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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

OMB No 1545-0052

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning JUL 1, 2009, and ending JUN 30, 2010

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type See Specific Instructions.	Name of foundation THE LUCAS BROTHERS FOUNDATION		A Employer identification number 94-2781117
	Number and street (or P.O. box number if mail is not delivered to street address) 3000 SAND HILL ROAD, BLDG. 3		B Telephone number (650) 854-4223
	Room/suite 210		C If exemption application is pending, check here ☐ D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
	City or town, state, and ZIP code MENLO PARK, CA 94025		
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col (c), line 16) J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ \$ 12,899,290. (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	10,000.		N/A	
2	Check ☐ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	4,638.	4,638.		STATEMENT 1
4	Dividends and interest from securities	16.	16.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	-734,483.			
b	Gross sales price for all assets on line 6a	585,044.			
7	Capital gain net income (from Part IV, line 2)		0.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	413.	413.		STATEMENT 3
12	Total. Add lines 1 through 11	-719,416.	5,067.		
13	Compensation of officers, directors, trustees, etc	55,000.	55,000.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees STMT 4	2,875.	1,436.		0.
b	Accounting fees STMT 5	28,285.	14,143.		0.
c	Other professional fees				
17	Interest				
18	Taxes				
19	Depreciation and depletion	2,946.	2,946.		
20	Occupancy				
21	Travel, conferences, and meetings	12,279.	12,279.		0.
22	Printing and publications				
23	Other expenses STMT 6	177,436.	175,338.		0.
24	Total operating and administrative expenses. Add lines 13 through 23	278,821.	261,142.		0.
25	Contributions, gifts, grants paid	151,000.			151,000.
26	Total expenses and disbursements. Add lines 24 and 25	429,821.	261,142.		151,000.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	-1,149,237.			
b	Net investment income (if negative, enter -0-)		0.		
c	Adjusted net income (if negative, enter -0-)			N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing					
	2 Savings and temporary cash investments			269,191.	106,547.	106,547.
	3 Accounts receivable ▶ 46,142.					
	Less: allowance for doubtful accounts ▶			14,007.	46,142.	46,142.
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶ 58,296.					
	Less: allowance for doubtful accounts ▶			76,339.	58,296.	58,296.
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges			6,500.	6,500.	6,500.
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock STMT 10			4,315,894.	10,105,063.	10,105,063.
	c Investments - corporate bonds					
Liabilities	11 Investments - land, buildings, and equipment: basis ▶					
	Less accumulated depreciation ▶					
	12 Investments - mortgage loans					
	13 Investments - other STMT 11			2,246,188.	2,571,054.	2,571,054.
	14 Land, buildings, and equipment: basis ▶ 21,109.					
	Less accumulated depreciation ▶ 15,421.			3,745.	5,688.	5,688.
	15 Other assets (describe ▶)					
	16 Total assets (to be completed by all filers)			6,931,864.	12,899,290.	12,899,290.
	17 Accounts payable and accrued expenses					
	18 Grants payable			4,665,730.	3,545,922.	
Liabilities	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶ STATEMENT 12)			53,840.	233,722.	
Net Assets or Fund Balances	23 Total liabilities (add lines 17 through 22)			4,719,570.	3,779,644.	
	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.					
	24 Unrestricted			2,212,294.	9,119,646.	
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.					
	27 Capital stock, trust principal, or current funds					
	28 Paid-in or capital surplus, or land, bldg., and equipment fund					
	29 Retained earnings, accumulated income, endowment, or other funds					
	30 Total net assets or fund balances			2,212,294.	9,119,646.	
31 Total liabilities and net assets/fund balances			6,931,864.	12,899,290.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,212,294.
2 Enter amount from Part I, line 27a	2	-1,149,237.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3	8,236,024.
4 Add lines 1, 2, and 3	4	9,299,081.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 9	5	179,435.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	9,119,646.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE STATEMENT A ATTACHED	P	VARIOUS	VARIOUS
b SEE STATEMENT A ATTACHED	P	VARIOUS	VARIOUS
c SEE STATEMENT A ATTACHED	P	VARIOUS	VARIOUS
d SEE STATEMENT A ATTACHED	P	VARIOUS	VARIOUS
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 27,976.		22,627.	5,349.
b 7,517.			7,517.
c 549,551.		1,215,129.	-665,578.
d		81,771.	-81,771.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(j) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			5,349.
b			7,517.
c			-665,578.
d			-81,771.
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-734,483.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	364,000.	7,510,373.	.048466
2007	911,666.	11,316,382.	.080562
2006	630,500.	8,038,758.	.078433
2005	158,672.	10,322,533.	.015371
2004	8,078.	7,678,589.	.001052

2 Total of line 1, column (d)	2	.223884
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.044777
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	9,865,534.
5 Multiply line 4 by line 3	5	441,749.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	0.
7 Add lines 5 and 6	7	441,749.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	151,000.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	0.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		3	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a 6,500.	7	6,500.
b Exempt foreign organizations - tax withheld at source	6b	8	
c Tax paid with application for extension of time to file (Form 8868)	6c	9	
d Backup withholding erroneously withheld	6d	10	6,500.
7 Total credits and payments. Add lines 6a through 6d		11	0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ☐ 6,500. Refunded ☐			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
3 Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► MELISSA L. FINDLEY Telephone no. ► (650) 854-4223 Located at ► 3000 SANDHILL RD., BLDG 3, #210, MENLO PARK, CA ZIP+4 ► 94025			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If "Yes," list the years ► , , ,		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► , , ,		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☒ Yes ☐ No		
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	3b	X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		55,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A**Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
	0.
2	
3	
4	

Part IX-B**Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
	0.
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	9,688,842.
b	Average of monthly cash balances	1b	210,304.
c	Fair market value of all other assets	1c	116,625.
d	Total (add lines 1a, b, and c)	1d	10,015,771.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	10,015,771.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	150,237.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	9,865,534.
6	Minimum investment return. Enter 5% of line 5	6	493,277.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	493,277.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	0.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	493,277.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	493,277.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	493,277.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	151,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	151,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	151,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				493,277.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007	218,091.			
e From 2008				
f Total of lines 3a through e	218,091.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 151,000.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				151,000.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	218,091.			218,091.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				124,186.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(I)(3) or ☐ 4942(I)(5)

- (4) Gross investment income**

[illegible]

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i>				
ST. GEORGE PARISH SCHOOL	NONE	TAX EXEMPT	EDUCATIONAL	1,000.
UNIVERSITY OF WASHINGTON	NONE	TAX EXEMPT	MEDICAL RESEARCH	75,000.
NATIVITY-MIGUEL NETWORK OF SCHOOLS	NONE	TAX EXEMPT	EDUCATIONAL	75,000.
Total			3a	151,000.
<i>b Approved for future payment</i>				
Total SEE STATEMENT 14			3b	3,545,922.

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

Employer identification number

THE LUCAS BROTHERS FOUNDATION

94-2781117

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

THE LUCAS BROTHERS FOUNDATION

94-2781117

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	JERRY & ANNE DOWN 2882 SAND HILL ROAD, SUITE 200 MENLO PARK, CA 94025	\$ 10,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS		STATEMENT	1
SOURCE	AMOUNT		
VARIOUS	4,638.		
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	4,638.		

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES		STATEMENT	2
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
VARIOUS	16.	0.	16.
TOTAL TO FM 990-PF, PART I, LN 4	16.	0.	16.

FORM 990-PF OTHER INCOME		STATEMENT	3
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER INCOME FROM PARTNERSHIPS	413.	413.	
TOTAL TO FORM 990-PF, PART I, LINE 11	413.	413.	

FORM 990-PF	LEGAL FEES		STATEMENT		4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
LEGAL FEES	2,875.	1,436.			0.
TO FM 990-PF, PG 1, LN 16A	2,875.	1,436.			0.

FORM 990-PF	ACCOUNTING FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	28,285.	14,143.			0.
TO FORM 990-PF, PG 1, LN 16B	28,285.	14,143.			0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
DUES AND SUBSCRIPTIONS	10,721.	10,721.			0.
INVESTMENT EXPENSES - PARTNERSHIPS	38,914.	38,914.			0.
PROFESSIONAL CONSULTANTS	91,603.	91,603.			0.
TELEPHONE	10,491.	8,393.			0.
INSURANCE	2,950.	2,950.			0.
MISCELLANEOUS EXPENSE	4,308.	4,308.			0.
COMPUTER CONSULTANTS	18,449.	18,449.			0.
TO FORM 990-PF, PG 1, LN 23	177,436.	175,338.			0.

FOOTNOTES				STATEMENT	7
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PART VIII INFORMATION ABOUT OFFICERS, DIRECTORS, ETC.
LINE 1, COLUMN C

DONALD L. LUCAS

DIRECTOR'S FEES	43,000.
DEFERRED REMUNERATION PAID	0.
TOTAL FEES PAID TO DONALD L. LUCAS (TO STATEMENT 13)	43,000.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	8
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DESCRIPTION	AMOUNT
UNREALIZED GAIN ON INVESTMENTS	6,963,620.
BOOK/TAX ADJUSTMENTS	150,574.
ACCRUED INTEREST INCOME	2,018.
GRANTS PAYABLE - WRITE OFF	1,119,812.
TOTAL TO FORM 990-PF, PART III, LINE 3	8,236,024.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	9
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DESCRIPTION	AMOUNT
DEFERRED EXCISE TAX LIABILITY	135,877.
DEFERRED REMUNERATION ADJUSTMENT	43,558.
TOTAL TO FORM 990-PF, PART III, LINE 5	179,435.

FORM 990-PF	CORPORATE STOCK	STATEMENT	10
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENT - CORPORATE STOCKS	10,105,063.	10,105,063.
TOTAL TO FORM 990-PF, PART II, LINE 10B	10,105,063.	10,105,063.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	11
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
INVESTMENT - OTHERS	COST	2,571,054.	2,571,054.
TOTAL TO FORM 990-PF, PART II, LINE 13		2,571,054.	2,571,054.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	12
DESCRIPTION	BOY AMOUNT	EOY AMOUNT	
DEFERRED REMUNERATION PAYABLE	53,637.	97,195.	
PARTNERSHIP CAPITAL PAYABLE	203.	650.	
DEFERRED TAXES	0.	135,877.	
TOTAL TO FORM 990-PF, PART II, LINE 22	53,840.	233,722.	

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	13
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
DONALD L. LUCAS 3000 SAND HILL RD BG 3 #210 MENLO PARK, CA 94025	DIRECTOR 10.00	43,000.	0.	0.
JOHN W. LUCAS 865 CANTERBURY SAN MARINO, CA 91108	DIRECTOR 0.00	0.	0.	0.
DONALD A. LUCAS 545 MIDDLEFIELD ROAD, SUITE 220 MENLO PARK, CA 94025	DIRECTOR 0.00	0.	0.	0.
PAUL JOAS C/O DAIN RAUSCHER, INC. 60 S. 6TH ST. 11TH FLOOR MINNEAPOLIS, MN 55402	DIRECTOR 0.00	0.	0.	0.
B.J. CASSIN 3000 SAND HILL RD BG 3 #210 MENLO PARK, CA 94025	DIRECTOR 0.00	0.	0.	0.
A. CRAWFORD COOLEY 961 SAN ANTONIO ROAD PETALUMA, CA 94952	DIRECTOR 0.00	0.	0.	0.
CHRISTOPHER B. LUCAS 450 N. BRAND BLVD. #600 GLENDALE, CA 91203	DIRECTOR 2.00	12,000.	0.	0.

THE LUCAS BROTHERS FOUNDATION

94-2781117

MARIO BELOTTI 19401 SAN MARCOS ROAD SARATOGA, CA 95070	DIRECTOR 0.00	0.	0.	0.
KURT A. LATTA P.O. BOX 3219 LOS ALTOS, CA 94024	SECRETARY 0.30	0.	0.	0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII	55,000.	0.	0.
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FORM 990-PF	GRANTS AND CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT	STATEMENT 14
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RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
CRISTO REY NETWORK OF SCHOOLS	NONE EDUCATIONAL	TAX EXEMPT	333,000.
NATIVITY-MIGUEL NETWORK OF SCHOOLS	NONE EDUCATIONAL	TAX EXEMPT	91,000.
SANTA CLARA UNIVERSITY BUSINESS OF SCHOOL	NONE EDUCATIONAL	TAX EXEMPT	700,000.
STANFORD INSTITUTE FOR ECONOMIC POLICY	NONE MEDICAL RESEARCH	TAX EXEMPT	800,000.
STANFORD SPECTROSCOPY CENTER	NONE MEDICAL RESEARCH	TAX EXEMPT	1546922.
UNIVERSITY OF WASHINGTON	NONE MEDICAL RESEARCH	TAX EXEMPT	75,000.
TOTAL TO FORM 990-PF, PART XV, LINE 3B			3,545,922.

THE LUCAS BROTHERS FOUNDATION
F E I N 94-2781117
SCHEDULE OF FIXED ASSETS
06/30/10

STATEMENT B

ASSET DESCRIPTION	DATE ACQUIRED	DEPR'N METHOD	DEF BAL @ LIFE 6/30/09	Additions	Deletions	BAL @ 6/30/10	ACCUMULATED DEPR'N			BAL @ 6/30/10	REMAINING		DEPRECIABLE PERIOD # months
							BAL @ 6/30/09	DEPR'N EXP	CURRENT RETIREMEN		BALANCE over # mos	LIFE # months	
EQUIPMENT													
COMPUTER EQUIPMENT	02/02/05	S/L	5	1,305 96		1,305 96	1,149 91	156 05		1,305 96	261	60 00	12 00
COMPUTER	05/03/05	S/L	5	2,281 94		2,281 94	1,896 52	385 42		2,281 94	456	60 00	12 00
COMPUTER	06/03/05	S/L	5	2,489 02		2,489 02	2,028 83	460 19		2,489 02	498	60 00	12 00
COMPUTER	07/19/05	S/L	5	1,440 72		1,440 72	1,137 93	288 00		1,425 93	288	60 00	12 00
COMPUTER EQUIPMENT	08/05/05	S/L	5	410 79		410 79	320 05	82 00		402 05	82	60 00	12 00
COMPUTER EQUIP (SERVER)	08/24/06	S/L	5	1,800 22		1,800 22	1,025 79	360 00		1,385 79	360	60 00	12 00
COMPUTER	08/24/06	S/L	5	1,084 11		1,084 11	618 15	217 00		835 15	217	60 00	12 00
COMPUTER	10/19/06	S/L	5	1,858 10		1,858 10	1,002 61	372 00		1,374 61	372	60 00	12 00
COMPUTER EQUIP (PRINTER)	12/12/06	S/L	5	519 58		519 58	264 94	104 00		368 94	104	60 00	12 00
COMPUTER	08/31/09	S/L	5		1,471 08	1,471 08	0 00	244 24		244 24	294	60 00	12 00
COMPUTER	10/29/09	S/L	5		1,609 13	1,609 13	0 00	215 14		215 14	322	60 00	12 00
COMPUTER EQUIP (SOFTWARE)	02/17/10	S/L	5		87 39	87 39	0 00	6 37		6 37	17	60 00	12 00
COMPUTER EQUIP (ROUTER)	02/24/10	S/L	5		61 60	61 60	0 00	4 25		4 25	12	60 00	12 00
COMPUTER	05/05/10	S/L	5		1,658 20	1,658 20	0 00	50 88		50 88	332	60 00	12 00
				13,190 44	4,887 40	0 00	18,077 84	9,444 74	2,945 54	0 00	12,390 28		
				=====	=====	=====	=====	=====	=====	=====	=====		
FURNITURE & FIXTURE													
OFFICE PICTURES/ARTWORK	07/20/92	S/L	7	3,031 00		3,031 00	3,031 00	0 00		3,031 00	0 00	84 00	12 00
				3,031 00	0 00	0 00	3,031 00	3,031 00	0 00	0 00	3,031 00		
				=====	=====	=====	=====	=====	=====	=====	=====	=====	=====
TOTAL				16,221 44	4,887 40	0 00	21,108 84	12,475 74	2,945 54	0 00	15,421 28		
				=====	=====	=====	=====	=====	=====	=====	=====		
				WTB			WTB			WTB			
AJE - 12							DR	CR					
6300				Depreciation Expense			2,945 54						
2600				Accumulated Depreciation			(2,945 54)						
Record current year depreciation expense													

THE LUCAS BROTHERS FOUNDATION
F E I N 94-2781117
CAPITAL GAIN/LOSS SCHEDULE
7/1/09-6/30/10

SHORT-TERM GAIN/(LOSS)

DESCRIPTION	DATE SOLD	DATE ACQUIRED/ SOLD SHORT	# OF SHARES	SALES PRICE	COST OR OTHER BASIS	SHORT-TERM GAIN/(LOSS)
NEXTWAVE WIRELESS	10/19/09	10/20/09	9,537	7,524	8,203	(679)
VIMICRO INT CORP	01/29/10	11/25/09	2,500	11,204	10,375	829
CERUS CORP	02/02/10	6/30/2009	2,000	3,652	1,620	2,032
CERUS CORP	02/03/10	6/30/2009	3,000	5,598	2,430	3,168
SECURITY SALES				<u>27,976</u>	<u>22,628</u>	5,349
PARTNERSHIPS						7,517
TOTAL SHORT-TERM GAIN/(LOSS)						<u>12,866</u>

LONG-TERM GAIN/(LOSS)

DESCRIPTION	DATE SOLD	DATE ACQUIRED/ SOLD SHORT	# OF SHARES	SALES PRICE	COST OR OTHER BASIS	LONG-TERM GAIN/(LOSS)
PULMONX	07/22/09	03/01/97	3,907	1	3,907	(3,906)
CERUS CORP	07/24/09	07/26/07	2,500	4,984	15,658	(10,674)
CERUS CORP	07/24/09	12/03/07	2,500	4,984	16,767	(11,782)
CERUS CORP	07/24/09	07/26/07	5,000	10,076	31,381	(21,305)
CERUS CORP	07/24/09	08/10/07	5,000	10,157	38,281	(28,123)
CERUS CORP	07/27/09	08/23/07	5,000	11,015	35,367	(24,352)
CERUS CORP	07/27/09	08/23/07	5,000	14,425	35,367	(20,942)
CERUS CORP	08/06/09	04/18/06	2,578	4,103	18,667	(14,564)
CERUS CORP	08/06/09	02/14/07	5,000	7,959	28,805	(20,846)
CERUS CORP	08/06/09	02/21/07	2,422	3,855	13,904	(10,049)
CERUS CORP	08/12/09	02/21/07	2,578	4,717	14,800	(10,083)
CERUS CORP	08/12/09	03/08/07	2,422	4,432	15,479	(11,047)
DEXCOM, INC	08/14/09	03/14/08	2,000	13,613.64	9,837	3,776
DEXCOM, INC	08/18/09	05/17/02	1,522	10,668.94	32,631	(21,962)
DEXCOM, INC	08/18/09	03/14/08	1,000	7,103.81	4,919	2,185
DEXCOM, INC	08/21/09	03/14/08	1,000	7,903.79	4,919	2,985
IP WIRELESS	10/19/09	11/01/99	9,537	9,197.59	0	9,198
CERUS CORP	11/13/09	03/20/07	2,500	6,676.32	17,113	(10,436)
CERUS CORP	11/23/09	07/30/07	2,500	4,731.87	15,587	(10,855)
CERUS CORP	11/23/09	07/30/07	2,500	4,731.87	15,587	(10,855)
CERUS CORP	11/23/09	08/30/07	2,500	4,731.87	16,053	(11,321)
CERUS CORP	12/01/09	08/07/07	800	1,480.04	5,348	(3,868)
CERUS CORP	12/01/09	08/06/07	700	1,295.03	4,667	(3,372)
CERUS CORP	12/01/09	08/03/07	3,500	6,475.18	22,474	(15,999)
CERUS CORP	12/03/09	08/07/07	500	916.22	3,343	(2,426)
CERUS CORP	12/03/09	08/07/07	3,000	5,497.35	20,056	(14,559)
CERUS CORP	12/03/09	08/10/07	500	916.23	3,754	(2,838)
CERUS CORP	12/04/09	08/10/07	2,500	4,600.87	18,770	(14,169)
DEXCOM, INC	01/04/10	03/14/08	2,100	17,570.75	10,334	7,237
CERUS CORP	1/11/2010	12/3/2007	1,300	2,461	8,719	(6,258)
CERUS CORP	1/11/2010	12/3/2007	1,200	2,481	8,048	(5,567)
CERUS CORP	1/11/2010	12/8/2008	1,000	2,067	931	1,136
CERUS CORP	1/11/2010	12/8/2008	2,500	5,039	2,328	2,711
CERUS CORP	01/11/10	12/8/2008	1,500	3,101	1,397	1,705
CERUS CORP	01/11/10	12/10/2008	3,500	7,236	3,021	4,215
CERUS CORP	01/14/10	03/08/07	2,578	5,445	16,476	(11,031)
CERUS CORP	01/14/10	03/09/07	10,000	21,121	64,005	(42,883)
CERUS CORP	01/14/10	06/26/07	7,422	15,676	47,986	(32,309)
CERUS CORP	01/14/10	12/10/2008	1,500	3,218	1,295	1,923
CERUS CORP	01/14/10	1/8/2009	1,000	2,145	811	1,335
CERUS CORP	01/14/10	08/13/07	5,000	11,121.35	34,526	(23,405)
CERUS CORP	01/14/10	08/10/07	2,000	4,448.54	15,016	(10,567)
CERUS CORP	01/25/10	06/26/07	2,578	5,002	16,668	(11,666)
CERUS CORP	01/25/10	06/27/07	2,422	4,699	15,758	(11,059)
CERUS CORP	01/25/10	02/20/07	5,000	9,493.87	29,392	(19,898)

STATEMENT A

THE LUCAS BROTHERS FOUNDATION
F E I N 94-2781117
CAPITAL GAIN/LOSS SCHEDULE
7/1/09-6/30/10

SHORT-TERM GAIN/(LOSS)

DESCRIPTION	DATE SOLD	DATE ACQUIRED/ SOLD SHORT	# OF SHARES	SALES PRICE	COST OR OTHER BASIS	SHORT-TERM GAIN/(LOSS)
CERUS CORP	01/26/10	06/27/07	6,200	11,899	40,338	(28,438)
CERUS CORP	01/28/10	06/27/07	1,387	2,576	8,965	(6,389)
CERUS CORP	01/28/10	07/03/07	8,622	16,119	59,582	(43,463)
CERUS CORP	02/01/10	1/8/2009	2,500	4,533	2,026	2,507
CERUS CORP	02/02/10	1/8/2009	1,500	2,739	1,216	1,523
CERUS CORP	02/03/10	07/03/07	1,378	2,756	9,523	(6,767)
CERUS CORP	02/03/10	07/26/07	1,122	2,244	6,892	(4,648)
CERUS CORP	02/03/10	07/26/07	5,000	9,795	30,715	(20,919)
CERUS CORP	02/09/10	07/26/07	298	629	1,831	(1,202)
CERUS CORP	02/09/10	07/27/07	2,202	4,644	13,983	(9,339)
CERUS CORP	02/12/10	07/27/07	1,223	2,579	7,766	(5,187)
CERUS CORP	02/12/10	07/30/07	3,777	7,966	23,672	(15,706)
CERUS CORP	02/17/10	07/30/07	3,878	9,265	24,305	(15,039)
CERUS CORP	02/17/10	08/01/07	1,122	2,681	7,267	(4,586)
CERUS CORP	03/01/10	08/01/07	5,000	11,645	32,384	(20,738)
CERUS CORP	03/02/10	08/01/07	337	794	2,183	(1,388)
CERUS CORP	03/03/10	08/01/07	200	469	1,295	(826)
CERUS CORP	03/05/10	08/01/07	3,341	7,675	21,639	(13,964)
CERUS CORP	03/05/10	06/25/08	207	475	2,330	(1,855)
CERUS CORP	03/05/10	01/06/09	11,452	26,306	9,316	16,990
CERUS CORP	03/05/10	05/02/07	2,968	6,737.57	21,046	(14,309)
CERUS CORP	03/05/10	05/02/07	2,032	4,647.21	14,409	(9,762)
CERUS CORP	03/08/10	01/06/09	7,500	17,248	6,101	11,146
CERUS CORP	03/08/10	01/06/09	4,103	9,601	3,338	6,263
CERUS CORP	03/08/10	01/06/09	1,566	3,701	1,274	2,427
CERUS CORP	03/09/10	01/06/09	5,379	13,550	4,376	9,175
CERUS CORP	03/09/10	08/01/07	5,000	13,243.82	32,896	(19,652)
CERUS CORP	03/09/10	08/01/07	200	535.99	1,310	(774)
CERUS CORP	03/09/10	08/02/07	5,000	12,599.83	32,766	(20,166)
CERUS CORP	03/11/10	08/01/07	4,800	12,761.83	31,433	(18,671)
DEXCOM INC	12/03/09	03/18/08	2,000	14,763.61	8,359.87	6,404
DEXCOM INC	12/11/09	03/18/08	500	3,771.40	2,089.97	1,681
DEXCOM INC	12/14/09	03/18/08	2,000	15,023.60	8,359.86	6,664

SECURITY SALES	549,551	1,215,129	(665,578)
PARTNERSHIPS			(81,771)
TOTAL LONG-TERM GAIN/(LOSS)			(747,349)
GRAND TOTAL			(734,483)