investments	368,604	542,185	542,185
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	500,000		
	b	Investments—corporate stock (attach schedule)	6,095,809	6,849,797	7,542,641
	c	Investments—corporate bonds (attach schedule)	2,585,977	3,054,036	3,234,056
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)	631,765	0	0
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	10,242,152	10,460,461	11,333,325
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	10,242,152	10,460,461	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	0	0	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	10,242,152	10,460,461	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	10,242,152	10,460,461	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,242,152
2	Enter amount from Part I, line 27a	2	193,769
3	Other increases not included in line 2 (itemize) ▶	3	25,000
4	Add lines 1, 2, and 3	4	10,460,921
5	Decreases not included in line 2 (itemize) ▶	5	460
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	10,460,461

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	PUBLICLY TRADED SECURITIES			
b	Capital Gains Dividends	P		
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	7,181,423		6,656,040	525,383
b	27,099			27,099
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				525,383
b				27,099
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	552,482
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	647,344	10,228,817	0 063286
2007	726,905	13,914,009	0 052243
2006	551,862	14,245,522	0 038739
2005	511,525	13,299,157	0 038463
2004	586,856	13,058,900	0 044939

2	**Total** of line 1, column (d).	2	0 237670
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0 047534
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5.	4	11,250,643
5	Multiply line 4 by line 3.	5	534,788
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	7,491
7	Add lines 5 and 6.	7	542,279
8	Enter qualifying distributions from Part XII, line 4.	8	552,979

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b		1	7,491
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3	Add lines 1 and 2		3	7,491
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	7,491
6	Credits/Payments			
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a 10,000		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	10,000
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	2,509
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 2,509	**Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ CT			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶www foundationcenter org/grantmaker/richardson

14 The books are in care of ▶JPMorgan CHase BankNA Telephone no ▶(212) 648-1494

Located at ▶245 park ave new yopk NY ZIP+4 ▶10167

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JPmorgan Chase bankNA PO Box 3038 Milwaukee, WI 53201	Trustee 2 00	106,065	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3. . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	10,972,274
b	Average of monthly cash balances	1b	449,699
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	11,421,973
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	11,421,973
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	171,330
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	11,250,643
6	**Minimum investment return.** Enter 5% of line 5	6	562,532

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	562,532
2a	Tax on investment income for 2009 from Part VI, line 5 — 2a: 7,491		
b	Income tax for 2009 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	7,491
3	Distributable amount before adjustments Subtract line 2c from line 1	3	555,041
4	Recoveries of amounts treated as qualifying distributions	4	25,000
5	Add lines 3 and 4	5	580,041
6	Deduction from distributable amount (see page 25 of the instructions)	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	580,041

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	552,979
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	552,979
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	7,491
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	545,488

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				580,041
2 Undistributed income, if any, as of the end of 2008				
a Enter amount for 2008 only.			523,343	
b Total for prior years 20___ , 20___ , 20___		0		
3 Excess distributions carryover, if any, to 2009				
a From 2004.				
b From 2005.				
c From 2006.				
d From 2007.				
e From 2008.				
f **Total** of lines 3a through e.	0			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 552,979				
a Applied to 2008, but not more than line 2a			523,343	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .	0			
d Applied to 2009 distributable amount.				29,636
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .		0		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				550,405
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	0			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	0			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2005. . . .				
b Excess from 2006. . . .				
c Excess from 2007. . . .				
d Excess from 2008. . . .				
e Excess from 2009. . . .				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	**(b)** 2008	**(c)** 2007	**(d)** 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

casey burgess- jp morgan chase bank
270 Park avenue Fl 14
new York, NY 10017
(212) 464-0308

b The form in which applications should be submitted and information and materials they should include

www foundationcenter org/grantmaker/richardson

c Any submission deadlines

none

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

funding interest eight organizations recommended by Miss Richardson, organizations that assist lower income people or are of general interest to the community in Ridgefield, CT, and Fairfield, CT programs supporting the independence of women, the gay and lesbian community, encourage youth developement, or promote environmental conservation

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Additional Data Table				
Total . . . . ▶ **3a**				525,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	490	
4 Dividends and interest from securities			14	294,612	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	552,482	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		847,584	0

13 **Total.** Add line 12, columns (b), (d), and (e) **13** 847,584

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	**1a(1)**		No
	(2) Other assets	**1a(2)**		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	**1b(1)**		No
	(2) Purchases of assets from a noncharitable exempt organization	**1b(2)**		No
	(3) Rental of facilities, equipment, or other assets	**1b(3)**		No
	(4) Reimbursement arrangements	**1b(4)**		No
	(5) Loans or loan guarantees	**1b(5)**		No
	(6) Performance of services or membership or fundraising solicitations	**1b(6)**		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

▶ Signature of officer or trustee — 2010-12-09 Date — ▶ Title

Paid Preparer's Use Only

Preparer's Signature ▶	Date	Check if self-employed ▶ ☐	Preparer's identifying number (see **Signature** on page 30 of the instructions)
Firm's name (or yours if self-employed), address, and ZIP code ▶		EIN ▶	
		Phone no	

Additional Data

Software ID: 09000028
Software Version: 2009.05000
EIN: 13-6192516
Name: ANNE S RICHARDSON FUND P60833004

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
aging in america inc1500 Pelham Parkway bronx, NY 10461	none	public charity	PROGRAM SUPPORT	15,000
A BETTER CHANCE IN RIDGEFIELD INCPO Box 1044 Ridgefield, CT 06877	none	public charity	PROGRAM SUPPORT	10,000
connecticut college270 Monhegan Avenue New London, CT 06320	none	public charity	PROGRAM SUPPORT	15,000
first congregational church of ridgefield103 main street Ridgefield, CT 06877	none	public charity	PROGRAM SUPPORT	5,000
friends of ridgefield parks and recreational commission incPO Box 633 Ridgefield, CT 06877	none	public charity	PROGRAM SUPPORT	15,000
Keeler Tavern preservation society inc PO Box 204 Ridgefield, CT 06877	none	public charity	PROGRAM SUPPORT	30,000
Meals on wheels inc25 gilbert street Ridgefield, CT 06877	none	public charity	PROGRAM SUPPORT	10,000
memorial sloan kettering cancer center1275 york avenue new york, NY 10021	none	public charity	PROGRAM SUPPORT	5,000
new york- presbyterian hospital525 East 68th Street new york, NY 10065	none	public charity	PROGRAM SUPPORT	15,000
norwalk hospital foundation34 Maple Street norwalk, CT 06850	none	Public charity	PROGRAM SUPPORT	15,000
planned parenthood of connecticut inc 345 Whitney avenue new haven, CT 06511	none	public charity	PROGRAM SUPPORT	15,000
Ridgefield boys club41 Governor street Ridgefield, CT 06877	none	public charity	PROGRAM SUPPORT	15,000
Ridgefield CHoralePO Box 686 Ridgefield, CT 06877	none	public charity	PROGRAM SUPPORT	5,000
ridgefield playhouse for movies and the performing arts incPO Box 655 ridgefield, CT 06877	none	public charity	PROGRAM SUPPORT	35,000
Ridgefield Symphony orchestra inc90 east ridge road ridgefield, CT 06877	none	public charity	PROGRAM SUPPORT	50,000
Total . . . . ▶ **3a**				525,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
saint stephens church351 main street Ridgefield, CT 06877	none	public charity	PROGRAM SUPPORT	15,000
The ridgefield guild of artistsPO Box 552 Ridgefield, CT 06877	none	public charity	PROGRAM SUPPORT	5,000
visiting nurse association of ridgefield inc90 east ridge road Ridgefield, CT 06877	none	public charity	PROGRAM SUPPORT	30,000
yale universityPO Box 2038 new Haven, CT 06521	none	public charity	PROGRAM SUPPORT	15,000
THE MAMANASCO LAKE IMPROVEMENT FUND INCPO Box 246 Ridgefield, CT 06877	None	Public charity	PROGRAM SUPPORT	10,000
TRUE COLORS INC576 Farmington Ave HARTFOrd, CT 06105	None	Public charity	PROGRAM SUPPORT	20,000
TIGER HOLLOW INC285 W Lane Ridgefield, CT 06877	None	Public charity	PROGRAM SUPPORT	35,000
RIDGEFIELD OPERATION FOR ANIMAL RESCUE INC45 South Street Ridgefield, CT 06877	None	Public charity	PROGRAM SUPPORT	10,000
RIDGEFIELD VETERANS MEMORIAL COMMUNITY ASSOCIATION INC 316 Main St Ridgefield, CT 06877	None	Public charity	PROGRAM SUPPORT	30,000
PTA CT CONGRESS RIDGEFIELD PTA DBA RIDGEFIELD HIGH SCHOOL PTSA700 N Salem Rd Ridgefield, CT 06877	None	Public charity	PROGRAM SUPPORT	100,000
Total . . . . ▶ **3a**				525,000

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TY 2009 Investments Corporate Bonds Schedule

Name: ANNE S RICHARDSON FUND P60833004

EIN: 13-6192516

Name of Bond	End of Year Book Value	End of Year Fair Market Value
GOLDMAN SACHS TRUST HIGH YIELD FUND INSTITUTIONAL SHS - 83157.85 SHS	587,926	590,421
JPMORGAN HIGH YIELD BOND FUND SELECT CLASS FUND 3580 6.94% - 75710.425 SHS	583,834	599,627
JPMORGAN TR I TAX AWARE REAL RET FD SEL SHARE CL FD 992 1.30% - 36788.48 SHS	371,564	366,413
JPMORGAN TOTAL RETURN FUND SELECT FUND 3218 3.17% - 90742.018 SHS	858,419	980,014
DREYFUS/LAUREL FDS TR PRM EMRGN MK I - 8713.746 SHS	122,341	122,951
JPMORGAN STRATEGIC INCOME OPPORTUNITIES FUND 3844 2.95% - 29615.005 SHS	300,000	345,311
JPMORGAN INTERNATIONAL CURRENCY INCOME FUND 1.28% - 21115.886 SHS	229,952	229,319

TY 2009 Investments Corporate Stock Schedule

Name: ANNE S RICHARDSON FUND P60833004

EIN: 13-6192516

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABBOTT LABORATORIES - 90 SHS	4,023	4,417
AMAZON COM INC - 10 SHS	834	1,179
APACHE CORP - 35 SHS	2,390	3,345
APPLE INC. - 27 SHS	2,657	6,946
BB & T CORP - 40 SHS	633	993
CVS/CAREMARK CORPORATION - 70 SHS	2,257	2,609
CAMPBELL SOUP COMPANY - 25 SHS	910	898
CARDINAL HEALTH INC - 45 SHS	1,159	1,452
CELGENE CORPORATION - 50 SHS	1,937	2,758
CISCO SYSTEMS INC - 235 SHS	2,901	5,421
COCA-COLA CO - 25 SHS	1,435	1,378
DEERE & CO - 60 SHS	1,705	4,001
WALT DISNEY CO - 150 SHS	2,671	5,054
DOW CHEMICAL CO - 40 SHS	1,075	1,093
E I DU PONT DE NEMOURS & CO - 90 SHS	3,338	3,660
EDISON INTERNATIONAL - 40 SHS	0	0
FREEPORT-MCMORAN COPPER & GOLD INC CL B - 50 SHS	1,509	3,577
GENERAL ELECTRIC CO - 100 SHS	1,535	1,612
GENERAL MILLS INC - 30 SHS	922	1,026
HEWLETT-PACKARD CO - 135 SHS	3,597	6,215
INTERNATIONAL BUSINESS MACHINES CORP - 35 SHS	2,759	4,494
INTERNATIONAL GAME TECHNOLOGY - 25 SHS	387	381
JOHNSON CONTROLS INC - 100 SHS	1,571	3,313
KIMBERLY-CLARK CORP - 20 SHS	1,253	1,282
LOWES COMPANIES INC - 50 SHS	1,153	1,037
MICROSOFT CORP - 325 SHS	5,936	8,388
MORGAN STANLEY - 75 SHS	1,332	2,024
NVR INC - 1 SHS	660	627
NIKE INC B - 20 SHS	1,124	1,473
NORFOLK SOUTHERN CORP - 95 SHS	3,754	5,346

Name of Stock	End of Year Book Value	End of Year Fair Market Value
NOVELLUS SYSTEMS INC - 50 SHS	1,242	1,336
OCCIDENTAL PETROLEUM CORP - 60 SHS	2,607	4,676
ORACLE CORP - 75 SHS	1,569	1,773
PACCAR INC - 75 SHS	1,964	3,437
PARKER-HANNIFIN CORP - 20 SHS	796	1,242
PEPSICO INC - 40 SHS	2,083	2,596
PFIZER INC - 320 SHS	4,255	4,800
PROCTER & GAMBLE CO - 90 SHS	3,119	5,504
PUBLIC SERVICE ENTERPRISE GROUP - 30 SHS	1,004	987
QUALCOMM INC - 100 SHS	3,309	3,808
T ROWE PRICE INTERNATIONAL FUNDS INC NEW ASIA FUND - 21610.412 SHS	330,674	369,538
SPDR S&P 500 ETF TRUST - 1050 SHS	111,353	115,784
SCHLUMBERGER LTD - 35 SHS	1,387	2,088
SOUTHERN CO - 55 SHS	1,645	1,943
SOUTHWESTERN ENERGY CO - 25 SHS	1,070	911
SPRINT NEXTEL CORP - 225 SHS	919	1,028
STAPLES INC - 125 SHS	1,943	2,541
SYSCO CORP - 35 SHS	1,106	1,084
UNITED TECHNOLOGIES CORP - 65 SHS	3,535	4,622
V F CORP - 15 SHS	854	1,190
WALMART STORES INC - 20 SHS	929	1,024
COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A - 25 SHS	1,205	1,364
CITIGROUP INC - 705 SHS	2,797	2,891
WELLS FARGO & CO - 150 SHS	2,022	4,160
BANK OF AMERICA CORP - 276 SHS	2,442	3,875
GOLDMAN SACHS GROUP INC - 25 SHS	1,852	3,771
DEVON ENERGY CORP - 20 SHS	1,325	1,250
EOG RESOURCES INC - 25 SHS	1,534	2,438
HONEYWELL INTERNATIONAL INC - 50 SHS	2,090	2,143
INTERSIL CORP CL A - 25 SHS	336	284

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ISHARES RUSSELL 1000 GROWTH INDEX FUND - 4629 SHS	224,549	226,358
ISHARES RUSSELL 2000 INDEX FUND - 626 SHS	35,049	40,703
VERIZON COMMUNICATIONS INC - 115 SHS	3,147	3,342
COACH INC - 30 SHS	1,053	1,109
AETNA INC - 85 SHS	1,969	2,367
US BANCORP DEL - 105 SHS	1,594	2,510
DODGE & COX INTERNATIONAL STOCK - 16000.871 SHS	350,099	503,387
ISHARES RUSSELL MIDCAP INDEX FUND - 5759 SHS	419,671	495,274
ADVANCED AUTO PARTS INC - 25 SHS	880	1,338
YUM BRANDS INC - 75 SHS	2,566	3,098
NABORS INDUSTRIES LTD - 25 SHS	484	460
COMCAST CORP CL A - 50 SHS	846	974
FMI FDS INC LARGE CAP FD - 24735.971 SHS	286,958	349,767
CARNIVAL CORPORATION - 40 SHS	1,451	1,387
GOOGLE INC CL A - 5 SHS	1,403	2,424
ASTON FDS TAMRO S CAP I - 11094.675 SHS	150,000	192,825
JPMORGAN US REAL ESTATE FUND SELECT SHARE CLASS FUND 3037 - 19606.923 SHS	229,401	279,007
JPMORGAN INTREPID AMERICA FUND SELECT SHARE CLASS FUND 1206 - 11228.624 SHS	227,941	224,123
JPM MARKET EXPANSION INDEX FD SEL SH CL FD 3708 - 26665.882 SHS	226,660	241,326
JPMORGAN ASIA 3 FUND SELECT SHARE CLASS FUND 1134 - 8030.774 SHS	172,822	253,371
EATON VANCE SPL INVT TR VALUE FD CL I - 13626.234 SHS	209,026	222,925
MANAGERS AMG FUNDS-TIMESSQUARE MID CAP GROWTH FUND - 19844.377 SHS	229,401	239,323
JPMORGAN US LARGE CAP CORE PLUS FUND SELECT FUND 1002 - 12243.433 SHS	168,473	220,504
AT&T INC - 50 SHS	1,913	1,297
JPM SHORT DURATION BD FD SEL CL FD # 1011 - 14304.995 SHS	227,131	221,155
STARWOOD HOTELS & RESORTS - 25 SHS	524	1,211
JPM TR I GROWTH ADV FD SEL SH CL FD 1567 - 48801.394 SHS	395,955	355,762
POWERSHARES DB COMMODITY INDEX TRACKING FUND - 7801 SHS	166,480	178,565
HARTFORD CAPITAL APPRECIATION FUND - 11732.979 SHS	346,381	349,995
BANK OF NEW YORK MELLON CORP - 45 SHS	1,181	1,128

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CME GROUP INC CLASS A COMMON STOCK - 4 SHS	1,132	1,115
INVESCO LTD - 45 SHS	880	879
PHILIP MORRIS INTERNATIONAL - 25 SHS	738	1,276
SPDR GOLD TRUST - 456 SHS	55,153	52,663
ACE LTD NEW - 25 SHS	1,055	1,327
BARCLAYS BREN GSCI OIL 8/05/10 GSCITM CRUDE OIL XCSS RET INDX - 100000 SHS	98,500	136,650
TYCO INTERNATIONAL LTD - 25 SHS	911	957
TIME WARNER INC NEW - 150 SHS	3,423	5,191
COVIDIEN PLC - 25 SHS	901	933
THREADNEEDLE ASIA PACIFIC FUND - R5 - 19761.116 SHS	226,660	241,481
MERCK AND CO INC - 155 SHS	3,971	5,341
JPMORGAN RESEARCH MARKET NEUTRAL FUND - SEL - 14293.883 SHS	223,604	220,555
CS ASIA BREN 12/22/10 INITIAL LEVEL-ASIA:12/04/09: 100.00 - 120000 SHS	118,800	124,692
HSBC SPX BREN 12/22/10 INITIAL LEVEL-SPX:12/04/09: 1105.98 - 120000 SHS	118,800	122,064
BARC BREN DJ-UBS COMDTY 12/12/11 BUFFER 15% - 120000 SHS	118,200	121,020
HSBC ASIA BREN 02/03/11 LEVEL-1/15/10:ASIA BASKET: 100.00 - 120000 SHS	118,800	120,972
BERKSHIRE HATHAWAY INC DEL CL B - 25 SHS	1,777	1,953
GS SPX MKT PLS NOTE 08/04/11 INITIAL LEVEL-SPX:1/29/10: 1073.87 - 113000 SHS	111,588	115,161
HSBC SPX BREN 02/17/11 INITIAL LEVEL-SPX:1/29/10: 1073.87 - 113000 SHS	111,870	114,492
DB ASIA BREN 03/03/11 INITIAL LEVEL-ASIA:2/12/10:100.00 - 110000 SHS	108,900	114,818
MS SPX CONT BUFF EQ NOTE 09/01/11 INITIAL LEVEL - 100000 SHS	98,750	99,365
HIGHBRIDGE DYNAMIC COMMODITIES STRATEGY FUND - SE - 7931.304 SHS	110,721	110,166
GS EAFE NOTE 04/25/11 INITIAL LEVEL- 4/01/10 - 120000 SHS	118,524	111,233
MS COMMODITY CPN KNOCK-OUT NTS LKND S&P GSCI XCSS RET INDX - 120000 SHS	118,800	107,400
GS SPX CONT BUFF EQ NOTE 11/21/11 INITIAL LEVEL - 120000 SHS	118,500	116,950
GS EAFE BREN 06/17/11 INITIAL LEVEL - 120000 SHS	118,800	126,044
NEXTERA ENERGY INC - 20 SHS	1,016	1,046
BARC SPX BREN 07/15/11 INITIAL LEVEL-06/18/10 SPX: 1117.51 - 90000 SHS	89,100	89,676
JUNIPER NETWORKS INC - 75 SHS	1,190	2,084
EXXON MOBIL CORP - 85 SHS	2,838	5,073

Name of Stock	End of Year Book Value	End of Year Fair Market Value
METLIFE INC - 40 SHS	1,004	1,682
MARVELL TECHNOLOGY GROUP LTD - 75 SHS	1,527	1,119
MONSANTO CO - 15 SHS	691	868
PRUDENTIAL FINANCIAL INC - 40 SHS	896	2,292
CONOCOPHILLIPS - 55 SHS	2,618	3,037
BIOGEN IDEC INC - 15 SHS	900	838
TD AMERITRADE HOLDING CORPORATION - 50 SHS	783	787
MASTERCARD INC - CLASS A - 7 SHS	1,261	1,470
NYSE EURONEXT - 30 SHS	824	869

TY 2009 Legal Fees Schedule

Name: ANNE S RICHARDSON FUND P60833004

EIN: 13-6192516

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	16,319	0		16,319

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TY 2009 Other Assets Schedule

Name: ANNE S RICHARDSON FUND P60833004

EIN: 13-6192516

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
structured investments and other	631,765		

TY 2009 Other Decreases Schedule

Name: ANNE S RICHARDSON FUND P60833004

EIN: 13-6192516

Description	Amount
ROUNDING ADJUSTMENT	1
RETURN OF CAPITAL ADJUSTMENT	459

TY 2009 Other Expenses Schedule

Name: ANNE S RICHARDSON FUND P60833004

EIN: 13-6192516

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROBATE COURT FEES	1,053	0		1,053

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TY 2009 Other Increases Schedule

Name: ANNE S RICHARDSON FUND P60833004

EIN: 13-6192516

Description	Amount
RECOVERY	25,000

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TY 2009 Taxes Schedule

Name: ANNE S RICHARDSON FUND P60833004

EIN: 13-6192516

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
estimated excise tax- current YEAR	1,969	0		0
foreign income tax withheld	2,909	2,909		0
EXCISE TAX PAID WITH EXTENSION - PRIOR YEAR	500	0		0