Form **990-PF**

Department of the Treasury
Internal Revenue Service (77)

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning 8/1/2009 **, and ending** 7/31/2010

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: ANTHONY F BAUER TRUST FUND

Number and street (or P O box number if mail is not delivered to street address): WELLS FARGO BANK N A - 1525 West WT Harris Blvd

Room/suite:

City or town, state, and ZIP code: Charlotte NC 28288-5709

A Employer identification number: 06-6348774

B Telephone number (see page 10 of the instructions): (888) 730-4933

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 2,114,591

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) ________
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☒ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	0	0		
	4	Dividends and interest from securities	67,317	66,706		
	5 a	Gross rents		0		
	b	Net rental income or (loss) 0				
	6 a	Net gain or (loss) from sale of assets not on line 10	-17,365			
	b	Gross sales price for all assets on line 6a 189,628				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10 a	Gross sales less returns and allowances 0				
	b	Less Cost of goods sold 0				
	c	Gross profit or (loss) (attach schedule)	0			
	11	Other income (attach schedule)	0	0	0	
	12	**Total.** Add lines 1 through 11	49,952	66,706	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16 a	Legal fees (attach schedule)	2,460	0	0	2,460
	b	Accounting fees (attach schedule)	0	0	0	0
	c	Other professional fees (attach schedule)	0	0	0	0
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions)	1,579	589	0	0
	19	Depreciation (attach schedule) and depletion	0	0	0	
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	24,696	18,522	0	6,174
	24	**Total operating and administrative expenses.** Add lines 13 through 23	28,735	19,111	0	8,634
	25	Contributions, gifts, grants paid	119,663			119,663
	26	**Total expenses and disbursements.** Add lines 24 and 25	148,398	19,111	0	128,297
	27	Subtract line 26 from line 12				
	a	***Excess of revenue over expenses and disbursements***	-98,446			
	b	**Net investment income** (if negative, enter -0-)		47,595		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

(HTA)

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Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	0	0	0
	2	Savings and temporary cash investments	40,153	70,791	70,791
	3	Accounts receivable ▶ 0			
		Less allowance for doubtful accounts ▶ 0	0	0	0
	4	Pledges receivable ▶ 0			
		Less allowance for doubtful accounts ▶ 0	0	0	0
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)	0	0	0
	7	Other notes and loans receivable (attach schedule) ▶ 0			
		Less allowance for doubtful accounts ▶ 0	0	0	0
	8	Inventories for sale or use		0	
	9	Prepaid expenses and deferred charges		0	
	10 a	Investments—U S and state government obligations (attach schedule)	0	0	0
	b	Investments—corporate stock (attach schedule)	0	0	0
	c	Investments—corporate bonds (attach schedule)	0	0	0
	11	Investments—land, buildings, and equipment basis ▶ 0			
		Less accumulated depreciation (attach schedule) ▶ 0	0	0	0
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	2,185,965	2,004,900	2,043,800
	14	Land, buildings, and equipment basis ▶ 0			
		Less accumulated depreciation (attach schedule) ▶ 0	0	0	0
	15	Other assets (describe ▶)	0	0	0
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,226,118	2,075,691	2,114,591
Liabilities	17	Accounts payable and accrued expenses		0	
	18	Grants payable			
	19	Deferred revenue		0	
	20	Loans from officers, directors, trustees, and other disqualified persons	0	0	
	21	Mortgages and other notes payable (attach schedule)	0	0	
	22	Other liabilities (describe ▶)	0	0	
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	2,226,118	2,075,691	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	2,226,118	2,075,691	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	2,226,118	2,075,691	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,226,118
2	Enter amount from Part I, line 27a	2	-98,446
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	2,127,672
5	Decreases not included in line 2 (itemize) ▶ COST BASIS ADJUSTMENT	5	51,981
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,075,691

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Attached Statement			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	0	0	0	0
b	0	0	0	0
c	0	0	0	0
d	0	0	0	0
e	0	0	0	0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a	0	0	0	0
b	0	0	0	0
c	0	0	0	0
d	0	0	0	0
e	0	0	0	0

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-17,365
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	100,185	1,822,077	0 054984
2007			0 000000
2006			0 000000
2005			0 000000
2004			0 000000

2	**Total** of line 1, column (d)	2	0 054984
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 054984
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	2,056,017
5	Multiply line 4 by line 3	5	113,048
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	476
7	Add lines 5 and 6	7	113,524
8	Enter qualifying distributions from Part XII, line 4	8	128,297

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ________ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	476	
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0	
3 Add lines 1 and 2	3	476	
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4		
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	476	
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a: 868			
b Exempt foreign organizations—tax withheld at source — 6b:			
c Tax paid with application for extension of time to file (Form 8868) — 6c: 0			
d Backup withholding erroneously withheld — 6d:			
7 Total credits and payments Add lines 6a through 6d	7	868	
8 Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	0	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	392	
11 Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 392 **Refunded** ▶	11	0	

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ________ (2) On foundation managers ▶ $ ________			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4 a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ CT			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

				Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)		11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?		12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		13	X	
	Website address ▶ N/A				
14	The books are in care of ▶ WELLS FARGO BANK N A Telephone no ▶ (888) 730-4933				
	Located at ▶ 1525 West WT Harris Blvd Charlotte NC ZIP+4 ▶ 28288-5709				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions)		2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)*		3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to·

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WELLS FARGO BANK N A 1525 West WT Harris Blvd Charlotte NC 28288-	TRUSTEE 4 00	24,696	0	0
	00	0	0	0
	00	0	0	0
	00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		0
		0
		0
		0
		0
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions 3 NONE	0
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	2,045,238
b	Average of monthly cash balances	1b	42,089
c	Fair market value of all other assets (see page 24 of the instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	2,087,327
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,087,327
4	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	31,310
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,056,017
6	**Minimum investment return.** Enter 5% of line 5	6	102,801

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	102,801
2a	Tax on investment income for 2009 from Part VI, line 5	2a	476		
b	Income tax for 2009 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	476
3	Distributable amount before adjustments Subtract line 2c from line 1			3	102,325
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	102,325
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	102,325

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	128,297
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	128,297
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	476
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	127,821

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

			(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7					102,325
2	Undistributed income, if any, as of the end of 2009					
a	Enter amount for 2008 only				0	
b	Total for prior years 20____, 20____, 20___			0		
3	Excess distributions carryover, if any, to 2009					
a	From 2004	NONE				
b	From 2005	NONE				
c	From 2006	NONE				
d	From 2007	NONE				
e	From 2008	9,946				
f	**Total** of lines 3a through e		9,946			
4	Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 128,297					
a	Applied to 2008, but not more than line 2a				0	
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)			0		
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions)		0			
d	Applied to 2009 distributable amount					102,325
e	*Remaining amount distributed out of corpus*		25,972			
5	Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a))*		0			0
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		35,918			
b	Prior years' undistributed income Subtract line 4b from line 2b			0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions			0		
e	Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				0	
f	Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010					0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)					
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)		0			
9	**Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a		35,918			
10	Analysis of line 9					
a	Excess from 2005	0				
b	Excess from 2006	0				
c	Excess from 2007	0				
d	Excess from 2008	9,946				
e	Excess from 2009	25,972				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	0				0
b 85% of line 2a	0	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed	0				0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	0	0	0	0	0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter (1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	0				0
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Attached Statement				
Total			▶ 3a	119,663
b *Approved for future payment* NONE				
Total			▶ 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a		0		0	0
b		0		0	0
c		0		0	0
d		0		0	0
e		0		0	0
f		0		0	0
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	67,317	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-17,365	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a		0		0	0
b		0		0	0
c		0		0	0
d		0		0	0
e		0		0	0
12 Subtotal Add columns (b), (d), and (e)		0		49,952	0

13 **Total.** Add line 12, columns (b), (d), and (e) 13 49,952

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)
	Not Applicable

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	0	Not Applicable	
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

John M Salantic | 11/9/2010 | VP & TAX DIRECTOR

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature	Date 11/9/2010	Check if self-employed ☒	Preparer's identifying number (see Signature on page 30 of the instructions) P00364310
Firm's name (or yours if self-employed), address, and ZIP code	PRICEWATERHOUSECOOPERS, LLP 600 GRANT STREET, PITTSBURGH, PA 15219-2777	EIN 13-4008324	Phone no 412-355-6000

ANTHONY F BAUER TRUST FUND
EIN 06-6348774
Account Statement 07-31-2010

Security Category	Account #	CUSIP	Asset Name	Units	FMV	Fed Cost
Cash	9513840267				-	
Invest - Other	9513840267	04315J209	ARTIO TOTAL RETURN BD FD CL I #1524	8899.9860	123,709.81	108,845.28
Invest - Other	9513840267	04315J837	ARTIO INTERNATIONAL EQ FD II #1529	8605.3220	95,433.02	116,533.12
Invest - Other	9513840267	256206103	DODGE & COX INT'L STOCK FD #1048	3084.9320	97,051.96	102,433.96
Invest - Other	9513840267	256210105	DODGE & COX INCOME FD COM #147	10828.3000	144,449.52	135,977.47
Invest - Other	9513840267	34984T857	GOLDEN SMALL CAP CORE FUND	8882.7030	74,081.74	93,063.41
Invest - Other	9513840267	34984T881	GOLDEN LARGE CAP CORE FUND-I	18354.8720	167,396.43	180,560.05
Invest - Other	9513840267	38142Y401	GOLDMAN SACHS GRTH OPP FD-I #1132	4574.2430	96,196.33	69,492.69
Invest - Other	9513840267	38142Y773	GOLDMAN SACHS L/C VALUE-I #1216	6005.1520	63,534.51	55,263.73
Invest - Other	9513840267	44980Q518	ING INTERNATIONAL REAL EST-I #2664	5540.8300	42,719.80	63,164.78
Invest - Other	9513840267	47103C241	PERKINS MID CAP VALUE F/C I #1167	4750.6750	94,633.45	74,796.76
Invest - Other	9513840267	4812C0803	JPMORGAN HIGH YIELD FUND SS #3580	15692.7550	124,286.62	123,882.76
Invest - Other	9513840267	693390700	PIMCO TOTAL RET FD-INST #35	10857.8370	123,779.34	110,143.19
Invest - Other	9513840267	693390882	PIMCO FOREIGN BD FD USD H-INST #103	3871 1770	41,150.61	39,527.78
Invest - Other	9513840267	693391559	PIMCO EMERG MKTS BD-INST #137	7522.5440	83,124.11	83,039.45
Invest - Other	9513840267	722005667	PIMCO COMMODITY REAL RET STRAT-I#45	10919.8920	86,594.74	91,689.62
Invest - Other	9513840267	779919109	T ROWE PRICE REAL ESTATE FD #122	5563.8990	88,354.72	81,168.66
Invest - Other	9513840267	780905212	ROYCE VALUE PLUS FUND-INVT #271	6589.4800	74,856.49	56,960.59
Invest - Other	9513840267	784924425	SSGA EMERGING MARKETS FD S #1510	5407.5730	106,907.72	98,506.30
Invest - Other	9513840267	922031869	VANGUARD INFLAT PROTECT SEC FD #119	6373 9480	82,606.37	76,748.70
Invest - Other	9513840267	949915565	WF ADV ENDEAVOR SELECT-I #3124	15379.1590	127,339.44	153,763.68
Invest - Other	9513840267	94985D582	WELLS FARGO INTL ADV FUND-IS #4705	3680.5650	41,921.64	40,124.34
Invest - Other	9513840267	94984B181	WELLS FARGO ADV INTR VA FD-IST #517	6451.0310	63,671.68	49,214.15
Savings & Temp Inv	9513840267	99999Y944	SECURED MARKET DEPOSIT ACCOUNT	60993 2900	60,993.29	60,993 29
Savings & Temp Inv	9513840267	99999Y944	SECURED MARKET DEPOSIT ACCOUNT	9797 4600	9,797.46	9,797.46
				Total	2,114,590.80	2,075,691.22
				Savings & Temp Inv	70,790.75	70,790 75
				Invest - Other	2,043,800.05	2,004,900.47

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name				Person	Business
EASTER SEALS HEMLOCKS REC CENTER					X
Street: 555 AUBURN ST					
City: NEW HAMPSHIRE		State: CT	Zip code: 03103	Foreign Country:	
Relationship: NONE	Foundation Status: 501(c)(3) PUBLIC CHARITY				
Purpose of grant/contribution: GENERAL SUPPORT GRANT					Amount: 14,082

Name				Person	Business
CONNECTICUT INST FOR THE BLIND					X
Street: 120 HOLCOMB ST					
City: HARTFORD		State: CT	Zip code: 06129	Foreign Country:	
Relationship: NONE	Foundation Status: 501(c)(3) PUBLIC CHARITY				
Purpose of grant/contribution: GENERAL SUPPORT GRANT					Amount: 7,037

Name				Person	Business
E C SCRANTON MEMORIAL LIBRARY					X
Street: PO BOX 631					
City: MADISON		State: CT	Zip code: 06443	Foreign Country:	
Relationship: NONE	Foundation Status: 501(c)(3) PUBLIC CHARITY				
Purpose of grant/contribution: GENERAL SUPPORT GRANT					Amount: 14,082

Name				Person	Business
CONNECTICUT HOSPICE INC					X
Street: 100 DOUBLE BEACH RD					
City: BRANFORD		State: CT	Zip code: 06405	Foreign Country:	
Relationship: NONE	Foundation Status: 501(c)(3) PUBLIC CHARITY				
Purpose of grant/contribution: GENERAL SUPPORT GRANT					Amount: 7,037

Name				Person	Business
CT CHILDREN'S MEDICAL CENTER					X
Street: 282 WASHINGTON ST					
City: HARTFORD		State: CT	Zip code: 06106	Foreign Country:	
Relationship: NONE	Foundation Status: 501(c)(3) PUBLIC CHARITY				
Purpose of grant/contribution: GENERAL SUPPORT GRANT					Amount: 35,194

Name				Person	Business
ST FRANCIS MISSN, LITTLE SIOUX					X
Street: PO BOX 499					
City: SAINT FRANCIS		State: SD	Zip code: 57572-0149	Foreign Country:	
Relationship: NONE	Foundation Status: 501(c)(3) PUBLIC CHARITY				
Purpose of grant/contribution: GENERAL SUPPORT GRANT					Amount: 7,037

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name
NATL CAMPS FOR BLIND CHILDREN ☐ Person ☒ Business
Street
PO BOX 6097
City LINCOLN | State NE | Zip code 68506 | Foreign Country
Relationship NONE | Foundation Status 501(c)(3) PUBLIC CHARITY
Purpose of grant/contribution GENERAL SUPPORT GRANT | Amount 35,194

Name ☐ Person ☐ Business
Street
City | State | Zip code | Foreign Country
Relationship | Foundation Status
Purpose of grant/contribution | Amount 0

Name ☐ Person ☐ Business
Street
City | State | Zip code | Foreign Country
Relationship | Foundation Status
Purpose of grant/contribution | Amount 0

Name ☐ Person ☐ Business
Street
City | State | Zip code | Foreign Country
Relationship | Foundation Status
Purpose of grant/contribution | Amount 0

Name ☐ Person ☐ Business
Street
City | State | Zip code | Foreign Country
Relationship | Foundation Status
Purpose of grant/contribution | Amount 0

Name ☐ Person ☐ Business
Street
City | State | Zip code | Foreign Country
Relationship | Foundation Status
Purpose of grant/contribution | Amount 0

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	1,599
Short Term CG Distributions	0

Totals	Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss
Securities	189,628	206,993	-17,365
Other sales	0	0	0

Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improve-ments	Depreciation
1	X	ARTIO TOTAL RETURN BD FD	04315J209			4/28/2009		7/23/2010	15,979	14,582			
2	X	ARTIO TOTAL RETURN BD FD	04315J209			12/7/2009		7/23/2010	6,981	6,830			
3	X	ARTIO TOTAL RETURN BD FD	04315J209			6/8/2009		7/23/2010	3,059	2,787			
4	X	ARTIO INTERNATIONAL EQUI	04315J837			3/6/2007		9/8/2009	9,478	11,992			
5	X	DODGE & COX INTERNATION	256206103			3/6/2007		9/8/2009	8,534	12,281			
6	X	DODGE & COX INCOME FD C	256210105			9/8/2009		7/23/2010	10,857	10,497			
7	X	DODGE & COX INCOME FD C	256210105			3/6/2007		7/23/2010	7,815	7,503			
8	X	EVERGREEN EQUITY TR INTF	30023D671			10/31/2008		9/8/2009	7,024	6,261			
9	X	GOLDEN SMALL CORE VALUE	34984T857			3/6/2007		9/8/2009	8,234	12,507			
10	X	GOLDMAN SACHS GROWTH (	38142Y401			10/31/2008		3/8/2010	16,234	12,092			
11	X	GOLDMAN SACHS L/C VALUE	38142Y773			10/31/2008		7/23/2010	382	336			
12	X	ING INTERNATIONAL REAL ES	44980Q518			11/1/2007		9/8/2009	5,325	8,675			
13	X	PERKINS MID CAP VALUE FD	47103C241			10/31/2008		3/8/2010	7,999	6,151			
14	X	JPMORGAN HIGH YIELD FUN	4812C0803			8/1/2007		7/23/2010	981	1,011			
15	X	PIMCO TOTAL RET FD-INST #	693390700			6/8/2009		7/23/2010	11,165	10,109			
16	X	PIMCO TOTAL RET FD-INST #	693390700			12/7/2009		7/23/2010	7,908	7,678			
17	X	PIMCO TOTAL RET FD-INST #	693390700			4/28/2009		7/23/2010	8,774	7,914			
18	X	T ROWE PRICE REAL ESTATE	779919109			6/6/2007		12/7/2009	1,402	2,625			
19	X	T ROWE PRICE REAL ESTATE	779919109			12/6/2007		12/7/2009	5,211	8,347			
20	X	T ROWE PRICE REAL ESTATE	779919109			5/5/2008		12/7/2009	9,756	15,123			
21	X	T ROWE PRICE REAL ESTATE	779919109			5/5/2008		7/23/2010	8,564	11,356			
22	X	ROYCE VALUE PLUS FUND-IN	780905212			10/31/2008		3/8/2010	246	183			
23	X	ROYCE VALUE PLUS FUND-IN	780905212			10/31/2008		7/23/2010	1,428	1,098			
24	X	SSGA EMERGING MARKETS	784924425			3/6/2007		12/7/2009	10,994	12,903			
25	X	SSGA EMERGING MARKETS	784924425			3/6/2007		7/23/2010	411	471			
26	X	WELLS FARGO ADV INTR VA	94984B181			10/31/2008		7/23/2010	2,766	2,204			
27	X	WELLS FARGO ADVANTAGE	949915565			8/1/2008		3/8/2010	10,522	13,477			

Line 16a (990-PF) - Legal Fees

	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		2,460	0	0	2,460
1	LEGAL FEES	2,460			2,460
2					
3					
4					
5					
6					
7					
8					
9					
10					

Line 18 (990-PF) - Taxes

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
		1,579	589	0	0
1	FOREIGN TAX WITHHELD	589	589		
2	PRIOR YEAR EXCISE TAX DUE	122			
3	ESTIMATED EXCISE PAYMENTS	868			
4					
5					
6					
7					
8					
9					
10					

Line 23 (990-PF) - Other Expenses

		24,696	18,522	0	6,174
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Amortization See attached statement	0	0	0	0
2	WELLS FARGO TRUSTEE FEES	24,696	18,522		6,174
3					
4					
5					
6					
7					
8					
9					
10					

Part II, Line 13 (990-PF) - Investments - Other

	Item or Category	Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
			2,185,965	2,004,900	2,043,800
1	PRIOR YEAR INVESTMENTS - OTHER		2,185,965	0	0
2	CURRENT YEAR INVESTMENTS - OTHER			2,004,900	2,043,800
3					
4					
5					
6					
7					
8					
9					
10					

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	1,599
Short Term CG Distributions	0

	Kind(s) of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
					Totals	188,029	0	206,993	-18,964	0	0	0	-18,964
1	ARTIO TOTAL RETURN BD FD CL I #152	04315J209		4/28/2009	7/23/2010	15,979	0	14,582	1,397			0	1,397
2	ARTIO TOTAL RETURN BD FD CL I #152	04315J209		12/7/2009	7/23/2010	6,981	0	6,830	151			0	151
3	ARTIO TOTAL RETURN BD FD CL I #152	04315J209		6/8/2009	7/23/2010	3,059	0	2,787	272			0	272
4	ARTIO INTERNATIONAL EQUITY II-I	04315J837		3/6/2007	9/8/2009	9,478	0	11,992	-2,514			0	-2,514
5	DODGE & COX INTERNATIONAL STOCK	256206103		3/6/2007	9/8/2009	8,534	0	12,281	-3,747			0	-3,747
6	DODGE & COX INCOME FD COM #147	256210105		9/8/2009	7/23/2010	10,857	0	10,497	360			0	360
7	DODGE & COX INCOME FD COM #147	256210105		3/6/2007	7/23/2010	7,815	0	7,503	312			0	312
8	EVERGREEN EQUITY TR INTRINSIC VA	30023D671		10/31/2008	9/8/2009	7,024	0	6,261	763			0	763
9	GOLDEN SMALL CORE VALUE FD INS C	34984T857		3/6/2007	9/8/2009	8,234	0	12,507	-4,273			0	-4,273
10	GOLDMAN SACHS GROWTH OPPORTU	38142Y401		10/31/2008	3/8/2010	16,234	0	12,092	4,142			0	4,142
11	GOLDMAN SACHS L/C VALUE-I #1216	38142Y773		10/31/2008	7/23/2010	382	0	336	46			0	46
12	ING INTERNATIONAL REAL ESTATE	44980Q518		11/1/2007	9/8/2009	5,325	0	8,675	-3,350			0	-3,350
13	PERKINS MID CAP VALUE FD CL I	47103C241		10/31/2008	3/8/2010	7,999	0	6,151	1,848			0	1,848
14	JPMORGAN HIGH YIELD FUND SS #358	4812C0803		8/1/2007	7/23/2010	981	0	1,011	-30			0	-30
15	PIMCO TOTAL RET FD-INST #35	693390700		6/8/2009	7/23/2010	11,165	0	10,109	1,056			0	1,056
16	PIMCO TOTAL RET FD-INST #35	693390700		12/7/2009	7/23/2010	7,908	0	7,678	230			0	230
17	PIMCO TOTAL RET FD-INST #35	693390700		4/28/2009	7/23/2010	8,774	0	7,914	860			0	860
18	T ROWE PRICE REAL ESTATE FD	779919109		6/6/2007	12/7/2009	1,402	0	2,625	-1,223			0	-1,223
19	T ROWE PRICE REAL ESTATE FD	779919109		12/6/2007	12/7/2009	5,211	0	8,347	-3,136			0	-3,136
20	T ROWE PRICE REAL ESTATE FD	779919109		5/5/2008	12/7/2009	9,756	0	15,123	-5,367			0	-5,367
21	T ROWE PRICE REAL ESTATE FD #122	779919109		5/5/2008	7/23/2010	8,564	0	11,356	-2,792			0	-2,792
22	ROYCE VALUE PLUS FUND-INV	780905212		10/31/2008	3/8/2010	246	0	183	63			0	63
23	ROYCE VALUE PLUS FUND-INVT #271	780905212		10/31/2008	7/23/2010	1,428	0	1,098	330			0	330
24	SSGA EMERGING MARKETS FUND CL S	784924425		3/6/2007	12/7/2009	10,994	0	12,903	-1,909			0	-1,909
25	SSGA EMERGING MARKETS FD S #151	784924425		3/6/2007	7/23/2010	411	0	471	-60			0	-60
26	WELLS FARGO ADV INTR VA FD-IST #5	94984B181		10/31/2008	7/23/2010	2,766	0	2,204	562			0	562
27	WELLS FARGO ADVANTAGE ENDEAVO	949915565		8/1/2008	3/8/2010	10,522	0	13,477	-2,955			0	-2,955

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

24,696

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Average Hours	Compensation
1	WELLS FARGO BANK N A	X	1525 West WT Harris Blvd	Charlotte	NC	28288-5709		TRUSTEE	4	24,696
2										
3										
4										
5										
6										
7										
8										
9										
10										

Part VI, Line 6a (990-PF) - Estimated Tax Payments

		Date		Amount
1	Credit from prior year return		1	
2	First quarter estimated tax payment	12/11/2009	2	217
3	Second quarter estimated tax payment	1/13/2010	3	217
4	Third quarter estimated tax payment	4/13/2010	4	217
5	Fourth quarter estimated tax payment	7/13/2010	5	217
6	Other payments		6	651
7	Total		7	868