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# Return of Private Foundation

## or Section 4947(a)(1) Nonexempt Charitable Trust

### Treated as a Private Foundation

2009

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning JUL 1, 2009, and ending JUN 30, 2010

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation <b>WOCKNER FOUNDATION</b>		A Employer identification number <b>42-1624231</b>
	Number and street (or P.O. box number if mail is not delivered to street address) Room/suite <b>601 UNION ST. SUITE 2700</b>		B Telephone number <b>(206) 682-9200</b>
	City or town, state, and ZIP code <b>SEATTLE, WA 98101-2329</b>		C If exemption application is pending, check here <input type="checkbox"/>
	H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ <b>5,561,895.</b> (Part I, column (d) must be on cash basis.)		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	16,398.		N/A	
2	Check <input type="checkbox"/> If the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	42,239.	42,239.		STATEMENT 1
4	Dividends and interest from securities	105,786.	105,786.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	-118,013.			STATEMENT 3
b	Gross sales price for all assets on line 6a	2,715,565.			
7	Capital gain net income (from Part IV, line 2)		0.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	619.	619.		STATEMENT 4
12	Total. Add lines 1 through 11	47,029.	148,644.		
13	Compensation of officers, directors, trustees, etc.	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees	147.	0.		0.
b	Accounting fees	2,980.	0.		0.
c	Other professional fees	49,103.	49,103.		0.
17	Interest				
18	Taxes	1,498.	1,498.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses	533.	533.		0.
24	Total operating and administrative expenses. Add lines 13 through 23	54,261.	51,134.		0.
25	Contributions, gifts, grants paid	450,000.			450,000.
26	Total expenses and disbursements. Add lines 24 and 25	504,261.	51,134.		450,000.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	-457,232.			
b	Net investment income (if negative, enter -0-)		97,510.		
c	Adjusted net income (if negative, enter -0-)			N/A	

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	234,166.	603,198.	603,198.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 10	2,000,391.	1,833,743.	1,909,376.
	b Investments - corporate stock STMT 11	3,999,999.	3,344,044.	3,049,321.
	c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 12	3,661.	0.	0.	
14 Land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	6,238,217.	5,780,985.	5,561,895.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	6,238,217.	5,780,985.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 Total net assets or fund balances	6,238,217.	5,780,985.	
31 Total liabilities and net assets/fund balances	6,238,217.	5,780,985.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,238,217.
2 Enter amount from Part I, line 27a	2	-457,232.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	5,780,985.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,780,985.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a RBC 30920952-SEE ATTACHED SCHEDULE	P	VARIOUS	VARIOUS
b RBC 30920952-SEE ATTACHED SCHEDULE	P	VARIOUS	VARIOUS
c EVERTRUST-BOND REDEMPTION	P	VARIOUS	VARIOUS
d EVERTRUST-SEE ATTACHED SCHEDULE	P	VARIOUS	VARIOUS
e EVERTRUST - FEDERAL HOME LOAN BK	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 413,606.		387,822.	25,784.
b 732,012.		775,781.	-43,769.
c 443,929.		443,929.	0.
d 826,018.		925,582.	-99,564.
e 300,000.		301,823.	-1,823.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			25,784.
b			-43,769.
c			0.
d			-99,564.
e			-1,823.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-119,372.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	{ }	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes ☐ No ☒

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	358,770.	5,644,993.	.063555
2007	360,000.	6,568,104.	.054810
2006	250,000.	5,054,614.	.049460
2005	305,000.	4,968,133.	.061391
2004			

2 Total of line 1, column (d) ..	2	.229216
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.057304
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	5,946,119.
5 Multiply line 4 by line 3	5	340,736.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	975.
7 Add lines 5 and 6	7	341,711.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	450,000.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	975.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	975.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	975.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	3,250.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	3,250.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,275.	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax <input checked="" type="checkbox"/> 2,275. Refunded <input checked="" type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> WA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► HAGEN, KURTH, PERMAN & CO P.S. Located at ► 601 UNION ST, STE. 2700, SEATTLE, WA	Telephone no	► 206-682-9200	
		ZIP+4	► 98101	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM E WOCKNER 19417 35TH AVE SE. BOTHELL, WA 98012	MANAGING TRUSTEE 8.00	0.	0.	0.
FRANCES A WOCKNER 19417 35TH AVE SE. BOTHELL, WA 98012	TRUSTEE 8.00	0.	0.	0.
MICHAEL R BAUER 3546 43RD W. SEATTLE, WA 98199	SECRETARY/TREASURER 2.00	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
N/A	0.00	0.	0.	0.

Total number of other employees paid over \$50,000

0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services . . .

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	5,684,481.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	352,188.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	Total (add lines 1a, b, and c)	<b>1d</b>	6,036,669.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	6,036,669.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	90,550.
<b>5</b>	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	5,946,119.
<b>6</b>	Minimum investment return. Enter 5% of line 5	<b>6</b>	297,306.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	297,306.
<b>2a</b>	Tax on investment income for 2009 from Part VI, line 5	<b>2a</b>	975.
<b>b</b>	Income tax for 2009. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	975.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	296,331.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	296,331.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	296,331.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	450,000.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	450,000.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	975.
<b>6</b>	Adjusted qualifying distributions. Subtract line 5 from line 4	<b>6</b>	449,025.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				296,331.
2 Undistributed Income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007	169,141.			
e From 2008	78,980.			
f Total of lines 3a through e	248,121.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$	450,000.			
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				296,331.
e Remaining amount distributed out of corpus	153,669.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	401,790.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	401,790.			
10 Analysis of line 9.				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007	169,141.			
d Excess from 2008	78,980.			
e Excess from 2009	153,669.			







## Part XVII

- |       | Yes | No |
|-------|-----|----|
| 1a(1) |     | X  |
| 1a(2) |     | X  |
| 1b(1) |     | X  |
| 1b(2) |     | X  |
| 1b(3) |     | X  |
| 1b(4) |     | X  |
| 1b(5) |     | X  |
| 1b(6) |     | X  |
| 1c    |     | X  |

[illegible]

- ☐ Yes ☒ No

- | (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| N/A                      |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer or trustee		Date		Title	
	Michael R. Bauer		5-9-11		President	
Paid Preparer's Use Only	Preparer's signature	Date		Check if self-employed	Preparer's identifying number	
	Mary Ann Petersen	5/4/11		<input type="checkbox"/>		
Firm's name (or yours if self-employed), address, and ZIP code				EIN		
HAGEN, KURTH, PERMAN & CO., P.S. 601 UNION ST., SUITE 2700 SEATTLE, WA 98101-2392				Phone no 206 682-9200		

Form **990-PF** (2009)

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

**2009**

Name of the organization

WOCKNER FOUNDATION

Employer identification number

42-1624231

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions  
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

WOCKNER FOUNDATION

42-1624231

**Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ESTATE OF IRENE WOCKNER 19417 35TH AVENUE SE BOTHELL, OTHER COUNTRY	\$ 16,398.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)



Name of organization

Employer identification number

WOCKNER FOUNDATION

42-1624231

**Part II Noncash Property** (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

WOCKNER FOUNDATION

42-1624231

**Part III** Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

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SOURCE	AMOUNT
BANK OF AMERICA	9.
EVERTRUST ASSET MANAGMENT	42,230.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	42,239.

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FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
EVERTRUST ASSET MANAGMENT	33,571.	0.	33,571.
RBC WEALTH MANAGEMENT	72,215.	0.	72,215.
TOTAL TO FM 990-PF, PART I, LN 4	105,786.	0.	105,786.

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FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 3

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
RBC 30920952-SEE ATTACHED SCHEDULE	PURCHASED	VARIOUS	VARIOUS

  

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
413,606.	387,822.	0.	0.	25,784.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
RBC 30920952-SEE ATTACHED SCHEDULE	PURCHASED	VARIOUS	VARIOUS

  

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
732,012.	775,781.	0.	0.	-43,769.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
EVERTRUST-BOND REDEMPTION	PURCHASED	VARIOUS	VARIOUS

  

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
443,929.	443,929.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
PURCHASED	VARIOUS	VARIOUS			
EVERTRUST-SEE ATTACHED SCHEDULE	826,018.	925,582.	0.	0.	-99,564.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
PURCHASED	VARIOUS	VARIOUS			
EVERTRUST - FEDERAL HOME LOAN BK	300,000.	301,823.	0.	0.	-1,823.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
PURCHASED					
RBC CAPITAL GAIN DISTRIBUTION	0.	0.	0.	0.	1,361.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
ALLIANCEBERNSTEIN HOLDING, L.P.				PURCHASED	
	0.	0.	0.	0.	-2.
NET GAIN OR LOSS FROM SALE OF ASSETS					-118,013.
CAPITAL GAINS DIVIDENDS FROM PART IV					0.
TOTAL TO FORM 990-PF, PART I, LINE 6A					-118,013.

FORM 990-PF	OTHER INCOME	STATEMENT	4
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS INCOME	617.	617.	
ALLIANCEBERNSTEIN HOLDING, L.P.	2.	2.	
TOTAL TO FORM 990-PF, PART I, LINE 11	619.	619.	

FORM 990-PF	LEGAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	147.	0.		0.
TO FM 990-PF, PG 1, LN 16A	147.	0.		0.

FORM 990-PF	ACCOUNTING FEES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	2,980.	0.		0.	
TO FORM 990-PF, PG 1, LN 16B	2,980.	0.		0.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
RBC WEALTH MANAGEMENT	26,256.	26,256.		0.	
EVERTRUST ASSET MANAGMENT	22,847.	22,847.		0.	
TO FORM 990-PF, PG 1, LN 16C	49,103.	49,103.		0.	

FORM 990-PF	TAXES			STATEMENT	8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAX ON DIVIDENDS	1,498.	1,498.		0.	
TO FORM 990-PF, PG 1, LN 18	1,498.	1,498.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	9
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
WA FOUNDATION RENEWAL FEE	25.	25.		0.	
MISCELLANEOUS EXPENSE	508.	508.		0.	
TO FORM 990-PF, PG 1, LN 23	533.	533.		0.	

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**FORM 990-PF**                      **U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS**                      **STATEMENT 10**


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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
RBC WEALTH MANAGEMENT	X		0.	0.
EVERTRUST ASSET MANAGMENT	X		1,833,743.	1,909,376.
TOTAL U.S. GOVERNMENT OBLIGATIONS			1,833,743.	1,909,376.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,833,743.	1,909,376.

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**FORM 990-PF**                      **CORPORATE STOCK**                      **STATEMENT 11**


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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
RBC WEALTH MANAGEMENT	0.	0.
EVERTRUST ASSET MANAGMENT	3,344,044.	3,049,321.
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,344,044.	3,049,321.

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**FORM 990-PF**                      **OTHER INVESTMENTS**                      **STATEMENT 12**


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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
RBC WEALTH MANAGEMENT	COST	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 13		0.	0.

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FORM 990-PF

GRANTS AND CONTRIBUTIONS  
PAID DURING THE YEAR

STATEMENT 13

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
ELDERHEALTH NORTHWEST 800 JEFFERSON ST, SUITE 620 SEATTLE, WA 98104	N/A TO FUND NURSING HOME RELOCATION PROJECT	PUBLICLY SUPPORT ORGANIZATI	35,000.
JUNIOR ACHIEVEMENT OF WASHINGTON 1700 WESTLAKE AVE N, SUITE 400 SEATTLE, WA 98109	N/A	PUBLICLY SUPPORT ORGANIZATI	15,000.
LITTLE BIT THERAPEUTIC RIDING CENTER 19802 NE 148TH STREET WOODINVILLE, WA 98077	N/A TO FUND THE HIPPOTHERARY PROGRAM EXPANSION	PUBLICLY SUPPORT ORGANIZATI	40,000.
PROVIDENCE HOSPICE & HOME CARE 2731 WETMORE AVE, SUITE 500 EVERETT, WA 98201	N/A TO FUND VITAL PATIENT CARE SERVICES TO CHILDREN	PUBLICLY SUPPORT ORGANIZATI	25,000.
RYTHER CHILD CARE 2400 NE 95TH ST SEATTLE, WA 98115	N/A TO FUND RECREATIONAL OUTINGS FOR CHILDREN	PUBLICLY SUPPORT ORGANIZATI	30,000.
SENIOR SERVICES OF SNOHOMISH COUNTY 8225 44TH AVE W, SUITE O MUKILTEO, WA 98275	N/A TO FUND SENIOR SERVICES	PUBLICLY SUPPORT ORGANIZATI	40,000.
WOODLAND PARK ZOO 601 N 59TH ST SEATTLE, WA 98103	N/A TO FUND RECREATIONAL OUTINGS FOR CHILDREN	PUBLICLY SUPPORT ORGANIZATI	25,000.
EVERGREEN HEALTHCARE FOUNDATION 12040 NE 128TH ST KIRKLAND, WA 98034	N/A TO FUND VITAL PATIENT CARE SERVICES TO CHILDREN	PUBLICLY SUPPORT ORGANIZATI	30,000.

WOCKNER FOUNDATION

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SEATTLE EDUCATION ACCESS 6920 ROOSEVELT WAY NE #355 SEATTLE, WA 98115	N/A TO BUY BOOKS FOR LOW INCOME FAMILIES	PUBLICLY SUPPORT ORGANIZATI	10,000.
STRAIGHT STARBRIGHT CHILDREN FOUNDATION 4536 150TH AVE NE REDMOND, WA 98052	N/A TO FUND THE GREAT ESCAPES AND HOSPITAL PROGRAMS	PUBLICLY SUPPORT ORGANIZATI	50,000.
ASSISTANCE LEAQUE OF EASTSIDE 16541 REDMOND WAY REDMOND, WA 98052	N/A TO BUY CLOTHES FOR CHILDREN	PUBLICLY SUPPORT ORGANIZATI	25,000.
CHILDHAVEN 316 BROADWAY SEATTLE, WA 98122	N/A TO FUND THE THERAPEUTIC CHILD CARE PROGRAM	PUBLICLY SUPPORT ORGANIZATI	35,000.
HUMANITIES WASHINGTON 615 SECOND AVE, SUITE 300 SEATTLE, WA 98104	N/A TO BUY BOOKS FOR LOW INCOME FAMILIES	PUBLICLY SUPPORT ORGANIZATI	35,000.
NW SCHOOL FOR HEARING IMPAIRED CHILDREN PO BOX 31325 SEATTLE, WA 98103	N/A SCHOLARSHIP FOR DEAF AND HARD-OF-HEARING CHILDREN	PUBLICLY SUPPORT ORGANIZATI	30,000.
PROVIDENCE HOSPITAL OF SEATTLE 425 PONTIUS AVE N, SUITE 300 SEATTLE, WA 98109	N/A TO FUND VITAL PATIENT CARE SERVICES TO CHILDREN	PUBLICLY SUPPORT ORGANIZATI	25,000.
TOTAL TO FORM 990-PF, PART XV, LINE 3A			450,000.

	Quantity	Description	Open Date	Unit cost	Net cost	Close Date	Unit proceeds	Net proceeds	Realized Gain/Loss
Short T	31	Monsanto Co	1/8/2009	\$81 93	\$2,539 77	7/2/2009	\$72 76	\$2,255 52	(\$284 25)
	256	Axiom Corp	2/12/2009	\$9 43	\$2,413 73	7/7/2009	\$8 10	\$2,074 73	(\$339 00)
	214	American Equity Investment	2/2/2009	\$6 82	\$1,460 48	7/14/2009	\$5 90	\$1,262 10	(\$198 38)
	505	Hrpt Properties Tr-Sbi	2/5/2009	\$3 69	\$1,865 07	7/14/2009	\$4 17	\$2,105 79	\$240 72
	49	Kirby Corp	6/29/2009	\$31 76	\$1,556 22	7/14/2009	\$31 31	\$1,534 15	(\$22 07)
	35	Unit Corp	1/13/2009	\$27 63	\$966 89	7/22/2009	\$30 32	\$1,061 32	\$94 43
	48	Unit Corp	11/19/2008	\$27 57	\$1,323 36	7/22/2009	\$30 32	\$1,455 53	\$132 17
	340	Airtran Holdings Inc	1/15/2009	\$4 35	\$1,479 00	7/23/2009	\$6 34	\$2,156 06	\$677 06
	146	Glacier Bancorp Inc-New	4/9/2009	\$16 11	\$2,352 23	7/23/2009	\$14 79	\$2,159 63	(\$192 60)
	199	Glacier Bancorp Inc-New	1/15/2009	\$15 03	\$2,990 75	7/23/2009	\$14 79	\$2,943 61	(\$47 14)
	91	Healthcare Services Group Inc	5/5/2009	\$18 09	\$1,646 58	7/23/2009	\$19 12	\$1,740 16	\$93 58
	28	3m Company	7/2/2009	\$60 32	\$1,688 90	7/24/2009	\$69 29	\$1,940 07	\$251 17
	34	American Italian Pasta Co-Cl A	6/2/2009	\$27 97	\$950 98	7/28/2009	\$31 05	\$1,055 60	\$104 62
	47	American Italian Pasta Co-Cl A	3/17/2009	\$31 94	\$1,501 01	7/28/2009	\$31 05	\$1,459 21	(\$41 80)
	142	Celera Corporation	11/19/2008	\$10 27	\$1,458 66	7/28/2009	\$5 92	\$840 62	(\$618 04)
	168	Lmi Aerospace Inc	6/25/2009	\$9 90	\$1,663 35	7/28/2009	\$8 95	\$1,503 93	(\$159 42)
	387	Mgic Investment Corp-Wisc	5/12/2009	\$5 05	\$1,955 99	7/28/2009	\$5 99	\$2,318 25	\$362 26
	27	Synaptics Inc	7/14/2009	\$32 53	\$878 23	7/28/2009	\$36 10	\$974 73	\$96 50
	258	Tradestation Group Inc	12/26/2008	\$5 78	\$1,490 28	7/28/2009	\$7 47	\$1,926 05	\$435 77
	97	Carrizo Oil & Gas Inc	5/27/2009	\$21 76	\$2,111 08	8/4/2009	\$20 92	\$2,029 34	(\$81 74)
	230	Gammon Gold Inc	4/30/2009	\$6 77	\$1,556 64	8/4/2009	\$6 96	\$1,600 67	\$44 03
	143	Intermune Inc	2/2/2009	\$11 80	\$1,687 09	8/4/2009	\$15 54	\$2,222 56	\$535 47
	77	Marten Transport Ltd	6/17/2009	\$21 08	\$1,623 08	8/4/2009	\$17 58	\$1,353 62	(\$269 46)
	39	McGraw Hill Companies Inc	6/2/2009	\$32 11	\$1,252 28	8/4/2009	\$30 96	\$1,207 59	(\$44 69)
	42	McGraw Hill Companies Inc	6/25/2009	\$29 72	\$1,248 23	8/4/2009	\$30 96	\$1,300 48	\$52 25
	52	McGraw Hill Companies Inc	6/3/2009	\$30 98	\$1,610 75	8/4/2009	\$30 96	\$1,610 12	(\$63 63)
	107	McGraw Hill Companies Inc	5/6/2009	\$32 65	\$3,493 87	8/4/2009	\$30 96	\$3,313 15	(\$180 72)
	62	Promise Co Ltd	1/13/2009	\$11 32	\$701 84	8/5/2009	\$5 20	\$322 39	(\$379 45)
	255	Promise Co Ltd	1/6/2009	\$12 26	\$3,127 09	8/5/2009	\$5 20	\$1,325 96	(\$1,801 13)
	7	3m Company	7/2/2009	\$60 32	\$422 23	8/13/2009	\$72 44	\$507 06	\$84 83
	69	Aegon Nv	12/17/2008	\$6 95	\$479 53	8/13/2009	\$7 70	\$531 32	\$51 79
	12	Bardays Plc-Adr	5/13/2009	\$14 88	\$178 58	8/13/2009	\$23 57	\$282 83	\$104 25
	5	Burlington Northern Santa Fe	6/18/2009	\$73 19	\$365 93	8/13/2009	\$82 92	\$414 62	\$48 69
	15	Canon Inc-Adr New	7/24/2009	\$35 34	\$530 08	8/13/2009	\$35 91	\$538 63	\$8 55
†	3	Central European Distr Corp	4/20/2009	\$17 81	\$53 43	8/13/2009	\$32 35	\$97 04	\$43 61
†	7	Central European Media	4/20/2009	\$15 54	\$108 77	8/13/2009	\$26 79	\$187 52	\$78 75
	9	Desarrolladora Homex S A De	4/20/2009	\$21 92	\$197 24	8/13/2009	\$35 24	\$317 15	\$119 91
	14	Fosters Group Ltd	12/11/2008	\$3 65	\$51 10	8/13/2009	\$4 50	\$62 99	\$11 89
	5	Fujifilm Holdings	1/6/2009	\$22 48	\$112 41	8/13/2009	\$30 01	\$150 04	\$37 63
	10	Glaxosmithkline Plc	11/13/2008	\$36 23	\$362 27	8/13/2009	\$38 71	\$387 09	\$24 82
	10	Intesa Sanpaolo Spa	5/21/2009	\$21 40	\$214 00	8/13/2009	\$24 94	\$249 40	\$35 40
	34	Jpmorgan Chase & Co	6/12/2009	\$35 00	\$1,190 00	8/13/2009	\$42 58	\$1,447 69	\$257 69
	1	Mitsui & Co Ltd-Adr	4/2/2009	\$228 08	\$228 08	8/13/2009	\$266 53	\$266 53	\$38 45
	4	Monsanto Co	1/8/2009	\$81 93	\$327 71	8/13/2009	\$83 90	\$335 59	\$7 88
	10	Mtn Group Ltd	5/7/2009	\$13 48	\$134 81	8/13/2009	\$16 21	\$162 09	\$27 28
	19	National Bk Of Greece S A	8/5/2009	\$6 09	\$115 66	8/13/2009	\$5 94	\$112 90	(\$2 76)
	9	Nippon Telegraph &	1/6/2009	\$25 82	\$232 41	8/13/2009	\$21 67	\$195 02	(\$37 39)
	6	Oil Co Lukoil-Sponsored Adr	5/21/2009	\$48 62	\$291 74	8/13/2009	\$48 30	\$289 79	(\$1 95)
	7	S1 Corp	6/25/2009	\$6 95	\$48 68	8/13/2009	\$6 90	\$48 29	(\$39 39)
	13	Sanofi Aventis	8/18/2008	\$36 33	\$472 32	8/13/2009	\$32 73	\$425 47	(\$46 85)
	19	Sterlite Industries India	5/13/2009	\$9 25	\$175 84	8/13/2009	\$13 72	\$260 67	\$84 83
	12	Takeda Pharmaceutical Co	1/6/2009	\$24 75	\$296 95	8/13/2009	\$20 05	\$240 59	(\$56 36)
	7	Tjx Companies Inc New	7/31/2009	\$36 40	\$254 77	8/13/2009	\$35 38	\$247 68	(\$7 09)
	15	Tokio Marine Hldgs Inc	10/21/2008	\$34 28	\$514 19	8/13/2009	\$29 00	\$434 98	(\$79 21)
	3	Trinity Industries Inc	7/23/2009	\$15 60	\$46 79	8/13/2009	\$16 20	\$48 59	\$1 80
	21	Unilever Plc	10/14/2008	\$24 68	\$518 22	8/13/2009	\$27 11	\$569 29	\$51 07
	14	Visa Inc	1/20/2009	\$42 65	\$597 09	8/13/2009	\$68 46	\$958 44	\$361 35
	17	Walt Disney Co	7/2/2009	\$22 90	\$389 28	8/13/2009	\$26 01	\$442 22	\$52 94
	4	Zimmer Holdings Inc	1/13/2009	\$41 10	\$164 40	8/13/2009	\$45 09	\$180 35	\$15 95
	12	Zurich Financial Services	12/8/2008	\$20 71	\$248 47	8/13/2009	\$20 90	\$250 79	\$2 32
	45	Altisource Portfolio	7/28/2009	\$12 08	\$543 54	8/18/2009	\$11 03	\$496 25	(\$47 29)
	18	Enzon Pharmaceuticals Inc	8/13/2009	\$7 92	\$142 56	8/19/2009	\$7 39	\$132 96	(\$9 60)
	226	Enzon Pharmaceuticals Inc	3/17/2009	\$6 18	\$1,397 12	8/19/2009	\$7 39	\$1,669 49	\$272 37
	2	Fiserv Inc	8/13/2009	\$47 90	\$95 80	8/19/2009	\$48 03	\$96 05	\$10 25
	4	Laboratory Corp Amer Hldgs	6/12/2009	\$61 77	\$247 07	8/19/2009	\$68 77	\$275 08	\$28 01
	19	Myers Industries Inc	8/13/2009	\$10 43	\$198 17	8/19/2009	\$9 92	\$188 45	(\$9 72)
	65	Myers Industries Inc	6/2/2009	\$10 48	\$681 20	8/19/2009	\$9 92	\$644 70	(\$36 50)
	171	Myers Industries Inc	5/5/2009	\$10 25	\$1,753 13	8/19/2009	\$9 92	\$1,696 06	(\$57 07)
	135	Ocwen Financial Corporation	7/28/2009	\$10 03	\$1,353 95	8/19/2009	\$9 40	\$1,268 83	(\$85 12)
	8	Pantry Inc	8/13/2009	\$15 74	\$125 92	8/19/2009	\$14 93	\$119 43	(\$6 49)
	29	Pantry Inc	2/24/2009	\$16 41	\$475 84	8/19/2009	\$14 93	\$432 94	(\$42 90)

70	Pantry Inc	11/14/2008	\$22 59	\$1,581.25	8/19/2009	\$14 93	\$1,045.06	(\$536 19)
248	S1 Corp	6/25/2009	\$6 95	\$1,724 64	8/19/2009	\$6 69	\$1,658 81	(\$65 83)
69	Stec Inc	4/30/2009	\$9 65	\$665 85	8/19/2009	\$34 58	\$2,386 21	\$1,720 36
21	Telecommunications Systems	8/13/2009	\$8 18	\$171 78	8/19/2009	\$7.32	\$153 76	(\$18 02)
274	Telecommunications Systems	5/20/2009	\$7 54	\$2,064 59	8/19/2009	\$7 32	\$2,006 28	(\$58 31)
141	Starbucks Corp	9/30/2008	\$14 73	\$2,077 40	8/20/2009	\$19 17	\$2,703 11	\$625 71
5	Badger Meter Inc	8/13/2009	\$39 30	\$196 50	8/25/2009	\$38 19	\$190 95	(\$5 55)
39	Badger Meter Inc	6/17/2009	\$43 56	\$1,698 77	8/25/2009	\$38 19	\$1,489 46	(\$209 31)
14	Equity One Inc	8/13/2009	\$15 50	\$217 00	8/25/2009	\$15 79	\$220 99	\$3 99
118	Equity One Inc	4/8/2009	\$15 22	\$1,795 80	8/25/2009	\$15 79	\$1,862 68	\$66 88
6	Synaptics Inc	8/13/2009	\$26 99	\$161 94	8/25/2009	\$25 09	\$150 51	(\$11 43)
48	Synaptics Inc	7/14/2009	\$32 53	\$1,561 29	8/25/2009	\$25 09	\$1,204 16	(\$357 13)
37	Scottish & Southern Energy	1/13/2009	\$17 95	\$664 15	8/31/2009	\$17 78	\$657 87	(\$6 28)
27	Takeda Pharmaceutical Co	1/13/2009	\$24 90	\$672 30	8/31/2009	\$19 95	\$538 75	(\$133 55)
102	Takeda Pharmaceutical Co	1/6/2009	\$24 75	\$2,524 07	8/31/2009	\$19 95	\$2,035 31	(\$488 76)
15	Fluor Corp New	11/19/2008	\$33 27	\$499 05	9/2/2009	\$51 26	\$768 85	\$269 80
8	Osi Systems Inc	8/13/2009	\$19 29	\$154 32	9/9/2009	\$16 89	\$135 13	(\$19 19)
108	Osi Systems Inc	5/27/2009	\$18 66	\$2,014 87	9/9/2009	\$16 89	\$1,824 35	(\$190 52)
4	South Jersey Industries Inc	8/13/2009	\$35 46	\$141 84	9/9/2009	\$33 83	\$135 30	(\$6 54)
16	South Jersey Industries Inc	11/13/2008	\$35 16	\$562 56	9/9/2009	\$33 83	\$541 24	(\$21 32)
30	South Jersey Industries Inc	1/13/2009	\$37 82	\$1,134 60	9/9/2009	\$33 83	\$1,014 83	(\$119 77)
5	Iron Mountain Inc	8/13/2009	\$28 86	\$144 30	9/10/2009	\$28 02	\$140 12	(\$4 18)
67	Cameron International	1/13/2009	\$22 68	\$1,519 36	9/14/2009	\$38 07	\$2,550 79	\$1,031 43
282	Fosters Group Ltd	1/13/2009	\$3 72	\$1,048 75	9/14/2009	\$4 76	\$1,342 28	\$293 53
602	Fosters Group Ltd	12/11/2008	\$3 65	\$2,197 30	9/14/2009	\$4 76	\$2,865 44	\$668 14
8	Diageo Plc-Sponsored Adr	1/13/2009	\$54 66	\$437 30	9/15/2009	\$62 57	\$500 54	\$63.24
58	Diageo Plc-Sponsored Adr	9/16/2008	\$73 85	\$4,283 12	9/15/2009	\$62 57	\$3,628 99	(\$654 13)
10	Ltc Properties Inc	8/13/2009	\$24 81	\$248 10	9/15/2009	\$24 09	\$240 90	(\$7 20)
61	Ltc Properties Inc	7/28/2009	\$23 29	\$1,420 68	9/15/2009	\$24 09	\$1,469 49	\$48 81
13	Monsanto Co	1/12/2009	\$77 87	\$1,012 31	9/15/2009	\$79 98	\$1,039 71	\$27 40
22	Monsanto Co	1/8/2009	\$81 93	\$1,802 42	9/15/2009	\$79 98	\$1,759 51	(\$42 91)
9	Silver Standard Resources	8/13/2009	\$18 66	\$167 94	9/15/2009	\$22 31	\$200 76	\$32 82
14	Silver Standard Resources	1/13/2009	\$16 70	\$233 77	9/15/2009	\$22 31	\$312 29	\$78 52
97	Silver Standard Resources	1/6/2009	\$17 88	\$1,734 26	9/15/2009	\$22 31	\$2,163 76	\$429 50
5	Baker Michael Corp	8/13/2009	\$36 46	\$182 30	9/16/2009	\$35 34	\$176 69	(\$5 61)
54	Baker Michael Corp	6/10/2009	\$42 16	\$2,276 40	9/16/2009	\$35 34	\$1,908 32	(\$368 08)
7	Starbucks Corp	8/13/2009	\$19 62	\$137 34	9/16/2009	\$19 70	\$137 92	\$0 58
55	Starbucks Corp	9/30/2008	\$14 73	\$810 33	9/16/2009	\$19 70	\$1,083 72	\$273 39
134	Starbucks Corp	1/13/2009	\$9 37	\$1,255 41	9/16/2009	\$19 70	\$2,640 33	\$1,384 92
9	Odyssey Re Holdings Corp	8/13/2009	\$48 07	\$432 63	9/22/2009	\$64 61	\$581 47	\$148 84
56	Nintendo Co Ltd-Adr New	1/13/2009	\$47 54	\$2,662 24	9/23/2009	\$32 95	\$1,845 15	(\$817 09)
30	Research In Motion Ltd	1/13/2009	\$46 41	\$1,392 24	9/25/2009	\$68 81	\$2,064 24	\$672 00
3	Aarons Inc	1/13/2009	\$26 44	\$79 32	9/29/2009	\$26 80	\$80 39	\$1 07
7	Aarons Inc	8/13/2009	\$28 35	\$198 45	9/29/2009	\$26 80	\$187 59	(\$10 86)
15	Insituform Technologies Inc	8/13/2009	\$18 72	\$280 80	10/6/2009	\$18 33	\$275 02	(\$5 78)
98	Insituform Technologies Inc	7/28/2009	\$17 68	\$1,732 67	10/6/2009	\$18 33	\$1,796 80	\$64 13
4	Laclede Group Inc	8/13/2009	\$33 04	\$132 16	10/6/2009	\$31 82	\$127 26	(\$4 90)
14	Laclede Group Inc	1/13/2009	\$44 68	\$625 52	10/6/2009	\$31 82	\$445 42	(\$180.10)
19	Pdl Biopharma Inc	8/13/2009	\$8 57	\$162 83	10/6/2009	\$7 91	\$150 28	(\$12.55)
201	Pdl Biopharma Inc	8/4/2009	\$8 53	\$1,714 49	10/6/2009	\$7 91	\$1,589 86	(\$124 63)
9	Merit Medical Systems Inc	8/13/2009	\$17 84	\$160 56	10/14/2009	\$16 75	\$150 77	(\$9 79)
92	Merit Medical Systems Inc	8/4/2009	\$18 25	\$1,678 82	10/14/2009	\$16 75	\$1,541 23	(\$137 59)
246	Mfa Financial Inc	8/25/2009	\$7 81	\$1,921 26	10/14/2009	\$7 62	\$1,874 50	(\$46 76)
2	Mohawk Industries Inc	8/13/2009	\$49 47	\$98 94	10/14/2009	\$49 74	\$99 48	\$0 54
22	Mohawk Industries Inc	1/13/2009	\$39 57	\$870 54	10/14/2009	\$49 74	\$1,094 34	\$223.80
26	Nippon Telegraph &	1/13/2009	\$25 39	\$660 14	10/19/2009	\$21 31	\$554 09	(\$106 05)
102	Nippon Telegraph &	1/6/2009	\$25 82	\$2,633 98	10/19/2009	\$21 31	\$2,173 75	(\$460.23)
43	Nokia Corporation	1/13/2009	\$14 27	\$613 57	10/19/2009	\$13 68	\$588 17	(\$25 40)
8	Brady Corporation Cl A	8/13/2009	\$30 65	\$245 20	10/21/2009	\$29 25	\$234 01	(\$11 19)
93	Brady Corporation Cl A	6/10/2009	\$25 53	\$2,374 45	10/21/2009	\$29 25	\$2,720 37	\$345 92
116	Jda Software Group Inc	8/19/2009	\$19 95	\$2,314 36	10/21/2009	\$22 13	\$2,567 07	\$252 71
8	Prosperity Bancshares Inc	8/13/2009	\$35 99	\$287 92	10/21/2009	\$35 23	\$281 83	(\$6 09)
67	Prosperity Bancshares Inc	4/8/2009	\$27 48	\$1,840 89	10/21/2009	\$35 23	\$2,360 30	\$519 41
35	Covance Inc	1/30/2009	\$39 39	\$1,378 56	10/28/2009	\$52.30	\$1,830 50	\$451 94
19	Zebra Technologies Corp-Cl A	1/13/2009	\$18 63	\$353 97	10/28/2009	\$25 25	\$479 73	\$125.76
8	Rent A Center Inc-New	8/13/2009	\$21 02	\$168 16	10/29/2009	\$18 74	\$149 94	(\$18 22)
106	Rent A Center Inc-New	5/20/2009	\$18 77	\$1,989 42	10/29/2009	\$18 74	\$1,986 75	(\$2 67)
41	Anworth Mortgage Asset Corp	8/13/2009	\$7 43	\$304 63	11/3/2009	\$6 96	\$285 35	(\$19 28)
224	Anworth Mortgage Asset Corp	7/28/2009	\$7 60	\$1,702 17	11/3/2009	\$6 96	\$1,558 99	(\$143 18)
6	Zimmer Holdings Inc	1/13/2009	\$41 10	\$246 60	11/5/2009	\$54 48	\$326 90	\$80 30
33	Zimmer Holdings Inc	7/14/2009	\$40 82	\$1,346 93	11/5/2009	\$54 48	\$1,797 95	\$451 02
†	Bgc Partners Inc	10/29/2009	\$5 29	\$2,184 69	11/11/2009	\$4 59	\$1,896.53	(\$288.16)
†	Bio Reference Laboratories Inc	8/13/2009	\$30.38	\$151 90	11/11/2009	\$31 84	\$159 22	\$7 32
53	Bio Reference Laboratories Inc	8/4/2009	\$31 73	\$1,681 78	11/11/2009	\$31 85	\$1,687 82	\$6 04
39	Cincinnati Bell Inc New	8/13/2009	\$3 30	\$128 70	11/11/2009	\$3 09	\$120 52	(\$8 18)

44	Con-Way Inc	8/19/2009	\$45 16	\$1,987 02	11/11/2009	\$33.22	\$1,461 84	(\$525 18)
16	Eog Res Inc	1/22/2009	\$64 60	\$1,033 58	11/11/2009	\$90 04	\$1,440 60	\$407 02
93	Micros Systems Inc	10/21/2009	\$28 07	\$2,610 14	11/11/2009	\$27 99	\$2,602 99	(\$7.15)
48	Rock-Tenn Co-Cl A	8/19/2009	\$45 55	\$2,186 25	11/11/2009	\$46 75	\$2,244 09	\$57 84
6	Amedisys Inc	8/13/2009	\$43 95	\$263 70	11/17/2009	\$38 20	\$229 18	(\$34 52)
49	Argo Group International	11/3/2009	\$33 15	\$1,624 47	11/17/2009	\$31 66	\$1,551 25	(\$73 22)
5	Martin Marietta Materials Inc	11/4/2009	\$80 54	\$402 72	11/17/2009	\$88 68	\$443 42	\$40 70
22	Martin Marietta Materials Inc	3/23/2009	\$76 98	\$1,693 56	11/17/2009	\$88 69	\$1,951 07	\$257 51
71	Wintrust Financial Corp	8/25/2009	\$28 05	\$1,991 63	11/17/2009	\$27 60	\$1,959 47	(\$32 16)
145	Brigham Exploration Co	6/25/2009	\$3 55	\$514 34	11/24/2009	\$10 17	\$1,474 96	\$960 62
11	Stec Inc	8/13/2009	\$35 74	\$393 14	11/24/2009	\$12 41	\$136 51	(\$256 63)
58	Stec Inc	4/30/2009	\$9 65	\$559 70	11/24/2009	\$12 41	\$719 81	\$160 11
2	3m Company	7/23/2009	\$69 14	\$138 28	12/15/2009	\$82 80	\$165 59	\$27 31
33	3m Company	7/2/2009	\$60 32	\$1,990 49	12/15/2009	\$82 80	\$2,732 34	\$741 85
34	3m Company	7/14/2009	\$60 54	\$2,058 47	12/15/2009	\$82 80	\$2,815 14	\$756 67
15	Earthlink Inc	8/13/2009	\$8 55	\$128 25	12/15/2009	\$8 18	\$122 69	(\$5 56)
79	Aegon Nv	1/13/2009	\$6 44	\$508 69	12/17/2009	\$6 22	\$491 31	(\$17 38)
366	Aegon Nv	12/17/2008	\$6 95	\$2,543 57	12/17/2009	\$6 22	\$2,276 24	(\$267 33)
369	Aegon Nv	6/2/2009	\$6 62	\$2,442 45	12/17/2009	\$6 22	\$2,294 90	(\$147 55)
244	Sterlite Industries India	5/13/2009	\$9 25	\$2,258 17	12/17/2009	\$17 51	\$4,271 65	\$2,013 48
1	Apache Corp	8/13/2009	\$87 81	\$87 81	12/18/2009	\$99 49	\$99 49	\$11 68
53	Apache Corp	3/9/2009	\$51 21	\$2,714 10	12/18/2009	\$99 50	\$5,273 46	\$2,559 36
14	Central Garden & Pet Co	8/13/2009	\$12 92	\$180 88	12/22/2009	\$11 01	\$154 19	(\$26 69)
173	Central Garden & Pet Co	4/30/2009	\$9 68	\$1,674 99	12/22/2009	\$11 01	\$1,905 42	\$230 43
21	Fluor Corp New	12/15/2009	\$43 52	\$913 90	12/22/2009	\$43 76	\$918 96	\$5 06
7	Scansource Inc	8/13/2009	\$28 10	\$196 70	12/22/2009	\$25 39	\$177 74	(\$18 96)
89	Scansource Inc	7/7/2009	\$24 60	\$2,189 74	12/22/2009	\$25 39	\$2,259 87	\$70 13
5	Kaydon Corp	8/13/2009	\$32 57	\$162 85	12/29/2009	\$35.89	\$179 45	\$16 60
39	Kaydon Corp	2/24/2009	\$25 73	\$1,003 64	12/29/2009	\$35 89	\$1,399 72	\$396.08
48	Kaydon Corp	8/4/2009	\$33 00	\$1,583 89	12/29/2009	\$35 89	\$1,722 73	\$138 84
74	Weatherford International	12/3/2009	\$17 07	\$1,262 92	12/31/2009	\$18 09	\$1,338 81	\$75 89
23	Burlington Northern Santa Fe	6/18/2009	\$73 19	\$1,683 30	1/11/2010	\$98 94	\$2,275 57	(\$1,169 30)
51	Burlington Northern Santa Fe	7/14/2009	\$71 69	\$3,655 99	1/11/2010	\$98 94	\$5,045 84	\$493 04
35	Southwestern Energy Co	7/22/2009	\$41 34	\$1,446 76	1/11/2010	\$49 89	\$1,746 31	(\$430 31)
177	Pnm Resources Inc	9/9/2009	\$12 15	\$2,151 01	1/13/2010	\$12 50	\$2,213 31	(\$1,565 75)
5	Syntel Inc	8/13/2009	\$39 15	\$195 75	1/13/2010	\$36 70	\$183 50	(\$2,092 58)
47	Syntel Inc	6/30/2009	\$31 65	\$1,487 38	1/13/2010	\$36 70	\$1,724 92	\$258 61
11	American Med Sys Hldgs Inc	8/13/2009	\$14 89	\$163 79	1/21/2010	\$20 14	\$221 57	(\$951.01)
141	American Med Sys Hldgs Inc	3/17/2009	\$10 00	\$1,409 46	1/21/2010	\$20 14	\$2,840 27	(\$1,336.18)
15	Healthsouth Corp	8/13/2009	\$15 58	\$233 70	1/21/2010	\$18 82	\$282 23	(\$391.64)
96	Healthsouth Corp	5/20/2009	\$12 01	\$1,152 96	1/21/2010	\$18 82	\$1,806 31	(\$726 39)
86	Rogers Corp	9/9/2009	\$26 39	\$2,269 67	1/21/2010	\$25 99	\$2,235 41	\$11 53
117	Sel Investments Co	12/31/2009	\$17 73	\$2,074 29	1/21/2010	\$18 98	\$2,220 55	\$3 85
8	Tyler Technologies Inc	8/13/2009	\$15 60	\$124 78	1/21/2010	\$19 50	\$155 99	\$253 91
9	Visa Inc	2/2/2009	\$49 49	\$445 39	1/25/2010	\$82.00	\$737 97	\$0 97
8	Monro Muffler Brake Inc	8/13/2009	\$25 33	\$202 64	1/26/2010	\$31 44	\$251.53	\$0 51
99	Monro Muffler Brake Inc	2/12/2009	\$25 19	\$2,493 91	1/26/2010	\$31.44	\$3,112.82	(\$840 86)
59	Stens Corp	11/17/2009	\$35 21	\$2,077 39	1/26/2010	\$26 76	\$1,579 03	\$417.32
87	Delphi Financial Group Inc	11/11/2009	\$23 10	\$2,009 80	2/2/2010	\$21 38	\$1,860 11	(\$970 93)
48	Varian Medical Systems Inc	8/24/2009	\$40 81	\$1,959.07	2/5/2010	\$47 16	\$2,263 57	\$418 96
91	Brigham Exploration Co	6/25/2009	\$3 55	\$322 79	2/9/2010	\$14 94	\$1,359 10	(\$11 66)
228	Broadpoint Gleacher Secs Gro	10/14/2009	\$8 99	\$2,049 48	2/9/2010	\$4 04	\$920 98	(\$513 66)
54	Nicor Inc	1/13/2010	\$42 14	\$2,275 80	2/9/2010	\$38 73	\$2,091 47	(\$478 09)
1	Dun & Bradstreet Corp	8/13/2009	\$74 92	\$74 92	2/12/2010	\$70 51	\$70 51	(\$725 66)
23	Dun & Bradstreet Corp	8/4/2009	\$72 96	\$1,678 05	2/12/2010	\$70 52	\$1,621 89	(\$582 68)
36	Kirby Corp	6/29/2009	\$31 76	\$1,143 34	2/12/2010	\$32 07	\$1,154 44	(\$332 67)
150	Kirby Corp	5/22/2009	\$30 58	\$4,586 26	2/12/2010	\$32 07	\$4,810 18	(\$87 63)
207	Interactive Data Corp	9/30/2009	\$26 17	\$5,416 67	2/16/2010	\$29 28	\$6,061 29	(\$762 75)
95	Semtech Corp	6/1/2009	\$16 62	\$1,578 54	2/18/2010	\$16 17	\$1,535 80	(\$350 54)
129	Trinity Industries Inc	7/23/2009	\$15 60	\$2,011 82	2/18/2010	\$17 02	\$2,195 96	(\$210 29)
70	Washington Real Estate	2/9/2010	\$25 20	\$1,763 83	2/18/2010	\$26 37	\$1,845.56	(\$653 88)
215	Mtn Group Ltd	5/7/2009	\$13 48	\$2,898 39	2/19/2010	\$14 51	\$3,118.97	(\$905 81)
8	World Fuel Services Corp	8/13/2009	\$22 49	\$179 92	2/22/2010	\$23 69	\$189 52	\$13 57
76	World Fuel Services Corp	8/4/2009	\$22.17	\$1,684 86	2/22/2010	\$23 69	\$1,800 52	\$68 37
0	Noble Corporation	8/13/2009	\$0 04	\$0 13	2/26/2010	\$0 04	\$0 13	\$495 62
0	Noble Corporation	12/31/2009	\$0 04	\$1 13	2/26/2010	\$0 04	\$1 13	(\$388 39)
5	Avis Budget Group Inc	8/13/2009	\$10 43	\$52 15	3/4/2010	\$10 84	\$54 20	(\$19 18)
276	Avis Budget Group Inc	7/23/2009	\$7 72	\$2,129 39	3/4/2010	\$10 84	\$2,992.61	(\$2 48)
47	Bristow Group Inc	2/2/2010	\$37 28	\$1,751 96	3/4/2010	\$38 88	\$1,827 27	(\$7 88)
62	Platinum Underwriters	9/16/2009	\$35.04	\$2,172 34	3/4/2010	\$37 13	\$2,302 08	(\$22 25)
161	Bjs Wholesale Club Inc	1/25/2010	\$33 15	\$5,337 73	3/10/2010	\$34 00	\$5,473 51	(\$11 59)
46	American States Water Compa	10/6/2009	\$35 90	\$1,651 28	3/11/2010	\$33 29	\$1,531 53	(\$13 48)
3	Infinity Property & Casualty	8/13/2009	\$45 02	\$135 06	3/11/2010	\$44 41	\$133.22	\$32 64
18	Infinity Property & Casualty	2/9/2010	\$39 12	\$704 16	3/11/2010	\$44.41	\$799 37	(\$460 38)
48	Sina Corporation	12/22/2009	\$43 68	\$2,096 67	3/11/2010	\$40 81	\$1,958 69	(\$171 27)

Term

156	Intesa Sanpaolo Spa	5/21/2009	\$21 40	\$3,338 40	3/15/2010	\$23.02	\$3,591 12	(\$1,241 66)
157	Intesa Sanpaolo Spa	9/14/2009	\$26 65	\$4,184 05	3/15/2010	\$23 02	\$3,614 14	(\$492 02)
600	National Bk Of Greece S A	8/5/2009	\$6 09	\$3,652 37	3/15/2010	\$4 29	\$2,573 78	(\$268 07)
2	Eog Res Inc	8/13/2009	\$75 25	\$150 50	3/16/2010	\$96 43	\$192 86	(\$20 11)
33	Jpmorgan Chase & Co	6/23/2009	\$33 64	\$1,109 96	3/18/2010	\$43 37	\$1,431 26	(\$357 00)
48	Jpmorgan Chase & Co	6/12/2009	\$35 00	\$1,680 00	3/18/2010	\$43 37	\$2,081 83	\$232 73
4	Tiffany & Co New	8/13/2009	\$30 88	\$123 52	3/18/2010	\$47 62	\$190 49	\$121 22
63	Arena Resources Inc	3/4/2010	\$32 55	\$2,050 40	3/23/2010	\$32 20	\$2,028 65	\$702 76
34	Wabtec Corp	9/3/2009	\$37 09	\$1,260 95	3/25/2010	\$43 90	\$1,492 67	\$1,981 77
4	Covance Inc	8/13/2009	\$55 04	\$220 16	4/1/2010	\$61 76	\$247 04	\$1,577 66
39	Varian Medical Systems Inc	8/24/2009	\$40 81	\$1,591 74	4/6/2010	\$55 76	\$2,174 60	(\$676 40)
33	Brigham Exploration Co	8/13/2009	\$7 67	\$253 11	4/14/2010	\$18 36	\$605 81	(\$487 24)
260	Brigham Exploration Co	6/25/2009	\$3 55	\$922 27	4/14/2010	\$18 36	\$4,773 15	\$56 40
27	Monsanto Co	1/14/2010	\$82 34	\$2,223 15	4/15/2010	\$65 70	\$1,773 91	\$273 60
32	Monsanto Co	1/8/2010	\$85 93	\$2,749 74	4/15/2010	\$65 70	\$2,102 40	\$39 05
54	Monsanto Co	3/3/2010	\$74 28	\$4,011 10	4/15/2010	\$65 70	\$3,547 81	(\$134 42)
3	Alliancebernstein Holding Lp	11/11/2009	\$26 90	\$80 69	4/21/2010	\$33 24	\$99 71	\$146 20
14	AmeriCredit Corp	5/20/2009	\$10 93	\$153 04	4/21/2010	\$25 78	\$360 91	\$1,895 36
8	Amphenol Corp New-CI A	8/10/2009	\$33 17	\$265 39	4/21/2010	\$46 73	\$373 83	(\$93 68)
10	ArcelorMittal Sa Luxembourg	10/19/2009	\$39 92	\$399 23	4/21/2010	\$42 75	\$427 49	(\$203 09)
22	Barclays Plc-Adr	5/13/2009	\$14 88	\$327 39	4/21/2010	\$22 44	\$493 78	\$181 35
4	Basf Se	3/15/2010	\$59 95	\$239 79	4/21/2010	\$62 05	\$248 19	\$1,106 68
1	Cabot Microelectronics Corp	8/25/2009	\$34 58	\$34 58	4/21/2010	\$42 00	\$42 00	\$326 98
7	Canon Inc-Adr New	7/24/2009	\$35 34	\$247 37	4/21/2010	\$45 48	\$318 35	(\$523 21)
7	Cantel Medical Corporation	1/21/2010	\$20 34	\$142 36	4/21/2010	\$19 81	\$138 66	(\$414 38)
2	Cnooc Ltd	3/15/2010	\$164 18	\$328 37	4/21/2010	\$173 28	\$346 55	(\$915 92)
3	Cohen & Steers Inc	2/18/2010	\$21 59	\$64 76	4/21/2010	\$27 90	\$83 69	\$8 35
4	El Paso Electric Co New	2/9/2010	\$19 26	\$77 05	4/21/2010	\$20 84	\$83.35	\$273 96
7	Energys	2/22/2010	\$23 06	\$161 41	4/21/2010	\$26.29	\$184.02	\$5 50
9	Factset Research Systems Inc	2/12/2010	\$64 64	\$581 72	4/21/2010	\$75 99	\$683 89	(\$21.79)
3	H B Fuller & Co	11/11/2009	\$20 66	\$61 99	4/21/2010	\$23 44	\$70 31	(\$146 96)
5	Heidrick & Struggles Intl Inc	11/11/2009	\$27 77	\$138 87	4/21/2010	\$29 71	\$148 54	\$600 95
6	Helen Of Troy Ltd-Bermuda	7/28/2009	\$22 28	\$133 67	4/21/2010	\$27 86	\$167.15	(\$107 19)
12	Integrated Device Technology	11/11/2009	\$6 05	\$72.63	4/21/2010	\$6 83	\$81 95	(\$200 02)
5	Intersil Corporation CI A	1/13/2010	\$14 04	\$70 18	4/21/2010	\$16 53	\$82 64	\$71 50
4	Invacare Corp	11/17/2009	\$24 87	\$99 47	4/21/2010	\$26 60	\$106 39	(\$137 04)
3	Itt Educational Services Inc	9/22/2009	\$109 86	\$329 57	4/21/2010	\$117 61	\$352 82	(\$90 40)
8	Jpmorgan Chase & Co	6/23/2009	\$33 64	\$269 08	4/21/2010	\$45 17	\$361 36	(\$19 50)
542 035	Jpmorgan Tr II	8/13/2009	\$11 02	\$5,973 23	4/21/2010	\$11 25	\$6,097 89	(\$2 60)
26	Kellogg Co	4/14/2010	\$53 32	\$1,386 30	4/21/2010	\$53 84	\$1,399 94	(\$144 25)
22	Knoll Inc	3/4/2010	\$12 07	\$265 44	4/21/2010	\$13 12	\$288 63	(\$152 48)
82	Max Capital Group Ltd	2/18/2010	\$24 17	\$1,981 96	4/21/2010	\$23 42	\$1,920 38	\$126 09
19	National Bk Of Greece S A	10/1/2009	\$7 18	\$136 42	4/21/2010	\$3 34	\$63.45	(\$82 95)
32	National Bk Of Greece S A	8/5/2009	\$6 09	\$194 79	4/21/2010	\$3 34	\$106 87	\$335 49
1	Newmarket Corporation	8/19/2009	\$78 03	\$78 03	4/21/2010	\$111 18	\$111.18	(\$278 68)
56	Newpark Resources Inc New	4/14/2010	\$6 42	\$359 52	4/21/2010	\$6 31	\$353.36	(\$15 64)
21	Nissan Motor Co Ltd	12/17/2009	\$16 38	\$344 00	4/21/2010	\$17 15	\$360 14	(\$238 24)
6	Odyssey Healthcare Inc	11/17/2009	\$15 06	\$90 34	4/21/2010	\$19 28	\$115.67	(\$539 21)
8	Oil Co Lukoil-Sponsored Adr	5/21/2009	\$48 62	\$388 99	4/21/2010	\$57 95	\$463 59	(\$53 12)
17	Omnicom Group Inc	11/5/2009	\$35 63	\$605 74	4/21/2010	\$42 73	\$726 39	\$47.65
14	Orix Corp	8/31/2009	\$38 01	\$532 08	4/21/2010	\$43 96	\$615 42	(\$19.97)
6	Packaging Corp Amer	8/19/2009	\$18 77	\$112 63	4/21/2010	\$25.11	\$150 65	(\$6,595.20)
9	Par Pharmaceutical Companies	6/25/2009	\$14 53	\$130 73	4/21/2010	\$28 45	\$256 04	(\$116 22)
89	Phoenix Companies Inc New	3/4/2010	\$2 72	\$242 08	4/21/2010	\$3 45	\$307 04	(\$18 56)
574 482	Pimco Total Return Fund-CI A	8/13/2009	\$10 68	\$6,135 47	4/21/2010	\$11 10	\$6,376 75	(\$39 95)
3	Piper Jaffray Cos	4/14/2010	\$42 43	\$127 29	4/21/2010	\$38 91	\$116 72	\$287 39
4	Power Integrations Inc	8/19/2009	\$32 17	\$128 67	4/21/2010	\$42 46	\$169.83	(\$124 46)
1	Proassurance Corp	9/15/2009	\$52 47	\$52 47	4/21/2010	\$60 30	\$60 30	\$45.45
14	Quanex Building Products Corp	10/6/2009	\$15 17	\$212 44	4/21/2010	\$17 56	\$245 83	(\$393 71)
3	Rio Tinto Plc	8/31/2009	\$155 55	\$466 64	4/21/2010	\$227 96	\$683 89	(\$117 07)
133	Rofin Sinar Technologies Inc	1/21/2010	\$24 84	\$3,303 24	4/21/2010	\$24 58	\$3,269 77	\$40 14
28	Sfn Group Inc	3/11/2010	\$8 74	\$244 58	4/21/2010	\$8 80	\$246 39	(\$3,586 95)
12	Societe Generale	10/19/2009	\$15 50	\$186 00	4/21/2010	\$11 82	\$141 83	(\$5,085 39)
7	Sonosite Inc	2/18/2010	\$29 25	\$204 74	4/21/2010	\$31 58	\$221 05	(\$27 27)
7	Southwestern Energy Co	7/22/2009	\$41 34	\$289 35	4/21/2010	\$40 42	\$282 93	\$424 85
12	Sterile Industries India	5/13/2009	\$9 25	\$111 06	4/21/2010	\$17 95	\$215.39	(\$20 42)
14	Stryker Corp	3/25/2010	\$58 00	\$812 00	4/21/2010	\$57 50	\$804 98	(\$261 51)
11	Tenaris Sa	9/23/2009	\$36 25	\$398 71	4/21/2010	\$41 44	\$455 83	(\$84 41)
15	Teradata Corp	2/1/2010	\$28 21	\$423 09	4/21/2010	\$30 43	\$456 44	(\$152 05)
38	Thompson Creek Metals Co	9/10/2009	\$12 75	\$484 31	4/21/2010	\$12 77	\$485 35	\$232 08
5	Thor Industries Inc	10/6/2009	\$31 78	\$158 91	4/21/2010	\$33 51	\$167 54	(\$148 58)
37	Universal American Corp	11/24/2009	\$10 61	\$392 42	4/21/2010	\$15 79	\$584.22	(\$72 77)
3	Universal Forest Products Inc	9/15/2009	\$41 81	\$125 43	4/21/2010	\$41 75	\$125 24	(\$158 63)
8	Universal Technical Institute	1/21/2010	\$18 68	\$149 46	4/21/2010	\$24 72	\$197.75	\$30 22
23	Varian Medical Systems Inc	8/24/2009	\$40 81	\$938 72	4/21/2010	\$55 59	\$1,278.54	\$303.58

6	Wabtec Corp	9/3/2009	\$37 09	\$222 52	4/21/2010	\$48 27	\$289.61	\$203 89
19	Weatherford International	12/3/2009	\$17 07	\$324 26	4/21/2010	\$16.59	\$315 22	(\$1,474 56)
7	Western Union Co	4/1/2010	\$16 97	\$118 79	4/21/2010	\$17 35	\$121 45	\$4 25
157	Horace Mann Educators Corp	3/11/2010	\$14 06	\$2,207 42	4/27/2010	\$15 55	\$2,441 67	(\$49 43)
835	Phoenix Companies Inc New	3/4/2010	\$2 72	\$2,271 20	4/27/2010	\$3 13	\$2,613 83	\$2 85
443	National Bk Of Greece S A	12/17/2009	\$5 13	\$2,272 59	4/29/2010	\$3 34	\$1,477 64	\$97 78
463	National Bk Of Greece S A	10/1/2009	\$7 18	\$3,324 34	4/29/2010	\$3 34	\$1,544 35	(\$98 29)
149	Cantel Medical Corporation	1/21/2010	\$20 34	\$3,030 33	5/4/2010	\$19 89	\$2,963 32	(\$41.13)
8	Corinthian Colleges Inc	8/13/2009	\$16 14	\$129 12	5/4/2010	\$15 03	\$120 26	(\$40 71)
93	El Paso Electric Co New	2/9/2010	\$19 26	\$1,791 41	5/4/2010	\$21 06	\$1,958 75	(\$119 67)
69	Heidrick & Struggles Intl Inc	11/11/2009	\$27 77	\$1,916 43	5/4/2010	\$25.51	\$1,759 97	(\$302 55)
5	Piper Jaffray Cos	8/13/2009	\$49 80	\$249 00	5/4/2010	\$38 77	\$193 86	(\$61 13)
10	Piper Jaffray Cos	4/14/2010	\$42 43	\$424 29	5/4/2010	\$38 77	\$387 73	(\$43 48)
40	Piper Jaffray Cos	7/28/2009	\$46 42	\$1,856 84	5/4/2010	\$38 77	\$1,550 91	(\$54 68)
145	Quidel Corp	11/11/2009	\$14 16	\$2,053 06	5/4/2010	\$13 10	\$1,899 60	\$170 19
329	Banco Santander S A	7/7/2009	\$11 73	\$3,858 87	5/12/2010	\$11 71	\$3,851 76	(\$1,104 80)
328	Hawaiian Holdings Inc	4/21/2010	\$7 41	\$2,431 88	5/12/2010	\$7 11	\$2,332 04	\$2 39
183	Knoll Inc	3/4/2010	\$12 07	\$2,208 01	5/12/2010	\$14 33	\$2,621 52	\$234 30
92	Rio Tinto Plc	8/31/2009	\$38 89	\$3,577 55	5/12/2010	\$49 16	\$4,522 91	(\$184 99)
284	First Industrial Realty Trust	4/27/2010	\$8 65	\$2,456 85	5/18/2010	\$7 00	\$1,987 68	(\$71 39)
78	Piedmont Natural Gas Co Inc	3/11/2010	\$26 99	\$2,105 13	5/18/2010	\$27 01	\$2,106 40	(\$61 21)
66	Power Integrations Inc	8/19/2009	\$32 17	\$2,123 13	5/18/2010	\$32 43	\$2,140 65	(\$354 30)
0	Noble Corporation	8/13/2009	\$0 04	\$0 13	5/20/2010	\$0 04	\$0 13	(\$747 54)
0	Noble Corporation	12/31/2009	\$0 04	\$1 15	5/20/2010	\$0 04	\$1 15	(\$1,089 71)
88	Berkley W R Corporation	5/10/2010	\$27 17	\$2,390 81	5/26/2010	\$26 64	\$2,344 29	(\$606 93)
130	Odyssey Healthcare Inc	11/17/2009	\$15 06	\$1,957 29	5/26/2010	\$26 52	\$3,447 55	(\$2 43)
9	Allergan Inc	5/7/2010	\$59 77	\$537 90	6/2/2010	\$59 51	\$535 58	(\$281 52)
10	Amphenol Corp New-Cl A	8/10/2009	\$33 17	\$331 74	6/2/2010	\$41 54	\$415 39	(\$280.92)
3	ArcelorMittal Sa Luxembourg	10/19/2009	\$39 92	\$119 77	6/2/2010	\$29 88	\$89 63	(\$200 79)
13	Bio Reference Laboratories Inc	1/21/2010	\$19.85	\$258 07	6/2/2010	\$22 99	\$298 86	(\$285 55)
18	Bnp Paribas	5/14/2010	\$29 43	\$529 70	6/2/2010	\$28 44	\$511 91	(\$2,068 73)
37	Broadcom Corp	5/19/2010	\$32 36	\$1,197 29	6/2/2010	\$35 19	\$1,302 02	(\$456 19)
1	Cabot Microelectronics Corp	8/25/2009	\$34 58	\$34 58	6/2/2010	\$35 90	\$35 90	(\$432 87)
11	Canon Inc-Adr New	7/24/2009	\$35 34	\$388 73	6/2/2010	\$41 26	\$453 85	(\$237 30)
57	Catalyst Health Solutions Inc	10/14/2009	\$30 96	\$1,764 77	6/2/2010	\$37 70	\$2,148.97	\$301 96
4	Cognex Corp	12/22/2009	\$17 88	\$71 52	6/2/2010	\$18 64	\$74 55	(\$969 87)
3	Cohen & Steers Inc	2/18/2010	\$21 59	\$64 76	6/2/2010	\$23 32	\$69 95	(\$1,089 40)
8	Ellis Perry International Inc	5/4/2010	\$26 01	\$208 07	6/2/2010	\$23 52	\$188 15	(\$672 86)
3	Energys	2/22/2010	\$23 06	\$69 17	6/2/2010	\$22 39	\$67 16	(\$3,566 05)
2	Ezcorp Inc-Cl A	5/4/2010	\$21.17	\$42 34	6/2/2010	\$18 30	\$36 59	(\$5 75)
2	Factset Research Systems Inc	2/12/2010	\$64 64	\$129 27	6/2/2010	\$68 02	\$136 03	\$6 76
14	Integrated Device Technology	11/11/2009	\$6 05	\$84 73	6/2/2010	\$5 69	\$79 65	(\$5 08)
3	Intersil Corporation Cl A	1/13/2010	\$14.04	\$42 11	6/2/2010	\$13 18	\$39 53	(\$2 58)
2	Invacare Corp	11/17/2009	\$24 87	\$49 73	6/2/2010	\$23 66	\$47 31	(\$2 42)
1	Itt Educational Services Inc	10/15/2009	\$110 96	\$110 96	6/2/2010	\$100 36	\$100 36	(\$10 60)
6	Itt Educational Services Inc	9/22/2009	\$109 86	\$659 13	6/2/2010	\$100 37	\$602 20	(\$56.93)
7	Jpmorgan Chase & Co	6/23/2009	\$33 64	\$235 45	6/2/2010	\$39 23	\$274 61	\$39 16
235 415	Jpmorgan Tr II	8/13/2009	\$11 02	\$2,594 27	6/2/2010	\$11 33	\$2,667 25	\$72 98
2	Newmarket Corporation	8/19/2009	\$78 03	\$156 06	6/2/2010	\$102 66	\$205 31	\$49 25
16	Newpark Resources Inc New	4/14/2010	\$6 42	\$102 72	6/2/2010	\$6 16	\$98 55	(\$4.17)
4	Nidec Corp	4/29/2010	\$26 21	\$104 86	6/2/2010	\$22 46	\$89 83	(\$15.03)
9	Nissan Motor Co Ltd	12/17/2009	\$16 38	\$147 43	6/2/2010	\$14 11	\$126 98	(\$20 45)
4	Omnicom Group Inc	11/5/2009	\$35 63	\$142 53	6/2/2010	\$37 85	\$151 39	\$8 86
1	Onx Corp	8/31/2009	\$38 01	\$38 01	6/2/2010	\$37 21	\$37 21	(\$0 80)
3	Packaging Corp Amer	8/19/2009	\$18 77	\$56.32	6/2/2010	\$21 76	\$65 27	\$8.95
6	Par Pharmaceutical Companies	6/25/2009	\$14 53	\$87 15	6/2/2010	\$27 66	\$165 95	\$78 80
245 221	Pimco Total Return Fund-Cl A	8/13/2009	\$10 68	\$2,618 96	6/2/2010	\$11 09	\$2,719 50	\$100 54
12	Price T Rowe Group Inc	5/26/2010	\$50 61	\$607 32	6/2/2010	\$49 74	\$596 86	(\$10 46)
39	Proassurance Corp	9/15/2009	\$52 47	\$2,046 19	6/2/2010	\$58 79	\$2,292 84	\$246 65
7	Ritchie Bros Auctioneers	1/27/2010	\$21 09	\$147 63	6/2/2010	\$20 20	\$141 39	(\$6 24)
1	Sonosite Inc	2/18/2010	\$29 25	\$29 25	6/2/2010	\$28 61	\$28 61	(\$0 64)
1	Swiss Reinsurance Co	1/15/2010	\$49 10	\$49 10	6/2/2010	\$41 19	\$41 19	(\$7 91)
4	Tenaris Sa	9/23/2009	\$36 25	\$144 99	6/2/2010	\$36 74	\$146 95	\$1 96
6	Teradata Corp	2/1/2010	\$28 21	\$169 23	6/2/2010	\$32 16	\$192 95	\$23 72
8	Thompson Creek Metals Co	9/10/2009	\$12 75	\$101 96	6/2/2010	\$9 53	\$76 20	(\$25 76)
34	Tjx Companies Inc New	7/17/2009	\$35 11	\$1,193 62	6/2/2010	\$45 93	\$1,561 64	\$368.02
2	United Parcel Svc Inc	3/18/2010	\$64 10	\$128 20	6/2/2010	\$62 30	\$124 60	(\$3 60)
5	Universal American Corp	11/24/2009	\$10 61	\$53 03	6/2/2010	\$14 74	\$73 70	\$20 67
9	Universal Technical Institute	1/21/2010	\$18 68	\$168 14	6/2/2010	\$24 31	\$218 78	\$50 64
28	Us Airways Group Inc	3/23/2010	\$7.32	\$204 96	6/2/2010	\$9 37	\$262.38	\$57.42
1	Varian Medical Systems Inc	8/24/2009	\$40 81	\$40 81	6/2/2010	\$50 79	\$50 79	\$9 98
60	Volvo Ab-Adr	5/4/2010	\$11 74	\$704.40	6/2/2010	\$10 79	\$647 38	(\$57 02)
4	Wabtec Corp	9/3/2009	\$37 09	\$148 35	6/2/2010	\$42 68	\$170 71	\$22.36
4	Weatherford International	12/3/2009	\$17 07	\$68 27	6/2/2010	\$13 64	\$54 55	(\$13.72)
4	Western Union Co	4/1/2010	\$16.97	\$67 88	6/2/2010	\$15.69	\$62 76	(\$5 12)

6	Whitney Holding Corp	4/27/2010	\$14 09	\$84 53	6/2/2010	\$11 09	\$66 53	(\$18 00)
71	Alliancebernstein Holding Lp	11/11/2009	\$26 90	\$1,909 77	6/8/2010	\$27 66	\$1,963 98	\$54 21
170	Whitney Holding Corp	4/27/2010	\$14 09	\$2,394 92	6/8/2010	\$10 09	\$1,715 04	(\$679 88)

Term Total			\$387,822.27	\$413,606.06	(\$32,187.01)			
92	Kaydon Corp	7/30/2008	\$48 60	\$4,471 20	12/29/2009	\$35 89	\$3,301 90	(\$1,169 30)
47	Fluor Corp New	11/19/2008	\$33 27	\$1,563 69	12/22/2009	\$43 76	\$2,056 73	\$493 04
46	France Telecom	2/20/2008	\$34 09	\$1,567 99	12/17/2009	\$24 73	\$1,137 68	(\$430 31)
120	France Telecom	11/13/2007	\$37 78	\$4,533 60	12/17/2009	\$24 73	\$2,967 85	(\$1,565 75)
201	Cvs Caremark Corporation	11/13/2007	\$42 11	\$8,464 11	12/15/2009	\$31 70	\$6,371 53	(\$2,092 58)
231	Earthlink Inc	10/9/2008	\$7 06	\$1,630 92	12/15/2009	\$8 18	\$1,889 53	\$258 61
66	Amedysys Inc	6/19/2008	\$52 61	\$3,472 05	11/17/2009	\$38 20	\$2,521 04	(\$951 01)
57	National Grid Plc New	2/8/2008	\$75 77	\$4,319 10	11/13/2009	\$52 33	\$2,982 92	(\$1,336 18)
23	National Grid Plc New	6/10/2008	\$69 36	\$1,595 28	11/13/2009	\$52 33	\$1,203.64	(\$391 64)
493	Cincinnati Bell Inc New	5/7/2008	\$4 56	\$2,249 92	11/11/2009	\$3 09	\$1,523 53	(\$726 39)
1	Tiffany & Co New	8/25/2006	\$31 00	\$31 00	11/11/2009	\$42 53	\$42 53	\$11 53
42	Tiffany & Co New	11/28/2005	\$42 45	\$1,782 90	11/11/2009	\$42 54	\$1,786 75	\$3 85
22	Tiffany & Co New	8/25/2006	\$31 00	\$682 00	11/11/2009	\$42 54	\$935 91	\$253 91
0 081	Merck & Co Inc	2/6/2008	\$20 56	\$1 66	11/6/2009	\$32 57	\$2 63	\$0 97
0 155	Merck & Co Inc	11/13/2007	\$29 33	\$4 54	11/6/2009	\$32 63	\$5 05	\$0 51
185 829	Schering Plough Corp	11/13/2007	\$29 33	\$5,450 36	11/5/2009	\$24 81	\$4,609 50	(\$840 86)
96 936	Schering Plough Corp	2/6/2008	\$20 50	\$1,987 18	11/5/2009	\$24 81	\$2,404 50	\$417 32
73	Zimmer Holdings Inc	7/8/2008	\$67 78	\$4,948 20	11/5/2009	\$54 48	\$3,977 27	(\$970 93)
23	Apple Inc	11/13/2007	\$170 57	\$3,923 11	11/3/2009	\$188 79	\$4,342 07	\$418 96
1	Zebra Technologies Corp-CI A	5/18/2006	\$36 91	\$36 91	10/28/2009	\$25 25	\$25 25	(\$11 66)
39	Zebra Technologies Corp-CI A	11/13/2007	\$38 42	\$1,498 38	10/28/2009	\$25 25	\$984.72	(\$513 66)
41	Zebra Technologies Corp-CI A	5/18/2006	\$36.91	\$1,513 31	10/28/2009	\$25 25	\$1,035 22	(\$478 09)
71	Zebra Technologies Corp-CI A	11/22/2006	\$35 47	\$2,518 36	10/28/2009	\$25 25	\$1,792 70	(\$725.66)
75	Zebra Technologies Corp-CI A	6/29/2006	\$33 02	\$2,476 38	10/28/2009	\$25 25	\$1,893 70	(\$582 68)
200 41	Jpmorgan Tr I	6/19/2008	\$19 45	\$3,897 97	10/19/2009	\$17 79	\$3,565 30	(\$332 67)
24	Nokia Corporation	11/28/2005	\$17 33	\$415 92	10/19/2009	\$13 68	\$328 29	(\$87 63)
53	Nokia Corporation	5/29/2008	\$28 07	\$1,487 71	10/19/2009	\$13 68	\$724 96	(\$762 75)
96	Nokia Corporation	11/28/2005	\$17 33	\$1,663 68	10/19/2009	\$13 68	\$1,313 14	(\$350 54)
11	Mohawk Industries Inc	6/19/2008	\$68 86	\$757 46	10/14/2009	\$49 74	\$547 17	(\$210 29)
21	Mohawk Industries Inc	11/13/2007	\$80 88	\$1,698 48	10/14/2009	\$49 74	\$1,044.60	(\$653 88)
38	Mohawk Industries Inc	1/3/2008	\$73 58	\$2,796 04	10/14/2009	\$49 74	\$1,890 23	(\$905 81)
1	Occidental Pete Corp	11/19/2007	\$68 05	\$68 05	10/14/2009	\$81 62	\$81 62	\$13 57
10	Occidental Pete Corp	4/2/2008	\$74 79	\$747 90	10/14/2009	\$81 63	\$816 27	\$68 37
39	Occidental Pete Corp	1/17/2008	\$68 92	\$2,687 88	10/14/2009	\$81 63	\$3,183 50	\$495 62
53	Laclede Group Inc	7/16/2008	\$39 14	\$2,074 66	10/6/2009	\$31 82	\$1,686 27	(\$388 39)
0 885	Central Europe And Russia Fur	12/28/2006	\$53 42	\$47 30	10/5/2009	\$31 76	\$28 12	(\$19 18)
0 115	Central Europe And Russia Fur	12/28/2006	\$53 42	\$6 12	10/5/2009	\$31 76	\$3 64	(\$2 48)
0 364	Central Europe And Russia Fur	12/28/2006	\$53 42	\$19 43	10/5/2009	\$31 76	\$11.55	(\$7 88)
1 434	Total System Services Inc	1/11/2006	\$31 25	\$44 81	10/5/2009	\$15 73	\$22 56	(\$22 25)
0 798	Total System Services Inc	11/13/2007	\$30 26	\$24 15	10/5/2009	\$15 74	\$12 56	(\$11 59)
0 768	Total System Services Inc	8/20/2007	\$33 28	\$25 56	10/5/2009	\$15 74	\$12 09	(\$13 48)
88	Aarons Inc	7/22/2008	\$26 43	\$2,325 71	9/29/2009	\$26 80	\$2,358 35	\$32.64
43	Nike Inc-CI B	6/5/2008	\$69 86	\$3,003 98	9/28/2009	\$59 15	\$2,543 60	(\$460.38)
4	Research In Motion Ltd	11/13/2007	\$111 62	\$446 50	9/25/2009	\$68 81	\$275 23	(\$171 27)
29	Research In Motion Ltd	11/13/2007	\$111 62	\$3,237 10	9/25/2009	\$68 81	\$1,995 43	(\$1,241.66)
7	Research In Motion Ltd	5/29/2008	\$139 10	\$973 67	9/25/2009	\$68 81	\$481 66	(\$492 02)
80	Nintendo Co Ltd-Adr New	3/30/2007	\$36 30	\$2,904 00	9/23/2009	\$32 95	\$2,635.93	(\$268.07)
6	Nintendo Co Ltd-Adr New	3/30/2007	\$36 30	\$217 80	9/23/2009	\$32 95	\$197 69	(\$20.11)
59	Nintendo Co Ltd-Adr New	4/18/2007	\$39 00	\$2,301 00	9/23/2009	\$32 95	\$1,944 00	(\$357 00)
72	Sanofi Aventis	9/16/2008	\$34 31	\$2,470 46	9/23/2009	\$37 54	\$2,703.19	\$232 73
100	Sanofi Aventis	8/18/2008	\$36 33	\$3,633 20	9/23/2009	\$37 54	\$3,754 42	\$121 22
27	Odyssey Re Holdings Corp	11/13/2007	\$38 58	\$1,041 66	9/22/2009	\$64 61	\$1,744 42	\$702 76
51	Odyssey Re Holdings Corp	11/28/2005	\$25 75	\$1,313 25	9/22/2009	\$64 61	\$3,295 02	\$1,981 77
57	Odyssey Re Holdings Corp	6/19/2008	\$36 93	\$2,105 01	9/22/2009	\$64 61	\$3,682 67	\$1,577 66
44	Zimmer Holdings Inc	7/8/2008	\$67 78	\$2,982 48	9/22/2009	\$52 41	\$2,306 08	(\$676 40)
35	Procter & Gamble Co	11/13/2007	\$71 27	\$2,494 45	9/18/2009	\$57 35	\$2,007 21	(\$487 24)
14	Diageo Plc-Sponsored Adr	11/28/2005	\$58 54	\$819 56	9/15/2009	\$62 57	\$875 96	\$56 40
65	Diageo Plc-Sponsored Adr	11/28/2005	\$58 54	\$3,805.10	9/10/2009	\$62 75	\$4,078 70	\$273 60
35	Iron Mountain Inc	7/31/2007	\$26 91	\$941 85	9/10/2009	\$28 03	\$980 90	\$39 05
55	Iron Mountain Inc	6/19/2008	\$30 47	\$1,675 85	9/10/2009	\$28 03	\$1,541 43	(\$134 42)
131	Iron Mountain Inc	7/31/2007	\$26 91	\$3,525 20	9/10/2009	\$28 03	\$3,671 40	\$146 20
52	Odyssey Re Holdings Corp	11/28/2005	\$25 75	\$1,339 00	9/9/2009	\$62 20	\$3,234 36	\$1,895 36
25	South Jersey Industries Inc	7/16/2008	\$37 57	\$939 37	9/9/2009	\$33 83	\$845 69	(\$93 68)
19	Fluor Corp New	1/29/2008	\$61 95	\$1,176 96	9/2/2009	\$51.26	\$973.87	(\$203.09)
54	Diageo Plc-Sponsored Adr	11/28/2005	\$58 54	\$3,161 16	8/31/2009	\$61 90	\$3,342 51	\$181 35
82	Fomento Economico Mexicano	11/28/2005	\$22 71	\$1,862.49	8/31/2009	\$36 21	\$2,969 17	\$1,106 68
29	Nestle Sa-Sponsored Adr	11/28/2005	\$30 12	\$873 58	8/31/2009	\$41 40	\$1,200 56	\$326 98
77	Nestle Sa-Sponsored Adr	11/13/2007	\$48 19	\$3,710 92	8/31/2009	\$41 40	\$3,187 71	(\$523 21)
54	Scottish & Southern Energy	10/20/2006	\$25.45	\$1,374.51	8/31/2009	\$17 78	\$960.14	(\$414 38)
82	Scottish & Southern Energy	4/1/2008	\$28 95	\$2,373 90	8/31/2009	\$17 78	\$1,457 98	(\$915.92)



5	Carmax Inc	6/19/2008	\$15 80	\$79 00	8/28/2009	\$17 47	\$87 35	\$8 35
152	Carmax Inc	6/20/2008	\$15 67	\$2,381 82	8/28/2009	\$17 47	\$2,655 78	\$273.96
27	Fiserv Inc	9/14/2007	\$47 82	\$1,291 22	8/19/2009	\$48 03	\$1,296 72	\$5 50
31	Fiserv Inc	6/19/2008	\$48 73	\$1,510 63	8/19/2009	\$48 03	\$1,488 84	(\$21 79)
33	Fiserv Inc	11/13/2007	\$52 48	\$1,731 84	8/19/2009	\$48 03	\$1,584.88	(\$146 96)
35	Laboratory Corp Amer Hldgs	11/28/2005	\$51 60	\$1,806 00	8/19/2009	\$68 77	\$2,406 95	\$600 95
20	Abb Ltd	9/6/2007	\$24 04	\$480 78	8/13/2009	\$18 68	\$373 59	(\$107 19)
19	Abbott Laboratories	11/13/2007	\$54 69	\$1,039 11	8/13/2009	\$44 16	\$839 09	(\$200 02)
7	Agrium Inc	2/15/2007	\$38 82	\$271 77	8/13/2009	\$49 04	\$343 27	\$71 50
13	Allergan Inc	11/13/2007	\$65 17	\$847 21	8/13/2009	\$54 63	\$710 17	(\$137 04)
25	Anglo American Plc	3/14/2006	\$19 47	\$486 63	8/13/2009	\$15 85	\$396 23	(\$90 40)
7	Apple Inc	11/13/2007	\$170 57	\$1,193 99	8/13/2009	\$167 78	\$1,174 49	(\$19 50)
13	Astrazeneca Plc	7/25/2008	\$46 82	\$608 64	8/13/2009	\$46 62	\$606 04	(\$2 60)
24	Atlas Copco Ab-Sponsored	7/24/2007	\$16 85	\$404 40	8/13/2009	\$10 84	\$260 15	(\$144 25)
21	Axa	11/28/2005	\$30 30	\$636 30	8/13/2009	\$23 04	\$483 82	(\$152 48)
66	Banco Santander S A	11/28/2005	\$12 84	\$847 44	8/13/2009	\$14 75	\$973 53	\$126 09
7	Boc Hong Kong Holdings	6/19/2008	\$51 60	\$361 20	8/13/2009	\$39 75	\$278 25	(\$82 95)
18	British American Tobacco	11/28/2005	\$43 98	\$791 64	8/13/2009	\$62 62	\$1,127 13	\$335 49
22	Coca Cola Co	11/13/2007	\$60 79	\$1,337 38	8/13/2009	\$48 12	\$1,058 70	(\$278 68)
3	Colgate Palmolive Co	11/13/2007	\$77 07	\$231 21	8/13/2009	\$71 86	\$215 57	(\$15 64)
13	Costco Wholesale Corp-New	11/13/2007	\$67 14	\$872 79	8/13/2009	\$48 81	\$634 55	(\$238 24)
75	Cvs Caremark Corporation	11/13/2007	\$42 11	\$3,158 25	8/13/2009	\$34 92	\$2,619 04	(\$539 21)
18	DBS Group Holdings Ltd	11/28/2005	\$38 45	\$692 10	8/13/2009	\$35 50	\$638 98	(\$53 12)
16	Diageo Plc-Sponsored Adr	11/28/2005	\$58 54	\$936 64	8/13/2009	\$61 52	\$984 29	\$47 65
4	E On Ag	12/22/2006	\$43 89	\$175 56	8/13/2009	\$38 90	\$155 59	(\$19 97)
25,366 14	Eaton Vance Strategic Income	11/13/2007	\$7 99	\$202,675 48	8/13/2009	\$7 73	\$196,080 28	(\$6,595 20)
6	Emerson Electric Co	11/13/2007	\$55 33	\$331 98	8/13/2009	\$35 96	\$215 76	(\$116 22)
3	Fluor Corp New	1/29/2008	\$61 95	\$185 84	8/13/2009	\$55 76	\$167 28	(\$18.56)
9	Fluor Corp New	1/23/2008	\$60 20	\$541 80	8/13/2009	\$55 76	\$501 85	(\$39 95)
19	Formento Economico Mexicano	11/28/2005	\$22 71	\$431 55	8/13/2009	\$37 84	\$718.94	\$287 39
10	France Telecom	11/13/2007	\$37 78	\$377 80	8/13/2009	\$25 33	\$253 34	(\$124 46)
19	Gilead Sciences Inc	11/13/2007	\$42 63	\$809 93	8/13/2009	\$45 02	\$855 38	\$45 45
2	Google Inc	11/13/2007	\$658 94	\$1,317 88	8/13/2009	\$462 09	\$924 17	(\$393 71)
23	Hewlett Packard Co	11/13/2007	\$49 39	\$1,135 97	8/13/2009	\$44 30	\$1,018.90	(\$117 07)
11	Honda Motor Co Ltd-Adr New	11/28/2005	\$28 50	\$313 50	8/13/2009	\$32 15	\$353.64	\$40 14
212	Ishares Trust Russell 1000	11/28/2005	\$69 84	\$14,806 08	8/13/2009	\$52 92	\$11,219 13	(\$3,586 95)
1,589 18	Jpmorgan Tr I	6/19/2008	\$19 45	\$30,909 63	8/13/2009	\$16 25	\$25,824 24	(\$5,085 39)
8	Juniper Networks	5/1/2008	\$28 57	\$228 55	8/13/2009	\$25 16	\$201 28	(\$27 27)
103	Keppel Corp Ltd	11/28/2005	\$7 03	\$723 58	8/13/2009	\$11 15	\$1,148 42	\$424 85
15	McDonalds Corp	11/13/2007	\$57 04	\$855 60	8/13/2009	\$55 68	\$835 18	(\$20 42)
112	Mitsubishi Ufj Finl Group	3/18/2008	\$8 64	\$967 28	8/13/2009	\$6 30	\$705 77	(\$261 51)
3	National Grid Plc New	2/8/2008	\$75 77	\$227 32	8/13/2009	\$47 64	\$142 91	(\$84 41)
7	National Grid Plc New	6/10/2008	\$69 36	\$485.52	8/13/2009	\$47 64	\$333 47	(\$152 05)
27	Nestle Sa-Sponsored Adr	11/28/2005	\$30 12	\$813 33	8/13/2009	\$38 72	\$1,045 41	\$232 08
12	Nike Inc-Cl B	6/5/2008	\$69 86	\$838 32	8/13/2009	\$57 48	\$689 74	(\$148 58)
17	Nokia Corporation	11/28/2005	\$17 33	\$294 61	8/13/2009	\$13 05	\$221.84	(\$72 77)
20	Novartis Ag-Sponsored Adr	11/28/2005	\$53 00	\$1,060 00	8/13/2009	\$45 07	\$901 37	(\$158 63)
11	Occidental Pete Corp	11/19/2007	\$68 05	\$748 55	8/13/2009	\$70 80	\$778 77	\$30 22
15	Petroleo Brasileiro Sa	11/28/2005	\$15 01	\$225 15	8/13/2009	\$35 25	\$528 73	\$303.58
27	Qualcomm Inc	11/13/2007	\$38 87	\$1,049 45	8/13/2009	\$46 42	\$1,253 34	\$203.89
37	Research In Motion Ltd	11/13/2007	\$111 62	\$4,130 09	8/13/2009	\$71 77	\$2,655 53	(\$1,474 56)
4	Roche Holding Ltd	11/28/2005	\$37 78	\$151 10	8/13/2009	\$38 84	\$155.35	\$4 25
7	Roche Holding Ltd	11/21/2007	\$45 90	\$321 30	8/13/2009	\$38 84	\$271 87	(\$49 43)
16	Royal Kpn Nv	1/24/2007	\$15 02	\$240 34	8/13/2009	\$15 20	\$243.19	\$2 85
6	Rwe Ag-Sponsored Adr	11/28/2005	\$68 80	\$412 80	8/13/2009	\$85 10	\$510 58	\$97 78
42	Schering Plough Corp	11/13/2007	\$29 33	\$1,231 86	8/13/2009	\$26 99	\$1,133 57	(\$98.29)
4	Scottish & Southern Energy	4/1/2008	\$28 95	\$115 80	8/13/2009	\$18 67	\$74 67	(\$41 13)
6	Scottish & Southern Energy	10/20/2006	\$25 45	\$152 72	8/13/2009	\$18 67	\$112.01	(\$40 71)
10	Statoil Asa	10/2/2007	\$33 86	\$338 56	8/13/2009	\$21 89	\$218 89	(\$119 67)
10	Stryker Corp	11/13/2007	\$70 37	\$703 73	8/13/2009	\$40 12	\$401 18	(\$302 55)
9	Total S A	11/28/2005	\$61 91	\$557 19	8/13/2009	\$55 12	\$496 06	(\$61 13)
3	Toyota Motor Corp-Adr New	11/28/2005	\$100 39	\$301 17	8/13/2009	\$85 90	\$257 69	(\$43.48)
63	Turkcell Iletisim	6/1/2007	\$16 96	\$1,068 38	8/13/2009	\$16 09	\$1,013 70	(\$54 68)
20	Vale S A	11/28/2005	\$9 60	\$192 00	8/13/2009	\$18 11	\$362 19	\$170 19
56	Emerson Electric Co	11/13/2007	\$55 33	\$3,098 48	8/10/2009	\$35 60	\$1,993 68	(\$1,104 80)
6	Fiserv Inc	9/14/2007	\$47 82	\$286 94	8/7/2009	\$48 22	\$289 33	\$2.39
41	Fiserv Inc	3/29/2006	\$42 51	\$1,742 83	8/7/2009	\$48.22	\$1,977 13	\$234 30
103	Juniper Networks	1/31/2008	\$27 26	\$2,807 45	8/6/2009	\$25 46	\$2,622 46	(\$184 99)
64 967	Liberty Global Inc	11/28/2005	\$22 60	\$1,467 96	8/4/2009	\$21.50	\$1,396 57	(\$71.39)
41 033	Liberty Global Inc	8/10/2006	\$22 99	\$943.28	8/4/2009	\$21 50	\$882.07	(\$61.21)
28	Liberty Global Inc	11/13/2007	\$34 15	\$956 20	8/4/2009	\$21 50	\$601 90	(\$354 30)
60	Zebra Technologies Corp-Cl A	5/18/2006	\$36 91	\$2,214 60	8/3/2009	\$24.45	\$1,467 06	(\$747.54)
79	France Telecom	11/13/2007	\$37 78	\$2,984 62	7/24/2009	\$23 99	\$1,894 91	(\$1,089 71)
44	France Telecom	11/13/2007	\$37 78	\$1,662 32	7/24/2009	\$23.99	\$1,055 39	(\$606 93)
2	Nintendo Co Ltd-Adr New	3/30/2007	\$36 30	\$72 60	7/24/2009	\$35 09	\$70 17	(\$2 43)

72	Nintendo Co Ltd-Adr New	4/18/2007	\$39 00	\$2,808.00	7/24/2009	\$35 09	\$2,526 48	(\$281.52)
22	Apple Inc	11/13/2007	\$170 57	\$3,752 54	7/23/2009	\$157 80	\$3,471 62	(\$280 92)
4	Unit Corp	6/19/2008	\$80 52	\$322 08	7/22/2009	\$30 32	\$121 29	(\$200 79)
17	Unit Corp	11/13/2007	\$47 12	\$801 04	7/22/2009	\$30 32	\$515 49	(\$285 55)
9	Google Inc	11/13/2007	\$658 94	\$5,930 46	7/17/2009	\$429 08	\$3,861 73	(\$2,068 73)
44	Wal-Mart Stores Inc	4/30/2008	\$58 78	\$2,586 28	7/17/2009	\$48 41	\$2,130 09	(\$456 19)
53	Wal-Mart Stores Inc	4/17/2008	\$56 58	\$2,998 66	7/17/2009	\$48 41	\$2,565 79	(\$432 87)
59	Colgate Palmolive Co	11/13/2007	\$77 07	\$4,547 13	7/14/2009	\$73 05	\$4,309 83	(\$237 30)
20	Laboratory Corp Amer Hldgs	11/28/2005	\$51 60	\$1,032 00	7/14/2009	\$66 70	\$1,333 96	\$301 96
24	Devon Energy Corporation New	2/15/2008	\$92 61	\$2,222 64	7/2/2009	\$52 20	\$1,252 77	(\$969 87)
34	Devon Energy Corporation New	1/31/2008	\$84 24	\$2,864 16	7/2/2009	\$52 20	\$1,774 76	(\$1,089 40)
21	Devon Energy Corporation New	1/31/2008	\$84 24	\$1,769 04	7/2/2009	\$52 20	\$1,096 18	(\$672 86)
198	Halliburton Co	11/13/2007	\$37 52	\$7,428 96	7/2/2009	\$19 51	\$3,862 91	(\$3,566 05)
83	Fomento Economico Mexicano	11/28/2005	\$22 71	\$1,885 21	1/15/2010	\$43 98	\$3,650 29	\$1,765 08
87	France Telecom	1/13/2009	\$25 55	\$2,222 94	1/15/2010	\$24 55	\$2,135 83	(\$87 11)
101	France Telecom	2/20/2008	\$34 09	\$3,442 76	1/15/2010	\$24 55	\$2,479 52	(\$963 24)
138	Healthsouth Corp	1/15/2009	\$10 81	\$1,491 15	1/21/2010	\$18 82	\$2,596.57	\$1,105 42
131	Tyler Technologies Inc	10/9/2008	\$13 35	\$1,748 79	1/21/2010	\$19 50	\$2,554.47	\$805 68
36	Brown Forman Corp-CI B	1/31/2008	\$50 89	\$1,832 14	1/25/2010	\$51 70	\$1,861 21	\$29 07
52	Visa Inc	1/20/2009	\$42 65	\$2,217 75	1/25/2010	\$82 00	\$4,263 81	\$2,046 06
18	Dun & Bradstreet Corp	1/13/2009	\$74 09	\$1,333 53	2/12/2010	\$70 52	\$1,269 31	(\$64 22)
47	Dun & Bradstreet Corp	4/17/2008	\$83 84	\$3,940 39	2/12/2010	\$70 52	\$3,314 30	(\$626 09)
14	Toyota Motor Corp-Adr New	1/13/2009	\$63 85	\$893 90	2/12/2010	\$76 78	\$1,074 96	\$181 06
55	Toyota Motor Corp-Adr New	11/28/2005	\$100 39	\$5,521 45	2/12/2010	\$76 78	\$4,223 09	(\$1,298 36)
20	National Grid Plc New	1/13/2009	\$48 19	\$963 72	2/19/2010	\$50 25	\$1,005 03	\$41 31
73	National Grid Plc New	1/15/2009	\$48 27	\$3,523 94	2/19/2010	\$50 25	\$3,668 40	\$144 46
0	Noble Corporation	12/19/2008	\$0 04	\$0 48	2/26/2010	\$0 04	\$0 48	\$0 00
0	Noble Corporation	12/19/2008	\$0 04	\$6 03	2/26/2010	\$0 04	\$6 03	\$0 00
72	Hewlett Packard Co	11/13/2007	\$49 39	\$3,556 08	3/3/2010	\$51 32	\$3,695 06	\$138 98
2	Infinity Property & Casualty	1/13/2009	\$44 65	\$89 30	3/11/2010	\$44 41	\$88.81	(\$0 49)
42	Infinity Property & Casualty	12/16/2008	\$47 51	\$1,995 38	3/11/2010	\$44 41	\$1,865.20	(\$130 18)
79	Total S A	11/28/2005	\$61 91	\$4,890 89	3/15/2010	\$57 82	\$4,567 80	(\$323 09)
22	Eog Res Inc	1/22/2009	\$64 60	\$1,421 17	3/16/2010	\$96 43	\$2,121 49	\$700 32
35	Eog Res Inc	2/24/2009	\$52 64	\$1,842 46	3/16/2010	\$96 43	\$3,375.09	\$1,532 63
98	Juniper Networks	1/31/2008	\$27 26	\$2,671 17	3/16/2010	\$30 20	\$2,959 57	\$288 40
43	Juniper Networks	2/15/2008	\$26 22	\$1,127 37	3/16/2010	\$30 20	\$1,298 58	\$171 21
18	Charles Schwab Corp New	4/11/2008	\$18 37	\$330 65	3/17/2010	\$18 54	\$333 71	\$3 06
232	Charles Schwab Corp New	4/9/2008	\$19 05	\$4,419 14	3/17/2010	\$18 54	\$4,301 24	(\$117 90)
79	Liberty Global Inc	11/13/2007	\$34 15	\$2,697 84	3/18/2010	\$28 06	\$2,217 11	(\$480 73)
45	Tiffany & Co New	11/13/2007	\$48 63	\$2,188 35	3/18/2010	\$47 62	\$2,143 08	(\$45 27)
52	Tiffany & Co New	8/25/2006	\$31 00	\$1,612 00	3/18/2010	\$47 62	\$2,476 44	\$864 44
30	Covance Inc	3/30/2009	\$35 35	\$1,060 64	4/1/2010	\$61 76	\$1,852.85	\$792.21
62	Covance Inc	1/30/2009	\$39 39	\$2,442 02	4/1/2010	\$61 76	\$3,829 23	\$1,387 21
48	Cameron International	11/19/2007	\$45.01	\$2,160 48	4/8/2010	\$44 70	\$2,145 68	(\$14 80)
5	Apple Inc	2/6/2008	\$127 15	\$635 74	4/14/2010	\$245.33	\$1,226.63	\$590 89
12	Apple Inc	11/13/2007	\$170 57	\$2,046 84	4/14/2010	\$245 33	\$2,943 93	\$897 09
12	Monsanto Co	1/12/2009	\$77 87	\$934 44	4/15/2010	\$65 70	\$788 40	(\$146 04)
14	Monsanto Co	1/13/2009	\$78 44	\$1,098 13	4/15/2010	\$65 70	\$919 80	(\$178 33)
24	Monsanto Co	1/28/2009	\$79 81	\$1,915 43	4/15/2010	\$65.70	\$1,576 80	(\$338 63)
35	Jacobs Engineering Group Inc	9/22/2008	\$62 64	\$2,192 57	4/19/2010	\$46.62	\$1,631.64	(\$560.93)
31	Abb Ltd	9/6/2007	\$24 04	\$745 21	4/21/2010	\$22 15	\$686 63	(\$58 58)
14	Abbott Laboratories	11/13/2007	\$54 69	\$765 66	4/21/2010	\$51 55	\$721.68	(\$43 98)
11	Agrium Inc	2/15/2007	\$38 82	\$427 06	4/21/2010	\$63 67	\$700 35	\$273 29
10	Anglo American Plc	3/14/2006	\$19 47	\$194 65	4/21/2010	\$21 74	\$217 39	\$22 74
4	Apple Inc	2/6/2008	\$127 15	\$508 59	4/21/2010	\$258 12	\$1,032 46	\$523 87
12	Astrazeneca Plc	7/25/2008	\$46 82	\$561 82	4/21/2010	\$45 22	\$542 63	(\$19 19)
19	Atlas Copco Ab-Sponsored	7/24/2007	\$16 85	\$320 15	4/21/2010	\$14 70	\$279 29	(\$40 86)
28	Axa	11/28/2005	\$30 30	\$848 40	4/21/2010	\$22 30	\$624 38	(\$224 02)
79	Banco Santander S A	11/28/2005	\$12 84	\$1,014 36	4/21/2010	\$13 66	\$1,079 13	\$64 77
7	Bed Bath & Beyond Inc	11/4/2008	\$24 72	\$173 03	4/21/2010	\$46 27	\$323 88	\$150 85
13	Boc Hong Kong Holdings	6/19/2008	\$51 60	\$670 80	4/21/2010	\$49 05	\$637 65	(\$33 15)
9	British American Tobacco	11/28/2005	\$43 98	\$395 82	4/21/2010	\$67 40	\$606 58	\$210 76
29	Brown Forman Corp-CI B	1/31/2008	\$50 89	\$1,475 89	4/21/2010	\$59 31	\$1,719 96	\$244 07
7	Cameron International	12/20/2007	\$46 49	\$325 40	4/21/2010	\$45 30	\$317 09	(\$8 31)
4	Carmax Inc	1/3/2008	\$19 61	\$78 43	4/21/2010	\$24 88	\$99 51	\$21 08
6	Carmax Inc	6/20/2008	\$15 67	\$94 02	4/21/2010	\$24 88	\$149 27	\$55 25
14	Central European Distr Corp	4/20/2009	\$17 81	\$249 33	4/21/2010	\$38 57	\$539 97	\$290 62
6	Central European Media	4/20/2009	\$15 54	\$93 23	4/21/2010	\$35 74	\$214 43	\$121 20
18	Chicos Fas Inc	12/30/2008	\$3 88	\$69 89	4/21/2010	\$15 55	\$279 89	\$210 00
59	Coca Cola Co	11/13/2007	\$60 79	\$3,586 61	4/21/2010	\$54 01	\$3,186 53	(\$400 08)
16	Colgate Palmolive Co	11/13/2007	\$77 07	\$1,233 12	4/21/2010	\$84 51	\$1,352 13	\$119 01
10	Copart Inc	10/10/2008	\$35 75	\$357 45	4/21/2010	\$34 94	\$349.39	(\$8 06)
16	Dbs Group Holdings Ltd	11/28/2005	\$38 45	\$615 20	4/21/2010	\$44 55	\$712 78	\$97 58
8	Del Monte Foods Co	1/23/2009	\$7 01	\$56 08	4/21/2010	\$15 93	\$127.43	\$71.35
14	Desarrolladora Homex S A De	4/20/2009	\$21 92	\$306 81	4/21/2010	\$30 99	\$433 85	\$127 04

13	E On Ag	12/22/2006	\$43 89	\$570 57	4/21/2010	\$37 75	\$490.74	(\$79.83)
3,316	Eaton Vance Strategic Income	11/13/2007	\$7 99	\$26,494 84	4/21/2010	\$8 21	\$27,224 36	\$729 52
4	Ecolab Inc	11/11/2008	\$32 26	\$129 03	4/21/2010	\$46 35	\$185 39	\$56 36
2	Emerson Electric Co	11/13/2007	\$55 33	\$110 66	4/21/2010	\$51 63	\$103 25	(\$7 41)
9	Expeditors International Of	7/25/2008	\$37 55	\$337 94	4/21/2010	\$40 25	\$362 24	\$24.30
22	Fujifilm Holdings	1/6/2009	\$22 48	\$494 61	4/21/2010	\$34 28	\$754 14	\$259 53
15	Gilead Sciences Inc	11/13/2007	\$42 63	\$639 42	4/21/2010	\$40 58	\$608 68	(\$30 74)
14	Glaxosmithkline Plc	11/13/2008	\$36 23	\$507 17	4/21/2010	\$38 93	\$545.01	\$37 84
1	Google Inc	11/13/2007	\$658 94	\$658 94	4/21/2010	\$553 07	\$553 07	(\$105 87)
9	Green Mountain Coffee Roaste	5/21/2008	\$27 09	\$243 81	4/21/2010	\$83 29	\$749 59	\$505 78
14	Hewlett Packard Co	11/13/2007	\$49 39	\$691 46	4/21/2010	\$53 46	\$748 42	\$56 96
17	Honda Motor Co Ltd-Adr New	11/28/2005	\$28 50	\$484 50	4/21/2010	\$34 65	\$589 04	\$104 54
9	Ilex Corp	10/12/2007	\$38 32	\$344 86	4/21/2010	\$34 54	\$310 85	(\$34 01)
296	Ishares Trust Russell 1000	11/28/2005	\$69 84	\$20,672 64	4/21/2010	\$63.15	\$18,692 11	(\$1,980 53)
1,139 33	Jpmorgan Tr I	6/19/2008	\$19 45	\$22,159 87	4/21/2010	\$19 56	\$22,285 20	\$125 33
47	Keppel Corp Ltd	11/28/2005	\$7 03	\$330 18	4/21/2010	\$13 98	\$657 04	\$326 87
4	Laboratory Corp Amer Hldgs	11/13/2007	\$68 65	\$274 60	4/21/2010	\$80 83	\$323 31	\$48 71
17	Leucadia National Corp	6/10/2008	\$50 73	\$862 38	4/21/2010	\$26 48	\$450 15	(\$412 23)
9	Liberty Global Inc	11/13/2007	\$34 15	\$307 35	4/21/2010	\$29 12	\$262 07	(\$45 28)
1	Markel Corp Holding Co	11/28/2005	\$317 95	\$317 95	4/21/2010	\$379 16	\$379 16	\$61 21
13	Martin Marietta Materials Inc	10/12/2007	\$139 12	\$1,808 55	4/21/2010	\$93 04	\$1,209 49	(\$599 06)
10	McDonalds Corp	11/13/2007	\$57 04	\$570 40	4/21/2010	\$70 32	\$703 18	\$132 78
15	Merck & Co Inc	11/13/2007	\$29 33	\$439 95	4/21/2010	\$34 73	\$520 94	\$80 99
31	Mitsubishi Ufy Finl Group	3/18/2008	\$8 64	\$267 73	4/21/2010	\$5 49	\$170 21	(\$97 52)
4	Mitsui & Co Ltd-Adr	4/2/2009	\$228 08	\$912 33	4/21/2010	\$324 88	\$1,299.53	\$387 21
11	Netnet Inc	2/24/2009	\$11 33	\$124 67	4/21/2010	\$20 50	\$225 49	\$100 82
19	Nestle Sa-Sponsored Adr	11/13/2007	\$48 19	\$915 68	4/21/2010	\$48 50	\$921 48	\$5 80
9	Noble Corporation	12/19/2008	\$21 69	\$195 24	4/21/2010	\$41 36	\$372 23	\$176 99
22	Novartis Ag-Sponsored Adr	11/28/2005	\$53 00	\$1,166 00	4/21/2010	\$52 75	\$1,160.48	(\$5 52)
12	Pepsico Inc	11/13/2007	\$73 08	\$876 96	4/21/2010	\$65 98	\$791 74	(\$85 22)
23	Petroleo Brasileiro Sa	11/28/2005	\$15 01	\$345 23	4/21/2010	\$37 97	\$873 29	\$528 06
13	Procter & Gamble Co	11/13/2007	\$71 27	\$926 51	4/21/2010	\$63 56	\$826 26	(\$100 25)
9	Roche Holding Ltd	11/21/2007	\$45 90	\$413 10	4/21/2010	\$40 75	\$366 74	(\$46 36)
33	Royal Kpn Nv	1/24/2007	\$15 02	\$495 71	4/21/2010	\$15 26	\$503 57	\$7 86
7	Rwe Ag-Sponsored Adr	11/28/2005	\$68 80	\$481 60	4/21/2010	\$84 40	\$590 79	\$109 19
25	Schlumberger Ltd	11/13/2007	\$93 54	\$2,338 49	4/21/2010	\$67 75	\$1,693 72	(\$644 77)
88	Sei Investments Co	4/12/2006	\$20 01	\$1,760 77	4/21/2010	\$23 74	\$2,089 17	\$328 40
12	Statoil Asa	10/2/2007	\$33 86	\$406 27	4/21/2010	\$24.44	\$293 27	(\$113 00)
12	Tokio Marine Hldgs Inc	10/21/2008	\$34 28	\$411 35	4/21/2010	\$30 55	\$366 59	(\$44 76)
9	Total S A	11/28/2005	\$61 91	\$557 19	4/21/2010	\$57 75	\$519 74	(\$37 45)
15	Turkcell Iletisim	6/1/2007	\$16 96	\$254 38	4/21/2010	\$15 56	\$233 39	(\$20 99)
20	Unilever Plc	10/14/2008	\$24 68	\$493 54	4/21/2010	\$29 96	\$599 18	\$105 64
23	Vale S A	11/28/2005	\$9 60	\$220 80	4/21/2010	\$27 69	\$636 85	\$416 05
1	Visa Inc	2/2/2009	\$49 49	\$49 49	4/21/2010	\$92 92	\$92 92	\$43 43
10	Waste Management Inc Del	11/28/2005	\$30 26	\$302 60	4/21/2010	\$35.14	\$351 39	\$48 79
7	Yum Brands Inc	10/29/2008	\$29.14	\$204 01	4/21/2010	\$42 90	\$300 29	\$96 28
9	Zunch Financial Services	12/8/2008	\$20 71	\$186 35	4/21/2010	\$23 40	\$210.59	\$24 24
109	Corinthian Colleges Inc	10/28/2008	\$11 83	\$1,289 90	5/4/2010	\$15 03	\$1,638 65	\$348 75
165	Gilead Sciences Inc	11/13/2007	\$42 63	\$7,033 62	5/7/2010	\$38 66	\$6,379 31	(\$654 31)
7	Wal-Mart Stores Inc	7/22/2008	\$59 00	\$412 97	5/11/2010	\$52.55	\$367.85	(\$45 12)
31	Wal-Mart Stores Inc	6/10/2008	\$59 95	\$1,858 45	5/11/2010	\$52 55	\$1,629 07	(\$229.38)
74	Wal-Mart Stores Inc	4/30/2008	\$58 78	\$4,349 64	5/11/2010	\$52.55	\$3,888 74	(\$460 90)
118	Banco Santander S A	1/13/2009	\$8 69	\$1,025 34	5/12/2010	\$11 71	\$1,381 48	\$356 14
179	Banco Santander S A	6/19/2008	\$18 81	\$3,366 99	5/12/2010	\$11 71	\$2,095 64	(\$1,271 35)
417	Banco Santander S A	11/28/2005	\$12 84	\$5,354 28	5/12/2010	\$11 71	\$4,882 02	(\$472 26)
39	Procter & Gamble Co	11/13/2007	\$71 27	\$2,779 53	5/12/2010	\$63 01	\$2,457.34	(\$322 19)
42	Gilead Sciences Inc	1/13/2009	\$48 76	\$2,047 84	5/17/2010	\$38 16	\$1,602 71	(\$445 13)
76	Gilead Sciences Inc	11/13/2007	\$42 63	\$3,239 73	5/17/2010	\$38 16	\$2,900 15	(\$339 58)
0	Noble Corporation	12/19/2008	\$0 04	\$5 76	5/20/2010	\$0 04	\$5.76	\$0 00
59	Ecolab Inc	11/11/2008	\$32 26	\$1,903 21	5/26/2010	\$46 73	\$2,757 12	\$853 91
23	Laboratory Corp Amer Hldgs	11/13/2007	\$68 65	\$1,578 95	6/1/2010	\$75 68	\$1,740 67	\$161 72
5	Martin Marietta Materials Inc	12/7/2007	\$133 80	\$669 00	6/1/2010	\$92 97	\$464 83	(\$204 17)
11	Martin Marietta Materials Inc	10/12/2007	\$139 12	\$1,530 31	6/1/2010	\$92 97	\$1,022 62	(\$507 69)
12	Martin Marietta Materials Inc	11/13/2007	\$122 47	\$1,469 64	6/1/2010	\$92 97	\$1,115 59	(\$354 05)
4	Abb Ltd	9/6/2007	\$24 04	\$96 16	6/2/2010	\$17 14	\$68 55	(\$27 61)
1	Agrium Inc	2/15/2007	\$38 82	\$38 82	6/2/2010	\$55 16	\$55 16	\$16 34
2	Americredit Corp	5/20/2009	\$10 93	\$21 86	6/2/2010	\$20 91	\$41 81	\$19 95
10	Apple Inc	2/6/2008	\$127 15	\$1,271 48	6/2/2010	\$262 78	\$2,627.79	\$1,356 31
3	Astrazeneca Plc	7/25/2008	\$46 82	\$140.46	6/2/2010	\$43 50	\$130 49	(\$9 97)
7	Atlas Copco Ab-Sponsored	7/24/2007	\$16 85	\$117 95	6/2/2010	\$13 27	\$92 88	(\$25 07)
5	Barclays Plc-Adr	5/13/2009	\$14 88	\$74.41	6/2/2010	\$17 52	\$87 59	\$13 18
4	Bed Bath & Beyond Inc	11/4/2008	\$24 72	\$98 88	6/2/2010	\$45 00	\$179 99	\$81 11
3	Boc Hong Kong Holdings	6/19/2008	\$51 60	\$154 80	6/2/2010	\$44 75	\$134 24	(\$20 56)
9	British American Tobacco	11/28/2005	\$43 98	\$395 82	6/2/2010	\$62 25	\$560.24	\$164 42
3	Brown Forman Corp-CI B	1/31/2008	\$50 89	\$152 68	6/2/2010	\$56 67	\$170 00	\$17 32

29	Cameron International	11/19/2007	\$45 01	\$1,305 29	6/2/2010	\$34 17	\$990 97	(\$314.32)
28	Cameron International	12/20/2007	\$46 49	\$1,301 58	6/2/2010	\$34 17	\$956 79	(\$344 79)
6	Carmax Inc	6/20/2008	\$15 67	\$94 02	6/2/2010	\$21 80	\$130 79	\$36 77
9	Carmax Inc	5/1/2009	\$12 66	\$113 98	6/2/2010	\$21 80	\$196 19	\$82 21
2	Central European Distr Corp	4/20/2009	\$17 81	\$35 62	6/2/2010	\$25 28	\$50 55	\$14 93
2	Charles Schwab Corp New	4/11/2008	\$18 37	\$36 74	6/2/2010	\$16 32	\$32 63	(\$4 11)
77	Charles Schwab Corp New	4/21/2008	\$21 20	\$1,632 34	6/2/2010	\$16 32	\$1,256 62	(\$375 72)
10	Coca Cola Co	11/13/2007	\$60 79	\$607 90	6/2/2010	\$52 19	\$521 89	(\$86 01)
4	Colgate Palmolive Co	11/13/2007	\$77 07	\$308 28	6/2/2010	\$78 01	\$312 03	\$3 75
43	Copart Inc	10/10/2008	\$35 75	\$1,537 05	6/2/2010	\$36 15	\$1,554 44	\$17 39
6	Costco Wholesale Corp-New	1/13/2009	\$48 91	\$293 44	6/2/2010	\$58 76	\$352 56	\$59 12
2	Dbx Group Holdings Ltd	11/28/2005	\$38 45	\$76 90	6/2/2010	\$38 65	\$77 29	\$0 39
2	Desarrolladora Homex S A De	4/20/2009	\$21 92	\$43 83	6/2/2010	\$26 09	\$52 17	\$8 34
1	Diageo Plc-Sponsored ADR	1/13/2009	\$54 66	\$54 66	6/2/2010	\$63 10	\$63 10	\$8 44
1	E On Ag	12/22/2006	\$43 89	\$43 89	6/2/2010	\$30 39	\$30 39	(\$13 50)
1,083 67	Eaton Vance Strategic Income	11/13/2007	\$7 99	\$8,658 48	6/2/2010	\$8 13	\$8,810 20	\$151 72
8	Emerson Electric Co	11/13/2007	\$55 33	\$442 64	6/2/2010	\$46 57	\$372 55	(\$70 09)
7	Expeditors International Of	7/25/2008	\$37 55	\$262 84	6/2/2010	\$38 45	\$269 14	\$6 30
7	Fluor Corp New	1/13/2009	\$46 15	\$323 04	6/2/2010	\$45 35	\$317 44	(\$5 60)
9	Fujifilm Holdings	1/6/2009	\$22 48	\$202 34	6/2/2010	\$29 28	\$263 51	\$61 17
2	Glaxosmithkline Plc	11/13/2008	\$36 23	\$72 45	6/2/2010	\$34 67	\$69 33	(\$3 12)
1	Google Inc	11/13/2007	\$658 94	\$658 94	6/2/2010	\$492 80	\$492 80	(\$166 14)
2	Green Mountain Coffee Roaste	5/21/2008	\$9 03	\$18 06	6/2/2010	\$23 92	\$47 83	\$29 77
34	Hewlett Packard Co	11/13/2007	\$49 39	\$1,679 26	6/2/2010	\$47 08	\$1,600 74	(\$78 52)
3	Honda Motor Co Ltd-ADR New	11/28/2005	\$28 50	\$85 50	6/2/2010	\$30 20	\$90 59	\$5 09
6	Idea Corp	10/12/2007	\$38 32	\$229 91	6/2/2010	\$30 51	\$183 05	(\$46 86)
124	Ishares Trust Russell 1000	11/28/2005	\$69 84	\$8,660 16	6/2/2010	\$57 47	\$7,126 15	(\$1,534 01)
4	Jacobs Engineering Group Inc	9/22/2008	\$62 64	\$250 58	6/2/2010	\$42 06	\$168 23	(\$82 35)
446 941	Jpmorgan Tr I	6/19/2008	\$19 45	\$8,693 00	6/2/2010	\$17 89	\$7,995 78	(\$697.22)
83	Juniper Networks	2/15/2008	\$26 22	\$2,176 09	6/2/2010	\$25 16	\$2,088.25	(\$87 84)
22	Keppel Corp Ltd	11/28/2005	\$7 03	\$154 55	6/2/2010	\$12 16	\$267.51	\$112 96
1	Laboratory Corp Amer Hldgs	11/13/2007	\$68 65	\$68 65	6/2/2010	\$76 44	\$76 44	\$7 79
8	Leucadia National Corp	6/10/2008	\$50 73	\$405 83	6/2/2010	\$21 35	\$170 79	(\$235 04)
4	Liberty Global Inc	11/13/2007	\$34 15	\$136 60	6/2/2010	\$25 99	\$103 95	(\$32 65)
1	Markel Corp Holding Co	11/28/2005	\$317 95	\$317 95	6/2/2010	\$346 99	\$346 99	\$29 04
1	Martin Marietta Materials Inc	12/7/2007	\$133 80	\$133 80	6/2/2010	\$93 30	\$93 30	(\$40 50)
15	McDonalds Corp	11/13/2007	\$57 04	\$855 60	6/2/2010	\$67 57	\$1,013.53	\$157.93
15	Mitsubishi Ufj Finl Group	3/18/2008	\$8 64	\$129 55	6/2/2010	\$4 87	\$73 07	(\$56 48)
19	Nelnet Inc	2/24/2009	\$11 33	\$215 33	6/2/2010	\$19 23	\$365 36	\$150.03
5	Nestle SA-Sponsored ADR	11/13/2007	\$48 19	\$240 97	6/2/2010	\$46 73	\$233 64	(\$7 33)
1	Nike Inc-CL B	6/5/2008	\$69 86	\$69 86	6/2/2010	\$72 63	\$72 63	\$2 77
4	Novartis AG-Sponsored ADR	11/28/2005	\$53 00	\$212 00	6/2/2010	\$45 84	\$183.35	(\$28 65)
1	Oil Co Lukoil-Sponsored ADR	5/21/2009	\$48 62	\$48 62	6/2/2010	\$50 09	\$50 09	\$1 47
10	Pepsico Inc	11/13/2007	\$73 08	\$730 80	6/2/2010	\$63 17	\$631 70	(\$99.10)
2	Petroleo Brasileiro SA	11/28/2005	\$15.01	\$30 02	6/2/2010	\$32 00	\$63 99	\$33 97
1	Petroleo Brasileiro SA	11/13/2007	\$44 30	\$44 30	6/2/2010	\$32 00	\$32 00	(\$12 30)
44	Qualcomm Inc	11/13/2007	\$38 87	\$1,710 21	6/2/2010	\$35 91	\$1,580 05	(\$130 16)
2	Roche Holding Ltd	11/21/2007	\$45 90	\$91 80	6/2/2010	\$35 45	\$70 89	(\$20.91)
5	Royal Kpn NV	1/24/2007	\$15 02	\$75 11	6/2/2010	\$13 10	\$65 49	(\$9 62)
2	RWE AG-Sponsored ADR	11/28/2005	\$68 80	\$137 60	6/2/2010	\$71 95	\$143 89	\$6 29
2	Sanofi Aventis	9/16/2008	\$34 31	\$68 62	6/2/2010	\$30 47	\$60 93	(\$7 69)
62	Schlumberger Ltd	11/13/2007	\$93.54	\$5,799 45	6/2/2010	\$56 38	\$3,495 51	(\$2,303 94)
9	Sei Investments Co	4/12/2006	\$20 01	\$180 08	6/2/2010	\$21 12	\$190 07	\$9 99
1	StatOil ASA	10/2/2007	\$33 86	\$33 86	6/2/2010	\$20 51	\$20 51	(\$13 35)
4	Sterilite Industries India	5/13/2009	\$9 25	\$37 02	6/2/2010	\$13 85	\$55 39	\$18 37
4	Tokio Marine Hldgs Inc	10/21/2008	\$34 28	\$137 12	6/2/2010	\$27 64	\$110 55	(\$26 57)
3	Total S A	11/28/2005	\$61 91	\$185 73	6/2/2010	\$47 02	\$141 05	(\$44 68)
10	Turkcell Iletisim	6/1/2007	\$16 96	\$169 58	6/2/2010	\$13 49	\$134 85	(\$34 73)
5	Unilever Plc	10/14/2008	\$24 68	\$123 39	6/2/2010	\$27 71	\$138 54	\$15 15
4	Vale S A	11/28/2005	\$9 60	\$38 40	6/2/2010	\$23 54	\$94 15	\$55 75
6	Wal-Mart Stores Inc	7/22/2008	\$59 00	\$353 97	6/2/2010	\$51 49	\$308 94	(\$45 03)
9	Waste Management Inc Del	11/28/2005	\$30 26	\$272 34	6/2/2010	\$32 51	\$292 58	\$20 24
10	Yum Brands Inc	10/29/2008	\$29 14	\$291 44	6/2/2010	\$41 15	\$411 49	\$120 05
4	Zurich Financial Services	12/8/2008	\$20 71	\$82 82	6/2/2010	\$20 74	\$82 95	\$0 13
49	Schlumberger Ltd	11/13/2007	\$93 54	\$4,583 44	6/8/2010	\$55 29	\$2,709 16	(\$1,874 28)
<b>Total</b>				<b>\$775,781.23</b>			<b>\$732,033.17</b>	<b>(\$43,748.05)</b>

2009 TOTAL

\$1,163,603.50

\$1,145,639.23

(\$75,935.06)



# Account Statement

WOCKNER FOUNDATION TRUST AGTR 40-10-424-1479060

July 1, 2009 - June 30, 2010

## Realized Gain/Loss from Sales

Description	Settle date	Trade date	Units sold	Tax cost	Proceeds	Short-term gain/loss	Long-term gain/loss
CISCO SYS INC	10/7	10/2	-500.00	-\$15,740.25	\$11,369.70	\$0.00	-\$4,350.55
ECOLAB INC	10/7	10/2	-275.00	-14,239.50	12,368.19	0.00	-1,871.31
JOHNSON & JOHNSON	10/7	10/2	-750.00	-48,781.50	44,640.35	0.00	-4,141.15
PRAXAIR INC	10/7	10/2	-195.00	-16,525.47	15,483.65	0.00	-1,041.82
PROCTER & GAMBLE CO	10/7	10/2	-300.00	-22,096.31	16,973.56	0.00	-5,122.75
STRYKER CORP	10/7	10/2	-525.00	-35,133.25	23,170.64	0.00	-11,962.61
AFLAC INC	10/19	10/14	-525.00	-27,508.01	24,065.38	0.00	-3,442.63
COLGATE PALMOLIVE CO	10/19	10/14	-130.00	-9,584.33	10,252.83	0.00	668.50
FPL GROUP INC	10/19	10/14	-525.00	-34,896.75	27,960.78	0.00	-6,935.97
ITT CORP	10/19	10/14	-800.00	-50,851.49	42,846.89	0.00	-8,004.60
IDEX CORP	10/19	10/14	-400.00	-14,676.00	11,639.70	0.00	-3,036.30
MICROSOFT CORP	10/19	10/14	-500.00	-17,950.00	13,054.66	0.00	-4,895.34
NOVARTIS AG	10/19	10/14	-300.00	-16,314.00	15,251.60	0.00	-1,062.40
PEPSICO INC	10/19	10/14	-420.00	-29,228.50	25,806.74	0.00	-3,621.76
PROCTER & GAMBLE CO	10/19	10/14	-400.00	-26,190.48	22,947.40	0.00	-5,243.08
GENZYME CORP GENL DIVISION	1/5	12/30	-200.00	-13,454.40	9,925.74	0.00	-3,528.66
PEPSICO INC	1/5	12/30	-350.00	-23,082.19	21,422.94	0.00	-1,659.25
STERICYCLE INC	1/5	12/30	-200.00	-10,664.00	11,056.21	0.00	392.21
UNITED TECHNOLOGIES CORP	1/5	12/30	-200.00	-15,054.00	14,046.63	0.00	-1,007.37
APPLE INC	5/7	5/4	-50.00	-5,157.14	12,914.96	0.00	7,757.82
BANK OF NEW YORK MELLON CORP	5/7	5/4	-750.00	-19,922.03	22,852.11	0.00	2,930.08
ECOLAB INC	5/7	5/4	-250.00	-10,740.00	12,112.32	0.00	1,372.32
STERICYCLE INC	5/7	5/4	-200.00	-9,795.88	11,429.80	0.00	1,633.92
QUALCOMM INC	6/21	6/16	-722.00	-28,253.10	25,316.50	0.00	-2,936.60
QUALCOMM INC	6/21	6/16	-3.00	-110.61	105.21	0.00	-5.40
JPMORGAN US LARGE CAP CORE PLUS	6/28	6/25	-20,957.98	-407,632.81	367,163.90	0.00	-40,448.91
<b>Total Realized Gain/Loss from Sales</b>				<b>-\$925,582.00</b>	<b>\$826,018.39</b>	<b>\$0.00</b>	<b>-\$99,563.61</b>

This is for informational purposes only and is not tax advice. Please see your tax advisor for tax advice.



- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

<b>Part II</b>		<b>Additional (Not Automatic) 3-Month Extension of Time.</b> Only file the original (no copies needed).	
<b>Type or print</b>  File by the extended due date for filing your return. See instructions.	Name of exempt organization		Employer identification number
	WOCKNER FOUNDATION		42-1624231
	Number, street, and room or suite no. If a P.O. box, see instructions. 601 UNION ST. SUITE 2700		
City, town or post office, state, and ZIP code. For a foreign address, see instructions. SEATTLE, WA 98101-2329			

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of **HAGEN, KURTH, PERMAN & CO P.S. - 601 UNION ST., STE. 2700 - SEATTLE, WA 98101**  
Telephone No. **206-682-9200** FAX No. **206-292-9272**
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.
- 4 I request an additional 3-month extension of time until **MAY 15, 2011**
- 5 For calendar year \_\_\_\_\_ , or other tax year beginning **JUL 1, 2009** , and ending **JUN 30, 2010**
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return  
☐ Change in accounting period
- 7 State in detail why you need the extension  
**ADDITIONAL TIME IS NEEDED TO GATHER THE INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN.**

<b>8a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>8a</b>	\$	975.
<b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	<b>8b</b>	\$	3,250.
<b>c</b> <b>Balance due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	<b>8c</b>	\$	0.

**Signature and Verification**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature \_\_\_\_\_ Title \_\_\_\_\_ Date \_\_\_\_\_

Form 8868 (Rev. 1-2011)