



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2009

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning AUG 1, 2009, and ending JUL 31, 2010

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label Otherwise, print or type See Specific Instructions.	Name of foundation THE SARAH L. BOLES AND JOSEPH R. ZIMMEL FOUNDATION		A Employer identification number 13-3596015
	Number and street (or P.O. box number if mail is not delivered to street address) Room/suite C/O KOLBRENNER & ALEX - 15 VALLEY DRIVE		B Telephone number 203-869-3199
	City or town, state, and ZIP code GREENWICH, CT 06831		C If exemption application is pending, check here ☐
			D 1 Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 5570828. (Part I, column (d) must be on cash basis)			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		945.	945.		STATEMENT 1
4 Dividends and interest from securities		124882.	124882.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		101699.			
b Gross sales price for all assets on line 6a					
7 Capital gain net income (from Part IV, line 2)			101699.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		227526.	227526.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		9500.	0.		0.
c Other professional fees					
17 Interest					
18 Taxes					
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 4		25616.	25616.		0.
24 Total operating and administrative expenses Add lines 13 through 23		35116.	25616.		0.
25 Contributions, gifts, grants paid		899559.			899559.
26 Total expenses and disbursements. Add lines 24 and 25		934675.	25616.		899559.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-707149.			
b Net investment income (if negative, enter -0-)			201910.		
c Adjusted net income (if negative, enter -0-)				N/A	

**THE SARAH L. BOLES AND JOSEPH R. ZIMMEL
FOUNDATION**

13-3596015

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	556516.	224803.	224803.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 5	4279574.	3933346.	4074066.
	c Investments - corporate bonds STMT 6	1571785.	1226163.	1271959.
	11 Investments - land, buildings, and equipment basis ▶ Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶ Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	6407875.	5384312.	5570828.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	6407875.	5384312.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 Total net assets or fund balances	6407875.	5384312.	
	31 Total liabilities and net assets/fund balances	6407875.	5384312.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6407875.
2 Enter amount from Part I, line 27a	2	-707149.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	5700726.
5 Decreases not included in line 2 (itemize) ▶ MARKET VALUATION ADJUSTMENT	5	316414.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5384312.

**THE SARAH L. BOLES AND JOSEPH R. ZIMMEL
FOUNDATION**

Form 990-PF (2009)

13-3596015 Page **3**

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			101699.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			101699.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	101699.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	572720.	6240621.	.091773
2007	1058760.	8441324.	.125426
2006	206983.	8935792.	.023163
2005	690000.	8013523.	.086104
2004	350000.	7983223.	.043842

2 Total of line 1, column (d)	2	.370308
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.074062
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	6249264.
5 Multiply line 4 by line 3	5	462833.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2019.
7 Add lines 5 and 6	7	464852.
8 Enter qualifying distributions from Part XII, line 4	8	899559.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.
Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)
3 Add lines 1 and 2
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-
6 Credits/Payments:
a 2009 estimated tax payments and 2008 overpayment credited to 2009
b Exempt foreign organizations - tax withheld at source
c Tax paid with application for extension of time to file (Form 8868)
d Backup withholding erroneously withheld
7 Total credits and payments. Add lines 6a through 6d
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ☐ Refunded ☐

1	2019.
2	0.
3	2019.
4	0.
5	2019.
6a	
6b	
6c	
6d	
7	0.
8	32.
9	2051.
10	
11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NJ</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

**THE SARAH L. BOLES AND JOSEPH R. ZIMMEL
FOUNDATION**

13-3596015

Page 5

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► KOLBRENNER & ALEXANDER, LLC Telephone no. ► 203-869-3199 Located at ► 15 VALLEY DRIVE, GREENWICH, CT ZIP+4 ► 06831			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ► _____, _____, _____	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		X

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOSEPH R ZIMMEL 159 PARSONAGE ROAD GREENWICH, CT 06830	TRUSTEE 0.00	0.	0.	0.
SARAH L. BOLES 159 PARSONAGE ROAD GREENWICH, CT 06830	TRUSTEE 0.00	0.	0.	0.
DAVID R. BOLES 85 BROAD STREET NEW YORK, NY 10004	TRUSTEE 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2009)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 PLEASE NOTE, THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUPPORT BY CONTRIBUTIONS, OTHER ORGANIZATIONS EXEMPT UNDER INTERNAL REVENUE CODE SECTION 501(C) (3).	0.
2	0.
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
a	Average monthly fair market value of securities	1a 5796970.
b	Average of monthly cash balances	1b 547460.
c	Fair market value of all other assets	1c
d	Total (add lines 1a, b, and c)	1d 6344430.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.
2	Acquisition indebtedness applicable to line 1 assets	2 0.
3	Subtract line 2 from line 1d	3 6344430.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4 95166.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5 6249264.
6	Minimum investment return. Enter 5% of line 5	6 312463.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1 312463.
2a	Tax on investment income for 2009 from Part VI, line 5	2a 2019.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b
c	Add lines 2a and 2b	2c 2019.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3 310444.
4	Recoveries of amounts treated as qualifying distributions	4 0.
5	Add lines 3 and 4	5 310444.
6	Deduction from distributable amount (see instructions)	6 0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7 310444.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a 899559.
b	Program-related investments - total from Part IX-B	1b 0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2
3	Amounts set aside for specific charitable projects that satisfy the:	
a	Suitability test (prior IRS approval required)	3a
b	Cash distribution test (attach the required schedule)	3b
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4 899559.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5 2019.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6 897540.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				310444.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005	296231.			
c From 2006				
d From 2007	644566.			
e From 2008	262891.			
f Total of lines 3a through e	1203688.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$	899559.			
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				310444.
e Remaining amount distributed out of corpus	589115.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1792803.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	1792803.			
10 Analysis of line 9:				
a Excess from 2005	296231.			
b Excess from 2006				
c Excess from 2007	644566.			
d Excess from 2008	262891.			
e Excess from 2009	589115.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

- 1** a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶
- b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed:

- b The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV
 Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE STATEMENT 7				
Total			▶ 3a	899559.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVII

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b. If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer or trustee <i>Joseph R. Zimm</i>		Date <i>9/02/10</i>	Title
	Paid Preparer's Use Only	Preparer's signature <i>Max Koller CPA</i>	Date <i>8/31/10</i>	Check if self-employed ☐
Firm's name (or yours if self-employed), address, and ZIP code		Preparer's identifying number		
Firm's name (or yours if self-employed), address, and ZIP code KOLBRENNER & ALEXANDER LLC 15 VALLEY DRIVE GREENWICH, CT 06831-5205		EIN 203-869-3199 Phone no. 203-869-3199		

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	GOLDMAN SACHS 009-41235-4-SEE ATTACHED	P		
b	GOLDMAN SACHS 009-41235-4-SEE ATTACHED	P		
c	GOLDMAN SACHS 009-52138-6-SEE ATTACHED	P		
d	GOLDMAN SACHS 009-52138-6-SEE ATTACHED	P		
e	GOLDMAN SACHS 009-52139-4-SEE ATTACHED	P		
f	GOLDMAN SACHS 009-52139-4-SEE ATTACHED	P		
g	GOLDMAN SACHS 009-54646-6-SEE ATTACHED	P		
h	GOLDMAN SACHS 009-54646-6-SEE ATTACHED	P		
i	GOLDMAN SACHS 009-55628-3-SEE ATTACHED	P		
j	GOLDMAN SACHS 009-55628-3-SEE ATTACHED	P		
k	GOLDMAN SACHS 038-00157-4-SEE ATTACHED	P		
l	GOLDMAN SACHS 038-00157-4-SEE ATTACHED	P		
m	GOLDMAN SACHS 038-08687-2-SEE ATTACHED	P		
n	GOLDMAN SACHS 038-08687-2-SEE ATTACHED	P		
o	GOLDMAN SACHS 038-11052-4-SEE ATTACHED	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			10563.
b			3195.
c			0.
d			-25265.
e			28146.
f			1467.
g			61884.
h			9302.
i			49518.
j			-55593.
k			0.
l			2770.
m			30904.
n			-2471.
o			-172.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			10563.
b			3195.
c			0.
d			-25265.
e			28146.
f			1467.
g			61884.
h			9302.
i			49518.
j			-55593.
k			0.
l			2770.
m			30904.
n			-2471.
o			-172.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a GOLDMAN SACHS 038-11052-4-SEE ATTACHED		P		
b				
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			-12549.
b			
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-12549.
b			
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	101699.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
GOLDMAN SACHS	945.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	945.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
GOLDMAN SACHS	124882.	0.	124882.
TOTAL TO FM 990-PF, PART I, LN 4	124882.	0.	124882.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	9500.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	9500.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	25616.	25616.		0.
TO FORM 990-PF, PG 1, LN 23	25616.	25616.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	5
-------------	-----------------	-----------	---

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
GS 038-08687-2	454604.	461181.
GS 038-11052-4	0.	0.
GS 009-41235-4	206814.	213099.
GS 038-00157-4	1862869.	1798573.
GS 009-38441-3	0.	114150.
GS 009-54646-6	476293.	514013.
GS 009-52138-6	0.	0.
GS 009-52139-4	438209.	455301.
GS 009-55628-3	494557.	517749.
TOTAL TO FORM 990-PF, PART II, LINE 10B	3933346.	4074066.

FORM 990-PF	CORPORATE BONDS	STATEMENT	6
-------------	-----------------	-----------	---

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
GS 038-08279-8	1226163.	1271959.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1226163.	1271959.

FORM 990-PF

GRANTS AND CONTRIBUTIONS
PAID DURING THE YEAR

STATEMENT 7

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
CARVER CENTER 400 WESTCHESTER AVENUE PORT CHESTER, NY 10573	NONE SEE ATTACHED FOOTNOTE	PUBLIC CHARITY	12500.
CLEARWATER CAMP FOUNDATION 7490 E. CLEARWATER ROAD MINOCQUA, WI 54548	NONE SEE ATTACHED FOOTNOTE	PUBLIC CHARITY	291534.
GEORGETOWN UNIVERSITY DEPARTMENT NUMBER 0734 WASHINGTON, DC 20073	NONE SEE ATTACHED FOOTNOTE	PUBLIC CHARITY	60000.
GREENWICH CENTER FOR HOPE & RENEWAL 237 TACONIC ROAD GREENWICH, CT 06831	NONE SEE ATTACHED FOOTNOTE	PUBLIC CHARITY	75000.
GREENWICH COUNTRY DAY SCHOOL 401 OLD CHURCH ROAD GREENWICH, CT 06836	NONE SEE ATTACHED FOOTNOTE	PUBLIC CHARITY	145000.
STUDENT SPONSOR PARTNERS 285 MADISON AVENUE NEW YORK, NY 10017	NONE SEE ATTACHED FOOTNOTE	PUBLIC CHARITY	50000.
WASHINGTON UNIVERSITY ONE BROOKINGS DRIVE ST LOUIS, MO 63130	NONE SEE ATTACHED FOOTNOTE	PUBLIC CHARITY	50000.
LIFESPING NETWORK P.O. BOX 782 ITASCA, IL 60143	NONE SEE ATTACHED FOOTNOTE	PUBLIC CHARITY	62000.

FIVER CHILDRENS FOUNDATION 519 EIGHTH AVENUE NEW YORK, NY 10018	NONE SEE ATTACHED FOOTNOTE	PUBLIC CHARITY	50000.
CHATTANOOGA RESCUE MISSION 924 EAST 8TH STREET CHATTANOOGA , TN 37403	NONE SEE ATTACHED FOOTNOTE	PUBLIC CHARITY	25000.
KIPS BAY BOYS & GIRLS CLUB 1903 RANDALL AVENUE BRONX, NY 10473	NONE SEE ATTACHED FOOTNOTE	PUBLIC CHARITY	10000.
JOHN BURROUGHS SCHOOL 755 SOUTH PRICE ROAD ST LOUIS, MO 63124	NONE SEE ATTACHED FOOTNOTE	PUBLIC CHARITY	10000.
MOUNT SINAI SCHOOL OF MEDICINE ONE GUSTAVE L. LEVY PLACE NEW YORK, NY 10029	NONE SEE ATTACHED FOOTNOTE	PUBLIC CHARITY	1000.
ERIKA'S LIGHTHOUSE P.O. BOX 616 WINNETKA, IL 60093	NONE SEE ATTACHED FOOTNOTE	PUBLIC CHARITY	10000.
SMALL WORLD ADOPTION FOUNDATION 14272 MANCHESTER ROAD MANCHESTER, MO 63011	NONE SEE ATTACHED FOOTNOTE	PUBLIC CHARITY	2500.
HOPE HAPPENS 101 SOUTH HANLEY ROAD ST LOUIS, MO 63105	NONE SEE ATTACHED FOOTNOTE	PUBLIC CHARITY	10000.
WOMEN OF VISION/WORLD VISION P.O. BOX 9716 FEDERAL WAY, WA 98063	NONE SEE ATTACHED FOOTNOTE	PUBLIC CHARITY	25.
CONNECTICUT PUBLIC BROADCASTING NETWORK 1049 ASYLUM AVENUE HARTFORD, CT 06105	NONE SEE ATTACHED FOOTNOTE	PUBLIC CHARITY	5000.

THE SARAH L. BOLES AND JOSEPH R. ZIMMEL

13-3596015

MUSEUM OF EARLY TRADES & CRAFTS	NONE	PUBLIC	5000.
9 MAIN STREET MADISON, NJ 07940	SEE ATTACHED FOOTNOTE	CHARITY	

FIVER CHILDRENS FOUNDATION	NONE	PUBLIC	25000.
519 EIGHTH AVENUE NEW YORK, NY	SEE ATTACHED FOOTNOTE	CHARITY	
10018			

TOTAL TO FORM 990-PF, PART XV, LINE 3A

899559.



PRIVATE WEALTH MANAGEMENT

Log Out

[Statements](#) [Tax Center](#) [Fund Correspondence](#) [E-Delivery](#) [Change Password](#) [Change E-mail](#)
Realized Gains/Losses 1099**Zimmel Boles Fdn #2 Brandywine Int Eq 009-41235-4**

1099 revisions: Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy : Global Currents: Non-US Equity
Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable:

- **Realized Gains and Losses.** See your realized gains and losses
- **1099s.** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab

Select an Account or Group

Zimmel Boles Fdn #2 Brand 009-41235-4

2 Choose An Existing View

Realized Gains/Losses
 > [Customize](#) this view or
 > [Create One](#)

Choose a Date Range

From Date 01-Aug-2009
 To Date 31-Jul-2010

4 Search By Symbol/CUSIP

Include Options ☐

Gain Type	Gains	Losses	Total
<u>Short-Term</u>	12,541.37	-1,978.44	10,562.92
<u>Long-Term</u>	8,721.76	-5,527.05	3,194.70
Total Realized Gains/Losses	21,263.12	-7,505.50	13,757.62

Page 1 of 2 [Next](#) > Go to page: 1**Realized Gains/Losses**

Symbol	Description	Trade Date	Date Sold / Covered (A)	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
SYIEY	SYMRISE AG UNSPONSORED ADR CMN	18-Nov-2008	03-Aug-2009	13	215.32	160.170	55.15	257	Short-Term
SYIEY	SYMRISE AG UNSPONSORED ADR CMN	20-Nov-2008	03-Aug-2009	2	33.13	22.500	10.63	255	Short-Term
MKTAY	MAKITA CORP SPONS (ADR) ADR CMN	09-Dec-2008	03-Aug-2009	59	1,522.40	1,103.973	418.43	236	Short-Term
SYIEY	SYMRISE AG UNSPONSORED ADR CMN	20-Nov-2008	04-Aug-2009	16	262.51	180.000	82.51	256	Short-Term
TOT	TOTAL SA SPONSORED ADR CMN	29-Oct-2008	07-Aug-2009	17	925.34	922.104	3.24	282	Short-Term
TOT	TOTAL SA SPONSORED ADR CMN	27-Feb-2009	07-Aug-2009	55	2,993.76	2,632.200	361.56	160	Short-Term
SYIEY	SYMRISE AG UNSPONSORED ADR CMN	25-Nov-2008	10-Aug-2009	15	239.16	184.910	54.25	257	Short-Term
SYIEY	SYMRISE AG UNSPONSORED ADR CMN	20-Nov-2008	10-Aug-2009	7	111.61	78.750	32.86	262	Short-Term
SYIEY	SYMRISE AG UNSPONSORED ADR CMN	02-Dec-2008	10-Aug-2009	8	127.55	90.512	37.04	250	Short-Term
SYIEY	SYMRISE AG UNSPONSORED ADR CMN	02-Dec-2008	12-Aug-2009	32	495.39	362.048	133.34	252	Short-Term
NSRGY	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	17-Mar-2009	26-Aug-2009	17	683.77	532.637	151.13	162	Short-Term
NSRGY	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	09-Mar-2009	26-Aug-2009	21	844.66	643.082	201.58	170	Short-Term
NTES	NETEASE COM INC SPONSORED ADR	22-May-2009	26-Aug-2009	14	598.73	460.790	137.94	96	Short-Term
NTES	NETEASE COM INC SPONSORED ADR	02-Apr-2009	26-Aug-2009	24	1,026.40	660.954	365.44	146	Short-Term
DBOEY	DEUTSCHE BOERSE AG UNPOSNORED ADR CMN	20-Nov-2008	27-Aug-2009	32	244.36	203.093	41.27	279	Short-Term
DBOEY	DEUTSCHE BOERSE AG UNPOSNORED ADR CMN	17-Mar-2009	27-Aug-2009	157	1,198.90	797.920	400.98	163	Short-Term
FBRWY	FOSTERS GROUP LTD SPONSORED ADR CMN	08-Oct-2008	27-Aug-2009	130	584.38	521.363	63.02	323	Short-Term
DBOEY	DEUTSCHE BOERSE AG UNPOSNORED ADR CMN	05-May-2009	27-Aug-2009	120	916.35	886.960	29.39	114	Short-Term
FBRWY	FOSTERS GROUP LTD SPONSORED ADR CMN	09-Oct-2008	27-Aug-2009	104	467.51	424.766	42.74	322	Short-Term
SPIL	SILICONWARE PRECISION IND CO L SPONSORED ADR	11-Apr-2006	28-Aug-2009	423	2,669.53	2,555.287	114.24	1,235	Long-Term
NBHG	NOBEL BIOCARE HOLDING AG ADR CMN	29-Oct-2008	02-Sep-2009	100	1,407.14	838.167	568.97	308	Short-Term
SKFRY	SKF AB SPONSORED ADR SWKR 12 50	05-May-2009	02-Sep-2009	90	1,302.26	1,060.882	241.38	120	Short-Term
NBHG	NOBEL BIOCARE HOLDING AG ADR CMN	29-Oct-2008	04-Sep-2009	32	466.07	268.214	197.86	310	Short-Term

NBHG	NOBEL BIOCARE HOLDING AG ADR CMN	05-Nov-2008	04-Sep-2009	8	116 52	79 200	37 32	302	Short-Term
RYAY	RYANAIR HOLDINGS PLC ADR SPONSORED ADR CMN	25-Jul-2008	04-Sep-2009	57	1,609 59	1,951 150	-341 56	406	Long-Term
RYAY	RYANAIR HOLDINGS PLC ADR SPONSORED ADR CMN	07-Oct-2008	04-Sep-2009	57	1,609 59	973 120	636 47	332	Short-Term
NBHG	NOBEL BIOCARE HOLDING AG ADR CMN	05-Nov-2008	09-Sep-2009	26	395 26	257 400	137 86	307	Short-Term
NBHG	NOBEL BIOCARE HOLDING AG ADR CMN	09-Dec-2008	09-Sep-2009	21	319 25	160 240	159 01	273	Short-Term
NSRG	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	17-Mar-2009	18-Sep-2009	45	1,928 88	1,409 923	518 96	185	Short-Term
NSRG	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	15-Apr-2009	18-Sep-2009	20	857 28	682 300	174 98	156	Short-Term
NSRG	NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS)	05-May-2009	18-Sep-2009	18	771 55	619 520	152 03	136	Short-Term
WOPE	WOODSIDE PETROLEUM SPONS ADR (NEW)	26-Mar-2009	22-Sep-2009	39	1,712 21	1,134 127	578 08	180	Short-Term
TLSY	TELSTRA CORP ADR (FINAL) SPONSORED ADR CMN	08-Oct-2008	13-Oct-2009	13	187 70	185 000	2 70	370	Long-Term
TLSY	TELSTRA CORP ADR (FINAL) SPONSORED ADR CMN	07-Oct-2008	13-Oct-2009	60	866 30	947 617	-81 32	371	Long-Term
TLSY	TELSTRA CORP ADR (FINAL) SPONSORED ADR CMN	30-Oct-2008	13-Oct-2009	26	375 40	366 225	9 17	348	Short-Term
FTE	FRANCE TELECOM SA SPONSORED ADR CMN	28-Jul-2006	14-Oct-2009	5	132 79	113 232	19 56	1,174	Long-Term
FTE	FRANCE TELECOM SA SPONSORED ADR CMN	14-Sep-2006	14-Oct-2009	43	1,142 03	957 664	184 36	1,126	Long-Term
SBMR	SABMILLER PLC SPONSORED ADR	31-Mar-2008	15-Oct-2009	32	850 20	707 296	142 90	563	Long-Term
VOD	VODAFONE GROUP PLC SPONSORED ADR CMN	09-Jun-2006	19-Oct-2009	35	775 32	885 560	-110 24	1,228	Long-Term
VOD	VODAFONE GROUP PLC SPONSORED ADR CMN	20-Jan-2006	19-Oct-2009	11	243 67	262 697	-19 02	1,367	Long-Term
VOD	VODAFONE GROUP PLC SPONSORED ADR CMN	13-Jul-2006	19-Oct-2009	41	908 24	980 083	-71 85	1,194	Long-Term
SECV	SECHE ENVIRONNEMENT UNSPONSORED ADR CMN	06-Jan-2009	20-Oct-2009	10	187 74	118 108	69 63	286	Short-Term
TKOM	TOKIO MARINE HOLDINGS, INC ADR CMN	11-Apr-2006	20-Oct-2009	70	1,865 98	2,848 720	-982 74	1,288	Long-Term
SECV	SECHE ENVIRONNEMENT UNSPONSORED ADR CMN	06-Jan-2009	21-Oct-2009	7	134 50	82 676	51 83	287	Short-Term
SECV	SECHE ENVIRONNEMENT UNSPONSORED ADR CMN	13-Jan-2009	21-Oct-2009	3	57 64	36 778	20 87	280	Short-Term
SECV	SECHE ENVIRONNEMENT UNSPONSORED ADR CMN	20-Jan-2009	29-Oct-2009	9	150 10	97 155	52 94	281	Short-Term
SECV	SECHE ENVIRONNEMENT UNSPONSORED ADR CMN	13-Jan-2009	29-Oct-2009	22	366 91	269 702	97 21	288	Short-Term
SBMR	SABMILLER PLC SPONSORED ADR	03-Mar-2009	11-Nov-2009	7	193 42	94 868	98 55	253	Short-Term
SBMR	SABMILLER PLC SPONSORED ADR	31-Mar-2008	11-Nov-2009	48	1,326 29	1,060 944	265 35	590	Long-Term
NJ	NIDEC CORP SPONSORED ADR	05-Aug-2008	13-Nov-2009	62	1,292 74	1,082 834	209 91	465	Long-Term
NJ	NIDEC CORP SPONSORED ADR	24-Mar-2008	13-Nov-2009	51	1,063 39	813 814	249 57	599	Long-Term
NJ	NIDEC CORP SPONSORED ADR	05-Aug-2008	19-Nov-2009	13	254 96	227 046	27 92	471	Long-Term
NJ	NIDEC CORP SPONSORED ADR	12-Mar-2009	19-Nov-2009	66	1,294 43	701 470	592 96	252	Short-Term
NOK	NOKIA CORP SPON ADR SPONSORED ADR CMN	17-Apr-2009	30-Nov-2009	168	2,215 36	2,530 984	-315 63	227	Short-Term
NOK	NOKIA CORP SPON ADR SPONSORED ADR CMN	05-May-2009	30-Nov-2009	29	382 41	440 080	-57 67	209	Short-Term
UBS	UBS AG CMN	06-Oct-2008	30-Nov-2009	69	1,070 10	1,265 399	-195 30	420	Long-Term
UBS	UBS AG CMN	23-Mar-2009	30-Nov-2009	25	387 72	300 720	87 00	252	Short-Term
AAUK	ANGLO AMERICAN PLC ADR CMN	18-Mar-2009	10-Dec-2009	68	1,392 15	532 414	859 74	267	Short-Term
STO	STATOILHYDRO ASA SPONSORED ADR CMN	10-Aug-2009	10-Dec-2009	103	2,527 23	2,188 967	338 26	122	Short-Term
UBS	UBS AG CMN	15-Apr-2009	05-Jan-2010	61	977 58	660 650	316 93	265	Short-Term
UBS	UBS AG CMN	23-Mar-2009	05-Jan-2010	49	785 27	589 410	195 86	288	Short-Term
UBS	UBS AG CMN	17-Sep-2009	05-Jan-2010	31	496 80	573 000	-76 20	110	Short-Term
VOD	VODAFONE GROUP PLC SPONSORED ADR CMN	13-Jul-2006	05-Jan-2010	22	505 55	525 899	-20 35	1,272	Long-Term
SECV	SECHE ENVIRONNEMENT UNSPONSORED ADR CMN	20-Jan-2009	13-Jan-2010	5	86 74	53 975	32 76	358	Short-Term
ABX	BARRICK GOLD CORPORATION CMN	09-Mar-2009	14-Jan-2010	35	1,405 05	965 720	439 33	311	Short-Term

EXPGY	EXPERIAN PLC SPONSORED ADR CMN	06-Oct-2008	14-Jan-2010	67	649 67	384 278	265 39	465	Long-Term
EXPGY	EXPERIAN PLC SPONSORED ADR CMN	02-Mar-2009	14-Jan-2010	3	29 09	17 812	11 28	318	Short-Term
SECVY	SECHE ENVIRONNEMENT UNSPONSORED ADR CMN	20-Jan-2009	19-Jan-2010	5	86 79	53 975	32 82	364	Short-Term
SECVY	SECHE ENVIRONNEMENT UNSPONSORED ADR CMN	03-Feb-2009	21-Jan-2010	4	68 30	43 668	24 63	352	Short-Term
SECVY	SECHE ENVIRONNEMENT UNSPONSORED ADR CMN	20-Jan-2009	21-Jan-2010	1	17 07	10 795	6 28	366	Long-Term
AGU	AGRIUM INC CMN	15-May-2009	22-Jan-2010	19	1,141 41	921 988	219 42	252	Short-Term
SECVY	SECHE ENVIRONNEMENT UNSPONSORED ADR CMN	11-Feb-2009	25-Jan-2010	4	64 26	48 076	16 19	348	Short-Term
PUBGY	PUBLICIS GROUPE SA SPONSORED ADR CMN	06-Aug-2008	25-Jan-2010	50	1,039 20	848 638	190 56	537	Long-Term
SECVY	SECHE ENVIRONNEMENT UNSPONSORED ADR CMN	03-Feb-2009	25-Jan-2010	6	96 40	65 502	30 89	356	Short-Term
PUBGY	PUBLICIS GROUPE SA SPONSORED ADR CMN	06-Aug-2008	26-Jan-2010	28	572 84	475 237	97 60	538	Long-Term
CHEUY	CHEUNG KONG HLDGS LTD (ADR) ADR CMN	04-May-2007	28-Jan-2010	100	1,197 30	1,386 240	-188 94	1,000	Long-Term
CHEUY	CHEUNG KONG HLDGS LTD (ADR) ADR CMN	01-Dec-2006	28-Jan-2010	80	957 84	946 206	11 63	1,154	Long-Term
CHEUY	CHEUNG KONG HLDGS LTD (ADR) ADR CMN	13-Jul-2007	28-Jan-2010	16	191 57	226 499	-34 93	930	Long-Term
SECVY	SECHE ENVIRONNEMENT UNSPONSORED ADR CMN	11-Feb-2009	29-Jan-2010	5	78 74	60 095	18 64	352	Short-Term
LNMIY	LONMIN PLC SPONSORED ADR CMN	13-Mar-2009	05-Feb-2010	24	650 44	444 460	205 98	329	Short-Term
MTUAY	MTU AERO ENGINES HOLDINGS AG UNSPONSORED ADR CMN	11-Nov-2008	05-Feb-2010	15	361 66	159 993	201 67	451	Long-Term
PKX	POSCO SPONSORED ADR CMN	07-Aug-2009	05-Feb-2010	7	764 96	720 580	44 38	182	Short-Term
MTUAY	MTU AERO ENGINES HOLDINGS AG UNSPONSORED ADR CMN	11-Nov-2008	08-Feb-2010	13	311 98	138 660	173 32	454	Long-Term
THKLY	THK CO , LTD UNSPONSORED ADR CMN	11-Nov-2008	08-Feb-2010	75	723 55	530 827	192 72	454	Long-Term
SECVY	SECHE ENVIRONNEMENT UNSPONSORED ADR CMN	12-Feb-2009	09-Feb-2010	14	207 12	165 822	41 30	362	Short-Term
SECVY	SECHE ENVIRONNEMENT UNSPONSORED ADR CMN	11-Feb-2009	09-Feb-2010	1	14 80	12 019	2 78	363	Short-Term
THKLY	THK CO , LTD UNSPONSORED ADR CMN	11-Nov-2008	09-Feb-2010	26	246 52	184 020	62 50	455	Long-Term
SBMRY	SABMILLER PLC SPONSORED ADR	03-Mar-2009	12-Feb-2010	62	1,626 76	840 264	786 50	346	Short-Term
CHEUY	CHEUNG KONG HLDGS LTD (ADR) ADR CMN	13-Jul-2007	19-Feb-2010	134	1,579 60	1,896 931	-317 33	952	Long-Term
SECVY	SECHE ENVIRONNEMENT UNSPONSORED ADR CMN	12-Feb-2009	23-Feb-2010	5	71 07	59 222	11 85	376	Long-Term
HNP	HUANENG POWER INTL INC ADS SPONSORED ADR CMN SERIES N	12-Dec-2008	05-Mar-2010	69	1,668 97	1,800 203	-131 23	448	Long-Term
NHYDY	NORSK HYDRO ASA SPONSORED ADR CMN	11-Jun-2009	08-Mar-2010	255	1,849 84	1,588 702	261 14	270	Short-Term
NHYDY	NORSK HYDRO ASA SPONSORED ADR CMN	27-Jul-2009	08-Mar-2010	113	819 74	647 900	171 84	224	Short-Term
STO	STATOILHYDRO ASA SPONSORED ADR CMN	10-Aug-2009	11-Mar-2010	92	2,136 17	1,955 193	180 98	213	Short-Term
WHLKY	WHEELLOCK AND COMPANY LIMITED UNSPONSORED ADR CMN	24-Dec-2008	15-Mar-2010	5	146 34	107 562	38 78	445	Long-Term
SECVY	SECHE ENVIRONNEMENT UNSPONSORED ADR CMN	12-Feb-2009	16-Mar-2010	5	73 91	59 222	14 69	396	Long-Term
SECVY	SECHE ENVIRONNEMENT UNSPONSORED ADR CMN	12-Feb-2009	17-Mar-2010	1	14 40	11 844	2 56	397	Long-Term
SECVY	SECHE ENVIRONNEMENT UNSPONSORED ADR CMN	12-Mar-2009	17-Mar-2010	29	417 74	295 640	122 10	370	Long-Term
AGU	AGRIUM INC CMN	15-May-2009	19-Mar-2010	3	210 29	145 577	64 71	308	Short-Term

Page 1 of 2 [Next](#) > [Go to page.](#)

* Global Manager StrategiesSM and GMS Alpha+SM are registered service marks of Goldman, Sachs & Co

- N Recent news is available regarding this company G Goldman Sachs has recently published research regarding this company News and Research Indicators are based on Eastern Standard Time (United States)

* The Bank Deposit Account (BDA), Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, a member of the FDIC Bank Deposits, Certificates of Deposit and Term Deposits will be held in your Goldman, Sachs & Co account and are FDIC-Insured, up to a maximum of \$250,000 Note that the maximum is the total protection available for funds in your Bank Deposit Account, your Certificate of Deposit account, and your Term Deposit Account, together with any other deposit accounts you may hold at the Bank in the same title and capacity For more information on how FDIC insurance coverage works, visit www.fdic.gov

Goldman Sachs Bank USA no longer participates in the FDIC's Transaction Account Guarantee Program All non-interest bearing transaction and NOW accounts are insured up to the applicable limit under the FDIC's general deposit insurance rules

Securities, mutual funds, annuities and other non-deposit investment products are issued by Goldman, Sachs & Co BDA, Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, an affiliate of Goldman, Sachs & Co Securities, mutual funds, free credit balances, annuities and other non-deposit investment products are not deposits or other obligations of Goldman Sachs Bank USA or any other bank, are not FDIC-insured, are not insured against loss of value by any governmental agency, and are subject to investment risk, including possible loss of principal amount invested FDIC insurance and SIPC coverage are different SIPC covers against loss of securities (up to a maximum of



PRIVATE WEALTH MANAGEMENT

Log Out

[Statements](#) [Tax Center](#) [Fund Correspondence](#) [E-Delivery](#) [Change Password](#) [Change E-mail](#)
Realized Gains/Losses 1099**Zimmer Boles Fdn #2 Brandywine Int Eq 009-41235-4**

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March If you are issued a revised Form 1099 it will be posted separately from your original Form 1099 Please note that we will only furnish the IRS with the final version of your 1099s If you have any questions, please contact your Private Wealth Management team

Separate Account Strategy Global Currents: Non-US Equity
Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable

- **Realized Gains and Losses.** See your realized gains and losses
- **1099s.** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab

Select an Account or Group

Zimmer Boles Fdn #2 Brand 009-41235-4

2 Choose An Existing View

Realized Gains/Losses

> [Customize](#) this view or
 > [Create One](#)

Choose a Date Range

From Date 01-Aug-2009

To Date 31-Jul-2010

4 Search By Symbol/CUSIP

Include Options ☐

Gain Type

Short-Term

Long-Term

Gains

12,541.37

8,721.76

Losses

-1,978.44

-5,527.05

Total

10,562.92

3,194.70

Total Realized Gains/Losses:

21,263.12

-7,505.50

13,757.62

< [Previous](#) Page 2 of 2 Go to page:

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered (A)	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
AGU	AGRIUM INC CMN	22-May-2009	19-Mar-2010	24	1,682.31	1,218.140	464.17	301	Short-Term
WHLKY	WHELOCK AND COMPANY LIMITED UNSPONSORED ADR CMN	24-Dec-2008	23-Mar-2010	15	440.04	322.688	117.35	453	Long-Term
GULRY	GUOCO GROUP LTD UNSPONSORED ADR CMN	06-Jan-2009	24-Mar-2010	10	206.11	119.127	86.98	441	Long-Term
WHLKY	WHELOCK AND COMPANY LIMITED UNSPONSORED ADR CMN	24-Dec-2008	24-Mar-2010	10	295.34	215.125	80.22	454	Long-Term
WHLKY	WHELOCK AND COMPANY LIMITED UNSPONSORED ADR CMN	24-Dec-2008	25-Mar-2010	5	146.94	107.562	39.38	455	Long-Term
WHLKY	WHELOCK AND COMPANY LIMITED UNSPONSORED ADR CMN	06-Jan-2009	25-Mar-2010	5	146.94	115.196	31.75	442	Long-Term
WHLKY	WHELOCK AND COMPANY LIMITED UNSPONSORED ADR CMN	21-Jan-2009	26-Mar-2010	5	150.32	90.427	59.90	428	Long-Term
WHLKY	WHELOCK AND COMPANY LIMITED UNSPONSORED ADR CMN	06-Jan-2009	26-Mar-2010	20	601.29	460.784	140.50	443	Long-Term
VOD	VODAFONE GROUP PLC SPONSORED ADR CMN	13-Jul-2006	26-Mar-2010	51	1,117.29	1,219.128	-101.84	1,352	Long-Term
VOD	VODAFONE GROUP PLC SPONSORED ADR CMN	15-Nov-2006	26-Mar-2010	80	1,752.62	2,100.800	-348.18	1,226	Long-Term
WHLKY	WHELOCK AND COMPANY LIMITED UNSPONSORED ADR CMN	21-Jan-2009	29-Mar-2010	10	304.32	180.853	123.47	431	Long-Term
WHLKY	WHELOCK AND COMPANY LIMITED UNSPONSORED ADR CMN	21-Jan-2009	31-Mar-2010	30	882.06	542.560	339.50	433	Long-Term
GULRY	GUOCO GROUP LTD UNSPONSORED ADR CMN	06-Jan-2009	31-Mar-2010	5	104.23	59.563	44.67	448	Long-Term
GULRY	GUOCO GROUP LTD UNSPONSORED ADR CMN	08-Jan-2009	07-Apr-2010	3	63.16	34.541	28.62	453	Long-Term
GULRY	GUOCO GROUP LTD UNSPONSORED ADR CMN	06-Jan-2009	07-Apr-2010	12	252.63	142.952	109.68	455	Long-Term
FBRWY	FOSTERS GROUP LTD SPONSORED ADR CMN	09-Oct-2008	07-Apr-2010	136	670.78	555.464	115.32	545	Long-Term
FBRWY	FOSTERS GROUP LTD SPONSORED ADR CMN	02-Mar-2009	07-Apr-2010	105	517.88	372.630	145.25	400	Long-Term
FBRWY	FOSTERS GROUP LTD SPONSORED ADR CMN	09-Mar-2009	07-Apr-2010	342	1,686.82	1,180.790	506.03	394	Long-Term
GULRY	GUOCO GROUP LTD UNSPONSORED ADR CMN	08-Jan-2009	08-Apr-2010	5	105.22	57.568	47.65	454	Long-Term
GULRY	GUOCO GROUP LTD UNSPONSORED ADR CMN	08-Jan-2009	09-Apr-2010	35	745.25	402.975	342.28	455	Long-Term
GULRY	GUOCO GROUP LTD UNSPONSORED ADR CMN	08-Jan-2009	12-Apr-2010	5	106.04	57.568	48.47	458	Long-Term
GULRY	GUOCO GROUP LTD UNSPONSORED ADR CMN	08-Jan-2009	13-Apr-2010	5	102.69	57.568	45.12	459	Long-Term
GULRY	GUOCO GROUP LTD UNSPONSORED ADR CMN	08-Jan-2009	14-Apr-2010	10	204.76	115.135	89.62	460	Long-Term

GULRY	GUOCO GROUP LTD UNSPONSORED ADR CMN	16-Jan-2009	15-Apr-2010	15	304 29	178 530	125 76	453	Long-Term
GULRY	GUOCO GROUP LTD UNSPONSORED ADR CMN	08-Jan-2009	15-Apr-2010	7	142 00	80 595	61 40	461	Long-Term
GULRY	GUOCO GROUP LTD UNSPONSORED ADR CMN	29-Jan-2009	15-Apr-2010	18	365 14	211 050	154 09	440	Long-Term
DB	DEUTSCHE BANK AKTIENGESELLSCHA CMN	03-Dec-2009	22-Apr-2010	16	1,153 82	1,181 903	-28 08	139	Short-Term
LPL	LG DISPLAY CO LTD SPONSORED ADR CMN 1 ADR = 0 5 ORDINARY SHARES	22-Apr-2009	23-Apr-2010	43	882 44	548 886	333 55	366	Long-Term
RIG	TRANSOCEAN LTD CMN	04-Sep-2009	23-Apr-2010	14	1,231 97	1,062 136	169 83	231	Short-Term
FTE	FRANCE TELECOM SA SPONSORED ADR CMN	14-Sep-2006	26-Apr-2010	52	1,177 41	1,158 106	19 31	1,320	Long-Term
FTE	FRANCE TELECOM SA SPONSORED ADR CMN	27-Aug-2009	26-Apr-2010	65	1,471 77	1,678 550	-206 78	242	Short-Term
DDAIF	DAIMLER AG CMN ISIN DE0007100000	10-Jun-2009	28-Apr-2010	17	851 59	642 306	209 28	322	Short-Term
RIG	TRANSOCEAN LTD CMN	04-Sep-2009	30-Apr-2010	21	1,534 84	1,593 204	-58 36	238	Short-Term
RIG	TRANSOCEAN LTD CMN	17-Sep-2009	30-Apr-2010	16	1,169 40	1,367 470	-198 07	225	Short-Term
DB	DEUTSCHE BANK AKTIENGESELLSCHA CMN	03-Dec-2009	07-May-2010	30	1,805 68	2,216 067	-410 39	154	Short-Term
DDAIF	DAIMLER AG CMN ISIN DE0007100000	10-Jun-2009	20-May-2010	14	659 02	528 958	130 06	344	Short-Term
SI	SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	11-Apr-2006	20-May-2010	18	1,585 59	1,662 300	-76 71	1,500	Long-Term
LPL	LG DISPLAY CO LTD SPONSORED ADR CMN 1 ADR = 0 5 ORDINARY SHARES	22-Apr-2009	27-May-2010	15	265 66	191 472	74 19	400	Long-Term
LPL	LG DISPLAY CO LTD SPONSORED ADR CMN 1 ADR = 0 5 ORDINARY SHARES	22-May-2009	27-May-2010	101	1,788 80	1,172 330	616 47	370	Long-Term
NPSKY	NSK LTD ADR SPONSORED ADR CMN	17-Aug-2009	03-Jun-2010	19	1,368 56	1,202 497	166 06	290	Short-Term
MTUAY	MTU AERO ENGINES HOLDINGS AG UNSPONSORED ADR CMN	18-Nov-2008	16-Jun-2010	4	120 98	42 757	78 22	574	Long-Term
MTUAY	MTU AERO ENGINES HOLDINGS AG UNSPONSORED ADR CMN	11-Nov-2008	16-Jun-2010	27	816 61	287 987	528 62	581	Long-Term
SMFJY	SUMITOMO MITSUI FINL GROUP INC ADR	25-Apr-2008	25-Jun-2010	224	649 58	1,729 618	-1,080 03	791	Long-Term
MTUAY	MTU AERO ENGINES HOLDINGS AG UNSPONSORED ADR CMN	18-Nov-2008	25-Jun-2010	14	404 38	149 649	254 73	583	Long-Term
LNMIY	LONMIN PLC SPONSORED ADR CMN	13-Mar-2009	25-Jun-2010	25	568 70	462 979	105 72	469	Long-Term
CS	CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN	17-Sep-2009	25-Jun-2010	16	615 93	888 110	-272 18	281	Short-Term
CS	CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN	06-Oct-2008	25-Jun-2010	44	1,693 81	2,030 742	-336 93	627	Long-Term
DOX	AMDOCS LIMITED ORDINARY SHARES	29-Oct-2008	25-Jun-2010	87	2,356 30	1,774 646	581 65	604	Long-Term
SMFJY	SUMITOMO MITSUI FINL GROUP INC ADR	24-Apr-2008	25-Jun-2010	236	684 38	1,772 929	-1,088 54	792	Long-Term
LNMIY	LONMIN PLC SPONSORED ADR CMN	20-Oct-2009	28-Jun-2010	11	255 65	314 362	-58 71	251	Short-Term
MTUAY	MTU AERO ENGINES HOLDINGS AG UNSPONSORED ADR CMN	18-Nov-2008	28-Jun-2010	7	204 82	74 824	130 00	586	Long-Term
LNMIY	LONMIN PLC SPONSORED ADR CMN	13-Mar-2009	28-Jun-2010	9	209 17	166 672	42 50	472	Long-Term
JMHLY	JARDINE MATHESON HOLDINGS LTD ADR CMN	31-Mar-2008	09-Jul-2010	11	432 14	348 447	83 69	830	Long-Term
LNMIY	LONMIN PLC SPONSORED ADR CMN	19-Nov-2009	12-Jul-2010	18	392 17	511 970	-119 80	234	Short-Term
LNMIY	LONMIN PLC SPONSORED ADR CMN	20-Oct-2009	12-Jul-2010	26	566 46	743 038	-176 58	265	Short-Term
JMHLY	JARDINE MATHESON HOLDINGS LTD ADR CMN	31-Mar-2008	12-Jul-2010	7	273 61	221 739	51 87	833	Long-Term
JMHLY	JARDINE MATHESON HOLDINGS LTD ADR CMN	02-Apr-2008	12-Jul-2010	18	703 57	549 650	153 92	831	Long-Term
DNZOY	DENSO CORP ADR ADR CMN	30-Oct-2008	13-Jul-2010	4	478 53	302 404	176 13	621	Long-Term
TOTAL					116,114.27		13,757 62		

< [Previous](#) Page 2 of 2 Go to page: [99](#)

* Global Manager StrategiesSM and GMS Alpha+SM are registered service marks of Goldman, Sachs & Co

- N Recent news is available regarding this company G Goldman Sachs has recently published research regarding this company News and Research indicators are based on Eastern Standard Time (United States)

* The Bank Deposit Account (BDA), Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, a member of the FDIC Bank Deposits, Certificates of Deposit and Term Deposits will be held in your Goldman, Sachs & Co account and are FDIC-Insured, up to a maximum of \$250,000 Note that the maximum is the total protection available for funds in your Bank Deposit Account, your Certificate of Deposit account, and your Term Deposit Account, together with any other deposit accounts you may hold at the Bank in the same title and capacity For more information on how FDIC insurance coverage works, visit www.fdic.gov

Goldman Sachs Bank USA no longer participates in the FDIC's Transaction Account Guarantee Program All non-interest bearing transaction and NOW accounts are insured up to the applicable limit under the FDIC's general deposit insurance rules



PRIVATE WEALTH MANAGEMENT

[Log Out](#)
[Statements](#) [Tax Center](#) [Fund Correspondence](#) [E-Delivery](#) [Change Password](#) [Change E-mail](#)
Realized Gains/Losses 1099**Zimmel Boles FDN #2 GS: Eq and FI 009-52138-6 (Closed)**

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Base Currency USD

The Tax Center contains the following information about your account(s) if applicable:

- **Realized Gains and Losses.** See your realized gains and losses
- **1099s.** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab

Select an Account or Group	2 Choose An Existing View	Choose a Date Range	4 Search By Symbol/CUSIP
Zimmel Boles FDN #2 GS E 009-52138-6 (Closed) go	Realized Gains/Losses go Customize this view or Create One	From Date 01-Aug-2009 To Date 31-Jul-2010 go	go Include Options ☐
	Gain Type	Gains	Losses
	Short-Term	0 00	0 00
	Long-Term	0 00	-25,264 50
	Total Realized Gains/Losses:	0.00	-25,264 50
			Total
			0 00
			-25,264 50
			-25,264 50

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered (A)	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
EFA	ISHARES TR-ISHARES MSCI EAFE INDEX FUND ETF	02-Sep-2008	04-Dec-2009	3,770	213,527 30	238,791 800	-25,264 50	458	Long-Term

- Global Manager StrategiesSM and GMS Alpha+SM are registered service marks of Goldman, Sachs & Co.

- N Recent news is available regarding this company. G Goldman Sachs has recently published research regarding this company. News and Research indicators are based on Eastern Standard Time (United States).

- The Bank Deposit Account (BDA), Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, a member of the FDIC. Bank Deposits, Certificates of Deposit and Term Deposits will be held in your Goldman, Sachs & Co. account and are FDIC-insured, up to a maximum of \$250,000. Note that the maximum is the total protection available for funds in your Bank Deposit Account, your Certificate of Deposit account, and your Term Deposit Account, together with any other deposit accounts you may hold at the Bank in the same title and capacity. For more information on how FDIC insurance coverage works, visit www.fdic.gov.

Goldman Sachs Bank USA no longer participates in the FDIC's Transaction Account Guarantee Program. All non-interest bearing transaction and NOW accounts are insured up to the applicable limit under the FDIC's general deposit insurance rules.

Securities, mutual funds, annuities and other non-deposit investment products are issued by Goldman, Sachs & Co. BDA, Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, an affiliate of Goldman, Sachs & Co. Securities, mutual funds, free credit balances, annuities and other non-deposit investment products are not deposits or other obligations of Goldman Sachs Bank USA or any other bank, are not FDIC-insured, are not insured against loss of value by any governmental agency, and are subject to investment risk, including possible loss of principal amount invested. FDIC insurance and SIPC coverage are different. SIPC covers against loss of securities (up to a maximum of \$500,000 per customer) or cash (up to a maximum of \$250,000 within the aggregate \$500,000 customer limit) in the event of the bankruptcy of a securities broker-dealer, such as GS&Co. SIPC coverage does not protect against a decline in the value of securities. FDIC insurance protection, up to an aggregate limit of \$250,000 covers against loss in the event a depository institution, such as the Bank, fails. Unlimited insurance is available through December 2009 for non-interest bearing demand deposit accounts. Securities, mutual funds and similar types of non-deposit investment products are not covered by FDIC deposit insurance.

- Click for important information concerning [Goldman Sachs Financial Markets, L.P.](#) account(s).

- This information is for general guidance and, except for official Form 1099s, should not be used for tax purposes. This information is not intended and should not be construed as tax, accounting or legal advice. Please consult with your tax advisor regarding the tax consequences of your investment decisions before acting based on this information. The cost basis and acquisition dates for securities delivered into your Goldman Sachs account after purchase were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information. Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable.

- Note: this page includes information for accounts that are closed.

[Send us your comments](#) [Format this page for printing](#) [Download to spreadsheet](#)

If you have any questions or need immediate assistance, please call Client Support at +1-877-GOLDMAN (877-465-3626).

Prepared for Max Kolbrenner
Tue 17 Aug 2010 2 54 PM EDT

© Copyright 2010
The Goldman Sachs Group, Inc.
All rights reserved.

Please read our [Legal Disclosures & Disclaimers](#)
and [Customer Agreement](#)
and about [Privacy & Security](#).
Important information on [trading options](#).
Important information about [Trust Co. Accounts](#) with
Goldman Sachs Trust Company, N.A.

Goldman, Sachs & Co. Member [SIPC](#)

System response and account access times may
vary due to market conditions, system performance
and other factors.



PRIVATE WEALTH MANAGEMENT

[Log Out](#)
[Statements](#) [Tax Center](#) [Fund Correspondence](#) [E-Delivery](#) [Change Password](#) [Change E-mail](#)
Realized Gains/Losses 1099**Zimmel Boles FDN #2 Westfield: LCG 009-52139-4**

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March If you are issued a revised Form 1099 it will be posted separately from your original Form 1099 Please note that we will only furnish the IRS with the final version of your 1099s If you have any questions, please contact your Private Wealth Management team

Separate Account Strategy : Westfield: Large Cap Growth
Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable

- **Realized Gains and Losses.** See your realized gains and losses
- **1099s.** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab

Select an Account or Group

Zimmel Boles FDN #2 Westf 009-52139-4

2 Choose An Existing View

[go](#) | [Realized Gains/Losses](#) - [go](#)
 > [Customize](#) this view or
 > [Create One](#)

Choose a Date Range

From Date 01-Aug-2009
 To Date 31-Jul-2010

4 Search By Symbol/CUSIP

[go](#)
 Include Options ☐

Gain Type	Gains	Losses	Total
Short-Term	39,042.81	-10,896.70	28,146.11
Long-Term	11,662.23	-10,194.87	1,467.36
Total Realized Gains/Losses:	50,705.03	-21,091.57	29,613.47

Page 1 of 5 [Next](#) > Go to page [go](#)

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
FWLT	FOSTER WHEELER AG CMN	03-Sep-2008	03-Aug-2009	50	1,185.71	2,222.000	-1,036.29	334	Short-Term
FWLT	FOSTER WHEELER AG CMN	03-Sep-2008	03-Aug-2009	26	614.60	1,155.440	-540.84	334	Short-Term
CVS	CVS CAREMARK CORPORATION CMN	03-Sep-2008	04-Aug-2009	36	1,242.47	1,327.284	-84.81	335	Short-Term
FWLT	FOSTER WHEELER AG CMN	03-Sep-2008	04-Aug-2009	56	1,297.58	2,488.640	-1,191.06	335	Short-Term
CVS	CVS CAREMARK CORPORATION CMN	03-Sep-2008	05-Aug-2009	32	1,104.68	1,179.808	-75.13	336	Short-Term
HAL	HALLIBURTON COMPANY CMN	03-Sep-2008	06-Aug-2009	27	593.85	1,123.318	-529.47	337	Short-Term
WFT	WEATHERFORD INTERNATIONAL LTD CMN	03-Sep-2008	06-Aug-2009	7	129.64	246.716	-117.07	337	Short-Term
WFT	WEATHERFORD INTERNATIONAL LTD CMN	24-Sep-2008	06-Aug-2009	14	259.29	412.045	-152.76	316	Short-Term
ADI	ANALOG DEVICES, INC CMN	06-Feb-2009	07-Aug-2009	17	462.19	377.961	84.23	181	Short-Term
ADI	ANALOG DEVICES, INC CMN	09-Mar-2009	07-Aug-2009	21	570.95	388.637	182.31	151	Short-Term
ADI	ANALOG DEVICES, INC CMN	09-Mar-2009	10-Aug-2009	36	980.53	666.234	314.30	154	Short-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	03-Sep-2008	14-Aug-2009	13	445.26	383.173	62.09	345	Short-Term
PX	PRAXAIR, INC CMN SERIES	23-Feb-2006	14-Aug-2009	26	1,957.50	1,418.160	539.34	1,267	Long-Term
PX	PRAXAIR, INC CMN SERIES	23-Feb-2006	14-Aug-2009	27	2,028.62	1,472.705	555.92	1,267	Long-Term
EMC	EMC CORPORATION MASS CMN	03-Sep-2008	14-Aug-2009	29	438.31	430.629	7.68	345	Short-Term
TXN	TEXAS INSTRUMENTS INC CMN	22-May-2009	14-Aug-2009	18	429.15	336.878	92.27	84	Short-Term
MOT	MOTOROLA INC CMN	07-May-2009	26-Aug-2009	41	305.72	255.425	50.30	111	Short-Term
MOT	MOTOROLA INC CMN	07-May-2009	26-Aug-2009	55	411.93	339.921	72.01	111	Short-Term
MOT	MOTOROLA INC CMN	07-May-2009	26-Aug-2009	51	380.29	315.199	65.09	111	Short-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	03-Sep-2008	26-Aug-2009	19	670.32	560.021	110.30	357	Short-Term
MOT	MOTOROLA INC CMN	08-May-2009	26-Aug-2009	32	239.67	204.689	34.98	110	Short-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	03-Sep-2008	27-Aug-2009	16	557.42	471.597	85.82	358	Short-Term
MOT	MOTOROLA INC CMN	12-May-2009	28-Aug-2009	50	359.12	301.305	57.82	108	Short-Term
MOT	MOTOROLA INC CMN	08-May-2009	28-Aug-2009	20	143.34	127.931	15.41	112	Short-Term

MOT	MOTOROLA INC CMN	11-May-2009	28-Aug-2009	44	315 35	278 217	37 13	109	Short-Term
MOT	MOTOROLA INC CMN	11-May-2009	28-Aug-2009	33	237 02	208 663	28 36	109	Short-Term
BBY	BEST BUY CO INC CMN SERIES	13-Apr-2009	31-Aug-2009	21	773 07	861 589	-88 52	140	Short-Term
BBY	BEST BUY CO INC CMN SERIES	09-Apr-2009	31-Aug-2009	30	1,085 29	1,239 108	-153 82	144	Short-Term
BBY	BEST BUY CO INC CMN SERIES	09-Apr-2009	31-Aug-2009	6	220 88	247 822	-26 94	144	Short-Term
BBY	BEST BUY CO INC CMN SERIES	09-Apr-2009	31-Aug-2009	32	1,156 17	1,321 716	-165 55	144	Short-Term
BBY	BEST BUY CO INC CMN SERIES	13-Apr-2009	01-Sep-2009	29	1,039 30	1,189 813	-150 51	141	Short-Term
BBY	BEST BUY CO INC CMN SERIES	13-Apr-2009	01-Sep-2009	1	35 87	41 028	-5 15	141	Short-Term
BBY	BEST BUY CO INC CMN SERIES	14-Apr-2009	01-Sep-2009	29	1,056 37	1,132 394	-76 02	140	Short-Term
BBY	BEST BUY CO INC CMN SERIES	14-Apr-2009	01-Sep-2009	28	1,004 48	1,093 346	-88 87	140	Short-Term
AAPL	APPLE, INC CMN	31-Dec-2007	09-Sep-2009	10	1,705 50	1,996 025	-290 52	617	Long-Term
AAPL	APPLE, INC CMN	16-Jan-2008	09-Sep-2009	1	170 55	161 106	9 44	601	Long-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	14-Oct-2008	10-Sep-2009	16	1,185 94	1,013 999	171 94	331	Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	14-Oct-2008	10-Sep-2009	20	1,481 62	1,267 499	214 12	331	Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	14-Oct-2008	11-Sep-2009	12	893 30	760 499	132 80	332	Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	14-Oct-2008	11-Sep-2009	12	883 61	760 499	123 11	332	Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	15-Oct-2008	11-Sep-2009	3	223 32	176 984	46 34	331	Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	15-Oct-2008	11-Sep-2009	14	1,042 43	825 926	216 50	331	Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	15-Oct-2008	14-Sep-2009	17	1,260 55	1,002 910	257 64	334	Short-Term
TXN	TEXAS INSTRUMENTS INC CMN	22-May-2009	16-Sep-2009	15	364 48	278 128	86 35	117	Short-Term
TXN	TEXAS INSTRUMENTS INC CMN	22-May-2009	16-Sep-2009	19	461 63	355 594	106 04	117	Short-Term
TXN	TEXAS INSTRUMENTS INC CMN	22-May-2009	16-Sep-2009	17	413 04	315 212	97 83	117	Short-Term
SU	SUNCOR ENERGY INC CMN	04-Mar-2009	16-Sep-2009	30	1,103 53	636 157	467 37	195	Short-Term
ADI	ANALOG DEVICES, INC CMN	16-Mar-2009	16-Sep-2009	19	528 11	379 356	148 76	184	Short-Term
TXN	TEXAS INSTRUMENTS INC CMN	26-May-2009	16-Sep-2009	22	534 56	415 928	118 64	113	Short-Term
HAL	HALLIBURTON COMPANY CMN	03-Sep-2008	16-Sep-2009	28	780 51	1,164 922	-384 41	378	Long-Term
BTU	PEABODY ENERGY CORPORATION CMN	20-Apr-2009	16-Sep-2009	17	690 46	410 439	280 02	149	Short-Term
ADI	ANALOG DEVICES, INC CMN	09-Mar-2009	16-Sep-2009	9	250 16	166 559	83 60	191	Short-Term
TXN	TEXAS INSTRUMENTS INC CMN	26-May-2009	17-Sep-2009	39	939 74	733 677	206 06	114	Short-Term
TXN	TEXAS INSTRUMENTS INC CMN	26-May-2009	17-Sep-2009	5	120 48	94 529	25 95	114	Short-Term
SCHW	CHARLES SCHWAB CORPORATION CMN	03-Sep-2008	17-Sep-2009	39	694 85	941 391	-246 54	379	Long-Term
INTC	INTEL CORPORATION CMN	08-Apr-2009	17-Sep-2009	115	2,230 19	1,761 359	468 83	162	Short-Term
TXN	TEXAS INSTRUMENTS INC CMN	26-May-2009	17-Sep-2009	28	663 04	529 363	133 68	114	Short-Term
ADI	ANALOG DEVICES, INC CMN	24-Mar-2009	17-Sep-2009	22	609 53	442 500	167 03	177	Short-Term
ADI	ANALOG DEVICES, INC CMN	24-Mar-2009	17-Sep-2009	7	192 31	140 795	51 52	177	Short-Term
INTC	INTEL CORPORATION CMN	08-Apr-2009	17-Sep-2009	5	96 85	76 581	20 27	162	Short-Term
INTC	INTEL CORPORATION CMN	01-Apr-2009	17-Sep-2009	26	503 62	395 662	107 96	169	Short-Term
INTC	INTEL CORPORATION CMN	01-Apr-2009	17-Sep-2009	77	1,491 49	1,159 350	332 14	169	Short-Term
ADI	ANALOG DEVICES, INC CMN	16-Mar-2009	17-Sep-2009	17	467 05	339 424	127 62	185	Short-Term
ADI	ANALOG DEVICES, INC CMN	24-Mar-2009	18-Sep-2009	25	683 64	502 841	180 80	178	Short-Term
SCHW	CHARLES SCHWAB CORPORATION CMN	03-Sep-2008	18-Sep-2009	52	898 73	1,255 188	-356 46	380	Long-Term
SCHW	CHARLES SCHWAB CORPORATION CMN	03-Sep-2008	18-Sep-2009	39	677 58	941 391	-263 81	380	Long-Term
SCHW	CHARLES SCHWAB CORPORATION CMN	03-Sep-2008	18-Sep-2009	38	665 09	917 253	-252 16	380	Long-Term
SCHW	CHARLES SCHWAB CORPORATION CMN	03-Sep-2008	18-Sep-2009	105	1,808 70	2,534 515	-725 82	380	Long-Term
SCHW	CHARLES SCHWAB CORPORATION CMN	03-Sep-2008	18-Sep-2009	78	1,348 31	1,882 782	-534 47	380	Long-Term
TXN	TEXAS INSTRUMENTS INC CMN	26-May-2009	18-Sep-2009	37	879 26	696 053	183 21	115	Short-Term

YHOO	YAHOO INC CMN	24-Feb-2009	22-Sep-2009	37	621 74	464 431	157 30	209	Short-Term
YHOO	YAHOO INC CMN	26-Feb-2009	22-Sep-2009	41	694 27	535 602	158 67	207	Short-Term
YHOO	YAHOO INC CMN	26-Feb-2009	22-Sep-2009	37	623 52	483 348	140 18	207	Short-Term
YHOO	YAHOO INC CMN	26-Feb-2009	22-Sep-2009	29	488 71	381 090	107 62	207	Short-Term
YHOO	YAHOO INC CMN	27-Feb-2009	22-Sep-2009	24	406 40	314 944	91 46	206	Short-Term
YHOO	YAHOO INC CMN	26-Feb-2009	22-Sep-2009	29	487 30	381 090	106 22	207	Short-Term
YHOO	YAHOO INC CMN	27-Feb-2009	22-Sep-2009	36	610 08	472 416	137 66	206	Short-Term
ADI	ANALOG DEVICES, INC CMN	24-Mar-2009	22-Sep-2009	4	109 21	80 454	28 76	182	Short-Term
ADI	ANALOG DEVICES, INC CMN	02-Apr-2009	22-Sep-2009	22	600 66	434 300	166 36	173	Short-Term
ADI	ANALOG DEVICES, INC CMN	03-Apr-2009	22-Sep-2009	14	382 24	283 701	98 53	172	Short-Term
YHOO	YAHOO INC CMN	02-Mar-2009	22-Sep-2009	11	186 41	138 576	47 84	203	Short-Term
ADI	ANALOG DEVICES, INC CMN	03-Apr-2009	22-Sep-2009	1	27 40	20 264	7 14	172	Short-Term
ADI	ANALOG DEVICES, INC CMN	03-Apr-2009	23-Sep-2009	15	416 55	303 965	112 58	173	Short-Term
YHOO	YAHOO INC CMN	02-Mar-2009	23-Sep-2009	66	1,123 36	831 454	291 91	204	Short-Term
EMC	EMC CORPORATION MASS CMN	03-Sep-2008	25-Sep-2009	25	417 39	371 232	46 16	387	Long-Term
EMC	EMC CORPORATION MASS CMN	03-Sep-2008	25-Sep-2009	28	470 79	415 780	55 01	387	Long-Term
EMC	EMC CORPORATION MASS CMN	03-Sep-2008	25-Sep-2009	21	352 93	311 835	41 10	387	Long-Term
EMC	EMC CORPORATION MASS CMN	03-Sep-2008	25-Sep-2009	24	399 76	356 382	43 38	387	Long-Term
EMC	EMC CORPORATION MASS CMN	03-Sep-2008	29-Sep-2009	24	408 52	356 382	52 14	391	Long-Term
BIIB	BIOGEN IDEC INC CMN	23-Sep-2009	29-Sep-2009	9	456 55	453 900	2 65	6	Short-Term
WLP	WELLPOINT, INC CMN	28-Jul-2009	06-Oct-2009	6	280 30	327 187	-46 89	70	Short-Term
WLP	WELLPOINT, INC CMN	28-Jul-2009	06-Oct-2009	10	467 17	542 404	-75 24	70	Short-Term
WLP	WELLPOINT, INC CMN	28-Jul-2009	06-Oct-2009	2	93 30	109 063	-15 77	70	Short-Term
WLP	WELLPOINT, INC CMN	28-Jul-2009	06-Oct-2009	4	186 44	218 865	-32 42	70	Short-Term
WLP	WELLPOINT, INC CMN	28-Jul-2009	06-Oct-2009	12	559 78	656 595	-96 81	70	Short-Term
WLP	WELLPOINT, INC CMN	28-Jul-2009	06-Oct-2009	15	699 16	822 610	-123 45	70	Short-Term
WLP	WELLPOINT, INC CMN	28-Jul-2009	06-Oct-2009	9	421 04	488 163	-67 12	70	Short-Term
WLP	WELLPOINT, INC CMN	29-Jul-2009	07-Oct-2009	2	94 76	101 338	-6 57	70	Short-Term
WLP	WELLPOINT, INC CMN	28-Jul-2009	07-Oct-2009	7	331 68	379 683	-48 01	71	Short-Term

Page 1 of 5 [Next](#) > Go to page: [99](#)

- Global Manager StrategiesSM and GMS Alpha+SM are registered service marks of Goldman, Sachs & Co

- N Recent news is available regarding this company G Goldman Sachs has recently published research regarding this company News and Research indicators are based on Eastern Standard Time (United States)

- The Bank Deposit Account (BDA), Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, a member of the FDIC Bank Deposits, Certificates of Deposit and Term Deposits will be held in your Goldman, Sachs & Co account and are FDIC-insured, up to a maximum of \$250,000 Note that the maximum is the total protection available for funds in your Bank Deposit Account, your Certificate of Deposit account, and your Term Deposit Account, together with any other deposit accounts you may hold at the Bank in the same title and capacity For more information on how FDIC Insurance coverage works, visit www.fdic.gov

Goldman Sachs Bank USA no longer participates in the FDIC's Transaction Account Guarantee Program All non-interest bearing transaction and NOW accounts are insured up to the applicable limit under the FDIC's general deposit insurance rules

Securities, mutual funds, annuities and other non-deposit investment products are issued by Goldman, Sachs & Co BDA, Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, an affiliate of Goldman, Sachs & Co Securities, mutual funds, free credit balances, annuities and other non-deposit investment products are not deposits or other obligations of Goldman Sachs Bank USA or any other bank, are not FDIC-insured, are not insured against loss of value by any governmental agency, and are subject to investment risk, including possible loss of principal amount invested FDIC insurance and SIPC coverage are different SIPC covers against loss of securities (up to a maximum of \$500,000 per customer) or cash (up to a maximum of \$250,000 within the aggregate \$500,000 customer limit) in the event of the bankruptcy of a securities broker-dealer, such as GS&Co SIPC coverage does not protect against a decline in the value of securities FDIC Insurance protection, up to an aggregate limit of \$250,000 covers against loss in the event a depository institution, such as the Bank, fails Unlimited insurance is available through December 2009 for non-interest bearing demand deposit accounts Securities, mutual funds and similar types of non-deposit investment products are not covered by FDIC deposit insurance

- Click for important information concerning [Goldman Sachs Financial Markets, L.P.](#) account(s)

- This information is for general guidance and, except for official Form 1099s, should not be used for tax purposes This information is not intended and should not be construed as tax, accounting or legal advice Please consult with your tax advisor regarding the tax consequences of your investment decisions before acting based on this information The cost basis and acquisition dates for securities delivered into your Goldman Sachs account after purchase were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable

- Note this page includes information for accounts that are closed

 Send us your comments  Format this page for printing  Download to spreadsheet



PRIVATE WEALTH MANAGEMENT

Log Out

[Statements](#) [Tax Center](#) [Fund Correspondence](#) [E-Delivery](#) [Change Password](#) [Change E-mail](#)
Realized Gains/Losses 1099**Zimmer Boles FDN #2 Westfield: LCG 009-52139-4**

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy - Westfield: Large Cap Growth
Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable:

- **Realized Gains and Losses.** See your realized gains and losses
- **1099s.** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab

Select an Account or Group

Zimmer Boles FDN #2 Westf 009-52139-4

2 Choose An Existing View

go | Realized Gains/Losses go
 > [Customize](#) this view or
 > [Create One](#)

Choose a Date Range

From Date 01-Aug-2009

To Date 31-Jul-2010

4 Search By Symbol/CUSIP

Include Options ☐

Gain Type	Gains	Losses	Total
Short-Term	39,042.81	-10,896.70	28,146.11
Long-Term	11,662.23	-10,194.87	1,467.36
Total Realized Gains/Losses:	50,705.03	-21,091.57	29,613.47

< [Previous](#) Page 2 of 5 [Next](#) > Go to page:

go

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered (A)	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
WLP	WELLPOINT, INC CMN	29-Jul-2009	07-Oct-2009	14	670.89	709.362	-38.47	70	Short-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	03-Sep-2008	08-Oct-2009	4	156.64	117.899	38.74	400	Long-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	09-Dec-2008	08-Oct-2009	11	431.52	204.557	226.96	302	Short-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	09-Dec-2008	08-Oct-2009	9	352.43	167.365	185.07	302	Short-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	03-Sep-2008	08-Oct-2009	12	469.39	353.698	115.69	400	Long-Term
CL	COLGATE-PALMOLIVE CO CMN	13-May-2009	08-Oct-2009	20	1,574.98	1,281.159	293.82	148	Short-Term
GNP	GLOBAL PMTS INC CMN	14-Jan-2009	09-Oct-2009	5	242.46	173.413	69.04	267	Short-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	11-Dec-2008	09-Oct-2009	18	699.30	336.116	363.18	301	Short-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	11-Dec-2008	09-Oct-2009	4	155.24	74.692	80.54	301	Short-Term
CL	COLGATE-PALMOLIVE CO CMN	13-May-2009	09-Oct-2009	7	550.16	448.405	101.76	149	Short-Term
CL	COLGATE-PALMOLIVE CO CMN	13-May-2009	09-Oct-2009	6	471.74	384.348	87.39	149	Short-Term
TGT	TARGET CORPORATION CMN	21-Nov-2008	09-Oct-2009	45	2,220.16	1,246.514	973.65	321	Short-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	09-Dec-2008	09-Oct-2009	16	620.94	297.538	323.41	303	Short-Term
GNP	GLOBAL PMTS INC CMN	13-Jan-2009	09-Oct-2009	1	48.49	35.407	13.08	268	Short-Term
GNP	GLOBAL PMTS INC CMN	14-Jan-2009	12-Oct-2009	6	292.13	208.095	84.04	270	Short-Term
WMT	WAL MART STORES INC CMN	14-Aug-2009	12-Oct-2009	22	1,090.06	1,145.185	-55.12	59	Short-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	11-Dec-2008	12-Oct-2009	20	791.44	373.462	417.98	304	Short-Term
WMT	WAL MART STORES INC CMN	14-Aug-2009	12-Oct-2009	6	298.90	312.323	-13.42	59	Short-Term
WMT	WAL MART STORES INC CMN	14-Aug-2009	12-Oct-2009	18	893.77	936.970	-43.20	59	Short-Term
WMT	WAL MART STORES INC CMN	14-Aug-2009	12-Oct-2009	16	792.81	832.862	-40.05	59	Short-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	11-Dec-2008	12-Oct-2009	3	118.72	54.118	64.60	304	Short-Term
WMT	WAL MART STORES INC CMN	14-Aug-2009	12-Oct-2009	27	1,345.07	1,399.396	-54.33	59	Short-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	11-Dec-2008	13-Oct-2009	6	235.40	108.237	127.16	305	Short-Term

WMT	WAL MART STORES INC CMN	14-Aug-2009	13-Oct-2009	9	447 50	464 560	-17 06	60	Short-Term
GPN	GLOBAL PMTS INC CMN	15-Jan-2009	13-Oct-2009	8	386 54	272 288	114 25	270	Short-Term
WMT	WAL MART STORES INC CMN	14-Aug-2009	13-Oct-2009	11	546 95	570 124	-23 18	60	Short-Term
WMT	WAL MART STORES INC CMN	14-Aug-2009	13-Oct-2009	14	696 12	722 580	-26 46	60	Short-Term
GPN	GLOBAL PMTS INC CMN	14-Jan-2009	13-Oct-2009	1	48 32	34 682	13 64	271	Short-Term
CHK	CHESAPEAKE ENERGY CORPORATION CMN	04-Aug-2009	13-Oct-2009	43	1,253 89	1,008 043	245 85	70	Short-Term
CHK	CHESAPEAKE ENERGY CORPORATION CMN	04-Aug-2009	13-Oct-2009	38	1,109 27	890 828	218 44	70	Short-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	11-Dec-2008	14-Oct-2009	15	594 90	270 591	324 31	306	Short-Term
GPN	GLOBAL PMTS INC CMN	15-Jan-2009	14-Oct-2009	2	97 05	68 072	28 98	271	Short-Term
GPN	GLOBAL PMTS INC CMN	20-Jan-2009	14-Oct-2009	5	242 64	164 665	77 97	266	Short-Term
GPN	GLOBAL PMTS INC CMN	20-Jan-2009	14-Oct-2009	4	195 40	131 732	63 67	266	Short-Term
GPN	GLOBAL PMTS INC CMN	20-Jan-2009	15-Oct-2009	7	346 51	230 531	115 98	267	Short-Term
GPN	GLOBAL PMTS INC CMN	20-Jan-2009	15-Oct-2009	2	98 42	65 866	32 55	267	Short-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	11-Dec-2008	15-Oct-2009	7	276 54	126 276	150 26	307	Short-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	11-Dec-2008	15-Oct-2009	4	158 91	72 158	86 75	307	Short-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	27-Mar-2009	15-Oct-2009	19	754 82	387 575	367 24	202	Short-Term
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	27-Mar-2009	15-Oct-2009	12	480 96	244 785	236 18	202	Short-Term
GPN	GLOBAL PMTS INC CMN	20-Jan-2009	16-Oct-2009	14	699 91	461 063	238 85	268	Short-Term
GPN	GLOBAL PMTS INC CMN	27-Jan-2009	16-Oct-2009	3	151 74	100 170	51 57	261	Short-Term
GPN	GLOBAL PMTS INC CMN	20-Jan-2009	16-Oct-2009	1	50 58	32 933	17 65	268	Short-Term
GPN	GLOBAL PMTS INC CMN	27-Jan-2009	19-Oct-2009	2	101 78	66 780	35 00	264	Short-Term
MSFT	MICROSOFT CORPORATION CMN	03-Sep-2008	19-Oct-2009	91	2,393 37	2,448 240	-54 87	411	Long-Term
MSFT	MICROSOFT CORPORATION CMN	03-Sep-2008	19-Oct-2009	87	2,287 50	2,340 625	-53 12	411	Long-Term
GPN	GLOBAL PMTS INC CMN	02-Feb-2009	19-Oct-2009	5	255 89	173 285	82 60	258	Short-Term
GPN	GLOBAL PMTS INC CMN	02-Feb-2009	19-Oct-2009	3	152 68	103 971	48 70	258	Short-Term
GPN	GLOBAL PMTS INC CMN	02-Feb-2009	20-Oct-2009	4	204 88	138 628	66 25	259	Short-Term
EMC	EMC CORPORATION MASS CMN	23-Sep-2008	21-Oct-2009	35	623 13	427 620	195 51	393	Long-Term
GPN	GLOBAL PMTS INC CMN	02-Feb-2009	21-Oct-2009	3	153 16	103 971	49 19	260	Short-Term
EMC	EMC CORPORATION MASS CMN	23-Sep-2008	21-Oct-2009	9	159 47	109 959	49 51	393	Long-Term
EMC	EMC CORPORATION MASS CMN	03-Sep-2008	21-Oct-2009	16	283 49	237 588	45 91	413	Long-Term
EMC	EMC CORPORATION MASS CMN	03-Sep-2008	21-Oct-2009	25	442 26	371 232	71 03	413	Long-Term
EMC	EMC CORPORATION MASS CMN	23-Sep-2008	21-Oct-2009	24	428 82	293 225	135 59	393	Long-Term
EMC	EMC CORPORATION MASS CMN	23-Sep-2008	21-Oct-2009	28	497 02	342 096	154 92	393	Long-Term
EMC	EMC CORPORATION MASS CMN	24-Sep-2008	21-Oct-2009	2	35 74	24 048	11 69	392	Long-Term
GPN	GLOBAL PMTS INC CMN	02-Feb-2009	22-Oct-2009	3	151 40	103 970	47 43	261	Short-Term
GPN	GLOBAL PMTS INC CMN	04-Feb-2009	22-Oct-2009	3	151 40	105 415	45 98	259	Short-Term
GPN	GLOBAL PMTS INC CMN	04-Feb-2009	22-Oct-2009	4	201 92	140 553	61 37	259	Short-Term
CHK	CHESAPEAKE ENERGY CORPORATION CMN	04-Aug-2009	22-Oct-2009	29	802 50	674 508	127 99	79	Short-Term
CHK	CHESAPEAKE ENERGY CORPORATION CMN	04-Aug-2009	22-Oct-2009	13	357 89	302 366	55 52	79	Short-Term
CHK	CHESAPEAKE ENERGY CORPORATION CMN	05-Aug-2009	22-Oct-2009	25	693 03	592 065	100 96	78	Short-Term
CHK	CHESAPEAKE ENERGY CORPORATION CMN	04-Aug-2009	22-Oct-2009	25	688 94	581 472	107 47	79	Short-Term
CHK	CHESAPEAKE ENERGY CORPORATION CMN	04-Aug-2009	22-Oct-2009	23	636 81	534 954	101 85	79	Short-Term
CHK	CHESAPEAKE ENERGY CORPORATION CMN	05-Aug-2009	22-Oct-2009	3	83 06	71 048	12 01	78	Short-Term
CHK	CHESAPEAKE ENERGY CORPORATION CMN	05-Aug-2009	22-Oct-2009	14	389 08	331 557	57 52	78	Short-Term
GPN	GLOBAL PMTS INC CMN	02-Feb-2009	22-Oct-2009	8	403 36	277 255	126 10	261	Short-Term
CHK	CHESAPEAKE ENERGY CORPORATION CMN	04-Aug-2009	22-Oct-2009	10	275 30	234 429	40 87	79	Short-Term

CHK	CHESAPEAKE ENERGY CORPORATION CMN	06-Aug-2009	22-Oct-2009	17	474 99	409 765	65 22	77	Short-Term
CHK	CHESAPEAKE ENERGY CORPORATION CMN	06-Aug-2009	22-Oct-2009	2	55 58	48 208	7 37	77	Short-Term
BTU	PEABODY ENERGY CORPORATION CMN	20-Apr-2009	23-Oct-2009	11	475 70	265 579	210 12	186	Short-Term
CHK	CHESAPEAKE ENERGY CORPORATION CMN	06-Aug-2009	23-Oct-2009	33	920 04	795 427	124 61	78	Short-Term
BTU	PEABODY ENERGY CORPORATION CMN	21-Apr-2009	23-Oct-2009	16	691 93	399 451	292 48	185	Short-Term
GPN	GLOBAL PMTS INC CMN	05-Feb-2009	23-Oct-2009	1	50 81	35 777	15 03	259	Short-Term
GPN	GLOBAL PMTS INC CMN	04-Feb-2009	23-Oct-2009	5	254 03	175 692	78 34	260	Short-Term
EMC	EMC CORPORATION MASS CMN	28-Jan-2009	26-Oct-2009	7	121 27	80 821	40 45	270	Short-Term
EMC	EMC CORPORATION MASS CMN	28-Jan-2009	26-Oct-2009	27	468 81	311 736	157 07	270	Short-Term
EMC	EMC CORPORATION MASS CMN	28-Jan-2009	26-Oct-2009	14	242 99	161 641	81 35	270	Short-Term
GPN	GLOBAL PMTS INC CMN	06-Feb-2009	26-Oct-2009	2	102 16	72 625	29 54	261	Short-Term
EMC	EMC CORPORATION MASS CMN	24-Sep-2008	26-Oct-2009	16	277 19	192 382	84 81	397	Long-Term
GPN	GLOBAL PMTS INC CMN	05-Feb-2009	26-Oct-2009	3	152 73	107 331	45 40	262	Short-Term
GPN	GLOBAL PMTS INC CMN	05-Feb-2009	26-Oct-2009	6	306 50	214 662	91 83	262	Short-Term
BTU	PEABODY ENERGY CORPORATION CMN	21-Apr-2009	26-Oct-2009	31	1,376 31	773 937	602 37	188	Short-Term
MOT	MOTOROLA INC CMN	18-May-2009	27-Oct-2009	57	458 84	347 231	111 61	162	Short-Term
MOT	MOTOROLA INC CMN	18-May-2009	27-Oct-2009	39	313 94	238 300	75 64	162	Short-Term
MOT	MOTOROLA INC CMN	12-May-2009	27-Oct-2009	47	378 34	283 227	95 11	168	Short-Term
MOT	MOTOROLA INC CMN	12-May-2009	27-Oct-2009	63	501 01	379 645	121 36	168	Short-Term
GPN	GLOBAL PMTS INC CMN	06-Feb-2009	27-Oct-2009	5	248 87	181 562	67 31	262	Short-Term
GPN	GLOBAL PMTS INC CMN	06-Feb-2009	27-Oct-2009	5	248 28	181 563	66 72	262	Short-Term
MOT	MOTOROLA INC CMN	12-May-2009	27-Oct-2009	133	1,044 62	801 473	243 15	168	Short-Term
MOT	MOTOROLA INC CMN	19-May-2009	28-Oct-2009	61	489 12	383 320	105 80	162	Short-Term
MOT	MOTOROLA INC CMN	21-May-2009	28-Oct-2009	45	361 52	265 142	96 38	160	Short-Term
MOT	MOTOROLA INC CMN	21-May-2009	28-Oct-2009	43	345 37	253 358	92 01	160	Short-Term
MOT	MOTOROLA INC CMN	18-May-2009	28-Oct-2009	11	88 20	67 009	21 19	163	Short-Term
MOT	MOTOROLA INC CMN	20-May-2009	28-Oct-2009	86	689 57	532 930	156 64	161	Short-Term
NSC	NORFOLK SOUTHERN CORPORATION CMN	25-Mar-2009	28-Oct-2009	30	1,425 30	1,025 415	399 88	217	Short-Term
NSC	NORFOLK SOUTHERN CORPORATION CMN	25-Mar-2009	28-Oct-2009	24	1,126 65	820 332	306 32	217	Short-Term
MOT	MOTOROLA INC CMN	21-May-2009	28-Oct-2009	10	80 18	58 920	21 26	160	Short-Term
EMC	EMC CORPORATION MASS CMN	28-Jan-2009	11-Nov-2009	24	407 88	277 099	130 78	287	Short-Term
CL	COLGATE-PALMOLIVE CO CMN	14-May-2009	12-Nov-2009	11	895 60	698 030	197 57	182	Short-Term

< Previous Page 2 of 5 Next > Go to page: 99

- Global Manager StrategiesSM and GMS Alpha+SM are registered service marks of Goldman, Sachs & Co

- N Recent news is available regarding this company G Goldman Sachs has recently published research regarding this company News and Research Indicators are based on Eastern Standard Time (United States)

- The Bank Deposit Account (BDA), Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, a member of the FDIC. Bank Deposits, Certificates of Deposit and Term Deposits will be held in your Goldman, Sachs & Co. account and are FDIC-insured, up to a maximum of \$250,000. Note that the maximum is the total protection available for funds in your Bank Deposit Account, your Certificate of Deposit account, and your Term Deposit Account, together with any other deposit accounts you may hold at the Bank in the same title and capacity. For more information on how FDIC insurance coverage works, visit www.fdic.gov

Goldman Sachs Bank USA no longer participates in the FDIC's Transaction Account Guarantee Program. All non-interest bearing transaction and NOW accounts are insured up to the applicable limit under the FDIC's general deposit insurance rules.

Securities, mutual funds, annuities and other non-deposit investment products are issued by Goldman, Sachs & Co. BDA, Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, an affiliate of Goldman, Sachs & Co. Securities, mutual funds, free credit balances, annuities and other non-deposit investment products are not deposits or other obligations of Goldman Sachs Bank USA or any other bank, are not FDIC-insured, are not insured against loss of value by any governmental agency, and are subject to investment risk, including possible loss of principal amount invested. FDIC insurance and SIPC coverage are different. SIPC covers against loss of securities (up to a maximum of \$500,000 per customer) or cash (up to a maximum of \$250,000 within the aggregate \$500,000 customer limit) in the event of the bankruptcy of a securities broker-dealer, such as GS&Co. SIPC coverage does not protect against a decline in the value of securities. FDIC insurance protection, up to an aggregate limit of \$250,000 covers against loss in the event a depository institution, such as the Bank, fails. Unlimited Insurance is available through December 2009 for non-interest bearing demand deposit accounts. Securities, mutual funds and similar types of non-deposit investment products are not covered by FDIC deposit insurance.

- Click for important information concerning [Goldman Sachs Financial Markets, L.P.](#) account(s)



PRIVATE WEALTH MANAGEMENT

[Log Out](#)
[Statements](#) [Tax Center](#) [Fund Correspondence](#) [E-Delivery](#) [Change Password](#) [Change E-mail](#)
Realized Gains/Losses 1099**Zimmer Boles FDN #2 Westfield: LCG 009-52139-4**

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy : Westfield: Large Cap Growth
Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable

- **Realized Gains and Losses.** See your realized gains and losses
- **1099s.** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab

Select an Account or Group

Zimmer Boles FDN #2 Westf 009-52139-4

2 Choose An Existing View

Realized Gains/Losses

 > [Customize](#) this view or
> [Create One](#)

Choose a Date Range

From Date 01-Aug-2009

To Date 31-Jul-2010

4 Search By Symbol/CUSIP

 Include Options ☐

Gain Type	Gains	Losses	Total
Short-Term	39,042.81	-10,896.70	28,146.11
Long-Term	11,662.23	-10,194.87	1,467.36
Total Realized Gains/Losses:	50,705.03	-21,091.57	29,613.47

[< Previous](#) [Page 3 of 5](#) [Next >](#)

Go to page:

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
BTU	PEABODY ENERGY CORPORATION CMN	23-Apr-2009	12-Nov-2009	21	926.43	556.920	369.51	203	Short-Term
CL	COLGATE-PALMOLIVE CO CMN	14-May-2009	12-Nov-2009	2	162.00	126.939	35.06	182	Short-Term
CL	COLGATE-PALMOLIVE CO CMN	13-May-2009	12-Nov-2009	7	563.38	448.405	114.97	183	Short-Term
CL	COLGATE-PALMOLIVE CO CMN	14-May-2009	12-Nov-2009	13	1,046.27	825.101	221.17	182	Short-Term
BTU	PEABODY ENERGY CORPORATION CMN	21-Apr-2009	12-Nov-2009	25	1,091.25	624.142	467.11	205	Short-Term
EMC	EMC CORPORATION MASS CMN	28-Jan-2009	12-Nov-2009	68	1,152.00	785.113	366.89	288	Short-Term
CL	COLGATE-PALMOLIVE CO CMN	14-May-2009	12-Nov-2009	6	486.00	380.744	105.26	182	Short-Term
BTU	PEABODY ENERGY CORPORATION CMN	23-Apr-2009	12-Nov-2009	15	655.06	397.800	257.26	203	Short-Term
BTU	PEABODY ENERGY CORPORATION CMN	23-Apr-2009	12-Nov-2009	7	305.55	185.640	119.91	203	Short-Term
NSC	NORFOLK SOUTHERN CORPORATION CMN	25-Mar-2009	12-Nov-2009	9	464.34	307.624	156.72	232	Short-Term
BTU	PEABODY ENERGY CORPORATION CMN	23-Apr-2009	13-Nov-2009	41	1,779.51	1,085.007	694.50	204	Short-Term
CL	COLGATE-PALMOLIVE CO CMN	14-May-2009	13-Nov-2009	8	650.90	507.658	143.24	183	Short-Term
CL	COLGATE-PALMOLIVE CO CMN	14-May-2009	13-Nov-2009	26	2,106.04	1,649.890	456.15	183	Short-Term
CL	COLGATE-PALMOLIVE CO CMN	14-May-2009	13-Nov-2009	12	972.71	761.488	211.22	183	Short-Term
BTU	PEABODY ENERGY CORPORATION CMN	23-Apr-2009	13-Nov-2009	7	303.82	185.640	118.18	204	Short-Term
NSC	NORFOLK SOUTHERN CORPORATION CMN	25-Mar-2009	16-Nov-2009	23	1,192.25	786.152	406.10	236	Short-Term
BTU	PEABODY ENERGY CORPORATION CMN	23-Apr-2009	16-Nov-2009	12	545.78	317.563	228.21	207	Short-Term
BTU	PEABODY ENERGY CORPORATION CMN	24-Apr-2009	16-Nov-2009	17	776.74	462.870	313.87	206	Short-Term
BTU	PEABODY ENERGY CORPORATION CMN	24-Apr-2009	16-Nov-2009	3	136.44	81.683	54.76	206	Short-Term
BTU	PEABODY ENERGY CORPORATION CMN	24-Apr-2009	16-Nov-2009	10	458.02	272.277	185.74	206	Short-Term
NSC	NORFOLK SOUTHERN CORPORATION CMN	25-Mar-2009	16-Nov-2009	18	928.62	615.249	313.37	236	Short-Term
NSC	NORFOLK SOUTHERN CORPORATION CMN	25-Mar-2009	16-Nov-2009	21	1,082.76	717.790	364.97	236	Short-Term
NSC	NORFOLK SOUTHERN CORPORATION CMN	24-Apr-2009	17-Nov-2009	14	723.03	539.869	183.16	207	Short-Term
NSC	NORFOLK SOUTHERN CORPORATION CMN	24-Apr-2009	17-Nov-2009	9	463.40	347.059	116.34	207	Short-Term
NSC	NORFOLK SOUTHERN CORPORATION CMN	24-Apr-2009	17-Nov-2009	13	670.07	501.306	168.76	207	Short-Term
NSC	NORFOLK SOUTHERN CORPORATION CMN	24-Apr-2009	17-Nov-2009	8	411.86	308.496	103.36	207	Short-Term
NSC	NORFOLK SOUTHERN CORPORATION CMN	25-Mar-2009	17-Nov-2009	39	2,007.22	1,333.039	674.18	237	Short-Term
NSC	NORFOLK SOUTHERN CORPORATION CMN	25-Mar-2009	17-Nov-2009	32	1,644.61	1,093.776	550.83	237	Short-Term
NSC	NORFOLK SOUTHERN CORPORATION CMN	25-Mar-2009	17-Nov-2009	2	102.96	68.361	34.60	237	Short-Term
FWLT	FOSTER WHEELER AG CMN	03-Sep-2008	25-Nov-2009	33	1,037.14	1,466.520	-429.38	448	Long-Term
FWLT	FOSTER WHEELER AG CMN	30-Oct-2008	25-Nov-2009	35	1,099.99	936.635	163.36	391	Long-Term
FWLT	FOSTER WHEELER AG CMN	30-Oct-2008	30-Nov-2009	5	148.79	133.805	14.99	396	Long-Term
FWLT	FOSTER WHEELER AG CMN	31-Oct-2008	30-Nov-2009	9	270.89	243.723	27.17	395	Long-Term
FWLT	FOSTER WHEELER AG CMN	31-Oct-2008	30-Nov-2009	1	29.76	27.080	2.68	395	Long-Term
FWLT	FOSTER WHEELER AG CMN	27-Apr-2009	01-Dec-2009	1	30.39	22.381	8.01	218	Short-Term
FWLT	FOSTER WHEELER AG CMN	27-Apr-2009	01-Dec-2009	16	490.37	358.088	132.28	218	Short-Term
FWLT	FOSTER WHEELER AG CMN	31-Oct-2008	01-Dec-2009	24	729.42	649.927	79.49	396	Long-Term
FWLT	FOSTER WHEELER AG CMN	27-Apr-2009	01-Dec-2009	16	490.04	358.089	131.95	218	Short-Term
FWLT	FOSTER WHEELER AG CMN	27-Apr-2009	02-Dec-2009	11	340.44	246.186	94.26	219	Short-Term

FWLT	FOSTER WHEELER AG CMN	02-Nov-2009	02-Dec-2009	3	92 85	86 241	6 61	30 Short-Term
FWLT	FOSTER WHEELER AG CMN	27-Apr-2009	02-Dec-2009	30	914 08	671 416	242 66	219 Short-Term
FWLT	FOSTER WHEELER AG CMN	02-Nov-2009	03-Dec-2009	22	668 73	632 432	36 30	31 Short-Term
FWLT	FOSTER WHEELER AG CMN	02-Nov-2009	07-Dec-2009	1	28 88	28 747	0 13	35 Short-Term
FWLT	FOSTER WHEELER AG CMN	02-Nov-2009	07-Dec-2009	19	548 69	539 580	9 11	35 Short-Term
FWLT	FOSTER WHEELER AG CMN	03-Nov-2009	07-Dec-2009	19	548 69	556 130	-7 44	34 Short-Term
HIG	HARTFORD FINANCIAL SRVCS GROUP CMN	06-Oct-2009	21-Dec-2009	26	603 31	742 785	-139 48	76 Short-Term
DELL	DELL INC CMN	18-Nov-2009	21-Dec-2009	30	419 66	480 680	-61 02	33 Short-Term
DELL	DELL INC CMN	19-Nov-2009	21-Dec-2009	39	546 61	616 221	-69 61	32 Short-Term
DELL	DELL INC CMN	19-Nov-2009	21-Dec-2009	35	489 60	553 019	-63 42	32 Short-Term
DELL	DELL INC CMN	19-Nov-2009	21-Dec-2009	45	630 71	709 870	-79 16	32 Short-Term
HIG	HARTFORD FINANCIAL SRVCS GROUP CMN	06-Oct-2009	22-Dec-2009	12	278 04	335 400	-57 36	77 Short-Term
HIG	HARTFORD FINANCIAL SRVCS GROUP CMN	06-Oct-2009	22-Dec-2009	20	467 58	559 000	-91 42	77 Short-Term
HIG	HARTFORD FINANCIAL SRVCS GROUP CMN	06-Oct-2009	22-Dec-2009	4	91 96	114 275	-22 32	77 Short-Term
HIG	HARTFORD FINANCIAL SRVCS GROUP CMN	06-Oct-2009	22-Dec-2009	31	712 67	879 210	-166 54	77 Short-Term
HIG	HARTFORD FINANCIAL SRVCS GROUP CMN	06-Oct-2009	22-Dec-2009	2	45 98	56 278	-10 30	77 Short-Term
HIG	HARTFORD FINANCIAL SRVCS GROUP CMN	06-Oct-2009	22-Dec-2009	30	695 11	844 172	-149 06	77 Short-Term
HIG	HARTFORD FINANCIAL SRVCS GROUP CMN	06-Oct-2009	22-Dec-2009	11	252 88	311 410	-58 53	77 Short-Term
HIG	HARTFORD FINANCIAL SRVCS GROUP CMN	06-Oct-2009	22-Dec-2009	21	490 95	582 881	-91 93	77 Short-Term
HIG	HARTFORD FINANCIAL SRVCS GROUP CMN	06-Oct-2009	23-Dec-2009	11	256 78	304 157	-47 38	78 Short-Term
HIG	HARTFORD FINANCIAL SRVCS GROUP CMN	06-Oct-2009	23-Dec-2009	22	512 50	608 313	-95 82	78 Short-Term
HIG	HARTFORD FINANCIAL SRVCS GROUP CMN	06-Oct-2009	23-Dec-2009	19	442 61	527 369	-84 76	78 Short-Term
HIG	HARTFORD FINANCIAL SRVCS GROUP CMN	07-Oct-2009	23-Dec-2009	29	676 97	820 620	-143 65	77 Short-Term
GILD	GILEAD SCIENCES CMN	05-Dec-2008	04-Jan-2010	46	1,988 08	2,068 467	-80 39	395 Long-Term
GILD	GILEAD SCIENCES CMN	03-Dec-2008	04-Jan-2010	31	1,339 79	1,407 011	-67 22	397 Long-Term
ITT	ITT CORPORATION CMN	03-Sep-2008	04-Jan-2010	26	1,293 80	1,644 059	-350 26	488 Long-Term
KO	COCA-COLA COMPANY (THE) CMN	21-May-2009	05-Jan-2010	27	1,522 95	1,255 306	267 65	229 Short-Term
ITT	ITT CORPORATION CMN	03-Sep-2008	05-Jan-2010	14	680 34	885 262	-204 92	489 Long-Term
ITT	ITT CORPORATION CMN	03-Sep-2008	05-Jan-2010	14	682 47	885 262	-202 79	489 Long-Term
KO	COCA-COLA COMPANY (THE) CMN	21-May-2009	05-Jan-2010	44	2,481 85	2,044 830	437 02	229 Short-Term
BBD	BANCO BRADESCO S A ADR CMN	14-Apr-2009	05-Jan-2010	92	2,025 04	1,088 094	936 95	266 Short-Term
KO	COCA-COLA COMPANY (THE) CMN	22-May-2009	05-Jan-2010	7	394 84	329 103	65 74	228 Short-Term
BBD	BANCO BRADESCO S A ADR CMN	13-Apr-2009	05-Jan-2010	33	721 30	400 593	320 71	267 Short-Term
BBD	BANCO BRADESCO S A ADR CMN	14-Apr-2009	05-Jan-2010	53	1,158 45	626 836	531 62	266 Short-Term
BBD	BANCO BRADESCO S A ADR CMN	23-Apr-2009	06-Jan-2010	66	1,437 56	764 960	672 60	258 Short-Term
BBD	BANCO BRADESCO S A ADR CMN	23-Apr-2009	06-Jan-2010	44	958 37	514 425	443 95	258 Short-Term
ITT	ITT CORPORATION CMN	03-Sep-2008	06-Jan-2010	36	1,746 22	2,276 389	-530 17	490 Long-Term
KO	COCA-COLA COMPANY (THE) CMN	22-May-2009	06-Jan-2010	12	674 71	564 177	110 53	229 Short-Term
KO	COCA-COLA COMPANY (THE) CMN	26-May-2009	06-Jan-2010	22	1,236 96	1,037 210	199 76	225 Short-Term
KO	COCA-COLA COMPANY (THE) CMN	16-Jun-2009	06-Jan-2010	29	1,630 55	1,392 370	238 18	204 Short-Term
KO	COCA-COLA COMPANY (THE) CMN	16-Jun-2009	06-Jan-2010	23	1,293 19	1,103 450	189 74	204 Short-Term
BBD	BANCO BRADESCO S A ADR CMN	23-Apr-2009	06-Jan-2010	65	1,413 76	759 945	653 82	258 Short-Term
BBD	BANCO BRADESCO S A ADR CMN	14-Apr-2009	06-Jan-2010	24	522 00	283 850	238 16	267 Short-Term
ITT	ITT CORPORATION CMN	03-Sep-2008	07-Jan-2010	10	491 97	632 331	-140 36	491 Long-Term
ITT	ITT CORPORATION CMN	03-Sep-2008	07-Jan-2010	35	1,720 19	2,213 156	-492 97	491 Long-Term
ITT	ITT CORPORATION CMN	03-Sep-2008	08-Jan-2010	7	348 63	442 631	-94 00	492 Long-Term
ITT	ITT CORPORATION CMN	24-Apr-2009	08-Jan-2010	24	1,211 59	1,005 645	205 94	259 Short-Term
ITT	ITT CORPORATION CMN	24-Apr-2009	08-Jan-2010	13	647 45	544 725	102 73	259 Short-Term
HAL	HALLIBURTON COMPANY CMN	03-Sep-2008	14-Jan-2010	29	987 03	1,206 526	-219 50	498 Long-Term
GLW	CORNING INCORPORATED CMN	26-Jun-2009	19-Jan-2010	35	684 60	571 603	113 00	207 Short-Term
GLW	CORNING INCORPORATED CMN	26-Jun-2009	19-Jan-2010	5	97 57	81 658	15 92	207 Short-Term
GLW	CORNING INCORPORATED CMN	26-Jun-2009	19-Jan-2010	70	1,366 02	1,152 680	213 34	207 Short-Term
ILMN	ILLUMINA INC CMN	15-Sep-2008	19-Jan-2010	9	341 46	363 987	-22 53	491 Long-Term
ILMN	ILLUMINA INC CMN	16-Sep-2008	20-Jan-2010	9	337 72	364 263	-26 54	491 Long-Term
ILMN	ILLUMINA INC CMN	15-Sep-2008	20-Jan-2010	7	262 67	283 101	-20 43	492 Long-Term
ILMN	ILLUMINA INC CMN	16-Sep-2008	20-Jan-2010	13	490 74	526 157	-35 42	491 Long-Term
ILMN	ILLUMINA INC CMN	18-Sep-2008	20-Jan-2010	8	301 99	312 141	-10 15	489 Long-Term
GLW	CORNING INCORPORATED CMN	26-Jun-2009	28-Jan-2010	19	355 82	310 299	45 52	216 Short-Term
GLW	CORNING INCORPORATED CMN	26-Jun-2009	28-Jan-2010	35	655 45	565 841	89 61	216 Short-Term
GLW	CORNING INCORPORATED CMN	26-Jun-2009	28-Jan-2010	22	413 21	355 671	57 54	216 Short-Term
GLW	CORNING INCORPORATED CMN	29-Jun-2009	29-Jan-2010	6	108 62	97 912	10 71	214 Short-Term
GLW	CORNING INCORPORATED CMN	26-Jun-2009	29-Jan-2010	4	72 42	64 668	7 75	217 Short-Term

< Previous Page 3 of 5 Next > Go to page: 90

- Global Manager StrategiesSM and GMS Alpha+SM are registered service marks of Goldman, Sachs & Co

- N Recent news is available regarding this company G Goldman Sachs has recently published research regarding this company News and Research indicators are based on Eastern Standard Time (United States)

- The Bank Deposit Account (BDA), Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, a member of the FDIC Bank Deposits, Certificates of Deposit and Term Deposits will be held in your Goldman, Sachs & Co account and are FDIC-Insured, up to a maximum of \$250,000 Note that the maximum is the total protection



PRIVATE WEALTH MANAGEMENT

Log Out

[Statements](#) [Tax Center](#) [Fund Correspondence](#) [E-Delivery](#) [Change Password](#) [Change E-mail](#)
Realized Gains/Losses 1099**Zimmer Boles FDN #2 Westfield: LCG 009-52139-4**

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy : Westfield Large Cap Growth
Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable

- **Realized Gains and Losses.** See your realized gains and losses
- **1099s.** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab

Select an Account or Group

Zimmer Boles FDN #2 Westf 009-52139-4

2 Choose An Existing View

Realized Gains/Losses

> [Customize](#) this view or
 > [Create One](#)

Choose a Date Range

From Date 01-Aug-2009

To Date 31-Jul-2010

4 Search By Symbol/CUSIP

Include Options ☐

Gain Type	Gains	Losses	Total
<u>Short-Term</u>	39,042.81	-10,896.70	28,146.11
<u>Long-Term</u>	11,662.23	-10,194.87	1,467.36
Total Realized Gains/Losses:	50,705.03	-21,091.57	29,613.47

< [Previous](#) Page 4 of 5 [Next](#) > Go to page:**Realized Gains/Losses**

Symbol	Description	Trade Date	Date Sold / Covered (A)	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
PEP	PEPSICO INC CMN	21-Apr-2009	08-Feb-2010	31	1,825.05	1,527.627	297.42	293	Short-Term
PEP	PEPSICO INC CMN	20-Apr-2009	08-Feb-2010	10	588.72	503.844	84.88	294	Short-Term
MS	MORGAN STANLEY CMN	05-Jan-2010	11-Feb-2010	102	2,760.30	3,233.150	-472.85	37	Short-Term
MS	MORGAN STANLEY CMN	06-Jan-2010	11-Feb-2010	31	838.92	1,006.459	-167.54	36	Short-Term
MS	MORGAN STANLEY CMN	06-Jan-2010	11-Feb-2010	25	679.13	811.661	-132.53	36	Short-Term
MS	MORGAN STANLEY CMN	06-Jan-2010	11-Feb-2010	96	2,607.86	3,086.460	-478.60	36	Short-Term
CME	CME GROUP INC CMN CLASS A	13-May-2009	22-Feb-2010	2	574.64	525.456	49.18	285	Short-Term
CME	CME GROUP INC CMN CLASS A	12-May-2009	22-Feb-2010	9	2,585.86	2,266.893	318.97	286	Short-Term
CME	CME GROUP INC CMN CLASS A	09-Jul-2009	23-Feb-2010	7	1,980.75	1,888.380	92.37	229	Short-Term
CME	CME GROUP INC CMN CLASS A	13-May-2009	23-Feb-2010	3	848.89	788.184	60.71	286	Short-Term
CME	CME GROUP INC CMN CLASS A	09-Jul-2009	23-Feb-2010	6	1,697.79	1,633.230	64.56	229	Short-Term
PX	PRAXAIR, INC CMN SERIES	23-Feb-2006	24-Feb-2010	29	2,205.83	1,581.795	624.04	1,462	Long-Term
PX	PRAXAIR, INC CMN SERIES	23-Feb-2006	24-Feb-2010	24	1,824.32	1,309.071	515.25	1,462	Long-Term
PX	PRAXAIR, INC CMN SERIES	11-Sep-2009	25-Feb-2010	3	224.50	233.456	-8.95	167	Short-Term
PX	PRAXAIR, INC CMN SERIES	23-Feb-2006	25-Feb-2010	13	972.85	709.080	263.77	1,463	Long-Term
PX	PRAXAIR, INC CMN SERIES	10-Sep-2009	25-Feb-2010	14	1,047.68	1,076.880	-29.20	168	Short-Term
PX	PRAXAIR, INC CMN SERIES	23-Feb-2006	25-Feb-2010	19	1,421.68	1,036.348	385.33	1,463	Long-Term
DHR	DANAHER CORPORATION CMN	03-Sep-2008	26-Feb-2010	17	1,257.29	1,378.360	-121.07	541	Long-Term
PX	PRAXAIR, INC CMN SERIES	11-Sep-2009	26-Feb-2010	12	899.89	933.824	-33.93	168	Short-Term
DHR	DANAHER CORPORATION CMN	03-Sep-2008	01-Mar-2010	29	2,174.12	2,351.320	-177.20	544	Long-Term
DHR	DANAHER CORPORATION CMN	03-Sep-2008	01-Mar-2010	19	1,428.98	1,540.520	-111.54	544	Long-Term
WMT	WAL MART STORES INC CMN	12-Nov-2009	02-Mar-2010	40	2,144.03	2,139.702	4.33	110	Short-Term
WMT	WAL MART STORES INC CMN	12-Nov-2009	03-Mar-2010	4	213.50	213.592	-0.10	111	Short-Term
WMT	WAL MART STORES INC CMN	12-Nov-2009	03-Mar-2010	35	1,868.10	1,871.110	-3.01	111	Short-Term
WMT	WAL MART STORES INC CMN	12-Nov-2009	03-Mar-2010	3	160.12	160.478	-0.36	111	Short-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	25-Sep-2009	08-Mar-2010	2	126.57	116.728	9.84	164	Short-Term

ACN	ACCENTURE PLC CMN	22-Sep-2009	08-Mar-2010	29	1,201 85	1,066 390	135 46	167	Short-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	25-Sep-2009	08-Mar-2010	38	2,404 78	2,218 070	186 71	164	Short-Term
ACN	ACCENTURE PLC CMN	22-Sep-2009	09-Mar-2010	10	415 17	363 280	51 89	168	Short-Term
ACN	ACCENTURE PLC CMN	22-Sep-2009	09-Mar-2010	19	788 82	698 670	90 15	168	Short-Term
GG	GOLDCORP INC CMN	09-Nov-2009	05-Apr-2010	20	774 78	881 688	-106 90	146	Short-Term
GG	GOLDCORP INC CMN	09-Nov-2009	05-Apr-2010	26	1,007 22	1,143 940	-136 72	146	Short-Term
GG	GOLDCORP INC CMN	09-Nov-2009	05-Apr-2010	15	581 09	659 060	-77 97	146	Short-Term
GG	GOLDCORP INC CMN	09-Nov-2009	05-Apr-2010	3	116 22	131 770	-15 55	146	Short-Term
GG	GOLDCORP INC CMN	09-Nov-2009	05-Apr-2010	32	1,238 49	1,410 702	-172 21	146	Short-Term
VIA	VIACOM INC CMN CLASS B	26-May-2009	05-Apr-2010	21	732 88	470 405	262 48	314	Short-Term
VIA	VIACOM INC CMN CLASS B	22-May-2009	05-Apr-2010	21	732 88	454 333	278 55	318	Short-Term
GLW	CORNING INCORPORATED CMN	08-Jul-2009	06-Apr-2010	10	204 02	140 971	63 04	272	Short-Term
GLW	CORNING INCORPORATED CMN	29-Jun-2009	06-Apr-2010	45	918 06	734 338	183 73	281	Short-Term
GG	GOLDCORP INC CMN	09-Nov-2009	06-Apr-2010	31	1,196 36	1,361 626	-165 27	147	Short-Term
GG	GOLDCORP INC CMN	09-Nov-2009	06-Apr-2010	7	270 30	307 464	-37 17	147	Short-Term
GG	GOLDCORP INC CMN	10-Nov-2009	06-Apr-2010	26	1,003 96	1,142 540	-138 58	146	Short-Term
GLW	CORNING INCORPORATED CMN	09-Jul-2009	07-Apr-2010	28	564 24	404 990	159 25	272	Short-Term
GLW	CORNING INCORPORATED CMN	08-Jul-2009	07-Apr-2010	25	503 79	352 429	151 36	273	Short-Term
GLW	CORNING INCORPORATED CMN	07-Aug-2009	07-Apr-2010	19	382 88	322 708	60 17	243	Short-Term
GR	GOODRICH CORPORATION CMN	07-Jul-2009	26-Apr-2010	8	608 24	400 000	208 24	293	Short-Term
INTC	INTEL CORPORATION CMN	08-Oct-2009	26-Apr-2010	45	1,074 29	896 490	177 80	200	Short-Term
GR	GOODRICH CORPORATION CMN	07-Jul-2009	26-Apr-2010	7	532 21	350 020	182 19	293	Short-Term
ELN	ELAN CORP PLC (ADR) ADR CMN	03-Sep-2008	26-Apr-2010	181	1,263 46	2,302 436	-1,038 98	600	Long-Term
INTC	INTEL CORPORATION CMN	08-Oct-2009	26-Apr-2010	67	1,599 50	1,337 920	261 58	200	Short-Term
GR	GOODRICH CORPORATION CMN	07-Jul-2009	27-Apr-2010	3	224 13	150 000	74 13	294	Short-Term
GR	GOODRICH CORPORATION CMN	08-Jul-2009	27-Apr-2010	5	373 55	243 435	130 12	293	Short-Term
GLW	CORNING INCORPORATED CMN	07-Aug-2009	27-Apr-2010	9	183 81	152 862	30 94	263	Short-Term
GLW	CORNING INCORPORATED CMN	05-Oct-2009	27-Apr-2010	25	510 57	372 000	138 57	204	Short-Term
GR	GOODRICH CORPORATION CMN	08-Jul-2009	27-Apr-2010	7	522 97	341 480	181 49	293	Short-Term
GLW	CORNING INCORPORATED CMN	10-Aug-2009	27-Apr-2010	51	1,041 57	850 050	191 52	260	Short-Term
GLW	CORNING INCORPORATED CMN	05-Oct-2009	27-Apr-2010	15	306 34	222 420	83 92	204	Short-Term
GLW	CORNING INCORPORATED CMN	11-Nov-2009	27-Apr-2010	22	449 30	357 320	91 98	166	Short-Term
GLW	CORNING INCORPORATED CMN	11-Nov-2009	27-Apr-2010	3	61 27	48 560	12 71	166	Short-Term
GLW	CORNING INCORPORATED CMN	11-Nov-2009	28-Apr-2010	38	765 42	615 090	150 33	167	Short-Term
GLW	CORNING INCORPORATED CMN	11-Nov-2009	28-Apr-2010	25	503 57	400 857	102 71	167	Short-Term
GLW	CORNING INCORPORATED CMN	11-Nov-2009	30-Apr-2010	17	333 77	272 583	61 18	169	Short-Term
GLW	CORNING INCORPORATED CMN	12-Nov-2009	30-Apr-2010	44	863 86	724 830	139 03	168	Short-Term
CHKP	CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES	22-Apr-2009	05-May-2010	44	1,489 04	1,111 863	377 17	378	Long-Term
CHKP	CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES	13-Apr-2009	05-May-2010	2	67 68	48 066	19 62	387	Long-Term
CHKP	CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES	27-Apr-2009	06-May-2010	35	1,155 31	837 808	317 50	374	Long-Term
CHKP	CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES	22-Apr-2009	06-May-2010	9	295 71	227 427	68 28	379	Long-Term
CHKP	CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES	23-Apr-2009	06-May-2010	23	759 20	567 644	191 56	378	Long-Term
CHKP	CHECK POINT SOFTWARE TECH LTD ORDINARY	23-Apr-2009	06-May-2010	42	1,379 96	1,036 566	343 40	378	Long-Term

SHARES									
CHKP	CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES	27-Apr-2009	07-May-2010	30	954 23	718 122	236 11	375	Long-Term
CHKP	CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES	27-Apr-2009	07-May-2010	6	190 85	142 360	48 49	375	Long-Term
CHKP	CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES	01-May-2009	10-May-2010	22	715 46	513 740	201 72	374	Long-Term
CHKP	CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES	27-Apr-2009	10-May-2010	15	487 81	355 900	131 91	378	Long-Term
MOS	THE MOSAIC COMPANY CMN	04-Feb-2009	10-May-2010	11	534 91	427 031	107 88	459	Long-Term
AMAT	APPLIED MATERIALS INC CMN	12-Apr-2010	10-May-2010	84	1,090 67	1,152 717	-62 05	28	Short-Term
MOS	THE MOSAIC COMPANY CMN	05-Feb-2009	10-May-2010	63	3,063 60	2,592 073	471 52	458	Long-Term
MOS	THE MOSAIC COMPANY CMN	05-Feb-2009	11-May-2010	45	2,156 94	1,851 481	305 46	459	Long-Term
MOS	THE MOSAIC COMPANY CMN	05-Feb-2009	12-May-2010	4	193 97	164 576	29 39	460	Long-Term
MOS	THE MOSAIC COMPANY CMN	08-Apr-2009	12-May-2010	22	1,066 82	976 188	90 63	399	Long-Term
MOS	THE MOSAIC COMPANY CMN	08-Apr-2009	12-May-2010	10	487 45	443 722	43 73	399	Long-Term
MOS	THE MOSAIC COMPANY CMN	29-Jun-2009	12-May-2010	6	292 47	277 780	14 69	317	Short-Term
MOS	THE MOSAIC COMPANY CMN	29-Jun-2009	12-May-2010	8	389 96	368 450	21 51	317	Short-Term
HPQ	HEWLETT-PACKARD CO CMN	03-Sep-2008	18-May-2010	51	2,396 20	2,300 669	95 53	622	Long-Term
CVS	CVS CAREMARK CORPORATION CMN	03-Sep-2008	18-May-2010	63	2,223 95	2,322 747	-98 80	622	Long-Term
CVS	CVS CAREMARK CORPORATION CMN	03-Sep-2008	18-May-2010	76	2,718 33	2,802 044	-83 71	622	Long-Term
AAPL	APPLE, INC CMN	16-Jan-2008	18-May-2010	9	2,282 88	1,449 956	832 92	852	Long-Term
CVS	CVS CAREMARK CORPORATION CMN	03-Sep-2008	19-May-2010	34	1,189 57	1,253 546	-63 98	623	Long-Term
CVS	CVS CAREMARK CORPORATION CMN	03-Sep-2008	19-May-2010	33	1,149 74	1,216 677	-66 94	623	Long-Term
CVS	CVS CAREMARK CORPORATION CMN	03-Sep-2008	20-May-2010	32	1,092 26	1,179 808	-87 55	624	Long-Term
DHR	DANAHER CORPORATION CMN	03-Sep-2008	21-May-2010	20	1,593 02	1,621 600	-28 58	625	Long-Term
DHR	DANAHER CORPORATION CMN	24-Apr-2009	24-May-2010	19	1,506 94	1,149 110	357 83	395	Long-Term
DHR	DANAHER CORPORATION CMN	03-Sep-2008	24-May-2010	23	1,824 20	1,864 840	-40 64	628	Long-Term
GILD	GILEAD SCIENCES CMN	13-Mar-2009	26-May-2010	55	1,974 84	2,458 371	-483 53	439	Long-Term
PFE	PFIZER INC CMN	20-May-2010	26-May-2010	295	4,521 59	4,562 526	-40 94	6	Short-Term
GILD	GILEAD SCIENCES CMN	05-Dec-2008	26-May-2010	14	496 21	629 533	-133 32	536	Long-Term
GILD	GILEAD SCIENCES CMN	13-Mar-2009	26-May-2010	13	460 77	581 069	-120 30	439	Long-Term
GILD	GILEAD SCIENCES CMN	13-Mar-2009	26-May-2010	28	992 43	1,257 350	-264 92	439	Long-Term
CVS	CVS CAREMARK CORPORATION CMN	16-Nov-2009	26-May-2010	22	752 28	673 310	78 97	190	Short-Term
PFE	PFIZER INC CMN	20-May-2010	26-May-2010	296	4,478 19	4,617 220	-139 03	6	Short-Term
CVS	CVS CAREMARK CORPORATION CMN	03-Sep-2008	26-May-2010	14	478 73	516 166	-37 44	630	Long-Term
ACN	ACCENTURE PLC CMN	22-Sep-2009	26-May-2010	36	1,369 34	1,307 808	61 53	246	Short-Term

< [Previous](#) [Page 4 of 5](#) [Next](#) > [Go to page](#) **90**

- Global Manager StrategiesSM and GMS Alpha+SM are registered service marks of Goldman, Sachs & Co

- N Recent news is available regarding this company G Goldman Sachs has recently published research regarding this company News and Research indicators are based on Eastern Standard Time (United States)

- The Bank Deposit Account (BDA), Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, a member of the FDIC Bank Deposits, Certificates of Deposit and Term Deposits will be held in your Goldman, Sachs & Co account and are FDIC-insured, up to a maximum of \$250,000 Note that the maximum is the total protection available for funds in your Bank Deposit Account, your Certificate of Deposit account, and your Term Deposit Account, together with any other deposit accounts you may hold at the Bank in the same title and capacity For more information on how FDIC insurance coverage works, visit www.fdic.gov

Goldman Sachs Bank USA no longer participates in the FDIC's Transaction Account Guarantee Program All non-interest bearing transaction and NOW accounts are insured up to the applicable limit under the FDIC's general deposit insurance rules

Securities, mutual funds, annuities and other non-deposit investment products are issued by Goldman, Sachs & Co BDA, Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, an affiliate of Goldman, Sachs & Co Securities, mutual funds, free credit balances, annuities and other non-deposit investment products are not deposits or other obligations of Goldman Sachs Bank USA or any other bank, are not FDIC-insured, are not insured against loss of value by any governmental agency, and are subject to investment risk, including possible loss of principal amount invested FDIC insurance and SIPC coverage are different SIPC covers against loss of securities (up to a maximum of \$500,000 per customer) or cash (up to a maximum of \$250,000 within the aggregate \$500,000 customer limit) in the event of the bankruptcy of a securities broker-dealer, such as GS&Co SIPC coverage does not protect against a decline in the value of securities FDIC insurance protection, up to an aggregate limit of \$250,000 covers against loss in the event a depository institution, such as the Bank, fails Unlimited insurance is available through December 2009 for non-interest bearing demand deposit accounts Securities, mutual funds and similar types of non-deposit investment products are not covered by FDIC deposit insurance

- Click for important information concerning [Goldman Sachs Financial Markets, L.P.](#) account(s)

- This information is for general guidance and, except for official Form 1099s, should not be used for tax purposes This information is not intended and should not be construed as tax, accounting or legal advice Please consult with your tax advisor regarding the tax consequences of your investment decisions before acting based on this information The cost basis and acquisition dates for securities delivered into your Goldman Sachs account after purchase were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable

- Note this page includes information for accounts that are closed

[Send us your comments](#) [Format this page for printing](#) [Download to spreadsheet](#)

If you have any questions or need immediate assistance, please call Client Support at +1-877-GOLDMAN (877-465-3626).

Prepared for Max Kolbrenner
Tue 17 Aug 2010 2 56 PM EDT

© Copyright 2010
The Goldman Sachs Group, Inc
All rights reserved

Please read our [Legal Disclosures & Disclaimers](#)



PRIVATE WEALTH MANAGEMENT

Log Out

[Statements](#) [Tax Center](#) [Fund Correspondence](#) [E-Delivery](#) [Change Password](#) [Change E-mail](#)
Realized Gains/Losses 1099**Zimmel Boles FDN #2 Westfield: LCG 009-52139-4**

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy - Westfield: Large Cap Growth
Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable

- **Realized Gains and Losses.** See your realized gains and losses
- **1099s.** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab

Select an Account or Group

Zimmel Boles FDN #2 Westf 009-52139-4

2 Choose An Existing View

go [Realized Gains/Losses](#) - go
 > [Customize](#) this view or
 > [Create One](#)

Choose a Date Range

From Date 01-Aug-2009

To Date 31-Jul-2010

4 Search By Symbol/CUSIP

Include Options ☐

Gain Type	Gains	Losses	Total
<u>Short-Term</u>	39,042.81	-10,896.70	28,146.11
<u>Long-Term</u>	11,662.23	-10,194.87	1,467.36
Total Realized Gains/Losses.	50,705.03	-21,091.57	29,613.47

< [Previous](#) Page 5 of 5 Go to page:**Realized Gains/Losses**

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
PFE	PFIZER INC CMN	20-May-2010	26-May-2010	12	181.55	185.594	-4.05	6	Short-Term
ACN	ACCENTURE PLC CMN	23-Sep-2009	27-May-2010	24	905.73	884.106	21.62	246	Short-Term
ACN	ACCENTURE PLC CMN	22-Sep-2009	27-May-2010	4	150.95	145.312	5.64	247	Short-Term
ACN	ACCENTURE PLC CMN	23-Sep-2009	27-May-2010	25	951.83	920.944	30.89	246	Short-Term
ACN	ACCENTURE PLC CMN	23-Sep-2009	27-May-2010	5	190.37	183.274	7.09	246	Short-Term
ACN	ACCENTURE PLC CMN	24-Sep-2009	28-May-2010	25	937.04	899.836	37.21	246	Short-Term
ACN	ACCENTURE PLC CMN	29-Sep-2009	28-May-2010	23	867.11	859.420	7.69	241	Short-Term
ACN	ACCENTURE PLC CMN	23-Sep-2009	28-May-2010	32	1,199.42	1,172.956	26.46	247	Short-Term
ACN	ACCENTURE PLC CMN	24-Sep-2009	28-May-2010	7	263.90	251.954	11.95	246	Short-Term
CVS	CVS CAREMARK CORPORATION CMN	16-Nov-2009	03-Jun-2010	6	209.42	183.630	25.80	198	Short-Term
CVS	CVS CAREMARK CORPORATION CMN	16-Nov-2009	03-Jun-2010	25	872.60	761.330	111.27	198	Short-Term
CVS	CVS CAREMARK CORPORATION CMN	17-Dec-2009	03-Jun-2010	34	1,186.74	1,060.600	126.14	167	Short-Term
AMAT	APPLIED MATERIALS INC CMN	12-Apr-2010	10-Jun-2010	15	187.06	205.538	-18.48	59	Short-Term
AMAT	APPLIED MATERIALS INC CMN	12-Apr-2010	10-Jun-2010	8	98.91	109.783	-10.87	59	Short-Term
AMAT	APPLIED MATERIALS INC CMN	12-Apr-2010	10-Jun-2010	76	939.64	1,041.392	-101.75	59	Short-Term
AMAT	APPLIED MATERIALS INC CMN	13-Apr-2010	10-Jun-2010	82	1,022.58	1,130.164	-107.58	58	Short-Term
AMAT	APPLIED MATERIALS INC CMN	13-Apr-2010	14-Jun-2010	89	1,143.73	1,226.641	-82.91	62	Short-Term
AMAT	APPLIED MATERIALS INC CMN	13-Apr-2010	15-Jun-2010	80	1,055.44	1,092.714	-37.27	63	Short-Term
AMAT	APPLIED MATERIALS INC CMN	13-Apr-2010	15-Jun-2010	10	131.93	137.825	-5.90	63	Short-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	25-Sep-2009	16-Jun-2010	17	1,042.43	988.537	53.90	264	Short-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	25-Sep-2009	16-Jun-2010	38	2,330.15	2,206.870	123.28	264	Short-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	08-Oct-2009	16-Jun-2010	21	1,293.55	1,211.910	81.64	251	Short-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	08-Oct-2009	16-Jun-2010	1	61.32	57.710	3.61	251	Short-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	09-Oct-2009	16-Jun-2010	21	1,293.55	1,208.010	85.54	250	Short-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	25-Sep-2009	16-Jun-2010	36	2,192.74	2,101.112	91.63	264	Short-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	25-Sep-2009	16-Jun-2010	10	609.10	581.493	27.60	264	Short-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	25-Sep-2009	16-Jun-2010	11	670.00	639.770	30.23	264	Short-Term
AMAT	APPLIED MATERIALS INC CMN	23-Apr-2010	17-Jun-2010	27	360.42	387.387	-26.96	55	Short-Term
AMAT	APPLIED MATERIALS INC CMN	13-Apr-2010	17-Jun-2010	13	173.54	177.566	-4.03	65	Short-Term
AMAT	APPLIED MATERIALS INC CMN	23-Apr-2010	18-Jun-2010	3	40.07	43.043	-2.97	56	Short-Term
AMAT	APPLIED MATERIALS INC CMN	30-Apr-2010	18-Jun-2010	25	333.94	346.958	-13.02	49	Short-Term
AMAT	APPLIED MATERIALS INC CMN	30-Apr-2010	21-Jun-2010	17	227.23	235.932	-8.70	52	Short-Term
COV	COVIDIEN PLC CMN	11-Dec-2008	28-Jun-2010	3	125.58	106.259	19.32	563	Long-Term
GLW	CORNING INCORPORATED CMN	18-May-2010	28-Jun-2010	45	776.98	833.066	-56.09	41	Short-Term
COV	COVIDIEN PLC CMN	15-Dec-2008	28-Jun-2010	50	2,092.92	1,727.519	365.40	559	Long-Term
COV	COVIDIEN PLC CMN	15-Dec-2008	28-Jun-2010	29	1,219.85	1,001.961	217.89	559	Long-Term
COV	COVIDIEN PLC CMN	15-Dec-2008	29-Jun-2010	17	682.40	587.356	95.04	560	Long-Term
GLW	CORNING INCORPORATED CMN	18-May-2010	29-Jun-2010	26	425.59	481.327	-55.74	42	Short-Term
MSFT	MICROSOFT CORPORATION CMN	03-Sep-2008	01-Jul-2010	96	2,217.91	2,582.759	-364.85	666	Long-Term

COV	COVIDIEN PLC CMN	15-Dec-2008	01-Jul-2010	8	315 25	276 403	38 85	562 Long-Term
SYT	SYNGENTA AG SPONSORED ADR CMN	02-Oct-2008	02-Jul-2010	17	750 91	620 884	130 03	638 Long-Term
SYT	SYNGENTA AG SPONSORED ADR CMN	02-Oct-2008	06-Jul-2010	18	793 37	657 406	135 97	642 Long-Term
SYT	SYNGENTA AG SPONSORED ADR CMN	03-Oct-2008	06-Jul-2010	6	264 46	219 485	44 97	641 Long-Term
SYT	SYNGENTA AG SPONSORED ADR CMN	03-Oct-2008	07-Jul-2010	30	1,305 98	1,097 424	208 56	642 Long-Term
SYT	SYNGENTA AG SPONSORED ADR CMN	03-Oct-2008	07-Jul-2010	24	1,042 28	877 939	164 34	642 Long-Term
SYT	SYNGENTA AG SPONSORED ADR CMN	08-Oct-2008	08-Jul-2010	40	1,738 58	1,354 580	384 00	638 Long-Term
SYT	SYNGENTA AG SPONSORED ADR CMN	06-Oct-2008	08-Jul-2010	47	2,042 83	1,573 980	468 85	640 Long-Term
SYT	SYNGENTA AG SPONSORED ADR CMN	03-Oct-2008	08-Jul-2010	2	86 93	73 162	13 77	643 Long-Term
KSS	KOHL'S CORP (WISCONSIN) CMN	15-Jul-2009	19-Jul-2010	7	322 06	318 888	3 17	369 Long-Term
KSS	KOHL'S CORP (WISCONSIN) CMN	15-Jul-2009	19-Jul-2010	33	1,518 29	1,511 200	7 09	369 Long-Term
KSS	KOHL'S CORP (WISCONSIN) CMN	15-Jul-2009	19-Jul-2010	20	922 87	910 740	12 13	369 Long-Term
KSS	KOHL'S CORP (WISCONSIN) CMN	15-Jul-2009	19-Jul-2010	6	276 86	273 332	3 53	369 Long-Term
KSS	KOHL'S CORP (WISCONSIN) CMN	27-Jul-2009	19-Jul-2010	11	507 86	537 528	-29 67	357 Short-Term
KSS	KOHL'S CORP (WISCONSIN) CMN	16-Jul-2009	19-Jul-2010	12	553 72	545 874	7 85	368 Long-Term
KSS	KOHL'S CORP (WISCONSIN) CMN	16-Jul-2009	19-Jul-2010	8	369 35	363 916	5 43	368 Long-Term
KSS	KOHL'S CORP (WISCONSIN) CMN	27-Jul-2009	19-Jul-2010	12	554 02	589 690	-35 66	357 Short-Term
KSS	KOHL'S CORP (WISCONSIN) CMN	27-Jul-2009	19-Jul-2010	8	369 35	392 180	-22 83	357 Short-Term
KSS	KOHL'S CORP (WISCONSIN) CMN	28-Dec-2009	20-Jul-2010	14	643 92	771 300	-127 38	203 Short-Term
KSS	KOHL'S CORP (WISCONSIN) CMN	29-Dec-2009	20-Jul-2010	21	965 88	1,169 640	-203 76	202 Short-Term
KSS	KOHL'S CORP (WISCONSIN) CMN	27-Jul-2009	20-Jul-2010	2	91 99	97 732	-5 74	358 Short-Term
KSS	KOHL'S CORP (WISCONSIN) CMN	27-Jul-2009	20-Jul-2010	9	413 95	437 910	-23 96	358 Short-Term
KSS	KOHL'S CORP (WISCONSIN) CMN	28-Dec-2009	20-Jul-2010	11	505 94	606 530	-100 59	203 Short-Term
HPQ	HEWLETT-PACKARD CO CMN	03-Sep-2008	22-Jul-2010	28	1,282 69	1,263 113	19 58	687 Long-Term
HPQ	HEWLETT-PACKARD CO CMN	03-Sep-2008	22-Jul-2010	83	3,815 08	3,744 226	70 85	687 Long-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	26-May-2009	23-Jul-2010	23	1,128 01	1,060 141	67 87	423 Long-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	22-May-2009	23-Jul-2010	14	686 62	634 734	51 88	427 Long-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	26-May-2009	23-Jul-2010	22	1,078 97	1,023 930	55 04	423 Long-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	26-May-2009	23-Jul-2010	22	1,078 97	1,024 060	54 91	423 Long-Term
HPQ	HEWLETT-PACKARD CO CMN	03-Sep-2008	23-Jul-2010	25	1,148 09	1,127 779	20 31	688 Long-Term
HPQ	HEWLETT-PACKARD CO CMN	03-Sep-2008	23-Jul-2010	24	1,106 34	1,082 668	23 67	688 Long-Term
QCOM	QUALCOMM INC CMN	03-Aug-2006	23-Jul-2010	45	1,753 51	1,562 481	191 03	1,450 Long-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	28-Dec-2009	26-Jul-2010	11	551 21	618 300	-67 09	209 Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	28-Dec-2009	26-Jul-2010	2	100 22	112 419	-12 20	209 Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	29-Dec-2009	26-Jul-2010	14	701 54	784 320	-82 78	208 Short-Term
WMT	WAL MART STORES INC CMN	12-Nov-2009	26-Jul-2010	27	1,376 59	1,441 748	-65 16	255 Short-Term
WMT	WAL MART STORES INC CMN	13-Nov-2009	26-Jul-2010	15	764 77	800 209	-35 44	254 Short-Term
WMT	WAL MART STORES INC CMN	12-Nov-2009	26-Jul-2010	31	1,580 52	1,651 580	-71 06	255 Short-Term
WMT	WAL MART STORES INC CMN	12-Nov-2009	26-Jul-2010	17	866 74	906 050	-39 31	255 Short-Term
WMT	WAL MART STORES INC CMN	13-Nov-2009	26-Jul-2010	18	920 69	960 251	-39 56	254 Short-Term
WMT	WAL MART STORES INC CMN	13-Nov-2009	26-Jul-2010	31	1,585 64	1,653 300	-67 66	254 Short-Term
WMT	WAL MART STORES INC CMN	13-Nov-2009	26-Jul-2010	25	1,278 74	1,330 590	-51 85	254 Short-Term
WMT	WAL MART STORES INC CMN	13-Nov-2009	26-Jul-2010	14	716 10	743 350	-27 26	254 Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	28-Dec-2009	26-Jul-2010	14	700 52	786 931	-86 41	209 Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	26-May-2009	26-Jul-2010	16	800 59	737 489	63 10	426 Long-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	27-May-2009	26-Jul-2010	26	1,300 96	1,200 490	100 47	425 Long-Term
TMO	THERMO FISHER SCIENTIFIC INC CMN	03-Sep-2008	28-Jul-2010	42	1,931 49	2,512 933	-581 44	693 Long-Term
QCOM	QUALCOMM INC CMN	12-Jun-2007	28-Jul-2010	39	1,514 91	1,636 070	-121 16	1,142 Long-Term
QCOM	QUALCOMM INC CMN	03-Aug-2006	28-Jul-2010	6	233 06	208 331	24 73	1,455 Long-Term
QCOM	QUALCOMM INC CMN	24-Sep-2008	28-Jul-2010	12	466 13	545 827	-79 70	672 Long-Term
EMC	EMC CORPORATION MASS CMN	11-Feb-2010	29-Jul-2010	54	1,071 33	926 763	144 57	167 Short-Term
TOTAL					381,218.82		29,613.47	

< Previous Page 5 of 5 Go to page: 99

- Global Manager StrategiesSM and GMS Alpha+SM are registered service marks of Goldman, Sachs & Co

- N Recent news is available regarding this company G Goldman Sachs has recently published research regarding this company News and Research indicators are based on Eastern Standard Time (United States)

- The Bank Deposit Account (BDA), Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, a member of the FDIC Bank Deposits, Certificates of Deposit and Term Deposits will be held in your Goldman, Sachs & Co account and are FDIC-insured, up to a maximum of \$250,000 Note that the maximum is the total protection available for funds in your Bank Deposit Account, your Certificate of Deposit account, and your Term Deposit Account, together with any other deposit accounts you may hold at the Bank in the same title and capacity For more information on how FDIC insurance coverage works, visit www.fdic.gov

Goldman Sachs Bank USA no longer participates in the FDIC's Transaction Account Guarantee Program All non-interest bearing transaction and NOW accounts are insured up to the applicable limit under the FDIC's general deposit insurance rules

Securities, mutual funds, annuities and other non-deposit investment products are issued by Goldman, Sachs & Co BDA, Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, an affiliate of Goldman, Sachs & Co Securities, mutual funds, free credit balances, annuities and other non-deposit investment products are not deposits or other obligations of Goldman Sachs Bank USA or any other bank, are not FDIC-insured, are not insured against loss of value by any governmental agency, and are subject to investment risk, including possible loss of principal amount invested FDIC insurance and SIPC coverage are different SIPC covers against loss of securities (up to a maximum of \$500,000 per customer) or cash (up to a maximum of \$250,000 within the aggregate \$500,000 customer limit) in the event of the bankruptcy of a securities broker-dealer, such as GS&Co SIPC coverage does not protect against a decline in the value of securities FDIC insurance protection, up to an aggregate limit of \$250,000 covers against loss in the event a depository institution, such as the Bank, fails Unlimited insurance is available through December 2009 for non-interest bearing demand deposit accounts Securities, mutual funds and similar types of non-deposit investment products are not covered by FDIC deposit insurance

- Click for important information concerning [Goldman Sachs Financial Markets, L.P.](#) account(s)



PRIVATE WEALTH MANAGEMENT

Log Out

[Statements](#) [Tax Center](#) [Fund Correspondence](#) [E-Delivery](#) [Change Password](#) [Change E-mail](#)
Realized Gains/Losses 1099**Zimmel Boles Foundation GSAM LCV (Strat) 009-54646-6**

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy : GSAM: Large Cap Value (Strategic)**Base Currency :USD**

The Tax Center contains the following information about your account(s) if applicable

- **Realized Gains and Losses.** See your realized gains and losses
- **1099s.** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab

Select an Account or Group

Zimmel Boles Foundation G 009-54646-6

2 Choose An Existing View

Realized Gains/Losses

[Customize](#) this view or
[Create One](#)

Choose a Date Range

From Date 01-Aug-2009

To Date 31-Jul-2010

4 Search By Symbol/CUSIP

Include Options ☐

Gain Type	Gains	Losses	Total
<u>Short-Term</u>	70,642.85	-8,758.67	61,884.17
<u>Long-Term</u>	15,419.32	-6,116.97	9,302.35
Total Realized Gains/Losses*	86,062.17	-14,875.65	71,186.52

Page 1 of 3 [Next](#) > Go to page:**Realized Gains/Losses**

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
MTB	M&T BANK CORPORATION CMN	06-Apr-2009	05-Aug-2009	60	3,594.09	2,790.000	804.09	121	Short-Term
DOW	DOW CHEMICAL CO CMN	08-May-2009	07-Aug-2009	115	2,652.16	2,003.460	648.70	91	Short-Term
DOW	DOW CHEMICAL CO CMN	11-May-2009	07-Aug-2009	4	92.25	68.520	23.73	88	Short-Term
V	VISA INC CMN CLASS A	06-Apr-2009	19-Aug-2009	75	4,986.58	4,453.500	533.08	135	Short-Term
NKE	NIKE CLASS-B CMN CLASS B	06-Apr-2009	20-Aug-2009	75	4,267.42	3,820.500	446.92	136	Short-Term
NKE	NIKE CLASS-B CMN CLASS B	17-Apr-2009	20-Aug-2009	40	2,275.96	2,143.800	132.16	125	Short-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	06-Apr-2009	25-Aug-2009	111	5,938.74	5,481.327	457.41	141	Short-Term
DEVN	DEVON ENERGY CORPORATION (NEW) CMN	06-Apr-2009	27-Aug-2009	43	2,660.13	2,058.458	601.67	143	Short-Term
PRE	PARTNERRE LTD BERMUDA CMN	06-Apr-2009	28-Aug-2009	64	4,752.91	4,187.520	565.39	144	Short-Term
PRE	PARTNERRE LTD BERMUDA CMN	06-Apr-2009	31-Aug-2009	31	2,287.30	2,028.330	258.97	147	Short-Term
GOOG	GOOGLE, INC CMN CLASS A	03-Aug-2009	10-Sep-2009	9	4,172.94	4,078.330	94.61	38	Short-Term
WFT	WEATHERFORD INTERNATIONAL LTD CMN	29-Jun-2009	10-Sep-2009	34	744.56	679.587	64.97	73	Short-Term
WFT	WEATHERFORD INTERNATIONAL LTD CMN	15-May-2009	10-Sep-2009	201	4,401.66	3,606.540	795.12	118	Short-Term
TRV	THE TRAVELERS COMPANIES, INC CMN	25-Apr-2006	10-Sep-2009	49	2,377.71	2,124.640	253.07	1,234	Long-Term
WFT	WEATHERFORD INTERNATIONAL LTD CMN	29-Jun-2009	11-Sep-2009	83	1,828.22	1,658.993	169.23	74	Short-Term
TWX	TIME WARNER INC CMN	09-Mar-2007	11-Sep-2009	38	1,119.06	1,710.280	-591.22	916	Long-Term
TWX	TIME WARNER INC CMN	15-May-2007	11-Sep-2009	14	412.28	673.210	-260.93	850	Long-Term
TWX	TIME WARNER INC CMN	23-Mar-2007	11-Sep-2009	15	441.73	659.780	-218.05	903	Long-Term
TWX	TIME WARNER INC CMN	11-Mar-2008	11-Sep-2009	14	412.28	486.510	-74.23	549	Long-Term
TWX	TIME WARNER INC CMN	02-Mar-2007	11-Sep-2009	17	500.63	750.670	-250.04	923	Long-Term
TWX	TIME WARNER INC CMN	26-Jun-2007	11-Sep-2009	56	1,649.14	2,736.240	-1,087.10	808	Long-Term
TWX	TIME WARNER INC CMN	18-May-2006	11-Sep-2009	50	1,472.44	1,980.217	-507.77	1,212	Long-Term
ATVI	ACTIVISION BLIZZARD INC CMN	18-May-2009	15-Sep-2009	275	3,157.28	3,205.595	-48.32	120	Short-Term
ORCL	ORACLE CORPORATION CMN	06-Apr-2009	16-Sep-2009	245	5,414.55	4,635.400	779.15	163	Short-Term
MS	MORGAN STANLEY CMN	06-Apr-2009	21-Sep-2009	151	4,774.28	3,497.160	1,277.12	168	Short-Term
TMO	THERMO FISHER SCIENTIFIC INC CMN	04-May-2009	30-Sep-2009	94	4,085.75	3,491.670	594.08	149	Short-Term
ETR	ENTERGY CORPORATION CMN	06-Apr-2009	30-Sep-2009	75	5,986.47	5,102.670	883.80	177	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	15-May-2007	01-Oct-2009	10	157.58	267.198	-109.62	870	Long-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	08-Jun-2007	01-Oct-2009	89	1,402.46	2,341.263	-938.80	846	Long-Term
RRC	RANGE RESOURCES CORPORATION CMN	06-Apr-2009	05-Oct-2009	185	8,846.04	7,987.486	858.55	182	Short-Term
WYTH	WYETH CMN	06-Apr-2009	06-Oct-2009	77	3,759.53	3,283.988	475.54	183	Short-Term
HES	HESS CORPORATION CMN	06-Apr-2009	08-Oct-2009	101	5,723.02	5,739.144	-16.12	185	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	07-Jan-2008	09-Oct-2009	75	1,160.25	1,294.522	-134.27	640	Long-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	08-Jun-2007	09-Oct-2009	96	1,485.12	2,525.407	-1,040.29	854	Long-Term
RIG	TRANSOCEAN LTD CMN	06-Apr-2009	13-Oct-2009	50	4,379.10	3,179.085	1,200.02	190	Short-Term
WM	WASTE MANAGEMENT INC CMN	06-Apr-2009	13-Oct-2009	75	2,174.84	1,970.340	204.50	190	Short-Term
WM	WASTE MANAGEMENT INC CMN	20-Jul-2006	13-Oct-2009	25	724.95	848.872	-123.93	1,181	Long-Term
WM	WASTE MANAGEMENT INC CMN	17-Sep-2008	13-Oct-2009	34	985.93	1,150.820	-164.89	391	Long-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	27-May-2009	14-Oct-2009	24	368.56	346.144	22.41	140	Short-Term

CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	08-Apr-2009	14-Oct-2009	157	2,410.99	2,209.050	201.94	189	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	07-Jan-2008	14-Oct-2009	64	982.82	1,104.658	-121.84	645	Long-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	25-Sep-2008	14-Oct-2009	62	952.11	1,231.860	-279.75	384	Long-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	24-Feb-2009	14-Oct-2009	8	122.85	105.200	17.65	231	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	27-May-2009	15-Oct-2009	163	2,519.26	2,350.896	168.36	141	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	03-Aug-2009	15-Oct-2009	193	2,982.92	2,908.240	74.68	73	Short-Term
RIG	TRANSOCEAN LTD CMN	06-Apr-2009	16-Oct-2009	5	456.22	317.908	138.32	193	Short-Term
RIG	TRANSOCEAN LTD CMN	10-Sep-2009	16-Oct-2009	33	3,011.08	2,691.430	319.65	36	Short-Term
	WYETH CMN	06-Apr-2009	16-Oct-2009	114	5,739.92	4,862.009	877.91	193	Short-Term
PFE	PFIZER INC CMN	06-Apr-2009	16-Oct-2009	0.29	5.12		5.12	193	Short-Term
MS	MORGAN STANLEY CMN	06-Apr-2009	21-Oct-2009	125	4,354.62	2,895.000	1,459.62	198	Short-Term
STT	STATE STREET CORPORATION (NEW) CMN	23-Apr-2009	22-Oct-2009	79	3,612.92	2,803.688	809.23	182	Short-Term
ATVI	ACTIVISION BLIZZARD INC CMN	09-Jun-2009	28-Oct-2009	57	655.18	726.290	-71.11	141	Short-Term
ATVI	ACTIVISION BLIZZARD INC CMN	08-Jun-2009	28-Oct-2009	129	1,482.78	1,636.250	-153.47	142	Short-Term
ATVI	ACTIVISION BLIZZARD INC CMN	18-May-2009	28-Oct-2009	126	1,448.29	1,468.745	-20.45	163	Short-Term
PFE	PFIZER INC CMN	06-Apr-2009	28-Oct-2009	111	1,926.72	1,960.260	-33.54	205	Short-Term
PM	PHILIP MORRIS INTL INC CMN	06-Apr-2009	28-Oct-2009	70	3,442.23	2,576.735	865.50	205	Short-Term
BDX	BECTON DICKINSON & CO CMN	06-Apr-2009	28-Oct-2009	70	4,683.16	4,562.600	120.56	205	Short-Term
WFC	WELLS FARGO & CO (NEW) CMN	08-May-2009	28-Oct-2009	140	3,888.73	3,637.550	251.18	173	Short-Term
PFE	PFIZER INC CMN	06-Apr-2009	29-Oct-2009	1	17.31	17.660	-0.35	206	Short-Term
HES	HESS CORPORATION CMN	06-Apr-2009	29-Oct-2009	94	5,295.47	5,341.382	-45.91	206	Short-Term
HES	HESS CORPORATION CMN	12-May-2009	29-Oct-2009	32	1,802.71	2,039.320	-236.61	170	Short-Term
KSS	KOHL'S CORP (WISCONSIN) CMN	28-Aug-2009	30-Oct-2009	24	1,392.94	1,266.700	126.24	63	Short-Term
KSS	KOHL'S CORP (WISCONSIN) CMN	27-Aug-2009	30-Oct-2009	79	4,585.10	4,193.750	391.35	64	Short-Term
PCG	P G & E CORPORATION CMN	06-Apr-2009	03-Nov-2009	120	4,907.54	4,414.800	492.74	211	Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	06-Apr-2009	03-Nov-2009	48	3,149.06	2,297.814	851.25	211	Short-Term
MON	MONSANTO COMPANY CMN	28-Oct-2009	11-Nov-2009	58	4,354.62	4,154.720	199.90	14	Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	06-Apr-2009	13-Nov-2009	45	3,021.82	2,154.200	867.62	221	Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	06-Apr-2009	19-Nov-2009	19	1,316.90	909.551	407.35	227	Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	11-Jun-2009	19-Nov-2009	31	2,148.62	2,075.520	73.10	161	Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	04-Jun-2009	19-Nov-2009	34	2,356.56	2,233.490	123.07	168	Short-Term
VIAB	VIACOM INC CMN CLASS B	06-Apr-2009	02-Dec-2009	120	3,600.98	2,232.240	1,368.74	240	Short-Term
HAL	HALLIBURTON COMPANY CMN	10-Sep-2009	03-Dec-2009	88	2,520.93	2,260.834	260.10	84	Short-Term
HD	THE HOME DEPOT, INC CMN	10-Oct-2008	07-Dec-2009	46	1,297.61	875.540	422.07	423	Long-Term
HD	THE HOME DEPOT, INC CMN	17-Oct-2008	07-Dec-2009	15	423.13	294.000	129.13	416	Long-Term
HD	THE HOME DEPOT, INC CMN	17-Mar-2009	07-Dec-2009	23	648.80	490.000	158.80	265	Short-Term
HD	THE HOME DEPOT, INC CMN	15-Oct-2008	07-Dec-2009	42	1,184.77	854.940	329.83	418	Long-Term
HD	THE HOME DEPOT, INC CMN	23-Oct-2008	07-Dec-2009	41	1,156.56	764.050	392.51	410	Long-Term
BEN	FRANKLIN RESOURCES INC CMN	06-Apr-2009	15-Dec-2009	6	628.99	354.329	274.66	253	Short-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	06-Apr-2009	16-Dec-2009	100	3,228.37	1,968.591	1,259.78	254	Short-Term
BEN	FRANKLIN RESOURCES INC CMN	06-Apr-2009	16-Dec-2009	15	1,555.32	885.824	669.50	254	Short-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	06-Apr-2009	17-Dec-2009	36	1,142.96	708.693	434.27	255	Short-Term
TRV	THE TRAVELERS COMPANIES, INC CMN	25-Apr-2006	17-Dec-2009	57	2,776.55	2,471.520	305.03	1,332	Long-Term
CVX	CHEVRON CORPORATION CMN	29-Oct-2009	23-Dec-2009	67	5,183.01	5,190.462	-7.45	55	Short-Term
PM	PHILIP MORRIS INTL INC CMN	06-Apr-2009	23-Dec-2009	85	4,152.48	3,128.892	1,023.59	261	Short-Term
VIAB	VIACOM INC CMN CLASS B	06-Apr-2009	23-Dec-2009	48	1,415.25	892.896	522.35	261	Short-Term
VIAB	VIACOM INC CMN CLASS B	06-Apr-2009	24-Dec-2009	38	1,123.05	706.876	416.17	262	Short-Term
VIAB	VIACOM INC CMN CLASS B	11-Sep-2009	24-Dec-2009	14	413.75	367.378	46.38	104	Short-Term
VIAB	VIACOM INC CMN CLASS B	11-Sep-2009	28-Dec-2009	48	1,421.89	1,259.582	162.31	108	Short-Term
EOG	EOG RESOURCES INC CMN	06-Apr-2009	29-Dec-2009	18	1,795.29	1,086.120	709.17	267	Short-Term
JCP	J C PENNEY CO INC (HLDNG CO) CMN	07-May-2009	29-Dec-2009	114	3,085.32	3,567.380	-482.06	236	Short-Term
EOG	EOG RESOURCES INC CMN	06-Apr-2009	30-Dec-2009	17	1,682.70	1,025.780	656.92	268	Short-Term
FCX	FREEPORT-MCMORAN COPPER & GOLD CMN	07-May-2009	05-Jan-2010	35	2,918.52	1,811.795	1,106.72	243	Short-Term
BRCM	BROADCOM CORP CL-A CMN CLASS A	28-Oct-2009	05-Jan-2010	100	3,167.97	2,701.202	466.77	69	Short-Term
DOW	DOW CHEMICAL CO CMN	11-May-2009	07-Jan-2010	134	4,158.12	2,295.436	1,862.68	241	Short-Term
X	UNITED STATES STEEL CORPORATIO CMN	07-Aug-2009	14-Jan-2010	70	4,437.21	3,144.606	1,292.60	160	Short-Term
CVX	CHEVRON CORPORATION CMN	29-Oct-2009	15-Jan-2010	76	5,986.26	5,887.688	98.57	78	Short-Term
HAL	HALLIBURTON COMPANY CMN	10-Sep-2009	20-Jan-2010	74	2,464.53	1,901.156	563.38	132	Short-Term
HAL	HALLIBURTON COMPANY CMN	01-Oct-2009	20-Jan-2010	6	199.83	160.345	39.48	111	Short-Term
FCX	FREEPORT-MCMORAN COPPER & GOLD CMN	07-May-2009	21-Jan-2010	35	2,731.46	1,811.795	919.66	259	Short-Term

Page 1 of 3 [Next](#) > Go to page: [90](#)

- GSAM Separate Accounts will reflect current date trading activity after 4 00 PM ET

- Global Manager StrategiesSM and GMS Alpha+SM are registered service marks of Goldman, Sachs & Co

- N Recent news is available regarding this company G Goldman Sachs has recently published research regarding this company News and Research indicators are based on Eastern Standard Time (United States)

- The Bank Deposit Account (BDA), Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, a member of the FDIC Bank Deposits, Certificates of Deposit and Term Deposits will be held in your Goldman, Sachs & Co account and are FDIC-insured, up to a maximum of \$250,000 Note that the maximum is the total protection available for funds in your Bank Deposit Account, your Certificate of Deposit account, and your Term Deposit Account, together with any other deposit accounts you may hold at the Bank in the same title and capacity For more information on how FDIC insurance coverage works, visit www.fdic.gov



PRIVATE WEALTH MANAGEMENT

Log Out

[Statements](#) [Tax Center](#) [Fund Correspondence](#) [E-Delivery](#) [Change Password](#) [Change E-mail](#)
Realized Gains/Losses 1099**Zimmer Boles Foundation GSAM LCV (Strat) 009-54646-6**

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy : GSAM Large Cap Value (Strategic)**Base Currency :USD**

The Tax Center contains the following information about your account(s) if applicable

- **Realized Gains and Losses.** See your realized gains and losses
- **1099s.** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab

Select an Account or Group

Zimmer Boles Foundation G 009-54646-6

2 Choose An Existing View

Realized Gains/Losses
[Customize](#) this view or
[Create One](#)

Choose a Date Range

From Date 01-Aug-2009

To Date 31-Jul-2010

4 Search By Symbol/CUSIP

Include Options ☐

Gain Type	Gains	Losses	Total
<u>Short-Term</u>	70,642.85	-8,758.67	61,884.17
<u>Long-Term</u>	15,419.32	-6,116.97	9,302.35
Total Realized Gains/Losses:	86,062.17	-14,875.65	71,186.52

< [Previous](#) Page 2 of 3 [Next](#) > Go to page:**Realized Gains/Losses**

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
X	UNITED STATES STEEL CORPORATIO CMN	07-Aug-2009	21-Jan-2010	49	2,840.53	2,201.224	639.31	167	Short-Term
EOG	EOG RESOURCES INC CMN	06-Apr-2009	27-Jan-2010	31	2,840.96	1,870.540	970.42	296	Short-Term
BP	BP P L C SPONSORED ADR CMN	03-Nov-2009	04-Feb-2010	44	2,370.97	2,533.426	-162.46	93	Short-Term
LRCX	LAM RESEARCH CORP CMN	11-Sep-2009	04-Feb-2010	120	3,880.43	4,153.240	-272.81	146	Short-Term
BP	BP P L C SPONSORED ADR CMN	03-Nov-2009	08-Feb-2010	26	1,375.72	1,497.024	-121.30	97	Short-Term
BP	BP P L C SPONSORED ADR CMN	10-Nov-2009	08-Feb-2010	19	1,005.34	1,117.090	-111.75	90	Short-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	06-Apr-2009	16-Feb-2010	96	2,880.56	1,889.847	990.71	316	Short-Term
MOS	THE MOSAIC COMPANY CMN	08-Jan-2010	19-Feb-2010	33	2,004.75	2,193.330	-188.58	42	Short-Term
MOS	THE MOSAIC COMPANY CMN	11-Jan-2010	19-Feb-2010	36	2,187.00	2,423.550	-236.55	39	Short-Term
BA	BOEING COMPANY CMN	06-Apr-2009	22-Feb-2010	75	4,809.40	2,763.854	2,045.55	322	Short-Term
JNJ	JOHNSON & JOHNSON CMN	06-Apr-2009	23-Feb-2010	98	6,209.59	5,081.300	1,128.29	323	Short-Term
BP	BP P L C SPONSORED ADR CMN	03-Dec-2009	24-Feb-2010	74	3,954.81	4,304.840	-350.03	83	Short-Term
BP	BP P L C SPONSORED ADR CMN	10-Nov-2009	24-Feb-2010	33	1,763.63	1,940.210	-176.58	106	Short-Term
SPLS	STAPLES, INC CMN	23-Apr-2009	01-Mar-2010	118	3,047.46	2,476.127	571.33	312	Short-Term
APA	APACHE CORP CMN	08-Oct-2009	03-Mar-2010	26	2,756.61	2,596.546	160.06	146	Short-Term
DTV	DIRECTV CMN	08-Oct-2009	04-Mar-2010	29	993.64	791.483	202.16	147	Short-Term
CLF	CLIFFS NATURAL RESOURCES INC CMN	29-Jan-2010	05-Mar-2010	41	2,462.48	1,651.917	810.56	35	Short-Term
BDX	BECTON DICKINSON & CO CMN	31-Dec-2009	08-Mar-2010	46	3,587.32	3,647.663	-60.34	67	Short-Term
BDX	BECTON DICKINSON & CO CMN	31-Dec-2009	09-Mar-2010	28	2,183.75	2,220.317	-36.57	68	Short-Term
GE	GENERAL ELECTRIC CO CMN	24-Nov-2009	16-Mar-2010	81	1,427.20	1,300.787	126.41	111	Short-Term
AFL	AFLAC INCORPORATED CMN	08-May-2009	16-Mar-2010	28	1,482.62	1,012.312	470.31	312	Short-Term
SLB	SCHLUMBERGER LTD CMN	10-Jul-2009	16-Mar-2010	18	1,161.64	903.317	258.32	249	Short-Term
OXY	OCCIDENTAL PETROLEUM CORP CMN	06-Apr-2009	16-Mar-2010	30	2,441.78	1,717.800	723.98	344	Short-Term
ORCL	ORACLE CORPORATION CMN	14-Dec-2009	16-Mar-2010	48	1,199.65	1,114.717	84.93	91	Short-Term
TRV	THE TRAVELERS COMPANIES, INC CMN	25-Apr-2006	16-Mar-2010	26	1,367.06	1,127.360	239.70	1,421	Long-Term
RRC	RANGE RESOURCES CORPORATION CMN	15-Jan-2010	16-Mar-2010	18	908.50	947.734	-39.23	59	Short-Term
EOG	EOG RESOURCES INC CMN	06-Apr-2009	16-Mar-2010	14	1,352.79	844.760	508.03	344	Short-Term
SPLS	STAPLES, INC CMN	23-Apr-2009	16-Mar-2010	26	606.05	545.587	60.46	327	Short-Term
HES	HESS CORPORATION CMN	23-Dec-2009	16-Mar-2010	22	1,339.42	1,303.777	35.64	82	Short-Term
DOW	DOW CHEMICAL CO CMN	11-May-2009	16-Mar-2010	31	937.74	531.034	406.70	309	Short-Term
EMR	EMERSON ELECTRIC CO CMN	06-Apr-2009	16-Mar-2010	32	1,547.18	969.600	577.58	344	Short-Term
AEP	AMERICAN ELECTRIC POWER INC CMN	07-Apr-2009	16-Mar-2010	17	585.30	445.373	139.93	343	Short-Term
WMT	WAL MART STORES INC CMN	06-Apr-2009	16-Mar-2010	16	899.17	853.280	45.89	344	Short-Term
COV	COVIDIEN PLC CMN	30-Sep-2009	16-Mar-2010	21	1,059.85	907.003	152.85	167	Short-Term
PM	PHILIP MORRIS INTL INC CMN	06-Apr-2009	16-Mar-2010	12	612.95	441.726	171.22	344	Short-Term
KFT	KRAFT FOODS INC CMN CLASS A	09-Feb-2010	16-Mar-2010	34	1,006.01	984.431	21.58	34	Short-Term
XOM	EXXON MOBIL CORPORATION CMN	06-Apr-2009	16-Mar-2010	16	1,059.66	1,108.960	-49.30	344	Short-Term
BA	BOEING COMPANY CMN	06-Apr-2009	16-Mar-2010	18	1,240.00	663.325	576.68	344	Short-Term
DOW	DOW CHEMICAL CO CMN	02-Jun-2009	16-Mar-2010	18	544.49	329.466	215.03	287	Short-Term

CSCO	CISCO SYSTEMS, INC CMN	19-Aug-2009	16-Mar-2010	56	1,459 90	1,193 539	266 36	209 Short-Term
VIAB	VIACOM INC CMN CLASS B	11-Sep-2009	16-Mar-2010	23	710 92	603 550	107 37	186 Short-Term
GE	GENERAL ELECTRIC CO CMN	23-Nov-2009	16-Mar-2010	27	475 73	432 000	43 73	112 Short-Term
ETR	ENTERGY CORPORATION CMN	06-Apr-2009	16-Mar-2010	16	1,263 50	1,088 570	174 93	344 Short-Term
CVS	CVS CAREMARK CORPORATION CMN	04-Mar-2010	16-Mar-2010	19	664 80	662 253	2 55	11 Short-Term
BAC	BANK OF AMERICA CORP CMN	13-Apr-2009	16-Mar-2010	176	2,995 48	1,923 856	1,071 62	337 Short-Term
F	FORD MOTOR COMPANY CMN	28-Oct-2009	16-Mar-2010	66	883 06	472 771	410 29	139 Short-Term
BIIB	BIOGEN IDEC INC CMN	06-Apr-2009	16-Mar-2010	23	1,361 64	1,135 510	226 13	344 Short-Term
JPM	JPMORGAN CHASE & CO CMN	25-Apr-2006	16-Mar-2010	74	3,189 35	3,140 560	48 79	1,421 Long-Term
CBS	CBS CORPORATION CMN CLASS B	18-Nov-2009	16-Mar-2010	47	673 97	639 622	34 35	117 Short-Term
BK	THE BANK OF NY MELLON CORP CMN	22-Oct-2009	16-Mar-2010	30	902 98	868 564	34 42	145 Short-Term
MRK	MERCK & CO , INC CMN	16-Dec-2009	16-Mar-2010	35	1,324 73	1,322 028	2 70	89 Short-Term
BAX	BAXTER INTERNATIONAL INC CMN	06-Apr-2009	16-Mar-2010	31	1,799 21	1,465 523	333 69	344 Short-Term
TJX	TJX COMPANIES INC (NEW) CMN	07-Apr-2009	16-Mar-2010	21	902 98	541 452	361 53	343 Short-Term
NFX	NEWFIELD EXPLORATION CO CMN	05-Oct-2009	16-Mar-2010	25	1,345 93	1,092 919	253 01	162 Short-Term
NWL	NEWELL RUBBERMAID INC CMN	28-Sep-2009	16-Mar-2010	43	663 91	674 228	-10 32	169 Short-Term
FE	FIRSTENERGY CORP CMN	06-Apr-2009	16-Mar-2010	21	833 05	812 490	20 56	344 Short-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	06-Apr-2009	16-Mar-2010	20	617 99	393 718	224 27	344 Short-Term
IVZ	INVESCO LTD CMN	10-Oct-2008	16-Mar-2010	35	712 24	436 195	276 04	522 Long-Term
RE	EVEREST RE GROUP LTD CMN	06-Apr-2009	16-Mar-2010	11	900 44	819 525	80 92	344 Short-Term
SLM	SLM CORPORATION CMN	06-Apr-2009	16-Mar-2010	97	1,193 08	545 587	647 49	344 Short-Term
WLP	WELLPOINT, INC CMN	06-Apr-2009	16-Mar-2010	37	2,255 41	1,424 755	830 66	344 Short-Term
DISH	DISH NETWORK CORPORATION CMN CLASS A	23-Apr-2009	16-Mar-2010	65	1,403 98	859 619	544 36	327 Short-Term
DTV	DIRECTV CMN	08-Oct-2009	16-Mar-2010	26	902 44	709 605	192 84	159 Short-Term
STI	SUNTRUST BANKS INC \$1 00 PAR CMN	02-Feb-2010	16-Mar-2010	27	728 72	653 818	74 90	41 Short-Term
GIS	GENERAL MILLS INC CMN	06-Apr-2009	16-Mar-2010	14	1,021 14	709 380	311 76	344 Short-Term
APA	APACHE CORP CMN	08-Oct-2009	16-Mar-2010	6	623 99	599 203	24 79	159 Short-Term
S	SPRINT NEXTEL CORPORATION CMN	06-Apr-2009	16-Mar-2010	356	1,278 02	1,516 560	-238 54	344 Short-Term
PRU	PRUDENTIAL FINANCIAL INC CMN	06-Apr-2009	16-Mar-2010	28	1,570 83	631 680	939 15	344 Short-Term
JNJ	JOHNSON & JOHNSON CMN	06-Apr-2009	16-Mar-2010	15	968 07	777 750	190 32	344 Short-Term
CLF	CLIFFS NATURAL RESOURCES INC CMN	29-Jan-2010	16-Mar-2010	17	1,128 20	684 941	443 26	45 Short-Term
BRCM	BROADCOM CORP CL-A CMN CLASS A	28-Oct-2009	16-Mar-2010	18	595 25	486 216	109 03	139 Short-Term
JCI	JOHNSON CONTROLS INC CMN	17-Apr-2009	16-Mar-2010	24	757 23	416 371	340 86	333 Short-Term
HAL	HALLIBURTON COMPANY CMN	01-Oct-2009	16-Mar-2010	34	1,068 60	908 621	159 98	166 Short-Term
BEN	FRANKLIN RESOURCES INC CMN	06-Apr-2009	16-Mar-2010	11	1,186 66	649 604	537 06	344 Short-Term
STT	STATE STREET CORPORATION (NEW) CMN	23-Apr-2009	16-Mar-2010	18	800 98	638 815	162 16	327 Short-Term
HPQ	HEWLETT-PACKARD CO CMN	18-May-2006	16-Mar-2010	35	1,827 32	1,153 250	674 07	1,398 Long-Term
HON	HONEYWELL INTL INC CMN	18-May-2006	16-Mar-2010	44	1,887 93	1,843 600	44 33	1,398 Long-Term
HPQ	HEWLETT-PACKARD CO CMN	30-Sep-2008	22-Mar-2010	12	630 68	543 192	87 49	538 Long-Term
HPQ	HEWLETT-PACKARD CO CMN	10-Apr-2007	22-Mar-2010	55	2,890 62	2,227 350	663 27	1,077 Long-Term
STT	STATE STREET CORPORATION (NEW) CMN	23-Apr-2009	22-Mar-2010	11	502 76	390 387	112 38	333 Short-Term
HPQ	HEWLETT-PACKARD CO CMN	18-May-2006	22-Mar-2010	26	1,366 48	856 700	509 78	1,404 Long-Term
STT	STATE STREET CORPORATION (NEW) CMN	19-May-2009	22-Mar-2010	46	2,102 47	2,001 467	101 00	307 Short-Term
TGT	TARGET CORPORATION CMN	06-Apr-2009	24-Mar-2010	85	4,534 96	3,003 900	1,531 06	352 Short-Term
APA	APACHE CORP CMN	21-Oct-2009	26-Mar-2010	27	2,637 25	2,820 390	-183 14	156 Short-Term
APA	APACHE CORP CMN	08-Oct-2009	26-Mar-2010	24	2,344 23	2,396 811	-52 58	169 Short-Term
BEN	FRANKLIN RESOURCES INC CMN	06-Apr-2009	26-Mar-2010	26	2,876 26	1,535 428	1,340 83	354 Short-Term
HPQ	HEWLETT-PACKARD CO CMN	07-Apr-2009	31-Mar-2010	5	266 32	165 600	100 72	358 Short-Term
HPQ	HEWLETT-PACKARD CO CMN	06-Apr-2009	31-Mar-2010	20	1,065 29	664 150	401 14	359 Short-Term
HPQ	HEWLETT-PACKARD CO CMN	19-Dec-2008	31-Mar-2010	35	1,864 26	1,235 600	628 66	466 Long-Term
HPQ	HEWLETT-PACKARD CO CMN	30-Sep-2008	31-Mar-2010	3	159 79	135 798	24 00	547 Long-Term
BA	BOEING COMPANY CMN	06-Apr-2009	06-Apr-2010	43	3,111 32	1,584 609	1,526 71	365 Short-Term
CLF	CLIFFS NATURAL RESOURCES INC CMN	29-Jan-2010	07-Apr-2010	22	1,592 43	886 395	706 04	67 Short-Term
TRV	THE TRAVELERS COMPANIES, INC CMN	25-Apr-2006	08-Apr-2010	10	523 57	433 600	89 97	1,444 Long-Term
TRV	THE TRAVELERS COMPANIES, INC CMN	18-May-2006	08-Apr-2010	47	2,460 78	2,086 330	374 45	1,421 Long-Term
XOM	EXXON MOBIL CORPORATION CMN	06-Apr-2009	13-Apr-2010	41	2,804 66	2,841 710	-37 05	372 Long-Term
XOM	EXXON MOBIL CORPORATION CMN	06-Apr-2009	16-Apr-2010	79	5,411 24	5,475 490	-64 25	375 Long-Term
BEN	FRANKLIN RESOURCES INC CMN	06-Apr-2009	20-Apr-2010	24	2,853 14	1,417 318	1,435 82	379 Long-Term
CSCO	CISCO SYSTEMS, INC CMN	19-Aug-2009	28-Apr-2010	132	3,575 97	2,813 341	762 63	252 Short-Term
ORCL	ORACLE CORPORATION CMN	14-Dec-2009	28-Apr-2010	142	3,670 16	3,297 703	372 46	134 Short-Term
CSCO	CISCO SYSTEMS, INC CMN	30-Sep-2009	28-Apr-2010	33	893 99	782 826	111 17	210 Short-Term
ORCL	ORACLE CORPORATION CMN	24-Dec-2009	28-Apr-2010	11	284 31	273 895	10 41	124 Short-Term

< Previous Page 2 of 3 Next > Go to page:

99

- GSAM Separate Accounts will reflect current date trading activity after 4 00 PM ET

- Global Manager StrategiesSM and GMS Alpha+SM are registered service marks of Goldman, Sachs & Co

- N Recent news is available regarding this company G Goldman Sachs has recently published research regarding this company News and Research indicators are based on Eastern Standard Time (United States)



PRIVATE WEALTH MANAGEMENT

Log Out

[Statements](#) [Tax Center](#) [Fund Correspondence](#) [E-Delivery](#) [Change Password](#) [Change E-mail](#)
Realized Gains/Losses 1099**Zimmer Boles Foundation GSAM LCV (Strat) 009-54646-6**

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March If you are issued a revised Form 1099 it will be posted separately from your original Form 1099 Please note that we will only furnish the IRS with the final version of your 1099s If you have any questions, please contact your Private Wealth Management team

Separate Account Strategy : GSAM: Large Cap Value (Strategic)**Base Currency USD**

The Tax Center contains the following information about your account(s) if applicable

- **Realized Gains and Losses.** See your realized gains and losses
- **1099s.** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab

Select an Account or Group

Zimmer Boles Foundation G 009-54646-6

2 Choose An Existing View

go [Realized Gains/Losses](#) go
 > [Customize](#) this view or
 > [Create One](#)

Choose a Date Range

From Date 01-Aug-2009
 To Date 31-Jul-2010

4 Search By Symbol/CUSIP

go
 Include Options ☐

Gain Type	Gains	Losses	Total
<u>Short-Term</u>	70,642.85	-8,758.67	61,884.17
<u>Long-Term</u>	15,419.32	-6,116.97	9,302.35
Total Realized Gains/Losses:	86,062.17	-14,875.65	71,186.52

< [Previous](#) Page 3 of 3 Go to page: go**Realized Gains/Losses**

Symbol	Description	Trade Date	Date Sold / Covered (A)	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
HPQ	HEWLETT-PACKARD CO CMN	07-Apr-2009	29-Apr-2010	105	5,542.26	3,477.600	2,064.66	387	Long-Term
CLF	CLIFFS NATURAL RESOURCES INC CMN	29-Jan-2010	30-Apr-2010	26	1,670.11	1,047.557	622.55	90	Short-Term
CLF	CLIFFS NATURAL RESOURCES INC CMN	23-Feb-2010	30-Apr-2010	26	1,670.11	1,375.038	295.07	65	Short-Term
KFT	KRAFT FOODS INC CMN CLASS A	09-Feb-2010	30-Apr-2010	26	780.06	752.800	27.26	79	Short-Term
APC	ANADARKO PETROLEUM CORP CMN	29-Mar-2010	03-May-2010	21	1,286.21	1,494.706	-208.50	35	Short-Term
KFT	KRAFT FOODS INC CMN CLASS A	09-Feb-2010	03-May-2010	33	990.03	955.477	34.55	82	Short-Term
APC	ANADARKO PETROLEUM CORP CMN	26-Mar-2010	03-May-2010	23	1,408.70	1,596.000	-187.30	38	Short-Term
CLF	CLIFFS NATURAL RESOURCES INC CMN	23-Feb-2010	04-May-2010	63	3,502.81	3,331.822	170.99	69	Short-Term
DTV	DIRECTV CMN	08-Oct-2009	04-May-2010	76	2,686.08	2,074.230	611.85	208	Short-Term
KFT	KRAFT FOODS INC CMN CLASS A	09-Feb-2010	04-May-2010	90	2,665.96	2,605.848	60.11	83	Short-Term
KFT	KRAFT FOODS INC CMN CLASS A	16-Feb-2010	05-May-2010	12	355.56	344.143	11.42	77	Short-Term
APC	ANADARKO PETROLEUM CORP CMN	16-Apr-2010	05-May-2010	11	697.66	818.155	-120.50	19	Short-Term
KFT	KRAFT FOODS INC CMN CLASS A	09-Feb-2010	05-May-2010	14	414.82	405.354	9.47	84	Short-Term
APC	ANADARKO PETROLEUM CORP CMN	29-Mar-2010	05-May-2010	36	2,283.24	2,562.354	-279.11	37	Short-Term
APC	ANADARKO PETROLEUM CORP CMN	16-Apr-2010	06-May-2010	64	3,990.56	4,760.175	-769.62	20	Short-Term
DOW	DOW CHEMICAL CO CMN	15-Sep-2009	07-May-2010	33	860.49	856.174	4.31	234	Short-Term
DOW	DOW CHEMICAL CO CMN	02-Jun-2009	07-May-2010	104	2,711.84	1,903.584	808.26	339	Short-Term
HES	HESS CORPORATION CMN	23-Dec-2009	07-May-2010	41	2,349.45	2,429.767	-80.32	134	Short-Term
HES	HESS CORPORATION CMN	23-Dec-2009	10-May-2010	37	2,168.17	2,192.716	-24.54	137	Short-Term
HES	HESS CORPORATION CMN	04-Jan-2010	10-May-2010	6	351.60	378.746	-27.15	125	Short-Term
KFT	KRAFT FOODS INC CMN CLASS A	16-Feb-2010	11-May-2010	36	1,094.77	1,032.429	62.34	83	Short-Term
KFT	KRAFT FOODS INC CMN CLASS A	16-Feb-2010	12-May-2010	58	1,756.32	1,663.358	92.96	84	Short-Term
HES	HESS CORPORATION CMN	04-Jan-2010	13-May-2010	36	2,099.76	2,272.474	-172.71	128	Short-Term
HES	HESS CORPORATION CMN	27-Jan-2010	13-May-2010	56	3,266.30	3,255.740	10.56	105	Short-Term
JNJ	JOHNSON & JOHNSON CMN	06-Apr-2009	18-May-2010	46	2,897.76	2,385.100	512.66	407	Long-Term
COV	COVIDIEN PLC CMN	30-Sep-2009	24-May-2010	77	3,216.81	3,325.677	-108.86	236	Short-Term
COV	COVIDIEN PLC CMN	28-Oct-2009	24-May-2010	95	3,968.80	4,020.690	-51.89	208	Short-Term
SLB	SCHLUMBERGER LTD CMN	10-Jul-2009	27-May-2010	43	2,551.52	2,157.924	393.60	321	Short-Term
SLB	SCHLUMBERGER LTD CMN	14-Oct-2009	01-Jun-2010	49	2,589.72	3,204.340	-614.62	230	Short-Term
SLB	SCHLUMBERGER LTD CMN	10-Jul-2009	01-Jun-2010	9	475.66	451.659	24.00	326	Short-Term
SLB	SCHLUMBERGER LTD CMN	08-Mar-2010	01-Jun-2010	46	2,431.17	2,960.730	-529.56	84	Short-Term
EOG	EOG RESOURCES INC CMN	06-Apr-2009	14-Jun-2010	46	5,035.53	2,775.640	2,259.89	434	Long-Term
PM	PHILIP MORRIS INTL INC CMN	06-Apr-2009	15-Jun-2010	100	4,562.07	3,681.050	881.02	435	Long-Term
PRU	PRUDENTIAL FINANCIAL INC CMN	06-Apr-2009	16-Jun-2010	47	2,761.58	1,060.320	1,701.26	436	Long-Term
PRU	PRUDENTIAL FINANCIAL INC CMN	03-Jun-2009	16-Jun-2010	4	235.03	163.480	71.55	378	Long-Term
EOG	EOG RESOURCES INC CMN	02-Jul-2009	17-Jun-2010	20	2,196.93	1,293.486	903.44	350	Short-Term
BBBY	BED BATH & BEYOND INC CMN	06-Apr-2010	17-Jun-2010	46	1,949.19	2,055.836	-106.64	72	Short-Term
BBBY	BED BATH & BEYOND INC CMN	05-Apr-2010	17-Jun-2010	21	889.85	945.360	-55.51	73	Short-Term
EOG	EOG RESOURCES INC CMN	06-Apr-2009	17-Jun-2010	2	219.69	120.680	99.01	437	Long-Term

STI	SUNTRUST BANKS INC \$1 00 PAR CMN	03-Feb-2010	18-Jun-2010	58	1,519 07	1,364 097	154 97	134 Short-Term
STI	SUNTRUST BANKS INC \$1 00 PAR CMN	02-Feb-2010	18-Jun-2010	59	1,545 26	1,428 712	116 55	135 Short-Term
DTV	DIRECTV CMN	08-Oct-2009	22-Jun-2010	25	914 72	682 312	232 41	257 Short-Term
DTV	DIRECTV CMN	20-Nov-2009	22-Jun-2010	114	4,171 12	3,609 490	561 63	213 Short-Term
GE	GENERAL ELECTRIC CO CMN	24-Nov-2009	22-Jun-2010	172	2,746 61	2,762 166	-15 56	209 Short-Term
HAL	HALLIBURTON COMPANY CMN	01-Oct-2009	22-Jun-2010	58	1,553 43	1,550 002	3 43	264 Short-Term
HAL	HALLIBURTON COMPANY CMN	01-Oct-2009	23-Jun-2010	3	77 26	80 172	-2 92	265 Short-Term
HAL	HALLIBURTON COMPANY CMN	12-Oct-2009	23-Jun-2010	24	618 05	687 108	-69 06	254 Short-Term
HON	HONEYWELL INTL INC CMN	07-Jul-2006	24-Jun-2010	4	166 16	156 667	9 49	1,448 Long-Term
HON	HONEYWELL INTL INC CMN	18-May-2006	24-Jun-2010	55	2,284 68	2,304 500	-19 82	1,498 Long-Term
EMC	EMC CORPORATION MASS CMN	30-Apr-2010	25-Jun-2010	133	2,524 79	2,573 716	-48 93	56 Short-Term
RRC	RANGE RESOURCES CORPORATION CMN	15-Jan-2010	25-Jun-2010	3	130 61	157 956	-27 34	160 Short-Term
RRC	RANGE RESOURCES CORPORATION CMN	19-Jan-2010	25-Jun-2010	31	1,349 68	1,630 855	-281 18	156 Short-Term
EOG	EOG RESOURCES INC CMN	02-Jul-2009	29-Jun-2010	17	1,739 15	1,099 464	639 68	362 Short-Term
HAL	HALLIBURTON COMPANY CMN	12-Oct-2009	29-Jun-2010	73	1,814 99	2,089 952	-274 96	260 Short-Term
EOG	EOG RESOURCES INC CMN	24-Feb-2010	29-Jun-2010	4	409 21	369 283	39 93	124 Short-Term
HAL	HALLIBURTON COMPANY CMN	16-Oct-2009	29-Jun-2010	4	99 45	122 970	-23 52	256 Short-Term
HAL	HALLIBURTON COMPANY CMN	16-Oct-2009	30-Jun-2010	18	450 01	553 364	-103 35	257 Short-Term
OXY	OCCIDENTAL PETROLEUM CORP CMN	06-Apr-2009	01-Jul-2010	37	2,843 61	2,118 620	724 99	451 Long-Term
BBBY	BED BATH & BEYOND INC CMN	06-Apr-2010	02-Jul-2010	59	2,133 86	2,636 834	-502 97	87 Short-Term
VIAB	VIACOM INC CMN CLASS B	11-Sep-2009	02-Jul-2010	85	2,587 52	2,230 510	357 01	294 Short-Term
VIAB	VIACOM INC CMN CLASS B	28-Oct-2009	02-Jul-2010	90	2,739 72	2,485 450	254 27	247 Short-Term
SPLS	STAPLES, INC CMN	23-Apr-2009	12-Jul-2010	67	1,312 80	1,405 936	-93 13	445 Long-Term
SPLS	STAPLES, INC CMN	17-Aug-2009	12-Jul-2010	72	1,410 78	1,527 888	-117 11	329 Short-Term
QCOM	QUALCOMM INC CMN	09-Oct-2009	16-Jul-2010	104	3,744 46	4,293 920	-549 46	280 Short-Term
S	SPRINT NEXTEL CORPORATION CMN	06-Apr-2009	23-Jul-2010	387	1,815 39	1,648 620	166 77	473 Long-Term
BRCM	BROADCOM CORP CL-A CMN CLASS A	28-Oct-2009	23-Jul-2010	72	2,711 41	1,944 866	766 54	268 Short-Term
TOTAL					552,388.69		71,186.52	

< [Previous](#) Page 3 of 3 Go to page: [99](#)

- GSAM Separate Accounts will reflect current date trading activity after 4 00 PM ET

- Global Manager StrategiesSM and GMS Alpha+SM are registered service marks of Goldman, Sachs & Co

- N Recent news is available regarding this company G Goldman Sachs has recently published research regarding this company News and Research indicators are based on Eastern Standard Time (United States)

- The Bank Deposit Account (BDA), Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, a member of the FDIC Bank Deposits, Certificates of Deposit and Term Deposits will be held in your Goldman, Sachs & Co account and are FDIC-Insured, up to a maximum of \$250,000 Note that the maximum is the total protection available for funds in your Bank Deposit Account, your Certificate of Deposit account, and your Term Deposit Account, together with any other deposit accounts you may hold at the Bank in the same title and capacity For more information on how FDIC insurance coverage works, visit www.fdic.gov

Goldman Sachs Bank USA no longer participates in the FDIC's Transaction Account Guarantee Program All non-interest bearing transaction and NOW accounts are insured up to the applicable limit under the FDIC's general deposit insurance rules

Securities, mutual funds, annuities and other non-deposit investment products are issued by Goldman, Sachs & Co BDA, Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, an affiliate of Goldman, Sachs & Co Securities, mutual funds, free credit balances, annuities and other non-deposit investment products are not deposits or other obligations of Goldman Sachs Bank USA or any other bank, are not FDIC-insured, are not insured against loss of value by any governmental agency, and are subject to investment risk, including possible loss of principal amount invested FDIC insurance and SIPC coverage are different SIPC covers against loss of securities (up to a maximum of \$500,000 per customer) or cash (up to a maximum of \$250,000 within the aggregate \$500,000 customer limit) in the event of the bankruptcy of a securities broker-dealer, such as GS&Co SIPC coverage does not protect against a decline in the value of securities FDIC insurance protection, up to an aggregate limit of \$250,000 covers against loss in the event a depository institution, such as the Bank, fails Unlimited insurance is available through December 2009 for non-interest bearing demand deposit accounts Securities, mutual funds and similar types of non-deposit investment products are not covered by FDIC deposit insurance

- Click for important information concerning [Goldman Sachs Financial Markets, L.P.](#) account(s)

- This information is for general guidance and, except for official Form 1099s, should not be used for tax purposes This information is not intended and should not be construed as tax, accounting or legal advice Please consult with your tax advisor regarding the tax consequences of your investment decisions before acting based on this information The cost basis and acquisition dates for securities delivered into your Goldman Sachs account after purchase were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable

- Note this page includes information for accounts that are closed

 [Send us your comments](#)  [Format this page for printing](#)  [Download to spreadsheet](#)

If you have any questions or need immediate assistance, please call Client Support at +1-877-GOLDMAN (877-465-3626).

Prepared for Max Kolbrenner
Tue 17 Aug 2010 3 04 PM EDT

© Copyright 2010
The Goldman Sachs Group, Inc
All rights reserved

Please read our [Legal Disclosures & Disclaimers](#)
and [Customer Agreement](#)
and about [Privacy & Security](#)
Important information on [trading options](#)
Important information about [Trust Co. Accounts](#) with
Goldman Sachs Trust Company, N A

Goldman, Sachs & Co Member [SIPC](#)

System response and account access times may
vary due to market conditions, system performance
and other factors



PRIVATE WEALTH MANAGEMENT

Log Out

[Statements](#) [Tax Center](#) [Fund Correspondence](#) [E-Delivery](#) [Change Password](#) [Change E-mail](#)
Realized Gains/Losses 1099**Foundation GSAM: LCG (Conc) 009-55628-3**

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy : GSAM: Large Cap Growth (Concentrated)**Base Currency USD**

The Tax Center contains the following information about your account(s) if applicable:

- **Realized Gains and Losses** See your realized gains and losses
- **1099s.** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab

Select an Account or Group

Foundation GSAM LCG (Conc) 009-55628-3

2 Choose An Existing View

go Realized Gains/Losses
 > [Customize](#) this view or
 > [Create One](#)

Choose a Date Range

From Date 01-Aug-2009
 To Date 31-Jul-2010

4 Search By Symbol/CUSIP

go Include Options ☐

Gain Type	Gains	Losses	Total
<u>Short-Term</u>	65,055.23	-15,536.97	49,518.26
<u>Long-Term</u>	17,629.37	-73,222.71	-55,593.34
Total Realized Gains/Losses:	82,684.60	-88,759.69	-6,075.09

Page 1 of 3 [Next](#) > Go to page: [go](#)**Realized Gains/Losses**

Symbol	Description	Trade Date	Date Sold / Covered (A)	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
CELG	CELGENE CORPORATION CMN	13-Mar-2009	11-Sep-2009	81	4,168.96	3,846.330	322.63	182	Short-Term
CELG	CELGENE CORPORATION CMN	17-Mar-2009	11-Sep-2009	26	1,338.18	1,229.310	108.88	178	Short-Term
CELG	CELGENE CORPORATION CMN	16-Mar-2009	11-Sep-2009	21	1,080.84	1,004.240	76.60	179	Short-Term
CELG	CELGENE CORPORATION CMN	19-Mar-2009	11-Sep-2009	55	2,830.78	2,570.720	260.06	176	Short-Term
MCD	MC DONALDS CORP CMN	27-May-2009	11-Sep-2009	72	3,910.22	4,176.580	-266.36	107	Short-Term
NTRS	NORTHERN TRUST CORP CMN	28-Aug-2009	11-Sep-2009	96	5,653.29	5,626.130	27.16	14	Short-Term
IBM	INTL BUSINESS MACHINES CORP CMN	06-Mar-2009	11-Sep-2009	22	2,604.29	1,934.870	669.42	188	Short-Term
SNY	SANOFI-AVENTIS SPONSORED ADR CMN	24-Jul-2009	11-Sep-2009	162	5,677.95	5,323.160	354.79	49	Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	03-Oct-2007	11-Sep-2009	4	211.27	179.614	31.66	709	Long-Term
GILD	GILEAD SCIENCES CMN	21-Sep-2007	11-Sep-2009	65	2,982.77	2,608.642	374.13	721	Long-Term
INTC	INTEL CORPORATION CMN	31-Oct-2007	11-Sep-2009	179	3,495.78	4,742.430	-1,246.65	681	Long-Term
INTC	INTEL CORPORATION CMN	25-Oct-2007	11-Sep-2009	342	6,679.08	8,940.020	-2,260.94	687	Long-Term
BBY	BEST BUY CO INC CMN SERIES	01-Jun-2007	11-Sep-2009	55	2,182.34	2,671.690	-489.35	833	Long-Term
BBY	BEST BUY CO INC CMN SERIES	18-May-2006	11-Sep-2009	86	3,412.39	4,512.420	-1,100.03	1,212	Long-Term
GLW	CORNING INCORPORATED CMN	20-Jun-2007	11-Sep-2009	237	3,775.31	6,124.630	-2,349.32	814	Long-Term
GLW	CORNING INCORPORATED CMN	18-May-2006	11-Sep-2009	204	3,249.64	5,040.840	-1,791.20	1,212	Long-Term
BBY	BEST BUY CO INC CMN SERIES	11-Sep-2006	11-Sep-2009	74	2,936.24	3,570.410	-634.17	1,096	Long-Term
GLW	CORNING INCORPORATED CMN	10-Sep-2007	11-Sep-2009	87	1,385.87	2,170.990	-785.12	732	Long-Term
GLW	CORNING INCORPORATED CMN	07-Sep-2006	11-Sep-2009	48	764.62	1,036.170	-271.55	1,100	Long-Term
TJX	TJX COMPANIES INC (NEW) CMN	13-Nov-2007	11-Sep-2009	6	220.07	182.660	37.41	667	Long-Term
HPQ	HEWLETT-PACKARD CO CMN	22-Jan-2008	11-Sep-2009	30	1,376.36	1,276.660	99.70	597	Long-Term
HPQ	HEWLETT-PACKARD CO CMN	11-Jun-2008	11-Sep-2009	36	1,651.64	1,681.560	-29.92	457	Long-Term
TJX	TJX COMPANIES INC (NEW) CMN	16-Nov-2007	11-Sep-2009	153	5,611.89	4,478.980	1,132.91	664	Long-Term

TJX	TJX COMPANIES INC (NEW) CMN	21-Nov-2007	11-Sep-2009	132	4,841 63	3,746 860	1,094 77	659	Long-Term
APC	ANADARKO PETROLEUM CORP CMN	26-Nov-2008	11-Sep-2009	216	12,652 95	8,637 690	4,015 26	288	Short-Term
MCD	MC DONALDS CORP CMN	28-May-2009	11-Sep-2009	51	2,769 74	2,962 460	-192 72	106	Short-Term
IBM	INTL BUSINESS MACHINES CORP CMN	03-Mar-2009	11-Sep-2009	22	2,604 29	1,938 570	665 72	191	Short-Term
IBM	INTL BUSINESS MACHINES CORP CMN	19-Mar-2009	11-Sep-2009	30	3,551 31	2,782 490	768 82	176	Short-Term
IBM	INTL BUSINESS MACHINES CORP CMN	06-Apr-2009	11-Sep-2009	19	2,249 16	1,917 900	331 26	158	Short-Term
URBN	URBAN OUTFITTERS INC CMN	21-Jul-2008	11-Sep-2009	109	3,259 01	3,387 120	-128 11	417	Long-Term
WFC	WELLS FARGO & CO (NEW) CMN	24-Mar-2009	11-Sep-2009	405	11,189 86	6,792 100	4,397 76	171	Short-Term
IBM	INTL BUSINESS MACHINES CORP CMN	09-Jun-2009	11-Sep-2009	11	1,302 15	1,193 560	108 59	94	Short-Term
AMAT	APPLIED MATERIALS INC CMN	22-Apr-2009	11-Sep-2009	398	5,376 84	4,533 220	843 62	142	Short-Term
AMAT	APPLIED MATERIALS INC CMN	04-May-2009	11-Sep-2009	54	729 52	690 980	38 54	130	Short-Term
URBN	URBAN OUTFITTERS INC CMN	01-Aug-2008	11-Sep-2009	110	3,288 91	3,631 620	-342 71	406	Long-Term
URBN	URBAN OUTFITTERS INC CMN	04-May-2009	11-Sep-2009	62	1,853 75	1,250 990	602 76	130	Short-Term
URBN	URBAN OUTFITTERS INC CMN	24-Jul-2009	11-Sep-2009	74	2,212 54	1,626 540	586 00	49	Short-Term
WFC	WELLS FARGO & CO (NEW) CMN	04-Aug-2009	11-Sep-2009	147	4,061 50	3,773 420	288 08	38	Short-Term
FDX	FEDEX CORP CMN	22-Apr-2009	11-Sep-2009	170	13,043 76	9,161 300	3,882 46	142	Short-Term
MON	MONSANTO COMPANY CMN	06-Aug-2009	11-Sep-2009	60	4,680 48	5,033 360	-352 88	36	Short-Term
MON	MONSANTO COMPANY CMN	07-Aug-2009	11-Sep-2009	36	2,808 28	3,001 190	-192 90	35	Short-Term
DF	DEAN FOODS COMPANY NEW CMN	20-Mar-2009	11-Sep-2009	61	1,097 97	1,185 460	-87 49	175	Short-Term
DF	DEAN FOODS COMPANY NEW CMN	22-Apr-2009	11-Sep-2009	76	1,367 96	1,503 280	-135 32	142	Short-Term
DF	DEAN FOODS COMPANY NEW CMN	26-Jan-2009	11-Sep-2009	231	4,157 89	4,565 210	-407 32	227	Short-Term
DF	DEAN FOODS COMPANY NEW CMN	07-Apr-2009	11-Sep-2009	88	1,583 96	1,665 540	-81 58	157	Short-Term
DF	DEAN FOODS COMPANY NEW CMN	07-May-2009	11-Sep-2009	67	1,205 97	1,216 240	-10 27	127	Short-Term
GOOG	GOOGLE, INC CMN CLASS A	22-Apr-2009	11-Sep-2009	12	5,634 46	4,597 200	1,037 26	142	Short-Term
GOOG	GOOGLE, INC CMN CLASS A	22-May-2009	11-Sep-2009	4	1,878 15	1,577 470	300 68	112	Short-Term
GOOG	GOOGLE, INC CMN CLASS A	05-Jun-2009	11-Sep-2009	5	2,347 69	2,216 160	131 53	98	Short-Term
GOOG	GOOGLE, INC CMN CLASS A	30-Apr-2009	11-Sep-2009	5	2,347 69	1,979 680	368 01	134	Short-Term
GOOG	GOOGLE, INC CMN CLASS A	28-May-2009	11-Sep-2009	3	1,408 61	1,221 850	186 76	106	Short-Term
WLP	WELLPOINT, INC CMN	20-Aug-2009	11-Sep-2009	103	5,544 34	5,549 070	-4 73	22	Short-Term
	LIBERTY MEDIA CORPORATION CMN SERIES A LIBERTY ENTERTAINMENT	26-Sep-2008	11-Sep-2009	268	7,798 60	6,791 850	1,006 74	350	Short-Term
AES	AES CORP CMN	20-Jul-2009	11-Sep-2009	140	1,964 15	1,721 540	242 61	53	Short-Term
LRCX	LAM RESEARCH CORP CMN	27-May-2009	11-Sep-2009	11	380 04	283 120	96 92	107	Short-Term
AES	AES CORP CMN	25-Jun-2009	11-Sep-2009	447	6,271 24	4,931 970	1,339 27	78	Short-Term
	LIBERTY MEDIA CORPORATION CMN SERIES A LIBERTY ENTERTAINMENT	13-Jan-2009	11-Sep-2009	113	3,288 21	1,977 490	1,310 72	240	Short-Term
	LIBERTY MEDIA CORPORATION CMN SERIES A LIBERTY ENTERTAINMENT	25-Feb-2009	11-Sep-2009	77	2,240 64	1,380 910	859 73	197	Short-Term
LRCX	LAM RESEARCH CORP CMN	19-Mar-2009	11-Sep-2009	176	6,080 64	4,302 140	1,778 50	176	Short-Term
AMAT	APPLIED MATERIALS INC CMN	28-May-2009	11-Sep-2009	184	2,485 77	2,033 440	452 33	106	Short-Term
BA	BOEING COMPANY CMN	31-Aug-2009	11-Sep-2009	110	5,631 85	5,504 270	127 58	11	Short-Term
BA	BOEING COMPANY CMN	01-Sep-2009	11-Sep-2009	62	3,174 32	3,021 220	153 10	10	Short-Term
LRCX	LAM RESEARCH CORP CMN	24-Apr-2009	11-Sep-2009	51	1,762 00	1,263 450	498 55	140	Short-Term
LRCX	LAM RESEARCH CORP CMN	01-May-2009	11-Sep-2009	26	898 28	714 670	183 61	133	Short-Term
HPQ	HEWLETT-PACKARD CO CMN	15-May-2008	11-Sep-2009	106	4,863 15	4,904 460	-41 31	484	Long-Term

HPQ	HEWLETT-PACKARD CO CMN	16-May-2008	11-Sep-2009	50	2,293 94	2,331 600	-37 66	483	Long-Term
HPQ	HEWLETT-PACKARD CO CMN	19-May-2008	11-Sep-2009	41	1,881 03	1,949 780	-68 75	480	Long-Term
HPQ	HEWLETT-PACKARD CO CMN	11-Sep-2008	11-Sep-2009	20	917 58	932 820	-15 24	365	Short-Term
AMAT	APPLIED MATERIALS INC CMN	07-May-2009	11-Sep-2009	2	27 02	24 000	3 02	127	Short-Term
HUM	HUMANA INC CMN	15-Jun-2007	11-Sep-2009	54	2,120 44	3,324 160	-1,203 72	819	Long-Term
HUM	HUMANA INC CMN	06-May-2008	11-Sep-2009	78	3,062 85	3,669 110	-606 26	493	Long-Term
HUM	HUMANA INC CMN	06-Aug-2008	11-Sep-2009	67	2,630 91	3,259 240	-628 33	401	Long-Term
HUM	HUMANA INC CMN	25-Apr-2006	11-Sep-2009	135	5,301 09	6,516 450	-1,215 36	1,235	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	26-Feb-2008	11-Sep-2009	124	1,411 98	1,640 850	-228 87	562	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	08-Aug-2006	11-Sep-2009	336	3,826 02	5,299 190	-1,473 17	1,130	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	02-Feb-2007	11-Sep-2009	78	888 18	1,433 600	-545 42	951	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	18-May-2006	11-Sep-2009	80	910 96	1,624 000	-713 04	1,212	Long-Term
HUM	HUMANA INC CMN	25-May-2006	11-Sep-2009	61	2,395 31	3,073 230	-677 92	1,205	Long-Term
HUM	HUMANA INC CMN	28-Mar-2006	11-Sep-2009	7	274 87	389 200	-114 33	1,262	Long-Term
HUM	HUMANA INC CMN	18-May-2006	11-Sep-2009	198	7,774 93	9,553 500	-1,778 57	1,212	Long-Term
MDR	MCDERMOTT INTL CMN	21-May-2007	11-Sep-2009	35	952 08	1,290 970	-338 89	844	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	29-Dec-2006	11-Sep-2009	315	3,586 89	5,439 920	-1,853 03	986	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	23-Jan-2009	11-Sep-2009	162	1,844 69	1,247 110	597 58	230	Short-Term
FCX	FREEPORT-MCMORAN COPPER & GOLD CMN	05-Mar-2009	11-Sep-2009	28	1,952 39	887 710	1,064 68	189	Short-Term
FCX	FREEPORT-MCMORAN COPPER & GOLD CMN	07-May-2009	11-Sep-2009	10	697 28	497 890	199 39	127	Short-Term
MDR	MCDERMOTT INTL CMN	26-Jul-2007	11-Sep-2009	256	6,963 76	10,634 140	-3,670 38	778	Long-Term
MDR	MCDERMOTT INTL CMN	06-May-2008	11-Sep-2009	61	1,659 33	3,363 110	-1,703 78	493	Long-Term
MDR	MCDERMOTT INTL CMN	07-Aug-2007	11-Sep-2009	28	761 66	1,204 460	-442 80	766	Long-Term
FCX	FREEPORT-MCMORAN COPPER & GOLD CMN	04-Mar-2009	11-Sep-2009	64	4,462 60	2,032 710	2,429 89	190	Short-Term
FCX	FREEPORT-MCMORAN COPPER & GOLD CMN	13-Mar-2009	11-Sep-2009	19	1,324 84	703 430	621 41	182	Short-Term
FCX	FREEPORT-MCMORAN COPPER & GOLD CMN	28-May-2009	11-Sep-2009	19	1,324 84	956 950	367 88	106	Short-Term
FCX	FREEPORT-MCMORAN COPPER & GOLD CMN	22-Jul-2009	11-Sep-2009	15	1,045 92	881 820	164 10	51	Short-Term
MDR	MCDERMOTT INTL CMN	11-Sep-2008	11-Sep-2009	96	2,611 41	2,636 290	-24 88	365	Short-Term
WFR	MEMC ELECTRONIC MATERIAL COMMON STOCK	25-Jul-2008	11-Sep-2009	130	2,224 24	5,807 020	-3,582 78	413	Long-Term
WFR	MEMC ELECTRONIC MATERIAL COMMON STOCK	25-Apr-2006	11-Sep-2009	195	3,336 36	8,191 950	-4,855 59	1,235	Long-Term
CVS	CVS CAREMARK CORPORATION CMN	28-Jul-2006	11-Sep-2009	132	4,812 60	4,321 630	490 97	1,141	Long-Term
BAC	BANK OF AMERICA CORP CMN	12-Mar-2008	11-Sep-2009	159	2,712 47	5,992 310	-3,279 84	548	Long-Term
BAC	BANK OF AMERICA CORP CMN	22-Sep-2008	11-Sep-2009	228	3,889 58	7,531 960	-3,642 38	354	Short-Term
BAC	BANK OF AMERICA CORP CMN	25-Jan-2008	11-Sep-2009	78	1,330 65	3,104 240	-1,773 59	594	Long-Term

Page 1 of 3 [Next](#) > Go to page:

- GSAM Separate Accounts will reflect current date trading activity after 4 00 PM ET

- Global Manager StrategiesSM and GMS Alpha+SM are registered service marks of Goldman, Sachs & Co

- N Recent news is available regarding this company. G Goldman Sachs has recently published research regarding this company. News and Research indicators are based on Eastern Standard Time (United States)

The Bank Deposit Account (BDA), Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, a member of the FDIC. Bank Deposits, Certificates of Deposit and Term Deposits will be held in your Goldman, Sachs & Co. account and are FDIC-insured, up to a maximum of \$250,000. Note that the maximum is the total protection available for funds in your Bank Deposit Account, your Certificate of Deposit account, and your Term Deposit Account, together with any other deposit accounts you may hold at the Bank in the same title and capacity. For more information on how FDIC insurance coverage works, visit www.fdic.gov

Goldman Sachs Bank USA no longer participates in the FDIC's Transaction Account Guarantee Program. All non-interest bearing transaction and NOW accounts are insured up to the applicable limit under the FDIC's general deposit insurance rules.

Securities, mutual funds, annuities and other non-deposit investment products are issued by Goldman, Sachs & Co. BDA, Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, an affiliate of Goldman, Sachs & Co. Securities, mutual funds, free credit balances, annuities and other non-deposit investment products are not



PRIVATE WEALTH MANAGEMENT

[Log Out](#)
[Statements](#) [Tax Center](#) [Fund Correspondence](#) [E-Delivery](#) [Change Password](#) [Change E-mail](#)
Realized Gains/Losses 1099**Foundation GSAM: LCG (Conc) 009-55628-3**

1099 revisions: Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy : GSAM Large Cap Growth (Concentrated)
Base Currency : USD

The Tax Center contains the following information about your account(s) if applicable

- **Realized Gains and Losses.** See your realized gains and losses
- **1099s.** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab

Select an Account or Group

Foundation GSAM LCG (Conc) 009-55628-3

2 Choose An Existing View

go Realized Gains/Losses go

 > [Customize](#) this view or
> [Create One](#)

Choose a Date Range

From Date 01-Aug-2009

To Date 31-Jul-2010

4 Search By Symbol/CUSIP

go

 Include Options ☐

Gain Type	Gains	Losses	Total
Short-Term	65,055 23	-15,536 97	49,518 26
Long-Term	17,629 37	-73,222 71	-55,593 34
Total Realized Gains/Losses:	82,684 60	-88,759.69	-6,075 09

 < [Previous](#) Page 2 of 3 [Next](#) > Go to page:

go

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered (d)	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
BAC	BANK OF AMERICA CORP CMN	04-Feb-2008	11-Sep-2009	159	2,712 47	7,055 970	-4,343 50	584	Long-Term
MCK	MCKESSON CORPORATION CMN	02-Jan-2008	11-Sep-2009	75	4,316 89	4,798 940	-482 05	617	Long-Term
WFR	MEMC ELECTRONIC MATERIAL COMMON STOCK	18-May-2006	11-Sep-2009	199	3,404 80	8,182 880	-4,778 08	1,212	Long-Term
CVS	CVS CAREMARK CORPORATION CMN	25-Apr-2006	11-Sep-2009	139	5,067 81	4,051 850	1,015 96	1,235	Long-Term
CVS	CVS CAREMARK CORPORATION CMN	18-May-2006	11-Sep-2009	175	6,380 34	5,057 500	1,322 84	1,212	Long-Term
BAC	BANK OF AMERICA CORP CMN	07-Feb-2008	11-Sep-2009	161	2,746 59	7,004 240	-4,257 65	581	Long-Term
BAC	BANK OF AMERICA CORP CMN	18-Apr-2008	11-Sep-2009	89	1,518 30	3,420 390	-1,902 09	511	Long-Term
BAC	BANK OF AMERICA CORP CMN	23-Sep-2008	11-Sep-2009	157	2,678 35	5,319 860	-2,641 51	353	Short-Term
BAC	BANK OF AMERICA CORP CMN	26-Jan-2009	11-Sep-2009	292	4,981 39	1,833 500	3,147 89	227	Short-Term
MCK	MCKESSON CORPORATION CMN	22-Mar-2007	11-Sep-2009	35	2,014 55	2,001 960	12 59	904	Long-Term
MCK	MCKESSON CORPORATION CMN	23-Mar-2007	11-Sep-2009	55	3,165 72	3,180 260	-14 54	903	Long-Term
MCK	MCKESSON CORPORATION CMN	02-Apr-2007	11-Sep-2009	70	4,029 10	4,143 240	-114 14	893	Long-Term
CVX	CHEVRON CORPORATION CMN	16-Dec-2008	11-Sep-2009	110	7,778 90	8,658 180	-879 28	268	Short-Term
BAC	BANK OF AMERICA CORP CMN	03-Oct-2008	11-Sep-2009	182	3,104 84	6,107 570	-3,002 73	343	Short-Term
MRVL	MARVELL TECHNOLOGY GROUP LTD CMN	10-Jun-2009	11-Sep-2009	200	3,243 91	2,571 900	672 01	93	Short-Term
MRVL	MARVELL TECHNOLOGY GROUP LTD CMN	11-Jun-2009	11-Sep-2009	202	3,276 35	2,548 960	727 39	92	Short-Term
MRVL	MARVELL TECHNOLOGY GROUP LTD CMN	16-Jul-2009	11-Sep-2009	139	2,254 52	1,819 040	435 48	57	Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	28-Aug-2007	11-Sep-2009	83	4,383 95	3,460 210	923 74	745	Long-Term
MET	METLIFE, INC CMN	29-Jun-2007	11-Sep-2009	102	3,913 64	6,555 380	-2,641 74	805	Long-Term
MET	METLIFE, INC CMN	05-Feb-2008	11-Sep-2009	109	4,182 22	6,238 950	-2,056 73	583	Long-Term
AMX	AMERICA MOVIL SAB DE CV SPONSORED ADR CMN SERIES L	09-Jul-2009	11-Sep-2009	126	5,794 59	4,795 690	998 90	64	Short-Term
AMX	AMERICA MOVIL SAB DE CV SPONSORED ADR CMN SERIES L	23-Jul-2009	11-Sep-2009	46	2,115 48	2,031 880	83 60	50	Short-Term
MRVL	MARVELL TECHNOLOGY GROUP LTD CMN	22-Jul-2009	11-Sep-2009	108	1,751 71	1,506 560	245 15	51	Short-Term

AMX	AMERICA MOVIL SAB DE CV SPONSORED ADR CMN SERIES L	16-Jul-2009	11-Sep-2009	64	2,943 28	2,553 190	390 09	57	Short-Term
FLR	FLUOR CORPORATION CMN	26-Nov-2008	11-Sep-2009	161	8,686 72	7,094 140	1,592 58	288	Short-Term
MET	METLIFE, INC CMN	05-Feb-2008	11-Sep-2009	31	1,189 44	1,788 950	-599 51	583	Long-Term
MET	METLIFE, INC CMN	14-Sep-2006	11-Sep-2009	53	2,033 56	2,956 550	-922 99	1,093	Long-Term
MA	MASTERCARD INCORPORATED CMN CLASS A	28-May-2008	11-Sep-2009	28	5,844 85	7,914 570	-2,069 72	471	Long-Term
MRO	MARATHON OIL CORPORATION CMN	31-Mar-2009	11-Sep-2009	166	5,336 76	4,409 340	927 42	164	Short-Term
MRO	MARATHON OIL CORPORATION CMN	02-Apr-2009	11-Sep-2009	31	996 62	900 750	95 87	162	Short-Term
MRO	MARATHON OIL CORPORATION CMN	22-May-2009	11-Sep-2009	89	2,861 28	2,643 130	218 14	112	Short-Term
AMTD	TD AMERITRADE HOLDING CORPORAT CMN	22-May-2009	11-Sep-2009	256	4,948 35	4,455 070	493 28	112	Short-Term
MA	MASTERCARD INCORPORATED CMN CLASS A	10-Jun-2008	11-Sep-2009	16	3,339 91	4,622 010	-1,282 10	458	Long-Term
MA	MASTERCARD INCORPORATED CMN CLASS A	01-Aug-2008	11-Sep-2009	23	4,801 12	5,229 580	-428 46	406	Long-Term
MA	MASTERCARD INCORPORATED CMN CLASS A	16-Jul-2008	11-Sep-2009	7	1,461 21	1,871 350	-410 14	422	Long-Term
MRO	MARATHON OIL CORPORATION CMN	22-Apr-2009	11-Sep-2009	35	1,125 22	1,012 550	112 67	142	Short-Term
AMTD	TD AMERITRADE HOLDING CORPORAT CMN	01-May-2009	11-Sep-2009	292	5,644 21	4,690 340	953 87	133	Short-Term
CA	CA, INC CMN	17-Aug-2009	11-Sep-2009	223	4,988 38	4,953 100	35 28	25	Short-Term
CA	CA, INC CMN	20-Aug-2009	11-Sep-2009	141	3,154 09	3,177 560	-23 47	22	Short-Term
MA	MASTERCARD INCORPORATED CMN CLASS A	04-Aug-2008	11-Sep-2009	10	2,087 44	2,383 520	-296 08	403	Long-Term
ATVI	ACTIVISION BLIZZARD INC CMN	06-Nov-2008	11-Sep-2009	140	1,640 76	1,696 577	-55 82	308	Short-Term
ATVI	ACTIVISION BLIZZARD INC CMN	22-Jan-2008	11-Sep-2009	96	1,125 09	1,293 920	-168 83	597	Long-Term
ATVI	ACTIVISION BLIZZARD INC CMN	07-Feb-2008	11-Sep-2009	184	2,156 42	2,433 110	-276 69	581	Long-Term
ATVI	ACTIVISION BLIZZARD INC CMN	11-Jan-2008	11-Sep-2009	84	984 45	1,161 550	-177 10	608	Long-Term
ATVI	ACTIVISION BLIZZARD INC CMN	06-Nov-2008	14-Sep-2009	99	1,162 67	1,199 723	-37 05	311	Short-Term
ATVI	ACTIVISION BLIZZARD INC CMN	22-Jan-2009	14-Sep-2009	226	2,654 18	2,127 680	526 50	234	Short-Term
ATVI	ACTIVISION BLIZZARD INC CMN	16-Mar-2009	14-Sep-2009	125	1,468 02	1,191 040	276 98	182	Short-Term
TMO	THERMO FISHER SCIENTIFIC INC CMN	11-Sep-2009	21-Sep-2009	126	5,690 52	5,951 601	-261 08	10	Short-Term
RIMM	RESEARCH IN MOTION LIMITED CMN	14-Oct-2008	25-Sep-2009	40	2,787 16	2,535 270	251 89	346	Short-Term
RIMM	RESEARCH IN MOTION LIMITED CMN	19-Jun-2009	25-Sep-2009	22	1,532 94	1,649 210	-116 27	98	Short-Term
RIMM	RESEARCH IN MOTION LIMITED CMN	23-Jan-2009	25-Sep-2009	17	1,184 54	873 870	310 67	244	Short-Term
RIMM	RESEARCH IN MOTION LIMITED CMN	11-Sep-2009	25-Sep-2009	9	627 11	708 210	-81 10	14	Short-Term
TMO	THERMO FISHER SCIENTIFIC INC CMN	11-Sep-2009	30-Sep-2009	86	3,705 54	4,062 204	-356 66	19	Short-Term
WU	WESTERN UNION COMPANY (THE) CMN	11-Sep-2009	06-Oct-2009	168	3,125 38	3,145 628	-20 25	25	Short-Term
SLB	SCHLUMBERGER LTD CMN	11-Sep-2009	14-Oct-2009	49	3,221 32	2,965 448	255 87	33	Short-Term
MAR	MARRIOTT INTERNATIONAL, INC CMN CLASS A	11-Sep-2009	15-Oct-2009	97	2,708 75	2,384 341	324 41	34	Short-Term
COH	COACH INC CMN	11-Sep-2009	21-Oct-2009	306	10,249 38	9,635 750	613 63	40	Short-Term
SLB	SCHLUMBERGER LTD CMN	11-Sep-2009	21-Oct-2009	35	2,431 16	2,118 177	312 98	40	Short-Term
GILD	GILEAD SCIENCES CMN	03-Oct-2007	26-Oct-2009	16	703 02	663 349	39 68	754	Long-Term
GILD	GILEAD SCIENCES CMN	21-Sep-2007	26-Oct-2009	6	263 64	240 798	22 84	766	Long-Term
ERTS	ELECTRONIC ARTS CMN	11-Sep-2009	30-Oct-2009	486	8,853 24	8,782 400	70 84	49	Short-Term
ESRX	EXPRESS SCRIPTS COMMON CMN	28-Apr-2008	02-Dec-2009	48	4,181 88	3,547 030	634 85	583	Long-Term
MS	MORGAN STANLEY CMN	11-Sep-2009	15-Dec-2009	407	12,194 47	11,858 840	335 63	95	Short-Term
ESRX	EXPRESS SCRIPTS COMMON CMN	28-Apr-2008	15-Dec-2009	36	3,190 70	2,660 272	530 43	596	Long-Term
ESRX	EXPRESS SCRIPTS COMMON CMN	11-Sep-2008	17-Dec-2009	33	2,809 22	2,449 590	359 63	462	Long-Term

ESRX	EXPRESS SCRIPTS COMMON CMN	28-Apr-2008	17-Dec-2009	26	2,213 33	1,921 308	292 02	598	Long-Term
EOG	EOG RESOURCES INC CMN	13-Oct-2009	21-Dec-2009	65	6,154 08	5,800 580	353 50	69	Short-Term
LOW	LOWES COMPANIES INC CMN	11-Sep-2009	23-Dec-2009	134	3,135 75	2,868 886	266 86	103	Short-Term
QCOM	QUALCOMM INC CMN	01-Aug-2008	04-Jan-2010	104	4,907 37	5,694 134	-786 76	521	Long-Term
V	VISA INC CMN CLASS A	11-Sep-2009	04-Jan-2010	43	3,804 19	3,093 940	710 25	115	Short-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	03-Oct-2007	07-Jan-2010	64	3,676 03	2,873 819	802 21	827	Long-Term
CME	CME GROUP INC CMN CLASS A	18-May-2006	07-Jan-2010	2	682 06	895 520	-213 46	1,330	Long-Term
CME	CME GROUP INC CMN CLASS A	25-Apr-2006	07-Jan-2010	9	3,069 24	4,293 090	-1,223 84	1,353	Long-Term
TGT	TARGET CORPORATION CMN	11-Sep-2009	07-Jan-2010	107	5,368 76	5,107 469	261 29	118	Short-Term
SU	SUNCOR ENERGY INC CMN	11-Sep-2009	15-Jan-2010	108	3,885 20	3,708 115	177 08	126	Short-Term
ORCL	ORACLE CORPORATION CMN	24-Jul-2007	15-Jan-2010	94	2,366 26	1,946 194	420 07	906	Long-Term
SLB	SCHLUMBERGER LTD CMN	11-Sep-2009	15-Jan-2010	31	2,194 97	1,876 100	318 87	126	Short-Term
EQIX	EQUINIX INC CMN	11-Sep-2009	19-Jan-2010	45	4,616 42	4,002 750	613 67	130	Short-Term
MAR	MARRIOTT INTERNATIONAL, INC CMN CLASS A	11-Sep-2009	05-Feb-2010	371	9,619 17	9,094 909	524 26	147	Short-Term
V	VISA INC CMN CLASS A	11-Sep-2009	05-Feb-2010	71	5,824 12	5,108 599	715 52	147	Short-Term
V	VISA INC CMN CLASS A	11-Sep-2009	09-Feb-2010	72	5,997 76	5,180 551	817 21	151	Short-Term
SU	SUNCOR ENERGY INC CMN	11-Sep-2009	24-Feb-2010	281	8,224 16	9,647 965	-1,423 80	166	Short-Term
PBCT	PEOPLES UNITED FINANCIAL INC CMN	11-Sep-2009	16-Mar-2010	69	1,074 31	1,093 809	-19 50	186	Short-Term
CBG	CB RICHARD ELLIS GROUP, INC CMN CLASS A	11-Sep-2009	16-Mar-2010	212	2,946 76	2,480 058	466 70	186	Short-Term
TMO	THERMO FISHER SCIENTIFIC INC CMN	11-Sep-2009	16-Mar-2010	35	1,742 97	1,653 222	89 75	186	Short-Term
ORCL	ORACLE CORPORATION CMN	24-Jul-2007	16-Mar-2010	121	3,024 12	2,505 207	518 91	966	Long-Term
ESRX	EXPRESS SCRIPTS COMMON CMN	11-Sep-2008	16-Mar-2010	18	1,775 85	1,336 140	439 71	551	Long-Term
MSFT	MICROSOFT CORPORATION CMN	11-Sep-2009	16-Mar-2010	116	3,398 75	2,896 520	502 23	186	Short-Term
LOW	LOWES COMPANIES INC CMN	11-Sep-2009	16-Mar-2010	93	2,342 64	1,991 092	351 55	186	Short-Term
OXY	OCCIDENTAL PETROLEUM CORP CMN	05-Oct-2009	16-Mar-2010	26	2,116 22	1,963 562	152 66	162	Short-Term
SLB	SCHLUMBERGER LTD CMN	11-Sep-2009	16-Mar-2010	54	3,484 92	3,268 045	216 88	186	Short-Term
BIIB	BIOGEN IDEC INC CMN	31-Dec-2009	16-Mar-2010	32	1,894 45	1,714 453	180 00	74	Short-Term
HAL	HALLIBURTON COMPANY CMN	21-Dec-2009	16-Mar-2010	56	1,760 05	1,699 372	60 68	84	Short-Term
NTRS	NORTHERN TRUST CORP CMN	05-Jan-2010	16-Mar-2010	32	1,747 17	1,676 555	70 62	69	Short-Term
NKE	NIKE CLASS-B CMN CLASS B	21-Oct-2009	16-Mar-2010	28	1,978 45	1,836 493	141 96	146	Short-Term
CSCO	CISCO SYSTEMS, INC CMN	11-Sep-2009	16-Mar-2010	143	3,727 96	3,260 044	467 92	186	Short-Term
ALTR	ALTERA CORP CMN	01-Mar-2010	16-Mar-2010	55	1,381 03	1,367 818	13 21	14	Short-Term
SPLS	STAPLES, INC CMN	07-Jan-2010	16-Mar-2010	72	1,678 29	1,787 791	-109 50	67	Short-Term
QCOM	QUALCOMM INC CMN	21-Oct-2008	16-Mar-2010	46	1,780 18	1,710 910	69 27	511	Long-Term
QCOM	QUALCOMM INC CMN	01-Aug-2008	16-Mar-2010	28	1,083 59	1,533 036	-449 45	592	Long-Term
QCOM	QUALCOMM INC CMN	24-Oct-2008	16-Mar-2010	4	154 80	138 127	16 67	508	Long-Term

< [Previous](#) [Page 2 of 3](#) [Next](#) > Go to page:

99

- GSAM Separate Accounts will reflect current date trading activity after 4 00 PM ET

- Global Manager StrategiesSM and GMS Alpha+SM are registered service marks of Goldman, Sachs & Co

- N Recent news is available regarding this company G Goldman Sachs has recently published research regarding this company News and Research indicators are based on Eastern Standard Time (United States)

The Bank Deposit Account (BDA), Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, a member of the FDIC Bank Deposits, Certificates of Deposit and Term Deposits will be held in your Goldman, Sachs & Co account and are FDIC-insured, up to a maximum of \$250,000 Note that the maximum is the total protection available for funds in your Bank Deposit Account, your Certificate of Deposit account, and your Term Deposit Account, together with any other deposit accounts you may hold at the Bank in the same title and capacity For more information on how FDIC insurance coverage works, visit www.fdic.gov



PRIVATE WEALTH MANAGEMENT

[Log Out](#)
[Statements](#) [Tax Center](#) [Fund Correspondence](#) [E-Delivery](#) [Change Password](#) [Change E-mail](#)
Realized Gains/Losses 1099**Foundation GSAM: LCG (Conc) 009-55628-3**

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March If you are issued a revised Form 1099 it will be posted separately from your original Form 1099 Please note that we will only furnish the IRS with the final version of your 1099s If you have any questions, please contact your Private Wealth Management team

Separate Account Strategy : GSAM: Large Cap Growth (Concentrated)
Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable

- **Realized Gains and Losses.** See your realized gains and losses
- **1099s.** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab

Select an Account or Group

Foundation GSAM LCG (Conc) 009-55628-3

2 Choose An Existing View

[Realized Gains/Losses](#) [Customize](#) this view or [Create One](#)

Choose a Date Range

From Date 01-Aug-2009

To Date 31-Jul-2010

4 Search By Symbol/CUSIP

Include Options ☐

Gain Type	Gains	Losses	Total
<u>Short-Term</u>	65,055 23	-15,536 97	49,518 26
<u>Long-Term</u>	17,629 37	-73,222 71	-55,593 34
Total Realized Gains/Losses:	82,684.60	-88,759 69	-6,075 09

[< Previous](#) Page 3 of 3 [Go to page:](#)
Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
GILD	GILEAD SCIENCES CMN	03-Oct-2007	16-Mar-2010	32	1,514 86	1,326 699	188 16	895	Long-Term
CCI	CROWN CASTLE INTL CORP COMMON STOCK	22-Apr-2009	16-Mar-2010	55	2,171 37	1,239 877	931 49	328	Short-Term
BRCM	BROADCOM CORP CL-A CMN CLASS A	11-Sep-2009	16-Mar-2010	81	2,678 63	2,425 266	253 36	186	Short-Term
JNJ	JOHNSON & JOHNSON CMN	11-Sep-2009	16-Mar-2010	52	3,355 99	3,136 640	219 35	186	Short-Term
AMT	AMERICAN TOWER CORPORATION CMN CLASS A	11-Sep-2009	16-Mar-2010	97	4,261 15	3,403 571	857 58	186	Short-Term
COST	COSTCO WHOLESALE CORPORATION CMN	11-Sep-2009	16-Mar-2010	48	2,920 76	2,727 896	192 86	186	Short-Term
EQIX	EQUINIX INC CMN	11-Sep-2009	16-Mar-2010	26	2,660 02	2,312 700	347 32	186	Short-Term
MA	MASTERCARD INCORPORATED CMN CLASS A	05-Feb-2010	16-Mar-2010	7	1,733 59	1,540 315	193 28	38	Short-Term
CME	CME GROUP INC CMN CLASS A	18-May-2006	16-Mar-2010	8	2,517 48	3,582 080	-1,064 60	1,398	Long-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	03-Oct-2007	16-Mar-2010	5	301 69	224 517	77 18	895	Long-Term
TEVA	TEVA PHARMACEUTICAL IND LTD ADS	01-Nov-2007	16-Mar-2010	13	784 41	569 001	215 40	866	Long-Term
BAX	BAXTER INTERNATIONAL INC CMN	11-Sep-2009	16-Mar-2010	62	3,598 43	3,528 624	69 81	186	Short-Term
PEP	PEPSICO INC CMN	11-Sep-2009	16-Mar-2010	52	3,434 55	3,033 160	401 39	186	Short-Term
TGT	TARGET CORPORATION CMN	11-Sep-2009	16-Mar-2010	35	1,865 82	1,670 667	195 15	186	Short-Term
AAPL	APPLE, INC CMN	17-Apr-2007	16-Mar-2010	18	4,016 10	1,636 254	2,379 85	1,064	Long-Term
WU	WESTERN UNION COMPANY (THE) CMN	11-Sep-2009	16-Mar-2010	108	1,774 13	2,022 190	-248 06	186	Short-Term
STJ	ST JUDE MEDICAL INC CMN	11-Sep-2009	16-Mar-2010	66	2,649 19	2,585 018	64 17	186	Short-Term
SCHW	CHARLES SCHWAB CORPORATION CMN	11-Sep-2009	16-Mar-2010	104	1,902 13	1,872 000	30 13	186	Short-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	11-Sep-2009	16-Mar-2010	55	3,511 70	3,052 500	459 20	186	Short-Term
CBG	CB RICHARD ELLIS GROUP, INC CMN CLASS A	11-Sep-2009	25-Mar-2010	176	2,531 69	2,058 916	472 77	195	Short-Term
CBG	CB RICHARD ELLIS GROUP, INC CMN CLASS A	11-Sep-2009	07-Apr-2010	120	1,952 19	1,403 806	548 38	208	Short-Term
PBCT	PEOPLES UNITED FINANCIAL INC CMN	11-Sep-2009	07-Apr-2010	426	6,763 34	6,753 081	10 26	208	Short-Term
LOW	LOWES COMPANIES INC CMN	11-Sep-2009	12-Apr-2010	32	813 54	685 107	128 43	213	Short-Term
MSFT	MICROSOFT CORPORATION CMN	11-Sep-2009	12-Apr-2010	84	2,548 88	2,097 480	451 40	213	Short-Term
TGT	TARGET CORPORATION CMN	11-Sep-2009	12-Apr-2010	14	781 58	668 267	113 31	213	Short-Term
JNJ	JOHNSON & JOHNSON CMN	11-Sep-2009	12-Apr-2010	11	715 07	663 520	51 55	213	Short-Term
WU	WESTERN UNION COMPANY (THE) CMN	11-Sep-2009	12-Apr-2010	187	3,265 57	3,501 384	-235 81	213	Short-Term
STJ	ST JUDE MEDICAL INC CMN	11-Sep-2009	14-Apr-2010	26	1,097 97	1,018 341	79 63	215	Short-Term
SCHW	CHARLES SCHWAB CORPORATION CMN	11-Sep-2009	14-Apr-2010	89	1,721 53	1,602 000	119 53	215	Short-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	11-Sep-2009	14-Apr-2010	25	1,572 84	1,387 500	185 34	215	Short-Term
WU	WESTERN UNION COMPANY (THE) CMN	11-Sep-2009	03-May-2010	236	4,297 72	4,418 859	-121 14	234	Short-Term
WU	WESTERN UNION COMPANY (THE) CMN	11-Sep-2009	04-May-2010	246	4,367 09	4,606 099	-239 01	235	Short-Term
ESRX	EXPRESS SCRIPTS COMMON CMN	11-Sep-2009	13-May-2010	7	728 30	543 690	184 61	244	Short-Term
ESRX	EXPRESS SCRIPTS COMMON CMN	11-Sep-2008	13-May-2010	9	936 38	668 070	268 31	609	Long-Term
TGT	TARGET CORPORATION CMN	11-Sep-2009	02-Jun-2010	13	698 50	620 534	77 97	264	Short-Term
OXY	OCCIDENTAL PETROLEUM CORP CMN	05-Oct-2009	02-Jun-2010	13	1,048 26	981 781	66 48	240	Short-Term
TMO	THERMO FISHER SCIENTIFIC INC CMN	11-Sep-2009	02-Jun-2010	20	1,017 27	944 699	72 57	264	Short-Term
BRCM	BROADCOM CORP CL-A CMN CLASS A	11-Sep-2009	04-Jun-2010	144	4,852 17	4,311 585	540 58	266	Short-Term
BRCM	BROADCOM CORP CL-A CMN CLASS A	11-Sep-2009	21-Jun-2010	109	3,867 82	3,263 630	604 19	283	Short-Term

PG	PROCTER & GAMBLE COMPANY (THE) CMN	11-Sep-2009	22-Jun-2010	57	3,487.48	3,163.500	323.98	284 Short-Term
ORCL	ORACLE CORPORATION CMN	24-Jul-2007	28-Jun-2010	88	1,983.96	1,821.969	161.99	1,070 Long-Term
ORCL	ORACLE CORPORATION CMN	20-Aug-2007	28-Jun-2010	39	879.25	753.979	125.27	1,043 Long-Term
TMO	THERMO FISHER SCIENTIFIC INC CMN	11-Sep-2009	28-Jun-2010	60	3,074.47	2,834.095	240.38	290 Short-Term
BIIB	BIOGEN IDEC INC CMN	31-Dec-2009	06-Jul-2010	54	2,642.31	2,893.140	-250.83	186 Short-Term
AAPL	APPLE, INC CMN	17-Apr-2007	21-Jul-2010	21	5,439.21	1,908.962	3,530.25	1,191 Long-Term
ESRX	EXPRESS SCRIPTS COMMON CMN	11-Sep-2009	26-Jul-2010	194	8,350.77	7,533.990	816.78	318 Short-Term
TOTAL					769,128.72		-6,075.09	

< [Previous](#) Page 3 of 3 Go to page:

99

- GSAM Separate Accounts will reflect current date trading activity after 4:00 PM ET

- Global Manager StrategiesSM and GMS Alpha+SM are registered service marks of Goldman, Sachs & Co

- N Recent news is available regarding this company. G Goldman Sachs has recently published research regarding this company. News and Research indicators are based on Eastern Standard Time (United States)

- The Bank Deposit Account (BDA), Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, a member of the FDIC. Bank Deposits, Certificates of Deposit and Term Deposits will be held in your Goldman, Sachs & Co. account and are FDIC-insured, up to a maximum of \$250,000. Note that the maximum is the total protection available for funds in your Bank Deposit Account, your Certificate of Deposit account, and your Term Deposit Account, together with any other deposit accounts you may hold at the Bank in the same title and capacity. For more information on how FDIC insurance coverage works, visit www.fdic.gov.

Goldman Sachs Bank USA no longer participates in the FDIC's Transaction Account Guarantee Program. All non-interest bearing transaction and NOW accounts are insured up to the applicable limit under the FDIC's general deposit insurance rules.

Securities, mutual funds, annuities and other non-deposit investment products are issued by Goldman, Sachs & Co. BDA, Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, an affiliate of Goldman, Sachs & Co. Securities, mutual funds, free credit balances, annuities and other non-deposit investment products are not deposits or other obligations of Goldman Sachs Bank USA or any other bank, are not FDIC-insured, are not insured against loss of value by any governmental agency, and are subject to investment risk, including possible loss of principal amount invested. FDIC insurance and SIPC coverage are different. SIPC covers against loss of securities (up to a maximum of \$500,000 per customer) or cash (up to a maximum of \$250,000 within the aggregate \$500,000 customer limit) in the event of the bankruptcy of a securities broker-dealer, such as GS&Co. SIPC coverage does not protect against a decline in the value of securities. FDIC insurance protection, up to an aggregate limit of \$250,000 covers against loss in the event a depository institution, such as the Bank, fails. Unlimited insurance is available through December 2009 for non-interest bearing demand deposit accounts. Securities, mutual funds and similar types of non-deposit investment products are not covered by FDIC deposit insurance.

- Click for important information concerning [Goldman Sachs Financial Markets, L.P.](#) account(s)

- This information is for general guidance and, except for official Form 1099s, should not be used for tax purposes. This information is not intended and should not be construed as tax, accounting or legal advice. Please consult with your tax advisor regarding the tax consequences of your investment decisions before acting based on this information. The cost basis and acquisition dates for securities delivered into your Goldman Sachs account after purchase were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information. Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable.

- Note: this page includes information for accounts that are closed.

 [Send us your comments](#)

 [Format this page for printing](#)

 [Download to spreadsheet](#)

If you have any questions or need immediate assistance, please call Client Support at +1-877-GOLDMAN (877-465-3626).

Prepared for Max Kolbrenner
Tue 17 Aug 2010 3:05 PM EDT

Please read our [Legal Disclosures & Disclaimers](#)

and [Customer Agreement](#)
and about [Privacy & Security](#)

Important information on [trading options](#)

Important information about [Trust Co. Accounts](#) with
Goldman Sachs Trust Company, N.A.

© Copyright 2010
The Goldman Sachs Group, Inc.
All rights reserved

Goldman, Sachs & Co. Member [SIPC](#)

System response and account access times may
vary due to market conditions, system performance
and other factors



PRIVATE WEALTH MANAGEMENT

[Log Out](#)
[Statements](#) [Tax Center](#) [Fund Correspondence](#) [E-Delivery](#) [Change Password](#) [Change E-mail](#)
Realized Gains/Losses 1099**Zimmer-Boles Foundation 038-00157-4**

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March If you are issued a revised Form 1099 it will be posted separately from your original Form 1099 Please note that we will only furnish the IRS with the final version of your 1099s If you have any questions, please contact your Private Wealth Management team

Base Currency .USD

The Tax Center contains the following information about your account(s) if applicable

- ▢ **Realized Gains and Losses.** See your realized gains and losses
- **1099s.** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab

Select an Account or Group

Zimmer-Boles Foundation 038-00157-4

2 Choose An Existing View

go **Realized Gains/Losses** go
 > [Customize](#) this view or
 > [Create One](#)

Choose a Date Range

From Date 01-Aug-2009

To Date 31-Jul-2010

4 Search By Symbol/CUSIP

go

Include Options ☐

Gain Type	Gains	Losses	Total
Short-Term	0 00	0 00	0 00
Long-Term	2,769 78	0 00	2,769 78
Total Realized Gains/Losses.	2,769.78	0.00	2,769.78

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered (L)	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
AGG	ISHARES BARCLAYS U S AGGREGATE BOND FUND ETF	02-Sep-2008	17-May-2010	610	64,123 21	61,353 434	2,769 78	622	Long-Term

- Global Manager StrategiesSM and GMS Alpha+SM are registered service marks of Goldman, Sachs & Co

- N Recent news is available regarding this company G Goldman Sachs has recently published research regarding this company News and Research indicators are based on Eastern Standard Time (United States)

- The Bank Deposit Account (BDA), Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, a member of the FDIC Bank Deposits, Certificates of Deposit and Term Deposits will be held in your Goldman, Sachs & Co account and are FDIC-insured, up to a maximum of \$250,000 Note that the maximum is the total protection available for funds in your Bank Deposit Account, your Certificate of Deposit account, and your Term Deposit Account, together with any other deposit accounts you may hold at the Bank in the same title and capacity For more information on how FDIC insurance coverage works, visit www.fdic.gov

Goldman Sachs Bank USA no longer participates in the FDIC's Transaction Account Guarantee Program All non-interest bearing transaction and NOW accounts are insured up to the applicable limit under the FDIC's general deposit insurance rules

Securities, mutual funds, annuities and other non-deposit investment products are issued by Goldman, Sachs & Co BDA, Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, an affiliate of Goldman, Sachs & Co Securities, mutual funds, free credit balances, annuities and other non-deposit investment products are not deposits or other obligations of Goldman Sachs Bank USA or any other bank, are not FDIC-insured, are not insured against loss of value by any governmental agency, and are subject to investment risk, including possible loss of principal amount invested FDIC insurance and SIPC coverage are different SIPC covers against loss of securities (up to a maximum of \$500,000 per customer) or cash (up to a maximum of \$250,000 within the aggregate \$500,000 customer limit) in the event of the bankruptcy of a securities broker-dealer, such as GS&Co SIPC coverage does not protect against a decline in the value of securities FDIC insurance protection, up to an aggregate limit of \$250,000 covers against loss in the event a depository institution, such as the Bank, fails Unlimited insurance is available through December 2009 for non-interest bearing demand deposit accounts Securities, mutual funds and similar types of non-deposit investment products are not covered by FDIC deposit insurance

- Click for important information concerning [Goldman Sachs Financial Markets, L.P.](#) account(s)

- This information is for general guidance and, except for official Form 1099s, should not be used for tax purposes This information is not intended and should not be construed as tax, accounting or legal advice Please consult with your tax advisor regarding the tax consequences of your investment decisions before acting based on this information The cost basis and acquisition dates for securities delivered into your Goldman Sachs account after purchase were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable

- Note this page includes information for accounts that are closed

[Send us your comments](#) [Format this page for printing](#) [Download to spreadsheet](#)

If you have any questions or need immediate assistance, please call Client Support at +1-877-GOLDMAN (877-465-3626).

Prepared for Max Kolbrenner
Tue 17 Aug 2010 3 16 PM EDT

© Copyright 2010
The Goldman Sachs Group, Inc
All rights reserved

Please read our [Legal Disclosures & Disclaimers](#)
and [Customer Agreement](#)
and about [Privacy & Security](#)
Important information on [Trading options](#)
Important information about [Trust Co Accounts](#) with
Goldman Sachs Trust Company, N A

Goldman, Sachs & Co Member [SIPC](#)

System response and account access times may
vary due to market conditions, system performance
and other factors



PRIVATE WEALTH MANAGEMENT

Log Out

[Statements](#) [Tax Center](#) [Fund Correspondence](#) [E-Delivery](#) [Change Password](#) [Change E-mail](#)
Realized Gains/Losses 1099**Zimmer Boles Fdn #2 Sound Shore 038-08687-2**

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy : Sound Shore Large Cap Value
Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable:

- o **Realized Gains and Losses.** See your realized gains and losses
- o **1099s.** See your actual 1099s from 1995 to present
- o **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- o **K-1s** can be located on the Fund Correspondence Tab

Select an Account or Group

Zimmer Boles Fdn #2 Sound 038-08687-2

2 Choose An Existing View

go **Realized Gains/Losses** go
 > [Customize](#) this view or
 > [Create One](#)

Choose a Date Range

From Date 01-Aug-2009
 To Date 31-Jul-2010

4 Search By Symbol/CUSIP

Include Options ☐

Gain Type	Gains	Losses	Total
<u>Short-Term</u>	36,731.45	-5,827.10	30,904.35
<u>Long-Term</u>	15,963.90	-18,435.00	-2,471.11
Total Realized Gains/Losses.	52,695.34	-24,262.10	28,433.25

Page 1 of 8 [Next](#) > [Go to page](#)

go

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
AET	AETNA INC CMN	04-Nov-2008	03-Aug-2009	21	560.93	525.802	35.13	271	Short-Term
AON	AON CORPORATION CMN	19-Jul-2007	04-Aug-2009	10	397.33	409.965	-12.64	747	Long-Term
SWY	SAFEWAY INC CMN	23-Jun-2009	04-Aug-2009	29	544.54	605.446	-60.91	42	Short-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	17-Aug-2007	04-Aug-2009	51	559.14	639.933	-80.79	718	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	27-Jun-2007	04-Aug-2009	4	43.86	61.764	-17.91	769	Long-Term
WPO	WASHINGTON POST CO CL B CMN CLASS B	18-Dec-2006	04-Aug-2009	1	467.64	761.620	-293.98	959	Long-Term
WPO	WASHINGTON POST CO CL B CMN CLASS B	20-Dec-2006	04-Aug-2009	1	467.64	763.090	-295.46	957	Long-Term
CB	CHUBB CORP CMN	12-Nov-2008	05-Aug-2009	6	287.48	285.647	1.84	265	Short-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	03-Mar-2008	05-Aug-2009	6	162.47	185.100	-22.63	519	Long-Term
SWY	SAFEWAY INC CMN	24-Jun-2009	05-Aug-2009	21	391.66	434.881	-43.22	42	Short-Term
SWY	SAFEWAY INC CMN	23-Jun-2009	05-Aug-2009	9	167.85	187.897	-20.04	43	Short-Term
CB	CHUBB CORP CMN	11-Nov-2008	05-Aug-2009	6	287.48	285.287	2.20	266	Short-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	29-Feb-2008	05-Aug-2009	13	352.03	405.240	-53.21	522	Long-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	27-Feb-2008	05-Aug-2009	9	243.71	284.773	-41.06	524	Long-Term
FLEX	FLEXTRONICS INTERNATIONAL LTD CMN	22-Mar-2007	05-Aug-2009	39	210.41	427.264	-216.85	867	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	17-Aug-2007	05-Aug-2009	33	367.21	414.074	-46.86	719	Long-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	01-Jul-2008	06-Aug-2009	6	169.48	168.711	0.76	401	Long-Term
KMB	KIMBERLY CLARK CORP CMN	01-Feb-2008	06-Aug-2009	12	695.38	801.314	-105.93	551	Long-Term
SWY	SAFEWAY INC CMN	24-Jun-2009	06-Aug-2009	8	149.05	165.669	-16.62	43	Short-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	03-Mar-2008	06-Aug-2009	14	395.44	431.900	-36.46	520	Long-Term
SWY	SAFEWAY INC CMN	25-Jun-2009	06-Aug-2009	16	298.10	333.232	-35.13	42	Short-Term
WPO	WASHINGTON POST CO CL B CMN CLASS B	27-Dec-2006	06-Aug-2009	1	459.35	757.780	-298.43	952	Long-Term
KMB	KIMBERLY CLARK CORP CMN	01-Feb-2008	07-Aug-2009	9	517.26	600.986	-83.73	552	Long-Term
AES	AES CORP CMN	18-Sep-2008	07-Aug-2009	25	350.99	281.273	69.72	323	Short-Term
AES	AES CORP CMN	10-Sep-2008	07-Aug-2009	11	154.44	149.646	4.79	331	Short-Term
LUV	SOUTHWEST AIRLINES CO CMN	20-Dec-2007	10-Aug-2009	121	1,083.56	1,556.691	-473.13	598	Long-Term
AES	AES CORP CMN	18-Sep-2008	10-Aug-2009	8	110.75	90.007	20.74	326	Short-Term
LUV	SOUTHWEST AIRLINES CO CMN	01-Feb-2008	10-Aug-2009	4	35.82	47.650	-11.83	555	Long-Term
CB	CHUBB CORP CMN	12-Nov-2008	11-Aug-2009	18	852.94	856.943	-4.00	271	Short-Term
SWY	SAFEWAY INC CMN	25-Jun-2009	11-Aug-2009	4	75.77	83.308	-7.54	47	Short-Term
TXN	TEXAS INSTRUMENTS INC CMN	25-Oct-2007	11-Aug-2009	25	589.88	780.745	-190.86	656	Long-Term
SWY	SAFEWAY INC CMN	26-Jun-2009	11-Aug-2009	19	359.92	388.466	-28.55	46	Short-Term
LUV	SOUTHWEST AIRLINES CO CMN	01-Feb-2008	11-Aug-2009	20	177.13	238.252	-61.12	556	Long-Term
LUV	SOUTHWEST AIRLINES CO CMN	01-Feb-2008	12-Aug-2009	89	804.67	1,060.223	-255.55	557	Long-Term
SWY	SAFEWAY INC CMN	29-Jun-2009	12-Aug-2009	19	364.62	389.472	-24.85	44	Short-Term
SWY	SAFEWAY INC CMN	26-Jun-2009	12-Aug-2009	6	115.14	122.674	-7.53	47	Short-Term
AES	AES CORP CMN	18-Sep-2008	13-Aug-2009	34	472.01	382.532	89.48	329	Short-Term
SWY	SAFEWAY INC CMN	06-Jul-2009	13-Aug-2009	7	132.51	138.723	-6.21	38	Short-Term
SWY	SAFEWAY INC CMN	29-Jun-2009	13-Aug-2009	15	283.95	307.478	-23.53	45	Short-Term

SWY	SAFEWAY INC CMN	06-Jul-2009	14-Aug-2009	23	426 34	455 804	-29 46	39 Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	21-Nov-2008	17-Aug-2009	1	61 14	63 265	-2 12	268 Short-Term
LUV	SOUTHWEST AIRLINES CO CMN	06-Oct-2008	17-Aug-2009	15	131 58	189 989	-58 41	315 Short-Term
FLEX	FLEXTRONICS INTERNATIONAL LTD CMN	22-Mar-2007	17-Aug-2009	22	117 57	241 020	-123 45	879 Long-Term
AES	AES CORP CMN	19-Sep-2008	17-Aug-2009	10	134 26	131 192	3 07	332 Short-Term
AES	AES CORP CMN	18-Sep-2008	17-Aug-2009	19	255 09	213 768	41 32	333 Short-Term
LUV	SOUTHWEST AIRLINES CO CMN	01-Feb-2008	17-Aug-2009	2	17 54	23 825	-6 28	562 Long-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	28-Oct-2008	17-Aug-2009	10	611 46	653 285	-41 83	293 Short-Term
SWY	SAFEWAY INC CMN	06-Jul-2009	18-Aug-2009	7	130 85	138 723	-7 87	43 Short-Term
SWY	SAFEWAY INC CMN	08-Jul-2009	18-Aug-2009	16	299 09	313 630	-14 54	41 Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	21-Nov-2008	19-Aug-2009	4	244 83	253 061	-8 23	270 Short-Term
LUV	SOUTHWEST AIRLINES CO CMN	06-Oct-2008	19-Aug-2009	26	220 17	329 314	-109 14	317 Short-Term
AET	AETNA INC CMN	11-Nov-2008	19-Aug-2009	9	261 76	201 311	60 45	280 Short-Term
AET	AETNA INC CMN	04-Nov-2008	19-Aug-2009	15	436 27	375 573	60 70	287 Short-Term
AET	AETNA INC CMN	11-Nov-2008	20-Aug-2009	17	502 32	380 254	122 07	281 Short-Term
TWX	TIME WARNER INC CMN	19-Sep-2006	26-Aug-2009	23	654 15	912 286	-258 14	1,072 Long-Term
TWX	TIME WARNER INC CMN	06-Sep-2006	26-Aug-2009	12	341 29	452 770	-111 48	1,085 Long-Term
PGR	PROGRESSIVE CORPORATION (THE) CMN	20-Nov-2008	26-Aug-2009	27	450 00	346 724	103 28	278 Short-Term
PGR	PROGRESSIVE CORPORATION (THE) CMN	25-Nov-2008	27-Aug-2009	25	414 48	351 814	62 67	274 Short-Term
PGR	PROGRESSIVE CORPORATION (THE) CMN	20-Nov-2008	27-Aug-2009	6	99 48	77 050	22 43	279 Short-Term
PGR	PROGRESSIVE CORPORATION (THE) CMN	25-Nov-2008	28-Aug-2009	27	447 51	379 958	67 55	275 Short-Term
PGR	PROGRESSIVE CORPORATION (THE) CMN	01-Dec-2008	31-Aug-2009	37	607 96	529 749	78 22	272 Short-Term
TXN	TEXAS INSTRUMENTS INC CMN	15-May-2008	31-Aug-2009	1	24 49	31 146	-6 66	473 Long-Term
PGR	PROGRESSIVE CORPORATION (THE) CMN	25-Nov-2008	31-Aug-2009	3	49 30	42 218	7 08	278 Short-Term
TXN	TEXAS INSTRUMENTS INC CMN	25-Oct-2007	31-Aug-2009	16	391 85	499 676	-107 83	676 Long-Term
CFN	CAREFUSION CORPORATION CMN	23-Jun-2008	01-Sep-2009	0 5	9 76		9 76	435 Long-Term
KMB	KIMBERLY CLARK CORP CMN	01-Feb-2008	01-Sep-2009	6	356 77	400 657	-43 89	577 Long-Term
LUV	SOUTHWEST AIRLINES CO CMN	04-Nov-2008	01-Sep-2009	50	403 61	589 985	-186 37	300 Short-Term
MMC	MARSH & MCLENNAN CO INC CMN	20-Dec-2007	01-Sep-2009	8	186 03	198 341	-12 31	620 Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	17-Aug-2007	01-Sep-2009	64	732 19	803 053	-70 86	746 Long-Term
LUV	SOUTHWEST AIRLINES CO CMN	06-Oct-2008	01-Sep-2009	8	64 58	101 327	-36 75	330 Short-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	29-Aug-2007	01-Sep-2009	35	400 42	441 979	-41 56	734 Long-Term
CFN	CAREFUSION CORPORATION CMN	09-Jul-2008	02-Sep-2009	9	170 87	253 575	-82 70	420 Long-Term
CFN	CAREFUSION CORPORATION CMN	21-Jul-2008	02-Sep-2009	4	75 94	119 331	-43 39	408 Long-Term
CFN	CAREFUSION CORPORATION CMN	23-Jun-2008	02-Sep-2009	1	18 99	43 918	-24 93	436 Long-Term
CFN	CAREFUSION CORPORATION CMN	18-Jul-2008	02-Sep-2009	7	132 90	224 506	-91 61	411 Long-Term
AES	AES CORP CMN	19-Sep-2008	02-Sep-2009	28	366 70	367 338	-0 64	348 Short-Term
LUV	SOUTHWEST AIRLINES CO CMN	04-Nov-2008	02-Sep-2009	53	421 43	625 383	-203 95	301 Short-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	29-Aug-2007	02-Sep-2009	31	343 01	391 467	-48 46	735 Long-Term
CFN	CAREFUSION CORPORATION CMN	21-Jul-2008	08-Sep-2009	3	55 40	89 498	-34 10	414 Long-Term
CFN	CAREFUSION CORPORATION CMN	07-Aug-2008	08-Sep-2009	2	36 93	59 717	-22 79	397 Long-Term
CFN	CAREFUSION CORPORATION CMN	08-Aug-2008	08-Sep-2009	8	147 72	237 358	-89 64	396 Long-Term
CB	CHUBB CORP CMN	12-Nov-2008	09-Sep-2009	12	577 59	571 295	6 30	300 Short-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	01-Jul-2008	09-Sep-2009	26	719 69	731 083	-11 39	435 Long-Term
MMC	MARSH & MCLENNAN CO INC CMN	20-Dec-2007	09-Sep-2009	22	508 35	545 437	-37 09	628 Long-Term
CB	CHUBB CORP CMN	12-Nov-2008	10-Sep-2009	7	336 81	333 255	3 56	301 Short-Term
CFN	CAREFUSION CORPORATION CMN	05-May-2009	16-Sep-2009	8	174 54	162 587	11 95	134 Short-Term
AON	AON CORPORATION CMN	25-Oct-2007	16-Sep-2009	3	125 63	134 751	-9 12	692 Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	29-Aug-2007	16-Sep-2009	32	351 31	404 094	-52 79	749 Long-Term
IVZ	INVESCO LTD CMN	19-May-2009	16-Sep-2009	85	1,924 40	1,233 561	690 84	120 Short-Term
CFN	CAREFUSION CORPORATION CMN	30-Oct-2008	16-Sep-2009	11	239 99	222 647	17 35	321 Short-Term
CFN	CAREFUSION CORPORATION CMN	08-Aug-2008	16-Sep-2009	5	109 09	148 349	-39 26	404 Long-Term
CFN	CAREFUSION CORPORATION CMN	14-Apr-2009	16-Sep-2009	12	261 81	230 423	31 39	155 Short-Term
CFN	CAREFUSION CORPORATION CMN	17-Apr-2009	16-Sep-2009	10	218 18	194 182	24 00	152 Short-Term
CFN	CAREFUSION CORPORATION CMN	20-Apr-2009	16-Sep-2009	5	109 09	95 706	13 38	149 Short-Term
AON	AON CORPORATION CMN	19-Jul-2007	16-Sep-2009	9	376 88	368 969	7 91	790 Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	12-Sep-2007	16-Sep-2009	5	54 89	66 038	-11 15	735 Long-Term
KO	COCA-COLA COMPANY (THE) CMN	16-Dec-2008	17-Sep-2009	13	688 48	596 528	91 95	274 Short-Term
AON	AON CORPORATION CMN	18-Mar-2008	17-Sep-2009	10	421 24	407 044	14 19	548 Long-Term
NVS	NOVARTIS AG-ADR SPONSORED ADR CMN	19-Nov-2008	17-Sep-2009	2	96 52	97 394	-0 87	301 Short-Term

Page 1 of 8 [Next](#) > Go to page:* Global Manager StrategiesSM and GMS Alpha+SM are registered service marks of Goldman, Sachs & Co

- N Recent news is available regarding this company G Goldman Sachs has recently published research regarding this company News and Research indicators are based on Eastern Standard Time (United States)

The Bank Deposit Account (BDA), Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, a member of the FDIC Bank Deposits, Certificates of Deposit and Term Deposits will be held in your Goldman, Sachs & Co account and are FDIC-insured, up to a maximum of \$250,000 Note that the maximum is the total protection available for funds in your Bank Deposit Account, your Certificate of Deposit account, and your Term Deposit Account, together with any other deposit accounts you may hold at the Bank in the same title and capacity For more information on how FDIC insurance coverage works, visit www.fdic.gov



PRIVATE WEALTH MANAGEMENT

Log Out

[Statements](#) [Tax Center](#) [Fund Correspondence](#) [E-Delivery](#) [Change Password](#) [Change E-mail](#)
Realized Gains/Losses 1099**Zimmer Boles Fdn #2 Sound Shore 038-08687-2**

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March If you are issued a revised Form 1099 it will be posted separately from your original Form 1099 Please note that we will only furnish the IRS with the final version of your 1099s If you have any questions, please contact your Private Wealth Management team

Separate Account Strategy : Sound Shore Large Cap Value**Base Currency :USD**

The Tax Center contains the following information about your account(s) if applicable

- **Realized Gains and Losses.** See your realized gains and losses
- **1099s.** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab

Select an Account or Group

Zimmer Boles Fdn #2 Sound 038-08687-2

2 Choose An Existing View

gg Realized Gains/Losses

 > [Customize](#) this view or
 > [Create One](#)

Choose a Date Range

From Date 01-Aug-2009

To Date 31-Jul-2010

4 Search By Symbol/CUSIP

Include Options ☐

Gain Type	Gains	Losses	Total
Short-Term	36,731.45	-5,827.10	30,904.35
Long-Term	15,963.90	-18,435.00	-2,471.11
Total Realized Gains/Losses:	52,695.34	-24,262.10	28,433.25

< [Previous](#) Page 2 of 8 [Next](#) > Go to page:**Realized Gains/Losses**

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
KMB	KIMBERLY CLARK CORP CMN	18-Apr-2008	17-Sep-2009	19	1,089.21	1,208.118	-118.90	517	Long-Term
KMB	KIMBERLY CLARK CORP CMN	01-Feb-2008	17-Sep-2009	1	57.33	66.776	-9.45	593	Long-Term
CFN	CAREFUSION CORPORATION CMN	05-May-2009	17-Sep-2009	5	107.69	101.617	6.07	135	Short-Term
CFN	CAREFUSION CORPORATION CMN	27-May-2009	17-Sep-2009	5	107.69	99.804	7.88	113	Short-Term
CFN	CAREFUSION CORPORATION CMN	07-Jul-2009	17-Sep-2009	34	732.27	596.426	135.84	72	Short-Term
AON	AON CORPORATION CMN	25-Oct-2007	17-Sep-2009	7	294.86	314.419	-19.55	693	Long-Term
KO	COCA-COLA COMPANY (THE) CMN	16-Dec-2008	18-Sep-2009	12	643.96	550.641	93.32	275	Short-Term
LUV	SOUTHWEST AIRLINES CO CMN	15-Dec-2008	21-Sep-2009	7	68.10	52.115	15.98	279	Short-Term
AES	AES CORP CMN	19-Sep-2008	21-Sep-2009	26	373.69	341.100	32.59	367	Long-Term
AES	AES CORP CMN	07-Oct-2008	21-Sep-2009	17	244.33	153.488	90.84	349	Short-Term
LUV	SOUTHWEST AIRLINES CO CMN	04-Nov-2008	21-Sep-2009	27	262.66	318.592	-55.93	320	Short-Term
LUV	SOUTHWEST AIRLINES CO CMN	21-Nov-2008	21-Sep-2009	26	252.94	209.120	43.82	303	Short-Term
AET	AETNA INC CMN	11-Nov-2008	21-Sep-2009	7	215.47	156.575	58.90	313	Short-Term
SLB	SCHLUMBERGER LTD CMN	02-Mar-2009	21-Sep-2009	3	182.01	108.054	73.96	202	Short-Term
SLB	SCHLUMBERGER LTD CMN	16-Dec-2008	21-Sep-2009	15	910.05	630.650	279.40	278	Short-Term
FLEX	FLEXTRONICS INTERNATIONAL LTD CMN	22-Mar-2007	21-Sep-2009	11	80.35	120.510	-40.16	914	Long-Term
AET	AETNA INC CMN	12-Nov-2008	21-Sep-2009	6	184.69	131.723	52.97	312	Short-Term
PGR	PROGRESSIVE CORPORATION (THE) CMN	15-Jan-2009	22-Sep-2009	18	303.58	250.700	52.88	249	Short-Term
PGR	PROGRESSIVE CORPORATION (THE) CMN	01-Dec-2008	22-Sep-2009	24	404.77	343.621	61.15	294	Short-Term
PGR	PROGRESSIVE CORPORATION (THE) CMN	04-Dec-2008	22-Sep-2009	37	624.02	514.640	109.38	291	Short-Term
PGR	PROGRESSIVE CORPORATION (THE) CMN	05-Dec-2008	22-Sep-2009	49	826.41	700.280	126.13	290	Short-Term
LUV	SOUTHWEST AIRLINES CO CMN	15-Dec-2008	22-Sep-2009	56	537.38	416.922	120.46	280	Short-Term
FLEX	FLEXTRONICS INTERNATIONAL LTD CMN	17-Apr-2007	22-Sep-2009	68	512.62	766.646	-254.02	889	Long-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	26-Jun-2009	22-Sep-2009	20	555.74	494.485	61.26	88	Short-Term
KMB	KIMBERLY CLARK CORP CMN	18-Apr-2008	22-Sep-2009	12	691.22	763.022	-71.80	522	Long-Term
KMB	KIMBERLY CLARK CORP CMN	13-May-2008	22-Sep-2009	8	460.82	506.240	-45.42	497	Long-Term

NVS	NOVARTIS AG-ADR SPONSORED ADR CMN	19-Nov-2008	22-Sep-2009	5	245 68	243 486	2 20	306	Short-Term
KMB	KIMBERLY CLARK CORP CMN	18-Jul-2008	22-Sep-2009	21	1,209 64	1,156 360	53 28	431	Long-Term
	BERKSHIRE HATHAWAY INC CLASS B	02-Oct-2008	22-Sep-2009	1	3,328 77	4,585 600	-1,256 83	355	Short-Term
FLEX	FLEXTRONICS INTERNATIONAL LTD CMN	22-Mar-2007	22-Sep-2009	16	120 62	175 288	-54 67	915	Long-Term
NVS	NOVARTIS AG-ADR SPONSORED ADR CMN	20-Nov-2008	22-Sep-2009	15	737 06	700 821	36 23	305	Short-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	12-Sep-2007	22-Sep-2009	64	699 51	845 280	-145 77	741	Long-Term
AET	AETNA INC CMN	31-Mar-2009	23-Sep-2009	1	30 17	24 573	5 59	176	Short-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	27-Dec-2007	23-Sep-2009	4	43 67	46 868	-3 20	635	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	12-Sep-2007	23-Sep-2009	47	513 08	620 752	-107 67	742	Long-Term
AET	AETNA INC CMN	12-Nov-2008	23-Sep-2009	35	1,055 79	768 387	287 41	314	Short-Term
AET	AETNA INC CMN	31-Mar-2009	24-Sep-2009	11	319 74	270 300	49 44	177	Short-Term
V	VISA INC CMN CLASS A	16-Dec-2008	25-Sep-2009	4	282 25	215 261	66 99	282	Short-Term
CAH	CARDINAL HEALTH INC CMN	09-Jul-2008	25-Sep-2009	17	462 60	639 925	-177 33	443	Long-Term
AON	AON CORPORATION CMN	18-Mar-2008	25-Sep-2009	15	609 54	610 566	-1 03	556	Long-Term
CAH	CARDINAL HEALTH INC CMN	23-Jun-2008	25-Sep-2009	3	81 64	110 831	-29 20	459	Long-Term
CAH	CARDINAL HEALTH INC CMN	18-Jul-2008	25-Sep-2009	15	408 17	566 564	-158 39	434	Long-Term
CS	CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN	21-Feb-2008	25-Sep-2009	1	55 05	48 073	6 97	581	Long-Term
FLEX	FLEXTRONICS INTERNATIONAL LTD CMN	17-Apr-2007	25-Sep-2009	8	55 58	90 194	-34 61	892	Long-Term
FLEX	FLEXTRONICS INTERNATIONAL LTD CMN	30-May-2007	25-Sep-2009	54	375 20	601 120	-225 92	849	Long-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	21-Nov-2008	25-Sep-2009	12	809 29	759 184	50 11	307	Short-Term
TWX	TIME WARNER INC CMN	19-Sep-2006	25-Sep-2009	11	314 30	436 311	-122 02	1,102	Long-Term
PFE	PFIZER INC CMN	20-Aug-2007	25-Sep-2009	13	214 49	313 224	-98 73	767	Long-Term
KO	COCA-COLA COMPANY (THE) CMN	16-Dec-2008	25-Sep-2009	12	635 98	550 640	85 34	282	Short-Term
AET	AETNA INC CMN	31-Mar-2009	25-Sep-2009	13	377 31	319 446	57 86	178	Short-Term
FLEX	FLEXTRONICS INTERNATIONAL LTD CMN	31-May-2007	25-Sep-2009	10	69 48	115 291	-45 81	848	Long-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	08-Dec-2008	25-Sep-2009	5	337 21	329 056	8 15	290	Short-Term
AON	AON CORPORATION CMN	17-Sep-2008	25-Sep-2009	10	406 36	463 480	-57 12	373	Long-Term
TWX	TIME WARNER INC CMN	19-Mar-2007	25-Sep-2009	24	685 74	1,064 068	-378 33	921	Long-Term
CS	CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN	24-Jun-2008	25-Sep-2009	11	605 51	495 907	109 61	458	Long-Term
PFE	PFIZER INC CMN	15-Nov-2007	25-Sep-2009	76	1,253 94	1,789 019	-535 08	679	Long-Term
CAH	CARDINAL HEALTH INC CMN	21-Jul-2008	25-Sep-2009	9	244 90	338 786	-93 88	431	Long-Term
AON	AON CORPORATION CMN	23-Sep-2008	28-Sep-2009	11	460 86	515 598	-54 74	370	Long-Term
AON	AON CORPORATION CMN	17-Sep-2008	28-Sep-2009	15	628 44	695 220	-66 78	376	Long-Term
FLEX	FLEXTRONICS INTERNATIONAL LTD CMN	31-May-2007	29-Sep-2009	60	427 75	691 749	-264 00	852	Long-Term
SLB	SCHLUMBERGER LTD CMN	02-Mar-2009	29-Sep-2009	16	965 63	576 289	389 34	210	Short-Term
SLB	SCHLUMBERGER LTD CMN	03-Mar-2009	01-Oct-2009	10	581 11	360 915	220 20	211	Short-Term
LUV	SOUTHWEST AIRLINES CO CMN	15-Dec-2008	01-Oct-2009	54	497 57	402 032	95 54	289	Short-Term
AET	AETNA INC CMN	08-Apr-2009	01-Oct-2009	9	250 02	225 792	24 23	176	Short-Term
FLEX	FLEXTRONICS INTERNATIONAL LTD CMN	31-May-2007	01-Oct-2009	12	85 44	138 350	-52 91	854	Long-Term
AET	AETNA INC CMN	31-Mar-2009	01-Oct-2009	11	305 59	270 301	35 28	184	Short-Term
FLEX	FLEXTRONICS INTERNATIONAL LTD CMN	17-Jan-2008	01-Oct-2009	25	177 99	251 207	-73 21	622	Long-Term
SLB	SCHLUMBERGER LTD CMN	02-Mar-2009	01-Oct-2009	7	406 78	252 127	154 65	212	Short-Term
MMC	MARSH & MCLENNAN CO INC CMN	20-Dec-2007	02-Oct-2009	31	748 51	768 570	-20 06	651	Long-Term
AET	AETNA INC CMN	30-Apr-2009	02-Oct-2009	19	512 31	426 923	85 38	155	Short-Term
AET	AETNA INC CMN	08-Apr-2009	02-Oct-2009	41	1,105 50	1,028 608	76 90	177	Short-Term
IVZ	INVESCO LTD CMN	19-May-2009	02-Oct-2009	43	973 18	624 037	349 14	136	Short-Term
AET	AETNA INC CMN	30-Apr-2009	05-Oct-2009	21	563 22	471 862	91 36	158	Short-Term
MMC	MARSH & MCLENNAN CO INC CMN	20-Dec-2007	05-Oct-2009	20	478 23	495 851	-17 62	654	Long-Term
MMC	MARSH & MCLENNAN CO INC CMN	21-Dec-2007	05-Oct-2009	13	310 85	335 212	-24 36	653	Long-Term
MMC	MARSH & MCLENNAN CO INC CMN	26-Dec-2007	06-Oct-2009	8	192 43	210 912	-18 48	649	Long-Term
AET	AETNA INC CMN	30-Apr-2009	06-Oct-2009	15	395 80	337 044	58 76	159	Short-Term
MMC	MARSH & MCLENNAN CO INC CMN	21-Dec-2007	06-Oct-2009	34	817 84	876 708	-58 87	654	Long-Term
AET	AETNA INC CMN	30-Apr-2009	07-Oct-2009	16	432 62	359 513	73 11	160	Short-Term

AET	AETNA INC CMN	05-May-2009	08-Oct-2009	13	335 95	313 633	22 32	156	Short-Term
PGR	PROGRESSIVE CORPORATION (THE) CMN	21-Jan-2009	08-Oct-2009	20	327 50	260 582	66 92	259	Short-Term
PGR	PROGRESSIVE CORPORATION (THE) CMN	15-Jan-2009	08-Oct-2009	27	442 13	376 050	66 08	265	Short-Term
KMB	KIMBERLY CLARK CORP CMN	18-Jul-2008	08-Oct-2009	2	117 83	110 130	7 70	447	Long-Term
AET	AETNA INC CMN	30-Apr-2009	08-Oct-2009	5	129 21	112 348	16 86	161	Short-Term
KMB	KIMBERLY CLARK CORP CMN	23-Jul-2008	08-Oct-2009	1	58 91	56 493	2 42	442	Long-Term
PGR	PROGRESSIVE CORPORATION (THE) CMN	21-Jan-2009	09-Oct-2009	14	228 06	182 408	45 66	260	Short-Term
PGR	PROGRESSIVE CORPORATION (THE) CMN	23-Jan-2009	09-Oct-2009	13	211 78	161 443	50 33	258	Short-Term
LUV	SOUTHWEST AIRLINES CO CMN	15-Dec-2008	12-Oct-2009	43	412 33	320 136	92 19	300	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	02-Oct-2007	12-Oct-2009	32	492 83	771 330	-278 50	741	Long-Term
AET	AETNA INC CMN	05-May-2009	12-Oct-2009	3	79 28	72 377	6 90	160	Short-Term
AET	AETNA INC CMN	06-May-2009	12-Oct-2009	12	317 13	282 710	34 42	159	Short-Term
PGR	PROGRESSIVE CORPORATION (THE) CMN	23-Jan-2009	12-Oct-2009	25	411 85	310 468	101 38	261	Short-Term
COP	CONOCOPHILLIPS CMN	07-May-2009	13-Oct-2009	13	661 11	572 844	88 27	159	Short-Term
AET	AETNA INC CMN	07-May-2009	13-Oct-2009	14	360 86	358 820	2 04	159	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	02-Oct-2007	13-Oct-2009	3	46 34	72 312	-25 97	742	Long-Term
PGR	PROGRESSIVE CORPORATION (THE) CMN	23-Jan-2009	13-Oct-2009	17	280 50	211 119	69 38	262	Short-Term
FLEX	FLEXTRONICS INTERNATIONAL LTD CMN	17-Jan-2008	13-Oct-2009	16	120 47	160 773	-40 30	634	Long-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	05-Oct-2007	13-Oct-2009	26	401 62	624 303	-222 68	739	Long-Term
AET	AETNA INC CMN	16-Jun-2009	13-Oct-2009	4	103 10	94 840	8 26	119	Short-Term
PGR	PROGRESSIVE CORPORATION (THE) CMN	28-Jan-2009	13-Oct-2009	13	214 50	170 552	43 95	257	Short-Term
FLEX	FLEXTRONICS INTERNATIONAL LTD CMN	29-Jul-2008	13-Oct-2009	9	67 77	81 395	-13 63	441	Long-Term

< Previous Page 2 of 8 Next > Go to page:



- Global Manager StrategiesSM and GMS Alpha+SM are registered service marks of Goldman, Sachs & Co

- N Recent news is available regarding this company G Goldman Sachs has recently published research regarding this company News and Research indicators are based on Eastern Standard Time (United States)

- The Bank Deposit Account (BDA), Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, a member of the FDIC Bank Deposits, Certificates of Deposit and Term Deposits will be held in your Goldman, Sachs & Co account and are FDIC-insured, up to a maximum of \$250,000 Note that the maximum is the total protection available for funds in your Bank Deposit Account, your Certificate of Deposit account, and your Term Deposit Account, together with any other deposit accounts you may hold at the Bank in the same title and capacity For more information on how FDIC insurance coverage works, visit www.fdic.gov

Goldman Sachs Bank USA no longer participates in the FDIC's Transaction Account Guarantee Program All non-interest bearing transaction and NOW accounts are insured up to the applicable limit under the FDIC's general deposit insurance rules

Securities, mutual funds, annuities and other non-deposit investment products are issued by Goldman, Sachs & Co BDA, Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, an affiliate of Goldman, Sachs & Co Securities, mutual funds, free credit balances, annuities and other non-deposit investment products are not deposits or other obligations of Goldman Sachs Bank USA or any other bank, are not FDIC-insured, are not insured against loss of value by any governmental agency, and are subject to investment risk, including possible loss of principal amount invested FDIC insurance and SIPC coverage are different SIPC covers against loss of securities (up to a maximum of \$500,000 per customer) or cash (up to a maximum of \$250,000 within the aggregate \$500,000 customer limit) in the event of the bankruptcy of a securities broker-dealer, such as GS&Co SIPC coverage does not protect against a decline in the value of securities FDIC insurance protection, up to an aggregate limit of \$250,000 covers against loss in the event a depository institution, such as the Bank, fails Unlimited insurance is available through December 2009 for non-interest bearing demand deposit accounts Securities, mutual funds and similar types of non-deposit investment products are not covered by FDIC deposit insurance

- Click for important information concerning [Goldman Sachs Financial Markets, L.P.](#) account(s)

- This information is for general guidance and, except for official Form 1099s, should not be used for tax purposes This information is not intended and should not be construed as tax, accounting or legal advice Please consult with your tax advisor regarding the tax consequences of your investment decisions before acting based on this information The cost basis and acquisition dates for securities delivered into your Goldman Sachs account after purchase were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable

- Note this page includes information for accounts that are closed

Send us your comments Format this page for printing Download to spreadsheet

If you have any questions or need immediate assistance, please call Client Support at +1-877-GOLDMAN (877-465-3626).

Prepared for Max Kolbrenner
Tue 17 Aug 2010 3 20 PM EDT

© Copyright 2010
The Goldman Sachs Group, Inc
All rights reserved

Please read our [Legal Disclosures & Disclaimers](#)
and [Customer Agreement](#)
and about [Privacy & Security](#)
Important information on [trading options](#)
Important information about [Trust Co. Accounts](#) with
Goldman Sachs Trust Company, N A

Goldman, Sachs & Co Member SIPC

System response and account access times may
vary due to market conditions, system performance
and other factors



PRIVATE WEALTH MANAGEMENT

[Log Out](#)
[Statements](#) [Tax Center](#) [Fund Correspondence](#) [E-Delivery](#) [Change Password](#) [Change E-mail](#)
Realized Gains/Losses 1099**Zimmer Boles Fdn #2 Sound Shore 038-08687-2**

1099 revisions: Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline. Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March. If you are issued a revised Form 1099 it will be posted separately from your original Form 1099. Please note that we will only furnish the IRS with the final version of your 1099s. If you have any questions, please contact your Private Wealth Management team.

Separate Account Strategy : Sound Shore: Large Cap Value
Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable

- **Realized Gains and Losses.** See your realized gains and losses
- **1099s** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab

Select an Account or Group

Zimmer Boles Fdn #2 Sound 038-08687-2

2 Choose An Existing View

[go](#) [Realized Gains/Losses](#) [go](#)
 > [Customize](#) this view or
 > [Create One](#)

Choose a Date Range

From Date | 01-Aug-2009
 To Date | 31-Jul-2010

4 Search By Symbol/CUSIP

[go](#) [go](#)
 Include Options ☐

Gain Type	Gains	Losses	Total
Short-Term	36,731.45	-5,827.10	30,904.35
Long-Term	15,963.90	-18,435.00	-2,471.11
Total Realized Gains/Losses:	52,695.34	-24,262.10	28,433.25

< [Previous](#) Page 3 of 8 [Next](#) > [Go to page](#)

[go](#)

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
PGR	PROGRESSIVE CORPORATION (THE) CMN	28-Jan-2009	14-Oct-2009	51	855.54	669.088	186.46	258	Short-Term
AET	AETNA INC CMN	16-Jun-2009	14-Oct-2009	9	233.58	213.390	20.19	120	Short-Term
AET	AETNA INC CMN	18-Jun-2009	14-Oct-2009	9	233.58	225.250	8.33	118	Short-Term
PGR	PROGRESSIVE CORPORATION (THE) CMN	04-Mar-2009	14-Oct-2009	5	83.88	56.236	27.64	223	Short-Term
FLEX	FLEXTRONICS INTERNATIONAL LTD CMN	13-Aug-2008	15-Oct-2009	15	116.84	137.899	-21.06	428	Long-Term
SYMC	SYMANTEC CORP CMN	23-Apr-2008	15-Oct-2009	63	1,052.77	1,099.342	-46.58	540	Long-Term
PGR	PROGRESSIVE CORPORATION (THE) CMN	04-Mar-2009	15-Oct-2009	9	153.30	101.224	52.07	224	Short-Term
FLEX	FLEXTRONICS INTERNATIONAL LTD CMN	29-Jul-2008	15-Oct-2009	14	109.05	126.615	-17.56	443	Long-Term
FLEX	FLEXTRONICS INTERNATIONAL LTD CMN	12-Aug-2008	15-Oct-2009	52	405.05	476.890	-71.84	429	Long-Term
PGR	PROGRESSIVE CORPORATION (THE) CMN	10-Mar-2009	15-Oct-2009	20	340.66	201.929	138.73	219	Short-Term
SYMC	SYMANTEC CORP CMN	23-Jun-2008	15-Oct-2009	6	100.26	117.340	-17.08	479	Long-Term
FLEX	FLEXTRONICS INTERNATIONAL LTD CMN	13-Aug-2008	16-Oct-2009	55	417.16	505.629	-88.47	429	Long-Term
PGR	PROGRESSIVE CORPORATION (THE) CMN	10-Mar-2009	16-Oct-2009	28	470.93	282.701	188.23	220	Short-Term
SLB	SCHLUMBERGER LTD CMN	03-Mar-2009	16-Oct-2009	16	1,097.51	577.465	520.04	226	Short-Term
MS	MORGAN STANLEY CMN	08-May-2009	16-Oct-2009	44	1,429.84	1,175.206	254.63	161	Short-Term
MSFT	MICROSOFT CORPORATION CMN	09-Jul-2009	16-Oct-2009	62	1,627.84	1,399.515	228.32	99	Short-Term
SYMC	SYMANTEC CORP CMN	23-Jun-2008	19-Oct-2009	35	583.95	684.486	-100.54	483	Long-Term
PGR	PROGRESSIVE CORPORATION (THE) CMN	10-Mar-2009	19-Oct-2009	28	472.29	282.700	189.59	223	Short-Term
SYMC	SYMANTEC CORP CMN	23-Jun-2008	20-Oct-2009	27	444.07	528.032	-83.96	484	Long-Term
COP	CONOCOPHILLIPS CMN	07-May-2009	21-Oct-2009	32	1,715.51	1,410.078	305.43	167	Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	23-Feb-2009	21-Oct-2009	3	215.53	139.939	75.59	239	Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	08-Dec-2008	21-Oct-2009	11	790.29	723.924	66.36	316	Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	23-Feb-2009	22-Oct-2009	8	556.32	373.172	183.15	240	Short-Term
ABT	ABBOTT LABORATORIES CMN	10-Oct-2008	28-Oct-2009	26	1,334.98	1,274.874	60.11	383	Long-Term
CB	CHUBB CORP CMN	17-Nov-2008	28-Oct-2009	1	49.84	47.913	1.92	344	Short-Term
CB	CHUBB CORP CMN	13-Nov-2008	28-Oct-2009	16	797.40	784.450	12.95	348	Short-Term
BAX	BAXTER INTERNATIONAL INC CMN	22-Sep-2008	28-Oct-2009	4	218.38	260.547	-42.16	401	Long-Term

BAX	BAXTER INTERNATIONAL INC CMN	06-Oct-2008	28-Oct-2009	15	818 94	967 570	-148 62	387	Long-Term
MMC	MARSH & MCLENNAN CO INC CMN	26-Dec-2007	28-Oct-2009	2	49 03	52 728	-3 70	671	Long-Term
MMC	MARSH & MCLENNAN CO INC CMN	03-Jan-2008	28-Oct-2009	49	1,201 28	1,339 480	-138 20	663	Long-Term
MMC	MARSH & MCLENNAN CO INC CMN	22-Oct-2008	28-Oct-2009	3	73 55	80 953	-7 40	371	Long-Term
TWX	TIME WARNER INC CMN	19-Mar-2007	28-Oct-2009	14	417 90	620 706	-202 81	954	Long-Term
KMB	KIMBERLY CLARK CORP CMN	23-Jul-2008	28-Oct-2009	24	1,476 75	1,355 840	120 91	462	Long-Term
BAX	BAXTER INTERNATIONAL INC CMN	16-Jan-2009	28-Oct-2009	11	600 56	602 148	-1 59	284	Short-Term
NVS	NOVARTIS AG-ADR SPONSORED ADR CMN	20-Nov-2008	28-Oct-2009	17	883 45	794 265	89 18	341	Short-Term
CB	CHUBB CORP CMN	17-Nov-2008	29-Oct-2009	11	546 91	527 040	19 87	345	Short-Term
BAX	BAXTER INTERNATIONAL INC CMN	16-Jan-2009	29-Oct-2009	3	162 29	164 222	-1 94	285	Short-Term
BAX	BAXTER INTERNATIONAL INC CMN	31-Mar-2009	29-Oct-2009	13	703 24	667 890	35 35	212	Short-Term
MMC	MARSH & MCLENNAN CO INC CMN	22-Oct-2008	29-Oct-2009	15	366 86	404 763	-37 90	372	Long-Term
CB	CHUBB CORP CMN	17-Nov-2008	30-Oct-2009	6	293 80	287 477	6 32	346	Short-Term
AON	AON CORPORATION CMN	23-Sep-2008	30-Oct-2009	21	812 14	984 322	-172 18	402	Long-Term
MMC	MARSH & MCLENNAN CO INC CMN	22-Oct-2008	30-Oct-2009	27	639 31	728 573	-89 26	373	Long-Term
CB	CHUBB CORP CMN	19-Nov-2008	30-Oct-2009	15	734 49	692 059	42 43	344	Short-Term
AON	AON CORPORATION CMN	03-Oct-2008	30-Oct-2009	10	386 74	454 316	-67 58	392	Long-Term
CAH	CARDINAL HEALTH INC CMN	08-Aug-2008	02-Nov-2009	8	228 68	311 479	-82 80	451	Long-Term
CAH	CARDINAL HEALTH INC CMN	21-Jul-2008	02-Nov-2009	5	142 92	188 215	-45 29	469	Long-Term
CAH	CARDINAL HEALTH INC CMN	07-Aug-2008	02-Nov-2009	4	114 34	150 703	-36 36	452	Long-Term
AON	AON CORPORATION CMN	06-Oct-2008	03-Nov-2009	5	192 96	214 742	-21 79	393	Long-Term
COP	CONOCOPHILLIPS CMN	07-May-2009	03-Nov-2009	20	1,009 67	881 299	128 37	180	Short-Term
AON	AON CORPORATION CMN	03-Oct-2008	03-Nov-2009	15	578 86	681 474	-102 61	396	Long-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	01-Jul-2008	03-Nov-2009	30	912 71	843 557	69 15	490	Long-Term
KMB	KIMBERLY CLARK CORP CMN	24-Jul-2008	04-Nov-2009	5	313 27	279 362	33 91	468	Long-Term
AON	AON CORPORATION CMN	06-Oct-2008	04-Nov-2009	17	661 80	730 121	-68 32	394	Long-Term
KMB	KIMBERLY CLARK CORP CMN	23-Jul-2008	04-Nov-2009	5	313 27	282 467	30 80	469	Long-Term
AON	AON CORPORATION CMN	06-Oct-2008	05-Nov-2009	2	77 84	85 897	-8 05	395	Long-Term
AON	AON CORPORATION CMN	28-Jan-2009	05-Nov-2009	15	583 84	573 628	10 21	281	Short-Term
KMB	KIMBERLY CLARK CORP CMN	24-Jul-2008	09-Nov-2009	11	701 20	614 596	86 60	473	Long-Term
AON	AON CORPORATION CMN	07-May-2009	09-Nov-2009	3	118 92	109 706	9 22	186	Short-Term
AON	AON CORPORATION CMN	28-Jan-2009	09-Nov-2009	1	39 64	38 242	1 40	285	Short-Term
AON	AON CORPORATION CMN	05-May-2009	09-Nov-2009	20	792 82	733 570	59 25	188	Short-Term
KMB	KIMBERLY CLARK CORP CMN	20-Apr-2009	10-Nov-2009	1	64 17	50 197	13 98	204	Short-Term
AON	AON CORPORATION CMN	07-May-2009	10-Nov-2009	17	676 05	621 669	54 38	187	Short-Term
KMB	KIMBERLY CLARK CORP CMN	24-Jul-2008	10-Nov-2009	9	577 55	502 852	74 70	474	Long-Term
V	VISA INC CMN CLASS A	16-Dec-2008	10-Nov-2009	8	647 71	430 521	217 19	329	Short-Term
V	VISA INC CMN CLASS A	31-Dec-2008	10-Nov-2009	4	323 86	207 000	116 86	314	Short-Term
KMB	KIMBERLY CLARK CORP CMN	20-Apr-2009	11-Nov-2009	18	1,151 51	903 552	247 96	205	Short-Term
AON	AON CORPORATION CMN	02-Jun-2009	11-Nov-2009	13	520 49	468 823	51 67	162	Short-Term
BAX	BAXTER INTERNATIONAL INC CMN	08-Apr-2009	11-Nov-2009	9	513 84	442 638	71 20	217	Short-Term
AON	AON CORPORATION CMN	07-May-2009	11-Nov-2009	4	160 15	146 275	13 88	188	Short-Term
KMB	KIMBERLY CLARK CORP CMN	20-Apr-2009	12-Nov-2009	15	955 62	752 960	202 66	206	Short-Term
AON	AON CORPORATION CMN	02-Jun-2009	12-Nov-2009	17	684 62	613 077	71 55	163	Short-Term
AON	AON CORPORATION CMN	05-Jun-2009	12-Nov-2009	7	281 90	255 610	26 30	160	Short-Term
KMB	KIMBERLY CLARK CORP CMN	20-Apr-2009	13-Nov-2009	7	445 42	351 382	94 04	207	Short-Term
KMB	KIMBERLY CLARK CORP CMN	20-Apr-2009	16-Nov-2009	4	258 03	200 789	57 24	210	Short-Term
KMB	KIMBERLY CLARK CORP CMN	23-Jun-2009	16-Nov-2009	18	1,161 15	920 320	240 83	146	Short-Term
NVS	NOVARTIS AG-ADR SPONSORED ADR CMN	01-Dec-2008	18-Nov-2009	6	321 61	274 394	47 21	352	Short-Term
V	VISA INC CMN CLASS A	15-Jan-2009	18-Nov-2009	1	80 56	47 920	32 64	307	Short-Term
ABT	ABBOTT LABORATORIES CMN	14-Oct-2008	18-Nov-2009	1	53 12	54 987	-1 86	400	Long-Term

WPO	WASHINGTON POST CO CL B CMN CLASS B	27-Dec-2006	18-Nov-2009	1	430 74	757 780	-327 04	1,057	Long-Term
WPO	WASHINGTON POST CO CL B CMN CLASS B	29-Dec-2006	18-Nov-2009	1	430 74	750 560	-319 82	1,055	Long-Term
NVS	NOVARTIS AG-ADR SPONSORED ADR CMN	20-Nov-2008	18-Nov-2009	3	160 80	140 164	20 64	363	Short-Term
NVS	NOVARTIS AG-ADR SPONSORED ADR CMN	21-Nov-2008	18-Nov-2009	13	696 82	570 710	126 11	362	Short-Term
V	VISA INC CMN CLASS A	31-Dec-2008	18-Nov-2009	6	483 37	310 500	172 87	322	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	17-Oct-2007	18-Nov-2009	28	424 43	673 400	-248 97	763	Long-Term
ABT	ABBOTT LABORATORIES CMN	10-Oct-2008	18-Nov-2009	1	53 12	49 034	4 09	404	Long-Term
CAH	CARDINAL HEALTH INC CMN	08-Aug-2008	18-Nov-2009	17	536 21	661 894	-125 69	467	Long-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	11-Oct-2007	18-Nov-2009	45	682 11	1,074 440	-392 33	769	Long-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	05-Oct-2007	18-Nov-2009	4	60 63	96 047	-35 42	775	Long-Term
CAH	CARDINAL HEALTH INC CMN	30-Oct-2008	18-Nov-2009	19	599 29	485 254	114 04	384	Long-Term
SLB	SCHLUMBERGER LTD CMN	04-Mar-2009	19-Nov-2009	14	904 48	539 827	364 65	260	Short-Term
COP	CONOCOPHILLIPS CMN	07-May-2009	19-Nov-2009	27	1,422 17	1,189 754	232 42	196	Short-Term
CAH	CARDINAL HEALTH INC CMN	14-Apr-2009	19-Nov-2009	13	408 60	314 978	93 62	219	Short-Term
TWX	TIME WARNER INC CMN	19-Mar-2007	19-Nov-2009	22	704 42	975 396	-270 98	976	Long-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	26-Jun-2009	19-Nov-2009	28	797 06	692 279	104 78	146	Short-Term
CS	CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN	27-Jun-2008	19-Nov-2009	15	799 43	686 070	113 36	510	Long-Term
V	VISA INC CMN CLASS A	10-Mar-2009	19-Nov-2009	3	239 45	156 964	82 49	254	Short-Term
CAH	CARDINAL HEALTH INC CMN	30-Oct-2008	19-Nov-2009	3	94 29	76 619	17 67	385	Long-Term
CS	CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN	24-Jun-2008	19-Nov-2009	1	53 30	45 083	8 21	513	Long-Term
V	VISA INC CMN CLASS A	15-Jan-2009	19-Nov-2009	5	399 09	239 600	159 49	308	Short-Term
KO	COCA-COLA COMPANY (THE) CMN	22-Dec-2008	20-Nov-2009	5	287 04	222 735	64 30	333	Short-Term
COP	CONOCOPHILLIPS CMN	08-May-2009	20-Nov-2009	17	884 17	786 969	97 20	196	Short-Term

[Previous](#) [Page 3 of 8](#) [Next](#) [Go to page:](#)



- Global Manager StrategiesSM and GMS Alpha+SM are registered service marks of Goldman, Sachs & Co

- N Recent news is available regarding this company G Goldman Sachs has recently published research regarding this company News and Research indicators are based on Eastern Standard Time (United States)

- The Bank Deposit Account (BDA), Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, a member of the FDIC Bank Deposits, Certificates of Deposit and Term Deposits will be held in your Goldman, Sachs & Co account and are FDIC-insured, up to a maximum of \$250,000 Note that the maximum is the total protection available for funds in your Bank Deposit Account, your Certificate of Deposit account, and your Term Deposit Account, together with any other deposit accounts you may hold at the Bank in the same title and capacity For more information on how FDIC insurance coverage works, visit www.fdic.gov

Goldman Sachs Bank USA no longer participates in the FDIC's Transaction Account Guarantee Program All non-interest bearing transaction and NOW accounts are insured up to the applicable limit under the FDIC's general deposit insurance rules

Securities, mutual funds, annuities and other non-deposit investment products are issued by Goldman, Sachs & Co BDA, Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, an affiliate of Goldman, Sachs & Co Securities, mutual funds, free credit balances, annuities and other non-deposit investment products are not deposits or other obligations of Goldman Sachs Bank USA or any other bank, are not FDIC-insured, are not insured against loss of value by any governmental agency, and are subject to investment risk, including possible loss of principal amount invested FDIC insurance and SIPC coverage are different SIPC covers against loss of securities (up to a maximum of \$500,000 per customer) or cash (up to a maximum of \$250,000 within the aggregate \$500,000 customer limit) in the event of the bankruptcy of a securities broker-dealer, such as GS&Co SIPC coverage does not protect against a decline in the value of securities FDIC insurance protection, up to an aggregate limit of \$250,000 covers against loss in the event a depository institution, such as the Bank, fails Unlimited insurance is available through December 2009 for non-interest bearing demand deposit accounts Securities, mutual funds and similar types of non-deposit investment products are not covered by FDIC deposit insurance

- Click for important information concerning [Goldman Sachs Financial Markets, L.P.](#) account(s)

- This information is for general guidance and, except for official Form 1099s, should not be used for tax purposes This information is not intended and should not be construed as tax, accounting or legal advice Please consult with your tax advisor regarding the tax consequences of your investment decisions before acting based on this information The cost basis and acquisition dates for securities delivered into your Goldman Sachs account after purchase were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable

- Note this page includes information for accounts that are closed

[Send us your comments](#) [Format this page for printing](#) [Download to spreadsheet](#)

If you have any questions or need immediate assistance, please call Client Support at +1-877-GOLDMAN (877-465-3626).

Prepared for Max Kolbrenner
Tue 17 Aug 2010 3 20 PM EDT

© Copyright 2010
The Goldman Sachs Group, Inc
All rights reserved

Please read our [Legal Disclosures & Disclaimers](#)
and [Customer Agreement](#)
and about [Privacy & Security](#)
Important information on [trading options](#)
Important information about [Trust Co Accounts](#) with
Goldman Sachs Trust Company, N A

Goldman, Sachs & Co Member [SIPC](#)

System response and account access times may
vary due to market conditions, system performance
and other factors



PRIVATE WEALTH MANAGEMENT

Log Out

[Statements](#) [Tax Center](#) [Fund Correspondence](#) [E-Delivery](#) [Change Password](#) [Change E-mail](#)
Realized Gains/Losses 1099**Zimmer Boles Fdn #2 Sound Shore 038-08687-2**

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March If you are issued a revised Form 1099 it will be posted separately from your original Form 1099 Please note that we will only furnish the IRS with the final version of your 1099s If you have any questions, please contact your Private Wealth Management team

Separate Account Strategy : Sound Shore Large Cap Value
Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable

- **Realized Gains and Losses.** See your realized gains and losses
- **1099s.** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab

Select an Account or Group

Zimmer Boles Fdn #2 Sound 038-08687-2

2 Choose An Existing View

Realized Gains/Losses
 > [Customize](#) this view or
 > [Create One](#)

Choose a Date Range

From Date 01-Aug-2009
 To Date 31-Jul-2010

4 Search By Symbol/CUSIP

Include Options ☐

Gain Type	Gains	Losses	Total
Short-Term	36,731.45	-5,827.10	30,904.35
Long-Term	15,963.90	-18,435.00	-2,471.11
Total Realized Gains/Losses:	52,695.34	-24,262.10	28,433.25

< [Previous](#) Page 4 of 8 [Next](#) > Go to page:

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered (A)	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
TWX	TIME WARNER INC CMN	19-Mar-2007	20-Nov-2009	8	253.84	354.689	-100.85	977	Long-Term
TWX	TIME WARNER INC CMN	04-Apr-2007	20-Nov-2009	21	666.34	957.672	-291.34	961	Long-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	26-Jun-2009	20-Nov-2009	32	914.12	791.176	122.94	147	Short-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	29-Jun-2009	20-Nov-2009	3	85.70	74.995	10.70	144	Short-Term
SLB	SCHLUMBERGER LTD CMN	17-Apr-2009	20-Nov-2009	7	442.94	322.325	120.61	217	Short-Term
KO	COCA-COLA COMPANY (THE) CMN	16-Dec-2008	20-Nov-2009	5	287.04	229.434	57.61	339	Short-Term
SLB	SCHLUMBERGER LTD CMN	04-Mar-2009	20-Nov-2009	8	506.21	308.473	197.74	261	Short-Term
COP	CONOCOPHILLIPS CMN	07-May-2009	20-Nov-2009	5	260.05	220.325	39.72	197	Short-Term
ABT	ABBOTT LABORATORIES CMN	14-Oct-2008	23-Nov-2009	8	425.96	439.893	-13.93	405	Long-Term
ABT	ABBOTT LABORATORIES CMN	14-Oct-2008	24-Nov-2009	8	432.53	439.893	-7.36	406	Long-Term
ABT	ABBOTT LABORATORIES CMN	14-Oct-2008	25-Nov-2009	4	217.47	219.947	-2.48	407	Long-Term
ABT	ABBOTT LABORATORIES CMN	15-Oct-2008	25-Nov-2009	10	543.66	542.357	1.31	406	Long-Term
KO	COCA-COLA COMPANY (THE) CMN	22-Dec-2008	01-Dec-2009	21	1,217.02	935.487	281.53	344	Short-Term
WMT	WAL MART STORES INC CMN	10-Feb-2009	01-Dec-2009	21	1,150.22	1,003.100	147.12	294	Short-Term
CB	CHUBB CORP CMN	19-Nov-2008	03-Dec-2009	7	343.87	322.961	20.91	379	Long-Term
V	VISA INC CMN CLASS A	10-Mar-2009	03-Dec-2009	4	322.61	209.286	113.32	268	Short-Term
CB	CHUBB CORP CMN	20-Nov-2008	03-Dec-2009	24	1,178.98	1,073.860	105.12	378	Long-Term
WMT	WAL MART STORES INC CMN	10-Feb-2009	03-Dec-2009	19	1,037.12	907.566	129.55	296	Short-Term
V	VISA INC CMN CLASS A	20-Mar-2009	03-Dec-2009	9	725.87	481.500	244.37	258	Short-Term
V	VISA INC CMN CLASS A	26-Mar-2009	03-Dec-2009	10	806.52	538.550	267.97	252	Short-Term
V	VISA INC CMN CLASS A	02-Apr-2009	03-Dec-2009	8	645.22	446.980	198.24	245	Short-Term
CB	CHUBB CORP CMN	02-Dec-2008	03-Dec-2009	8	392.99	380.960	12.03	366	Long-Term
V	VISA INC CMN CLASS A	08-Jul-2009	03-Dec-2009	12	967.82	710.983	256.84	148	Short-Term

CAH	CARDINAL HEALTH INC CMN	14-Apr-2009	03-Dec-2009	11	360 24	266 519	93 72	233	Short-Term
C	CITIGROUP INC CMN	21-Sep-2009	04-Dec-2009	167	682 96	735 601	-52 64	74	Short-Term
PFE	PFIZER INC CMN	19-Nov-2007	07-Dec-2009	3	54 78	68 613	-13 83	749	Long-Term
CAH	CARDINAL HEALTH INC CMN	05-May-2009	07-Dec-2009	2	65 01	51 288	13 72	216	Short-Term
ABT	ABBOTT LABORATORIES CMN	15-Oct-2008	07-Dec-2009	11	592 43	596 593	-4 17	418	Long-Term
ABT	ABBOTT LABORATORIES CMN	22-Oct-2008	07-Dec-2009	9	484 71	492 993	-8 28	411	Long-Term
PFE	PFIZER INC CMN	15-Nov-2007	07-Dec-2009	32	584 38	753 271	-168 90	753	Long-Term
CAH	CARDINAL HEALTH INC CMN	20-Apr-2009	07-Dec-2009	10	325 06	241 524	83 53	231	Short-Term
TXN	TEXAS INSTRUMENTS INC CMN	15-May-2008	07-Dec-2009	26	694 17	809 784	-115 61	571	Long-Term
CAH	CARDINAL HEALTH INC CMN	17-Apr-2009	07-Dec-2009	20	650 11	490 038	160 08	234	Short-Term
NVS	NOVARTIS AG-ADR SPONSORED ADR CMN	01-Dec-2008	07-Dec-2009	10	557 73	457 324	100 41	371	Long-Term
TXN	TEXAS INSTRUMENTS INC CMN	22-Jul-2008	07-Dec-2009	2	53 40	48 011	5 39	503	Long-Term
CAH	CARDINAL HEALTH INC CMN	05-May-2009	08-Dec-2009	17	543 49	435 949	107 54	217	Short-Term
CS	CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN	07-Jul-2008	09-Dec-2009	1	49 32	42 013	7 31	520	Long-Term
CB	CHUBB CORP CMN	02-Dec-2008	09-Dec-2009	6	289 82	285 720	4 10	372	Long-Term
CB	CHUBB CORP CMN	15-Jan-2009	09-Dec-2009	12	579 64	506 870	72 77	328	Short-Term
CAH	CARDINAL HEALTH INC CMN	05-May-2009	09-Dec-2009	7	221 24	179 509	41 73	218	Short-Term
CAH	CARDINAL HEALTH INC CMN	27-May-2009	09-Dec-2009	10	316 06	251 866	64 19	196	Short-Term
CS	CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN	27-Jun-2008	09-Dec-2009	10	493 24	457,380	35 86	530	Long-Term
LUV	SOUTHWEST AIRLINES CO CMN	15-Dec-2008	09-Dec-2009	1	10 08	7 445	2 64	359	Short-Term
LUV	SOUTHWEST AIRLINES CO CMN	17-Dec-2008	09-Dec-2009	12	120 98	98 300	22 68	357	Short-Term
MSFT	MICROSOFT CORPORATION CMN	09-Jul-2009	09-Dec-2009	23	680 98	519 175	161 80	153	Short-Term
MSFT	MICROSOFT CORPORATION CMN	10-Jul-2009	09-Dec-2009	4	118 43	89 396	29 04	152	Short-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	01-Jul-2008	09-Dec-2009	28	869 97	787 319	82 65	526	Long-Term
LUV	SOUTHWEST AIRLINES CO CMN	23-Jan-2009	09-Dec-2009	17	171 39	138 658	32 73	320	Short-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	05-Aug-2008	09-Dec-2009	3	93 21	83 398	9 81	491	Long-Term
CB	CHUBB CORP CMN	21-Jan-2009	09-Dec-2009	7	338 12	296 550	41 58	322	Short-Term
CAH	CARDINAL HEALTH INC CMN	07-Jul-2009	09-Dec-2009	3	94 82	65 441	29 38	155	Short-Term
CB	CHUBB CORP CMN	28-Jan-2009	10-Dec-2009	16	774 42	700 034	74 39	316	Short-Term
CB	CHUBB CORP CMN	21-Jan-2009	10-Dec-2009	7	338 81	296 550	42 26	323	Short-Term
AOL	AOL INC CMN	13-Jun-2007	10-Dec-2009	0 09	2 15		2 15	911	Long-Term
LUV	SOUTHWEST AIRLINES CO CMN	23-Jan-2009	10-Dec-2009	75	772 94	611 728	161 21	321	Short-Term
CAH	CARDINAL HEALTH INC CMN	07-Jul-2009	10-Dec-2009	35	1,130 06	763 479	366 58	156	Short-Term
CAH	CARDINAL HEALTH INC CMN	07-Jul-2009	11-Dec-2009	23	744 23	501 715	242 52	157	Short-Term
CB	CHUBB CORP CMN	30-Jan-2009	11-Dec-2009	1	48 48	43 256	5 23	315	Short-Term
CB	CHUBB CORP CMN	28-Jan-2009	11-Dec-2009	12	581 78	525 026	56 75	317	Short-Term
CAH	CARDINAL HEALTH INC CMN	03-Sep-2009	14-Dec-2009	6	196 18	152 981	43 20	102	Short-Term
CB	CHUBB CORP CMN	30-Jan-2009	14-Dec-2009	10	485 52	432 563	52 96	318	Short-Term
CAH	CARDINAL HEALTH INC CMN	07-Jul-2009	14-Dec-2009	8	261 57	174 509	87 06	160	Short-Term
CAH	CARDINAL HEALTH INC CMN	08-Sep-2009	15-Dec-2009	3	98 55	78 405	20 15	98	Short-Term
CB	CHUBB CORP CMN	30-Jan-2009	15-Dec-2009	9	434 79	389 306	45 48	319	Short-Term
LUV	SOUTHWEST AIRLINES CO CMN	28-Jan-2009	15-Dec-2009	18	194 04	137 896	56 15	321	Short-Term

TXN	TEXAS INSTRUMENTS INC CMN	22-Jul-2008	15-Dec-2009	38	973 89	912 207	61 68	511	Long-Term
LUV	SOUTHWEST AIRLINES CO CMN	23-Jan-2009	15-Dec-2009	21	226 38	171 284	55 10	326	Short-Term
CAH	CARDINAL HEALTH INC CMN	03-Sep-2009	15-Dec-2009	19	624 18	484 439	139 74	103	Short-Term
CAH	CARDINAL HEALTH INC CMN	08-Sep-2009	16-Dec-2009	29	951 90	757 915	193 98	99	Short-Term
CB	CHUBB CORP CMN	30-Jan-2009	16-Dec-2009	4	194 85	173 025	21 82	320	Short-Term
CB	CHUBB CORP CMN	19-Feb-2009	16-Dec-2009	11	535 83	434 772	101 06	300	Short-Term
CB	CHUBB CORP CMN	19-Feb-2009	17-Dec-2009	6	290 19	237 148	53 04	301	Short-Term
CAH	CARDINAL HEALTH INC CMN	09-Sep-2009	17-Dec-2009	21	671 82	567 290	104 53	99	Short-Term
CB	CHUBB CORP CMN	06-May-2009	17-Dec-2009	8	386 92	318 655	68 26	225	Short-Term
AOL	AOL INC CMN	13-Jun-2007	18-Dec-2009	2	46 31	62 630	-16 32	919	Long-Term
AOL	AOL INC CMN	23-Jul-2007	18-Dec-2009	2	46 31	59 656	-13 34	879	Long-Term
AOL	AOL INC CMN	08-Aug-2007	18-Dec-2009	1	23 16	43 566	-20 41	863	Long-Term
AOL	AOL INC CMN	19-Nov-2007	18-Dec-2009	4	92 63	124 228	-31 60	760	Long-Term
AOL	AOL INC CMN	13-Nov-2008	18-Dec-2009	5	115 78	60 988	54 80	400	Long-Term
AOL	AOL INC CMN	24-Feb-2009	18-Dec-2009	4	92 63	48 207	44 42	297	Short-Term
AOL	AOL INC CMN	27-Nov-2007	18-Dec-2009	1	23 16	35 681	-12 52	752	Long-Term
AOL	AOL INC CMN	11-Feb-2009	18-Dec-2009	2	46 31	28 056	18 26	310	Short-Term
AOL	AOL INC CMN	02-Mar-2009	18-Dec-2009	2	46 31	23 931	22 38	291	Short-Term
AOL	AOL INC CMN	03-Mar-2009	18-Dec-2009	3	69 47	35 654	33 82	290	Short-Term
CB	CHUBB CORP CMN	06-May-2009	18-Dec-2009	14	671 86	557 645	114 22	226	Short-Term
CB	CHUBB CORP CMN	07-May-2009	18-Dec-2009	4	191 96	161 160	30 80	225	Short-Term
CB	CHUBB CORP CMN	07-May-2009	21-Dec-2009	16	772 50	644 640	127 86	228	Short-Term
LUV	SOUTHWEST AIRLINES CO CMN	28-Jan-2009	21-Dec-2009	32	360 88	245 149	115 73	327	Short-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	05-Aug-2008	29-Dec-2009	19	624 15	528 184	95 97	511	Long-Term
LUV	SOUTHWEST AIRLINES CO CMN	28-Jan-2009	29-Dec-2009	39	444 63	298 776	145 85	335	Short-Term
LUV	SOUTHWEST AIRLINES CO CMN	28-Jan-2009	30-Dec-2009	42	475 55	321 759	153 79	336	Short-Term
LUV	SOUTHWEST AIRLINES CO CMN	28-Jan-2009	04-Jan-2010	33	369 27	252 810	116 46	341	Short-Term
LUV	SOUTHWEST AIRLINES CO CMN	05-Feb-2009	04-Jan-2010	14	156 66	94 737	61 92	333	Short-Term
SCHW	CHARLES SCHWAB CORPORATION CMN	27-Feb-2009	08-Jan-2010	62	1,179 79	807 278	372 51	315	Short-Term
SCHW	CHARLES SCHWAB CORPORATION CMN	26-Feb-2009	08-Jan-2010	39	742 12	510 244	231 88	316	Short-Term
SCHW	CHARLES SCHWAB CORPORATION CMN	03-Mar-2009	11-Jan-2010	30	572 59	366 604	205 99	314	Short-Term
SCHW	CHARLES SCHWAB CORPORATION CMN	27-Feb-2009	11-Jan-2010	4	76 35	52 082	24 26	318	Short-Term
SCHW	CHARLES SCHWAB CORPORATION CMN	03-Mar-2009	12-Jan-2010	36	689 59	439 925	249 66	315	Short-Term
HES	HESS CORPORATION CMN	22-Apr-2009	12-Jan-2010	14	880 59	708 945	171 64	265	Short-Term
SLB	SCHLUMBERGER LTD CMN	17-Apr-2009	13-Jan-2010	21	1,464 35	966 975	497 38	271	Short-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	05-Aug-2008	13-Jan-2010	12	385 04	333 590	51 45	526	Long-Term

< [Previous](#) [Page 4 of 8](#) [Next](#) > Go to page:

99

* Global Manager StrategiesSM and GMS Alpha+SM are registered service marks of Goldman, Sachs & Co

- N Recent news is available regarding this company G Goldman Sachs has recently published research regarding this company News and Research indicators are based on Eastern Standard Time (United States)

* The Bank Deposit Account (BDA), Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, a member of the FDIC Bank Deposits, Certificates of Deposit and Term Deposits will be held in your Goldman, Sachs & Co account and are FDIC-insured, up to a maximum of \$250,000 Note that the maximum is the total protection available for funds in your Bank Deposit Account, your Certificate of Deposit account, and your Term Deposit Account, together with any other deposit accounts you may hold at the Bank in the same title and capacity For more information on how FDIC insurance coverage works, visit www.fdic.gov

Goldman Sachs Bank USA no longer participates in the FDIC's Transaction Account Guarantee Program All non-interest bearing transaction and NOW accounts are insured up to the applicable limit under the FDIC's general deposit insurance rules



PRIVATE WEALTH MANAGEMENT

Log Out

[Statements](#) [Tax Center](#) [Fund Correspondence](#) [E-Delivery](#) [Change Password](#) [Change E-mail](#)
Realized Gains/Losses 1099**Zimmer Boles Fdn #2 Sound Shore 038-08687-2**

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March If you are issued a revised Form 1099 it will be posted separately from your original Form 1099 Please note that we will only furnish the IRS with the final version of your 1099s If you have any questions, please contact your Private Wealth Management team

Separate Account Strategy : Sound Shore: Large Cap Value
Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable

- **Realized Gains and Losses.** See your realized gains and losses
- **1099s** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab

Select an Account or Group

Zimmer Boles Fdn #2 Sound 038-08687-2

2 Choose An Existing View

Realized Gains/Losses

> [Customize](#) this view or
 > [Create One](#)

Choose a Date Range

From Date 01-Aug-2009

To Date 31-Jul-2010

4 Search By Symbol/CUSIP

Include Options ☐

Gain Type	Gains	Losses	Total
<u>Short-Term</u>	36,731 45	-5,827 10	30,904 35
<u>Long-Term</u>	15,963 90	-18,435 00	-2,471 11
Total Realized Gains/Losses:	52,695.34	-24,262 10	28,433.25

< [Previous](#) Page 5 of 8 [Next](#) > Go to page:**Realized Gains/Losses**

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
V	VISA INC CMN CLASS A	08-Jul-2009	14-Jan-2010	1	87 34	59 249	28 09	190	Short-Term
SLB	SCHLUMBERGER LTD CMN	17-Apr-2009	15-Jan-2010	18	1,270 93	828 836	442 09	273	Short-Term
INTU	INTUIT INC CMN	15-Dec-2008	19-Jan-2010	15	476 13	339 994	136 14	400	Long-Term
V	VISA INC CMN CLASS A	08-Jul-2009	19-Jan-2010	14	1,222 66	829 480	393 18	195	Short-Term
INTU	INTUIT INC CMN	15-Dec-2008	20-Jan-2010	20	623 10	453 325	169 78	401	Long-Term
HES	HESS CORPORATION CMN	22-Apr-2009	20-Jan-2010	10	617 06	506 389	110 67	273	Short-Term
TWX	TIME WARNER INC CMN	23-Jul-2007	20-Jan-2010	10	283 24	424 822	-141 59	912	Long-Term
TWX	TIME WARNER INC CMN	13-Jun-2007	20-Jan-2010	21	594 80	891 988	-297 19	952	Long-Term
V	VISA INC CMN CLASS A	08-Jul-2009	20-Jan-2010	8	695 75	473 988	221 76	196	Short-Term
V	VISA INC CMN CLASS A	09-Jul-2009	20-Jan-2010	6	521 81	361 374	160 44	195	Short-Term
INTU	INTUIT INC CMN	15-Dec-2008	21-Jan-2010	14	434 30	317 328	116 97	402	Long-Term
V	VISA INC CMN CLASS A	14-Jul-2009	21-Jan-2010	5	426 69	300 494	126 20	191	Short-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	27-Dec-2007	21-Jan-2010	69	629 89	808 472	-178 58	756	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	10-Jan-2008	21-Jan-2010	25	228 22	287 597	-59 38	742	Long-Term
V	VISA INC CMN CLASS A	13-Jul-2009	21-Jan-2010	5	426 69	298 450	128 24	192	Short-Term
V	VISA INC CMN CLASS A	09-Jul-2009	21-Jan-2010	4	341 35	240 916	100 44	196	Short-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	02-Jul-2008	22-Jan-2010	36	329 24	448 710	-119 47	569	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	10-Jan-2008	22-Jan-2010	24	219 49	276 093	-56 60	743	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	02-Jul-2008	28-Jan-2010	24	210 76	299 140	-88 38	575	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	23-Jul-2008	28-Jan-2010	41	360 06	511 160	-151 10	554	Long-Term
V	VISA INC CMN CLASS A	14-Jul-2009	29-Jan-2010	4	332 31	240 396	91 91	199	Short-Term
V	VISA INC CMN CLASS A	15-Jul-2009	29-Jan-2010	10	830 77	619 740	211 03	198	Short-Term
BRKB	BERKSHIRE HATHAWAY INC CLASS B	14-Nov-2008	29-Jan-2010	24	1,839 55	1,623 408	216 14	441	Long-Term
KO	COCA-COLA COMPANY (THE) CMN	22-Dec-2008	05-Feb-2010	4	211 99	178 188	33 80	410	Long-Term
KO	COCA-COLA COMPANY (THE) CMN	26-Dec-2008	05-Feb-2010	11	582 96	490 745	92 22	406	Long-Term
PFE	PFIZER INC CMN	19-Nov-2007	05-Feb-2010	63	1,126 05	1,440 881	-314 83	809	Long-Term
INTU	INTUIT INC CMN	15-Dec-2008	05-Feb-2010	17	498 12	385 326	112 79	417	Long-Term
APOL	APOLLO GROUP CLASS A COMMON STOCK	28-Oct-2009	09-Feb-2010	15	886 57	912 370	-25 80	104	Short-Term
SYMC	SYMANTEC CORP CMN	16-Sep-2008	09-Feb-2010	11	187 56	222 475	-34 91	511	Long-Term
INTU	INTUIT INC CMN	26-Dec-2008	09-Feb-2010	21	614 29	476 887	137 40	410	Long-Term
SYMC	SYMANTEC CORP CMN	23-Jun-2008	09-Feb-2010	30	511 54	586 702	-75 16	596	Long-Term
INTU	INTUIT INC CMN	15-Dec-2008	09-Feb-2010	5	146 26	113 331	32 93	421	Long-Term
APOL	APOLLO GROUP CLASS A COMMON STOCK	28-Oct-2009	10-Feb-2010	13	761 85	790 721	-28 87	105	Short-Term
APOL	APOLLO GROUP CLASS A COMMON STOCK	28-Oct-2009	11-Feb-2010	12	697 19	729 896	-32 71	106	Short-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	02-Dec-2008	11-Feb-2010	37	279 87	226 807	53 06	436	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	23-Jul-2008	11-Feb-2010	56	423 58	698 170	-274 58	568	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	28-Jul-2008	11-Feb-2010	63	476 53	754 610	-278 08	563	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	02-Oct-2008	11-Feb-2010	79	597 56	892 960	-295 40	497	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	09-Oct-2008	11-Feb-2010	69	521 92	587 530	-65 61	490	Long-Term

APOL	APOLLO GROUP CLASS A COMMON STOCK	28-Oct-2009	12-Feb-2010	12	704 95	729 896	-24 95	107	Short-Term
APOL	APOLLO GROUP CLASS A COMMON STOCK	28-Oct-2009	16-Feb-2010	14	834 86	851 545	-16 68	111	Short-Term
SYMC	SYMANTEC CORP CMN	06-Oct-2008	17-Feb-2010	50	846 74	812 468	34 27	499	Long-Term
SYMC	SYMANTEC CORP CMN	16-Sep-2008	17-Feb-2010	1	16 94	20 225	-3 29	519	Long-Term
BRKB	BERKSHIRE HATHAWAY INC CLASS B	14-Nov-2008	17-Feb-2010	19	1,442 29	1,285 198	157 09	460	Long-Term
TWX	TIME WARNER INC CMN	23-Jul-2007	18-Feb-2010	10	289 57	424 822	-135 25	941	Long-Term
HES	HESS CORPORATION CMN	22-Apr-2009	18-Feb-2010	4	240 95	202 556	38 40	302	Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	23-Feb-2009	18-Feb-2010	13	912 53	606 404	306 13	360	Short-Term
HES	HESS CORPORATION CMN	23-Apr-2009	18-Feb-2010	18	1,084 29	922 505	161 78	301	Short-Term
TWX	TIME WARNER INC CMN	08-Aug-2007	18-Feb-2010	4	115 83	155 118	-39 29	925	Long-Term
SII	SMITH INTERNATIONAL INC CMN	18-Nov-2009	22-Feb-2010	189	7,644 08	5,279 485	2,364 60	96	Short-Term
SII	SMITH INTERNATIONAL INC CMN	18-Nov-2009	23-Feb-2010	63	2,556 92	1,759 828	797 09	97	Short-Term
SLB	SCHLUMBERGER LTD CMN	17-Apr-2009	23-Feb-2010	11	668 53	506 511	162 02	312	Short-Term
SLB	SCHLUMBERGER LTD CMN	17-Apr-2009	24-Feb-2010	2	121 32	92 093	29 23	313	Short-Term
SLB	SCHLUMBERGER LTD CMN	15-Jul-2009	24-Feb-2010	18	1,091 92	975 265	116 66	224	Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	23-Feb-2009	25-Feb-2010	7	474 48	326 525	147 96	367	Long-Term
SLB	SCHLUMBERGER LTD CMN	15-Jul-2009	25-Feb-2010	19	1,141 63	1,029 447	112 18	225	Short-Term
HES	HESS CORPORATION CMN	23-Apr-2009	25-Feb-2010	12	693 89	615 004	78 89	308	Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	24-Feb-2009	25-Feb-2010	6	406 70	276 068	130 63	366	Long-Term
SLB	SCHLUMBERGER LTD CMN	15-Jul-2009	26-Feb-2010	6	366 00	325 088	40 91	226	Short-Term
SLB	SCHLUMBERGER LTD CMN	12-Aug-2009	26-Feb-2010	13	792 99	703 620	89 37	198	Short-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	05-Aug-2008	26-Feb-2010	3	90 20	83 398	6 80	570	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	02-Dec-2008	26-Feb-2010	78	606 91	478 134	128 78	451	Long-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	06-Aug-2008	26-Feb-2010	15	450 98	423 125	27 86	569	Long-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	06-Aug-2008	01-Mar-2010	38	1,150 78	1,071 915	78 86	572	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	02-Dec-2008	01-Mar-2010	61	474 75	373 925	100 82	454	Long-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	09-Jan-2009	01-Mar-2010	15	454 25	359 537	94 72	416	Long-Term
BRKB	BERKSHIRE HATHAWAY INC CLASS B	14-Nov-2008	02-Mar-2010	7	573 04	473 494	99 54	473	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	02-Dec-2008	02-Mar-2010	73	566 18	447 484	118 70	455	Long-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	09-Jan-2009	02-Mar-2010	32	977 12	767 013	210 10	417	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	30-Apr-2009	02-Mar-2010	16	124 10	135 563	-11 47	306	Short-Term
BRKB	BERKSHIRE HATHAWAY INC CLASS B	20-Nov-2008	02-Mar-2010	4	327 45	216 766	110 68	467	Long-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	15-Jan-2009	02-Mar-2010	7	213 74	162 607	51 14	411	Long-Term
LUV	SOUTHWEST AIRLINES CO CMN	05-Feb-2009	03-Mar-2010	19	239 98	128 571	111 41	391	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	20-Oct-2009	03-Mar-2010	1	7 87	8 540	-0 67	134	Short-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	15-Jan-2009	03-Mar-2010	36	1,113 19	836 263	276 93	412	Long-Term
BRKB	BERKSHIRE HATHAWAY INC CLASS B	20-Nov-2008	03-Mar-2010	7	573 07	379 341	193 73	468	Long-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	06-Feb-2009	03-Mar-2010	4	123 69	86 159	37 53	390	Long-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	30-Apr-2009	03-Mar-2010	91	716 38	771 017	-54 64	307	Short-Term
NEM	NEWMONT MINING CORPORATION CMN	22-Sep-2009	04-Mar-2010	23	1,166 72	1,048 349	118 37	163	Short-Term
SII	SMITH INTERNATIONAL INC CMN	19-Nov-2009	04-Mar-2010	6	252 96	161 816	91 15	105	Short-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	06-Feb-2009	04-Mar-2010	39	1,198 44	840 052	358 39	391	Long-Term
LUV	SOUTHWEST AIRLINES CO CMN	05-Feb-2009	04-Mar-2010	51	641 46	345 111	296 35	392	Long-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	30-Jun-2009	04-Mar-2010	4	132 31	99 586	32 72	247	Short-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	20-Oct-2009	04-Mar-2010	124	981 68	1,058 973	-77 29	135	Short-Term
SII	SMITH INTERNATIONAL INC CMN	18-Nov-2009	04-Mar-2010	15	632 41	419 007	213 40	106	Short-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	29-Jun-2009	04-Mar-2010	38	1,256 90	949 935	306 97	248	Short-Term
EP	EL PASO CORP CMN	13-Nov-2008	05-Mar-2010	2	22 80	14 346	8 45	477	Long-Term
SII	SMITH INTERNATIONAL INC CMN	19-Nov-2009	05-Mar-2010	26	1,113 79	701 204	412 58	106	Short-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	10-Feb-2009	05-Mar-2010	15	465 40	316 273	149 13	388	Long-Term
SII	SMITH INTERNATIONAL INC CMN	20-Nov-2009	05-Mar-2010	13	556 89	348 226	208 67	105	Short-Term
BSX	BOSTON SCIENTIFIC CORP COMMON STOCK	20-Oct-2009	05-Mar-2010	152	1,198 39	1,298 097	-99 71	136	Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	24-Feb-2009	05-Mar-2010	16	1,118 46	736 180	382 28	374	Long-Term
EP	EL PASO CORP CMN	18-Sep-2008	05-Mar-2010	50	569 97	652 670	-82 70	533	Long-Term
BHI	BAKER HUGHES INC CMN	18-Sep-2009	05-Mar-2010	15	761 29	637 735	123 56	168	Short-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	06-Feb-2009	05-Mar-2010	4	124 11	86 159	37 95	392	Long-Term
BHI	BAKER HUGHES INC CMN	18-Sep-2009	08-Mar-2010	16	810 76	680 251	130 51	171	Short-Term
SII	SMITH INTERNATIONAL INC CMN	20-Nov-2009	08-Mar-2010	17	735 60	455 372	280 23	108	Short-Term
EP	EL PASO CORP CMN	21-Nov-2008	08-Mar-2010	2	22 77	11 558	11 22	472	Long-Term
UN	UNILEVER N V NY SHS (NEW) ADR CMN	10-Feb-2009	08-Mar-2010	20	620 42	421 697	198 72	391	Long-Term
EP	EL PASO CORP CMN	13-Nov-2008	08-Mar-2010	58	660 41	416 044	244 36	480	Long-Term
SII	SMITH INTERNATIONAL INC CMN	20-Nov-2009	09-Mar-2010	17	736 20	455 372	280 83	109	Short-Term

< Previous Page 5 of 8 Next > Go to page.

90

Global Manager StrategiesSM and GMS Alpha+SM are registered service marks of Goldman, Sachs & Co

N Recent news is available regarding this company G Goldman Sachs has recently published research regarding this company News and Research indicators are based on Eastern Standard Time (United States)

The Bank Deposit Account (BDA), Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, a member of the FDIC Bank Deposits, Certificates of Deposit and Term Deposits will be held in your Goldman, Sachs & Co account and are FDIC-Insured, up to a maximum of \$250,000 Note that the maximum is the total protection



PRIVATE WEALTH MANAGEMENT

Log Out

[Statements](#) [Tax Center](#) [Fund Correspondence](#) [E-Delivery](#) [Change Password](#) [Change E-mail](#)
Realized Gains/Losses 1099**Zimmer Boles Fdn #2 Sound Shore 038-08687-2**

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March If you are issued a revised Form 1099 it will be posted separately from your original Form 1099 Please note that we will only furnish the IRS with the final version of your 1099s If you have any questions, please contact your Private Wealth Management team

Separate Account Strategy : Sound Shore Large Cap Value
Base Currency USD

The Tax Center contains the following information about your account(s) if applicable

- **Realized Gains and Losses.** See your realized gains and losses
- **1099s.** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab

Select an Account or Group

Zimmer Boles Fdn #2 Sound 038-08687-2

2 Choose An Existing View

go | Realized Gains/Losses go
 > [Customize](#) this view or
 > [Create One](#)

Choose a Date Range

From Date | 01-Aug-2009
 To Date | 31-Jul-2010

4 Search By Symbol/CUSIP

go | Include Options ☐

Gain Type	Gains	Losses	Total
<u>Short-Term</u>	36,731.45	-5,827.10	30,904.35
<u>Long-Term</u>	15,963.90	-18,435.00	-2,471.11
Total Realized Gains/Losses:	52,695.34	-24,262.10	28,433.25

< [Previous](#) Page 6 of 8 [Next](#) > Go to page:

go

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
INTU	INTUIT INC CMN	26-Dec-2008	09-Mar-2010	15	509.50	340.633	168.86	438	Long-Term
EP	EL PASO CORP CMN	21-Nov-2008	09-Mar-2010	61	688.40	352.531	335.87	473	Long-Term
BHI	BAKER HUGHES INC CMN	18-Sep-2009	09-Mar-2010	12	600.93	510.188	90.74	172	Short-Term
SII	SMITH INTERNATIONAL INC CMN	23-Nov-2009	09-Mar-2010	1	43.31	27.614	15.69	106	Short-Term
INTU	INTUIT INC CMN	29-Dec-2008	09-Mar-2010	5	169.83	112.561	57.27	435	Long-Term
BRKB	BERKSHIRE HATHAWAY INC CLASS B	20-Nov-2008	10-Mar-2010	15	1,234.18	812.874	421.31	475	Long-Term
SII	SMITH INTERNATIONAL INC CMN	23-Nov-2009	10-Mar-2010	23	994.02	635.124	358.90	107	Short-Term
SII	SMITH INTERNATIONAL INC CMN	23-Nov-2009	11-Mar-2010	15	645.37	414.212	231.15	108	Short-Term
HES	HESS CORPORATION CMN	24-Apr-2009	11-Mar-2010	12	729.94	676.460	53.48	321	Short-Term
LUV	SOUTHWEST AIRLINES CO CMN	05-Feb-2009	11-Mar-2010	32	408.26	216.541	191.72	399	Long-Term
NEM	NEWMONT MINING CORPORATION CMN	22-Sep-2009	11-Mar-2010	11	552.57	501.384	51.19	170	Short-Term
HES	HESS CORPORATION CMN	27-Apr-2009	11-Mar-2010	3	182.48	165.267	17.22	318	Short-Term
LUV	SOUTHWEST AIRLINES CO CMN	06-Feb-2009	11-Mar-2010	6	76.55	44.112	32.44	398	Long-Term
SII	SMITH INTERNATIONAL INC CMN	14-Jan-2010	11-Mar-2010	1	43.02	30.458	12.57	56	Short-Term
HES	HESS CORPORATION CMN	23-Apr-2009	11-Mar-2010	4	243.31	205.001	38.31	322	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	17-Oct-2007	12-Mar-2010	18	312.94	432.900	-119.96	877	Long-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	25-Oct-2007	12-Mar-2010	25	434.63	529.724	-95.09	869	Long-Term
SII	SMITH INTERNATIONAL INC CMN	14-Jan-2010	12-Mar-2010	17	739.20	517.788	221.41	57	Short-Term
EP	EL PASO CORP CMN	21-Nov-2008	15-Mar-2010	43	475.31	248.506	226.80	478	Long-Term
LUV	SOUTHWEST AIRLINES CO CMN	06-Feb-2009	15-Mar-2010	28	360.38	205.857	154.52	401	Long-Term
SII	SMITH INTERNATIONAL INC CMN	14-Jan-2010	15-Mar-2010	3	129.52	91.374	38.14	59	Short-Term
SII	SMITH INTERNATIONAL INC CMN	26-Jan-2010	15-Mar-2010	20	863.44	617.540	245.90	47	Short-Term
BRKB	BERKSHIRE HATHAWAY INC CLASS B	20-Nov-2008	18-Mar-2010	14	1,150.55	758.683	391.87	482	Long-Term
BRKB	BERKSHIRE HATHAWAY INC CLASS B	27-Jan-2009	19-Mar-2010	5	409.91	284.041	125.87	415	Long-Term
BRKB	BERKSHIRE HATHAWAY INC CLASS B	20-Nov-2008	19-Mar-2010	10	819.81	541.916	277.90	483	Long-Term
MMC	MARSH & MCLENNAN CO INC CMN	05-Nov-2008	24-Mar-2010	8	196.27	213.112	-16.84	503	Long-Term
BRKB	BERKSHIRE HATHAWAY INC CLASS B	27-Jan-2009	24-Mar-2010	15	1,224.42	852.123	372.30	420	Long-Term
MMC	MARSH & MCLENNAN CO INC CMN	22-Oct-2008	24-Mar-2010	5	122.67	134.921	-12.25	518	Long-Term
MMC	MARSH & MCLENNAN CO INC CMN	28-Oct-2008	24-Mar-2010	18	441.62	475.610	-34.00	512	Long-Term
BRKB	BERKSHIRE HATHAWAY INC CLASS B	27-Jan-2009	25-Mar-2010	15	1,228.95	852.123	376.83	421	Long-Term
MMC	MARSH & MCLENNAN CO INC CMN	05-Nov-2008	25-Mar-2010	31	762.41	825.808	-63.40	504	Long-Term
MMC	MARSH & MCLENNAN CO INC CMN	06-Nov-2008	25-Mar-2010	20	491.88	510.497	-18.62	503	Long-Term
BRKB	BERKSHIRE HATHAWAY INC CLASS B	27-Jan-2009	26-Mar-2010	15	1,226.48	852.123	374.36	422	Long-Term
EBAY	EBAY INC CMN	06-Jan-2010	26-Mar-2010	13	356.59	306.490	50.10	78	Short-Term
EBAY	EBAY INC CMN	06-Jan-2010	29-Mar-2010	17	464.05	400.795	63.26	81	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	25-Oct-2007	07-Apr-2010	30	553.26	635.670	-82.41	895	Long-Term
NEM	NEWMONT MINING CORPORATION CMN	22-Sep-2009	08-Apr-2010	12	644.38	546.965	97.42	198	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	25-Oct-2007	09-Apr-2010	33	610.11	699.236	-89.13	897	Long-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	26-Oct-2007	09-Apr-2010	27	499.18	573.762	-74.58	896	Long-Term

NEM	NEWMONT MINING CORPORATION CMN	22-Sep-2009	12-Apr-2010	6	322 53	273 482	49 05	202	Short-Term
VLO	VALERO ENERGY CORPORATION CMN	25-Sep-2009	12-Apr-2010	45	889 03	882 586	6 44	199	Short-Term
NEM	NEWMONT MINING CORPORATION CMN	23-Sep-2009	12-Apr-2010	7	376 29	313 032	63 26	201	Short-Term
C	CITIGROUP INC CMN	21-Sep-2009	13-Apr-2010	246	1,138 15	1,083 580	54 57	204	Short-Term
FLEX	FLEXTRONICS INTERNATIONAL LTD CMN	14-Aug-2008	13-Apr-2010	11	87 52	102 738	-15 22	607	Long-Term
BHI	BAKER HUGHES INC CMN	18-Sep-2009	13-Apr-2010	21	1,003 34	892 829	110 51	207	Short-Term
NEM	NEWMONT MINING CORPORATION CMN	23-Sep-2009	13-Apr-2010	6	318 48	268 313	50 17	202	Short-Term
FLEX	FLEXTRONICS INTERNATIONAL LTD CMN	13-Aug-2008	13-Apr-2010	22	175 05	202 252	-27 20	608	Long-Term
TWX	TIME WARNER INC CMN	08-Aug-2007	14-Apr-2010	12	391 41	465 355	-73 95	980	Long-Term
TWX	TIME WARNER INC CMN	19-Nov-2007	14-Apr-2010	37	1,206 84	1,335 998	-129 16	876	Long-Term
C	CITIGROUP INC CMN	21-Sep-2009	15-Apr-2010	149	732 12	656 314	75 81	206	Short-Term
TWX	TIME WARNER INC CMN	13-Nov-2008	15-Apr-2010	10	328 65	180 961	147 68	517	Long-Term
TWX	TIME WARNER INC CMN	19-Nov-2007	15-Apr-2010	12	394 38	433 297	-38 92	877	Long-Term
TWX	TIME WARNER INC CMN	27-Nov-2007	15-Apr-2010	15	492 97	508 176	-15 21	869	Long-Term
WPO	WASHINGTON POST CO CL B CMN CLASS B	03-Jan-2007	15-Apr-2010	1	537 37	748 670	-211 30	1,197	Long-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	30-Jun-2009	16-Apr-2010	21	645 26	522 824	122 44	290	Short-Term
WPO	WASHINGTON POST CO CL B CMN CLASS B	10-Jan-2007	19-Apr-2010	1	530 62	760 280	-229 66	1,194	Long-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	30-Jun-2009	19-Apr-2010	14	437 34	348 550	88 79	293	Short-Term
WPO	WASHINGTON POST CO CL B CMN CLASS B	22-Jan-2007	19-Apr-2010	1	530 62	753 380	-222 76	1,182	Long-Term
FLEX	FLEXTRONICS INTERNATIONAL LTD CMN	14-Aug-2008	19-Apr-2010	57	440 89	532 372	-91 48	613	Long-Term
COP	CONOCOPHILLIPS CMN	08-May-2009	19-Apr-2010	21	1,175 59	972 138	203 45	346	Short-Term
TXN	TEXAS INSTRUMENTS INC CMN	22-Jul-2008	19-Apr-2010	37	971 53	888 202	83 33	636	Long-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	01-Jul-2009	19-Apr-2010	1	31 24	25 242	6 00	292	Short-Term
TXN	TEXAS INSTRUMENTS INC CMN	23-Jul-2008	19-Apr-2010	3	78 77	74 377	4 40	635	Long-Term
FLEX	FLEXTRONICS INTERNATIONAL LTD CMN	27-Aug-2008	19-Apr-2010	8	61 88	71 795	-9 92	600	Long-Term
TXN	TEXAS INSTRUMENTS INC CMN	23-Jul-2008	20-Apr-2010	43	1,143 98	1,066 067	77 91	636	Long-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	01-Jul-2009	20-Apr-2010	39	1,202 31	984 448	217 86	293	Short-Term
WPO	WASHINGTON POST CO CL B CMN CLASS B	23-Jan-2007	20-Apr-2010	1	536 51	758 635	-222 12	1,182	Long-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	09-Jul-2009	20-Apr-2010	5	154 14	123 503	30 64	285	Short-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	09-Jul-2009	21-Apr-2010	13	395 88	321 107	74 78	286	Short-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	14-Jul-2009	21-Apr-2010	18	548 15	445 580	102 57	281	Short-Term
COP	CONOCOPHILLIPS CMN	13-May-2009	21-Apr-2010	15	855 07	675 514	179 56	343	Short-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	21-Jul-2009	21-Apr-2010	6	182 72	151 840	30 88	274	Short-Term
COP	CONOCOPHILLIPS CMN	08-May-2009	21-Apr-2010	10	570 05	462 923	107 12	348	Short-Term
C	CITIGROUP INC CMN	21-Sep-2009	22-Apr-2010	183	885 70	806 078	79 62	213	Short-Term
BAC	BANK OF AMERICA CORP CMN	04-Dec-2009	22-Apr-2010	73	1,336 37	1,170 206	166 16	138	Short-Term
TWX	TIME WARNER INC CMN	13-Nov-2008	22-Apr-2010	38	1,255 72	687 652	568 06	524	Long-Term
TWX	TIME WARNER INC CMN	11-Feb-2009	22-Apr-2010	3	99 14	54 488	44 65	434	Long-Term
MMC	MARSH & MCLENNAN CO INC CMN	06-Nov-2008	23-Apr-2010	11	271 59	280 773	-9 18	532	Long-Term
TWX	TIME WARNER INC CMN	11-Feb-2009	23-Apr-2010	19	628 33	345 088	283 24	435	Long-Term
MMC	MARSH & MCLENNAN CO INC CMN	07-Nov-2008	23-Apr-2010	34	839 45	844 790	-5 34	531	Long-Term
TWX	TIME WARNER INC CMN	24-Feb-2009	23-Apr-2010	20	661 40	334 914	326 49	422	Long-Term
INTU	INTUIT INC CMN	29-Dec-2008	26-Apr-2010	9	326 05	202 609	123 44	482	Long-Term
IVZ	INVESCO LTD CMN	19-May-2009	26-Apr-2010	29	632 90	420 862	212 04	342	Short-Term
INTU	INTUIT INC CMN	28-Jan-2009	26-Apr-2010	3	108 68	70 886	37 80	452	Long-Term
INTU	INTUIT INC CMN	04-Feb-2009	27-Apr-2010	19	685 73	451 665	234 06	446	Long-Term
BHI	BAKER HUGHES INC CMN	18-Sep-2009	27-Apr-2010	19	992 02	807 797	184 22	221	Short-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	21-Jul-2009	27-Apr-2010	21	629 87	531 441	98 43	280	Short-Term
EP	EL PASO CORP CMN	21-Nov-2008	27-Apr-2010	43	523 35	248 505	274 84	521	Long-Term
IVZ	INVESCO LTD CMN	19-May-2009	27-Apr-2010	24	506 46	348 300	158 16	343	Short-Term
INTU	INTUIT INC CMN	28-Jan-2009	27-Apr-2010	11	397 00	259 914	137 09	453	Long-Term
EP	EL PASO CORP CMN	21-Nov-2008	28-Apr-2010	13	156 32	75 130	81 19	522	Long-Term
INTU	INTUIT INC CMN	06-Feb-2009	28-Apr-2010	14	501 84	341 467	160 38	445	Long-Term
EP	EL PASO CORP CMN	07-Apr-2009	28-Apr-2010	64	769 58	419 759	349 82	386	Long-Term
INTU	INTUIT INC CMN	04-Feb-2009	28-Apr-2010	3	107 54	71 315	36 22	447	Long-Term
INTU	INTUIT INC CMN	06-Feb-2009	29-Apr-2010	19	685 59	463 420	222 17	446	Long-Term
COP	CONOCOPHILLIPS CMN	13-May-2009	30-Apr-2010	6	351 59	270 206	81 38	352	Short-Term
COP	CONOCOPHILLIPS CMN	19-May-2009	30-Apr-2010	3	175 79	138 171	37 62	346	Short-Term
EP	EL PASO CORP CMN	07-Apr-2009	03-May-2010	22	267 61	144 292	123 32	391	Long-Term
COP	CONOCOPHILLIPS CMN	28-May-2009	04-May-2010	2	115 82	91 279	24 54	341	Short-Term
COP	CONOCOPHILLIPS CMN	19-May-2009	04-May-2010	21	1,216 16	967 199	248 96	350	Short-Term
VLO	VALERO ENERGY CORPORATION CMN	25-Sep-2009	04-May-2010	31	634 93	608 004	26 93	221	Short-Term

< Previous Page 6 of 8 Next > Go to page:

99

- Global Manager StrategiesSM and GMS Alpha+SM are registered service marks of Goldman, Sachs & Co

- N Recent news is available regarding this company G Goldman Sachs has recently published research regarding this company News and Research indicators are based on Eastern Standard Time (United States)

- The Bank Deposit Account (BDA), Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, a member of the FDIC Bank Deposits, Certificates of Deposit and Term Deposits will be held in your Goldman, Sachs & Co account and are FDIC-Insured, up to a maximum of \$250,000 Note that the maximum is the total protection



PRIVATE WEALTH MANAGEMENT

[Statements](#) [Tax Center](#) [Fund Correspondence](#) [E-Delivery](#) [Change Password](#) [Change E-mail](#)
[Log Out](#)**Realized Gains/Losses 1099****Zimmel Boles Fdn #2 Sound Shore 038-08687-2**

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March If you are issued a revised Form 1099 it will be posted separately from your original Form 1099 Please note that we will only furnish the IRS with the final version of your 1099s If you have any questions, please contact your Private Wealth Management team

Separate Account Strategy : Sound Shore Large Cap Value
Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable

- **Realized Gains and Losses.** See your realized gains and losses
- **1099s** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab

Select an Account or Group

Zimmel Boles Fdn #2 Sound 038-08687-2

2 Choose An Existing View

Realized Gains/Losses

> [Customize](#) this view or
 > [Create One](#)

Choose a Date Range

From Date 01-Aug-2009

To Date 31-Jul-2010

4 Search By Symbol/CUSIP

Include Options ☐

Gain Type	Gains	Losses	Total
<u>Short-Term</u>	36,731 45	-5,827 10	30,904 35
<u>Long-Term</u>	15,963 90	-18,435 00	-2,471 11
Total Realized Gains/Losses:	52,695.34	-24,262 10	28,433.25

< [Previous](#) Page 7 of 8 [Next](#) > Go to page:**Realized Gains/Losses**

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
TWX	TIME WARNER INC CMN	02-Mar-2009	04-May-2010	18	593 60	278 864	314 74	427	Long-Term
TWX	TIME WARNER INC CMN	24-Feb-2009	04-May-2010	21	692 54	351 660	340 88	433	Long-Term
INTU	INTUIT INC CMN	06-Feb-2009	04-May-2010	7	253 15	170 733	82 42	451	Long-Term
INTU	INTUIT INC CMN	20-Feb-2009	04-May-2010	6	216 99	135 606	81 38	437	Long-Term
TWX	TIME WARNER INC CMN	02-Mar-2009	05-May-2010	4	128 47	61 970	66 50	428	Long-Term
TWX	TIME WARNER INC CMN	03-Mar-2009	05-May-2010	33	1,059 90	507 797	552 10	427	Long-Term
HES	HESS CORPORATION CMN	27-Apr-2009	05-May-2010	7	428 46	385 623	42 84	373	Long-Term
HES	HESS CORPORATION CMN	28-Apr-2009	05-May-2010	8	489 67	440 670	49 00	372	Long-Term
HES	HESS CORPORATION CMN	29-Apr-2009	05-May-2010	9	550 88	515 152	35 73	371	Long-Term
INTU	INTUIT INC CMN	20-Feb-2009	17-May-2010	4	142 99	90 404	52 59	450	Long-Term
INTU	INTUIT INC CMN	27-Apr-2009	17-May-2010	13	464 72	303 621	161 10	385	Long-Term
NEM	NEWMONT MINING CORPORATION CMN	24-Sep-2009	18-May-2010	11	620 16	477 887	142 27	236	Short-Term
INTU	INTUIT INC CMN	27-Apr-2009	18-May-2010	15	551 74	350 333	201 41	386	Long-Term
NEM	NEWMONT MINING CORPORATION CMN	23-Sep-2009	18-May-2010	12	676 53	536 625	139 91	237	Short-Term
INTU	INTUIT INC CMN	29-Apr-2009	19-May-2010	9	324 12	208 625	115 49	385	Long-Term
INTU	INTUIT INC CMN	27-Apr-2009	19-May-2010	3	108 04	70 066	37 97	387	Long-Term
INTU	INTUIT INC CMN	28-Apr-2009	19-May-2010	25	900 32	580 440	319 88	386	Long-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	21-Jul-2009	19-May-2010	28	840 73	708 589	132 14	302	Short-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	22-Jul-2009	20-May-2010	31	906 01	811 820	94 19	302	Short-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	23-Jul-2009	20-May-2010	8	233 81	216 758	17 05	301	Short-Term
INTU	INTUIT INC CMN	02-Sep-2009	21-May-2010	11	383 82	305 201	78 62	261	Short-Term
INTU	INTUIT INC CMN	29-Apr-2009	21-May-2010	11	383 82	254 985	128 84	387	Long-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	07-Oct-2009	21-May-2010	53	1,522 81	1,327 310	195 50	226	Short-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	23-Jul-2009	21-May-2010	11	316 05	298 042	18 01	302	Short-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	08-Oct-2009	21-May-2010	13	373 52	311 430	62 09	225	Short-Term
NEM	NEWMONT MINING CORPORATION CMN	24-Sep-2009	24-May-2010	15	798 23	651 664	146 57	242	Short-Term
INTU	INTUIT INC CMN	18-Sep-2009	24-May-2010	10	347 40	276 448	70 95	248	Short-Term
INTU	INTUIT INC CMN	02-Sep-2009	24-May-2010	18	625 31	499 419	125 90	264	Short-Term
NEM	NEWMONT MINING CORPORATION CMN	24-Sep-2009	25-May-2010	2	104 93	86 889	18 04	243	Short-Term
INTU	INTUIT INC CMN	18-Sep-2009	25-May-2010	11	376 30	304 092	72 21	249	Short-Term
NEM	NEWMONT MINING CORPORATION CMN	28-Sep-2009	25-May-2010	22	1,154 21	949 808	204 40	239	Short-Term
INTU	INTUIT INC CMN	29-Sep-2009	25-May-2010	8	273 67	226 975	46 70	238	Short-Term
INTU	INTUIT INC CMN	30-Sep-2009	26-May-2010	16	555 83	452 740	103 09	238	Short-Term
INTU	INTUIT INC CMN	29-Sep-2009	26-May-2010	8	277 91	226 975	50 94	239	Short-Term
INTU	INTUIT INC CMN	05-Oct-2009	26-May-2010	2	69 48	56 003	13 48	233	Short-Term
INTU	INTUIT INC CMN	05-Oct-2009	27-May-2010	10	352 25	280 017	72 23	234	Short-Term
INTU	INTUIT INC CMN	06-Oct-2009	27-May-2010	22	774 94	620 470	154 47	233	Short-Term
QCOM	QUALCOMM INC CMN	14-Oct-2009	28-May-2010	22	782 63	925 088	-142 46	226	Short-Term
QCOM	QUALCOMM INC CMN	14-Oct-2009	01-Jun-2010	23	821 38	967 138	-145 76	230	Short-Term

VLO	VALERO ENERGY CORPORATION CMN	25-Sep-2009	02-Jun-2010	35	613 69	686 456	-72 77	250 Short-Term
QCOM	QUALCOMM INC CMN	14-Oct-2009	02-Jun-2010	11	391 87	462 544	-70 67	231 Short-Term
EBAY	EBAY INC CMN	06-Jan-2010	02-Jun-2010	63	1,319 03	1,485 299	-166 27	146 Short-Term
QCOM	QUALCOMM INC CMN	15-Oct-2009	02-Jun-2010	10	356 25	423 039	-66 79	230 Short-Term
VLO	VALERO ENERGY CORPORATION CMN	25-Sep-2009	03-Jun-2010	8	143 70	156 904	-13 20	251 Short-Term
VLO	VALERO ENERGY CORPORATION CMN	28-Sep-2009	03-Jun-2010	44	790 37	870 010	-79 64	248 Short-Term
VLO	VALERO ENERGY CORPORATION CMN	28-Sep-2009	04-Jun-2010	8	141 15	158 184	-17 03	249 Short-Term
VLO	VALERO ENERGY CORPORATION CMN	29-Sep-2009	07-Jun-2010	55	952 20	1,078 419	-126 22	251 Short-Term
VLO	VALERO ENERGY CORPORATION CMN	28-Sep-2009	07-Jun-2010	2	34 63	39 546	-4 92	252 Short-Term
QCOM	QUALCOMM INC CMN	16-Oct-2009	09-Jun-2010	2	69 91	83 434	-13 52	236 Short-Term
QCOM	QUALCOMM INC CMN	15-Oct-2009	09-Jun-2010	18	629 22	761 471	-132 25	237 Short-Term
QCOM	QUALCOMM INC CMN	16-Oct-2009	10-Jun-2010	17	589 99	709 190	-119 20	237 Short-Term
QCOM	QUALCOMM INC CMN	16-Oct-2009	11-Jun-2010	8	281 25	333 736	-52 49	238 Short-Term
QCOM	QUALCOMM INC CMN	19-Oct-2009	11-Jun-2010	12	421 87	503 396	-81 52	235 Short-Term
EQT	EQT CORPORATION CMN	28-Jul-2009	15-Jun-2010	5	205 90	185 412	20 49	322 Short-Term
EQT	EQT CORPORATION CMN	24-Jul-2009	15-Jun-2010	4	164 72	148 270	16 45	326 Short-Term
EBAY	EBAY INC CMN	06-Jan-2010	15-Jun-2010	9	198 99	212 186	-13 20	159 Short-Term
EBAY	EBAY INC CMN	07-Jan-2010	15-Jun-2010	23	508 52	534 885	-26 36	158 Short-Term
EBAY	EBAY INC CMN	07-Jan-2010	16-Jun-2010	24	533 49	558 140	-24 65	159 Short-Term
EQT	EQT CORPORATION CMN	28-Jul-2009	16-Jun-2010	7	291 21	259 577	31 63	323 Short-Term
EQT	EQT CORPORATION CMN	28-Jul-2009	18-Jun-2010	9	367 53	333 741	33 79	325 Short-Term
EQT	EQT CORPORATION CMN	29-Jul-2009	21-Jun-2010	12	490 28	445 187	45 10	327 Short-Term
EQT	EQT CORPORATION CMN	28-Jul-2009	21-Jun-2010	4	163 43	148 330	15 10	328 Short-Term
HES	HESS CORPORATION CMN	04-May-2009	22-Jun-2010	1	55 22	59 210	-3 99	414 Long-Term
HES	HESS CORPORATION CMN	01-May-2009	22-Jun-2010	9	497 00	502 530	-5 53	417 Long-Term
HES	HESS CORPORATION CMN	29-Apr-2009	22-Jun-2010	2	110 44	114 478	-4 03	419 Long-Term
EQT	EQT CORPORATION CMN	29-Jul-2009	22-Jun-2010	10	395 46	370 990	24 47	328 Short-Term
QCOM	QUALCOMM INC CMN	20-Oct-2009	23-Jun-2010	17	602 33	704 525	-102 20	246 Short-Term
WPO	WASHINGTON POST CO CL B CMN CLASS B	23-Jan-2007	23-Jun-2010	1	437 68	758 635	-320 96	1,246 Long-Term
QCOM	QUALCOMM INC CMN	19-Oct-2009	23-Jun-2010	17	602 33	713 144	-110 81	247 Short-Term
HES	HESS CORPORATION CMN	04-May-2009	24-Jun-2010	8	431 58	473 680	-42 10	416 Long-Term
HES	HESS CORPORATION CMN	06-May-2009	24-Jun-2010	7	377 64	430 000	-52 36	414 Long-Term
HES	HESS CORPORATION CMN	07-May-2009	24-Jun-2010	6	323 69	369 491	-45 80	413 Long-Term
EQT	EQT CORPORATION CMN	29-Jul-2009	24-Jun-2010	8	304 11	296 792	7 32	330 Short-Term
QCOM	QUALCOMM INC CMN	20-Oct-2009	24-Jun-2010	13	452 30	538 755	-86 46	247 Short-Term
QCOM	QUALCOMM INC CMN	27-Oct-2009	24-Jun-2010	19	661 05	781 167	-120 12	240 Short-Term
HES	HESS CORPORATION CMN	02-Jun-2009	25-Jun-2010	15	807 24	924 262	-117 02	388 Long-Term
WPO	WASHINGTON POST CO CL B CMN CLASS B	25-Jan-2007	25-Jun-2010	1	431 84	758 570	-326 73	1,246 Long-Term
QCOM	QUALCOMM INC CMN	27-Oct-2009	25-Jun-2010	8	276 88	328 913	-52 04	241 Short-Term
QCOM	QUALCOMM INC CMN	29-Oct-2009	25-Jun-2010	18	622 97	760 580	-137 61	239 Short-Term
HES	HESS CORPORATION CMN	07-May-2009	25-Jun-2010	5	269 08	307 909	-38 83	414 Long-Term
QCOM	QUALCOMM INC CMN	05-Nov-2009	25-Jun-2010	6	207 66	260 270	-52 61	231 Short-Term
QCOM	QUALCOMM INC CMN	24-Nov-2009	28-Jun-2010	7	240 11	318 882	-78 77	215 Short-Term
QCOM	QUALCOMM INC CMN	05-Nov-2009	28-Jun-2010	12	411 62	520 540	-108 92	234 Short-Term
QCOM	QUALCOMM INC CMN	09-Nov-2009	28-Jun-2010	12	411 62	534 920	-123 30	230 Short-Term
SUN	SUNOCO INC CMN	30-Mar-2010	29-Jun-2010	15	526 01	432 389	93 62	91 Short-Term
EQT	EQT CORPORATION CMN	30-Jul-2009	29-Jun-2010	1	36 64	38 177	-1 54	334 Short-Term
EQT	EQT CORPORATION CMN	29-Jul-2009	29-Jun-2010	8	293 12	296 791	-3 67	335 Short-Term
HES	HESS CORPORATION CMN	02-Jun-2009	29-Jun-2010	9	460 91	554 558	-93 64	392 Long-Term
VLO	VALERO ENERGY CORPORATION CMN	29-Sep-2009	29-Jun-2010	4	71 65	78 431	-6 78	273 Short-Term
VLO	VALERO ENERGY CORPORATION CMN	30-Sep-2009	29-Jun-2010	14	250 76	271 489	-20 73	272 Short-Term
HES	HESS CORPORATION CMN	05-Jun-2009	29-Jun-2010	10	512 13	588 493	-76 37	389 Long-Term
SUN	SUNOCO INC CMN	30-Mar-2010	30-Jun-2010	11	388 18	317 085	71 10	92 Short-Term
MMC	MARSH & MCLENNAN CO INC CMN	13-Nov-2008	30-Jun-2010	23	524 17	531 865	-7 70	593 Long-Term
MMC	MARSH & MCLENNAN CO INC CMN	07-Nov-2008	30-Jun-2010	6	136 74	149 080	-12 34	599 Long-Term
VLO	VALERO ENERGY CORPORATION CMN	30-Sep-2009	30-Jun-2010	2	36 38	38 784	-2 40	273 Short-Term
SUN	SUNOCO INC CMN	30-Mar-2010	01-Jul-2010	7	234 32	201 782	32 54	93 Short-Term
BHI	BAKER HUGHES INC CMN	18-Sep-2009	01-Jul-2010	11	457 71	467 672	-9 96	286 Short-Term
NEM	NEWMONT MINING CORPORATION CMN	29-Sep-2009	01-Jul-2010	8	471 70	351 571	120 13	275 Short-Term
QCOM	QUALCOMM INC CMN	03-Mar-2010	01-Jul-2010	9	289 41	347 549	-58 14	119 Short-Term
NEM	NEWMONT MINING CORPORATION CMN	28-Sep-2009	01-Jul-2010	4	235 85	172 692	63 16	276 Short-Term
QCOM	QUALCOMM INC CMN	24-Nov-2009	01-Jul-2010	4	128 63	182 218	-53 59	218 Short-Term

< Previous Page 7 of 8 Next > Go to page:

99

- Global Manager StrategiesSM and GMS Alpha+SM are registered service marks of Goldman, Sachs & Co

- N Recent news is available regarding this company G Goldman Sachs has recently published research regarding this company News and Research Indicators are based on Eastern Standard Time (United States)

- The Bank Deposit Account (BDA), Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, a member of the FDIC Bank Deposits, Certificates of Deposit and Term Deposits will be held in your Goldman, Sachs & Co account and are FDIC-Insured, up to a maximum of \$250,000 Note that the maximum is the total protection



PRIVATE WEALTH MANAGEMENT

Log Out

[Statements](#) [Tax Center](#) [Fund Correspondence](#) [E-Delivery](#) [Change Password](#) [Change E-mail](#)
Realized Gains/Losses 1099**Zimmer Boles Fdn #2 Sound Shore 038-08687-2**

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March If you are issued a revised Form 1099 it will be posted separately from your original Form 1099 Please note that we will only furnish the IRS with the final version of your 1099s If you have any questions, please contact your Private Wealth Management team

Separate Account Strategy : Sound Shore: Large Cap Value
Base Currency :USD

The Tax Center contains the following information about your account(s) if applicable

- **Realized Gains and Losses.** See your realized gains and losses
- **1099s.** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab

Select an Account or Group

Zimmer Boles Fdn #2 Sound 038-08687-2

2 Choose An Existing View

go Realized Gains/Losses
 > [Customize](#) this view or
 > [Create One](#)

Choose a Date Range

From Date | 01-Aug-2009
 To Date | 31-Jul-2010

4 Search By Symbol/CUSIP

go Include Options ☐

Gain Type	Gains	Losses	Total
Short-Term	36,731 45	-5,827 10	30,904 35
Long-Term	15,963 90	-18,435 00	-2,471 11
Total Realized Gains/Losses:	52,695 34	-24,262.10	28,433 25

< [Previous](#) Page 8 of 8 Go to page: | go

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered (A)	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
NEM	NEWMONT MINING CORPORATION CMN	29-Sep-2009	02-Jul-2010	6	357 65	263 678	93 97	276	Short-Term
QCOM	QUALCOMM INC CMN	10-Mar-2010	06-Jul-2010	1	32 74	38 933	-6 20	117	Short-Term
QCOM	QUALCOMM INC CMN	03-Mar-2010	06-Jul-2010	11	360 10	424 781	-64 68	124	Short-Term
QCOM	QUALCOMM INC CMN	10-Mar-2010	07-Jul-2010	14	460 98	545 067	-84 09	118	Short-Term
WPO	WASHINGTON POST CO CL B CMN CLASS B	26-Jan-2007	08-Jul-2010	1	418 94	761 445	-342 50	1,258	Long-Term
QCOM	QUALCOMM INC CMN	10-Mar-2010	08-Jul-2010	6	202 65	233 600	-30 95	119	Short-Term
QCOM	QUALCOMM INC CMN	17-Mar-2010	08-Jul-2010	7	236 42	271 869	-35 45	113	Short-Term
EQT	EQT CORPORATION CMN	30-Jul-2009	09-Jul-2010	6	222 00	229 063	-7 06	344	Short-Term
HES	HESS CORPORATION CMN	09-Jul-2009	09-Jul-2010	3	160 13	145 963	14 17	365	Short-Term
QCOM	QUALCOMM INC CMN	17-Mar-2010	09-Jul-2010	13	439 65	504 901	-65 25	114	Short-Term
VLO	VALERO ENERGY CORPORATION CMN	30-Sep-2009	09-Jul-2010	22	391 34	426 627	-35 28	282	Short-Term
VLO	VALERO ENERGY CORPORATION CMN	05-Oct-2009	09-Jul-2010	5	88 94	94 604	-5 66	277	Short-Term
HES	HESS CORPORATION CMN	18-Jun-2009	09-Jul-2010	15	800 66	814 660	-14 00	386	Long-Term
VLO	VALERO ENERGY CORPORATION CMN	01-Oct-2009	09-Jul-2010	25	444 71	483 750	-39 04	281	Short-Term
HES	HESS CORPORATION CMN	05-Jun-2009	09-Jul-2010	5	266 89	294 247	-27 36	399	Long-Term
HES	HESS CORPORATION CMN	09-Jul-2009	12-Jul-2010	4	212 91	194 617	18 29	368	Long-Term
HES	HESS CORPORATION CMN	03-Aug-2009	12-Jul-2010	7	372 59	401 628	-29 04	343	Short-Term
HES	HESS CORPORATION CMN	12-Aug-2009	13-Jul-2010	5	272 31	268 741	3 56	335	Short-Term
HES	HESS CORPORATION CMN	03-Aug-2009	13-Jul-2010	4	217 84	229 502	-11 66	344	Short-Term
HES	HESS CORPORATION CMN	12-Aug-2009	14-Jul-2010	6	322 09	322 489	-0 40	336	Short-Term
HES	HESS CORPORATION CMN	13-Aug-2009	14-Jul-2010	2	107 36	105 378	1 98	335	Short-Term
HES	HESS CORPORATION CMN	13-Aug-2009	15-Jul-2010	8	421 99	421 514	0 48	336	Short-Term
GENZ	GENZYME CORP CMN	26-Aug-2009	15-Jul-2010	9	482 44	503 469	-21 03	323	Short-Term
HES	HESS CORPORATION CMN	13-Aug-2009	16-Jul-2010	3	157 54	158 068	-0 52	337	Short-Term
EQT	EQT CORPORATION CMN	30-Jul-2009	16-Jul-2010	7	254 51	267 241	-12 73	351	Short-Term

BHI	BAKER HUGHES INC CMN	18-Sep-2009	16-Jul-2010	14	644 14	595 220	48 92	301	Short-Term
HES	HESS CORPORATION CMN	21-Aug-2009	16-Jul-2010	1	52 52	52 185	0 33	329	Short-Term
HES	HESS CORPORATION CMN	21-Aug-2009	19-Jul-2010	5	260 12	260 924	-0 80	332	Short-Term
GENZ	GENZYME CORP CMN	26-Aug-2009	19-Jul-2010	11	571 94	615 351	-43 41	327	Short-Term
HES	HESS CORPORATION CMN	21-Aug-2009	20-Jul-2010	8	417 69	417 478	0 21	333	Short-Term
HES	HESS CORPORATION CMN	21-Aug-2009	21-Jul-2010	9	464 36	469 663	-5 30	334	Short-Term
EBAY	EBAY INC CMN	08-Jan-2010	21-Jul-2010	30	611 38	704 062	-92 68	193	Short-Term
EBAY	EBAY INC CMN	07-Jan-2010	21-Jul-2010	6	122 28	139 535	-17 26	194	Short-Term
GENZ	GENZYME CORP CMN	26-Aug-2009	26-Jul-2010	20	1,335 86	1,118 820	217 04	334	Short-Term
GENZ	GENZYME CORP CMN	27-Aug-2009	26-Jul-2010	2	133 58	112 644	20 94	333	Short-Term
GENZ	GENZYME CORP CMN	27-Aug-2009	27-Jul-2010	11	740 30	619 543	120 76	334	Short-Term
NEM	NEWMONT MINING CORPORATION CMN	29-Sep-2009	28-Jul-2010	16	885 49	703 141	182 35	302	Short-Term
NEM	NEWMONT MINING CORPORATION CMN	30-Sep-2009	28-Jul-2010	1	55 34	43 892	11 45	301	Short-Term
GENZ	GENZYME CORP CMN	27-Aug-2009	29-Jul-2010	18	1,260 67	1,013 797	246 87	336	Short-Term
CS	CREDIT SUISSE GROUP SPON ADR SPONSORED ADR CMN	07-Jul-2008	30-Jul-2010	1	45 43	42 013	3 42	753	Long-Term
GENZ	GENZYME CORP CMN	31-Aug-2009	30-Jul-2010	9	625 81	496 578	129 23	333	Short-Term
GENZ	GENZYME CORP CMN	27-Aug-2009	30-Jul-2010	8	556 28	450 576	105 70	337	Short-Term
BHI	BAKER HUGHES INC CMN	18-Sep-2009	30-Jul-2010	5	240 02	212 578	27 44	315	Short-Term
BHI	BAKER HUGHES INC CMN	23-Sep-2009	30-Jul-2010	4	192 02	174 263	17 76	310	Short-Term
TOTAL					361,811.07		28,433 25		

< [Previous](#) Page 8 of 8 Go to page : [99](#)

- Global Manager StrategiesSM and GMS Alpha+SM are registered service marks of Goldman, Sachs & Co

- N Recent news is available regarding this company G Goldman Sachs has recently published research regarding this company News and Research indicators are based on Eastern Standard Time (United States)

- The Bank Deposit Account (BDA), Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, a member of the FDIC. Bank Deposits, Certificates of Deposit and Term Deposits will be held in your Goldman, Sachs & Co. account and are FDIC-insured, up to a maximum of \$250,000. Note that the maximum is the total protection available for funds in your Bank Deposit Account, your Certificate of Deposit account, and your Term Deposit Account, together with any other deposit accounts you may hold at the Bank in the same title and capacity. For more information on how FDIC insurance coverage works, visit www.fdic.gov

Goldman Sachs Bank USA no longer participates in the FDIC's Transaction Account Guarantee Program. All non-interest bearing transaction and NOW accounts are insured up to the applicable limit under the FDIC's general deposit insurance rules.

Securities, mutual funds, annuities and other non-deposit investment products are issued by Goldman, Sachs & Co. BDA, Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, an affiliate of Goldman, Sachs & Co. Securities, mutual funds, free credit balances, annuities and other non-deposit investment products are not deposits or other obligations of Goldman Sachs Bank USA or any other bank, are not FDIC-insured, are not insured against loss of value by any governmental agency, and are subject to investment risk, including possible loss of principal amount invested. FDIC insurance and SIPC coverage are different. SIPC covers against loss of securities (up to a maximum of \$500,000 per customer) or cash (up to a maximum of \$250,000 within the aggregate \$500,000 customer limit) in the event of the bankruptcy of a securities broker-dealer, such as GS&Co. SIPC coverage does not protect against a decline in the value of securities. FDIC insurance protection, up to an aggregate limit of \$250,000 covers against loss in the event a depository institution, such as the Bank, fails. Unlimited insurance is available through December 2009 for non-interest bearing demand deposit accounts. Securities, mutual funds and similar types of non-deposit investment products are not covered by FDIC deposit insurance.

- Click for important information concerning [Goldman Sachs Financial Markets, L.P.](#) account(s)

- This information is for general guidance and, except for official Form 1099s, should not be used for tax purposes. This information is not intended and should not be construed as tax, accounting or legal advice. Please consult with your tax advisor regarding the tax consequences of your investment decisions before acting based on this information. The cost basis and acquisition dates for securities delivered into your Goldman Sachs account after purchase were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information. Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable.

- Note: this page includes information for accounts that are closed.

 Send us your comments  Format this page for printing  Download to spreadsheet

If you have any questions or need immediate assistance, please call Client Support at +1-877-GOLDMAN (877-465-3626).

Prepared for Max Kolbrenner
Tue 17 Aug 2010 3 20 PM EDT

Please read our [Legal Disclosures & Disclaimers](#) and [Customer Agreement](#) and about [Privacy & Security](#).
Important information on [trading options](#).
Important information about [Trust Co. Accounts](#) with Goldman Sachs Trust Company, N.A.

© Copyright 2010
The Goldman Sachs Group, Inc.
All rights reserved.

Goldman, Sachs & Co. Member [SIPC](#)

System response and account access times may vary due to market conditions, system performance and other factors.



PRIVATE WEALTH MANAGEMENT

[Statements](#) [Tax Center](#) [Fund Correspondence](#) [E-Delivery](#) [Change Password](#) [Change E-mail](#)
[Log Out](#)**Realized Gains/Losses 1099****Terminated - FndGMSGardnerLewisLC Growth 038-11052-4 (Closed)**

1099 revisions Certain investment companies such as Mutual Funds and REITs may recharacterize the nature of their distributions after the 1099 mailing deadline Any changes these companies make in the tax treatment of their distributions will be reported to you on a Form 1099 marked **revised** during the final week of March If you are issued a revised Form 1099 it will be posted separately from your original Form 1099 Please note that we will only furnish the IRS with the final version of your 1099s If you have any questions, please contact your Private Wealth Management team

Base Currency USD

The Tax Center contains the following information about your account(s) if applicable

- **Realized Gains and Losses.** See your realized gains and losses
- **1099s** See your actual 1099s from 1995 to present
- **Goldman Sachs Mutual Funds.** Shareholder Tax Information
- **K-1s** can be located on the Fund Correspondence Tab

Select an Account or Group

Terminated - FndGMSGardner 038-11052-4 (Closed)

2 Choose An Existing View

Realized Gains/Losses
[Customize](#) this view or
[Create One](#)

Choose a Date Range

From Date | 01-Aug-2009
 To Date | 31-Jul-2010

4 Search By Symbol/CUSIP

Include Options ☐

Gain Type	Gains	Losses	Total
Short-Term	825.96	-997.69	-171.73
Long-Term	0.00	-12,549.00	-12,549.00
Total Realized Gains/Losses:	825.96	-13,546.69	-12,720.73

Realized Gains/Losses

Symbol	Description	Trade Date	Date Sold / Covered (A)	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
RIG	TRANSOCEAN LTD CMN	06-Dec-2007	06-Aug-2009	5	389.26	635.610	-246.35	608	Long-Term
RIG	TRANSOCEAN LTD CMN	15-Nov-2007	06-Aug-2009	12	934.22	1,402.525	-468.30	629	Long-Term
RIG	TRANSOCEAN LTD CMN	27-Dec-2007	06-Aug-2009	15	1,167.78	2,219.888	-1,052.11	587	Long-Term
RIG	TRANSOCEAN LTD CMN	05-Dec-2007	06-Aug-2009	41	3,191.92	5,337.020	-2,145.10	609	Long-Term
RIG	TRANSOCEAN LTD CMN	24-Sep-2008	07-Aug-2009	20	1,505.56	2,503.250	-997.69	317	Short-Term
RIG	TRANSOCEAN LTD CMN	06-May-2008	07-Aug-2009	10	752.78	1,575.580	-822.80	458	Long-Term
RIG	TRANSOCEAN LTD CMN	27-Dec-2007	07-Aug-2009	9	677.50	1,331.932	-654.43	588	Long-Term
URBN	URBAN OUTFITTERS INC CMN	21-Jul-2008	12-Aug-2009	60	1,702.40	1,864.470	-162.07	387	Long-Term
CMCSK	COMCAST CORPORATION CMN CLASS A NON VOTING	25-Apr-2006	14-Aug-2009	17	239.68	325.931	-86.25	1,207	Long-Term
CMCSK	COMCAST CORPORATION CMN CLASS A NON VOTING	18-May-2006	14-Aug-2009	114	1,607.29	2,401.855	-794.57	1,184	Long-Term
CME	CME GROUP INC CMN CLASS A	28-Mar-2006	14-Aug-2009	6	1,675.51	2,684.940	-1,009.43	1,234	Long-Term
CME	CME GROUP INC CMN CLASS A	25-Apr-2006	18-Aug-2009	6	1,630.62	2,862.060	-1,231.44	1,211	Long-Term
CME	CME GROUP INC CMN CLASS A	28-Mar-2006	18-Aug-2009	1	271.77	447.490	-175.72	1,238	Long-Term
CMCSK	COMCAST CORPORATION CMN CLASS A NON VOTING	18-May-2006	19-Aug-2009	250	3,484.23	5,267.225	-1,782.99	1,189	Long-Term
CMCSK	COMCAST CORPORATION CMN CLASS A NON VOTING	13-Jul-2006	19-Aug-2009	264	3,679.35	5,596.780	-1,917.43	1,133	Long-Term
JPM	JPMORGAN CHASE & CO CMN	15-Oct-2008	28-Aug-2009	221	9,445.91	9,100.340	345.57	317	Short-Term
JPM	JPMORGAN CHASE & CO CMN	09-Oct-2008	28-Aug-2009	123	5,257.23	5,009.912	247.32	323	Short-Term
FLR	FLUOR CORPORATION CMN	26-Nov-2008	31-Aug-2009	29	1,510.90	1,277.827	233.07	277	Short-Term
TOTAL					39,123.91		-12,720.72		

* Global Manager StrategiesSM and GMS Alpha+SM are registered service marks of Goldman, Sachs & Co

- N Recent news is available regarding this company G Goldman Sachs has recently published research regarding this company News and Research indicators are based on Eastern Standard Time (United States)

- The Bank Deposit Account (BDA), Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, a member of the FDIC Bank Deposits, Certificates of Deposit and Term Deposits will be held in your Goldman, Sachs & Co account and are FDIC-insured, up to a maximum of \$250,000 Note that the maximum is the total protection available for funds in your Bank Deposit Account, your Certificate of Deposit account, and your Term Deposit Account, together with any other deposit accounts you may hold at the Bank in the same title and capacity For more information on how FDIC insurance coverage works, visit www.fdic.gov

Goldman Sachs Bank USA no longer participates in the FDIC's Transaction Account Guarantee Program All non-interest bearing transaction and NOW accounts are insured up to the applicable limit under the FDIC's general deposit insurance rules

Securities, mutual funds, annuities and other non-deposit investment products are issued by Goldman, Sachs & Co BDA, Certificate of Deposit Account and Term Deposit Account are offered by Goldman Sachs Bank USA, an affiliate of Goldman, Sachs & Co Securities, mutual funds, free credit balances, annuities and other non-deposit investment products are not deposits or other obligations of Goldman Sachs Bank USA or any other bank, are not FDIC-insured, are not insured against loss of value by any governmental agency, and are subject to investment risk, including possible loss of principal amount invested FDIC insurance and SIPC coverage are different SIPC covers against loss of securities (up to a maximum of \$500,000 per customer) or cash (up to a maximum of \$250,000 within the aggregate \$500,000 customer limit) in the event of the bankruptcy of a securities broker-dealer, such as GS&Co SIPC coverage does not protect against a decline in the value of securities FDIC insurance protection, up to an aggregate limit of \$250,000 covers against loss in the event a depository institution, such as the Bank, fails Unlimited insurance is available through December 2009 for non-interest bearing demand deposit accounts Securities, mutual funds and similar types of non-deposit investment products are not covered by FDIC deposit insurance

- Click for important information concerning [Goldman Sachs Financial Markets, L.P.](#) account(s)

- This information is for general guidance and, except for official Form 1099s, should not be used for tax purposes This information is not intended and should not be construed as tax,