Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning JUL 1, 2009 , and ending JUN 30, 2010

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: HORIZON FOUNDATION, INC.

Number and street (or P O box number if mail is not delivered to street address): ONE MONUMENT WAY, 2ND FLOOR | Room/suite

City or town, state, and ZIP code: PORTLAND, ME 04101

A Employer identification number: 23-2867116

B Telephone number: (207) 773-5101

C If exemption application is pending, check here ▶☐

D 1. Foreign organizations, check here ▶☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 11,264,650.

J Accounting method: ☐ Cash [X] Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	160,000.		N/A	
	2 Check ▶☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	40,571.	40,571.		
	4 Dividends and interest from securities	125,791.	125,791.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-5,083.			
	b Gross sales price for all assets on line 6a 2,877,723.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	321,279.	166,362.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	21,260.	0.		21,260.
	14 Other employee salaries and wages	30,000.	0.		30,000.
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 1	1,650.	0.		1,650.
	c Other professional fees STMT 2	300.	300.		0.
	17 Interest				
	18 Taxes STMT 3	2,293.	0.		2,293.
	19 Depreciation and depletion	315.	0.		
	20 Occupancy	15,859.	0.		15,859.
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 4	13,260.	0.		13,260.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	84,937.	300.		84,322.
	25 Contributions, gifts, grants paid	425,500.			425,500.
	26 **Total expenses and disbursements** Add lines 24 and 25	510,437.	300.		509,822.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-189,158.			
	b **Net investment income** (if negative, enter -0-)		166,062.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets				
1	Cash - non-interest-bearing	9,173.	9,735.	9,735.
2	Savings and temporary cash investments	3,917,408.	2,974,699.	2,974,699.
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons			
7	Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments - U S and state government obligations			
b	Investments - corporate stock STMT 6	3,378,134.	3,872,429.	3,872,429.
c	Investments - corporate bonds			
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
12	Investments - mortgage loans			
13	Investments - other STMT 7	2,308,108.	3,220,531.	3,220,531.
14	Land, buildings, and equipment basis ▶ 14,482.			
	Less accumulated depreciation STMT 8 ▶ 14,482.			
15	Other assets (describe ▶ STATEMENT 9)	1,244,004.	1,187,256.	1,187,256.
16	**Total assets** (to be completed by all filers)	10,856,827.	11,264,650.	11,264,650.
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted	9,612,822.	10,077,394.	
25	Temporarily restricted	1,244,005.	1,187,256.	
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances**	10,856,827.	11,264,650.	
31	**Total liabilities and net assets/fund balances**	10,856,827.	11,264,650.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,856,827.
2	Enter amount from Part I, line 27a	2	-189,158.
3	Other increases not included in line 2 (itemize) ▶ UNREALIZED GAIN ON INVESTMENT	3	653,729.
4	Add lines 1, 2, and 3	4	11,321,398.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 5	5	56,748.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	11,264,650.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	2,877,723.		2,882,806.	-5,083.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				-5,083.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 -5,083.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	499,038.	9,539,657.	.052312
2007	574,590.	11,601,523.	.049527
2006	554,607.	11,503,590.	.048212
2005	416,124.	8,772,274.	.047436
2004	410,076.	8,371,745.	.048983

2	Total of line 1, column (d)	2	.246470
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.049294
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	10,233,377.
5	Multiply line 4 by line 3	5	504,444.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,661.
7	Add lines 5 and 6	7	506,105.
8	Enter qualifying distributions from Part XII, line 4	8	509,822.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1				
Date of ruling or determination letter ________ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b			1	1,661.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3 Add lines 1 and 2			3	1,661.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	1,661.
6 Credits/Payments				
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	6,640.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments Add lines 6a through 6d			7	6,640.
8 Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	4,979.
11 Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶	4,979.	**Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
If the answer is "Yes" to **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ ME			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ HORIZONFOUNDATION.ORG

14 The books are in care of ▶ HORIZON FOUNDATION, INC Telephone no ▶207-773-5101
Located at ▶ONE MONUMENT WAY, 2ND FLOOR, PORTLAND, ME ZIP+4 ▶04101

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly).				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969, (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		0.	21,260.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	10,034,204.
b	Average of monthly cash balances		1b	355,011.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	10,389,215.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	10,389,215.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	155,838.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	10,233,377.
6	**Minimum investment return** Enter 5% of line 5		6	511,669.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	511,669.
2a	Tax on investment income for 2009 from Part VI, line 5	2a 1,661.		
b	Income tax for 2009 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	1,661.
3	Distributable amount before adjustments Subtract line 2c from line 1		3	510,008.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	510,008.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	510,008.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	509,822.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	509,822.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	1,661.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	508,161.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				510,008.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008 9,575.				
f Total of lines 3a through e	9,575.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 509,822.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				509,822.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	186.			186.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	9,389.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	9,389.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008 9,389.				
e Excess from 2009				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed.

SEE STATEMENT 12

b The form in which applications should be submitted and information and materials they should include

SEE STATEMENT 12

c Any submission deadlines

SEE STATEMENT 12

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE STATEMENT 12

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 11				
Total			▶ 3a	425,500.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	40,571.	
4 Dividends and interest from securities			14	125,791.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-5,083.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		161,279.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 161,279.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 11/10/10 Title: President

Paid Preparer's Use Only

Preparer's signature: E. Drew Cheney Date: 11/9/10 Check if self-employed ☐ Preparer's identifying number

Firm's name (or yours if self-employed), address, and ZIP code: BAKER NEWMAN & NOYES P.O. BOX 507 PORTLAND, ME 04112

EIN

Phone no (207)879-2100

Form 990-PF (2009)

923622 02-02-10

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**

OMB No 1545-0047

2009

Name of the organization	**Employer identification number**
HORIZON FOUNDATION, INC.	23-2867116

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year. ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
HORIZON FOUNDATION, INC.	23-2867116

Part I **Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ALEXANDER K. BUCK, JR. 1997 TRUST #1 C/O WELCH & FORBES, LLC, 45 SCHOOL STREET BOSTON, MA 02108	$ 80,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
2	ALEXANDER K. BUCK, JR. 1997 TRUST #2 C/O WELCH & FORBES, LLC, 45 SCHOOL STREET BOSTON, MA 02108	$ 80,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	2,000 SH OF BECKMAN COULTER, INC.		05/11/06	05/04/10
b	6,000 SH OF CITIGROUP CAP XVI 6.450%		11/15/06	07/02/09
c	3,000 SH OF CITIGROUP CAP XVI 6.450%		09/30/08	07/02/09
d	100,000 SH OF DISCOVER BANK GREE DE US RT 02.1000		12/15/09	06/23/10
e	2,200 SH OF DU PONT DE NEMOURS		01/28/97	08/26/09
f	500 SH OF DU PONT DE NEMOURS		07/30/98	08/26/09
g	69 SH OF FAIRPOINT COMMUNICATIONS INC		01/28/97	09/11/09
h	60 SH OF FAIRPOINT COMMUNICATIONS INC		03/12/97	09/11/09
i	3,000 SH OF FANNIE MAE 8.250%		05/14/08	09/11/09
j	3,000 SH OF FANNIE MAE 8.250%		05/14/08	09/11/09
k	6,000 SH OF GOLDMAN SACHS GROUP INC PFD A		04/14/05	05/13/10
l	184 SH OF IDEARC INC		01/28/97	09/11/09
m	158 SH OF IDEARC INC		03/12/97	09/11/09
n	4,000 SH OF MERRILL LYNCH CAP TRUST 6.450%		04/26/07	07/02/09
o	1,22574.50 SH OF UBS GLOBAL ALLOCATION FUND CL A		01/20/09	08/05/09

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	122,928.		20.	122,908.
b	99,139.		150,000.	-50,861.
c	49,350.		44,654.	4,696.
d	100,000.		100,000.	0.
e	70,761.		116,461.	-45,700.
f	16,082.		31,513.	-15,431.
g	48.		533.	-485.
h	42.		553.	-511.
i	4,922.		75,000.	-70,078.
j	4,915.		75,000.	-70,085.
k	112,752.		150,000.	-37,248.
l	4.		4,575.	-4,571.
m	4.		4,690.	-4,686.
n	63,593.		100,000.	-36,407.
o	1,103,170.		808,992.	294,178.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				122,908.
b				-50,861.
c				4,696.
d				0.
e				-45,700.
f				-15,431.
g				-485.
h				-511.
i				-70,078.
j				-70,085.
k				-37,248.
l				-4,571.
m				-4,686.
n				-36,407.
o				294,178.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

923591
04-24-09

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	1,103,170.47 SH OF UBS MONEY MKT FD CL A		08/05/09	05/04/10
b	25.84 SH OF UBS MONEY MKT FD CL A		08/27/09	05/04/10
c	37.41 SH OF UBS MONEY MKT FD CL A		09/28/09	05/04/10
d	9.54 SH OF UBS MONEY MKT FD CL A		10/28/09	05/04/10
e	9.19 SH OF UBS MONEY MKT FD CL A		11/25/09	05/04/10
f	100.50 SH OF UBS MONEY MKT FD CL A		12/17/09	05/04/10
g	11.05 SH OF UBS MONEY MKT FD CL A		12/29/09	05/04/10
h	9.96 SH OF UBS MONEY MKT FD CL A		01/27/10	05/04/10
i	9.08 SH OF UBS MONEY MKT FD CL A		02/24/10	05/04/10
j	12.49 SH OF UBS MONEY MKT FD CL A		03/29/10	05/04/10
k	9.36 SH OF UBS MONEY MKT FD CL A		04/28/10	05/04/10
l	796 SH OF WELLS FARGO & CO NEW		08/11/00	05/13/10
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,103,400.		1,103,170.	230.
b	26.		26.	0.
c	37.		37.	0.
d	10.		10.	0.
e	9.		9.	0.
f	101.		101.	0.
g	11.		11.	0.
h	10.		10.	0.
i	9.		9.	0.
j	12.		12.	0.
k	9.		9.	0.
l	26,379.		117,411.	-91,032.
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				230.
b				0.
c				0.
d				0.
e				0.
f				0.
g				0.
h				0.
i				0.
j				0.
k				0.
l				-91,032.
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	-5,083.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

923591
04-24-09

FORM 990-PF ACCOUNTING FEES STATEMENT 1

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AUDIT & TAX SERVICES	1,650.	0.		1,650.
TO FORM 990-PF, PG 1, LN 16B	1,650.	0.		1,650.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	300.	300.		0.
TO FORM 990-PF, PG 1, LN 16C	300.	300.		0.

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	2,293.	0.		2,293.
TO FORM 990-PF, PG 1, LN 18	2,293.	0.		2,293.

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE SUPPLIES AND EXPENSES	370.	0.		370.
PAYROLL PROCESSING	1,306.	0.		1,306.
POSTAGE & EXPRESS MAIL	548.	0.		548.
TELEPHONE	2,752.	0.		2,752.
DUES & SUBSCRIPTIONS	6,195.	0.		6,195.
BANK CHARGES	83.	0.		83.
INSURANCE	1,971.	0.		1,971.

MISCELLANEOUS	35.	0.		35.
TO FORM 990-PF, PG 1, LN 23	13,260.	0.		13,260.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 5

DESCRIPTION	AMOUNT
DECREASE IN PRESENT VALUE OF FUTURE CHARITABLE LEAD TRUST PAYMENTS	56,748.
TOTAL TO FORM 990-PF, PART III, LINE 5	56,748.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	3,872,429.	3,872,429.
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,872,429.	3,872,429.

FORM 990-PF OTHER INVESTMENTS STATEMENT 7

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
INVESTMENT - FIXED INCOME	FMV	2,095,269.	2,095,269.
INVESTMENT - MUTUAL FUNDS	FMV	1,125,262.	1,125,262.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,220,531.	3,220,531.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 8

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTER EQUIPMENT	11,128.	11,128.	0.
FURNITURE AND FIXTURES	3,354.	3,354.	0.
TOTAL TO FM 990-PF, PART II, LN 14	14,482.	14,482.	0.

FORM 990-PF OTHER ASSETS STATEMENT 9

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
PRESENT INTEREST IN CHARITABLE LEAD TRUST	1,244,004.	1,187,256.	1,187,256.
TO FORM 990-PF, PART II, LINE 15	1,244,004.	1,187,256.	1,187,256.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 10

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ALEXANDER K. BUCK, SR. ONE MONUMENT WAY, 2ND FLOOR PORTLAND, ME 04101	CHAIRMAN 1.00	0.	0.	0.
ALEXANDER K. BUCK, JR. ONE MONUMENT WAY, 2ND FLOOR PORTLAND, ME 04101	PRESIDENT 25.00	0.	21,260.	0.
ANNE E. BUCK ONE MONUMENT WAY, 2ND FLOOR PORTLAND, ME 04101	SECRETARY 1.00	0.	0.	0.
N. HARRISON BUCK ONE MONUMENT WAY, 2ND FLOOR PORTLAND, ME 04101	TREASURER 2.00	0.	0.	0.
NANCY B. BUCK ONE MONUMENT WAY, 2ND FLOOR PORTLAND, ME 04101	VICE PRESIDENT 1.00	0.	0.	0.
SARA L. BUCK ONE MONUMENT WAY, 2ND FLOOR PORTLAND, ME 04101	VICE PRESIDENT 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	21,260.	0.

FORM 990-PF — GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR — STATEMENT 11

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
APPALACHIAN MOUNTAIN CLUB 5 JOY STREET BOSTON, MA 02108	NONE GENERAL SUPPORT	PUBLIC	5,000.
BIGELOW LABORATORY FOR OCEAN SCIENCES P.O. BOX 475 WEST BOOTHBAY HARBOR, ME 04575	NONE GENERAL SUPPORT	PUBLIC	7,000.
CHILDREN'S DISCOVERY MUSEUM OF CAPE COD 577 GREAT NECK ROAD SOUTH MASHPEE, MA 02649	NONE GENERAL SUPPORT	PUBLIC	7,000.
CULTIVATING COMMUNITY P.O. BOX 3792 PORTLAND, ME 04104	NONE GENERAL SUPPORT	PUBLIC	7,500.
DAMARISCOTTA RIVER ASSOCIATION P.O. BOX 333 DAMARISCOTTA, ME 04543	NONE GENERAL SUPPORT	PUBLIC	5,000.
GROWSMART MAINE 309 CUMBERLAND AVENUE, SUITE 202 PORTLAND, ME 04101	NONE GENERAL SUPPORT	PUBLIC	7,500.
ISLAND INSTITUTE P.O. BOX 648 ROCKLAND, ME 04841	NONE GENERAL SUPPORT	PUBLIC	7,500.
LAND TRUST ALLIANCE P.O. BOX 1797 LITCHFIELD, CT 06759	NONE GENERAL SUPPORT	PUBLIC	4,500.

MASS AUDUBON P.O. BOX 235 CUMMAQUID, MA 02637	NONE GENERAL SUPPORT	PUBLIC	10,000.
NEW JERSEY SEEDS 494 BROAD STREET, SUITE 105 NEWARK, NJ 07102	NONE GENERAL SUPPORT	PUBLIC	7,000.
OPPORTUNITY MAINE 163 LANCASTER STREET, SUITE 160B PORTLAND, ME 04101	NONE GENERAL SUPPORT	PUBLIC	7,500.
PORTLAND TRAILS 305 COMMERCIAL STREET PORTLAND, ME 04101	NONE GENERAL SUPPORT	PUBLIC	7,500.
PRESUMPSCOT REGIONAL LAND TRUST P.O. BOX 33 GORHAM, ME 04038	NONE GENERAL SUPPORT	PUBLIC	7,500.
RESOURCES FIRST FOUNDATION 189 MAIN STREET YARMOUTH, ME 04096	NONE GENERAL SUPPORT	PUBLIC	5,000.
STEPPING STONES MUSEUM FOR CHILDREN 303 WEST AVENUE NORWALK, CT 06850	NONE GENERAL SUPPORT	PUBLIC	7,500.
STONY BROOK-MILLSTONE WATER SHED ASSOCIATION 31 TITUS MILL ROAD PENNINGTON, NJ 08534	NONE GENERAL SUPPORT	PUBLIC	15,000.
STUDENT CONSERVATION ASSOCIATION P.O. BOX 550 CHARLESTOWN, NH 03603	NONE GENERAL SUPPORT	PUBLIC	14,500.
THE NATURE CONSERVANCY 14 MAIN STREET, SUITE 401 BRUNSWICK, ME 04011	NONE GENERAL SUPPORT	PUBLIC	18,000.

YOUNG AUDIENCES OF NEW JERSEY 200 FORRESTAL ROAD PRINCETON, NJ 08540	NONE GENERAL SUPPORT	PUBLIC	10,000.
A COMPANY OF GIRLS P.O. BOX 7527 PORTLAND, ME 04112	NONE GENERAL SUPPORT	PUBLIC	5,000.
ANDROSCOGGIN RIVER ALLIANCE P.O. BOX 177 LEWISTON, ME 04240	NONE GENERAL SUPPORT	PUBLIC	12,500.
ARTWORKS 19 EVERETT ALLEY TRENTON, NJ 08611	NONE GENERAL SUPPORT	PUBLIC	5,000.
COALITION FOR BUZZARDS BAY 620 BELLEVILLE AVENUE NEW BEDFORD, MA 02745	NONE GENERAL SUPPORT	PUBLIC	12,500.
D&R GREENWAY LAND TRUST ONE PRESERVATION PLACE PRINCETON, NJ 08540	NONE GENERAL SUPPORT	PUBLIC	17,500.
DAMARISCOTTA LAKE WATERSHED ASSOCIATION P.O. BOX 3 JEFFERSON, ME 04348	NONE GENERAL SUPPORT	PUBLIC	10,000.
DAMARISCOTTA PLANNING ADVISORY COMMITTEE P.O. BOX 13 DAMARISCOTTA, ME 04553	NONE GENERAL SUPPORT	PUBLIC	10,000.
FIDDLEHEAD ART & SCIENCE CENTER P.O. BOX 1689 GRAY, ME 04039	NONE GENERAL SUPPORT	PUBLIC	5,000.
FREEPORT COMMUNITY SERVICES P.O. BOX 119 FREEPORT, ME 04032	NONE GENERAL SUPPORT	PUBLIC	15,000.

HISTORICAL SOCIETY OF PRINCETON 158 NASSAU STREET PRINCETON, NJ 08542	NONE GENERAL SUPPORT	PUBLIC	17,000.
JOBS FOR MAINE'S GRADUATES 45 COMMERCE DRIVE, SUITE 9 AUGUSTA, ME 04330	NONE GENERAL SUPPORT	PUBLIC	8,000.
MAINE ISLAND TRAIL ASSOCIATION 58 FORE STREET, SUITE 30-3 PORTLAND, ME 04101	NONE GENERAL SUPPORT	PUBLIC	5,000.
NEW ENGLAND FORESTRY FOUNDATION P.O. BOX 1346 LITTLETON, MA 01460	NONE GENERAL SUPPORT	PUBLIC	18,000.
NOBLEBORO HISTORICAL SOCIETY P.O. BOX 122 NOBLEBORO, ME 04555	NONE GENERAL SUPPORT	PUBLIC	17,500.
PORTLAND NORTH LAND TRUST COLLABORATIVE 225 COMMERCIAL STREET, SUITE 401D PORTLAND, ME 04101	NONE GENERAL SUPPORT	PUBLIC	5,500.
QUEBEC LABRADOR FOUNDATION P.O. BOX 335 WALDOBORO, ME 04572	NONE GENERAL SUPPORT	PUBLIC	5,000.
RIPPLEFFECT P.O. BOX 441 PORTLAND, ME 04112	NONE GENERAL SUPPORT	PUBLIC	12,000.
SHEEPSCOT VALLEY CONSERVATION ASSOCIATION 624 SHEEPSCOT ROAD NEW CASTLE, ME 04553	NONE GENERAL SUPPORT	PUBLIC	17,000.
SPANNOCCHIA FOUNDATION P.O. BOX 10531 PORTLAND, ME 04104	NONE GENERAL SUPPORT	PUBLIC	5,000.

THE TELLING ROOM 225 COMMERCIAL STREET, SUITE 201 PORTLAND, ME 04101	NONE GENERAL SUPPORT	PUBLIC	5,000.
TRENTON AFTER SCHOOL PROGRAM 801 WEST STATE STREET TRENTON, NJ 08618	NONE GENERAL SUPPORT	PUBLIC	5,000.
WOODS HOLE RESEARCH CENTER 149 WOODS HOLE ROAD FALMOUTH, MA 02540	NONE GENERAL SUPPORT	PUBLIC	12,500.
MAINE COUNTIES HERITAGE P.O. BOX 508 FARMINGTON, ME 04938	NONE GENERAL SUPPORT	PUBLIC	20,000.
MAINE COMMUNITY FOUNDATION 245 MAINE STREET ELLSWORTH, ME 04605	NONE GENERAL SUPPORT	PUBLIC	20,000.
TOTAL TO FORM 990-PF, PART XV, LINE 3A			425,500.

How to Apply

1. The first step in seeking a grant from Horizon Foundation is to submit a Letter of Inquiry. Please follow the format given on the link below. We have two grant cycles per year, with Letters of Inquiry due in January and July. Please see the Calendar / Deadlines page below for details.

2. We will respond to the inquiries with either rejections or invitations to submit full proposals within 3 to 4 weeks after the Letter of Inquiry deadline.

3. Full proposals from invited organizations will be due in early April or October.

4. Site visits will generally be conducted following submission of proposals.

5. Grant decisions will be made by Horizon trustees in May and November, and letters will be mailed by June 1 or December 1 informing applicants of final decisions.

6. Contracts for approved grant proposals must be signed by the appropriate organization representative and promptly returned to Horizon.

7. Grant funds are mailed once signed grant contracts have been received and approved by Horizon.

8. Final reports are generally due from grantees one year after receiving funding.

Initial Contact: Letter of Inquiry

No unsolicited proposals will be considered. However, interested organizations may submit a Letter of Inquiry for the Foundation to consider. We **will not accept faxed** or **emailed** letters of inquiry, or inquiries that **arrive after the application deadline.** In the letter, the Executive Director of the organization should provide in **one page**:

- the organization's mission
- the purpose for which you seek funding
- the amount of money you seek from Horizon Foundation and the period of time over which you seek it
- the other sources of financing you already have or intend to explore
- in which county or counties where Horizon provides funding will the project will take place
- contact information, including email address and website if applicable

For the fall 2010 cycle, Letters of Inquiry must be received at our office no later than July 13, 2010 to be considered. The Foundation will invite qualified organizations to submit proposals in mid-August. Full proposals must then be received no later than October 1, 2010.

For the spring 2011 cycle, Letters of Inquiry must be received at our office no later than January 11, 2011 to be considered. The Foundation will invite qualified organizations to submit proposals in mid-February. Full proposals must then be received no later than April 1, 2011.

Full Proposal Guidelines

Cover Sheet

Full proposals must include a **one-page**, single-sided, double-spaced cover sheet with the following information:

1. Organization name, address, telephone & fax numbers and, if applicable, website
2. A copy of your IRS 501(c)3 determination letter or a letter from your fiscal sponsor
3. Name, title, email address and telephone number of the contact person
4. Purpose of your organization (include mission statement)
5. Brief title of proposed project
6. Brief one-paragraph summary of the purpose of the requested grant
7. Amount requested and period of time over which you seek it
8. Name of Horizon trustee or staff member with whom you discussed your proposal

Project Description

Following the cover sheet, submit a complete project description, on a **maximum of FOUR PAGES single-sided, double-spaced**. The project description must include the following information:

- the need and planned response to the stated need
- expected accomplishments, and in-depth description of how they will be attained
- who will lead your efforts, including their experience as educators
- a detailed explanation of how you will document and evaluate the effectiveness of your project

Budget and Financial Information

On separate pages, please include the following information:

- a detailed project budget showing how the grant funds will be spent
- an overall organizational budget, if different from project budget
- your organization's most recent audited financial statement
- a list of other sources of grant and gift funding for the past two years
- whether any challenge matches might apply to this project

- a list of other foundations and corporations from whom you are soliciting grant funding for this project
- a list of your organization's Board of Directors or Trustees

Please note: Horizon Foundation will not accept faxed or emailed proposals, or proposals that arrive after the deadline.

Calendar/Deadlines

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For the spring 2011 cycle, Letters of Inquiry must be received at our office no later than January 11, 2011 to be considered. The Foundation will invite qualified organizations to submit proposals in mid-February. Full proposals must then be received no later than April 1, 2011.

Expectations of Horizon Grant Recipients

The Foundation requires single year grant recipients to submit a written report evaluating how the funds were used one year after receiving the grant. Multi-year grant recipients must submit a written report nine months from date of funding. The format of these reports will be included in the grant contract.

We may contact you about scheduling a follow-up site visit within six months of the awards receipt.

Grant recipients must wait at least seven months from the end of initial funding before submitting another Letter of Inquiry