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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2009

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning

07/01, 2009, and ending

06/30, 2010

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions.

Name of foundation

CHARLES AND HELEN SCHWAB FOUNDATION

A Employer identification number

94-3374170

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

530 LYTTON AVENUE

200

B Telephone number (see page 10 of the instructions)

(650) 617-3476

City or town, state, and ZIP code

PALO ALTO, CA 94301

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end

of year (from Part II, col (c), line

16) \$ 175,758,460.

J Accounting method

☐ Cash☒ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments	3,670.	3,670.		ATCH 1
4 Dividends and interest from securities	2,914,816.	2,914,816.		ATCH 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10				
b Gross sales price for all assets on line 6a				
7 Capital gain, net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	145.			ATCH 3
12 Total. Add lines 1 through 11	2,918,631.	2,918,486.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.	234,714.			232,700.
14 Other employee salaries and wages	124,486.			133,810.
15 Pension plans, employee benefits	77,752.			92,771.
16a Legal fees (attach schedule) ATCH 4	127,968.	0.	0.	138,159.
b Accounting fees (attach schedule) ATCH 5	42,457.	0.	0.	46,270.
c Other professional fees (attach schedule) *	91,263.			109,461.
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions) *	24,870.			
19 Depreciation (attach schedule) and depletion	5,807.			
20 Occupancy	88,163.			90,914.
21 Travel, conferences, and meetings	31,184.			35,573.
22 Printing and publications	3,901.			3,901.
23 Other expenses (attach schedule) ATCH 8	91,306.			94,973.
24 Total operating and administrative expenses	943,871.	0.	0.	978,532.
Add lines 13 through 23	10,348,467.			17,505,538.
25 Contributions, gifts, grants paid	11,292,338.	0.	0.	18,484,070.
26 Total expenses and disbursements. Add lines 24 and 25				
27 Subtract line 26 from line 12	-8,373,707.			
a Excess of revenue over expenses and disbursements				
b Net investment income (if negative, enter -0-)		2,918,486.		
c Adjusted net income (if negative, enter -0-)			-0-	

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PAGE 1

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	19,095,496.	3,505,878.	3,505,878.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U.S. and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) <u>ATCH 9</u>	213,024,440.	172,217,022.	172,217,022.
	c	Investments - corporate bonds (attach schedule),			
	Liabilities	11	Investments - land, buildings, and equipment basis ▶		
		Less accumulated depreciation ▶ (attach schedule)			
12		Investments - mortgage loans			
13		Investments - other (attach schedule)			
14		Land, buildings, and equipment basis ▶ 63,092.			
		Less accumulated depreciation ▶ (attach schedule) 60,927.			
15		Other assets (describe ▶ <u>ATCH 10</u>)	26,696.	33,395.	33,395.
16		Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	232,154,604.	175,758,460.	175,758,460.
17		Accounts payable and accrued expenses	37,933.	9,799.	
18		Grants payable	21,957,877.	13,750,548.	
19	Deferred revenue				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable (attach schedule)				
22	Other liabilities (describe ▶ <u>ATCH 11</u>)	3,540,171.	3,744,469.		
23	Total liabilities (add lines 17 through 22)	25,535,981.	17,504,816.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	206,618,623.	158,253,644.	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	206,618,623.	158,253,644.	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	232,154,604.	175,758,460.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	206,618,623.
2	Enter amount from Part I, line 27a	2	-8,373,707.
3	Other increases not included in line 2 (itemize) ▶ <u>ATTACHMENT 12</u>	3	816,148.
4	Add lines 1, 2, and 3	4	199,061,064.
5	Decreases not included in line 2 (itemize) ▶ <u>ATTACHMENT 13</u>	5	40,807,420.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	158,253,644.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2		
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8. }			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	21,337,467.	247,301,340.	0.086281
2007	10,189,499.	297,792,532.	0.034217
2006	12,645,508.	237,365,339.	0.053274
2005	11,347,749.	205,679,347.	0.055172
2004	17,183,099.	150,002,683.	0.114552
2 Total of line 1, column (d)			2 0.343496
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.068699
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 226,749,646.
5 Multiply line 4 by line 3			5 15,577,474.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 29,185.
7 Add lines 5 and 6			7 15,606,659.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.			8 18,484,070.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)		1	29,185.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	29,185.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3 If zero or less, enter -0-		5	29,185.
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	58,276.	
b Exempt foreign organizations-tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	58,276.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	29,091.	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax <input checked="" type="checkbox"/> 29,091. Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b		X
c Did the foundation file Form 1120-POL for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ (2) On foundation managers <input checked="" type="checkbox"/> \$			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input checked="" type="checkbox"/> CA, DE,			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.SCHWABFOUNDATION.ORG	13	X	
14	The books are in care of ANA THOMPSON, EXECUTIVE DIR. Telephone no 650-617-3476 Located at 530 LYTTON AVENUE, SUITE 200, PALO ALTO, CA ZIP + 4 94301			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? <input type="checkbox"/> 1b N/A		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? <input type="checkbox"/> 1c X		
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions) <input type="checkbox"/> 2b N/A		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) <input type="checkbox"/> 3b N/A		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/> 4a X		
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? <input type="checkbox"/> 4b X		

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions). ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** ☐ Yes ☒ No
Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 14		232,700.	61,185.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 15		126,582.	41,957.	0.

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATTACHMENT 16		183,209.

Total number of others receiving over \$50,000 for professional services NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	219,344,336.
b	Average of monthly cash balances	1b	10,858,350.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	Total (add lines 1a, b, and c)	1d	230,202,686.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	230,202,686.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	3,453,040.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	226,749,646.
6	Minimum investment return. Enter 5% of line 5	6	11,337,482.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	11,337,482.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	29,185.
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	29,185.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	11,308,297.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	11,308,297.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	11,308,297.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	18,484,070.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	18,484,070.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	29,185.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	18,454,885.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				11,308,297.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years 20 07, 20 06, 20 05		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004 9,707,631.				
b From 2005 1,817,336.				
c From 2006 846,507.				
d From 2007 0.				
e From 2008 8,981,314.				
f Total of lines 3a through e	21,352,788.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 18,484,070.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				11,308,297.
e Remaining amount distributed out of corpus	7,175,773.			
5 Excess distributions carryover applied to 2009. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	28,528,561.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	9,707,631.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	18,820,930.			
10 Analysis of line 9				
a Excess from 2005 1,817,336.				
b Excess from 2006 846,507.				
c Excess from 2007 0.				
d Excess from 2008 8,981,314.				
e Excess from 2009 7,175,773.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

ATTACHMENT 17

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHMENT A, PAGE 1

c Any submission deadlines

SEE ATTACHMENT A, PAGE 1

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHMENT A, PAGE 1

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE ATTACHMENT C ATTACHED				17,505,538.
Total.			▶ 3a	17,505,538.
b Approved for future payment SEE ATTACHMENT D ATTACHED				14,126,000.
Total.			▶ 3b	14,126,000.

Form 990-PF (2009)

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Line No.

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions)

NOT APPLICABLE

Part XV • Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash			X
(2) Other assets			X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization			X
(2) Purchases of assets from a noncharitable exempt organization			X
(3) Rental of facilities, equipment, or other assets			X
(4) Reimbursement arrangements			X
(5) Loans or loan guarantees			X
(6) Performance of services or membership or fundraising solicitations			X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees			X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

Preparer's
signature

Date _____

☐ Check if self-employed

Preparer's identifying
number (See **Signature** on
page 30 of the instructions)
P00539266

Firm's name (or yours if self-employed), address, and ZIP code

GRANT THORNTON, LLP
150 ALMADEN BLVD., SUITE 600
SAN JOSE, CA

FIN ► 36-6055558

Phone no 408-275-9000

Form **990-PF** (2009)

ATTACHMENT 1FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST INCOME	3,670.	3,670.
TOTAL	<u>3,670.</u>	<u>3,670.</u>

ATTACHMENT 2FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
DIVIDEND INCOME	2,914,816.	2,914,816.
TOTAL	<u>2,914,816.</u>	<u>2,914,816.</u>

ATTACHMENT 3FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
MISCELLANEOUS INCOME	145.
TOTALS	<u>145.</u>

ATTACHMENT 4FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
LEGAL FEES	127,968.			138,159.
TOTALS	<u>127,968.</u>	<u>0.</u>	<u>0.</u>	<u>138,159.</u>

ATTACHMENT 5FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	42,457.			46,270.
TOTALS	<u>42,457.</u>	<u>0.</u>	<u>0.</u>	<u>46,270.</u>

ATTACHMENT 6

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>CHARITABLE PURPOSES</u>
OTHER PROFESSIONAL FEES	91,263.	109,461.
TOTALS	<u>91,263.</u>	<u>109,461.</u>

ATTACHMENT 7FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
EXCISE TAX	24,870.
TOTALS	<u>24,870.</u>

ATTACHMENT 8FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS
STAFF EDUCATION AND DEVELOPMNT	4,869.
INSURANCE	20,316.
PAYROLL PROCESSING	10,782.
BANK CHARGES	2,788.
OFFICE SUPPLIES	1,702.
POSTAGE AND DELIVERY	2,870.
COMPUTER HARDWARE AND SOFTWARE	30,294.
REGISTRATION FEES	6,265.
WEBSITE TECHNICAL OPERATIONS	10,590.
RESEARCH AND KNOWLEDGE	830.

TOTALS91,306.

CHARITABLE PURPOSES
4,869.
27,018.
10,904.
2,787.
1,782.
2,869.
26,927.
6,265.
10,722.
830.

94,973.

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 9

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
12,145,065 SHS CHARLES SCHWAB	172,217,022.	172,217,022.
TOTALS	<u>172,217,022.</u>	<u>172,217,022.</u>

ATTACHMENT 10

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PREPAID EXP AND OTHER ASSETS	33,395.	33,395.
TOTALS	<u>33,395.</u>	<u>33,395.</u>

FORM 990PF, PART II - OTHER LIABILITIESATTACHMENT 11DESCRIPTIONENDING
BOOK VALUE

OTHER ACCRUED LIABILITIES	66,459.
DEFERRED EXCISE TAX LIABILITY	2,627,752.
CREDIT SUPPORT AGREEMENT	1,050,258.

TOTALS

3,744,469.

ATTACHMENT 12FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

CHANGE IN EXCISE TAX LIABILITY

816,148.

TOTAL

816,148.

ATTACHMENT 13FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

UNREALIZED LOSS ON INVESTMENTS

40,807,420.

TOTAL

40,807,420.

CHARLES AND HELEN SCHWAB FOUNDATION

94-3374170

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 14

CONTRIBUTIONS
TO EMPLOYEE
BENEFIT PLANS

EXPENSE ACCT
AND OTHER
ALLOWANCES

NAME AND ADDRESS

TITLE AND AVERAGE HOURS PER
WEEK DEVOTED TO POSITION

COMPENSATION

HELEN O. SCHWAB
530 LYTTON AVENUE
200
PALO ALTO, CA 94301

PRESIDENT
1.00

0. 0. 0.

CHARLES R. SCHWAB
530 LYTTON AVENUE
200
PALO ALTO, CA 94301

CHAIRMAN
1.00

0. 0. 0.

KATIE SCHWAB
530 LYTTON AVENUE
200
PALO ALTO, CA 94301

DIRECTOR
2.00

0. 0. 0.

NANCY BECHTLE
530 LYTTON AVENUE
200
PALO ALTO, CA 94301

DIRECTOR
1.00

0. 0. 0.

SALLY BOWLES
530 LYTTON AVENUE
200
PALO ALTO, CA 94301

DIRECTOR
1.00

0. 0. 0.

:
:
:

ATTACHMENT 14

CHARLES AND HELEN SCHWAB FOUNDATION

94-3374170

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 14 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
CHARMEL HUFFMAN 530 LYTTON AVENUE 200 PALO ALTO, CA 94301	ASSIST. TREASURER 1.00	0.	0.	0.
ANA THOMPSON 530 LYTTON AVENUE 200 PALO ALTO, CA 94301	EXECUTIVE DIR. & TREAS. 40.00	232,700.	61,185.	0
GRAND TOTALS		232,700.	61,185.	0.

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEESATTACHMENT 15

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS EXPENSE ACCT TO EMPLOYEE AND OTHER BENEFIT PLANS ALLOWANCES</u>
DIANNE BARNEY 530 LYTTON AVENUE, SUITE 200 PALO ALTO, CA 94301	ADMIN DIRECTOR 40.00	126,582.	41,957. 0.
	TOTAL COMPENSATION	<u>126,582.</u>	<u>41,957.</u> 0.

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 16

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
FENWICK & WEST LLP 801 CALIFORNIA STREET MOUNTAIN VIEW, CA 94041	LEGAL SERVICES	70,131.
ADLER & COLVIN 235 MONTGOMERY STREET SAN FRANCISCO, CA 94104	LEGAL SERVICES	57,837.
PUBLIC IMPACT 504 DOGWOOD DRIVE CHAPEL HILL, NC 27516	K-12 CONSULTING	55,241.
TOTAL COMPENSATION		<u>183,209.</u>

ATTACHMENT 17

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

SEE ATTACHMENT A, PAGE 1

Charles and Helen Schwab Foundation

990-PF: Attachment A

Tax Year Ending June 30, 2010

Ongoing Operations

Grants

The Charles and Helen Schwab Foundation believes that every person has the potential and deserves the opportunity to lead a happy and productive life. A quality education holds the key to much of this. Today, many young people in California and beyond are denied the education they deserve. The status quo has not served them well. We seek out organizations that turn the tables on this unacceptable reality to ensure that each student reaches his or her full potential. This is our primary focus.

The Foundation also recognizes that human suffering takes many different forms and affects people from all walks of life. For this reason, we also support organizations which alleviate suffering, take aim at its root cause and give people a fair shot at their own success.

For more than twenty years, the Foundation has had the privilege of collaborating with organizations and individuals who are leaders in their fields. Their work has informed and extended our own. We have learned both from our successes and our failures about the challenges of making a measurable impact. And while our primary focus is California, we recognize that positive impact here will come from those working within and beyond our geographic boundaries. We have enormous respect for the leadership, passion and ingenuity shown by so many working to transform the lives of others. We will continue to seek out organizations which share these qualities and which work tirelessly to make the world a better place.

The Charles and Helen Schwab Foundation was established by Charles and Helen Schwab in 1987. It is a private foundation and is separate from The Charles Schwab Corporation and from the Charles Schwab Corporate Foundation.

The Charles and Helen Schwab Foundation does not accept unsolicited grant applications, grant proposals or letters of inquiry.

Charles and Helen Schwab Foundation

EIN: 94-3374170

FYE: 6/30/2010

Fixed Assets Schedule

Account Description	2009 Report Balance	Additions	Disposals	2010 Report Balance
Fixes Assets				
Computer hardware	38,517		1,778	36,739
Computer software	25,115			25,115
Office Furniture & Equipment	1,238			1,238
Leashold Improvements	-			-
Total	64,870	-	1,778	63,092
Accumulated Depreciation				
Computer hardware	(31,330)	(5,631)	(1,778)	(35,184)
Computer software	(25,115)			(25,115)
Office Furniture & Equipment	(452)	(177)		(629)
Leashold Improvements	(0)			(0)
Total	(45,768)	(5,808)	(1,778)	(60,927)
TOTAL NET FIXED ASSETS	19,102	(5,808)	(0)	2,165

Charles and Helen Schwab Foundation
Tax ID # 94-3374170
Grant Paid FY 2010
Form 990-PF, Part XV, In 3a

Grantee Legal Name	Grantee Address	Tax Status	General Program Area and Description	Amount	Type of Support
Aspire Public Schools	1001 22nd Avenue, Suite 100 Oakland, CA 94606	501(c)(3)	Education	\$3,100,000	Capital Campaign
National Alliance for Public Charter Schools	1101 Fifteenth Street, NW, Suite 1010, DC 20005	501(c)(3)		\$100,000	General Operating Support
Leadership Public Schools	2601 Mission Street, 9th Floor San Francisco, CA 94110	501(c)(3)		\$50,000	Program Support
The New Teacher Project	186 Joralemon St , Suite 300 Brooklyn, NY 11201	501(c)(3)		\$2,000,000	General Operating Support
Teach for America - Bay Area	101 New Montgomery St San Francisco, CA 94105	501(c)(3)		\$500,000	General Operating Support
Teach for America - Hawai'i	500 Ala Moana Blvd Suite 2-410 Honolulu, HI 96813	501(c)(3)		\$150,000	General Operating Support
Foundation for Excellence in Education, Inc	P O Box 10691 Tallahassee, FL 32302	501(c)(3)	Education Total	\$6,025,000	
Human Services and Health					
Tipping Point Community	703 Market Street Suite 708 San Francisco, CA 94103	501(c)(3)	Poverty Prevention	\$1,100,000	General Operating Support
Raphael House	1065 Sutter Street San Francisco, CA 94109	501(c)(3)	Response to Economic Downturn	\$150,000	Program and general operating support
Alameda County Community Food Bank	7900 Edgewater Drive Oakland, CA 94621	501(c)(3)	Response to Economic Downturn	\$125,000	Program Support
Second Harvest Food Bank	750 Curtner Avenue San Jose, CA 95125	501(c)(3)	Response to Economic Downturn	\$125,000	Program Support
Community Food Bank	3403 E Central Avenue Fresno, CA 93725	501(c)(3)	Response to Economic Downturn	\$120,000	Program Support
Second Harvest Food Bank San Joaquin & Stanislaus Counties	704 E Industrial Park Drive Manteca, CA 95337	501(c)(3)	Response to Economic Downturn	\$120,000	Program Support
Episcopal Community Services of SF	165 Eighth Street, 3rd Floor San Francisco, CA 94103	501(c)(3)	Response to Economic Downturn	\$90,000	General Operating Support
FoodLink of Tulare County	7427 W Sunnyview Visalia, CA 93291	501(c)(3)	Response to Economic Downturn	\$80,000	Program Support
San Francisco Food Bank	900 Pennsylvania Ave San Francisco, CA 94107	501(c)(3)	Response to Economic Downturn	\$55,000	Program Support
California Association of Food Banks	1611 Telegraph Avenue, Suite 830 Oakland, CA 94612	501(c)(3)	Response to Economic Downturn	\$50,000	Program Support
Food Bank of Contra Costa and Solano	4010 Nelson Avenue Concord, CA 94524	501(c)(3)	Response to Economic Downturn	\$50,000	Program Support
Season of Sharing	P O Box 44740 San Francisco, CA 94144	501(c)(3)	Response to Economic Downturn	\$50,000	Program Support
St. Anthony Foundation	150 Golden Gate Avenue San Francisco, CA 94102	501(c)(3)	Response to Economic Downturn	\$50,000	General Operating Support
Emergency Housing Consortium, Santa Clara Bay Area Community Services	507 Valley Way Milpitas, CA 95035	501(c)(3)	Response to Economic Downturn	\$30,000	Program and general operating support
Feeding America	1814 Franklin Street, Fourth Floor Oakland, CA 94612	501(c)(3)	Response to Economic Downturn	\$25,000	Program Support
Meals on Wheels of San Francisco	35 East Wacker Drive, Suite 2000 Chicago, IL 60601	501(c)(3)	Response to Economic Downturn	\$25,000	General Operating Support
St. Anthony's Padua Dining Room	1375 Fairfax Avenue San Francisco, CA 94124	501(c)(3)	Response to Economic Downturn	\$25,000	Program Support
Boys and Girls Club of the Peninsula	3500 Middlefield Rd Menlo Park, CA 94025	501(c)(3)	Response to Economic Downturn	\$25,000	General Operating Support
Boys and Girls Clubs of San Francisco	401 Pierce Road Menlo Park, CA 94025	501(c)(3)	Response to Economic Downturn	\$50,000	General Operating Support
Juma Ventures	55 Hawthorne Street, Suite 600 San Francisco, CA 94105	501(c)(3)	Youth Development	\$50,000	General Operating Support
Larkin Street Youth Services	131 Sutter Street Suite 201 San Francisco, CA 94109	501(c)(3)	Youth Development	\$50,000	General Operating Support
La Casa De Las Madres	1663 Mission Street Ste 225 San Francisco, CA 94103	501(c)(3)	Youth Development	\$50,000	General Operating Support
Opportunity Fund	111 West St John Street Suite 800 San Jose, CA 95113	501(c)(3)	Other	\$50,000	General Operating Support
San Francisco Child Abuse Prevention Center	1757 Waller Street San Francisco, CA 94117	501(c)(3)	Other	\$50,000	General Operating Support
Tenderloin Neighborhood Development Corp	201 Eddy St San Francisco, CA 94102	501(c)(3)	Other	\$50,000	General Operating Support
Women's Recovery Association	1450 Chapin Avenue, 1st Floor Burlingame, CA 94010	501(c)(3)	Other	\$50,000	General Operating Support
The Roberts Enterprise Development Fund	631 Howard Street Suite 320 San Francisco, CA 94105	501(c)(3)	Other	\$33,333	General Operating Support
Womens Initiative for Self Employment	1398 Valencia Street San Francisco, CA 94110	501(c)(3)	Other	\$30,000	General Operating Support
Human Services and Health Total				\$2,808,333	

Charles and Helen Schwab Foundation
Tax ID # 94-3374170
Grant Paid FY 2010
Form 990-PF, Part XV, In 3a

Grantee Legal Name	Grantee Address	Tax Status	General Program Area and Description	Amount	Type of Support
Unique Opportunities					
UCSF Center for Prevention of Heart and Vascular Disease	505 Parnassus Avenue San Francisco, CA 94143	501(c)(3)	Health	\$2,000,000	Endowment, General Operating
UCSF Young Adult and Family Center	401 Parnassus Avenue San Francisco, CA 94143	501(c)(3)	Health	\$2,000,000	Endowment, General Operating
The Hawaii Meth Project	270 University Avenue Palo Alto, CA 94301	501(c)(3)	Health	\$1,800,000	General Operating Support
Partners in Health	888 Commonwealth Avenue Boston, MA 02215	501(c)(3)	Haiti Earthquake Response	\$100,000	Program Support
American Red Cross	2025 E Street, NW Washington DC 20006	501(c)(3)	Haiti Earthquake Response	\$50,000	Program Support
Catholic Relief Services	228 W Lexington St Baltimore, MD 21201	501(c)(3)	Haiti Earthquake Response	\$50,000	Program Support
Doctors without Borders	333 Seventh Avenue New York, NY 10001	501(c)(3)	Haiti Earthquake Response	\$50,000	Program Support
Yale School of Medicine	157 Church Street New Haven, CT 06510	501(c)(3)	Charles and Helen Schwab Professorship in Dyslexia and Learning Developments	\$1,000,000	Endowment Fund
Robin Hood Foundation	826 Broadway, 9th Floor New York, NY 10003	501(c)(3)	Poverty Prevention	\$1,000,000	General Operating Support
			Unique Opportunities Total	\$8,050,000	
Other					
Four Winds, Inc	P O Box 140 Deer Harbor, WA 98243	501(c)(3)	Other	\$185,000	Capital Campaign
San Francisco Society for the Prevention of Cruelty to Animals	2500 16th Street San Francisco, CA 94103	501(c)(3)	Other	\$100,000	Capital Campaign
San Francisco Symphony	201 Van Ness Ave San Francisco, CA 94102	501(c)(3)	Other	\$50,000	General Operating Support
Stanford Schools Corporation	P O Box 20524 Stanford, CA 94309	501(c)(3)	Other	\$50,000	Program Support
Golden Gate National Parks Conservancy	Building 201 Fort Mason San Francisco, CA 94123	501(c)(3)	Other	\$25,000	General Operating Support
Staglin Music Festival for Mental Health	P O Box 680 Rutherford, CA 94573	501(c)(3)	Other	\$10,000	General Operating Support
Breakthrough Collaborative	545 Sansome Street, Suite 700 San Francisco, CA 94111	501(c)(3)	Other	\$5,000	General Operating Support
Summer Search Foundation	500 Sansome Street, Suite 350 San Francisco, CA 94111	501(c)(3)	Other	\$5,000	General Operating Support
The BASIC Fund	268 Bush Street, #2717 San Francisco, CA 94104	501(c)(3)	Other	\$50,000	Program Support
Building Excellent Schools	205 Rockaway Parkway, 3rd Floor Brooklyn, NY 11212	501(c)(3)	Trustee Grant	\$50,000	Ascend Learning General Operating Support
The Urban Assembly New York Harbor School	400 Irving Ave , 4th Floor Brooklyn, NY 11237	501(c)(3)	Trustee Grant	\$50,000	Capital Campaign
Johns Hopkins University	4940 Eastern Avenue, B1 North, Baltimore, MD	501(c)(3)	Trustee Grant	\$25,000	General Operating Support
Golden Gate National Parks Conservancy	Building 201 Fort Mason San Francisco, CA 94123	501(c)(3)	Trustee Grant	\$15,000	General Operating Support
			Other Total	\$620,000	
Aragon High School	900 Alameda de las Pulgas, San Mateo, CA 94402	501(c)(3)	Employee Matching Gift Program	\$50	General Operating Support
Aragon High School PTO	900 Alameda de las Pulgas, San Mateo, CA 94402	501(c)(3)	Employee Matching Gift Program	\$40	General Operating Support
Aragon High School PTO	900 Alameda de las Pulgas, San Mateo, CA 94402	501(c)(3)	Employee Matching Gift Program	\$1,000	General Operating Support
Aragon HS Music Boosters	900 Alameda de las Pulgas, San Mateo, CA 94402	501(c)(3)	Employee Matching Gift Program	\$150	General Operating Support
Daily City Partnership	111 Lake Merced Blvd Daily City, CA 94015	501(c)(3)	Employee Matching Gift Program	\$190	General Operating Support
Daily City Youth Health Center	2780 Junipero Serro Boulevard, Daly City, CA 94015	501(c)(3)	Employee Matching Gift Program	\$50	General Operating Support
Hillview Middle School PTO	1100 Elder Avenue, Menlo Park, CA 94025	501(c)(3)	Employee Matching Gift Program	\$500	General Operating Support
KQED	2601 Mariposa Street, San Francisco, CA 94110	501(c)(3)	Employee Matching Gift Program	\$100	General Operating Support
Pyramid Alternatives	480 Manor Plaza, Pacifica, CA 94044	501(c)(3)	Employee Matching Gift Program	\$50	General Operating Support
Summit School Campaign for Kids	187-30 Grand Central Pkwy, Jamaica Estates, NY 11432	501(c)(3)	Employee Matching Gift Program	\$75	General Operating Support
			Employee Matching Gift Program Total	\$2,205	
			GRAND TOTAL	\$17,505,538	

Grantee Legal Name	Grantee Address	Tax Status	General Program Area and Description	Amount	Type of Support
Education					
California Charter School Association	250 E 1st Street, Ste 1000 Los Angeles, CA 90012	501(c)(3)	Public Charter Schools	450,000	General Operating Support
High Tech High	2861 Womble Road San Diego, CA 92106	501(c)(3)	Public Charter Schools	200,000	General Operating Support
National Alliance for Public Charter Schools	1101 Fifteenth Street, NW, Suite 1010 DC 20005	501(c)(3)	Public Charter Schools	125,000	General Operating Support
KIPP LA Schools (KIPP Empower)	445 S Figueroa Street, #2580 Los Angeles, CA 90071	501(c)(3)	Public Charter Schools	6,000	Program Support
Thomas B. Fordham Institute	1016 16th St NW, 8th Floor District of Columbia 20036	501(c)(3)	Advocacy and Ideas	250,000	General Operating Support
Stand for Children Leadership Center	516 SE Morrison St, Suite 420 Portland, Oregon 97214	501(c)(3)	Advocacy and Ideas	150,000	General Operating Support
Ed Voice Institute for Research and Education	1107 9th St., #680 Sacramento, CA 95814	501(c)(3)	Advocacy and Ideas	125,000	General Operating Support
Education Sector	1201 Connecticut Ave., NW, Suite 850 DC 20036	501(c)(3)	Advocacy and Ideas	125,000	General Operating Support
			Education Total	1,431,000	
Human Services and Health					
SingleStop USA	1825 Park Avenue, Suite 503 New York, NY 10035	501(c)(3)	Response to Economic Downturn	100,000	General Operating Support
Raphael House	1065 Sutter Street San Francisco, CA 94109	501(c)(3)	Response to Economic Downturn	75,000	Program and General Operating Support
Episcopal Community Services	165 Eighth Street, 3rd Floor San Francisco, CA 94103	501(c)(3)	Response to Economic Downturn	75,000	Program and General Operating Support
Second Harvest Food Bank San Joaquin &					
Stanislaus Counties	704 E Industrial Park Drive Manteca, CA 95337	501(c)(3)	Response to Economic Downturn	50,000	Program Support
Second Harvest Food Bank	750 Curtner Avenue San Jose, CA, CA 95125-2118	501(c)(3)	Response to Economic Downturn	50,000	Program Support
FoodLink of Tulare County	7427 W Sunnyview Visalia, CA 93291	501(c)(3)	Response to Economic Downturn	50,000	Program Support
Community Food Bank	3403 E Central Avenue Fresno, CA 93725	501(c)(3)	Response to Economic Downturn	50,000	Program Support
Alameda County Community Food Bank	7900 Edgewater Drive Oakland, CA 94621	501(c)(3)	Response to Economic Downturn	50,000	Program Support
St. Anthony's Padua Dining Room	3500 Middlefield Rd Menlo Park, CA 94025	501(c)(3)	Response to Economic Downturn	25,000	Program Support
Food Bank Contra Costa and Solano County	4010 Nelson Avenue Concord, CA 94524	501(c)(3)	Response to Economic Downturn	25,000	Program Support
San Francisco Food Bank	900 Pennsylvania Ave San Francisco, CA 94107	501(c)(3)	Response to Economic Downturn	20,000	Program Support
Boys and Girls Club- Peninsula	401 Pierce Road Menlo Park, CA 94025	501(c)(3)	Youth Development	50,000	General Operating Support
La Casa de las Madres	1663 Mission Street Suite 225 San Francisco, CA 94103	501(c)(3)	Other	50,000	General Operating Support
			Human Services and Health Total	670,000	
Unique Opportunities					
UCSF Center for Prevention of Heart and Vascular Disease	UCSF Medical Center, 505 Parnassus SF, CA 94143	501(c)(3)	Health	6,000,000	Endowment, General Operating
UCSF - Young Adult and Family Center	401 Parnassus Avenue, San Francisco, CA 94143	501(c)(3)	Health	3,000,000	Endowment, General Operating
Hawaiian Meth Project	270 University Avenue Palo Alto, CA 94301	501(c)(3)	Health	2,000,000	General Operating Support
Iraq and Afghanistan Veterans of America	292 Madison Avenue, 10th Floor NY, NY 10017	501(c)(3)	Veterans of the Iraq and Afghanistan Wars	500,000	General Operating Support
			Unique Opportunities Total	11,500,000	
Other					
San Francisco Society for the Prevention of Cruelty to Animals	2500 16th Street San Francisco, CA 94103-4213	501(c)(3)	Other	200,000	Capital Campaign
Philanthropy Roundtable	1150 17th Street, NW Suite 503 Washington, DC 20036	501(c)(3)	Other	100,000	General Operating Support
St. Helena Montessori	1306 Oak Avenue St. Helena, CA 94574	501(c)(3)	Other	50,000	Capital Campaign
The BASIC Fund	268 Bush Street, #2717 San Francisco, CA 94104	501(c)(3)	Other	50,000	Program Support
Knowledgebeat	1012 Torney Avenue SF, CA 94129	501(c)(3)	Other	25,000	General Operating Support
Milkey's Way Foundation	6400 Main Street, Suite 120, Williamsville, NY 14221	501(c)(3)	Other	20,000	General Operating Support
Breakthrough Collaborative	545 Sansome Street, San Francisco, CA	501(c)(3)	Other	5,000	General Operating Support
All Kinds of Minds	2800 Meridian Parkway, Suite 100 Durham, NC 27713	501(c)(3)	Trustee Grant	25,000	General Operating Support
CAST	40 Harvard Mills Square Suite 3 Wakefield, MA 01880	501(c)(3)	Trustee Grant	10,000	General Operating Support
John Hopkins University (Scleroderma Ctr)	4940 Eastern Avenue, B1 North, Baltimore, MD	501(c)(3)	Trustee Grant	25,000	General Operating Support
National Center of Family Philanthropy	1101 Connecticut Avenue, NW, Suite 220 DC 20036	501(c)(3)	Trustee Grant	15,000	General Operating Support
			Other Total	525,000	
			GRAND TOTAL	14,126,000	