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Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0047

2009

Open to Public Inspection

A For the 2009 calendar year, or tax year beginning JUL 1, 2009 **and ending** JUN 30, 2010

B Check if applicable:
- [X] Address change
- [ ] Name change
- [ ] Initial return
- [ ] Terminated
- [ ] Amended return
- [ ] Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization
HEART OF KENTUCKY UNITED WAY, INC.

Doing Business As

Number and street (or P O box if mail is not delivered to street address) Room/suite
P.O. BOX 458

City or town, state or country, and ZIP + 4
DANVILLE, KY 40423-0458

D Employer identification number
23-7166092

E Telephone number
(859)238-6986

G Gross receipts $ 1,269,423.

F Name and address of principal officer: JANIE PASS
SAME AS C ABOVE

H(a) Is this a group return for affiliates? [ ] Yes [X] No

H(b) Are all affiliates included? [ ] Yes [ ] No
If "No," attach a list. (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status: [X] 501(c) (3) ◀ (insert no.) [ ] 4947(a)(1) or [ ] 527

J Website: ▶ WWW.UWKY.ORG/AGENCIES/HOK.HTM

K Form of organization: [X] Corporation [ ] Trust [ ] Association [ ] Other ▶

L Year of formation 1972 **M** State of legal domicile KY

Part I Summary

1	Briefly describe the organization's mission or most significant activities: THE MISSION OF HEART OF KENTUCKY UNITED WAY IS TO PROVIDE THE BEST WAY FOR THE COMMUNITY TO INVEST IN		
2	Check this box ▶ [ ] if the organization discontinued its operations or disposed of more than 25% of its net assets.		
3	Number of voting members of the governing body (Part VI, line 1a)	3	21
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	21
5	Total number of employees (Part V, line 2a)	5	5
6	Total number of volunteers (estimate if necessary)	6	0
7a	Total gross unrelated business revenue from Part VIII, column (C), line 12	7a	0.
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0.

		Prior Year	Current Year
8	Contributions and grants (Part VIII, line 1h)	1,175,504.	1,253,730.
9	Program service revenue (Part VIII, line 2g)		
10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	21,789.	19,691.
11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	<6,653.>	<3,998.>
12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	1,190,640.	1,269,423.
13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	944,934.	886,641.
14	Benefits paid to or for members (Part IX, column (A), line 4)	0.	
15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	234,793.	238,138.
16a	Professional fundraising fees (Part IX, column (A), line 11e)		
b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 112,442.		
17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24f)	105,947.	117,425.
18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	1,285,674.	1,242,204.
19	Revenue less expenses. Subtract line 18 from line 12	<95,034.>	27,219.

		Beginning of Current Year	End of Year
20	Total assets (Part X, line 16)	1,573,479.	1,612,744.
21	Total liabilities (Part X, line 26)	441,971.	428,474.
22	Net assets or fund balances. Subtract line 21 from line 20	1,131,508.	1,184,270.

RECEIVED
DEC 28 2010
OSC

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

▶ Janie B. Pass
Signature of officer

Date ✓ 12/20/10

▶ JANIE PASS, SECRETARY
Type or print name and title

Paid Preparer's Use Only

Preparer's signature ▶ William A Tarry CPA Date 12/20/10 Check if self-employed ▶ [ ] Preparer's identifying number (see instructions)

Firm's name (or yours if self-employed), address, and ZIP + 4 ▶ RICHARDSON, PENNINGTON & SKINNER, PSC
513 SOUTH SECOND STREET
LOUISVILLE, KENTUCKY 40202-9639

EIN ▶

Phone no ▶ (502)583-9587

May the IRS discuss this return with the preparer shown above? (see instructions) [ ] Yes [ ] No

SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION

SCANNED JAN 13 2011

10 G16

Part III Statement of Program Service Accomplishments

1 Briefly describe the organization's mission:
THE HEART OF KENTUCKY UNITED WAY, INC'S GENERAL PURPOSE IS TO BE A LEADER IN BRINGING TOGETHER THE RESOURCES TO BUILD A STRONGER, MORE CARING COMMUNITY.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No
If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No
If "Yes," describe these changes on Schedule O.

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

SEE SCHEDULE O FOR CONTINUATION(S)

4a (Code:) (Expenses $ 221,539. including grants of $) (Revenue $)
FINANCIAL STABILITY:
1. EIN 61-0458751 FAMILY SERVICES ASSOCIATION
2. EIN 61-1189896 HABITAT FOR HUMANITY: MERCER COUNTY
3. EIN 62-1419758 HABITAT FOR HUMANITY: BOYLE COUNTY
4. EIN 61-0668572 LEGAL AID OF THE BLUEGRASS/NORTHERN KY
5. EIN 61-0659583 BLUE GRASS COMMUNITY ACTION PARTNERSHIP-SENIOR COMPANION PROGRAM
6. EIN 61-0659583 BLUE GRASS COMMUNITY ACTION PARTNERSHIP-MERCER CO SELF SUFFICIENCY PROGRAM
7. EIN 61-0452065 THE SALVATION ARMY
8. EIN 61-0449605 AMERICAN RED CROSS: DANIEL BOONE CHAPTER
9. EIN 61-0449603 AMERICAN RED CROSS: CENTRAL KENTUCKY CHAPTER

4b (Code:) (Expenses $ 312,975. including grants of $) (Revenue $)
EDUCATION:
1. EIN 61-0523288 BIG BROTHERS BIG SISTERS
2. EIN 31-1151536 BOYLE COUNTY 4H
3. EIN 61-1101391 GERRARD COUNTY 4H
4. EIN 26-1414479 LINCOLN COUNTY 4H
5. EIN 61-1318412 MERCER COUNTY 4H
6. EIN 61-1110606 DANVILLE/BOYLE COUNTY LITERACY PROGRAM
7. EIN 61-1250863 DANVILLE LEARNING DISABILITIES ASSOCIATION
8. EIN 61-0608104 GIRL SCOUTS OF KENTUCKY: WILDERNESS ROAD COUNCIL
9. EIN 61-1230722 WILDERNESS TRACE CHILD DEVELOPMENT CENTER
10.EIN 26-3668416 CENTRO LATINO
11.EIN 61-0659010 MERCER ADULT EDUCATION CENTER

4c (Code:) (Expenses $ 352,175. including grants of $) (Revenue $)
HEALTH:
1. EIN 61-0916756 BLUEGRASS RAPE CRISIS CENTER
2. EIN 23-7153964 KIDNEY HEALTH ALLIANCE OF KENTUCKY
3. EIN 61-0996520 NURSING HOME OMBUDSMAN AGENCY
4. EIN 61-0723605 RECOVERY CENTER-BLUEGRASS REGIONAL MENTAL HEALTH/RETARDATION BOARD, INC.
5. EIN 36-4558176 REGAIN, INC.
6. EIN 61-0597273 SUNRISE CHILDREN'S SERVICES-SANDERS CRISIS STABILIZATION CENTER
7. EIN 61-1229333 HOPE CLINIC AND PHARMACY
8. EIN 31-0988104 HERITAGE HOSPICE: TRANSITIONS PROGRAM
9. EIN 26-1841458 CASA: COURT APPOINTED SPECIAL ADVOCATE AT WOODLAWN

4d Other program services. (Describe in Schedule O.)
(Expenses $ 132,523. including grants of $) (Revenue $)

4e **Total program service expenses ▶ $** 1,019,212.

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	X	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		X
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities? *If "Yes," complete Schedule C, Part II*	4		X
5	**Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations.** Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? *If "Yes," complete Schedule C, Part III*	5		
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		X
9	Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		X
10	Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10		X
11	Is the organization's answer to any of the following questions "Yes"? *If so, complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable*	11	X	
	• Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI*			
	• Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII.*			
	• Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII.*			
	• Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX.*			
	• Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X.*			
	• Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? *If "Yes," complete Schedule D, Part X.*			
12	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI, XII, and XIII.*	12	X	
12A	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional* (Yes / No: 12A — / X)			
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		X
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, and program service activities outside the United States? *If "Yes," complete Schedule F, Part I*	14b		X
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the United States? *If "Yes," complete Schedule F, Part II*	15		X
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the United States? *If "Yes," complete Schedule F, Part III*	16		X
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I*	17		X
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		X
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		X
20	Did the organization operate one or more hospitals? *If "Yes," complete Schedule H*	20		X

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
21	Did the organization report more than $5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21	X	
22	Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22		X
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23		X
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No", go to line 25*	24a		X
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		X
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		X
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II*	26		X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? *If "Yes," complete Schedule L, Part III*	27		X
28	Was the organization a party to a business transaction with one of the following parties, (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		X
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		X
c	An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c		X
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29		X
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		X
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? *If "Yes," complete Schedule R, Part I*	33		X
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1*	34		X
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35		X
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		X
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? **Note.** All Form 990 filers are required to complete Schedule O.	38	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

				Yes	No
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal of U.S. Information Returns. Enter -0- if not applicable	1a	0		
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b	0		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?		1c		
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	5		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?		2b	X	
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to *e-file* this return. (see instructions)				
3a	Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return?		3a		X
b	If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O*		3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		4a		X
b	If "Yes," enter the name of the foreign country: ▶ _____ See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.				
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		5a		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		5b		X
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?		5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible?		6a		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		6b		
7	**Organizations that may receive deductible contributions under section 170(c).**				
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?		7a		X
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?		7b		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		7c		X
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d			
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		7e		
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		7f		
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?		7g		
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?		7h		
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?		8		
9	**Sponsoring organizations maintaining donor advised funds.**				
a	Did the organization make any taxable distributions under section 4966?		9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?		9b		
10	**Section 501(c)(7) organizations.** Enter:				
a	Initiation fees and capital contributions included on Part VIII, line 12	10a			
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b			
11	**Section 501(c)(12) organizations.** Enter:				
a	Gross income from members or shareholders	11a			
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b			
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?		12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b			

Part VI Governance, Management, and Disclosure *For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body	1a	21		
b	Enter the number of voting members that are independent	1b	21		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		2		X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		3		X
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?		4		X
5	Did the organization become aware during the year of a material diversion of the organization's assets?		5		X
6	Does the organization have members or stockholders?		6		X
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?		7a		X
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?		7b		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:				
a	The governing body?		8a	X	
b	Each committee with authority to act on behalf of the governing body?		8b	X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O*		9		X

Section B. Policies *(This Section B requests information about policies not required by the Internal Revenue Code.)*

			Yes	No
10a	Does the organization have local chapters, branches, or affiliates?	10a		X
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b		
11	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11	X	
11A	Describe in Schedule O the process, if any, used by the organization to review this Form 990.			
12a	Does the organization have a written conflict of interest policy? *If "No," go to line 13*	12a	X	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	X	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this is done*	12c	X	
13	Does the organization have a written whistleblower policy?	13	X	
14	Does the organization have a written document retention and destruction policy?	14	X	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	X	
b	Other officers or key employees of the organization	15b	X	
	If "Yes" to line 15a or 15b, describe the process in Schedule O. (See instructions.)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		X
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ▶ NONE

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.

☐ Own website ☐ Another's website ☒ Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ▶

HEART OF KENTUCKY UNITED WAY - (859) 238-6986
P.O. BOX 458, DANVILLE, KY 40423

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year. Use Schedule J-2 if additional space is needed.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if the organization did not compensate any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
JOHN WINKLER CAMPAIGN CHAIR		X						0.	0.	0.
JOHN TRISLER PRESIDENT		X						0.	0.	0.
JOHN RODES TREASURER		X						0.	0.	0.
JANIE PASS SECRETARY	40.00	X						0.	0.	64,574.
JOYE HUNT VICE PRESIDENT		X						0.	0.	0.
GREG CAUDILL MEMBER		X						0.	0.	0.
MELANIE CLARK MEMBER		X						0.	0.	0.
DALE KIHLMAN MEMBER		X						0.	0.	0.
VINCE PENNINGTON MEMBER		X						0.	0.	0.
SCOTT SCHURZ MEMBER		X						0.	0.	0.
LINDA DYE MEMBER		X						0.	0.	0.
DAVID MARTIN MEMBER		X						0.	0.	0.
KATHY MILES MEMBER		X						0.	0.	0.
JO ANN RICE MEMBER		X						0.	0.	0.
MIKE ANGOLIA MEMBER		X						0.	0.	0.
J.H. ATKINS MEMBER		X						0.	0.	0.
KIM FRAZIER MEMBER		X						0.	0.	0.

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

(A) Name and title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
ANGELA LAMERE MEMBER		X						0.	0.	0.
SHAY PENDYGRAFT MEMBER		X						0.	0.	0.
CLARK TAYLOR MEMBER		X						0.	0.	0.
ALAN TURBYFILL MEMBER		X						0.	0.	0.
1b Total ▶								0.	0.	64,574.

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 in reportable compensation from the organization ▶ 0

		Yes	No
3 Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4		X
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. NONE

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶ 0

Part VIII Statement of Revenue

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1a Federated campaigns	1a				
	b Membership dues	1b				
	c Fundraising events	1c				
	d Related organizations	1d				
	e Government grants (contributions)	1e				
	f All other contributions, gifts, grants, and similar amounts not included above	1f	1,253,730.			
	g Noncash contributions included in lines 1a-1f $					
	h **Total.** Add lines 1a-1f	▶	1,253,730.			
Program Service Revenue		Business Code				
	2a					
	b					
	c					
	d					
	e					
	f All other program service revenue					
	g **Total.** Add lines 2a-2f	▶				
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)	▶	19,691.	19,691.		
	4 Income from investment of tax-exempt bond proceeds	▶				
	5 Royalties	▶				
		(i) Real	(ii) Personal			
	6a Gross Rents					
	b Less: rental expenses					
	c Rental income or (loss)					
	d Net rental income or (loss)	▶				
		(i) Securities	(ii) Other			
	7a Gross amount from sales of assets other than inventory					
	b Less: cost or other basis and sales expenses					
	c Gain or (loss)					
	d Net gain or (loss)	▶				
	8a Gross income from fundraising events (not including $ _____ of contributions reported on line 1c). See Part IV, line 18	a				
	b Less: direct expenses	b				
	c Net income or (loss) from fundraising events	▶				
	9a Gross income from gaming activities. See Part IV, line 19	a				
	b Less: direct expenses	b				
	c Net income or (loss) from gaming activities	▶				
	10a Gross sales of inventory, less returns and allowances	a				
	b Less: cost of goods sold	b				
	c Net income or (loss) from sales of inventory	▶				
	Miscellaneous Revenue	Business Code				
	11a					
	b					
	c					
	d All other revenue		<3,998.>	<3,998.>		
	e **Total.** Add lines 11a-11d	▶	<3,998.>			
	12 **Total revenue.** See instructions	▶	1,269,423.	15,693.	0.	0.

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.
All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the U S See Part IV, line 21	886,641.	886,641.		
2 Grants and other assistance to individuals in the U.S. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees				
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	64,574.	64,574.		
7 Other salaries and wages	117,518.		62,626.	54,892.
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)				
9 Other employee benefits	43,288.	12,993.	19,192.	11,103.
10 Payroll taxes	12,758.	5,203.	3,292.	4,263.
11 Fees for services (non-employees):				
a Management				
b Legal	4,950.	1,287.	1,584.	2,079.
c Accounting				
d Lobbying				
e Professional fundraising services See Part IV, line 17				
f Investment management fees	2,447.		2,447.	
g Other				
12 Advertising and promotion	353.			353.
13 Office expenses	30,038.	5,842.	3,997.	20,199.
14 Information technology				
15 Royalties				
16 Occupancy	900.	630.	117.	153.
17 Travel	4,064.	1,938.	1,292.	834.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings				
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	16,219.	6,734.	4,347.	5,138.
23 Insurance	3,809.	1,349.	1,242.	1,218.
24 Other expenses Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below)				
a DAY OF CARING	21,164.	20,285.	0.	879.
b MEMBERSHIP DUES	17,638.	6,648.	5,322.	5,668.
c COMPUTER AND TECH SUPPO	6,781.	1,064.	1,974.	3,743.
d UTILITIES	3,731.	1,691.	1,015.	1,025.
e PROGRAM SERVICES	1,889.	1,889.		
f All other expenses	3,442.	444.	2,103.	895.
25 **Total functional expenses.** Add lines 1 through 24f	1,242,204.	1,019,212.	110,550.	112,442.
26 **Joint costs.** Check here ▶ ☐ if following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

				(A) Beginning of year		(B) End of year
Assets	1	Cash - non-interest-bearing		100.	1	100.
	2	Savings and temporary cash investments		180,222.	2	250,616.
	3	Pledges and grants receivable, net		428,502.	3	370,116.
	4	Accounts receivable, net			4	
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L			5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete Part II of Schedule L			6	
	7	Notes and loans receivable, net			7	
	8	Inventories for sale or use			8	
	9	Prepaid expenses and deferred charges		4,043.	9	4,461.
	10a	Land, buildings, and equipment: cost or other basis Complete Part VI of Schedule D	10a 370,682.			
	b	Less: accumulated depreciation	10b 150,175.	236,139.	10c	220,507.
	11	Investments - publicly traded securities			11	
	12	Investments - other securities. See Part IV, line 11		686,128.	12	720,048.
	13	Investments - program-related. See Part IV, line 11			13	
	14	Intangible assets			14	
	15	Other assets. See Part IV, line 11		38,345.	15	46,896.
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)		1,573,479.	16	1,612,744.
Liabilities	17	Accounts payable and accrued expenses		53,479.	17	63,918.
	18	Grants payable			18	
	19	Deferred revenue		39,620.	19	17,785.
	20	Tax-exempt bond liabilities			20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D			21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L			22	
	23	Secured mortgages and notes payable to unrelated third parties			23	
	24	Unsecured notes and loans payable to unrelated third parties			24	
	25	Other liabilities. Complete Part X of Schedule D		348,872.	25	346,771.
	26	**Total liabilities.** Add lines 17 through 25		441,971.	26	428,474.
Net Assets or Fund Balances		**Organizations that follow SFAS 117, check here ▶ [X] and complete lines 27 through 29, and lines 33 and 34.**				
	27	Unrestricted net assets		1,094,941.	27	1,142,811.
	28	Temporarily restricted net assets		36,567.	28	41,459.
	29	Permanently restricted net assets			29	
		Organizations that do not follow SFAS 117, check here ▶ [ ] and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building, or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		1,131,508.	33	1,184,270.
	34	Total liabilities and net assets/fund balances		1,573,479.	34	1,612,744.

Part XI Financial Statements and Reporting

			Yes	No
1	Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a	X	
b	Were the organization's financial statements audited by an independent accountant?	2b	X	
c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	2c		X
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both: ☐ Separate basis ☒ Consolidated basis ☐ Both consolidated and separate basis			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		X
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.	3b		

SCHEDULE A (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ **Attach to Form 990 or Form 990-EZ.** ▶ **See separate instructions.**

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization	Employer identification number
HEART OF KENTUCKY UNITED WAY, INC.	23-7166092

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: _____

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

9 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III)

10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.

a ☐ Type I b ☐ Type II c ☐ Type III - Functionally integrated d ☐ Type III - Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i)	A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	11g(i)	
(ii)	A family member of a person described in (i) above?	11g(ii)	
(iii)	A 35% controlled entity of a person described in (i) or (ii) above?	11g(iii)	

h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document? Yes	(iv) No	(v) Did you notify the organization in col (i) of your support? Yes	(v) No	(vi) Is the organization in col (i) organized in the U S ? Yes	(vi) No	(vii) Amount of support
Total									

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	947,447.	1016727.	1292210.	1175504.	1253730.	5685618.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 through 3	947,447.	1016727.	1292210.	1175504.	1253730.	5685618.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 **Public support.** Subtract line 5 from line 4						5685618.

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4	947,447.	1016727.	1292210.	1175504.	1253730.	5685618.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	14,602.	43,788.	39,219.	21,789.	19,691.	139,089.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 **Total support.** Add lines 7 through 10						5824707.

12 Gross receipts from related activities, etc. (see instructions) 12

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2009 (line 6, column (f) divided by line 11, column (f))	14	97.61 %
15 Public support percentage from 2008 Schedule A, Part II, line 14	15	97.68 %

16a **33 1/3% support test - 2009.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☒

b **33 1/3% support test - 2008.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

17a **10% -facts-and-circumstances test - 2009.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ ☐

b **10% -facts-and-circumstances test - 2008.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ ☐

Part III Support Schedule for Organizations Described in Section 509(a)(2) (Complete only if you checked the box on line 9 of Part I)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public support** (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 **Total support** (Add lines 9, 10c, 11, and 12)						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2009 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2008 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for **2009** (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from **2008** Schedule A, Part III, line 17	18	%

19a **33 1/3% support tests - 2009.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3% support tests - 2008.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

Schedule D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
HEART OF KENTUCKY UNITED WAY, INC.

Employer identification number
23-7166092

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).
- ☐ Preservation of land for public use (e.g., recreation or pleasure)
- ☐ Protection of natural habitat
- ☐ Preservation of open space
- ☐ Preservation of an historically important land area
- ☐ Preservation of a certified historic structure

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

		Held at the End of the Tax Year
a	Total number of conservation easements	2a
b	Total acreage restricted by conservation easements	2b
c	Number of conservation easements on a certified historic structure included in (a)	2c
d	Number of conservation easements included in (c) acquired after 8/17/06	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ _____

(ii) Assets included in Form 990, Part X ▶ $ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items.

a Revenues included in Form 990, Part VIII, line 1 ▶ $ _____

b Assets included in Form 990, Part X ▶ $ _____

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table:

		Amount
c	Beginning balance	1c
d	Additions during the year	1d
e	Distributions during the year	1e
f	Ending balance	1f

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV.

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

		(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a	Beginning of year balance					
b	Contributions					
c	Net investment earnings, gains, and losses					
d	Grants or scholarships					
e	Other expenditures for facilities and programs					
f	Administrative expenses					
g	End of year balance					

2 Provide the estimated percentage of the year end balance held as:

a Board designated or quasi-endowment ▶ _____ %
b Permanent endowment ▶ _____ %
c Term endowment ▶ _____ %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

		Yes	No
(i)	unrelated organizations	3a(i)	
(ii)	related organizations	3a(ii)	
b	If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b	

4 Describe in Part XIV the intended uses of the organization's endowment funds.

Part VI Investments - Land, Buildings, and Equipment. See Form 990, Part X, line 10.

	Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a	Land				
b	Buildings		193,221.	53,084.	140,137.
c	Leasehold improvements		119,048.	45,203.	73,845.
d	Equipment		58,413.	51,888.	6,525.
e	Other				

Total. Add lines 1a through 1e. *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* ▶ 220,507.

Part VII Investments - Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
Financial derivatives		
Closely-held equity interests		
Other		
INVESTMENTS	720,048.	END-OF-YEAR MARKET VALUE
Total. (Col (b) must equal Form 990, Part X, col (B) line 12) ▶	720,048.	

Part VIII Investments - Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
Total. (Col (b) must equal Form 990, Part X, col (B) line 13) ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
Total. *(Column (b) must equal Form 990, Part X, col (B) line 15)* ▶	

Part X Other Liabilities. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Amount
Federal income taxes	
DUE TO AGENCIES	346,771.
Total. *(Column (b) must equal Form 990, Part X, col (B) line 25)* ▶	346,771.

2. FIN 48 Footnote. In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48.

Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	1,269,423.
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	1,242,204.
3	Excess or (deficit) for the year. Subtract line 2 from line 1	3	27,219.
4	Net unrealized gains (losses) on investments	4	25,543.
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV.)	8	
9	Total adjustments (net). Add lines 4 through 8	9	25,543.
10	Excess or (deficit) for the year per audited financial statements. Combine lines 3 and 9	10	52,762.

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements			1	1,294,966.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:				
a	Net unrealized gains on investments	2a	25,543.		
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIV.)	2d			
e	Add lines **2a** through **2d**			2e	25,543.
3	Subtract line **2e** from line **1**			3	1,269,423.
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV.)	4b			
c	Add lines **4a** and **4b**			4c	0.
5	Total revenue. Add lines **3** and **4c.** *(This must equal Form 990, Part I, line 12)*			5	1,269,423.

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements			1	1,242,204.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIV.)	2d			
e	Add lines **2a** through **2d**			2e	0.
3	Subtract line **2e** from line **1**			3	1,242,204.
4	Amounts included on Form 990, Part IX, line 25, but not on line **1**:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV.)	4b			
c	Add lines **4a** and **4b**			4c	0.
5	Total expenses. Add lines **3** and **4c.** *(This must equal Form 990, Part I, line 18)*			5	1,242,204.

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, line 8; Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

SCHEDULE I (Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

▶ **Attach to Form 990.**

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
HEART OF KENTUCKY UNITED WAY, INC.

Employer identification number
23-7166092

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☐ Yes ☒ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than $5,000. Check this box if no one recipient received more than $5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed ▶ ☐

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AMERICAN RED CROSS	61-0449605		28,625.	0.			ASSISTANCE TO VICTIMS OF RESIDENTIAL FIRES
BIG BROTHERS/BIG SISTERS	61-0523288		67,500.	0.			IN SCHOOL MENTORING PROGRAMS FOR ELEMENTARY SCHOOL CHILDREN
BLUEGRASS DOMESTIC VIOLENCE PROGRAM - DANVILLE, KY 40422	20-1965942		18,500.	0.			SERVES VICTIMS OF DOMESTIC ABUSE WITH SHELTER, COUSELING, LEGAL AID
BLUEGRASS RAPE CRISIS CENTER	61-0916756		8,500.	0.			SUPPORTS VICTIMS OF RAPE/SEXUAL ASSAULT
BGCAP INC	61-0659583		34,450.	0.			SERVES SENIORS AT HOME, MERCER ADULT DAY CARE PROVIDES SUPERVISION, MEDICAL OVERSIGHT AND
BOYLE COUNTY 4H	31-1151536		13,000.	0.			PROVIDES LEADERSHIP SKILLS AND VARIED YOUTH EXPERIENCES FOR AGES 5-19YRS IN BOYLE CO.

2 Enter total number of section 501(c)(3) and government organizations ▶

3 Enter total number of other organizations ▶

LHA **For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.**

Schedule I (Form 990) 2009

SEE PART IV FOR COLUMN (H) DESCRIPTIONS

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Use Part IV and Schedule I-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance

Part IV **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

PART II, LINE 1, COLUMN (H):

NAME OF ORGANIZATION OR GOVERNMENT: BGCAP INC

(H) PURPOSE OF GRANT OR ASSISTANCE: SERVES SENIORS AT HOME, MERCER ADULT DAY CARE PROVIDES SUPERVISION, MEDICAL OVERSIGHT AND ACTIVITIES FOR ADULTS WITH ALZHEIMER'S/DEMENTIA/DEVELOPMENTAL DISABILITIES

NAME OF ORGANIZATION OR GOVERNMENT: CENTRO LATINO

(H) PURPOSE OF GRANT OR ASSISTANCE: PROVIDES OPPORTUNITIES FOR HISPANIC/LATINO COMMUNITY TO ACCESS NEEDED RESOURCES THROUGH ADVOCACY,

SCHEDULE I-1
(Form 990)
Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule I (Form 990)
▶ **Attach to Form 990 to list additional information for Schedule I (Form 990), Part II or Part III.**

OMB No 1545-0047
2009
Open to Public Inspection

Name of the organization
HEART OF KENTUCKY UNITED WAY, INC.

Employer identification number
23-7166092

Part I **Continuation of Grants and Other Assistance to Governments and Organizations in the United States** (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BOYLE COUNTY HABITAT FOR HUMANITY	62-1419758		6,375.	0.			PROVIDES AFFORDABLE HOUSING FOR ELIGIBLE FAMILIES
CENTRO LATINO	26-3668416		13,875.	0.			PROVIDES OPPORTUNITIES FOR HISPANIC/LATINO COMMUNITY TO ACCESS NEEDED RESOURCES THROUGH
DANVILLE BOYLE CO SENIORS	61-0888740		64,750.	0.			PROVIDES HOMECARE AND PERSONAL SERVICES TO SENIORS IN THEIR HOMES, PROVIDES MEALS AND
DANVILLE BOYLE CO LITERACY COUNCIL	61-1110606		15,725.	0.			OFFERS ENGLISH AS SECOND LANGUAGE AND GED PREPARATION CLASSES.
FAMILY SERVICES ASSN OF BOYLE COUNTY - DANVILLE, KY 40422	61-0458751		23,667.	0.			PROVIDES RENT AND UTILITY ASSISTANCE TO LOW INCOME INDIVIDUALS IN BOYLE CO
GERRARD COUNTY 4H	61-1101391		12,000.	0.			PROVIDES LEADERSHIP SKILLS AND VARIED YOUTH EXPERIENCES FOR AGES 5-19YRS IN GARRARD CO
GIRL SCOUTS OF KY	61-0608104		7,500.	0.			ENGAGES GIRLS IN ACTIVITIES THAT TEACH LEADERSHIP, VALUES, LIFE SKILLS FOR SUCCESS IN
HERITAGE HOSPICES	31-0988104		30,000.	0.			TRANSITIONS PROGRAM SERVICES INCLUDING INFORMATION, EDUCATION, REFERRAL, COUNSELING, AND

SCHEDULE I-1
(Form 990)
Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule I (Form 990)
▶ Attach to Form 990 to list additional information for Schedule I (Form 990), Part II or Part III.

OMB No 1545-0047
2009
Open to Public Inspection

Name of the organization
HEART OF KENTUCKY UNITED WAY, INC.

Employer identification number
23-7166092

Part I **Continuation of Grants and Other Assistance to Governments and Organizations in the United States** (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HOPE PHARMACY	61-1229333		50,000.	0.			PROVIDES FREE/LOW COST HEALTHCARE FOR UNINSURED AND UNDERINSURED LOW INCOME CLIENTS
KIDNEY HEALTH ALLIANCE OF KENTUCKY	23-7153964		5,550.	0.			ASSISTS KIDNEY DISEASE PATIENTS AND FAMILIES WITH NUTRITIONAL SUPPLEMENTS; OFFERS FREE
NORTHERN KENTUCKY LEGAL AID SOCIETY - DANVILLE, KY 40422	61-0668572		10,000.	0.			PROVIDES HIGH QUALITY CIVIL LEGAL ASSISTANCE TO LOW INCOME INDIVIDUALS AND FAMILIES
LINCOLN COUNTY SENIOR CITIZENS CENTER - DANVILLE, KY 40422	61-0913274		50,875.	0.			PROVIDES HOMECARE, INDIVIDUAL MEALS TO SENIORS IN LINCOLN CO; OFFERS TRANSPORTATION,
MERCER COUNTY HABITAT FOR HUMANITY	61-1189896		13,875.	0.			PROVIDES AFFORDABLE HOUSING FOR ELIGIBLE FAMILIES
MERCER COUNTY SENIOR CITIZENS	61-0659583		32,500.	0.			PROVIDES TRANSPORATION, MEALS, RECREATION AND SOCIALIZING TO SENIOR AT SENIOR CENTER
MERCER COUNTY 4 H	61-1318412		13,875.	0.			PROVIDES LEADERSHIP SKILLS AND VARIED YOUTH EXPERIENCES FOR AGES 5-19YRS IN MERCER CO
NURSING HOME	61-0996520		24,000.	0.			ADVOCATES FOR THE HEALTH RIGHTS AND QUALITY OF LIFE FOR NURSING HOME RESIDENTS AND THEIR

SCHEDULE I-1
(Form 990)
Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule I (Form 990)
▶ **Attach to Form 990 to list additional information for Schedule I (Form 990), Part II or Part III.**

OMB No 1545-0047
2009
Open to Public Inspection

Name of the organization
HEART OF KENTUCKY UNITED WAY, INC.

Employer identification number
23-7166092

Part I **Continuation of Grants and Other Assistance to Governments and Organizations in the United States** (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
RECOVERY CENTER	61-0723605		20,000.	0.			PROVIDES SUBSTANCE ABUSE INTENSIVE TREATMENT PROGRAM
SANDERS CRISIS	61-1229333		20,000.	0.			PROVIDES SHORT TERM EMERGENCY HOUSING AND THERAPEUTIC CARE FOR ABUSED CHILDREN
SALVATION ARMY	61-0452065		107,500.	0.			PROVIDES RENT, UTILITIES, FOOD ASSISTANCE TO LOW INCOME INDIVIDUALS AND FAMILIES
WILDERNESS TRACE	61-1230722		95,500.	0.			EARLY INTERVENTION SERVICES TO INFANTS AND PRESCHOOL AGE CHILDREN WITH DISABILITIES
CASA	26-1841458		15,000.	0.			PROVIDES TRAINING OF ADVOCATES FOR ABUSED CHILDREN IN THE COURT SYSTEM
WILDERNESS TRACE FAMILY YMCA	61-1024933		68,500.	0.			OFFERS PROGRAMS FOR FITNESS AND HEALTHY LIFESTYLE FOR YOUTH AND ADULTS

Part IV Supplemental Information

EDUCATION, INTERPRETERS

NAME OF ORGANIZATION OR GOVERNMENT: DANVILLE BOYLE CO SENIORS

(H) PURPOSE OF GRANT OR ASSISTANCE: PROVIDES HOMECARE AND PERSONAL SERVICES TO SENIORS IN THEIR HOMES, PROVIDES MEALS AND TRANSPORTATION TO SENIORS AT THE SENIORS CENTER

NAME OF ORGANIZATION OR GOVERNMENT: GIRL SCOUTS OF KY

(H) PURPOSE OF GRANT OR ASSISTANCE: ENGAGES GIRLS IN ACTIVITIES THAT TEACH LEADERSHIP, VALUES, LIFE SKILLS FOR SUCCESS IN SCHOOL AND LIFE

NAME OF ORGANIZATION OR GOVERNMENT: HERITAGE HOSPICES

(H) PURPOSE OF GRANT OR ASSISTANCE: TRANSITIONS PROGRAM SERVICES INCLUDING INFORMATION, EDUCATION, REFERRAL, COUNSELING, AND SUPPORT FOR CLIENTS WITH LIFE-LIMITING ILLNESSES

NAME OF ORGANIZATION OR GOVERNMENT: KIDNEY HEALTH ALLIANCE OF KENTUCKY

(H) PURPOSE OF GRANT OR ASSISTANCE: ASSISTS KIDNEY DISEASE PATIENTS AND FAMILIES WITH NUTRITIONAL SUPPLEMENTS; OFFERS FREE SCREENINGS AND EDUCATION FOR AND ABOUT KIDNEY DISEASE

NAME OF ORGANIZATION OR GOVERNMENT: LINCOLN COUNTY SENIOR CITIZENS CENTER

(H) PURPOSE OF GRANT OR ASSISTANCE: PROVIDES HOMECARE, INDIVIDUAL MEALS TO SENIORS IN LINCOLN CO; OFFERS TRANSPORTATION, RECREATION AND SOCIALIZING TO SENIORS AT SENIOR CENTER

NAME OF ORGANIZATION OR GOVERNMENT: NURSING HOME

(H) PURPOSE OF GRANT OR ASSISTANCE: ADVOCATES FOR THE HEALTH RIGHTS AND

Part IV Supplemental Information

QUALITY OF LIFE FOR NURSING HOME RESIDENTS AND THEIR FAMILIES

SCHEDULE O (Form 990)	Supplemental Information to Form 990	OMB No 1545-0047
Department of the Treasury Internal Revenue Service	Complete to provide information for responses to specific questions on Form 990 or to provide any additional information. ▶ Attach to Form 990.	2009 Open to Public Inspection

Name of the organization	Employer identification number
HEART OF KENTUCKY UNITED WAY, INC.	23-7166092

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

CARING FOR ONE ANOTHER.

FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:

10.EIN 20-1965942 BLUEGRASS DOMESTIC VIOLENCE PROGRAM

11.EIN 61-1349003 S.P.A.N.

FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS:

10.EIN 61-0888740 DANVILLE/BOYLE COUNTY SENIORS, INC.

11.EIN 61-0659583 BLUEGRASS COMMUNITY ACTION PARTNERSHIP-MERCER COUNTY ADULT DAY CARE

12.EIN 61-0659583 BLUEGRASS COMMUNITY ACTION PARTNERSHIP-MERCER COUNTY SENIOR CITIZENS

13.EIN 61-0913274 LINCOLN COUNTY SENIOR CITIZENS CENTER

14.EIN 61-0723605 RECOVERY CENTER

15.EIN 61-1024933 WILDERNESS TRACE YMCA

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

16TH ANNUAL DAY OF ACTION:

IN JUNE 2010, HEART OF KENTUCKY UNITED WAY (HKUW) HELD IT'S 16TH ANNUAL DAY OF CARING, A 1-DAY EVENT THAT ENGAGED ALMOST 600 VOLUNTEERS IN COMMUNITY SERVICE PROJECTS AT OVER 51 SITES IN BOYLE, GARRARD, LINCOLN, AND MERCER COUNTIES. DAY OF ACTION'S PURPOSE IS TO DEMONSTRATE THE YEAR-ROUND IMPACT OF UNITED WAY'S WORK AS AN ADVOCATE FOR CHANGE, AND HOW HKUW IS ADVANCING THE COMMON GOOD. THIS EVENT IS A VISIBLE TOOL THAT RAISES AWARENESS OF LOCAL NEEDS AND THE SERVICES AVAILABLE TO

SCHEDULE O (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization

HEART OF KENTUCKY UNITED WAY, INC.

Employer identification number

23-7166092

COMMUNITIES THROUGH HEART OF KENTUCKY UNITED WAY INVOLVEMENT.

DAY OF ACTION PROVIDES FREE VOLUNTEER LABOR TO NON-PROFIT ORGANIZATIONS AND THE PEOPLE THEY SERVE. PROJECTS VARY FROM, BUT ARE NOT LIMITED TO: HOME REPAIRS, CLEANING & YARD WORK; BUILDING WHEELCHAIR RAMPS FOR LOW INCOME HOMEOWNERS; ADDRESSING ENVIRONMENTAL CONCERNS THROUGH TRASH PICKUP AT HERRINGTON LAKE (LOCAL DRINKING WATER SOURCE) AND OTHER CLEAN-UP PROJECTS AT PUBLIC SITES. VOLUNTEERS GET TO "WET THEIR FEET" THROUGH MENTORING AT RISK CHILDREN AND INTERACTION WITH ISOLATED ELDERLY PEOPLE IN THEIR HOMES AND NURSING HOMES.

DAY OF ACTION IS A VISIBLE, HANDS-ON EXPERIENCE THAT DEMONSTRATES THE MEASURABLE IMPACT OF LOCAL HEALTH AND HUMAN SERVICE PROGRAMS ON THE LIVES OF PEOPLE WHO LIVE AND WORK THERE.

EXPENSES $ 132523. INCLUDING GRANTS OF $ 0. REVENUE $ 0.

FORM 990, PART VI, SECTION B, LINE 11: THE FINANCE COMMITTEE REVIEWS THE RETURN BEFORE IT IS PASSED TO THE BOARD FOR OVERALL APPROVAL.

FORM 990, PART VI, SECTION B, LINE 12C: ANNUALLY THE ORGANIZATION COLLECTS SIGNED "CONFLICT OF INTEREST" STATEMENTS FROM ALL BOARD MEMBERS, STAFF AND ANY NEW MEMBER WHEN SERVICE BEGINS DURING THE YEAR. BOARD MEMBERS DO NOT DISCUSS OR VOTE ON ANY BUSINESS THAT IS OR COULD POTENTIALLY BE VIEWED AS A CONFLICT OF INTEREST.

FORM 990, PART VI, SECTION B, LINE 15: EXECUTIVE DIRECTOR HAS A WRITTEN

SCHEDULE O (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization

HEART OF KENTUCKY UNITED WAY, INC.

Employer identification number

23-7166092

EVALUATION WHICH IS CONDUCTED BY THE PERSONNEL COMMITTEE CHAIR, PRESIDENT & CAMPAIGN CHAIR. THESE THREE MAKE RECOMMENDATIONS TO THE FINANCE COMMITTEE,WHICH IS RESPONSIBLE FOR THE ANNUAL BUDGET & FINANCE, AND EITHER APPROVES OR REJECTS THE RECOMMENDATION. FINANCE RECOMMENDS SALARIES TO EXECUTIVE COMMITTEE &, IF APPROVED, THE ENTIRE BOARD OF DIRECTORS MUST VOTE ON SALARIES OF ALL EMPLOYEES FOR THE ANNUAL BUDGET PROCESS. WHAT IS NOW CALLED THE HUMAN CAPITAL STUDY FROM UNITED WAY OF AMERICA HAS BEEN USED FOR COMPARISON, BUT EXECUTIVE DIRECTOR REFUSED SALARY INCREASE FOR 2010 AND NO EMPLOYEE RECIEVED A SALARY INCREASE IN 2010.

FORM 990, PART VI, SECTION C, LINE 19: PROVIDED UPON REQUEST.

Heart of Kentucky United Way
2009 FORM 990 PARTNER OUTCOMES

FINANCIAL STABILITY:

(1) BGCAP Self Sufficiency (Mercer County)
- ... Individual clients received emergency assistance w/rent, utilities, and food, along with educational employment, housing, and income management
- ... Through collaboration with and referral to other service providers, individual clients receive additional assistance.

(2) BGCAP Senior Companion Program (Boyle, Garrard, Lincoln, Mercer Counties)
- ... Promotes and encourages volunteerism among low income seniors, 55 and older.
- ... Recruits and trains eligible seniors who meet the age requirements to provide up to 20 hours of free in-home care and assistance to nearby seniors.
- ... Provides transportation or mileage reimbursement to volunteers serving as senior companions, as well as a tax-free stipend for hours served for those who meet income guidelines.
- ... Provides needed help and assistance to frail, elderly citizens in order to maintain their highest level of independent living.
- ... Provides free in-home care to ready seniors at risk of premature or unnecessary nursing home placement.
- ... Clients receive home assistance with daily living tasks, social support, nutritious meals, and companionship.
- ... Caregivers receive much needed respite.
- ... As a result of Senior Companion Program services, senior clients are more functional and self-sufficient in their homes and avoid premature or unnecessary institutionalization.

(3) Bluegrass Domestic Violence (Boyle, Garrard, Lincoln, Mercer Counties)
- ... Clients receive Safety Planning, initial crisis intervention services.
- ... Clients participate in support groups to address emotional needs.
- ... Clients receive assistance from Legal Advocacy through collaboration with other service providers.
- ... Clients are provided with Outreach Services, housing support, care management, counseling, and financial assistance.
- ... Clients receive budget and credit counseling and financial literacy through Self Sufficiency programs.

(4) Family Services (Boyle County)
- ... Provides immediate, short term, emergency financial assistance for basic needs: food, shelter, essential utilities, and medication to individuals living at or below 125% of the Federal Poverty Guidelines.
- ... Emphasizes personal responsibility and initiation by helping clients' initial problems and also pointing them to more permanent solutions.
- ... Provides emotional support and reassurance and makes referrals to other resources as needed and appropriate.

(5) Habitat for Humanity (Boyle and Mercer Counties)
- ... Habitat provides safe, well built, attractive homes at low cost to families living in substandard housing

... Habitat families achieve financial and home maintenance skills.
... Habitat families accomplish financial goals and improve their financial status.
... Habitat families receive support from the organization (nurturing, monitoring, education programs, classes, workshops).
... Habitat families invest "sweat equity" hours on behalf of their new homes.
... Habitat families have a strong sense of accomplishment and gratitude.
... Habitat homeowners become responsible, tax-paying citizens.

(6) Legal Aid of the Bluegrass (Boyle, Garrard, Lincoln, and Mercer Counties)
... Provides high quality legal assistance through direct representation, education, advice, advocacy, and coordination with other community resources.
... Provides legal assistance for domestic violence victims/survivors, including poor women and their children, immigrants, and other victims experiencing heightened or long-term violence.
... Provides legal assistance for the low-income elderly who need help with public benefits, document preparation, and self-determination issues.

(7) Salvation Army (Boyle, Casey, Garrard, Lincoln, and Mercer Counties)
... Assists clients with emergency needs such as housing/rent, food, clothing, furniture, medication, spouse abuse, and burnout victims.
... Provides weekly life skill programs for seniors, adults, and children.
... Conducts Community Care Ministries.
. . Operates a community center for youth and adults.
... Provides summer camps, music classes, and a variety of youth programs during the summer and after school.

(8) SPAN: Special Persons Advocacy Network (Boyle, Garrard, Lincoln, and Mercer Counties)
... Provides education and support opportunities for adult developmentally disabled individuals and their families.
... Provides participants with a sense of dignity through community involvement.
... Provides recreational and social activities, along with educational programs and resources for participants and their families.
... Provides respite care allowing caregivers a time of retreat, reflection, and renewal.
... Families are better able to deal with special needs and prepare for the future in a more positive manner.

EDUCATION·

(1) BBBS (Boyle, Garrard, Lincoln, Mercer Counties)
... Students participating in a mentoring program showed improvement in self-esteem, ability to express feelings, attitude toward school and classroom participation, school attendance, academic performance, trust in adults, and respect of others.

(2) 4H Councils (Boyle, Garrard, Lincoln, Mercer Counties)
... Youth participate in summer camps, school clubs, competitive activities, state fair, Reality Store, and Dollar and Sense.
... Youth learn how to raise and care for livestock, protect the environment, manage money, build and make items, and compete at all levels in a variety of areas.
... Youth develop leadership skills, how to get along with others, increased self-esteem and confidence, participation in the democratic process, how to be a judge, and the value of community service (giving back).

(3) Centro Latino (Boyle, Garrard, Lincoln, Mercer Counties)
- ... Participants receive educational and medical services with an interpreter provided.
- ... Hispanic families have access to community activities and resources (camps, swim lessons, citizenship classes).
- ... Adults and children gain increased fluency and competence in English, reading and speaking skills, and appreciation of reading and recreational time.

(4) DLDA: Danville Learning Disabilities (Boyle, Garrard, Lincoln, Mercer Counties)
- ... Children with special needs are able to participate in a community wide arts show, displaying their talents and giving them much needed recognition of their work.
- ... Parents of children with special needs are provided support and information on how to best advocate for their children in the schools.

(5) Girl Scouts (Boyle, Garrard, Lincoln, and Mercer Counties)
- ... Adult leaders receive excellent training for their roles in providing health and fitness programs, life skills, careers, and conflict resolution.
- ... Girls learn how to work as a team and try new activities, gain financial literacy skills, can demonstrate what they've learned to others, and perform a variety of service projects.

(6) Mercer Adult Education Center (Mercer County)
- . . Adults obtain GED.
- ... Adults achieve individual educational goals.
- ... Adults gain Financial/Health Literacy.
- ... Adults have opportunity to take the Work Keys certification, allowing them to prove their skill levels in Reading for Information, Locating Information, and Applied Math.
- ... Adults have the opportunity to participate in ESL (English as a Second Language), if needed.

(7) Wilderness Trace Child Development Center (Boyle, Garrard, Lincoln, and Mercer Counties)
- ... Infants and toddlers (birth thru 2 years) with disabilities are served through First Steps with home childcare visits, speech and language therapy, physical therapy, mobility and occupational therapy, and visually impaired services as needed.
- ... Preschoolers, ages 3-5, with disabilities attend preschool on campus receiving speech and language therapy, physical therapy, mobility and occupational therapy, and visually impaired services as needed
- ... Parents of children with disabilities, including but not limited to cerebral palsy, autism, and Down's Syndrome, receive support, education information, and social functions at the center.

HEALTH:

(1) ARC: Central KY/Daniel Boone Chapters (Boyle, Lincoln, Mercer Counties)
- ... Individuals' needs (food, shelter, clothing, medicine) met as a result of single family fines.
- ... Emergency service information transmitted to military families.
- ... Health and Safety training from certified instructors provided.

(2) BGCAP Mercer Adult Care (Mercer County)
- ... Caregivers of family members with Alzheimer's or dementia and those with physical, mental, or social impairments or special needs received respite.
- ... Adults attending daycare received nutritious meals (breakfast, lunch, and snacks), enjoyed appropriate social activities, and received nursing services and medication as needed.

(3) Bluegrass Rape Crisis Center (Boyle, Garrard, Lincoln, Mercer Counties)

- ... Participants receive Counseling Services including, as appropriate, the following: crisis line, crisis counseling, group counseling, individual and group psychotherapy, and client support.
- ... Participants receive Advocacy Services, including, as appropriate, the following: medical advocacy and legal advocacy.
- ... Education Services include: (1) targeted age-appropriate educational programming about sexual violence provided to community members, including students in middle/high schools and (2) in service training to area professionals.
- ... Victims of sexual assault and violence are supported and receive the coping skills necessary to resume a normal life.

(4) CASA at Woodlawn: Court Appointed Special Advocate (Boyle and Mercer Counties)

- ... Advances the best interests of abused, neglected, and dependent children in Boyle and Mercer counties.
- ... Trains volunteers who serve as special advocates working with the identified children, their families and the court system.
- ... Recommendations on behalf of the children served are provided to the court by CASA volunteers.
- ... Family Court Judges make well-informed decisions with the safety and future of the children they protect thanks to CASA volunteers.
- ... Children served are more likely to be placed in a permanent home with their well being and security maintained.

(5) HOSPICE: Transitions (Boyle, Garrard, Lincoln, Mercer)

- ... Transitions patients are a unique group of vulnerable individuals previously underserved, now identified and served by Hospice.
- ... Transitions patients, terminally ill individuals, are able to maintain their independence and autonomy for as long as possible and exercise control over their end-of-life choices.
- ... Transitions clients are linked to community resources and needed services through county based care managers.
- ... Transitions clients are provided assistance with housekeeping and home maintenance by volunteers.
- ... Transitions clients receive home and hospital visits, phone contacts, and contacts with community resources and healthcare providers.
- ... Transitions clients experience increased financial stability as a result of care manager contacts with federal/state benefit agencies.
- ... Bereaved family members and significant others receive emotional support for 13 months following the death of a transitions client.

(6) Hope Clinic and Pharmacy (Boyle, Casey, Garrard, Lincoln, Marion, Mercer,Washington)

- ... Hope Clinic clients, not covered by Medicare, Medicaid, or private insurance and at least at 150% or less of the federal poverty guidelines, are screened and prequalified to receive services.
- ... Clients seen at Hope Clinic are eligible to receive free medications through the prescription-assistance programs supported by pharmaceutical manufacturers.
- ... Hope Clinic clients have been diagnosed with one or more of the following chronic illnesses: high blood pressure, high cholesterol, Type II diabetes, obesity, and gastro esophageal reflux disease.

... Hope Clinic clients become healthier individuals as a result of the provided health care and the promotion of wellness.

(7) Kidney Health Alliance of Kentucky (statewide)
... Individual kidney patients receive emergency financial assistance for drug costs, utilities, transportation and housing.
... Individual kidney patients received monthly distribution of nutritional supplement products.
... Kidney patients and their families receive educational information and have access to social activities and support.
... KHAKY participates in health fairs, makes presentations to students, sponsors free kidney screenings in order to make the public more aware and chronic kidney disease will be detected and treated earlier.
... In the long run, fewer patients will progress to kidney failure and need for transplantation.

(8) Nursing Home Ombudsman of the Bluegrass (statewide)
... Improves the quality of care for residents residing in long term facilities.
... Protects the rights of nursing home residents.
... Empowers the residents and their families to make informed choices.
... Monitors and works to enact laws protecting nursing home residents.
.. Serves as a regular friendly visitor to residents.
... Makes regular visits to nursing home facilities.

(9) Recovery Center (Boyle, Casey, Garrard, Lincoln, Mercer, Washington)
... Clients receive intensive outpatient treatment for substance abuse and dependence.
... Clients receive the following therapies: psycho educational, group process, after care, clinical assessment, individual and family therapy.
... Clients learn the important of abstinence, coping skills to prevent relapse, the importance of the 12 step program, how addiction can result in legal problems and removal of children

(10). Regain (Boyle, Casey, Garrard, Marion, Mercer, Washington)
... Serves uninsured cardiac rehab patients
...Individual program goals are set and achieved
...Individual patient needs are identified and met
.. Individual patients receive referrals to other community agencies as needed

(11) Senior Citizen Centers (Boyle, Lincoln, and Mercer Counties)
...Seniors are provided with hot meals and social and educational activities.
...Home care clients receive meals, assistance with daily living, and transportation to medical appointments, grocery shopping, and errands.
...Caregivers receive much needed respite.
...Seniors experience changes in attitude, motor skills, and overall health conditions.
Seniors are able to remain independent longer, avoiding premature nursing home placement.

(12) Sunrise Children's Services Boyle, Casey, Garrard, Lincoln, Marion, Mercer, Washington)
...Provides an immediate care crisis unit serving neglected/abused children.
...Provides a safer place to turn to in crisis situations for neglected/abused children.
...Provides a therapeutic alternative to hospitalization or incarceration when the behavior and mental health of the child is at the crisis point.
...Provides screening of potential residents.

...Serves caregivers of children at Sunrise.
...Administers medication to children in residence.
...Provides counseling sessions with children in residence and their families.
...Provides community resources to families.

(13) Wilderness Trace YMCA (Boyle, Garrard, Lincoln, Mercer, Washington)
...After school day care is provided to families at affordable rates.
...Children and youth in after school day care are in stable, nurturing school sites.
...Children and youth in after school day care receive homework assistance, healthy snacks, physical activity (dance, sports, cooperative games) and weekly music and science lessons.
...Children and youth in after school day care learn character values, safety practices, and helping others.

Form **8868**
(Rev. April 2009)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I **Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*

Type or print	Name of Exempt Organization	**Employer identification number**
File by the due date for filing your return See instructions	HEART OF KENTUCKY UNITED WAY, INC.	23-7166092
	Number, street, and room or suite no. If a P.O. box, see instructions P.O. BOX 458	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. DANVILLE, KY 40423-0458	

Check type of return to be filed (file a separate application for each return):

[X] Form 990	[ ] Form 990-T (corporation)	[ ] Form 4720
[ ] Form 990-BL	[ ] Form 990-T (sec. 401(a) or 408(a) trust)	[ ] Form 5227
[ ] Form 990-EZ	[ ] Form 990-T (trust other than above)	[ ] Form 6069
[ ] Form 990-PF	[ ] Form 1041-A	[ ] Form 8870

- The books are in the care of ▶ HEART OF KENTUCKY UNITED WAY P.O. BOX 458 - DANVILLE, KY 40423
  Telephone No. ▶ (859) 238-6986 FAX No. ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ▶ [ ]. If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension will cover.

1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until FEBRUARY 15, 2011, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ [ ] calendar year _____ or
▶ [X] tax year beginning JUL 1, 2009, and ending JUN 30, 2010.

2 If this tax year is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	**3a**	$
b	If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	**3b**	$
c	**Balance Due.** Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	**3c**	$ N/A

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA **For Privacy Act and Paperwork Reduction Act Notice, see Instructions.** Form **8868** (Rev 4-2009)

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05-26-09