Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning JUL 1, 2009 , and ending JUN 30, 2010

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
THE C & J UNANUE FOUNDATION, INC
C/O BESSEMER TRUST COMPANY, NA

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
630 FIFTH AVENUE

City or town, state, and ZIP code
NEW YORK, NY 10111

A Employer identification number
22-3382542

B Telephone number
(212)708-9100

C If exemption application is pending, check here ☐
D 1 Foreign organizations, check here ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 12,406,889.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	9,305.		N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	230,264.	230,264.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	192,468.			
	b Gross sales price for all assets on line 6a 3,373,506.				
	7 Capital gain net income (from Part IV, line 2)		192,468.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	432,037.	422,732.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees STMT 2	50,278.	46,278.		4,000.
	17 Interest				
	18 Taxes STMT 3	3,392.	3,392.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 4	413.	0.		413.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	54,083.	49,670.		4,413.
	25 Contributions, gifts, grants paid	560,000.			560,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	614,083.	49,670.		564,413.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	<182,046.>			
	b **Net investment income** (if negative, enter -0-)		373,062.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	1,546,595.	277,366.	277,366.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 5	1,533,422.	1,220,608.	1,304,673.
	b Investments - corporate stock STMT 6	9,993,604.	10,393,601.	9,824,850.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 7	0.	1,000,000.	1,000,000.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers)	13,073,621.	12,891,575.	12,406,889.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	13,073,621.	12,891,575.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 **Total net assets or fund balances**	13,073,621.	12,891,575.	
	31 **Total liabilities and net assets/fund balances**	13,073,621.	12,891,575.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	13,073,621.
2	Enter amount from Part I, line 27a	2	<182,046.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	12,891,575.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	12,891,575.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE SCHEDULE ATTACHED	P		
b	LITIGATION PROCEEDS	P	VARIOUS	01/19/10
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,373,503.		3,181,038.	192,465.
b	3.			3.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				192,465.
b				3.
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } — 2 — 192,468.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 — 3 — N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	703,882.	11,272,849.	.062440
2007	609,540.	14,014,252.	.043494
2006	519,275.	12,727,449.	.040800
2005	444,238.	10,650,266.	.041711
2004	372,992.	8,878,007.	.042013

2	**Total** of line 1, column (d)	2	.230458
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.046092
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	12,968,751.
5	Multiply line 4 by line 3	5	597,756.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,731.
7	Add lines 5 and 6	7	601,487.
8	Enter qualifying distributions from Part XII, line 4	8	564,413.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary-see instructions)**				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	7,461.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	7,461.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	7,461.
6 Credits/Payments:				
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	12,034.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	12,034.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	4,573.
11 Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶	4,573.	**Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
If the answer is "Yes" to **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NJ			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 8	10	X	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ BESSEMER TRUST COMPANY, NA Telephone no. ▶ 212 708-9100
Located at ▶ 630 FIFTH AVENUE, NEW YORK, NY ZIP+4 ▶ 10111

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969; (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A — 5b

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? — 6b — No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A — 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOSEPH A. UNANUE C/O BESSEMER TRUST COMPANY, N.A. 630 FIFTH AVE, NY NY 10111	TRUSTEE/TREAS./SECRETARY 10.00	0.	0.	0.
CARMEN UNANUE C/O BESSEMER TRUST COMPANY, NA 630 FIFTH AVE, NY NY 10111	TRUSTEE/PRESIDENT 10.00	0.	0.	0.
ANDREW UNANUE C/O BESSEMER TRUST COMPANY, NA 630 FIFTH AVE, NY NY 10111	TRUSTEE/VICE PRESIDENT 10.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	13,166,245.
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	13,166,245.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	13,166,245.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	197,494.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,968,751.
6	**Minimum investment return.** Enter 5% of line 5	6	648,438.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	648,438.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	7,461.		
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	7,461.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	640,977.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	640,977.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	640,977.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	564,413.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	564,413.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	564,413.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				640,977.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			529,672.	
b Total for prior years: ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ $ 564,413.				
a Applied to 2008, but not more than line 2a			529,672.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				34,741.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				606,236.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2010** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	**(b)** 2008	**(c)** 2007	**(d)** 2006	**(e) Total**
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

JOSEPH A. UNANUE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED				560,000.
Total			▶ 3a	560,000.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	230,264.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	192,468.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		422,732.	0.

13 **Total**. Add line 12, columns (b), (d), and (e) 13 422,732.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date: 11-4-10 — Title: Sec Treas

Paid Preparer's Use Only

Preparer's signature	Date 10/28/10	Check if self-employed ▶ ☐	Preparer's identifying number
Firm's name (or yours if self-employed), address, and ZIP code	BESSEMER TRUST COMPANY, NA 630 FIFTH AVENUE NEW YORK, NY 10111	EIN ▶	
		Phone no. (212) 708-9100	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS AND INTEREST	230,264.	0.	230,264.
TOTAL TO FM 990-PF, PART I, LN 4	230,264.	0.	230,264.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT SERVICES FEES	46,278.	46,278.		0.
FINANCIAL SERVICES FEES	4,000.	0.		4,000.
TO FORM 990-PF, PG 1, LN 16C	50,278.	46,278.		4,000.

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	3,392.	3,392.		0.
TO FORM 990-PF, PG 1, LN 18	3,392.	3,392.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILING FEE	413.	0.		413.
TO FORM 990-PF, PG 1, LN 23	413.	0.		413.

FORM 9.90-PF — U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS — STATEMENT 5

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE ATTACHED (8G2544)	X		1,220,608.	1,304,673.
TOTAL U.S. GOVERNMENT OBLIGATIONS			1,220,608.	1,304,673.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,220,608.	1,304,673.

FORM 990-PF — CORPORATE STOCK — STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE ATTACHED (8G2544)	10,393,601.	9,824,850.
TOTAL TO FORM 990-PF, PART II, LINE 10B	10,393,601.	9,824,850.

FORM 990-PF — OTHER INVESTMENTS — STATEMENT 7

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
AUA KABR, LLC	COST	1,000,000.	1,000,000.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,000,000.	1,000,000.

FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10 STATEMENT 8

NAME OF CONTRIBUTOR	ADDRESS
JOSEPH A UNANUE 1997 CHAR LEAD TR	C/O BESSEMER TRUST, 630 FIFTH AVENUE NEW YORK, NY 10111
JOSEPH & CARMEN UNANUE	C/O BESSEMER TRUST, 630 FIFTH AVENUE NEW YORK, NY 10111

Bessemer Trust

Capital Gain & Loss

Statement for the period 07/01/09 to 06/30/10
UNANUE, JOSEPH A. (658)
Tax ID: 22-3382542
Sorted by security description, sold date
Trade date basis

Shares/ par value	Date acquired	Date sold	Proceeds	Original cost basis	Adjustments	Adjusted cost basis	Gain/ loss
8G2544 C & J UNANUE FND - CLT ANNUITY A/C							
Long term gain loss							
025816109 / AMERICAN EXPRESS							
200 00	08/12/2003	04/30/2010	$9,512 60	$7,867 20	$0 00	$7,867 20	$1,645 40
200 00	07/02/2004	04/30/2010	$9,512 60	$8,994 58	$0 00	$8,994 58	$518 02
150 00	08/18/2004	04/30/2010	$7,134 45	$6,554 25	$0 00	$6,554 25	$580 20
115 00	02/12/2008	04/30/2010	$5,469 74	$5,198 00	$0 00	$5,198 00	$271 74
335 00	07/16/2008	04/30/2010	$15,933 60	$12,924 97	$0 00	$12,924 97	$3,008 63
Total			**$47,562.99**	**$41,539.00**	**$0.00**	**$41,539.00**	**$6,023.99**
064058100 / BANK NEW YORK MELLON CORP							
250 00	09/20/2006	11/17/2009	$6,731 98	$9,836 05	$0 00	$9,836 05	($3,104 07)
250 00	09/21/2006	11/17/2009	$6,731 98	$9,838 20	$0 00	$9,838 20	($3,106 22)
250 00	09/22/2006	11/17/2009	$6,731 98	$9,792 30	$0 00	$9,792 30	($3,060 32)
250 00	09/29/2006	11/17/2009	$6,731 97	$9,783 75	$0 00	$9,783 75	($3,051 78)
250 00	10/03/2006	11/17/2009	$6,731 98	$9,851 32	$0 00	$9,851 32	($3,119 34)
225 00	02/12/2008	11/17/2009	$6,058 78	$10,563 75	$0 00	$10,563 75	($4,504 97)
70 00	05/01/2008	11/17/2009	$1,884 95	$3,153 85	$0 00	$3,153 85	($1,268 90)
205 00	10/02/2006	11/17/2009	$5,520 22	$8,018 62	$0 00	$8,018 62	($2,498 40)
250 00	09/25/2006	11/18/2009	$6,694 30	$9,735 30	$0 00	$9,735 30	($3,041 00)
250 00	09/26/2006	11/18/2009	$6,694 30	$9,727 00	$0 00	$9,727 00	($3,032 70)
250 00	09/27/2006	11/18/2009	$6,694 30	$9,773 68	$0 00	$9,773 68	($3,079 38)
295 00	10/02/2006	11/18/2009	$7,899 28	$11,538 98	$0 00	$11,538 98	($3,639 70)
735 00	07/16/2008	11/18/2009	$19,681 25	$26,431 11	$0 00	$26,431 11	($6,749 86)
220 00	09/18/2003	11/18/2009	$5,890 99	$7,234 44	$0 00	$7,234 44	($1,343 45)
400 00	09/16/2003	11/19/2009	$10,597 52	$12,450 40	$0 00	$12,450 40	($1,852 88)
500 00	09/17/2003	11/19/2009	$13,246 91	$15,846 30	$0 00	$15,846 30	($2,599 39)

Footnote Reference # and Description
61 - OID on sale, 62 - Capital gain or loss allocated on 12/31 from participation in common trust funds
63 - Mark-to-Market adjustment under IRC section 1256, 64 - Miscellaneous cost adjustment to properly reflect gain or loss
65 - Inherited property - Long-term holding period, 66 - Adjustment for the non-reportable amount of gain or loss attributable to accrued market discount

Bessemer Trust

Capital Gain & Loss

Statement for the period 07/01/09 to 06/30/10
UNANUE, JOSEPH A (658)
Tax ID 22-3382542
Sorted by security description, sold date
Trade date basis

Shares/ par value	Date acquired	Date sold	Proceeds	Original cost basis	Adjustments	Adjusted cost basis	Gain/ loss
8G2544 C & J UNANUE FND - CLT ANNUITY A/C							
Long term gain loss							
064058100 / BANK NEW YORK MELLON CORP							
80 00	09/18/2003	11/19/2009	$2,119 51	$2,630 70	$0 00	$2,630 70	($511 19)
150 00	11/24/2003	11/19/2009	$3,974 07	$4,359 15	$0 00	$4,359 15	($385 08)
70 00	10/23/2003	11/19/2009	$1,854 57	$1,996 21	$0 00	$1,996 21	($141 64)
130 00	10/23/2003	11/20/2009	$3,406 85	$3,707 25	$0 00	$3,707 25	($300 40)
250 00	08/18/2004	11/20/2009	$6,551 63	$7,116 50	$0 00	$7,116 50	($564 87)
Total			**$142,429.32**	**$193,384.86**	**$0.00**	**$193,384.86**	**($50,955.54)**
151020104 / CELGENE CORP							
20 00	02/12/2008	03/03/2010	$1,232 16	$1,172 60	$0 00	$1,172 60	$59 56
370 00	05/01/2008	03/03/2010	$22,795 04	$23,363 87	$0 00	$23,363 87	($568 83)
110 00	01/10/2006	03/03/2010	$6,776 91	$3,844 23	$0 00	$3,844 23	$2,932 68
250 00	01/10/2006	03/05/2010	$15,381 63	$8,736 87	$0 00	$8,736 87	$6,644 76
Total			**$46,185.74**	**$37,117.57**	**$0.00**	**$37,117.57**	**$9,068.17**
165167107 / CHESAPEAKE ENERGY CORP							
395 00	05/12/2008	08/14/2009	$9,293 95	$22,064 66	$0 00	$22,064 66	($12,770 71)
17275R102 / CISCO SYSTEMS INC							
120 00	05/01/2008	07/14/2009	$2,244 23	$3,213 60	$0 00	$3,213 60	($969 37)
155 00	02/12/2008	07/14/2009	$2,898 80	$3,676 38	$0 00	$3,676 38	($777 58)
1,500 00	09/04/2003	04/13/2010	$39,482 93	$31,091 70	$0 00	$31,091 70	$8,391 23
250 00	07/02/2004	04/13/2010	$6,580 49	$5,795 00	$0 00	$5,795 00	$785 49
415 00	02/12/2008	04/13/2010	$10,923 61	$9,843 22	$0 00	$9,843 22	$1,080 39
335 00	10/02/2003	04/13/2010	$8,817 86	$6,676 55	$0 00	$6,676 55	$2,141 31
Total			**$70,947.92**	**$60,296.45**	**$0.00**	**$60,296.45**	**$10,651.47**

Footnote Reference # and Description
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65 - Inherited property - Long-term holding period, 66 - Adjustment for the non-reportable amount of gain or loss attributable to accrued market discount

Bessemer Trust

Capital Gain & Loss

Statement for the period 07/01/09 to 06/30/10
UNANUE, JOSEPH A (658)
Tax ID. 22-3382542
Sorted by security description, sold date
Trade date basis

8G2544 C & J UNANUE FND - CLT ANNUITY A/C

Long term gain loss

Shares/ par value	Date acquired	Date sold	Proceeds	Original cost basis	Adjustments	Adjusted cost basis	Gain/ loss
219350105 / CORNING INC							
1,000 00	05/01/2007	10/28/2009	$14,683 43	$23,354 10	$0 00	$23,354 10	($8,670 67)
45 00	02/12/2008	10/28/2009	$660 75	$1,070 32	$0 00	$1,070 32	($409 57)
205 00	10/26/2006	10/28/2009	$3,010 10	$4,360 29	$0 00	$4,360 29	($1,350 19)
1,045 00	10/26/2006	02/01/2010	$18,925 34	$22,226 83	$0 00	$22,226 83	($3,301 49)
2,000 00	11/04/2008	02/01/2010	$36,220 73	$23,031 80	$0 00	$23,031 80	$13,188 93
455 00	11/05/2008	02/01/2010	$8,240 22	$5,082 81	$0 00	$5,082 81	$3,157 41
2,000 00	11/05/2008	02/02/2010	$36,608 13	$22,342 00	$0 00	$22,342 00	$14,266 13
45 00	11/05/2008	02/03/2010	$850 67	$502 69	$0 00	$502 69	$347 98
Total			**$119,199.37**	**$101,970.84**	**$0.00**	**$101,970.84**	**$17,228.53**
268648102 / EMC CORP							
1,000 00	08/22/2008	09/16/2009	$16,952 36	$15,743 70	$0 00	$15,743 70	$1,208 66
500 00	08/28/2008	09/16/2009	$8,476 18	$7,850 60	$0 00	$7,850 60	$625 58
500 00	08/28/2008	09/17/2009	$8,470 38	$7,850 60	$0 00	$7,850 60	$619 78
1,000 00	08/25/2008	09/17/2009	$16,940 77	$15,464 60	$0 00	$15,464 60	$1,476 17
1,500 00	08/25/2008	10/28/2009	$24,697 01	$23,196 90	$0 00	$23,196 90	$1,500 11
500 00	08/27/2008	10/28/2009	$8,232 34	$7,689 95	$0 00	$7,689 95	$542 39
250 00	08/27/2008	10/29/2009	$4,175 14	$3,844 98	$0 00	$3,844 98	$330 16
1,750 00	08/27/2008	05/03/2010	$33,806 81	$26,914 82	$0 00	$26,914 82	$6,891 99
250 00	08/26/2008	05/03/2010	$4,829 54	$3,832 25	$0 00	$3,832 25	$997 29
Total			**$126,580.53**	**$112,388.40**	**$0.00**	**$112,388.40**	**$14,192.13**
26875P101 / EOG RES INC							
350 00	03/12/2009	03/17/2010	$33,657 49	$19,871 28	$0 00	$19,871 28	$13,786 21
200 00	03/12/2009	03/18/2010	$18,692 34	$11,355 02	$0 00	$11,355 02	$7,337 32

Footnote Reference # and Description
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Capital Gain & Loss

Statement for the period 07/01/09 to 06/30/10
UNANUE, JOSEPH A (658)
Tax ID 22-3382542
Sorted by security description, sold date
Trade date basis

8G2544 C & J UNANUE FND - CLT ANNUITY A/C

Long term gain loss

Shares/ par value	Date acquired	Date sold	Proceeds	Original cost basis	Adjustments	Adjusted cost basis	Gain/ loss
26875P101 / EOG RES INC							
200 00	04/21/2009	05/10/2010	$21,200 88	$11,331 26	$0 00	$11,331 26	$9,869 62
200 00	04/22/2009	05/10/2010	$21,200 88	$11,327 38	$0 00	$11,327 38	$9,873 50
50 00	04/22/2009	05/11/2010	$5,236 99	$2,831 85	$0 00	$2,831 85	$2,405 14
Total			**$99,988.58**	**$56,716.79**	**$0.00**	**$56,716.79**	**$43,271.79**
3133XENX3 / FEDERAL HOME LOAN BANK 4.875% 03/11/2011							
310,000 00	11/05/2007	02/17/2010	$324,229 00	$314,411 61	$0 00	$314,411 61	$9,817 39
3133X7C69 / FEDERAL HOME LOAN BANK 5.000% 05/13/2011							
140,000 00	11/05/2007	02/17/2010	$147,421 40	$142,809 80	$0 00	$142,809 80	$4,611 60
443683107 / HUDSON CITY BANCORP INC							
1,000 00	07/16/2008	08/21/2009	$13,398 85	$17,365 00	$0 00	$17,365 00	($3,966 15)
1,250 00	07/16/2008	08/24/2009	$16,667 19	$21,706 25	$0 00	$21,706 25	($5,039 06)
500 00	10/06/2006	08/25/2009	$6,395 78	$6,667 50	$0 00	$6,667 50	($271 72)
210 00	02/12/2008	08/25/2009	$2,686 23	$3,393 60	$0 00	$3,393 60	($707 37)
75 00	07/16/2008	08/25/2009	$959 37	$1,302 37	$0 00	$1,302 37	($343 00)
Total			**$40,107.42**	**$50,434.72**	**$0.00**	**$50,434.72**	**($10,327.30)**
460146103 / INTERNATIONAL PAPER							
1,250 00	09/12/2008	10/28/2009	$27,069 93	$37,926 25	$0 00	$37,926 25	($10,856 32)
478160104 / JOHNSON & JOHNSON							
100 00	01/22/2004	04/13/2010	$6,528 48	$5,300 15	$0 00	$5,300 15	$1,228 33
50 00	05/11/2004	04/13/2010	$3,264 24	$2,752 15	$0 00	$2,752 15	$512 09
370 00	01/03/2007	04/13/2010	$24,155 37	$24,691 40	$0 00	$24,691 40	($536 03)
20 00	02/12/2008	04/13/2010	$1,305 70	$1,244 20	$0 00	$1,244 20	$61 50

Footnote Reference # and Description
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63 - Mark-to-Market adjustment under IRC section 1256, 64 - Miscellaneous cost adjustment to properly reflect gain or loss
65 - Inherited property - Long-term holding period, 66 - Adjustment for the non-reportable amount of gain or loss attributable to accrued market discount

Capital Gain & Loss

Statement for the period 07/01/09 to 06/30/10
UNANUE, JOSEPH A. (658)
Tax ID· 22-3382542
Sorted by security description, sold date
Trade date basis

8G2544 C & J UNANUE FND - CLT ANNUITY A/C

Long term gain loss

Shares/ par value	Date acquired	Date sold	Proceeds	Original cost basis	Adjustments	Adjusted cost basis	Gain/ loss
478160104 / JOHNSON & JOHNSON							
610 00	01/21/2004	04/13/2010	$39,823 72	$31,691 51	$0 00	$31,691 51	$8,132 21
90 00	01/21/2004	04/14/2010	$5,880 91	$4,675 80	$0 00	$4,675 80	$1,205 11
Total			**$80,958.42**	**$70,355.21**	**$0.00**	**$70,355.21**	**$10,603.21**
548661107 / LOWES COS INC							
1,750 00	09/30/2008	05/03/2010	$48,353 26	$41,726 48	$0 00	$41,726 48	$6,626 78
69331C108 / PG & E CORP							
50 00	09/21/2004	07/14/2009	$1,890 35	$1,489 55	$0 00	$1,489 55	$400 80
400 00	09/24/2004	07/14/2009	$15,122 81	$12,059 96	$0 00	$12,059 96	$3,062 85
50 00	09/27/2004	07/14/2009	$1,890 35	$1,501 24	$0 00	$1,501 24	$389 11
80 00	09/28/2004	07/14/2009	$3,024 56	$2,415 36	$0 00	$2,415 36	$609 20
100 00	09/29/2004	07/14/2009	$3,780 70	$3,016 29	$0 00	$3,016 29	$764 41
120 00	09/22/2004	07/14/2009	$4,536 85	$3,574 67	$0 00	$3,574 67	$962 18
430 00	09/22/2004	07/15/2009	$16,285 83	$12,809 23	$0 00	$12,809 23	$3,476 60
120 00	09/23/2004	07/15/2009	$4,544 88	$3,571 09	$0 00	$3,571 09	$973 79
50 00	09/16/2004	07/16/2009	$1,880 56	$1,480 21	$0 00	$1,480 21	$400 35
30 00	09/23/2004	07/16/2009	$1,128 34	$892 77	$0 00	$892 77	$235 57
Total			**$54,085.23**	**$42,810.37**	**$0.00**	**$42,810.37**	**$11,274.86**
742718109 / PROCTER & GAMBLE CO							
500 00	03/24/2008	03/03/2010	$31,835 69	$34,823 45	$0 00	$34,823 45	($2,987 76)
50 00	03/25/2008	03/03/2010	$3,183 57	$3,486 81	$0 00	$3,486 81	($303 24)
450 00	03/20/2008	03/03/2010	$28,652 13	$31,113 72	$0 00	$31,113 72	($2,461 59)

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Bessemer Trust

Capital Gain & Loss

Statement for the period 07/01/09 to 06/30/10
UNANUE, JOSEPH A. (658)
Tax ID· 22-3382542
Sorted by security description, sold date
Trade date basis

Shares/ par value	Date acquired	Date sold	Proceeds	Original cost basis	Adjustments	Adjusted cost basis	Gain/ loss
8G2544 C & J UNANUE FND - CLT ANNUITY A/C							
Long term gain loss							
742718109 / PROCTER & GAMBLE CO							
250 00	03/20/2008	03/04/2010	$15,898 35	$17,285 40	$0 00	$17,285 40	($1,387 05)
Total			**$79,569.74**	**$86,709.38**	**$0.00**	**$86,709.38**	**($7,139.64)**
760975102 / RESEARCH IN MOTION LTD							
260 00	11/26/2007	10/28/2009	$15,961 62	$30,159 12	$0 00	$30,159 12	($14,197 50)
10 00	02/12/2008	10/28/2009	$613 91	$944 97	$0 00	$944 97	($331 06)
150 00	02/26/2008	10/28/2009	$9,208 62	$16,264 04	$0 00	$16,264 04	($7,055 42)
50 00	02/27/2008	10/28/2009	$3,069 54	$5,529 07	$0 00	$5,529 07	($2,459 53)
125 00	07/16/2008	10/28/2009	$7,673 85	$13,873 12	$0 00	$13,873 12	($6,199 27)
Total			**$36,527.54**	**$66,770.32**	**$0.00**	**$66,770.32**	**($30,242.78)**
855030102 / STAPLES INC							
50 00	04/06/2006	12/24/2009	$1,245 28	$1,298 72	$0 00	$1,298 72	($53 44)
700 00	04/27/2006	12/24/2009	$17,433 97	$18,130 70	$0 00	$18,130 70	($696 73)
450 00	04/10/2006	12/28/2009	$11,228 38	$11,514 10	$0 00	$11,514 10	($285 72)
300 00	04/27/2006	12/28/2009	$7,485 59	$7,770 30	$0 00	$7,770 30	($284 71)
250 00	04/11/2006	12/28/2009	$6,237 99	$6,324 52	$0 00	$6,324 52	($86 53)
Total			**$43,631.21**	**$45,038.34**	**$0.00**	**$45,038.34**	**($1,407.13)**
74144T108 / T ROWE PRICE GROUP INC							
500 00	05/06/2009	05/10/2010	$27,430 69	$20,222 25	$0 00	$20,222 25	$7,208 44
400 00	05/06/2009	05/12/2010	$21,918 27	$16,177 80	$0 00	$16,177 80	$5,740 47
150 00	05/04/2009	05/12/2010	$8,219 35	$6,001 43	$0 00	$6,001 43	$2,217 92
200 00	05/04/2009	05/13/2010	$10,861 40	$8,001 90	$0 00	$8,001 90	$2,859 50
Total			**$68,429.71**	**$50,403.38**	**$0.00**	**$50,403.38**	**$18,026.33**

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Capital Gain & Loss

Statement for the period 07/01/09 to 06/30/10
UNANUE, JOSEPH A. (658)
Tax ID· 22-3382542
Sorted by security description, sold date
Trade date basis

Shares/ par value	Date acquired	Date sold	Proceeds	Original cost basis	Adjustments	Adjusted cost basis	Gain/ loss
8G2544 C & J UNANUE FND - CLT ANNUITY A/C							
Long term gain loss							
881624209 / TEVA PHARM INDS LTD ADR							
500 00	08/15/2008	12/23/2009	$27,960 63	$24,148 55	$0 00	$24,148 55	$3,812 08
883556102 / THERMO FISHER SCIENTIFIC							
230 00	02/12/2008	08/24/2009	$10,481 20	$12,958 20	$0 00	$12,958 20	($2,477 00)
50 00	05/01/2008	08/24/2009	$2,278 52	$2,932 75	$0 00	$2,932 75	($654 23)
530 00	07/16/2008	08/24/2009	$24,152 33	$29,963 55	$0 00	$29,963 55	($5,811 22)
190 00	11/22/2006	08/24/2009	$8,658 38	$8,415 12	$0 00	$8,415 12	$243 26
250 00	11/22/2006	10/08/2009	$11,350 03	$11,072 52	$0 00	$11,072 52	$277 51
60 00	11/22/2006	10/09/2009	$2,726 11	$2,657 41	$0 00	$2,657 41	$68 70
690 00	11/20/2006	10/09/2009	$31,350 31	$30,188 68	$0 00	$30,188 68	$1,161 63
560 00	11/20/2006	10/14/2009	$26,211 47	$24,500 95	$0 00	$24,500 95	$1,710 52
190 00	10/19/2006	10/14/2009	$8,893 18	$8,129 89	$0 00	$8,129 89	$763 29
60 00	10/19/2006	01/12/2010	$2,924 07	$2,567 34	$0 00	$2,567 34	$356 73
440 00	10/18/2006	01/12/2010	$21,443 17	$18,654 50	$0 00	$18,654 50	$2,788 67
60 00	10/18/2006	01/14/2010	$2,911 58	$2,543 80	$0 00	$2,543 80	$367 78
190 00	10/20/2006	01/14/2010	$9,219 99	$8,047 58	$0 00	$8,047 58	$1,172 41
83 00	10/20/2006	01/15/2010	$3,943 25	$3,515 53	$0 00	$3,515 53	$427 72
100 00	10/16/2006	01/19/2010	$4,864 90	$4,099 79	$0 00	$4,099 79	$765 11
150 00	10/17/2006	01/19/2010	$7,297 35	$6,182 88	$0 00	$6,182 88	$1,114 47
27 00	10/20/2006	01/19/2010	$1,313 52	$1,143 60	$0 00	$1,143 60	$169 92
750 00	10/27/2008	01/19/2010	$36,486 74	$27,692 17	$0 00	$27,692 17	$8,794 57
Total			**$216,506.10**	**$205,266.26**	**$0.00**	**$205,266.26**	**$11,239.84**
907818108 / UNION PACIFIC CORP							
800 00	05/04/2009	05/10/2010	$59,621 07	$42,545 44	$0 00	$42,545 44	$17,075 63

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Bessemer Trust

Capital Gain & Loss

Statement for the period 07/01/09 to 06/30/10
UNANUE, JOSEPH A. (658)
Tax ID: 22-3382542
Sorted by security description, sold date
Trade date basis

8G2544 C & J UNANUE FND - CLT ANNUITY A/C

Long term gain loss

Shares/ par value	Date acquired	Date sold	Proceeds	Original cost basis	Adjustments	Adjusted cost basis	Gain/ loss
907818108 / UNION PACIFIC CORP							
150 00	05/05/2009	05/10/2010	$11,178 95	$7,823 50	$0 00	$7,823 50	$3,355 45
300 00	05/05/2009	05/11/2010	$22,522 18	$15,647 01	$0 00	$15,647 01	$6,875 17
100 00	05/05/2009	05/12/2010	$7,597 66	$5,215 67	$0 00	$5,215 67	$2,381 99
Total			**$100,919.86**	**$71,231.62**	**$0.00**	**$71,231.62**	**$29,688.24**
912828FY1 / US TREASURY NOTES 4.625% 11/15/2016							
52,000 00	02/03/2009	02/17/2010	$57,234 53	$59,068 75	$0 00	$59,068 75	($1,834 22)
98,000 00	11/05/2007	02/17/2010	$107,865 08	$100,472 97	$0 00	$100,472 97	$7,392 11
Total			**$165,099.61**	**$159,541.72**	**$0.00**	**$159,541.72**	**$5,557.89**
931142103 / WAL-MART STORES INC							
35 00	01/03/2007	04/13/2010	$1,919 54	$1,669 16	$0 00	$1,669 16	$250 38
500 00	05/31/2007	04/13/2010	$27,421 93	$23,788 10	$0 00	$23,788 10	$3,633 83
215 00	05/30/2007	04/13/2010	$11,791 43	$10,107 97	$0 00	$10,107 97	$1,683 46
Total			**$41,132.90**	**$35,565.23**	**$0.00**	**$35,565.23**	**$5,567.67**
H27013103 / WEATHERFORD INTL LTD							
170 00	02/12/2008	10/12/2009	$3,344 00	$5,578 55	$0 00	$5,578 55	($2,234 55)
285 00	05/01/2008	10/12/2009	$5,606 12	$11,243 54	$0 00	$11,243 54	($5,637 42)
795 00	03/13/2007	10/12/2009	$15,638 13	$17,462 38	$0 00	$17,462 38	($1,824 25)
105 00	03/13/2007	10/13/2009	$2,022 32	$2,306 35	$0 00	$2,306 35	($284 03)
895 00	03/12/2007	10/13/2009	$17,237 88	$19,433 90	$0 00	$19,433 90	($2,196 02)
1,805 00	03/12/2007	05/10/2010	$28,748 65	$39,193 50	$0 00	$39,193 50	($10,444 85)
1,695 00	11/04/2008	05/10/2010	$26,996 66	$28,870 09	$0 00	$28,870 09	($1,873 43)
55 00	11/04/2008	05/11/2010	$857 72	$936 79	$0 00	$936 79	($79 07)

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Capital Gain & Loss

Statement for the period 07/01/09 to 06/30/10
UNANUE, JOSEPH A. (658)
Tax ID: 22-3382542
Sorted by security description, sold date
Trade date basis

Shares/ par value	Date acquired	Date sold	Proceeds	Original cost basis	Adjustments	Adjusted cost basis	Gain/ loss
8G2544 C & J UNANUE FND - CLT ANNUITY A/C							
Long term gain loss							
H27013103 / WEATHERFORD INTL LTD							
1,250 00	11/05/2008	05/11/2010	$19,493 67	$20,310 50	$0 00	$20,310 50	($816 83)
1,000 00	12/15/2008	05/11/2010	$15,594 94	$10,413 90	$0 00	$10,413 90	$5,181 04
Total			**$135,540.09**	**$155,749.50**	**$0.00**	**$155,749.50**	**($20,209.41)**
Total for Long term gain loss			**$2,299,730.45**	**$2,226,377.31**	**$0.00**	**$2,226,377.31**	**$73,353.14**
Short term gain loss							
038222105 / APPLIED MATERIALS							
2,250 00	03/27/2009	10/22/2009	$29,441 16	$25,450 87	$0 00	$25,450 87	$3,990 29
750 00	12/16/2008	10/22/2009	$9,813 72	$7,840 50	$0 00	$7,840 50	$1,973 22
2,750 00	12/15/2008	11/03/2009	$32,567 13	$27,963 65	$0 00	$27,963 65	$4,603 48
1,500 00	12/16/2008	11/03/2009	$17,763 89	$15,681 00	$0 00	$15,681 00	$2,082 89
750 00	02/04/2009	11/03/2009	$8,881 95	$7,464 90	$0 00	$7,464 90	$1,417 05
250 00	02/02/2009	11/04/2009	$3,001 57	$2,323 53	$0 00	$2,323 53	$678 04
1,000 00	02/04/2009	11/04/2009	$12,006 29	$9,953 20	$0 00	$9,953 20	$2,053 09
Total			**$113,475.71**	**$96,677.65**	**$0.00**	**$96,677.65**	**$16,798.06**
071813109 / BAXTER INTERNATIONAL INC							
450 00	01/13/2010	06/09/2010	$18,338 72	$27,094 23	$0 00	$27,094 23	($8,755 51)
600 00	01/12/2010	06/09/2010	$24,451 63	$35,892 90	$0 00	$35,892 90	($11,441 27)
50 00	01/12/2010	06/10/2010	$2,045 78	$2,991 08	$0 00	$2,991 08	($945 30)
500 00	03/03/2010	06/10/2010	$20,457 81	$29,581 50	$0 00	$29,581 50	($9,123 69)
200 00	03/04/2010	06/10/2010	$8,183 12	$11,786 68	$0 00	$11,786 68	($3,603 56)
Total			**$73,477.06**	**$107,346.39**	**$0.00**	**$107,346.39**	**($33,869.33)**

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Capital Gain & Loss

Statement for the period 07/01/09 to 06/30/10
UNANUE, JOSEPH A. (658)
Tax ID. 22-3382542
Sorted by security description, sold date
Trade date basis

8G2544 C & J UNANUE FND - CLT ANNUITY A/C

Short term gain loss

Shares/ par value	Date acquired	Date sold	Proceeds	Original cost basis	Adjustments	Adjusted cost basis	Gain/ loss
165167107 / CHESAPEAKE ENERGY CORP							
500 00	11/05/2008	08/14/2009	$11,764 50	$12,111 25	$0 00	$12,111 25	($346 75)
855 00	11/04/2008	08/14/2009	$20,117 29	$19,237 24	$0 00	$19,237 24	$880 05
895 00	11/04/2008	08/17/2009	$19,986 99	$20,137 23	$0 00	$20,137 23	($150 24)
Total			**$51,868.78**	**$51,485.72**	**$0.00**	**$51,485.72**	**$383.06**
17275R102 / CISCO SYSTEMS INC							
1,225 00	07/16/2008	07/14/2009	$22,909 85	$26,000 62	$0 00	$26,000 62	($3,090 77)
268648102 / EMC CORP							
2,000 00	08/22/2008	07/15/2009	$26,463 52	$31,487 40	$0 00	$31,487 40	($5,023 88)
26875P101 / EOG RES INC							
500 00	09/16/2009	10/21/2009	$47,496 28	$41,063 05	$0 00	$41,063 05	$6,433 23
100 00	09/15/2009	03/18/2010	$9,346 17	$7,987 54	$0 00	$7,987 54	$1,358 63
Total			**$56,842.45**	**$49,050.59**	**$0.00**	**$49,050.59**	**$7,791.86**
31428X106 / FEDEX CORP							
150 00	11/11/2009	06/09/2010	$11,825 17	$12,421 54	$0 00	$12,421 54	($596 37)
250 00	11/10/2009	06/09/2010	$19,708 62	$20,387 73	$0 00	$20,387 73	($679 11)
250 00	11/10/2009	06/10/2010	$19,953 33	$20,387 72	$0 00	$20,387 72	($434 39)
200 00	11/09/2009	06/10/2010	$15,962 67	$15,996 22	$0 00	$15,996 22	($33 55)
200 00	11/09/2009	06/11/2010	$16,002 45	$15,996 22	$0 00	$15,996 22	$6 23
Total			**$83,452.24**	**$85,189.43**	**$0.00**	**$85,189.43**	**($1,737.19)**
443683107 / HUDSON CITY BANCORP INC							
250 00	03/16/2009	08/25/2009	$3,197 89	$2,664 75	$0 00	$2,664 75	$533 14
1,250 00	03/13/2009	08/25/2009	$15,989 47	$13,066 25	$0 00	$13,066 25	$2,923 22

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Capital Gain & Loss

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UNANUE, JOSEPH A (658)
Tax ID 22-3382542
Sorted by security description, sold date
Trade date basis

8G2544 C & J UNANUE FND - CLT ANNUITY A/C

Short term gain loss

Shares/ par value	Date acquired	Date sold	Proceeds	Original cost basis	Adjustments	Adjusted cost basis	Gain/ loss
443683107 / HUDSON CITY BANCORP INC							
715 00	03/12/2009	08/25/2009	$9,145 97	$7,204 06	$0 00	$7,204 06	$1,941 91
650 00	03/12/2009	08/27/2009	$8,322 38	$6,549 14	$0 00	$6,549 14	$1,773 24
170 00	03/12/2009	08/28/2009	$2,164 93	$1,712 85	$0 00	$1,712 85	$452 08
215 00	03/12/2009	08/28/2009	$2,737 99	$2,166 25	$0 00	$2,166 25	$571 74
Total			**$41,558.63**	**$33,363.30**	**$0.00**	**$33,363.30**	**$8,195.33**
452308109 / ILLINOIS TOOL WORKS INC							
250 00	04/22/2009	10/28/2009	$11,583 13	$8,318 33	$0 00	$8,318 33	$3,264 80
250 00	04/16/2009	10/28/2009	$11,583 13	$8,314 85	$0 00	$8,314 85	$3,268 28
250 00	04/16/2009	10/29/2009	$11,644 95	$8,314 85	$0 00	$8,314 85	$3,330 10
500 00	04/17/2009	02/02/2010	$21,932 12	$16,244 50	$0 00	$16,244 50	$5,687 62
500 00	03/27/2009	02/02/2010	$21,932 12	$16,014 05	$0 00	$16,014 05	$5,918 07
450 00	03/27/2009	02/04/2010	$19,233 30	$14,412 65	$0 00	$14,412 65	$4,820 65
50 00	04/21/2009	02/04/2010	$2,137 03	$1,583 43	$0 00	$1,583 43	$553 60
100 00	03/31/2009	03/11/2010	$4,664 84	$3,104 19	$0 00	$3,104 19	$1,560 65
500 00	04/20/2009	03/11/2010	$23,324 21	$15,698 55	$0 00	$15,698 55	$7,625 66
200 00	04/21/2009	03/11/2010	$9,329 68	$6,333 70	$0 00	$6,333 70	$2,995 98
500 00	03/30/2009	03/12/2010	$23,198 25	$15,140 25	$0 00	$15,140 25	$8,058 00
50 00	03/30/2009	03/15/2010	$2,282 41	$1,514 03	$0 00	$1,514 03	$768 38
Total			**$162,845.17**	**$114,993.38**	**$0.00**	**$114,993.38**	**$47,851.79**
458140100 / INTEL CORP							
2,000 00	03/12/2009	10/14/2009	$41,854 72	$28,759 40	$0 00	$28,759 40	$13,095 32
2,250 00	12/23/2008	11/03/2009	$41,395 11	$32,049 90	$0 00	$32,049 90	$9,345 21
1,000 00	02/03/2009	11/03/2009	$18,397 83	$13,478 00	$0 00	$13,478 00	$4,919 83

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Capital Gain & Loss

Statement for the period 07/01/09 to 06/30/10
UNANUE, JOSEPH A (658)
Tax ID 22-3382542
Sorted by security description, sold date
Trade date basis

8G2544 C & J UNANUE FND - CLT ANNUITY A/C

Short term gain loss

Shares/ par value	Date acquired	Date sold	Proceeds	Original cost basis	Adjustments	Adjusted cost basis	Gain/ loss
458140100 / INTEL CORP							
1,000 00	01/20/2009	11/03/2009	$18,397 83	$13,162 80	$0 00	$13,162 80	$5,235 03
500.00	01/20/2009	11/04/2009	$9,329 26	$6,581 40	$0 00	$6,581 40	$2,747 86
Total			**$129,374.75**	**$94,031.50**	**$0.00**	**$94,031.50**	**$35,343.25**
500255104 / KOHL'S CORP							
500 00	01/08/2009	10/27/2009	$29,052 15	$19,522 00	$0 00	$19,522 00	$9,530 15
250 00	01/08/2009	10/28/2009	$14,551 73	$9,761 00	$0 00	$9,761 00	$4,790 73
Total			**$43,603.88**	**$29,283.00**	**$0.00**	**$29,283.00**	**$14,320.88**
65339F101 / NEXTERA ENERGY INC							
500 00	02/03/2009	09/22/2009	$27,172 85	$25,738.40	$0 00	$25,738 40	$1,434 45
250 00	02/04/2009	09/22/2009	$13,586 43	$13,320 23	$0 00	$13,320 23	$266 20
150 00	12/19/2008	09/23/2009	$8,121 18	$7,664 13	$0 00	$7,664 13	$457 05
350 00	01/20/2009	09/23/2009	$18,949 42	$17,554 46	$0 00	$17,554 46	$1,394 96
300 00	12/18/2008	09/24/2009	$16,169 85	$14,862 96	$0 00	$14,862 96	$1,306 89
400 00	12/18/2008	09/25/2009	$21,719 16	$19,817 28	$0 00	$19,817 28	$1,901 88
200 00	12/17/2008	09/25/2009	$10,859 58	$9,498 74	$0 00	$9,498 74	$1,360 84
100 00	12/17/2008	09/28/2009	$5,492 25	$4,749 37	$0 00	$4,749 37	$742 88
Total			**$122,070.72**	**$113,205.57**	**$0.00**	**$113,205.57**	**$8,865.15**
760975102 / RESEARCH IN MOTION LTD							
105 00	11/20/2008	10/28/2009	$6,446 04	$4,628 16	$0 00	$4,628 16	$1,817 88
895 00	11/20/2008	11/02/2009	$49,764 84	$39,449 54	$0 00	$39,449 54	$10,315 30
250 00	12/08/2008	11/02/2009	$13,900 79	$10,239 50	$0 00	$10,239 50	$3,661 29
Total			**$70,111.67**	**$54,317.20**	**$0.00**	**$54,317.20**	**$15,794.47**

Footnote Reference # and Description
61 - OID on sale, 62 - Capital gain or loss allocated on 12/31 from participation in common trust funds
63 - Mark-to-Market adjustment under IRC section 1256, 64 - Miscellaneous cost adjustment to properly reflect gain or loss
65 - Inherited property - Long-term holding period, 66 - Adjustment for the non-reportable amount of gain or loss attributable to accrued market discount

Capital Gain & Loss

Statement for the period 07/01/09 to 06/30/10
UNANUE, JOSEPH A (658)
Tax ID 22-3382542
Sorted by security description, sold date
Trade date basis

Shares/ par value	Date acquired	Date sold	Proceeds	Original cost basis	Adjustments	Adjusted cost basis	Gain/ loss
8G2544 C & J UNANUE FND - CLT ANNUITY A/C							
Short term gain loss							
911312106 / UNITED PARCEL SERVICE CL B							
400 00	04/21/2009	02/01/2010	$23,203 03	$22,057 40	$0 00	$22,057 40	$1,145 63
200 00	04/22/2009	02/01/2010	$11,601 51	$11,236 12	$0 00	$11,236 12	$365 39
300 00	03/27/2009	02/01/2010	$17,402 27	$15,066 93	$0 00	$15,066 93	$2,335 34
250 00	03/27/2009	02/02/2010	$14,694 34	$12,555 77	$0 00	$12,555 77	$2,138 57
150 00	03/30/2009	02/02/2010	$8,816 60	$7,312 91	$0 00	$7,312 91	$1,503 69
Total			**$75,717.75**	**$68,229.13**	**$0.00**	**$68,229.13**	**$7,488.62**
Total for Short term gain loss			**$1,073,772.18**	**$954,660.88**	**$0.00**	**$954,660.88**	**$119,111.30**
Total 8G2544			**$3,373,502.63**	**$3,181,038.19**	**$0.00**	**$3,181,038.19**	**$192,464.44**

Footnote Reference # and Description
61 - OID on sale, 62 - Capital gain or loss allocated on 12/31 from participation in common trust funds
63 - Mark-to-Market adjustment under IRC section 1256, 64 - Miscellaneous cost adjustment to properly reflect gain or loss
65 - Inherited property - Long-term holding period, 66 - Adjustment for the non-reportable amount of gain or loss attributable to accrued market discount

THE C. AND J. UNANUE FOUNDATION, INC.
FORM 990-PF, PAR 1, LINE 25
PART XV, LINE 3A
FYE 6/30/10

DATE	DONEE'S NAME AND ADDRESS	AMOUNT
12/7/2009	Seton Hall University 400 South Orange Ave South Orange, NJ 07079	300,000.00
12/7/2009	Bergen Catholic High School 1040 Oradell Avenue Oradell, NJ 07649	10,000.00
2/17/2010	El Museo Del Barrio 1230 Fifth Avenue New York, NY 10029	200,000.00
6/18/2010	Ballet Hispanico 167 W. 89th Street New York, NY 10024	50,000.00
	TOTAL	$560,000.00

** All contributions were made to aid and assist the various donees in the function for which they have been granted tax exempt status.