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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning JUL 1, 2009, and ending JUN 30, 2010

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation JOHN M. ROSS FOUNDATION		A Employer identification number 99-6007327
	Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	B Telephone number (808) 694-4393
	City or town, state, and ZIP code HONOLULU, HI 96802-3170		C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization. <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 618,424.		J Accounting method. <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> If the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		10.	10.		STATEMENT 1
4 Dividends and interest from securities		13,235.	13,235.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)		8,837.			
6a Net gain or (loss) from sale of assets not on line 10			8,837.		
b Gross sales price for all assets on line 6a 479,649.					
7 Capital gain net income (from Part IV, line 2)					
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		22,082.	22,082.		
13 Compensation of officers, directors, trustees, etc.		7,021.	3,510.		3,511.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		1,500.	450.		1,050.
c Other professional fees					
17 Interest					
18 Taxes					
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 4		2,700.	0.		2,700.
24 Total operating and administrative expenses. Add lines 13 through 23		11,221.	3,960.		7,261.
25 Contributions, gifts, grants paid		23,500.			23,500.
26 Total expenses and disbursements. Add lines 24 and 25		34,721.	3,960.		30,761.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-12,639.			
b Net investment income (if negative, enter -0-)			18,122.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

	Beginning of year (a) Book Value	End of year	
		(b) Book Value	(c) Fair Market Value
Assets			
1 Cash - non-interest-bearing	1.	128.	128.
2 Savings and temporary cash investments	24,107.	17,366.	17,366.
3 Accounts receivable ▶			
Less: allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less: allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons			
7 Other notes and loans receivable ▶			
Less: allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments - U.S. and state government obligations			
b Investments - corporate stock			
c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶			
12 Investments - mortgage loans			
13 Investments - other STMT 6	590,052.	585,528.	600,930.
14 Land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶			
15 Other assets (describe ▶)			
16 Total assets (to be completed by all filers)	614,160.	603,022.	618,424.
Liabilities			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable			
22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds	614,160.	603,022.	
28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30 Total net assets or fund balances	614,160.	603,022.	
31 Total liabilities and net assets/fund balances	614,160.	603,022.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	614,160.
2 Enter amount from Part I, line 27a	2	-12,639.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 5	3	1,501.
4 Add lines 1, 2, and 3	4	603,022.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	603,022.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a STCG - SEE STMT GLR-1	P	VARIOUS	VARIOUS
b LTCG - SEE STMT GLR-1	P	VARIOUS	VARIOUS
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 83,231.		78,064.	5,167.
b 395,861.		392,748.	3,113.
c 557.			557.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(l) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (l) over col (j), if any	
a			5,167.
b			3,113.
c			557.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	8,837.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2008	41,109.	587,331.	.069993
2007	49,251.	810,635.	.060756
2006	48,063.	824,004.	.058329
2005	44,492.	795,247.	.055947
2004	43,003.	770,324.	.055825

2 Total of line 1, column (d)	2	.300850
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.060170
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	638,010.
5 Multiply line 4 by line 3	5	38,389.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	181.
7 Add lines 5 and 6	7	38,570.
8 Enter qualifying distributions from Part XII, line 4	8	30,761.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)	1	362.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)	2	0.
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	3	362.
3	Add lines 1 and 2	4	0.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	5	362.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		
6	Credits/Payments:		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	476.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	476.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	114.
11	Enter the amount of line 10 to be: Credited to 2010 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) HI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► BANK OF HAWAII Located at ► 130 MERCHANT STREET, HONOLULU, HI	Telephone no ►	(808) 694-4393	
		ZIP+4 ►	96813	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	► 15	N/A	

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a.** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here ☐

5b

X

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BANK OF HAWAII P.O. BOX 3170 HONOLULU, HI 968023170	TRUSTEE 1.00	7,021.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	627,616.
b	Average of monthly cash balances	1b	20,110.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	647,726.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	647,726.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	9,716.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	638,010.
6	Minimum investment return. Enter 5% of line 5	6	31,901.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	31,901.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	362.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	362.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	31,539.
4	Recoveries of amounts treated as qualifying distributions	4	1,500.
5	Add lines 3 and 4	5	33,039.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	33,039.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	30,761.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	30,761.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	30,761.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				33,039.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004	5,130.			
b From 2005	1,753.			
c From 2006	7,864.			
d From 2007	9,843.			
e From 2008	11,990.			
f Total of lines 3a through e	36,580.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$	30,761.			
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				30,761.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	2,278.			2,278.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	34,302.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	2,852.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	31,450.			
10 Analysis of line 9:				
a Excess from 2005	1,753.			
b Excess from 2006	7,864.			
c Excess from 2007	9,843.			
d Excess from 2008	11,990.			
e Excess from 2009				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	10.	
4 Dividends and interest from securities			14	13,235.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	8,837.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		0.		22,082.	0.
13 Total. Add line 12, columns (b), (d), and (e)				22,082.	22,082.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

<u>SOURCE</u>	<u>AMOUNT</u>
BOH #215023409	10.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	10.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

<u>SOURCE</u>	<u>GROSS AMOUNT</u>	<u>CAPITAL GAINS DIVIDENDS</u>	<u>COLUMN (A) AMOUNT</u>
BOH #215023409	13,792.	557.	13,235.
TOTAL TO FM 990-PF, PART I, LN 4	13,792.	557.	13,235.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

<u>DESCRIPTION</u>	<u>(A) EXPENSES PER BOOKS</u>	<u>(B) NET INVEST- MENT INCOME</u>	<u>(C) ADJUSTED NET INCOME</u>	<u>(D) CHARITABLE PURPOSES</u>
TAX SERVICE FEE	1,500.	450.		1,050.
TO FORM 990-PF, PG 1, LN 16B	1,500.	450.		1,050.

FORM 990-PF OTHER EXPENSES STATEMENT 4

<u>DESCRIPTION</u>	<u>(A) EXPENSES PER BOOKS</u>	<u>(B) NET INVEST- MENT INCOME</u>	<u>(C) ADJUSTED NET INCOME</u>	<u>(D) CHARITABLE PURPOSES</u>
GRANTS ADMINISTRATION FEES	2,700.	0.		2,700.
TO FORM 990-PF, PG 1, LN 23	2,700.	0.		2,700.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	5
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DESCRIPTION	AMOUNT
RETURNED PRIOR YEAR GRANT ROUNDING	1,500. 1.
TOTAL TO FORM 990-PF, PART III, LINE 3	1,501.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	6
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT INV-1	COST	585,528.	600,930.
TOTAL TO FORM 990-PF, PART II, LINE 13		585,528.	600,930.

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
** SHORT TERM CAPITAL GAIN (LOSS)					
58 SHARES OF GOLDMAN SACHS HIGH YIELD FUND	8/20/2009	11/24/2008	371.20	280.14	91.06
20.945 SHARES OF PACIFIC CAPITAL SMALL CAP FUND	8/20/2009	11/24/2008	217.62	170.91	46.71
10.436 SHARES OF PACIFIC CAPITAL SMALL CAP FUND	8/20/2009	12/15/2008	108.43	90.38	18.05
177.118 SHARES OF PACIFIC CAPITAL HIGH GRADE SHORT INTERMEDIATE FIXED INCOME FUND	8/20/2009	5/1/2009	1,780.04	1,764.10	15.94
796 SHARES OF LAZARD EMERGING MARKETS PORTFOLIO	3/18/2010	8/20/2009	14,901.12	12,656.40	2,244.72
1051 SHARES OF PACIFIC CAPITAL NEW ASIA GROWTH FUND	3/18/2010	8/20/2009	14,619.41	12,633.02	1,986.39
97 SHARES OF GOLDMAN SACHS HIGH YIELD FUND	6/8/2010	3/18/2010	664.45	684.82	(20.37)
26 SHARES OF PACIFIC CAPITAL NEW ASIA GROWTH FUND	6/8/2010	8/20/2009	345.54	312.52	33.02
398 SHARES OF PACIFIC CAPITAL HIGH GRADE SHORT INTERMEDIATE FIXED INCOME FUND	6/8/2010	3/18/2010	4,051.64	4,031.74	19.90
102.945 SHARES OF PACIFIC CAPITAL SMALL CAP FUND	6/22/2010	3/18/2010	1,227.10	1,278.58	(51.48)
421.378 SHARES OF PACIFIC CAPITAL GROWTH STOCK FUND	6/22/2010	6/8/2010	3,489.01	3,354.17	134.84
69.142 SHARES OF LAZARD EMERGING MARKETS PORTFOLIO	6/22/2010	8/20/2009	1,265.30	1,099.36	165.94
15 SHARES OF LAZARD EMERGING MARKETS PORTFOLIO	6/22/2010	6/8/2010	274.50	256.80	17.70
73.44 SHARES OF PACIFIC CAPITAL NEW ASIA GROWTH FUND	6/22/2010	8/20/2009	1,042.85	882.75	160.10
1120 SHARES OF PACIFIC CAPITAL MID-CAP FUND	6/25/2010	3/18/2010	9,164.06	9,732.80	(568.74)
588 SHARES OF PACIFIC CAPITAL MID-CAP FUND	6/25/2010	6/8/2010	4,811.13	4,680.48	130.65
569.622 SHARES OF PACIFIC CAPITAL GROWTH STOCK FUND	6/25/2010	6/8/2010	4,707.01	4,534.19	172.82
1038 SHARES OF PACIFIC CAPITAL GROWTH AND INCOME FUND	6/25/2010	6/8/2010	10,636.18	10,317.72	318.46
1418 SHARES OF PACIFIC CAPITAL VALUE FUND	6/25/2010	6/8/2010	9,085.84	8,834.14	251.70
47.44 SHARES OF PACIFIC CAPITAL HIGH GRADE SHORT INTERMEDIATE FIXED INCOME FUND	6/25/2010	6/22/2010	468.61	468.71	(0.10)
** TOTAL SHORT TERM CAPITAL GAIN (LOSS)			83,231.04	78,063.73	5,167.31

** LONG TERM CAPITAL GAIN (LOSS)					
513.551 SHARES OF PACIFIC CAPITAL MID-CAP FUND	8/20/2009	12/30/2003	3,666.76	5,135.51	(1,468.75)
18.307 SHARES OF PACIFIC CAPITAL MID-CAP FUND	8/20/2009	3/16/2004	130.71	188.93	(58.22)
183.142 SHARES OF PACIFIC CAPITAL MID-CAP FUND	8/20/2009	12/28/2007	1,307.63	1,899.18	(591.55)
1763.92 SHARES OF PACIFIC CAPITAL VALUE FUND	8/20/2009	6/24/2003	10,495.33	13,070.65	(2,575.32)
182.204 SHARES OF PACIFIC CAPITAL VALUE FUND	8/20/2009	12/28/2007	1,084.11	1,563.31	(479.20)
686.876 SHARES OF PACIFIC CAPITAL VALUE FUND	8/20/2009	12/28/2007	4,086.91	5,893.40	(1,806.49)
665.619 SHARES OF PACIFIC CAPITAL SMALL CAP FUND	8/20/2009	6/24/2003	6,915.78	8,180.46	(1,264.68)
859.432 SHARES OF PACIFIC CAPITAL GROWTH AND INCOME FUND	8/20/2009	6/24/2003	8,181.79	9,118.57	(936.78)
515 SHARES OF PACIFIC CAPITAL GROWTH AND INCOME FUND	8/20/2009	6/1/2005	4,902.80	6,669.25	(1,766.45)
194.568 SHARES OF PACIFIC CAPITAL GROWTH AND INCOME FUND	8/20/2009	12/9/2005	1,852.29	2,653.91	(801.62)

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
1560.782 SHARES OF PACIFIC CAPITAL GROWTH STOCK FUND	8/20/2009	6/24/2003	11,612.22	11,830.73	(218.51)
45.218 SHARES OF PACIFIC CAPITAL GROWTH STOCK FUND	8/20/2009	3/27/2007	336.42	441.33	(104.91)
346.882 SHARES OF PACIFIC CAPITAL HIGH GRADE SHORT INTERMEDIATE FIXED INCOME FUND	8/20/2009	1/29/2003	3,486.16	3,493.10	(6.94)
62 SHARES OF PACIFIC CAPITAL HIGH GRADE SHORT INTERMEDIATE FIXED INCOME FUND	8/20/2009	12/17/2003	623.10	618.76	4.34
1645 SHARES OF PACIFIC CAPITAL VALUE FUND	3/18/2010	6/24/2003	11,251.80	12,189.45	(937.65)
1044 SHARES OF PACIFIC CAPITAL GROWTH AND INCOME FUND	3/18/2010	6/24/2003	11,525.76	11,076.84	448.92
1391 SHARES OF PACIFIC CAPITAL GROWTH STOCK FUND	3/18/2010	6/24/2003	12,087.79	10,543.78	1,544.01
2892 SHARES OF GOLDMAN SACHS HIGH YIELD FUND	6/8/2010	11/24/2008	19,810.20	13,968.36	5,841.84
566.22 SHARES OF PACIFIC CAPITAL INTERNATIONAL STOCK FUND	6/8/2010	3/24/2005	3,623.81	5,016.71	(1,392.90)
308.146 SHARES OF PACIFIC CAPITAL INTERNATIONAL STOCK FUND	6/8/2010	6/1/2005	1,972.13	2,702.44	(730.31)
607.634 SHARES OF PACIFIC CAPITAL INTERNATIONAL STOCK FUND	6/8/2010	12/9/2005	3,888.86	6,076.34	(2,187.48)
.354 SHARES OF PACIFIC CAPITAL HIGH GRADE SHORT INTERMEDIATE FIXED INCOME FUND	6/8/2010	12/5/2003	3.60	3.52	0.08
4.9 SHARES OF PACIFIC CAPITAL HIGH GRADE SHORT INTERMEDIATE FIXED INCOME FUND	6/8/2010	12/5/2003	49.88	48.66	1.22
34 SHARES OF PACIFIC CAPITAL HIGH GRADE SHORT INTERMEDIATE FIXED INCOME FUND	6/8/2010	6/23/2004	346.12	332.52	13.60
.219 SHARES OF PACIFIC CAPITAL HIGH GRADE SHORT INTERMEDIATE FIXED INCOME FUND	6/8/2010	12/9/2004	2.23	2.16	0.07
82 SHARES OF PACIFIC CAPITAL HIGH GRADE SHORT INTERMEDIATE FIXED INCOME FUND	6/8/2010	12/21/2004	834.76	806.06	28.70
102.645 SHARES OF PACIFIC CAPITAL HIGH GRADE SHORT INTERMEDIATE FIXED INCOME FUND	6/8/2010	6/1/2005	1,044.93	1,003.87	41.06
260.882 SHARES OF PACIFIC CAPITAL HIGH GRADE SHORT INTERMEDIATE FIXED INCOME FUND	6/8/2010	5/1/2009	2,655.78	2,598.38	57.40
226.098 SHARES OF PACIFIC CAPITAL MID-CAP FUND	6/22/2010	12/30/2003	1,858.53	2,260.98	(402.45)
285.854 SHARES OF PACIFIC CAPITAL INTERNATIONAL STOCK FUND	6/22/2010	6/1/2005	1,975.25	2,506.94	(531.69)
56.18 SHARES OF PACIFIC CAPITAL INTERNATIONAL STOCK FUND	6/22/2010	12/17/2003	388.20	423.04	(34.84)
43.221 SHARES OF PACIFIC CAPITAL INTERNATIONAL STOCK FUND	6/22/2010	9/20/2004	298.66	336.26	(37.60)
303 SHARES OF PACIFIC CAPITAL INTERNATIONAL STOCK FUND	6/22/2010	3/16/2004	2,093.73	2,390.67	(296.94)
567.363 SHARES OF PACIFIC CAPITAL VALUE FUND	6/22/2010	6/24/2003	3,648.14	4,204.16	(556.02)
314.592 SHARES OF PACIFIC CAPITAL GROWTH AND INCOME FUND	6/22/2010	6/24/2003	3,234.01	3,337.82	(103.81)
1145.117 SHARES OF PACIFIC CAPITAL MID-CAP FUND	6/25/2010	12/30/2003	9,369.58	11,451.17	(2,081.59)
1800 SHARES OF PACIFIC CAPITAL MID-CAP FUND	6/25/2010	11/24/2008	14,727.96	9,666.00	5,061.96
6826.461 SHARES OF PACIFIC CAPITAL GROWTH STOCK FUND	6/25/2010	6/24/2003	56,409.78	51,744.57	4,665.21
2098 SHARES OF PACIFIC CAPITAL GROWTH STOCK FUND	6/25/2010	11/24/2008	17,336.62	13,301.32	4,035.30

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
4320.823 SHARES OF PACIFIC CAPITAL GROWTH AND INCOME FUND	6/25/2010	6/24/2003	44,274.61	45,843.94	(1,569.33)
2515 SHARES OF PACIFIC CAPITAL GROWTH AND INCOME FUND	6/25/2010	11/24/2008	25,770.70	20,899.65	4,871.05
6472.687 SHARES OF PACIFIC CAPITAL VALUE FUND	6/25/2010	6/24/2003	41,473.74	47,962.60	(6,488.86)
4279 SHARES OF PACIFIC CAPITAL VALUE FUND	6/25/2010	11/24/2008	27,417.69	21,780.11	5,637.58
11 SHARES OF PACIFIC CAPITAL HIGH GRADE SHORT INTERMEDIATE FIXED INCOME FUND	6/25/2010	3/24/2005	108.66	106.37	2.29
1207.355 SHARES OF PACIFIC CAPITAL HIGH GRADE SHORT INTERMEDIATE FIXED INCOME FUND	6/25/2010	6/1/2005	11,926.26	11,807.93	118.33
380 SHARES OF PACIFIC CAPITAL HIGH GRADE SHORT INTERMEDIATE FIXED INCOME FUND	6/25/2010	8/4/2006	3,753.64	3,632.80	120.84
44 SHARES OF PACIFIC CAPITAL HIGH GRADE SHORT INTERMEDIATE FIXED INCOME FUND	6/25/2010	3/27/2007	434.63	424.60	10.03
160 SHARES OF PACIFIC CAPITAL HIGH GRADE SHORT INTERMEDIATE FIXED INCOME FUND	6/25/2010	11/24/2008	1,580.48	1,540.80	39.68
** TOTAL LONG TERM CAPITAL GAIN (LOSS)			395,860.53	392,747.91	3,112.62

**JOHN M. ROSS FOUNDATION
FORM 990-PF, PART II, LINE 13
FOR YEAR ENDED 06/30/2010**

99-6007327

Description	Units	Book Value	Market Value
COLUMBIA MID CAP INDEX FD CL Z	3,910.00	37,184.10	35,581.00
EATON VANCE LARGE-CAP VAL-I	3,610.00	57,723.90	55,269.00
GOLDMAN SACHS HIGH YIELD FUND	1,866.77	9,070.37	12,918.00
GOLDMAN SACHS LARGE CAP VALUE FD - INST	5,600.00	57,904.00	55,272.00
JANUS FORTY FUND-I	1,940.00	58,083.60	55,193.00
LAZARD EMERGING MARKETS PORTFOLIO	1,421.14	15,834.78	24,714.00
NATIXIS LOOMIS SAYLES LTD GOV AND AGCY FD CL Y	1,500.00	17,970.00	17,970.00
MAINSTAY LARGE CAP GROWTH FUND CL I	9,760.00	57,584.00	54,949.00
PACIFIC CAPITAL INTERNATIONAL STOCK FUND	11,272.87	74,310.81	73,048.00
PACIFIC CAPITAL SMALL CAP FUND	1,737.11	16,320.13	19,542.00
PACIFIC CAPITAL NEW ASIA GROWTH FUND	1,849.50	18,233.96	25,671.00
PACIFIC CAPITAL HIGH GRADE CORE FIXED INCOME FUND	14,917.33	165,308.10	170,803.00
TOTAL PORTFOLIO		585,527.75	600,930.00

John M. Ross Foundation
TIN: 99-6007327
Grants Paid July 1, 2009 to June 30, 2009

Scholarship/Vocational Funding

1- University of Hawaii Foundation	John M. Ross Foundation Scholarship for Big Island Students attending any campus in University of Hawaii System during 2010/2011 School Year {fee of 3.5% to be taken from grant award}	\$9,500
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Discretionary Grants

<u>Organization</u>	<u>Project</u>	<u>Request</u>
<u>Arts and Culture</u>		
2- Aloha Performing Arts Company	Theatre Experience for Teens	\$6,710
3- Kahilu Theatre Foundation	K(Arts) after school arts program - 2010/2011	\$2,000
<u>Education</u>		
4- State of Hawaii, DOE - Hilo High School	Lanakila Learning Center - For American Red Cross Course Materials & Certification Fees: First Aid/CPR - \$2,250; Lifesaving - \$1,040 (August - October 2010)	\$3,290
<u>Human Services</u>		
5- Friends of the Children's Justice Center of East Hawaii	Winners Camp Scholarships - July 2010	<u>\$2,000</u>

TOTAL GRANTS & SCHOLARSHIPS APPROVED	\$23,500
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John M. Ross Foundation

BANK OF HAWAII, TRUSTEE

University of Hawaii John M. Ross Foundation Scholarship Guidelines

PURPOSE:

To provide monetary assistance to students from the Big Island of Hawaii (Big Island) who are of financial need attending any campus in the University of Hawaii system and who has recently received a high school diploma or equivalent within the last three years prior to scholarship application.

DESCRIPTION OF PROGRAM:

The purpose of this fund is to assist financially needy students from the Big Island who are full or part-time students enrolled in any field of study on campuses in the University of Hawaii system including all community colleges. Students must complete a Federal Application for Student Financial Aid (FAFSA) and receive a correctly processed Student Aid Report (SAR)

CRITERIA:

The scholarship is to be used to fund college or vocational scholarships for students from the Big Island attending University of Hawaii campuses who have recently received a high school diploma or equivalent within the last three years prior to scholarship application. Scholarship funds may be used toward tuition, fees, books, supplies and equipment required for the enrolled courses. Scholarships are based on the following criteria:

1. Preference will be given to long time residents of the Big Island.
2. Preference will be given to students who have received a high school diploma or equivalent within the last three years prior to scholarship application.
3. Recipients must be full time or part time undergraduate students.
4. Recipients must demonstrate financial need, as determined by the University of Hawaii system.
5. Cumulative GPA of at least 2.5.
6. Maximum scholarship size per student is generally \$2,500, though exceptions may be made.
7. Funds shall be used to assist with all costs associated with attendance for the enrolled courses (e.g. tuition, books, fees, meals, supplies, equipment etc.).

ELIGIBILITY:

This scholarship program is open to all incoming and continuing students from the Big Island who meets the above criteria and the following requirements:

Although students receiving a John M. Ross Foundation Scholarship will be selected prior to the academic year, scholarship checks are issued only after the student provides evidence of having registered in a full or part time program of study at a University of Hawaii campus of his/her choice. Continued enrollment as a full or part time student is a requirement. If the necessary credit load is not maintained after the initial registration, the student will be required to return the scholarship funds.

APPLICATION PROCEDURES:

Students must complete a FREE Application for Federal Student Aid (FAFSA). In addition, students must apply for this scholarship by submitting a completed Scholarship Application form. Scholarship application forms are available at the financial aid office on the campus you are attending. Each campus financial aid office may have additional instructions relating to general eligibility and submission deadlines. Please refer to the campus financial aid office for additional instructions.

John M. Ross Foundation

BANK OF HAWAII, TRUSTEE

Request For Proposal

*This Request for Proposal is being sent to you on behalf of
John M. Ross Foundation*

Organization:

Category: Teens (11-18 years of age)

Support: Programs or Projects

The John M. Ross Foundation regularly accepts unsolicited grant requests from 501 (C) 3 not-for-profit organizations that have programs or projects focusing on teens from 11-18 years of age. The Foundation still receives unsolicited grant requests; however since the foundation has changed its **deadline date to February 1**, and we have not been receiving requests, we are sending this RFP to you, because you may not have been aware of the deadline date change.

The Governing Board wishes to "Make a Difference" in your teen programs. A few organizations from various sectors are being invited to submit a grant request to John M. Ross Foundation for *possible* funding consideration ranging from \$5,000 - \$10,000. Since the award, if approved, is to Make a Difference, the funds cannot be used toward large capital projects.

The grant request may be for specific or ongoing needs (i.e. program, project, or small capital relating to a specific program or project, etc.).

PRIORITY WILL BE GIVEN TO PROGRAMS/PROJECTS THAT SUPPORT TEENS WHO HAVE HIGHER NEEDS AND LIMITED ACCESS. The following are examples of what Ross Foundation considers to be "higher needs and limited access": financial need, little or no family support, limited access to transportation, resources, and/or activities.

If you are interested in participating, please review the instructions located below and on the following pages.

~ Proposals must be postmarked by FEBRUARY 1, 2010 ~

FOUR (4) sets of the proposal must contain the following information:

- Complete and Sign the attached Cover Sheet (See Page 3)
- Prepare a concise narrative (3-pages or less), to include the following information: brief description of the organization and its purpose, information that is specific to the request including implementation, an indication to the population served, and method of evaluation. If the program/project is ongoing and this request *may* result in *possible* funding, please include how the program or project will be sustained should the Foundation decline future requests.

(Instructions continued)

Attach to EACH Cover Sheet and Narrative the following:

- Revenue and Expense Budget for Project/Program being requested (timeline dates)
- Organizational Operating Budget for Current Fiscal Year (provide fiscal year dates)
- Income Statement of recently completed fiscal year {standard accounting format}
- Current dated Balance Sheet – 3 months old or less {standard accounting format}
- List of Board members with their professional or business affiliation
- Two letters endorsing the activity

Four copies (including original) of all the above information are required. In addition the following must also be included:

One copy only:

1. Internal Revenue Service letter advising the organization is tax-exempt under Section 501 (c) (3) of the Code, and its foundation status under 509 (a).
2. The organization's charter and bylaws, **if not previously submitted or have been amended.**
3. The organizations' annual report and audited financial statements for its most recently completed fiscal year; or IRS 990.

NOTE: IF YOU DO NOT HAVE, OR NO LONGER HAVE TEEN PROGRAMS - PLEASE DO NOT CREATE ONE FOR THIS REQUEST

Proposals must be postmarked by February 1.

Proposals are to be sent to the following address:

John M. Ross Foundation
Bank of Hawaii, Trustee
P. O. Box 3170
Honolulu, Hawaii 96802

THIS INVITATION TO SUBMIT A GRANT PROPOSAL DOES NOT GUARANTEE YOUR ORGANIZATION WILL BE SELECTED TO RECEIVE ANY FUNDING NOW OR IN THE FUTURE.

QUESTIONS

If there are any questions regarding this Request for Proposal, please contact one of the following:

Paula Boyce at (808) 694-4945 or email at paula.boyce@boh.com

Elaine Moniz at (808) 694-4944 or email at elaine.moniz@boh.com

John M. Ross Foundation
(Bank of Hawaii, Trustee)

Funding Request Cover Sheet

Please complete and submit with your request for funding

Date _____

Organization Information

(Must be a 501 (c) (3) tax-exempt organization)

(If this is a collaborative project, please submit names of collaborating agencies on a separate sheet.)

Name: _____

Address: _____

Phone: _____ Fax: _____

Contact Information

Name: _____ Title: _____

Address: _____

Phone: _____ Email: _____

Project Information

Project Title: _____

Amount requested: \$ _____

Two signatures are required

Executive Director (Chief Compensated Staff)

Board Chair or President (Chief Volunteer)

Print or Type Name and Title

Print or Type Name and Title

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Type or print File by the due date for filing your return. See instructions.	Name of Exempt Organization JOHN M. ROSS FOUNDATION	Employer identification number 99-6007327
	Number, street, and room or suite no. If a P.O. box, see instructions. P. O. BOX 3170, DEPT. 715	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. HONOLULU, HI 96802-3170	

Check type of return to be filed (file a separate application for each return):

- | | | |
|---|---|------------------------------------|
| <input type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

BANK OF HAWAII

- The books are in the care of ► **130 MERCHANT STREET - HONOLULU, HI 96813**

Telephone No. ► **(808) 694-4393**

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

- 1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until **FEBRUARY 15, 2011**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

► ☐ calendar year _____ or
► ☒ tax year beginning **JUL 1, 2009**, and ending **JUN 30, 2010**.

- 2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	335.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	476.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)