Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2009

Open to Public Inspection

A For the 2009 calendar year, or tax year beginning 07-01-2009 and ending 06-30-2010

B Check if applicable
- Address change
- Name change
- Initial return
- Terminated
- Amended return
- Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization
Oregon State University Alumni Assn Inc

Doing Business As

Number and street (or P O box if mail is not delivered to street address) | Room/suite
204 CH2M Hill Alumni Cntr

City or town, state or country, and ZIP + 4
Corvallis, OR 973316303

D Employer identification number
93-0470717

E Telephone number
(541) 737-2351

G Gross receipts $ 7,265,418

F Name and address of principal officer
Christi Kasten
204 CH2M Hill Alumni Cntr
Corvallis,OR 973316303

H(a) Is this a group return for affiliates? ☐ Yes ☑ No

H(b) **Are all affiliates included?** ☐ Yes ☐ No
If "No," attach a list (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status ☑ 501(c) (3) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J **Website:** ▶ http //alumni oregonstate edu

K Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶ | L Year of formation 1956 | M State of legal domicile OR

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities
be a part of OSU by engaging alumni in the life, promotion and advancement of the university

2 Check this box ▶☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3	Number of voting members of the governing body (Part VI, line 1a)	3	27
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	27
5	Total number of employees (Part V, line 2a)	5	19
6	Total number of volunteers (estimate if necessary)	6	27
7a	Total gross unrelated business revenue from Part VIII, column (C), line 12	7a	54,656
b	Net unrelated business taxable income from Form 990-T, line 34	7b	-4,622

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	1,005,428	858,576
	9	Program service revenue (Part VIII, line 2g)	470,711	409,631
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	-240,605	-845,197
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	605,409	612,569
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	1,840,943	1,035,579
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	196,999	194,834
	14	Benefits paid to or for members (Part IX, column (A), line 4)		0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	612,971	643,314
	16a	Professional fundraising fees (Part IX, column (A), line 11e)		0
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶26,820		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f)	1,532,716	1,440,260
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	2,342,686	2,278,408
	19	Revenue less expenses Subtract line 18 from line 12	-501,743	-1,242,829

			Beginning of Current Year	End of Year
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	17,596,771	18,212,571
	21	Total liabilities (Part X, line 26)	186,039	229,422
	22	Net assets or fund balances Subtract line 21 from line 20	17,410,732	17,983,149

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

****** | 2011-05-13
Signature of officer | Date

Christi Kasten Interim Executive Director
Type or print name and title

Paid Preparer's Use Only

Preparer's signature ▶ Donald King CPA | Date | Check if self-employed ▶ ☐ | Preparer's identifying number (see instructions)

Firm's name (or yours if self-employed), address, and ZIP + 4 ▶ AKT LLP
680 Hawthorne Ave SE 140
Salem, OR 97301

EIN ▶

Phone no ▶ (503) 585-7774

May the IRS discuss this return with the preparer shown above? (see instructions) ☑ Yes ☐ No

Part III Statement of Program Service Accomplishments

1 Briefly describe the organization's mission

Be an indispensable part of Oregon State University by engaging alumni and friends in the life, promotion and advancement of the university

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . ☐ Yes ☑ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . ☐ Yes ☑ No

If "Yes," describe these changes on Schedule O

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses $ 766,678 including grants of $) (Revenue $ 142,371)

The OSU Alumni Association's 12,704 annual members and 5,544 life members have access to free or discounted admission to OSUAA activities, the opportunity to access discounts and services with our partner organizations, and discounts on selected merchandise and travel accommodations These benefits are provided to encourage alumni to remain connected and to promote involvement with the university

4b (Code) (Expenses $ 105,848 including grants of $) (Revenue $ 91,171)

OSUAA Supported OSU's athletic programs with home and away pre-game tailgater celebrations Home events were attended by thousands of fans throughout the football season The OSUAA provided activities at 6 away games with a total of approximately 3,000 attendees These celebrations and activities are hosted to engage the alumni in supporting OSU's athletic programs

4c (Code) (Expenses $ 8,224 including grants of $) (Revenue $ 210)

Homecoming has become well-established, with many events planned by the student alumni association Parade was changed to an evening event leading into traditional bonfire and pep rally attended by more than 800 students and alumni This event provides an opportunity for current and former OSU students to show their pride and commitment to the university

4d Other program services (Describe in Schedule O) **See also Additional Data for Description**

(Expenses $ 809,851 including grants of $ 194,834) (Revenue $ 391,882)

4e **Total program service expenses** ▶$ 1,690,601

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	Yes	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		No
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities? *If "Yes," complete Schedule C, Part II*	4		No
5	**Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations.** Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? *If "Yes," complete Schedule C, Part III*	5		
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? *If "Yes," complete Schedule D, Part II*	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		No
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		No
10	Did the organization, directly or through a related organization, hold assets in term, permanent,or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10	Yes	
11	Is the organization's answer to any of the following questions "Yes"? *If so,complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.*	11	Yes	
	• Did the organization report an amount for land, buildings, and equipment in Part X, line10? *If "Yes," complete Schedule D, Part VI.*			
	• Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII.*			
	• Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII.*			
	• Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX.*			
	• Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X.*			
	• Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? *If "Yes," complete Schedule D, Part X.*			
12	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI, XII, and XIII*	12	Yes	
12A	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional* — 12A: Yes / No: No			
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		No
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, and program service activities outside the United States? *If "Yes," complete Schedule F, Part I*	14b		No
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the U S ? *If "Yes," complete Schedule F, Part II*	15		No
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the U S ? *If "Yes," complete Schedule F, Part III*	16		No
17	Did the organization report a total of more than $15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I*	17		No
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		No
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		No
20	Did the organization operate one or more hospitals? *If "Yes," complete Schedule H*	20		No

Part IV Checklist of Required Schedules *(continued)*

21	Did the organization report more than $5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21		No
22	Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer questions 24b–24d and complete Schedule K. If "No," go to line 25*	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II*	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? *If "Yes," complete Schedule L, Part III*	27		No
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or owner? *If "Yes," complete Schedule L, Part IV*	28c		No
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I*	33		No
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1*	34		No
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35		No
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

					Yes	No
1a	Enter the number reported in Box 3 of Form 1096, *Annual Summary and Transmittal of U.S. Information Returns. Enter -0-* if not applicable	1a	22			
b	Enter the number of Forms W-2G included in line 1a *Enter -0-* if not applicable	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c	Yes	
2a	Enter the number of employees reported on Form W-3, *Transmittal of Wage and Tax Statements* filed for the calendar year ending with or within the year covered by this return	2a	19			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note:** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return (see instructions)			2b	Yes	
3a	Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return?			3a	Yes	
b	If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O*			3b	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			4a		No
b	If "Yes," enter the name of the foreign country ▶ See the instructions for exceptions and filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			5a		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b		No
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?			5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible?			6a		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b		
7	**Organizations that may receive deductible contributions under section 170(c).**					
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?			7a		No
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c		No
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			7f		No
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?			7g		
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?			7h		
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?			8		
9	**Sponsoring organizations maintaining donor advised funds.**					
a	Did the organization make any taxable distributions under section 4966?			9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?			9b		
10	**Section 501(c)(7) organizations.** Enter					
a	Initiation fees and capital contributions included on Part VIII, line 12	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	**Section 501(c)(12) organizations.** Enter					
a	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				

Part VI **Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Section A. Governing Body and Management

			Yes	No
1a	Enter the number of voting members of the governing body . .	**1a** 27		
b	Enter the number of voting members that are independent . .	**1b** 27		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	**2**		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	**3**		No
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?	**4**	Yes	
5	Did the organization become aware during the year of a material diversion of the organization's assets?	**5**		No
6	Does the organization have members or stockholders?	**6**	Yes	
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	**7a**	Yes	
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	**7b**	Yes	
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following			
a	The governing body?	**8a**	Yes	
b	Each committee with authority to act on behalf of the governing body?	**8b**	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	**9**		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

			Yes	No
10a	Does the organization have local chapters, branches, or affiliates?	**10a**		No
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	**10b**		
11	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	**11**	Yes	
11A	Describe in Schedule O the process, if any, used by the organization to review the Form 990			
12a	Does the organization have a written conflict of interest policy? *If "No," go to line 13*	**12a**	Yes	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	**12b**	Yes	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	**12c**	Yes	
13	Does the organization have a written whistleblower policy?	**13**	Yes	
14	Does the organization have a written document retention and destruction policy?	**14**	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	**15a**	Yes	
b	Other officers or key employees of the organization	**15b**		No
	If "Yes" to line a or b, describe the process in Schedule O (See instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	**16a**		No
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	**16b**		

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed ▶OR

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you make these available Check all that apply

☐ Own website ☐ Another's website ☑ Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public See Additional Data Table

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶

osu alumni assn inc
204 ch2m hill alumni center
corvallis, OR 973316303
(541) 737-2351

Part VII **Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year Use Schedule J-2 if additional space is needed

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and **current** key employees Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☐ Check this box if the organization did not compensate any current or former officer, director, trustee or key employee

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply) Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
See add'l data										

1b Total . . . . ▶	210,433	0	40,488

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 in reportable compensation from the organization ▶1

		Yes	No
3 Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	**3**		No
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	**4**	Yes	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? *If "Yes," complete Schedule J for such person*	**5**	Yes	

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization

(A) Name and business address	**(B)** Description of services	**(C)** Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶0

Part VIII Statement of Revenue

Section	Line	Description	Amount / Business Code / (i)	(ii)	(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns . . . 1a						
	b	Membership dues 1b	562,492					
	c	Fundraising events 1c						
	d	Related organizations . . . 1d						
	e	Government grants (contributions) 1e						
	f	All other contributions, gifts, grants, and similar amounts not included above 1f	296,084					
	g	Noncash contributions included in lines 1a-1f $						
	h	**Total.** Add lines 1a-1f . . . . ▶			858,576			
Program Service Revenue			Business Code					
	2a	ALUMNI CENTER REVENUE	900,099		116,422	116,422		
	b	PROMOTION EVENTS	900,099		113,279	113,279		
	c	communities	900,099		81,948	81,948		
	d	MISC HOSTED EVENTS	900,099		43,316	43,316		
	e	academy for lifelong l	900,099		39,340	39,340		
	f	All other program service revenue			15,326	15,326		
	g	**Total.** Add lines 2a–2f . . . . ▶			409,631			
Other Revenue	3	Investment income (including dividends, interest and other similar amounts) . . . . . ▶			213,529			213,529
	4	Income from investment of tax-exempt bond proceeds . . ▶						
	5	Royalties . . . . ▶						
			(i) Real	(ii) Personal				
	6a	Gross Rents	269,425					
	b	Less rental expenses	362,364					
	c	Rental income or (loss)	-92,939					
	d	Net rental income or (loss) . . . . ▶			-92,939		-8,261	-84,678
			(i) Securities	(ii) Other				
	7a	Gross amount from sales of assets other than inventory	4,808,749					
	b	Less cost or other basis and sales expenses	5,866,079	1,396				
	c	Gain or (loss)	-1,057,330	-1,396				
	d	Net gain or (loss) . . . . ▶			-1,058,726			-1,058,726
	8a	Gross income from fundraising events (not including $ ______ of contributions reported on line 1c) See Part IV, line 18 . . . a						
	b	Less direct expenses . . . b						
	c	Net income or (loss) from fundraising events . . ▶						
	9a	Gross income from gaming activities See Part IV, line 19 . . . a						
	b	Less direct expenses . . . b						
	c	Net income or (loss) from gaming activities . . . ▶						
	10a	Gross sales of inventory, less returns and allowances . a						
	b	Less cost of goods sold . . b						
	c	Net income or (loss) from sales of inventory . . ▶						
		Miscellaneous Revenue	Business Code					
	11a	Affinity/insurance/ ot	900,099		489,505			489,505
	b	STATER	541,800		185,317	150,177	35,140	
	c	TRAVEL PROGRAM	561,500		27,777		27,777	
	d	All other revenue			2,909	2,909		
	e	**Total.** Add lines 11a–11d . . . . . . ▶			705,508			
	12	**Total revenue.** See Instructions . . . ▶			1,035,579	562,717	54,656	-440,370

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.
All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	**(A)** Total expenses	**(B)** Program service expenses	**(C)** Management and general expenses	**(D)** Fundraising expenses
1 Grants and other assistance to governments and organizations in the U S See Part IV, line 21				
2 Grants and other assistance to individuals in the U S See Part IV, line 22	194,834	194,834		
3 Grants and other assistance to governments, organizations, and individuals outside the U S See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	64,737		64,737	
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	411,793	299,197	112,596	
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)				
9 Other employee benefits				
10 Payroll taxes	166,784	104,718	62,066	
11 Fees for services (non-employees)				
a Management				
b Legal				
c Accounting	23,972		23,972	
d Lobbying				
e Professional fundraising *See Part IV, line 17* . .				
f Investment management fees	17,358		17,358	
g Other	22,623		22,623	
12 Advertising and promotion	239,883	224,771	15,112	
13 Office expenses	41,703		41,703	
14 Information technology				
15 Royalties . .				
16 Occupancy				
17 Travel	54,870	15,958	38,912	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	33,000		33,000	
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	46,177		46,177	
23 Insurance	13,205		13,205	
24 Other expenses Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below)				
a stater expenses	357,878	357,878		
b membership	187,127	187,127		
c alumni communities	137,479	137,479		
d other assoc expense	59,731	55,235	4,496	
e affinity card	44,000	44,000		
f All other expenses	161,254	69,404	65,030	26,820
25 Total functional expenses. Add lines 1 through 24f	2,278,408	1,690,601	560,987	26,820
26 Joint costs. Check here ▶ ☐ if following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

				(A) Beginning of year		(B) End of year
Assets	1	Cash—non-interest-bearing		26,575	1	59,603
	2	Savings and temporary cash investments		1,561,064	2	946,314
	3	Pledges and grants receivable, net		257,650	3	198,508
	4	Accounts receivable, net		31,740	4	39,423
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L			5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Complete Part II of Schedule L			6	
	7	Notes and loans receivable, net			7	
	8	Inventories for sale or use			8	
	9	Prepaid expenses and deferred charges		85,606	9	99,226
	10a	Land, buildings, and equipment cost or other basis *Complete Part VI of Schedule D*	10a 752,757			
	b	Less accumulated depreciation	10b 508,623	287,655	10c	244,134
	11	Investments—publicly traded securities		7,741,272	11	9,125,659
	12	Investments—other securities See Part IV, line 11		69,390	12	84,517
	13	Investments—program-related See Part IV, line 11			13	
	14	Intangible assets			14	
	15	Other assets See Part IV, line 11		7,535,819	15	7,415,187
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)		17,596,771	16	18,212,571
Liabilities	17	Accounts payable and accrued expenses		63,014	17	88,003
	18	Grants payable			18	
	19	Deferred revenue		123,025	19	141,419
	20	Tax-exempt bond liabilities			20	
	21	Escrow or custodial account liability *Complete Part IV of Schedule D*			21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons *Complete Part II of Schedule L*			22	
	23	Secured mortgages and notes payable to unrelated third parties			23	
	24	Unsecured notes and loans payable to unrelated third parties			24	
	25	Other liabilities Complete Part X of Schedule D			25	
	26	**Total liabilities.** Add lines 17 through 25		186,039	26	229,422
Net Assets or Fund Balances		**Organizations that follow SFAS 117, check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.**				
	27	Unrestricted net assets		8,885,606	27	9,621,794
	28	Temporarily restricted net assets		8,203,107	28	8,033,622
	29	Permanently restricted net assets		322,019	29	327,733
		Organizations that do not follow SFAS 117, check here ▶ ☐ and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		17,410,732	33	17,983,149
	34	Total liabilities and net assets/fund balances		17,596,771	34	18,212,571

Part XI Financial Statements and Reporting

			Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other ______ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? . .	2a		No
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes	
c	If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O . . .	2c	Yes	
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both ☑ Separate basis ☐ Consolidated basis ☐ Both consolidated and separated basis			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		No
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits . .	3b		

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SCHEDULE A (Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ **Attach to Form 990 or Form 990-EZ.** ▶ **See separate instructions.**

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
Oregon State University Alumni Assn Inc

Employer identification number
93-0470717

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1 ☐ A church, convention of churches, or association of churches **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☑ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a ☐ Type I **b** ☐ Type II **c** ☐ Type III - Functionally integrated **d** ☐ Type III - Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i) a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization?	**11g(i)**		
(ii) a family member of a person described in (i) above?	**11g(ii)**		
(iii) a 35% controlled entity of a person described in (i) or (ii) above?	**11g(iii)**		

h Provide the following information about the supported organization(s)

(i) Name of supported organization	**(ii)** EIN	**(iii)** Type of organization (described on lines 1- 9 above or IRC section (see instructions))	**(iv)** Is the organization in col (i) listed in your governing document? Yes	No	**(v)** Did you notify the organization in col (i) of your support? Yes	No	**(vi)** Is the organization in col (i) organized in the U S ? Yes	No	**(vii)** Amount of support?
Total									

Part II **Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	**(a)** 2005	**(b)** 2006	**(c)** 2007	**(d)** 2008	**(e)** 2009	**(f)** Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")	640,840	895,357	918,017	1,005,428	858,576	4,318,218
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge	1,053,699	1,055,816	1,088,661	1,085,486	991,667	5,275,329
4 **Total.** Add lines 1 through 3	1,694,539	1,951,173	2,006,678	2,090,914	1,850,243	9,593,547
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 **Public Support.** Subtract line 5 from line 4						9,593,547

Section B. Total Support

Calendar year (or fiscal year beginning in)	**(a)** 2005	**(b)** 2006	**(c)** 2007	**(d)** 2008	**(e)** 2009	**(f)** Total
7 Amounts from line 4	1,694,539	731,083	2,006,678	2,090,914	1,850,243	9,593,547
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	445,801	731,083	1,064,590	477,771	413,588	3,132,833
9 Net income from unrelated business activities, whether or not the business is regularly carried on	27,343	23,666	25,542	13,004	-4,621	84,934
10 Other income (Explain in Part IV) Do not include gain or loss from the sale of capital assets	547,666	583,730	585,672	584,007	642,591	2,943,666
11 **Total support** (Add lines 7 through 10)						15,754,980

12 Gross receipts from related activities, etc (See instructions) | **12** | 2,082,770

13 **First Five Years** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ☐

Section C. Computation of Public Support Percentage

14 Public Support Percentage for 2009 (line 6 column (f) divided by line 11 column (f)) | **14** | 60 890 %

15 Public Support Percentage for 2008 Schedule A, Part II, line 14 | **15** | 62 420 %

16a **33 1/3% support test—2009.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ☑

b **33 1/3% support test—2008.** If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ☐

17a **10%-facts-and-circumstances test—2009.** If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ☐

b **10%-facts-and-circumstances test—2008.** If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ☐

18 **Private Foundation** If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions ☐

Part III **Support Schedule for Organizations Described in IRC 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	**(a)** 2005	**(b)** 2006	**(c)** 2007	**(d)** 2008	**(e)** 2009	**(f)** Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public Support** (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in)	**(a)** 2005	**(b)** 2006	**(c)** 2007	**(d)** 2008	**(e)** 2009	**(f)** Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13 **Total support** (Add lines 9, 10c, 11 and 12)						

14 **First Five Years** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ▶☐

Section C. Computation of Public Support Percentage

15 Public Support Percentage for 2009 (line 8 column (f) divided by line 13 column (f))	15	
16 Public support percentage from 2008 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for **2009** (line 10c column (f) divided by line 13 column (f))	17	
18 Investment income percentage from **2008** Schedule A, Part III, line 17	18	

19a **33 1/3% support tests—2009.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

b **33 1/3% support tests—2008.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

20 **Private Foundation** If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions ▶☐

Part IV **Supplemental Information.** Supplemental Information. Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Provide any other additional information. See instructions

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SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527
▶ Complete if the organization is described below.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

If the organization answered "Yes," to Form 990, Part IV, Line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes," to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes," to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, line 35a (regarding proxy tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization	Employer identification number
Oregon State University Alumni Assn Inc	93-0470717

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV

2 Political expenditures ▶ $ ____

3 Volunteer hours ____

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ $ ____

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ $ ____

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No

4a Was a correction made? ☐ Yes ☐ No

b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c) except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ $ ____

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt funtion activities ▶ $ ____

3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ $ ____

4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No

5 State the names, addresses and employer identification number (EIN) of all section 527 political organizations to which payments were made For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	**(b)** Address	**(c)** EIN	**(d)** Amount paid from filing organization's funds If none, enter -0-	**(e)** Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ☐ if the filing organization belongs to an affiliated group
B Check ☐ if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	**(a)** Filing Organization's Totals	**(b)** Affiliated Group Totals
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)	0	
b Total lobbying expenditures to influence a legislative body (direct lobbying)	0	
c Total lobbying expenditures (add lines 1a and 1b)	0	
d Other exempt purpose expenditures	2,278,408	
e Total exempt purpose expenditures (add lines 1c and 1d)	2,278,408	
f Lobbying nontaxable amount Enter the amount from the following table in both columns	263,920	

If the amount on line 1e, column (a) or (b) is:	**The lobbying nontaxable amount is:**
Not over $500,000	20% of the amount on line 1e
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000
Over $17,000,000	$1,000,000

	(a)	(b)
g Grassroots nontaxable amount (enter 25% of line 1f)	65,980	
h Subtract line 1g from line 1a If zero or less, enter -0-	0	
i Subtract line 1f from line 1c If zero or less, enter -0-	0	

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ **Yes** ☐ **No**

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	**(a)** 2006	**(b)** 2007	**(c)** 2008	**(d)** 2009	**(e)** Total
2a Lobbying non-taxable amount	250,424	260,249	267,134	263,920	1,041,727
b Lobbying ceiling amount (150% of line 2a, column(e))					1,562,591
c Total lobbying expenditures					
d Grassroots non-taxable amount	62,606	65,062	66,193	65,980	259,841
e Grassroots ceiling amount (150% of line 2d, column (e))					389,762
f Grassroots lobbying expenditures					

Part II-B **Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

		(a) Yes	(a) No	(b) Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?			
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c	Media advertisements?			
d	Mailings to members, legislators, or the public?			
e	Publications, or published or broadcast statements?			
f	Grants to other organizations for lobbying purposes?			
g	Direct contact with legislators, their staffs, government officials, or a legislative body?			
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i	Other activities? If "Yes," describe in Part IV			
j	Total lines 1c through 1i			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3		

Part III-B **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes".**

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) non-deductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV **Supplemental Information**

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i
Also, complete this part for any additional information

Identifier	Return Reference	Explanation

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493136043571

SCHEDULE D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
Oregon State University Alumni Assn Inc

Employer identification number
93-0470717

Part I **Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit ☐ Yes ☐ No

Part II **Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)
- ☐ Preservation of land for public use (e g , recreation or pleasure)
- ☐ Preservation of an historically importantly land area
- ☐ Protection of natural habitat
- ☐ Preservation of a certified historic structure
- ☐ Preservation of open space

2 Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

			Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ▶

4 Number of states where property subject to conservation easement is located ▶

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ▶

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $

(ii) Assets included in Form 990, Part X ▶ $

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ $

b Assets included in Form 990, Part X ▶ $

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table

		Amount
c Beginning balance	1c	
d Additions during the year	1d	
e Distributions during the year	1e	
f Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current Year	(b) Prior Year	(c) Two Years Back	(d) Three Years Back	(e) Four Years Back
1a Beginning of year balance	330,160	61,532			
b Contributions	6,674	281,505			
c Investment earnings or losses	4,187	-12,877			
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance	341,021	330,160			

2 Provide the estimated percentage of the year end balance held as

a Board designated or quasi-endowment ▶ 98.000 %

b Permanent endowment ▶ 2.000 %

c Term endowment ▶ 0 %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

		Yes	No
(i) unrelated organizations	3a(i)	Yes	
(ii) related organizations	3a(ii)		No
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIV the intended uses of the organization's endowment funds

Part VI Investments—Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		304,127	153,151	150,976
d Equipment		448,630	355,472	93,158
e Other				
Total. Add lines 1a-1e *(Column (d) should equal Form 990, Part X, column (B), line 10(c).)* ▶				244,134

Part VII Investments—Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Financial derivatives		
Closely-held equity interests		
Other		
Total. *(Column (b) should equal Form 990, Part X, col (B) line 12)* ▶		

Part VIII Investments—Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Total. *(Column (b) should equal Form 990, Part X, col (B) line 13)* ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
alumni center bldg artwork	80,838
contributed use of alumni center	7,334,349
Total. *(Column (b) should equal Form 990, Part X, col.(B) line 15.)* . . . . ▶	7,415,187

Part X Other Liabilities. See Form 990, Part X, line 25.

1 (a) Description of Liability	(b) Amount
Federal Income Taxes	
See Additional Data Table	
Total. *(Column (b) should equal Form 990, Part X, col (B) line 25)* ▶	

2. Fin 48 Footnote In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48

Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	1,035,579
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	2,278,408
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	-1,242,829
4	Net unrealized gains (losses) on investments	4	1,935,878
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	-120,632
9	Total adjustments (net) Add lines 4 - 8	9	1,815,246
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	572,417

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements			1	4,296,135
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains on investments	2a	1,770,806		
b	Donated services and use of facilities	2b	991,667		
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIV)	2d	529,406		
e	Add lines **2a** through **2d**			2e	3,291,879
3	Subtract line **2e** from line **1**			3	1,004,256
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV)	4b	31,323		
c	Add lines **4a** and **4b**			4c	31,323
5	Total Revenue Add lines **3** and **4c.** (This should equal Form 990, Part I, line 12)			5	1,035,579

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements			1	3,723,718
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a	1,112,299		
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIV)	2d	364,334		
e	Add lines **2a** through **2d**			2e	1,476,633
3	Subtract line **2e** from line **1**			3	2,247,085
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV)	4b	31,323		
c	Add lines **4a** and **4b**			4c	31,323
5	Total expenses Add lines **3** and **4c.** (This should equal Form 990, Part I, line 18)			5	2,278,408

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b Also complete this part to provide any additional information

Identifier	Return Reference	Explanation
Part V, Line 4	Description of Intended Use of Endowment Funds	Schoenstein Scholarship Fund The earnings from this fund are to be used to provide scholarships for sophomore, junior or senior students returning to OSU Recipients will be selected by the financial aid department of OSU All gifts and contributions received for credit to the Fund, together with the net appreciation and net income generated by the Fund, shall be held until contributions to the Fund reach Twenty Five Thousand Dollars Yano Scholarship Fund The earnings from this Fund are to be used to provide scholarships for high achieving students from the Treasure Valley area (eastern Oregon, south-western Idaho) Recipients will be selected by the financial aid department of OSU Swan Memorial Endowment Fund The principal of this fund is to be held as an endowment and the earnings are available for general operating expenses of the Alumni Association Poling Award Endowment Fund This fund was established in August 1993 to accrue earnings for the annual Poling Award OSUAA Alumni Award Endowment Fund Accrue earnings to be used for providing resources to cover the costs of alumni awards, including but not exclusive to the Dan Poling Award and the E B Lemon Award Crawford H & Jean Graham Alumni Memorial Fund Income from the endowment is unrestricted for use by the association
Part XI, Line 8 - Other Adjustments		DONATED USE OF FACILITY
Part XII, Line 2d - Other Adjustments		rental expenses included in revenues 362364 unrealized losses reclassed to realized gains and dividends 165072 Center expenses netted with revenues 1970
Part XII, Line 4b - Other Adjustments		Academy lifelong learning expenses netted with revenues 31323
Part XIII, Line 2d - Other Adjustments		rental expenses included in revenues 362364 Center expenses netted with revenues 1970
Part XIII, Line 4b - Other Adjustments		Academy lifelong learning expenses netted with revenues 31323

Schedule I (Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
Oregon State University Alumni Assn Inc

Employer identification number
93-0470717

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . ☑ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States.

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than $5,000. Check this box if no one recipient received more than $5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed . . . ▶ ☐

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance

2 Enter total number of section 501(c)(3) and government organizations . . . ▶

3 Enter total number of other organizations . . . ▶

Part III Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Use Schedule I-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	**(b)** Number of recipients	**(c)** Amount of cash grant	**(d)** Amount of non-cash assistance	**(e)** Method of valuation (book, FMV, appraisal, other)	**(f)** Description of non-cash assistance
Incoming Student Legacy Awards Scholarship awarded to incoming college freshman or transfers who have a parent or guardian that is an Oregon State Alumnus	54	53,333		N/A	N/A
Student Alumni Association Ambassador Awards Scholarship awarded to talented, motivated and connected students from a variety of academic majors and interests	12	12,333		N/A	N/A
OSU Presidential Scholarship Program Scholarship awarded to top incoming freshman or transfer students with min 3 85 GPA & 1300 SAT	8	49,000		N/A	N/A
OSU Alumni Association Educational Opportunities Award Scholarship awarded to non-freshman with a 3 0 GPA and extreme financial need	15	30,000		N/A	N/A
OSU Alumni Association "Ironman" Award Scholarship awarded to junior or senior students with min 3 0 GPA and in extreme financial need	24	46,668		N/A	N/A
National Capital Area Leadership Awards scholarship awarded to assist OSU students who are eager to experience life in our nation's capital	1	3,500		N/A	N/A

Part IV Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information.

Identifier	**Return Reference**	**Explanation**
Procedure for Monitoring Grants in the U S	Part I, Line 2	Schedule I, Part I, Line 2 Scholarships issued and monitored by Oregon State University Financial Aid Department and Processes

Schedule J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
Oregon State University Alumni Assn Inc

Employer identification number
93-0470717

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropiate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items ☐ First-class or charter travel ☐ Housing allowance or residence for personal use ☐ Travel for companions ☐ Payments for business use of personal residence ☐ Tax idemnification and gross-up payments ☑ Health or social club dues or initiation fees ☐ Discretionary spending account ☐ Personal services (e g , maid, chauffeur, chef)			
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement orprovision of all the expenses described above? If "No," complete Part III to explain	**1b**	Yes	
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	**2**	Yes	
3	Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply ☐ Compensation committee ☑ Written employment contract ☐ Independent compensation consultant ☑ Compensation survey or study ☐ Form 990 of other organizations ☑ Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization			
a	Receive a severance payment or change-of-control payment?	**4a**		No
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	**4b**		No
c	Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III	**4c**		No
	Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.			
5	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of			
a	The organization?	**5a**		No
b	Any related organization? If "Yes," to line 5a or 5b, describe in Part III	**5b**		No
6	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of			
a	The organization?	**6a**		No
b	Any related organization? If "Yes," to line 6a or 6b, describe in Part III	**6b**		No
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	**7**		No
8	Were any amounts reported in Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III	**8**		No
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	**9**		

Part II **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use Schedule J-1 if additional space needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
jeffrey s todd	(i)	178,328	0	6,000	21,142	13,500	218,970	0
	(ii)	0	0	0	0	0	0	0

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8 Also complete this part for any additional information

Identifier	Return Reference	Explanation
	Part I, Line 1a	Organization pays Corvallis Golf & Country Club dues for the Executive Director Jeffrey Todd
Supplemental Information	Part III	Jeffrey Todd was the executive director of the Oregon State Alumni Association during calendar year 12/31/2009 Oregon State University paid Jeffrey Todd a total of $218,670 of compensation for his services as executive director of Oregon State Alumni Association

Additional Data

Software ID:
Software Version:
EIN: 93-0470717
Name: Oregon State University Alumni Assn Inc

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SCHEDULE O (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
Oregon State University Alumni Assn Inc

Employer identification number
93-0470717

Identifier	Return Reference	Explanation
Form 990, Part VI, Section A, line 4		See Updated bylaw s attached

Identifier	Return Reference	Explanation
Form 990, Part VI, Section A, line 6		The organization has members that include all graduates and former students of the University, all present and former faculty, or members of the academic staff of the University and friends of the University are eligible for membership A person eligible for membership shall become a member upon paying the dues determined by the Board from time to time

Identifier	Return Reference	Explanation
Form 990, Part VI, Section A, line 7a		The Nominating Committee shall submit a list of potential directors to the Executive Committee prior to the spring Board meeting From the list submitted by the Nominating Committee, the Executive Committee w ill nominate a slate of directors Additional nominations may be made by members at the meeting of members at w hich the directors are to be elected The directors shall be elected by a majority vote of the members at the annual meeting of the Association or by mail ballot at the option of the Board

Identifier	Return Reference	Explanation
Form 990, Part VI, Section A, line 7b		There shall be one class of members of the Association for purposes of voting Each member shall be entitled to one vote on all matters for w hich a membership vote is permitted by law , the Articles of Incorporation or the Bylaw s of the Association

Identifier	Return Reference	Explanation
Form 990, Part VI, Section B, line 11		The executive committee and Audit Committee w ill review the 990 prior to filing The entire board w ill get an electronic copy

Identifier	Return Reference	Explanation
Form 990, Part VI, Section B, line 12c		Oregon State University Alumni Association monitors transactions w ith related parties and questions potential conflicts

Identifier	Return Reference	Explanation
Form 990, Part VI, Section B, line 15a		The Executive director's salary adjustments are determined using comparability data, deliberated, review ed and approved by officers, the executive committee and Oregon State University leadership (as a Oregon State University employee) Executive Director has an employment contract w ith Oregon State University

Identifier	Return Reference	Explanation
Form 990, Part VI, Section C, line 19		No documents available to the public

Bylaws of the
Oregon State University Alumni Association, Inc.

Effective Date July 1, 1962
Revised February 14, 1980
Revised September 14, 1990
Revised April 24, 1998
Revised April 30, 2004
Revised January 26, 2007
Revised May 16, 2008
Revised April 24, 2009
Revised September 25, 2009

ARTICLE I
NAME, PURPOSE AND LOCATION

1 1 **Name** The name of this corporation shall be "The Oregon State University Alumni Association, Inc ,(" hereinafter called the "Association")

1 2 **Purpose.** The purpose of the Association shall be to promote the interests and ideals of Oregon State University, (hereinafter called the "University"), to stimulate and encourage relationships between the University and its students, graduates, former students and friends, and to develop a sense of responsibility for continued progress in educational programs of the University

1 3 **Location.** The Association may have such offices as its Board of Directors, (hereinafter called the "Board"), shall determine However, its principal offices shall be located in the same community as are the principal administrative offices of the University

ARTICLE II
MEMBERSHIP

2 1 **Eligibility for Membership.** All graduates and former students of the University, all present and former faculty, or members of the academic staff of the University and friends of the University are eligible for membership

2 2 **Classes and Voting.** There shall be one class of members of the Association for purposes of voting Each member shall be entitled to one vote on all matters for which a membership vote is permitted by law, the Articles of Incorporation or the Bylaws of the Association

2 3 **Qualification for Membership.** A person eligible for membership shall become a member upon paying the dues determined by the Board from time to time

ARTICLE III
MEETINGS OF MEMBERS

3 1 **Annual Meetings.** An annual meeting of the members of the Association shall be held in conjunction with the spring meeting of the Board and at such time and place as the Board may from time to time specify

3 2 **Special Meetings.** Special meetings of the members shall be held at the call of

the Board, the call of the President, or by the call of at least five percent of the members of the Association by a demand signed, dated and delivered to the Association's Secretary Such demand by the members shall describe the purpose of the meeting

3 3 **Quorum and Voting.** Those votes represented at a meeting of members shall constitute a quorum A majority vote of the members voting is the act of the members

3 4 **Proxy Voting:** There will be no voting by proxy

3 5 **Notice of Meeting.** Notice of all meetings of the members shall be given to each member at least thirty, but not more than sixty days, before the meeting The notice shall include the date, time, place and purpose of the meeting

ARTICLE IV
BOARD OF DIRECTORS

4 1 **Duties** The affairs of the Association shall be managed by the Board

4 2 **Number of Directors** The number of directors may vary between a minimum of fifteen and a maximum of twenty eight Included in this number will be the representative of the OSU Foundation Board of Trustees The President of the University will serve as a non-voting ex officio member of the board of directors

4 3 **Qualification of Directors.** Any member is qualified to be a director (see 2 3)

4 4 **Election of Directors.** The Nominating Committee shall submit a list of potential directors to the Executive Committee prior to the spring Board meeting From the list submitted by the Nominating Committee, the Executive Committee will nominate a slate of directors Additional nominations may be made by members at the meeting of members at which the directors are to be elected The directors shall be elected by a majority vote of the members at the annual meeting of the Association or by mail ballot at the option of the Board

4 5 **Terms of Office.** Except as otherwise provided herein, the term of office for directors shall be three years, with the exception of the representative of the OSU Foundation who will serve two years, beginning the first day of July following election Except as otherwise provided herein, a director may only be re-elected for an additional term of three years Any director, who is serving as an officer when his or her second term as a director expires, may be re-elected as a director for successive terms of one year each for so long as he or she is an officer of the Association If the Immediate Past President's second term as a director has expired, the Immediate Past President may be re-elected as a director for a term of one year beginning with the year following the year he or she served as President In the event a director is elected to fill a vacancy on the Board, such director may be re-elected for two additional terms of three years each beginning on the expiration of the vacant term such director was elected to fill

4 6 **Removal.** A director may be removed, with or without cause, at a meeting called for that purpose, by a vote of the majority of the members entitled to vote at an election of directors

4 7 **Vacancies** Vacancies in the Board will be filled by a majority vote of the directors then on the Board

4 8 **Quorum and Action.** A quorum at a Board meeting shall be a majority of all directors in office immediately before the meeting begins If a quorum is present, action is taken by a majority vote of directors present Where the law requires a majority vote of directors in office to establish committees that exercise Board functions, to amend the Articles of

Incorporation, to sell assets not in the regular course of business, to merge, to dissolve, or for other matters, such action is taken by that majority as required by law

4 9 **Regular Meetings.** The Board shall hold three regular meetings each year The actual time and place shall be determined by the Board, and notice of the time and place shall be given to each director No other notice of the date, time, place or purpose of these meetings is required

4 10 **Special Meetings.** Special meetings of the Board shall be held at the time and place to be determined by the Board Notice of such meetings, describing the date, time, place and purpose of the meeting, shall be given to each director not less than two days prior to the special meeting

4 11 **Meeting by Telecommunication or Electronic Communication.** Any regular or special meeting of the Board may be held by telephone, telecommunications, or electronic communication

4 12 **Action by Consent.** Any action required by law to be taken at a meeting of the Board, or any action which will be taken at a Board meeting, may be taken without a meeting if a consent in writing, setting forth the action to be taken or so taken shall be signed by all the directors

ARTICLE V
COMMITTEES

5 1 **Executive Committee.** The President, First Vice President, Second Vice President, Treasurer, the Immediate Past President, the OSU Foundation Board of Trustees' representative and the board representative to the OSU Foundation Board of Trustees shall serve on the Executive Committee The Executive Committee shall have the power to make ongoing decisions between Board meetings and shall have the power to make financial and budgetary decisions The Executive Committee shall meet at a time and place to be determined by the President or by a majority of the members of the Executive Committee A majority of the members of the Executive Committee shall constitute a quorum If a quorum is present, action is taken by a majority vote of the Executive Committee members present

5 2 **Nominating Committee.** The Nominating Committee shall consist of the Immediate Past President and those directors or other members (see 2 3) appointed by the President The Nominating Committee members shall serve for a term of one year beginning the first day of July and terminating on the thirtieth day of June of the following year Members may serve consecutive terms, without limitation The Nominating Committee shall compile a list of potential directors and shall nominate the President, First Vice President, Second Vice President and representative to the OSU Foundation Board of Trustees (who shall serve in such role for two years) Regular meetings of the Nominating Committee shall be held at the time and place to be determined by the Immediate Past President The Nominating Committee is an advisory committee and may not exercise functions of the Board

5 3 **Finance Committee.** The Finance Committee shall consist of the Treasurer and those directors or other members (see 2 3) appointed by the President The Finance Committee members shall serve for a term of one year beginning the first day of July and terminating on the thirtieth day of June of the following year Members may serve consecutive terms without limitation The Finance Committee shall supervise the financial affairs of the Association and shall make recommendations to the Executive Committee and the Board Regular meetings of the Finance Committee shall be held at the time and place to be determined by the Treasurer The Finance Committee is an advisory committee and may not exercise functions of the Board The University's Vice President for Finance and Administration will serve as a non-voting ex-officio

member of the Finance Committee

5 4 **Audit Committee.** The Audit Committee shall consist of those directors or other members (see 2 3) appointed by the President Its principal responsibilities are to oversee the conducting of the audit, ensure that appropriate accounting policies and internal controls are established and followed and that the organization issues financial statements and reports on time and in accordance with regulatory obligations It functions independent of management

5 5 **Investment Committee.** The Investment Committee shall consist of those directors or other members (see 2 3) appointed by the President The primary responsibility of the Investment Committee is to oversee and provide guidance for the investment of the Association assets consistent with the investment policy and report annually on the results of the investments

5 6 **Other Committees** The Board or President may establish such other committees as they deem necessary and desirable Such committees may exercise functions of the Board or may be advisory committees, provided, however, that a committee exercising any function of the Board shall be composed of two or more directors and shall be elected by a majority vote of all directors in office at that time A quorum at a meeting of a committee exercising Board functions shall be a majority of all committee members in office immediately before the meeting begins A quorum at a meeting of a committee not exercising Board functions shall be a majority of the committee members If a quorum is present, action is taken by a majority vote of committee members present

5 7 **Limitations on the Powers of Committees.** No committee may authorize payment of a dividend or any part of the income or profits of the Association to its directors or officers, may approve dissolution, merger, or the sale, pledge or transfer of all or substantially all of the Association's assets, may elect, appoint or remove directors or fill vacancies on the Board or any of its committees that exercise functions of the Board, nor may adopt, amend or repeal the Articles, Bylaws, or any resolution by the Board

ARTICLE VI
OFFICERS

6 1 **Titles.** The officers of the Association shall be President, First Vice President, who shall be the President-elect, Second Vice President, Treasurer and Secretary

6 2 **Election.** The Nominating Committee shall prepare and submit in writing to the Executive Director of the Association for distribution to the directors ten days prior to the spring Board meeting, nominations for President, First Vice President, and Second Vice President Additional nominations may be made by directors at the Board meeting at which the officers are to be elected The President, First Vice President and Second Vice President shall be elected by the directors The Treasurer shall be appointed by the President The Executive Director of the Association shall serve as Secretary

6 3 **Term of Office.** The term of office for the officers shall be one year beginning on the first day of July and terminating on the thirtieth day of June of the following year

6 4 **Vacancies.** In the event that the office of President becomes vacant, the First Vice President shall succeed to the office In the event of a vacancy in the office of the First Vice President or the Second Vice President, the vacancy shall be filled by the Board A vacancy in the office of Treasurer shall be filled by the President

6 5 **Powers and Duties.** The powers and duties of the officers are as follows

6 5 1 The President shall preside at all meetings of the members, of the Board, and of the Executive Committee In addition, the President shall perform the usual duties of the office of President and shall have any other power and duties as may be prescribed by the Board

6 5 2 The First Vice President shall counsel and advise the President in connection with Association affairs In the absence or disability of the President, the First Vice President shall assume the duties and exercise the powers of the President The First Vice President shall serve as the chair of the Alumni Advisory Council

6 5 3 The Second Vice President shall perform the duties and exercise the powers of the President in the absence or disability of the President and the First Vice President

6 5 4 The Treasurer shall oversee the financial affairs of the Association In the absence of the Executive Director of the Alumni Association, the Treasurer may be called upon to countersign checks drawn in payment of Association expenses or at any other time when the two signature clause is invoked for the Association's checking account

6 5 5 The Secretary shall keep minutes of all meetings of the members, the Board and the Executive Committee The Secretary shall also keep the official membership rolls and provide notice of member and Board meetings as required herein

ARTICLE VII
EXECUTIVE DIRECTOR OF THE ASSOCIATION

7 1 **Appointment** The Executive Director of the Association shall be appointed by the President of the University or a designated representative The Board shall, when the need arises, actively participate in seeking qualified candidates for Executive Director of the Association in accordance with University hiring policies The Board shall confer with the President of the University in determining the salary of the Executive Director

7 2 **Duties and Responsibilities.** The Board shall fix the duties and responsibilities of the Executive Director who shall be the Secretary and the general manager of the Association

7 2 1 The Executive Director shall be custodian of all funds, books and records of the Association and shall be recipient for the Association of all gifts, grants and contributions of money, donations of land or other valuable things and shall keep an accurate account of the same The Executive Director or the Associate Director shall pay all expenses by check, countersigned by the Treasurer if necessary

7 2 2 The Executive Director shall keep the graduate and former student records provide leadership of activities and services that support the association’s purpose as described in Article I, and shall perform such other duties and exercise such other powers as the President of the University, Association Board or Executive Committee may from time to time prescribe

ARTICLE VIII
ALUMNI GROUPS

8 1 **Formation.** The Association shall encourage the formation of alumni groups for the purpose of promoting the Association and the University Alumni groups shall be formed pursuant to policies and procedures adopted by the Board from time to time

8 2 **Joint Events** The Association may jointly sponsor events with alumni groups pursuant to policies and procedures adopted by the Board from time to time

ARTICLE IX
ALUMNI ADVISORY COUNCIL

The Board of Directors may establish an Alumni Advisory Council composed of a minimum of 25 and a maximum of 45 members representative of the larger alumni community Council members will serve as ambassadors for the University, provide advice and counsel the Board, Executive Director and the University, and serve on committees of the Board as determined by the President The Nominating Committee will be responsible for identifying and recommending members for the Council to the Board The First Vice President will serve as Chair of the Council

ARTICLE X
CORPORATE INDEMNITY

This Association will indemnify its officers and directors to the fullest extent allowed under current or future Oregon law

ARTICLE XI
ORDER OF BUSINESS

When not in conflict with the Articles of Incorporation and Bylaws of the Association, the most recent edition of the Sturgis Standard Code of Parliamentary Procedure shall govern the proceedings of all meetings

ARTICLE XII
AMENDMENTS TO BYLAWS

These Bylaws may be amended or repealed and new Bylaws adopted by the Board by a majority vote of directors present, if a quorum is present Prior to the adoption of the amendment, each director shall be given at least two days' notice of the date, time and place of the meeting at which the proposed amendment is to be considered, and notice shall state that one of the purposes of the meeting is to consider a proposed amendment to the Bylaws and shall contain a copy of the proposed amendment

ARTICLE XIII
NOTICE

Notice may be oral or written unless the Bylaws or law otherwise specify a particular kind of notice Notice may be communicated in person, by telephone, other form of wire or wireless communication or by mail or private carrier, including publication in a newsletter or similar document mailed to a member's or director's address

ADOPTED September 25, 2009

____
Corporate Officer

Additional Data

Software ID:
Software Version:
EIN: 93-0470717
Name: Oregon State University Alumni Assn Inc

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

4d. Other program services

(Code) (Expenses $ 357,878 including grants of $) (Revenue $ 185,317)

Completed fourth full year of distribution of the redesigned Oregon Stater magazine to all alumni, with a mailing list numbering 142,000 households Updated the on-line version of the magazine, added flex-page and blog versions

(Code) (Expenses $ 51,974 including grants of $) (Revenue $ 51,841)

Reunions Welcomed approximately 130 alumni and their guests for fall reunions and 300 for the spring Golden Jubilee reunion Classes without Quizzes continuing education program was held in conjunction with both reunions with 35 participants in the fall and 150 in the spring

(Code) (Expenses $ 24,544 including grants of $) (Revenue $ 5,659)

Awards OSUAA Brought six outstanding alumni fellows back to campus during homecoming weekend Our awards celebration dinner honored several other outstanding osu alumni and supporters

(Code) (Expenses $ 137,479 including grants of $) (Revenue $ 81,948)

Communities Hosted group activities and events in Los Angeles, Palm Springs, CA Bay Area, Eastern & Central Oregon, and Washington In Portland, OSUAA's signature event, The Orange and Black Evening/spring awards ceremony attracted 200 attendees Partnered with Cascade College in Bend to present Science Pub series featuring OSU faculty members (averaged 75 attendees per event) "Powered by Orange" kick-off event in Portland at Jimmy Maks attracted 150 attendees - predominately young alums The president's state of the university was presented in Portland for the 2nd year in a row with approximately 200 alums and guests in attendance Successfully partnered with the foundation and athletics on Destination OSU in Palm Springs Held OSU Day of Community Service in five cities

(Code) (Expenses $ 31,323 including grants of $) (Revenue $ 39,340)

Academy for Lifelong Learning Continued to serve over 300 participants a year Participants chose from a varied array of courses, taught by volunteer instructors

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

4d. Other program services

(Code) (Expenses $ 11,819 including grants of $) (Revenue $ 27,777)

Travel The travel program hosted 16 domestic and international flights, 209 participants enjoyed the opportunity to travel with the OSUAA and university representatives

(Code) (Expenses $ 194,834 including grants of $ 194,834) (Revenue $ 0)

scholarships OSUAA increased its scholarship program by awarding $194,834 of scholarships to 114 students

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply): Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
David Andersen IMMEDIATE PAST PRESIDENT	1 00	X		X				0	0	0
Penny Atkins 1st Vice Pres/TREASURER	1 00	X		X				0	0	0
Larry Austin Board Member	1 00	X						0	0	0
Jon Bettendorf director-Exec Committee	1 00	X						0	0	0
Maddy Biggs Board Member	1 00	X						0	0	0
Jason Bratt Board Member	1 00	X						0	0	0
Chelsea Byrd Director-Exec Committee	1 00	X						0	0	0
Kevin Cameron Board Member	1 00	X						0	0	0
Jim Clark Director-Exec Committee	1 00	X						0	0	0
Pat Egan Board Member	1 00	X						0	0	0
Lezli Goheen Director-Exec Committee	1 00	X						0	0	0
Linda Hirneise Board Member	1 00	X						0	0	0
Lawson Knight Board Member	1 00	X						0	0	0
Pam Knowles Director-Exec Committee	1 00	X						0	0	0
Adrienne Livingston Board Member	1 00	X						0	0	0
Diane Merten Board Member	1 00	X						0	0	0
Ryan Mohr Board Member	1 00	X						0	0	0
Bill Perry President	1 00	X		X				0	0	0
John Porter 2nd Vice President	1 00	X		X				0	0	0
Mike Purdy Board Member	1 00	X						0	0	0
Joth Ricci Director-Exec Committee	1 00	X						0	0	0
Jim Searcy Board Member	1 00	X						0	0	0
Tash Shaheed Board Member	1 00	X						0	0	0
Lisa Simshauser Board Member	1 00	X						0	0	0
Mark Winstanley Board Member	1 00	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Frank Wu Director-Exec Committee	1 00	X						0	0	0
Jon Yonemitsu Director-Exec Committee	1 00	X						0	0	0
Jim Youde Director-Exec Committee	1 00	X						0	0	0
jeffrey s todd Executive Director	40 00			X				184,328	0	34,642
Tami Ellingson Controller	24 00			X				26,105	0	5,846

Form 990, Part VIII - Statement of Revenue - 2a - 2g Program Service Revenue -

	Business Code	(A) Total Revenue	(B) Related or Exempt Function Revenue	(C) Unrelated Business Revenue	(D) Revenue Excluded from Tax under IRC 512, 513, or 514
ALUMNI CENTER REVENUE	900,099	116,422	116,422		
PROMOTION EVENTS	900,099	113,279	113,279		
communities	900,099	81,948	81,948		
MISC HOSTED EVENTS	900,099	43,316	43,316		
academy for lifelong l	900,099	39,340	39,340		

Form 990, Part IX - Statement of Functional Expenses - 24a - 24e Other Expenses

Do not include amounts reported on line 6b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
stater expenses	357,878	357,878		
membership	187,127	187,127		
alumni communities	137,479	137,479		
other assoc expense	59,731	55,235	4,496	
affinity card	44,000	44,000		