Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning JUL 1, 2009 , and ending JUN 30, 2010

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: The Schocken Foundation, Inc.

Number and street (or P O box number if mail is not delivered to street address): 57 Pine Street | Room/suite:

City or town, state, and ZIP code: Pawtucket, RI 02860

A Employer identification number: 13-6167181

B Telephone number: (617) 947-8150

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 3,099,842.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc, received	1,368,394.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	114.	114.		Statement 1
	4 Dividends and interest from securities	62,047.	62,047.		Statement 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<60,590.>			
	b Gross sales price for all assets on line 6a 303,460.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 Total. Add lines 1 through 11	1,369,965.	62,161.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees Stmt 3	145.	73.		72.
	b Accounting fees Stmt 4	4,679.	2,340.		2,339.
	c Other professional fees Stmt 5	5,000.	2,500.		2,500.
	17 Interest				
	18 Taxes Stmt 6	<7,328.>	0.		250.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses Stmt 7	715.	0.		715.
	24 Total operating and administrative expenses. Add lines 13 through 23	3,211.	4,913.		5,876.
	25 Contributions, gifts, grants paid	227,200.			227,200.
	26 Total expenses and disbursements. Add lines 24 and 25	230,411.	4,913.		233,076.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	1,139,554.			
	b Net investment income (if negative, enter -0-)		57,248.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	157,897.	160,293.	160,293.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations Stmt 8	345,284.	806,848.	806,848.
	b Investments - corporate stock Stmt 9	15,832.	15,832.	15,832.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other Stmt 10	1,441,275.	2,116,869.	2,116,869.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers)	1,960,288.	3,099,842.	3,099,842.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	1,960,288.	3,099,842.	
	30 **Total net assets or fund balances**	1,960,288.	3,099,842.	
	31 **Total liabilities and net assets/fund balances**	1,960,288.	3,099,842.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,960,288.
2 Enter amount from Part I, line 27a	2	1,139,554.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	3,099,842.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,099,842.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	Oppenheimer Cap Income Fd Cl A-32,113.872			
b	shares	P	Various	10/08/09
c	US Treasury Note 3.125%	P	01/18/08	11/30/09
d	Capital Gains Dividends			
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b	248,878.		313,209.	<64,331.>
c	50,000.		50,841.	<841.>
d	4,582.			4,582.
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				<64,331.>
c				<841.>
d				4,582.
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	<60,590.>
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	219,922.	1,782,189.	.123400
2007	231,431.	2,417,137.	.095746
2006	513,263.	2,673,666.	.191970
2005	291,149.	2,699,968.	.107834
2004	324,151.	2,720,702.	.119142

2	Total of line 1, column (d)	2	.638092
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.127618
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	2,688,854.
5	Multiply line 4 by line 3	5	343,146.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	572.
7	Add lines 5 and 6	7	343,718.
8	Enter qualifying distributions from Part XII, line 4	8	233,076.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	1,145.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3 Add lines 1 and 2			3	1,145.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	1,145.
6 Credits/Payments				
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	1,400.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments Add lines 6a through 6d			7	1,400.
8 Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	255.
11 Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 255. **Refunded** ▶			11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? *If the answer is "Yes" to* **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY,RI			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses Stmt 11	10	X	

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Part VII-A | Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ none

14 The books are in care of ▶ Nathan Rome Telephone no ▶(617) 947-8150

Located at ▶57 Pine Street, Pawtucket, RI ZIP+4 ▶02860

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | N/A

Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______ , ______ , ______ , ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______ , ______ , ______ , ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969, (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 12		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
No employees	0.00	0.	0.	0.

Total number of other employees paid over $50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	2,387,677.
b	Average of monthly cash balances		1b	342,124.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	2,729,801.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	2,729,801.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	40,947.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	2,688,854.
6	**Minimum investment return.** Enter 5% of line 5		6	134,443.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	134,443.
2a	Tax on investment income for 2009 from Part VI, line 5	2a 1,145.		
b	Income tax for 2009 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	1,145.
3	Distributable amount before adjustments Subtract line 2c from line 1		3	133,298.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	133,298.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	133,298.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	233,076.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	233,076.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	233,076.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				133,298.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years ____ , ____ , ____		0.		
3 Excess distributions carryover, if any, to 2009.				
a From 2004 190,522.				
b From 2005 160,665.				
c From 2006 384,378.				
d From 2007 120,345.				
e From 2008 132,857.				
f **Total** of lines 3a through e	988,767.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 233,076.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				133,298.
e Remaining amount distributed out of corpus	99,778.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,088,545.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	190,522.			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	898,023.			
10 Analysis of line 9				
a Excess from 2005 160,665.				
b Excess from 2006 384,378.				
c Excess from 2007 120,345.				
d Excess from 2008 132,857.				
e Excess from 2009 99,778.				

Part XIV | Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV | Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Statement 13				
Total			▶ 3a	227,200.
b *Approved for future payment*				
None				
Total			▶ 3b	0.

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	114.	
4 Dividends and interest from securities			14	62,047.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	<60,590.>	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		1,571.	0.

13 Total Add line 12, columns (b), (d), and (e) ..... 13 1,571.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

▶ [signature] 10/1/2010 ▶ Treasurer

Signature of officer or trustee — Date — Title

Paid Preparer's Use Only

Preparer's signature ▶ [signature] CPA	Date 09/01/10	Check if self-employed ▶ ☐	Preparer's identifying number
Firm's name (or yours if self-employed), address, and ZIP code ▶ Sedgwick & Company 11601 Wilshire Boulevard, Suite 500 Los Angeles, CA 90025		EIN ▶	
		Phone no (310) 395-8655	

923622
02-02-10

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**

OMB No 1545-0047

2009

Name of the organization

The Schocken Foundation, Inc.

Employer identification number

13-6167181

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year. ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization: The Schocken Foundation, Inc.

Employer identification number: 13-6167181

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	Estate of Leopold Kaufmann 22 Sorvangen Oslo, NORWAY 0282	$ 32,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
2	German Government (restitution payments) c/o liquidators of community of heirs of Salman Schocken GERMANY	$ 1,336,394.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	Amount
Wachovia Securities (925)	114.
Total to Form 990-PF, Part I, line 3, Column A	114.

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
Wachovia Securities (925)	66,629.	4,582.	62,047.
Total to Fm 990-PF, Part I, ln 4	66,629.	4,582.	62,047.

Form 990-PF Legal Fees Statement 3

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Legal fees	145.	73.		72.
To Fm 990-PF, Pg 1, ln 16a	145.	73.		72.

Form 990-PF Accounting Fees Statement 4

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Accounting fees	4,679.	2,340.		2,339.
To Form 990-PF, Pg 1, ln 16b	4,679.	2,340.		2,339.

The Schocken Foundation, Inc. 13-6167181

Form 990-PF	Other Professional Fees	Statement 5

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Administrative assistance	5,000.	2,500.		2,500.
To Form 990-PF, Pg 1, ln 16c	5,000.	2,500.		2,500.

Form 990-PF	Taxes	Statement 6

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
New York Attorney General fees	250.	0.		250.
Federal Excise Tax	<7,578.>	0.		0.
To Form 990-PF, Pg 1, ln 18	<7,328.>	0.		250.

Form 990-PF	Other Expenses	Statement 7

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Dues and conferences	495.	0.		495.
Office supplies and expenses	220.	0.		220.
To Form 990-PF, Pg 1, ln 23	715.	0.		715.

Form 990-PF	U.S. and State/City Government Obligations	Statement 8

Description	U.S. Gov't	Other Gov't	Book Value	Fair Market Value
US Treasury Notes-see attached schedule for details	X		806,848.	806,848.
Total U.S. Government Obligations			806,848.	806,848.
Total State and Municipal Government Obligations				
Total to Form 990-PF, Part II, line 10a			806,848.	806,848.

Form 990-PF	Corporate Stock	Statement 9

Description	Book Value	Fair Market Value
Corporate Stock-see attached schedule for details	15,832.	15,832.
Total to Form 990-PF, Part II, line 10b	15,832.	15,832.

Form 990-PF	Other Investments	Statement 10

Description	Valuation Method	Book Value	Fair Market Value
Mutual Funds-see attached schedule for details	COST	2,014,663.	2,014,663.
Certificates of Deposit-see attached schedule for details	COST	102,206.	102,206.
Total to Form 990-PF, Part II, line 13		2,116,869.	2,116,869.

Form 990-PF | List of Substantial Contributors Part VII-A, Line 10 | Statement 11

Name of Contributor	Address
Estate of Leopold Kaufmann	22 Sorvangen Oslo, NORWAY 0282
German Government (restitution payments)	c/o liquidators of community of heirs of Salman Schocken

Form 990-PF | Part VIII - List of Officers, Directors Trustees and Foundation Managers | Statement 12

Name and Address	Title and Avrg Hrs/Wk	Compen-sation	Employee Ben Plan Contrib	Expense Account
Shimon Schocken 15 Hatamar Street Raanana, ISRAEL 43571	President, Secretary 1.00	0.	0.	0.
Nathan Rome 12 Reservoir Street Wincherster, MA 01890	Treasurer 1.00	0.	0.	0.
Naomi Landau Rehov Menashe 15 Jerusalem, ISRAEL 93508	Director 1.00	0.	0.	0.
Hillel Schocken 87 Haim Levanon Street Tel Aviv, ISRAEL 69345	Director 1.00	0.	0.	0.
Noa Schocken 18 Harimon Street Moza Ilit, ISRAEL 90820	Director 1.00	0.	0.	0.
Totals included on 990-PF, Page 6, Part VIII		0.	0.	0.

Form 990-PF | Grants and Contributions Paid During the Year | Statement 13

Recipient Name and Address	Recipient Relationship and Purpose of Grant	Recipient Status	Amount
American Friends of Hand in Hand P.O. Box 80102 Portald, OR 97280	None Educational Program	Public	20,000.
Gentle Giant Rowing Club 122 Sharon Street, Ste. 202 Medford, MA 02155	None Sports Program for Teenagers	Public	7,500.
FJC 520 Eighth Avenue, 20th Floor New York, NY 10018	None Management of Charitable Giving	Public	7,500.
The Americal Committee for the Tel Aviv Foundation 220 Fifth Avenue, Ste. 1301 New York, NY 10001	None Art Program, School Renovation Project	Public	24,500.
Greyston Foundation 21 Park Avenue Yonkers, NY 10703	None To support childcare for families living below powerty line	Public	7,500.
Jewish Reconstructionist Federation 101 Greenwood Avenue, Ste. 430 Jenkintown, PA 19046	None Educational Program	Public	15,000.
Institute for Jewish Spirituality 330 7th Avenue, Ste. 1902 New York, NY 10001	None Educational Program for Rabbis	Public	10,000.
New Israel Fund P.O. Box 91588 Washington, DC 29009	None Social Services	Public	10,000.

PEF Israel Endowment Fund 317 Madison Avenue, Ste.607 New York, NY 10017	None Social Services, Educational Programs	Public	89,500.
United Way Worldwide 701 N. Fairfax Street Alexandria, VA 22314	None Community Health Program	Public	10,700.
Our Bodies, Ourselves 5 Upland Road, #5 Cambridge, MA 02140	None To Support Book Publication	Public	25,000.
Total to Form 990-PF, Part XV, line 3a			227,200.

SCHOCKEN FOUNDATION C/O
NATHAN ROME

JUNE 1 - JUNE 30, 2010
ACCOUNT NUMBER 7422-1925

Stocks and Options

Stocks

								ESTIMATED	
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ANNUAL INCOME	ANNUAL YIELD (%)
CHEVRON CORPORATION CVX - HELD IN MARGIN Acquired 09/28/88 L	2 10	924	15 02	13,880 00	67 8600	62,702 64	48,822 64	2,661 12	4 24
WELLS FARGO COMPANY WFC - HELD IN MARGIN Acquired 04/30/81 L	1 37	1,600	1 22	1,954 00	25 6000	40,960 00	39,006 00	320 00	0 78
Total Stocks	**3.47**			**$15,834.00**		**$103,662.64**	**$87,828.64**	**$2,981.12**	**2.88**
Total Stocks and Options	**3.47**			**$15,834.00**		**$103,662.64**	**$87,828.64**	**$2,981.12**	**2.88**

WELLS FARGO ADVISORS

SCHOCKEN FOUNDATION C/O
NATHAN ROME

JUNE 1 - JUNE 30, 2010
ACCOUNT NUMBER 7422-1925

Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value

Government Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
US TREASURY NOTES CPN 4 500% DUE 11/15/10 DTD 11/15/05 FC 05/15/06 Moody AAA , S&P AAA CUSIP 912828EM8 Acquired 01/18/08 L	3.40	100,000	106 03	106,038 60	101 5900	101,590 00	- 4,448 60	562 50	4,500 00	4 42
U S TREASURY NOTES 01/11 INFLATION INDEX NOTES CPN 3 500% DUE 01/15/11 DTD 01/15/01 FC 07/15/01 HELD IN MARGIN Moody AAA , S&P AAA JUN FACTOR 1 25260000 CUSIP 9128276R8 Acquired 08/09/01 L	4 27	100,000	128 81 103 55	128,817 54 103,557 54	101 6720	127,354 34	- 1,463 20	2,010 39	4,383 85	3 44
US TREASURY INDEX INFLATION NOTES CPN 2 000% DUE 04/15/12 DTD 04/15/07 FC 10/15/07 Moody AAA , S&P AAA JUN FACTOR 1 07435000 CUSIP 912828GN4 Acquired 10/09/09 S	1 86	50,000	112 14 104 42	56,073 05 55,464 05	103 6020	55,652 40	- 420 65	223 09	1,074 29	1 93
TREASURY INFLATION INDEX CPN 3 000% DUE 07/15/12 DTD 07/15/02 FC 01/15/03 HELD IN MARGIN Moody AAA , S&P AAA JUN FACTOR 1 21251000 CUSIP 912828AF7 Acquired 01/08/03 L	2 16	50,000	127 29 106 47	63,645 50 53,437 00	106 3910	64,500 07	854 57	834 02	1,818 66	2 81

SCHOCKEN FOUNDATION C/O
NATHAN ROME

JUNE 1 - JUNE 30, 2010
ACCOUNT NUMBER 7422-1925

Fixed Income Securities

Government Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
US INFL INDX N/B CPN 1 875% DUE 07/15/13 DTD 07/15/03 FC 01/15/04 Moody AAA , S&P AAA JUN FACTOR 1.18700000 CUSIP 912828BD1 Acquired 10/09/09 S	1 68	40,000	124 21 104 68	49,686 37 49,147 97	105 7890	50,228 61	542 24	408 24	890 19	1 77
US INFL INDX NTS CPN 2 000% DUE 01/15/14 DTD 01/15/04 FC 07/15/04 Moody AAA , S&P AAA JUN FACTOR 1 17987000 CUSIP 912828BW9 Acquired 10/09/09 S		40,000	123 64 104 84	49,459 54 48,924 34		50,280 80	821 26			
Acquired 01/06/10 S		50,000	126 12 106 94	63,064 18 62,576 18		62,851 15	- 213 03			
Total	**3.79**	**90,000**		**$112,523.72** **$111,500.52**	**106.5390**	**$113,131.95**	**$608.23**	**$973.88**	**$2,123.64**	**1.88**
US TREASURY INFLATION INDEX NOTES CPN 1 250% DUE 04/15/14 DTD 04/15/09 FC 10/15/09 Moody AAA , S&P AAA JUN FACTOR 1 03013000 CUSIP 912828KM1 Acquired 01/06/10 S	0 90	25,000	107 73 104 60	26,933 50 26,720 50	104 4380	26,896 17	- 37 33	66 85	321 89	1 19
US TREASURY NOTE INFLATION INDEX NOTES CPN 2 000% DUE 07/15/14 DTD 07/15/04 FC 01/15/05 Moody AAA , S&P AAA JUN FACTOR 1 15657000 CUSIP 912828CP3 Acquired 01/06/10 S	1 25	30,000	123 98 107 24	37,194 14 36,907 04	107 2890	37,226 17	32 03	318 22	693 90	1 86

Fixed Income Securities

Government Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
UNITED STATES TREASURY INFLATION INDEX CPN 1 625% DUE 01/15/15 DTD 01/15/05 FC 07/15/05 Moody AAA , S&P AAA JUN FACTOR 1 14174000 CUSIP 912828DH0										
Acquired 01/06/10 S	1 62	40,000	120 39 105 48	48,158 58 47,780 98	105 5780	48,217 05	58 47	340 31	742 08	1 53
US TREASURY INFLATION INDEXED CPN 1 875% DUE 07/15/15 DTD 07/15/05 FC 01/15/06 Moody AAA , S&P AAA JUN FACTOR 1 12081000 CUSIP 912828EA4										
Acquired 10/09/09 S		45,000	117 28 104 68	52,777 70 52,206 20		54,045 45	1,267 75			
Acquired 01/06/10 S		40,000	119 74 106 88	47,899 37 47,528 97		48,040 83	141 46			
Total	**3.42**	**85,000**		**$100,677.07 $99,735.17**	**107.1560**	**$102,086.28**	**$1,409.21**	**$819.13**	**$1,786.19**	**1.75**
US TREASURY NOTES INDX INFLATION CPN 2 000% DUE 01/15/16 DTD 01/15/06 FC 07/15/06 Moody AAA , S&P AAA JUN FACTOR 1 09841000 CUSIP 912828ET3										
Acquired 01/06/10 S	1 39	35,000	117 93 107 41	41,277 97 40,960 17	107 9060	41,483 76	205 79	352 58	768 83	1 85

**SCHOCKEN FOUNDATION C/O
NATHAN ROME**

JUNE 1 - JUNE 30, 2010
ACCOUNT NUMBER 7422-1925

Fixed Income Securities

Government Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
US INFL INDX NTS CPN 2 500% DUE 07/15/16 DTD 07/15/06 FC 01/15/07 Moody AAA , S&P AAA JUN FACTOR 1 07951000 CUSIP 912828FL9 Acquired 01/06/10 S	1 21	30,000	119 38 110 66	35,814 71 35,547 11	111 3050	36,046 45	231 74	371 27	809 58	2 24
Total Government Bonds	**26.96**	**675,000**		**$806,840.75 $766,796.65**		**$804,413.25**	**- $2,427.50**	**$7,280.48**	**$19,913.10**	**2.48**

Certificates of Deposit

Long-term certificates of deposit (maturity beyond one year from date of issue) are priced using a market value pricing model

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
GE MONEY BANK CD@ DRAPER UT ACT/365 FDIC INSURED CPN 0 550% DUE 07/16/10 DTD 10/16/09 FC 07/16/10 CUSIP 36159SGJ4 Acquired 10/09/09 S	1 68	50,000	100 00	50,000 00	100 0297	50,014 85	14 85	193 63	275 00	0 54
MEDALLION BANK CD SALT LAKE CTY UT ACT/365 FDIC INSURED CPN 5 000% DUE 10/27/10 DTD 10/27/06 FC 04/27/07 CUSIP 58403BHF6 Acquired 10/09/09 S	1 70	50,000	104 40	52,206 04	101 2860	50,643 00	- 1,563 04	438 36	2,500 00	4 93
Total Certificates of Deposit	**3.37**	**100,000**		**$102,206.04**		**$100,657.85**	**- $1,548.19**	**$631.99**	**$2,775.00**	**2.76**
Total Fixed Income Securities	**30.33**			**$909,046.79 $869,002.69**		**$905,071.10**	**- $3,975.69**	**$7,912.47**	**$22,688.10**	**2.51**

@ Denotes Certificate of Deposit with a maturity date in the next 60 days Please contact us for further investment opportunities or any assistance

WELLS FARGO ADVISORS

SCHOCKEN FOUNDATION C/O
NATHAN ROME

JUNE 1 - JUNE 30, 2010
ACCOUNT NUMBER 7422-1925

Mutual Funds

Mutual fund shares are priced at net asset value Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return
If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions

Open End Mutual Funds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
AMER MUTUAL FD INC A									
AMRMX - HELD IN MARGIN									
Acquired 01/16/96 L		999	25 03	25,014 96		21,598 38	- 3,416 58		
Acquired 02/28/96 L		963	25 96	25,009 11		20,820 05	- 4,189 06		
Acquired 04/09/96 L		16 24700	25 75	412 02		351 26	- 60 76		
Acquired 05/08/96 L		969	25 77	24,980 82		20,949 78	- 4,031 04		
Acquired 06/27/96 L		944	26 45	24,978 24		20,409 28	- 4,568 96		
Acquired 07/09/96 L		32 59500	25 53	817 16		704 70	- 112 46		
Acquired 08/21/96 L		943 04000	26 51	25,000 00		20,388 52	- 4,611 48		
Acquired 09/19/96 L		927 64400	26 96	25,000 00		20,055 66	- 4,944 34		
Acquired 10/08/96 L		46 16300	26 43	1,216 85		998 04	- 218 81		
Acquired 11/14/96 L		889 04700	28 12	25,000 00		19,221 20	- 5,778 80		
Acquired 12/10/96 L		478 25500	25 62	12,248 11		10,339 87	- 1,908 24		
Acquired 12/27/96 L		923 19100	27.08	25,000 00		19,959 39	- 5,040 61		
Acquired 04/08/97 L		62 76500	26 22	1,626 24		1,356 98	- 269 26		
Acquired 07/08/97 L		55 10400	29 78	1,638 79		1,191 35	- 447 44		
Acquired 10/07/97 L		53 15100	31 11	1,649 81		1,149 12	- 500 69		
Acquired 12/09/97 L		15 90600	30 93	464 92		343 89	- 121 03		
Acquired 12/09/97 L		745 29500	29 24	21,784 98		16,113 28	- 5,671 70		
Acquired 03/31/98 L		57 30900	31.78	1,812 68		1,239 02	- 573 66		
Acquired 06/29/98 L		58 57900	31 44	1,824 14		1,266 48	- 557 66		
Acquired 08/28/98 L		61 73000	30 08	1,835 86		1,334 60	- 501 26		
Acquired 12/11/98 L		1,006 06600	28 75	28,924 39		21,751 15	- 7,173 24		
Acquired 03/29/99 L		64 34900	29 60	1,895 71		1,391 23	- 504 48		
Acquired 06/28/99 L		60 86900	31 78	1,907 62		1,315 99	- 591 63		
Acquired 09/27/99 L		65 82800	29 50	1,918 88		1,423 20	- 495 68		
Acquired 12/10/99 L		81 23900	23 83	1,931 05		1,756 39	- 174 66		
Acquired 12/10/99 L		2,215 41400	23 77	52,660 38		47,897 25	- 4,763 13		
Acquired 03/16/00 L		108 17000	21 80	2,355 94		2,338 64	- 17 30		
Acquired 06/26/00 L		103 39200	23 15	2,375 95		2,235 34	- 140 61		
Acquired 09/25/00 L		102 39700	23 48	2,395 07		2,213 82	- 181 25		
Acquired 12/08/00 L		105 92500	22 99	2,414 02		2,290 10	- 123 92		
Acquired 12/08/00 L		718 56800	22 80	16,376 17		15,535 44	- 840 73		
Acquired 03/15/01 L		106 76300	23 55	2,497 18		2,308 22	- 188 96		
Acquired 10/09/09 S		4,426 73700	22 59	100,005 00		95,706 01	- 4,298 99		
Acquired 01/06/10 S		3,760 96900	23 93	90,005 00		81,312 19	- 8,692 81		
Total	**16.06**	**22,167 .70700**		**$554,977.05**	**21.6200**	**$479,265.82**	**- $75,711.23**	**$13,300.62**	**2.78**

SCHOCKEN FOUNDATION C/O
NATHAN ROME

JUNE 1 - JUNE 30, 2010
ACCOUNT NUMBER 7422-1925

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
BLACKROCK FUNDS GLOBAL GROWTH FD CL C MCGGX On Reinvestment									
Acquired 03/13/08 L		3,154 57400	15 85	50,007 50		36,845 39	- 13,162 11		
Acquired 04/08/08 L		1,534 68400	16 29	25,007 50		17,925 11	- 7,082 39		
Acquired 05/21/08 L		1,475 79700	16 94	25,007 50		17,237 32	- 7,770 18		
Acquired 01/06/10 S		1,466 27600	13 64	20,005 00		17,126 12	- 2,878 88		
Reinvestments S		66 52200	13 17	876 10		776 98	- 99 12		
Total	**3.01**	**7,697 .85300**		**$120,903.60**	**11.6800**	**$89,910.92**	**- $30,992.68**	**$1,093.09**	**1.22**
	Client Investment (Excluding Reinvestments)				$120,027 50				
	Gain/Loss on Client Investment (Including Reinvestments)				-$30,116 58				
DODGE & COX FDS INTL STK FD DODFX On Reinvestment									
Acquired 03/13/08 L		606 94300	41 19	25,047 50		17,231 11	- 7,816 39		
Acquired 04/08/08 L		585 06900	42 73	25,047 50		16,610 10	- 8,437 40		
Acquired 05/21/08 L		561 92400	44 49	25,047 50		15,953 02	- 9,094 48		
Acquired 01/06/10 S		1,521 60700	32 86	50,045 00		43,198 42	- 6,846 58		
Reinvestments L		211 04100	20 96	4,423 43		5,991 46	1,568.03		
Reinvestments S		27 39800	31 26	856 73		777 83	- 78 90		
Total	**3.34**	**3,513 .98200**		**$130,467.66**	**28.3900**	**$99,761.94**	**- $30,705.72**	**$1,532.09**	**1.54**
	Client Investment (Excluding Reinvestments)				$125,187 50				
	Gain/Loss on Client Investment (Including Reinvestments)				-$25,425 56				
FPA CAPITAL FUND INC FPPTX - HELD IN MARGIN On Reinvestment									
Acquired 04/06/04 L		4,049 60800	39 51	160,006 00		126,712 19	- 33,293 81		
Reinvestments L		2,162 51100	35 27	76,293 02		67,665 01	- 8,628 01		
Reinvestments S		7 55800	26 30	198 80		236 49	37 69		
Total	**6.52**	**6,219 .67700**		**$236,497.82**	**31.2900**	**$194,613.69**	**- $41,884.13**	**$199.02**	**0.10**
	Client Investment (Excluding Reinvestments)				$160,006 00				
	Gain/Loss on Client Investment (Including Reinvestments)				$34,607 69				

WELLS FARGO ADVISORS

SCHOCKEN FOUNDATION C/O
NATHAN ROME

JUNE 1 - JUNE 30, 2010
ACCOUNT NUMBER 7422-1925

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
FPA NEW INCOME INC FPNIX On Reinvestment									
Acquired 10/08/09 S		22,301 51700	11 21	250,005 00		246,431 54	- 3,573 46		
Acquired 01/06/10 S		4,524 88700	11 05	50,005 00		50,000 18	- 4 82		
Reinvestments S		415 00600	10 96	4,550 40		4,585 86	35 46		
Total	**10.09**	**27,241 .41000**		**$304,560.40**	**11.0500**	**$301,017.58**	**- $3,542.82**	**$9,943.11**	**3.30**
Client Investment (Excluding Reinvestments)					$300,010 00				
Gain/Loss on Client Investment (Including Reinvestments)					$1,007 58				
IVA INTERNATIONAL FD CLASS A IVIOX On Reinvestment									
Acquired 03/11/09 L		2,175 80500	11 49	25,005 00		30,918 17	5,913 17		
Acquired 06/24/09 L		1,874 06300	13 34	25,005 00		26,630 44	1,625 44		
Acquired 10/09/09 S		13,413 81600	14 91	200,005 00		190,610 33	- 9,394 67		
Acquired 01/06/10 S		4,016 06400	14 94	60,005 00		57,068.27	- 2,936 73		
Reinvestments S		506 90900	14 37	7,289 34		7,203 18	- 86 16		
Total	**10.47**	**21,986 .65700**		**$317,309.34**	**14.2100**	**$312,430.39**	**- $4,878.95**	**$3,407.93**	**1.09**
Client Investment (Excluding Reinvestments)					$310,020 00				
Gain/Loss on Client Investment (Including Reinvestments)					$2,410 39				
OPPENHEIMER LIMITED TERM MUNICIPAL FD CL C OITCX On Reinvestment									
Acquired 03/13/08 L		1,666 66700	15 00	25,007 50		24,016 65	- 990 85		
Acquired 04/08/08 L		1,670 00700	14 97	25,007 50		24,064 80	- 942 70		
Acquired 05/21/08 L		1,667 77900	14 99	25,007 50		24,032 70	- 974 80		
Reinvestments L		263 05700	13 68	3,598 87		3,790 67	191 80		
Reinvestments S		230 75000	14 33	3,307 66		3,325 10	17 44		
Total	**2.65**	**5,498 .26000**		**$81,929.03**	**14.4100**	**$79,229.92**	**- $2,699.11**	**$3,386.92**	**4.27**
Client Investment (Excluding Reinvestments)					$75,022 50				
Gain/Loss on Client Investment (Including Reinvestments)					$4,207 42				
Total Open End Mutual Funds	**52.15**			**$1,746,644.90**		**$1,556,230.26**	**- $190,414.64**	**$32,862.78**	**2.11**

SCHOCKEN FOUNDATION C/O
NATHAN ROME

JUNE 1 - JUNE 30, 2010
ACCOUNT NUMBER 7422-1925

Mutual Funds

Closed End Mutual Funds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
ROYCE VALUE TRUST INC									
RVT - HELD IN MARGIN									
Acquired 07/30/02 L		13,718	N/A##	N/A		144,999 26	N/A		
Acquired 03/13/03 L		3,790	N/A##	N/A		40,060 30	N/A		
Acquired 12/23/03 L		492	N/A##	8,068 80		5,200 44	- 2,868 36		
Acquired 10/09/09 S		2,000	10 47	21,354 81		21,140 00	- 214 81		
Acquired 01/06/10 S		2,500	11 04	28,082 47		26,425 00	- 1,657 47		
Total	**7.97**	**22,500**		**$57,506.08**	**10.5700**	**$237,825.00**	**- $4,740.64**	**N/A**	**N/A**
Total Closed End Mutual Funds	**7.97**			**$57,506.08**		**$237,825.00**	**- $4,740.64**		
Total Mutual Funds	**60.12**			**$1,804,150.98**		**$1,794,055.26**	**- $195,155.28**	**$32,862.78**	**1.83**

Cost information for one or more securities is not available If you have cost information and would like to see it on future statements, contact Your Financial Advisor