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Form **990-PF**

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2009Department of the Treasury
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning 7/01, 2009, and ending 6/30, 2010

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the
IRS label.
Otherwise,
print
or type.
See Specific
Instructions.

CASTLE FOUNDATION
C/O CAROLYN O'MALLEY
US BANK NAT'L ASSOC. PO BOX 3168
PORTLAND, OR 97208-3168

94302320

A Employer identification number

87-6117177

B Telephone number (see the instructions)

(503) 275-4327

C If exemption application is pending, check here. ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)

▶ \$ 3,049,288.

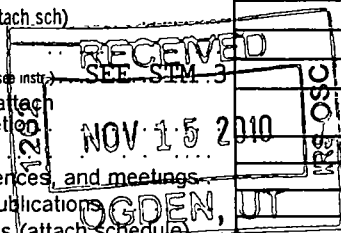
J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

Part I. Analysis of Revenue and

Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE				
1 Contributions, gifts, grants, etc. received (att sch)				
2 Ck ▶ <input checked="" type="checkbox"/> if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments.			N/A	
4 Dividends and interest from securities	81,216.	81,216.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10	101,065.			
b Gross sales price for all assets on line 6a	1,320,441.			
7 Capital gain net income (from Part IV, line 2)		101,065.		
8 Net short-term capital gain.				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold.				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule) SEE STATEMENT 1	2,641.	2,112.		
12 Total. Add lines 1 through 11	184,922.	184,393.		
ADMINISTRATIVE EXPENSES				
13 Compensation of officers, directors, trustees, etc	36,458.	29,166.		7,292.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach sch) SEE ST. 2	2,200.	1,760.		440.
c Other prof fees (attach sch)				
17 Interest				
18 Taxes (attach schedule) (see instr.) SEE ST. 3	573.	458.		115.
19 Depreciation (attach sch) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) SEE STATEMENT 4	1.	1.		
24 Total operating and administrative expenses. Add lines 13 through 23	39,232.	31,385.		7,847.
25 Contributions, gifts, grants paid STMT. 5.	149,000.			149,000.
26 Total expenses and disbursements. Add lines 24 and 25	188,232.	31,385.		156,847.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-3,310.			
b Net investment income (if negative, enter -0-)		153,008.		
c Adjusted net income (if negative, enter -0-)				

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	36,474.	19,563.	19,563.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)	405,450.		
	b Investments — corporate stock (attach schedule) STATEMENT 6	2,365,249.	2,474,294.	2,623,457.
	c Investments — corporate bonds (attach schedule) STATEMENT 7	50,000.	360,000.	406,267.
	11 Investments — land, buildings, and equipment: basis			
LIABILITIES	Less: accumulated depreciation (attach schedule)			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule) STATEMENT 8	560.	560.	1.
	14 Land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)			
	15 Other assets (describe)			
	16 Total assets (to be completed by all filers — see instructions. Also, see page 1, item I)	2,857,733.	2,854,417.	3,049,288.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
NET FUND ASSETS OR BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	2,857,733.	2,854,417.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see the instructions)	2,857,733.	2,854,417.	
	31 Total liabilities and net assets/fund balances (see the instructions)	2,857,733.	2,854,417.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,857,733.
2 Enter amount from Part I, line 27a	2	-3,310.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	2,854,423.
5 Decreases not included in line 2 (itemize) SEE STATEMENT 9	5	6.
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	2,854,417.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 10				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss). If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	101,065.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8	3	933.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	138,764.	2,862,659.	0.048474
2007	183,874.	3,703,509.	0.049649
2006	178,466.	3,691,146.	0.048350
2005	160,533.	3,537,369.	0.045382
2004	153,705.	3,424,136.	0.044889

2 Total of line 1, column (d)	2	0.236744
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.047349
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	2,816,416.
5 Multiply line 4 by line 3	5	133,354.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,530.
7 Add lines 5 and 6	7	134,884.
8 Enter qualifying distributions from Part XII, line 4	8	156,847.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VII Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instr.)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1 1,530.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b).		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-).		2 0.
3 Add lines 1 and 2		3 1,530.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-).		4 0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5 1,530.
6 Credits/Payments:		
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6a 1,480.	
b Exempt foreign organizations — tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7 1,480.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9 50.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VIIA Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) UT		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities Continued

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <u>N/A</u>				
14	The books are in care of <u>US BANK NATIONAL ASSOCIATION</u> Telephone no <u>(503) 275-4327</u>			
	Located at <u>PO BOX 3168 PORTLAND OR</u> ZIP + 4 <u>97208</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	N/A	<input type="checkbox"/>	
	and enter the amount of tax-exempt interest received or accrued during the year	15		N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)?	1 b	N/A
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
US BANK NATIONAL ASSOCIATION PO BOX 3168 PORTLAND, OR 97208	TRUSTEE 0	36,458.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	2,780,627.
b Average of monthly cash balances	1b	78,679.
c Fair market value of all other assets (see instructions)	1c	
d Total (add lines 1a, b, and c)	1d	2,859,306.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d.	3	2,859,306.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	42,890.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,816,416.
6 Minimum investment return. Enter 5% of line 5.	6	140,821.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	140,821.
2a Tax on investment income for 2009 from Part VI, line 5	2a	1,530.
b Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b.	2c	1,530.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	139,291.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	139,291.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	139,291.

Part XIII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	156,847.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	156,847.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	1,530.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	155,317.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7.				139,291.
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only.			0.	
b Total for prior years: 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007	4,299.			
e From 2008				
f Total of lines 3a through e.	4,299.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 156,847.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2009 distributable amount.				139,291.
e Remaining amount distributed out of corpus	17,556.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	21,855.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a.	21,855.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007	4,299.			
d Excess from 2008				
e Excess from 2009	17,556.			

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Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test — enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year — see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

SEE STATEMENT 11

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT FOR LINE 2A

c Any submission deadlines:

SEE STATEMENT FOR LINE 2A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT FOR LINE 2A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year * see stmt ,5				
Total			▶ 3a	
b Approved for future payment				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514	
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	(e) Related or exempt function income (see the instructions)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies .					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	81,216.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	101,065.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a FEDERAL INCOME TAX REFUND			1	2,641.	
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				184,922.	
13 Total. Add line 12, columns (b), (d), and (e)				13	184,922.

(See worksheet in the instructions for line 13 to verify calculations.)

(See worksheet in the instructions for line 13 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

2009

FEDERAL STATEMENTS

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CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

87-6117177

11/03/10

09:10AM

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
FEDERAL INCOME TAX REFUND	\$ 2,641.	\$ 2,112.	
TOTAL	\$ 2,641.	\$ 2,112.	0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
.....	\$ 2,200.	\$ 1,760.		\$ 440.
TOTAL	\$ 2,200.	\$ 1,760.		\$ 440.

STATEMENT 3
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAX	\$ 143.	\$ 114.		\$ 29.
FOREIGN TAXES ...	430.	344.		86.
TOTAL	\$ 573.	\$ 458.		\$ 115.

STATEMENT 4
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TOTAL	\$ 0.	\$ 0.		\$ 0.

STATEMENT 5
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

CASH GRANTS AND ALLOCATIONS

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

BOYS & GIRLS CLUBS OF GTR SLC
669 SOUTH 200 EAST, SUITE 100

CLIENT 4

CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

87-6117177

11/03/10

09:10AM

STATEMENT 5 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

RELATIONSHIP OF DONEE: SALT LAKE CITY, UT 84111
NONE
ORGANIZATIONAL STATUS OF DONEE: PUBLIC
AMOUNT GIVEN: \$ 2,000.

CLASS OF ACTIVITY:
DONEE'S NAME: ANDREW ROWAN READING ROOM
DONEE'S ADDRESS: C/O 607 EAST 3990 SOUTH
SALT LAKE CITY, UT 84107
RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: PUBLIC
AMOUNT GIVEN: 1,000.

CLASS OF ACTIVITY:
DONEE'S NAME: PLANNED PARENTHOOD ASSN OF UTA
DONEE'S ADDRESS: 654 SOUTH 900 EAST
SALT LAKE CITY, UT 84102
RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: PUBLIC
AMOUNT GIVEN: 2,000.

CLASS OF ACTIVITY:
DONEE'S NAME: YOUNG WOMEN CHRISTIANS ASSN
DONEE'S ADDRESS: 322 EAST 300 SOUTH
SALT LAKE CITY, UT 84111
RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: PUBLIC
AMOUNT GIVEN: 2,500.

CLASS OF ACTIVITY:
DONEE'S NAME: SALT LAKE SEXUAL ASSAULT NURSE EXAMINERS
DONEE'S ADDRESS: 2035 SOUTH 1300 EAST
SALT LAKE CITY, UT 84105
RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: PUBLIC
AMOUNT GIVEN: 2,500.

CLASS OF ACTIVITY:
DONEE'S NAME: SALT LAKE DONATED DENTAL SERVICES
DONEE'S ADDRESS: 1383 SOUTH 900 WEST, STE 128
SALT LAKE CITY, UT 84104
RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: PUBLIC
AMOUNT GIVEN: 4,000.

CLASS OF ACTIVITY:
DONEE'S NAME: VOLUNTEERS OF AMERICA, UTAH
DONEE'S ADDRESS: 140 WEST 2100 SOUTH SUITE 138
SALT LAKE CITY, UT 84115
RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: PUBLIC
AMOUNT GIVEN: 2,500.

CLASS OF ACTIVITY:
DONEE'S NAME: NEIGHBORHOOD HOUSE, UTAH
DONEE'S ADDRESS: 1050 WEST 500 SOUTH
SALT LAKE CITY, UT 84104

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CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

87-6117177

11/03/10

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STATEMENT 5 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: PUBLIC
AMOUNT GIVEN: \$ 2,500.

CLASS OF ACTIVITY:
DONEE'S NAME: UNIVERSITY OF UTAH
DONEE'S ADDRESS: 201 S. PRESIDENTS CIR. RM. 304
SALT LAKE CITY, UT 84112
RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: PUBLIC
AMOUNT GIVEN: 3,000.

CLASS OF ACTIVITY:
DONEE'S NAME: UNIVERSITY OF UTAH
DONEE'S ADDRESS: 201 S. PRESIDENTS CIR. RM. 304
SALT LAKE CITY, UT 84112
RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: PUBLIC
AMOUNT GIVEN: 4,000.

CLASS OF ACTIVITY:
DONEE'S NAME: UNIVERSITY OF UTAH
DONEE'S ADDRESS: 201 S. PRESIDENTS CIR. RM. 304
SALT LAKE CITY, UT 84112
RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: PUBLIC
AMOUNT GIVEN: 3,000.

CLASS OF ACTIVITY:
DONEE'S NAME: UNIVERSITY OF UTAH
DONEE'S ADDRESS: 201 S. PRESIDENTS CIR. RM. 304
SALT LAKE CITY, UT 84112
RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: PUBLIC
AMOUNT GIVEN: 3,000.

CLASS OF ACTIVITY:
DONEE'S NAME: UNIVERSITY OF UTAH
DONEE'S ADDRESS: 201 S. PRESIDENTS CIR. RM. 304
SALT LAKE CITY, UT 84112
RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: PUBLIC
AMOUNT GIVEN: 2,500.

CLASS OF ACTIVITY:
DONEE'S NAME: UNIVERSITY OF UTAH
DONEE'S ADDRESS: 201 S. PRESIDENTS CIR. RM. 304
SALT LAKE CITY, UT 84112
RELATIONSHIP OF DONEE: NONE
ORGANIZATIONAL STATUS OF DONEE: PUBLIC
AMOUNT GIVEN: 4,000.

CLASS OF ACTIVITY:
DONEE'S NAME: YMCA OF GREATER SALT LAKE
DONEE'S ADDRESS: 3098 S. HIGHLAND DR. SUITE 290
SALT LAKE CITY, UT 84106
RELATIONSHIP OF DONEE: NONE

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CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

87-6117177

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STATEMENT 5 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

ORGANIZATIONAL STATUS OF DONEE:	PUBLIC	
AMOUNT GIVEN:		\$ 1,500.
CLASS OF ACTIVITY:		
DONEE'S NAME:	SALT LAKE ART CENTER	
DONEE'S ADDRESS:	20 SOUTH WEST TEMPLE SALT LAKE CITY, UT 84101	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	PUBLIC	
AMOUNT GIVEN:		1,500.
CLASS OF ACTIVITY:		
DONEE'S NAME:	GIRL SCOUTS OF UTAH	
DONEE'S ADDRESS:	445 EAST 4500 SOUTH SALT LAKE CITY, UT 84157	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	PUBLIC	
AMOUNT GIVEN:		1,000.
CLASS OF ACTIVITY:		
DONEE'S NAME:	UTAH OPERA COMPANY	
DONEE'S ADDRESS:	50 WEST 200 SOUTH SALT LAKE CITY, UT 84101	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	PUBLIC	
AMOUNT GIVEN:		2,500.
CLASS OF ACTIVITY:		
DONEE'S NAME:	RIRIE-WOODBURY DANCE FOUND.	
DONEE'S ADDRESS:	138 WEST BROADWAY SALT LAKE CITY, UT 84101	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	PUBLIC	
AMOUNT GIVEN:		1,000.
CLASS OF ACTIVITY:		
DONEE'S NAME:	UTAH YOUTH VILLAGE	
DONEE'S ADDRESS:	5800 SOUTH HIGHLAND DRIVE SALT LAKE CITY, UT 84121	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	PUBLIC	
AMOUNT GIVEN:		3,500.
CLASS OF ACTIVITY:		
DONEE'S NAME:	CROSSROADS URBAN CENTER	
DONEE'S ADDRESS:	347 SOUTH 400 EAST SALT LAKE CITY, UT 84111	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	PUBLIC	
AMOUNT GIVEN:		3,500.
CLASS OF ACTIVITY:		
DONEE'S NAME:	THE ROAD HOME	
DONEE'S ADDRESS:	210 SOUTH RIO GRANDE STREET SALT LAKE CITY, UT 84101	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	PUBLIC	

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CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

87-6117177

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STATEMENT 5 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

AMOUNT GIVEN: \$ 3,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

RONALD MCDONAL HOUSE CHARITIES

1135 E SOUTH TEMPLE

SALT LAKE CITY, UT 84111

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

AMOUNT GIVEN:

NONE

PUBLIC

3,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

JUNIOR LEAGUE OF SALT LAKE

526 EAST 300 SOUTH

SALT LAKE CITY, UT 84102

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

AMOUNT GIVEN:

NONE

PUBLIC

3,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

THE SHARING PLACE

1695 EAST 3300 SOUTH

SALT LAKE CITY, UT 84106

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

AMOUNT GIVEN:

NONE

PUBLIC

1,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

THE ADOPTION EXCHANGE

302 WEST 5400 SOUTH SUITE 108

MURRAY, UT 84107

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

AMOUNT GIVEN:

NONE

PUBLIC

1,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

INTERMOUNTAIN THERAPY ANIMALS

4050 SOUTH 2700 EAST

SALT LAKE CITY, UT 84124

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

AMOUNT GIVEN:

NONE

PUBLIC

1,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

HOUSE OF HOPE-UTAH ALCOHOLISM FOUNDATION

857 EAST 200 SOUTH

SALT LAKE CITY, UT 84102

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

AMOUNT GIVEN:

NONE

PUBLIC

2,500.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

WESTMINSTER COLLEGE-SALT LAKE

1840 SOUTH 1300 EAST

SALT LAKE CITY, UT 84105

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

NONE

PUBLIC

CLIENT 4

CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

87-6117177

11/03/10

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STATEMENT 5 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

AMOUNT GIVEN: \$ 5,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

UNIVERSITY OF UTAH

201 S. PRESIDENT CIR. RM. 304

SALT LAKE CITY, UT 84112

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

AMOUNT GIVEN:

NONE

PUBLIC

5,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

UNIVERSITY OF UTAH

201 S. PRESIDENTS CIR. RM 304

SALT LAKE CITY, UT 84112

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

AMOUNT GIVEN:

NONE

PUBLIC

3,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

UNIVERSITY OF UTAH

201 S. PRESIDENTS CIR. RM. 304

SALT LAKE CITY, UT 84112

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

AMOUNT GIVEN:

NONE

PUBLIC

2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

UNIVERSITY OF UTAH

201 S. PRESIDENTS CIR. RM. 304

SALT LAKE CITY, UT 84112

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

AMOUNT GIVEN:

NONE

PUBLIC

5,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

UNIVERSITY OF UTAH

201 S. PRESIDENTS CIR. RM. 304

SALT LAKE CITY, UT 84112

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

AMOUNT GIVEN:

NONE

PUBLIC

3,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

GUADALUPE CENTER EDUCATION PRO

340 SOUTH GOSHEN STREET

SALT LAKE CITY, UT 84104

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

AMOUNT GIVEN:

NONE

PUBLIC

1,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

ODYSSEY HOUSE

344 EAST 100 SOUTH SUITE 301

SALT LAKE CITY, UT 84111

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

NONE

PUBLIC

CLIENT 4

CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

87-6117177

11/03/10

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STATEMENT 5 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

AMOUNT GIVEN: \$ 2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

YWCA OF SALT LAKE CITY

322 EAST 300 SOUTH

SALT LAKE CITY, UT 84111

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

2,500.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

KOSTOPULOS DREAM FOUNDATION

2500 EMIGRATION CANYON

SALT LAKE CITY, UT 84104

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

3,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

CATHOLIC COMMUNITY SERVICES UT

250 EAST 300 SOUT, STE 380

SALT LAKE CITY, UT 84111

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

3,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

JUNIOR ACHIEVEMENT OF UTAH

641 EST SOUTH TEMPLE

SALT LAKE CITY, UT 84102

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

1,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

BOYS & GIRLS CLUBS OF SOUTH VA

PO BOX 57071

SALT LAKE CITY, UT 84157

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

3,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

SCOTTISH RITE CHILDREN'S LEARNING CN

1140 36TH STREET SUITE 160

OGDEN, UT 84403

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

4,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

PREVENT CHILD ABUSE UTAH

2955 HARRISON BLVD. SUITE 104

OGDEN, UT 84403

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

2009

FEDERAL STATEMENTS

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CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

87-6117177

11/03/10

09:10AM

STATEMENT 5 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

AMOUNT GIVEN: \$ 2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

ROCKY MOUNTAIN CARE FDN.

2277 EAST FORT UNION BLVD.

SALT LAKE CITY, UT 84121

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN: 1,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

CHILDRENS SERVICE SOCIETY OF U

124 SOUTH 400 EAST SUITE 400

SALT LAKE CITY, UT 84111

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN: 2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

BIG BROTHER BIG SISTERS OF UTA

151 EAST 5600 SOUTH STE 200

SALT LAKE CITY, UT 84107

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN: 1,500.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

ASSISTANCE LEAGUE OF SALT LAKE

PO BOX 9353

SALT LAKE CITY, UT 84109, UT 84109

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN: 2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

COMMUNITY HEALTH CENTERS, INC

1798 SOUTH WEST TEMPLE

SALT LAKE CITY, UT 84115

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN: 2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

CAMP HOPE

PO BOX 520755

SALT LAKE CITY, UT 84152

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN: 1,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

INTERMOUNTAIN SPECIALIZED ABUSE

PO BOX 65709

SALT LAKE CITY, UT 84165

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

CLIENT 4

CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

87-6117177

11/03/10

09:10AM

**STATEMENT 5 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS**

AMOUNT GIVEN: \$ 2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

THE FAMILY SUPPORT CENTER

DONEE'S ADDRESS:

777 WEST CENTER
MIDVALE, UT 84047
NONE

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

AMOUNT GIVEN: 2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

PUBLIC

DONEE'S ADDRESS:

RAPE RECOVERY CENTER
2035 SOUTH 1300 EAST
SALT LAKE CITY, UT 84105

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

NONE

AMOUNT GIVEN: 2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

PUBLIC

DONEE'S ADDRESS:

UTAH FOOD BANK
1025 SOUTH 700 WEST
SALT LAKE CITY, UT 84104

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

AMOUNT GIVEN: 5,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

SOUTH VALLEY SANCTUARY

DONEE'S ADDRESS:

PO BOX 1028
WEST JORDAN, UT 84048

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

NONE

AMOUNT GIVEN: 2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

SPECIAL OLYMPICS UTAH, INC.

DONEE'S ADDRESS:

243 EAST 400 SOUTH, SUITE 111
SALT LAKE CITY, UT 84111

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

NONE

AMOUNT GIVEN: 2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DISCOVERY GATEWAY

DONEE'S ADDRESS:

444 WEST 100 SOUTH
SALT LAKE CITY, UT 84101

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

NONE

AMOUNT GIVEN: 2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

REGENCE CARING FOUNDATION

DONEE'S ADDRESS:

2890 W COTTONWOOD PARKWAY
SALT LAKE CITY, UT 84121

RELATIONSHIP OF DONEE:

ORGANIZATIONAL STATUS OF DONEE:

NONE

PUBLIC

2009

FEDERAL STATEMENTS

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CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

87-6117177

11/03/10

09:10AM

STATEMENT 5 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

AMOUNT GIVEN: \$ 4,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

MALIHEH FREE CLINIC

415 EAST 3900 SOUTH

SALT LAKE CITY, UT 84107

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

3,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

AMERICAN LUNG ASSOCIATION OF THE SOUTHWEST

1930 SOUTH 1100 EAST

SALT LAKE CITY, UT 84106

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

2,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

PUBLIC

COMMUNITY NURSING SERVICES

383 W VINE STREET #300

MURRAY, UT 84123

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

PUBLIC

AMOUNT GIVEN:

1,500.

TOTAL \$ 149,000.

STATEMENT 6
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCKS	COST	\$ 735,903.	\$ 921,272.
FOREIGN STOCKS	COST	46,445.	62,615.
MUTUAL FUNDS	COST	1,691,946.	1,639,570.
	TOTAL	\$ 2,474,294.	\$ 2,623,457.

STATEMENT 7
FORM 990-PF, PART II, LINE 10C
INVESTMENTS - CORPORATE BONDS

CORPORATE BONDS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	COST	\$ 165,000.	\$ 177,278.
FOREIGN BONDS	COST	195,000.	228,989.
	TOTAL	\$ 360,000.	\$ 406,267.

CLIENT 4

**CASTLE FOUNDATION
C/O CAROLYN O'MALLEY**

87-6117177

11/03/10

09.10AM

STATEMENT 8
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
<u>OTHER INVESTMENTS</u>			
MINERAL INTEREST	COST	\$ 560.	\$ 1.
	TOTAL	<u>\$ 560.</u>	<u>\$ 1.</u>

STATEMENT 9
FORM 990-PF, PART III, LINE 5
OTHER DECREASES

ROUNDING	\$	6.
TOTAL	\$	6.

STATEMENT 10
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	QUAL FOREIGN ST CAP GN DIVS	PURCHASED	VARIOUS	VARIOUS
2	LONG-TERM 15% CAP GAIN DIVS	PURCHASED	VARIOUS	VARIOUS
3	NONQUAL ST CAP GAIN DIVS	PURCHASED	VARIOUS	VARIOUS
4	AOL TIME WARNER INC	PURCHASED	VARIOUS	1/19/2010
5	230SHS APPLE INC	PURCHASED	VARIOUS	3/18/2010
6	36000SHS BARCLAYS	PURCHASED	VARIOUS	5/27/2010
7	500SHS ECOLAB INC	PURCHASED	VARIOUS	5/17/2010
8	0.92SHS EXXON MOBIL	PURCHASED	VARIOUS	6/30/2010
9	100000SHS FHLB DEB	PURCHASED	VARIOUS	12/04/2009
10	50000SHS FHLB DEB	PURCHASED	VARIOUS	2/19/2010
11	50000SHS FHLB DEB	PURCHASED	VARIOUS	3/12/2010
12	50000SHS FHLB DEB	PURCHASED	VARIOUS	2/22/2010
13	50000SHS FNMA MTN	PURCHASED	VARIOUS	3/08/2010
14	50000SHS FNMA MTN	PURCHASED	VARIOUS	5/14/2010
15	50000SHS FNMA MTN	PURCHASED	VARIOUS	5/14/2010
16	7816.68SHS FIDELITY ADV DIVERSIFIED INTL	PURCHASED	VARIOUS	3/16/2010
17	10923.436SHS FIRST AMER INTERNATIONAL	PURCHASED	VARIOUS	3/16/2010
18	11360.649SHS FIRST AMER INFLATION PRO	PURCHASED	VARIOUS	12/07/2009
19	4237.288SHS FIRST AMER TOTAL RETURN BOND	PURCHASED	VARIOUS	7/31/2009
20	9718.173SHS FIRST AMER TOTAL RETURN BOND	PURCHASED	VARIOUS	5/13/2010
21	3360.305SHS FIRST AMER SMALL CAP VAL	PURCHASED	VARIOUS	4/01/2010
22	1149.954SHS LAUDUS ROSEN INTL	PURCHASED	VARIOUS	3/02/2010
23	650SHS MICROSOFT CORP	PURCHASED	VARIOUS	3/18/2010
24	4255.186SHS LAUDUS INTL MRKTMASTERS INV FUND	PURCHASED	VARIOUS	2/22/2010
25	270SHS ALCON INC	PURCHASED	VARIOUS	3/18/2010

	(E)	(F)	(G)	(H)	(I)	(J)	(K)	(L)
ITEM	GROSS SALES	DEPREC. ALLOWED	COST BASIS	GAIN (LOSS)	FMV 12/31/69	ADJ. BAS. 12/31/69	EXCESS (I) - (J)	GAIN (LOSS)
1	78.		0.	78.				\$ 78.

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CASTLE FOUNDATION
C/O CAROLYN O'MALLEY

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STATEMENT 10 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
2	238.		0.	238.				\$ 238.
3	855.		0.	855.				855.
4	17.		0.	17.				17.
5	84,208.		50,691.	33,517.				33,517.
6	53,241.		44,620.	8,621.				8,621.
7	37,427.		24,296.	13,131.				13,131.
8	58.		53.	5.				5.
9	101,845.		101,341.	504.				504.
10	56,255.		53,734.	2,521.				2,521.
11	49,904.		50,000.	-96.				-96.
12	56,752.		54,124.	2,628.				2,628.
13	57,870.		54,597.	3,273.				3,273.
14	55,723.		53,015.	2,708.				2,708.
15	57,694.		53,934.	3,760.				3,760.
16	105,719.		114,593.	-8,874.				-8,874.
17	171,498.		126,056.	45,442.				45,442.
18	121,540.		115,424.	6,116.				6,116.
19	37,065.		40,000.	-2,935.				-2,935.
20	103,304.		100,000.	3,304.				3,304.
21	43,247.		40,962.	2,285.				2,285.
22	391.		12,695.	-12,304.				-12,304.
23	21,537.		18,864.	2,673.				2,673.
24	42,847.		66,423.	-23,576.				-23,576.
25	61,128.		43,954.	17,174.				17,174.
								TOTAL \$ 101,065.

STATEMENT 11
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:

NAME:

CARE OF:

STREET ADDRESS:

CITY, STATE, ZIP CODE:

TELEPHONE:

FORM AND CONTENT:

SUBMISSION DEADLINES:

RESTRICTIONS ON AWARDS:

MICHAEL I. POULTER OR SONGA CORBIN

US BANK NATIONAL ASSOCIATION

170 SOUTH MAIN STREET SUITE 600

SALT LAKE CITY, UT 84101

WRITTEN APPLICATION SETTING FORTH APPLICANT'S PUBLIC
CHARITY STATUS

NONE

PUBLIC CHARITIES, SOME PREFERENCE TO THOSE PERFORMING
ACTIVITIES WITHIN THE STATE OF UTAH.