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Form **990**

Department of the Treasury
Internal Revenue Service

RETURN EXTENDED UNTIL FEBRUARY 15, 2011
Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2009

Open to Public Inspection

A For the 2009 calendar year, or tax year beginning **JUL 1, 2009** and ending **JUN 30, 2010**

B Check if applicable

- ☐ Address change
☐ Name change
☐ Initial return
☐ Terminated
☐ Amended return
☐ Application pending

Please use IRS label or print or type
See Specific Instructions

C Name of organization

NUCLEAR THREAT INITIATIVE, INC.

Doing Business As

Number and street (or P.O. box if mail is not delivered to street address) Room/suite
1747 PENNSYLVANIA AVE. NW 700

City or town, state or country, and ZIP + 4
WASHINGTON, DC 20006

F Name and address of principal officer **SAM NUNN**

1747 PENNSYLVANIA AVENUE, NW, WASHINGTON, DC

D Employer identification number

52-2289435

E Telephone number

(202) 296-4810

G Gross receipts \$ **40,237,459.**

H(a) Is this a group return

for affiliates? ☐ Yes ☒ No

H(b) Are all affiliates included? ☐ Yes ☐ No

If "No," attach a list (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status ☒ 501(c) (**3**) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ **WWW.NTI.ORG**

K Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation: **2001** **M** State of legal domicile: **GA**

Part I Summary

Activities & Governance	1	Briefly describe the organization's mission or most significant activities	SEE STATEMENT 1	
	2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets		
	3	Number of voting members of the governing body (Part VI, line 1a)	3	20
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	18
	5	Total number of employees (Part V, line 2a)	5	36
	6	Total number of volunteers (estimate if necessary)	6	0
	7a	Total gross unrelated business revenue from Part VIII, column (C), line 12	7a	0.
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0.	
Revenue	8	Contributions and grants (Part VIII, line 1h)	Prior Year 31,181,375.	Current Year 22,459,607.
	9	Program service revenue (Part VIII, line 2g)	0.	
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	70,997.	109,433.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	400.	<89.>
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	31,252,772.	22,568,951.
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	7,665,455.	3,593,723.
	14	Benefits paid to or for members (Part IX, column (A), line 4)		
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	4,883,921.	4,921,521.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)		
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 197,392.		
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24f)	5,017,124.	5,718,298.
	18	Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25)	17,566,500.	14,233,542.
19	Revenue less expenses Subtract line 18 from line 12	13,686,272.	8,335,409.	
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	Beginning of Current Year 80,319,447.	End of Year 89,205,571.
	21	Total liabilities (Part X, line 26)	53,878,647.	51,215,676.
	22	Net assets or fund balances Subtract line 21 from line 20	26,440,800.	37,989,895.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

Signature of officer *Charlotte S. Atkinson*

Date **11/29/2010.**

Type or print name and title **CHARLOTTE S. ATKINSON, TREASURER & CFO.**

Paid Preparer's Use Only

Preparer's signature
Firm's name (or yours if self-employed), address, and ZIP + 4

Charmaine S. Atkinson
AVALON FINANCIAL ADVISORS, LLC
133 LUCKIE STREET
ATLANTA, GA 30303

Date **11/22/10**

Check if self-employed ☐

Preparer's identifying number (see instructions)

000002737
EIN ▶ 58-1259406

Phone no. ▶ **(404) 522-4200**

May the IRS discuss this return with the preparer shown above? (see instructions)

☒ Yes ☐ No

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Part III Statement of Program Service Accomplishments

1 Briefly describe the organization's mission:

SEE STATEMENT 1

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?

☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code) (Expenses \$ 4,906,276. including grants of \$ 1,573,094.) (Revenue \$ 0.)
THE NUCLEAR SECURITY PROJECT - SEE STATEMENT 24b (Code) (Expenses \$ 3,354,465. including grants of \$ 639,000.) (Revenue \$ 0.)
COMMUNICATIONS AND PUBLIC OUTREACH - SEE STATEMENT 24c (Code) (Expenses \$ 2,963,583. including grants of \$ 1,072,356.) (Revenue \$ 0.)
GLOBAL HEALTH AND SECURITY INITIATIVE - SEE STATEMENT 2

4d Other program services. (Describe in Schedule O.)

(Expenses \$ 1,500,513. including grants of \$ 309,273.) (Revenue \$)4e Total program service expenses ► \$ 12,724,837.

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	1 X	
2 Is the organization required to complete Schedule B, Schedule of Contributors?	2 X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>	3	X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities? <i>If "Yes," complete Schedule C, Part II</i>	4	X
5 Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations. Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? <i>If "Yes," complete Schedule C, Part III</i>	5	
6 Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>	6	X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>	7	X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>	8	X
9 Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>	9	X
10 Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	10	X
11 Is the organization's answer to any of the following questions "Yes"? <i>If so, complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable</i>	11 X	
• Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>		
• Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>		
• Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		
• Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>		
• Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>		
• Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? <i>If "Yes," complete Schedule D, Part X</i>		
12 Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI, XII, and XIII.</i>	12 X	
12A Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional</i>	12A Yes No X	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>	13	X
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a X	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? <i>If "Yes," complete Schedule F, Part I</i>	14b X	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Part II</i>	15 X	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Part III</i>	16	X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>	17	X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	18	X
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>	19	X
20 Did the organization operate one or more hospitals? <i>If "Yes," complete Schedule H</i>	20	X

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Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21 X	
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22	X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23 X	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25</i>	24a	X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b	
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c	
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d	
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a	X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b	X
26 Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>	26	X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i>	27	X
28 Was the organization a party to a business transaction with one of the following parties, (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)		
a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a	X
b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b	X
c An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c X	
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29 X	
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30	X
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31	X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32	X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33	X
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i>	34	X
35 Is any related organization a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35	X
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36	X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37	X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19?	38 X	

Note. All Form 990 filers are required to complete Schedule O

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Part V Statements Regarding Other IRS Filings and Tax Compliance

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal of U.S. Information Returns. Enter -0- if not applicable.	1a	39
b	Enter the number of Forms W-2G included in line 1a. Enter 0- if not applicable.	1b	0
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	X
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return.	2a	36
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return (see instructions).	2b	X
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?	3a	X
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O.	3b	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	X
b	If "Yes," enter the name of the foreign country: RUSSIA See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a	X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b	X
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?	5c	
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?	6a	X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b	
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	X
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c	X
d	If "Yes," indicate the number of Forms 8282 filed during the year.	7d	
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e	X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f	X
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?	7g	
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?	7h	
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8	
9	Sponsoring organizations maintaining donor advised funds.		
a	Did the organization make any taxable distributions under section 4966?	9a	
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b	
10	Section 501(c)(7) organizations. Enter:		
a	Initiation fees and capital contributions included on Part VIII, line 12.	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.	10b	
11	Section 501(c)(12) organizations. Enter:		
a	Gross income from members or shareholders.	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b	
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	12b	

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Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Section A. Governing Body and Management

	Yes	No
1a Enter the number of voting members of the governing body <u>SEE STATEMENT 4</u>	1a 20	
b Enter the number of voting members that are independent	1b 18	
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2 X	
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3	X
4 Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed? <u>SEE SCHEDULE O</u>	4 X	
5 Did the organization become aware during the year of a material diversion of the organization's assets?	5	X
6 Does the organization have members or stockholders?	6	X
7a Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	7a	X
b Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	7b	X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a The governing body?	8a X	
b Each committee with authority to act on behalf of the governing body?	8b X	
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Does the organization have local chapters, branches, or affiliates?	10a	X
b If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b	
11 Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11 X	
11A Describe in Schedule O the process, if any, used by the organization to review this Form 990 <u>SEE STATEMENT 4</u>	11A	
12a Does the organization have a written conflict of interest policy? If "No," go to line 13	12a X	
b Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b X	
c Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done <u>SEE STATEMENT 4</u>	12c X	
13 Does the organization have a written whistleblower policy?	13 X	
14 Does the organization have a written document retention and destruction policy?	14 X	
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official <u>SEE STATEMENT 4</u>	15a X	
b Other officers or key employees of the organization	15b X	
If "Yes" to line 15a or 15b, describe the process in Schedule O (See instructions)		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	X
b If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed SEE SCHEDULE O

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.
☐ Own website ☒ Another's website ☒ Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶
CHARLOTTE S. ATKINSON - 202.296.4810
1747 PENNSYLVANIA AVE, NW FL 7, WASHINGTON, DC 20006

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year. Use Schedule J-2 if additional space is needed.

• List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

• List all of the organization's **current** key employees. See instructions for definition of "key employee."

• List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.

• List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

• List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if the organization did not compensate any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
SENATOR SAM NUNN CO-CHAIRMAN & CEO	35.00	X		X				338,250.	0.	47,075.
CHARLES B. CURTIS PRESIDENT & COO	45.00	X		X				303,334.	0.	72,010.
R.E. TURNER CO-CHAIRMAN, BOARD OF DI	5.00	X						0.	0.	0.
DR. ALEXEI ARBATOV MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
PETE V. DOMINICI MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
SUSAN EISENHOWER MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
AMBASSADOR ROLF EKEUS MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
GEN EUGENE HABIGER MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
HRH PRINCE EL HASSAN BIN MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
IGOR S. IVANOV MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
PIERRE LELLOUCHE MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
SENATOR RICHARD LUGAR MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
DR. JESSICA MATHEWS MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
RONALD L. OLSON MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
JUDGE HISASHI OWADA MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
DR. WILLIAM PERRY MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
DR. NAFIS SADIK MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
PROF. AMARTYA SEN MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
RT HON PROF SHIRLEY WILL MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
PROF FUJIA YANG MEMBER OF THE BOARD OF D	3.50	X						0.	0.	0.
JOAN ROHLFING SR VP - PROGRAMS AND OPE	45.00			X				241,362.	0.	66,689.
DEBORAH ROSENBLUM EXECUTIVE VICE PRESIDENT	45.00			X				72,542.	0.	21,211.
CHARLOTTE S. ATKINSON TREASURER & CFO	40.00			X				164,630.	0.	40,856.
KRAIG BUTRUM VP DEVELOPMENT	40.00			X				36,892.	0.	12,840.
COREY HINDERSTEIN VP INTERNATIONAL PROGRAM	40.00			X				126,540.	0.	31,601.
LAURA HOLGATE VP RUSSIA PROGRAMS	40.00			X				116,528.	0.	32,892.
MARK KITCHENS CHIEF COMMUNICATIONS OFI	40.00			X				130,215.	0.	19,427.
1b Total								2,557,922.	0.	654,646.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization **19**

- 3 Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual
- 4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual
- 5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? If "Yes," complete Schedule J for such person

	Yes	No
3		X
4	X	
5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization

(A) Name and business address	(B) Description of services	(C) Compensation
SEE STATEMENT 3		1,645,992.

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **8**

SEE SCHEDULE J-2 FOR PART VII, SECTION A CONTINUATION

Form 990 (2009)

Part VIII Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1 a	Federated campaigns	1a				
	b	Membership dues	1b				
	c	Fundraising events	1c				
	d	Related organizations	1d				
	e	Government grants (contributions)	1e				
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	22,459,607.			
	g	Noncash contributions included in lines 1a-1f \$		4,634.			
	h	Total. Add lines 1a-1f		22,459,607.			
Program Service Revenue			Business Code				
	2 a						
	b						
	c						
	d						
	e						
	f	All other program service revenue					
	g	Total. Add lines 2a-2f					
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)		38,941.	38,941.		
	4	Income from investment of tax-exempt bond proceeds					
	5	Royalties					
	6 a	Gross Rents	(i) Real (ii) Personal				
	b	Less: rental expenses					
	c	Rental income or (loss)					
	d	Net rental income or (loss)					
	7 a	Gross amount from sales of assets other than inventory	(i) Securities (ii) Other	17,739,000.			
	b	Less: cost or other basis and sales expenses		17,668,508.			
	c	Gain or (loss)		70,492.			
	d	Net gain or (loss)		70,492.	70,492.		
	8 a	Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c) See Part IV, line 18	a				
	b	Less: direct expenses	b				
	c	Net income or (loss) from fundraising events					
	9 a	Gross income from gaming activities See Part IV, line 19	a				
	b	Less: direct expenses	b				
	c	Net income or (loss) from gaming activities					
	10 a	Gross sales of inventory, less returns and allowances	a				
	b	Less: cost of goods sold	b				
	c	Net income or (loss) from sales of inventory					
Miscellaneous Revenue			Business Code				
11 a	LOSS ON DISPOSAL OF FI	900099	<89.>	<89.>			
b							
c							
d	All other revenue						
e	Total. Add lines 11a-11d		<89.>				
12	Total revenue. See instructions.		22,568,951.	109,344.	0.	0.	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21	1,605,817.	1,605,817.		
2 Grants and other assistance to individuals in the U.S. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16	1,987,906.	1,987,906.		
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	1,727,201.	1,418,677.	298,955.	9,569.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	2,489,670.	1,941,537.	481,921.	66,212.
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	225,983.	176,600.	41,835.	7,548.
9 Other employee benefits	239,698.	187,318.	44,374.	8,006.
10 Payroll taxes	238,969.	185,889.	47,874.	5,206.
11 Fees for services (non-employees)				
a Management	126,535.	70,768.	54,724.	1,043.
b Legal	52,513.	8,182.	44,242.	89.
c Accounting				
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other	2,727,469.	2,648,246.	60,897.	18,326.
12 Advertising and promotion	10,362.	10,362.		
13 Office expenses	221,807.	184,930.	32,791.	4,086.
14 Information technology	274,214.	234,542.	18,708.	20,964.
15 Royalties				
16 Occupancy	541,503.	436,829.	92,280.	12,394.
17 Travel	712,845.	658,128.	27,501.	27,216.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	718,404.	686,616.	27,410.	4,378.
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	65,330.	52,759.	11,083.	1,488.
23 Insurance	58,160.	47,556.	9,394.	1,210.
24 Other expenses. Itemize expenses not covered above. (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below.)				
a PRINTING & PUBLICATIONS	174,965.	161,005.	5,315.	8,645.
b MISCELLANEOUS	34,191.	21,170.	12,009.	1,012.
c				
d				
e				
f All other expenses				
25 Total functional expenses. Add lines 1 through 24f	14,233,542.	12,724,837.	1,311,313.	197,392.
26 Joint costs. Check here <input type="checkbox"/> if following SOP 98-2. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing	6,509.	1	15,808.
	2 Savings and temporary cash investments	6,199,304.	2	14,015,600.
	3 Pledges and grants receivable, net	13,941,440.	3	24,838,489.
	4 Accounts receivable, net	14,164.	4	44,160.
	5 Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6 Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete Part II of Schedule L		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	131,028.	9	142,226.
	10a Land, buildings, and equipment. cost or other basis. Complete Part VI of Schedule D	10a 734,514.		
	b Less accumulated depreciation	10b 585,226.	10c	149,288.
	11 Investments - publicly traded securities	9,903,397.	11	
	12 Investments - other securities. See Part IV, line 11		12	
	13 Investments - program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11	50,000,000.	15	50,000,000.
16 Total assets. Add lines 1 through 15 (must equal line 34)	80,319,447.	16	89,205,571.	
Liabilities	17 Accounts payable and accrued expenses	940,466.	17	690,213.
	18 Grants payable	2,903,223.	18	464,622.
	19 Deferred revenue	34,958.	19	60,841.
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities. Complete Part X of Schedule D	50,000,000.	25	50,000,000.
	26 Total liabilities. Add lines 17 through 25	53,878,647.	26	51,215,676.
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	10,376,664.	27	12,673,186.
	28 Temporarily restricted net assets	16,064,136.	28	25,316,709.
	29 Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances	26,440,800.	33	37,989,895.
	34 Total liabilities and net assets/fund balances	80,319,447.	34	89,205,571.

Form 990 (2009)

Part XI Financial Statements and Reporting

- 1 Accounting method used to prepare the Form 990 ☐ Cash ☒ Accrual ☐ Other _____
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O
- 2a Were the organization's financial statements compiled or reviewed by an independent accountant?
- b Were the organization's financial statements audited by an independent accountant?
- c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?
If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O
- d If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both
☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis
- 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?
- b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits

	Yes	No
2a		X
2b	X	
2c	X	
3a		X
3b		

Form 990 (2009)

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public
Inspection

Name of the organization

NUCLEAR THREAT INITIATIVE, INC.

Employer identification number

52-2289435

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
- 2 ☐ A school described in section 170(b)(1)(A)(ii). (Attach Schedule E.)
- 3 ☐ A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
- 4 ☐ A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state.
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 8 ☐ A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 9 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)
- 10 ☐ An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
- 11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box that describes the type of supporting organization and complete lines 11e through 11h.
- a ☐ Type I b ☐ Type II c ☐ Type III - Functionally integrated d ☐ Type III - Other
- e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
- f ☐ If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box.
- g ☐ Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
- (i) ☐ A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?
- (ii) ☐ A family member of a person described in (i) above?
- (iii) ☐ A 35% controlled entity of a person described in (i) or (ii) above?
- h ☐ Provide the following information about the supported organization(s).

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
Total									

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2009

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	7,884,197.	12,421,109.	10,277,659.	31,227,474.	22,556,358.	84,366,797.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	7,884,197.	12,421,109.	10,277,659.	31,227,474.	22,556,358.	84,366,797.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						62,519,687.
6 Public support. Subtract line 5 from line 4						21,847,110.

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4	7,884,197.	12,421,109.	10,277,659.	31,227,474.	22,556,358.	84,366,797.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	597,880.	551,523.	201,791.	57,097.	38,941.	1,447,232.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV)	108,454.	5,926.		400.	<89.>	114,691.
11 Total support. Add lines 7 through 10						85,928,720.
12 Gross receipts from related activities, etc. (see instructions)					12	

13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2009 (line 6, column (f) divided by line 11, column (f))	14	25.42 %
15 Public support percentage from 2008 Schedule A, Part II, line 14	15	29.18 %
16a 33 1/3% support test - 2009. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
b 33 1/3% support test - 2008. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
17a 10% -facts-and-circumstances test - 2009. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization <input checked="" type="checkbox"/>		
b 10% -facts-and-circumstances test - 2008. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions <input type="checkbox"/>		

Schedule A (Form 990 or 990-EZ) 2009

Part III Support Schedule for Organizations Described in Section 509(a)(2) (Complete only if you checked the box on line 9 of Part I.)**Section A. Public Support**

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support (Add lines 9, 10c, 11, and 12)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2009 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2008 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2009 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2008 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2009. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ☐

b 33 1/3% support tests - 2008. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐

Schedule A (Form 990 or 990-EZ) 2009

Part IV **Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10, Part II, line 17a or 17b, and Part III, line 12. Provide any other additional information. See instructions.

SEE STATEMENT 5

Schedule D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

- ▶ Complete if the organization answered "Yes," to Form 990,
Part IV, line 6, 7, 8, 9, 10, 11, or 12.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public
Inspection

Name of the organization

NUCLEAR THREAT INITIATIVE, INC.

Employer identification number

52-2289435

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?		<input type="checkbox"/> Yes <input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?		<input type="checkbox"/> Yes <input type="checkbox"/> No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

<input type="checkbox"/> Preservation of land for public use (e g , recreation or pleasure)	<input type="checkbox"/> Preservation of an historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure
<input type="checkbox"/> Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

- 1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items.
- b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items
- | | |
|--|------------|
| (i) Revenues included in Form 990, Part VIII, line 1 | ▶ \$ _____ |
| (ii) Assets included in Form 990, Part X | ▶ \$ _____ |
- 2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items:
- | | |
|--|------------|
| a Revenues included in Form 990, Part VIII, line 1 | ▶ \$ _____ |
| b Assets included in Form 990, Part X | ▶ \$ _____ |

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibitiond ☐ Loan or exchange programsb ☐ Scholarly researche ☐ Other _____c ☐ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets

to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes☐ No**Part IV Escrow and Custodial Arrangements.** Complete if organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table

c Beginning balance

d Additions during the year

e Distributions during the year

f Ending balance

	Amount
1c	
1d	
1e	
1f	

2a Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes☐ No

b If "Yes," explain the arrangement in Part XIV

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the year end balance held as

a Board designated or quasi-endowment ► _____ %

b Permanent endowment ► _____ %

c Term endowment ► _____ %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by.

(i) unrelated organizations

(ii) related organizations

	Yes	No
3a(i)		
3a(ii)		
3b		

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIV the intended uses of the organization's endowment funds

Part VI Investments - Land, Buildings, and Equipment. See Form 990, Part X, line 10

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		222,252.	219,016.	3,236.
d Equipment		512,262.	366,210.	146,052.
e Other				
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c))				149,288.

Schedule D (Form 990) 2009

Total (Col (b) must equal Form 990, Part X, col (B) line 12.) ▶**Total.** (Col (b) must equal Form 990, Part X, col (8) line 13.) ►**Total.** (Column (b) must equal Form 990, Part X, col (B) line 15)

932053
02-01-10

Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	22,568,951.
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	14,233,542.
3	Excess or (deficit) for the year. Subtract line 2 from line 1	3	8,335,409.
4	Net unrealized gains (losses) on investments	4	3,208,116.
5	Donated services and use of facilities	5	5,570.
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	
9	Total adjustments (net). Add lines 4 through 8	9	3,213,686.
10	Excess or (deficit) for the year per audited financial statements. Combine lines 3 and 9	10	11,549,095.

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements	1	25,873,818.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
a	Net unrealized gains on investments	2a	3,208,116.
b	Donated services and use of facilities	2b	96,751.
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIV)	2d	
e	Add lines 2a through 2d	2e	3,304,867.
3	Subtract line 2e from line 1	3	22,568,951.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	
c	Add lines 4a and 4b	4c	0.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	22,568,951.

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements	1	14,324,723.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
a	Donated services and use of facilities	2a	91,181.
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIV)	2d	
e	Add lines 2a through 2d	2e	91,181.
3	Subtract line 2e from line 1	3	14,233,542.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	
c	Add lines 4a and 4b	4c	0.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	14,233,542.

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, line 8; Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

SEE STATEMENT 6

Schedule F
(Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

- ▶ Complete if the organization answered "Yes" to Form 990,
Part IV, line 14b, 15, or 16.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public
Inspection

Name of the organization

Employer identification number

NUCLEAR THREAT INITIATIVE, INC.

52-2289435

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b

- 1** For grantmakers. Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No

- 2** For grantmakers. Describe in Part IV the organization's procedures for monitoring the use of grant funds outside the United States

SEE STATEMENT 7

- 3** Activities per Region (Use Schedule F-1 (Form 990) if additional space is needed) SEE STATEMENT 7

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EAST ASIA AND THE PACIFIC	0	0	GRANTS	DISEASE SURVEILLANCE	498,420.
EAST ASIA AND THE PACIFIC	0	0	PROGRAM SERVICES	DISEASE SURVEILLANCE	296,036.
EAST ASIA AND THE PACIFIC	0	0	GRANTS	NSP PARTNERS	384,682.
EAST ASIA AND THE PACIFIC	0	0	PROGRAM SERVICES	NUCLEAR PROGRAMS	57,975.
EUROPE (INCL. ICELAND & GREENLAND)	0	0	GRANTS	NSP PARTNERS	372,635.
EUROPE (INCL. ICELAND & GREENLAND)	0	0	PROGRAM SERVICES	DISEASE SURVEILLANCE	322,337.
EUROPE (INCL. ICELAND & GREENLAND)	0	0	PROGRAM SERVICES	NUCLEAR PROGRAMS	299,751.
EUROPE (INCL. ICELAND & GREENLAND)	0	0	OTHER	BOARD MEETING	17,421.
Totals	1	2			3,466,033.

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2009

(h) Method of valuation (book, FMV, appraisal, other)

Part IV **Supplemental Information**

Complete this part to provide the information required in Part I, line 2, and any additional information

SCHEDULE F, PART I, LINE 2 & SCHEDULE F, PART I, LINE 3 - SEE STATEMENT

7

SCHEDULE F-1
(Form 990)

Continuation Sheet for Schedule F (Form 990)

OMB No 1545-0047

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Inspection

Department of the Treasury
Internal Revenue Service

▶ Attach to Form 990 to list additional information for
Schedule F (Form 990) Part I, line 3; Part II, line 1; or Part III.
▶ See instructions for Schedule F (Form 990).

Name of the organization

NUCLEAR THREAT INITIATIVE, INC.

Employer identification number

52-2289435

Part I Continuation of Activities per Region. (Schedule F (Form 990), Part I, line 3)

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
RUSSIA AND THE NEWLY INDEPENDENT STATES	0	0	GRANTS	NSP PARTNERS	399,550.
RUSSIA AND THE NEWLY INDEPENDENT STATES	1	2	OTHER	MOSCOW OFFICE	137,708.
RUSSIA AND THE NEWLY INDEPENDENT STATES	0	0	PROGRAM SERVICES	DISEASE SURVEILLANCE	60,505.
RUSSIA AND THE NEWLY INDEPENDENT STATES	0	0	PROGRAM SERVICES	NUCLEAR PROGRAMS	35,585.
RUSSIA AND THE NEWLY INDEPENDENT STATES	0	0	OTHER	OTHER	12,718.
MIDDLE EAST AND NORTH AFRICA	0	0	GRANTS	DISEASE SURVEILLANCE	347,390.
MIDDLE EAST AND NORTH AFRICA	0	0	PROGRAM SERVICES	DISEASE SURVEILLANCE	151,315.
SOUTH ASIA	0	0	PROGRAM SERVICES	NUCLEAR PROGRAMS	54,350.
SUB-SAHARAN AFRICA	0	0	PROGRAM SERVICES	DISEASE SURVEILLANCE	17,655.
Totals	1	2			

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F-1 (Form 990) 2009

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

► Attach to Form 990.

2009

Open to Public Inspection

NUCLEAR THREAT INITIATIVE, INC.

Employer identification number
52-2289435

SEE STATEMENT 7

Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I, I (Form 990) if additional amounts are needed.

1 (a) Name and address of organization or government					(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash	(f) Method of valuation (book, FMV, etc.)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
<p>recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed</p>											

[illegible]

2 Enter total number of section 501(c)(3) and government organizations

3. Enter total number of other organizations _____

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) 2009

SCHEDULE J
(Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 23.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization

NUCLEAR THREAT INITIATIVE, INC.

Employer identification number

52-2289435

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

☒ First-class or charter travel

☐ Housing allowance or residence for personal use

☒ Travel for companions

☐ Payments for business use of personal residence

☐ Tax indemnification and gross-up payments

☒ Health or social club dues or initiation fees

☐ Discretionary spending account

☐ Personal services (e.g., maid, chauffeur, chef)

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain *SEE STATEMENT 10*

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?

3 Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director. Check all that apply

☒ Compensation committee

☐ Written employment contract

☒ Independent compensation consultant

☒ Compensation survey or study

☒ Form 990 of other organizations

☒ Approval by the board or compensation committee

4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization

a Receive a severance payment or change-of-control payment?

b Participate in, or receive payment from, a supplemental nonqualified retirement plan?

c Participate in, or receive payment from, an equity-based compensation arrangement?

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III

Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.

5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

a The organization?

b Any related organization?

If "Yes" to line 5a or 5b, describe in Part III

6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of

a The organization?

b Any related organization?

If "Yes" to line 6a or 6b, describe in Part III

7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III

8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs. section 53.4958-4(a)(3)? If "Yes," describe in Part III

9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

Yes No

1b

X

2

X

4a

X

4b

X

4c

X

5a

X

5b

X

6a

X

6b

X

7

X

8

X

9

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2009

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a.

(A) Name	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
SENATOR SAM NUNN	(i) 338,250.	0.	0.	47,075.	0.	385,325.	352,670.
	(ii) 0.	0.	0.	0.	0.	0.	0.
CHARLES B. CURTIS	(i) 303,334.	0.	0.	46,520.	25,490.	375,344.	335,590.
	(ii) 0.	0.	0.	0.	0.	0.	0.
JOAN ROHLFING	(i) 241,362.	0.	0.	39,625.	27,064.	308,051.	259,626.
	(ii) 0.	0.	0.	0.	0.	0.	0.
CHARLOTTE S. ATKINSON	(i) 164,630.	0.	0.	26,845.	14,011.	205,486.	156,130.
	(ii) 0.	0.	0.	0.	0.	0.	0.
COREY HINDERSTEIN	(i) 126,540.	0.	0.	13,017.	18,584.	158,141.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
TERENCE TAYLOR	(i) 195,478.	0.	0.	41,837.	18,145.	255,460.	226,628.
	(ii) 0.	0.	0.	0.	0.	0.	0.
LOUISE GRESHAM	(i) 198,573.	0.	0.	34,567.	9,157.	242,297.	233,243.
	(ii) 0.	0.	0.	0.	0.	0.	0.
ROBERT E. BERLS	(i) 192,660.	0.	0.	30,375.	22,297.	245,332.	222,698.
	(ii) 0.	0.	0.	0.	0.	0.	0.
BRYAN WILKES	(i) 149,277.	0.	0.	22,400.	29,508.	201,185.	0.
	(ii) 0.	0.	0.	0.	0.	0.	0.
DIANE HAUSLEIN	(i) 146,071.	0.	0.	24,600.	24,424.	195,095.	171,650.
	(ii) 0.	0.	0.	0.	0.	0.	0.
CATHERINE GWIN	(i) 145,570.	0.	0.	21,981.	30,754.	198,305.	166,257.
	(ii) 0.	0.	0.	0.	0.	0.	0.
(i)							
(ii)							
(i)							
(ii)							
(i)							
(ii)							
(i)							
(ii)							
(i)							
(ii)							
(i)							
(ii)							

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

PART I, LINE 1A: SEE STATEMENT 10**PART II: PRIOR YEAR FORM 990 DID NOT INCLUDE EMPLOYEE****DEFERRALS TO 401(K) PLANS. CURRENT YEAR FORM 990 DOES INCLUDE EMPLOYEE****DEFERRALS TO 401(K) PLANS AS CLARIFIED IN THE IRS 2009 FORM 990 SIGNIFICANT****CHANGES REPORTING REQUIREMENTS.**

Continuation Sheet for Form 990

OMB No 1545-0047

2009

Open to Public Inspection

▶ Attach to Form 990 to list additional information for Form 990, Part VII, Section A, line 1a.

▶ See the Instructions for Form 990.

Name of the Organization

NUCLEAR THREAT INITIATIVE, INC.

Employer Identification number

52-2289435

Part I	Continuation of Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
---------------	--

[illegible]

Department of the Treasury
Internal Revenue Service

► **Complete if the organization answered "Yes" on Form 990, Part IV, line 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.**

► **Attach to Form 990 or Form 990-EZ. ► See separate instructions.**

Open To Public Inspection

Employer identification number
52-2289435

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

[illegible]

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization

Complete if the organization answered "Yes" on Form 990, Part IV, line 26, or Form 990-EZ, Part V, line 38a

(a) Name of interested person and purpose	(b) Loan to or from the organization?		(c) Original principal amount	(d) Balance due	(e) In default?		(f) Approved by board or committee?		(g) Written agreement?	
	To	From			Yes	No	Yes	No	Yes	No
Total				\$						

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

[illegible]

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
SEE STATEMENT 11					X

Schedule L (Form 990 or 990-EZ) 2009

SCHEDULE M
(Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

▶ **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**
▶ **Attach to Form 990.**

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization

NUCLEAR THREAT INITIATIVE, INC.

Employer identification number

52-2289435

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions	(c) Revenues reported on Form 990, Part VIII, line 1g	(d) Method of determining revenues
1 Art - Works of art				
2 Art - Historical treasures				
3 Art - Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities - Publicly traded	X	3	4,530,707.	FAIR MARKET VALUE
10 Securities - Closely held stock				
11 Securities - Partnership, LLC, or trust interests				
12 Securities - Miscellaneous				
13 Qualified conservation contribution - Historic structures				
14 Qualified conservation contribution - Other				
15 Real estate - Residential				
16 Real estate - Commercial				
17 Real estate - Other				
18 Collectibles				
19 Food inventory	X	20	4,634.	COST
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ ()				
26 Other ▶ ()				
27 Other ▶ ()				
28 Other ▶ ()				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgment

29

0

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?

b If "Yes," describe the arrangement in Part II.

31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

b If "Yes," describe in Part II.

33 If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II

	Yes	No
30a		X
31		X
32a		X
33		

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) 2009

Part II

Supplemental Information. Complete this part to provide the information required by Part I, lines 30b, 32b, and 33
Also complete this part for any additional information

SCHEDULE M, PART I, COLUMN (B): NTI IS REPORTING THE NUMBER OF
CONTRIBUTIONS NOT THE NUMBER OF ITEMS.

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public
Inspection

Name of the organization

NUCLEAR THREAT INITIATIVE, INC.

Employer identification number

52-2289435

FORM 990, PART VI, SECTION A, LINE 1: SEE STATEMENT 4

FORM 990, PART VI, SECTION A, LINE 4: IN OCTOBER 2010, THE NUCLEAR THREAT
INITIATIVE, INC'S BOARD VOTED TO CHANGE ITS YEAR END TO DECEMBER 31. THIS
INVOLVED AMENDING THE BYLAWS TO REFLECT THE NEW YEAR END.

FORM 990, PART VI, SECTION B, LINE 11: SEE STATEMENT 4

FORM 990, PART VI, SECTION B, LINE 12C: SEE STATEMENT 4

FORM 990, PART VI, SECTION B, LINE 15: SEE STATEMENT 4

FORM 990, PART VI, LINE 17, LIST OF STATES RECEIVING COPY OF FORM 990:
AL, AZ, AR, CA, CT, DE, DC, FL, GA, IL, KS, LA, ME, MD, MA, MI, MN, MS, MO, NH, NJ, NM, NY, NC, ND
OH, OK, OR, PA, RI, SC, TN, TX, UT, VA, WA, WV, WI, KY, AK, CO

FORM 990, PART VI, SECTION C, LINE 19: NTI STATES ON ITS WEBSITE THAT ITS
GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY AND FINANCIAL STATEMENTS
ARE AVAILABLE TO THE PUBLIC UPON REQUEST, AND PROVIDES A LINK TO CONTACT
INFORMATION.

[HTTP://WWW.NTI.ORG/B ABOUTNTI/B INDEX.HTML](http://www.nti.org/b_aboutnti/b_index.html)

Statement 1

Nuclear Threat Initiative, Inc.

EIN: 52-2289435

Form 990. Part I, line 1 & Form 990, Part III, line 1.

For the year ended June 30, 2010

The Nuclear Threat Initiative's mission is to strengthen global security by reducing the risk of use and preventing the spread of nuclear, biological and chemical weapons, and to work to build the trust, transparency and security which are preconditions to the ultimate fulfillment of the Non-Proliferation Treaty's goals and ambitions.

Nuclear Threat Initiative, Inc.**EIN: 52-2289435**

Form 990. Part III. Statement of Program Service Accomplishments.

Line 4a. The Nuclear Security Project

The Nuclear Security Project is an effort to galvanize global support for and action on the vision of a world free of nuclear weapons and the urgent steps needed to reach that goal. Former Secretary of State, George P. Schultz, former Defense Secretary William J. Perry, former Secretary of State Henry A. Kissinger and former Senator Sam Nunn ("the NSP principals") joined together to form the Project in the wake of their Wall Street Journal op-eds which have re-framed the terms of the global debate on nuclear issues. Through the project, NTI has partnered with organizations across five continents, and engaged officials at the highest levels of government internationally to promote dialogue, education and action on the Vision and the Steps toward a World Free of Nuclear Weapons. Through grant-making, conferences and meetings, and educational outreach, we have enabled analytic studies and expended support for the project by bringing together key subject experts, senior statesmen, and political and military leaders. In September 2009, the United Nations Security Council implicitly endorsed the agenda of the Nuclear Security Project through the adoption of UNSC Resolution 1887.

Specific accomplishments during tax year 2010 include:

Conferences & Meetings (highlights):

February 2010: former senior officials from the UK, Norway, Italy, France, Poland, and Germany met in Munich with the four NSP principals, to generate discussion and develop ideas and action on Europe and NATO's role in the nuclear threat reduction process. The four Principals also met with high level German officials in Berlin.

September 2009: the NSP hosted a dinner in New York City with Foreign Ministers and senior representatives of non-nuclear weapon states who are members of the Nuclear Non-proliferation Treaty (NPT). The dinner was part of an effort designed to engage key non-nuclear weapon states on the vision and the steps.

Nuclear Tipping Point:

In January 2010 the NSP launched "Nuclear Tipping Point" – a documentary film about nuclear dangers and the need to combine the vision of a world free of nuclear weapons with steps to move toward that goal. In "Nuclear Tipping Point," Shultz, Perry, Kissinger, and Nunn share the personal experiences that led them to write the three *Wall Street Journal* op-eds describing their efforts to reduce reliance on nuclear weapons, to prevent their spread into potentially dangerous hands and ultimately to end them as a threat to the world. The film is introduced by

General Colin Powell, narrated by actor Michael Douglas and includes interviews with California Governor Arnold Schwarzenegger and former Soviet President Mikhail Gorbachev, among others. The NSP is distributing the film, free of charge, as part of the of our education and outreach efforts. The film website has been an especially useful tool and the NSP is utilizing the site and other social media outlets to promote and distribute the film and advance the Project goals. The film has been distributed to every state in the United States and countries worldwide. Screening events and discussions have been held across the country and around the world.

Internationalizing the NSP:

The NSP has set up partnerships with twelve trusted security and defense institutions around the world that support the analytic agenda of the NSP and help build on the political momentum behind the vision and steps by stimulating dialogue and action within their circles of influence on the urgent dangers of today's nuclear threat. Engaging reputable "Project Partners" around the world on the vision and steps agenda plays a valuable role in helping to expand the international reach of the NSP by: ensuring that the vision and steps agenda remains a priority in key countries and regions; helping shape the analytic agenda in key countries to ensure they complement and build on the global momentum behind the vision and steps; expanding support and action for the vision and steps to broad audiences within key countries - including, policy makers, subject matter experts, the public and media; and ensuring that NSP products reach a broad international audience.

Analytic Studies:

The NSP continued to manage its analytic studies, focusing on verification which culminated in the publication of a book – *Cultivating Confidence: Verification, Monitoring, and Enforcement for a World Free of Nuclear Weapons (Nuclear Threat Initiative, 2010)* – exploring the key technical, political and diplomatic challenges associated with verification, monitoring and enforcement of a world free of nuclear weapons and potential solutions to these challenges.

Line 4b. Communications & Public Education

NTI's Communications and Public Education program aims to provide resources to nonproliferation professionals, and to educate and inform the public on the risks and threats from nuclear, biological and chemical weapons, terrorism and related issues. NTI manages six websites which provide comprehensive, in depth online content. www.nti.org is the most visited site.

Additionally, the program tries to engage and expand the network of individuals interested in efforts to reduce the threats from nuclear, biological and chemical weapons and materials with the goal of inspiring individuals to become personally involved in efforts to expand and accelerate the pace of work to reduce these threats.

Specific accomplishments during the tax year include:

Global Security Newswire

NTI supports the publication of Global Security Newswire by the National Journal Group, which provides daily news on nuclear, chemical and biological weapons, terrorism and related issues. National Journal produces original reporting and a comprehensive snapshot of the day's global news on these issues for a readership which includes both professionals and those with a lay interest. It appears exclusively on NTI's website.

Online Research Center and Library

NTI funds the James Martin Center for Nonproliferation Studies at the Monterey Institute for International Studies to produce a research library containing information, analysis and educational materials relating to the threats from nuclear, biological and chemical weapons, missile development programs and terrorist activities. The library builds on the most comprehensive open-source nonproliferation databases in the world and brings together a range of expert opinion and analysis on these issues.

Securing the Bomb

Securing the Bomb 2010 is the only available source detailing all aspects of the effort to secure nuclear weapons and materials worldwide. Since NTI first commissioned this annual report from the Harvard University's Managing the Atom Project in 2002, the report and its recommendations have increased public awareness of the nuclear terrorism threat and helped spur increased government action to reduce nuclear dangers. In addition to wide distribution of the print edition, the full text of *Securing the Bomb* can be downloaded, along with other valuable resources and updates.

Line 4c. Global Health and Security Initiative

NTI's Global Health and Security Initiative works around the world to prevent, detect and respond to biological threats. The threats arising from natural or deliberately induced disease outbreaks are both a health and a security issue. To find solutions to the extraordinarily complex biological threat, NTI is pioneering new approaches toward health and security. GHSI focuses on two priority areas for action: 1) improving global capacity for the prevention of and preparedness for biological threats through enhancing disease surveillance, early detection and response; 2) promoting the safe and secure practice of the bio-sciences by safeguarding access to dangerous pathogens and preventing the misuse of technology and information.

Specific accomplishments during the tax year include:

Disease Surveillance:

GHSI continued to work with the Middle East, Southeast Asia and Southern African disease surveillance networks to improve regional capacity for infectious disease surveillance, and develop rapid response capability in the event of disease outbreaks. We are achieving this through the development of human resource and laboratory capacity that operates across borders and works to create equity in the national capacities to detect and respond to local outbreaks, hence preventing regional and global emergencies. GHSI is also working to connect

these networks through, "Connecting Health Organizations for Regional Disease Surveillance," (CHORDS). CHORDS brings together experts within the global disease surveillance community to share knowledge, experience and standards to improve early detection of and response to disease outbreaks. CHORDS aims to support regional disease surveillance networks by providing a forum for collaboration, data-sharing, problem-solving, and access to advanced technologies.

Control of Drug Resistant Tuberculosis in North Korea:

GHSI has supported the development of North Korea's first laboratory for the diagnosis of drug-resistant tuberculosis. After famines plagued North Korea in the 1990's, the country witnessed a resurgence of tuberculosis. The project seeks to strengthen North Korea's ability to detect all forms of the disease and support its treatment and control, and the new laboratory will fill a critical gap in their TB control program. GHSI worked with Christian Friends of Korea (CFK), and the Stanford-led Bay Area TB Consortium (BATC) as part of an unprecedented collaboration between American tuberculosis (TB) experts and doctors from the Democratic People's Republic of Korea (North Korea) Ministry of Public Health.

International Council for the Life Sciences (ICLS):

GHSI supported ICLS, a non-profit organization that aims to enhance global biological security and safety by establishing international standards and sharing of best practices.

Nuclear Threat Initiative, Inc.**EIN: 52-2289435**

Form 990. Part VII. Independent Contractors

(A) Name & Business Address	(B) Description of Services	(C) Compensation
National Journal Group 600 New Hampshire Ave, NW, Washington, DC 20037	Global Security Newswire – daily news on nuclear, biological and chemical weapons, terrorism and related issues	\$955,000
GC Strategic Advocacy 3001 Douglas Blvd, Ste 225 Roseville, CA 95661	Production of "Nuclear Tipping Point" film	\$210,865
TR Communications 4301 Rosemary St. Chevy Chase, MD 20815	Speeches, Op-Eds, Communications	\$189,000
The RAND Corporation 1776 Main St, Santa Monica, CA 90401	Public Health & Disease Surveillance project support	\$150,797
International Nuclear Consultants, Inc. 5670 Millwick Drive Alpharetta, GA 30005	Nuclear experts providing strategic consulting services and project support	\$140,330

Nuclear Threat Initiative, Inc.

Statement 4

EIN: 52-2289435

Form 990. Part VI.

Section A.

Line 1a – NTI's governing body, the Board of Directors, meets semi-annually. Between meetings, it delegates authority under NTI's by-laws to the Executive Committee, which is composed of not less than three and not more than five members who must have been or are to be upon election, Directors of NTI, and includes the co-chairmen of the Board. The Executive Committee is appointed annually by the Board. During the year ended June 30, 2010, the Executive Committee was made up of the co-chairmen of the Board, and the President of NTI. During intervals between meetings of the Board of Directors, the Executive Committee possesses and may exercise all the powers and functions of the Board of Directors in the management and direction of the affairs of NTI in all cases in which specific direction has not been given by the Board of Directors. The Executive Committee can also resolve any matter in which the co-chairmen fail to agree. All actions of the Executive Committee are required to be reported to the Board of Directors at its next meeting. Contemporaneous minutes of the proceedings of the Executive Committee are kept. A majority of the voting members of the Executive Committee in office at the time are necessary to constitute a quorum and in every case, an affirmative vote of a majority of the voting members of the Committee present at a meeting is necessary for taking any action.

Section B.

Line 11A – NTI's Form 990 is prepared by its Finance department. It is initially reviewed by the Treasurer/CFO and then an independent review is provided by Avalon Financial Advisors, LLC. Next, the CFO reviews the Form 990 with management – the Executive Vice President, and the President. NTI's Board of Directors has delegated its review of the Form 990 to the Executive Committee. However, the final Form 990 is required to be sent to all members of the Board for informational purposes prior to being filed.

Line 12c – NTI's bylaws required that a copy of NTI's Conflict of Interest Policy, as adopted by the Board, be furnished to each Director, Officer and operational staff member serving at or associated with NTI. NTI's policy states that a conflict of interest exists:

- When an officer or member of the staff takes part in a decision in which it appears that she/he may be unable to remain impartial in choosing between the interests of the

- Nuclear Threat Initiative and his/her personal interests or the personal interest of his/her related parties or the interests of his/her reportable affiliates, or
- When an officer or member of the staff uses privileged information gained in the course of service at NTI for personal benefit or gain, or for the personal benefit of gain or his/her related parties or the interests of his/her reportable affiliates, or
- When an officer or member of the staff, by virtue of his/her relationship to NTI, receives a benefit that represents a transfer of NTI's financial resources that is not related to the accomplishment of NTI's tax-exempt purposes.

Related parties are defined as spouses, parents, children, siblings, in-laws and any individuals who reside in an officer or member of the staff's household.

Reportable affiliate means an institution, corporation or business organization for which an officer or member of the staff or a related party (who to the knowledge of the officer or staff member) serves as an officer, director, partner, employee, or agent; holds more than 1% of the voting stock (if any); or has dealings from which he or she has materially benefitted (i.e. received more than \$1,000 exclusive of dividends or interest).

NTI prohibits participation by officer or staff in any matter or otherwise engage in any activity for which a conflict of interest exists. Board members are recused from decisions of the Board in which a conflict of interest might arise. Board members, officers and staff member are required to disclose to NTI any related party and any reportable affiliate which is likely to apply or otherwise be considered by NTI for a grant award or financial transaction. The information provided must be updated annually, or more frequently as appropriate. NTI requests annual updates from its Board members and staff through reissuance of the policy together with a standard form for them to complete.

Line 15 – Annually the CEO presents a memorandum to NTI's Compensation Committee, in which he recommends compensation levels for NTI's CEO and Officers.

In 2005, NTI commissioned a compensation comparability study to assess its levels of compensation as compared to equivalent organizations and the market. NTI used the study in conjunction with analysis carried out in 2000 by PWC, adjusted for inflation, to set compensation levels in 2005. In 2010 NTI commissioned a further compensation study. We expect to have this data for use in setting 2011 compensation.

In subsequent years, including fiscal year 2010 (FY10), NTI proposed increases based on the 2005 compensation, adjusted for inflation as determined by the Washington area Consumer Price Index (CPI). In addition, the CEO also takes into account the importance of retaining a uniquely qualified team at NTI, and in certain circumstances has made a case for small merit increases.

In FY10, the Compensation Committee was made up of three independent members of the Board of Directors. Per NTI's bylaws, the members of the Compensation Committee may not include current or former Directors who are also salaried senior executives of NTI. The

Compensation Committee presents its recommendations to the Board of Directors in executive session. The recommendations include specific salary levels for the CEO, the President and all officers. Officer salaries are approved by majority vote of NTI's Board of Directors, as directed by NTI's bylaws.

Minutes of the Compensation Committee are maintained with NTI's corporate files at the office of NTI's Secretary.

NTI normally receives a substantial part of its support from direct or indirect contributions from the general public. NTI also meets certain other requirements; the pertinent factors set forth in the regulations are discussed below:

i. Ten percent-of-support limitation

Based on support over previous years, NTI anticipates that its public support will continue to range between 20 and 35 percent of total support.

ii. Attraction of public support

NTI receives support primarily from a number of individual donors, foundations and public charities. NTI's fundraising department has also developed a database of approximately 100,000 supporters who follow NTI's activities through its website and e-mail and direct mail distributions. These supporters periodically donate to NTI through year end solicitations, upon receipt of NTI's annual report or for other reasons. NTI intends to continue this pattern of solicitation, and also hopes to further build support from the general public through its donor database, and other means.

iii. Percentage of financial support

As noted above, NTI expects that its public support will continue to consistently range between 20 and 35 percent. In FY08, support was 33.57%; in FY09, support was 29.18%. This range is close to, or exceeds, the 33 1/3 percent amount prescribed in section 170(b)(1)(A)(vi) and should therefore be favorably regarded.

iv. Sources of Support

NTI anticipates that it will continue to receive support from individual, but unrelated donors, foundations and public charities.

v. Representative governing body

NTI has a governing body representative of the broad interests of the public, including various public officials, community leaders and security and policy experts. Its current board consists of the following individuals:

- R.E. Turner, Chairman of Turner Enterprises
- Sam Nunn, Former U.S. Senator
- Charles B. Curtis, Former Deputy Secretary of the US Department of Energy
- Joan Rohlfing, President of NTI
- Dr. Alexei Arbatov, Institute of World Economy and International Relations

- Pete V. Domenici, Former U.S. Senator
- Susan Eisenhower, President of the Eisenhower Institute
- Ambassador Rolf Ekeus, Stockholm International Peace Research Institute
- General Eugene E. Habiger, USAF (Ret.) Former Commander in Chief of the US Strategic Command
- HRH Prince El Hassan Bin Talal, Jordan
- Igor S. Ivanov, Former Minister of Foreign Affairs of Russia
- Pierre Lellouche, French National Assembly
- U.S. Senator Richard G. Lugar (R – Indiana)
- Dr. Jessica Mathews, President of the Carnegie Endowment for International Peace
- Ronald L. Olson, Partner, Munger, Tolles & Olson, LLP
- Judge Hisashi Owada, International Court of Justice
- Dr. William J. Perry, Stanford University and Former Secretary of Defense
- Dr. Nafis Sadik, Special Advisor to the UN Secretary-General
- Professor Amartya Sen, Lamont University Professor and Professor of Economics and Philosophy, Harvard University and Noble Prize Winner
- Rt Hon Professor Shirley Williams, House of Lords, UK
- Professor Fujia Yang, Fudan University and Member of the Chinese Academy of Sciences

vi. *Availability of public facilities or services, public participation in programs or policies*

To support the public's access to a range of views and information about the threats from weapons of mass destruction, NTI has created a number of content-rich websites to support its activities. The primary website is at www.nti.org and has resources in English and Russian. The website is an information clearing house with unique resources as well as over 3,000 links to a wide array of relevant materials and views. In calendar year 2009, this website alone attracted 826,505 visitors making 1,327,205 visits and 2,691,982 page views.

The website's unique resources include:

- A free daily news service provided by National Journal Group covering global developments related to nuclear, biological and chemical weapons;
- A research library with the world's most comprehensive, open-source nonproliferation databases, as well as profiles of the nuclear, biological and chemical weapons programs of over 20 countries, and issue briefs that offer short introduction and in-depth analysis on a wide range of international security issues;
- Publications and source documents published by governments and nongovernmental organizations;

- A teacher's toolkit with self-guided tutorials on key issues, sample syllabi and links to an educational resources guide and a glossary of nonproliferation terms.

The website is available free of charge to all interested persons.

NTI is also conducts regular public outreach and education related to nuclear issues, and provides expert testimony to the US and other governments as requested. Currently NTI is conducting a public outreach program in support of its Nuclear Security Project, a project aimed at reducing reliance on nuclear weapons, preventing their proliferation and ultimately ending them as a threat to the world. NTI has made a documentary film featuring the four project principals, Henry Kissinger, Sam Nunn, William Perry, and George Schultz. The film will be screened to groups, and is available on DVD free of charge to interested parties through NTI's website. This is the second such project that NTI has undertaken. The first film, Last Best Chance, was made in 2005, and, together with a public education campaign about the risks of unsecured nuclear material, added significantly to NTI's public profile, supporter database, and outreach efforts.

NTI makes its conference space available to other public charity organizations and governments for meetings, upon request.

vii. Additional Factors pertinent to membership organizations

This factor is not applicable to NTI because NTI is not a membership organization.

Nuclear Threat Initiative, Inc.

Statement 6

EIN: 52-2289435

Form 990, Schedule D, Part XIV

For the year ended June 30, 2010

Form 990, Schedule D, Part IX – Other Assets

Agency Receivable: NTI has recognized a \$50,000,000 agency receivable from Warren Buffett to fund an "International Fuel Bank," a low enriched uranium stock pile, to be managed by the International Atomic Energy Agency (IAEA). These funds will be passed directly from NTI to the IAEA upon receipt. Accordingly, no revenue has been recognized by NTI, and an agency payable of \$50,000,000 has been recorded at June 30, 2010. The contribution is contingent upon the IAEA taking necessary actions to approve the establishment of the International Fuel Bank.

Form 990, Schedule D, Part X – Other Liabilities

1. See Part IX – Other Assets explanation above.
2. On July 1, 2009, NTI adopted the accounting standard for uncertainty in income taxes, which addresses the determination of whether tax benefits claimed or expected to be claimed on a tax return should be recorded in the financial statements. Under this guidance, NTI may recognize the tax benefit from an uncertain tax position only if it is more likely than not that the tax position will be sustained on examination by taxing authorities, based on the technical merits of the position. The tax benefits recognized in the financial statements from such a position are measured based on the largest benefits that has a greater than 50% likelihood of being realized upon ultimate settlement. The guidance on accounting for uncertainty in income taxes also addresses de-recognition, classification, interest and penalties on income taxes, and accounting in interim periods.

Narrative responses for Form 990, Schedule F and I, Part IV

Schedule F, Part I, Line 2: "Monitoring the use of grant funds outside the US"
Schedule I, Part I, Line 2: "Monitoring the use of grant funds in the US"

Grants are monitored through the following activities:

1. Pre-grant Inquiry

NTI program personnel confirm the suitability of the grantee by reviewing prior history and experience, considering references and complying with export control regulations. Results are documented in a "decision memo" which is presented to either the Board of Directors or the President for approval, depending on the size of the grant.

2. Written Grant Agreement

Once a grant proposal is approved, a grant agreement is drawn up between NTI and the grantee. This sets out the purpose of the grant, the budget and details the legal commitments, including compliance with US export control laws and regulations, and limitations on use of funds being entered into by NTI and the grantee. All grant agreements are given a number for tracking purposes, and are signed by both parties.

3. Accounting for Grant Funds

The grant agreement specifies that grantees keep accurate and complete books and records of receipts and expenditures made in connection with the grant, maintaining such books and records in a manner that the receipts and expenditures of grant funds are shown separately.

4. Regular Reporting

The grant agreement requires that periodic (at least annual) narrative and financial reports are submitted to NTI detailing work accomplished and expenditure of grant funds against budget. Reports are reviewed by both NTI's program and financial personnel.

5. Project Oversight

NTI program personnel are in regular contact with grantee personnel and visit certain key projects to assess progress against grant goals.

6. Disbursement of Funds

NTI's grants are disbursed either up front, in tranches, or on an invoicing basis, dependent on the grant agreement. No funds are disbursed until a signed grant agreement has been received, export control checks have been reviewed and approved, and any other appropriate documentation received. For grants that are managed in tranches, or through invoicing, it is generally necessary that all reporting is up to date, and the appropriate stage of the grant project completed prior to subsequent funds being disbursed.

Sch F, Part I, Line 3, column (f): "Accounting Method Used"

All accounting records are maintained on accrual basis and in accordance with generally accepted accounting principles in the United States of America (US GAAP). All unconditional grants are booked in full to the income statement at the point the grant agreement has been signed by both parties. In fiscal year, 2010, NTI did not make any conditional grants.

Schedule F Part II Grants to Foreign Organizations

(a) Name of Organization	(b) IRS code section (501(c)(3) EIN (if applicable))	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	Nuclear Security Project partnership, Australia	223,682	Wire transfer	None	n/a	FMV
		East Asia and the Pacific	Strengthen the Mekong Basin Disease Surveillance (MBDS) sub-region's laboratory network and to improve field epidemiology capacity	194,396	Wire transfer	None	n/a	FMV
		East Asia and the Pacific	Nuclear Security Project partnership, Japan	161,000	Wire transfer	None	n/a	FMV
		East Asia and the Pacific	Support for field epidemiology training	130,150	Wire transfer	None	n/a	FMV
		East Asia and the Pacific	Partnership with the University of Edinburgh to develop capacity for health security in Southeast Asia through online training courses	121,274	Wire transfer	None	n/a	FMV
		East Asia and the Pacific	To build microbiology laboratory capacity at Battambang and Kampong Cham provincial hospitals in Cambodia	52,600	Wire transfer	None	n/a	FMV
		Europe (including Iceland and Greenland)	Nuclear Security Project partnership, and support for high level leadership networks in the UK and continental Europe	372,635	Wire transfer	None	n/a	FMV
		Russia and the Newly Independent States	Nuclear Security Project partnership, Russia	200,000	Wire transfer	None	n/a	FMV
		Russia and the Newly Independent States	Nuclear Security Project partnership, Russia	199,550	Wire transfer	None	n/a	FMV
		Middle East and North Africa	Secretariat support for the Middle East Consortium for Infectious Disease Surveillance (MECIDS)	96,926	Wire transfer	None	n/a	FMV
		Middle East and North Africa	Secretariat support for MECIDS	82,271	Wire transfer	None	n/a	FMV
		Middle East and North Africa	Country support for MECIDS	41,720	Wire transfer	None	n/a	FMV
		Middle East and North Africa	Country support for MECIDS	71,249	Wire transfer	None	n/a	FMV
		Middle East and North Africa	Country support for MECIDS	55,224	Wire transfer	None	n/a	FMV

Schedule I Part II Grants to US Orgs

1 (a) Name and Address of Organization or Government	(b) EIN	(c) IRS Section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Monterey Institute of International Studies 460 Pierce Street, Monterey CA 93940	94-1425570	501(c)(3)	\$639,000	0	FMV	n/a	Support research and analysis pertaining to nonproliferation issues, of which certain content is displayed on NIT's website
Center for Strategic and International Studies 1800 K Street NW, Suite 400, Washington DC 20006	52-1501082	501(c)(3)	\$325,052	0	FMV	n/a	1 Support for non-government analysts from the US and India working on specified nonproliferation issues 2 Asian Trilateral Track II Nuclear Dialogue regarding the US - Japan- South Korea relationship
President and Fellows of Harvard College Belfer Center for Science and International Affairs John F Kennedy School of Government 1033 Massachusetts Avenue, 2nd Floor, Cambridge, MA 02138	04-2103580	501(c)(3)	\$296,000	0	FMV	n/a	Support of the "US Russian nonproliferation Working Group" and the Belfer Center fellows
International Council for Life Science 4245 North Fairfax Drive, Suite 625 Arlington, VA 22203	59-3828981	501(c)(3)	\$250,000	(\$7,735)	FMV	Write off of previous grant donating staff time	Promoting the practice of safe and secure science in the biological sciences
Bulletin of the Atomic Scientists 77 W Washington Street, Ste 2120 Chicago, IL 60602	36-2136497	501(c)(3)	\$50,000	0	FMV	n/a	Support of the Domsday Clock Symposium held on January 12-13, 2010
World Affairs Council of America 1200 18th Street, NW, Ste 902 Washington, DC 20036	52-1514424	501(c)(3)	\$40,000	0	FMV	n/a	To conduct screenings of the "Nuclear Tipping Point" film around the country to World Affairs Council members
Center for International Trade and Security 120 Holmes/Hunter Academic Building University of Georgia Athens, GA 30602	58-6001998	501(c)(3)	\$20,800	0	FMV	n/a	To support advanced research workshop "Legal Framework for Strengthening Nuclear Security and Combating Nuclear Terrorism" convened in Vienna January 2010
FCNL Education Fund 245 Second Street, NE, Washington DC 20002	52-1254489	501(c)(3)	\$20,000	0	FMV	n/a	Publication of the "Nuclear Calendar," a weekly email newsletter covering events concerning nuclear weapons, disarmament and nonproliferation
Georgia Institute of Technology 781 Marietta Street, NW Atlanta, GA 30332	58-6002023	501(c)(3)	\$15,000	0	FMV	n/a	In support of the 2010 Sam Nunn - Bank of America Policy Forum on nuclear proliferation issues
Christian Friends of Korea 850 Blue Ridge Road, Ste A3 Black Mountain, NC 28711	56-1923972	501(c)(3)	\$14,670	0	FMV	n/a	Continue build out of the National Tuberculosis Reference Lab in Pyongyang, aiming to fight drug resistant tuberculosis in North Korea

Nuclear Threat Initiative, Inc.

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Form 990. Schedule J. Part III. Supplemental Information.

Part I. Line 1a.

First class travel: NTI's Board travel policy states that, "It is the general policy of NTI to provide reimbursement for business class (or the domestic equivalent) airfare [for its Board members], unless exception is made with the approval of either Co-Chairmen or the President to allow first class airfare for international travel in appropriate circumstances." In calendar year 2009, NTI paid for Board members Pierre Lellouche and Amartya Sen to travel first class to its Board meeting for reasons which were deemed appropriate, in compliance with the policy.

Travel for Companions: NTI's policy states that, "Spouses and accompanying assistants of Directors of NTI are specifically invited to and expected to attend meetings of the Board and to participate in Board dinner discussions to gain insight to the substantive programs of NTI to facilitate their ability to knowledgeably represent the work of the Initiative to government officials and society leaders in their communities." In calendar year 2009, NTI paid business class airfares for the spouses of three Board members, Fujia Yang, Eugene Habiger, and Rolf Ekeus, to allow them to accompany their husbands to the NTI Board meeting in accordance with policy.

Health Club dues: During calendar year 2009, NTI's DC based Director of the Moscow Office spent a significant portion of his time in Moscow on business. Instead of paying for a hotel room by the night, which would have provided onsite gym facilities, NTI opted to rent an apartment and pay for a gym membership while he was in Moscow. Overall this reduced the expense to NTI of the Moscow trips. The gym membership was not treated as taxable compensation.

(a) Name of Interested Person	(b) Relationship Between Interested Person & the Organization	(c) Amount of Transaction	(d) Description of Transaction	(e) Sharing of Revenues?
J. Rutherford Seydel, II	Secretary	\$ 102,734	Mr. Seydel is a partner in the law firm, Davis, Pickren, & Seydel, which is paid for legal services it performs to NTI.	No
R.E Turner III	Co-Chairman	\$ 102,734	Father-in-law to J. Rutherford Seydel, NTI's attorney	No

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box. ☒ **X**
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).
- Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.**

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only. ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.

Type or print	Name of Exempt Organization Nuclear Threat Initiative, Inc.	Employer identification number 52-2289435
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. 1747 Pennsylvania Ave NW Suite 700	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. Washington DC 20006	

Check type of return to be filed (file a separate application for each return):

- | | | |
|--|---|------------------------------------|
| <input checked="" type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

- The books are in the care of ► Charlotte Atkinson 1747 Pennsylvania Ave NW #700 Washington DC 20006

Telephone No. ► (202) 454-7736

FAX No. ► (202) 296-4811

- If the organization does not have an office or place of business in the United States, check this box. ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box. ☐ If it is for part of the group, check this box. ☐ and attach a list with the names and EINs of all members the extension will cover.

- 1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 2/15/2011, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☐ calendar year or
- ☒ tax year beginning 7/1/2009, and ending 6/30/2010

- 2** If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3 a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 0

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.