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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

OMB No. 1545-0052

2009

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning

07/01, 2009, and ending

06/30, 2010

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions.

Name of foundation

HARVEY W. PETERS RESEARCH FOUNDATION

A Employer identification number

46-0459671

Number and street (or P O box number if mail is not delivered to street address)

C/O FIRST PREMIER BANK TRUST DEPARTMENT

601 S. MINNESOTA

City or town, state, and ZIP code

SIOUX FALLS, SD 57101

B Telephone number (see page 10 of the instructions)

() -

C If exemption application is
pending, check here

D 1. Foreign organizations, check here

2. Foreign organizations meeting the
85% test, check here and attach
computationE If private foundation status was terminated
under section 507(b)(1)(A), check hereF If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end

J Accounting method: ☐ Cash ☒ Accrual

of year (from Part II, col (c), line

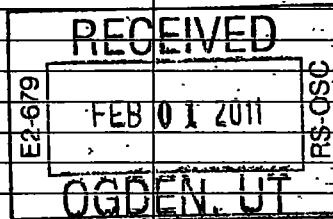
☐ Other (specify)

16) \$ 9,508,151.

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	2,323,000.	ATCH 1		
2 Check ☐ if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments	89.	89.		ATCH 2
4 Dividends and interest from securities	78,503.	78,503.		ATCH 3
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	90,415.			
b Gross sales price for all assets on line 6a	2,323,601.			
7 Capital gain net income (from Part IV, line 2)		90,415.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	2,492,007.	169,007.		
13 Compensation of officers, directors, trustees, etc.				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule) ATCH 4	265.	265.	0.	0.
b Accounting fees (attach schedule) ATCH 5	73,000.	73,000.	0.	0.
c Other professional fees (attach schedule)				
17 Interest	8,599.	8,599.		
18 Taxes (attach schedule) (see page 14 of the instructions) **	4,520.	4,520.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 7	90,770.	90,770.		
24 Total operating and administrative expenses. Add lines 13 through 23	177,154.	177,154.	0.	0.
25 Contributions, gifts, grants paid	80,000.			80,000.
26 Total expenses and disbursements. Add lines 24 and 25	257,154.	177,154.	0.	80,000.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	2,234,853.			
b Net investment income (if negative, enter -0-)		-0-		
c Adjusted net income (if negative, enter -0-)			-0-	



For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

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Form 990-PF (2009)

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PAGE 3

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Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	119,828.	580,668.	580,668.
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7 Other notes and loans receivable (attach schedule)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	0.	9,550.	9,550.
	10 a Investments - U.S. and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) ATCH 8	2,758,083.	2,199,951.	2,199,951.
	c Investments - corporate bonds (attach schedule)			
	11 Investments - land, buildings, and equipment, basis			
Liabilities	Less accumulated depreciation (attach schedule)			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule) ATCH 9	1,241,932.	1,470,995.	1,470,995.
	14 Land, buildings, and equipment, basis			
	Less accumulated depreciation (attach schedule)	0.	3,358,309.	3,358,309.
	15 Other assets (describe ATCH 10)	52,153.	1,888,678.	1,888,678.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	4,171,996.	9,508,151.	9,508,151.
	17 Accounts payable and accrued expenses	0.	634,527.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ATCH 11)	3,859,313.	5,883,012.	
	23 Total liabilities (add lines 17 through 22)	3,859,313.	6,517,539.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	312,683.	2,990,612.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☐			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see page 17 of the instructions)	312,683.	2,990,612.	
	31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	4,171,996.	9,508,151.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	312,683.
2 Enter amount from Part I, line 27a	2	2,234,853.
3 Other increases not included in line 2 (itemize) ATTACHMENT 12	3	443,078.
4 Add lines 1, 2, and 3	4	2,990,614.
5 Decreases not included in line 2 (itemize) ATTACHMENT 13	5	2.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,990,612.

Form 990-PF (2009)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	90,415.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8.		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	210,245.	4,300,399.	0.048890
2007	4,255,111.	6,459,526.	0.658734
2006	582,668.	7,156,306.	0.081420
2005	513,770.	6,946,237.	0.073964
2004	498,402.	6,664,226.	0.074788

2 Total of line 1, column (d)	2	0.937796
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.187559
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	4,698,741.
5 Multiply line 4 by line 3	5	881,291.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	881,291.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.	8	80,000.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)	1	0.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	2	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)	3	0.
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
3	Add lines 1 and 2	5	0.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	17,337.
b	Exempt foreign organizations-tax withheld at source	6b	0.
c	Tax paid with application for extension of time to file (Form 8868)	6c	0.
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	17,337.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	17,337.
11	Enter the amount of line 10 to be Credited to 2010 estimated tax 17,337. Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) SD,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses ATTACHMENT 14	X	

Form 990-PF (2009)

8

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ☐ N/A				
14	The books are in care of ☐ THE FOUNDATION C/O FPB Telephone no ☐ 605 335-1786			
	Located at ☐ SIOUX FALLS, SOUTH DAKOTA ZIP + 4 ☐ 57101			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐			
	and enter the amount of tax-exempt interest received or accrued during the year ☐ 15			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? ☐	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No		
If "Yes," list the years ☐		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions.) ☐	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ☐		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) ☐	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ☐	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? ☐	4b	X

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No
Organizations relying on a current notice regarding disaster assistance check here ☐
- c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)
- 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870
- 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b		
6b		X
7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 15		-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

Form 990-PF (2009)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	
All other program-related investments. See page 24 of the instructions.	
3 NONE	
Total. Add lines 1 through 3	

Form 990-PF (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	4,411,902.
b	Average of monthly cash balances	1b	358,393.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	Total (add lines 1a, b, and c)	1d	4,770,295.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,770,295.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	71,554.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,698,741.
6	Minimum investment return. Enter 5% of line 5	6	234,937.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	234,937.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	0.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	0.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	234,937.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	234,937.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	234,937.

Part XII Qualifying Distributions(see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	80,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	80,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	80,000.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				234,937.
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only				
b Total for prior years: 20 07, 20 06, 20 05				
3 Excess distributions carryover, if any, to 2009:				
a From 2004	168,387.			
b From 2005	175,008.			
c From 2006	230,051.			
d From 2007	3,950,661.			
e From 2008				
f Total of lines 3a through e	4,524,107.			
4 Qualifying distributions for 2009 from Part XII, line 4. ▶ \$ 80,000.				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				80,000.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009. (If an amount appears in column (d), the same amount must be shown in column (a).)	154,937.			154,937.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	4,369,170.			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions				
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	13,450.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	4,355,720.			
10 Analysis of line 9:				
a Excess from 2005	175,008.			
b Excess from 2006	230,051.			
c Excess from 2007	3,950,661.			
d Excess from 2008				
e Excess from 2009				

Form 990-PF (2009)

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter

(1) Value of all assets

(2) Value of assets qualifying under section

4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> ATTACHMENT 16				
Total ▶ 3a				80,000.
b <i>Approved for future payment</i>				
Total ▶ 3b				

Form 990-PF (2009)

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	89.		
4 Dividends and interest from securities			20	78,503.		
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property .						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	90,415.		
9 Net income or (loss) from special events . . .						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)				169,007.		
13 Total. Add line 12, columns (b), (d), and (e)				13		169,007.

(See worksheet in line 13 instructions on page 28 to verify calculations)

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)
---------------	--

NOT APPLICABLE

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

HARVEY W. PETERS RESEARCH FOUNDATION

Employer identification number

46-0459671

Organization type (check one).

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization HARVEY W. PETERS RESEARCH FOUNDATION

Employer identification number
46-0459671**Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	EDWARD BECHER VIA 6541 TALLWOOD DRIVE ROANOKE, VA 24018	\$ 90,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
2	HARVEY W. PETERS (VA) HOLDING CORPORATION 4415 ELECTRIC ROAD ROANOKE, VA 24018	\$ 80,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
3	PARTANS, LLC PO BOX 1727 SPARTANBURG, SC 29304	\$ 2,153,000.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Employer identification number
46-0459671

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
3	REAL ESTATE _____ _____ _____	\$ 2,153,000.	02/22/2010
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS					3,329.	
72,727.		VIA GROUP - S/T					VAR	VAR
		0.					72,727.	
202,034.		SEE ATTACHMENT A - S/T					VAR	VAR
		189,304.					12,730.	
2,118,238.		SEE ATTACHMENT B - L/T					VAR	VAR
		2,116,609.					1,629.	
TOTAL GAIN (LOSS)							<u>90,415.</u>	

HARVEY W. PETERS RESEARCH FOUNDATION

46-0459671

FORM 990PF, PART I - CONTRIBUTIONS, GIFTS AND GRANTS RECEIVED

ATTACHMENT 1

NAME AND ADDRESS	DATE	DIRECT PUBLIC SUPPORT
EDWARD BECHER VIA 6541 TALLWOOD DRIVE ROANOKE, VA 24018	VAR	90,000.
HARVEY W. PETERS (VA) HOLDING CORPORATIO 4415 ELECTRIC ROAD ROANOKE, VA 24018	3/30/2010	80,000.
PARTANS, LLC PO BOX 1727 SPARTANBURG, SC 29304	02/22/2010	2,153,000.
TOTAL CONTRIBUTION AMOUNTS		2,323,000.

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST - GOLDMAN SACHS	89.	89.
TOTAL	<u>89.</u>	<u>89.</u>

ATTACHMENT 3

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
DIVIDENDS - MONEY MARKET	61.	61.
DOMESTIC DIVIDENDS	60,593.	60,593.
DOM DIVIDENDS - VIA GROUP FIDUCIARY MGMT	17,849.	17,849.
TOTAL	<u>78,503.</u>	<u>78,503.</u>

ATTACHMENT 4

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
OTHER LEGAL FEES	265.	265.		
TOTALS	<u>265.</u>	<u>265.</u>	<u>0.</u>	<u>0.</u>

ATTACHMENT 5

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
OTHER ACCOUNTING FEES	73,000.	73,000.		
TOTALS	<u>73,000.</u>	<u>73,000.</u>	<u>0.</u>	<u>0.</u>

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAX	1,034.	1,034.
OTHER TAXES AND FEES	3,486.	3,486.
TOTALS	<u>4,520.</u>	<u>4,520.</u>

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INVESTMENT MANAGEMENT FEES	32,149.	32,149.
UTILITIES/RENT EXPENSE	110.	110.
CUSTODIAN FEES	11,435.	11,435.
REIMBURSEMENT EXPENSES	45,000.	45,000.
FOREIGN CONVERSION EXPENSE	15.	15.
INSURANCE EXPENSE	2,061.	2,061.
TOTALS	90,770.	90,770.

ATTACHMENT 8

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
COMMON AND OTHER STOCKS	2,199,951.	2,199,951.
TOTALS	<u>2,199,951.</u>	<u>2,199,951.</u>

FORM 990PF, PART II - OTHER INVESTMENTS

ATTACHMENT 9

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>
BOND FUNDS	0.
INV - VIA GROUP FIDUCIARY MGMT	1,470,995.
WEIGHOUT LLC	0.
TOTALS	<u>1,470,995.</u>

HARVEY W. PETERS RESEARCH FOUNDATION
FORM 990PF, PART II - OTHER ASSETS

46-0459671

ATTACHMENT 10

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>
DUE FROM HARVEY W. PETERS HOLD	389,031.
DIVIDENDS & INTEREST RECEIVABLE	1,727.
FEDERAL EXCISE TAX RECEIVABLE	17,337.
CONSTRUCTION IN PROGRESS	1,480,583.
TOTALS	<u>1,888,678.</u>

HARVEY W. PETERS RESEARCH FOUNDATION

46-0459671

FORM 990PF, PART II - OTHER LIABILITIES

ATTACHMENT 11

DESCRIPTION

ENDING
BOOK VALUE

DUE TO HOLDING COMPANY

3,842,199.

DUE TO EVVCOM

2,040,813.

ACCRUED EXPENSES

0.

TOTALS

5,883,012.

ATTACHMENT 12FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

UNREALIZED GAIN

443,078.

TOTAL

443,078.

ATTACHMENT 13FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
ROUNDING	2.
TOTAL	<u>2.</u>

HARVEY W. PETERS RESEARCH FOUNDATION

46-0459671

FORM 990PF, PART VII-A, LINE 10 - NEW SUBSTANTIAL CONTRIBUTORS

ATTACHMENT 14

<u>NAME AND ADDRESS</u>	<u>DATE</u>	<u>DIRECT PUBLIC SUPPORT</u>
EDWARD BECHER VIA 6541 TALLWOOD DRIVE ROANOKE, VA 24018	VAR	90,000.
HARVEY W. PETERS (VA) HOLDING CORPORATIO 4415 ELECTRIC ROAD ROANOKE, VA 24018		80,000.
PARTANS, LLC PO BOX 1727 SPARTANBURG, SC 29304	02/22/2010	2,153,000.
TOTAL CONTRIBUTION AMOUNTS		<u>2,323,000.</u>

HARVEY W. PETERS RESEARCH FOUNDATION

46-0459671

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 15

TITLE AND AVERAGE HOURS PER
WEEK DEVOTED TO POSITION

NAME AND ADDRESS

J. TRACY O'ROURKE, VARIAN ASSOC. TRUSTEE
28023 N. 96TH PLACE
SCOTTSDALE, AZ 85262-8450

DAVID G. HOTTMAN TRUSTEE
FIRST PREMIER BANK
TRUST DEPARTMENT
6010 S. MINNESOTA
SIOUX FALLS, SD 57101-1348

FREDERICK P. STRATTON, JR TRUSTEE
9608 N. JUNIPER CIRCLE
MEQUON, WI 50392

JOHN G. ROCOVICH FOUNDATION MANAGER
5264 FALCON RIDGE ROAD
ROANOKE, VA 24014

GRAND TOTALS

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 16

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
EDWARD VIA VIRGINIA COLLEGE OF OSTEOPATHIC MEDICIN P.O. BOX 13606 ROANOKE, VA 24035	PUBLIC	FINANCIAL ASSISTANCE	80,000
TOTAL CONTRIBUTIONS PAID			80,000

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2009

Name of estate or trust

HARVEY W. PETERS RESEARCH FOUNDATION

Employer identification number

46-0459671

Note: Form 5227 filers need to complete *only* Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	85,457.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back	5	85,457.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS			ATCH 17		3,329.

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	1,629.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back	12	4,958.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2009

Part III Summary of Parts I and II		(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part.				
13	Net short-term gain or (loss)	13		85,457.
14	Net long-term gain or (loss):			
a	Total for year	14a		4,958.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a	15		90,415.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet** is necessary.

Part IV Capital Loss Limitation	
16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of: a The loss on line 15, column (3) or b \$3,000

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the worksheet on page 8 of the instructions if:

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18	
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20	Add lines 18 and 19	20	
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0-	21	
22	Subtract line 21 from line 20. If zero or less, enter -0-	22	
23	Subtract line 22 from line 17. If zero or less, enter -0-	23	
24	Enter the smaller of the amount on line 17 or \$2,300	24	
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ No. Enter the amount from line 23	25	
26	Subtract line 25 from line 24	26	
27	Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31. ☐ No. Enter the smaller of line 17 or line 22	27	
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29	Subtract line 28 from line 27	29	
30	Multiply line 29 by 15% (15)	30	
31	Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31	
32	Add lines 30 and 31	32	
33	Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33	
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34	

Schedule D (Form 1041) 2009

**Continuation Sheet for Schedule D
(Form 1041)**

OMB No 1545-0092

2009

► See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

HARVEY W. PETERS RESEARCH FOUNDATION

Employer identification number

46-0459671

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

[illegible]

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b	85,457.
--	---------

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2009

FEDERAL CAPITAL GAIN DIVIDENDS

ATTACHMENT 17

LONG-TERM CAPITAL GAIN DIVIDENDS

15% RATE CAPITAL GAIN DIVIDENDS

FROM MARSHALL & ILSLEY

3,329.

TOTAL 15% RATE CAPITAL GAIN DIVIDENDS

3,329.

TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS

3,329.

HARVEY W PETERS RESEARCH FOUNDATION
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
75. APPLE COMPUTER INC COM	10/15/2008	10/01/2009	13,690.14	7,790.25	5,899.89
475. APPLIED MATLS INC COM	06/18/2010	06/22/2010	6,341.62	6,372.37	-30.75
200. BANK NEW YORK MELLON CORP	11/11/2009	06/22/2010	5,294.11	5,526.98	-232.87
50. BHP LIMITED-SPON ADR	06/16/2009	10/01/2009	3,153.91	2,795.50	358.41
125. CHEVRON TEXACO CORP COM	03/12/2010	06/22/2010	9,417.47	9,214.99	202.48
158.794 COHEN & STEERS REIT & UTIL INCOME FD INC COM	04/14/2009	11/11/2009	1,479.92	1,013.13	466.79
175. ENCANA CORP COM	06/08/2010	06/22/2010	5,939.57	5,777.12	162.45
100. FIRST SOLAR INC COM	02/10/2009	11/02/2009	12,274.67	13,956.50	-1,681.83
25. FURIEX PHARMACEUTICALS INC COM	03/16/2010	06/22/2010	262.24	233.31	28.93
225. HONEYWELL INTERNATIONAL INC COM	06/09/2010	06/22/2010	9,634.56	9,301.59	332.97
25. INTERNATIONAL BUSINESS MACHS COM	10/15/2008	09/03/2009	2,898.05	2,328.75	569.30
150. ISHARES TR FTSE XINHAI HK	06/09/2010	06/22/2010	6,223.54	5,918.61	304.93
325. ISHARES TR MSCI EMERGING MKTS INDEX FD	11/09/2009	06/22/2010	13,169.10	12,612.51	556.59
325. KRAFT FOODS INC CL A	05/05/2010	06/22/2010	9,646.16	9,699.28	-53.12
200. NESTLE S A SPONSORED ADR	05/25/2010	06/22/2010	9,605.83	8,807.54	798.29
200. ORION MARINE GROUP INC COM	05/13/2010	06/22/2010	3,201.94	3,395.36	-193.42
600. PFIZER INC COM	05/03/2010	06/22/2010	9,083.84	10,214.00	-1,130.16
300. PHARMACEUTICAL PROD DEV INC COM	03/16/2010	06/22/2010	7,902.01	6,430.86	1,471.15
25. PRAXAIR INC COM	10/15/2008	10/01/2009	1,977.70	1,661.00	316.70
50. QUALCOMM INC COM	04/22/2010	06/22/2010	1,808.02	1,949.41	-141.39
225. SPDR S&P MIDCAP 400 ETF TR	08/25/2009	02/04/2010	28,666.88	27,092.25	1,574.63
225. SELECT SECTOR SPDR TR	06/08/2009	03/29/2010	7,631.87	6,171.75	1,460.12
638.094 THIRD AVENUE FOCUSED	06/22/2010	06/22/2010	6,776.56	6,408.74	367.82
11.116 THIRD AVENUE FOCUSED	09/14/2009	06/24/2010	117.72	111.38	6.34
375. VERIZON COMMUNICATIONS COM	02/10/2009	02/04/2010	10,752.05	11,246.25	-494.20
250. WEYERHAEUSER CO COM	03/30/2009	08/27/2009	9,041.74	6,848.20	2,193.54
150. WILEY JOHN & SONS INC CL A	12/22/2009	06/22/2010	6,043.09	6,426.35	-383.26
Totals			202,034.00	189,304.00	12,730.00

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HARVEY W PETERS RESEARCH FOUNDATION
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
15% RATE CAPITAL GAINS (LOSSES)					
750. ABB LTD SPONSORED ADR	10/20/2008	06/22/2010	14,085.51	11,818.55	2,266.96
400. ABBOTT LABS COM	11/08/1993	10/01/2009	19,547.49	5,270.38	14,277.11
100. AMERICAN EXPRESS CO COM	09/19/2007	02/04/2010	3,787.95	6,102.00	-2,314.05
325. AMERICAN EXPRESS CO COM	08/21/2007	04/28/2010	14,851.25	17,336.04	-2,484.79
175. APACHE CORP	10/21/2005	06/22/2010	16,628.74	11,155.99	5,472.75
50. APPLE COMPUTER INC COM	01/23/2008	06/22/2010	13,680.81	6,893.50	6,787.31
283. BANK NEW YORK MELLON CORP	12/15/2006	06/22/2010	7,491.16	11,856.78	-4,365.62
350. BAXTER INTL INC	04/24/2003	06/22/2010	14,834.63	10,619.14	4,215.49
200. BERKSHIRE HATHAWAY INC DEL CL B NEW	05/11/2009	06/22/2010	15,863.93	12,180.77	3,683.16
550. CVS CORP	10/05/2007	06/22/2010	17,424.25	20,987.67	-3,563.42
150. CATERPILLAR INC COM	04/27/2009	06/22/2010	9,800.98	4,959.24	4,841.74
150. CHEVRON TEXACO CORP COM	02/14/2008	10/01/2009	10,351.23	12,413.00	-2,061.77
100. CHEVRON TEXACO CORP COM	02/14/2008	04/13/2010	8,050.86	8,275.33	-224.47
500. CISCO SYS INC COM	05/06/1997	10/01/2009	11,555.00	3,159.72	8,395.28
300. CISCO SYS INC COM	05/06/1997	04/13/2010	7,931.86	1,895.83	6,036.03
850. CISCO SYS INC COM	09/12/2006	06/22/2010	19,933.01	21,373.43	-1,440.42
250. COGNIZANT TECH SOLUTIONS CRP COM	05/19/2005	06/22/2010	13,352.77	5,724.95	7,627.82
800. COHEN & STEERS REIT & UTIL INCOME FD INC COM	04/18/2006	11/10/2009	7,335.81	15,949.02	-8,613.21
655.206 COHEN & STEERS REIT & UTIL INCOME FD INC COM	10/15/2008	11/11/2009	6,106.36	10,705.91	-4,599.55
675. CORNING INC	08/28/2006	06/18/2010	12,150.04	14,535.13	-2,385.09
150. COSTCO WHOLESALE CORP COM	05/05/2008	10/01/2009	8,389.54	10,846.50	-2,456.96
275. DISNEY WALT CO COM	05/05/2008	10/01/2009	7,471.55	9,176.75	-1,705.20
300. DISNEY WALT CO COM	04/18/2006	06/22/2010	10,524.15	8,230.84	2,293.31
250. DOMINION RESOURCES INC/VA	08/08/2002	04/13/2010	10,399.82	7,520.00	2,879.82
450. DU PONT E I DE NEMOURS & CO COM	08/15/2008	06/22/2010	17,212.65	18,853.58	-1,640.93
600. E M C CORP MASS COM	03/18/2009	06/22/2010	11,550.40	6,821.00	4,729.40
600. EMCOR GROUP INC COM	11/01/2007	06/22/2010	14,682.35	19,562.85	-4,880.50
Totals					

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HARVEY W PETERS RESEARCH FOUNDATION
Schedule D Detail of Long-term Capital Gains and Losses

	Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
225.	EMERSON ELEC CO COM	09/19/2007	10/01/2009	8,747.84	11,508.75	-2,760.91
100.	EXXON MOBIL CORP	04/11/2001	10/01/2009	6,742.82	4,083.50	2,659.32
175.	EXXON MOBIL CORP	04/11/2001	03/29/2010	11,787.80	7,146.13	4,641.67
100.	EXXON MOBIL CORP	04/11/2001	04/13/2010	6,847.88	4,083.50	2,764.38
425.	EXXON MOBIL CORP	11/15/2006	06/22/2010	26,702.72	31,824.00	-5,121.28
.74	FREEPORT-MCMORAN COPPER & GOLD INC CL B					
205.	FREEPORT-MCMORAN COPPER & GOLD INC CL B	01/07/2009	05/10/2010	50.37	29.44	20.93
125.	GENERAL DYNAMICS CORP COM	01/16/2009	06/22/2010	13,745.22	7,776.97	5,968.25
300.	GENERAL ELEC CO COM	10/25/2007	10/01/2009	7,903.85	11,300.00	-3,396.15
1000.	GENERAL ELEC CO COM	05/09/1989	10/01/2009	4,815.05	1,336.87	3,478.18
250.	GILEAD SCIENCES INC COM	08/28/2006	06/22/2010	15,919.73	32,331.52	-16,411.79
75.	HEWLETT PACKARD CO COM	10/17/2006	06/22/2010	9,105.09	8,445.00	660.09
200.	HEWLETT PACKARD CO COM	09/19/2007	10/01/2009	3,463.42	3,729.62	-266.20
722.	ING GLOBAL EQUITY DIVID & PREM OPPTY FD COM	01/16/2008	06/22/2010	9,524.03	9,016.96	507.07
750.	INTEL CORP COM	11/28/2008	06/09/2010	7,667.43	12,915.96	-5,248.53
225.	INTERNATIONAL BUSINESS MACHS COM	06/01/2007	06/22/2010	15,870.48	20,660.04	-4,789.56
250.	INVERNESS MEDICAL INNOVATIONS COM	05/05/2008	09/03/2009	26,082.43	27,369.86	-1,287.43
1200.	ISHARES TR MSCI EMERGING MKTS INDEX FD	09/25/2008	06/22/2010	7,190.12	7,449.28	-259.16
625.	ISHARES TR MSCI EAFE INDEX	08/22/2008	04/13/2010	51,911.12	32,611.17	19,299.95
650.	ISHARES TR MSCI EAFE INDEX	11/01/2007	08/25/2009	33,086.64	53,043.75	-19,957.11
425.	ISHARES TRUST-S&P SM-CAP 600 INDEX FUND	11/01/2007	04/13/2010	37,283.36	55,165.50	-17,882.14
100.	ISHARES TRUST-S&P SM-CAP 600 INDEX FUND	10/26/2007	02/04/2010	22,218.71	29,767.55	-7,548.84
425.	J P MORGAN CHASE & CO COM	10/26/2007	04/13/2010	6,154.89	7,004.13	-849.24
425.	J P MORGAN CHASE & CO COM	05/05/2008	10/01/2009	17,947.28	20,510.50	-2,563.22
100.	JACOBS ENGR GROUP INC COM	01/24/2008	06/22/2010	16,561.96	19,439.73	-2,877.77
400.	JACOBS ENGR GROUP INC COM	09/19/2007	10/01/2009	4,453.88	7,631.00	-3,177.12
400.	JACOBS ENGR GROUP INC COM	01/06/2009	02/04/2010	14,551.81	28,316.00	-13,764.19
400.	JOHNSON & JOHNSON	11/28/2001	10/01/2009	23,903.50	24,028.00	-124.50
Totals						

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HARVEY W PETERS RESEARCH FOUNDATION
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
150. KELLOGG CO COM	09/19/2007	09/03/2009	6,986.82	8,440.50	-1,453.68
300. KELLOGG CO COM	09/19/2007	10/01/2009	14,558.62	16,881.00	-2,322.38
250. MCDONALDS CORP COM	04/17/2007	10/01/2009	14,120.13	12,067.50	2,052.63
400. MEDCO HEALTH SOLUTIONS INC COM	01/07/2005	11/12/2009	24,571.12	7,971.93	16,599.19
300. MEDTRONIC INC COM	08/28/1998	06/22/2010	11,622.10	8,517.37	3,104.73
475. MICROSOFT CORP COM	07/17/1996	10/01/2009	11,798.74	3,473.44	8,325.30
975. MICROSOFT CORP COM	11/19/2007	06/22/2010	25,545.54	10,223.15	15,322.39
300. MICROCHIP TECHNOLOGY INC	02/14/2007	06/22/2010	8,865.15	10,819.23	-1,954.08
375. NATIONAL-OILWELL INC COM	09/19/2007	10/01/2009	15,550.85	25,563.75	-10,012.90
200. NATIONAL-OILWELL INC COM	11/15/2006	06/22/2010	7,310.13	6,230.86	1,079.27
200. NOVARTIS AG SPONSORED ADR	12/04/2006	06/22/2010	9,788.03	11,445.48	-1,657.45
925. ORACLE CORP COM	05/05/2008	09/03/2009	19,822.23	19,830.50	-8.27
400. ORION MARINE GROUP INC COM	03/12/2009	06/22/2010	6,403.89	4,379.96	2,023.93
150. PG&E CORP COM	05/05/2008	10/01/2009	6,011.84	6,141.00	-129.16
75. PG&E CORP COM	05/05/2008	04/13/2010	3,227.94	3,070.50	157.44
125. PEPSCO INC COM	10/03/2003	09/03/2009	7,051.06	5,963.75	1,087.31
175. PEPSCO INC COM	10/03/2003	10/01/2009	10,223.60	8,022.25	2,201.35
275. PEPSCO INC COM	10/03/2003	06/22/2010	17,594.20	13,096.50	4,497.70
100. POWERSHARES QQQ TR UNIT	12/11/2007	11/11/2009	4,375.98	5,262.00	-886.02
400. POWERSHARES QQQ TR UNIT	12/11/2007	06/22/2010	18,744.56	20,385.50	-1,640.94
200. PRAXAIR INC COM	06/12/2003	10/01/2009	15,821.59	6,095.00	9,726.59
100. PROCTER & GAMBLE CO COM	11/28/2001	09/03/2009	5,266.86	3,792.57	1,474.29
300. PROCTER & GAMBLE CO COM	11/28/2001	10/01/2009	16,937.56	11,377.71	5,559.85
300. PROCTER & GAMBLE CO COM	09/30/2005	06/22/2010	18,389.98	16,748.70	1,641.28
225. QUALCOMM INC COM	06/06/2003	06/22/2010	8,136.09	3,919.41	4,216.68
300. ROCHE HLDG LTD SPONSORED	11/15/2006	06/22/2010	10,520.82	13,460.25	-2,939.43
350. SPDR S&P MIDCAP 400 ETF TR					
UNIT SER 1 STANDARD & POOR					
250. SCHLUMBERGER LTD COM	10/03/2003	02/04/2010	44,592.93	33,534.00	11,058.93
350. SCHLUMBERGER LTD COM	02/04/2008	10/01/2009	14,415.12	26,143.75	-11,728.63
250. SCHLUMBERGER LTD COM	02/04/2008	02/04/2010	22,098.71	27,419.00	-5,320.29
200. SELECT SECTOR SPDR TR	06/13/2007	06/22/2010	14,794.99	7,620.71	7,174.28
1625. SELECT SECTOR SPDR TR	01/06/2009	03/29/2010	6,783.88	4,866.00	1,917.88
TECHNOLOGY					
Totals	10/25/2007	09/03/2009	31,865.59	44,703.75	-12,838.16

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HARVEY W PETERS RESEARCH FOUNDATION
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
300. SPECTRA ENERGY CORP COM	05/05/2008	03/29/2010	6,827.88	7,659.00	-831.12
175. SUNCOR ENERGY INC NEW COM	02/04/2008	10/01/2009	5,822.10	8,386.87	-2,564.77
300. TARGET CORP COM	06/09/2006	06/22/2010	15,819.03	15,283.98	535.05
275. TEVA PHARMACEUTICAL INDS LTD ADR	02/10/2009	03/29/2010	17,530.95	11,719.73	5,811.22
125. UNITED PARCEL SERVICES CL	11/09/2001	06/22/2010	7,681.25	6,689.69	991.56
425. UNITED TECHNOLOGIES CORP	06/12/2003	10/01/2009	25,605.59	15,450.87	10,154.72
150. UNITED TECHNOLOGIES CORP	07/08/2008	06/22/2010	10,348.32	10,267.35	80.97
150. VARIAN MEDICAL SYSTEMS INC	01/09/2009	04/27/2010	8,184.59	4,875.56	3,309.03
350. WELLS FARGO CO COM	05/05/2008	10/01/2009	9,530.46	9,629.75	-99.29
175. WELLS FARGO CO COM	08/08/2002	02/04/2010	4,787.93	4,481.75	306.18
400. WELLS FARGO CO COM	11/30/2007	06/22/2010	11,187.81	13,024.00	-1,836.19
6250. GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	01/01/1990	07/01/2009	6,250.00	6,250.00	
79.17 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	01/01/1990	07/09/2009	79.17	79.17	
2175.87 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	01/01/1990	07/10/2009	2,175.87	2,175.87	
261.19 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	01/01/1990	07/13/2009	261.19	261.19	
37064.78 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	01/01/1990	07/17/2009	37,064.78	37,064.78	
300. GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	01/01/1990	07/20/2009	300.00	300.00	
1386.18 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	01/01/1990	07/28/2009	1,386.18	1,386.18	
1771.36 GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	01/01/1990	07/29/2009	1,771.36	1,771.36	
3750. GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	01/01/1990	08/03/2009	3,750.00	3,750.00	
25000. GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	01/01/1990	08/18/2009	25,000.00	25,000.00	
3750. GOLDMAN FINANCIAL SQUARE GOVT OBLIGATIONS #465	01/01/1990	09/01/2009	3,750.00	3,750.00	
Totals					

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HARVEY W PETERS RESEARCH FOUNDATION
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
25000. GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	09/21/2009	25,000.00	25,000.00	
86608.42 GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	09/29/2009	86,608.42	86,608.42	
3750. GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	10/01/2009	3,750.00	3,750.00	
496.56 GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	10/09/2009	496.56	496.56	
2301.85 GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	10/13/2009	2,301.85	2,301.85	
62241.78 GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	10/16/2009	62,241.78	62,241.78	
1604.65 GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	10/20/2009	1,604.65	1,604.65	
3750. GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	11/02/2009	3,750.00	3,750.00	
5028.57 GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	11/06/2009	5,028.57	5,028.57	
265. GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	11/17/2009	265.00	265.00	
45000. GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	11/19/2009	45,000.00	45,000.00	
14307.25 GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	11/25/2009	14,307.25	14,307.25	
3750. GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	12/01/2009	3,750.00	3,750.00	
46014.29 GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	12/18/2009	46,014.29	46,014.29	
696.43 GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	12/30/2009	696.43	696.43	
3750. GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	01/04/2010	3,750.00	3,750.00	
991.41 GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	01/06/2010	991.41	991.41	
Totals					

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HARVEY W PETERS RESEARCH FOUNDATION
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
2427.94 GOLDMAN FINANCIAL SQUARE	01/01/1990	01/08/2010	2,427.94	2,427.94	
GOVT OBLIGATIONS #465					
54.34 GOLDMAN FINANCIAL SQUARE	01/01/1990	01/11/2010	54.34	54.34	
GOVT OBLIGATIONS #465					
55827.62 GOLDMAN FINANCIAL SQUARE	01/01/1990	01/13/2010	55,827.62	55,827.62	
GOVT OBLIGATIONS #465					
40000. GOLDMAN FINANCIAL SQUARE	01/01/1990	01/21/2010	40,000.00	40,000.00	
GOVT OBLIGATIONS #465					
2814.49 GOLDMAN FINANCIAL SQUARE	01/01/1990	01/29/2010	2,814.49	2,814.49	
GOVT OBLIGATIONS #465					
3750. GOLDMAN FINANCIAL SQUARE	01/01/1990	02/01/2010	3,750.00	3,750.00	
GOVT OBLIGATIONS #465					
12000. GOLDMAN FINANCIAL SQUARE	01/01/1990	02/19/2010	12,000.00	12,000.00	
GOVT OBLIGATIONS #465					
3750. GOLDMAN FINANCIAL SQUARE	01/01/1990	03/01/2010	3,750.00	3,750.00	
GOVT OBLIGATIONS #465					
3500. GOLDMAN FINANCIAL SQUARE	01/01/1990	03/10/2010	3,500.00	3,500.00	
GOVT OBLIGATIONS #465					
45000. GOLDMAN FINANCIAL SQUARE	01/01/1990	03/19/2010	45,000.00	45,000.00	
GOVT OBLIGATIONS #465					
3750. GOLDMAN FINANCIAL SQUARE	01/01/1990	04/01/2010	3,750.00	3,750.00	
GOVT OBLIGATIONS #465					
4048.7 GOLDMAN FINANCIAL SQUARE	01/01/1990	04/09/2010	4,048.70	4,048.70	
GOVT OBLIGATIONS #465					
7202.6 GOLDMAN FINANCIAL SQUARE	01/01/1990	04/14/2010	7,202.60	7,202.60	
GOVT OBLIGATIONS #465					
50000. GOLDMAN FINANCIAL SQUARE	01/01/1990	04/20/2010	50,000.00	50,000.00	
GOVT OBLIGATIONS #465					
596.03 GOLDMAN FINANCIAL SQUARE	01/01/1990	04/29/2010	596.03	596.03	
GOVT OBLIGATIONS #465					
4339.81 GOLDMAN FINANCIAL SQUARE	01/01/1990	04/30/2010	4,339.81	4,339.81	
GOVT OBLIGATIONS #465					
3750. GOLDMAN FINANCIAL SQUARE	01/01/1990	05/03/2010	3,750.00	3,750.00	
GOVT OBLIGATIONS #465					
Totals					

USA
9F0370 2 000

HARVEY W PETERS RESEARCH FOUNDATION

Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
45000. GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	05/18/2010	45,000.00	45,000.00	
3750. GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	06/01/2010	3,750.00	3,750.00	
4000. GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	06/04/2010	4,000.00	4,000.00	
50000. GOLDMAN FINANCIAL SQUARE					
GOVT OBLIGATIONS #465	01/01/1990	06/15/2010	50,000.00	50,000.00	
650. ACCENTURE PLC CLASS A					
ORDINARY SHARES	09/19/2007	09/03/2009	22,061.02	25,589.01	-3,527.99
400. NABORS INDUSTRIES LTD	10/15/2007	03/12/2010	8,944.16	12,201.12	-3,256.96
250. ACE LTD NPV	10/03/2003	06/22/2010	13,397.77	8,537.00	4,860.77
400. NOBLE CORPORATION BAAR					
NAMEN AKT	09/30/2005	06/08/2010	10,637.34	13,888.24	-3,250.90
175. TRANSOCEAN LTD ZUG NAMEN	02/14/2008	10/01/2009	14,613.87	22,648.85	-8,034.98
925. EUROSEAS LTD COM NEW	06/29/2007	06/22/2010	3,321.71	13,154.61	-9,832.90
TOTAL 15% RATE CAPITAL GAINS (LOSSES)			2118238.00	2116609.00	1,629.00
Totals			2118238.00	2116609.00	1,629.00

JSA
9F0970 2 000

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

Department of the Treasury
Internal Revenue Service

▶ File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Type or print File by the due date for filing your return. See instructions.	Name of Exempt Organization HARVEY W. PETERS RESEARCH FOUNDATION	Employer identification number 46-0459671
	Number, street, and room or suite no. If a P.O. box, see instructions 601 S. MINNESOTA	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. SIOUX FALLS, SD 57101	

Check type of return to be filed (file a separate application for each return)

☐ Form 990	☐ Form 990-T (corporation)	☐ Form 4720
☐ Form 990-BL	☐ Form 990-T (sec. 401(a) or 408(a) trust)	☐ Form 5227
☐ Form 990-EZ	☐ Form 990-T (trust other than above)	☐ Form 6069
☒ Form 990-PF	☐ Form 1041-A	☐ Form 8870

- The books are in the care of ▶ **THE FOUNDATION C/O FPB**

Telephone No ▶ **605 335-1786**

FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **02/15, 2011**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ ☐ calendar year _____ or
▶ ☒ tax year beginning **07/01, 2009**, and ending **06/30, 2010**.

2 If this tax year is for less than 12 months, check reason. ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$ 0.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$ 17,337.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 4-2009)