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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning 07/01/09 , and ending 06/30/10

G Check all that apply [ ] Initial return [ ] Initial return of a former public charity [ ] Final return
[ ] Amended return [ ] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
KAINZ FAMILY FOUNDATION

Number and street (or P O box number if mail is not delivered to street address) C/O KRD, 1101 PERIMETER DRIVE — Room/suite 760

City or town, state, and ZIP code
SCHAUMBURG IL 60173

A Employer identification number
36-4394506

B Telephone number (see page 10 of the instructions)
847-240-1040

C If exemption application is pending, check here ▶ [ ]

D 1. Foreign organizations, check here ▶ [ ]

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

H Check type of organization [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 3,867,594

J Accounting method [X] Cash [ ] Accrual
[ ] Other (specify)
(Part I, column (d) must be on cash basis)

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ [X] if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	65,744	65,744		
	4	Dividends and interest from securities	39,324	39,324		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	52,513			
	b	Gross sales price for all assets on line 6a 1,940,302				
	7	Capital gain net income (from Part IV, line 2)		52,513		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns & allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	157,581	157,581	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule) STMT 1	21,093	21,093		
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions) STMT 2	1,775	1,775		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (att. sch) STMT 3	40,686	40,686		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	63,554	63,554		0
	25	Contributions, gifts, grants paid	204,300			204,300
	26	**Total expenses and disbursements.** Add lines 24 and 25	267,854	63,554	0	204,300
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-110,273			
	b	**Net investment income** (if negative, enter -0-)		94,027		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Line	Description	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets		1	Cash—non-interest-bearing	14,227	39,529	39,529
		2	Savings and temporary cash investments			
		3	Accounts receivable ▶			
			Less allowance for doubtful accounts ▶			
		4	Pledges receivable ▶			
			Less allowance for doubtful accounts ▶			
		5	Grants receivable			
		6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
		7	Other notes and loans receivable (att schedule) ▶			
			Less allowance for doubtful accounts ▶			
		8	Inventories for sale or use			
		9	Prepaid expenses and deferred charges			
		10a	Investments—U S and state government obligations (attach schedule)			
		b	Investments—corporate stock (attach schedule)			
		c	Investments—corporate bonds (attach schedule)			
		11	Investments—land, buildings, and equipment basis ▶			
			Less accumulated depreciation (attach sch) ▶			
		12	Investments—mortgage loans			
		13	Investments—other (attach schedule) SEE STATEMENT 4	3,762,885	3,629,070	3,826,094
		14	Land, buildings, and equipment basis ▶			
			Less accumulated depreciation (attach sch) ▶			
		15	Other assets (describe ▶ SEE STATEMENT 5)	3,731	1,971	1,971
		16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	3,780,843	3,670,570	3,867,594
Liabilities		17	Accounts payable and accrued expenses			
		18	Grants payable			
		19	Deferred revenue			
		20	Loans from officers, directors, trustees, and other disqualified persons			
		21	Mortgages and other notes payable (attach schedule)			
		22	Other liabilities (describe ▶)			
		23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances			**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
		24	Unrestricted			
		25	Temporarily restricted			
		26	Permanently restricted			
			Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
		27	Capital stock, trust principal, or current funds	5,000,000	5,000,000	
		28	Paid-in or capital surplus, or land, bldg , and equipment fund			
		29	Retained earnings, accumulated income, endowment, or other funds	-1,219,157	-1,329,430	
		30	**Total net assets or fund balances** (see page 17 of the instructions)	3,780,843	3,670,570	
		31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	3,780,843	3,670,570	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,780,843
2	Enter amount from Part I, line 27a	2	-110,273
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	3,670,570
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,670,570

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE ATTACHED - NATL BANK & TRUST	P	VARIOUS	VARIOUS
b	SEE ATTACHED - NATL BANK & TRUST	P	VARIOUS	VARIOUS
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	710,423		684,991	25,432
b	1,229,879		1,202,798	27,081
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				25,432
b				27,081
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	52,513
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	25,432

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	178,720	3,730,798	0.047904
2007	479,395	4,661,375	0.102844
2006	240,200	4,592,637	0.052301
2005	239,317	4,521,707	0.052926
2004	475,042	4,662,625	0.101883

2 Total of line 1, column (d)	2	0.357858
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.071572
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	3,463,318
5 Multiply line 4 by line 3	5	247,877
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	940
7 Add lines 5 and 6	7	248,817
8 Enter qualifying distributions from Part XII, line 4	8	204,300

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	1,881
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	1,881
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	1,881
6	Credits/Payments				
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	1,971		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	1,971
8	Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	90
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 90 **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities (continued)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ NONE

14 The books are in care of ▶ BRUCE ROBBINS, CPA Telephone no ▶ 847-240-1040
1101 PERIMETER DRIVE
Located at ▶ SCHAUMBURG, IL ZIP+4 ▶ 60173

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	N/A	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 6				

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶	

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	3,513,819
b	Average of monthly cash balances	1b	2,240
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	3,516,059
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	3,516,059
4	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	52,741
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,463,318
6	**Minimum investment return.** Enter 5% of line 5	6	173,166

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	173,166
2a	Tax on investment income for 2009 from Part VI, line 5 — 2a 1,881		
b	Income tax for 2009 (This does not include the tax from Part VI) — 2b		
c	Add lines 2a and 2b	2c	1,881
3	Distributable amount before adjustments Subtract line 2c from line 1	3	171,285
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	171,285
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	171,285

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	204,300
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	204,300
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	204,300

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

			(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7					171,285
2	Undistributed income, if any, as of the end of 2009					
a	Enter amount for 2008 only					
b	Total for prior years 20 ____ , 20 ____ , 20 ____					
3	Excess distributions carryover, if any, to 2009					
a	From 2004	159,657				
b	From 2005	15,567				
c	From 2006	15,184				
d	From 2007	248,264				
e	From 2008					
f	**Total** of lines 3a through e		438,672			
4	Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 204,300					
a	Applied to 2008, but not more than line 2a					
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)					
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions)					
d	Applied to 2009 distributable amount					171,285
e	Remaining amount distributed out of corpus		33,015			
5	Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))					
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		471,687			
b	Prior years' undistributed income Subtract line 4b from line 2b					
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions					
e	Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions					
f	Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010					0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)					
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)		159,657			
9	**Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a		312,030			
10	Analysis of line 9					
a	Excess from 2005	15,567				
b	Excess from 2006	15,184				
c	Excess from 2007	248,264				
d	Excess from 2008					
e	Excess from 2009	33,015				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 7				204,300
Total			▶ 3a	204,300
b Approved for future payment N/A				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See page 28 of the instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	65,744	
4 Dividends and interest from securities			14	39,324	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	52,513	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		157,581	0

13 **Total.** Add line 12, columns (b), (d), and (e) 13 157,581

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Joseph G. Kainz — 9/30/10 — PRESIDENT

Signature of officer or trustee — Date — Title

Paid Preparer's Use Only

Preparer's signature	Date	Check if self-employed ☐	Preparer's identifying number (see Signature on page 30 of the instructions)
Barry Roll	09/22/10		P00116320

Firm's name (or yours if self-employed), address, and ZIP code: KUTCHINS, ROBBINS & DIAMOND, LTD. 1101 PERIMETER DR., STE. 760 SCHAUMBURG, IL 60173

EIN ▶ 36-3856676

Phone no 847-240-1040

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INVESTMENT FEES	$ 21,093	$ 21,093	$	$
TOTAL	$ 21,093	$ 21,093	$ 0	$ 0

Statement 2 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FEDERAL INCOME TAX EXPENSE	$ 1,760	$ 1,760	$	$
LICENSE AND PERMITS	15	15		
TOTAL	$ 1,775	$ 1,775	$ 0	$ 0

Statement 3 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
	$	$	$	$
EXPENSES				
TELEPHONE	543	543		
TRAVEL	40,112	40,112		
BANK CHARGES	31	31		
TOTAL	$ 40,686	$ 40,686	$ 0	$ 0

Federal Statements

Statement 4 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
INVESTMENT - NAT'L BANK & TRUST	$ 3,762,885	$ 3,629,070	COST	$ 3,826,094
TOTAL	$ 3,762,885	$ 3,629,070		$ 3,826,094

Statement 5 - Form 990-PF, Part II, Line 15 - Other Assets

Description	Beginning of Year	End of Year	Fair Market Value
PREPAID TAXES	$ 3,731	$ 1,971	$ 1,971
TOTAL	$ 3,731	$ 1,971	$ 1,971

Federal Statements

Statement 6 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
JOSEPH A. KAINZ 404 E LAKE SHORE DR BARRINGTON IL 60010	DIRECTOR	0.00	0	0	0
SUSAN J. KAINZ 404 E LAKE SHORE DR BARRINGTON IL 60010	DIRECTOR	0.00	0	0	0
MICHAEL J. KAINZ 120 COOLIDGE BARRINGTON IL 60010	DIRECTOR	0.00	0	0	0
JOHN KAINZ 171 BUCKLEY ROAD BARRINGTON IL 60010	DIRECTOR	0.00	0	0	0
PATRICK J. KAINZ 5263 HAMM ROAD BELGRADE MT 59714	DIRECTOR	0.00	0	0	0

Statement 7 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Name / Address	Address / Relationship	Status	Purpose	Amount
OPERATION SMILE NORFOLK VA 23509	6435 TIDEWATER DRIVE UNRELATED	501(C)(3)	UNRESTRICTED GIFT	55,000
MAKE-A-WISH FOUNDATION CHICAGO IL 60610	640 N. LASALLE STREET, #2 UNRELATED	501(C)(3)	UNRESTRICTED GIFT	5,000
EAGLE MOUNT BOSEMAN BOSEMAN MT 59771	6901 GOLDSTEIN LANE UNRELATED	501(C)(3)	UNRESTRICTED GIFT	16,750
BIG SKY YOUTH EMPOWERMENT BOSEMAN MT 59771	PO BOX 6757 UNRELATED	501(C)(3)	UNRESTRICTED GIFT	10,000
MANAIA BOSEMAN MT 59715	405 WEST OLIVE STREET UNRELATED	501(C)(3)	UNRESTRICTED GIFT	5,000
HOSPICE OF NORTHEAST ILL BARRINGTON IL 60010	410 S. HAGER AVENUE UNRELATED	501(C)(3)	UNRESTRICTED GIFT	23,700
MIGHTY ACORNS CRYSTAL LAKE IL 60014	2112 BEHAN ROAD UNRELATED	501(C)(3)	UNRESTRICTED GIFT	10,000
CHILD'S VOICE WOOD DALE IL 60126	180 HANSEN CT. UNRELATED	501(C)(3)	UNRESTRICTED GIFT	5,000
GALLATIN VALLEY LAND TR BOSEMAN MT 59771	PO BOX 7021 UNRELATED	501(C)(3)	UNRESTRICTED GIFT	6,000
GREATER CHICAGO FOOD DEP CHICAGO IL 60632	4100 WEST ANN LURIE PLACE UNRELATED	501(C)(3)	UNRESTRICTED GIFT	5,000
MUSEUM OF THE ROCKIES BOZEMAN MT 59715	600 W. KAGY BLVD UNRELATED	501(C)(3)	UNRESTRICTED GIFT	3,100
CHICAGO ZOOLOGICAL SOC. BROOKFIELD IL 60513	3300 GOLF ROAD UNRELATED	501(C)(3)	UNRESTRICTED GIFT	10,000
GOOD SHEPHERD HOSPITAL BARRINGTON IL 60010	450 WEST HIGHWAY 22 UNRELATED	501(C)(3)	UNRESTRICTED GIFT	10,000
CLASSICS FOR KIDS CINCINNATI OH 45214	1223 CENTRAL PARKWAY UNRELATED	501(C)(3)	UNRESTRICTED GIFT	1,250
TOWER LAKES COMM. FOUNDAT WAUCONDA IL 60084	P.O. BOX 6061 UNRELATED	501(C)(3)	UNRESTRICTED GIFT	10,000
CITIZENS FOR CONSERVATION BARRINGTON IL 60010	459 WEST HIGHWAY 22 UNRELATED	501(C)(3)	UNRESTRICTED GIFT	5,000
RED FEATHER DVLPMT GROUP BOZEMAN MT 59771	P.O. BOX 907 UNRELATED	501(C)(3)	UNRESTRICTED GIFT	5,000
BOZEMAN SCULPTURE PARK BOZEMAN MT 59772	P.O. BOX 3148 UNRELATED	501(C)(3)	UNRESTRICTED GIFT	1,000

Federal Statements

Statement 7 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name / Address	Address / Relationship	Status	Purpose	Amount
ANIMAL HOUSE SHELTER, INC HUNTLEY IL 60142	13005 ERNESTI ROAD UNRELATED	501(C)(3)	UNRESTRICTED GIFT	5,000
US FUND FOR UNICEF NEW YORK NY 10038	125 MAIDEN LANE UNRELATED	501(C)(3)	UNRESTRICTED GIFT	10,000
PALATINE ROTARY ROLLING MEADOWS IL 60008	2121 PLUM GROVE RD UNRELATED	501(C)(3)	UNRESTRICTED GIFT	2,500
TOTAL				204,300

NATIONAL BANK and TRUST COMPANY

Realized Gain/Loss Report

Run on 7/1/2010 3:36:36 PM
Start Date: 07/01/2009
End Date: 06/30/2010
Administrator: David R. Van Buren 815-754-7766

Account: 1235525007
JOSEPH A. & SUSAN J KAINZ FOUNDATION

Description	Sold	Acquired	Proceeds	Cost	Gain/Loss
** SHORT TERM CAPITAL GAIN (LOSS)					
398.877 SHARES OF HARBOR INTERNATIONAL FUND INV	08/31/2009	12/10/2008	19,708.51	15,659.98	4,048.53
600 SHARES OF FMC CORP	08/31/2009	05/21/2009	28,601.39	29,779.08	1,177.69-
600 SHARES OF ISHARES S&P 500 INDEX FUND (ETF)	08/31/2009	05/07/2009	61,355.86	54,647.08	6,708.78
900 SHARES OF KROGER CO	08/31/2009	11/05/2008	19,547.50	23,966.92	4,419.42-
350 SHARES OF KROGER CO	08/31/2009	02/12/2009	7,601.81	7,349.82	251.99
800 SHARES OF LANDSTAR SYSTEM INC	08/31/2009	05/07/2009	27,863.29	31,023.25	3,159.96-
300 SHARES OF NORTHERN TRUST CORP	08/31/2009	02/12/2009	17,427.36	17,527.72	100.36
450 SHARES OF EMERSON ELECTRIC CO	08/31/2009	05/21/2009	16,492.44	14,504.18	1,988.26
600 SHARES OF FASTENAL CO COM	08/31/2009	11/05/2008	21,430.12	22,338.78	908.66
100 SHARES OF MONSANTO CO	10/06/2009	05/07/2009	7,585.88	8,602.99	1,017.11-
100 SHARES OF MONSANTO CO	10/06/2009	08/31/2009	7,585.88	8,331.00	745.12
50 SHARES OF FPL GROUP INC	10/06/2009	08/31/2009	2,702.68	2,800.99	98.31-
1300 SHARES OF ORACLE CORP	10/06/2009	11/05/2008	26,532.32	23,179.00	3,353.32
300 SHARES OF ORACLE CORP	10/06/2009	05/07/2009	6,122.84	5,486.97	635.87
250 SHARES OF WAL-MART STORES INC	10/06/2009	02/12/2009	12,382.18	11,974.97	407.21
309.544 SHARES OF DODGE & COX FUNDS INTERNATIONAL STOCK FUND #1048	11/16/2009	08/31/2009	10,196.39	9,246.08	950.31
50 SHARES OF VISA INC CLASS A SHARES	11/16/2009	10/06/2009	3,980.10	3,421.00	559.10
100 SHARES OF COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	11/16/2009	10/06/2009	4,536.89	3,932.00	604.89
50 SHARES OF GENERAL MILLS INC	11/16/2009	08/31/2009	3,365.00	2,973.43	391.57

			P	C	
300 SHARES OF EXELON CORP	11/16/2009	08/31/2009	14,214.48	15,002.97	788.49-
950 SHARES OF FAMILY DOLLAR STORES	11/16/2009	08/31/2009	28,116.43	28,708.91	592.48
650 SHARES OF ST JUDE MEDICAL INC	11/16/2009	02/12/2009	22,505.09	24,078.55	1,573.46-
100 SHARES OF JACOBS ENGINEERING GROUP INC	11/16/2009	08/31/2009	4,573.30	4,357.92	215.38
300 SHARES OF FLOWERS FOODS INC	11/16/2009	05/07/2009	6,871.50	6,773.85	97.65
300 SHARES OF FLOWERS FOODS INC	11/16/2009	08/31/2009	6,871.50	7,121.93	250.43
1050 SHARES OF STAPLES INC	02/12/2010	10/06/2009	25,357.17	24,192.00	1,165.17
350 SHARES OF FREEPORT-MCMORAN COPPER & GOLD CLASS B (SPECIAL CONDITION RIGHTS ATTACHED EX 05/03/10)	02/12/2010	11/16/2009	25,805.17	29,494.19	3,689.02
350 SHARES OF BAXTER INTERNATIONAL INC	02/12/2010	05/21/2009	19,480.57	17,150.00	2,330.57
150 SHARES OF EXELON CORP	02/12/2010	08/31/2009	6,494.92	7,501.48	1,006.56
150 SHARES OF EXELON CORP	02/12/2010	10/06/2009	6,494.91	7,308.90	813.99-
50 SHARES OF GOLDMAN SACHS GROUP INC	04/19/2010	02/12/2010	7,997.64	7,683.50	314.14
5597.371 SHARES OF DODGE & COX FUNDS INTERNATIONAL STOCK FUND #1048	04/22/2010	08/31/2009	185,776.75	167,193.48	18,583.27
302.095 SHARES OF DODGE & COX FUNDS INTERNATIONAL STOCK FUND #1048	04/22/2010	02/12/2010	10,026.53	9,053.80	972.73
77 SHARES OF VANGUARD EMERGING MARKETS (ETF)	05/18/2010	11/16/2009	3,012.96	3,223.22	210.26-
550 SHARES OF TUPPERWARE BRANDS CORP	05/18/2010	02/12/2010	25,273.46	23,980.00	1,293.46
150 SHARES OF TJX COS INC NEW	05/18/2010	08/31/2009	6,532.39	5,420.76	1,111.63
** TOTAL SHORT TERM CAPITAL GAIN (LOSS)			710,423.21	684,990.68	25,432.53
** LONG TERM CAPITAL GAIN (LOSS)					
3461.523 SHARES OF HARBOR INTERNATIONAL FUND INV	08/31/2009	08/22/2008	171,033.85	207,795.26	36,761.41
150 SHARES OF APPLE INC	08/31/2009	02/20/2007	25,125.97	12,725.99	12,399.98
100 SHARES OF FISERV INC	08/31/2009	04/27/2007	4,820.88	5,385.82	564.94
300 SHARES OF CONOCOPHILLIPS	08/31/2009	02/20/2007	13,448.30	19,623.00	6,174.70-
300 SHARES OF MCDONALDS CORP	08/31/2009	02/27/2006	16,706.60	10,617.00	6,089.60

700 SHARES OF NRG ENERGY INC NEW	08/31/2009	08/22/2008	19,110.62	27,020.00	7,909.38-
400 SHARES OF DOMINION RESOURCES INC VA	08/31/2009	03/18/2003	13,199.66	11,102.00	2,097.66
250 SHARES OF DOMINION RESOURCES INC VA	08/31/2009	09/22/2003	8,249.79	7,651.25	598.54
400 SHARES OF GOODRICH CORP COM	08/31/2009	02/20/2007	21,974.24	20,516.00	1,458.24
50000 UNITS OF MERRILL LYNCH & CO SR UNSECURED SERIES MTNC DTD 09/10/2004 4.125% 09/10/2009	09/10/2009	12/10/2004	50,000.00	50,000.00	0.00
50000 UNITS OF GILLETTE CO SR NOTE DTD 08/30/04 3.8% 09/15/2009	09/15/2009	12/10/2004	50,000.00	50,000.00	0.00
150 SHARES OF MONSANTO CO	10/06/2009	05/28/2008	11,378.82	18,706.50	7,327.68-
100 SHARES OF MONSANTO CO	10/06/2009	06/13/2008	7,585.87	13,644.00	6,058.13-
450 SHARES OF FPL GROUP INC	10/06/2009	06/17/2005	24,324.13	18,666.00	5,658.13
400 SHARES OF WAL-MART STORES INC	10/06/2009	03/04/2008	19,811.49	19,987.68	176.19-
300 SHARES OF HEALTH CARE REIT INC	10/06/2009	05/28/2008	12,842.90	14,336.85	1,493.95-
473.202 SHARES OF ROYCE VALUE FUND INVESTOR CLASS	11/16/2009	08/22/2008	4,694.16	5,295.13	600.97-
50 SHARES OF UNITED TECHNOLOGIES CORP	11/16/2009	02/26/2002	3,437.23	1,781.50	1,655.73
300 SHARES OF MICROSOFT CORP	11/16/2009	09/22/2003	8,906.77	8,787.00	119.77
50 SHARES OF DANAHER CORP	11/16/2009	12/16/2003	3,676.94	2,239.25	1,437.69
150 SHARES OF AMERICAN TOWER CORP CL A	11/16/2009	06/13/2008	6,026.86	6,381.00	354.14-
50 SHARES OF ABBOTT LABORATORIES	11/16/2009	12/16/2003	2,667.93	2,155.99	511.94
100 SHARES OF CISCO SYSTEMS INC	11/16/2009	10/18/2001	2,395.94	1,608.61	787.33
50 SHARES OF COLGATE-PALMOLIVE CO	11/16/2009	08/22/2008	4,108.00	3,847.50	260.50
150 SHARES OF LUBRIZOL CORP	11/16/2009	08/22/2008	11,396.34	7,789.14	3,607.20
150 SHARES OF EXXON MOBIL CORP	11/16/2009	12/16/2003	11,162.71	5,790.00	5,372.71
50 SHARES OF THERMO FISHER SCIENTIFIC INC	11/16/2009	06/13/2008	2,262.95	2,907.50	644.55-
350 SHARES OF NOBLE CORPORATION (ISIN #CH0033347318 SEDOL #B65Z9D7)	11/16/2009	02/20/2007	15,562.14	12,083.75	3,478.39
250 SHARES OF ADOBE SYSTEMS INCORPORATED	11/16/2009	04/27/2007	9,217.26	10,747.05	1,529.79-
100 SHARES OF	11/16/2009	03/03/2008	4,382.89	4,117.88	265.01

HEALTH CARE REIT INC					
50 SHARES OF NIKE INC CL B	11/16/2009	08/22/2008	3,276.42	3,054.50	221.92
100 SHARES OF PROCTER & GAMBLE CO	11/16/2009	05/11/2001	6,225.85	3,265.94	2,959.91
100 SHARES OF NORFOLK SOUTHERN CORP	11/16/2009	11/28/2005	5,185.88	4,403.00	782.88
100 SHARES OF STRYKER CORP	11/16/2009	02/26/2002	4,951.02	2,998.73	1,952.29
50 SHARES OF CHUBB CORP	11/16/2009	04/23/2007	2,552.93	2,721.50	168.57-
50 SHARES OF PEPSICO INC	11/16/2009	05/11/2001	3,109.94	2,299.00	810.94
300 SHARES OF NUCOR CORP	11/16/2009	03/04/2008	12,656.22	20,018.40	7,362.18-
400 SHARES OF NUCOR CORP	11/16/2009	08/22/2008	16,874.97	20,500.00	3,625.03-
500 SHARES OF FISERV INC	11/16/2009	04/27/2007	24,200.12	26,929.10	2,728.98-
150 SHARES OF FISERV INC	11/16/2009	11/05/2008	7,260.04	5,182.49	2,077.55
400 SHARES OF JACOBS ENGINEERING GROUP INC	11/16/2009	02/27/2006	18,293.21	17,568.00	725.21
100 SHARES OF JACOBS ENGINEERING GROUP INC	11/16/2009	04/27/2007	4,573.30	5,162.33	589.03-
200 SHARES OF JACOBS ENGINEERING GROUP INC	11/16/2009	11/05/2008	9,146.61	7,033.36	2,113.25
850 SHARES OF FLOWERS FOODS INC	11/16/2009	11/05/2008	19,469.26	23,876.50	4,407.24-
50000 UNITS OF CREDIT SUISSE FIRST BOSTON USA INC NOTE DTD 12/15/04 4.125% 01/15/2010	01/15/2010	02/18/2005	50,000.00	50,000.00	0.00
.123 SHARES OF VANGUARD EMERGING MARKETS (ETF)	01/22/2010	11/28/2005	4.94	3.57	1.37
3995.937 SHARES OF ROYCE VALUE FUND INVESTOR CLASS	02/12/2010	08/22/2008	39,679.65	44,714.53	5,034.88-
2356.962 SHARES OF ROYCE PREMIER FUND INVESTMENT CLASS #265	02/12/2010	08/22/2008	38,017.79	42,472.46	4,454.67-
215.451 SHARES OF VANGUARD EMERGING MARKETS (ETF)	02/12/2010	08/02/2004	8,277.52	3,902.89	4,374.63
417.762 SHARES OF VANGUARD EMERGING MARKETS (ETF)	02/12/2010	09/01/2004	16,050.21	8,000.00	8,050.21
216.787 SHARES OF VANGUARD EMERGING MARKETS (ETF)	02/12/2010	11/28/2005	8,328.85	6,284.02	2,044.83
200 SHARES OF CONSTELLATION ENERGY GROUP INC	02/12/2010	06/13/2008	6,506.94	17,080.00	10,573.06-
200 SHARES OF CONSTELLATION ENERGY GROUP INC	02/12/2010	08/22/2008	6,506.93	13,412.00	6,905.07-
50000 UNITS OF STANLEY WORKS NOTE DTD 08/25/03 4.9% 11/01/2012	03/18/2010	03/10/2008	53,675.50	51,843.48	1,832.02
300 SHARES OF	04/15/2010	11/05/2008	32,397.27	22,929.00	9,468.27

WW GRAINGER INC					
50 SHARES OF GOLDMAN SACHS GROUP INC	04/19/2010	08/22/2008	7,997.64	7,857.50	140.14
50 SHARES OF GOLDMAN SACHS GROUP INC	04/19/2010	11/05/2008	7,997.64	4,419.00	3,578.64
100 SHARES OF GOLDMAN SACHS GROUP INC	04/19/2010	12/10/2008	15,995.29	6,998.00	8,997.29
25000 UNITS OF UNITED TECHNOLOGIES CORP SR NOTE DTD 04/29/05 4.375% 05/01/2010	05/01/2010	02/02/2006	25,000.00	25,000.00	0.00
179 SHARES OF TRANSOCEAN LTD (ISIN #CH0048265513 SEDOL #B3KFWW1)	04/28/2010	02/27/2006	15,118.43	12,871.89	2,246.54
50 SHARES OF TRANSOCEAN LTD (ISIN #CH0048265513 SEDOL #B3KFWW1)	04/28/2010	08/22/2008	4,223.02	6,550.50	2,327.48
100 SHARES OF TRANSOCEAN LTD (ISIN #CH0048265513 SEDOL #B3KFWW1)	04/28/2010	11/05/2008	8,446.05	7,991.00	455.05
150 SHARES OF TRANSOCEAN LTD (ISIN #CH0048265513 SEDOL #B3KFWW1)	04/28/2010	12/10/2008	12,669.07	8,467.49	4,201.58
50 SHARES OF APPLE INC	05/18/2010	02/20/2007	12,629.79	4,241.99	8,387.80
100 SHARES OF COLGATE-PALMOLIVE CO	05/18/2010	08/22/2008	8,317.78	7,695.00	622.78
723 SHARES OF VANGUARD EMERGING MARKETS (ETF)	05/18/2010	08/02/2004	28,290.51	13,097.11	15,193.40
500 SHARES OF VANGUARD EMERGING MARKETS (ETF)	05/18/2010	05/07/2009	19,564.67	14,685.00	4,879.67
100 SHARES OF APACHE CORPORATION	05/18/2010	12/13/2002	9,511.85	2,785.24	6,726.61
50 SHARES OF GOODRICH CORP COM	05/18/2010	02/20/2007	3,734.50	2,564.50	1,170.00
50 SHARES OF ABBOTT LABORATORIES	05/18/2010	06/30/2003	2,422.47	2,067.84	354.63
150 SHARES OF ABBOTT LABORATORIES	05/18/2010	12/16/2003	7,267.39	6,467.97	799.42
350 SHARES OF THERMO FISHER SCIENTIFIC INC	05/18/2010	11/06/2007	18,468.10	20,159.86	1,691.76-
50 SHARES OF THERMO FISHER SCIENTIFIC INC	05/18/2010	06/13/2008	2,638.30	2,907.50	269.20
50 SHARES OF WELLS FARGO & COMPANY	05/18/2010	05/11/2001	1,554.98	1,139.00	415.98
50 SHARES OF DANAHER CORP	05/18/2010	12/16/2003	4,189.85	2,239.25	1,950.60
100 SHARES OF STRYKER CORP	05/18/2010	05/07/2009	5,644.92	4,108.80	1,536.12
50000 UNITS OF GOLDMAN SACHS GROUP INC SR UNSECURED DTD 03/31/2003 5.25% 04/01/2013	05/21/2010	04/09/2007	51,464.00	49,520.00	1,944.00
** TOTAL LONG TERM CAPITAL GAIN (LOSS)			1,229,878.86	1,202,797.89	27,080.97

SUMMARY

Description	Amount
** SUMMARY OF CAPITAL GAINS AND LOSSES **	
TOTAL SHORT TERM CAPITAL GAIN (LOSS)	25,432.53
TOTAL SHORT TERM CAPITAL GAINS DIVIDENDS	0.00
NET SHORT TERM CAPITAL GAIN (LOSS)	25,432.53
TOTAL LONG TERM CAPITAL GAIN (LOSS)	27,080.97
TOTAL LONG TERM CAPITAL GAINS DIVIDENDS	0.00
TOTAL 28% RATE CAPITAL GAINS DIVIDENDS	0.00
TOTAL SEC 1250 CAPITAL GAINS DIVIDENDS	0.00
TOTAL SEC 1202 CAPITAL GAINS DIVIDENDS	0.00
NET LONG TERM CAPITAL GAIN (LOSS)	27,080.97
NET CAPITAL GAIN (LOSS)	52,513.50
TOTAL GAIN/LOSS FROM SALES WITH UNKNOWN COST/ACQUISITION DATE	0.00

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