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Form **990-EZ**Department of the Treasury
Internal Revenue Service**Short Form**
Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ Sponsoring organizations of donor advised funds and controlling organizations as defined in section 512(b)(13) must file Form 990. All other organizations with gross receipts less than \$500,000 and total assets less than \$1,250,000 at the end of the year may use this form.

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-1150

2009Open to Public
Inspection**A** For the 2009 calendar year, or tax year beginning **JUL 1, 2009** and ending **JUN 30, 2010**

B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	Please use IRS label or print or type. See Specific Instructions.	C Name of organization KENTUCKY THOROUGHbred ASSOCIATION, INC.		D Employer identification number 61-1034871
		Number and street (or P.O. box, if mail is not delivered to street address)		E Telephone number
		4079 IRON WORKS PARKWAY		(859) 381-1414
		City or town, state or country, and ZIP + 4 LEXINGTON, KY 40511		F Group Exemption Number ▶

• Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ)

G Accounting method: ☒ Cash ☐ Accrual
Other (specify) ▶

I Website: ▶ **WWW.KTA-KTOB.COM**

H Check ☒ if the organization is not required to attach Schedule B (Form 990, 990-EZ or 990-PF)

J Tax-exempt status (check only one) — ☒ 501(c) (6) ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527

K Check ☐ if the organization is not a section 509(a)(3) supporting organization and its gross receipts are normally not more than \$25,000. A Form 990-EZ or Form 990 return is not required, but if the organization chooses to file a return, be sure to file a complete return.

L Add lines 5b, 6b, and 7b, to line 9 to determine gross receipts; if \$500,000 or more, file Form 990 instead of Form 990-EZ ▶ **\$ 345,470.****Part I** **Revenue, Expenses, and Changes in Net Assets or Fund Balances** (See the instructions for Part I.)

Revenue	1	Contributions, gifts, grants, and similar amounts received	1	
	2	Program service revenue including government fees and contracts	2	
	3	Membership dues and assessments	3	34,020.
	4	Investment income	4	1,164.
	5a	Gross amount from sale of assets other than inventory	5a	
	b	Less: cost or other basis and sales expenses	5b	
	c	Gain or (loss) from sale of assets other than inventory (Subtract line 5b from line 5a)	5c	
	6	Special events and activities (complete applicable parts of Schedule G). If any amount is from gaming, check here <input type="checkbox"/>		
	a	Gross revenue (not including \$ of contributions reported on line 1)	6a	
b	Less: direct expenses other than fundraising expenses	6b		
c	Net income or (loss) from special events and activities (Subtract line 6b from line 6a)	6c		
7a	Gross sales of inventory, less returns and allowances	7a		
b	Less: cost of goods sold	7b		
c	Gross profit or (loss) from sales of inventory (Subtract line 7b from line 7a)	7c		
8	Other revenue (describe ▶ SEE STATEMENT 3)	8	310,286.	
9	Total revenue. Add lines 1, 2, 3, 4, 5c, 6c, 7c, and 8 ▶	9	345,470.	
Expenses	10	Grants and similar amounts paid (attach schedule)	10	
	11	Benefits paid to or for members	11	
	12	Salaries, other compensation, and employee benefits	12	173,795.
	13	Professional fees and other payments to independent contractors	13	9,120.
	14	Occupancy, rent, utilities, and maintenance	14	17,131.
	15	Printing, publications, postage, and shipping	15	16,860.
	16	Other expenses (describe ▶ SEE STATEMENT 1)	16	90,131.
	17	Total expenses. Add lines 10 through 16 ▶	17	307,037.
	18	Excess or (deficit) for the year (Subtract line 17 from line 9)	18	38,433.
Net Assets	19	Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree with end-of-year figure reported on prior year's return)	19	812,689.
	20	Other changes in net assets or fund balances (attach explanation) SEE STATEMENT 4	20	28,882.
	21	Net assets or fund balances at end of year. Combine lines 18 through 20 ▶	21	880,004.

Part II **Balance Sheets.** If Total assets on line 25, column (B) are \$1,250,000 or more, file Form 990 instead of Form 990-EZ.

(See the instructions for Part II.)

	(A) Beginning of year	(B) End of year
22 Cash, savings, and investments	592,738.	571,238.
23 Land and buildings	553.	392.
24 Other assets (describe ▶ SEE STATEMENT 2)	221,355.	310,090.
25 Total assets	814,646.	881,720.
26 Total liabilities (describe ▶ PAYROLL TAXES PAYABLE)	1,957.	1,716.
27 Net assets or fund balances (line 27 of column (B) must agree with line 21)	812,689.	880,004.

Part V Other Information (Note the statement requirements in the instructions for Part V)

	Yes	No
33 Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity		X
34 Were any changes made to the organizing or governing documents? If "Yes," attach a conformed copy of the changes		X
35 If the organization had income from business activities, such as those reported on lines 2, 6a, and 7a (among others), but not reported on Form 990-T, attach a statement explaining why the organization did not report the income on Form 990-T.		
a Did the organization have unrelated business gross income of \$1,000 or more or was it subject to section 6033(e) notice, reporting, and proxy tax requirements?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
36 Did the organization undergo a liquidation, dissolution, termination, or significant disposition of net assets during the year? If "Yes," complete applicable parts of Sch. N		X
37a Enter amount of political expenditures, direct or indirect, as described in the instructions. ▶ 37a 0.		
b Did the organization file Form 1120-POL for this year?		X
38a Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee or were any such loans made in a prior year and still outstanding at the end of the period covered by this return?		X
b If "Yes," complete Schedule L, Part II and enter the total amount involved 38b N/A		
39 Section 501(c)(7) organizations. Enter:		
a Initiation fees and capital contributions included on line 9 39a N/A		
b Gross receipts, included on line 9, for public use of club facilities 39b N/A		
40a Section 501(c)(3) organizations. Enter amount of tax imposed on the organization during the year under: section 4911 ▶ <u>N/A</u> ; section 4912 ▶ <u>N/A</u> ; section 4955 ▶ <u>N/A</u>		
b Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year or is it aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I 40b N/A		
c Section 501(c)(3) and 501(c)(4) organizations. Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 ▶ <u>N/A</u>		
d Section 501(c)(3) and 501(c)(4) organizations. Enter amount of tax on line 40c reimbursed by the organization ▶ <u>N/A</u>		
e All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If "Yes," complete Form 8886-T 40e X		
41 List the states with which a copy of this return is filed. ▶ <u>NONE</u>		
42a The organization's books are in care of ▶ <u>THE ORGANIZATION</u> Telephone no. ▶ <u>(859) 381-1414</u> Located at ▶ <u>4079 IRON WORKS PARKWAY, LEXINGTON, KY</u> ZIP + 4 ▶ <u>40511</u>		
b At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		
If "Yes," enter the name of the foreign country: ▶ _____		
See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
c At any time during the calendar year, did the organization maintain an office outside of the U.S.?		
If "Yes," enter the name of the foreign country: ▶ _____		
43 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of Form 1041 - Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the tax year ▶ 43 N/A		
44 Did the organization maintain any donor advised funds? If "Yes," Form 990 must be completed instead of Form 990-EZ 44 X		
45 Is any related organization a controlled entity of the organization within the meaning of section 512(b)(13)? If "Yes," Form 990 must be completed instead of Form 990-EZ 45 X		

Form 990-EZ (2009)

Part VI Section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts only. All section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts must answer questions 46-49b and complete the tables for lines 50 and 51

- 46 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I
- 47 Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II
- 48 Is the organization a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E
- 49a Did the organization make any transfers to an exempt non-charitable related organization?
- b If "Yes," was the related organization a section 527 organization?
- 50 Complete this table for the organization's five highest compensated employees (other than officers, directors, trustees and key employees) who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

	Yes	No
46		
47		
48		
49a		
49b		

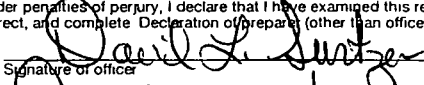
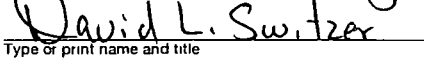
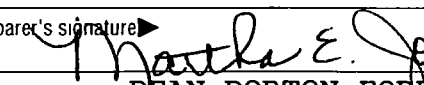
(a) Name and address of each employee paid more than \$100,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
N/A				

f Total number of other employees paid over \$100,000

- 51 Complete this table for the organization's five highest compensated independent contractors who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and address of each independent contractor paid more than \$100,000	(b) Type of service	(c) Compensation
N/A		

d Total number of other independent contractors each receiving over \$100,000

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.			
	 Signature of officer		Date <u>9/22/10</u>	
Paid Preparer's Use Only	 Type or print name and title			
	Preparer's signature	Date	Check if self-employed	Preparer's identifying number (See instr.)
	 Firm's name (or yours if self-employed)	DEAN DORTON FORD PSC 106 W. VINE STREET, SUITE 600 LEXINGTON, KY 40507	<input type="checkbox"/>	N/A
	address and ZIP + 4	EIN	Phone no.	
			(859) 255-2341	

May the IRS discuss this return with the preparer shown above? See instructions

☒ Yes ☐ No

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

OMB No 1545-0047

2009

Open to Public
Inspection

▶ **Complete if the organization is described below.**

▶ **Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.**

If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B Do not complete Part II-A

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of organization

KENTUCKY THOROUGHBRED ASSOCIATION, INC.

Employer identification number

61-1034871

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV
- 2 Political expenditures ▶ \$
- 3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No
- 4a Was a correction made? ☐ Yes ☐ No
- b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$
- 3 Total exempt function expenditures Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ▶ \$
- 4 Did the filing organization file Form 1120-POL for this year? ☐ Yes ☐ No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which payments were made For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2009

LHA

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A** Check ☐ if the filing organization belongs to an affiliated group.
- B** Check ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)															
b Total lobbying expenditures to influence a legislative body (direct lobbying)															
c Total lobbying expenditures (add lines 1a and 1b)															
d Other exempt purpose expenditures															
e Total exempt purpose expenditures (add lines 1c and 1d)															
f Lobbying nontaxable amount. Enter the amount from the following table in both columns															
<table border="1"> <thead> <tr> <th>If the amount on line 1e, column (a) or (b) is:</th> <th>The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e.														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000.														
g Grassroots nontaxable amount (enter 25% of line 1f)															
h Subtract line 1g from line 1a. If zero or less, enter -0-															
i Subtract line 1f from line 1c. If zero or less, enter -0-															
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?															

☐ Yes ☐ No
4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column (e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Schedule C (Form 990 or 990-EZ) 2009

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

	(a)		(b)
	Yes	No	Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a Volunteers?			
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c Media advertisements?			
d Mailings to members, legislators, or the public?			
e Publications, or published or broadcast statements?			
f Grants to other organizations for lobbying purposes?			
g Direct contact with legislators, their staffs, government officials, or a legislative body?			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i Other activities? If "Yes," describe in Part IV			
j Total. Add lines 1c through 1i			
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1 X	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?		2 X
3 Did the organization agree to carryover lobbying and political expenditures from the prior year?	3 X	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes."

1 Dues, assessments and similar amounts from members	1	34,020.
2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a Current year	2a	527.
b Carryover from last year	2b	45,401.
c Total	2c	45,928.
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	34,020.
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	11,908.
5 Taxable amount of lobbying and political expenditures (see instructions)	5	<11,908.>

Part IV Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i. Also, complete this part for any additional information.

FORM 990-EZ	OTHER EXPENSES	STATEMENT	1
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DESCRIPTION	AMOUNT
INDUSTRY PROGRAMS	53,082.
RESEARCH AWARDS	8,488.
KEENELAND DUES	7,667.
SUPPLIES	7,072.
TRAVEL	6,071.
PROMOTIONAL PROGRAMS	3,940.
WEBSITE	2,460.
RACE TRACK CHAPLAINCY	802.
MISCELLANEOUS EXPENSES	200.
LICENSES	188.
DEPRECIATION	161.
TOTAL TO FORM 990-EZ, LINE 16	90,131.

FORM 990-EZ	OTHER ASSETS	STATEMENT	2
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DESCRIPTION	BEG. OF YEAR	END OF YEAR
LONG-TERM INVESTMENTS	221,355.	300,237.
INVESTMENT IN THG, LLC	0.	9,853.
TOTAL TO FORM 990-EZ, LINE 24	221,355.	310,090.

FORM 990-EZ	OTHER REVENUE	STATEMENT	3
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DESCRIPTION	AMOUNT
RACING PURSE FEES	309,518.
MISCELLANEOUS	768.
TOTAL TO FORM 990-EZ, LINE 8	310,286.

FORM 990-EZ	OTHER CHANGES IN NET ASSETS OR FUND BALANCES	STATEMENT	4
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DESCRIPTION

AMOUNT

UNREALIZED GAIN ON INVESTMENTS

28,882.

TOTAL TO FORM 990-EZ, LINE 20

28,882.

FORM 990-EZ

INFORMATION REGARDING TRANSFERS
ASSOCIATED WITH PERSONAL BENEFIT CONTRACTS

STATEMENT 5

- A) DID THE ORGANIZATION, DURING THE YEAR, RECEIVE ANY FUNDS,
DIRECTLY OR INDIRECTLY, TO PAY PREMIUMS ON A PERSONAL
BENEFIT CONTRACT? [] YES [X] NO
- B) DID THE ORGANIZATION, DURING THE YEAR, PAY PREMIUMS,
DIRECTLY OR INDIRECTLY, ON A PERSONAL BENEFIT CONTRACT? . . [] YES [X] NO

FORM 990-EZ PART IV - LIST OF OFFICERS, DIRECTORS, STATEMENT 6
 TRUSTEES AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
R. ERIC WALDMAN LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
CLIFFORD BARRY LEXINGTON, KENTUCKY	VICE PRESIDENT 1.00	0.	0.	0.
GARY E. BISZANTZ LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
POPE MCLEAN, JR. LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
FRANK PENN, JR. LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
DAVID L. SWITZER LEXINGTON, KENTUCKY	EXECUTIVE DIRECTOR 30.00	82,710.	53,635.	4,268.
CHARLES NUCKOLS, III LEXINGTON, KENTUCKY	TREASURER 1.00	0.	0.	0.
WILLIAM THOMASON, JR. LEXINGTON, KENTUCKY	ASSISTANT TREASURER 1.00	0.	0.	0.
WALTER W. HILLENMEYER, III LEXINGTON, KENTUCKY	SECRETARY 1.00	0.	0.	0.
HELEN ALEXANDER LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
JAMES G. BELL LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
STEPHEN E. JOHNSON LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
ROBERT E. COURTNEY, JR. LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
WILLIAM S. FARISH, JR. LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.

KENTUCKY THOROUGHBRED ASSOCIATION, INC.

61-1034871

MIKE BELL LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
WILLIAM C. GREELY LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
ALLAN G. LAVIN, JR. LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
J. DAVID RICHARDSON, M.D. LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
JOHN BURKE LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
JOHN J. GREELY IV LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
STEVE PENROD LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
GARRETT O'ROURKE LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
DON M. ROBINSON LEXINGTON, KENTUCKY	PRESIDENT 1.00	0.	0.	0.
JEFFERY D. THORNBURY LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
NEIL A. HOWARD LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
TIM THORNTON LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
CRAIG BANDOROFF LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
FRANK L BROTHERS LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
STUART E BROWN II LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
DON BALL LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
DARRELL BROWN LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.

KENTUCKY THOROUGHBRED ASSOCIATION, INC.

61-1034871

IAN L. CARMICHAEL JR. LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
ALICE CHANDLER LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
NANCY COLE LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
WADDELL W. HANCOCK II LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
EDDIE KENNEALLY LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
WILLIAM L.S. LANDES III LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
FREDERICK J. SEITZ LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
JOHN T. WARD LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
HENRY D. WHITE LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
MARTHA BUCKNER LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.
ROBERT MCCANN LEXINGTON, KENTUCKY	DIRECTOR 1.00	0.	0.	0.

TOTALS INCLUDED ON FORM 990-EZ, PART IV

82,710.

53,635.

4,268.

KTA WAS FORMED TO PROMOTE THE THOROUGHBRED HORSE INDUSTRY AND, IN GENERAL, TO ASSIST, PROMOTE, AND ENCOURAGE COOPERATIVE EFFORT AMONG RACETRACKS, TRAINERS, AND OWNERS FOR THE BENEFIT OF THE INDUSTRY.

TO ADVERTISE, FOSTER AND PROMOTE THE THOROUGHBRED HORSE INDUSTRY IN THE
COMMONWEALTH OF KENTUCKY.