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# Return of Private Foundation

## or Section 4947(a)(1) Nonexempt Charitable Trust

### Treated as a Private Foundation

OMB No 1545-0052

2009

Department of the Treasury  
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning JUL 1, 2009, and ending JUN 30, 2010

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation  
**TOMPKINS- BROLL FAMILY FOUNDATION**  
**C/O DAVID BROLL**  
 Number and street (or P O box number if mail is not delivered to street address) Room/suite  
**710 STRAWBRIDGE LANE**  
 City or town, state, and ZIP code  
**WAYNE, PA 19087**

A Employer identification number  
**20-1516022**

B Telephone number  
**484-883-1797**

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐  
 2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

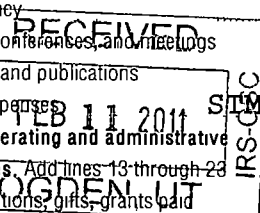
I Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 2,132,288.** (Part I, column (d) must be on cash basis)

J Accounting method: ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_

Part I Analysis of Revenue and Expenses		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		448,160.			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		21,887.	21,887.		STATEMENT 1
4 Dividends and interest from securities		22,611.	22,611.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		-21,553.			
b Gross sales price for all assets on line 6a		393,811.			
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		471,105.	44,498.	0.	
13 Compensation of officers, directors, trustees, etc		0.	0.	0.	0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		3,910.	3,910.	0.	0.
c Other professional fees STMT 4		10,642.	10,642.	0.	0.
17 Interest					
18 Taxes STMT 5		137.	137.	0.	0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		4,509.	0.	0.	0.
22 Printing and publications					
23 Other expenses STMT 6		92,313.	92,313.	0.	0.
24 Total operating and administrative expenses. Add lines 13 through 23		111,511.	107,002.	0.	0.
25 Contributions, gifts, grants paid		66,875.			66,875.
26 Total expenses and disbursements. Add lines 24 and 25		178,386.	107,002.	0.	66,875.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		292,719.			
b Net investment income (if negative, enter -0-)			0.		
c Adjusted net income (if negative, enter -0-)				0.	

SCANNED FEB 22 2011

Operating and Administrative Expenses



18

## TOMPKINS- BROLL FAMILY FOUNDATION

Form 990-RF (2009)

C/O DAVID BROLL

20-1516022 Page 2

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		216,942.	130,362.	130,362.
	2	Savings and temporary cash investments			50,000.	50,000.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 8	129,122.	378,433.	389,555.	
	b	Investments - corporate stock STMT 9	973,115.	930,105.	919,479.	
	c	Investments - corporate bonds STMT 10	197,700.	320,698.	329,262.	
Liabilities	11	Investments - land, buildings, and equipment basis ▶				
		Less: accumulated depreciation ▶				
	12	Investments - mortgage loans				
	13	Investments - other STMT 11	92,313.	553,875.	313,630.	
	14	Land, buildings, and equipment: basis ▶				
		Less: accumulated depreciation ▶				
	15	Other assets (describe ▶)				
	16	<b>Total assets</b> (to be completed by all filers)	1,609,192.	2,363,473.	2,132,288.	
	17	Accounts payable and accrued expenses				
	18	Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	<b>Total liabilities</b> (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds	0.	0.		
28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.			
29	Retained earnings, accumulated income, endowment, or other funds	1,609,192.	2,363,473.			
30	<b>Total net assets or fund balances</b>	1,609,192.	2,363,473.			
31	<b>Total liabilities and net assets/fund balances</b>	1,609,192.	2,363,473.			

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,609,192.
2	Enter amount from Part I, line 27a	2	292,719.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 4	3	461,562.
4	Add lines 1, 2, and 3	4	2,363,473.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,363,473.

## TOMPKINS- BROLL FAMILY FOUNDATION

Form 990-RF (2009)

C/O DAVID BROLL

20-1516022 Page 3

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a SEE ATTACHED HAVERFORD QUALITY INVESTING</b>		<b>P</b>	<b>VARIOUS</b>	<b>VARIOUS</b>
<b>b SEE ATTACHED HAVERFORD QUALITY INVESTING</b>		<b>P</b>	<b>VARIOUS</b>	<b>VARIOUS</b>
<b>c</b>				
<b>d</b>				
<b>e</b>				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a 110,990.</b>		<b>109,618.</b>	<b>1,372.</b>
<b>b 282,821.</b>		<b>305,746.</b>	<b>-22,925.</b>
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			<b>1,372.</b>
<b>b</b>			<b>-22,925.</b>
<b>c</b>			
<b>d</b>			
<b>e</b>			

2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-21,553.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			{ }	3

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	67,842.	1,332,574.	.050910
2007	48,810.	1,356,834.	.035973
2006	25,950.	977,400.	.026550
2005	7,927.	676,342.	.011720
2004	0.	191,517.	.000000

<b>2 Total of line 1, column (d)</b>	<b>2</b>	<b>.125153</b>
<b>3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b>	<b>3</b>	<b>.025031</b>
<b>4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5</b>	<b>4</b>	<b>1,876,184.</b>
<b>5 Multiply line 4 by line 3</b>	<b>5</b>	<b>46,963.</b>
<b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>	<b>6</b>	<b>0.</b>
<b>7 Add lines 5 and 6</b>	<b>7</b>	<b>46,963.</b>
<b>8 Enter qualifying distributions from Part XII, line 4</b>	<b>8</b>	<b>66,875.</b>

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

## TOMPKINS- BROLL FAMILY FOUNDATION

Form 990-PF (2009)

C/O DAVID BROLL

20-1516022 Page 4

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	0.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	0.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		0.
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input type="checkbox"/> Refunded <input checked="" type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XIV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> DE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

N/A

STMT 12

Form 990-PF (2009)

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► DAVID BROLL Telephone no. ► 484-883-1797 Located at ► 710 STRAWBRIDGE LANE, WAYNE, PA ZIP+4 ► 19087			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ► , , ,	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► , , ,		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b X

Form 990-PF (2009)

## 5a During the year did the foundation pay or incur any amount to:

- [illegible]

N/A

N/A ☐ Yes ☒ No

☐ Yes ☒ No

6b		X
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☐ Yes ☒ No

N/A

## Part VIII

[illegible][illegible]Form **990-PF** (2009)

**Part VIII****Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
<b>Total.</b> Add lines 1 through 3	0.

Form 990-PF (2009)



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	1,782,062.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	122,693.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	1,904,755.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	1,904,755.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	28,571.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	1,876,184.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	93,809.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	93,809.
<b>2a</b>	Tax on investment income for 2009 from Part VI, line 5	<b>2a</b>	
<b>b</b>	Income tax for 2009. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	0.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	93,809.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	93,809.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	93,809.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	66,875.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	66,875.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	0.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	66,875.

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
<b>1</b> Distributable amount for 2009 from Part XI, line 7				93,809.
<b>2</b> Undistributed income, if any, as of the end of 2009				
<b>a</b> Enter amount for 2008 only			66,629.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2009:				
<b>a</b> From 2004				
<b>b</b> From 2005				
<b>c</b> From 2006				
<b>d</b> From 2007				
<b>e</b> From 2008				
<b>f</b> Total of lines 3a through e	0.			
<b>4</b> Qualifying distributions for 2009 from Part XII, line 4: ► \$ 66,875.				
<b>a</b> Applied to 2008, but not more than line 2a			66,629.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2009 distributable amount				246.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2009 (if an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				93,563.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
<b>8</b> Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2010 Subtract lines 7 and 8 from line 6a	0.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2005				
<b>b</b> Excess from 2006				
<b>c</b> Excess from 2007				
<b>d</b> Excess from 2008				
<b>e</b> Excess from 2009				

☐ 4942(i)(3) or ☐ 4942(i)(5)

(4) Gross investment income

11

<div> <div>Part XV</div> <div>Supplementary Information (continued)</div> </div>				
<div>3 Grants and Contributions Paid During the Year or Approved for Future Payment</div>				
<div>Recipient</div> <div>Name and address (home or business)</div>	<div>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</div>	<div>Foundation status of recipient</div>	<div>Purpose of grant or contribution</div>	<div>Amount</div>
<div>a Paid during the year</div> <div>SEE STATEMENT 14</div> <div> <div>Total</div> <div>▶ 3a</div> <div>66,875.</div> </div>				
<div>b Approved for future payment</div> <div>NONE</div> <div> <div>Total</div> <div>▶ 3b</div> <div>0.</div> </div>				

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
<b>1</b> Program service revenue:						
<b>a</b> _____						
<b>b</b> _____						
<b>c</b> _____						
<b>d</b> _____						
<b>e</b> _____						
<b>f</b> _____						
<b>g</b> Fees and contracts from government agencies						
<b>2</b> Membership dues and assessments						
<b>3</b> Interest on savings and temporary cash investments			14	21,887.		
<b>4</b> Dividends and interest from securities			14	22,611.		
<b>5</b> Net rental income or (loss) from real estate:						
<b>a</b> Debt-financed property						
<b>b</b> Not debt-financed property						
<b>6</b> Net rental income or (loss) from personal property						
<b>7</b> Other investment income						
<b>8</b> Gain or (loss) from sales of assets other than inventory			18	-21,553.		
<b>9</b> Net income or (loss) from special events						
<b>10</b> Gross profit or (loss) from sales of inventory						
<b>11</b> Other revenue:						
<b>a</b> _____						
<b>b</b> _____						
<b>c</b> _____						
<b>d</b> _____						
<b>e</b> _____						
<b>12</b> Subtotal. Add columns (b), (d), and (e)		0.		22,945.		0.
<b>13 Total</b> Add line 12, columns (b), (d), and (e)						

(See worksheet in line 13 instructions to verify calculations.)

13 22,945.

[illegible]

## Part XVII

**Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- |   |  | Yes | No |
|---|--|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |     |    |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of:   |     |    |
|   | (1) Cash   |     | X  |
|   | (2) Other assets   |     | X  |
| b | Other transactions:  |     |    |
|   | (1) Sales of assets to a noncharitable exempt organization   |     | X  |
|   | (2) Purchases of assets from a noncharitable exempt organization   |     | X  |
|   | (3) Rental of facilities, equipment, or other assets   |     | X  |
|   | (4) Reimbursement arrangements   |     | X  |
|   | (5) Loans or loan guarantees   |     | X  |
|   | (6) Performance of services or membership or fundraising solicitations   |     | X  |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees   |     | X  |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |     |    |





[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here	 Signature of officer or trustee		 Date		<b>VICE PRESIDENT</b> Title	
	Paid Preparer's Use Only	 Preparer's signature		Date 1-28-10		Check if self-employed <input type="checkbox"/>
Firm's name (or yours if self-employed), address, and ZIP code <b>CITRIN COOPERMAN &amp; COMPANY, LLP</b> <b>1800 JFK BOULEVARD, 20TH FLOOR</b> <b>PHILADELPHIA, PA 19103</b>					Preparer's identifying number <b>221-46-4285</b>	
					EIN 	
					Phone no. <b>215-545-4800</b>	

Form **990-PF** (2009)

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

**2009****Name of the organization**TOMPKINS- BROLL FAMILY FOUNDATION  
C/O DAVID BROLL**Employer identification number**

20-1516022

**Organization type** (check one)**Filers of:****Section:**

Form 990 or 990-EZ

☐

501(c)( ) (enter number) organization

☐4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions  
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization  
**TOMPKINS- BROLL FAMILY FOUNDATION**  
**C/O DAVID BROLL**

Employer identification number

**20-1516022****Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	<b>ARTHUR G. BROLL JR. CHARITABLE LEAD TRUST</b>  <b>24 DOCKSIDE LANE #423</b>  <b>KEY LARGO, FL 330375261</b>	\$ <b>448,160.</b>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)



Name of organization

TOMPKINS- BROLL FAMILY FOUNDATION  
C/O DAVID BROLL

Employer identification number

20-1516022

**Part II Noncash Property** (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

TOMPKINS- BROLL FAMILY FOUNDATION  
C/O DAVID BROLL

20-1516022

**Part III**

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

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SOURCE	AMOUNT
HAVERFORD TRUST COMPANY	21,887.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	21,887.

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FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
HAVERFORD TRUST COMPANY	22,611.	0.	22,611.
TOTAL TO FM 990-PF, PART I, LN 4	22,611.	0.	22,611.

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FORM 990-PF ACCOUNTING FEES STATEMENT 3

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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	3,910.	3,910.	0.	0.
TO FORM 990-PF, PG 1, LN 16B	3,910.	3,910.	0.	0.

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FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADVISORY FEES	10,642.	10,642.	0.	0.
TO FORM 990-PF, PG 1, LN 16C	10,642.	10,642.	0.	0.

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FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
TAXES	137.	137.	0.	0.	
TO FORM 990-PF, PG 1, LN 18	137.	137.	0.	0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FEEES TO GEN AMER. LIFE INS. CO.	92,313.	92,313.	0.	0.	
TO FORM 990-PF, PG 1, LN 23	92,313.	92,313.	0.	0.	

FOOTNOTES	STATEMENT	7
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THE CUSTODIAN DID NOT REPORT CORRECTLY IN PREVIOUS YEARS THE  
ACTUAL CUMULATIVE COST BASIS OF THE INSURANCE POLICIES  
OWNED BY THE FOUNDATION TO FURTHER THE CHARITABLE INTENT  
OF THE FOUNDATION

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FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	8
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US GOV. AGENCY OBLIGATIONS	X		352,463.	361,352.
U.S. TREASURY BONDS & NOTES	X		25,970.	28,203.
TOTAL U.S. GOVERNMENT OBLIGATIONS			378,433.	389,555.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			378,433.	389,555.

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FORM 990-PF	CORPORATE STOCK	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
EQUITIES	930,105.	919,479.
TOTAL TO FORM 990-PF, PART II, LINE 10B	930,105.	919,479.

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FORM 990-PF	CORPORATE BONDS	STATEMENT	10
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	320,698.	329,262.
TOTAL TO FORM 990-PF, PART II, LINE 10C	320,698.	329,262.

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FORM 990-PF	OTHER INVESTMENTS	STATEMENT	11
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
INSURANCE POLICIES	COST	553,875.	313,630.
TOTAL TO FORM 990-PF, PART II, LINE 13		553,875.	313,630.

FORM 990-PF

LIST OF SUBSTANTIAL CONTRIBUTORS  
PART VII-A, LINE 10

STATEMENT 12

## NAME OF CONTRIBUTOR

## ADDRESS

ARTHUR G. BROLL JR. CHARITABLE LEAD TRUST  
24 DOCKSIDE LANE #423  
KEY LARGO, FL 330375261

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 13

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ARTHUR G. BROLL JR 24 DOCKSIDE LANE # 423 KEY LARGO, FL 330375261	PRESIDENT 0.00	0.	0.	0.
NANCY T BROLL 24 DOCKSIDE LANE # 423 KEY LARGO, FL 330375261	SECRETARY/TREASURER 0.00	0.	0.	0.
CAROLYN BROLL OVERTON KELLY 718 HARVARD AVE SWARTHMORE, PA 19081	VICE-PRESIDENT 0.00	0.	0.	0.
DAVID A BROLL 710 STRAWBRIDGE LANE WAYNE, PA 19087	VICE-PRESIDENT 0.00	0.	0.	0.
JULIE BROLL LORD 211 UPLAND WAY WAYNE, PA 19087	VICE-PRESIDENT 0.00	0.	0.	0.
DANIEL W BROLL 50 RAMSEY AVE RAMSEY, NJ 07446	VICE-PRESIDENT 0.00	0.	0.	0.
GEOFFREY T BROLL 60 NOKOMIS AVE SAN ANSELMO, CA 94960	VICE-PRESIDENT 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

GRANTS AND CONTRIBUTIONS  
PAID DURING THE YEAR

STATEMENT 14

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
FIRST STEP OF CHESTER COUNTY 900 LAWRENCE DRIVE WEST CHESTER, PA 19380	UNRELATED TO FURTHER ORGANIZATION'S EXEMPT STATUS	CHARITABLE	8,000.
KEVIN W. WALSH FOUNDATION 1646 WEST CHESTER PIKE WEST CHESTER, PA 19380	UNRELATED TO FURTHER ORGANIZATION'S EXEMPT STATUS	CHARITABLE	2,500.
ON THE ISLE PO BOX 756 SCONSET, MA 02564	UNRELATED TO FURTHER ORGANIZATION'S EXEMPT STATUS	CHARITABLE	6,000.
YOUNG IMAGINATIONS 4340 REDWOOD HIGHWAY, SUITE D318 SAN RAFAEL, CA 94903	UNRELATED TO FURTHER ORGANIZATION'S EXEMPT STATUS	CHARITABLE	11,000.
NANTUCKET ATHENEUM DANCE FESTIVAL 1 INDIA STREET NANTUCKET, MA 02554	UNRELATED TO FURTHER ORGANIZATION'S EXEMPT STATUS	CHARITABLE	5,000.
NAMI 3803 N. FAIRFAX DR STE 100 ARLINGTON, VA 22203	UNRELATED TO FURTHER ORGANIZATION'S EXEMPT STATUS	CHARITABLE	2,000.
MALVERN PREP 418 S. WARREN AVENUE MALVERN, PA 19355	UNRELATED TO FURTHER ORGANIZATION'S EXEMPT STATUS	CHARITABLE	6,250.
UNIVERSITY OF PENN MOOD DISORDER CLINIC 3535 MARKET STREET, SUITE 750 PHILADELPHIA, PA 19104-3309	UNRELATED TO FURTHER ORGANIZATION'S EXEMPT STATUS	CHARITABLE	11,000.

OPERATION SMILE 6435 TIDEWATER DRIVE NORFOLK, VA 23509	UNRELATED TO FURTHER ORGANIZATION'S EXEMPT STATUS	CHARITABLE	11,000.
FIRST TEE OF PHILADELPHIA 1952 EAST ALLEGHENY AVE PHILADELPHIA, PA 19134	UNRELATED TO FURTHER ORGANIZATION'S EXEMPT STATUS	CHARITABLE	3,000.
RONALD MCDONALD HOUSE CHARITIES ONE KROC DRIVE OAK BROOK, IL 60523	UNRELATED TO FURTHER ORGANIZATION'S EXEMPT STATUS	CHARITABLE	1,125.
TOTAL TO FORM 990-PF, PART XV, LINE 3A			66,875.





QUALITY INVESTING

GAIN AND LOSS DETAIL  
07/01/09 to 06/30/2010

TOMPKINS-BROLL FAMILY FOUNDATION

Security Name	Units	Purchase Date	Cost(\$)	Sale Date	Proceeds	Realized Gain/Loss
<b>Short-Term</b>						
COLGATE-PALMOLIVE CO	75	08/07/2008	5,689.00	07/15/2009	5,481.91	-207.09
VANGUARD EMERGING MARKET ETF	75	01/16/2009	1,652.23	12/02/2009	3,092.75	1,440.52
NOKIA CORP	1,000	07/15/2009	15,708.70	01/07/2010	13,239.06	-2,469.64
GOLDMAN SACHS GROUP INC COM	75	03/11/2010	12,942.60	04/20/2010	12,439.16	-503.44
US TREASURY N/B	80,000	04/06/2010	73,625.01	05/06/2010	76,737.50	3,112.49
<b>Short Term Total:</b>			<b>109,617.54</b>		<b>110,990.38</b>	<b>1,372.84</b>

<b>Long-Term</b>						
3M COMPANY	50	05/23/2005	3,944.32	07/15/2009	3,093.27	-851.05
3M COMPANY	100	10/11/2005	7,071.00	07/15/2009	6,186.53	-884.47
3M COMPANY	100	10/19/2006	7,639.00	07/15/2009	6,186.53	-1,452.47
COLGATE-PALMOLIVE CO	175	04/29/2005	8,808.62	07/15/2009	12,791.12	3,982.50
FREDDIE MAC	50,000	05/02/2005	49,930.00	07/30/2009	50,000.00	70.00
HEWLETT PACKARD CO	25	04/04/2008	1,167.00	10/01/2009	1,156.37	-10.63
HEWLETT PACKARD CO	250	05/08/2008	12,295.05	10/01/2009	11,563.70	-731.35
LOWES COS INC	300	11/16/2005	9,722.03	10/01/2009	6,213.02	-3,509.01
INTEL CORP	400	04/29/2005	9,317.00	10/22/2009	7,856.84	-1,460.16
INTEL CORP	25	10/19/2006	528.58	10/22/2009	491.05	-37.53
INTEL CORP	350	04/04/2008	7,723.49	10/22/2009	6,874.74	-848.75
CVS/ CAREMARK CORPORATION	375	03/20/2007	12,494.28	11/17/2009	11,283.54	-1,210.74
CVS/ CAREMARK CORPORATION	200	08/01/2007	7,119.80	11/17/2009	6,017.89	-1,101.91
CVS/ CAREMARK CORPORATION	100	04/04/2008	4,043.78	11/17/2009	3,008.94	-1,034.84



QUALITY INVESTING

GAIN AND LOSS DETAIL

07/01/09 to 06/30/2010

TOMPKINS-BROLL FAMILY FOUNDATION

Security Name	Units	Purchase Date	Cost(\$)	Sale Date	Proceeds	Realized Gain/Loss
CVS/ CAREMARK CORPORATION	200	10/14/2008	6,225.84	11/17/2009	6,017.89	-207.75
HEWLETT PACKARD CO	25	04/04/2008	1,167.00	11/17/2009	1,270.47	103.47
HEWLETT PACKARD CO	100	08/07/2008	4,507.56	11/17/2009	5,081.88	574.32
LOWES COS INC	200	07/08/2005	5,943.00	01/07/2010	4,682.02	-1,260.98
LOWES COS INC	300	11/16/2005	9,722.03	01/07/2010	7,023.03	-2,699.00
LOWES COS INC	100	04/04/2008	2,456.90	01/07/2010	2,341.01	-115.89
TARGET CORP	100	07/08/2005	5,711.00	01/07/2010	5,012.04	-698.96
TARGET CORP	100	10/19/2006	5,752.80	01/07/2010	5,012.04	-740.76
TARGET CORP	75	10/23/2007	4,589.46	01/07/2010	3,759.03	-830.43
AT&T INC	350	02/08/2008	12,814.80	02/24/2010	8,691.47	-4,123.33
AT&T INC	200	05/08/2008	7,819.72	02/24/2010	4,966.56	-2,853.16
AT&T INC	425	08/07/2008	12,903.00	02/24/2010	10,553.93	-2,349.07
GOLDMAN SACHS GROUP INC	25,000	10/18/2006	24,425.50	03/05/2010	26,173.25	1,747.75
UNITED TECHNOLOGIES CORP	50	07/19/2007	3,849.85	03/11/2010	3,564.85	-285.00
UNITED TECHNOLOGIES CORP	50	10/23/2007	3,803.50	03/11/2010	3,564.85	-238.65
AFLAC	150	07/08/2005	6,700.00	05/07/2010	6,665.23	-34.77
AFLAC	100	10/11/2005	4,515.00	05/07/2010	4,443.49	-71.51
AFLAC	300	12/20/2006	13,763.26	05/07/2010	13,330.46	-432.80
DU PONT E I DE NEMOURS CO	200	05/08/2009	5,616.06	05/13/2010	7,803.99	2,187.93
EATON CORP	150	06/12/2008	14,442.06	05/13/2010	11,574.13	-2,867.93
EATON CORP	25	11/06/2008	1,125.82	05/13/2010	1,929.02	803.20
MICROSOFT CORP	225	08/07/2008	6,088.09	05/13/2010	6,636.39	548.30
Long Term Total:			305,746.00		282,820.56	-22,925.44

For the Account of: TOMPKINS-BROLL FAMILY FOUNDATION

Account Number: 52 00 8398 0 0J

Date: MAY 1, 2010 - MAY 31, 2010

## Account Summary



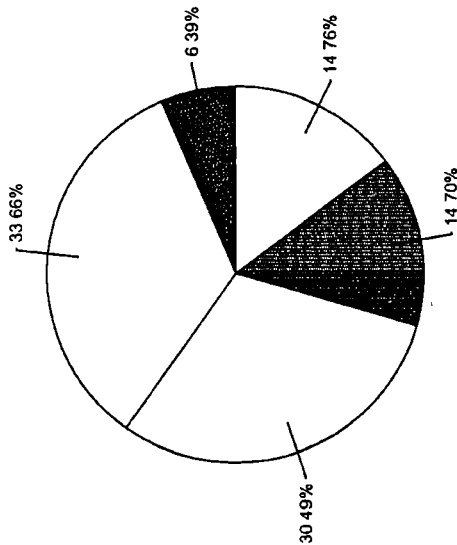
QUALITY INVESTING

Three Radnor Corporate Center, Suite 450  
Radnor, Pennsylvania 19087-4546  
T 610-995-8700 / 888-995-5995 / F 610-995-8796  
www.haverfordquality.com

### Portfolio Summary

Portfolio Assets	Value on APR 30, 2010	Value on MAY 31, 2010	Est. Ann Income	% Total Assets
CASH AND MONEY MARKET	63,692.09	135,808.65	13.56	6.39
BONDS	790,742.50	715,316.85	27,413.75	33.66
STOCKS	733,553.37	648,101.00	17,222.39	30.49
MUTUAL FUNDS	316,575.92	312,516.96	8,297.61	14.70
UNSUPERVISED ASSETS	208,041.49	313,630.32	00	14.76
TOTAL ASSETS	2,112,605.37	2,125,373.78	52,947.31	
ACCRUED INCOME	8,808.56	8,900.12		
TOTAL ACCOUNT	2,121,413.93	2,134,273.90	52,947.31	

### Asset Allocation (portfolio assets)



### Cash Activity Summary

SECURITIES PURCHASED	Credits	Debits	YTD
SECURITIES SOLD & REDEEMD	✓ 129,120.20	✓ -60,561.54	-311,877.37
DEPOSITS & WITHDRAWALS	.00	-1,125.00	240,142.51
DIVIDENDS	1,047.40	.00	58,610.74
INTEREST	✓ 3,635.50	.00	7,800.73
WITHHOLDING	.00	.00	10,536.72
OTHER ACTIVITY	.00	.00	-645.26

INCOME

This Period  
4,682.90

YTD  
18,337.45

### Realized Gain/Loss Summary

SHORT-TERM	This Period	YTD
LONG-TERM	3,112.49	139.41
	132.41	-14,315.06

donation to Ronald McDonald house

# Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print	Name of exempt organization <b>TOMPKINS- BROLL FAMILY FOUNDATION C/O DAVID BROLL</b>	Employer identification number <b>20-1516022</b>
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P O box, see instructions <b>710 STRAWBRIDGE LANE</b>	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>WAYNE, PA 19087</b>	

Enter the Return code for the return that this application is for (file a separate application for each return)

**0 4**

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**DAVID BROLL**

- The books are in the care of ► **710 STRAWBRIDGE LANE - WAYNE, PA 19087**  
Telephone No ► **484-883-1797** FAX No ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **FEBRUARY 15, 2011**, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ☐ calendar year \_\_\_\_\_ or
- ☒ tax year beginning **JUL 1, 2009**, and ending **JUN 30, 2010**

- 2** If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

<b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$	<b>0.</b>
<b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$	<b>0.</b>
<b>c</b> <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$	<b>0.</b>

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA **For Paperwork Reduction Act Notice, see Instructions.**

Form **8868** (Rev. 1-2011)