Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning 07/01 **, 2009, and ending** 06/30, 2010

G Check all that apply [ ] Initial return [ ] Initial return of a former public charity [ ] Final return
[ ] Amended return [ ] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
THE SAMUEL RUBIN FOUNDATION, INC.

Number and street (or P O box number if mail is not delivered to street address) — Room/suite
777 UNITED NATIONS PLAZA — 10D

City or town, state, and ZIP code
NEW YORK, NY 10017

A Employer identification number
13-6164671

B Telephone number (see page 10 of the instructions)
(212) 697-8945

C If exemption application is pending, check here ▶ [ ]

D 1 Foreign organizations, check here ▶ [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

H Check type of organization [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 10,891,436.

J Accounting method [X] Cash [ ] Accrual
[ ] Other (specify) ____________________
(Part I, column (d) must be on cash basis)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ [ ] if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	259,722.	259,722.		ATCH 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,266.			
	b Gross sales price for all assets on line 6a 3,657,483.				
	7 Capital gain net income (from Part IV, line 2)		1,266.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	22,679.			ATCH 2
	12 **Total.** Add lines 1 through 11	283,667.	260,988.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	38,125.	1,906.		36,219.
	14 Other employee salaries and wages	64,890.	9,734.		55,157.
	15 Pension plans, employee benefits	19,201.	1,987.		17,214.
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	19,375.	14,531.	0.	4,844.
	c Other professional fees (attach schedule)*	73,836.	73,703.		134.
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)**	15,288.	2,650.		7,638.
	19 Depreciation (attach schedule) and depletion	286.	94.		
	20 Occupancy	26,842.	8,858.		17,984.
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 5	14,751.	3,688.		11,064.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	272,594.	117,151.	0.	150,254.
	25 Contributions, gifts, grants paid	520,722.			520,722.
	26 **Total expenses and disbursements** Add lines 24 and 25	793,316.	117,151.	0.	670,976.
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	-509,649.			
	b **Net investment income** (if negative, enter -0-)		143,837.		
	c **Adjusted net income** (if negative, enter -0-)			-0-	

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For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions. * ATCH 3 JSA ** ATCH 4

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	29,499.	14,673.	14,673.
	2	Savings and temporary cash investments	245,828.	339,558.	339,558.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule) **	2,798,828.	3,075,903.	3,192,125.
	b	Investments - corporate stock (attach schedule) ATCH 7	8,325,174.	7,463,682.	7,312,506.
	c	Investments - corporate bonds (attach schedule) ATCH 8	31,468.	27,618.	24,418.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ 22,565.			
		Less accumulated depreciation (attach schedule) ▶ 18,670.	4,181.	3,895.	3,895.
	15	Other assets (describe ▶ ATCH 9)	4,261.	4,261.	4,261.
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	11,439,239.	10,929,590.	10,891,436.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ [X] **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	11,439,239.	10,929,590.	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [ ]			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	11,439,239.	10,929,590.	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	11,439,239.	10,929,590.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	11,439,239.
2	Enter amount from Part I, line 27a	2	-509,649.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	10,929,590.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,929,590.

** ATCH 6

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	1,266.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	686,232.	10,797,701.	0.063554
2007	816,144.	13,644,504.	0.059815
2006	823,369.	13,740,197.	0.059924
2005	812,349.	12,946,509.	0.062747
2004	965,465.	12,149,565.	0.079465

2 **Total** of line 1, column (d)	2	0.325505
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.065101
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	11,440,085.
5 Multiply line 4 by line 3	5	744,761.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,438.
7 Add lines 5 and 6	7	746,199.
8 Enter qualifying distributions from Part XII, line 4	8	670,976.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter ___________ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [ ] and enter 1% of Part I, line 27b	1	2,877.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	2,877.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	2,877.
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a: 5,000.		
b	Exempt foreign organizations-tax withheld at source — 6b: 0.		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	5,000.
8	Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	2,123.
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 2,123. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ NY,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ CORA WEISS Telephone no ▶ 212-697-8945

Located at ▶ 777 UNITED NATIONS PLAZA, NEW YORK, NY ZIP + 4 ▶ 10017

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ________ , ________ , ________ , ________			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ________ , ________ , ________ , ________			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 10		38,125.	8,931.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 11		64,890.	10,270.	0.

Total number of other employees paid over $50,000 ▶ NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
ATTACHMENT 12		73,302.

Total number of others receiving over $50,000 for professional services ▶ NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	11,260,634.
b	Average of monthly cash balances	1b	345,367.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	8,299.
d	**Total** (add lines 1a, b, and c)	1d	11,614,300.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	11,614,300.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	174,215.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	11,440,085.
6	**Minimum investment return.** Enter 5% of line 5	6	572,004.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	572,004.
2a	Tax on investment income for 2009 from Part VI, line 5 2a 2,877.		
b	Income tax for 2009 (This does not include the tax from Part VI) 2b		
c	Add lines 2a and 2b	2c	2,877.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	569,127.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	569,127.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	569,127.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	670,976.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	670,976.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	670,976.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7					569,127.
2 Undistributed income, if any, as of the end of 2009					
a Enter amount for 2008 only					
b Total for prior years 20 07 ,20 06 ,20 05			0.		
3 Excess distributions carryover, if any, to 2009					
a From 2004	371,796.				
b From 2005	195,124.				
c From 2006	175,198.				
d From 2007	159,938.				
e From 2008	149,708.				
f **Total** of lines 3a through e		1,051,764.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 670,976.					
a Applied to 2008, but not more than line 2a					
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)					
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)					
d Applied to 2009 distributable amount					569,127.
e Remaining amount distributed out of corpus		101,849.			
5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		1,153,613.			
b Prior years' undistributed income Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions			0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions					
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010					
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)					
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)		371,796.			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a		781,817.			
10 Analysis of line 9					
a Excess from 2005	195,124.				
b Excess from 2006	175,198.				
c Excess from 2007	159,938.				
d Excess from 2008	149,708.				
e Excess from 2009	101,849.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed.
N/A

b The form in which applications should be submitted and information and materials they should include

ATTACHMENT 13

c Any submission deadlines

1ST FRIDAY OF JANUARY, MAY AND SEPTEMBER

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ATTACHMENT 14

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATTACHMENT 15				
Total . . . . . ▶ **3a**				520,722.
b *Approved for future payment*				
Total . . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See page 28 of the instructions.)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	259,722.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	1,266.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b ATTACHMENT 16				17,679.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				278,667.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 278,667.

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

▶ [signature] 11/15/10 ▶ President

Signature of officer or trustee Date Title

Paid Preparer's Use Only

Preparer's signature ▶ [signature]	Date 11/8/10	Check if self-employed ▶ ☐	Preparer's identifying number (See **Signature** on page 30 of the instructions) 070-36-7237
Firm's name (or yours if self-employed), address, and ZIP code	▶ CORNICK, GARBER & SANDLER, LLP		EIN ▶ 13-2620561
	825 THIRD AVENUE, 4TH FL		
	NEW YORK, NY 10022-9524		Phone no 212-557-3900

Form **990-PF** (2009)

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SEE ATTACHED SCHEDULE PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
3,657,483.		3,656,217.					1,266.	
TOTAL GAIN(LOSS)							1,266.	

The Samuel Rubin Fouhdation, Inc
FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX INVESTMENT INCOME

Security	Shares	Bought	Sold	Proceeds	Cost Basis	Gain/Loss
Mutual Fund						
Technology	4,403 00	8/27/2004	11/23/2009	41,124 02	30,116 52	11,007 50
Technology	1,462 00	4/20/2005	11/23/2009	13,655 08	10,409 44	3,245 64
Financial Services	3,937 00	7/1/2005	11/23/2009	22,204 68	39,370.00	(17,165 32)
Financial Services	46.437	12/15/2005	11/23/2009	261 9	501 52	(239 62)
Financial Services	10,962 00	4/25/2006	11/23/2009	61,825 68	125,295 66	(63,469.98)
Financial Services	0 201	9/15/2006	11/23/2009	1 13	2 38	(1 25)
Financial Services	7.432	9/15/2006	11/23/2009	41 92	88.07	(46 15)
Financial Services	41 78	9/15/2006	11/23/2009	235 64	495 09	(259 45)
Financial Services	195 238	12/15/2006	11/23/2009	1,101 14	2,442 43	(1,341 29)
Financial Services	92 281	12/15/2006	11/23/2009	520 46	1,154.43	(633 97)
Financial Services	214 495	12/15/2006	11/23/2009	1,209 75	2,683 33	(1,473 58)
Financial Services	4 866	9/17/2007	11/23/2009	27 44	56 01	(28 57)
Financial Services	100 716	9/17/2007	11/23/2009	568 04	1,159 24	(591.20)
Financial Services	237.191	9/17/2007	11/23/2009	1,337 76	2,730 07	(1,392 31)
Financial Services	888 119	12/17/2007	11/23/2009	5,008 99	8,223 98	(3,214 99)
Financial Services	293 245	12/17/2007	11/23/2009	1,653 90	2,715 45	(1,061 55)
TOTAL Mutual Fund				150,777 53	227,443 62	(76,666 09)
Stock						
SEI INVESTMENTS CO	2,920 00	7/24/2007	7/2/2009	51,303 95	81,953 89	(30,649 94)
SEI INVESTMENTS CO	660	4/30/2008	7/2/2009	11,596 10	15,658 63	(4,062 53)
FEDEX CORP	800	5/22/2008	7/24/2009	51,774 34	71,144 40	(19,370 06)
AMERICAN EXPRESS CO	740	6/26/2008	7/27/2009	21,684 33	29,026 28	(7,341 95)
AMERICAN EXPRESS CO	1,490 00	6/26/2008	7/27/2009	43,661 69	58,906 85	(15,245 16)
EMC CORP-MASS	1,330 00	2/7/2008	7/28/2009	20,175 58	20,280 24	(104 66)
EMC CORP-MASS	2,640 00	7/25/2008	7/28/2009	40,047 77	36,786 55	3,261 22
Perkinelmer Inc	1,320 00	8/23/2005	7/29/2009	24,669 10	26,476 43	(1,807 33)
CERNER CORP	380	2/7/2008	7/30/2009	24,869 41	18,059 84	6,809 57
GOOGLE INC	40	1/22/2008	8/3/2009	18,000 40	23,240 58	(5,240 18)
GOOGLE INC	70	2/13/2008	8/3/2009	31,500 71	36,740 89	(5,240 18)
GOOGLE INC	20	7/7/2008	8/3/2009	9,000 20	10,961 68	(1,961 48)
WEYERHAEUSER CO	120	8/1/2007	8/3/2009	4,420 77	8,492 15	(4,071 38)
WEYERHAEUSER CO	240	8/24/2007	8/3/2009	8,841 54	15,977 35	(7,135 81)
WEYERHAEUSER CO	1,090 00	3/7/2008	8/3/2009	40,155 33	65,535 27	(25,379 94)
WEYERHAEUSER CO	270	6/20/2008	8/3/2009	9,946 73	14,294 50	(4,347 77)
WEYERHAEUSER CO	470	11/13/2008	8/3/2009	17,314 68	14,910 09	2,404 59
INTERNATIONAL GAME TECHNOLOGY	1,675 00	6/24/2005	8/13/2009	31,951 31	48,314 42	(16,363 11)
INTERNATIONAL GAME TECHNOLOGY	500	8/19/2005	8/13/2009	9,537 70	13,501 79	(3,964 09)
INTERNATIONAL GAME TECHNOLOGY	275	6/9/2008	8/13/2009	5,245 74	9,153 38	(3,907 64)
Salesforce com Inc	1,150 00	12/3/2008	8/13/2009	55,051 73	35,196 56	19,855 17
AMERICAN EXPRESS CO	20	6/26/2008	8/24/2009	668.84	790 7	(121 86)
AMERICAN EXPRESS CO	1,390 00	12/2/2008	8/24/2009	46,484 44	28,476 79	18,007 65
AMERICAN EXPRESS CO	100	2/17/2009	8/24/2009	3,344 20	1,426 85	1,917 35
SOUTHWEST AIRLINES CO	4,585 00	6/6/2007	8/24/2009	40,521 84	64,726 90	(24,205 06)
SOUTHWEST AIRLINES CO	2,415 00	2/1/2008	8/24/2009	21,343 56	29,328 00	(7,984 44)
UNILEVER PLC SPONS ADR NEW	2,488 00	3/12/2007	9/14/2009	67,928 36	68,298 09	(369 73)
UNILEVER PLC SPONS ADR NEW	392	8/1/2008	9/14/2009	10,702 54	10,539 19	163 35
WEYERHAEUSER CO	1,200 00	11/13/2008	9/14/2009	46,891 91	38,068 32	8,823 59
CISCO SYS INC	850	1/17/2008	9/17/2009	19,932 92	21,207 67	(1,274 75)
NOVARTIS AG ADR	790	3/1/2004	9/17/2009	38,110 52	35,045 81	3,064 71
NOVARTIS AG ADR	460	9/22/2008	9/17/2009	22,190 93	24,331 06	(2,140 13)
CARNIVAL CORP	1,080 00	4/20/2006	9/22/2009	37,502 79	51,593 55	(14,090 76)
CARNIVAL CORP	1,225 00	6/8/2006	9/22/2009	42,537 89	45,815 00	(3,277 11)
CARNIVAL CORP	1,145 00	6/23/2008	9/22/2009	39,759 91	40,203 47	(443 56)
CERNER CORP	210	2/7/2008	10/14/2009	17,859 81	9,980 44	7,879 37
CERNER CORP	200	11/21/2008	10/14/2009	17,009 34	6,193 54	10,815 80
UNILEVER PLC SPONS ADR NEW	1,298 00	8/1/2008	10/30/2009	39,633 67	34,897 64	4,736 03

UNILEVER PLC SPONS ADR NEW	392	8/27/2008	10/30/2009	11,969 49	10,524 89	1,444 60
FEDEX CORP	250	5/22/2008	11/13/2009	20,502 02	22,232 63	(1,730 61)
FEDEX CORP	580	10/2/2008	11/13/2009	47,564 70	45,208 16	2,356 54
SOUTHWEST AIRLINES CO	715	2/1/2008	11/13/2009	6,660 91	8,683 03	(2,022 12)
SOUTHWEST AIRLINES CO	3,405.00	10/15/2008	11/13/2009	31,720 84	41,005 05	(9,284 21)
MICROSOFT CORP	3,390 00	1/18/2008	11/17/2009	100,987 20	112,624 61	(11,637.41)
UNITED PARCEL SERVICE CL B	490	7/7/2005	11/24/2009	28,327.50	33,472 52	(5,145 02)
UNITED PARCEL SERVICE CL B	160	3/5/2007	11/24/2009	9,249.79	11,187 76	(1,937 97)
FEDEX CORP	10	10/2/2008	12/1/2009	852 08	779 45	72 63
FEDEX CORP	510	2/13/2009	12/1/2009	43,456 08	26,724 87	16,731 21
COMCAST CORP CL A	870	10/4/2007	12/4/2009	13,878 14	20,724 80	(6,846 66)
COMCAST CORP CL A	1,300.00	10/31/2007	12/4/2009	20,737 45	27,300 00	(6,562 55)
COMCAST CORP CL A	1,250.00	12/17/2007	12/4/2009	19,939 86	22,657 63	(2,717 77)
COMCAST CORP CL A	1,420 00	8/27/2008	12/4/2009	22,651 68	30,378 49	(7,726.81)
NOVARTIS AG ADR	490	9/22/2008	12/7/2009	27,286 22	25,917 86	1,368 36
NOVARTIS AG ADR	570	1/21/2009	12/7/2009	31,741 11	25,885 58	5,855.53
NOVARTIS AG ADR	680	4/30/2009	12/7/2009	37,866 59	25,736 64	12,129 95
SEI INVESTMENTS CO	100	4/30/2008	12/17/2009	1,780 23	2,372 52	(592 29)
SEI INVESTMENTS CO	2,060 00	3/2/2009	12/17/2009	36,672 83	23,238 65	13,434 18
GOOGLE INC	40	7/7/2008	12/23/2009	24,302 43	21,923 37	2,379.06
GOOGLE INC	90	8/5/2008	12/23/2009	54,680 47	42,668 72	12,011 75
GOOGLE INC	40	10/1/2008	12/23/2009	24,302 43	16,506 79	7,795 64
NOKIA CORP SPONSORED ADR	4,350 00	10/15/2008	1/14/2010	58,015 21	69,981 49	(11,966.28)
Perkınelmer Inc	845	8/23/2005	1/19/2010	17,966 24	16,948 93	1,017 31
Perkınelmer Inc	305	10/10/2005	1/19/2010	6,484 86	6,632 62	(147 76)
AMERICAN EXPRESS CO	340	2/17/2009	1/26/2010	13,216 31	4,851 29	8,365 02
GENERAL MILLS INC	890	4/30/2009	2/3/2010	62,598 06	44,855 38	17,742 68
LONZA GROUP AG	380	11/6/2008	2/18/2010	27,084 78	29,193 46	(2,108 68)
MILLIPORE CORP	580	3/5/2008	2/23/2010	52,388 59	41,469 59	10,919 00
MILLIPORE CORP	370	5/1/2008	2/23/2010	33,420 31	26,711.19	6,709.12
SOUTHWEST AIRLINES CO	180	10/15/2008	2/26/2010	2,269 00	2,167 67	101 33
SOUTHWEST AIRLINES CO	2,015 00	10/15/2008	2/26/2010	25,354 42	24,265.84	1,088 58
SOUTHWEST AIRLINES CO	3,955 00	2/19/2009	2/26/2010	49,765.13	27,803 65	21,961 48
INTERNATIONAL GAME TECHNOLOGY	540	6/9/2008	3/22/2010	9,456 30	17,973 90	(8,517 60)
UNILEVER PLC SPONS ADR NEW	448	8/27/2008	4/5/2010	13,479 51	12,028 44	1,451 07
UNILEVER PLC SPONS ADR NEW	932	10/22/2008	4/5/2010	28,042 19	20,601 20	7,440 99
WEYERHAEUSER CO	360	11/13/2008	4/12/2010	16,578 73	11,420 50	5,158 23
WEYERHAEUSER CO	920	2/25/2009	4/12/2010	42,367.85	23,602 14	18,765 71
NOKIA CORP SPONSORED ADR	340	10/15/2008	4/14/2010	5,240 87	5,469 82	(228 95)
NOKIA CORP SPONSORED ADR	3,400 00	4/30/2009	4/14/2010	52,408 75	47,532 00	4,876 75
NOKIA CORP SPONSORED ADR	1,110 00	8/3/2009	4/14/2010	17,109 92	15,043 50	2,066 42
QUEST DIAGNOSTICS INC	540	8/3/2007	4/14/2010	31,269 20	30,594 35	674 85
WEYERHAEUSER CO	970	2/25/2009	4/26/2010	51,128 80	24,884 87	26,243 93
EMC CORP-MASS	3,790 00	7/25/2008	4/29/2010	74,271 37	52,811 00	21,460 37
Perkınelmer Inc	570	10/10/2005	5/3/2010	14,294 78	12,395 40	1,899 38
Perkınelmer Inc	450	10/8/2008	5/3/2010	11,285 36	10,455 17	830 19
DEAN FOODS CO NEW	1,380 00	9/28/2007	5/12/2010	13,548.61	35,234 16	(21,685 55)
DEAN FOODS CO NEW	960	11/11/2008	5/12/2010	9,425 12	15,228 58	(5,803 46)
DEAN FOODS CO NEW	890	5/18/2009	5/12/2010	8,737 87	16,478 26	(7,740 39)
DEAN FOODS CO NEW	550	12/9/2009	5/12/2010	5,399 81	9,332 29	(3,932 48)
WALT DISNEY CO	2,390.00	10/6/2008	5/18/2010	81,088 22	66,383 92	14,704 30
WALT DISNEY CO	120	11/12/2008	5/18/2010	4,071 38	2,514 11	1,557 27
Johnson & Johnson	880	6/25/2007	5/24/2010	53,769 11	53,983 69	(214 58)
Johnson & Johnson	130	11/6/2008	5/24/2010	7,943 16	7,644 14	299 02
CARNIVAL CORP	1,885 00	6/23/2008	5/27/2010	68,731 59	66,186 50	2,545 09
CARNIVAL CORP	680	1/30/2009	5/27/2010	24,794 42	12,598 63	12,195 79
SHERWIN WILLIAMS CO	500	6/22/2009	5/28/2010	38,374 70	26,285 75	12,088 95
Johnson & Johnson	430	11/6/2008	6/11/2010	24,984 34	25,284 48	(300 14)
Johnson & Johnson	580	2/2/2010	6/11/2010	33,699 80	37,130 38	(3,430 58)
SOUTHWEST AIRLINES CO	2,040 00	2/19/2009	6/16/2010	24,776 20	14,341 20	10,435 00
TOTAL Stock				2,775,143 50	2,731,608 30	43,535 20

US Savings Bond						
US TREASURY NOTES SER S-2011	610	12/9/2008	9/15/2009	61,838 75	61,953 13	(114.38)
US TREASURY NOTES SERT-2011	2,200 00	12/18/2008	9/15/2009	219,931 25	221,237.50	(1,306 25)
FEDERAL HOME LN DUE 3/15/31	160	5/5/2009	10/7/2009	20,940.00	20,790.00	150.00
FEDERAL HOME LN DUE 7/15/32	420	5/5/2009	10/7/2009	52,421 25	52,134 50	286 75
U S TREASURY BONDS 8/15/2028	2,000 00	6/8/2007	5/20/2010	240,812 50	204,375 00	36,437 50
US TREASURY BONDS DUE 2/15/31	1,120.00	2/3/2009	5/20/2010	134,190 00	136,675 00	(2,485 00)
TOTAL US Savings Bond				730,133 75	697,165.13	32,968 62
Mortgage and Asset Backed Securities						
GNMA PL#002671M		VARIOUS	VARIOUS	500.84	0	500.84
GNMA PL#002766M		VARIOUS	VARIOUS	927 02	0	927.02
TOTAL Mortgage and Asset Backed Securities				1,427 86	-	1,427.86
TOTAL GAINS (LOSSES)				3,657,482 64	3,656,217 05	1,265 59

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INTEREST INCOME	102,190.	102,190.
LESS - ACCRUED INTEREST PAID	-2,688.	-2,688.
DIVIDEND INCOME	160,220.	160,220.
TOTAL	259,722.	259,722.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS
FEDERAL EXCISE TAX REFUND		22,679.
	TOTALS	22,679.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
CUSTODIAN AND INVESTMENT FEES	73,302.	73,302.	
CONSULTING FEES	534.	401.	134.
TOTALS	73,836.	73,703.	134.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
PAYROLL TAXES	8,393.	755.	7,638.
FOREIGN WITHHOLDING TAX	1,895.	1,895.	
FEDERAL EXCISE TAX	5,000.		
TOTALS	15,288.	2,650.	7,638.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
OFFICE EXPENSES	11,309.	2,827.	8,482.
INSURANCE	3,442.	861.	2,582.
TOTALS	14,751.	3,688.	11,064.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 6

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
U.S. TREASURY AND GOVERNMENT AGENCY OBLIGATIONS (SEE SCHEDULE ATTACHED)	2,798,828.	3,075,903.	3,192,125.
US OBLIGATIONS TOTAL	2,798,828.	3,075,903.	3,192,125.

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 7

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
CORPORATE STOCK (SEE SCHEDULE ATTACHED)	4,870,728.	3,596,155.	3,484,573.
MUTUAL FUNDS (SEE SCHEDULE ATTACHED)	3,454,446.	3,867,527.	3,827,933.
TOTALS	8,325,174.	7,463,682.	7,312,506.

FORM 990PF, PART II - CORPORATE BONDS

ATTACHMENT 8

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
CORPORATE BONDS (SEE SCHEDULE ATTACHED)	31,468.	27,618.	24,418.
TOTALS	31,468.	27,618.	24,418.

SAMUEL RUBIN FOUNDATION, INC.

SCHEDULE OF INVESTMENTS

AS AT JUNE 30, 2010

	Description	Number of Shares or Face Amount	Cost	Market Value
Corporate Bonds and Notes:				
GNMA PL#02671M1	6.00% due 11/20/28	$ 34,000	$ -	$ 1,802
GNMA PL#02766M2	6.00% due 6/20/29	46,000	-	2,776
GNMA PL#02972M4	7.5% due 9/20/30	55,000	733	469
GNMA PL#591923X	6.00% due 3/15/33	95,000	16,258	11,798
GNMA PL#608432X	5.50% due 5/15/33	66,000	10,627	7,573
Total corporate bonds and notes			27,618	24,418
Mutual Funds:				
Exeter Fund Inc - Core Plus Bond		178,499	$ 1,776,700	$ 1,979,549
Exeter Fund Inc - Financial Services		30,977	236,164	157,362
Exeter Fund Inc - High Yield Bond		33,879	338,890	364,537
Exeter Fund Inc - International Fund		36,009	318,981	271,867
Exeter Fund Inc - Life Sciences		34,730	388,370	359,110
Exeter Fund Inc - Real Estate Series		10,936	111,785	123,030
Exeter Fund Inc - Small Cap Fund - Class A		23,934	286,661	163,708
Exeter Fund Inc - Technology		20,582	163,520	177,829
Exeter Fund Inc - World Opportunity - Class A		32,120	246,456	230,941
Total mutual funds			3,867,527	3,827,933
Common Stocks:				
American Express Co		2,210	$ 47,622	$ 87,737
Autodesk Inc		3,960	104,920	96,466
Automatic Date Processing Inc		2,200	78,781	88,572
Baker Hughes Inc.		2,435	112,620	101,223
Bank New York Inc		3,020	83,726	74,564
Becton Dickinson & Co		1,800	117,626	121,716
Boston Scientific Corp		14,920	105,706	86,536
Cerner Corp		510	15,794	38,704
Cisco Sys Inc		7,365	185,869	156,948
Electronic Arts		5,610	203,525	80,784
EMC Corp - Mass		4,420	44,758	80,886
FedEx Corp		1,050	79,799	73,616
General Mills Inc		1,200	30,240	42,624
Genzyme Corp		2,110	117,868	107,125
Google Inc		520	218,620	231,374
Hess Corp		1,490	79,818	75,007
Home Depot Inc		1,560	42,054	43,789
International Game Technology		2,535	57,244	39,800
Juniper Networks Inc		1,700	43,917	38,794
Kraft Foods Inc CL A		2,950	88,352	82,600

(Continued)

SAMUEL RUBIN FOUNDATION, INC.

SCHEDULE OF INVESTMENTS

AS AT JUNE 30, 2010

	Description	Number of Shares or Face Amount	Cost	Market Value
Common Stocks:				
Kroger Co		$ 2,860	$ 57,751	$ 56,313
Lowes Companies Inc		1,890	40,341	38,594
Manpower Inc		1,890	80,485	81,610
Martin Marietta Materials Inc.		490	41,027	41,557
MasterCard Inc		210	42,458	41,901
Microsoft Corp		4,310	123,349	99,173
Monsanto Co		3,370	218,063	155,761
Nestle SA		3,090	109,736	149,556
Owens Illinois Inc		1,460	43,297	38,617
PERKINELMER INC		1,850	36,351	38,240
Qualcomm Inc		2,330	91,035	76,517
Quest Diagnostics Inc		1,110	60,579	55,245
Safeway Inc		2,880	62,961	56,621
SAP Aklengesellschaft		1,850	69,518	81,955
Schlumberger Ltd		1,260	81,670	69,728
Sherwin Williams Co		560	29,440	38,746
Southwest Airlines Co		8,305	44,858	92,269
Time Warner Inc		2,910	85,658	84,128
Unilever PLC		2,908	55,776	77,731
United Parcel Service CL B		1,500	90,278	85,335
Walt Disney Co		3,700	70,567	116,550
Weatherford International Ltd Inc.		6,220	118,505	81,731
Western Union Co		5,220	83,593	77,830
Total common stocks			3,596,155	3,484,573
United States Government Obligations:				
Federal Home Loan Bank	6.750% due 3/15/31	$ 24,000	$ 31,185	$ 31,770
Federal National Mortgage Association	6.625% due 11/15/30	40,000	50,975	52,012
Federal National Mortgage Association	6.250% due 5/15/29	42,500	51,121	51,962
Federal National Mortgage Association	7.250% due 5/15/30	38,000	51,632	52,333
U S Treasury Notes	1.125% due 12/15/11	45,000	45,253	45,429
U S Treasury Notes	2.875% due 1/31/13	1,855,000	1,871,498	1,954,131
U S Treasury Notes	2.625% due 6/30/14	466,000	470,442	487,152
U S Treasury Notes	2.375% due 10/31/14	350,000	353,117	361,102
U S Treasury Notes	2.625% due 12/31/14	150,000	150,680	156,234
Total United States Government Obligations			3,075,903	3,192,125
Total Investments			$ 10,567,203	$ 10,529,049

FORM 990PF, PART II - OTHER ASSETS

ATTACHMENT 9

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SECURITY DEPOSITS	4,261.	4,261.	4,261.
TOTALS	4,261.	4,261.	4,261.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 10

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
CORA WEISS C/O SAMUEL RUBIN FOUNDATION 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	PRESIDENT 40.00	38,125.	8,931.	0.
PETER WEISS C/O SAMUEL RUBIN FOUNDATION 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	TREASURER 1.00	0.	0.	0.
JUDY WEISS C/O SAMUEL RUBIN FOUNDATION 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	VICE PRESIDENT 1.00	0.	0.	0.
TAMARA WEISS C/O SAMUEL RUBIN FOUNDATION 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 10 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
DANIEL WEISS C/O SAMUEL RUBIN FOUNDATION 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
ALISON BERNSTEIN C/O SAMUEL RUBIN FOUNDATION 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
	GRAND TOTALS	38,125.	8,931.	0.

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 11

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
LAURANNE JONES 93 HAWTHORNE AVENUE BLOOMFIELD, NJ	GRANTS ADMINISTRATOR 40.00	64,890.	10,270.	0.
	TOTAL COMPENSATION	64,890.	10,270.	0.

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

ATTACHMENT 12

NAME AND ADDRESS	TYPE OF SERVICE	COMPENSATION
MANNING & NAPPIER 1100 CHASE SQUARE ROCHESTER, NY 14604	INVESTMENT ADVISOR	73,302.
	TOTAL COMPENSATION	73,302.

990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

A FULL STATEMENT AS TO THE PURPOSE OF THE REQUEST, INCLUDING A BUDGET AND TREASURY DEPARTMENT TAX EXEMPT DETERMINATION LETTER

990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS

NO GRANTS TO INDIVIDUALS, FOR BUILDING FUNDS, ENDOWMENTS OR SCHOLARSHIPS.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 15

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
VARIOUS - SEE STATEMENT ATTACHED	NONE EXEMPT ORGANIZATIONS		430,322
DISBURSEMENTS ON BEHALF OF CHARITABLE ORGANIZATION	NONE EXEMPT ORGANIZATIONS		400
TRANSNATIONAL INSTITUTE (FOREIGN GRANT) PO BOX 14656 1001 LD AMSTERDAM NETHERLANDS	NONE EXEMPT ORGANIZATION		90,000
		TOTAL CONTRIBUTIONS PAID	520,722.

SAMUEL RUBIN FOUNDATION, INC.

SCHEDULE OF GRANTS PAID

FOR THE YEAR ENDED JUNE 30, 2010

Direct Grants Paid:

A.J. Muste Memorial Institute (For: European Center for Constitutional and Human Rights)	$ 5,000
Americans for Peace Now	35,000
American University/Washington College of Law	10,000
Center for Constitutional Rights	40,000
Downtown Community Television Center	50,000
Global Kids	25,000
Global Policy Forum	3,500
Global Security Institute (For: The Middle Powers Initiative)	5,000
Grantmakers Without Borders	500
Grassroots International	10,000
Green Valley Media	1,000
Institute for Policy Studies	10,000
Interfaith Families Project	3,000
International Civil society Action Network	750
International Civil society Action Network (for: The Global Network of Women Peacebuilders)	5,000
International Documentary Association (for: Bringing King to China)	5,000
Kiln-Fired Communications (for: In Search of Monsters)	10,000
Lawyers Committee on Nuclear Policy	50,000
Long Island Alliance for Peaceful Alternatives	2,500
Michigan State University/African Studies Center	3,500
Miriam College (for: Center for Peace Education)	3,000
Miriam College (for: Center for Peace Education)	1,000
National Religious Campaign Against Torture	1,000
National Security Initiative	1,000
Nautilus of America (for: The Nautilus Institute for Security & Sustainability)	7,500
New America Foundation (for: Arms & Security Initiative)	5,000
New Israel Fund (for: Coalition of Women for Peace)	5,000
New Israel Fund (for: Isha L'Isha Haifa Feminist Center)	10,000
Nonviolent Peaceforce	2,500
Omega Point Institute (for: National Peace Academy/International Institute on Peace Education	1,000
Peace Action Education Fund	10,000
Peace Action Fund of New York State	5,000
Ploughshares Fund (for: Peace and Security Funders Group)	2,500
Public Interest Projects (for: International Human Rights Funders Group)	500
Public Interest Projects (for: Legacies of War: Raising the Voices of the Forgotten)	5,000

SAMUEL RUBIN FOUNDATION, INC.

SCHEDULE OF GRANTS PAID

FOR THE YEAR ENDED JUNE 30, 2010

Direct Grants Paid (Continued):

Public Media (for: Women Rebels)	$ 3,500
Radiation and Public Health Project	1,000
Shomrey Mishpat: Rabbis for Human Rights, North America	5,000
STITCH	2,500
St. John United Methodist Church (for: The Coffin Sermon Project)	2,000
The Abortion Access Project	5,000
The Aldrich Contemporary Art Museum (for: Exhibit for Shimon Attie)	3,500
Theatre Three Collaborative (for: Prophecy)	1,000
The Ciesla Foundation (for: The Rosenwald Schools)	5,000
The Employment Project (for: The Coffin Fund for Social Justice)	1,000
The Five Colleges (for: Five College Program in Peace & World Security Studies)	4,000
The Hunter College Foundation (for: The Hunts Point Express)	5,000
The Tides Center (for: Honor The Earth)	7,500
The Tides Center (for: September 11th Families for Peaceful Tomorrows)	2,500
Transnational Institute	90,000
Tri-Valley Communities Against a Radioactive Environment	5,000
United for a Fair Economy	5,000
University of Massachusetts Foundation	20,000
University of San Francisco (for: Witness to Guantanamo)	5,000
Urgent Action Fund for Women's Human Rights (for: Haiti)	3,000
Urgent Action Fund for Women's Human Rights (shared with Global Action Fund for Women)	1,000
Western States Center (for: Soul of a Citizen)	2,500
William Mitchell College of Law Tax Planning Clinic (for: Society of American Law Teachers)	5,000
World Council of Peoples for the United Nations	572
Total direct grants paid	520,322
Other grants paid	400
Total Grants Paid	$520,722

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 16

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
FEDERAL EXCISE TAX REFUND			01	17,679.	
TOTALS				17,679.	