



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



## Return of Private Foundation

OMB No 1545-0052

or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2009

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning JUL 1, 2009, and ending JUN 30, 2010

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions	Name of foundation <b>THE BIRMINGHAM FOUNDATION</b>		A Employer identification number <b>25-0965572</b>
	Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number <b>4124812777</b>
	<b>BRASHEAR CENTER, 2005 SARAH STREET</b>		
	City or town, state, and ZIP code <b>PITTSBURGH, PA 15203</b>		
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			C If exemption application is pending, check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ <b>19,341,977.</b> (Part I, column (d) must be on cash basis)			D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____			E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	140,361.		N/A	
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	810.	810.		
4	Dividends and interest from securities	521,647.	521,647.		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	1,852,288.			
b	Gross sales price for all assets on line 6a	7,026,621.			
7	Capital gain net income (from Part IV, line 2)		478,309.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	8,316.	1,124.		STATEMENT 1
12	Total. Add lines 1 through 11	2,523,422.	1,001,890.		
13	Compensation of officers, directors, trustees, etc	82,400.	4,120.		78,280.
14	Other employee salaries and wages	36,723.	1,836.		34,887.
15	Pension plans, employee benefits	26,240.	1,312.		24,928.
16a	Legal fees STMT 2	30,542.	22,907.		7,636.
b	Accounting fees STMT 3	13,055.	11,097.		1,958.
c	Other professional fees STMT 4	132,910.	121,100.		11,811.
17	Interest				
18	Taxes STMT 5	14,520.	534.		10,151.
19	Depreciation and depletion	5,840.	292.		
20	Occupancy	24,587.	1,229.		23,358.
21	Travel, conferences, and meetings	1,164.	58.		1,106.
22	Printing and publications	3,248.	162.		3,086.
23	Other expenses STMT 6	43,361.	3,952.		39,408.
24	Total operating and administrative expenses. Add lines 13 through 23	414,590.	168,599.		236,609.
25	Contributions, gifts, grants paid	950,930.			950,930.
26	Total expenses and disbursements. Add lines 24 and 25	1,365,520.	168,599.		1,187,539.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	1,157,902.			
b	Net investment income (if negative, enter -0-)		833,291.		
c	Adjusted net income (if negative, enter -0-)			N/A	

RIS

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	1,654,584.	1,212,997.	1,212,997.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	174,134.	162,134.	162,134.
	10a Investments - U.S. and state government obligations STMT 7	4,207,950.	4,317,007.	4,317,007.
	b Investments - corporate stock STMT 8	7,059,690.	8,067,257.	8,067,257.
	c Investments - corporate bonds STMT 9	643,946.	884,850.	884,850.
Liabilities	11 Investments - land, buildings, and equipment basis ▶	49,435.		
	Less accumulated depreciation ▶	40,804.	14,478.	8,631.
	12 Investments - mortgage loans			
	13 Investments - other STMT 10	4,109,450.	4,119,533.	4,119,533.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶ STATEMENT 11)	46,189.	569,568.	569,568.
	16 Total assets (to be completed by all filers)	17,910,421.	19,341,977.	19,341,977.
	17 Accounts payable and accrued expenses	1,500.		
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 12)	19,925.	295,079.	
	23 Total liabilities (add lines 17 through 22)	21,425.	295,079.	
Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>	24 Unrestricted	17,888,996.	19,046,898.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	17,888,996.	19,046,898.	
31 Total liabilities and net assets/fund balances	17,910,421.	19,341,977.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	17,888,996.
2 Enter amount from Part I, line 27a	2	1,157,902.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	19,046,898.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	19,046,898.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE STATEMENT 20	P		
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 7,026,621.		6,548,312.	478,309.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			478,309.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	478,309.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	}	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	1,119,919.	17,604,849.	.063614
2007	928,878.	23,029,341.	.040335
2006	1,169,313.	22,861,362.	.051148
2005	1,029,462.	21,445,362.	.048004
2004	956,740.	20,140,192.	.047504

2 Total of line 1, column (d)	2	.250605
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.050121
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	19,128,305.
5 Multiply line 4 by line 3	5	958,730.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	8,333.
7 Add lines 5 and 6	7	967,063.
8 Enter qualifying distributions from Part XII, line 4	8	1,237,539.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	8,333.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	8,333.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	8,333.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	8,930.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	8,930.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	597.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input checked="" type="checkbox"/> 597. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> PA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2009)

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► **WWW.BIRMINGHAMFOUNDATION.ORG**

14 The books are in care of ► **MARK BIBRO** Telephone no. ► **412-481-2777**  
 Located at ► **BRASHEAR CENTER 2005 SARAH STREET, PITTSBURGH, PA** ZIP+4 ► **15203**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ► ☐  
 and enter the amount of tax-exempt interest received or accrued during the year ► **15** N/A

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____, _____, _____		
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Form 990-PF (2009)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		82,400.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2009)

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NOT APPLICABLE	
	0.
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1	
SEE STATEMENT 14	50,000.
2	
All other program-related investments. See instructions.	
3	
<b>Total.</b> Add lines 1 through 3	50,000.

Form 990-PF (2009)



**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	19,201,236.
b	Average of monthly cash balances	1b	218,363.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	19,419,599.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	19,419,599.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	291,294.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	19,128,305.
6	Minimum investment return. Enter 5% of line 5	6	956,415.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	956,415.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	8,333.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	912.
c	Add lines 2a and 2b	2c	9,245.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	947,170.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	947,170.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	947,170.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,187,539.
b	Program-related investments - total from Part IX-B	1b	50,000.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,237,539.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	8,333.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,229,206.

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				947,170.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			623,100.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 1,237,539.				
a Applied to 2008, but not more than line 2a			623,100.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				614,439.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				332,731.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				



**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b> <b>SEE STATEMENT 15.1 - 15.7</b>				<b>950,930.</b>
<b>Total</b>			<b>▶ 3a</b>	<b>950,930.</b>
<b>b Approved for future payment</b>  <b>NONE</b>				
<b>Total</b>			<b>▶ 3b</b>	<b>0.</b>

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	810.	
4 Dividends and interest from securities			14	521,647.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	1,852,288.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a <b>PARTNERSHIP INCOME</b>		7,758.		558.	
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		7,758.		2,375,303.	0.
13 <b>Total</b> Add line 12, columns (b), (d), and (e)					2,383,061.

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

**NOT APPLICABLE**

**Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

<p><b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p><b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p><b>b</b> Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p><b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p><b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p>		<b>Yes</b>	<b>No</b>
	<b>1a(1)</b>		<b>X</b>
	<b>1a(2)</b>		<b>X</b>
	<b>1b(1)</b>		<b>X</b>
	<b>1b(2)</b>		<b>X</b>
	<b>1b(3)</b>		<b>X</b>
	<b>1b(4)</b>		<b>X</b>
	<b>1b(5)</b>		<b>X</b>
	<b>1b(6)</b>		<b>X</b>
	<b>1c</b>		<b>X</b>

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b. If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

**Sign Here**

Signature of officer or trustee

Date \_\_\_\_\_

11.11.10

▶ Excel DIR

Preparer's only	Preparer's signature <b>Mark M. Cutler, CPA</b>	Date <b>11/10/10</b>	Check if self-employed <input type="checkbox"/>	Preparer's identifying number
-----------------	---	----------------------	---	-------------------------------

**Part 1** Firm's name (or yours if self-employed), address, and ZIP code **SISTERSON & CO. LLP**  
**310 GRANT STREET SUITE 2100**  
**PITTSBURGH, PA 15219**

EIN ▶	
Phone no.	412-281-2025

Form **990-PF** (2009)

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

**2009**

Name of the organization

Employer identification number

**THE BIRMINGHAM FOUNDATION****25-0965572**

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions  
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

THE BIRMINGHAM FOUNDATION

25-0965572

**Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	TRUST UNDER WILL OF ZELDA B. OATES TWO PNC PLAZA, 620 LIBERTY AVENUE PITTSBURGH, PA 15222	\$ 140,361.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)



FORM 990-PF	OTHER INCOME		STATEMENT	1
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
PARTNERSHIP INCOME	8,316.	1,124.		
TOTAL TO FORM 990-PF, PART I, LINE 11	8,316.	1,124.		

FORM 990-PF	LEGAL FEES		STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	30,542.	22,907.		7,636.
TO FM 990-PF, PG 1, LN 16A	30,542.	22,907.		7,636.

FORM 990-PF	ACCOUNTING FEES		STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	13,055.	11,097.		1,958.
TO FORM 990-PF, PG 1, LN 16B	13,055.	11,097.		1,958.

FORM 990-PF	OTHER PROFESSIONAL FEES		STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT/ADVISORY FEES	118,299.	118,299.		0.
INVESTMENT CONSULTATION	2,000.	2,000.		0.
CONSULTING FEES	1,700.	255.		1,445.
OTHER PROFESSIONAL FEES	9,250.	463.		8,788.
PAYROLL FEES	1,661.	83.		1,578.
TO FORM 990-PF, PG 1, LN 16C	132,910.	121,100.		11,811.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PAYROLL TAXES	10,685.	534.		10,151.	
FEDERAL EXCISE TAX	3,835.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	14,520.	534.		10,151.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
EDUCATION / DUES & SUBSCRIPTIONS	6,926.	693.		6,233.	
OFFICE SUPPLIES	1,379.	69.		1,310.	
TELECOMMUNICATIONS	3,166.	158.		3,008.	
OFFICE EQUIPMENT MAINTENANCE	7,880.	394.		7,486.	
MISCELLANEOUS	4,268.	213.		4,055.	
INSURANCE	3,194.	1,597.		1,597.	
COMMUNITY INITIATIVES (STATEMENT 18)	1,592.	80.		1,512.	
DATES ESTATE FEES	13,982.	699.		13,282.	
POSTAGE AND DELIVERY	974.	49.		925.	
TO FORM 990-PF, PG 1, LN 23	43,361.	3,952.		39,408.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	7
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE *	FAIR MARKET VALUE	
JST INFL IDX AT 3.875% 4/15/29	X		1,779,006.	1,779,006.	
JST INFL IDX AT 2.125% 1/15/19	X		554,001.	554,001.	
PHLB AT 6.5% 4/1/25	X		1,984,000.	1,984,000.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			4,317,007.	4,317,007.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS					
TOTAL TO FORM 990-PF, PART II, LINE 10A			4,317,007.	4,317,007.	

\* VALUES ARE RECORDED AT FAIR MARKET VALUE

FORM 990-PF

CORPORATE STOCK

STATEMENT

8

DESCRIPTION	BOOK VALUE *	FAIR MARKET VALUE
7000 SHS BE AEROSPACE	178,010.	178,010.
9000 SHS FEDERATED INVS PA B	186,390.	186,390.
5000 SHS HEWLETT-PACKARD CO	216,400.	216,400.
5000 SHS HONEYWELL INTL	195,150.	195,150.
25000 SHS KEYCORP INC	192,250.	192,250.
2500 SHS PRECISION CASTPARTS	257,300.	257,300.
3950 SHS KRAFT FOODS, INC	110,600.	110,600.
10000 SHS GENERAL ELECTRIC COMPANY	144,200.	144,200.
10049 SHS TAIWAN SEMICONDUCTOR ADRF SPONSORED ADR	98,078.	98,078.
580 SARA LEE CORP	9,588.	9,588.
5920 SHS FRESH DEL MONTE PRODUCE	119,821.	119,821.
10000 SHS INTEL CORP	194,500.	194,500.
10000 SHS PFIZER INC	142,600.	142,600.
5000 SHS WAL-MART STORES INC	240,350.	240,350.
5000 SHS WASTE MANAGEMENT	156,450.	156,450.
2560 SHS AMGEN INC.	134,656.	134,656.
2500 SHS HERSHEY COMPANY	119,825.	119,825.
10930 SHS TELECOM CP	70,389.	70,389.
25000 SHS DELL	301,500.	301,500.
13000 SHS ALCOA	130,780.	130,780.
2210 SHS GLAXOSMITHKLINE PLC ADRF	75,162.	75,162.
3890 SHS LILLY ELI & COMPANY	130,315.	130,315.
1000 SHS PETROCHINA CO ADR	109,730.	109,730.
5000 SHS USX-MARATHON GROUP	155,450.	155,450.
25000 SHS WESTERN ASSET CLAYMORE TIP 2 FUND	307,750.	307,750.
5710 SHS DEAN FOODS CO	67,570.	67,570.
1490 SHS NOKIA CORP SPON ADR	36,594.	36,594.
1700 SHS THE SOUTHERN COMPANY	156,416.	156,416.
2920 SHS VALERO ENERGY CORP NEW	52,502.	52,502.
3370 SHS DUKE ENERGY CORP NEW	133,920.	133,920.
10000 SHS MICROSOFT CORP	230,100.	230,100.
1000 SHS TRANSOCEAN	185,320.	185,320.
2852 SHS WENDYS ARBYS GROUP INC	131,408.	131,408.
2100 SHS PROCTOR & GAMBLE	125,958.	125,958.
2000 SHS FREEPORT MCMORAN COPPER	118,260.	118,260.
2050 SHS MOSAIC COMPANY	79,909.	79,909.
2800 SHS WALT DISNEY	119,700.	119,700.
2800 SHS KBR INC	56,952.	56,952.
10000 SHS ISHARES SILVER TRUST	182,100.	182,100.
2810 SHS SMITHFIELD FOODS INC	131,269.	131,269.
2640 SHS GOLD FIELDS LTS NEW ADRF	115,517.	115,517.
2160 SHS MEMC ELECTRONIC MATERIALS	80,621.	80,621.
2660 SHS BROWN GROUP INC	81,655.	81,655.
10000 SHS WESTERN ASSET INFLATION MAMT IMF	165,900.	165,900.
2500 SHS ABB LTD ADR	95,040.	95,040.
2600 SHS AT&T CORP	159,654.	159,654.

\* VALUES ARE RECORDED AT FAIR MARKET VALUE

## THE BIRMINGHAM FOUNDATION

25-0965572

2770 SHS RALCORP HOLDINGS INC	151,796.	151,796.
4780 SHS PP&L RESOURCES INC	119,261.	119,261.
7500 SHS EBAY, INC.	147,075.	147,075.
5650 SHS ELECTRONIC ARTS INC	95,760.	95,760.
1670 SHS COSTCO WHSL CORP	91,566.	91,566.
3030 SHS FOREST LABORATORIES INC	83,113.	83,113.
30000 SHS MEVC DRAPER FISHER	387,600.	387,600.
7450 SHS ANNALY MTG MANAGEMENT	127,768.	127,768.
3500 SHS PEOPLES UNITED FINANCIAL INC	114,750.	114,750.
5000 SHS BP PLC ADR	144,400.	144,400.
2630 SHS PHILIP MORRIS INTL INC	120,559.	120,559.
TOTAL TO FORM 990-PF, PART II, LINE 10B	8,067,257.	8,067,257.

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
-------------	-----------------	-----------	---

DESCRIPTION	BOOK VALUE *	FAIR MARKET VALUE
NATIONAL CITY AT 6.875% 5/15/02	405,166.	405,166.
KEYBANK AT 5.45% 3/3/16	257,434.	257,434.
GENERAL MOTORS CP	222,250.	222,250.
TOTAL TO FORM 990-PF, PART II, LINE 10C	884,850.	884,850.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
-------------	-------------------	-----------	----

DESCRIPTION	VALUATION METHOD	BOOK VALUE *	FAIR MARKET VALUE
ANGUARD INDEX TRUST GROWTH	FMV	351,453.	351,453.
ANGUARD INDEX TRUST VALUE	FMV	348,400.	348,400.
I&Q HEALTHCARE FUND	FMV	109,400.	109,400.
ANGUARD EXT MKT INDEX FD	FMV	1,187,330.	1,187,330.
ANGUARD TOTAL INTERNATIONAL STOCK	FMV		
MKT INDEX FUND		1,711,800.	1,711,800.
DIVIDEND CAP REALTY INCOME	FMV	50,000.	50,000.
LIBERTY ALL STAR EQUITY FUND	FMV	199,000.	199,000.
JUVEEN FLTG RATE INCM FD	FMV	162,150.	162,150.
TOTAL TO FORM 990-PF, PART II, LINE 13		4,119,533.	4,119,533.

\* VALUES ARE RECORDED AT FAIR MARKET VALUE

FORM 990-PF	OTHER ASSETS		STATEMENT 11
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ACCRUED INVESTMENT INCOME	42,829.	69,043.	69,043.
REFUNDABLE FEDERAL EXCISE TAX	3,360.	525.	525.
REAL ESTATE LIMITED PARTNERSHIP	0.	450,000.	450,000.
PROGRAM RELATED INVESTMENT	0.	50,000.	50,000.
TO FORM 990-PF, PART II, LINE 15	46,189.	569,568.	569,568.

FORM 990-PF	OTHER LIABILITIES		STATEMENT 12
DESCRIPTION	BOY AMOUNT	EOY AMOUNT	
AGENCY LIABILITY	19,925.	47,732.	
NET PAYABLE TO BROKER FOR UNSETTLED PURCHASES	0.	247,347.	
TOTAL TO FORM 990-PF, PART II, LINE 22	19,925.	295,079.	

---



---

FORM 990-PF                      PART VIII - LIST OF OFFICERS, DIRECTORS                      STATEMENT 13  
    TRUSTEES AND FOUNDATION MANAGERS

---

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
DANIEL A. GOETZ (DECEASED MAY 2010) 5023 NOBLESTOWN ROAD JAKDALE, PA 15071	CHAIRMAN EMERITUS 2.00	0.	0.	0.
FLOYD R. GANASSI 1000 RIDC PLAZA, SUITE 106 PITTSBURGH, PA 15238	CHAIRMAN EMERITUS 0.00	0.	0.	0.
MIHAI MARCU 1110 S. NEGLEY AVENUE PITTSBURGH, PA 15217	VICE CHAIR 3.00	0.	0.	0.
FERRY WIRGINIS 350 WEST STATION SQUARE DRIVE PITTSBURGH, PA 15219	CHAIRMAN 3.00	0.	0.	0.
MARK S. BIBRO BRASHEAR CENTER, 2ND FLOOR 2005 SARAH STREET PITTSBURGH, PA 15203	EXEC DIR 40.00	82,400.	0.	0.
DON GORDON 37 HAMILTON AVE. WHEELING, WV 26003	TREASURER 3.00	0.	0.	0.
HUGO CHURCHILL 1437 MAPLE LANE ALLISON PARK, PA 15101	DIRECTOR 2.00	0.	0.	0.
CAREY A. HARRIS 525 SIXTH AVENUE, SUITE 1850 PITTSBURGH, PA 15219	CHAIR, GRANTS 3.00	0.	0.	0.
KENNETH MCCRORY 20 STANWIX STREET, 1 RIVERFRONT CENTER PITTSBURGH, PA 15222	DIRECTOR 2.00	0.	0.	0.
WILLIAM T. SIMMONS, ESQ. 150 HIGHLAND AVENUE PITTSBURGH, PA 15206	DIRECTOR 2.00	0.	0.	0.

EILEEN O. SMITH 514 STATE OFFICE BUILDING, 300 LIBERTY AVENUE PITTSBURGH, PA 15222	SECRETARY 3.00	0.	0.	0.
DUANE W. SWAGER II 69 S. 20TH STREET PITTSBURGH, PA 15203	ASST. TREASURER 3.00	0.	0.	0.
ROBERTA F. SMITH 408 GIFFEN AVENUE PITTSBURGH, PA 15210	DIRECTOR EMERITUS 0.00	0.	0.	0.
JANE H. ROESCH 1045 DEVON ROAD PITTSBURGH, PA 15213	DIRECTOR EMERITUS 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		82,400.	0.	0.

FORM 990-PF	SUMMARY OF PROGRAM-RELATED INVESTMENTS	STATEMENT	14
-------------	--	-----------	----

## DESCRIPTION

CONSISTED OF A REVOLVING CREDIT COMMITMENT TO AN UNRELATED ENTITY IN WHICH THE PROCEEDS WILL BE USED FOR THE ACQUISITION OF RESIDENTIAL PROPERTIES IN BLIGHTED OR DISTRESSED AREAS OF THE SOUTH PITTSBURGH COMMUNITY AND REHABILITATE THESE PROPERTIES TO TRANSFORM STREETS AND IMPROVE NEIGHBORHOODS.

AMOUNT

TO FORM 990-PF, PART IX-B, LINE 1

50,000.



# **BIRMINGHAM FOUNDATION**

**Grants Paid July 2009 - June 2010**

## **New Grants**

<b>Organization/Address</b>	<b>Ref #/Project Title</b>	<b>Purpose/Goal</b>	<b>Amount</b>	<b>Priority</b>
<b>Allegheny Cleanways</b> 33 Terminal Way Pittsburgh, PA 15219	A177 Beltzhoover Dumpsite Cleanup	Field a crew of at-risk youth to clean and beautify 16 illegal dumpsites in the Beltzhoover neighborhood.	\$20,000	Neighborhood Development
<b>Big Brothers Big Sisters</b> 5989 Penn Circle South Pittsburgh, PA 15206	A160 Mentoring Programs	Serve 54 children in South Pittsburgh through community-based mentoring, workplace mentoring at American Eagle Outfitters, and 6th grade mentoring in South Pgh schools.	\$15,000	Improve Youth Outcomes
<b>Brashear Association</b> 2005 Sarah Street Pittsburgh, PA 15203	A138 South Pittsburgh Coalition for Peace Training	Underwrite the organizational development of the South Pittsburgh Coalition for Peace and the provision of violence prevention training to service providers and community members. Provide 5 trainings on violence prevention topics in 2010.	\$22,000	Neighborhood Development
<b>Brashear Association</b> 2005 Sarah Street Pittsburgh, PA 15203	A141 Holiday Assistance	Purchase food gift certificates for holiday distributions and purchase food for Brashear's food pantries that serve low-income residents of South Pittsburgh.	\$20,000	Neighborhood Development
<b>Brashear Association</b> 2005 Sarah Street Pittsburgh, PA 15203	A167 Family Assistance Services	Funding to underwrite the maintenance of family assistance services, which include 2 food pantries at Henry Kaufmann House and Brashear Center, utility assistance services, and holiday assistance. Also, provide support for the Neighborhood Employment Center.	\$40,000	Improve Health Care Outcomes



**BIRMINGHAM FOUNDATION**  
**Grants Paid July 2009 - June 2010**

<b>Organization/Address</b>	<b>Ref. #/Project Title</b>	<b>Purpose/Goal</b>	<b>Amount</b>	<b>Priority</b>
<b>Bridging the Gaps</b> 513 Parran Hall 130 DeSoto Street Pittsburgh, PA 15213	A157 Community Health Internship Program	Funding for up to four student interns at sites in South Pittsburgh. Previous sites include COTRAIC Early Head Start and the Birmingham Free Clinic. Internship focus includes providing resources for parents of children in Early Head Start and providing Stress Reduction info for patients at Birmingham Clinic.	\$10,000	Improve Health Care Outcomes
<b>Catholic Charities Free Health Care Center</b> 212 Ninth Street Pittsburgh, PA 15222	A148 Free Health Care Center	Support free health care center that serves uninsured residents in South Pittsburgh.	\$30,000	Improve Health Care Outcomes
<b>Children's Sickle Cell Foundation</b> 226 Paul Street, Suites 105 & 106 Pittsburgh, PA 15211	A170 Infrastructural Support Project	To support a portion of general operating expenses, partial salary support (\$80,000), and support of core programs: Educational and Family Support and Learn2Swim.	\$30,000	Improve Health Care Outcomes
<b>Elder-ado</b> 320 Brownsville Road Pittsburgh, PA 15210	A135 Capital Purchase Support	Request for support towards the purchase of a new van for transporting seniors, delivering home-delivered meals, and mentoring and outreach services.	\$6,000	Improve Health Care Outcomes
<b>Girl Scouts Western PA</b> 30 Isabella Street Pittsburgh, PA 15212	A145 Uniquely Me! Program	Girls participating in the Uniquely Me! Program will develop a strong sense of self, gain practical life skills, develop healthy relationships and benefit from cooperation and team building.	\$10,000	Improve Youth Outcomes
<b>Greater Pittsburgh Community Food Bank</b> 1 N. Linden Street Duquesne, PA 15110	A147 Pantry Credit and Fresh Produce Programs	Establish grocery and produce lines of credit for Food Bank member agencies in South Pittsburgh neighborhoods, administration fees, and for the continuation of the Food Bank's Produce to People Program in the South Side of Pittsburgh, a program to purchase and distribute fresh produce into low-income communities.	\$50,000	Improve Health Care Outcomes

**BIRMINGHAM FOUNDATION**  
**Grants Paid July 2009 - June 2010**

<b>Organization/Address</b>	<b>Ref. #/Project Title</b>	<b>Purpose/Goal</b>	<b>Amount</b>	<b>Priority</b>
<b>Greater Pittsburgh Literacy Council</b> 100 Sheridan Street, 4 <sup>th</sup> Fl Pittsburgh, PA 15206	A102 Literacy Programs in South Pittsburgh	Adult literacy programs in South Pittsburgh area; estimates serving 97 people.	\$30,000	Neighborhood Development
<b>Hilltop Alliance</b> 730 E. Warrington Avenue Pittsburgh, PA 15210	A163 Strategic Planning	Funding for organizational strategic planning based on the support of a professional consultant and for building a database of vacant properties and crime rates with the technical assistance of Pitt's University Center for Social and Urban Research.	\$25,000	Neighborhood Development
<b>Hilltop Community Children's Center</b> 201 Orchard Place Pittsburgh, PA 15210	A166 Programs Support	To support program costs for South Pittsburgh pre-schools and school-aged children before and after school during the school year and full-day summer programming.	\$50,000	Improve Youth Outcomes
<b>Just Harvest Education Funds</b> 16 Terminal Way Pittsburgh, PA 15219	A152 Strengthening Economic Safety Nets	Support work to strengthen the safety nets of public food and income assistance programs for low-income households in South Pittsburgh. Free tax preparation assistance to low-income tax filers and work to assist families in applying for food stamps.	\$30,000	Improve Health Care Outcomes
<b>Lighthouse Cathedral</b> 810 Fisher Street Pittsburgh, PA 15210	A139 Lighthouse Youth Enrichment Program	Funding for an after-school program, Monday-Friday for children ages 5-14 years in the Hilltop areas of St. Clair, Allentown, Beltzhoover, Arlington, Bon Air, and Carrick. Will offer homework assistance and violence prevention programs. Will serve 50-60 children on a daily basis.	\$45,000	Improve Youth Outcomes
<b>Lighthouse Cathedral</b> 810 Fisher Street Pittsburgh, PA 15210	A155 Moms & Cops Training Conference	Provide support for Moms & Cops, a special free training focusing on helping children and families who reside in South Pgh.	\$800	Neighborhood Development
<b>Lighthouse Cathedral</b> 810 Fisher Street Pittsburgh, PA 15210	A130 South Pittsburgh Coalition for Peace Training	Discretionary funds for South Pittsburgh Coalition for Peace Training held at Urban Mt. Gathering Place.	\$250	Neighborhood Development

**BIRMINGHAM FOUNDATION**  
**Grants Paid July 2009 - June 2010**

<b>Organization/Address</b>	<b>Ref: #/Project Title</b>	<b>Purpose/Goal</b>	<b>Amount</b>	<b>Priority</b>
<b>Mentoring Partnership</b> 2934 Smallman Street Pittsburgh, PA 15201	A133 Mentoring Partnership Support	General support grant for the Mentoring Partnerships programs and services.	\$1,000	Improve Youth Outcomes
<b>Mt. Washington CDC</b> 301 Shiloh Street Pittsburgh, PA 15211	A174 Youth Programming and Trail Development	Support youth programming at REAM Recreation Center, which offers arts & crafts, intramural sports, book club, and counseling programs, including DARE. Working with Student Conservation Association, provide a green jobs program to train 15-18 year-olds and 19-24 year-olds in job preparedness skills while teaching them to construct and restore trails in the Grand View Scenic Byway Park.	\$50,000	Improve Youth Outcomes
<b>My Brother's Keeper</b> 307 Taft Avenue Pittsburgh, PA 15210	A169 Youth Development Program	The Youth Development Program will offer vulnerable, at-risk youth ages 14-18 the opportunity for training in a skilled profession, i.e. under supervision of carpenters, plumber, landscapers, etc. Youths will be exposed to different trades in a small handyman capacity, and if they show promise and interest, they will be introduced to a professional a professional business owner	\$50,000	Improve Youth Outcomes
<b>One Vision One Life</b> 564 Forbes Avenue Pittsburgh, PA 15219	A161 Violence Prevention Initiative	Use street level outreach workers - Community Coordinators - to provide both violence prevention and violence intervention services to prevent targeted violence.	\$50,000	Improve Youth Outcomes
<b>Pittsburgh Action Against Rape</b> 81 S. 19 <sup>th</sup> Street Pittsburgh, PA 15203	A168 Core Services and Programs	PAAR currently provides Adult and Child Counseling Services, 24-Hour Hotline, Emergency Medical Advocates, Legal Advocacy, and Sexual Assault prevention and Risk Reduction Education programs.	\$20,000	Improve Health Care Outcomes
<b>Pittsburgh Schmitzer Fellows Program</b> 5614 Elgin Street Pittsburgh, PA 15206	A144 Hilltop Schmitzer Fellow Project	To provide a graduate student in a health-related discipline who has been chosen as a Pittsburgh Schmitzer Fellow to work in the Hilltop community to carry out a direct service project addressing needs.	\$10,000	Improve Health Care Outcomes

# BIRMINGHAM FOUNDATION

Grants Paid July 2009 – June 2010

Organization/Address	Ref. #/Project Title	Purpose/Goal	Amount	Project
<b>Reading Is Fundamental</b> 10 Children's Way Pittsburgh, PA 15212	A140 Reading and Literacy Programs	Support programs that provide books and reading programs to over 3,300 children and families at various sites in South Pittsburgh.	\$30,000	Improve Youth Outcomes
<b>Rebuilding Together Pittsburgh</b> 631 Iron City Drive Pittsburgh, PA 15205	A165 Home Renovation and Emergency Repair Services	To provide 15 low-income seniors and disabled homeowners with emergency services through Operation Urgent Care, which provides skilled contractors to make authorized emergency repairs. Also, through Rebuilding Day projects, provide at least 5 homeowners with extensive renovation assistance, which could include bathroom renovations, replacement windows, installing ramps and assisting with handicap issues, etc.	\$20,000	Neighborhood Development
<b>Saltworks Theater</b> 569 N. Neville Street Pittsburgh, PA 15213	A180 Bullying/Drug Prevention Programs	Funding to help underwrite two plays addressing drug and alcohol use and bullying: I Am the Brother of Dragons (drug and alcohol use prevention) and Queen Bee (bullying prevention) to be performed in 9 South Pittsburgh schools to grades 4-5.	\$10,000	Improve Youth Outcomes
<b>SIDS of PA</b> 810 River Ave, Ste 250 Pittsburgh, PA 15212	A164 SIDS and Sleep Beliefs in South Pittsburgh	The goal is to explore the factors which specifically influence families' childcare practices in the South Pgh communities about SIDS and sleep beliefs, which lead to the highest number of infant sleep-related deaths in Pittsburgh. Will intensify education for SIDS reduction and safe sleep for babies with a tailored, focused intervention based on attitudes and beliefs in the South Pgh communities. Will provide pack and play portable cribs and sheets for families and will continue community outreach efforts through advertising and the town meeting format.	\$35,000	Improve Health Care Outcomes

# BIRMINGHAM FOUNDATION

## Grants Paid July 2009 – June 2010

Organization/Address	Ref #/Project Title	Purpose/Goal	Amount	Priority
<b>St. Clair Citizen Council</b> 930 Cresswell Street Pittsburgh, PA 15210	A127 St. Clair Community Day	Support for St. Clair's Community Day to be held on July 17, 2009.	\$800	Neighborhood Development
<b>Strong Women Strong Girls</b> 2934 Smallman St, 2 <sup>nd</sup> Fl Pittsburgh, PA 15201	A179 Mentoring Program	To support the work of Strong Women, Strong Girls in providing free after-school programming to girls in grades 3-5 in South Side. Current sites are Roosevelt Elementary and Hilltop United Methodist Church. A group of 10-12 girls meets one afternoon per week with 3-4 college-aged mentors.	\$15,000	Improve Youth Outcomes
<b>Student Conservation Association</b> 239 Fourth Ave, Ste 2100 Pittsburgh, PA 15222	A151 Community Conservation Crews	Support of Community Conservation Crews, which engages underserved Hilltop community youth ages 15-19 in conservation service and character-building activities.	\$30,000	Improve Youth Outcomes
<b>Tickets for Kids</b> 139 Freeport Road Pittsburgh, PA 15215	A153 Discovering our Neighborhood Program	Discovering Our Neighborhood program provides opportunities for low-income youth and families to attend arts, cultural, and sporting events.	\$5,000	Improve Youth Outcomes
<b>Venture Outdoors</b> 304 Forbes Avenue Pittsburgh, PA 15222	A143 Youth and Family Programs	To provide an extensive battery of programs and leadership development, family programs and events, as well as generalized public outdoor programs.	\$15,000	Improve Youth Outcomes
<b>Youthplaces</b> 2934 Smallman Street Pittsburgh, PA 15201	A154 Voices Against Violence In-School Programs	In-school programs at Carrick and Brashear high schools to assist at-risk youth through mentoring, parent-teacher conferences, conflict resolution, mediation, home visits, crisis intervention and suspension.	\$35,080	Improve Youth Outcomes

# BIRMINGHAM FOUNDATION

Grants Paid July 2009 - June 2010

<b>Organization/Address</b>	<b>Ref. #/Project Title</b>	<b>Purpose/Goal</b>	<b>Amount</b>	<b>Priority</b>
<b>YouthPlaces</b> 2934 Smallman Street Pittsburgh, PA 15201	A172 Voices Against Violence Summer Program	To provide South Pittsburgh children, ages 6-17, with a free, quality summer program and to provide a safe, healthy, and loving environment. Goals are to decrease unsupervised time, increase avoidance of risk-taking, reduce youth conflict, promote physical wellness, and educate youth on gun violence.	\$80,000	Improve Youth Outcomes
<b>YouthWorks</b> 401 Wood St, Ste 1500 Pittsburgh, PA 15222	A171 Youth Employment Programs	Maintain year-round neighborhood-based training, academic enrichment, and employment experiences for youth in community-based organizations (CBOs).	\$50,000	Improve Youth Outcomes
<b>Zion Christian Church</b> 2019 Brownsville Road Pittsburgh, PA 15210	A162 Carrick Food Pantry and Oasis Coffee Center	Carrick Food Pantry provides food, clothing and toiletries to qualified residents. The Oasis Coffee Center serves as a community center - partially funded by the sale of specialty coffees - and provides free internet access and computers, focusing on the at-risk youth in the community.	\$10,000	Neighborhood Development

**TOTAL: \$950,930**

## Applying for a Grant

### Letter of Intent

Interested applicants should send 1-3 page **Letter of Intent** to the Executive Director which succinctly describes the organization, the program to be funded and its objectives, how the program responds to the Foundation's mission and priorities, amount of the request and other sources of support, and evidence of 501(c)(3) tax exempt public charity status. All letters will be acknowledged.

Letters of intent are accepted at any time throughout the year.

Interviews and requests for additional information may follow and result in a request for a formal proposal. Expression of interest in a project or proposal should not be construed as an indication of forthcoming grant approval.

The Board of directors meets three times a year to act on grant proposals in **March, June, and November**. Letters of intent should be submitted 3-4 months prior to a grant cycle.

These priorities and procedures provide guidance, however, the foundation may at its discretion alter its priorities, procedures or schedule to best serve its mission. Upon grant approval, requirements for reporting, evaluation and the issuance of grant awards, will be provided by the Executive Director.

### Proposal Guidelines

The Birmingham Foundation suggests use of the **Common Grant Application Format** developed by Grantmakers of Western PA. Copies may be obtained by visiting their website at [gwpa.org](http://gwpa.org). In addition, the proposal should:

Include a one page **cover letter** describing the name and purpose of the project, the need being addressed, the amount to the request, and the contact person. The letter should be signed by the Executive Director or Chairperson of the organization as well as collaborative organizations.

The **narrative** should not exceed ten pages and should be clearly organized.

Include:

- an executive summary, which is an overview of the project and request overview of the organization including its current mission, brief history, services, size of staff, structure and capacity to implement project evidence of need for the project and type of program designed and relation ship to similar programs and serves, history of previous Birmingham Foundation funding relation ship of the project to the mission/priorities of the Foundation program design, objectives, staffing and a timeline evaluation plan and plan for continuation after grant funding is ended an itemized total budget for the project showing other funding sources

Attachments should include:

- Most recent 501(c)(3) determination letter
- Board members and officers with their employment & affiliations
- Board approved annual budget with income and expenses

Recent audited financial statement  
Statement verifying payment of payroll taxes  
Letter of support, annual reports, articles, brochures

Provide one complete original and one additional copy of narrative.  
Use clips, do not staple or bind proposals. Do not fax or email proposals.

#### **Limitations**

Grants are limited to organizations, which qualify as public charity for 501(c)(3) tax exemption.

Grants are not normally made for operating budgets, deficits, fund-raising campaigns, general research, scholarships, political campaigns, or loans.

Grants are not made to individuals, to other private foundations, for sectarian religious activities, or for the efforts to influence legislation unless grant-related.

Grants are awarded for a limited period of time, such as 1-3 years.

Most grant awards will be in the range of \$2,500 to \$50,000.

For more information, contact:

Mark Bibro, Executive Director  
The Birmingham Foundation  
Brashear Center  
2005 Sarah Street, 2<sup>nd</sup> Floor  
Pittsburgh, PA 15203  
Phone: (412) 481-2777  
Fax: (412) 481-2727  
Website: [birminghamfoundation.org](http://birminghamfoundation.org)  
Email: [info@birmfoundpgh.org](mailto:info@birmfoundpgh.org)



# **Birmingham Foundation**

## **Grant Guidelines**

### **Grant Criteria**

#### **Vision**

The Birmingham Foundation was created as a private foundation in May 1996 with assets from the sale of the South Side Hospital. The Foundation seeks grantees who will match its vision and priorities as it invests in the health of the local community. The Foundation serves as a change agent for improved health and wellness in South Pittsburgh through the dynamic use of resources such as grantmaking, information sharing, partnering, and leveraging of assets.

#### **Geographic Focus**

The Birmingham Foundation is dedicated to health-related and human services grantmaking in the south communities of Pittsburgh, Pennsylvania, which are served by three zip codes: 15203 (South Side), 15210, (Mt. Oliver and Hilltop communities), and 15211 (Mt. Washington). The neighborhoods in its target area include: Allentown, Arlington, Arlington Heights, Beltzhoover, Bon Air, Carrick, Duquesne Heights, Knoxville, Mt. Oliver, Mt. Washington, and South Side.

#### **Priorities**

- > Improve youth outcomes
- > Improve health care outcomes
- > Strategy for neighborhood development

Cross-cutting areas: health disparities and vulnerable populations

The Foundation is dedicated to health and human services grantmaking and it continues to learn from the community and its grantees. As a result, specific initiatives and new priorities may be developed – check the website or contact the office.

#### **Grant Considerations**

- > clearly demonstrate the health-related unmet needs of vulnerable populations
- > promote the awareness, safety and strengths of South Pittsburgh residents
- > develop and implement responsive, well-planned and unique programs
- > demonstrate realistic expectations and assure measurable outcomes
- > provide assurance of commitment to the South Pittsburgh community

The Foundation will not be able to fund all the worthwhile proposals it receives.

The Birmingham Foundation  
Form 990-PF

Tax year beginning July 1, 2009 and ending June 30, 2010

Other Expenses

**Community Initiatives**

As part of its vision and mission and in addition to its grantmaking, the Birmingham Foundation also dedicates resources to a number of other evolving initiatives that respond to community needs. During this year, that included:

Launch of an enhanced Online Edition of the **Birmingham Directory**, a resource guide to health and human services providers in South Pittsburgh. The Directory was published online on the Foundation's website [www.birminghamfoundation.org](http://www.birminghamfoundation.org).

The Foundation hosted its first **Family Day** at McKinley Park, an event open to residents of South Pittsburgh which featured programs and services of 16 of the Foundation's grantees.

Creation and publication of the **2010 Summer Fun in the South Guide** for local children, youth and teens is created in partnership with a local providers network (South Consortium) to increase awareness and access to positive summer activities. The Foundation publishes the guide as an insert in the local newspaper, and distributes an additional 6,000 copies to local schools and nonprofit organizations.

The Foundation was fiduciary agent for the **Hilltop Alliance**, a group of service providers that collaborate to create a shared vision and voice for the Hilltop communities.

The Foundation also hosts meetings for the **Minority Emergency Preparedness Task Force**, which assesses the emergency preparedness needs of Hilltop communities.

**Technical assistance** is also provided on an ongoing basis to grantees, other nonprofit organizations, local officials and community leaders with the purpose of increasing capacity, networking and leveraging other resources.



## BIRMINGHAM FOUNDATION

### Brief Overview

#### BIRMINGHAM FOUNDATION

Mark Stephen Bibro,  
Executive Director

#### Board of Directors

Daniel A. Goetz,  
Chairman Emeritus

Terrence L. Wirginis,  
Chairman

Floyd R. Ganassi,  
Chairman Emeritus

Mihai Marcu,  
Vice Chair

Carey A. Harris,  
Vice Chair, Grants

H. Don Gordon,  
Treasurer

Hugo Churchill

Kenneth C. McCrory

William T. Simmons, Esq.

Eileen O. Smith

Duane W. Swager II

Roberta F. Smith,  
Director Emeritus

---

A grantmaking  
organization  
dedicated to improved  
health & wellness  
in South Pittsburgh

---

Brashear Center  
2005 Sarah Street  
2nd Floor  
Pittsburgh, PA 15203

Phone: 412.481.2777  
Fax: 412-481-2727  
info@bfpgh.org  
www.birminghamfoundation.org

The Birmingham Foundation is a private (independent) community-focused foundation dedicated to health-related and human services grantmaking in South Pittsburgh. Our Board of Directors is guided by this vision statement: *The Foundation serves as a change agent for improved health and wellness in South Pittsburgh through the dynamic use of resources such as grantmaking, information-sharing, partnering and leveraging of assets.*

Founded in 1996 as a private foundation with assets from the sale of South Side Hospital to UPMC, the Birmingham Foundation has awarded over \$9.7 million in grants to over 145 organizations since grantmaking began in 1997. Our target area includes 14 communities, among which are Allentown, Arlington, Beltzhoover, Carrick, Knoxville, Mt. Oliver, Mt Washington, St. Clair Village and the South Side (zip codes 15203, 15210 and 15211).

Our priority areas include: children's well-being, health access and promotion, senior safety and wellness, capacity-building for organizations, and community life. Focus areas also include vulnerable populations and health disparities. Letters of interest are accepted year-round with grants awarded in March, June & November. Visit our website at [www.birminghamfoundation.org](http://www.birminghamfoundation.org) or request a copy of *Reflections on a Decade*, our ten-year report, for grant guidelines and award history.

In addition to grantmaking, the Foundation serves as a resource partner to develop and share information and promote collaboration on health-related issues through summits, convening groups, and publications. The latter include, *The Birmingham Directory*, a resource guide to health, human services and community services in South Pittsburgh, *Reweaving the Social Fabric*, a community health assessment, an annual *Summer Fun in the South* youth program guide, and biannual reports. The Foundation has played a role in the formation of several local efforts, including the South Pittsburgh Coalition for Peace and Hilltop Health Ministries Consortium.

The Birmingham Foundation is the recipient of the 2001 Outstanding Foundation Award from the Association of Fundraising Professionals (Western PA.) and the 2001 Community Philanthropic Award from the Mental Health Association of Allegheny County.

The Foundation is ably guided by a very active 11-member Board of Directors which represents the community in making decisions regarding both our grantmaking investments and our financial investments. Board members average 21 site visits annually. Chairman Terry Wirginis, one of five founding members whose commitment continues, leads the Board. Directors include Hugo Churchill, Emeritus Chairmen Floyd Ganassi and Daniel Goetz, Don Gordon, Carey Harris, Mike Marcu, Kenneth McCrory, William Simmons, Eileen Smith, and Biff Swager. Roberta Smith is a *Director Emeritus*.

Foundation staff includes Executive Director Mark Bibro and Administrative Assistant, Chris Mason.

(revised 6/23/08)

**The Birmingham Foundation**  
**Form 990 PF**  
**For the year ended June 30, 2010**

**EIN : 25-0965572**

Description of Stock	Shares/\$ Disposed During Yr	Disposal Cost	Proceeds	Realized Gain/(Loss) on Disposals
<b>MUTUAL FUNDS</b>				
DWS RREEF REAL ESTATE FUND	(25,000)	(39,659)	109,425	69,766
VANGUARD INDEX GROWTH	(25,000)	(188,936)	182,605	(6,331)
VANGUARD EXTENDED MARKET	(25,000)	(43,021)	62,480	19,459
VANGUARD TOTAL INTL	(25,000)	(98,550)	193,069	94,519
EATON VANCE GLOBAL DIVIDEND EQUITY	(25,000)	(42,904)	62,143	19,239
<b>SUBTOTAL</b>	<b>(125,000)</b>	<b>(413,070)</b>	<b>609,722</b>	<b>196,652</b>
<b>COMMON STOCKS</b>				
HONEYWELL INTERNATIONAL	(1,000)	(27,500)	42,201	14,701
US X-MARATHON GROUP	(5,000)	(76,875)	164,123	87,248
ALCOA	(7,000)	(40,953)	91,129	50,176
KRAFT FOODS INC	(3,150)	(95,068)	95,515	447
SARA LEE GROUP	(8,320)	(155,570)	122,256	(33,314)
NEWELL RUBBERMAID INC	(9,200)	(204,562)	124,283	(80,279)
KIMBERLY-CLARK CORP NEW	(1,630)	(90,839)	102,694	11,855
TRANSOCEAN	(1,000)	(56,003)	46,490	(9,513)
HERSHEY COMPANY	(2,610)	(135,124)	124,989	(10,135)
CATERPILLAR INC	(2,290)	(73,168)	123,063	49,895
KINDER MORGAN MGMT LIC	(3,093)	(116,882)	144,248	27,366
AMERISERV FINANCIAL	(5,950)	(20,590)	9,094	(11,496)
ENERPLUS RES FD NEW	(3,280)	(140,843)	77,773	(63,070)
HOSPITALITY PROP TR	(4,710)	(134,233)	96,683	(37,550)
STRYKER CORP	(1,770)	(71,335)	101,115	29,780
GLAXOSMITHKLINE PIC ADRF	(1,740)	(91,160)	60,092	(31,068)
BANK OF NOVA SCOTIA	(2,200)	(51,264)	111,068	59,804
TAIWAN SEMICONDUCTR ADR	-	-	3	3
<b>SUBTOTAL</b>	<b>(63,943)</b>	<b>(1,581,969)</b>	<b>1,636,819</b>	<b>54,850</b>
<b>CORPORATE</b>				
BLACKROCK CAPITAL HIGH YIELD FD	(8,000)	(36,889)	54,150	17,261
<b>SUBTOTAL</b>	<b>(8,000)</b>	<b>(36,889)</b>	<b>54,150</b>	<b>17,261</b>
<b>US GOVERNMENT</b>				
PROSHS ULTRASHORT LEHMAN 20+ YEAR TREASURY	(35,000)	(1,627,458)	1,730,092	102,634
FHLB 6%/8%/10% RANGE NOTE (12/4/2024) 6.00%	(400,000)	(397,025)	400,000	2,975
UST INFLATION +2.5% 1/15/2029 2.5%	(1,500,000)	(1,497,365)	1,595,838	98,473
FNMA 7.5%, 7.5% RANGE NOTE 6/24	(1,000,000)	(994,536)	1,000,000	5,464
<b>SUBTOTAL</b>	<b>(2,935,000)</b>	<b>(4,516,384)</b>	<b>4,725,930</b>	<b>209,546</b>
<b>TOTAL</b>		<b>(6,548,312)</b>	<b>7,026,621</b>	<b>478,309</b>