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Form 990  Department of the Treasury Internal Revenue Service	Return of Organization Exempt From Income Tax Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)	OMB No 1545-0047 2009 Open to Public Inspection
	The organization may have to use a copy of this return to satisfy state reporting requirements	

A For the 2009 calendar year, or tax year beginning 07-01-2009 and ending 06-30-2010					
B Check if applicable ☐ Address change ☐ Name change ☐ Initial return ☐ Terminated ☐ Amended return ☐ Application pending	Please use IRS label or print or type. See Specific Instructions.	C Name of organization AMERICAN PUBLIC TRANSPORTATION ASSOCIATION		D Employer identification number 52-1007647	
		Doing Business As		E Telephone number (202) 496-4800	
		Number and street (or P O box if mail is not delivered to street address) 1666 K STREET NW No 1100	Room/suite	G Gross receipts \$ 21,059,260	
		City or town, state or country, and ZIP + 4 WASHINGTON, DC 20006			
	F Name and address of principal officer WILLIAM W MILLAR 1666 K STREET NW No 1100 WASHINGTON, DC 20006		H(a) Is this a group return for affiliates? ☐ Yes ☒ No		
		H(b) Are all affiliates included? ☐ Yes ☐ No If "No," attach a list (see instructions)			
I Tax-exempt status ☒ 501(c) (6) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527		H(c) Group exemption number ▶			
J Website: ▶ WWW.APTA.COM					
K Form of organization ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶			L Year of formation 1974		M State of legal domicile DC

Part I		Summary		
Activities & Governance	1	Briefly describe the organization's mission or most significant activities TO STRENGTHEN AND IMPROVE THE PUBLIC TRANSPORTATION INDUSTRY		
	2	Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets		
	3	Number of voting members of the governing body (Part VI, line 1a)	3 7	
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4 7	
	5	Total number of employees (Part V, line 2a)	5 9	
	6	Total number of volunteers (estimate if necessary)	6 10	
	7a	Total gross unrelated business revenue from Part VIII, column (C), line 12	7a 741,02	
	7b	Net unrelated business taxable income from Form 990-T, line 34	7b -1,00	
Revenue	8	Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9	Program service revenue (Part VIII, line 2g)	28,790,978	20,304,000
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	538,271	203,236
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	34,734	23,352
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	29,363,983	20,530,588
	Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	67,500
14		Benefits paid to or for members (Part IX, column (A), line 4)		0
15		Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	11,070,580	10,846,933
16a		Professional fundraising fees (Part IX, column (A), line 11e)		0
b		Total fundraising expenses (Part IX, column (D), line 25) ☐ 0		
17		Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f)	15,795,861	10,911,780
18		Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	26,933,941	21,802,079
19		Revenue less expenses Subtract line 18 from line 12	2,430,042	-1,271,491
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	Beginning of Current Year	End of Year
	21	Total liabilities (Part X, line 26)	18,774,434	18,328,658
	22	Net assets or fund balances Subtract line 21 from line 20	21,095,959	22,004,322
			-2,321,525	-3,675,664

Part II Signature Block	
Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge
	***** Signature of officer 2011-04-13 Date
	SAMUEL KERNS VICE PRES - FINANCE & ADMIN Type or print name and title
Paid Preparer's Use Only	Preparer's signature Date Check if self-employed ☒ Preparer's identifying number (see instructions)
	Firm's name (or yours if self-employed), address, and ZIP + 4 LARSONALLEN LLP 2900 SOUTH QUINCY ST SUITE 150 ARLINGTON, VA 22206
	EIN Phone no (703) 998-5100

Part III

Statement of Program Service Accomplishments

1

Briefly describe the organization's mission

TO SERVE AND REPRESENT ITS MEMBERS IN MAKING PUBLIC TRANSPORTATION AN EFFECTIVE PATH TO ECONOMIC OPPORTUNITY, PERSONAL MOBILITY AND IMPROVING THE QUALITY OF LIFE THROUGH PARTNERSHIPS, COMMUNICATIONS, TECHNOLOGY AND ADVOCACY

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

Yes

☒

No

If "Yes," describe these new services on Schedule O

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services?

Yes

☒

No

If "Yes," describe these changes on Schedule O

4

Describe the exempt purpose achievements for each of the organization's three largest program services by expenses
Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a

(Code) (Expenses \$ including grants of \$) (Revenue \$)

TRAINING AND DEVELOPMENT HELD OVER FIFTEEN SPECIALIZED WORKSHOPS THAT FOCUS ON SPECIFIC AREAS OF INTEREST IN THE TRANSIT INDUSTRY

4b

(Code) (Expenses \$ including grants of \$) (Revenue \$)

MAJOR MEETINGS HELD FIVE CONFERENCES, INCLUDING THE HIGH SPEED RAIL PRACTICUM THAT FOCUSED ON RELEVANT INDUSTRY ISSUES WHILE PROVIDING INFORMATION AND TRAINING WHICH IS CRUCIAL TO PROFESSIONAL DEVELOPMENT

4c

(Code) (Expenses \$ including grants of \$) (Revenue \$)

MEMBER SERVICES INCLUDES MANAGEMENT OF MEMBER COMMITTEES, PEER REVIEWS, INDUSTRY STATISTICS AND FEDERAL LEGISLATIVE AND REGULATORY ADVOCACY

4d

Other program services (Describe in Schedule O) **See also Additional Data for Description**
(Expenses \$ including grants of \$) (Revenue \$)

4e

Total program service expenses

Part IV

Checklist of Required Schedules

		Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1	No
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2	No
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3	No
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II	4	
5	Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations. Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? If "Yes," complete Schedule C, Part III	5	Yes
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6	No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," complete Schedule D, Part II	7	No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8	No
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9	No
10	Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? If "Yes," complete Schedule D, Part V	10	No
11	Is the organization's answer to any of the following questions "Yes"? If so, complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.	11	Yes
	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI.		
	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII.		
	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII.		
	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX.		
	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X.		
	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? If "Yes," complete Schedule D, Part X.		
12	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII	12	No
12A	Was the organization included in consolidated, independent audited financial statements for the tax year?	Yes	No
	If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional	12A	Yes
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13	No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a	No
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? If "Yes," complete Schedule F, Part I	14b	No
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the U S ? If "Yes," complete Schedule F, Part II	15	No
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the U S ? If "Yes," complete Schedule F, Part III	16	No
17	Did the organization report a total of more than \$15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I	17	No
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18	No
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19	No
20	Did the organization operate one or more hospitals? If "Yes," complete Schedule H	20	No

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21	Yes	
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22		No
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer questions 24b-24d and complete Schedule K. If "No," go to line 25</i>	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a		
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b		
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i>	27		No
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33		No
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i>	34	Yes	
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35	Yes	
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36		
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? Note. All Form 990 filers are required to complete Schedule O	38	Yes	

Part V

Statements Regarding Other IRS Filings and Tax Compliance

			Yes	No	
1a	Enter the number reported in Box 3 of Form 1096, <i>Annual Summary and Transmittal of U.S. Information Returns</i> . Enter -0- if not applicable	1a	54		
	b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b		0
c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c	Yes	
2a	Enter the number of employees reported on Form W-3, <i>Transmittal of Wage and Tax Statements</i> filed for the calendar year ending with or within the year covered by this return	2a	99		
	b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return (see instructions)				2b
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?			3a	Yes
b If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O			3b	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			4a	No
	b If "Yes," enter the name of the foreign country: See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts				
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			5a	No
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b	No	
c If "Yes" to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?			5c		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?			6a	No
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b		
7 Organizations that may receive deductible contributions under section 170(c).					
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?			7a		
b If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b		
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c		
d If "Yes," indicate the number of Forms 8282 filed during the year			7d		
e Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			7f		
g For all contributions of qualified intellectual property, did the organization file Form 8899 as required?			7g		
h For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?			7h		
8 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?			8		
9 Sponsoring organizations maintaining donor advised funds.					
a Did the organization make any taxable distributions under section 4966?			9a		
b Did the organization make a distribution to a donor, donor advisor, or related person?			9b		
10 Section 501(c)(7) organizations. Enter					
a Initiation fees and capital contributions included on Part VIII, line 12			10a		
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities			10b		
11 Section 501(c)(12) organizations. Enter					
a Gross income from members or shareholders			11a		
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)			11b		
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?			12a		
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year			12b		

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Section A. Governing Body and Management

			Yes	No
1a	Enter the number of voting members of the governing body . . .	1a	78	
b	Enter the number of voting members that are independent . . .	1b	77	
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	Yes	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? . . .	3		No
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?	4	Yes	
5	Did the organization become aware during the year of a material diversion of the organization's assets? . . .	5		No
6	Does the organization have members or stockholders?	6	Yes	
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	7a	Yes	
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons? . . .	7b	Yes	
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following			
a	The governing body?	8a	Yes	
b	Each committee with authority to act on behalf of the governing body?	8b	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9		No

Section B. Policies

(This Section B requests information about policies not required by the Internal Revenue Code.)

			Yes	No
10a	Does the organization have local chapters, branches, or affiliates?	10a		No
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b		
11	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11	Yes	
11A	Describe in Schedule O the process, if any, used by the organization to review the Form 990			
12a	Does the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	12c	Yes	
13	Does the organization have a written whistleblower policy?	13	Yes	
14	Does the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	Yes	
b	Other officers or key employees of the organization	15b		No
	If "Yes" to line a or b, describe the process in Schedule O (See instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		No
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed▶	
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c) (3)s only) available for public inspection. Indicate how you make these available. Check all that apply. ☐ Own website ☒ Another's website ☒ Upon request	
19	Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public. See Additional Data Table.	
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶ SAMUEL KERNS 1666 K STREET NW No 1100 WASHINGTON, DC 20006 (202) 496-4843	

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

☐ Check this box if the organization did not compensate any current or former officer, director, trustee or key employee

Form **990** (2009)

1b	Total	2,672,146	0	2,205,494
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2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization▶27

		Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		No
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization

(A) Name and business address	(B) Description of services	(C) Compensation
GMMB 1010 WISCONSIN AVE NW SUITE 600 WASHINGTON, DC 20007	ADVERTISING & CONSULTING	1,192,806
MARRIOTT BUSINESS SERVICE BOX 402642 ATLANTA, GA 30384	CONVENTION SERVICES	602,044
EWA IIT 13873 PARK CENTER ROAD SUITE 200 HERNDON, VA 20171	CONSULTING SERVICES	400,000
HILTON CHICAGO 720 SOUTH MICHIGAN AVENUE CHICAGO, IL 60605	CONVENTION SERVICES	330,094
NATIONAL TRADE PRODUCTIONS 313 SOUTH PATRICK STREET ALEXANDRIA, VA 22314	TRADE SHOW MGMT/PRODUCTION	257,468

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ▶19

Part VIII

Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514	
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns . . .	1a					
	b	Membership dues	1b					
	c	Fundraising events	1c					
	d	Related organizations	1d					
	e	Government grants (contributions)	1e					
	f	All other contributions, gifts, grants, and similar amounts not included above	1f					
	g	Noncash contributions included in lines 1a-1f \$ _____						
	h	Total. Add lines 1a-1f						
Program Service Revenue			Business Code					
	2a	MEMBERSHIP DUES	900,099	11,060,651	11,060,651			
	b	REGISTRATION FEES	900,099	3,293,594	3,293,594			
	c	PROJECT REVENUE	900,099	1,587,922	1,587,922			
	d	STANDARDS REVENUE	900,099	1,154,036	1,154,036			
	e	SPONSORSHIPS	454,180	856,477	856,477			
	f	All other program service revenue		2,351,320	1,610,296	741,024		
	g	Total. Add lines 2a-2f		20,304,000				
Other Revenue	3	Investment income (including dividends, interest and other similar amounts)		276,995			276,995	
	4	Income from investment of tax-exempt bond proceeds . . .						
	5	Royalties		23,352			23,352	
	6a	Gross Rents	(i) Real	(ii) Personal				
	b	Less rental expenses						
	c	Rental income or (loss)						
	d	Net rental income or (loss)						
	7a	Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other				
			454,913					
			528,672					
			-73,759					
	b	Less cost or other basis and sales expenses						
	c	Gain or (loss)						
	d	Net gain or (loss)		-73,759			-73,759	
	8a	Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c) See Part IV, line 18	a					
b	Less direct expenses	b						
c	Net income or (loss) from fundraising events . . .							
9a	Gross income from gaming activities See Part IV, line 19	a						
b	Less direct expenses	b						
c	Net income or (loss) from gaming activities . . .							
10a	Gross sales of inventory, less returns and allowances	a						
b	Less cost of goods sold	b						
c	Net income or (loss) from sales of inventory . . .							
Miscellaneous Revenue		Business Code						
11a								
b								
c								
d	All other revenue							
e	Total. Add lines 11a-11d							
12	Total revenue. See Instructions		20,530,588	19,562,976	741,024	226,588		

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.
All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the U S See Part IV, line 21	43,366			
2	Grants and other assistance to individuals in the U S See Part IV, line 22				
3	Grants and other assistance to governments, organizations, and individuals outside the U S See Part IV, lines 15 and 16				
4	Benefits paid to or for members				
5	Compensation of current officers, directors, trustees, and key employees	2,543,866			
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7	Other salaries and wages	5,516,281			
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	1,347,687			
9	Other employee benefits	911,491			
10	Payroll taxes	527,608			
11	Fees for services (non-employees)				
a	Management				
b	Legal	642			
c	Accounting	48,512			
d	Lobbying	240,000			
e	Professional fundraising See Part IV, line 17				
f	Investment management fees	23,509			
g	Other	2,647,206			
12	Advertising and promotion	1,095,358			
13	Office expenses	836,759			
14	Information technology	296,410			
15	Royalties				
16	Occupancy	1,223,028			
17	Travel	956,759			
18	Payments of travel or entertainment expenses for any federal, state, or local public officials				
19	Conferences, conventions, and meetings	2,601,572			
20	Interest				
21	Payments to affiliates	29,456			
22	Depreciation, depletion, and amortization	328,350			
23	Insurance	122,066			
24	Other expenses Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below)				
a	PRINTING & PUBLISHING	359,253			
b	CONTRIBUTIONS	102,900			
c					
d					
e					
f	All other expenses				
25	Total functional expenses. Add lines 1 through 24f	21,802,079			
26	Joint costs. Check here ☐ if following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X

Balance Sheet

					(A)		(B)
					Beginning of year		End of year
Assets	1	Cash—non-interest-bearing			600	1	600
	2	Savings and temporary cash investments			10,032,574	2	9,457,517
	3	Pledges and grants receivable, net			934,541	3	652,620
	4	Accounts receivable, net			585,319	4	783,424
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L				5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Complete Part II of Schedule L				6	
	7	Notes and loans receivable, net				7	
	8	Inventories for sale or use				8	
	9	Prepaid expenses and deferred charges			237,650	9	261,122
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a	3,403,708	862,298	10c	606,592
	b	Less accumulated depreciation	10b	2,797,116			
	11	Investments—publicly traded securities			6,121,452	11	6,566,783
	12	Investments—other securities See Part IV, line 11				12	
	13	Investments—program-related See Part IV, line 11				13	
	14	Intangible assets				14	
	15	Other assets See Part IV, line 11				15	
16	Total assets. Add lines 1 through 15 (must equal line 34)			18,774,434	16	18,328,658	
Liabilities	17	Accounts payable and accrued expenses			10,505,480	17	9,378,122
	18	Grants payable				18	
	19	Deferred revenue			3,795,875	19	5,116,203
	20	Tax-exempt bond liabilities				20	
	21	Escrow or custodial account liability Complete Part IV of Schedule D				21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L				22	
	23	Secured mortgages and notes payable to unrelated third parties				23	
	24	Unsecured notes and loans payable to unrelated third parties				24	
	25	Other liabilities Complete Part X of Schedule D			6,794,604	25	7,509,997
	26	Total liabilities. Add lines 17 through 25			21,095,959	26	22,004,322
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ☒ and complete lines 27 through 29, and lines 33 and 34.						
	27	Unrestricted net assets			-2,321,525	27	-3,675,664
	28	Temporarily restricted net assets				28	
	29	Permanently restricted net assets				29	
	Organizations that do not follow SFAS 117, check here ☐ and complete lines 30 through 34.						
	30	Capital stock or trust principal, or current funds				30	
	31	Paid-in or capital surplus, or land, building or equipment fund				31	
	32	Retained earnings, endowment, accumulated income, or other funds				32	
	33	Total net assets or fund balances			-2,321,525	33	-3,675,664
34	Total liabilities and net assets/fund balances			18,774,434	34	18,328,658	

Part XI Financial Statements and Reporting

	Yes	No
1 Accounting method used to prepare the Form 990 ☐ Cash ☒ Accrual ☐ Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? . . .		No
b Were the organization's financial statements audited by an independent accountant?	Yes	
c If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
d If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both ☐ Separate basis ☒ Consolidated basis ☐ Both consolidated and separated basis		
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	Yes	
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits . . .	Yes	

Additional Data

Software ID:
Software Version:
EIN: 52-1007647
Name: AMERICAN PUBLIC TRANSPORTATION ASSOCIATION

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

4d. Other program services			
(Code)	(Expenses \$	including grants of \$	(Revenue \$)
RESEARCH, COMMUNICATIONS AND ADVOCACY ONGOING NATIONWIDE EDUCATION AND ADVOCACY PROGRAM DESIGNED TO STRENGTHEN SUPPORT FOR PUBLIC TRANSPORTATION AMONG THE PUBLIC AND LOCAL, STATE AND FEDERAL OFFICIALS			
(Code)	(Expenses \$	including grants of \$	(Revenue \$)
STANDARDS DEVELOPMENT APTA IS A RECOGNIZED STANDARDS DEVELOPMENT ORGANIZATION (SDO) THE PROGRAM INCLUDES CONSENSUS BASED STANDARDS IN ACCESSIBILITY, BUS, RAIL AND PROCUREMENT			

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
BEVERLY A SCOTT CHAIR & IMM PAST CHAIR	1 00	X		X				0	0	0
MATTIE P CARTER 1ST VICE CHAIR & CHAIR	1 00	X		X				0	0	0
NATHANIEL P FORD SR SECRETARY/TREASURER	1 00	X		X				0	0	0
MICHAEL J SCANLON SEC/TREAS & 1ST VICE CHR	1 00	X		X				0	0	0
MICHAEL S TOWNES IMMEDIATE PAST CHAIR	1 00	X		X				0	0	0
WILLIAM W MILLAR PreSIDENT	37 50	X		X				562,262	0	388,144
MARK R AESCH DIRECTOR	1 00	X						0	0	0
ROBERT ANDRESS DIRECTOR	1 00	X						0	0	0
RICHARD J BACIGALUPO DIRECTOR	1 00	X						0	0	0
PAUL J BALLARD DIRECTOR	1 00	X						0	0	0
JEAN-PIERRE BARACAT DIRECTOR	1 00	X						0	0	0
J BARRY BARKER DIRECTOR	1 00	X						0	0	0
DORAN J BARNES DIRECTOR	1 00	X						0	0	0
STEPHEN G BLAND DIRECTOR	1 00	X						0	0	0
MICHAEL J BLAYLOCK DIRECTOR	1 00	X						0	0	0
LINDA J BOHLINGER DIRECTOR	1 00	X						0	0	0
CHRISTOPHER P BOYLAN DIRECTOR	1 00	X						0	0	0
ROBERT I BROWNSTEIN DIRECTOR	1 00	X						0	0	0
MICHAEL T BURNS DIRECTOR	1 00	X						0	0	0
ALLAN E BYAM DIRECTOR	1 00	X						0	0	0
JOSEPH A CALABRESE DIRECTOR	1 00	X						0	0	0
JOSEPH M CASEY DIRECTOR	1 00	X						0	0	0
FLORA M CASTILLO DIRECTOR	1 00	X						0	0	0
JOHN B CATOE JR DIRECTOR	1 00	X						0	0	0
DENIS CLEMENTS DIRECTOR	1 00	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)							(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former				
THOMAS J COSTELLO DIRECTOR	1 00	X						0	0	0	
RICHARD A DAVEY DIRECTOR	1 00	X						0	0	0	
PAUL E DAVIS DIRECTOR	1 00	X						0	0	0	
KEVIN DESMOND DIRECTOR	1 00	X						0	0	0	
YVES DEVIN DIRECTOR	1 00	X						0	0	0	
MARK DONAGHY DIRECTOR	1 00	X						0	0	0	
SANDY DRAGGOO DIRECTOR	1 00	X						0	0	0	
BEVERLY J DUFFY DIRECTOR	1 00	X						0	0	0	
DOROTHY W DUGGER DIRECTOR	1 00	X						0	0	0	
HAKAN EKSI DIRECTOR	1 00	X						0	0	0	
JOYCE ELEANOR DIRECTOR	1 00	X						0	0	0	
ALBRECHT P ENGEL PE DIRECTOR	1 00	X						0	0	0	
TIMOTHY J FREDRICKSON DIRECTOR	1 00	X						0	0	0	
JOEL GAUTHIER DIRECTOR	1 00	X						0	0	0	
JOSEPH J GIULIETTI DIRECTOR	1 00	X						0	0	0	
DANIEL A GRABAUSKAS DIRECTOR	1 00	X						0	0	0	
GEORGE GREANIAS DIRECTOR	1 00	X						0	0	0	
SHARON GREENE DIRECTOR	1 00	X						0	0	0	
DELON HAMPTON PHD P E - DIRECTOR	1 00	X						0	0	0	
FRED HANSEN DIRECTOR	1 00	X						0	0	0	
JOHN L HENDRICKSON DIRECTOR	1 00	X						0	0	0	
DAVID A HILLOCK DIRECTOR	1 00	X						0	0	0	
MARK E HUFFER DIRECTOR	1 00	X						0	0	0	
ANGELA S IANNUZZIELLO P E - DIRECTOR	1 00	X						0	0	0	
PAUL C JABLONSKI DIRECTOR	1 00	X						0	0	0	

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
HARPEL KAPOOR DIRECTOR	1 00	X						0	0	0
DANIEL G KELLEHER DIRECTOR	1 00	X						0	0	0
RONALD J KILCOYNE DIRECTOR	1 00	X						0	0	0
DAVID W KILMER DIRECTOR	1 00	X						0	0	0
MARY KING DIRECTOR	1 00	X						0	0	0
STEPHEN B KINGSBERRY DIRECTOR	1 00	X						0	0	0
JEANNE KRIEG DIRECTOR	1 00	X						0	0	0
ARTHUR T LEAHY DIRECTOR	1 00	X						0	0	0
ROSA MACRITO DIRECTOR	1 00	X						0	0	0
CLARENCE W MARSELLA DIRECTOR	1 00	X						0	0	0
FRANK T MARTIN DIRECTOR	1 00	X						0	0	0
JONATHAN MCDONALD DIRECTOR	1 00	X						0	0	0
NEIL S MCFARLANE DIRECTOR	1 00	X						0	0	0
GARY W MCNEIL DIRECTOR	1 00	X						0	0	0
DAVID W MIKORYAK DIRECTOR	1 00	X						0	0	0
BRADFORD J MILLER DIRECTOR	1 00	X						0	0	0
MARY JO MORANDINI DIRECTOR	1 00	X						0	0	0
ALFRED J MOSCOLA DIRECTOR	1 00	X						0	0	0
HUGH A MOSE DIRECTOR	1 00	X						0	0	0
STEVEN L MYERS DIRECTOR	1 00	X						0	0	0
JEFFREY A NELSON DIRECTOR	1 00	X						0	0	0
GORDON A NEVISON DIRECTOR	1 00	X						0	0	0
STEVE NEW DIRECTOR	1 00	X						0	0	0
JOE MURRAY RIVERS DIRECTOR	1 00	X						0	0	0
STANLEY J ROSENBLUM DIRECTOR	1 00	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)							(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former				
GHASSAN SALAMEH DIRECTOR	1 00	X						0	0	0	
MICHAEL A SANDERS DIRECTOR	1 00	X						0	0	0	
RICHARD R SARLES DIRECTOR	1 00	X						0	0	0	
STEPHEN E SCHLICKMAN DIRECTOR	1 00	X						0	0	0	
CARL G SEDORYK DIRECTOR	1 00	X						0	0	0	
VICKIE SHAFFER DIRECTOR	1 00	X						0	0	0	
ROGER SNOBLE DIRECTOR	1 00	X						0	0	0	
DAVID SOLOW DIRECTOR	1 00	X						0	0	0	
JOHN A SOMERS DIRECTOR	1 00	X						0	0	0	
BEVERLY K SWAIM-STALEY DIRECTOR	1 00	X						0	0	0	
GARY C THOMAS DIRECTOR	1 00	X						0	0	0	
MATHEW O TUCKER DIRECTOR	1 00	X						0	0	0	
MICHAEL W TYLER DIRECTOR	1 00	X						0	0	0	
PETER VARGA DIRECTOR	1 00	X						0	0	0	
ENRIQUE WASHINGTON DIRECTOR	1 00	X						0	0	0	
PHILLIP A WASHINGTON DIRECTOR	1 00	X						0	0	0	
GARY M WEBSTER DIRECTOR	1 00	X						0	0	0	
JAMES WEINSTEIN DIRECTOR	1 00	X						0	0	0	
RALIGN T WELLS DIRECTOR	1 00	X						0	0	0	
JEFFREY WHARTON DIRECTOR	1 00	X						0	0	0	
PAUL J WIEDEFELD DIRECTOR	1 00	X						0	0	0	
ALICE WIGGINS-TOLBERT DIRECTOR	1 00	X						0	0	0	
GARY WILLMS DIRECTOR	1 00	X						0	0	0	
FRANK J WILSON DIRECTOR	1 00	X						0	0	0	
JOHN L WILSON DIRECTOR	1 00	X						0	0	0	

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
ALAN C WULKAN DIRECTOR	1 00	X						0	0	0
LAWRENCE YERMACK DIRECTOR	1 00	X						0	0	0
SAMUEL KERNS VP - FINANCE & ADMIN	37 50			X				193,959	0	145,779
PAMELLA BOSWELL VP - PROG MGMT & ED SVCS	37 50				X			159,802	0	176,124
ART GUZZETTI VP - POLICY	37 50				X			176,380	0	281,189
ROBERT HEALY VP - GOVERNMENT AFFAIRS	37 50				X			180,454	0	358,701
JAMES LARUSCH VP - CHIEF COUNSEL	37 50				X			159,191	0	40,725
PETRA MOLLETT VP - STRATEGY	37 50				X			150,359	0	25,476
ROSEMARY SHERIDAN VP - MARKETING & COMM	37 50				X			179,377	0	232,490
KATHRYN WATERS VP - MEMBER SERVICES	37 50				X			201,035	0	26,596
JOHN GREGORY HULL DIR - SAFETY & SECURITY	37 50					X		160,099	0	269,666
JOSEPH NIEGOSKI DIR - EDUCATIONAL SVCS	37 50					X		124,839	0	77,221
LOUIS SANDERS DIR - TECHNICAL SERVICES	37 50					X		142,984	0	115,614
MARTIN SCHROEDER CHIEF ENGINEER	37 50					X		123,999	0	36,330
VIVIENNE WILLIAMS ADMINISTRATOR	37 50					X		157,406	0	31,439

Form 990, Part VIII - Statement of Revenue - 2a - 2g Program Service Revenue -

	Business Code	(A) Total Revenue	(B) Related or Exempt Function Revenue	(C) Unrelated Business Revenue	(D) Revenue Excluded from Tax under IRC 512, 513, or 514
MEMBERSHIP DUES	900,099	11,060,651	11,060,651		
REGISTRATION FEES	900,099	3,293,594	3,293,594		
PROJECT REVENUE	900,099	1,587,922	1,587,922		
STANDARDS REVENUE	900,099	1,154,036	1,154,036		
SPONSORSHIPS	454,180	856,477	856,477		

SCHEDULE C

(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ **Complete if the organization is described below.**
▶ **Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.**

OMB No 1545-0047

2009

Open to Public
Inspection

If the organization answered “Yes,” to Form 990, Part IV, Line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered “Yes,” to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered “Yes,” to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, line 35a (regarding proxy tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization
AMERICAN PUBLIC TRANSPORTATION ASSOCIATION

Employer identification number

52-1007647

Part I-A

Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1

Provide a description of the organization's direct and indirect political campaign activities in Part IV
- 2

Political expenditures

▶ \$ 0
- 3

Volunteer hours

0

Part I-B

Complete if the organization is exempt under section 501(c)(3).

- 1

Enter the amount of any excise tax incurred by the organization under section 4955

▶ \$ 0
- 2

Enter the amount of any excise tax incurred by organization managers under section 4955

▶ \$ 0
- 3

If the organization incurred a section 4955 tax, did it file Form 4720 for this year?

☐ Yes

☐ No
- 4a

Was a correction made?

☐ Yes

☐ No
- b

If "Yes," describe in Part IV

Part I-C

Complete if the organization is exempt under section 501(c) except section 501(c)(3).

- 1

Enter the amount directly expended by the filing organization for section 527 exempt function activities

▶ \$ 0
- 2

Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt funtion activities

▶ \$ 0
- 3

Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b

▶ \$
- 4

Did the filing organization file **Form 1120-POL** for this year?

☐ Yes

☐ No
- 5

State the names, addresses and employer identification number (EIN) of all section 527 political organizations to which payments were made For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A

Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A

Check

☐

if the filing organization belongs to an affiliated group

B

Check

☐

if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing Organization's Totals	(b) Affiliated Group Totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)															
b Total lobbying expenditures to influence a legislative body (direct lobbying)															
c Total lobbying expenditures (add lines 1a and 1b)															
d Other exempt purpose expenditures															
e Total exempt purpose expenditures (add lines 1c and 1d)															
f Lobbying nontaxable amount Enter the amount from the following table in both columns															
<table><tr><td>If the amount on line 1e, column (a) or (b) is:</td><td>The lobbying nontaxable amount is:</td></tr><tr><td>Not over \$500,000</td><td>20% of the amount on line 1e</td></tr><tr><td>Over \$500,000 but not over \$1,000,000</td><td>\$100,000 plus 15% of the excess over \$500,000</td></tr><tr><td>Over \$1,000,000 but not over \$1,500,000</td><td>\$175,000 plus 10% of the excess over \$1,000,000</td></tr><tr><td>Over \$1,500,000 but not over \$17,000,000</td><td>\$225,000 plus 5% of the excess over \$1,500,000</td></tr><tr><td>Over \$17,000,000</td><td>\$1,000,000</td></tr></table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
g Grassroots nontaxable amount (enter 25% of line 1f)															
h Subtract line 1g from line 1a If zero or less, enter -0-															
i Subtract line 1f from line 1c If zero or less, enter -0-															
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		☐ Yes	☐ No												

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) Total
2a Lobbying non-taxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots non-taxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B

Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
	a Volunteers?			
	b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
	c Media advertisements?			
	d Mailings to members, legislators, or the public?			
	e Publications, or published or broadcast statements?			
	f Grants to other organizations for lobbying purposes?			
	g Direct contact with legislators, their staffs, government officials, or a legislative body?			
	h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
	i Other activities? If "Yes," describe in Part IV			
	j Total lines 1c through 1i			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

		Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1	No
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	No
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3	Yes

Part III-B

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes".

1	Dues, assessments and similar amounts from members	1	11,060,651
2	Section 162(e) non-deductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a	Current year	2a	1,335,281
b	Carryover from last year	2b	-500,818
c	Total	2c	834,463
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	1,106,065
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	-271,602

Part IV

Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i. Also, complete this part for any additional information.

Identifier	Return Reference	Explanation

SCHEDULE D
(Form 990)

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization AMERICAN PUBLIC TRANSPORTATION ASSOCIATION	Employer identification number 52-1007647
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Part I

Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	
2	Aggregate contributions to (during year)	
3	Aggregate grants from (during year)	
4	Aggregate value at end of year	
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No	
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit ☐ Yes ☐ No	

Part II

Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or pleasure)

☐ Preservation of an historically importantly land area

☐ Protection of natural habitat

☐ Preservation of a certified historic structure

☐ Preservation of open space

2

Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
a	Total number of conservation easements
b	Total acreage restricted by conservation easements
c	Number of conservation easements on a certified historic structure included in (a)
d	Number of conservation easements included in (c) acquired after 8/17/06

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ▶_____

4

Number of states where property subject to conservation easement is located ▶_____

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes ☐ No

6

Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ▶_____

7

Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶\$ _____

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)?

☐ Yes ☐ No

9

In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization’s financial statements that describes the organization’s accounting for conservation easements

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b

If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1

▶ \$ _____

(ii) Assets included in Form 990, Part X

▶ \$ _____

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a

Revenues included in Form 990, Part VIII, line 1

▶ \$ _____

b

Assets included in Form 990, Part X

▶ \$ _____

For Privacy Act and Paperwork Reduction Act Notice, see the Intructions for Form 990

Cat No 52283D

Schedule D (Form 990) 2009

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☐ Public exhibition

d

☐ Loan or exchange programs

b

☐ Scholarly research

e

☐ Other

c

☐ Preservation for future generations

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☐ No

Part IV

Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIV and complete the following table

	Amount
1c	
1d	
1e	
1f	

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

2a

Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIV

Part V

Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current Year	(b)Prior Year	(c)Two Years Back	(d)Three Years Back	(e)Four Years Back
1a	Beginning of year balance				
b	Contributions				
c	Investment earnings or losses				
d	Grants or scholarships				
e	Other expenditures for facilities and programs				
f	Administrative expenses				
g	End of year balance				

2

Provide the estimated percentage of the year end balance held as

a

Board designated or quasi-endowment ▶

b

Permanent endowment ▶

c

Term endowment ▶

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i)

unrelated organizations

3a(i)

☐

☐

(ii)

related organizations

3a(ii)

☐

☐

b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

3b

☐

☐

4

Describe in Part XIV the intended uses of the organization's endowment funds

Part VI

Investments—Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b)Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		588,604	286,645	301,959
d Equipment		1,989,678	1,742,257	247,421
e Other		825,426	768,214	57,212
Total. Add lines 1a-1e (Column (d) should equal Form 990, Part X, column (B), line 10(c).) ▶				606,592

Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements			
1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	20,530,588
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	21,802,079
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	-1,271,491
4	Net unrealized gains (losses) on investments	4	759,952
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	-842,600
9	Total adjustments (net) Add lines 4 - 8	9	-82,648
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	-1,354,139

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return			
1	Total revenue, gains, and other support per audited financial statements	1	20,945,206
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
a	Net unrealized gains on investments	2a	759,952
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIV)	2d	
e	Add lines 2a through 2d	2e	759,952
3	Subtract line 2e from line 1	3	20,185,254
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	345,334
c	Add lines 4a and 4b	4c	345,334
5	Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12)	5	20,530,588

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return			
1	Total expenses and losses per audited financial statements	1	21,765,890
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIV)	2d	
e	Add lines 2a through 2d	2e	0
3	Subtract line 2e from line 1	3	21,765,890
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	36,189
c	Add lines 4a and 4b	4c	36,189
5	Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18)	5	21,802,079

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

Identifier	Return Reference	Explanation
Part X	Description of Uncertain Tax Positions Under FIN 48	THE ASSOCIATION ADOPTED THE INCOME TAX STANDARD FOR UNCERTAIN TAX POSITIONS ON JULY 1, 2009. THE ASSOCIATION EVALUATED ITS TAX POSITIONS AND DETERMINED THAT THE TAX POSITIONS ARE MORE-LIKELY-THAN-NOT TO BE SUSTAINED ON EXAMINATION. THE ASSOCIATION'S TAX RETURNS ARE SUBJECT TO REVIEW AND EXAMINATION BY FEDERAL, STATE, AND LOCAL AUTHORITIES. THE TAX RETURNS FOR THE FISCAL YEARS ENDED 2008 THROUGH 2010 ARE OPEN FOR EXAMINATION BY FEDERAL, STATE, AND LOCAL AUTHORITIES.
Part XI, Line 8 - Other Adjustments		CHANGES IN POST-RETIREMENT MINIMUM LIABILITY - 842600
Part XII, Line 4b - Other Adjustments		EXCESS OF LONG-TERM INVESTMENT INCOME DESIGNATED OVER AMOUNT EARNED 345334
Part XIII, Line 4b - Other Adjustments		VISIONING EFFORT 36189

Schedule I
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
AMERICAN PUBLIC TRANSPORTATION ASSOCIATION

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

OMB No 1545-0047

2009

Open to Public
Inspection

Employer identification number
52-1007647

Part I

General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II

Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed ☒

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance

2 Enter total number of section 501(c)(3) and government organizations 0

3 Enter total number of other organizations 0

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
Use Schedule I-1 (Form 990) if additional space is needed.

[illegible]

Part IV **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

[illegible]

Schedule J
(Form 990)

Compensation Information

OMB No 1545-0047

2009

Open to Public Inspection

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.

▶ Attach to Form 990. ▶ See separate instructions.

Name of the organization AMERICAN PUBLIC TRANSPORTATION ASSOCIATION	Employer identification number 52-1007647
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Part I

Questions Regarding Compensation

		Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items		
	☐ First-class or charter travel		
	☒ Travel for companions		
	☐ Tax idemnification and gross-up payments		
	☐ Discretionary spending account		
	☐ Housing allowance or residence for personal use		
	☐ Payments for business use of personal residence		
	☐ Health or social club dues or initiation fees		
	☐ Personal services (e g , maid, chauffeur, chef)		
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all the expenses described above? If "No," complete Part III to explain	1b	Yes
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	2	Yes
3	Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply		
	☒ Compensation committee		
	☒ Independent compensation consultant		
	☐ Form 990 of other organizations		
	☒ Written employment contract		
	☒ Compensation survey or study		
	☒ Approval by the board or compensation committee		
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization		
a	Receive a severance payment or change-of-control payment?	4a	No
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b	No
c	Participate in, or receive payment from, an equity-based compensation arrangement?	4c	No
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III		
	Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.		
5	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of		
a	The organization?	5a	
b	Any related organization?	5b	
	If "Yes," to line 5a or 5b, describe in Part III		
6	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of		
a	The organization?	6a	
b	Any related organization?	6b	
	If "Yes," to line 6a or 6b, describe in Part III		
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7	
8	Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III	8	
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	9	

Part II **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use Schedule J-1 if additional space needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
WILLIAM W MILLAR	(i)	436,161	4,394	121,707	381,724	6,420	950,406	0
	(ii)	0	0	0	0	0	0	0
SAMUEL KERNS	(i)	189,477	3,874	608	125,193	20,586	339,738	0
	(ii)	0	0	0	0	0	0	0
PAMELLA BOSWELL	(i)	156,124	3,192	486	165,322	10,802	335,926	0
	(ii)	0	0	0	0	0	0	0
ART GUZZETTI	(i)	171,963	3,570	847	258,281	22,908	457,569	0
	(ii)	0	0	0	0	0	0	0
ROBERT HEALY	(i)	175,285	3,580	1,589	338,167	20,534	539,155	0
	(ii)	0	0	0	0	0	0	0
JAMES LARUSCH	(i)	155,576	3,140	475	37,061	3,664	199,916	0
	(ii)	0	0	0	0	0	0	0
PETRA MOLLETT	(i)	146,490	3,604	265	18,108	7,368	175,835	0
	(ii)	0	0	0	0	0	0	0
ROSEMARY SHERIDAN	(i)	175,033	3,510	834	223,634	8,856	411,867	0
	(ii)	0	0	0	0	0	0	0
KATHRYN WATERS	(i)	195,350	3,920	1,765	23,990	2,606	227,631	0
	(ii)	0	0	0	0	0	0	0
JOHN GREGORY HULL	(i)	155,644	3,108	1,347	254,570	15,096	429,765	0
	(ii)	0	0	0	0	0	0	0
JOSEPH NIEGOSKI	(i)	121,791	2,496	552	67,461	9,760	202,060	0
	(ii)	0	0	0	0	0	0	0
LOUIS SANDERS	(i)	137,014	2,754	3,216	100,782	14,832	258,598	0
	(ii)	0	0	0	0	0	0	0
MARTIN SCHROEDER	(i)	120,552	2,482	965	29,130	7,200	160,329	0
	(ii)	0	0	0	0	0	0	0
VIVIENNE WILLIAMS	(i)	112,181	1,214	44,011	21,706	9,733	188,845	0
	(ii)	0	0	0	0	0	0	0

Part III

Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
	Part I, Line 1a	WILLIAM W MILLAR - TRAVEL FOR COMPANIONS - \$2,065 - INCLUDED IN TAXABLE INCOME

Additional Data

Software ID:

Software Version:

EIN: 52-1007647

Name: AMERICAN PUBLIC TRANSPORTATION ASSOCIATION

efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

DLN: 93493122005031

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
AMERICAN PUBLIC TRANSPORTATION ASSOCIATION

Employer identification number
52-1007647

Identifier	Return Reference	Explanation
Form 990, Part VI, Section A, line 1		THE BOARD OF DIRECTORS HAS AN EXECUTIVE COMMITTEE CONSISTING OF THE CHAIR, THE VICE CHAIR, THE IMMEDIATE PAST CHAIR, THE SECRETARY-TREASURER, AND FOURTEEN AT-LARGE MEMBERS THE EXECUTIVE COMMITTEE MAY EXERCISE ALL POWERS OF THE BOARD OF DIRECTORS WHENEVER THE BOARD OF DIRECTORS IS NOT IN SESSION THIS AUTHORITY EXTENDS TO ALL MATTERS WITH THE EXCEPTIONS OF (A) APPROVING ANY CONTRACT PROVIDING FOR EMPLOYMENT OF THE PRESIDENT OF THE ASSOCIATION, (B) MAKING APPOINTMENTS TO FILL VACANCIES AMONG THE AT-LARGE DIRECTORS, AND (C) APPROVING AMENDMENTS TO THE BYLAWS PRIOR TO SUBMISSION OF SUCH BYLAWS TO THE MEMBERS OF THE ASSOCIATION

Identifier	Return Reference	Explanation
Form 990, Part VI, Section A, line 2		BASED ON THE ASSOCIATION'S KNOWLEDGE AND EXISTING CONFLICT POLICIES, ANY SUCH BUSINESS RELATIONSHIP BETWEEN BOARD OF DIRECTORS ARE NORMAL RECURRING RELATIONSHIPS BASED ON THE ROLES OF THESE INDIVIDUALS AND THEIR ORGANIZATIONS IN THE TRANSPORTATION INDUSTRY

Identifier	Return Reference	Explanation
Form 990, Part VI, Section A, line 4		THE ASSOCIATION'S BYLAWS WERE AMENDED IN MARCH, 2010 FOR THE FOLLOWING CHANGES IN ORGANIZATION AND STRUCTURE OF THE EXECUTIVE COMMITTEE CHANGE IN TITLE FROM FIRST VICE CHAIR TO VICE CHAIR, TO CLARIFY THAT THE 14 AT-LARGE MEMBERS SERVE WITHOUT PORTFOLIO, TO ADJUST THE TERM OF THE SECRETARY-TREASURER FROM ONE YEAR TO THREE YEARS, TO ADJUST THE TERMS OF THE 14 AT-LARGE MEMBERS FROM ONE YEAR TO THREE YEARS, THAT MEMBERS WITH TERMS EXPIRING ARE REQUIRED TO WAIT ONE YEAR BEFORE RUNNING FOR THE EXECUTIVE COMMITTEE AGAIN, HOWEVER, THEY MAY RUN FOR AN OFFICER POSITION IMMEDIATELY, THE SECRETARY-TREASURER AND THE 14 AT-LARGE MEMBERS ARE REQUIRED TO BE BALANCED WITH PUBLIC SECTOR MEMBERS HOLDING 10 SEATS AND PRIVATE SECTOR MEMBERS HOLDING FIVE SEATS, THE NOMINATING COMMITTEE IS TO GIVE STRONG CONSIDERATION TO CANDIDATES THAT ARE OR HAVE BEEN MEMBERS OF THE BOARD OF DIRECTORS, THE PREVIOUS PRACTICE OF THE SMALL OPERATORS COMMITTEE AND BUSINESS MEMBER BOARD OF GOVERNORS DIRECTLY NOMINATING EXECUTIVE COMMITTEE CANDIDATES WAS ELIMINATED CHANGES IN ORGANIZATION AND STRUCTURE OF THE BOARD OF DIRECTORS TO ADJUST THE COMPOSITION TO INCLUDE 105 MEMBERS (18 MEMBERS OF THE EXECUTIVE COMMITTEE, 20 LARGEST DUES PAYING AGENCIES INCLUDING TWO CANADIAN MEMBERS, 10 LARGEST DUES PAYING BUSINESS MEMBERS, 30 AT-LARGE MEMBERS HELD BY TRANSIT BOARD MEMBERS, TRANSIT PROFESSIONALS OR BUSINESS MEMBERS AS NECESSARY TO PERMIT A BALANCED SLATE WITH AT LEAST 10 OF THE AT-LARGE MEMBER SEATS HELD BY BUSINESS MEMBERS, 26 DESIGNATED COMMITTEE CHAIRS, AND THE APTA PRESIDENT), TO ADJUST THE TERM OF THE AT-LARGE MEMBERS FROM FOUR YEARS TO THREE YEARS, TO CLARIFY THAT THE NOMINATING COMMITTEE IS TO BALANCE THE AT-LARGE SEATS TO MAINTAIN A BALANCED BOARD, TO CLARIFY BOARD MEETING ATTENDANCE EXPECTATIONS, AND TO REQUIRE TRANSIT STAFF MEMBERS SEEKING ELECTION AS CHAIR OF A DESIGNATED COMMITTEE OBTAIN CEO APPROVAL TO RUN FOR OFFICE CHANGES IN ORGANIZATION AND STRUCTURE OF COMMITTEES TO CLARIFY THAT THE 23 CURRENT APTA COMMITTEES WILL BECOME 'DESIGNATED' COMMITTEES WITH THE CHAIR OF EACH COMMITTEE SERVING ON THE BOARD OF DIRECTORS, TO INITIATE A SUNSET REVIEW OF THE COMMITTEES AT LEAST EVERY FIVE YEARS TO ENSURE THE RELEVANCE OF COMMITTEE ACTIVITIES TO THE ASSOCIATION'S GOALS AND OBJECTIVES WITH A PROVISION THAT THE LIST OF DESIGNATED COMMITTEES COULD CHANGE OVER TIME BASED ON THAT REVIEW, TO ESTABLISH COMMITTEE CLUSTERS BE CREATED AROUND THE DESIGNATED COMMITTEE TO PROVIDE A COMMUNICATION PROCESS WITHIN THE CLUSTER AND TO ENCOURAGE LEADERSHIP DEVELOPMENT OPPORTUNITIES FOR MEMBERS OF THE NON-DESIGNATED COMMITTEES, TO ESTABLISH THAT THE CHAIRS OF NON-DESIGNATED COMMITTEES WILL ALSO SERVE AS VICE CHAIRS ON THE DESIGNATED COMMITTEE IN THEIR CLUSTER AND THE CHAIRS OF THE DESIGNATED COMMITTEES WILL REPORT TO THE BOARD OF DIRECTORS ON THE ACTIVITIES OF THE NON-DESIGNATED COMMITTEES WITHIN THEIR CLUSTER, TO REVISE THE TEMPLATE FOR DESIGNATED COMMITTEES TO REFLECT THAT STANDARDS THAT DESIGNATED COMMITTEES SHOULD MEET CHANGES IN ORGANIZATION AND STRUCTURE OF THE NOMINATING COMMITTEE TO IDENTIFY THE IMMEDIATE PAST CHAIR SERVES AS THE CHAIR OF THE NOMINATING COMMITTEE, TO ADJUST THE COMPOSITION TO INCLUDE EIGHT MEMBERS OF THE BOARD OF DIRECTORS (TWO COMMITTEE CHAIRS, TWO DESIGNATED TRANSIT SYSTEM AND ONE DESIGNATED BUSINESS MEMBER DIRECTORS, AND THREE AT-LARGE DIRECTORS AT LEAST ONE OF WHOM IS A BUSINESS MEMBER) AND NINE MEMBERS FROM THE ASSOCIATION'S MEMBERSHIP AT LARGE REFLECTING THE BREADTH AND BALANCE OF THE MEMBERSHIP

Identifier	Return Reference	Explanation
Form 990, Part VI, Section A, line 6		MEMBERSHIP IN THE ASSOCIATION IS DIVIDED INTO TRANSIT SYSTEM MEMBERS AND BUSINESS MEMBERS TRANSIT SYSTEM MEMBERS ARE (A) PERSONS, FIRMS, CORPORATIONS, TRUSTEES, RECEIVERS, MUNICIPAL AGENCIES OR OTHER GOVERNMENTAL AGENCIES OPERATING ANY FORM OF ORGANIZED PUBLIC TRANSIT SYSTEM IN THE UNITED STATES, PUERTO RICO, CANADA OR MEXICO, OR (B) ANY PERSON, FIRM OR CORPORATION THAT PROVIDES PROFESSIONAL MANAGEMENT SERVICES TO SUCH TRANSIT SYSTEMS BUSINESS MEMBERS CONSIST OF (A) MANUFACTURERS AND SUPPLIER MEMBERS, (B) CONSULTANT MEMBERS, (C) PUBLISHER MEMBERS, (D) CONTRACTOR MEMBERS, (E) INTERNATIONAL MEMBERS, (F) ASSOCIATION MEMBERS, (G) NON-OPERATING STATE DEPARTMENT OF TRANSPORTATION MEMBERS, (H) GOVERNMENT AGENCY MEMBERS, (I) AFFILIATES AND (J) RETIREES

Identifier	Return Reference	Explanation
Form 990, Part VI, Section A, line 7a		TWENTY DIRECTORS ARE DESIGNATED TRANSIT SYSTEM DIRECTORS THESE ARE THE TWENTY HIGHEST DUES-PAYING TRANSIT SYSTEM MEMBERS OF THE ASSOCIATION TEN DIRECTORS ARE DESIGNATED BUSINESS MEMBER DIRECTORS THESE ARE THE TEN HIGHEST DUES-PAYING BUSINESS MEMBER OF THE ASSOCIATION TWENTY-SIX DIRECTORS ARE DESIGNATED COMMITTEE CHAIRS THE PRESIDENT OF THE ASSOCIATION IS GIVEN A VOTING POSITION ON THE BOARD OF DIRECTORS THE REMAINING DIRECTORS ARE ELECTED BY THE MEMBERS

Identifier	Return Reference	Explanation
Form 990, Part VI, Section A, line 7b		AMENDMENTS TO THE ASSOCIATION'S BYLAWS REQUIRE APPROVAL OF THE MEMBERS

Identifier	Return Reference	Explanation
Form 990, Part VI, Section B, line 11		THE ASSOCIATION'S AUDIT COMMITTEE PERFORMED A DETAILED REVIEW OF THE FORM 990 THE BOARD OF DIRECTORS WAS THEN PROVIDED A COPY BEFORE FILING

Identifier	Return Reference	Explanation
Form 990, Part VI, Section B, line 12c		ALL BOARD OF DIRECTOR MEMBERS ARE NOTIFIED ANNUALLY OF THE ORGANIZATION'S CONFLICT OF INTEREST POLICY IN ADDITION, EXECUTIVE COMMITTEE MEMBERS ARE REQUIRED TO SIGN AN ANNUAL CONFLICT OF INTEREST POLICY IF AN INDIVIDUAL BECOMES AWARE OF AN ACTUAL OR POTENTIAL CONFLICT, EITHER BEFORE OR AFTER THE FACT, THEY ARE REQUIRED TO IMMEDIATELY MAKE FULL DISCLOSURE OF THE MATTER IN THE CASE OF AN OFFICER, DIRECTOR, OR COMMITTEE OFFICER, SUCH DISCLOSURE IS MADE TO THE APTA EXECUTIVE COMMITTEE WHENEVER, IN THE OPINION OF THE EXECUTIVE COMMITTEE, THE INTEREST DISCLOSED CONSTITUTES A CONFLICT OF INTEREST OR THE APPEARANCE OF A CONFLICT OF INTEREST DETRIMENTAL TO APTA, THE EXECUTIVE COMMITTEE REQUIRES SUCH ACTION OR ABSTENTION BY THE INDIVIDUAL AS THE EXECUTIVE COMMITTEE DETERMINES IS NECESSARY OR DESIRABLE TO PROTECT THE INTERESTS OF APTA IN THE CASE OF AN APTA EMPLOYEE, SUCH DISCLOSURE IS MADE TO THE APTA PRESIDENT WHENEVER, IN THE OPINION OF THE APTA PRESIDENT, THE INTEREST DISCLOSED CONSTITUTES A CONFLICT OF INTEREST OR THE APPEARANCE OF A CONFLICT OF INTEREST DETRIMENTAL TO APTA, THE APTA PRESIDENT REQUIRES SUCH ACTION OR ABSTENTION BY THE EMPLOYEE AS THE APTA PRESIDENT DETERMINES IS NECESSARY OR DESIRABLE TO PROTECT THE INTERESTS OF APTA

Identifier	Return Reference	Explanation
Form 990, Part VI, Section B, line 15a		THE PRESIDENT'S SALARY IS BASED ON PERFORMANCE REVIEWS CONDUCTED ON AT LEAST AN ANNUAL BASIS BY THE EXECUTIVE COMMITTEE SUBJECT TO THE APPROVAL OF THE EXECUTIVE COMMITTEE, THE BASE SALARY FOR THE PRESIDENT IS SET ANNUALLY BY THE ASSOCIATION'S COMPENSATION CONSULTANT BASED ON ESTABLISHED PROCEDURES USING CURRENT COMPENSATION SURVEYS ALL OTHER ASSOCIATION POSITIONS ARE COMPENSATED BASED ON ANNUAL PERFORMANCE REVIEWS WITH SALARY RANGES ESTABLISHED BY POSITION RESPONSIBILITIES AND NON-PROFIT SURVEYS AS DETERMINED BY THE ASSOCIATION'S COMPENSATION CONSULTANT ALL OTHER ASSOCIATION POSITIONS ARE APPROVED BY THE PRESIDENT THIS PROCESS WAS LAST UNDERTAKEN IN 2010 FOR THE PRESIDENT, W MILLAR

Identifier	Return Reference	Explanation
Form 990, Part VI, Section C, line 19		THE ASSOCIATION MAKES ITS GOVERNING DOCUMENTS AVAILABLE TO THE PUBLIC VIA ITS WEBSITE IT DOES NOT MAKE ITS CONFLICT OF INTEREST POLICY NOR ITS FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC

SCHEDULE R
(Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
AMERICAN PUBLIC TRANSPORTATION ASSOCIATION

Employer identification number
52-1007647

Part I Identification of Disregarded Entities (Complete if the organization answered "Yes" on Form 990, Part IV, line 33.)					
(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)					
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity
AMERICAN PUBLIC TRANSPORTATION FOUNDATION 1666 K STREET NW WASHINGTON, DC 20006 52-1616062	SCHOLARSHIP PROGRAM	DC	501(c)(3)	Line 11a, I	N/A
PUBLIC TRANSIT PARTNERSHIP FOR TOMORROW FOUNDATION - PT2 1666 K STREET NW WASHINGTON, DC 20006 52-2337960	RESEARCH, COMMUNICATION & ADVOCACY	DC	501(c)(3)	Line 11a, I	N/A

Part III

Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?	
							Yes	No		Yes	No

Part IV

Identification of Related Organizations Taxable as a Corporation or Trust (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership

Part V

Transactions With Related Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III or IV

1

During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a

Receipt of (i) interest (ii) annuities (iii) royalties (iv) rent from a controlled entity

b

Gift, grant, or capital contribution to other organization(s)

c

Gift, grant, or capital contribution from other organization(s)

d

Loans or loan guarantees to or for other organization(s)

e

Loans or loan guarantees by other organization(s)

f

Sale of assets to other organization(s)

g

Purchase of assets from other organization(s)

h

Exchange of assets

i

Lease of facilities, equipment, or other assets to other organization(s)

j

Lease of facilities, equipment, or other assets from other organization(s)

k

Performance of services or membership or fundraising solicitations for other organization(s)

l

Performance of services or membership or fundraising solicitations by other organization(s)

m

Sharing of facilities, equipment, mailing lists, or other assets

n

Sharing of paid employees

o

Reimbursement paid to other organization for expenses

p

Reimbursement paid by other organization for expenses

q

Other transfer of cash or property to other organization(s)

r

Other transfer of cash or property from other organization(s)

Yes

No

1a

No

1b

Yes

1c

No

1d

No

1e

No

1f

No

1g

No

1h

No

1i

No

1j

No

1k

No

1l

No

1m

Yes

1n

No

1o

No

1p

Yes

1q

No

1r

No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

	(a) Name of other organization	(b) Transaction type(a-r)	(c) Amount involved
(1)			
(2)			
(3)			
(4)			
(5)			
(6)			

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]