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Form **990-PF**

# Return of Private Foundation

## or Section 4947(a)(1) Nonexempt Charitable Trust

### Treated as a Private Foundation

OMB No 1545-0052

**2009**Department of the Treasury  
Internal Revenue Service (77)

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning 7/1/2009, and ending 6/30/2010

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

|                                                                                                                                                                                                                                                  |                                                                                  |                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Use the IRS label. Otherwise, print or type. See Specific Instructions.                                                                                                                                                                          | Name of foundation<br><b>FRIENDSHIP FUND INC</b>                                 |                                                                                                                                                                                               | A Employer identification number<br><b>13-6089220</b>                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|                                                                                                                                                                                                                                                  | Number and street (or P O box number if mail is not delivered to street address) | Room/suite                                                                                                                                                                                    | B Telephone number (see page 10 of the instructions)<br><b>(617)722-7988</b>                                                                                                                                                                                                                                                                                                                                                                                                                    |
|                                                                                                                                                                                                                                                  | City or town, state, and ZIP code<br><b>Pittsburgh PA 15230-0185</b>             |                                                                                                                                                                                               | C If exemption application is pending, check here <input type="checkbox"/><br>D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/><br>E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/><br>F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> |
| H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation |                                                                                  |                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 3,856,548</b>                                                                                                                                            |                                                                                  | J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____<br>(Part I, column (d) must be on cash basis) |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions)) |                                                                                               | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| Revenue                                                                                                                                                                           | 1 Contributions, gifts, grants, etc., received (attach schedule)                              |                                    |                           |                         |                                                             |
|                                                                                                                                                                                   | 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B |                                    |                           |                         |                                                             |
|                                                                                                                                                                                   | 3 Interest on savings and temporary cash investments                                          | 0                                  | 0                         |                         |                                                             |
|                                                                                                                                                                                   | 4 Dividends and interest from securities                                                      | 74,029                             | 74,029                    |                         |                                                             |
|                                                                                                                                                                                   | 5 a Gross rents                                                                               |                                    | 0                         |                         |                                                             |
|                                                                                                                                                                                   | b Net rental income or (loss) 0                                                               |                                    |                           |                         |                                                             |
|                                                                                                                                                                                   | 6 a Net gain or (loss) from sale of assets not on line 10                                     | -23,475                            |                           |                         |                                                             |
|                                                                                                                                                                                   | b Gross sales price for all assets on line 6a 976,261                                         |                                    |                           |                         |                                                             |
|                                                                                                                                                                                   | 7 Capital gain net income (from Part IV, line 2)                                              |                                    | 0                         |                         |                                                             |
|                                                                                                                                                                                   | 8 Net short-term capital gain                                                                 |                                    |                           | 0                       |                                                             |
|                                                                                                                                                                                   | 9 Income modifications                                                                        |                                    |                           |                         |                                                             |
|                                                                                                                                                                                   | 10 a Gross sales less returns and allowances 0                                                |                                    |                           |                         |                                                             |
| b Less Cost of goods sold 0                                                                                                                                                       |                                                                                               |                                    |                           |                         |                                                             |
| c Gross profit or (loss) (attach schedule)                                                                                                                                        | 0                                                                                             |                                    |                           |                         |                                                             |
| 11 Other income (attach schedule)                                                                                                                                                 | -5,699                                                                                        | -11,397                            | 0                         |                         |                                                             |
| 12 Total. Add lines 1 through 11                                                                                                                                                  | 44,855                                                                                        | 62,632                             | 0                         |                         |                                                             |
| Operating and Administrative Expenses                                                                                                                                             | 13 Compensation of officers, directors, trustees, etc                                         | 27,943                             | 15,369                    |                         | 12,574                                                      |
|                                                                                                                                                                                   | 14 Other employee salaries and wages                                                          |                                    |                           |                         |                                                             |
|                                                                                                                                                                                   | 15 Pension plans, employee benefits                                                           |                                    |                           |                         |                                                             |
|                                                                                                                                                                                   | 16 a Legal fees (attach schedule)                                                             | 0                                  | 0                         | 0                       | 0                                                           |
|                                                                                                                                                                                   | b Accounting fees (attach schedule)                                                           | 0                                  | 0                         | 0                       | 0                                                           |
|                                                                                                                                                                                   | c Other professional fees (attach schedule)                                                   | 0                                  | 0                         | 0                       | 0                                                           |
|                                                                                                                                                                                   | 17 Interest                                                                                   | 6,809                              | 6,809                     |                         |                                                             |
|                                                                                                                                                                                   | 18 Taxes (attach schedule) (see page 14 of the instructions)                                  | 1,515                              | 1,515                     | 0                       | 0                                                           |
|                                                                                                                                                                                   | 19 Depreciation (attach schedule) and depletion                                               | 0                                  | 0                         | 0                       |                                                             |
|                                                                                                                                                                                   | 20 Occupancy                                                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                                                   | 21 Travel, conferences, and meetings                                                          |                                    |                           |                         |                                                             |
|                                                                                                                                                                                   | 22 Printing and publications                                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                                                   | 23 Other expenses (attach schedule)                                                           | 23,967                             | 23,717                    | 0                       | 250                                                         |
|                                                                                                                                                                                   | 24 Total operating and administrative expenses. Add lines 13 through 23                       | 60,234                             | 47,410                    | 0                       | 12,824                                                      |
|                                                                                                                                                                                   | 25 Contributions, gifts, grants paid                                                          | 245,500                            |                           |                         | 245,500                                                     |
| 26 Total expenses and disbursements. Add lines 24 and 25                                                                                                                          | 305,734                                                                                       | 47,410                             | 0                         | 258,324                 |                                                             |
| 27 Subtract line 26 from line 12                                                                                                                                                  |                                                                                               |                                    |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                                                                                                               | -260,879                                                                                      |                                    |                           |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                                                                                                                  |                                                                                               | 15,222                             |                           |                         |                                                             |
| c Adjusted net income (if negative, enter -0-)                                                                                                                                    |                                                                                               |                                    | 0                         |                         |                                                             |

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Form 990-PF (2009)

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Form 990-PF (2009) FRIENDSHIP FUND INC

13-6089220 Page 2

| Part II Balance Sheets      |                                                                                                   | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)                     |           | Beginning of year | End of year    |                       |
|-----------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|-----------|-------------------|----------------|-----------------------|
|                             |                                                                                                   |                                                                                                                                        |           | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| Assets                      | 1                                                                                                 | Cash—non-interest-bearing                                                                                                              |           |                   | 0              |                       |
|                             | 2                                                                                                 | Savings and temporary cash investments                                                                                                 |           | 82,467            | 55,732         | 55,732                |
|                             | 3                                                                                                 | Accounts receivable ▶                                                                                                                  | 0         |                   |                |                       |
|                             |                                                                                                   | Less allowance for doubtful accounts ▶                                                                                                 | 0         | 0                 | 0              | 0                     |
|                             | 4                                                                                                 | Pledges receivable ▶                                                                                                                   | 0         |                   |                |                       |
|                             |                                                                                                   | Less allowance for doubtful accounts ▶                                                                                                 | 0         | 0                 | 0              | 0                     |
|                             | 5                                                                                                 | Grants receivable                                                                                                                      |           |                   |                |                       |
|                             | 6                                                                                                 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) |           | 0                 | 0              | 0                     |
|                             | 7                                                                                                 | Other notes and loans receivable (attach schedule) ▶                                                                                   | 0         |                   |                |                       |
|                             |                                                                                                   | Less allowance for doubtful accounts ▶                                                                                                 | 0         | 0                 | 0              | 0                     |
|                             | 8                                                                                                 | Inventories for sale or use                                                                                                            |           |                   | 0              |                       |
|                             | 9                                                                                                 | Prepaid expenses and deferred charges                                                                                                  |           |                   | 0              |                       |
|                             | 10 a                                                                                              | Investments—U S and state government obligations (attach schedule)                                                                     |           | 0                 | 0              | 0                     |
|                             | b                                                                                                 | Investments—corporate stock (attach schedule)                                                                                          |           | 0                 | 0              | 0                     |
|                             | c                                                                                                 | Investments—corporate bonds (attach schedule)                                                                                          |           | 0                 | 0              | 0                     |
|                             | 11                                                                                                | Investments—land, buildings, and equipment basis ▶                                                                                     | 0         |                   |                |                       |
|                             | Less accumulated depreciation (attach schedule) ▶                                                 | 0                                                                                                                                      | 0         | 0                 | 0              |                       |
| 12                          | Investments—mortgage loans                                                                        |                                                                                                                                        |           |                   |                |                       |
| 13                          | Investments—other (attach schedule)                                                               |                                                                                                                                        | 3,400,042 | 3,212,914         | 3,304,587      |                       |
| 14                          | Land, buildings, and equipment basis ▶                                                            | 0                                                                                                                                      |           |                   |                |                       |
|                             | Less accumulated depreciation (attach schedule) ▶                                                 | 0                                                                                                                                      | 0         | 0                 | 0              |                       |
| 15                          | Other assets (describe ▶ OPTIMA HEDGE FUND )                                                      |                                                                                                                                        | 525,000   | 525,000           | 496,229        |                       |
| 16                          | <b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I) |                                                                                                                                        | 4,007,509 | 3,793,646         | 3,856,548      |                       |
| Liabilities                 | 17                                                                                                | Accounts payable and accrued expenses                                                                                                  |           |                   | 0              |                       |
|                             | 18                                                                                                | Grants payable                                                                                                                         |           |                   |                |                       |
|                             | 19                                                                                                | Deferred revenue                                                                                                                       |           |                   | 0              |                       |
|                             | 20                                                                                                | Loans from officers, directors, trustees, and other disqualified persons                                                               |           | 0                 | 0              |                       |
|                             | 21                                                                                                | Mortgages and other notes payable (attach schedule)                                                                                    |           | 0                 | 0              |                       |
|                             | 22                                                                                                | Other liabilities (describe ▶ )                                                                                                        |           | 0                 | 0              |                       |
|                             | 23                                                                                                | <b>Total liabilities</b> (add lines 17 through 22)                                                                                     |           | 0                 | 0              |                       |
| Net Assets or Fund Balances |                                                                                                   | <b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b> ▶ <input type="checkbox"/>   |           |                   |                |                       |
|                             | 24                                                                                                | Unrestricted                                                                                                                           |           |                   |                |                       |
|                             | 25                                                                                                | Temporarily restricted                                                                                                                 |           |                   |                |                       |
|                             | 26                                                                                                | Permanently restricted                                                                                                                 |           |                   |                |                       |
|                             |                                                                                                   | <b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> ▶ <input checked="" type="checkbox"/>     |           |                   |                |                       |
|                             | 27                                                                                                | Capital stock, trust principal, or current funds                                                                                       |           | 4,007,509         | 3,793,646      |                       |
|                             | 28                                                                                                | Paid-in or capital surplus, or land, bldg, and equipment fund                                                                          |           |                   |                |                       |
| 29                          | Retained earnings, accumulated income, endowment, or other funds                                  |                                                                                                                                        |           |                   |                |                       |
| 30                          | <b>Total net assets or fund balances</b> (see page 17 of the instructions)                        |                                                                                                                                        | 4,007,509 | 3,793,646         |                |                       |
| 31                          | <b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions)           |                                                                                                                                        | 4,007,509 | 3,793,646         |                |                       |

## Part III Analysis of Changes in Net Assets or Fund Balances

|   |                                                                                                                                                          |   |           |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------|---|-----------|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 4,007,509 |
| 2 | Enter amount from Part I, line 27a                                                                                                                       | 2 | -260,879  |
| 3 | Other increases not included in line 2 (itemize) ▶ See Attached Statement                                                                                | 3 | 47,016    |
| 4 | Add lines 1, 2, and 3                                                                                                                                    | 4 | 3,793,646 |
| 5 | Decreases not included in line 2 (itemize) ▶                                                                                                             | 5 | 0         |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30                                                      | 6 | 3,793,646 |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired<br>P—Purchase<br>D—Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|-----------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|--------------------------------------|----------------------------------|
| <b>1a</b> See Attached Statement                                                                                                  |                                              |                                      |                                  |
| <b>b</b>                                                                                                                          |                                              |                                      |                                  |
| <b>c</b>                                                                                                                          |                                              |                                      |                                  |
| <b>d</b>                                                                                                                          |                                              |                                      |                                  |
| <b>e</b>                                                                                                                          |                                              |                                      |                                  |

  

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| <b>a</b> 0            | 0                                          | 0                                               | 0                                            |
| <b>b</b> 0            | 0                                          | 0                                               | 0                                            |
| <b>c</b> 0            | 0                                          | 0                                               | 0                                            |
| <b>d</b> 0            | 0                                          | 0                                               | 0                                            |
| <b>e</b> 0            | 0                                          | 0                                               | 0                                            |

  

| (i) FMV as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
|------------------------|--------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------|
| <b>a</b> 0             | 0                                    | 0                                               | 0                                                                                               |
| <b>b</b> 0             | 0                                    | 0                                               | 0                                                                                               |
| <b>c</b> 0             | 0                                    | 0                                               | 0                                                                                               |
| <b>d</b> 0             | 0                                    | 0                                               | 0                                                                                               |
| <b>e</b> 0             | 0                                    | 0                                               | 0                                                                                               |

  

|                                                                                                                                                                                                                                |          |         |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|---------|
| <b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 }                                                                                     | <b>2</b> | -23,475 |
| <b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8 } | <b>3</b> | 26,482  |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

| (a) Base period years<br>Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio<br>(col. (b) divided by col. (c)) |
|-------------------------------------------------------------------|---------------------------------------|-------------------------------------------|----------------------------------------------------------|
| 2008                                                              | 276,893                               | 3,747,755                                 | 0.073882                                                 |
| 2007                                                              | 274,076                               | 5,304,788                                 | 0.051666                                                 |
| 2006                                                              | 69,820                                | 5,313,870                                 | 0.013139                                                 |
| 2005                                                              | 263,202                               | 5,034,376                                 | 0.052281                                                 |
| 2004                                                              | 290,694                               | 4,816,679                                 | 0.060352                                                 |

  

|                                                                                                                                                                                                                                        |          |           |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-----------|
| <b>2</b> Total of line 1, column (d)                                                                                                                                                                                                   | <b>2</b> | 0.251320  |
| <b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years                                                    | <b>3</b> | 0.050264  |
| <b>4</b> Enter the net value of noncharitable-use assets for 2009 from Part X, line 5                                                                                                                                                  | <b>4</b> | 3,823,678 |
| <b>5</b> Multiply line 4 by line 3                                                                                                                                                                                                     | <b>5</b> | 192,193   |
| <b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                                                                    | <b>6</b> | 152       |
| <b>7</b> Add lines 5 and 6                                                                                                                                                                                                             | <b>7</b> | 192,345   |
| <b>8</b> Enter qualifying distributions from Part XII, line 4<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18. | <b>8</b> | 258,324   |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

|                                                                                                                                                                                                                                       |    |     |     |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|-----|
| 1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1<br>Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions) |    | 1   | 152 |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b                                                                          |    |     |     |
| c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)                                                                                                               |    |     |     |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)                                                                                                                            |    | 2   | 0   |
| 3 Add lines 1 and 2                                                                                                                                                                                                                   |    | 3   | 152 |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)                                                                                                                          |    | 4   |     |
| 5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-                                                                                                                                              |    | 5   | 152 |
| 6 Credits/Payments                                                                                                                                                                                                                    |    |     |     |
| a 2009 estimated tax payments and 2008 overpayment credited to 2009                                                                                                                                                                   | 6a | 782 |     |
| b Exempt foreign organizations—tax withheld at source                                                                                                                                                                                 | 6b |     |     |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                 | 6c | 0   |     |
| d Backup withholding erroneously withheld                                                                                                                                                                                             | 6d |     |     |
| 7 Total credits and payments Add lines 6a through 6d                                                                                                                                                                                  | 7  | 782 |     |
| 8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                                    | 8  | 0   |     |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                       | 9  | 0   |     |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                          | 10 | 630 |     |
| 11 Enter the amount of line 10 to be Credited to 2010 estimated tax 152 Refunded                                                                                                                                                      | 11 | 478 |     |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                                         | Yes | No |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                                |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities |     | X  |
| c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                                  |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>(1) On the foundation \$ _____ (2) On foundation managers \$ _____                                                                                                                                                                               |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____                                                                                                                                                                                                         |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes" attach a detailed description of the activities                                                                                                                                                                                           |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                                            |     | X  |
| 4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                                         |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                                      | N/A |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T                                                                                                                                                                                    |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?                     | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV                                                                                                                                                                                                                      | X   |    |
| 8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) NY                                                                                                                                                                                                                                |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                                           | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)?<br>If "Yes," complete Part XIV                                                                                    |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                                   |     | X  |

**Part VII-A Statements Regarding Activities (continued)**

|                                               |                                                                                                                                                                                                          |                                     |   |   |
|-----------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|---|---|
| <b>11</b>                                     | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)   | <b>11</b>                           |   | X |
| <b>12</b>                                     | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?                                                                                    | <b>12</b>                           |   | X |
| <b>13</b>                                     | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?                                                                                      | <b>13</b>                           | X |   |
| Website address <b>►</b> N/A                  |                                                                                                                                                                                                          |                                     |   |   |
| <b>14</b>                                     | The books are in care of <b>►</b> BNY Mellon, N/A                                                                                                                                                        | Telephone no <b>►</b> (617)722-7988 |   |   |
| Located at <b>►</b> P O BOX 185 Pittsburgh PA |                                                                                                                                                                                                          | ZIP+4 <b>►</b> 15230-0185           |   |   |
| <b>15</b>                                     | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year <b>►</b> <b>15</b> N/A |                                     |   |   |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Yes       | No  |
|-----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----|
| <b>1a</b> | During the year did the foundation (either directly or indirectly)                                                                                                                                                                                                                                                                                                                                                                                                                              |           |     |
| (1)       | Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                          |           |     |
| (2)       | Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                  |           |     |
| (3)       | Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                      |           |     |
| (4)       | Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                            |           |     |
| (5)       | Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                   |           |     |
| (6)       | Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                 |           |     |
| <b>b</b>  | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here <b>►</b> <input type="checkbox"/>                                                                                                                                        | <b>1b</b> | X   |
| <b>c</b>  | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?                                                                                                                                                                                                                                                                                                         | <b>1c</b> | X   |
| <b>2</b>  | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))                                                                                                                                                                                                                                                                                                                   |           |     |
| <b>a</b>  | At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>If "Yes," list the years <b>►</b> 20____, 20____, 20____, 20____                                                                                                                                                                                                       |           |     |
| <b>b</b>  | Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions )                                                                                                                                                                   | <b>2b</b> | N/A |
| <b>c</b>  | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <b>►</b> 20____, 20____, 20____, 20____                                                                                                                                                                                                                                                                                                                                         |           |     |
| <b>3a</b> | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                  |           |     |
| <b>b</b>  | If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009 ) | <b>3b</b> | N/A |
| <b>4a</b> | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                 | <b>4a</b> | X   |
| <b>b</b>  | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?                                                                                                                                                                                                                                                               | <b>4b</b> | X   |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** X

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

| (a) Name and address                                     | (b) Title, and average hours per week devoted to position | (c) Compensation (if not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| BNY Mellon, N.A.<br>P O BOX 185 Pittsburgh PA 15230-0185 | 00                                                        | 27,943                                    | 0                                                                     | 0                                     |
|                                                          | 00                                                        | 0                                         | 0                                                                     | 0                                     |
|                                                          | 00                                                        | 0                                         | 0                                                                     | 0                                     |
|                                                          | 00                                                        | 0                                         | 0                                                                     | 0                                     |

**2** Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| None                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

**Total** number of other employees paid over \$50,000 ☐

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|-------------------------------------------------------------|---------------------|------------------|
| NONE                                                        |                     | 0                |
|                                                             |                     | 0                |
|                                                             |                     | 0                |
|                                                             |                     | 0                |
|                                                             |                     | 0                |
|                                                             |                     | 0                |

Total number of others receiving over \$50,000 for professional services

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

|        |  |
|--------|--|
| 1 NONE |  |
| 2      |  |
| 3      |  |
| 4      |  |

**Part IX-B Summary of Program-Related Investments** (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

|                                                                         |   |
|-------------------------------------------------------------------------|---|
| 1 NONE                                                                  |   |
| 2                                                                       |   |
| All other program-related investments. See page 24 of the instructions. |   |
| 3 NONE                                                                  | 0 |
| <b>Total.</b> Add lines 1 through 3                                     | 0 |



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

|          |                                                                                                                        |           |           |
|----------|------------------------------------------------------------------------------------------------------------------------|-----------|-----------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes             |           |           |
| <b>a</b> | Average monthly fair market value of securities                                                                        | <b>1a</b> | 3,753,691 |
| <b>b</b> | Average of monthly cash balances                                                                                       | <b>1b</b> | 128,216   |
| <b>c</b> | Fair market value of all other assets (see page 24 of the instructions)                                                | <b>1c</b> |           |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c)                                                                                  | <b>1d</b> | 3,881,907 |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)              | <b>1e</b> |           |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets                                                                   | <b>2</b>  |           |
| <b>3</b> | Subtract line 2 from line 1d                                                                                           | <b>3</b>  | 3,881,907 |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions) | <b>4</b>  | 58,229    |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4            | <b>5</b>  | 3,823,678 |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5                                                                   | <b>6</b>  | 191,184   |

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|           |                                                                                                           |           |         |
|-----------|-----------------------------------------------------------------------------------------------------------|-----------|---------|
| <b>1</b>  | Minimum investment return from Part X, line 6                                                             | <b>1</b>  | 191,184 |
| <b>2a</b> | Tax on investment income for 2009 from Part VI, line 5                                                    | <b>2a</b> | 152     |
| <b>b</b>  | Income tax for 2009 (This does not include the tax from Part VI.)                                         | <b>2b</b> |         |
| <b>c</b>  | Add lines 2a and 2b                                                                                       | <b>2c</b> | 152     |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | <b>3</b>  | 191,032 |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions                                                 | <b>4</b>  |         |
| <b>5</b>  | Add lines 3 and 4                                                                                         | <b>5</b>  | 191,032 |
| <b>6</b>  | Deduction from distributable amount (see page 25 of the instructions)                                     | <b>6</b>  |         |
| <b>7</b>  | <b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | <b>7</b>  | 191,032 |

**Part XII Qualifying Distributions** (see page 25 of the instructions)

|          |                                                                                                                                                                     |           |         |
|----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|---------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes                                                                           |           |         |
| <b>a</b> | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26                                                                                         | <b>1a</b> | 258,324 |
| <b>b</b> | Program-related investments—total from Part IX-B                                                                                                                    | <b>1b</b> | 0       |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                                                           | <b>2</b>  |         |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the                                                                                                 |           |         |
| <b>a</b> | Suitability test (prior IRS approval required)                                                                                                                      | <b>3a</b> |         |
| <b>b</b> | Cash distribution test (attach the required schedule)                                                                                                               | <b>3b</b> | 0       |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                                                   | <b>4</b>  | 258,324 |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions) | <b>5</b>  | 152     |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4                                                                                               | <b>6</b>  | 258,172 |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

|                                                                                                                                                                                   | (a)<br>Corpus | (b)<br>Years prior to 2008 | (c)<br>2008 | (d)<br>2009 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2009 from Part XI, line 7                                                                                                                       |               |                            |             | 191,032     |
| <b>2</b> Undistributed income, if any, as of the end of 2009                                                                                                                      |               |                            |             |             |
| <b>a</b> Enter amount for 2008 only                                                                                                                                               |               |                            | 0           |             |
| <b>b</b> Total for prior years 20____, 20____, 20____                                                                                                                             |               | 0                          |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2009                                                                                                                          |               |                            |             |             |
| <b>a</b> From 2004                                                                                                                                                                | 48,373        |                            |             |             |
| <b>b</b> From 2005                                                                                                                                                                | 22,940        |                            |             |             |
| <b>c</b> From 2006                                                                                                                                                                | NONE          |                            |             |             |
| <b>d</b> From 2007                                                                                                                                                                | 16,337        |                            |             |             |
| <b>e</b> From 2008                                                                                                                                                                | 91,069        |                            |             |             |
| <b>f</b> Total of lines 3a through e                                                                                                                                              | 178,719       |                            |             |             |
| <b>4</b> Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 258,324                                                                                                     |               |                            |             |             |
| <b>a</b> Applied to 2008, but not more than line 2a                                                                                                                               |               |                            | 0           |             |
| <b>b</b> Applied to undistributed income of prior years (Election required—see page 26 of the instructions)                                                                       |               | 0                          |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required—see page 26 of the instructions)                                                                               | 0             |                            |             |             |
| <b>d</b> Applied to 2009 distributable amount                                                                                                                                     |               |                            |             | 191,032     |
| <b>e</b> Remaining amount distributed out of corpus                                                                                                                               | 67,292        |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a) )                                        | 0             |                            |             | 0           |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| <b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5                                                                                                                          | 246,011       |                            |             |             |
| <b>b</b> Prior years' undistributed income Subtract line 4b from line 2b                                                                                                          |               | 0                          |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               |                            |             |             |
| <b>d</b> Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions                                                                                             |               | 0                          |             |             |
| <b>e</b> Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions                                                               |               |                            | 0           |             |
| <b>f</b> Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010                                                                |               |                            |             | 0           |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)                   |               |                            |             |             |
| <b>8</b> Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)                                                               | 48,373        |                            |             |             |
| <b>9</b> Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a                                                                                              | 197,638       |                            |             |             |
| <b>10</b> Analysis of line 9                                                                                                                                                      |               |                            |             |             |
| <b>a</b> Excess from 2005                                                                                                                                                         | 22,940        |                            |             |             |
| <b>b</b> Excess from 2006                                                                                                                                                         | 0             |                            |             |             |
| <b>c</b> Excess from 2007                                                                                                                                                         | 16,337        |                            |             |             |
| <b>d</b> Excess from 2008                                                                                                                                                         | 91,069        |                            |             |             |
| <b>e</b> Excess from 2009                                                                                                                                                         | 67,292        |                            |             |             |

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9)**N/A****1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling**b** Check box to indicate whether the foundation is a private operating foundation described in section☐ 4942(j)(3) or☐ 4942(j)(5)**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed**b** 85% of line 2a**c** Qualifying distributions from Part XII, line 4 for each year listed**d** Amounts included in line 2c not used directly for active conduct of exempt activities**e** Qualifying distributions made directly for active conduct of exempt activities  
Subtract line 2d from line 2c**3** Complete 3a, b, or c for the alternative test relied upon**a** "Assets" alternative test—enter**(1)** Value of all assets**(2)** Value of assets qualifying under section 4942(j)(3)(B)(i)**b** "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed**c** "Support" alternative test—enter**(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)**(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)**(3)** Largest amount of support from an exempt organization**(4)** Gross investment income

| Tax year | Prior 3 years |          |          | (e) Total |
|----------|---------------|----------|----------|-----------|
| (a) 2009 | (b) 2008      | (c) 2007 | (d) 2006 |           |
| 0        |               |          |          | 0         |
| 0        | 0             | 0        | 0        | 0         |
| 0        |               |          |          | 0         |
|          |               |          |          | 0         |
| 0        | 0             | 0        | 0        | 0         |
|          |               |          |          |           |
|          |               |          |          | 0         |
| 0        |               |          |          | 0         |
|          |               |          |          |           |
|          |               |          |          | 0         |
|          |               |          |          | 0         |
|          |               |          |          | 0         |
|          |               |          |          | 0         |

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)**1 Information Regarding Foundation Managers:****a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d**a** The name, address, and telephone number of the person to whom applications should be addressed

SEE STATEMENT

**b** The form in which applications should be submitted and information and materials they should include

SEE STATEMENT

**c** Any submission deadlines

SEE STATEMENT

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE STATEMENT

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient                                               | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|---------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|---------|
| Name and address (home or business)                     |                                                                                                           |                                |                                  |         |
| <b>a</b> Paid during the year<br>See Attached Statement |                                                                                                           |                                |                                  |         |
| <b>Total</b>                                            |                                                                                                           |                                | <b>3a</b>                        | 245,500 |
| <b>b</b> Approved for future payment<br>NONE            |                                                                                                           |                                |                                  |         |
| <b>Total</b>                                            |                                                                                                           |                                | <b>3b</b>                        | 0       |



**Part XVII** Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- |                                                                                                                                                                                                                                                                                                                                                                                                      |       |     |    |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|-----|----|
| <b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?                                                                                                                                         |       | Yes | No |
| <b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of                                                                                                                                                                                                                                                                                                           |       |     |    |
| <b>(1)</b> Cash                                                                                                                                                                                                                                                                                                                                                                                      | 1a(1) |     | X  |
| <b>(2)</b> Other assets                                                                                                                                                                                                                                                                                                                                                                              | 1a(2) |     | X  |
| <b>b</b> Other transactions                                                                                                                                                                                                                                                                                                                                                                          |       |     |    |
| <b>(1)</b> Sales of assets to a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                                    | 1b(1) |     | X  |
| <b>(2)</b> Purchases of assets from a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                              | 1b(2) |     | X  |
| <b>(3)</b> Rental of facilities, equipment, or other assets                                                                                                                                                                                                                                                                                                                                          | 1b(3) |     | X  |
| <b>(4)</b> Reimbursement arrangements                                                                                                                                                                                                                                                                                                                                                                | 1b(4) |     | X  |
| <b>(5)</b> Loans or loan guarantees                                                                                                                                                                                                                                                                                                                                                                  | 1b(5) |     | X  |
| <b>(6)</b> Performance of services or membership or fundraising solicitations                                                                                                                                                                                                                                                                                                                        | 1b(6) |     | X  |
| <b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees                                                                                                                                                                                                                                                                                                            | 1c    |     | X  |
| <b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received |       |     |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Yvonne H. Cross  
Signature of officer or trustee

1-20-11  
Date

President

**Sign Here**

**Paid  
Preparer's  
Use Only**

Preparer's  
signature

PC-210 CPA

Date \_\_\_\_\_

12/6/2010

Check if self-employed ☒

Preparer's identifying  
number (see **Signature** on  
page 30 of the instructions)  
P00965923

Firm's name (or yours if self-employed), address and ZIP code

PRICEWATERHOUSECOOPERS LLP  
600 Grant Street, Pittsburgh, PA 15219

EIN ► 13-4008324  
Phone no 412-355-6000

FRIENDSHIP FUND INC

13-6089220

Page 1 of 1

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year****Recipient(s) paid during the year**

Name

SEE ATTACHED STATEMENT

☐

Person

☐

Business

Street

City

State

Zip code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

245,500

Name

☐

Person

☐

Business

Street

City

State

Zip code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

0

Name

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Person

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Business

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Purpose of grant/contribution

Amount

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Name

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Person

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Business

Street

City

State

Zip code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

0

# The Friendship Fund

## 2009 Grant Status Report

| Family                      | Organization Name                                                     | Grant Amount   | Paid Amount    |
|-----------------------------|-----------------------------------------------------------------------|----------------|----------------|
| <b>Common Interest Fund</b> |                                                                       |                |                |
|                             | African Wildlife Foundation                                           | \$3,000        | \$3,000        |
|                             | Aldo Leopold Foundation                                               | \$1,000        | \$1,000        |
|                             | American University of Beirut                                         | \$2,000        | \$2,000        |
|                             | Association to Preserve Cape Cod, Inc                                 | \$5,000        | \$5,000        |
|                             | Children's School of Science, Inc                                     | \$5,000        | \$5,000        |
|                             | Community Health Center of Cape Cod                                   | \$5,000        | \$5,000        |
|                             | The Czech Legion Project                                              | \$500          | \$500          |
|                             | Foundation for the National Institutes of Health, Inc                 | \$7,500        | \$7,500        |
|                             | Habitat for Humanity of Cape Cod                                      | \$1,000        | \$1,000        |
|                             | Land Trust Alliance                                                   | \$2,500        | \$2,500        |
|                             | Partners in Health                                                    | \$5,000        | \$5,000        |
|                             | Penikese Island School                                                | \$5,000        | \$5,000        |
|                             | University of Wisconsin Foundation                                    | \$2,000        | \$2,000        |
|                             | Woods Hole Child Center, Inc                                          | \$1,500        | \$1,500        |
|                             | Woods Hole Public Library                                             | <u>\$3,000</u> | <u>\$3,000</u> |
|                             |                                                                       | \$49,000       | \$49,000       |
| <b>Bradley Family</b>       |                                                                       |                |                |
|                             | Aldo Leopold Foundation                                               | \$2,000        | \$2,000        |
|                             | American Players Theatre of Wisconsin, Inc                            | \$5,000        | \$5,000        |
|                             | Baraboo High School                                                   | \$1,500        | \$1,500        |
|                             | Coe College                                                           | \$1,000        | \$1,000        |
|                             | Colorado Springs Choral Society                                       | \$1,500        | \$1,500        |
|                             | Colorado Springs Philharmonic Orchestra                               | \$6,000        | \$6,000        |
|                             | Cycropia Aerial Dance Incorporated                                    | \$1,500        | \$1,500        |
|                             | Eco-Cycle, Inc                                                        | \$3,750        | \$3,750        |
|                             | Friends' School, Inc                                                  | \$1,500        | \$1,500        |
|                             | Hackett-Hemwall Foundation, Inc                                       | \$1,000        | \$1,000        |
|                             | Kiln-Fired Communications                                             | \$1,000        | \$1,000        |
|                             | New Hampshire Charitable Foundation                                   | \$1,000        | \$1,000        |
|                             | <i>Peachtree Charter Middle School Foundation, Inc</i>                | \$2,500        | \$2,500        |
|                             | Second Wind Foundation, Inc                                           | \$2,000        | \$2,000        |
|                             | St John the Baptist Episcopal Church f/b/o Bangkok Christian Hospital | \$3,000        | \$3,000        |
|                             | The Stevens School of Peacham, Inc                                    | \$1,300        | \$1,300        |
|                             | Tropical Forestry Initiative, Inc                                     | \$3,750        | \$3,750        |
|                             | University of California Berkeley Foundation                          | \$1,200        | \$1,200        |
|                             | University of Colorado Foundation                                     | \$2,500        | \$2,500        |
|                             | Upper Valley Habitat for Humanity                                     | \$1,000        | \$1,000        |
|                             | West Central Services, Inc                                            | \$1,000        | \$1,000        |
|                             | Wildlands Restoration Volunteers                                      | \$1,000        | \$1,000        |
|                             | Wisconsin Network for Peace and Justice, Inc                          | \$2,000        | \$2,000        |
|                             | Young Shakespeare Players, Inc                                        | <u>\$1,000</u> | <u>\$1,000</u> |
|                             |                                                                       | \$49,000       | \$49,000       |



# The Friendship Fund 2009 Grant Status Report

| Family                         | Organization Name                                      | Grant Amount    | Paid Amount     |
|--------------------------------|--------------------------------------------------------|-----------------|-----------------|
| <b>John O. Crane Family</b>    |                                                        |                 |                 |
|                                | The 300 Committee, Inc                                 | \$2,500         | \$2,500         |
|                                | ACLU Foundation of Southern California                 | \$1,250         | \$1,250         |
|                                | American Lung Association of Illinois                  | \$4,500         | \$4,500         |
|                                | Bay Area Women Against Rape                            | \$1,250         | \$1,250         |
|                                | Community Alliance for Learning                        | \$4,500         | \$4,500         |
|                                | The Czech Legion Project                               | \$500           | \$500           |
|                                | East Bay Center for the Performing Arts                | \$4,000         | \$4,000         |
|                                | Oxford Elementary School PTA                           | \$4,000         | \$4,000         |
|                                | San Francisco Mime Troupe                              | \$2,500         | \$2,500         |
|                                | Town of Weston Public Schools                          | \$1,000         | \$1,000         |
|                                | University of Michigan School of Public Health         | <u>\$24,000</u> | <u>\$24,000</u> |
|                                |                                                        | \$50,000        | \$50,000        |
| <b>Richard T. Crane Family</b> |                                                        |                 |                 |
|                                | Animal Rescue League of Southern Rhode Island          | \$1,200         | \$1,200         |
|                                | Chore Service, Inc                                     | \$4,000         | \$4,000         |
|                                | Courthouse Center for the Arts                         | \$5,000         | \$5,000         |
|                                | Day One                                                | \$5,250         | \$5,250         |
|                                | Domestic Violence Resource Center of South County, Inc | \$5,250         | \$5,250         |
|                                | H O P E Foundation International                       | \$1,000         | \$1,000         |
|                                | The Jonnycake Center, Inc                              | \$2,000         | \$2,000         |
|                                | Litchfield Community Center, Inc                       | \$4,000         | \$4,000         |
|                                | Literacy Center, Inc                                   | \$1,500         | \$1,500         |
|                                | Northwest Connecticut Association for the Arts, Inc    | \$4,500         | \$4,500         |
|                                | Oliver Wolcott Library, Inc                            | \$5,000         | \$5,000         |
|                                | Operation Smile, Inc                                   | \$3,000         | \$3,000         |
|                                | St Michael's Parish                                    | \$4,000         | \$4,000         |
|                                | VNS Home Health Services                               | \$1,300         | \$1,300         |
|                                | Washington County Coalition for Children               | <u>\$2,000</u>  | <u>\$2,000</u>  |
|                                |                                                        | \$49,000        | \$49,000        |
| <b>Fisher Family</b>           |                                                        |                 |                 |
|                                | Church of the Messiah                                  | \$1,000         | \$1,000         |
|                                | Church of the Messiah                                  | \$1,000         | \$1,000         |
|                                | Foundation for the National Institutes of Health, Inc  | \$25,000        | \$25,000        |
|                                | Fractured Atlas Productions, Inc                       | \$1,000         | \$1,000         |
|                                | James River Association                                | \$4,000         | \$4,000         |
|                                | Smithsonian Early Enrichment Center, Inc               | \$1,000         | \$1,000         |
|                                | St Paul's on the Green                                 | \$8,000         | \$8,000         |
|                                | Virginia Commonwealth University                       | \$4,000         | \$4,000         |
|                                | Virginia League of Conservation Voters Education Fund  | <u>\$4,000</u>  | <u>\$4,000</u>  |
|                                |                                                        | \$49,000        | \$49,000        |
| <b>TOTAL DISTRIBUTIONS</b>     |                                                        |                 | <b>245,500</b>  |

## PART XV, LINE 2

**The name, address and telephone number of the person to whom applications should be addressed:**

---

JOHN HANNEFANT, ONE BOSTON PLACE, BOSTON, MA 02108, (617) 722-7988

**The form in which applications should be submitted and information and materials they should include**

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THERE IS NOT A SET FORM OF APPLICATION. HOWEVER, THE APPLICATION SHOULD CONTAIN A CONCISE STATEMENT OF THE PURPOSE FOR WHICH THE GRANT IS SOUGHT

**Any submission deadlines:**

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THE DEADLINE IS APRIL 1ST FOR CONSIDERATION AT THE ANNUAL MEETING HELD THE FIRST WEEK OF AUGUST

**Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors**

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IF THE GRANT IS FOR A SPECIFIC PROGRAM, THE STAFF AND BUDGET OF THE PROGRAM MUST BE DESCRIBED. IN ADDITION, ALL APPLICATIONS SHOULD INCLUDE THE FOLLOWING RESTRICTIONS OR LIMITATIONS: AWARDS: CURRENT YEARS OPERATING BUDGET, LIST OF BOARD MEMBERS, AND MOST RECENT AUDITED FINANCIAL STATEMENTS

**Attachment to Form 990PF Part VIII, Column (b) Title and average hours per week  
devoted to position**

As Trustee, BNY Mellon, N.A. provides administrative services such as acting as investment manager for the named organization. These services require numerous individual bank employees' involvement in the activities of the organization and thus cannot be quantified on an hourly basis. Therefore, the Trustee's fees are not based upon an hourly basis but are calculated based upon factors such as the market value of the account and in accordance with our agreement with the client.

## TAX MARKET VALUE DETAIL REPORT FOR PFSO TO MOBIUS

| ACCOUNT NO  | ACCOUNT NAME    | CUSIP ID  | ASSET SHORT NAME             | SHARES    | MARKET VALUE | FEDERAL TAX COST |
|-------------|-----------------|-----------|------------------------------|-----------|--------------|------------------|
| 10674759006 | FRIENDSHIP FUND | H89128104 | TYCO INTERNATIONAL LTD       | 760       | 26,774.80    | 20,907.82        |
| 10674759006 | FRIENDSHIP FUND | 00206R102 | AT & T INC                   | 1,110.00  | 26,850.90    | 37,178.14        |
| 10674759006 | FRIENDSHIP FUND | 018581108 | ALLIANCE DATA SYS CORP       | 270       | 16,070.40    | 16,060.95        |
| 10674759006 | FRIENDSHIP FUND | 025816109 | AMERICAN EXPRESS CO          | 480       | 19,056.00    | 20,387.36        |
| 10674759006 | FRIENDSHIP FUND | 03076C106 | AMERIPRISE FINANCIAL INC     | 320       | 11,561.60    | 6,906.86         |
| 10674759006 | FRIENDSHIP FUND | 031162100 | AMGEN INC                    | 280       | 14,728.00    | 14,313.30        |
| 10674759006 | FRIENDSHIP FUND | 032346108 | AMYLIN PHARMACEUTICALS INC   | 970       | 18,236.00    | 18,331.01        |
| 10674759006 | FRIENDSHIP FUND | 032511107 | ANADARKO PETROLEUM CORP      | 190       | 6,857.10     | 8,081.86         |
| 10674759006 | FRIENDSHIP FUND | 037411105 | APACHE CORP                  | 331       | 27,866.89    | 23,205.02        |
| 10674759006 | FRIENDSHIP FUND | 037833100 | APPLE INC                    | 208       | 52,318.24    | 34,325.06        |
| 10674759006 | FRIENDSHIP FUND | 052800109 | AUTOLIV INC                  | 390       | 18,661.50    | 13,904.10        |
| 10674759006 | FRIENDSHIP FUND | 05569M509 | BNY MELLON MID CAP STK FD CL | 24,890.71 | 227,003.28   | 338,602.26       |
| 10674759006 | FRIENDSHIP FUND | 05569M830 | BNY MELLON BOND FD CL M      | 47,409.21 | 624,853.39   | 590,301.80       |
| 10674759006 | FRIENDSHIP FUND | 05569M855 | BNY MELLON EMERGING MARKETS  | 42,456.94 | 399,095.24   | 379,379.34       |
| 10674759006 | FRIENDSHIP FUND | 05569M871 | BNY MELLON INTERNATIONAL FD  | 18,781.53 | 168,282.55   | 191,284.16       |
| 10674759006 | FRIENDSHIP FUND | 060505104 | BANK OF AMERICA CORP         | 2,650.00  | 38,080.50    | 36,463.04        |
| 10674759006 | FRIENDSHIP FUND | 09247X101 | BLACKROCK INC                | 61        | 8,747.40     | 9,243.98         |
| 10674759006 | FRIENDSHIP FUND | 125509109 | CIGNA CORP                   | 340       | 10,560.40    | 8,685.97         |
| 10674759006 | FRIENDSHIP FUND | 126650100 | CVS CAREMARK CORP            | 370       | 10,848.40    | 12,645.30        |
| 10674759006 | FRIENDSHIP FUND | 14040H105 | CAPITAL ONE FINANCIAL CORP   | 330       | 13,299.00    | 13,985.21        |
| 10674759006 | FRIENDSHIP FUND | 149123101 | CATERPILLAR INC              | 240       | 14,416.80    | 15,443.57        |
| 10674759006 | FRIENDSHIP FUND | 171232101 | CHUBB CORP                   | 340       | 17,003.40    | 17,028.67        |
| 10674759006 | FRIENDSHIP FUND | 17275R102 | CISCO SYSTEMS INC            | 1,468.00  | 31,283.08    | 14,575.85        |
| 10674759006 | FRIENDSHIP FUND | 20825C104 | CONOCOPHILLIPS               | 490       | 24,054.10    | 13,178.33        |
| 10674759006 | FRIENDSHIP FUND | 231021106 | CUMMINS INC                  | 370       | 24,098.10    | 13,423.12        |
| 10674759006 | FRIENDSHIP FUND | 235851102 | DANAHER CORP                 | 320       | 11,878.40    | 9,137.26         |
| 10674759006 | FRIENDSHIP FUND | 261980759 | DREYFUS PREM LT TRM HI YLD-I | 6,879.89  | 43,068.16    | 39,374.03        |
| 10674759006 | FRIENDSHIP FUND | 26200Q204 | DREYFUS SMALL CAP STOCK INDE | 7,004.76  | 115,298.37   | 102,841.98       |
| 10674759006 | FRIENDSHIP FUND | 26203E604 | DREYFUS/NEWTON INTERN EQTY-I | 12,003.41 | 177,050.40   | 185,892.06       |
| 10674759006 | FRIENDSHIP FUND | 263534109 | DU PONT (E I) DE NEMOURS     | 280       | 9,685.20     | 7,653.44         |
| 10674759006 | FRIENDSHIP FUND | 268648102 | E M C CORP MASS              | 1,700.00  | 31,110.00    | 26,224.85        |
| 10674759006 | FRIENDSHIP FUND | 30161N101 | EXELON CORP                  | 410       | 15,567.70    | 7,539.64         |
| 10674759006 | FRIENDSHIP FUND | 30231G102 | EXXON MOBIL CORP             | 760       | 43,373.20    | 30,124.06        |
| 10674759006 | FRIENDSHIP FUND | 354613101 | FRANKLIN RES INC             | 127       | 10,946.13    | 6,918.12         |
| 10674759006 | FRIENDSHIP FUND | 364760108 | GAP INC                      | 820       | 15,957.20    | 16,941.81        |
| 10674759006 | FRIENDSHIP FUND | 369604103 | GENERAL ELECTRIC COMPANY     | 1,760.00  | 25,379.20    | 12,510.47        |
| 10674759006 | FRIENDSHIP FUND | 38259P508 | GOOGLE INC - CL A            | 73        | 32,481.35    | 34,156.10        |
| 10674759006 | FRIENDSHIP FUND | 42809H107 | HESS CORP                    | 505       | 25,421.70    | 20,489.53        |
| 10674759006 | FRIENDSHIP FUND | 428236103 | HEWLETT PACKARD CO           | 720       | 31,161.60    | 32,616.41        |
| 10674759006 | FRIENDSHIP FUND | 437076102 | HOME DEPOT INC               | 450       | 12,631.50    | 8,780.61         |

## TAX MARKET VALUE DETAIL REPORT FOR PFSO TO MOBIUS

| ACCOUNT NO                 | ACCOUNT NAME    | CUSIP ID  | ASSET SHORT NAME             | SHARES     | MARKET VALUE | FEDERAL TAX COST |
|----------------------------|-----------------|-----------|------------------------------|------------|--------------|------------------|
| 10674759006                | FRIENDSHIP FUND | 438516106 | HONEYWELL INTL INC           | 480        | 18,734 40    | 17,510 42        |
| 10674759006                | FRIENDSHIP FUND | 441060100 | HOSPIRA INC                  | 400        | 22,980 00    | 16,216 06        |
| 10674759006                | FRIENDSHIP FUND | 444903108 | HUMAN GENOME SCIENCES INC    | 740        | 16,768 40    | 21,379 23        |
| 10674759006                | FRIENDSHIP FUND | 459200101 | INTERNATIONAL BUSINESS MACHI | 188        | 23,214 24    | 19,536 70        |
| 10674759006                | FRIENDSHIP FUND | 46625H100 | JPMORGAN CHASE & CO          | 987        | 36,134 07    | 23,439 27        |
| 10674759006                | FRIENDSHIP FUND | 48242W106 | KBR INC                      | 540        | 10,983 60    | 9,566 82         |
| 10674759006                | FRIENDSHIP FUND | 532716107 | LIMITED BRANDS INC           | 1,020 00   | 22,511 40    | 25,295 90        |
| 10674759006                | FRIENDSHIP FUND | 58155Q103 | MCKESSON CORPORATION         | 430        | 28,878 80    | 24,447 13        |
| 10674759006                | FRIENDSHIP FUND | 585055106 | MEDTRONIC INC                | 370        | 13,419 90    | 18,094 89        |
| 10674759006                | FRIENDSHIP FUND | 58933Y105 | MERCK & CO INC               | 977        | 34,165 69    | 25,266 59        |
| 10674759006                | FRIENDSHIP FUND | 594918104 | MICROSOFT CORPORATION        | 1,435 00   | 33,019 35    | 22,280 50        |
| 10674759006                | FRIENDSHIP FUND | 617446448 | MORGAN STANLEY               | 630        | 14,622 30    | 18,199 47        |
| 10674759006                | FRIENDSHIP FUND | 65248E203 | NEWS CORP INC                | 2,000 00   | 27,700 00    | 34,642 12        |
| 10674759006                | FRIENDSHIP FUND | 655844108 | NORFOLK SOUTHERN CORP        | 380        | 20,159 00    | 19,165 80        |
| 10674759006                | FRIENDSHIP FUND | 674599105 | OCCIDENTAL PETROLEUM CORP    | 530        | 40,889 50    | 39,233 42        |
| 10674759006                | FRIENDSHIP FUND | 681919106 | OMNICOM GROUP INC            | 420        | 14,406 00    | 21,558 26        |
| 10674759006                | FRIENDSHIP FUND | 68389X105 | ORACLE CORP                  | 1,130 00   | 24,249 80    | 20,310 47        |
| 10674759006                | FRIENDSHIP FUND | 713448108 | PEPSICO INC                  | 490        | 29,865 50    | 20,917 60        |
| 10674759006                | FRIENDSHIP FUND | 717081103 | PFIZER INC                   | 2,713 00   | 38,687 38    | 52,766 83        |
| 10674759006                | FRIENDSHIP FUND | 74005P104 | PRAXAIR INC                  | 190        | 14,438 10    | 8,792 32         |
| 10674759006                | FRIENDSHIP FUND | 742718109 | PROCTER & GAMBLE CO          | 390        | 23,392 20    | 16,709 62        |
| 10674759006                | FRIENDSHIP FUND | 744573106 | PUBLIC SVC ENTERPRISE GROUP  | 1,110 00   | 34,776 30    | 35,763 74        |
| 10674759006                | FRIENDSHIP FUND | 747525103 | QUALCOMM INC                 | 480        | 15,763 20    | 20,416 52        |
| 10674759006                | FRIENDSHIP FUND | 755111507 | RAYTHEON CO                  | 220        | 10,645 80    | 11,490 15        |
| 10674759006                | FRIENDSHIP FUND | 808513105 | SCHWAB CHARLES CORP NEW      | 550        | 7,799 00     | 8,502 01         |
| 10674759006                | FRIENDSHIP FUND | 86271F818 | DREYFUS PREM STRAT GBL ST-I  | 8,015 60   | 92,740 52    | 81,985 73        |
| 10674759006                | FRIENDSHIP FUND | 87612E106 | TARGET CORP                  | 680        | 33,435 60    | 28,674 84        |
| 10674759006                | FRIENDSHIP FUND | 883203101 | TEXTRON INC                  | 830        | 14,085 10    | 24,537 00        |
| 10674759006                | FRIENDSHIP FUND | 883556102 | THERMO FISHER SCIENTIFIC INC | 540        | 26,487 00    | 24,531 92        |
| 10674759006                | FRIENDSHIP FUND | 887317303 | TIME WARNER INC              | 773        | 22,347 43    | 18,849 83        |
| 10674759006                | FRIENDSHIP FUND | 904767704 | UNILEVER PLC ADR             | 920        | 24,591 60    | 26,770 00        |
| 10674759006                | FRIENDSHIP FUND | 91912E105 | VALE SA-SP ADR               | 740        | 18,019 00    | 11,388 69        |
| 10674759006                | FRIENDSHIP FUND | 92826C839 | VISA INC-CLASS A SHRS        | 150        | 10,612 50    | 7,545 92         |
| 10674759006                | FRIENDSHIP FUND | 949746101 | WELLS FARGO & COMPANY        | 650        | 16,640 00    | 15,853 09        |
| 10674759006                | FRIENDSHIP FUND | 963320106 | WHIRLPOOL CORP               | 180        | 15,807 60    | 12,232 61        |
| 10674759006                | FRIENDSHIP FUND | 991061052 | CRA (BNY MELLON, N A , MEMBE | 55,732 12  | 55,732 12    | 55,732 12        |
| 10674759006                | FRIENDSHIP FUND | 9934AA008 | MELLON OPTIMA L/S STRATEGY F | 496,229 08 | 496,229 08   | 525,000 00       |
| TOTAL FOR ACCT#10674759006 |                 |           |                              |            |              | 3,793,646 04     |

|                             |         |
|-----------------------------|---------|
| Long Term CG Distributions  | -18,872 |
| Short Term CG Distributions | -2,676  |

## Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

|  |  | Amount                     |  |                             |  | Totals |  |            |  |             |  |         |  |
|--|--|----------------------------|--|-----------------------------|--|--------|--|------------|--|-------------|--|---------|--|
|  |  | Long Term CG Distributions |  | Short Term CG Distributions |  |        |  | Securities |  | Other sales |  |         |  |
|  |  | -18,872                    |  | -2,676                      |  |        |  |            |  | 976,261     |  | 0       |  |
|  |  |                            |  |                             |  |        |  |            |  | 999,736     |  | -23,475 |  |
|  |  |                            |  |                             |  |        |  |            |  |             |  |         |  |
|  |  |                            |  |                             |  |        |  |            |  |             |  |         |  |
|  |  |                            |  |                             |  |        |  |            |  |             |  |         |  |
|  |  |                            |  |                             |  |        |  |            |  |             |  |         |  |
|  |  |                            |  |                             |  |        |  |            |  |             |  |         |  |
|  |  |                            |  |                             |  |        |  |            |  |             |  |         |  |
|  |  |                            |  |                             |  |        |  |            |  |             |  |         |  |
|  |  |                            |  |                             |  |        |  |            |  |             |  |         |  |
|  |  |                            |  |                             |  |        |  |            |  |             |  |         |  |
|  |  |                            |  |                             |  |        |  |            |  |             |  |         |  |
|  |  |                            |  |                             |  |        |  |            |  |             |  |         |  |
|  |  |                            |  |                             |  |        |  |            |  |             |  |         |  |
|  |  |                            |  |                             |  |        |  |            |  |             |  |         |  |
|  |  |                            |  |                             |  |        |  |            |  |             |  |         |  |
|  |  |                            |  |                             |  |        |  |            |  |             |  |         |  |
|  |  |                            |  |                             |  |        |  |            |  |             |  |         |  |
|  |  |                            |  |                             |  |        |  |            |  |             |  |         |  |
|  |  |                            |  |                             |  |        |  |            |  |             |  |         |  |
|  |  |                            |  |                             |  |        |  |            |  |             |  |         |  |
|  |  |                            |  |                             |  |        |  |            |  |             |  |         |  |
|  |  |                            |  |                             |  |        |  |            |  |             |  |         |  |
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## Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| Index                       | Check "X" if Sale of Security | Description              | CUSIP #   | Purchaser | Check "X" if Purchaser is a Business | Date Acquired | Acquisition Method | Totals      |        |                                |                  | Expense of Sale and Cost of Improve-ments | Net Gain or Loss |
|-----------------------------|-------------------------------|--------------------------|-----------|-----------|--------------------------------------|---------------|--------------------|-------------|--------|--------------------------------|------------------|-------------------------------------------|------------------|
|                             |                               |                          |           |           |                                      |               |                    | Gross Sales |        | Cost, Other Basis and Expenses |                  |                                           |                  |
|                             |                               |                          |           |           |                                      |               |                    | Sales       | Price  | Gross Sales                    | Valuation Method |                                           |                  |
| Long Term CG Distributions  |                               |                          |           |           |                                      |               |                    | 976,261     |        | 999,736                        |                  | -23,475                                   |                  |
| Short Term CG Distributions |                               |                          |           |           |                                      |               |                    | 0           |        | 0                              |                  | 0                                         |                  |
| Amount                      |                               |                          |           |           |                                      |               |                    | -18,872     |        |                                |                  |                                           |                  |
|                             |                               |                          |           |           |                                      |               |                    | -2,676      |        |                                |                  |                                           |                  |
| 95                          | X                             | MCKESSON CORPORATION     | 58155Q103 |           |                                      | 2/4/2009      |                    | 8/12/2009   | 2,178  | 1,823                          |                  |                                           |                  |
| 96                          | X                             | MEDTRONIC INC            | 580555106 |           |                                      | 6/7/2004      |                    | 8/12/2009   | 3,701  | 4,963                          |                  |                                           |                  |
| 97                          | X                             | MERCK & CO INC           | 589331107 |           |                                      | 3/13/2009     |                    | 8/12/2009   | 2,160  | 1,921                          |                  |                                           |                  |
| 98                          | X                             | MERCK & CO INC           | 58933Y105 |           |                                      | 3/13/2009     |                    | 12/8/2009   | 24     | 12                             |                  |                                           |                  |
| 99                          | X                             | MICROSOFT CORPORATION    | 594918104 |           |                                      | 10/8/2008     |                    | 8/12/2009   | 2,615  | 2,609                          |                  |                                           |                  |
| 100                         | X                             | MICROSOFT CORPORATION    | 594918104 |           |                                      | 1/12/1996     |                    | 8/12/2009   | 2,852  | 646                            |                  |                                           |                  |
| 101                         | X                             | NEWS CORP INC            | 65248E203 |           |                                      | 1/16/2007     |                    | 8/12/2009   | 1,690  | 2,918                          |                  |                                           |                  |
| 102                         | X                             | NEWS CORP INC            | 65248E203 |           |                                      | 12/26/2007    |                    | 8/12/2009   | 650    | 1,095                          |                  |                                           |                  |
| 103                         | X                             | NEWS CORP INC            | 65248E203 |           |                                      | 5/10/2006     |                    | 8/12/2009   | 4,291  | 6,306                          |                  |                                           |                  |
| 104                         | X                             | NOKIA CORP-SPON ADR      | 654902204 |           |                                      | 7/28/2008     |                    | 7/16/2009   | 2,801  | 5,913                          |                  |                                           |                  |
| 105                         | X                             | NOKIA CORP-SPON ADR      | 654902204 |           |                                      | 7/28/2008     |                    | 7/16/2009   | 400    | 846                            |                  |                                           |                  |
| 106                         | X                             | NOKIA CORP-SPON ADR      | 654902204 |           |                                      | 10/8/2008     |                    | 7/16/2009   | 1,467  | 1,876                          |                  |                                           |                  |
| 107                         | X                             | NOKIA CORP-SPON ADR      | 654902204 |           |                                      | 7/28/2008     |                    | 7/16/2009   | 3,864  | 8,178                          |                  |                                           |                  |
| 108                         | X                             | OCCIDENTAL PETROLEUM C   | 674599105 |           |                                      | 4/23/2009     |                    | 8/12/2009   | 3,499  | 2,777                          |                  |                                           |                  |
| 109                         | X                             | OMNICOM GROUP INC        | 681919106 |           |                                      | 1/19/2007     |                    | 8/12/2009   | 3,484  | 5,222                          |                  |                                           |                  |
| 110                         | X                             | ORACLE CORP              | 68389X105 |           |                                      | 1/19/2007     |                    | 8/12/2009   | 6,381  | 5,030                          |                  |                                           |                  |
| 111                         | X                             | PARKER HANNIFIN CORP     | 701094104 |           |                                      | 12/24/2008    |                    | 8/12/2009   | 2,486  | 1,969                          |                  |                                           |                  |
| 112                         | X                             | PARKER HANNIFIN CORP     | 701094104 |           |                                      | 12/23/2008    |                    | 8/12/2009   | 497    | 389                            |                  |                                           |                  |
| 113                         | X                             | KBR INC                  | 48242W106 |           |                                      | 8/17/2007     |                    | 9/3/2009    | 1,857  | 2,518                          |                  |                                           |                  |
| 114                         | X                             | KBR INC                  | 48242W106 |           |                                      | 8/17/2007     |                    | 9/3/2009    | 434    | 586                            |                  |                                           |                  |
| 115                         | X                             | PARKER HANNIFIN CORP     | 701094104 |           |                                      | 3/17/2010     |                    | 6/18/2010   | 1,220  | 1,307                          |                  |                                           |                  |
| 116                         | X                             | PARKER HANNIFIN CORP     | 701094104 |           |                                      | 12/23/2008    |                    | 6/18/2010   | 4,882  | 3,113                          |                  |                                           |                  |
| 117                         | X                             | PARKER HANNIFIN CORP     | 701094104 |           |                                      | 12/23/2008    |                    | 6/21/2010   | 10,487 | 6,615                          |                  |                                           |                  |
| 118                         | X                             | PEPSICO INC              | 713448108 |           |                                      | 10/8/2008     |                    | 8/12/2009   | 570    | 650                            |                  |                                           |                  |
| 119                         | X                             | PEPSICO INC              | 713448108 |           |                                      | 2/4/2005      |                    | 8/12/2009   | 6,272  | 6,062                          |                  |                                           |                  |
| 120                         | X                             | PFIZER INC               | 717081103 |           |                                      | 5/17/2002     |                    | 8/12/2009   | 4,625  | 8,067                          |                  |                                           |                  |
| 121                         | X                             | AT & T INC               | 00206R102 |           |                                      | 1/19/2007     |                    | 8/12/2009   | 6,963  | 9,469                          |                  |                                           |                  |
| 122                         | X                             | ALLIANCE DATA SYS CORP   | 018581108 |           |                                      | 7/23/2008     |                    | 8/12/2009   | 3,983  | 4,416                          |                  |                                           |                  |
| 123                         | X                             | AMERIPRISE FINANCIAL INC | 03076C106 |           |                                      | 1/21/2009     |                    | 8/12/2009   | 2,307  | 1,533                          |                  |                                           |                  |
| 124                         | X                             | AMGEN INC                | 031162100 |           |                                      | 10/29/2008    |                    | 8/12/2009   | 6,845  | 6,615                          |                  |                                           |                  |
| 125                         | X                             | AMGEN INC                | 031162100 |           |                                      | 10/29/2008    |                    | 2/12/2010   | 1,127  | 1,203                          |                  |                                           |                  |
| 126                         | X                             | AMGEN INC                | 031162100 |           |                                      | 10/28/2008    |                    | 2/12/2010   | 6,199  | 6,416                          |                  |                                           |                  |
| 127                         | X                             | APACHE CORP              | 037411105 |           |                                      | 10/8/2008     |                    | 8/12/2009   | 4,382  | 4,026                          |                  |                                           |                  |
| 128                         | X                             | APACHE CORP              | 037411105 |           |                                      | 1/19/2007     |                    | 8/12/2009   | 2,629  | 2,030                          |                  |                                           |                  |
| 129                         | X                             | APPLE INC                | 037833100 |           |                                      | 7/8/2008      |                    | 8/12/2009   | 6,660  | 7,078                          |                  |                                           |                  |
| 130                         | X                             | AOL INC                  | 00184X105 |           |                                      | 12/4/2008     |                    | 12/29/2009  | 13     | 9                              |                  |                                           |                  |
| 131                         | X                             | AOL INC                  | 00184X105 |           |                                      | 12/4/2008     |                    | 1/4/2010    | 874    | 593                            |                  |                                           |                  |
| 132                         | X                             | APPLE INC                | 037833100 |           |                                      | 7/8/2008      |                    | 12/12/2010  | 13,764 | 11,679                         |                  |                                           |                  |
| 133                         | X                             | BNY MELLON MID CAP STK F | 05569M509 |           |                                      | 4/4/2006      |                    | 8/12/2009   | 36,945 | 65,460                         |                  |                                           |                  |
| 134                         | X                             | BNY MELLON BOND FD CL M  | 05569M830 |           |                                      | 9/19/2000     |                    | 8/12/2009   | 51,247 | 49,946                         |                  |                                           |                  |
| 135                         | X                             | BNY MELLON EMERGING MA   | 05569M855 |           |                                      | 12/21/2007    |                    | 8/12/2009   | 24,390 | 56,312                         |                  |                                           |                  |
| 136                         | X                             | BNY MELLON EMERGING MA   | 05569M855 |           |                                      | 5/21/2003     |                    | 8/12/2009   | 10,018 | 14,136                         |                  |                                           |                  |
| 137                         | X                             | BNY MELLON INTERNATIONAL | 05569M871 |           |                                      | 5/21/2003     |                    | 8/12/2009   | 29,672 | 31,139                         |                  |                                           |                  |
| 138                         | X                             | BEST BUY INC             | 086516101 |           |                                      | 4/30/2009     |                    | 8/12/2009   | 734    | 769                            |                  |                                           |                  |
| 139                         | X                             | BEST BUY INC             | 086516101 |           |                                      | 3/17/2009     |                    | 8/12/2009   | 2,938  | 2,526                          |                  |                                           |                  |
| 140                         | X                             | BEST BUY INC             | 086516101 |           |                                      | 3/17/2009     |                    | 9/11/2009   | 13,829 | 11,049                         |                  |                                           |                  |
| 141                         | X                             | BLACKROCK INC            | 09247X101 |           |                                      | 4/24/2009     |                    | 8/12/2009   | 2,021  | 1,503                          |                  |                                           |                  |



## Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

|     |                               | Long Term CG Distributions |           | Short Term CG Distributions |                                      | Amount        | Totals             |           |                                |                     |                  |                                           |  |
|-----|-------------------------------|----------------------------|-----------|-----------------------------|--------------------------------------|---------------|--------------------|-----------|--------------------------------|---------------------|------------------|-------------------------------------------|--|
|     |                               |                            |           |                             |                                      | -18,872       | Securities         |           | Cost, Other Basis and Expenses |                     | Net Gain or Loss |                                           |  |
|     |                               |                            |           |                             |                                      | -2,676        | Other sales        |           | 999,736                        |                     | -23,475          |                                           |  |
|     | Check "X" if Sale of Security | Description                | CUSIP #   | Purchaser                   | Check "X" if Purchaser is a Business | Date Acquired | Acquisition Method | Date Sold | Gross Sales Price              | Cost or Other Basis | Valuation Method | Expense of Sale and Cost of Improve-ments |  |
| 142 | X                             | BROADCOM CORP CL A         | 111320107 |                             |                                      | 4/30/2009     |                    | 8/12/2009 | 271                            | 236                 |                  |                                           |  |
| 143 | X                             | BROADCOM CORP CL A         | 111320107 |                             |                                      | 2/23/2009     |                    | 8/12/2009 | 2,441                          | 1,444               |                  |                                           |  |
| 144 | X                             | BROADCOM CORP CL A         | 111320107 |                             |                                      | 2/23/2009     |                    | 11/3/2009 | 9,841                          | 6,098               |                  |                                           |  |
| 145 | X                             | CIGNA CORP                 | 125509109 |                             |                                      | 6/19/2009     |                    | 8/12/2009 | 2,021                          | 1,747               |                  |                                           |  |
| 146 | X                             | CVS CAREMARK CORP          | 126650100 |                             |                                      | 10/30/2007    |                    | 8/12/2009 | 10,458                         | 12,434              |                  |                                           |  |
| 147 | X                             | CVS CAREMARK CORP          | 126650100 |                             |                                      | 4/24/2007     |                    | 1/8/2010  | 2,715                          | 2,938               |                  |                                           |  |
| 148 | X                             | CVS CAREMARK CORP          | 126650100 |                             |                                      | 10/30/2007    |                    | 1/8/2010  | 679                            | 829                 |                  |                                           |  |
| 149 | X                             | CVS CAREMARK CORP          | 126650100 |                             |                                      | 12/26/2007    |                    | 1/8/2010  | 679                            | 798                 |                  |                                           |  |
| 150 | X                             | CVS CAREMARK CORP          | 126650100 |                             |                                      | 4/25/2007     |                    | 1/8/2010  | 339                            | 366                 |                  |                                           |  |
| 151 | X                             | CVS CAREMARK CORP          | 126650100 |                             |                                      | 4/25/2007     |                    | 1/8/2010  | 2,037                          | 2,195               |                  |                                           |  |
| 152 | X                             | CVS CAREMARK CORP          | 126650100 |                             |                                      | 4/25/2007     |                    | 1/11/2010 | 8,465                          | 9,145               |                  |                                           |  |
| 153 | X                             | CVS CAREMARK CORP          | 126650100 |                             |                                      | 4/25/2007     |                    | 1/11/2010 | 1,353                          | 1,463               |                  |                                           |  |
| 154 | X                             | CVS CAREMARK CORP          | 126650100 |                             |                                      | 4/24/2007     |                    | 6/21/2010 | 319                            | 364                 |                  |                                           |  |
| 155 | X                             | CVS CAREMARK CORP          | 126650100 |                             |                                      | 4/25/2007     |                    | 6/21/2010 | 1,587                          | 1,829               |                  |                                           |  |
| 156 | X                             | CVS CAREMARK CORP          | 126650100 |                             |                                      | 4/25/2007     |                    | 6/21/2010 | 5,711                          | 6,584               |                  |                                           |  |
| 157 | X                             | CVS CAREMARK CORP          | 126650100 |                             |                                      | 4/24/2007     |                    | 6/21/2010 | 2,221                          | 2,547               |                  |                                           |  |
| 158 | X                             | CHUBB CORP                 | 171232101 |                             |                                      | 1/19/2007     |                    | 8/12/2009 | 4,368                          | 4,757               |                  |                                           |  |
| 159 | X                             | CISCO SYSTEMS INC          | 17275R102 |                             |                                      | 7/18/2008     |                    | 8/12/2009 | 9,684                          | 9,741               |                  |                                           |  |
| 160 | X                             | CISCO SYSTEMS INC          | 17275R102 |                             |                                      | 7/18/2008     |                    | 3/3/2010  | 3,196                          | 2,814               |                  |                                           |  |
| 161 | X                             | CISCO SYSTEMS INC          | 17275R102 |                             |                                      | 7/18/2008     |                    | 3/3/2010  | 738                            | 649                 |                  |                                           |  |
| 162 | X                             | CISCO SYSTEMS INC          | 17275R102 |                             |                                      | 4/30/2009     |                    | 3/3/2010  | 984                            | 779                 |                  |                                           |  |
| 163 | X                             | CISCO SYSTEMS INC          | 17275R102 |                             |                                      | 10/8/2008     |                    | 3/3/2010  | 4,429                          | 3,368               |                  |                                           |  |
| 164 | X                             | COCA COLA ENTERPRISES INC  | 191219104 |                             |                                      | 6/20/2007     |                    | 8/12/2009 | 3,479                          | 4,217               |                  |                                           |  |
| 165 | X                             | COCA COLA ENTERPRISES INC  | 191219104 |                             |                                      | 6/15/2007     |                    | 5/6/2010  | 11,901                         | 10,601              |                  |                                           |  |
| 166 | X                             | COCA COLA ENTERPRISES INC  | 191219104 |                             |                                      | 6/18/2007     |                    | 5/6/2010  | 776                            | 691                 |                  |                                           |  |
| 167 | X                             | COCA COLA ENTERPRISES INC  | 191219104 |                             |                                      | 6/20/2007     |                    | 5/6/2010  | 2,070                          | 1,874               |                  |                                           |  |
| 168 | X                             | COCA COLA ENTERPRISES INC  | 191219104 |                             |                                      | 10/8/2008     |                    | 5/6/2010  | 2,070                          | 1,084               |                  |                                           |  |
| 169 | X                             | COCA COLA ENTERPRISES INC  | 191219104 |                             |                                      | 3/17/2010     |                    | 5/6/2010  | 1,294                          | 1,373               |                  |                                           |  |
| 170 | X                             | COLGATE-PALMOLIVE CO       | 194162103 |                             |                                      | 10/8/2008     |                    | 8/12/2009 | 4,336                          | 4,147               |                  |                                           |  |
| 171 | X                             | COLGATE-PALMOLIVE CO       | 194162103 |                             |                                      | 10/8/2008     |                    | 8/28/2009 | 4,946                          | 4,700               |                  |                                           |  |
| 172 | X                             | COLGATE-PALMOLIVE CO       | 194162103 |                             |                                      | 10/8/2008     |                    | 8/31/2009 | 3,266                          | 3,110               |                  |                                           |  |
| 173 | X                             | COLGATE-PALMOLIVE CO       | 194162103 |                             |                                      | 10/8/2008     |                    | 8/31/2009 | 1,598                          | 1,521               |                  |                                           |  |
| 174 | X                             | COLGATE-PALMOLIVE CO       | 194162103 |                             |                                      | 10/8/2008     |                    | 9/1/2009  | 1,945                          | 1,866               |                  |                                           |  |
| 175 | X                             | COLGATE-PALMOLIVE CO       | 194162103 |                             |                                      | 10/8/2008     |                    | 9/1/2009  | 2,583                          | 2,488               |                  |                                           |  |
| 176 | X                             | COLGATE-PALMOLIVE CO       | 194162103 |                             |                                      | 10/8/2008     |                    | 9/2/2009  | 2,293                          | 2,212               |                  |                                           |  |
| 177 | X                             | CONOCOPHILLIPS             | 20825C104 |                             |                                      | 4/30/2009     |                    | 8/12/2009 | 881                            | 823                 |                  |                                           |  |
| 178 | X                             | CONOCOPHILLIPS             | 20825C104 |                             |                                      | 11/15/2001    |                    | 8/12/2009 | 4,406                          | 2,572               |                  |                                           |  |
| 179 | X                             | CUMMINS INC                | 231021106 |                             |                                      | 5/1/2009      |                    | 8/12/2009 | 2,841                          | 2,082               |                  |                                           |  |
| 180 | X                             | CUMMINS INC                | 231021106 |                             |                                      | 5/1/2009      |                    | 8/12/2009 | 419                            | 308                 |                  |                                           |  |
| 181 | X                             | DANAHER CORP               | 235851102 |                             |                                      | 3/14/2005     |                    | 8/12/2009 | 2,436                          | 2,190               |                  |                                           |  |
| 182 | X                             | DREYFUS SMALL CAP STOCK    | 26200Q204 |                             |                                      | 12/26/2007    |                    | 8/12/2009 | 7,563                          | 12,000              |                  |                                           |  |
| 183 | X                             | DREYFUS SMALL CAP STOCK    | 26200Q204 |                             |                                      | 12/27/2007    |                    | 8/12/2009 | 1,909                          | 2,689               |                  |                                           |  |
| 184 | X                             | DREYFUS/NEWTON INTERNET    | 26203E604 |                             |                                      | 10/8/2008     |                    | 8/12/2009 | 29,672                         | 29,749              |                  |                                           |  |
| 185 | X                             | DU PONT (E I) DE NEMOURS   | 263534109 |                             |                                      | 4/30/2009     |                    | 8/12/2009 | 325                            | 282                 |                  |                                           |  |
| 186 | X                             | DU PONT (E I) DE NEMOURS   | 263534109 |                             |                                      | 4/14/2009     |                    | 8/12/2009 | 1,952                          | 1,608               |                  |                                           |  |
| 187 | X                             | E M C CORP MASS            | 268648102 |                             |                                      | 7/29/2009     |                    | 8/12/2009 | 4,132                          | 4,143               |                  |                                           |  |
| 188 | X                             | EXELON CORP                | 30161N101 |                             |                                      | 4/30/2009     |                    | 8/12/2009 | 501                            | 458                 |                  |                                           |  |

Gross Sales 976,261 0

Cost, Other Basis and Expenses 999,736 0

Net Gain or Loss -23,475 0

## Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

| Index                       | Check "X" if Sale of Security | Description              | CUSIP #   | Purchaser | Check "X" if Purchaser is a Business | Date Acquired | Acquisition Method | Totals      |       |                                |                  | Net Gain or Loss |
|-----------------------------|-------------------------------|--------------------------|-----------|-----------|--------------------------------------|---------------|--------------------|-------------|-------|--------------------------------|------------------|------------------|
|                             |                               |                          |           |           |                                      |               |                    | Gross Sales |       | Cost, Other Basis and Expenses |                  |                  |
|                             |                               |                          |           |           |                                      |               |                    | Sales       | Price | Gross Sales                    | Valuation Method |                  |
| Long Term CG Distributions  |                               |                          |           |           |                                      |               |                    | 976,261     | 0     | 999,736                        | -23,475          |                  |
| Short Term CG Distributions |                               |                          |           |           |                                      |               |                    | 0           | 0     | 0                              | 0                |                  |
| 189                         | X                             | EXELON CORP              | 30161N101 |           |                                      | 9/10/1998     |                    | 8/12/2009   | 4,509 | 1,464                          |                  |                  |
| 190                         | X                             | EXXON MOBIL CORP         | 30231G102 |           |                                      | 3/3/2004      |                    | 8/12/2009   | 9,708 | 5,873                          |                  |                  |
| 191                         | X                             | EXXON MOBIL CORP         | 30231G102 |           |                                      | 10/8/2004     |                    | 8/12/2009   | 1,387 | 1,513                          |                  |                  |
| 192                         | X                             | EXXON MOBIL CORP         | 30231G102 |           |                                      | 1/28/2004     |                    | 8/12/2009   | 2,080 | 1,240                          |                  |                  |
| 193                         | X                             | FEDEX CORP               | 31428X106 |           |                                      | 10/31/2008    |                    | 8/12/2009   | 3,340 | 3,272                          |                  |                  |
| 194                         | X                             | FEDEX CORP               | 31428X106 |           |                                      | 10/31/2008    |                    | 6/16/2010   | 9,469 | 7,854                          |                  |                  |
| 195                         | X                             | FEDEX CORP               | 31428X106 |           |                                      | 11/20/2008    |                    | 6/16/2010   | 3,156 | 2,365                          |                  |                  |
| 196                         | X                             | FEDEX CORP               | 31428X106 |           |                                      | 11/21/2008    |                    | 6/16/2010   | 789   | 564                            |                  |                  |
| 197                         | X                             | FEDEX CORP               | 31428X106 |           |                                      | 4/30/2009     |                    | 6/16/2010   | 789   | 564                            |                  |                  |
| 198                         | X                             | FEDEX CORP               | 31428X106 |           |                                      | 3/17/2010     |                    | 6/16/2010   | 789   | 898                            |                  |                  |
| 199                         | X                             | FIRST HORIZON NATIONAL C | 320517105 |           |                                      | 10/15/2008    |                    | 8/12/2009   | 3,209 | 2,622                          |                  |                  |
| 200                         | X                             | FIRST HORIZON NATIONAL C | 320517105 |           |                                      | 10/15/2008    |                    | 8/27/2009   | 13    | 11                             |                  |                  |
| 201                         | X                             | FIRST HORIZON NATIONAL C | 320517105 |           |                                      | 10/15/2008    |                    | 10/29/2009  | 7     | 6                              |                  |                  |
| 202                         | X                             | FIRST HORIZON NATIONAL C | 320517105 |           |                                      | 10/14/2008    |                    | 1/19/2010   | 1,452 | 1,115                          |                  |                  |
| 203                         | X                             | FIRST HORIZON NATIONAL C | 320517105 |           |                                      | 10/14/2008    |                    | 1/19/2010   | 1,885 | 1,462                          |                  |                  |
| 204                         | X                             | FIRST HORIZON NATIONAL C | 320517105 |           |                                      | 10/15/2008    |                    | 1/19/2010   | 570   | 456                            |                  |                  |
| 205                         | X                             | FIRST HORIZON NATIONAL C | 320517105 |           |                                      | 10/14/2008    |                    | 1/19/2010   | 2,074 | 1,602                          |                  |                  |
| 206                         | X                             | FIRST HORIZON NATIONAL C | 320517105 |           |                                      | 10/15/2008    |                    | 1/19/2010   | 1,177 | 932                            |                  |                  |
| 207                         | X                             | FIRST HORIZON NATIONAL C | 320517105 |           |                                      | 10/14/2008    |                    | 1/19/2010   | 828   | 634                            |                  |                  |
| 208                         | X                             | FIRST HORIZON NATIONAL C | 320517105 |           |                                      | 10/15/2008    |                    | 1/19/2010   | 4,445 | 3,529                          |                  |                  |
| 209                         | X                             | FIRST HORIZON NATIONAL C | 320517105 |           |                                      | 10/15/2008    |                    | 1/19/2010   | 120   | 95                             |                  |                  |
| 210                         | X                             | FIRST HORIZON NATIONAL C | 320517105 |           |                                      | 10/14/2008    |                    | 1/28/2010   | 12    | 9                              |                  |                  |
| 211                         | X                             | FRANKLIN RES INC         | 354613101 |           |                                      | 1/21/2009     |                    | 8/12/2009   | 2,841 | 1,534                          |                  |                  |
| 212                         | X                             | GENERAL ELECTRIC COMPA   | 369604103 |           |                                      | 2/7/2003      |                    | 8/12/2009   | 284   | 451                            |                  |                  |
| 213                         | X                             | GENERAL ELECTRIC COMPA   | 369604103 |           |                                      | 10/8/2008     |                    | 8/12/2009   | 2,417 | 3,609                          |                  |                  |
| 214                         | X                             | GENERAL ELECTRIC COMPA   | 369604103 |           |                                      | 9/4/1992      |                    | 8/12/2009   | 3,555 | 1,555                          |                  |                  |
| 215                         | X                             | VALE SA-SP ADR           | 91912E105 |           |                                      | 5/10/2006     |                    | 8/12/2009   | 3,644 | 2,594                          |                  |                  |
| 216                         | X                             | VERTEX PHARMACEUTICALS   | 92532F100 |           |                                      | 3/6/2009      |                    | 8/12/2009   | 1,366 | 1,127                          |                  |                  |
| 217                         | X                             | VERTEX PHARMACEUTICALS   | 92532F100 |           |                                      | 4/30/2009     |                    | 8/12/2009   | 683   | 618                            |                  |                  |
| 218                         | X                             | VERTEX PHARMACEUTICALS   | 92532F100 |           |                                      | 3/5/2009      |                    | 8/12/2009   | 2,391 | 1,958                          |                  |                  |
| 219                         | X                             | VERTEX PHARMACEUTICALS   | 92532F100 |           |                                      | 3/5/2009      |                    | 1/8/2010    | 813   | 559                            |                  |                  |
| 220                         | X                             | VERTEX PHARMACEUTICALS   | 92532F100 |           |                                      | 3/6/2009      |                    | 1/8/2010    | 1,220 | 837                            |                  |                  |
| 221                         | X                             | VERTEX PHARMACEUTICALS   | 92532F100 |           |                                      | 3/6/2009      |                    | 1/8/2010    | 3,250 | 2,231                          |                  |                  |
| 222                         | X                             | VERTEX PHARMACEUTICALS   | 92532F100 |           |                                      | 11/3/2008     |                    | 1/8/2010    | 3,250 | 2,183                          |                  |                  |
| 223                         | X                             | VERTEX PHARMACEUTICALS   | 92532F100 |           |                                      | 11/3/2008     |                    | 1/11/2010   | 408   | 273                            |                  |                  |
| 224                         | X                             | VERTEX PHARMACEUTICALS   | 92532F100 |           |                                      | 10/31/2008    |                    | 1/11/2010   | 408   | 259                            |                  |                  |
| 225                         | X                             | VERTEX PHARMACEUTICALS   | 92532F100 |           |                                      | 3/17/2010     |                    | 6/21/2010   | 1,468 | 1,722                          |                  |                  |
| 226                         | X                             | VERTEX PHARMACEUTICALS   | 92532F100 |           |                                      | 10/31/2008    |                    | 6/21/2010   | 367   | 259                            |                  |                  |
| 227                         | X                             | VERTEX PHARMACEUTICALS   | 92532F100 |           |                                      | 10/30/2008    |                    | 6/22/2010   | 3,249 | 2,207                          |                  |                  |
| 228                         | X                             | VERTEX PHARMACEUTICALS   | 92532F100 |           |                                      | 10/31/2008    |                    | 6/22/2010   | 5,487 | 3,941                          |                  |                  |
| 229                         | X                             | VERTEX PHARMACEUTICALS   | 92532F100 |           |                                      | 10/31/2008    |                    | 6/22/2010   | 292   | 207                            |                  |                  |
| 230                         | X                             | VISA INC-CLASS A SHRS    | 92826C839 |           |                                      | 1/27/2009     |                    | 8/12/2009   | 2,743 | 1,755                          |                  |                  |
| 231                         | X                             | WELLS FARGO & COMPANY    | 949746101 |           |                                      | 3/5/2001      |                    | 8/12/2009   | 4,345 | 3,971                          |                  |                  |
| 232                         | X                             | WYETH                    | 983024100 |           |                                      | 1/21/2009     |                    | 7/7/2009    | 3,022 | 2,598                          |                  |                  |
| 233                         | X                             | WYETH                    | 983024100 |           |                                      | 1/21/2009     |                    | 7/7/2009    | 7,807 | 6,709                          |                  |                  |
| 234                         | X                             | WYETH                    | 983024100 |           |                                      | 4/30/2009     |                    | 7/7/2009    | 451   | 426                            |                  |                  |
| 235                         | X                             | WYETH                    | 983024100 |           |                                      | 1/21/2009     |                    | 7/7/2009    | 5,508 | 4,731                          |                  |                  |

|                             |         |
|-----------------------------|---------|
| Long Term CG Distributions  | -18,872 |
| Short Term CG Distributions | -2,676  |

**Line 11 (990-PF) - Other Income**

|    |                             | -5,699                               | -11,397                  | 0                      |
|----|-----------------------------|--------------------------------------|--------------------------|------------------------|
|    | Description                 | Revenue<br>and Expenses<br>per Books | Net Investment<br>Income | Adjusted<br>Net Income |
| 1  | FED TAX REFUND              | 5,936                                |                          |                        |
| 2  | OTHER INCOME FROM OPTIMA    | -11,635                              | -11,635                  |                        |
| 3  | UBTI ADJUSTMENT FROM OPTIMA |                                      | 238                      |                        |
| 4  |                             |                                      | 0                        |                        |
| 5  |                             |                                      | 0                        |                        |
| 6  |                             |                                      | 0                        |                        |
| 7  |                             |                                      | 0                        |                        |
| 8  |                             |                                      | 0                        |                        |
| 9  |                             |                                      | 0                        |                        |
| 10 |                             |                                      | 0                        |                        |

Line 18 (990-PF) - Taxes

|    |                           | 1,515                                | 1,515                    | 0                      | 0                                           |
|----|---------------------------|--------------------------------------|--------------------------|------------------------|---------------------------------------------|
|    | Description               | Revenue<br>and Expenses<br>per Books | Net Investment<br>Income | Adjusted<br>Net Income | Disbursements<br>for Charitable<br>Purposes |
| 1  | FOREIGN TAXES             | 1,348                                | 1,348                    |                        |                                             |
| 2  | FOREIGN TAXES FROM OPTIMA | 167                                  | 167                      |                        |                                             |
| 3  |                           |                                      |                          |                        |                                             |
| 4  |                           |                                      |                          |                        |                                             |
| 5  |                           |                                      |                          |                        |                                             |
| 6  |                           |                                      |                          |                        |                                             |
| 7  |                           |                                      |                          |                        |                                             |
| 8  |                           |                                      |                          |                        |                                             |
| 9  |                           |                                      |                          |                        |                                             |
| 10 |                           |                                      |                          |                        |                                             |

**Line 23 (990-PF) - Other Expenses**

|    |                                     | 23,967                               | 23,717                   | 0                      | 250                                         |
|----|-------------------------------------|--------------------------------------|--------------------------|------------------------|---------------------------------------------|
|    | Description                         | Revenue and<br>Expenses<br>per Books | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements<br>for Charitable<br>Purposes |
| 1  | Amortization See attached statement | 0                                    | 0                        | 0                      | 0                                           |
| 2  | ADR FEES                            | 22                                   | 22                       |                        |                                             |
| 3  | NY STATE FILING FEE                 | 250                                  |                          |                        | 250                                         |
| 4  | OTHER EXPENSES                      | 14,589                               | 14,589                   |                        |                                             |
| 5  | OTHER EXPENSES FROM OPTIMA          | 9,106                                | 9,106                    |                        |                                             |
| 6  |                                     |                                      |                          |                        |                                             |
| 7  |                                     |                                      |                          |                        |                                             |
| 8  |                                     |                                      |                          |                        |                                             |
| 9  |                                     |                                      |                          |                        |                                             |
| 10 |                                     |                                      |                          |                        |                                             |

**Part II, Line 13 (990-PF) - Investments - Other**

|    |                  | 3,400,042          |                         | 3,212,914              |                 | 3,304,587 |
|----|------------------|--------------------|-------------------------|------------------------|-----------------|-----------|
|    | Item or Category | Basis of Valuation | Book Value Beg. of Year | Book Value End of Year | FMV End of Year |           |
| 1  |                  |                    | 3,400,042               | 3,212,914              | 3,304,587       |           |
| 2  |                  |                    |                         |                        |                 |           |
| 3  |                  |                    |                         |                        |                 |           |
| 4  |                  |                    |                         |                        |                 |           |
| 5  |                  |                    |                         |                        |                 |           |
| 6  |                  |                    |                         |                        |                 |           |
| 7  |                  |                    |                         |                        |                 |           |
| 8  |                  |                    |                         |                        |                 |           |
| 9  |                  |                    |                         |                        |                 |           |
| 10 |                  |                    |                         |                        |                 |           |

Part II, Line 15 (990-PF) - Other Assets

|    | Description       | Beginning<br>Balance | Ending<br>Balance | Fair Market<br>Value |
|----|-------------------|----------------------|-------------------|----------------------|
| 1  | OPTIMA HEDGE FUND | 525,000              | 525,000           | 496,229              |
| 2  |                   |                      |                   |                      |
| 3  |                   |                      |                   |                      |
| 4  |                   |                      |                   |                      |
| 5  |                   |                      |                   |                      |
| 6  |                   |                      |                   |                      |
| 7  |                   |                      |                   |                      |
| 8  |                   |                      |                   |                      |
| 9  |                   |                      |                   |                      |
| 10 |                   |                      |                   |                      |



**Part III (990-PF) - Changes in Net Assets or Fund Balances**

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**Line 3 - Other increases not included in Part III, Line 2**

|          |                                                      |          |        |
|----------|------------------------------------------------------|----------|--------|
| <b>1</b> | REVERSAL OF GAIN ON PY SALES NOT SETTLED AT YEAR END | <b>1</b> | 1,656  |
| <b>2</b> | OPTIMA TAX COST ADJUSTMENT                           | <b>2</b> | 45,360 |
| <b>3</b> | Total                                                | <b>3</b> | 47,016 |

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## Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

|    | Long Term CG Distributions<br>Short Term CG Distributions | Amount  | Kind(s) of Property Sold | CUSIP #   | How<br>Acquired | Date<br>Acquired | Date<br>Sold | Totals  | Gross<br>Sales<br>Price | Depreciation<br>Allowed | Cost or Other<br>Basis Plus<br>Expense of Sale | Gain or<br>Loss | F M V<br>as of<br>12/31/69 | Adjusted Basis<br>as of<br>12/31/69 | Excess of FMV<br>Over Adj Basis | Gains Minus Excess<br>of FMV Over Adjusted<br>Basis or Losses |
|----|-----------------------------------------------------------|---------|--------------------------|-----------|-----------------|------------------|--------------|---------|-------------------------|-------------------------|------------------------------------------------|-----------------|----------------------------|-------------------------------------|---------------------------------|---------------------------------------------------------------|
|    |                                                           |         |                          |           |                 |                  |              |         |                         |                         |                                                |                 |                            |                                     |                                 |                                                               |
| 1  | PFIZER INC                                                | -18,872 |                          | 717081103 |                 | 4/28/2005        | 8/12/2009    | 997,809 | 1,114                   | 0                       | 1,867                                          | -753            |                            |                                     | 0                               | -1,927                                                        |
| 2  | PFIZER INC                                                | -2,676  |                          | 717081103 |                 | 3/10/1999        | 8/12/2009    |         | 2,062                   | 0                       | 3,353                                          | -1,291          |                            |                                     | 0                               | -1,291                                                        |
| 3  | PRAXAIR INC                                               |         |                          | 7400SP104 |                 | 4/30/2009        | 8/12/2009    |         | 782                     | 0                       | 751                                            | 31              |                            |                                     | 0                               | 31                                                            |
| 4  | PRAXAIR INC                                               |         |                          | 7400SP104 |                 | 2/8/2005         | 8/12/2009    |         | 3,128                   | 0                       | 1,790                                          | 1,339           |                            |                                     | 0                               | 1,339                                                         |
| 5  | PROCTER & GAMBLE CO                                       |         |                          | 742718109 |                 | 10/8/2008        | 8/12/2009    |         | 2,093                   | 0                       | 2,569                                          | -576            |                            |                                     | 0                               | -576                                                          |
| 6  | PROCTER & GAMBLE CO                                       |         |                          | 742718109 |                 | 2/1/2002         | 8/12/2009    |         | 3,140                   | 0                       | 2,470                                          | 670             |                            |                                     | 0                               | 670                                                           |
| 7  | QUALCOMM INC                                              |         |                          | 747525103 |                 | 10/8/2008        | 8/12/2009    |         | 2,333                   | 0                       | 2,090                                          | 243             |                            |                                     | 0                               | 243                                                           |
| 8  | QUALCOMM INC                                              |         |                          | 747525103 |                 | 11/10/2008       | 8/12/2009    |         | 5,132                   | 0                       | 3,892                                          | 1,240           |                            |                                     | 0                               | 1,240                                                         |
| 9  | QUALCOMM INC                                              |         |                          | 747525103 |                 | 11/10/2008       | 8/12/2009    |         | 14,546                  | 0                       | 10,967                                         | 3,579           |                            |                                     | 0                               | 3,579                                                         |
| 10 | QUALCOMM INC                                              |         |                          | 747525103 |                 | 1/28/2004        | 8/12/2009    |         | 6,100                   | 0                       | 3,762                                          | 2,338           |                            |                                     | 0                               | 2,338                                                         |
| 11 | QUALCOMM INC                                              |         |                          | 747525103 |                 | 1/28/2004        | 8/12/2009    |         | 6,283                   | 0                       | 4,051                                          | 2,232           |                            |                                     | 0                               | 2,232                                                         |
| 12 | QUALCOMM INC                                              |         |                          | 747525103 |                 | 1/6/2010         | 4/23/2010    |         | 12,119                  | 0                       | 15,378                                         | -3,259          |                            |                                     | 0                               | -3,259                                                        |
| 13 | RAYTHEON CO                                               |         |                          | 75511507  |                 | 10/7/2008        | 8/12/2009    |         | 2,872                   | 0                       | 3,120                                          | -248            |                            |                                     | 0                               | -248                                                          |
| 14 | ST JUDE MEDICAL INC                                       |         |                          | 790849103 |                 | 11/6/2007        | 8/12/2009    |         | 1,148                   | 0                       | 1,211                                          | -63             |                            |                                     | 0                               | -63                                                           |
| 15 | ST JUDE MEDICAL INC                                       |         |                          | 790849103 |                 | 10/8/2008        | 10/7/2009    |         | 727                     | 0                       | 835                                            | -108            |                            |                                     | 0                               | -108                                                          |
| 16 | ST JUDE MEDICAL INC                                       |         |                          | 790849103 |                 | 11/6/2007        | 10/7/2009    |         | 2,310                   | 0                       | 2,826                                          | -516            |                            |                                     | 0                               | -516                                                          |
| 17 | ST JUDE MEDICAL INC                                       |         |                          | 790849103 |                 | 11/6/2007        | 10/7/2009    |         | 2,640                   | 0                       | 3,216                                          | -576            |                            |                                     | 0                               | -576                                                          |
| 18 | ST JUDE MEDICAL INC                                       |         |                          | 790849103 |                 | 10/8/2008        | 10/7/2009    |         | 594                     | 0                       | 683                                            | -89             |                            |                                     | 0                               | -89                                                           |
| 19 | SCHERING PLOUGH CORP                                      |         |                          | 806605101 |                 | 1/19/2007        | 8/12/2009    |         | 8,353                   | 0                       | 7,755                                          | 598             |                            |                                     | 0                               | 598                                                           |
| 20 | SCHERING PLOUGH CORP                                      |         |                          | 806605101 |                 | 1/19/2007        | 11/3/2009    |         | 10,815                  | 0                       | 10,907                                         | -92             |                            |                                     | 0                               | -92                                                           |
| 21 | SCHERING PLOUGH CORP                                      |         |                          | 806605101 |                 | 10/8/2008        | 11/3/2009    |         | 1,155                   | 0                       | 697                                            | 458             |                            |                                     | 0                               | 458                                                           |
| 22 | SCHWAB CHARLES CORP NEW                                   |         |                          | 808513105 |                 | 11/21/2008       | 8/12/2009    |         | 187                     | 0                       | 152                                            | 35              |                            |                                     | 0                               | 35                                                            |
| 23 | SCHWAB CHARLES CORP NEW                                   |         |                          | 808513105 |                 | 4/30/2009        | 8/12/2009    |         | 187                     | 0                       | 185                                            | 2               |                            |                                     | 0                               | 2                                                             |
| 24 | SCHWAB CHARLES CORP NEW                                   |         |                          | 808513105 |                 | 11/20/2008       | 8/12/2009    |         | 2,245                   | 0                       | 1,827                                          | 418             |                            |                                     | 0                               | 418                                                           |
| 25 | SEMPRA ENERGY                                             |         |                          | 816851109 |                 | 2/27/2007        | 8/12/2009    |         | 6,740                   | 0                       | 8,012                                          | -1,272          |                            |                                     | 0                               | -1,272                                                        |
| 26 | SEMPRA ENERGY                                             |         |                          | 816851109 |                 | 2/26/2007        | 1/6/2010     |         | 4,336                   | 0                       | 4,915                                          | -579            |                            |                                     | 0                               | -579                                                          |
| 27 | SEMPRA ENERGY                                             |         |                          | 816851109 |                 | 2/27/2007        | 1/6/2010     |         | 8,564                   | 0                       | 9,738                                          | -1,174          |                            |                                     | 0                               | -1,174                                                        |
| 28 | SEMPRA ENERGY                                             |         |                          | 816851109 |                 | 2/26/2007        | 1/6/2010     |         | 596                     | 0                       | 676                                            | -80             |                            |                                     | 0                               | -80                                                           |
| 29 | SEMPRA ENERGY                                             |         |                          | 816851109 |                 | 2/27/2007        | 1/6/2010     |         | 8,907                   | 0                       | 10,108                                         | -1,201          |                            |                                     | 0                               | -1,201                                                        |
| 30 | SEMPRA ENERGY                                             |         |                          | 816851109 |                 | 10/8/2008        | 17/2010      |         | 3,121                   | 0                       | 2,249                                          | 872             |                            |                                     | 0                               | 872                                                           |
| 31 | SEMPRA ENERGY                                             |         |                          | 816851109 |                 | 2/26/2007        | 17/2010      |         | 377                     | 0                       | 430                                            | -53             |                            |                                     | 0                               | -53                                                           |
| 32 | SEMPRA ENERGY                                             |         |                          | 816851109 |                 | 2/26/2007        | 17/2010      |         | 1,614                   | 0                       | 1,842                                          | -228            |                            |                                     | 0                               | -228                                                          |
| 33 | SEMPRA ENERGY                                             |         |                          | 816851109 |                 | 10/8/2008        | 17/2010      |         | 108                     | 0                       | 78                                             | 30              |                            |                                     | 0                               | 30                                                            |
| 34 | STATE STREET CORP                                         |         |                          | 857477103 |                 | 5/21/2009        | 12/11/2009   |         | 5,532                   | 0                       | 6,027                                          | -495            |                            |                                     | 0                               | -495                                                          |
| 35 | STATE STREET CORP                                         |         |                          | 857477103 |                 | 8/12/2009        | 12/11/2009   |         | 4,411                   | 0                       | 5,753                                          | -1,342          |                            |                                     | 0                               | -1,342                                                        |
| 36 | STATE STREET CORP                                         |         |                          | 857477103 |                 | 5/21/2009        | 12/11/2009   |         | 3,208                   | 0                       | 3,444                                          | -236            |                            |                                     | 0                               | -236                                                          |
| 37 | DREYFUS PREM STRAT GBL ST-I                               |         |                          | 86271F818 |                 | 10/8/2008        | 8/12/2009    |         | 14,836                  | 0                       | 13,014                                         | 1,822           |                            |                                     | 0                               | 1,822                                                         |
| 38 | TAIWAN SEMICONDUCTOR MFG CO AD                            |         |                          | 874039100 |                 | 4/30/2009        | 8/12/2009    |         | 211                     | 0                       | 212                                            | -1              |                            |                                     | 0                               | -1                                                            |
| 39 | TAIWAN SEMICONDUCTOR MFG CO AD                            |         |                          | 874039100 |                 | 3/3/2009         | 8/12/2009    |         | 1,676                   | 0                       | 1,251                                          | 425             |                            |                                     | 0                               | 425                                                           |
| 40 | TAIWAN SEMICONDUCTOR MFG CO AD                            |         |                          | 874039100 |                 | 3/3/2009         | 9/9/2009     |         | 2                       | 0                       | 2                                              | 0               |                            |                                     | 0                               | 0                                                             |
| 41 | TAIWAN SEMICONDUCTOR MFG CO AD                            |         |                          | 874039100 |                 | 3/3/2009         | 11/3/2009    |         | 388                     | 0                       | 315                                            | 73              |                            |                                     | 0                               | 73                                                            |
| 42 | TAIWAN SEMICONDUCTOR MFG CO AD                            |         |                          | 874039100 |                 | 3/3/2009         | 11/3/2009    |         | 2,095                   | 0                       | 1,736                                          | 359             |                            |                                     | 0                               | 359                                                           |
| 43 | TAIWAN SEMICONDUCTOR MFG CO AD                            |         |                          | 874039100 |                 | 3/3/2009         | 11/3/2009    |         | 1,626                   | 0                       | 1,322                                          | 304             |                            |                                     | 0                               | 304                                                           |
| 44 | TAIWAN SEMICONDUCTOR MFG CO AD                            |         |                          | 874039100 |                 | 3/3/2009         | 11/4/2009    |         | 713                     | 0                       | 568                                            | 145             |                            |                                     | 0                               | 145                                                           |
| 45 | TAIWAN SEMICONDUCTOR MFG CO AD                            |         |                          | 874039100 |                 | 3/3/2009         | 11/4/2009    |         | 1,493                   | 0                       | 1,190                                          | 303             |                            |                                     | 0                               | 303                                                           |
| 46 | TEXTRON INC                                               |         |                          | 883203101 |                 | 3/17/2006        | 8/12/2009    |         | 1,321                   | 0                       | 4,203                                          | -2,882          |                            |                                     | 0                               | -2,882                                                        |
| 47 | TEXTRON INC                                               |         |                          | 883203101 |                 | 3/20/2006        | 8/12/2009    |         | 147                     | 0                       | 467                                            | -320            |                            |                                     | 0                               | -320                                                          |
| 48 | THERMO FISHER SCIENTIFIC INC                              |         |                          | 883556102 |                 | 10/8/2008        | 8/12/2009    |         | 2,293                   | 0                       | 2,422                                          | -129            |                            |                                     | 0                               | -129                                                          |
| 49 | THERMO FISHER SCIENTIFIC INC                              |         |                          | 883556102 |                 | 3/7/2007         | 8/12/2009    |         | 459                     | 0                       | 454                                            | 5               |                            |                                     | 0                               | 5                                                             |
| 50 | TIME WARNER INC                                           |         |                          | 887317303 |                 | 4/30/2009        | 8/12/2009    |         | 282                     | 0                       | 220                                            | 62              |                            |                                     | 0                               | 62                                                            |
| 51 | TIME WARNER INC                                           |         |                          | 887317303 |                 | 12/4/2008        | 8/12/2009    |         | 2,823                   | 0                       | 2,139                                          | 684             |                            |                                     | 0                               | 684                                                           |
| 52 | TIME WARNER CABLE INC                                     |         |                          | 887321207 |                 | 12/4/2008        | 8/12/2009    |         | 4,397                   | 0                       | 3,584                                          | 803             |                            |                                     | 0                               | 803                                                           |
| 53 | GILEAD SCIENCES INC                                       |         |                          | 375558103 |                 | 12/1/2009        | 8/12/2009    |         | 3,226                   | 0                       | 3,412                                          | -186            |                            |                                     | 0                               | -186                                                          |
| 54 | GILEAD SCIENCES INC                                       |         |                          | 375558103 |                 | 12/1/2009        | 12/1/2009    |         | 9,453                   | 0                       | 9,944                                          | -491            |                            |                                     | 0                               | -491                                                          |
| 55 | GILEAD SCIENCES INC                                       |         |                          | 375558103 |                 | 12/1/2009        | 12/2/2009    |         | 3,535                   | 0                       | 3,705                                          | -170            |                            |                                     | 0                               | -170                                                          |
| 56 | GOOGLE INC - CL A                                         |         |                          | 38259P508 |                 | 6/5/2009         | 8/12/2009    |         | 4,608                   | 0                       | 4,425                                          | 183             |                            |                                     | 0                               | 183                                                           |
| 57 | HESS CORP                                                 |         |                          | 42809H107 |                 | 3/17/2009        | 8/12/2009    |         | 4,812                   | 0                       | 5,533                                          | -721            |                            |                                     | 0                               | -721                                                          |

## Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| Long Term CG Distributions  |                                 |           | Amount       |               |           |        |                   |                      |                                          |              |                      |                               |                              |   |        |                                                         |
|-----------------------------|---------------------------------|-----------|--------------|---------------|-----------|--------|-------------------|----------------------|------------------------------------------|--------------|----------------------|-------------------------------|------------------------------|---|--------|---------------------------------------------------------|
| Short Term CG Distributions |                                 |           | -18,872      | -2,676        |           |        |                   |                      |                                          |              |                      |                               |                              |   |        |                                                         |
|                             | Kind(s) of Property Sold        | CUSIP #   | How Acquired | Date Acquired | Date Sold | Totals | Gross Sales Price | Depreciation Allowed | Cost or Other Basis Plus Expense of Sale | Gain or Loss | F M V as of 12/31/69 | Adjusted Basis as of 12/31/69 | Excess of FMV Over Adj Basis | 0 | -1,927 | Gains Minus Excess of FMV Over Adjusted Basis or Losses |
| 58                          | HESS CORP                       | 42809H107 |              | 10/8/2008     | 8/12/2009 |        | 1,604             | 0                    | 1,843                                    | -239         |                      |                               | 0                            |   |        | -239                                                    |
| 59                          | HEWLETT PACKARD CO              | 428236103 |              | 8/21/2009     | 9/4/2009  |        | 1,338             | 0                    | 1,332                                    | 6            |                      |                               | 0                            |   |        | 6                                                       |
| 60                          | HOME DEPOT INC                  | 437076102 |              | 11/20/2008    | 8/12/2009 |        | 3,004             | 0                    | 2,112                                    | 892          |                      |                               | 0                            |   |        | 892                                                     |
| 61                          | HOME DEPOT INC                  | 437076102 |              | 8/28/2009     | 5/20/2010 |        | 5,948             | 0                    | 4,990                                    | 958          |                      |                               | 0                            |   |        | 958                                                     |
| 62                          | HOME DEPOT INC                  | 437076102 |              | 9/11/2009     | 5/20/2010 |        | 5,287             | 0                    | 4,391                                    | 896          |                      |                               | 0                            |   |        | 896                                                     |
| 63                          | HOME DEPOT INC                  | 437076102 |              | 3/17/2010     | 5/20/2010 |        | 1,322             | 0                    | 1,300                                    | 22           |                      |                               | 0                            |   |        | 22                                                      |
| 64                          | HOME DEPOT INC                  | 437076102 |              | 3/17/2010     | 5/20/2010 |        | 3,615             | 0                    | 3,576                                    | 39           |                      |                               | 0                            |   |        | 39                                                      |
| 65                          | HOME DEPOT INC                  | 437076102 |              | 9/11/2009     | 5/27/2010 |        | 2,746             | 0                    | 2,183                                    | 563          |                      |                               | 0                            |   |        | 563                                                     |
| 66                          | HOME DEPOT INC                  | 437076102 |              | 9/11/2009     | 5/27/2010 |        | 1,716             | 0                    | 1,360                                    | 356          |                      |                               | 0                            |   |        | 356                                                     |
| 67                          | HOME DEPOT INC                  | 437076102 |              | 9/11/2009     | 5/27/2010 |        | 3,432             | 0                    | 2,738                                    | 694          |                      |                               | 0                            |   |        | 694                                                     |
| 68                          | HOME DEPOT INC                  | 437076102 |              | 9/11/2009     | 5/27/2010 |        | 3,089             | 0                    | 2,440                                    | 649          |                      |                               | 0                            |   |        | 649                                                     |
| 69                          | HONEYWELL INTL INC              | 438516106 |              | 1/14/2004     | 8/12/2009 |        | 4,409             | 0                    | 4,319                                    | 90           |                      |                               | 0                            |   |        | 90                                                      |
| 70                          | HOSPIRA INC                     | 441060100 |              | 6/1/2007      | 8/12/2009 |        | 2,013             | 0                    | 2,034                                    | -21          |                      |                               | 0                            |   |        | -21                                                     |
| 71                          | HOSPIRA INC                     | 441060100 |              | 3/8/2007      | 8/12/2009 |        | 2,416             | 0                    | 2,406                                    | 10           |                      |                               | 0                            |   |        | 10                                                      |
| 72                          | INTERNATIONAL BUSINESS MACHINES | 459200101 |              | 1/23/2008     | 8/12/2009 |        | 9,586             | 0                    | 8,502                                    | 1,084        |                      |                               | 0                            |   |        | 1,084                                                   |
| 73                          | INTERNATIONAL BUSINESS MACHINES | 459200101 |              | 1/23/2008     | 8/21/2009 |        | 15,562            | 0                    | 13,815                                   | 1,747        |                      |                               | 0                            |   |        | 1,747                                                   |
| 74                          | JPMORGAN CHASE & CO             | 46625H100 |              | 10/8/2008     | 8/12/2009 |        | 4,228             | 0                    | 4,191                                    | 37           |                      |                               | 0                            |   |        | 37                                                      |
| 75                          | JPMORGAN CHASE & CO             | 46625H100 |              | 4/30/2009     | 8/12/2009 |        | 846               | 0                    | 679                                      | 167          |                      |                               | 0                            |   |        | 167                                                     |
| 76                          | JPMORGAN CHASE & CO             | 46625H100 |              | 2/6/2009      | 8/12/2009 |        | 5,074             | 0                    | 3,281                                    | 1,793        |                      |                               | 0                            |   |        | 1,793                                                   |
| 77                          | JUNIPER NETWORKS INC            | 48203R104 |              | 10/28/2008    | 8/12/2009 |        | 1,785             | 0                    | 1,246                                    | 539          |                      |                               | 0                            |   |        | 539                                                     |
| 78                          | JUNIPER NETWORKS INC            | 48203R104 |              | 10/28/2008    | 16/2010   |        | 1,039             | 0                    | 712                                      | 327          |                      |                               | 0                            |   |        | 327                                                     |
| 79                          | JUNIPER NETWORKS INC            | 48203R104 |              | 2/23/2009     | 16/2010   |        | 7,768             | 0                    | 4,435                                    | 3,333        |                      |                               | 0                            |   |        | 3,333                                                   |
| 80                          | KBR INC                         | 48242W106 |              | 8/17/2007     | 8/12/2009 |        | 4,704             | 0                    | 6,150                                    | -1,446       |                      |                               | 0                            |   |        | -1,446                                                  |
| 81                          | KBR INC                         | 48242W106 |              | 8/17/2007     | 9/2/2009  |        | 108               | 0                    | 146                                      | -38          |                      |                               | 0                            |   |        | -38                                                     |
| 82                          | KBR INC                         | 48242W106 |              | 8/17/2007     | 9/2/2009  |        | 130               | 0                    | 176                                      | -46          |                      |                               | 0                            |   |        | -46                                                     |
| 83                          | KBR INC                         | 48242W106 |              | 8/17/2007     | 9/2/2009  |        | 325               | 0                    | 439                                      | -114         |                      |                               | 0                            |   |        | -114                                                    |
| 84                          | KBR INC                         | 48242W106 |              | 8/17/2007     | 9/3/2009  |        | 109               | 0                    | 146                                      | -37          |                      |                               | 0                            |   |        | -37                                                     |
| 85                          | KBR INC                         | 48242W106 |              | 8/17/2007     | 9/4/2009  |        | 3,534             | 0                    | 4,773                                    | -1,239       |                      |                               | 0                            |   |        | -1,239                                                  |
| 86                          | LORILLARD INC                   | 544147101 |              | 1/21/2009     | 7/30/2009 |        | 18,370            | 0                    | 15,345                                   | 3,025        |                      |                               | 0                            |   |        | 3,025                                                   |
| 87                          | LORILLARD INC                   | 544147101 |              | 4/30/2009     | 7/30/2009 |        | 735               | 0                    | 626                                      | 109          |                      |                               | 0                            |   |        | 109                                                     |
| 88                          | MCAFEЕ INC                      | 579064106 |              | 6/1/2007      | 7/29/2009 |        | 3,571             | 0                    | 2,934                                    | 637          |                      |                               | 0                            |   |        | 637                                                     |
| 89                          | MCAFEЕ INC                      | 579064106 |              | 5/31/2007     | 7/30/2009 |        | 8,215             | 0                    | 6,960                                    | 1,255        |                      |                               | 0                            |   |        | 1,255                                                   |
| 90                          | MCAFEЕ INC                      | 579064106 |              | 6/1/2007      | 7/30/2009 |        | 2,162             | 0                    | 1,834                                    | 328          |                      |                               | 0                            |   |        | 328                                                     |
| 91                          | MCAFEЕ INC                      | 579064106 |              | 10/8/2008     | 7/30/2009 |        | 1,297             | 0                    | 884                                      | 413          |                      |                               | 0                            |   |        | 413                                                     |
| 92                          | MC DONALD'S CORPORATION         | 580135101 |              | 4/1/2008      | 8/12/2009 |        | 9,040             | 0                    | 9,117                                    | -77          |                      |                               | 0                            |   |        | -77                                                     |
| 93                          | MC DONALD'S CORPORATION         | 580135101 |              | 10/8/2008     | 8/12/2009 |        | 3,955             | 0                    | 3,907                                    | 48           |                      |                               | 0                            |   |        | 48                                                      |
| 94                          | MC DONALD'S CORPORATION         | 580135101 |              | 4/30/2009     | 8/12/2009 |        | 565               | 0                    | 537                                      | 28           |                      |                               | 0                            |   |        | 28                                                      |
| 95                          | MCKESSON CORPORATION            | 58155Q103 |              | 2/4/2009      | 8/12/2009 |        | 2,178             | 0                    | 1,823                                    | 355          |                      |                               | 0                            |   |        | 355                                                     |
| 96                          | MEDTRONIC INC                   | 585055106 |              | 6/7/2004      | 8/12/2009 |        | 3,701             | 0                    | 4,963                                    | -1,262       |                      |                               | 0                            |   |        | -1,262                                                  |
| 97                          | MERCK & CO INC                  | 589331107 |              | 3/13/2009     | 8/12/2009 |        | 2,160             | 0                    | 1,921                                    | 239          |                      |                               | 0                            |   |        | 239                                                     |
| 98                          | MERCK & CO INC                  | 589331107 |              | 3/13/2009     | 12/8/2009 |        | 24                | 0                    | 12                                       | 12           |                      |                               | 0                            |   |        | 12                                                      |
| 99                          | MICROSOFT CORPORATION           | 594918104 |              | 10/8/2008     | 8/12/2009 |        | 2,615             | 0                    | 2,609                                    | 6            |                      |                               | 0                            |   |        | 6                                                       |
| 100                         | MICROSOFT CORPORATION           | 594918104 |              | 11/12/1996    | 8/12/2009 |        | 2,852             | 0                    | 646                                      | 2,206        |                      |                               | 0                            |   |        | 2,206                                                   |
| 101                         | NEWS CORP INC                   | 65248E203 |              | 11/6/2007     | 8/12/2009 |        | 1,690             | 0                    | 2,918                                    | -1,228       |                      |                               | 0                            |   |        | -1,228                                                  |
| 102                         | NEWS CORP INC                   | 65248E203 |              | 12/26/2007    | 8/12/2009 |        | 650               | 0                    | 1,095                                    | -445         |                      |                               | 0                            |   |        | -445                                                    |
| 103                         | NEWS CORP INC                   | 65248E203 |              | 5/10/2006     | 8/12/2009 |        | 4,291             | 0                    | 6,306                                    | -2,015       |                      |                               | 0                            |   |        | -2,015                                                  |
| 104                         | NOKIA CORP-SPON ADR             | 654902204 |              | 7/28/2008     | 7/16/2009 |        | 2,801             | 0                    | 5,913                                    | -3,112       |                      |                               | 0                            |   |        | -3,112                                                  |
| 105                         | NOKIA CORP-SPON ADR             | 654902204 |              | 7/28/2008     | 7/16/2009 |        | 400               | 0                    | 846                                      | -446         |                      |                               | 0                            |   |        | -446                                                    |
| 106                         | NOKIA CORP-SPON ADR             | 654902204 |              | 10/8/2008     | 7/16/2009 |        | 1,467             | 0                    | 1,876                                    | -409         |                      |                               | 0                            |   |        | -409                                                    |
| 107                         | NOKIA CORP-SPON ADR             | 654902204 |              | 7/28/2008     | 7/16/2009 |        | 3,864             | 0                    | 8,178                                    | -4,314       |                      |                               | 0                            |   |        | -4,314                                                  |
| 108                         | OCCIDENTAL PETROLEUM CORP       | 674599105 |              | 4/23/2009     | 8/12/2009 |        | 3,499             | 0                    | 2,777                                    | 722          |                      |                               | 0                            |   |        | 722                                                     |
| 109                         | OMNICOM GROUP INC               | 681919106 |              | 1/19/2007     | 8/12/2009 |        | 3,484             | 0                    | 5,222                                    | -1,738       |                      |                               | 0                            |   |        | -1,738                                                  |
| 110                         | ORACLE CORP                     | 701094104 |              | 1/19/2007     | 8/12/2009 |        | 6,381             | 0                    | 5,030                                    | 1,351        |                      |                               | 0                            |   |        | 1,351                                                   |
| 111                         | PARKER HANNIFIN CORP            | 701094104 |              | 12/24/2008    | 8/12/2009 |        | 2,486             | 0                    | 1,969                                    | 517          |                      |                               | 0                            |   |        | 517                                                     |
| 112                         | PARKER HANNIFIN CORP            | 701094104 |              | 12/23/2008    | 8/12/2009 |        | 497               | 0                    | 389                                      | 108          |                      |                               | 0                            |   |        | 108                                                     |
| 113                         | KBR INC                         | 48242W106 |              | 8/17/2007     | 9/3/2009  |        | 1,857             | 0                    | 2,518                                    | -661         |                      |                               | 0                            |   |        | -661                                                    |
| 114                         | KBR INC                         | 48242W106 |              | 8/17/2007     | 9/3/2009  |        | 434               | 0                    | 586                                      | -152         |                      |                               | 0                            |   |        | -152                                                    |

## Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

|     | Kind(s) of Property Sold         | CUSIP #   | How Acquired | Date Acquired | Date Sold  | Totals | Gross Sales Price | Depreciation Allowed | Cost or Other Basis Plus Expense of Sale | Gain or Loss | F M V as of 12/31/69 | Adjusted Basis as of 12/31/69 | Excess of FMV Over Adj Basis | Gains Minus Excess of FMV Over Adjusted Basis or Losses |
|-----|----------------------------------|-----------|--------------|---------------|------------|--------|-------------------|----------------------|------------------------------------------|--------------|----------------------|-------------------------------|------------------------------|---------------------------------------------------------|
|     |                                  |           |              |               |            |        |                   |                      |                                          |              |                      |                               |                              |                                                         |
|     | Long Term CG Distributions       |           |              |               |            |        | -18,872           |                      |                                          |              |                      |                               |                              | -1,927                                                  |
|     | Short Term CG Distributions      |           |              |               |            |        | -2,676            |                      |                                          |              |                      |                               |                              |                                                         |
| 115 | PARKER HANNIFIN CORP             | 701094104 |              | 12/23/2008    | 6/18/2010  |        | 1,220             | 0                    | 1,307                                    | -87          |                      |                               | 0                            | -87                                                     |
| 116 | PARKER HANNIFIN CORP             | 701094104 |              | 12/23/2008    | 6/18/2010  |        | 4,882             | 0                    | 3,113                                    | 1,769        |                      |                               | 0                            | 1,769                                                   |
| 117 | PARKER HANNIFIN CORP             | 701094104 |              | 12/23/2008    | 6/21/2010  |        | 10,487            | 0                    | 6,615                                    | 3,872        |                      |                               | 0                            | 3,872                                                   |
| 118 | PEPSICO INC                      | 713448108 |              | 10/6/2008     | 8/12/2009  |        | 570               | 0                    | 650                                      | -80          |                      |                               | 0                            | -80                                                     |
| 119 | PEPSICO INC                      | 713448108 |              | 2/4/2005      | 8/12/2009  |        | 6,272             | 0                    | 6,062                                    | 210          |                      |                               | 0                            | 210                                                     |
| 120 | PFIZER INC                       | 717081103 |              | 5/17/2002     | 8/12/2009  |        | 4,625             | 0                    | 8,462                                    | -3,837       |                      |                               | 0                            | -3,837                                                  |
| 121 | AT & T INC                       | 00206R102 |              | 1/19/2007     | 8/12/2009  |        | 9,963             | 0                    | 9,469                                    | 494          |                      |                               | 0                            | 494                                                     |
| 122 | ALLIANCE DATA SYS CORP           | 018581108 |              | 7/23/2008     | 8/12/2009  |        | 3,983             | 0                    | 4,416                                    | -433         |                      |                               | 0                            | -433                                                    |
| 123 | AMERIPRISE FINANCIAL INC         | 03076C106 |              | 1/21/2009     | 8/12/2009  |        | 2,307             | 0                    | 1,533                                    | 774          |                      |                               | 0                            | 774                                                     |
| 124 | AMGEN INC                        | 031162100 |              | 10/29/2008    | 8/12/2009  |        | 6,845             | 0                    | 6,615                                    | 230          |                      |                               | 0                            | 230                                                     |
| 125 | AMGEN INC                        | 031162100 |              | 10/29/2008    | 2/12/2010  |        | 1,127             | 0                    | 1,203                                    | -76          |                      |                               | 0                            | -76                                                     |
| 126 | AMGEN INC                        | 031162100 |              | 10/28/2008    | 2/12/2010  |        | 6,199             | 0                    | 6,416                                    | -217         |                      |                               | 0                            | -217                                                    |
| 127 | APACHE CORP                      | 037411105 |              | 10/6/2008     | 8/12/2009  |        | 4,382             | 0                    | 4,026                                    | 356          |                      |                               | 0                            | 356                                                     |
| 128 | APACHE CORP                      | 037411105 |              | 1/19/2007     | 8/12/2009  |        | 2,629             | 0                    | 2,030                                    | 599          |                      |                               | 0                            | 599                                                     |
| 129 | APPLE INC                        | 037833100 |              | 7/8/2008      | 8/12/2009  |        | 6,660             | 0                    | 7,078                                    | -418         |                      |                               | 0                            | -418                                                    |
| 130 | AOL INC                          | 00184X105 |              | 12/4/2008     | 12/29/2009 |        | 13                | 0                    | 9                                        | 4            |                      |                               | 0                            | 4                                                       |
| 131 | AOL INC                          | 00184X105 |              | 12/4/2008     | 1/4/2010   |        | 874               | 0                    | 593                                      | 281          |                      |                               | 0                            | 281                                                     |
| 132 | APPLE INC                        | 037833100 |              | 7/8/2008      | 1/21/2010  |        | 13,764            | 0                    | 11,679                                   | 2,085        |                      |                               | 0                            | 2,085                                                   |
| 133 | BNY MELLON MID CAP STK FD CL M   | 05569M509 |              | 4/4/2006      | 8/12/2009  |        | 36,945            | 0                    | 65,460                                   | -28,515      |                      |                               | 0                            | -28,515                                                 |
| 134 | BNY MELLON BOND FD CL M          | 05569M830 |              | 9/19/2000     | 8/12/2009  |        | 51,247            | 0                    | 49,946                                   | 1,301        |                      |                               | 0                            | 1,301                                                   |
| 135 | BNY MELLON EMERGING MARKETS FD   | 05569M855 |              | 12/21/2007    | 8/12/2009  |        | 24,390            | 0                    | 56,312                                   | -31,922      |                      |                               | 0                            | -31,922                                                 |
| 136 | BNY MELLON EMERGING MARKETS FD   | 05569M855 |              | 5/21/2003     | 8/12/2009  |        | 10,018            | 0                    | 14,136                                   | -4,118       |                      |                               | 0                            | -4,118                                                  |
| 137 | BNY MELLON INTERNATIONAL FD CL M | 05569M871 |              | 5/21/2003     | 8/12/2009  |        | 29,672            | 0                    | 31,139                                   | -1,467       |                      |                               | 0                            | -1,467                                                  |
| 138 | BEST BUY INC                     | 086516101 |              | 4/30/2009     | 8/12/2009  |        | 734               | 0                    | 769                                      | -35          |                      |                               | 0                            | -35                                                     |
| 139 | BEST BUY INC                     | 086516101 |              | 3/17/2009     | 8/12/2009  |        | 2,938             | 0                    | 2,526                                    | 412          |                      |                               | 0                            | 412                                                     |
| 140 | BEST BUY INC                     | 086516101 |              | 3/17/2009     | 9/11/2009  |        | 13,829            | 0                    | 11,049                                   | 2,780        |                      |                               | 0                            | 2,780                                                   |
| 141 | BLACKROCK INC                    | 09247X101 |              | 4/24/2009     | 8/12/2009  |        | 2,021             | 0                    | 1,503                                    | 518          |                      |                               | 0                            | 518                                                     |
| 142 | BROADCOM CORP CL A               | 111320107 |              | 4/30/2009     | 8/12/2009  |        | 271               | 0                    | 236                                      | 35           |                      |                               | 0                            | 35                                                      |
| 143 | BROADCOM CORP CL A               | 111320107 |              | 2/23/2009     | 8/12/2009  |        | 2,441             | 0                    | 1,444                                    | 997          |                      |                               | 0                            | 997                                                     |
| 144 | BROADCOM CORP CL A               | 111320107 |              | 2/23/2009     | 11/3/2009  |        | 9,841             | 0                    | 6,098                                    | 3,743        |                      |                               | 0                            | 3,743                                                   |
| 145 | CIGNA CORP                       | 125509109 |              | 6/19/2009     | 8/12/2009  |        | 2,021             | 0                    | 1,747                                    | 274          |                      |                               | 0                            | 274                                                     |
| 146 | CVS CAREMARK CORP                | 126650100 |              | 10/30/2007    | 8/12/2009  |        | 10,458            | 0                    | 12,434                                   | -1,976       |                      |                               | 0                            | -1,976                                                  |
| 147 | CVS CAREMARK CORP                | 126650100 |              | 4/24/2007     | 1/8/2010   |        | 2,715             | 0                    | 2,938                                    | -223         |                      |                               | 0                            | -223                                                    |
| 148 | CVS CAREMARK CORP                | 126650100 |              | 10/30/2007    | 1/8/2010   |        | 679               | 0                    | 829                                      | -150         |                      |                               | 0                            | -150                                                    |
| 149 | CVS CAREMARK CORP                | 126650100 |              | 12/26/2007    | 1/8/2010   |        | 679               | 0                    | 798                                      | -119         |                      |                               | 0                            | -119                                                    |
| 150 | CVS CAREMARK CORP                | 126650100 |              | 4/25/2007     | 1/8/2010   |        | 339               | 0                    | 366                                      | -27          |                      |                               | 0                            | -27                                                     |
| 151 | CVS CAREMARK CORP                | 126650100 |              | 4/25/2007     | 1/8/2010   |        | 2,037             | 0                    | 2,195                                    | -158         |                      |                               | 0                            | -158                                                    |
| 152 | CVS CAREMARK CORP                | 126650100 |              | 4/25/2007     | 1/11/2010  |        | 8,465             | 0                    | 9,145                                    | -680         |                      |                               | 0                            | -680                                                    |
| 153 | CVS CAREMARK CORP                | 126650100 |              | 4/25/2007     | 1/11/2010  |        | 1,353             | 0                    | 1,463                                    | -110         |                      |                               | 0                            | -110                                                    |
| 154 | CVS CAREMARK CORP                | 126650100 |              | 4/24/2007     | 6/21/2010  |        | 319               | 0                    | 364                                      | -45          |                      |                               | 0                            | -45                                                     |
| 155 | CVS CAREMARK CORP                | 126650100 |              | 4/25/2007     | 6/21/2010  |        | 1,587             | 0                    | 1,829                                    | -242         |                      |                               | 0                            | -242                                                    |
| 156 | CVS CAREMARK CORP                | 126650100 |              | 4/25/2007     | 6/21/2010  |        | 5,711             | 0                    | 6,584                                    | -873         |                      |                               | 0                            | -873                                                    |
| 157 | CVS CAREMARK CORP                | 126650100 |              | 4/24/2007     | 6/21/2010  |        | 2,221             | 0                    | 2,547                                    | -326         |                      |                               | 0                            | -326                                                    |
| 158 | CHUBB CORP                       | 171232101 |              | 1/19/2007     | 8/12/2009  |        | 4,368             | 0                    | 4,757                                    | -389         |                      |                               | 0                            | -389                                                    |
| 159 | CISCO SYSTEMS INC                | 17275R102 |              | 7/18/2008     | 8/12/2009  |        | 9,684             | 0                    | 9,741                                    | -57          |                      |                               | 0                            | -57                                                     |
| 160 | CISCO SYSTEMS INC                | 17275R102 |              | 7/18/2008     | 3/3/2010   |        | 3,196             | 0                    | 2,814                                    | 382          |                      |                               | 0                            | 382                                                     |
| 161 | CISCO SYSTEMS INC                | 17275R102 |              | 7/18/2008     | 3/3/2010   |        | 738               | 0                    | 649                                      | 89           |                      |                               | 0                            | 89                                                      |
| 162 | CISCO SYSTEMS INC                | 17275R102 |              | 4/30/2009     | 3/3/2010   |        | 984               | 0                    | 779                                      | 205          |                      |                               | 0                            | 205                                                     |
| 163 | CISCO SYSTEMS INC                | 17275R102 |              | 10/8/2008     | 3/3/2010   |        | 4,429             | 0                    | 3,368                                    | 1,061        |                      |                               | 0                            | 1,061                                                   |
| 164 | COCA COLA ENTERPRISES INC        | 191219104 |              | 6/20/2007     | 8/12/2009  |        | 3,479             | 0                    | 4,217                                    | -738         |                      |                               | 0                            | -738                                                    |
| 165 | COCA COLA ENTERPRISES INC        | 191219104 |              | 6/15/2007     | 5/6/2010   |        | 11,901            | 0                    | 10,601                                   | 1,300        |                      |                               | 0                            | 1,300                                                   |
| 166 | COCA COLA ENTERPRISES INC        | 191219104 |              | 6/18/2007     | 5/6/2010   |        | 776               | 0                    | 691                                      | 85           |                      |                               | 0                            | 85                                                      |
| 167 | COCA COLA ENTERPRISES INC        | 191219104 |              | 6/20/2007     | 5/6/2010   |        | 2,070             | 0                    | 1,874                                    | 196          |                      |                               | 0                            | 196                                                     |
| 168 | COCA COLA ENTERPRISES INC        | 191219104 |              | 10/6/2008     | 5/6/2010   |        | 2,070             | 0                    | 1,084                                    | 986          |                      |                               | 0                            | 986                                                     |
| 169 | COCA COLA ENTERPRISES INC        | 191219104 |              | 3/17/2010     | 5/6/2010   |        | 1,294             | 0                    | 1,373                                    | -79          |                      |                               | 0                            | -79                                                     |
| 170 | COLGATE-PALMOLIVE CO             | 194162103 |              | 10/8/2008     | 8/12/2009  |        | 4,336             | 0                    | 4,147                                    | 189          |                      |                               | 0                            | 189                                                     |
| 171 | COLGATE-PALMOLIVE CO             | 194162103 |              | 10/8/2008     | 8/28/2009  |        | 4,946             | 0                    | 4,700                                    | 246          |                      |                               | 0                            | 246                                                     |

## Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

| Amount                      |                               |           |              |               |            |                   |                      |                                          |              |                      |                               |                              |                                                         |
|-----------------------------|-------------------------------|-----------|--------------|---------------|------------|-------------------|----------------------|------------------------------------------|--------------|----------------------|-------------------------------|------------------------------|---------------------------------------------------------|
| -18,872                     |                               |           |              |               |            |                   |                      |                                          |              |                      |                               |                              |                                                         |
| -2,676                      |                               |           |              |               |            |                   |                      |                                          |              |                      |                               |                              |                                                         |
| Long Term CG Distributions  |                               |           |              |               |            |                   |                      |                                          |              |                      |                               |                              |                                                         |
| Short Term CG Distributions |                               |           |              |               |            |                   |                      |                                          |              |                      |                               |                              |                                                         |
|                             | Kind(s) of Property Sold      | CUSIP #   | How Acquired | Date Acquired | Date Sold  | Gross Sales Price | Depreciation Allowed | Cost or Other Basis Plus Expense of Sale | Gain or Loss | F M V as of 12/31/69 | Adjusted Basis as of 12/31/69 | Excess of FMV Over Adj Basis | Gains Minus Excess of FMV Over Adjusted Basis or Losses |
| 172                         | COLGATE-PALMOLIVE CO          | 194162103 |              | 10/8/2008     | 8/31/2009  | 3,266             | 0                    | 3,110                                    | 156          |                      |                               | 0                            | 156                                                     |
| 173                         | COLGATE-PALMOLIVE CO          | 194162103 |              | 10/8/2008     | 8/31/2009  | 1,598             | 0                    | 1,521                                    | 77           |                      |                               | 0                            | 77                                                      |
| 174                         | COLGATE-PALMOLIVE CO          | 194162103 |              | 10/8/2008     | 9/1/2009   | 1,945             | 0                    | 1,866                                    | 79           |                      |                               | 0                            | 79                                                      |
| 175                         | COLGATE-PALMOLIVE CO          | 194162103 |              | 10/8/2008     | 9/1/2009   | 2,583             | 0                    | 2,488                                    | 95           |                      |                               | 0                            | 95                                                      |
| 176                         | COLGATE-PALMOLIVE CO          | 194162103 |              | 10/8/2008     | 9/2/2009   | 2,293             | 0                    | 2,212                                    | 81           |                      |                               | 0                            | 81                                                      |
| 177                         | CONOCOPHILLIPS                | 20825C104 |              | 4/30/2009     | 8/12/2009  | 881               | 0                    | 823                                      | 58           |                      |                               | 0                            | 58                                                      |
| 178                         | CONOCOPHILLIPS                | 20825C104 |              | 11/15/2001    | 8/12/2009  | 4,406             | 0                    | 2,572                                    | 1,834        |                      |                               | 0                            | 1,834                                                   |
| 179                         | CUMMINS INC                   | 231021106 |              | 5/1/2009      | 8/12/2009  | 2,841             | 0                    | 2,082                                    | 759          |                      |                               | 0                            | 759                                                     |
| 180                         | CUMMINS INC                   | 231021106 |              | 5/1/2009      | 8/12/2009  | 419               | 0                    | 308                                      | 111          |                      |                               | 0                            | 111                                                     |
| 181                         | DANAHER CORP                  | 235851102 |              | 3/14/2005     | 8/12/2009  | 2,436             | 0                    | 2,190                                    | 246          |                      |                               | 0                            | 246                                                     |
| 182                         | DREYFUS SMALL CAP STOCK INDEX | 26200Q204 |              | 12/26/2007    | 8/12/2009  | 7,563             | 0                    | 12,000                                   | -4,437       |                      |                               | 0                            | -4,437                                                  |
| 183                         | DREYFUS SMALL CAP STOCK INDEX | 26200Q204 |              | 12/27/2007    | 8/12/2009  | 1,909             | 0                    | 2,689                                    | -780         |                      |                               | 0                            | -780                                                    |
| 184                         | DREYFUS NEWTON INTERNEQTY-I   | 26203E604 |              | 10/8/2008     | 8/12/2009  | 29,672            | 0                    | 29,749                                   | -77          |                      |                               | 0                            | -77                                                     |
| 185                         | DU PONT (E I) DE NEMOURS      | 263534109 |              | 4/30/2009     | 8/12/2009  | 325               | 0                    | 282                                      | 43           |                      |                               | 0                            | 43                                                      |
| 186                         | DU PONT (E I) DE NEMOURS      | 263534109 |              | 4/14/2009     | 8/12/2009  | 1,952             | 0                    | 1,608                                    | 344          |                      |                               | 0                            | 344                                                     |
| 187                         | E M C CORP MASS               | 268648102 |              | 7/29/2009     | 8/12/2009  | 4,132             | 0                    | 4,143                                    | -11          |                      |                               | 0                            | -11                                                     |
| 188                         | EXELON CORP                   | 30161N101 |              | 4/30/2009     | 8/12/2009  | 501               | 0                    | 458                                      | 43           |                      |                               | 0                            | 43                                                      |
| 189                         | EXELON CORP                   | 30161N101 |              | 9/10/1998     | 8/12/2009  | 4,509             | 0                    | 1,464                                    | 3,045        |                      |                               | 0                            | 3,045                                                   |
| 190                         | EXXON MOBIL CORP              | 30231G102 |              | 3/3/2004      | 8/12/2009  | 9,708             | 0                    | 5,873                                    | 3,835        |                      |                               | 0                            | 3,835                                                   |
| 191                         | EXXON MOBIL CORP              | 30231G102 |              | 10/8/2008     | 8/12/2009  | 1,387             | 0                    | 1,513                                    | -126         |                      |                               | 0                            | -126                                                    |
| 192                         | EXXON MOBIL CORP              | 30231G102 |              | 1/28/2004     | 8/12/2009  | 2,080             | 0                    | 1,240                                    | 840          |                      |                               | 0                            | 840                                                     |
| 193                         | FEDEX CORP                    | 31428X106 |              | 10/31/2008    | 8/12/2009  | 3,340             | 0                    | 3,272                                    | 68           |                      |                               | 0                            | 68                                                      |
| 194                         | FEDEX CORP                    | 31428X106 |              | 10/31/2008    | 6/16/2010  | 9,469             | 0                    | 7,854                                    | 1,615        |                      |                               | 0                            | 1,615                                                   |
| 195                         | FEDEX CORP                    | 31428X106 |              | 11/20/2008    | 6/16/2010  | 3,156             | 0                    | 2,365                                    | 791          |                      |                               | 0                            | 791                                                     |
| 196                         | FEDEX CORP                    | 31428X106 |              | 11/21/2008    | 6/16/2010  | 789               | 0                    | 564                                      | 225          |                      |                               | 0                            | 225                                                     |
| 197                         | FEDEX CORP                    | 31428X106 |              | 4/30/2009     | 6/16/2010  | 789               | 0                    | 564                                      | 225          |                      |                               | 0                            | 225                                                     |
| 198                         | FEDEX CORP                    | 31428X106 |              | 3/17/2010     | 6/16/2010  | 789               | 0                    | 898                                      | -109         |                      |                               | 0                            | -109                                                    |
| 199                         | FIRST HORIZON NATIONAL CORP   | 320517105 |              | 10/15/2008    | 8/12/2009  | 3,209             | 0                    | 2,622                                    | 587          |                      |                               | 0                            | 587                                                     |
| 200                         | FIRST HORIZON NATIONAL CORP   | 320517105 |              | 10/15/2008    | 8/27/2009  | 13                | 0                    | 11                                       | 2            |                      |                               | 0                            | 2                                                       |
| 201                         | FIRST HORIZON NATIONAL CORP   | 320517105 |              | 10/15/2008    | 10/29/2009 | 7                 | 0                    | 6                                        | 1            |                      |                               | 0                            | 1                                                       |
| 202                         | FIRST HORIZON NATIONAL CORP   | 320517105 |              | 10/14/2008    | 1/19/2010  | 1,452             | 0                    | 1,115                                    | 337          |                      |                               | 0                            | 337                                                     |
| 203                         | FIRST HORIZON NATIONAL CORP   | 320517105 |              | 10/14/2008    | 1/19/2010  | 1,885             | 0                    | 1,462                                    | 423          |                      |                               | 0                            | 423                                                     |
| 204                         | FIRST HORIZON NATIONAL CORP   | 320517105 |              | 10/15/2008    | 1/19/2010  | 570               | 0                    | 456                                      | 114          |                      |                               | 0                            | 114                                                     |
| 205                         | FIRST HORIZON NATIONAL CORP   | 320517105 |              | 10/14/2008    | 1/19/2010  | 2,074             | 0                    | 1,602                                    | 472          |                      |                               | 0                            | 472                                                     |
| 206                         | FIRST HORIZON NATIONAL CORP   | 320517105 |              | 10/15/2008    | 1/19/2010  | 1,177             | 0                    | 932                                      | 245          |                      |                               | 0                            | 245                                                     |
| 207                         | FIRST HORIZON NATIONAL CORP   | 320517105 |              | 10/14/2008    | 1/19/2010  | 828               | 0                    | 634                                      | 194          |                      |                               | 0                            | 194                                                     |
| 208                         | FIRST HORIZON NATIONAL CORP   | 320517105 |              | 10/15/2008    | 1/19/2010  | 4,445             | 0                    | 3,529                                    | 916          |                      |                               | 0                            | 916                                                     |
| 209                         | FIRST HORIZON NATIONAL CORP   | 320517105 |              | 10/15/2008    | 1/19/2010  | 120               | 0                    | 95                                       | 25           |                      |                               | 0                            | 25                                                      |
| 210                         | FIRST HORIZON NATIONAL CORP   | 320517105 |              | 10/14/2008    | 1/28/2010  | 12                | 0                    | 9                                        | 3            |                      |                               | 0                            | 3                                                       |
| 211                         | FRANKLIN RES INC              | 354613101 |              | 1/21/2009     | 8/12/2009  | 2,841             | 0                    | 1,534                                    | 1,307        |                      |                               | 0                            | 1,307                                                   |
| 212                         | GENERAL ELECTRIC COMPANY      | 369604103 |              | 2/7/2003      | 8/12/2009  | 284               | 0                    | 451                                      | -167         |                      |                               | 0                            | -167                                                    |
| 213                         | GENERAL ELECTRIC COMPANY      | 369604103 |              | 10/8/2008     | 8/12/2009  | 2,417             | 0                    | 3,609                                    | -1,192       |                      |                               | 0                            | -1,192                                                  |
| 214                         | GENERAL ELECTRIC COMPANY      | 369604103 |              | 9/4/1992      | 8/12/2009  | 3,555             | 0                    | 1,555                                    | 2,000        |                      |                               | 0                            | 2,000                                                   |
| 215                         | VALE SA-SP ADR                | 91912E105 |              | 5/10/2006     | 8/12/2009  | 3,644             | 0                    | 2,594                                    | 1,050        |                      |                               | 0                            | 1,050                                                   |
| 216                         | VERTEX PHARMACEUTICALS INC    | 92532F100 |              | 3/6/2009      | 8/12/2009  | 1,366             | 0                    | 1,127                                    | 239          |                      |                               | 0                            | 239                                                     |
| 217                         | VERTEX PHARMACEUTICALS INC    | 92532F100 |              | 4/30/2009     | 8/12/2009  | 683               | 0                    | 618                                      | 65           |                      |                               | 0                            | 65                                                      |
| 218                         | VERTEX PHARMACEUTICALS INC    | 92532F100 |              | 3/5/2009      | 8/12/2009  | 2,391             | 0                    | 1,958                                    | 433          |                      |                               | 0                            | 433                                                     |
| 219                         | VERTEX PHARMACEUTICALS INC    | 92532F100 |              | 3/5/2009      | 1/8/2010   | 813               | 0                    | 559                                      | 254          |                      |                               | 0                            | 254                                                     |
| 220                         | VERTEX PHARMACEUTICALS INC    | 92532F100 |              | 3/6/2009      | 1/8/2010   | 1,220             | 0                    | 837                                      | 383          |                      |                               | 0                            | 383                                                     |
| 221                         | VERTEX PHARMACEUTICALS INC    | 92532F100 |              | 3/6/2009      | 1/8/2010   | 3,250             | 0                    | 2,231                                    | 1,019        |                      |                               | 0                            | 1,019                                                   |
| 222                         | VERTEX PHARMACEUTICALS INC    | 92532F100 |              | 11/3/2008     | 1/8/2010   | 3,250             | 0                    | 2,183                                    | 1,067        |                      |                               | 0                            | 1,067                                                   |
| 223                         | VERTEX PHARMACEUTICALS INC    | 92532F100 |              | 11/3/2008     | 1/11/2010  | 408               | 0                    | 273                                      | 135          |                      |                               | 0                            | 135                                                     |
| 224                         | VERTEX PHARMACEUTICALS INC    | 92532F100 |              | 10/31/2008    | 1/11/2010  | 408               | 0                    | 259                                      | 149          |                      |                               | 0                            | 149                                                     |
| 225                         | VERTEX PHARMACEUTICALS INC    | 92532F100 |              | 3/17/2010     | 6/21/2010  | 1,468             | 0                    | 1,722                                    | -254         |                      |                               | 0                            | -254                                                    |
| 226                         | VERTEX PHARMACEUTICALS INC    | 92532F100 |              | 10/31/2008    | 6/21/2010  | 367               | 0                    | 259                                      | 108          |                      |                               | 0                            | 108                                                     |
| 227                         | VERTEX PHARMACEUTICALS INC    | 92532F100 |              | 10/30/2008    | 6/22/2010  | 3,249             | 0                    | 2,207                                    | 1,042        |                      |                               | 0                            | 1,042                                                   |
| 228                         | VERTEX PHARMACEUTICALS INC    | 92532F100 |              | 10/31/2008    | 6/22/2010  | 5,487             | 0                    | 3,941                                    | 1,546        |                      |                               | 0                            | 1,546                                                   |

## Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

|     | Kind(s) of Property Sold    | CUSIP #   | How Acquired | Date Acquired | Date Sold | Totals | Gross Sales Price | Depreciation Allowed | Cost or Other Basis Plus Expense of Sale | Gain or Loss | FMV as of 12/31/09 | Adjusted Basis as of 12/31/09 | Excess of FMV Over Adj Basis | Gains Minus Excess of FMV Over Adjusted Basis or Losses |
|-----|-----------------------------|-----------|--------------|---------------|-----------|--------|-------------------|----------------------|------------------------------------------|--------------|--------------------|-------------------------------|------------------------------|---------------------------------------------------------|
|     |                             |           |              |               |           |        |                   |                      |                                          |              |                    |                               |                              |                                                         |
|     | Long Term CG Distributions  |           |              |               |           |        |                   |                      |                                          |              |                    |                               |                              | -1,927                                                  |
|     | Short Term CG Distributions |           |              |               |           |        |                   |                      |                                          |              |                    |                               |                              | -1,927                                                  |
|     |                             |           |              |               |           |        |                   |                      |                                          |              |                    |                               |                              |                                                         |
| 229 | VERTEX PHARMACEUTICALS INC  | 92532F100 |              | 10/31/2008    | 6/22/2010 |        | 292               | 0                    | 207                                      | 85           |                    |                               | 0                            | 85                                                      |
| 230 | VISA INC-CLASS A SHRS       | 92826C839 |              | 1/27/2009     | 8/12/2009 |        | 2,743             | 0                    | 1,755                                    | 988          |                    |                               | 0                            | 988                                                     |
| 231 | WELLS FARGO & COMPANY       | 949746101 |              | 3/5/2001      | 8/12/2009 |        | 4,345             | 0                    | 3,971                                    | 374          |                    |                               | 0                            | 374                                                     |
| 232 | WYETH                       | 983024100 |              | 1/21/2009     | 7/7/2009  |        | 3,022             | 0                    | 2,598                                    | 424          |                    |                               | 0                            | 424                                                     |
| 233 | WYETH                       | 983024100 |              | 1/21/2009     | 7/7/2009  |        | 7,807             | 0                    | 6,709                                    | 1,098        |                    |                               | 0                            | 1,098                                                   |
| 234 | WYETH                       | 983024100 |              | 4/30/2009     | 7/7/2009  |        | 451               | 0                    | 426                                      | 25           |                    |                               | 0                            | 25                                                      |
| 235 | WYETH                       | 983024100 |              | 1/21/2009     | 7/7/2009  |        | 5,508             | 0                    | 4,731                                    | 777          |                    |                               | 0                            | 777                                                     |
| 236 | WYETH                       | 983024100 |              | 1/21/2009     | 7/8/2009  |        | 3,075             | 0                    | 2,637                                    | 438          |                    |                               | 0                            | 438                                                     |
| 237 | XTO ENERGY INC              | 98385X106 |              | 10/8/2008     | 8/12/2009 |        | 417               | 0                    | 368                                      | 49           |                    |                               | 0                            | 49                                                      |
| 238 | XTO ENERGY INC              | 98385X106 |              | 6/8/2004      | 8/12/2009 |        | 5,844             | 0                    | 2,168                                    | 3,676        |                    |                               | 0                            | 3,676                                                   |
| 239 | XTO ENERGY INC              | 98385X106 |              | 6/8/2004      | 6/11/2010 |        | 10,837            | 0                    | 3,866                                    | 6,971        |                    |                               | 0                            | 6,971                                                   |
| 240 | XTO ENERGY INC              | 98385X106 |              | 6/9/2004      | 6/11/2010 |        | 14,468            | 0                    | 5,142                                    | 9,326        |                    |                               | 0                            | 9,326                                                   |
| 241 | XTO ENERGY INC              | 98385X106 |              | 3/17/2010     | 6/11/2010 |        | 2,170             | 0                    | 2,389                                    | -219         |                    |                               | 0                            | -219                                                    |
| 242 | ACCENTURE LTD               | G1150G111 |              | 2/27/2008     | 8/12/2009 |        | 3,593             | 0                    | 3,671                                    | -78          |                    |                               | 0                            | -78                                                     |
| 243 | ACCENTURE PLC-CL A          | G1151C101 |              | 2/27/2008     | 1/6/2010  |        | 10,651            | 0                    | 9,177                                    | 1,474        |                    |                               | 0                            | 1,474                                                   |
| 244 | ACCENTURE PLC-CL A          | G1151C101 |              | 10/8/2008     | 1/6/2010  |        | 3,834             | 0                    | 2,833                                    | 1,001        |                    |                               | 0                            | 1,001                                                   |
| 245 | ACCENTURE PLC-CL A          | G1151C101 |              | 2/27/2008     | 1/6/2010  |        | 2,120             | 0                    | 1,835                                    | 285          |                    |                               | 0                            | 285                                                     |
| 246 | TYCO INTERNATIONAL LTD      | H89128104 |              | 8/11/2008     | 8/12/2009 |        | 3,817             | 0                    | 5,437                                    | -1,620       |                    |                               | 0                            | -1,620                                                  |
| 247 | TYCO INTERNATIONAL LTD      | H89128104 |              | 2/6/2008      | 8/12/2009 |        | 2,226             | 0                    | 2,875                                    | -649         |                    |                               | 0                            | -649                                                    |



**Part XVI-A, Lines 11a-11e (990-PF) - Other Revenue**

|    |                          | Unrelated Business Income |        | Excluded by Section 512, 513, or 514 |         |                                   |
|----|--------------------------|---------------------------|--------|--------------------------------------|---------|-----------------------------------|
|    | Program Service Revenue  | Business Code             | Amount | Exclusion Code                       | Amount  | Related or Exempt Function Income |
| 1  | FED TAX REFUND           |                           |        | 41                                   | 5,936   |                                   |
| 2  | OTHER INCOME FROM OPTIMA |                           | -238   | 41                                   | -11,397 |                                   |
| 3  |                          |                           |        |                                      |         |                                   |
| 4  |                          |                           |        |                                      |         |                                   |
| 5  |                          |                           |        |                                      |         |                                   |
| 6  |                          |                           |        |                                      |         |                                   |
| 7  |                          |                           |        |                                      |         |                                   |
| 8  |                          |                           |        |                                      |         |                                   |
| 9  |                          |                           |        |                                      |         |                                   |
| 10 |                          |                           |        |                                      |         |                                   |
| 11 |                          |                           |        |                                      |         |                                   |
| 12 |                          |                           |        |                                      |         |                                   |
| 13 |                          |                           |        |                                      |         |                                   |
| 14 |                          |                           |        |                                      |         |                                   |
| 15 |                          |                           |        |                                      |         |                                   |
| 16 |                          |                           |        |                                      |         |                                   |
| 17 |                          |                           |        |                                      |         |                                   |
| 18 |                          |                           |        |                                      |         |                                   |
| 19 |                          |                           |        |                                      |         |                                   |
| 20 |                          |                           |        |                                      |         |                                   |



**Part VI, Line 6a (990-PF) - Estimated Tax Payments**

|                                        | Date |   | Amount |
|----------------------------------------|------|---|--------|
| 1 Credit from prior year return        |      | 1 | 782    |
| 2 First quarter estimated tax payment  |      | 2 | 0      |
| 3 Second quarter estimated tax payment |      | 3 | 0      |
| 4 Third quarter estimated tax payment  |      | 4 | 0      |
| 5 Fourth quarter estimated tax payment |      | 5 | 0      |
| 6 Other payments                       |      | 6 | 0      |
| 7 Total                                |      | 7 | 782    |

**Part XV, Lines 1a-1b (990-PF) - Information Regarding Foundation Managers**

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List Managers who contributed more than 2%  
of the total contributions received by the foundation

1 NONE

2

3

4

5

6

7

8

9

10

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List Managers who own 10% or more of the stock  
of a corporation of which the foundation has a 10%  
or greater interest

NONE

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**Application for Extension of Time To File an  
Exempt Organization Return**

OMB No. 1545-1709

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
  - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

**Electronic Filing (e-file).** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*

|                                                                                           |                                                                                         |                                |
|-------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|--------------------------------|
| <b>Type or print</b><br><br>File by the due date for filing your return. See instructions | Name of Exempt Organization                                                             | Employer identification number |
|                                                                                           | FRIENDSHIP FUND INC                                                                     | 13-6089220                     |
|                                                                                           | Number, street, and room or suite no. If a P O box, see instructions                    |                                |
|                                                                                           | BNY Mellon, N A - P O BOX 185                                                           |                                |
|                                                                                           | City, town or post office, state, and ZIP code. For a foreign address, see instructions |                                |
|                                                                                           | Pittsburgh                                                                              | PA 15230-0185                  |

**Check type of return to be filed** (file a separate application for each return)

- |                                                 |                                                                   |                                    |
|-------------------------------------------------|-------------------------------------------------------------------|------------------------------------|
| <input type="checkbox"/> Form 990               | <input type="checkbox"/> Form 990-T (corporation)                 | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL            | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ            | <input type="checkbox"/> Form 990-T (trust other than above)      | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A                              | <input type="checkbox"/> Form 8870 |

- The books are in the care of ▶ BNY Mellon, N A P O BOX 185 Pittsburgh PA Pittsburgh PA 15230-0185

Telephone No ▶ (617)722-7988

FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 2/15/2011 to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ▶ ☐ calendar year \_\_\_\_\_ or
- ▶ ☒ tax year beginning 7/1/2009, and ending 6/30/2010

- 2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

|                                                                                                                                                                                                                              |    |    |     |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|----|-----|
| 3 a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions                                                                          | 3a | \$ | 112 |
| b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit                                                      | 3b | \$ | 782 |
| c <b>Balance Due.</b> Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions | 3c | \$ | 0   |

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)

(HTA)