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Form 990  Department of the Treasury Internal Revenue Service	Return of Organization Exempt From Income Tax Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)	OMB No 1545-0047 <div> <div>2009</div> <div>Open to Public Inspection</div> </div>
	The organization may have to use a copy of this return to satisfy state reporting requirements	

A For the 2009 calendar year, or tax year beginning 07-01-2009 and ending 06-30-2010				
B Check if applicable <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	Please use IRS label or print or type. See Specific Instructions.	C Name of organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK		D Employer identification number 13-5598093
		Doing Business As		E Telephone number (212) 854-4684
		Number and street (or P O box if mail is not delivered to street address) 615 WEST 131ST STREET MC 8741	Room/suite	G Gross receipts \$ 7,780,707,045
		City or town, state or country, and ZIP + 4 NEW YORK, NY 100277922		
	F Name and address of principal officer Lee C Bollinger 615 West 131st St MC 8741 New York, NY 100277922		H(a) Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
I Tax-exempt status <input checked="" type="checkbox"/> 501(c) (3) (insert no) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527		H(b) Are all affiliates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list (see instructions)		
J Website: www.columbia.edu		H(c) Group exemption number		
K Form of organization <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other		L Year of formation 1754	M State of legal domicile NY	

Part I Summary

Activities & Governance	1	Briefly describe the organization's mission or most significant activities <u>SEE STATEMENT 1</u>	
	2	Check this box <input checked="" type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets	
	3	Number of voting members of the governing body (Part VI, line 1a)	3 <u>2</u>
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4 <u>1</u>
	5	Total number of employees (Part V, line 2a)	5 <u>31,43</u>
	6	Total number of volunteers (estimate if necessary)	6 <u>5,40</u>
	7a	Total gross unrelated business revenue from Part VIII, column (C), line 12	7a <u>-8,251,67</u>
	b Net unrelated business taxable income from Form 990-T, line 34	7b <u>-14,337,08</u>	

Revenue		Prior Year	Current Year	
	8	Contributions and grants (Part VIII, line 1h)	1,229,545,343	1,233,756,486
	9	Program service revenue (Part VIII, line 2g)	1,819,946,788	1,918,020,315
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	-152,784,487	341,794,161
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	191,516,475	152,309,297
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	3,088,224,119	3,645,880,259
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	406,542,219	468,203,483
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0	0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	1,941,080,835	2,004,970,889
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	397,479	784,949
	b	Total fundraising expenses (Part IX, column (D), line 25) <u>77,403,370</u>		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f)	937,942,169	932,711,726
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	3,285,962,702	3,406,671,047
	19	Revenue less expenses Subtract line 18 from line 12	-197,738,583	239,209,212
Net Assets or Fund Balances		Beginning of Current Year	End of Year	
	20	Total assets (Part X, line 16)	10,348,518,213	11,018,079,749
	21	Total liabilities (Part X, line 26)	2,704,906,628	2,602,978,857
	22	Net assets or fund balances Subtract line 21 from line 20	7,643,611,585	8,415,100,892

Part II	Signature Block
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<div> <div>Sign Here</div> </div>	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge	
	<div>Signature of officer</div>	<div>2011-05-12</div> <div>Date</div>
	<div> <div>CHERYL A ROSS CONTROLLER</div> <div>Type or print name and title</div> </div>	

Paid Preparer's Use Only	Preparer's signature	Date	Check if self-employed	Preparer's identifying number (see instructions)
	Firm's name (or yours if self-employed), address, and ZIP + 4	PricewaterhouseCoopers LLP 300 Madison Avenue New York, NY 10017		EIN
			Phone no	(646) 471-3000

May the IRS discuss this return with the preparer shown above? (see instructions) ☐ Yes ☐ No

Part III

Statement of Program Service Accomplishments

1

Briefly describe the organization's mission

COLUMBIA UNIVERSITY IS ONE OF THE WORLD'S MOST IMPORTANT CENTERS OF RESEARCH AND AT THE SAME TIME A DISTINCTIVE AND DISTINGUISHED LEARNING ENVIRONMENT FOR UNDERGRADUATES AND GRADUATE STUDENTS IN MANY SCHOLARLY AND PROFESSIONAL FIELDS THE UNIVERSITY RECOGNIZES THE IMPORTANCE OF ITS LOCATION IN NEW YORK CITY AND SEEKS TO LINK ITS RESEARCH AND TEACHING TO THE VAST RESOURCES OF A GREAT METROPOLIS IT SEEKS TO ATTRACT A DIVERSE AND INTERNATIONAL FACULTY AND STUDENT BODY, TO SUPPORT RESEARCH AND TEACHING ON GLOBAL ISSUES, AND TO CREATE ACADEMIC RELATIONSHIPS WITH MANY COUNTRIES AND REGIONS IT EXPECTS ALL AREAS OF THE UNIVERSITY TO ADVANCE KNOWLEDGE AND LEARNING AT THE HIGHEST LEVEL AND TO CONVEY THE PRODUCTS OF ITS EFFORTS TO THE WORLD

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4

Describe the exempt purpose achievements for each of the organization's three largest program services by expenses Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a

(Code) (Expenses \$ 1,165,453,597 including grants of \$ 331,899,345) (Revenue \$ 930,617,000)

COLUMBIA UNIVERSITY IS A PRIVATE, NONSECTARIAN, NONPROFIT INSTITUTION OF HIGHER EDUCATION WHOSE ACTIVITIES ARE CONCENTRATED AT TWO LOCATIONS IN NEW YORK CITY BUT EXTEND ACROSS THE GLOBE THE UNIVERSITY PROVIDES INSTRUCTION THROUGH SIXTEEN UNDERGRADUATE, GRADUATE, AND PROFESSIONAL SCHOOLS IT OPERATES A VARIETY OF RESEARCH INSTITUTES AND A LIBRARY SYSTEM TO SUPPORT ITS TEACHING AND LEARNING, BASIC AND APPLIED RESEARCH, PATIENT CARE, AND PUBLIC SERVICE ACTIVITIES THE UNIVERSITY ENROLLS APPROXIMATELY 26,400 FULL-TIME AND PART-TIME STUDENTS AND EMPLOYS APPROXIMATELY 30,000 FULL-TIME AND PART-TIME EMPLOYEES, INCLUDING 5,396 FULL-TIME FACULTY MEMBERS

4b

(Code) (Expenses \$ 677,594,563 including grants of \$ 5,063,515) (Revenue \$ 762,483,633)

THE UNIVERSITY, THROUGH THE COLUMBIA UNIVERSITY MEDICAL CENTER AND ITS MEDICAL FACULTY PRACTICE PLAN, PROVIDES DIRECT PATIENT CARE AND OTHER CLINICAL AND EDUCATIONAL SERVICES TO HOSPITALS AND OTHER HEALTH CARE INSTITUTIONS THROUGH CONTRACTUAL AGREEMENTS FOR SERVICES THE CLINICAL FACULTY HANDLED APPROXIMATELY 1 7 MILLION OUTPATIENT AND EMERGENCY ROOM VISITS AND PARTICIPATED IN INSTRUCTION AND SUPERVISION OF 600 UNIVERSITY MEDICAL STUDENTS AND 900 RESIDENTS AND FELLOWS AT NEW YORK PRESBYTERIAN HOSPITAL AND OTHER AFFILIATED HOSPITALS

4c

(Code) (Expenses \$ 483,870,000 including grants of \$ 121,404,107) (Revenue \$ 105,496,427)

THE UNIVERSITY PERFORMS RESEARCH, TRAINING, AND OTHER SERVICES UNDER GRANTS AND CONTRACTS WITH AGENCIES OF THE FEDERAL GOVERNMENT AND OTHER SPONSORING ORGANIZATIONS

4d

Other program services (Describe in Schedule O) **See also Additional Data for Description**

(Expenses \$ 617,707,408 including grants of \$ 9,836,516) (Revenue \$ 119,423,255)



















4e

Total program service expenses \$ 2,944,625,568

Form 990 (2009)

Part IV

Checklist of Required Schedules

		Yes	No	
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 	1	Yes	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2		No
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I 	3		No
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II 	4	Yes	
5	Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations. Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? If "Yes," complete Schedule C, Part III	5		
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I 	6	Yes	
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," complete Schedule D, Part II 	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 	8	Yes	
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 	9		No
10	Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? If "Yes," complete Schedule D, Part V 	10	Yes	
11	Is the organization's answer to any of the following questions "Yes"? If so, complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable. 	11	Yes	
	• Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI.			
	• Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII.			
	• Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII.			
	• Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX.			
	• Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X.			
	• Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? If "Yes," complete Schedule D, Part X.			
12	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII 	12		No
12A	Was the organization included in consolidated, independent audited financial statements for the tax year? 	Yes	No	
	If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional	12A	Yes	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E 	13	Yes	
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a	Yes	
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? If "Yes," complete Schedule F, Part I 	14b	Yes	
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the U S? If "Yes," complete Schedule F, Part II 	15	Yes	
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the U S? If "Yes," complete Schedule F, Part III 	16	Yes	
17	Did the organization report a total of more than \$15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I 	17	Yes	
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II 	18	Yes	
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III 	19		No
20	Did the organization operate one or more hospitals? If "Yes," complete Schedule H	20		No

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21	Yes	
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer questions 24b-24d and complete Schedule K. If "No," go to line 25</i>	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		No
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		No
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i>	27		No
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b	Yes	
c	An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c	Yes	
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33	Yes	
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i>	34	Yes	
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35	Yes	
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36	Yes	
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? Note. All Form 990 filers are required to complete Schedule O	38	Yes	

Part V

Statements Regarding Other IRS Filings and Tax Compliance

			Yes	No
1a	Enter the number reported in Box 3 of Form 1096, <i>Annual Summary and Transmittal of U.S. Information Returns</i> . Enter -0- if not applicable	1a35,154	1c	Yes
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b0		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			
2a	Enter the number of employees reported on Form W-3, <i>Transmittal of Wage and Tax Statements</i> filed for the calendar year ending with or within the year covered by this return	2a31,437	2b	Yes
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return (see instructions)			
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?		3a	Yes
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O		3b	Yes
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		4a	Yes
b	If "Yes," enter the name of the foreign country: See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		5a	No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		5b	No
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?		5c	
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?		6a	No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		6b	
7	Organizations that may receive deductible contributions under section 170(c).			
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?		7a	Yes
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?		7b	Yes
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		7c	No
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d		
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		7e	No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		7f	No
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?		7g	
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?		7h	
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?		8	No
9	Sponsoring organizations maintaining donor advised funds.			
a	Did the organization make any taxable distributions under section 4966?		9a	No
b	Did the organization make a distribution to a donor, donor advisor, or related person?		9b	No
10	Section 501(c)(7) organizations. Enter			
a	Initiation fees and capital contributions included on Part VIII, line 12	10a		
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b		
11	Section 501(c)(12) organizations. Enter			
a	Gross income from members or shareholders	11a		
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b		
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?		12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b		

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Section A. Governing Body and Management

			Yes	No
1a	Enter the number of voting members of the governing body . . .	1a	23	
b	Enter the number of voting members that are independent . . .	1b	18	
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	Yes	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? . . .	3		No
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?	4		No
5	Did the organization become aware during the year of a material diversion of the organization's assets? . . .	5		No
6	Does the organization have members or stockholders?	6		No
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	7a		No
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons? . . .	7b		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following			
a	The governing body?	8a	Yes	
b	Each committee with authority to act on behalf of the governing body?	8b	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9		No

Section B. Policies

(This Section B requests information about policies not required by the Internal Revenue Code.)

			Yes	No
10a	Does the organization have local chapters, branches, or affiliates?	10a		No
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b		
11	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11	Yes	
11A	Describe in Schedule O the process, if any, used by the organization to review the Form 990			
12a	Does the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	12c	Yes	
13	Does the organization have a written whistleblower policy?	13	Yes	
14	Does the organization have a written document retention and destruction policy?	14		No
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	Yes	
b	Other officers or key employees of the organization	15b	Yes	
	If "Yes" to line a or b, describe the process in Schedule O (See instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		No
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed CA
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c) (3)s only) available for public inspection. Indicate how you make these available. Check all that apply. <input type="checkbox"/> Own website <input type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request
19	Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public. See Additional Data Table.
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization. RENOTTA YOUNG DEP CONTROLLER 615 W 131ST MC 8749 New York, NY 10027 (212) 854-4684

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

☐ Check this box if the organization did not compensate any current or former officer, director, trustee or key employee

Form **990** (2009)

1b	Total	23,741,202	0	4,186,616
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2

Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization

3,870

		Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3	Yes
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	4	Yes
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>	5	No

Section B. Independent Contractors

1

Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization

(A) Name and business address	(B) Description of services	(C) Compensation
GILBANE IDEAL JOINT VENTURE 2 RECTOR STREET 24TH FLOOR NEW YORK, NY 10006	Construction	23,523,687
FJ SCIAME CONSTRUCTION COMPANY INC 14 WALL STREET 2ND FLOOR NEW YORK, NY 10005	CONSTRUCTION	20,456,316
STRUCTURE TONE 770 BROADWAY NEW YORK, NY 10003	CONSTRUCTION	17,835,241
Hellman Construction Company Inc 79 WATERMILL LANE GREAT NECK, NY 11021	CONSTRUCTION	11,651,655
JA JENNINGS INC 60 E 42ND STREET NEW YORK, NY 10165	CONSTRUCTION	9,479,619

2

Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization

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Part VIII

Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514	
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns . . .	1a					
	b	Membership dues	1b					
	c	Fundraising events	1c	4,269,222				
	d	Related organizations	1d	12,729,000				
	e	Government grants (contributions)	1e	818,996,000				
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	397,762,264				
	g	Noncash contributions included in lines 1a-1f \$ 38,957,486						
	h	Total. Add lines 1a-1f		1,233,756,486				
Program Service Revenue			Business Code					
	2a	TUITION & FEES	611,600	930,617,000	930,617,000			
	b	OTHER EDUCATION & RESEARCH	621,110	105,496,427	105,496,427			
	c	PATIENT CARE REVENUE	541,700	762,483,633	762,483,633			
	d	AUXILIARY ENTERPRISES	900,099	109,392,000	102,861,034	6,530,966		
	e	OTHER SOURCES	900,099	8,140,000	8,140,000			
	f	All other program service revenue		1,891,255	1,891,255			
	g	Total. Add lines 2a-2f		1,918,020,315				
Other Revenue	3	Investment income (including dividends, interest and other similar amounts)		9,880,799			9,880,799	
	4	Income from investment of tax-exempt bond proceeds . . .		0				
	5	Royalties		76,207,315			76,207,315	
	6a	Gross Rents	(i) Real	(ii) Personal				
			139,590,761					
			123,630,219					
			15,960,542					
	d	Net rental income or (loss)		15,960,542		12,139	15,948,403	
	7a	Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other				
			4,341,937,388					
			4,010,024,026					
			331,913,362					
	d	Net gain or (loss)		331,913,362			331,913,362	
	8a	Gross income from fundraising events (not including \$ 4,269,222 of contributions reported on line 1c) See Part IV, line 18	a	869,514				
	b	Less direct expenses	b	1,172,541				
	c	Net income or (loss) from fundraising events . . .		-303,027	-303,027			
	9a	Gross income from gaming activities See Part IV, line 19	a					
	b	Less direct expenses	b					
	c	Net income or (loss) from gaming activities . . .		0				
	10a	Gross sales of inventory, less returns and allowances	a					
b	Less cost of goods sold	b						
c	Net income or (loss) from sales of inventory . . .		0					
Miscellaneous Revenue		Business Code						
11a	PARTNERSHIP INCOME	900,099	60,444,467		-14,794,783	75,239,250		
b								
c								
d	All other revenue							
e	Total. Add lines 11a-11d		60,444,467					
12	Total revenue. See Instructions		3,645,880,259	1,911,186,322	-8,251,678	509,189,129		

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the U S See Part IV, line 21	90,702,464	90,702,464		
2	Grants and other assistance to individuals in the U S See Part IV, line 22	331,677,345	331,677,345		
3	Grants and other assistance to governments, organizations, and individuals outside the U S See Part IV, lines 15 and 16	45,823,674	45,823,674		
4	Benefits paid to or for members	0	0		
5	Compensation of current officers, directors, trustees, and key employees	14,781,009	0	14,781,009	0
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	604,514	300,851	303,663	0
7	Other salaries and wages	1,585,013,686	1,382,325,366	163,425,011	39,263,309
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	94,902,824	79,306,307	12,645,119	2,951,398
9	Other employee benefits	223,541,529	178,709,134	30,585,161	14,247,234
10	Payroll taxes	86,127,327	71,709,699	11,749,576	2,668,052
11	Fees for services (non-employees)				
a	Management	0			
b	Legal	23,195,785	10,877,318	12,244,552	73,915
c	Accounting	1,923,211	0	1,923,211	0
d	Lobbying	794,059	0	794,059	0
e	Professional fundraising See Part IV, line 17	784,949			784,949
f	Investment management fees	25,024,362	0	25,024,362	0
g	Other	38,699,771	22,794,041	14,152,818	1,752,912
12	Advertising and promotion	2,997,330	666,245	1,277,157	1,053,928
13	Office expenses	236,299,650	185,243,835	44,072,140	6,983,675
14	Information technology	18,046,150	11,490,207	5,965,179	590,764
15	Royalties	0			
16	Occupancy	137,893,911	95,954,135	41,687,096	252,680
17	Travel	48,085,316	45,805,362	984,356	1,295,598
18	Payments of travel or entertainment expenses for any federal, state, or local public officials	0			
19	Conferences, conventions, and meetings	17,542,135	11,857,387	1,262,282	4,422,466
20	Interest	54,790,000	54,790,000	0	0
21	Payments to affiliates	0			
22	Depreciation, depletion, and amortization	165,794,000	165,794,000	0	0
23	Insurance	32,198,564	32,198,564	0	0
24	Other expenses Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below)				
a	LIBRARY	24,133,945	24,133,945	0	0
b	CONTINUING EDUCATION	11,660,409	11,428,700	231,709	0
c	DUES & SUBSCRIPTIONS	7,531,392	6,622,400	638,853	270,139
d	BAD DEBT	1,876,649	1,876,649	0	0
e	UNRELATED BUS INCOME TAX EXP	268,309	0	268,309	0
f	All other expenses	83,956,778	82,537,940	626,487	792,351
25	Total functional expenses. Add lines 1 through 24f	3,406,671,047	2,944,625,568	384,642,109	77,403,370
26	Joint costs. Check here <input type="checkbox"/> if following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X

Balance Sheet

					(A)		(B)
					Beginning of year		End of year
Assets	1	Cash—non-interest-bearing				1	
	2	Savings and temporary cash investments			535,206,457	2	710,398,453
	3	Pledges and grants receivable, net			392,467,000	3	396,746,000
	4	Accounts receivable, net			231,952,657	4	232,278,242
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L				5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Complete Part II of Schedule L				6	
	7	Notes and loans receivable, net			112,155,402	7	111,273,660
	8	Inventories for sale or use			1,752,182	8	1,456,273
	9	Prepaid expenses and deferred charges			49,522,835	9	38,162,048
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a	5,171,226,745			
	b	Less accumulated depreciation	10b	1,863,062,745	3,176,418,000	10c	3,308,164,000
	11	Investments—publicly traded securities			983,288,869	11	2,201,771,588
	12	Investments—other securities See Part IV, line 11			4,476,893,436	12	3,795,293,412
	13	Investments—program-related See Part IV, line 11				13	
	14	Intangible assets				14	
	15	Other assets See Part IV, line 11			388,861,375	15	222,536,073
	16	Total assets. Add lines 1 through 15 (must equal line 34)			10,348,518,213	16	11,018,079,749
Liabilities	17	Accounts payable and accrued expenses			493,507,662	17	464,262,857
	18	Grants payable				18	
	19	Deferred revenue			226,152,966	19	188,383,000
	20	Tax-exempt bond liabilities			1,247,434,000	20	1,202,304,000
	21	Escrow or custodial account liability Complete Part IV of Schedule D				21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L				22	
	23	Secured mortgages and notes payable to unrelated third parties			3,262,000	23	0
	24	Unsecured notes and loans payable to unrelated third parties			145,711,000	24	130,829,000
	25	Other liabilities Complete Part X of Schedule D			588,839,000	25	617,200,000
	26	Total liabilities. Add lines 17 through 25			2,704,906,628	26	2,602,978,857
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.						
	27	Unrestricted net assets			5,045,186,188	27	5,692,654,495
	28	Temporarily restricted net assets			711,372,000	28	730,964,000
	29	Permanently restricted net assets			1,887,053,397	29	1,991,482,397
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34.						
	30	Capital stock or trust principal, or current funds				30	
	31	Paid-in or capital surplus, or land, building or equipment fund				31	
	32	Retained earnings, endowment, accumulated income, or other funds				32	
	33	Total net assets or fund balances			7,643,611,585	33	8,415,100,892
	34	Total liabilities and net assets/fund balances			10,348,518,213	34	11,018,079,749

Part XI **Financial Statements and Reporting**

		Yes	No
1	Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? . . .	2a	No
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes
c	If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	2c	Yes
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separated basis		
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a	Yes
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits . . .	3b	Yes

SCHEDULE A

(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public
Inspection

Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	Employer identification number 13-5598093
--	--

Part I

Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

- 1

☐

A church, convention of churches, or association of churches **section 170(b)(1)(A)(i).**
- 2

☒

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)
- 3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state
- 5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)
- 6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7

☐

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 9

☐

An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)
- 10

☐

An organization organized and operated exclusively to test for public safety See**section 509(a)(4).**
- 11

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a

☐

Type I

b

☐

Type II

c

☐

Type III - Functionally integrated

d

☐

Type III - Other

e

☐

By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f

☐

If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box

g

☐

Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

(i)

a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization?

(ii)

a family member of a person described in (i) above?

(iii)

a 35% controlled entity of a person described in (i) or (ii) above?

h

☐

Provide the following information about the supported organization(s)

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of support?
			Yes	No	Yes	No	Yes	No	
Total									

Part II

Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public Support. Subtract line 5 from line 4						

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income (Explain in Part IV) Do not include gain or loss from the sale of capital assets						
11 Total support (Add lines 7 through 10)						
12 Gross receipts from related activities, etc (See instructions)					12	
13 First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here						

Section C. Computation of Public Support Percentage

14 Public Support Percentage for 2009 (line 6 column (f) divided by line 11 column (f))	14	
15 Public Support Percentage for 2008 Schedule A, Part II, line 14	15	
16a 33 1/3% support test—2009. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		
b 33 1/3% support test—2008. If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		
17a 10%-facts-and-circumstances test—2009. If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization		
b 10%-facts-and-circumstances test—2008. If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization		
18 Private Foundation If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions		

Part IIISupport Schedule for Organizations Described in IRC 509(a)(2)
(Complete only if you checked the box on line 9 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3Gross receipts from activities that are not an unrelated trade or business under section 513						
4Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5The value of services or facilities furnished by a governmental unit to the organization without charge						
6Total. Add lines 1 through 5						
7aAmounts included on lines 1, 2, and 3 received from disqualified persons						
bAmounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
cAdd lines 7a and 7b						
8Public Support (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
9Amounts from line 6						
10aGross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
bUnrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
cAdd lines 10a and 10b						
11Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13Total support (Add lines 9, 10c, 11 and 12.)						

14First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here

☐

Section C. Computation of Public Support Percentage

15Public Support Percentage for 2009 (line 8 column (f) divided by line 13 column (f))	15	
16Public support percentage from 2008 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17Investment income percentage for 2009 (line 10c column (f) divided by line 13 column (f))	17	
18Investment income percentage from 2008 Schedule A, Part III, line 17	18	

19a33 1/3% support tests—2009. If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

☐

b33 1/3% support tests—2008. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

☐

20Private Foundation If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions

☐

Part IV

Supplemental Information. Supplemental Information. Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Provide any other additional information. See instructions

Additional Data

Software ID:

Software Version:

EIN: 13-5598093

Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

4d. Other program services					
(Code)	(Expenses \$	60,307,000	including grants of \$	0)	(Revenue \$ 0)
LIBRARY					
(Code)	(Expenses \$	187,857,000	including grants of \$	0)	(Revenue \$ 0)
CAMPUS OPERATIONS					
(Code)	(Expenses \$	203,575,000	including grants of \$	0)	(Revenue \$ 0)
INSTITUTIONAL SUPPORT					
(Code)	(Expenses \$	91,087,000	including grants of \$	0)	(Revenue \$ 109,392,000)
AUXILIARY ENTERPRISES					
(Code)	(Expenses \$	74,881,408	including grants of \$	9,836,516)	(Revenue \$ 10,031,255)
OTHER SOURCES					

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Lee C Bollinger President	60 0	X		X				906,860	0	620,357
Armen A Avanesians Trustee	3 0	X						0	0	0
Alaila Bundles Trustee	3 0	X						0	0	0
Jose A Cabranes Trustee	3 0	X						0	0	0
William V Campbell Trustee	3 0	X						0	0	0
Stephen H Case Trustee	3 0	X						0	0	0
Ann F Kaplan Trustee	3 0	X						0	0	0
Mark E Kingdon Trustee	3 0	X						0	0	0
Marilyn Laurie Trustee (Term ended 9/8/09)	3 0	X						0	0	0
Gerry Lenfest Trustee	3 0	X						0	0	0
Paul J Maddon Trustee	3 0	X						0	0	0
Philip L Milstein Trustee	3 0	X						0	0	0
Vikram Pandit Trustee	3 0	X						0	0	0
Michael B Rothfeld Trustee	3 0	X						0	0	0
Jonathan D Schiller Trustee	3 0	X						0	0	0
Joan Edelman Spero Trustee	3 0	X						0	0	0
Esta E Stecher Trustee	3 0	X						0	0	0
Kyriakos Y Tsakopoulos Trustee	3 0	X						0	0	0
Savio W Tung Trustee	3 0	X						0	0	0
Faye Wattleton Trustee	3 0	X						0	0	0
Richard E Witten Trustee	3 0	X						0	0	0
Dr Clyde YC Wu Trustee (Term ended 9/8/09)	3 0	X						0	0	0
kenneth a forde md trustee	3 0	X						789,000	0	0
Noam Gottesman Trustee (Term began 9/8/09)	3 0	X						0	0	0
James Harden Trustee (Term began 9/8/09)	3 0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Jane E Booth General Counsel	60 0			X				444,678	0	32,113
Alan Brinkley Provost (Through 8/31/09)	60 0			X				485,158	0	67,463
Jerome Davis secretary	60 0			X				230,840	0	35,604
Robert Kasdin Senior Executive VP	60 0			X				637,815	0	51,585
Claude M Steele provost (as of 9/1/09)	60 0			X				241,877	0	30,457
anne r sullivan executive vp for finance	60 0			X				396,588	0	25,076
nicholas b dirks Exec VP - arts & sciences	60 0				X			411,304	0	53,373
susan k feagin exec vp - univ dev & alum rel	60 0				X			498,512	0	31,945
Lee Goldman MD Exec VP for Health Sciences	60 0				X			1,325,170	0	36,973
Peter Holland CIO OF INVESTMENT MGMT	60 0				X			1,970,028	0	1,324,022
Joseph A Ienuso Exec VP of Facilities	60 0				X			399,972	0	75,279
N P Narvekar CEO OF INVESTMENT MGMT	60 0				X			1,980,746	0	1,467,207
david n silvers md clinical professor	60 0					X		4,901,899	0	37,388
jeffrey w mores md professor of medicine	60 0					X		2,942,812	0	76,849
craig r smith md professor of surgery	60 0					X		1,889,324	0	110,154
martin b leon md PROFESSOR OF medicine	60 0					X		1,487,812	0	64,170
JAN MODEST QUAEGEBEUR MD professor of surgery	60 0					X		1,497,144	0	46,601
George E Rupp former officer	0 0						X	303,663	0	0

Form 990, Part VIII - Statement of Revenue - 2a - 2g Program Service Revenue -

	Business Code	(A) Total Revenue	(B) Related or Exempt Function Revenue	(C) Unrelated Business Revenue	(D) Revenue Excluded from Tax under IRC 512, 513, or 514
TUITION & FEES	611,600	930,617,000	930,617,000		
OTHER EDUCATION & RESEARCH	621,110	105,496,427	105,496,427		
PATIENT CARE REVENUE	541,700	762,483,633	762,483,633		
AUXILIARY ENTERPRISES	900,099	109,392,000	102,861,034	6,530,966	
OTHER SOURCES	900,099	8,140,000	8,140,000		

Form 990, Part IX - Statement of Functional Expenses - 24a - 24e Other Expenses

<i>Do not include amounts reported on line 6b, 8b, 9b, and 10b of Part VIII.</i>	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
LIBRARY	24,133,945	24,133,945	0	0
CONTINUING EDUCATION	11,660,409	11,428,700	231,709	0
DUES & SUBSCRIPTIONS	7,531,392	6,622,400	638,853	270,139
BAD DEBT	1,876,649	1,876,649	0	0
UNRELATED BUS INCOME TAX EXP	268,309	0	268,309	0

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ **Complete if the organization is described below.**
▶ **Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.**

OMB No 1545-0047

2009

Open to Public
Inspection

If the organization answered “Yes,” to Form 990, Part IV, Line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered “Yes,” to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered “Yes,” to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, line 35a (regarding proxy tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	Employer identification number 13-5598093
--	--

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1

Provide a description of the organization's direct and indirect political campaign activities in Part IV
- 2

Political expenditures

▶ \$
- 3

Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1

Enter the amount of any excise tax incurred by the organization under section 4955

▶ \$
- 2

Enter the amount of any excise tax incurred by organization managers under section 4955

▶ \$
- 3

If the organization incurred a section 4955 tax, did it file Form 4720 for this year?

☐ Yes

☐ No
- 4a

Was a correction made?

☐ Yes

☐ No
- b

If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c) except section 501(c)(3).

- 1

Enter the amount directly expended by the filing organization for section 527 exempt function activities

▶ \$
- 2

Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt funtion activities

▶ \$
- 3

Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b

▶ \$
- 4

Did the filing organization file **Form 1120-POL** for this year?

☐ Yes

☐ No
- 5

State the names, addresses and employer identification number (EIN) of all section 527 political organizations to which payments were made For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A

Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A

Check

☐

if the filing organization belongs to an affiliated group

B

Check

☐

if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing Organization's Totals	(b) Affiliated Group Totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)															
b Total lobbying expenditures to influence a legislative body (direct lobbying)															
c Total lobbying expenditures (add lines 1a and 1b)															
d Other exempt purpose expenditures															
e Total exempt purpose expenditures (add lines 1c and 1d)															
f Lobbying nontaxable amount Enter the amount from the following table in both columns															
<table><tr><td>If the amount on line 1e, column (a) or (b) is:</td><td>The lobbying nontaxable amount is:</td></tr><tr><td>Not over \$500,000</td><td>20% of the amount on line 1e</td></tr><tr><td>Over \$500,000 but not over \$1,000,000</td><td>\$100,000 plus 15% of the excess over \$500,000</td></tr><tr><td>Over \$1,000,000 but not over \$1,500,000</td><td>\$175,000 plus 10% of the excess over \$1,000,000</td></tr><tr><td>Over \$1,500,000 but not over \$17,000,000</td><td>\$225,000 plus 5% of the excess over \$1,500,000</td></tr><tr><td>Over \$17,000,000</td><td>\$1,000,000</td></tr></table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
g Grassroots nontaxable amount (enter 25% of line 1f)															
h Subtract line 1g from line 1a If zero or less, enter -0-															
i Subtract line 1f from line 1c If zero or less, enter -0-															
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		<input type="checkbox"/> Yes	<input type="checkbox"/> No												

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) Total
2a Lobbying non-taxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots non-taxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B

Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
	a Volunteers?		No	
	b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	Yes		
	c Media advertisements?		No	
	d Mailings to members, legislators, or the public?		No	
	e Publications, or published or broadcast statements?		No	
	f Grants to other organizations for lobbying purposes?	Yes		5,860
	g Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes		165,899
	h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		No	
	i Other activities? If "Yes," describe in Part IV	Yes		788,199
	j Total lines 1c through 1i			959,958
	2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No	
b If "Yes," enter the amount of any tax incurred under section 4912				
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912				
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?				

Part III-A

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2		
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3		

Part III-B

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes".

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) non-deductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
		2a	
		2b	
		2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV

Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i. Also, complete this part for any additional information.

Identifier	Return Reference	Explanation
SUPPLEMENTAL INFORMATION	SCHEDULE C	LINE 1A - VOLUNTEERS N/A LINE 1B - PAID STAFF OR MANAGEMENT THE UNIVERSITY HAS OFFICES OF GOVERNMENT AND COMMUNITY AFFAIRS AT BOTH ITS MORNINGSIDE AND MEDICAL CENTER CAMPUSES WHOSE FUNCTION IS TO KEEP THE UNIVERSITY COMMUNITY INFORMED ABOUT NATIONAL, STATE AND LOCAL LEGISLATION AND POLICY THAT HAS AN IMPACT ON THE UNIVERSITY AND, WHEN APPROPRIATE, TO ADVOCATE FOR THE UNIVERSITY'S INTERESTS WITH RESPECT TO THIS POLICY EMPLOYEES REGULARLY INVOLVED IN THESE ACTIVITIES INCLUDE EXECUTIVE VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS ASSISTANT VICE PRESIDENT FOR GOVERNMENT RELATIONS DIRECTOR OF GOVERNMENT RELATIONS AND POLICY COORDINATION PROJECT COORDINATOR FOR STATE AND FEDERAL RELATIONS DEPUTY VICE PRESIDENT AND ASSOCIATE DEAN FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER ASSISTANT VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER LINE 1C - MEDIA ADVERTISEMENTS NONE LINE 1D - MAILINGS TO MEMBERS, LEGISLATORS OR THE PUBLIC NONE LINE 1E - PUBLICATIONS, OR PUBLISHED OR BROADCAST STATEMENTS NONE LINE 1F - GRANTS TO OTHER ORGANIZATIONS FOR LOBBYING PURPOSES THE UNIVERSITY BELONGS TO CERTAIN HIGHER EDUCATION TRADE ASSOCIATIONS A SMALL PORTION OF THE MEMBERSHIP FEES PAID TO SOME OF THOSE ORGANIZATIONS GOES TO SUPPORT LOBBYING-RELATED ACTIVITIES THESE FEES ARE REGULARLY REPORTED ON THE UNIVERSITY'S LOBBYING DISCLOSURE FILINGS LINE 1G - DIRECT CONTACT WITH LEGISLATORS, THEIR STAFFS, GOVERNMENT OFFICIALS, OR A LEGISLATIVE BODY THE PRESIDENT, THE SENIOR EXECUTIVE VICE PRESIDENT, THE EXECUTIVE VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, THE ASSISTANT VICE PRESIDENT FOR GOVERNMENT RELATIONS, THE DIRECTOR OF GOVERNMENT RELATIONS, THE ASSISTANT VICE PRESIDENT FOR PLANNING AND PROJECT COORDINATION AND THE PROJECT COORDINATOR FOR STATE AND FEDERAL RELATIONS CONTACTED FEDERAL, STATE AND LOCAL LEGISLATORS AND THEIR POLICY STAFFS ON HIGHER EDUCATION ISSUES THE EXECUTIVE VICE PRESIDENT FOR HEALTH SCIENCE, THE DEPUTY VICE PRESIDENT AND ASSOCIATE DEAN FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, AND THE ASSISTANT VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, CONTACTED FEDERAL, STATE AND LOCAL LEGISLATORS AND THEIR POLICY STAFFS ON HIGHER EDUCATION ISSUES, HEALTH SCIENCES ISSUES AND OTHER ISSUES AFFECTING ACADEMIC MEDICAL CENTERS THE AMOUNTS SHOWN ON LINE 1G REFLECT PAID STAFF AND MANAGEMENT ACTIVITIES LINE 1H - RALLIES, DEMONSTRATIONS, SEMINARS, CONVENTIONS, SPEECHES, LECTURES OR ANY OTHER MEANS N/A LINE 1I - OTHER ACTIVITIES DURING THE REPORTING PERIOD, THE UNIVERSITY RETAINED OUTSIDE FIRMS FOR ADVOCACY AT THE FEDERAL, STATE AND LOCAL LEVELS THE AMOUNTS SHOWN ON LINE 1I INCLUDE AMOUNTS PAID TO SUCH OUTSIDE FIRMS FOR ALL ACTIVITIES CONDUCTED BY SUCH FIRMS, INCLUDING CERTAIN OF THE ACTIVITIES DESCRIBED ABOVE AND GENERAL ADVISORY SERVICES TO THE UNIVERSITY IN CONNECTION WITH ITS LOBBYING ACTIVITIES

SCHEDULE D

(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ **Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.**
▶ **Attach to Form 990. ▶ See separate instructions.**

OMB No 1545-0047

2009

Open to Public
Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number

13-5598093

Part I

Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	5
2	Aggregate contributions to (during year)	0
3	Aggregate grants from (during year)	1,705,000
4	Aggregate value at end of year	13,250,965
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? <div><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</div>	
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? <div><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</div>	

Part II

Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or pleasure)

☐ Preservation of an historically importantly land area

☐ Protection of natural habitat

☐ Preservation of a certified historic structure

☐ Preservation of open space

2

Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
a	Total number of conservation easements
b	Total acreage restricted by conservation easements
c	Number of conservation easements on a certified historic structure included in (a)
d	Number of conservation easements included in (c) acquired after 8/17/06

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ▶ _____

4

Number of states where property subject to conservation easement is located ▶ _____

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes ☐ No

6

Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ▶ _____

7

Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)?

☐ Yes ☐ No

9

In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b

If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1

▶ \$ _____

(ii) Assets included in Form 990, Part X

▶ \$ _____

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a

Revenues included in Form 990, Part VIII, line 1

▶ \$ _____

b

Assets included in Form 990, Part X

▶ \$ _____

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☒

Public exhibition

b

☒

Scholarly research

c

☒

Preservation for future generations

d

☒

Loan or exchange programs

e

☐

Other

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☒ No

Part IV

Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIV and complete the following table

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

	Amount
1c	
1d	
1e	
1f	

2a

Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIV

Part V

Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current Year	(b)Prior Year	(c)Two Years Back	(d)Three Years Back	(e)Four Years Back
1a Beginning of year balance	5,892,798,000	7,345,226,000			
b Contributions	159,335,000	159,922,000			
c Investment earnings or losses	946,146,321	-1,138,085,412			
d Grants or scholarships	72,771,000	81,367,000			
e Other expenditures for facilities and programs	387,564,000	365,444,000			
f Administrative expenses	21,432,321	27,453,588			
g End of year balance	6,516,512,000	5,892,798,000			

2

Provide the estimated percentage of the year end balance held as

a

Board designated or quasi-endowment ▶ 41.000 %

b

Permanent endowment ▶ 59.000 %

c

Term endowment ▶ 0 %

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i)

unrelated organizations

3a(i)

Yes

No

(ii)

related organizations

3a(ii)

No

b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

3b

4

Describe in Part XIV the intended uses of the organization's endowment funds

Part VI

Investments—Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b)Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land	0	317,293,000		317,293,000
b Buildings	981,239,745	3,566,917,000	1,690,812,745	2,857,344,000
c Leasehold improvements				
d Equipment	0	305,777,000	172,250,000	133,527,000
e Other			0	
Total. Add lines 1a-1e (Column (d) should equal Form 990, Part X, column (B), line 10(c).)				3,308,164,000

Part XI

Reconciliation of Change in Net Assets from Form 990 to Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	
4	Net unrealized gains (losses) on investments	4	
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	
9	Total adjustments (net) Add lines 4 - 8	9	
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	

Part XII

Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements	1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
a	Net unrealized gains on investments	2a	
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIV)	2d	
e	Add lines 2a through 2d	2e	
3	Subtract line 2e from line 1	3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	
c	Add lines 4a and 4b	4c	
5	Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12)	5	

Part XIII

Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements	1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIV)	2d	
e	Add lines 2a through 2d	2e	
3	Subtract line 2e from line 1	3	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	
c	Add lines 4a and 4b	4c	
5	Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18)	5	

Part XIV

Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

Identifier	Return Reference	Explanation
DETAIL OF UNIVERSITY COLLECTIONS/TEXT OF AUDIT FOOTNOTE	SCHEDULE D, PART III, LINES 1A & 4	COLLECTIONS AT THE UNIVERSITY INCLUDE WORKS OF ART, LITERARY WORKS, HISTORICAL TREASURES, AND ARTIFACTS THAT ARE MAINTAINED IN THE UNIVERSITY'S GALLERIES, LIBRARIES, AND BUILDINGS. THESE COLLECTIONS ARE PROTECTED AND PRESERVED FOR PUBLIC EXHIBITION, EDUCATION, RESEARCH, AND THE FURTHERANCE OF PUBLIC SERVICE AND, THEREFORE, ARE NOT RECOGNIZED AS ASSETS ON THE CONSOLIDATED BALANCE SHEET. COSTS ASSOCIATED WITH PURCHASING ADDITIONS AND MAINTAINING THESE COLLECTIONS ARE RECORDED AS OPERATING EXPENSES IN THE PERIOD IN WHICH THE ITEMS ARE ACQUIRED.
INTENDED USE OF ENDOWMENT FUNDS	SCHEDULE D, PART V, LINE 4	COLUMBIA UNIVERSITY'S ENDOWMENT FUNDS SUPPORT A RANGE OF PURPOSES IN ACCORDANCE WITH THE UNIVERSITY'S MISSION, INCLUDING FINANCIAL AID, FACULTY SALARIES AND SUPPORT, RESEARCH, CAPITAL PROJECTS, GENERAL SUPPORT OF THE UNIVERSITY, SCHOOLS, DEPARTMENTS, INSTITUTES AND CENTERS.
PART X, LINE 2 (FIN 48)		THE UNIVERSITY BELIEVES THAT ITS TAX POSITIONS AS THEY RELATE TO THE IMPACT ON THE FINANCIAL STATEMENTS OF FIN 48 ARE HIGHLY CERTAIN.

SCHEDULE E

(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Schools

►Complete if the organization answered "Yes" to Form 990, Part IV, line 13,
or Form 990-EZ, Part VI, line 48.

► Attach to Form 990 or Form 990-EZ.

OMB No 1545-0047

2009

Open to Public
Inspection

Name of the organization

THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number

13-5598093

		YES	NO
1	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	Yes	
2	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	Yes	
3	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe If "No," please explain COLUMBIA UNIVERSITY CUSTOMARILY DRAWS A SUBSTANTIAL PERCENTAGE OF ITS STUDENTS FROM ACROSS THE NATION AND THE WORLD ITS EDUCATIONAL PROGRAMS SEEK A BROAD CROSS-SECTION OF STUDENTS FROM THE METROPOLITAN AREA IT FOLLOWS A RACIALLY NONDISCRIMINATORY POLICY OF ADMISSION AND INCLUDES A STATEMENT OF ITS RACIALLY NONDISCRIMINATORY POLICY TOWARDS STUDENTS IN ALL SCHOOL AND PROGRAM BULLETINS IT ALSO REFERS TO THIS POLICY IN ALL WRITTEN ADVERTISING IT THEREFORE MEETS THE CRITERIA UNDER REV PROC 75-50, SECTION 4 03-2(B)FOR EXEMPTION FROM THE PUBLICITY REQUIREMENTS OF SECTION 4 03-1	Yes	
4	Does the organization maintain the following? a Records indicating the racial composition of the student body, faculty, and administrative staff? b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis? c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships? d Copies of all material used by the organization or on its behalf to solicit contributions? If you answered "No" to any of the above, please explain If you need more space, use Schedule O (Form 990)	Yes	
5	Does the organization discriminate by race in any way with respect to a Students' rights or privileges? b Admissions policies? c Employment of faculty or administrative staff? d Scholarships or other financial assistance? e Educational policies? f Use of facilities? g Athletic programs? h Other extracurricular activities? If you answered "Yes" to any of the above, please explain If you need more space, use Schedule O (Form 990)		No
			No
			No
			No
			No
			No
			No
			No
6a	Does the organization receive any financial aid or assistance from a governmental agency?	Yes	
6b	Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either line 6a or line 6b, explain on Schedule O (Form 990)		No
7	Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," explain on Schedule O (Form 990)	Yes	

OMB No 1545-0047
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1	For grantmakers. Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
2	For grant makers. Describe in Part IV the organization's procedures for monitoring the use of grant funds outside the United States		
3	Activites per Region (Use Schedule F-1 (Form 990) if additional space is needed)		

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990. Cat No 50082W Schedule F (Form 990) 2009

[illegible]**Schedule F (Form 990) 2009**

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Use Schedule F-1 (Form 990) if additional space is needed.

[illegible]

Complete this part to provide the information required in Part I, line 2, and any additional information.

Schedule F (Form 990) 2009

Additional Data

Software ID:

Software Version:

EIN: 13-5598093

Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean	1	8	Program Services	EDUC/RESEARCH/OUTREACH	617,645
Central America and the Caribbean			Grantmaking		200,449
Central America and the Caribbean			Investments		
East Asia and the Pacific	8	50	Program Services	EDUC/RESEARCH/OUTREACH	5,267,756
East Asia and the Pacific			Grantmaking		1,499,004
East Asia and the Pacific			Fundraising		340,857
East Asia and the Pacific			Investments		
Europe (Including Iceland and Greenland)	6	57	Program Services	EDUC/RESEARCH/OUTREACH	8,066,863
Europe (Including Iceland and Greenland)			Grantmaking		5,821,664
Europe (Including Iceland and Greenland)			Fundraising		343,660
Europe (Including Iceland and Greenland)			Investments		
Middle East and North Africa	1	25	Program Services	EDUC/RESEARCH/OUTREACH	2,425,197
Middle East and North Africa			Grantmaking		219,111
Middle East and North Africa			Fundraising		59,368
Middle East and North Africa			Investments		
North America	0	1	Program Services	EDUC/RESEARCH/OUTREACH	1,213,686
North America			Grantmaking		1,631,389
North America			Fundraising		7,693
North America			Investments		
Russia and the Newly Independent States	3	33	Program Services	EDUC/RESEARCH/OUTREACH	135,535
Russia and the Newly Independent States			Grantmaking		535,904
Russia and the Newly Independent States			Fundraising		5,920
Russia and the Newly Independent States			Investments		
South America	0	3	Program Services	EDUC/RESEARCH/OUTREACH	1,367,298
South America			Grantmaking		1,093,734
South America			Fundraising		8,594
South America			Investments		
South Asia	4	198	Program Services	EDUC/RESEARCH/OUTREACH	1,228,941
South Asia			Grantmaking		650,414
South Asia			Fundraising		62,865
South Asia			Investments		
Sub-Saharan Africa	37	972	Program Services	EDUC/RESEARCH/OUTREACH	75,352,953
Sub-Saharan Africa			Grantmaking		34,172,005
Sub-Saharan Africa			Investments		

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) A mount of cash grant	(f) Manner of cash disbursement	(g) A mount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	PROGRAM SUPPORT	7,429	CHECK		N/A	N/A
		Sub-Saharan Africa	PROGRAM SUPPORT	150,989	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	PROGRAM SUPPORT	23,451	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	23,601	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	70,015	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	16,818	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	21,053	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	56,398	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	60,263	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	215,789	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	58,301	WIRE TRANS		N/A	N/A
		East Asia/Pacific	Program Support	38,914	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	38,744	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	6,427	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	60,670	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	87,980	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	79,319	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	45,210	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	16,506	LOCAL PMT		N/A	N/A
		East Asia/Pacific	PROGRAM SUPPORT	10,270	WIRE TRANS		N/A	N/A
		East Asia/Pacific	PROGRAM SUPPORT	11,458	WIRE TRANS		N/A	N/A
		East Asia/Pacific	GENERAL SUPPORT	26,227	CHECK		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	57,537	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	78,935	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	114,850	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	663,962	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	55,339	LOCAL PMT		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	59,051	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	189,599	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	216,523	WIRE TRANS		N/A	N/A

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	CLINIC SUPP	39,263	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	124,134	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	61,628	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	Program Support	139,738	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	13,378	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	193,256	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	17,359	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	68,134	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	17,079	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	Program Support	22,005	WIRE TRANS		N/A	N/A
		Cent America/Caribbean	CLINIC SUPP	124,650	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	10,120	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPPORT	100,000	WIRE TRANS		N/A	N/A
		East Asia/Pacific	PROGRAM SUPPORT	22,220	WIRE TRANS		N/A	N/A
		Middle East/North Africa	PROGRAM SUPPORT	13,369	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	PROGRAM SUPPORT	46,071	WIRE TRANS		N/A	N/A
		Russia	CLINIC SUPP	454,985	CHECK		N/A	N/A
		Europe/Iceland/Greenland	PROGRAM SUPPORT	160,205	WIRE TRANS		N/A	N/A
		South Asia	PROGRAM SUPPORT	188,365	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	PROGRAM SUPPORT	22,570	WIRE TRANS		N/A	N/A
		South Asia	PROGRAM SUPPORT	9,932	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	PROGRAM SUPPORT	314,122	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	114,644	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	253,755	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	CLINIC SUPP	275,498	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	10,327	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	54,993	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	71,841	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	196,787	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	37,178	WIRE TRANS		N/A	N/A

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	GENERAL SUPPORT	18,000	CHECK		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	605,432	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	PROGRAM SUPPORT	13,948	WIRE TRANS		N/A	N/A
		East Asia/Pacific	GENERAL SUPPORT	29,541	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	36,743	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	71,208	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	133,139	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	13,581	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	440,907	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	306,543	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	104,008	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	52,936	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	76,349	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	72,510	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	44,824	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	63,077	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	5,605	WIRE TRANS		N/A	N/A
		East Asia/Pacific	PROGRAM SUPPORT	18,681	WIRE TRANS		N/A	N/A
		North America	GENERAL SUPPORT	56,341	CHECK		N/A	N/A
		Sub-Saharan Africa	PROGRAM SUPPORT	20,250	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	52,129	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	1,238,464	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	63,418	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	845,760	WIRE TRANS		N/A	N/A
		South Asia	PROGRAM SUPPORT	17,500	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	85,571	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	GENERAL SUPPORT	30,273	CHECK		N/A	N/A
		East Asia/Pacific	CLINIC SUPP	56,320	WIRE TRANS		N/A	N/A
		North America	GENERAL SUPPORT	38,436	CHECK		N/A	N/A
		South America	PROGRAM SUPPORT	74,535	CHECK		N/A	N/A

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South America	PROGRAM SUPPORT	40,427	WIRE TRANS		N/A	N/A
		North America	GENERAL SUPPORT	67,500	CHECK		N/A	N/A
		Europe/Iceland/Greenland	CLINIC SUPP	113,480	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	PROGRAM SUPPORT	3,719,240	CHECK		N/A	N/A
		Europe/Iceland/Greenland	PROGRAM SUPPORT	88,685	CHECK		N/A	N/A
		Europe/Iceland/Greenland	GENERAL SUPPORT	117,606	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	57,054	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	PROGRAM SUPPORT	6,879	WIRE TRANS		N/A	N/A
		South America	PROGRAM SUPPORT	21,781	DIR DEPOSIT		N/A	N/A
		Europe/Iceland/Greenland	PROGRAM SUPPORT	31,539	DIR DEPOSIT		N/A	N/A
		East Asia/Pacific	PROGRAM SUPPORT	20,801	DIR DEPOSIT		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	148,930	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	60,477	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	12,998	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	75,481	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	22,849	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	8,308	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	28,578	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	Clinic Supp	72,203	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	PROGRAM SUPPORT	11,000	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	223,054	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	21,103	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	54,360	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	112,755	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	45,609	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	23,096	WIRE TRANS		N/A	N/A
		East Asia/Pacific	PROGRAM SUPPORT	12,495	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	PROGRAM SUPPORT	8,443	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	161,274	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	15,159	WIRE TRANS		N/A	N/A

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	CLINIC SUPP	39,696	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	119,416	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	22,510	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	10,099	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	67,546	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	28,176	WIRE TRANS		N/A	N/A
		East Asia/Pacific	CLINIC SUPP	200,298	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	72,997	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	33,723	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	8,282	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	22,621	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	161,902	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	13,978	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	5,100	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	8,756	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	11,372	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	29,652	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	46,802	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	111,416	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	1,252,730	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	237,688	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	46,956	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	379,810	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	248,923	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	10,190	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	PROGRAM SUPPORT	137,976	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	53,302	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	71,590	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	29,675	WIRE TRANS		N/A	N/A
		Cent America/Caribbean	PROGRAM SUPPORT	9,210	WIRE TRANS		N/A	N/A

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	CLINIC SUPP	18,471	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	149,278	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	148,450	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	13,202	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	49,449	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	63,790	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	7,550	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	10,250	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	414,931	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	PROGRAM SUPPORT	24,873	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	PROGRAM SUPPORT	88,463	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	22,865	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	27,599	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	236,022	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	252,400	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	70,000	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	309,597	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	72,621	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	661,077	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	85,887	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	58,855	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	PROGRAM SUPPORT	95,533	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	PROGRAM SUPPORT	8,306	CHECK		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	134,326	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	116,862	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	PROGRAM SUPPORT	264,338	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	9,416	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	11,868	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	56,851	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	12,888	WIRE TRANS		N/A	N/A

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	CLINIC SUPP	96,901	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	517,969	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	50,596	wire trans		n/a	n/a
		Sub-Saharan Africa	CLINIC SUPP	96,325	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	114,375	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	78,722	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	93,994	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	12,630	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	23,958	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	290,926	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	8,270	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	827,401	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	6,432	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	1,651,319	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	144,516	WIRE TRANS		N/A	N/A
		South America	CLINIC SUPP	419,360	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	7,398	WIRE TRANS		N/A	N/A
		South America	PROGRAM SUPPORT	55,317	CHECK		N/A	N/A
		Europe/Iceland/Greenland	PROGRAM SUPPORT	9,758	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	89,999	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	PROGRAM SUPPORT	52,802	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	PROGRAM SUPPORT	103,939	CHECK		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	70,384	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	21,414	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	109,655	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	PROGRAM SUPPORT	13,647	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	40,636	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	55,438	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	42,016	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	GENERAL SUPPORT	18,104	CHECK		N/A	N/A

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	PROGRAM SUPPORT	11,345	WIRE TRANS		N/A	N/A
		East Asia/Pacific	PROGRAM SUPPORT	18,444	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	49,480	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	157,703	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	138,648	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	138,309	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	PROGRAM SUPPORT	23,146	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	PROGRAM SUPP	25,361	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	5,434	WIRE TRANS		N/A	N/A
		East Asia/Pacific	PROGRAM SUPPORT	45,720	CHECK		N/A	N/A
		Europe/Iceland/Greenland	PROGRAM SUPPORT	47,979	CHECK		N/A	N/A
		South Asia	PROGRAM SUPPORT	16,388	CHECK		N/A	N/A
		Middle East/North Africa	PROGRAM SUPP	53,689	CHECK		N/A	N/A
		South America	PROGRAM SUPPORT	96,752	CHECK		N/A	N/A
		Russia	PROGRAM SUPPORT	8,168	CHECK		N/A	N/A
		Sub-Saharan Africa	PROGRAM SUPPORT	22,928	CHECK		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	2,086,998	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	PROGRAM SUPPORT	75,193	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	5,736	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	8,118	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	CLINIC SUPP	17,238	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	26,668	WIRE TRANS		N/A	N/A
		East Asia/Pacific	CLINIC SUPP	140,672	WIRE TRANS		N/A	N/A
		South Asia	PROGRAM SUPPORT	10,459	WIRE TRANS		N/A	N/A
		South Asia	PROGRAM SUPPORT	22,610	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	41,805	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	PROGRAM SUPPORT	10,010	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	194,790	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	PROGRAM SUPPORT	6,000	CHECK		N/A	N/A
		North America	PROGRAM SUPPORT	91,410	WIRE TRANS		N/A	N/A

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	PROGRAM SUPPORT	32,442	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	10,166	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	49,004	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	54,684	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	38,898	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	805,816	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	PROGRAM SUPPORT	127,217	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	CLINIC SUPP	10,120	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	145,036	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	PROGRAM SUPPORT	37,803	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	PROGRAM SUPPORT	42,869	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	786,659	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	400,000	WIRE TRANS		N/A	N/A
		East Asia/Pacific	CLINIC SUPP	84,073	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	316,708	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	PROGRAM SUPPORT	847,103	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	237,889	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	475,000	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	243,731	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	62,067	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	15,751	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	17,645	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	15,187	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	56,861	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	77,513	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	67,819	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	161,339	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	45,967	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	63,866	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	64,994	WIRE TRANS		N/A	N/A

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	CLINIC SUPP	62,193	WIRE TRANS		N/A	N/A
		Sub-Saharan Africa	CLINIC SUPP	70,400	WIRE TRANS		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	25,000	check		n/a	n/a
		North America	RESEARCH	14,529	check		n/a	n/a
		Europe/Iceland/Greenland	RESEARCH	21,000	CHECK/WIRE		N/A	N/A
		Russia	RESEARCH	9,814	CHECK/WIRE		N/A	N/A
		North America	RESEARCH	237,493	CHECK/WIRE		N/A	N/A
		North America	RESEARCH	583,851	CHECK/WIRE		N/A	N/A
		North America	RESEARCH	5,300	CHECK/WIRE		N/A	N/A
		North America	RESEARCH	12,500	CHECK/WIRE		N/A	N/A
		North America	RESEARCH	35,200	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	RESEARCH	73,561	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	154,014	CHECK/WIRE		N/A	N/A
		East Asia/Pacific	RESEARCH	78,110	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	277,789	CHECK/WIRE		N/A	N/A
		East Asia/Pacific	RESEARCH	30,875	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	RESEARCH	847,564	CHECK/WIRE		N/A	N/A
		South Asia	RESEARCH	9,199	CHECK/WIRE		N/A	N/A
		East Asia/Pacific	RESEARCH	19,406	CHECK/WIRE		N/A	N/A
		North America	RESEARCH	63,665	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	5,400	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	5,400	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	16,874	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	10,503	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	14,115	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	65,000	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	9,720	CHECK/WIRE		N/A	N/A
		North America	RESEARCH	5,600	CHECK/WIRE		N/A	N/A
		North America	RESEARCH	24,124	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	RESEARCH	28,980	CHECK/WIRE		N/A	N/A

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe/Iceland/Greenland	RESEARCH	1,118,054	CHECK/WIRE		N/A	N/A
		North America	RESEARCH	6,700	CHECK/WIRE		N/A	N/A
		North America	RESEARCH	28,546	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	RESEARCH	9,199	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	6,480	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	24,840	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	25,000	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	158,782	CHECK/WIRE		N/A	N/A
		North America	RESEARCH	5,800	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	151,460	CHECK/WIRE		N/A	N/A
		South Asia	RESEARCH	89,332	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	170,000	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	9,720	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	151,038	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	6,480	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	28,836	CHECK/WIRE		N/A	N/A
		North America	RESEARCH	26,550	CHECK/WIRE		N/A	N/A
		South Asia	RESEARCH	12,271	CHECK/WIRE		N/A	N/A
		East Asia/Pacific	RESEARCH	30,175	CHECK/WIRE		N/A	N/A
		North America	RESEARCH	57,745	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	219,512	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	77,760	CHECK/WIRE		N/A	N/A
		North America	RESEARCH	25,183	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	48,230	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	46,065	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	17,700	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	22,750	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	RESEARCH	24,809	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	42,533	CHECK/WIRE		N/A	N/A
		Europe/Iceland/Greenland	RESEARCH	80,000	CHECK/WIRE		N/A	N/A

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	RESEARCH	25,703	CHECK/WIRE		N/A	N/A
		North America	RESEARCH	22,160	CHECK/WIRE		N/A	N/A
		North America	RESEARCH	109,664	CHECK/WIRE		N/A	N/A
		North America	RESEARCH	16,500	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
PROGRAM SUPPORT	Cent America/Caribbean	35	46,605	CHECK/DEPOS		N/A	N/A
RESEARCH SUPPORT	Cent America/Caribbean	2	2,035	CHECK/DEPOS		N/A	N/A
JOURNALISM PRIZE	Cent America/Caribbean	1	5,000	CHECK		N/A	N/A
PROGRAM SUPPORT	East Asia/Pacific	184	409,583	CHECK		N/A	N/A
CONFERENCE	East Asia/Pacific	1	1,683	CHECK		N/A	N/A
TRAVEL SUPPORT	East Asia/Pacific	18	57,030	CHECK		N/A	N/A
RESEARCH SUPPORT	East Asia/Pacific	9	93,538	CHECK/CREDIT		N/A	N/A
HONORARIUM	East Asia/Pacific	1	210	WIRE		N/A	N/A
GRADUATION PRIZE	East Asia/Pacific	4	14,501	CHECK		N/A	N/A
MEETING EXPENSES	East Asia/Pacific	13	12,455	CHECK		N/A	N/A
Program Support	Europe/Iceland/Greenland	245	410,295	CHECK/WIRE		N/A	N/A
SCHOLARSHIP	Europe/Iceland/Greenland	10	99,300	CREDIT ACCT		N/A	N/A
CONFERENCE	Europe/Iceland/Greenland	25	23,024	CHECK		N/A	N/A
TRAVEL SUPPORT	Europe/Iceland/Greenland	228	450,555	CHECK/WIRE		N/A	N/A
RESEARCH SUPPORT	Europe/Iceland/Greenland	35	130,189	CHECK/CREDIT		N/A	N/A
Honorarium	Europe/Iceland/Greenland	17	64,288	CHECK/WIRE		N/A	N/A
FACULTY STIPEND	Europe/Iceland/Greenland	1	1,000	CASH		N/A	N/A
GRADUATION PRIZE	Europe/Iceland/Greenland	3	26,522	CHECK		N/A	N/A
MEETING EXPENSES	Europe/Iceland/Greenland	22	25,793	CHECK		N/A	N/A
JOURNALISM PRIZE	Europe/Iceland/Greenland	2	15,000	CHECK		N/A	N/A
PROGRAM SUPPORT	Middle East/North Africa	61	109,667	CHECK/WIRE		N/A	N/A
TRAVEL SUPPORT	Middle East/North Africa	1	423	CHECK		N/A	N/A
RESEARCH SUPPORT	Middle East/North Africa	11	40,948	CHECK/CREDIT		N/A	N/A
MEETING EXPENSES	Middle East/North Africa	1	1,934	CHECK		N/A	N/A
PROGRAM SUPPORT	North America	57	35,908	CHECK/WIRE		N/A	N/A
CONFERENCE	North America	5	1,993	CHECK		N/A	N/A
TRAVEL SUPPORT	North America	8	5,076	CHECK/WIRE		N/A	N/A
RESEARCH SUPPORT	North America	3	4,902	CHECK/CREDIT		N/A	N/A
MEETING EXPENSES	North America	13	9,898	CHECK		N/A	N/A
GRADUATE FELLOWSHIPS	North America	1	10,000	CHECK		N/A	N/A
PROGRAM SUPPORT	Russia	20	31,912	CHECK/WIRE		N/A	N/A
Travel Support	Russia	11	27,125	dir dep/chec		N/A	N/A
PROGRAM SUPPORT	South America	121	284,716	CHECK/WIRE		N/A	N/A
SCHOLARSHIP	South America	1	1,000	CREDIT ACCT		N/A	N/A
CONFERENCE	South America	2	3,973	CHECK		N/A	N/A
TRAVEL SUPPORT	South America	5	12,617	CHECK		N/A	N/A
RESEARCH SUPPORT	South America	4	25,515	CHECK/WIRE		N/A	N/A
Honorarium	South America	2	1,820	CHECK/WIRE		N/A	N/A
Journalism Prize	South America	1	5,000	CHECK		N/A	N/A
Graduate Fellowships	South America	5	50,000	CHECK/WIRE		N/A	N/A
PROGRAM SUPPORT	South Asia	113	183,194	CHECK/WIRE		n/a	n/a
travel support	South Asia	3	66,518	CHECK/WIRE		n/a	n/a
Research support	South Asia	6	12,656	CHECK/CREDIT		n/a	n/a
meeting expenses	South Asia	1	1,326	CHECK		N/A	N/A
Program Support	Sub-Saharan Africa	145	263,200	CHECK/WIRE		n/a	n/a
travel support	Sub-Saharan Africa	5	32,308	CHECK/WIRE		n/a	n/a
Honorarium	Sub-Saharan Africa	1	2,260	WIRE		n/a	n/a

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding
Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19,
or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2009

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number

13-5598093

Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17.
Form 990-EZ filers are not required to complete this part.

1

Indicate whether the organization raised funds through any of the following activities. Check all that apply.

a

☒

Mail solicitations

e

☒

Solicitation of non-government grants

b

☒

Internet and e-mail solicitations

f

☒

Solicitation of government grants

c

☒

Phone solicitations

g

☒

Special fundraising events

d

☒

In-person solicitations

2a

Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising activities?

☒ Yes

☐ No

b

If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization. Form 990-EZ filers are not required to complete this table.

(i) Name of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
RUFFALO CODY AND ASSOCIATES	CONSULTING		No	991,774	454,049	537,725
MARILYN SILVERSTEIN	CONSULTING		No	3,354,916	119,993	3,234,923
MARTS AND LUNDY	CONSULTING		No	0	124,683	0
RICHARD BOARDMAN	CONSULTING		No	15,100,000	36,632	15,063,368
WILLIAM BOARDMAN	CONSULTING		No	15,544,684	49,592	15,495,092
Total ▶				34,991,374	784,949	34,331,108

3

List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing.

NY

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other Events	(d) Total Events
		ANNUAL DINNER (event type)	AWARDS DINNER (event type)	34 (total number)	(Add col (a) through col (c))
Revenue	1 Gross receipts	1,343,520	702,975	3,064,504	5,110,999
	2 Less Charitable contributions	1,248,870	594,525	2,406,569	4,249,964
	3 Gross income (line 1 minus line 2)	94,650	108,450	657,935	861,035
Direct Expenses	4 Cash prizes	0	0	0	0
	5 Non-cash prizes	997	6,145		7,142
	6 Rent/facility costs	0	1,858		1,858
	7 Food and beverages	246,016	128,409		374,425
	8 Entertainment	0	100		100
	9 Other direct expenses	18,221	104,381	657,935	780,537
	10 Direct expense summary Add lines 4 through 9 in column (d)				1,164,062
	11 Net income summary Combine lines 3, column d, and line 10.				-303,027

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming
					(Add col (a) through col (c))
Revenue	1 Gross revenue				
	2 Cash prizes				
Direct Expenses	3 Non-cash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
	7 Direct expense summary Add lines 2 through 5 in column (d)				
	8 Net gaming income summary Combine lines 1, column d, and line 7				

		Yes	No
9	Enter the state(s) in which the organization operates gaming activities _____		
a	Is the organization licensed to operate gaming activities in each of these states?	9a	
b	If "No," Explain _____ _____		
10a	Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?	10a	
b	If "Yes," Explain _____ _____		
11	Does the organization operate gaming activities with nonmembers?	11	
12	Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?	12	

		Yes	No
13	Indicate the percentage of gaming activity operated in		
a	The organization's facility 13a		
b	An outside facility 13b		
14	Enter the name and address of the person who prepares the organization's gaming/special events books and records		
Name ► _____			
Address ► _____			
15a	Does the organization have a contract with a third party from whom the organization receives gaming revenue?	15a	
b	If "Yes," enter the amount of gaming revenue received by the organization ► \$ _____ and the amount of gaming revenue retained by the third party ► \$ _____		
c	If "Yes," enter name and address		
Name ► _____			
Address ► _____			
16	Gaming manager information		
Name ► _____			
Gaming manager compensation ► \$ _____			
Description of services provided ► _____			
<input type="checkbox"/> Director/officer <input type="checkbox"/> Employee <input type="checkbox"/> Independent contractor			
17	Mandatory distributions		
a	Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?	17a	
b	Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ► \$ _____		

Schedule I
(Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

OMB No 1545-0047

2009

Open to Public
Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I

General Information on Grants and Assistance

- 1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes

☐ No
- 2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II

Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed ▶ ☐

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							

2

Enter total number of section 501(c)(3) and government organizations

283

3

Enter total number of other organizations

0

Software ID:

Software Version:

EIN: 13-5598093

Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
LONG ISLAND UNIVERSITY BROOKLYN CAMPUS 77 MASSACHUSETTS AVE 39-321 BROOKLYN,NY 11201	00-0144930	501(c)(3)	8,431		N/A	N/A	RESEARCH
UNIVERSITY OF TEXAS SOUTHWESTERNPO BOX 841765 GRANTS ACCTING DALLAS,TX 75284	00-0155944	501(c)(3)	66,907		N/A	N/A	RESEARCH
TREASURY STATE OF MAINEDEPT OF CONSERVATION 22 STATE HOUSE STATION AUGUSTA,ME 04333	01-6000001	501(c)(3)	19,102		N/A	N/A	RESEARCH
UNIVERSITY OF MAINE 5717 CORBETT HALL ROOM 400 OFF RES SPON PROGRAMS ORONO,ME 04469	01-6000769	501(c)(3)	146,628		N/A	N/A	RESEARCH
UNIVERSITY OF NEW HAMPSHIREOFFICE OF SPONSORED RESEARCH SERVICE BUILDING ROOM 109 DURHAM,NH 03824	02-6000937	501(c)(3)	90,646		N/A	N/A	RESEARCH
UNIVERSITY OF VERMONT PO BOX 1389 SERVICE BUILDING ROOM 109 BURLINGTON,VT 05405	03-0179440	501(c)(3)	39,113		N/A	N/A	RESEARCH
UNIVERSITY OF VERMONT COLLEGE OF MEDICINE89 BEAUMONT AVE SERVICE BUILDING ROOM 109 BURLINGTON,VT 05405	03-0219309	501(c)(3)	55,581		N/A	N/A	RESEARCH
NORTHEASTERN UNIVERSITY360 HUNTINGTON AVE GIVEN E-109 BOSTON,MA 02115	04-1679980	501(c)(3)	22,780		N/A	N/A	RESEARCH
BOSTON UNIVERSITY GRANT AND CONTRACT ACCOUNTING 25 BUICK STREET BOSTON,MA 02215	04-2103547	501(c)(3)	758,961		N/A	N/A	RESEARCH
HARVARD UNIVERSITYPO BOX 415649 HARVARD COLLEGE BOSTON,MA 02241	04-2103580	501(c)(3)	447,957		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MASSACHUSETTS INSTITUTE OF TECHNOLOGY77 MASSACHUSETTS AVENUE CASHIERS OFFICE NE49-3077 CAMBRIDGE,MA 02139	04-2103594	501(c)(3)	797,021		N/A	N/A	RESEARCH
TUFTS UNIVERSITY169 HOLLAND STREET SPONSORED PROGRAMS ACCOUNTING SOMERVILLE,MA 02144	04-2103634	501(c)(3)	185,207		N/A	N/A	RESEARCH
WOODS HOLE OCEANOGRAPHIC INSTITUTE569 WOODS HOLE ROAD ACCOUNTS RECEIVABLE MS14 WOODS HOLE,MA 02543	04-2105850	501(c)(3)	509,859		N/A	N/A	RESEARCH
EDUCATION DEVELOPMENT CENTER INC55 CHAPEL ST ROOM 262 NEWTON,MA 02458	04-2241718	501(c)(3)	87,335		N/A	N/A	RESEARCH
DANA FARBER CANCER INSTITUTE44 BINNEY ST CLS 11144 BOSTON,MA 02115	04-2263040	501(c)(3)	142,683		N/A	N/A	RESEARCH
BRIGHAM AND WOMENS HOSPITALPO BOX 3887 BOSTON,MA 02241	04-2312909	501(c)(3)	236,597		N/A	N/A	RESEARCH
JSI RESEARCH AND TRAINING INSTITUTE44 FARNSWORTH STREET BOSTON,MA 02210	04-2679824	501(c)(3)	370,640		N/A	N/A	RESEARCH
MASSACHUSETTS GENERAL HOSPITALPO BOX 3829 DBA MASSACHUSETTS GENERAL HOSPITAL BOSTON,MA 02241	04-2697983	501(c)(3)	262,398		N/A	N/A	RESEARCH
MASSACHUSETTS GENERAL HOSPITALPO BOX 414876 BOSTON,MA 02241	04-2807148	501(c)(3)	16,478		N/A	N/A	RESEARCH
NEW ENGLAND RESEARCH INSTITUTE INC9 GALEN ST WATERTOWN,MA 02172	04-2919509	501(c)(3)	47,415		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UMASS AMHERST140 HICKS WAY 405 GOODELL BUILDING AMHERST,MA 01003	04-3167352	501(c)(3)	45,401		N/A	N/A	RESEARCH
BOSTON MEDICAL CENTER CORPORATIONPO BOX 845700 RESEARCH AND SERV GRANTS ADMIN BOSTON,MA 02284	04-3314093	501(c)(3)	58,020		N/A	N/A	RESEARCH
BROWN UNIVERSITYPO BOX 1911 CASHIERS OFFICE PROVIDENCE,RI 02912	05-0258804	501(c)(3)	78,670		N/A	N/A	RESEARCH
BROWN UNIVERSITYPO BOX 1911 PROVIDENCE,RI 02912	05-0258809	501(c)(3)	18,335		N/A	N/A	RESEARCH
CATHOLIC MEDICAL MISSION BD10 WEST 17TH STREET NEW YORK,NY 10011	05-1159436	501(c)(3)	169,240		N/A	N/A	RESEARCH
HOSPITAL FOR SPECIAL CARE2150 CORBIN AVENUE NEW BRITAIN,CT 06053	06-0646766	501(c)(3)	146,974		N/A	N/A	RESEARCH
YALE UNIVERSITYPO BOX 1873 GRANTS CONTRACT FINANCIAL NEW HAVEN,CT 06508	06-0646973	501(c)(3)	2,987,202		N/A	N/A	RESEARCH
BERMUDA INSTITUTE OF OCEAN SCIENCESPO BOX 604 C/O BURKE HERBERT BANK ALEXANDRIA,VA 22313	06-0706038	501(c)(3)	124,411		N/A	N/A	RESEARCH
SAVE THE CHILDREN FEDERATION54 WILTON ROAD WESTPORT,CT 06880	06-0726487	501(c)(3)	800,796		N/A	N/A	RESEARCH
POLYTECHNIC UNIVERSITYPO BOX 353 SIX METROTECH CENTER BROOKLYN,NY 11201	11-1630820	501(c)(3)	123,286		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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NY COLLEGE OF OSTEOPATHIC MEDICINE SECOND FLOOR SUITE 205 OLD WESTBURY, NY 11568	11-1788788	501(c)(3)	20,912		N/A	N/A	RESEARCH
COLD SPRING HARBOR LAB 1 BUNGTOWN RD OLD WESTBURY COLD SPRING HARBOR, NY 11724	11-2013303	501(c)(3)	580,952		N/A	N/A	RESEARCH
ECONOMIC OPPORTUNITY COMM134 JACKSON STREET HEMPSTEAD, NY 11550	11-2195458	501(c)(3)	254,171		N/A	N/A	RESEARCH
FEINSTEIN INSTITUTE FOR MEDICAL RESEARCH 350 community drive Manhasset, NY 11030	11-2673595	501(c)(3)	6,200		N/A	N/A	RESEARCH
QUEENS COMPREHENSIVE 111-06 MERRICK BLVD JAMAICA, NY 11433	11-2870422	501(c)(3)	284,531		N/A	N/A	RESEARCH
SUFFOLK COUNTY 475 EAST MAIN STREET SUITE 207 PATCHOGUE, NY 11772	11-2978393	501(c)(3)	258,757		N/A	N/A	RESEARCH
MERIDIAN VISION 66 WITHERSPOON STREET PMB 100 PRINCETON, NJ 08542	11-3736911	501(c)(3)	15,904		N/A	N/A	research
UMDNJ NJMS 185 SOUTH ORANGE AVE MSB 1-538 NEWARK, NJ 07103	12-2177530	501(c)(3)	7,050		N/A	N/A	RESEARCH
UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET P-221 FRANKLIN BUILDING PHILADELPHIA, PA 19104	12-3125268	501(c)(3)	259,070		N/A	N/A	RESEARCH
NEW YORK MEDICAL COLLEGE 40 SUNSHINE COTTAGE RD UNIVERSITY REGISTRAR- ROOM 127 VALHALLA, NY 10595	13-1099420	501(c)(3)	15,787		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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WEILL MEDICAL COLLEGE OF CORNELL UNIVERSITY 525 EAST 68TH ST F2008 NEW YORK, NY 10021	13-1623977	501(c)(3)	66,981		N/A	N/A	RESEARCH
CORNELL UNIVERSITY MEDICAL COLLEGE 575 LEXINGTON AVENUE 9TH FLOOR NEW YORK, NY 10022	13-1623978	501(c)(3)	1,869,308		N/A	N/A	RESEARCH
MOUNT SINAI MEDICAL CENTER ONE GUSTAVE L LEVY PLACE BOX 3500 NEW YORK, NY 10029	13-1624096	501(c)(3)	36,100		N/A	N/A	RESEARCH
HOSPITAL FOR SPECIAL SURGERY 535 EAST 70TH STREET NEW YORK, NY 10021	13-1624135	501(c)(3)	471,072		N/A	N/A	RESEARCH
ROCKEFELLER UNIVERSITY 1230 YORK AVE BOX 259 NEW YORK, NY 10065	13-1624158	501(c)(3)	1,938,169		N/A	N/A	RESEARCH
TEACHERS COLLEGE 525 W 120TH ST BOX 21 NEW YORK, NY 10027	13-1624202	501(c)(3)	274,059		N/A	N/A	RESEARCH
ALBERT EINSTEIN COLLEGE OF MEDICINE 1300 MORRIS PARK AVENUE BELFER BLDG ROOM 1108 BRONX, NY 10461	13-1624225	501(c)(3)	2,251,496		N/A	N/A	RESEARCH
BARNARD COLLEGE 3009 BROADWAY 015 MILLBANK HALL NEW YORK, NY 10027	13-1628149	501(c)(3)	462,502		N/A	N/A	RESEARCH
NATIONAL BUREAU OF ECONOMIC RESEARCH IN 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138	13-1641075	501(c)(3)	111,043		N/A	N/A	RESEARCH
NEW YORK ACADEMY OF MEDICINE 1216 FIFTH AVENUE NEW YORK, NY 10029	13-1656674	501(c)(3)	459,531		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NEW YORK BOTANICAL GARDENS2900 SOUTHERN BLVD BRONX, NY 10458	13-1693134	501(c)(3)	20,525		N/A	N/A	RESEARCH
CARE151 ELLIS ST ATLANTA, GA 30303	13-1685039	501(c)(3)	1,265,937		N/A	N/A	RESEARCH
HHAR5901 PALISADE AVE RIVERDALE, NY 10471	13-1739971	501(c)(3)	157,181		N/A	N/A	RESEARCH
MONTEFIORE MEDICAL CENTERGPO 29581 NEW YORK, NY 10087	13-1740114	501(c)(3)	158,763		N/A	N/A	RESEARCH
MEMORIAL SLOAN KETTERINGPO BOX 26338 GENERAL POST OFFICE NEW YORK, NY 10087	13-1924236	501(c)(3)	398,204		N/A	N/A	RESEARCH
NY BLOOD CENTER INCPO BOX 9674 ACCOUNTS REC DEPT UNIONDALE, NY 11553	13-1949477	501(c)(3)	1,665,033		N/A	N/A	RESEARCH
BRONX LEBANON HOSPITAL CENTER1276 FULTON AVE BRONX, NY 10456	13-1974191	501(c)(3)	661,197		N/A	N/A	RESEARCH
RES FOUND OF CUNY230 WEST 41ST STREET 7TH FLOOR NEW YORK, NY 10036	13-1988190	501(c)(3)	1,294,404		N/A	N/A	RESEARCH
WILDLIFE TRUST460 WEST 34TH STREET 17TH FLOOR NEW YORK, NY 10001	13-1726494	501(c)(3)	400,000		N/A	N/A	RESEARCH
HIP55 WATER STREET NEW YORK, NY 10041	13-1828429	501(c)(3)	36,625		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE CV STARR RES FOUND 41 COOPER SQUARE SUITE 205 NEW YORK, NY 10003	13-2879769	501(c)(3)	32,500		N/A	N/A	RESEARCH
ST LUKES ROOSEVELT HOSPITAL555 WEST 57TH STREET 5 FL FINANCE SERVICE NEW YORK, NY 10019	13-2914343	501(c)(3)	125,886		N/A	N/A	RESEARCH
NATURAL RESOURCES DEFENSE COUNCIL40 WEST 20TH ST NEW YORK, NY 10011	13-2654926	501(c)(3)	15,000		N/A	N/A	RESEARCH
ST LUKES ROOSEVELT HOSPITAL555 W 57TH ST FUND ACCOUNTING DEPT NEW YORK, NY 10019	13-2999730	501(c)(3)	1,126,423		N/A	N/A	RESEARCH
CICATELLI ASSOCIATES INC505 8TH AVE 16TH FLOOR NY, NY 10018	13-3020576	501(c)(3)	447,145		N/A	N/A	RESEARCH
NEW SCH UNIV80 FIFTH AVENUE 4TH FLOOR OFFICE OF FINANCE BUSINESS NEW YORK, NY 10011	13-3297197	501(c)(3)	60,951		N/A	N/A	RESEARCH
ALIANZA DOMINICANA INC2410 AMSTERDAM AVE NEW YORK, NY 10033	13-3402057	501(c)(3)	193,541		N/A	N/A	RESEARCH
UNIV PLAY SYS INC1 MADISON AVENUE LARCHMONT, NY 10538	13-2731153	501(c)(3)	988,652		N/A	N/A	RESEARCH
HARLEM UNITED COMMUNITY AIDS CENTER 123-125 WEST 124TH STREET NEW YORK, NY 10027	13-3461695	501(c)(3)	174,137		N/A	N/A	RESEARCH
ISABELLA GERIATRIC CENTER515 AUDUBON AVENUE HEALTH CAREER PARTNERSHIP NEW YORK, NY 10040	13-3623808	501(c)(3)	75,703		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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COMMUNITY RESOURCE INITIATIVE230 WEST 38TH STREET 17TH FLOOR NEW YORK, NY 10018	13-3632234	501(c)(3)	39,169		N/A	N/A	RESEARCH
NORTHERN MANHATTAN PERINATAL127 WEST 127TH STREET NEW YORK, NY 10027	13-3782555	501(c)(3)	15,000		N/A	N/A	RESEARCH
W HARLEM ENVIRONMENTAL ACTION 1638 AMSTERDAM AVENUE 17TH FLOOR NY, NY 10031	13-3800068	501(c)(3)	69,486		N/A	N/A	RESEARCH
NY PRESBYTERIAN HOSPITAL525 EAST 68TH STREET BOX 156 NEW YORK, NY 10021	13-3957095	501(c)(3)	79,312		N/A	N/A	RESEARCH
ST VINCENT CATHOLIC MEDICAL CENTER450 WEST 33RD STREET 12TH FLOOR NEW YORK, NY 10011	13-4077996	501(c)(3)	85,164		N/A	N/A	RESEARCH
NEW YORK MED ALLIANCE 1400 PELHAM PARKWAY S BLD 1 3C15 ACP BRONX, NY 10461	13-4169311	501(c)(3)	26,114		N/A	N/A	RESEARCH
NEW YORK UNIVERSITY838 BROADWAY 4TH FLOOR SPONSORED PROGRAMS ACCOUNTING NEW YORK, NY 10003	13-5562308	501(c)(3)	259,155		N/A	N/A	RESEARCH
NEW YORK UNIVERSITY SCHOOL OF MEDICINEPO BOX 415026 BOSTON, MA 02241	13-5562309	501(c)(3)	1,298,454		N/A	N/A	RESEARCH
ST VINCENTS HOSPITAL MEDICAL CENTER170 WEST 12TH STREET SANBORN BUILDING SUITE 1413 NEW YORK, NY 10011	13-5562343	501(c)(3)	117,640		N/A	N/A	RESEARCH
BK STREET COLLEGE OF EDUCATION610 W 112 ST NEW YORK, NY 10025	13-5562167	501(c)(3)	26,070		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
INTERNATIONAL RESCUE COMMITTEE122 E 42ND ST NEW YORK,NY 10168	13-5660870	501(c)(3)	2,199,000		N/A	N/A	RESEARCH
PUB HEALTH SOLUTIONS INC220 CHURCH STREET 5TH FLOOR NEW YORK,NY 10013	13-5669201	501(c)(3)	147,025		N/A	N/A	RESEARCH
AMERICAN MUSEUM OF NATURAL HISTORY79TH ST AT CENTRAL PARK WEST NEW YORK,NY 10024	13-6162659	501(c)(3)	13,797		N/A	N/A	RESEARCH
MOUNT SINAI SCHOOL OF MEDICINEONE GUSTAVE L LEVY PLACE BOX 1075 NEW YORK,NY 10029	13-6171197	501(c)(3)	1,326,533		N/A	N/A	RESEARCH
NYC DEPT HEALTH AND MENTAL HYGIENE125 WORTH ST - ROOM 204 NEW YORK,NY 10013	13-6400434	501(c)(3)	136,989		N/A	N/A	RESEARCH
HENRY FORD HEALTH SYSTEMONE FORD PLACE 5EF DETROIT,MI 48202	13-8125702	501(c)(3)	88,708		N/A	N/A	RESEARCH
ALBANY MEDICAL COLLEGE47 NEW SCOTLAND AVE SPONSORED PROGRAMS MC-107 ALBANY,NY 12208	14-1338310	501(c)(3)	549,125		N/A	N/A	RESEARCH
RENSSELAER POLYTECHNIC INSTPO BOX 33375 HARTFORD,CT 06150	14-1340095	501(c)(3)	46,186		N/A	N/A	RESEARCH
RES FOUND OF STATE UNIVERSITY OF NYPO BOX 9 CASH RECEIPT DEPT ALBANY,NY 12201	14-1368361	501(c)(3)	4,064,439		N/A	N/A	RESEARCH
HEALTH RESEARCH INC150 BROADWAY SUITE 560 MENANDS,NY 12204	14-1402155	501(c)(3)	1,229,653		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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RES FOUND FOR MENTAL HYGIENE150 BROADWAY SUITE 301 MENANDS, NY 12204	14-1410842	501(c)(3)	2,808,483		N/A	N/A	RESEARCH
CLINICAL DIRECTORS NETWORK INC5 WEST 37TH STREET 10TH FLOOR NEW YORK, NY 10018	14-1717344	501(c)(3)	229,745		N/A	N/A	RESEARCH
VASSAR COLLEGE124 RAYMOND AVENUE BOX 12 POUGHKEEPSIE, NY 12604	14-1338587	501(c)(3)	6,035		N/A	N/A	RESEARCH
CORNELL UNIVERSITYPO BOX 22 ITHACA, NY 14851	15-0532082	501(c)(3)	573,443		N/A	N/A	RESEARCH
JOHNS HOPKINS UNIVERSITY12529 COLLECTIONS CENTER DRIVE CENTRAL LOCKBOX C/O BANK OF AMERICA CHICAGO, IL 60693	15-2059511	501(c)(3)	463,455		N/A	N/A	RESEARCH
UNIVERSITY OF ROCHESTER910 GENESEE ST OFFICE OF RESEARCH ACCTING ROCHESTER, NY 14611	16-0743209	501(c)(3)	124,930		N/A	N/A	RESEARCH
WOMEN AND CHILDRENS HOSPITAL OF BUFFALO FOUNDATION726 EXCHANGE STREET SUITE 200 BUFFALO, NY 14210	16-1332044	501(c)(3)	66,753		N/A	N/A	RESEARCH
RFMH722 W 168TH ST NEW YORK, NY 10032	14-6013200	501(c)(3)	17,350		N/A	N/A	RESEARCH
CARDIOVASCULAR CONSULTANTS7421 N UNIVERSITY DRIVE SUITE 101 TAMARAC, FL 33321	20-2249239	501(c)(3)	13,520		N/A	N/A	RESEARCH
MILLENNIUM PROMISE432 PARK AVENUE SOUTH NEW YORK, NY 10016	20-3042135	501(c)(3)	13,612		N/A	N/A	RESEARCH

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DM STAT MP INCONE SALEM STREET SUITE 300 MALDEN,MA 02148	20-2981457	501(c)(3)	7,078		N/A	N/A	RESEARCH
PARTNERSHIP FOR SUPPLY CHAIN M1616 N FORT MEYER DRIVE 12TH FLOOR ARLINGTON,VA 22209	20-3258654	501(c)(3)	10,881		N/A	N/A	RESEARCH
COOPER HEALTH SYSTEM3 COOPER PLAZA SUITE 220 CAMDEN,NJ 08103	21-0634462	501(c)(3)	256,893		N/A	N/A	RESEARCH
PRINCETON UNIVERSITY5 NEW SOUTH BUILDING PO BOX 5292 PRINCETON,NJ 08544	21-0634501	501(c)(3)	47,504		N/A	N/A	RESEARCH
BRANDEIS UNIVERSITYPO BOX 549110 MS 144 WALTHAM,MA 02454	21-2103552	501(c)(3)	316,084		N/A	N/A	RESEARCH
VALLEY HOSPITAL223 NORTH VAN DIEN AVENUE RIDGEWOOD,NJ 07450	22-1487307	501(c)(3)	52,091		N/A	N/A	RESEARCH
SAINT PETERS UNIVERSITY HOSPITAL254 EASTON AVENUE 4TH FLOOR MOB ROOM 4010 NEW BRUNSWICK,NJ 08901	22-1487330	501(c)(3)	353,859		N/A	N/A	RESEARCH
CARNEGIE HALL IMAGING FOR WOMEN1245 MADISON AVENUE NEW YORK,NY 10128	20-3492938	501(c)(3)	16,022		N/A	N/A	RESEARCH
RARITAN BAY MEDICAL CENTER530 NEW BRUNSWICK AVENUE PERT AMBOY,NJ 08861	22-1494442	501(c)(3)	49,000		N/A	N/A	RESEARCH
UNIVERSITY OF MEDICINE AND DENTISTRY335 GEORGE ST PO BOX 2685 LIBERTY PLAZA NEW BRUNSWICK,NJ 08903	22-1775306	501(c)(3)	3,664,723		N/A	N/A	RESEARCH

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LIBERTY SCI CTR222 JERSEY CITY BLVD JERSEY CITY,NJ 07305	22-2302253	501(c)(3)	16,073		N/A	N/A	RESEARCH
ROWAN UNIVERSITY201 MULLICA HILL RD GLASSBORO,NJ 08028	22-2764819	501(c)(3)	65,092		N/A	N/A	RESEARCH
WAKE FOREST UNIVERSITY HSMEDICAL CTR BLVD 4TH FL WATTINGTON HALL WINSTONSALEM,NC 27157	22-3849199	501(c)(3)	11,473		N/A	N/A	RESEARCH
RUTGERS UNIVERSITY3 RUTGERS PLAZA NEW BRUNSWICK,NJ 08901	22-6001086	501(c)(3)	180,427		N/A	N/A	RESEARCH
CHILDRENS HOSPITAL OF PHILADELPHIAPO BOX 8500 LOCK BOX 1457 PHILADELPHIA,PA 19178	23-1352166	501(c)(3)	102,340		N/A	N/A	RESEARCH
TEMPLE UNIVERSITY1803 N BROAD STREET CARNELL HALL PHILADELPHIA,PA 19122	23-1365971	501(c)(3)	27,043		N/A	N/A	RESEARCH
TRUSTEES OF THE UNIVERSITY OF PA3451 WALNUT ST P221 FRANKLIN BUILDING PHILADELPHIA,PA 19104	23-1352685	501(c)(3)	532,373		N/A	N/A	RESEARCH
LEHIGH VALLEY HEALTH SERVICES2100 MACK BLVD 5TH FLOOR ALLENTOWN,PA 18103	23-1689692	501(c)(3)	28,713		N/A	N/A	RESEARCH
GEISINGER CLINIC100 NORTH ACADEMY AVENUE WEIS CENTER FOR RESEARCH DANVILLE,PA 17822	23-6291113	501(c)(3)	5,500		N/A	N/A	RESEARCH
ALBERT EINSTEIN MED COLLEGE5401 OLD YORK ROAD SUITE 363 SUITE 363/304 PHILADELPHIA,PA 19141	23-2664784	501(c)(3)	13,700		N/A	N/A	RESEARCH

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DREXEL UNIVERSITYPO BOX 95000-1010 PHILADELPHIA,PA 19195	23-2979433	501(c)(3)	86,491		N/A	N/A	RESEARCH
NDRI71 WEST 23RD STREET 8TH FLOOR NEW YORK,NY 10010	23-7009089	501(c)(3)	76,497		N/A	N/A	RESEARCH
FRED HUTCHINSON CANCER RESEARCH CENTER1100 FAIRVIEW AVE N PO BOX 19024 SEATTLE,WA 98109	23-7156071	501(c)(3)	131,875		N/A	N/A	RESEARCH
PENN STATE UNIVERSITY 227 W BEAVER AVE STE 401 401 RIDER II BUILDING STATE COLLEGE,PA 16801	24-6000376	501(c)(3)	64,329		N/A	N/A	RESEARCH
UNIVERSITY OF PITTSBURGHPO BOX 371220 PITTSBURGH,PA 15251	25-0965591	501(c)(3)	94,471		N/A	N/A	RESEARCH
CARNEGIE MELLON UNIVERSITYPO BOX 371032M PITTSBURGH,PA 15250	25-0969449	501(c)(3)	5,949		N/A	N/A	RESEARCH
DUQUESNE UNIVERSITY 600 FORBES AVENUE PITTSBURGH,PA 15282	25-1035663	501(c)(3)	10,894		N/A	N/A	RESEARCH
PENNSYLVANIA HOSPITAL 330 S 9 STREET PHILADELPHIA,PA 19107	31-1538725	501(c)(3)	9,540		N/A	N/A	RESEARCH
BATTELLEDEPT L 998 COLUMBUS,OH 43260	31-4379427	501(c)(3)	295,451		N/A	N/A	RESEARCH
UNIVERSITY OF CINCINNATIPO BOX 691031 CINCINNATI,OH 45269	31-6000989	501(c)(3)	361,772		N/A	N/A	RESEARCH

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OHIO STATE UNIVERSITY 1960 KENNY ROAD COLUMBUS, OH 43210	31-6025986	501(c)(3)	94,976		N/A	N/A	RESEARCH
APIC1275 K STREET NW SUITE 1000 WASHINGTON, DC 20009	23-7256856	501(c)(3)	10,600		N/A	N/A	RESEARCH
FAMILY HEALTH INTERNATIONAL PO BOX 13950 RES TRIANGLE PK, NC 27709	23-7413005	501(c)(3)	498,297		N/A	N/A	RESEARCH
CARE GROUP LLC 8333 NAAB ROAD SUITE 400 INDIANAPOLIS, IN 46260	35-2000276	501(c)(3)	25,200		N/A	N/A	RESEARCH
INDIANA UNIVERSITY PO BOX 66057 INDIANAPOLIS, IN 46266	35-6001673	501(c)(3)	579,624		N/A	N/A	RESEARCH
PURDUE UNIVERSITY 23510 NETWORK PLACE CHICAGO, IL 60673	35-6002041	501(c)(3)	86,997		N/A	N/A	RESEARCH
NORC AT THE UNIVERSITY OF CHICAGO 1155 EAST 60TH STREET CHICAGO, IL 60637	36-2187808	501(c)(3)	48,799		N/A	N/A	RESEARCH
NATIONAL OPINION RESEARCH CENTER 55 EAST MONROE STREET 20TH FLOOR CHICAGO, IL 60603	36-2167808	501(c)(3)	740,779		N/A	N/A	RESEARCH
RUSH UNIVERSITY MEDICAL CENTER 1700 WEST VAN BUREN FUND ACCOUNTING ROOM 277 CHICAGO, IL 60612	36-2174823	501(c)(3)	117,185		N/A	N/A	RESEARCH
UNIVERSITY OF CHICAGO 6054 SOUTH DREXEL AVENUE CHICAGO, IL 60637	36-2177139	501(c)(3)	1,537,357		N/A	N/A	RESEARCH

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AMER REFUGEE COMM430 OAK GROVE STREET SUITE 204 MINNEAPOLIS,MN 55403	36-3241033	501(c)(3)	718,769		N/A	N/A	RESEARCH
NORTHERN ILLINOIS UNIVERSITYLOWDEN HALL 201 DEKALB,IL 60115	36-6008480	501(c)(3)	19,073		N/A	N/A	RESEARCH
UNIVERSITY OF ILLINOIS AT CHICAGOPO BOX 20787 SPRINGFIELD,IL 62708	37-6000511	501(c)(3)	370,651		N/A	N/A	RESEARCH
MICHIGAN STATE UNIVERSITY301 ADMINISTRATION BLDG EAST LANSING,MI 48824	38-6005984	501(c)(3)	226,411		N/A	N/A	RESEARCH
UNIVERSITY OF MICHIGAN 3003 S STATE STREET 3089 WOLVERINE TOWER ANN ARBOR,MI 48109	38-6006309	501(c)(3)	1,894,879		N/A	N/A	RESEARCH
MEDICAL COLLEGE OF WISCONSIN8701 WATERTOWN PLANK ROAD MILWAUKEE,WI 53226	39-0806261	501(c)(3)	8,492		N/A	N/A	RESEARCH
MAYO CLINIC200 1ST STREET SW ROCHESTER,MN 55905	41-6011702	501(c)(3)	443,548		N/A	N/A	RESEARCH
UNIVERSITY OF IOWAB5 JESSUP HALL IOWA CITY,IA 52242	42-6004813	501(c)(3)	223,104		N/A	N/A	RESEARCH
WA UNIVERSITY700 ROSEDALE AVENUE CAMPUS BOX 1034 ST LOUIS,MO 63112	43-0653611	501(c)(3)	486,196		N/A	N/A	RESEARCH
ST JOHNS MERCY HEALTH SYSTEM615 SOUTH NEW BALLAS ROAD ST LOUIS,MO 63141	43-0653493	501(c)(3)	43,636		N/A	N/A	RESEARCH

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NAZARENE COMPASSIONATE12351 W 96TH TERRACE SUIRE 100 LENEXA,KS 66215	43-1550318	501(c)(3)	309,597		N/A	N/A	RESEARCH
UNIVERSITY OF MO COLUMBIA ARPO BOX 807012 KANSAS CITY,MO 64180	43-6003859	501(c)(3)	80,431		N/A	N/A	RESEARCH
SANFORD RES USD2301 EAST 60TH STREET NORTH SIOUX FALLS,SD 57104	46-0450378	501(c)(3)	14,482		N/A	N/A	RESEARCH
CREIGHTON UNIVERSITY 2500 CALIFORNIA PLAZA OMAHA,NE 68178	47-0376583	501(c)(3)	219,859		N/A	N/A	RESEARCH
ST LOUIS UNIVERSITY3700 WEST PINE MALL FUSZ MEMORIAL HALL SUITE 357 ST LOUIS,MO 63108	43-0654872	501(c)(3)	111,766		N/A	N/A	RESEARCH
UNIVERSITY OF KANSAS MEDICAL CENTER RESEARCH INST3901 RAINBOW BLVD A/P MAIL STOP 1039 KANSAS CITY,KS 66160	48-1108830	501(c)(3)	106,774		N/A	N/A	RESEARCH
UNIVERSITY OF OREGON 5219 UNIVERSITY OF OREGON EUGENE,OR 97403	48-1278531	501(c)(3)	9,445		N/A	N/A	RESEARCH
ST LUKES FOUND CV CLINICAL RESEARCH4401 WORNALL ROAD KANSAS CITY,MO 64111	44-6014699	501(c)(3)	33,700		N/A	N/A	RESEARCH
CHRISTIANA CARE HEALTH SERVICES4735 OGLETOWN-STANTON ROAD MAP 2 SUITE 3203 NEWARK,DE 19713	51-0103684	501(c)(3)	814,102		N/A	N/A	RESEARCH
RETINA FOUND OF THE SOUTHWEST9900 NCENTRAL EXPRESSWAY SUITE 400 DALLAS,TX 75231	51-0151514	501(c)(3)	129,375		N/A	N/A	RESEARCH

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LOVELACE BIOMEDICAL AND ENV2425 RIDGECREST DR SE ALBUQUERQUE,NM 87108	51-0154068	501(c)(3)	35,447		N/A	N/A	RESEARCH
MAGEE SCIENTIFIC1101 COWPER STREET BERKELEY,CA 94702	51-0620129	501(c)(3)	69,943		N/A	N/A	RESEARCH
JOHNS HOPKINS UNIVERSITY1101 E 33RD STREET SUITE C200 BALTIMORE,MD 21218	52-0595110	501(c)(3)	370,460		N/A	N/A	RESEARCH
WA ADVENTIST STUDY SIT PO BOX 64147 BALTIMORE,MD 21264	52-1532556	501(c)(3)	27,550		N/A	N/A	RESEARCH
NEW AMER FOUND1899 L STREET NW SUITE 400 WASHINGTON,DC 20036	52-2096845	501(c)(3)	11,114		N/A	N/A	RESEARCH
MEDSTAR RESEARCH INSTITUTEBOX 632010 BALTIMORE,MD 21263	52-6056274	501(c)(3)	14,500		N/A	N/A	RESEARCH
UNIVERSITY OF MARYLAND 4101 CHESAPEAKE BLDG COLLEGE PARK,MD 20742	52-6002033	501(c)(3)	550,437		N/A	N/A	RESEARCH
GEORGE WASHINGTON UNIVERSITY44983 KNOLL SQUARE BUILDING II SECOND FLOOR ASHBURN,VA 20147	53-0196584	501(c)(3)	264,706		N/A	N/A	RESEARCH
GEORGETOWN UNIVERSITY BOX 571164 WASHINGTON,DC 20057	53-0196603	501(c)(3)	442,159		N/A	N/A	RESEARCH
NATIONAL AERONAUTICS AND SPACE4800 OAK GROVE DRIVE PASADENA,CA 91109	53-0201509	501(c)(3)	90,000		N/A	N/A	RESEARCH

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SMITHSONIAN INSTITUTE 24411 NETWORK PLACE CHICAGO, IL 60673	53-0206027	501(c)(3)	66,086		N/A	N/A	RESEARCH
PATHFINDER INTERNATIONAL9 GALEN ST SUITE 217 WATERTOWN, MA 02472	53-0235320	501(c)(3)	827,401		N/A	N/A	RESEARCH
CHILDFUND INTERNATIONAL USA2821 EMERYWOOD PARKWAY PO BOX 26484 RICHMOND, VA 23261	54-0536100	501(c)(3)	32,188		N/A	N/A	RESEARCH
NATIONAL DENTAL ASSOCIATION3517 16TH STREET NW WASHINGTON, DC 20010	54-0315311	501(c)(3)	33,000		N/A	N/A	RESEARCH
UNIVERSITY OF VIRGINIA PO BOX 400195 CHARLOTTESVILLE, VA 22904	54-6001796	501(c)(3)	21,648		N/A	N/A	RESEARCH
DUKE UNIVERSITY2200 WEST MAIN STREET SUITE 300 DURHAM, NC 27705	56-0532129	501(c)(3)	38,911		N/A	N/A	RESEARCH
NORTH CAROLINA AT STATE UNIVERSITY1601 E MARKET STREET GREENSBORO, NC 27411	56-6000007	501(c)(3)	326,438		N/A	N/A	RESEARCH
NC STATE UNIVERSITYPO BOX 7214 RALEIGH, NC 27695	56-6000756	501(c)(3)	93,706		N/A	N/A	RESEARCH
UNIVERSITY OF NORTH CAROLINA104 AIRPORT DRIVE CB 1350 CHAPEL HILL, NC 27599	56-6001393	501(c)(3)	408,798		N/A	N/A	RESEARCH
THE UNIVERSITY OF NORTH CAROLINA AT GREENSBOROPO BOX 26170 GREENSBORO, NC 27402	56-6001468	501(c)(3)	31,514		N/A	N/A	RESEARCH

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EMORY UNIVERSITY1599 CLIFTON ROAD NE 4TH FLOOR MS 1599-001-1BH ATLANTA,GA 30322	58-0566256	501(c)(3)	344,314		N/A	N/A	RESEARCH
CENTER FOR FETAL MED AND WOMEN6310 W SAN VINCENTE BLVD 510 LOS ANGELES,CA 90048	95-3865023	501(c)(3)	6,250		N/A	N/A	RESEARCH
UGA RES FOUND INC475 NORTH LUMPKIN STREET ATHENS,GA 30602	58-1353149	501(c)(3)	8,069		N/A	N/A	RESEARCH
MOREHOUSE SCHOOL OF MEDICINE720 WESTVIEW DRIVE SW ATLANTA,GA 30310	58-1438873	501(c)(3)	16,100		N/A	N/A	RESEARCH
GEORGIA STATE UNIVERSITYPO BOX 3999 ATLANTA,GA 30302	58-1845423	501(c)(3)	32,159		N/A	N/A	RESEARCH
UNIVERSITY OF MIAMIPO BOX 025405 MIAMI,FL 33102	59-0624458	501(c)(3)	951,827		N/A	N/A	RESEARCH
UNIVERSITY OF SOUTH FLORIDA4202 EAST FOWLER AVENUE ADM 147 TAMPA,FL 33620	59-3102112	501(c)(3)	139,986		N/A	N/A	RESEARCH
MAYO CLINIC JACKSONVILLE4500 SAN PABLO ROAD GRIFFIN MEDICAL RESEARCH JACKSONVILLE,FL 32224	59-3337028	501(c)(3)	132,409		N/A	N/A	RESEARCH
UNIVERSITY OF FLORIDA PO BOX 113001 GAINESVILLE,FL 32611	59-6001874	501(c)(3)	241,323		N/A	N/A	RESEARCH
CLINICAL RES FOUND INC 800 ZORN AVENUE LOUISVILLE,KY 40206	61-1144514	501(c)(3)	10,000		N/A	N/A	RESEARCH

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UNIVERSITY OF KENTUCKY RES FOUNDPO BOX 931113 CLEVELAND,OH 44193	61-6033693	501(c)(3)	72,148		N/A	N/A	RESEARCH
VANDERBILT UNIVERSITY MEDICAL CENTERDEPT AT 40303 ATLANTA,GA 31192	62-0476822	501(c)(3)	381,857		N/A	N/A	RESEARCH
UNIVERSITY OF TENNESSEE210 STUDENT SERV BLDG KNOXVILLE,TN 37996	62-6001636	501(c)(3)	96,760		N/A	N/A	RESEARCH
UNIVERSITY OF ALABAMA AT BIRMINGHAM1530 3RD AVENUE S AB 990 BIRMINGHAM,AL 35294	63-6005396	501(c)(3)	176,601		N/A	N/A	RESEARCH
UNIVERSITY OF ARKANSAS PO BOX 1404 FAYETTEVILLE,AR 72702	71-6003252	501(c)(3)	35,702		N/A	N/A	RESEARCH
TULANE UNIVERSITY800 EAST COMMERCE RD SUITE 203 HARAHAN,LA 70123	72-0423889	501(c)(3)	73,957		N/A	N/A	RESEARCH
LSU HEALTH SCIENCES CENTER1501 KINGS HIGHWAY 1-214 SHREVEPORT,LA 71103	72-0702002	501(c)(3)	33,959		N/A	N/A	RESEARCH
LOUISIANA STATE UNIVERSITYOFFICE OF ACCOUNTING SERVICES SPONSORED PROGRAM ACCOUNTING BATON ROUGE,LA 70803	72-6000848	501(c)(3)	44,442		N/A	N/A	RESEARCH
SOUTHWEST RES INSTPO BOX 841671 DALLAS,TX 75284	74-1070544	501(c)(3)	71,510		N/A	N/A	RESEARCH
UTHSCSA CDE7703 FLOYD CURL DR MC 7930 SAN ANTONIO,TX 78229	74-1586031	501(c)(3)	27,521		N/A	N/A	RESEARCH

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WILLIAM S MIDDLETON MEM2500 OVERLOOK TERRACE MADISON,WI 53705	74-1612229	501(c)(3)	15,208		N/A	N/A	RESEARCH
BAYLOR COLLEGE OF MEDICINE1 BAYLOR PLAZA MS 225 HOUSTON,TX 77030	74-1613878	501(c)(3)	390,931		N/A	N/A	RESEARCH
NATIONAL JEWISH HEALTH PO BOX 17379 DENVER,CO 80217	74-2044647	501(c)(3)	15,626		N/A	N/A	RESEARCH
UNIVERSITY OF ARIZONA PO BOX 3520 TUCSON,AZ 85722	74-2652689	501(c)(3)	272,109		N/A	N/A	RESEARCH
UNIVERSITY OF TEXAS AT AUSTINPO BOX 7159 AUSTIN,TX 78713	74-6000203	501(c)(3)	488,752		N/A	N/A	RESEARCH
UNIVERSITY OF TEXAS MED BRANCHPO BOX 4786-750 HOUSTON,TX 77210	74-6000949	501(c)(3)	239,165		N/A	N/A	RESEARCH
SOUTHERN METHODIST UNIVERSITYPO BOX 750259 DALLAS,TX 75275	75-0806689	501(c)(3)	717,056		N/A	N/A	RESEARCH
TRANSLATIONAL GENOMICS RES INS445 N FIFTH STREET SUITE 600 PHOENIX,AZ 85004	75-3065445	501(c)(3)	265,588		N/A	N/A	RESEARCH
UNIVERSITY OF TEXAS AT ARLINGTONPO BOX 19136 ARLINGTON,TX 76019	75-6000121	501(c)(3)	1,156,291		N/A	N/A	RESEARCH
UNIVERSITY OF TEXAS SOUTHWESTERN MEDPO BOX 841765 OFFICE OF POST AWARD ADM DALLAS,TX 75284	75-6002868	501(c)(3)	224,765		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PAIRE INCPO BOX V-38 PALO ALTO, CA 94304	77-0207331	501(c)(3)	30,959		N/A	N/A	RESEARCH
UNIVERSITY OF MA MED SCHOOL55 LAKE AVENUE NORTH WORCHESTER, MA 01605	82-5530000	501(c)(3)	20,000		N/A	N/A	RESEARCH
DENVER RES INST1055 CLERMONT STREET VAMC-151 DENVER, CO 80200	84-1392442	501(c)(3)	33,260		N/A	N/A	RESEARCH
ASPEN INSTITUTEONE DUPONT CIRCLE SUITE 700 WASHINGTON, DC 20036	84-0399006	501(c)(3)	60,226		N/A	N/A	RESEARCH
UNIVERSITY OF COLORADOPO BOX 238 OFFICE OF GRANTS CONTRACTS F428 DENVER, CO 80291	84-6000555	501(c)(3)	193,497		N/A	N/A	RESEARCH
DENVER HEALTH MEDICAL CENTERPO BOX 17093 DENVER, CO 80217	84-1343242	501(c)(3)	5,020		N/A	N/A	RESEARCH
UNIVERSITY OF NEW MEXICO1 UNIVERSITY OF NEW MEXICO ALBUQUERQUE, NM 87131	85-6000642	501(c)(3)	89,676		N/A	N/A	RESEARCH
ARIZONA STATE UNIVERSITYPO BOX 873503 TEMPE, AZ 85287	86-0196696	501(c)(3)	754,633		N/A	N/A	RESEARCH
UNIVERSITY OF UTAH201 S PRESIDENTS CIR RM 406 SALT LAKE CITY, UT 84112	87-6000525	501(c)(3)	16,546		N/A	N/A	RESEARCH
UNIVERSITY OF ARIZONA PO BOX 3520 SPONSORED PROJECTS SERVICES TUCSON, AZ 85722	86-6004791	501(c)(3)	126,462		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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SIERRA BIOMEDICAL RES CORP1000 LOCUST STREET RENO, NV 89502	88-0250268	501(c)(3)	12,800		N/A	N/A	RESEARCH
AMERICAN DENTAL ED ASSOC1400 K STREET NW SUITE 1100 WASHINGTON,DC 20005	91-1993281	501(c)(3)	8,000		N/A	N/A	RESEARCH
SEATTLE INSTITUTE1660 S COLUMBIAN WAY S-151F SEATTLE,WA 98108	91-1452438	501(c)(3)	340,685		N/A	N/A	RESEARCH
UNIVERSITY OF WASHINGTON3917 UNIVERSITY WAY NE SEATTLE,WA 98105	91-6001537	501(c)(3)	698,551		N/A	N/A	RESEARCH
SAN FRANCISCO STATE UNIVERSITY CARE1600 HOLLOWAY AVENUE STUDENT SERVICES BLDG 206 SAN FRANCISCO,CA 94132	93-1137247	501(c)(3)	5,154		N/A	N/A	RESEARCH
OREGON HEALTH SCIENCE UNIVERSITY2525 SW FIRST AVE SUITE 220 PORTLAND,OR 97201	93-1176109	501(c)(3)	143,101		N/A	N/A	RESEARCH
PORTLAND STATE UNIVERSITYPO BOX 751 BUSINESS AFFAIRS PORTLAND,OR 97207	93-6001786	501(c)(3)	5,110		N/A	N/A	RESEARCH
KAISER FOUND RES INST 1800 HARRISON ST 16TH FLR OAKLAND,CA 94612	94-1105628	501(c)(3)	263,485		N/A	N/A	RESEARCH
STANFORD UNIVERSITYPO BOX 44253 SAN FRANCISCO,CA 94144	94-1156365	501(c)(3)	32,538		N/A	N/A	RESEARCH
SRI INTLPO BOX 2767 MENLO PARK,CA 94025	94-1160950	501(c)(3)	32,460		N/A	N/A	RESEARCH

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UNIVERSITY OF CA SANTA CRUZ1156 HIGH ST SANTA CRUZ, CA 95064	94-1539563	501(c)(3)	442,518		N/A	N/A	RESEARCH
PUB HEALTH INST555 12TH STREET 10TH FLOOR OAKLAND, CA 94607	94-1646278	501(c)(3)	273,100		N/A	N/A	RESEARCH
CHILDRENS HOSPITAL OF ORANGE455 SOUTH MAIN STREET ORANGE, CA 92868	95-2321786	501(c)(3)	9,020		N/A	N/A	RESEARCH
NORTHERN CALIFORNIA INSTITUTE4150 CLEMENT STREET SAN FRANCISCO, CA 94121	94-3084159	501(c)(3)	272,959		N/A	N/A	RESEARCH
REGENTS OF THE UNIVERSITY OF CALIFORNIA2195 HEARST AVENUE ROOM 130 MC 1103 BERKELEY, CA 94720	94-6002123	501(c)(3)	38,242		N/A	N/A	RESEARCH
UNIVERSITY OF CALIFORNIA BERKELEY 2195 HEARST AVENUE ROOM 130 BERKELEY, CA 94720	94-6022123	501(c)(3)	190,295		N/A	N/A	RESEARCH
REGENTS OF THE UNIVERSITY OF CALIFORNIAACCOUNTING OFFICE EMF BOX 0815 UNIV OF CA SAN FRANCISCO SAN FRANCISCO, CA 94143	94-6036493	501(c)(3)	358,290		N/A	N/A	RESEARCH
REGENTS OF THE UNIVERSITY OF CALIFORNIA DAVISPO BOX 989062 WEST SACRAMENTO, CA 95798	94-6036494	501(c)(3)	445,312		N/A	N/A	RESEARCH
UNIVERSITY OF SOUTHERN CALIFORNIA 3500 S FIGUEROA STREET SUITE 102 LOS ANGELES, CA 90089	95-1642394	501(c)(3)	99,371		N/A	N/A	RESEARCH
CALIFORNIA INSTITUTE OF TECHNOLOGY1200 E CALIFORNIA BLVD MAIL CODE 117-15 PASADENA, CA 91125	95-1643307	501(c)(3)	250,604		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CHILDRENS HOSPITAL 4650 SUNSET BOULEVARD LOS ANGELES,CA 90027	95-1690977	501(c)(3)	353,390		N/A	N/A	RESEARCH
RANDFILE 53174 LOS ANGELES,CA 90074	95-1958142	501(c)(3)	381,132		N/A	N/A	RESEARCH
UNIVERSITY OF CALIFORNIA IRVINE111 ACADEMY WAY SUITE 210 IRVINE,CA 92697	95-2226406	501(c)(3)	1,462,543		N/A	N/A	RESEARCH
BRENTWOOD BIOMEDICAL RES INST11301 WILSHIRE BLVD BLDG 114 ROOM 218 LOS ANGELES,CA 90073	95-4183712	501(c)(3)	24,592		N/A	N/A	RESEARCH
UNIVERSITY OF CALIFORNIA LOS ANGELES 10920 WILSHIRE BLVD SUITE 107 LOS ANGELES,CA 90024	95-6006143	501(c)(3)	334,298		N/A	N/A	RESEARCH
UNIVERSITY OF CALIFORNIA SAN DIEGO 9500 GILMAN DR LA JOLLA,CA 92093	95-6006144	501(c)(3)	185,060		N/A	N/A	RESEARCH
UNIVERSITY OF HAWAII 2530 DOLE STREET SAKAMAKI D200 HONOLULU,HI 96822	99-6000354	501(c)(3)	21,592		N/A	N/A	RESEARCH
FIDELITY CHARITABLE GIFT FUNDPO BOX 770001 CINCINNATI,OH 45277	11-0303001	501(C)(3)	100,000		N/A	N/A	DONOR ADVISED FUND
HARLEM CHILDRENS ZONE 35 E 125TH STREET NEW YORK,NY 10035	23-7112974	501(C)(3)	250,000		N/A	N/A	DONOR ADVISED FUND
COMMUNITY IMPACT105 EARL HALL MC2010 2980 BROADWAY NEWYORK,NY 10027	13-3386904	501(C)(3)	50,000		N/A	N/A	DONOR ADVISED FUND

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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THE BREARLY SCHOOL610 E 83RD STREET NEW YORK,NY 10028	13-0623915	501(C)(3)	10,000		N/A	N/A	DONOR ADVISED FUND
THE BROOKINGS INSTITUTE1775 MASSACHUSETTS AVE NW WASHINGTON, DC 200362103	53-0196577	501(C)(3)	10,000		N/A	N/A	DONOR ADVISED FUND
CITIZENS HOUSING AND PLANNING COUNSEL42 BROADWAY SUITE 2010 NEW YORK,NY 10004	13-1782468	501(C)(3)	25,000		N/A	N/A	DONOR ADVISED FUND
COLUMBIA BARNARD HILLEL606 W 115TH STREET NEW YORK,NY 10025	23-7077182	501(C)(3)	25,000		N/A	N/A	DONOR ADVISED FUND
ETHICAL CULTURE FIELDSTON SCHOOL33 CENTRAL PARK WEST NEW YORK,NY 10023	13-3862529	501(C)(3)	10,000		N/A	N/A	DONOR ADVISED FUND
SETTLEMENT HOUSING FUND INC247 W 37TH STREET 4 FL NEW YORK,NY 10018	23-7078882	501(C)(3)	15,000		N/A	N/A	DONOR ADVISED FUND
LAKE WARAMAUG TASK FORCE INC19 SACKETT HILL ROAD WARREN,CT 06754	06-1063687	501(C)(3)	10,000		N/A	N/A	DONOR ADVISED FUND
LINCOLN CENTER FOR THE PERFORMING ARTSANNUAL FUND 70 LINCOLN CENTER PLAZA NEW YORK,NY 10023	13-1847137	501(C)(3)	75,000		N/A	N/A	DONOR ADVISED FUND
OUT TO PLAY INC1560 BROADWAY SUITE 805 NEW YORK,NY 10035	56-2519908	501(C)(3)	15,000		N/A	N/A	DONOR ADVISED FUND
WNYC160 VARICK STREET NEW YORK,NY 10013	13-3015230	501(C)(3)	25,000		N/A	N/A	DONOR ADVISED FUND

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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ISLAND AFFORDABLE HOUSING FUND INCPO BOX 4769 VINEYARD HAVEN,MA 02568	04-3438639	501(C)(3)	80,000		N/A	N/A	DONOR ADVISED FUND
UNIVERSITY OF PENNSYLVANIA3451 WALNUT STREET ROOM 329 PHILADELPHIA, PA 191046284	23-1352685	501(C)(3)	1,000,000		N/A	N/A	DONOR ADVISED FUND
ADULTS AND CHILDREN IN TRUST EARLY YEARS PROGRAM1047 AMSTERDAM AVENUE NEW YORK,NY 10025	13-1623935	501(C)(3)	6,000		N/A	N/A	GENERAL COSTS
ALEXANDER ROBERTSON SCHOOL3 WEST 95TH STREET NEW YORK,NY 10025	13-1160095	501(C)(3)	8,000		N/A	N/A	FINANCIAL AID
BROADWAY COMMUNITY INC601 WEST 114TH STREET NEW YORK,NY 10025	13-3652817	501(C)(3)	10,000		N/A	N/A	FOOD SUPPLIES
BROADWAY PRESBYTERIAN CHURCHBROADWAY PRESBYTERIAN NURSERY SCHOO 601 WEST 114TH STREET NEW YORK,NY 10025	13-1623916	501(C)(3)	6,000		N/A	N/A	FINANCIAL AID
CATHEDRAL COMMUNITY CARES OF ST JOHN1047 AMSTERDAM AVENUE NEW YORK,NY 10025	13-1623934	501(C)(3)	7,000		N/A	N/A	FOOD SUPPLIES
CHILDRENS LEARNING CENTER OF MORNINGSIDE HEIGHTS90 LA SALLE STREET NEW YORK,NY 10025	13-4111840	501(C)(3)	9,000		N/A	N/A	FINANCIAL AID
COMMUNITY IMPACT INC COLUMBIA UNIVERSITY 2980 BROADWAY 105 EARL HALL NEW YORK,NY 10027	13-3386904	501(C)(3)	10,000		N/A	N/A	YOUTH PROGRAMS
THE FAMILY ANNEX DAYCARE CENTER560 WEST 113TH STREET NEW YORK,NY 10025	13-3083081	501(C)(3)	5,050		N/A	N/A	FINANCIAL AID

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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FIGURE SKATING IN HARLEM361 WEST 125TH STREET FOURTH FLOOR NEW YORK,NY 10027	13-3945168	501(C)(3)	6,000		N/A	N/A	YOUTH DEVELOPMENT
GRAHAM WINDHAM BEACON CENTER YOUTH LITERACY PROGRA625 WEST 133RD STREET ROOM 252 NEW YORK,NY 10027	13-2926426	501(C)(3)	6,000		N/A	N/A	FAMILY ENRICHMENT
HALE HOUSE CENTER INC 152 WEST 122ND STREET NEW YORK,NY 10027	13-2700687	501(C)(3)	9,000		N/A	N/A	FINANCIAL AID
HARLEM ACADEMY1330 FIFTH AVENUE NEW YORK,NY 10026	56-2454573	501(C)(3)	9,000		N/A	N/A	FINANCIAL AID
HARLEM HEALTH PROMOTION CENTER PROJECT STAY215 WEST 125TH STREET FIRST FLOOR NEW YORK,NY 10027	13-5598093	501(C)(3)	6,000		N/A	N/A	VIDEO PROJECT
PROJECT HEALTH88 EAST NEWTON STREET VOSE 521 BOSTON,MA 02118	45-0484533	501(C)(3)	7,000		N/A	N/A	VOLUNTEER TRAINING
PURPLE CIRCLE DAY CARE 251 WEST 100TH STREET NEW YORK,NY 10022	13-3073319	501(C)(3)	8,000		N/A	N/A	FINANCIAL AID
REV LINNETTE C WILLIAMSON MEMORIAL PARK ASSOCIAT141 WEST 139TH STREET C44 NEW YORK,NY 10030	13-3861293	501(C)(3)	6,000		N/A	N/A	SUPPLIES FOR YOUTH
TOP HONORS INC323 WEST 75TH STREET 4E NEW YORK,NY 10023	75-3062601	501(C)(3)	8,000		N/A	N/A	TUTORING SUPPLIES
WENDY HILLIARD FOUNDATION792 COLUMBUS AVENUE 17T NEW YORK,NY 10025	13-3879321	501(C)(3)	10,000		N/A	N/A	GYM RENTAL

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WEST SIDE CAMPAIGN AGAINST HUNGER263 WEST 86TH STREET NEW YORK, NY 10024	13-1635259	501(C)(3)	10,000	5,700	FMV	3,800 lbs	SUPPLIES FOR PANTRY
WESTSIDE CRIME PREVENTION PROGRAM 893 AMSTERDAM AVENUE NEW YORK, NY 10025	13-3087186	501(C)(3)	6,000		N/A	N/A	TAMAR LYNN PROGRAM
UNFPA220 EAST 42ND STREET NEW YORK, NY 10017	13-3996346	501(C)(3)	604,028		N/A	N/A	research
columbia university press61 west 62nd street new york, NY 10023	13-2623968	501(c)(3)	3,386,000		n/a	n/a	general support
reid hall205 low memorial library new york, NY 10027	13-1611126	501(c)(3)	227,000		n/a	n/a	general support
columbia ophthalmology consultants635 w 165th street rm 218 new york, NY 10032	13-3908637	501(c)(3)	1,525,000		n/a	n/a	general support
columbia university healthcare inc630 w 168th street new york, NY 10032	13-3948652	501(c)(3)	3,538,515		n/a	n/a	general support

Schedule J
(Form 990)

Compensation Information

OMB No 1545-0047

Department of the Treasury
Internal Revenue Service

For certain Officers, Directors, Trustees, Key Employees, and Highest
Compensated Employees
▶ Complete if the organization answered "Yes" to Form 990,
Part IV, question 23.
▶ Attach to Form 990. ▶ See separate instructions.

2009
Open to Public
Inspection

Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	Employer identification number 13-5598093
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Part I

Questions Regarding Compensation

	Yes	No
<div>1a</div> <div>Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items</div> <div><div><div><input type="checkbox"/> First-class or charter travel</div><div><input checked="" type="checkbox"/> Travel for companions</div><div><input checked="" type="checkbox"/> Tax idemnification and gross-up payments</div><div><input type="checkbox"/> Discretionary spending account</div></div><div><div><input checked="" type="checkbox"/> Housing allowance or residence for personal use</div><div><input type="checkbox"/> Payments for business use of personal residence</div><div><input type="checkbox"/> Health or social club dues or initiation fees</div><div><input checked="" type="checkbox"/> Personal services (e g , maid, chauffeur, chef)</div></div></div>		
<div>b</div> <div>If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all the expenses described above? If "No," complete Part III to explain</div>	Yes	
<div>2</div> <div>Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?</div>	Yes	
<div>3</div> <div>Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply</div> <div><div><div><input checked="" type="checkbox"/> Compensation committee</div><div><input checked="" type="checkbox"/> Independent compensation consultant</div><div><input checked="" type="checkbox"/> Form 990 of other organizations</div></div><div><div><input type="checkbox"/> Written employment contract</div><div><input checked="" type="checkbox"/> Compensation survey or study</div><div><input checked="" type="checkbox"/> Approval by the board or compensation committee</div></div></div>		
<div>4</div> <div>During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization</div>		
<div>a</div> <div>Receive a severance payment or change-of-control payment?</div>		No
<div>b</div> <div>Participate in, or receive payment from, a supplemental nonqualified retirement plan?</div>	Yes	
<div>c</div> <div>Participate in, or receive payment from, an equity-based compensation arrangement?</div> <div>If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III</div>		No
<div>Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.</div>		
<div>5</div> <div>For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of</div>		
<div>a</div> <div>The organization?</div>	Yes	
<div>b</div> <div>Any related organization?</div> <div>If "Yes," to line 5a or 5b, describe in Part III</div>		No
<div>6</div> <div>For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of</div>		
<div>a</div> <div>The organization?</div>		No
<div>b</div> <div>Any related organization?</div> <div>If "Yes," to line 6a or 6b, describe in Part III</div>		No
<div>7</div> <div>For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III</div>	Yes	
<div>8</div> <div>Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III</div>	Yes	
<div>9</div> <div>If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?</div>	Yes	

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

[illegible]

Part III

Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
SUPPLEMENTAL COMPENSATION INFORMATION		<p>NOTES TO PART I, LINE 1A TRAVEL FOR COMPANIONS IN CERTAIN CIRCUMSTANCES, THE SPOUSE OF THE PRESIDENT OR OTHER KEY EMPLOYEE MAY TRAVEL FOR UNIVERSITY PURPOSES. EXAMPLES OF SUCH TRAVEL INCLUDE ATTENDANCE AT FUNDRAISING OR ALUMNI EVENTS, AS WELL AS REPRESENTING THE UNIVERSITY AT AWARDS PRESENTATIONS OR INAUGURATIONS. IN ACCORDANCE WITH APPLICABLE LEGAL STANDARDS, THE UNIVERSITY WILL PAY FOR SPOUSAL TRAVEL AS A REGULAR BUSINESS EXPENSE IF THE SPOUSAL TRAVEL SERVES A "BONA FIDE BUSINESS PURPOSE" OF THE UNIVERSITY. TAX INDEMNIFICATION AND GROSS-UP PAYMENTS AS APPROVED BY THE BOARD OF TRUSTEES, THE UNIVERSITY PAYS CERTAIN MEDICAL, DENTAL AND DISABILITY INSURANCE PREMIUMS ON THE PRESIDENT'S BEHALF, INCLUDING A TAX GROSS-UP ON THAT AMOUNT. THE TOTAL AMOUNT FOR PREMIUMS AND THE TAXES THEREON (\$15,878) IS SHOWN IN COLUMN (B)(III) AS OTHER REPORTABLE COMPENSATION. HOUSING ALLOWANCE OR RESIDENCE FOR PERSONAL USE/PERSONAL SERVICES AS A CONDITION OF EMPLOYMENT, THE PRESIDENT IS REQUIRED TO LIVE IN A HOME ON THE UNIVERSITY'S CAMPUS WHICH IS FURNISHED AND MAINTAINED AT THE UNIVERSITY'S EXPENSE. THE PRESIDENT'S HOUSING HAS BEEN VALUED AT \$325,000 AND IS REPORTED AS A NON-TAXABLE BENEFIT. THE UNIVERSITY ALSO PROVIDES A CAR AND DRIVER TO BE USED BY THE PRESIDENT IN CONNECTION WITH HIS DUTIES. THE PRESIDENT IS RESPONSIBLE FOR ANY PERSONAL USE OF THE HOUSEHOLD STAFF OR CAR AND DRIVER AND OTHER PERSONAL EXPENSES. PERSONAL SERVICES OTHER REPORTABLE COMPENSATION INCLUDES \$6,294 IMPUTED TO DR. GOLDMAN WITH RESPECT TO PERSONAL USE OF A CAR AND DRIVER PROVIDED BY THE UNIVERSITY (SEE PART I, COLUMN (B)(III)).</p> <p>NOTE TO PART I, LINE 4B THE UNIVERSITY HAS AGREED TO PROVIDE PRESIDENT BOLLINGER WITH SUPPLEMENTAL RETIREMENT INCOME BASED ON CUMULATIVE ANNUAL CREDITS INCLUDING AMOUNTS FOR ACHIEVING PERFORMANCE MEASURES, SUBJECT TO SATISFYING VESTING CONDITIONS RELATED TO CONTINUING SERVICES TO THE UNIVERSITY. CREDITS AWARDED DURING THE CALENDAR YEAR REPORTING PERIOD (\$250,000) ARE INCLUDED AS DEFERRED COMPENSATION. NOTE TO PART I, LINE 5A DR. DAVID SILVER'S COMPENSATION IS COMPRISED OF BASE COMPENSATION AND INCENTIVE COMPENSATION DETERMINED FROM HIS PERFORMANCE OF, AND REVENUES FROM, CLINICAL DUTIES IN THE UNIVERSITY'S DERMATOPATHOLOGY PRACTICE WHERE HE IS THE DIRECTOR, AND IS SUBJECT TO A CAP FOR EACH ACADEMIC YEAR. NOTE TO PART I, LINE 7 FORMER PRESIDENT GEORGE RUPP RECEIVED A \$303,663 PAYMENT DURING THE CALENDAR YEAR REPORTING PERIOD IN 2009 UNDER A PROGRAM BASED UPON HIS PRIOR SERVICE TO THE UNIVERSITY. NOTE TO N P NARVEKAR AND PETER HOLLAND'S COMPENSATION THE UNIVERSITY HAS IMPLEMENTED A BONUS PROGRAM UNDER WHICH MR. NARVEKAR AND MR. HOLLAND ARE AWARDED PERFORMANCE-BASED BONUSES, A PORTION OF WHICH IS PAID CURRENTLY AND A PORTION OF WHICH IS SUBJECT TO SATISFYING VESTING CONDITIONS RELATED TO CONTINUING SERVICE WITH THE UNIVERSITY. BONUS AMOUNTS AWARDED AND DEFERRED FROM PREVIOUS PERIODS WITH EARNINGS THEREON PAID DURING THE CALENDAR YEAR REPORTING PERIOD ARE INCLUDED AS BONUS AND INCENTIVE COMPENSATION IN COLUMN (B)(II). AMOUNTS AWARDED DURING THE CALENDAR YEAR REPORTING PERIOD BUT SUBJECT TO VESTING ARE INCLUDED AS DEFERRED COMPENSATION IN COLUMN (C). COLUMN (F) COMPENSATION REPORTED IN PRIOR FORM 990. THIS AMOUNT IS ALSO INCLUDED IN COLUMN (E), PART II. THEREFORE, THE NUMBER IN COLUMN (E) REPRESENTS SOME DOUBLE REPORTING. THE ACTUAL AMOUNT EARNED DURING THE CALENDAR YEAR REPORTING PERIOD (CALCULATED BY SUBTRACTING COLUMN (F) FROM COLUMN (E)) IS \$2,274,811 FOR MR. NARVEKAR AND \$2,044,134 FOR MR. HOLLAND. NOTE TO DR. MOSES', DR. SMITH'S, DR. LEON'S, & DR. QUAEGBEUR'S COMPENSATION THE COMPENSATION OF DR. MOSES, DR. SMITH, DR. LEON, AND DR. QUAEGBEUR IS SUPPORTED BY THEIR CLINICAL ACTIVITIES, GRANTS, AND SUPPORT FROM THE UNIVERSITY'S HOSPITAL AFFILIATES. NOTE TO KENNETH FORDE'S COMPENSATION KENNETH FORDE'S REPORTED COMPENSATION WAS PAID FROM A DEFERRED COMPENSATION AGREEMENT IN EFFECT WHEN DR. FORDE WAS A PROFESSOR OF SURGERY AT THE UNIVERSITY. DR. FORDE IS RETIRED FROM THAT POSITION AND RECEIVES NO COMPENSATION FOR HIS DUTIES AS A TRUSTEE. NOTE ON TRUSTEES TRUSTEES' TIME DEVOTED TO POSITION (3 HOURS PER WEEK) REPRESENTS AN AVERAGE FOR ALL TRUSTEES BASED ON ATTENDANCE AT QUARTERLY MEETINGS AND REGULARLY SCHEDULED TELEPHONIC CONFERENCES THROUGHOUT THE YEAR. BOARD OFFICERS, COMMITTEE CHAIRS AND OTHER TRUSTEES MAY DEVOTE SIGNIFICANTLY MORE TIME TO THE POSITION. PART I, LINES 8 AND 9 THE APPOINTMENT OF PROVOST CLAUDE STEELE WAS ELIGIBLE FOR TREATMENT UNDER THE INITIAL CONTRACT EXCEPTION DESCRIBED IN IRS REGULATIONS 53.4958-4(A)(3), AND THE UNIVERSITY ALSO FOLLOWED THE REBUTTABLE PRESUMPTION PROCEDURE DESCRIBED IN IRS REGULATIONS SECTION 53.4958-6(C).</p>

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
Lee C Bollinger	(i) (ii)	890,982 0	0 0	15,878 0	275,285 0	345,072 0	1,527,217 0	0 0
Jane E Booth	(i) (ii)	444,678 0	0 0	0 0	25,285 0	6,828 0	476,791 0	0 0
Alan Brinkley	(i) (ii)	485,158 0	0 0	0 0	37,535 0	29,928 0	552,621 0	0 0
Jerome Davis	(i) (ii)	230,840 0	0 0	0 0	24,272 0	11,332 0	266,444 0	0 0
nicholas b dirks	(i) (ii)	411,304 0	0 0	0 0	25,285 0	28,088 0	464,677 0	0 0
susan k feagin	(i) (ii)	498,512 0	0 0	0 0	25,285 0	6,660 0	530,457 0	0 0
Lee Goldman MD	(i) (ii)	993,876 0	325,000 0	6,294 0	25,285 0	11,688 0	1,362,143 0	0 0
Peter Holland	(i) (ii)	720,112 0	1,249,916 0	0 0	1,299,222 0	24,800 0	3,294,050 0	1,249,916 0
Joseph A Ienuso	(i) (ii)	399,972 0	0 0	0 0	25,285 0	49,994 0	475,251 0	0 0
Robert Kasdin	(i) (ii)	637,815 0	0 0	0 0	25,285 0	26,300 0	689,400 0	0 0
N P Narvekar	(i) (ii)	807,604 0	1,173,142 0	0 0	1,442,764 0	24,443 0	3,447,953 0	1,173,142 0
Claude M Steele	(i) (ii)	241,851 0	0 0	26 0	23,306 0	7,151 0	272,334 0	0 0
anne r sullivan	(i) (ii)	396,588 0	0 0	0 0	19,160 0	5,916 0	421,664 0	0 0
kenneth a forde md	(i) (ii)	789,000 0	0 0	0 0	0 0	0 0	789,000 0	0 0
George E Rupp	(i) (ii)	303,663 0	0 0	0 0	0 0	0 0	303,663 0	0 0
david n silvers md	(i) (ii)	2,998,680 0	1,903,219 0	0 0	31,564 0	5,824 0	4,939,287 0	0 0
jeffrey w mores md	(i) (ii)	2,942,812 0	0 0	0 0	23,044 0	53,805 0	3,019,661 0	0 0
craig r smith md	(i) (ii)	1,789,324 0	100,000 0	0 0	33,456 0	76,698 0	1,999,478 0	0 0
martin b leon md	(i) (ii)	1,487,812 0	0 0	0 0	23,044 0	41,126 0	1,551,982 0	0 0
JAN MODEST QUAEGEBEUR MD	(i) (ii)	1,497,144 0	0 0	0 0	33,521 0	13,080 0	1,543,745 0	0 0

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Schedule O (Form 990).
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public
Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I

Bond Issues

(a) Issuer Name	(b) Issuer EIN	(c) CUSIP #	(d) Date Issued	(e) Issue Price	(f) Description of Purpose	(g) Defeased		(h) On Behalf of Issuer	
						Yes	No	Yes	No
A DORMITORY AUTHORITY OF STATE OF NEW YORK 2003A&B	14-6000293	649901R49	03-05-2003	122,696,491	FUND NEW QUALIFIED PROJECTS		X		X
B DORMITORY AUTHORITY OF STATE OF NEW YORK 2004A	14-6000293	64983TSW4	06-08-2004	75,547,466	REFUND 1994A BONDS		X		X
C DORMITORY AUTHORITY OF STATE OF NEW YORK 2004B&C	14-6000293	64983TZD8	06-30-2004	158,627,817	FUND NEW QUALIFIED PROJECTS		X		X
D DORMITORY AUTHORITY OF STATE OF NEW YORK 2006A	14-6000293	64983QUE7	01-18-2006	239,661,669	FUND NEW QUALIFIED PROJECTS		X		X
E DORMITORY AUTHORITY OF STATE OF NEW YORK 2006B	14-6000293	64983QUE7	01-18-2006	167,228,781	REFUND 1998A, 2000A & 2002B BONDS		X		X

Part II

Proceeds

	A		B		C		D		E	
	123,718,793		75,547,466		159,406,375		246,367,334		167,228,781	
1 Total proceeds of issue	0		0		0		0		0	
2 Gross proceeds in reserve funds	0		0		0		0		98,129,885	
3 Proceeds in refunding or defeasance escrows	0		0		0		0		0	
4 Other unspent proceeds	802,880		527,312		1,020,611		1,516,855		1,095,577	
5 Issuance costs from proceeds	818,694		519,265		1,042,701		1,564,052		1,090,596	
6 Working capital expenditures from proceeds	122,097,219		0		157,343,063		243,286,427		0	
7 Capital expenditures from proceeds	2004		2007		2009					
8 Year of substantial completion	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
9 Were the bonds issued as part of a current refunding issue?		X	X			X		X		X
10 Were the bonds issued as part of an advance refunding issue?		X		X		X		X	X	
11 Has the final allocation of proceeds been made?	X		X		X		X		X	
12 Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X		X	

Part III

Private Business Use

	A		B		C		D		E	
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
1 Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		X		X
2 Are there any lease arrangements with respect to the financed property which may result in private business use?	X		X		X		X		X	

Part III

Private Business Use (Continued)

		A		B		C		D		E	
		Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts with respect to the financed property which may result in private business use?	X		X		X		X		X	
3b	Are there any research agreements with respect to the financed property which may result in private business use?	X		X		X		X		X	
3c	Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property?	X		X		X		X		X	
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	0 %		0 %		0 256 %		0 178 %		0 %	
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government	0 %		0 %		0 238 %		0 %		0 %	
6	Total of lines 4 and 5	0 %		0 %		0 494 %		0 178 %		0 %	
7	Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?	X		X		X		X		X	

Part IV

Arbitrage

		A		B		C		D		E	
		Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
1	Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue?	X		X		X		X		X	
2	Is the bond issue a variable rate issue?		X		X		X		X		X
3a	Has the organization or the governmental issuer identified a hedge with respect to the bond issue on its books and records?		X		X		X		X		X
b	Name of provider										
c	Term of hedge										
4a	Were gross proceeds invested in a GIC?		X		X		X		X		X
b	Name of provider										
c	Term of GIC										
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?										
5	Were any gross proceeds invested beyond an available temporary period?		X		X		X		X		X
6	Did the bond issue qualify for an exception to rebate?	X			X	X		X			X

Schedule L
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Transactions with Interested Persons

▶ Complete if the organization answered
"Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c,
or Form 990-EZ, Part V lines 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number

13-5598093

Part I Excess Benefit Transactions (section 501(c)(3) and section 501 (c)(4) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1	(a) Name of disqualified person	(b) Description of transaction	(c) Corrected?	
			Yes	No

2 Enter the amount of tax imposed on the organization managers or disqualified persons during the year under section 4958 ▶ \$

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization ▶ \$

Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 26, or Form 990-EZ, Part V, line 38a

(a) Name of interested person and purpose	(b) Loan to or from the organization?		(c) Original principal amount	(d) Balance due	(e) In default?		(f) Approved by board or committee?		(g) Written agreement?	
	To	From			Yes	No	Yes	No	Yes	No
Total ▶ \$										

Part III Grants or Assistance Benefitting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of grant or type of assistance

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
See Additional Data Table					

Additional Data

Software ID:
Software Version:
EIN: 13-5598093
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction \$	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
EVANGELINE MORPHOS	SPOUSE OF OFFICER	102,486	EMPLOYMENT		No
CLAIRE FULLMAN	SPOUSE OF OFFICER	12,000	EMPLOYMENT		No
JANAKI BAKHLE	SPOUSE OF KEY EMPLOYEE	106,010	EMPLOYMENT		No
JILL S GOLDMAN	SPOUSE OF KEY EMPLOYEE	80,355	EMPLOYMENT		No
CITIGROUP	TRUSTEE IS OFFICER	1,241,615	CARD PAYMENTS/ACCOUNT FEES		No
CITIGROUP	TRUSTEE IS OFFICER	234,000	RENT PAYMENTS TO UNIVERSITY		No
APPLE COMPUTER	TRUSTEE IS DIRECTOR	4,192,131	PURCHASE OF GOODS		No
GOLDMAN SACHS	TRUSTEE IS OFFICER	7,410,074	CONTRACTS, FEES & COMMISSIONS		No
GOLDMAN SACHS	TRUSTEE IS OFFICER	1,631,007	INV FUND DISTRIB TO UNIVERSITY		No

SCHEDULE M
(Form 990)

NonCash Contributions

OMB No 1545-0047

2009

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

►Complete if the organization answered "Yes" on Form 990, Part IV, lines 29 or 30.
► Attach to Form 990.

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number
13-5598093

Part I

Types of Property

	(a) Check if applicable	(b) Number of Contributions	(c) Revenues reported on Form 990, Part VIII, line 1g	(d) Method of determining revenues
1 Art—Works of art	X	4	0	
2 Art—Historical treasures	X	2	0	
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded				
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous	X	357	26,228,486	COST/SALES PRICE
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles	X	6	0	
19 Food inventory	X	8	0	
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ► (<u>EQUIPMENT</u>) PERSONAL	X	6	0	0
26 Other ► (<u>PAPERS</u>)	X	8	0	0
27 Other ► (<u>FURNITURE</u>)	X	1	0	0
28 Other ► (<u>OTHER</u>)	X	1	12,729,000	COST/SALES PRICE
29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement	29	14		
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?	30a	Yes	No	
b If "Yes," describe the arrangement in Part II				
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	31	Yes		
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell non-cash contributions?	32a		No	
b If "Yes," describe in Part II				
33 If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II				

Part II

Supplemental Information. Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
DESCRIPTION OF CONTRIBUTED PROPERTY FOR WHICH REVENUES ARE NOT REPORTED	SCHEDULE M, PART I LINE 33	UNDER FAS 116, CONTRIBUTIONS OF WORKS OF ART, HISTORICAL TREASURES, AND SIMILAR ASSETS NEED NOT BE RECOGNIZED AS REVENUES AND CAPITALIZED IF THE DONATED ITEMS ARE ADDED TO COLLECTIONS HELD FOR PUBLIC EXHIBITION, EDUCATION, OR RESEARCH IN FURTHERANCE OF PUBLIC SERVICE RATHER THAN FINANCIAL GAIN BECAUSE THE UNIVERSITY UTILIZES CONTRIBUTIONS OF ART AND HISTORICAL TREASURES IN FURTHERANCE OF PUBLIC SERVICE, THESE CONTRIBUTIONS ARE NOT RECOGNIZED AS REVENUE FOR FINANCIAL STATEMENT PURPOSES IN ADDITION, MINOR GIFTS, SUCH AS FOOD OR SUPPLIES, ARE CONSIDERED DE MINIMUS
NUMBER OF CONTRIBUTIONS	SCHEDULE M, PART I, COLUMN (B)	THE FIGURES IN COLUMN (B) REPRESENT THE NUMBER OF CONTRIBUTIONS RECEIVED

SCHEDULE O (Form 990) Department of the Treasury Internal Revenue Service	Supplemental Information to Form 990 Complete to provide information for responses to specific questions on Form 990 or to provide any additional information. ▶ Attach to Form 990.	OMB No 1545-0047
		2009
Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK		Employer identification number 13-5598093

Identifier	Return Reference	Explanation
SUPPLEMENTAL DISCLOSURES		<p>FORM 990, PART I, LINE 4 AND PART VI, LINE 1B DETAIL REGARDING THE NUMBER OF INDEPENDENT V OTING MEMBERS THE UNIVERSITY'S PRESIDENT, A VOTING MEMBER OF THE BOARD, IS NOT COUNTED AS INDEPENDENT BECAUSE HE IS AN EMPLOYEE OF THE UNIVERSITY IN ACCORDANCE WITH THE FORM 990 R EPORTING INSTRUCTIONS, THE UNIVERSITY HAS ALSO NOT COUNTED AS INDEPENDENT THE THREE TRUSTE ES WHO SERVE AS OFFICERS OR DIRECTORS OF ENTITIES LISTED AS INTERESTED PERSONS ON SCHEDULE L, NOR THE TRUSTEE LISTED ON SCHEDULE J WHO IS RETIRED FROM THE UNIVERSITY AND RECEIVED P REVIOUSLY -ACCRUED COMPENSATION DURING THE REPORTING PERIOD BASED UPON HIS PRIOR UNIVERSITY EMPLOYMENT ----- FORM 990, PART I, LINE 6</p> <p>DETAIL REGARDING THE NUMBER OF VOLUNTEERS THE NUMBER SHOWN IS AN ESTIMATE OF ALU MNI VOLUNTEERS WHO WORK WITH THE UNIVERSITY'S CENTRAL ALUMNI OFFICE ADDITIONAL VOLUNTEERS WORK IN MANY OF THE UNIVERSITY'S SCHOOLS, CENTERS AND OTHER PROGRAMS -----</p> <p>----- FORM 990, PART V, LINE 4B FOREIGN COUNTRY LIST BANGLADESH CHINA ETHIOPIA FRANCE GERMANY INDIA ITALY COTE D'IVOIRE (IVORY COAST) JORDAN KA ZAKHSTAN KENYA LESOTHO MOZAMBIQUE NIGERIA RWANDA SOUTH AFRICA SWAZILAND TANZANIA UNITED KI NGDOM (ENGLAND, NORTHERN IRELAND, SCOTLAND, AND WALES) -----</p> <p>--- FORM 990, PART V, LINES 7G AND 7H CONTRIBUTIONS OF CERTAIN TYPE S OF PROPERTY DURING THE YEAR ENDED JUNE 30, 2010, THE UNIVERSITY DID NOT RECEIVE ANY CONT RIBUTIONS OF QUALIFIED INTELLECTUAL PROPERTY, CARS, BOATS, AIRPLANES, OR OTHER VEHICLES A S A RESULT, THE UNIVERSITY WAS NOT REQUIRED TO FILE FORMS 8899 OR 1098-C TO REPORT SUCH CO NTRIBUTIONS -----</p> <p>----- FORM 990, PART VI, LINE 2 DETAIL OF FAMILY/BUSINESS RELATIONSHIPS ARMEN A VANESSIONS AND ESTA STECHER - BU SINESS RELATIONSHIP ANN KAPLAN AND ESTA STECHER - BUSINESS RELATIONSHIP ----- FORM 990, PART VI, QUESTION 11a PROCESS USED B Y MANAGEMENT AND/OR GOVERNING BODY TO REVIEW 990 A SUBCOMMITTEE COMPRISED OF MEMBERS OF TR USTEES AUDIT COMMITTEE REVIEWED THE FORM 990 FOLLOWING THAT REVIEW, THE FORM 990 WAS MADE AVAILABLE TO ALL TRUSTEES VIA A SECURE WEBSITE AND THEN PRESENTED TO THE FULL BOARD OF TR USTEES AT A MEETING ON MAY 11, 2011 CHANGES MADE SUBSEQUENT TO THE MAY 11, 2011 MEETING W ERE REPORTED TO THE SUBCOMMITTEE, AND ALL TRUSTEES WERE NOTIFIED OF THE CHANGES VIA ELECTR ONIC MAIL THE REVISED FORM WAS POSTED ON THE SECURE WEBSITE FOR TRUSTEE REVIEW PRIOR TO F ILING -----</p> <p>----- FORM 990, PART VI, QU ESTION 12C DESCRIPTION OF PROCESS TO MONITOR TRANSACTIONS FOR CONFLICTS OF INTEREST ALL TR USTEES ARE SUBJECT TO THE "STATEMENT OF CONFLICT OF INTEREST POLICY FOR THE TRUSTEES OF CO LUMBIA UNIVERSITY," AND ARE REQUIRED TO DISCLOSE ANNUALLY INTERESTS THAT COULD GIVE RISE T O CONFLICTS THE DISCLOSURES ARE REVIEWED BY CHAIR OF THE BOARD OF TRUSTEES OR HIS OR HER DESIGNEE FOR APPROPRIATE ACTION IN ADDITION, WHEN A TRUSTEE BECOMES AWARE OF AN EXISTING OR POTENTIAL CONFLICT OF INTEREST, HE OR SHE HAS A DUTY TO (A) DISCLOSE THE CIRCUMSTANCES TO THE BOARD CHAIR, APPROPRIATE COMMITTEE CHAIR OR THE PRESIDENT, (B) REFRAIN FROM ACTING TO INFLUENCE ANY DECISION WHICH MIGHT IN IMPROPERLY BENEFIT THE TRUSTEE OR A FAMILY MEMBE R, AND (C) RECUSE HIMSELF OR HERSELF FROM PARTICIPATION IN ANY DISCUSSIONS OR DECISIONS AB OUT THE POTENTIAL TRANSACTION OR ACTIVITY ALL OFFICERS AND KEY EMPLOYEES ARE SUBJECT TO T HE "STATEMENT OF UNIVERSITY POLICY ON CONFLICTS OF INTEREST," AND ARE REQUIRED TO DISCLOSE ANNUALLY INTERESTS THAT COULD GIVE RISE TO CONFLICTS THESE DISCLOSURES ARE REVIEWED THRO UGH A FORMAL ADMINISTRATIVE PROCESS ANY DISCLOSURES THAT COULD GIVE RISE TO CONFLICTS AS IDENTIFIED THROUGH SUCH REVIEW ARE REPORTED TO AN APPROPRIATE SENIOR OFFICER ANY SUBSTANT IVE CONCERNS ARE ALSO REPORTED TO THE CHAIR OF THE TRUSTEES AUDIT COMMITTEE AND/OR THE CHA IR OF THE BOARD OF TRUSTEES ----- FORM 990, PART VI LINE 14 DOCUMENTATION RETENTION POLICY THE UNIVERSITY'S BOOKS AND RECOR DS RELEVANT TO ITS TAX EXEMPTION AND FILINGS WITH THE INTERNAL REVENUE SERVICE AND OTHER F INANCIAL RECORDS ARE MAINTAINED IN THE UNIVERSITY'S CONTROLLER'S OFFICE CONSISTENT WITH A P PLICABLE LAW OTHER BOOKS AND RECORDS ARE MAINTAINED BY THE APPROPRIATE UNIVERSITY OFFICE CONSISTENT WITH APPLICABLE LAW THE UNIVERSITY'S LONG-STANDING POLICIES AND PRACTICES WITH RESPECT TO DOCUMENT RETENTION AND DESTRUCTION ARE BEING REDUCED TO WRITING AND A FORMAL W RITTEN POLICY IS EXPECTED TO BE IN PLACE BY THE END OF THE FISCAL YEAR -----</p> <p>----- FORM 990, PART VI, QUESTIONS 15A AND 15B COMPE NSATION PROCESS THE UNIVERSITY FOLLOWS THE REVIEW PROCESS DESCRIBED BELOW FOR ALL OFFICERS AND KEY EMPLOYEES THIS PROCESS HAS BEEN IN PLACE</p>

Identifier	Return Reference	Explanation
SUPPLEMENTAL DISCLOSURES		<p>SINCE AT LEAST 2000 THE COMPENSATION FOR THE ORGANIZATION'S PRESIDENT IS REVIEWED BY A C OMPENSATION COMMITTEE OF INDEPENDENT TRUSTEES AND APPROVED BY THE INDEPENDENT TRUSTEES ON THE FULL BOARD THE COMPENSATION OF OTHER OFFICERS AND KEY EMPLOYEES IS REVIEWED AND APPRO VED BY THE COMPENSATION COMMITTEE OF INDEPENDENT TRUSTEES IN CONNECTION WITH THE PRESIDEN T'S COMPENSATION, THE COMPENSATION COMMITTEE AND THE BOARD REVIEW COMPARABILITY DATA AND M INUTES OF DELIBERATIONS ARE RECORDED CONTEMPORANEOUSLY WITH ANY ACTION WITH RESPECT TO OT HER OFFICERS AND KEY EMPLOYEES, THE COMMITTEE FOLLOWS THE SAME PROCESS FOR REVIEW OF COMPA RABILITY DATA AND CONTEMPORANEOUS DOCUMENTATION OF ITS ACTIONS IN THE CASE OF THE ORGANIZ ATION'S PRESIDENT AND CERTAIN OTHER OFFICERS AND KEY EMPLOYEES, THE ORGANIZATION HAS ALSO CONSULTED WITH INDEPENDENT COMPENSATION CONSULTANTS IN DETERMINING COMPENSATION THE COMPE NSATION OF THE PRESIDENT AND THE EXECUTIVE VICE PRESIDENT OF THE INVESTMENT MANAGEMENT COM PANY IS ALSO REVIEWED AND APPROVED BY A COMPENSATION COMMITTEE OF THE INVESTMENT MANAGEMEN T COMPANY'S BOARD OF MANAGERS SUCH COMPENSATION COMMITTEE IS COMPRISED OF INDEPENDENT MEM BERS OF THE ORGANIZATION'S BOARD OF TRUSTEES ----- FORM 990, PART VI, QUESTION 19</p> <p>DOCUMENTS AVAILABLE TO PUBLIC THE ORGA NIZATION'S CHARTER AND STATUTES, FINANCIAL STATEMENTS AND THE "STATEMENT OF UNIVERSITY POL ICY ON CONFLICTS OF INTEREST" ARE AVAILABLE ON THE ORGANIZATION'S WEBSITE AT WWW.COLUMBIA EDU -----</p> <p>----- FORM 990, PART VIII DET AIL REGARDING ROYALTY INCOME/EXPENSE THE UNIVERSITY PERIODICALLY FUNDS AND DEVELOPS PATENT S FOR CERTAIN TECHNOLOGIES, THEN LICENSES THE USAGE OF THESE PATENTS TO COMPANIES OVER SEV ERAL YEARS THE REVENUE, NET OF PAYMENTS DUE TO THIRD PARTIES, IS RECORDED IN "REVENUE FRO M OTHER EDUCATIONAL AND RESEARCH ACTIVITIES" IN THE CONSOLIDATED STATEMENT OF ACTIVITIES COSTS INCURRED WITH DEVELOPING AND MAINTAINING THESE PATENTS ARE EXPENSED AS INCURRED SO T HAT WHILE ROYALTIES ARE PAID TO FACULTY AND OTHERS IN CERTAIN CIRCUMSTANCES THESE AMOUNTS ARE NOT REFLECTED IN THE STATEMENT OF FUNCTIONAL EXPENSES ----- FORM 990, PART VIII, LINE 8 AND SCHEDULE G, PART II DESCRIPT ION OF ACCOUNTING FOR FUNDRAISING EVENTS</p> <p>AMOUNTS REPORTED FOR FUNDRAISING EVENTS REPRESENT REVENUE AND EXPENSES THAT ARE SEPARATELY TRACKED THE REVENUE AND EXPENSES FROM ADDITION AL FUNDRAISING ACTIVITIES ARE NOT SEPARATELY TRACKED AND ARE INCLUDED WITHIN CONTRIBUTION REVENUE AND FUNDRAISING EXPENSES ----- FORM 990, PART IX, LINE 11F INVESTMENT MANAGEMENT FEES THE INVESTMENT MANAGEMENT FEE S REPORTED ON THE STATEMENT OF FUNCTIONAL EXPENSES REPRESENT FEES TO THIRD PARTY INVESTMEN T MANAGERS AND INCLUDE MANAGEMENT FEE AND PERFORMANCE FEE EXPENSES ASSOCIATED WITH SEPARAT ELY MANAGED ACCOUNTS INVESTMENT MANAGEMENT FEES ASSOCIATED WITH FUND INVESTMENTS ARE REPO RTED NET A GAINST INVESTMENT INCOME AND THEREFORE ARE NOT INCLUDED IN THE STATEMENT OF FUNC TIONAL EXPENSES ----- FORM 990, P ART X, LINE 7</p> <p>NOTES AND LOANS RECEIVABLE LOANS RECEIVABLE FROM STUDENTS TOTAL \$92,124,000 LOANS RECEIVABLE FROM NON-KEY EMPLOYEES TOTAL \$20,879,366 THE LOANS HAVE VARIOUS INTERES T RATES RANGING FROM 2 45% TO 6 5%, WITH MATURITY DATES RANGING FROM 2011 THROUGH 2039 TH E OUTSTANDING BALANCES RANGE FROM \$14,900 TO \$2,118,500 AND ARE GENERALLY SECURED BY A PRINCIPAL RESIDENCE LOANS RECEIVABLE FROM OTHER 501(C)(3) ORGANIZATIONS TOTAL \$1,879,295 -- -----</p> <p>-----</p>

Identifier	Return Reference	Explanation
FORM 990, PART XI, LINE 2	AUDIT OF THE UNIVERSITY'S FINANCIAL STATEMENTS	<p>THE CONSOLIDATED FINANCIAL STATEMENTS OF THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY O F NEW YORK ARE AUDITED BY PRICEWATERHOUSECOOPERS, AN INDEPENDENT AUDITOR THE UNCONSOLIDAT ED FINANCIAL STATEMENTS REFLECTED IN THIS RETURN REPRESENT APPROXIMATELY 99% OF THE NET AS SETS OF THE CONSOLIDATED GROUP THE UNIVERSITY'S BOARD OF TRUSTEES HAS AN AUDIT COMMITTEE, COMPRISED OF INDEPENDENT TRUSTEES, WHICH IS RESPONSIBLE FOR OVERSIGHT OF THE AUDIT, REVIE W, AND COMPILATION OF THE FINANCIAL STATEMENTS AND SELECTION OF THE INDEPENDENT AUDITOR - -----</p> <p>----- SCHEDULE G, PART I, LINE 2B (PROFESSIONAL FUNDRAISERS)</p> <p>WHILE CERTAIN INDIVIDUALS OR ENTITIES CONDUCTED SPECIFIC ACTIVIT IES IN RELATION TO PARTICULAR FUNDRAISING CAMPAIGNS, MARTS AND LUNDY PROVIDED RECOMMENDA TI ONS REGARDING GENERAL FUNDRAISING EFFORTS IN CONNECTION WITH THE SCIENCES AT COLUMBIA AS SUCH, THERE IS NO FUNDRAISING REVENUE DIRECTLY ATTRIBUTABLE TO THE EFFORTS OF THAT FIRM - -----</p> <p>----- NOTES TO SCHEDULE L UNLESS NO TED, ALL AMOUNTS SHOWN ON SCHEDULE L REPRESENT PAYMENTS BY THE UNIVERSITY TO THE INTERESTE D PERSON THE SPOUSES OF KEY EMPLOYEES/OFFICERS LISTED IN SCHEDULE L WERE EMPLOYE ES OF THE UNIVERSIT Y DURING THE REPORTING PERIOD THEIR COMPENSATION REPORTED ON SCHEDULE L WAS DET ERMINED IN ACCORDANCE WITH THE UNIVERSITY'S REGULAR COMPENSATION PRACTICES APPLICABLE TO S IMILARLY SITUATED EMPLOYEES ALL OF THE BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS DESCRIBED IN SCHEDULE L, PART IV WERE CONDUCTED IN THE ORDINARY COURSE OF BUSINESS AND AT ARM'S LENGTH NO UNIVERSITY OFFICIAL WHO WAS ALSO AN OFFICER OR DIRECTOR OF AN INTERESTED PERSON PARTICIPATED IN OR INFLUENCED THE UNIVERSITY'S DECISION ABOUT ANY TRANSACTION --- -----</p> <p>----- SCHEDULE R COLUMBIA UNIVERSITY OWNS AN 81 57% INTEREST IN 2700 BROADWAY CONDOMINIUM, WHICH IS TREATED AS A CONDOMINIUM AS SOCIA TION UNDER NEW YORK STATE LAW -----</p> <p>----- SCHEDULE R, PART I END-OF-YEAR ASSET REPORTING CERTAIN DISREGARDED ENTITIES LISTE D IN SCHEDULE R, PART I MAY HOLD VEHICLES, OFFICE EQUIPMENT AND RELATED ITEMS, THE VALUE O F WHICH IS INCLUDED IN THE UNIVERSITY'S BALANCE SHEET IN PART X OF THIS FORM 990 BUT IS NO T REFLECTED IN COLUMN (E) END-OF-YEAR ASSETS -----</p> <p>----- SCHEDULE R, PART I INCOME/ASSETS ATTRIBUTABLE TO CERTAIN DISREGARDED ENT ITIES COLUMBIA UNIVERSITY IS THE SOLE MEMBER OF CIM I, LLC WHICH OWNS 100% OF THE SHARES E NTITLED TO THE INCOME AND ASSETS OF FPCM INFLATION-LINKED OPPORTUNITIES FUND, LIMITED TOT AL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CIM I, LLC REPRESENT A 100% SHARE OF TOTA L INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO FPCM INFLATION-LINKED OPPORTUNITIES FUND, LIMITED, AS REPORTED IN SCHEDULE R, PART IV THE SHARES OWNED BY CIM I ARE NON-VOTING SHAR ES -----</p> <p>----- SCHEDULE R, PART I, COLU MNS (D) AND (E) INCOME AND ASSETS ATTRIBUTABLE TO CERTAIN DISREGARDED ENTITIES COLUMBIA UN IVERSITY IS THE SOLE MEMBER OF CIM V, LLC, WHICH IS THE SOLE MEMBER OF CONQUEST MANAGED FU TURES SELECT FUND II, LLC TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CIM V, LLC REPRESENT A 100% SHARE OF TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CONQUEST MAN AGED FUTURES SELECT FUND II, LLC, AS REPORTED IN SCHEDULE R, PART I COLUMBIA UNIVERSITY I S THE SOLE MEMBER OF CIM XIII, LLC, WHICH OWNS A 100% INTEREST IN THE PROFITS, LOSSES AND CAPITAL OF HAMILTON FORESTS, LP HAMILTON FORESTS, LP IS THE SOLE MEMBER OF CIM PARENT, LL C WHICH IS THE SOLE MEMBER OF CIM TIMBER, LLC TOTAL INCOME AND END-OF-YEAR ASSETS A TTRIBU TABLE TO CIM XIII, LLC REPRESENT A 100% SHARE OF TOTAL INCOME AND END-OF-YEAR ASSETS A TTRI BUTABLE TO HAMILTON FORESTS, LP, WHICH INCLUDES 100% SHARE OF TOTAL INCOME AND END OF YEAR ASSETS A TTRIBUTABLE TO CIM PARENT, LLC AND CIM TIMBER, LLC, AS REPORTED IN SCHEDULE R, PA RT I -----</p> <p>SCHEDULE R, PART IV SP LIT INTEREST CHARITABLE TRUSTS WHICH MAY DISTRIBUTE FUNDS TO THE UNIVERSITY ARE NOT INCLUD ED IN PART IV ASSETS FROM THESE TRUSTS ARE INCLUDED ON THE UNIVERSITY'S BALANCE SHEET IN PART X OF THIS FORM 990 CONSISTENT WITH GAAP, THE UNIVERSITY DOES NOT CONSOLIDA TE THE ENT ITIES LISTED IN PART IV SCHEDULE R WHICH HAVE INVESTMENTS AS THEIR PRIMARY PURPOSE THIS I S BECAUSE THE UNIVERSITY DOES NOT HAVE SUFFICIENT VOTING POWER WITH RESPECT TO THESE ENTIT IES TO SATISFY THE CONTROL TEST FOR CONSOLIDATION UNDER GAAP THE AMOUNTS SHOWN IN SCHEDUL E R, PART IV, COLUMN (G) REFLECT THE UNIVERSITY'S SHARE OF THE NET ASSETS OF THESE ENTITIE S FOR THE FISCAL YEAR REPORTING PERIOD WHICH, CONSISTENT WITH GAAP, IS REFLECTED ON LINE 1 6 OF THE BALANCE SHEET IN PART X OF THIS FORM 990 SIMILARLY, THE UNIVERSITY'S SHARE OF AN Y CURRENT INCOME AND REALIZED GAINS FROM THESE ENT</p>

Identifier	Return Reference	Explanation
FORM 990, PART XI, LINE 2	AUDIT OF THE UNIVERSITY'S FINANCIAL STATEMENTS	<p>ITIES IS REFLECTED IN COLUMN (F) OF SCHEDULE R, PART IV AND ON THE STATEMENT OF REVENUE IN PART VIII THESE ENTITIES EACH OPERATE ON A CALENDAR-YEAR BASIS, AND THE UNIVERSITY'S SHARE OF THE GROSS INCOME AND TOTAL ASSETS FOR EACH OF THESE ENTITIES FOR THE CALENDAR YEAR ENDED DECEMBER 31, 2009 IS SET FORTH BELOW ASM HUDSON RIVER FUND SHARE OF TOTAL (GROSS) LOSS - \$9,844,559 SHARE OF END-OF-YEAR (GROSS) ASSETS \$92,814,771 FPCM INFLATION-LINKED OPPORTUNITIES FUND, LTD SHARE OF TOTAL (GROSS) INCOME \$428,769,795 SHARE OF END-OF-YEAR (GROSS) ASSETS \$1,379,579,700 NCH INVESTORS FUND (CU) CORP SHARE OF TOTAL (GROSS) INCOME \$262,209 SHARE OF END-OF-YEAR (GROSS) ASSETS \$2,228,601 APIS GLOBAL DEEP VALUE OFFSHORE, LTD SHARE OF TOTAL (GROSS) LOSS -\$707,455 SHARE OF END-OF-YEAR (GROSS) ASSETS \$27,455,732 INDEA LONG TERM OPPORTUNITIES FUND SHARE OF TOTAL (GROSS) INCOME \$1,979,305 SHARE OF END- OF-YEAR (GROSS) ASSETS \$55,369,650 COLUMBIA PATRON SCOTLAND LP SHARE OF TOTAL (GROSS) LOSS - \$648,122 SHARE OF END-OF-YEAR (GROSS) ASSETS \$8,596,662 -----</p> <p>----- SCHEDULE K, PART II, LINE 6 WORKING CAPITAL EXPENDITURES FROM PROCEEDS AMOUNTS REPORTED ON SCHEDULE K, PART II, LINE 6 REPRESENT THE NEW YORK STATE BOND TAX ASSOCIATED WITH EACH ISSUE ----- SCHEDULE K, PART II, LINE 8 YEAR OF SUBSTANTIAL COMPLETION LINE 8 HAS BEEN LEFT BLANK FOR SERIES 2004A AND 2006B ISSUES SINCE THESE WERE REFUNDING ISSUES AND AS SUCH NO PROJECTS WERE ASSOCIATED WITH THE BONDS -----</p> <p>----- SCHEDULE K, PART III, LINES 4-5 PRIVATE BUSINESS USE PERCENTAGE FOR ALL ISSUES, THE UNIVERSITY HAS ALLOCATED EQUITY INVESTED IN THE FINANCED PROPERTIES TO SOURCES OF PRIVATE BUSINESS USE, WITH THE EXCEPTION OF ISSUANCE COSTS, WITHIN THE REQUIRED TIME FRAME AND HAS CAREFULLY MANAGED THE TYPES OF ACTIVITIES CONDUCTED IN BOND-FINANCED FACILITIES AS SUCH, THE UNIVERSITY HAS REPORTED 0% PRIVATE BUSINESS USE FOR THE SERIES 2003A&B, SERIES 2004B&C, AND SERIES 2009A ISSUES AND MINIMAL PRIVATE BUSINESS USE FOR THE REMAINING ISSUES ON SCHEDULE K</p>

SCHEDULE R
(Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Employer identification number

13-5598093

Part I

Identification of Disregarded Entities (Complete if the organization answered "Yes" on Form 990, Part IV, line 33.)

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
See Additional Data Table					

Part II

Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity
See Additional Data Table					

Part III

Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?	
							Yes	No		Yes	No
AP MC Fund I LP Strategic Scenario Co BLDG 1F 2-39 Akasaka 5-Chome, Tokyo, Mi 107-0052 JA 98-0504300	INVESTMENTS	JA	NA	INVESTMENTS	19	4,326,715		No	0		No
AP RX Fund I LP Strategic Scenario Co BLDG 1F 2-39 Akasaka 5-Chome, Tokyo, Mi 107-0052 JA 98-0515907	INVESTMENTS	JA	NA	INVESTMENTS	111	12,107,657		No	0		No
Ment Energy Partners V LP 13727 Noel Road Suite 500 Dallas, TX75240 75-2411038	INVESTMENTS	TX	NA	INVESTMENTS	14,846,450	72,911,613		No	0		No
Prides Monster Fund LP 200 High Street Suite 700 Boston, MA02110 27-0574628	Investments	DE	NA	INVESTMENTS	24,401	1,026,603		No	0		No

Part IV

Identification of Related Organizations Taxable as a Corporation or Trust (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership
See Additional Data Table							

Part V

Transactions With Related Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III or IV

1

During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a

Receipt of (i) interest (ii) annuities (iii) royalties (iv) rent from a controlled entity

b

Gift, grant, or capital contribution to other organization(s)

c

Gift, grant, or capital contribution from other organization(s)

d

Loans or loan guarantees to or for other organization(s)

e

Loans or loan guarantees by other organization(s)

f

Sale of assets to other organization(s)

g

Purchase of assets from other organization(s)

h

Exchange of assets

i

Lease of facilities, equipment, or other assets to other organization(s)

j

Lease of facilities, equipment, or other assets from other organization(s)

k

Performance of services or membership or fundraising solicitations for other organization(s)

l

Performance of services or membership or fundraising solicitations by other organization(s)

m

Sharing of facilities, equipment, mailing lists, or other assets

n

Sharing of paid employees

o

Reimbursement paid to other organization for expenses

p

Reimbursement paid by other organization for expenses

q

Other transfer of cash or property to other organization(s)

r

Other transfer of cash or property from other organization(s)

Yes

No

1a

1b

1c

1d

1e

1f

1g

1h

1i

1j

1k

1l

1m

1n

1o

1p

1q

1r

No

Yes

No

No

No

No

No

No

No

No

No

No

No

No

No

No

Yes

Yes

2

If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of other organization	(b) Transaction type(a-r)	(c) Amount involved
(1) See Additional Data Table		
(2)		
(3)		
(4)		
(5)		
(6)		

Schedule R (Form 990) 2009

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Software ID:

Software Version:

EIN: 13-5598093

Name: THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

Form 990, Schedule R, Part I - Identification of Disregarded Entities

(a) Name, address, and EIN of disregarded entity	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Total income (\$)	(e) End-of-year assets (\$)	(f) Direct Controlling Entity
CIM I LLC 615 West 131st Street MC8741 New York, NY 10027 13-3901851	INVEST HOLDNG	DE	0	402,426,141	NA
CIM II LLC 615 West 131st Street MC8741 New York, NY 10027 13-3901854	INVEST HOLDNG	DE	0	0	NA
CIM III LLC 615 West 131st Street MC8741 New York, NY 10027 13-3901855	INVEST HOLDNG	DE	0	1,576,073	NA
CIM IV LLC 615 West 131st Street MC8741 New York, NY 10027 13-3901856	INVEST HOLDNG	DE	7,620,884	160,977,422	NA
CIM V LLC 615 West 131st Street MC8741 New York, NY 10027 13-3901861	INVEST HOLDNG	DE	1,215,942	30,798,170	NA
CIM VI LLC 615 West 131st Street MC8741 New York, NY 10027 13-3901857	INVEST HOLDNG	DE	0	21,894,080	NA
CIM VII LLC 615 West 131st Street MC8741 New York, NY 10027 13-3901858	INVEST HOLDNG	DE	0	0	NA
CIM VIII LLC 615 West 131st Street MC8741 New York, NY 10027 13-3901859	INVEST HOLDNG	DE	0	0	NA
CIM IX LLC 615 West 131st Street MC8741 New York, NY 10027 13-3901860	INVEST HOLDNG	DE	0	0	NA
CIM X LLC 615 West 131st Street MC8741 New York, NY 10027 13-3901862	INVEST HOLDNG	DE	0	0	NA
CIM XI LLC 615 West 131st Street MC8741 New York, NY 10027 13-3901863	INVEST HOLDNG	DE	57	55	NA
CIM XII LLC 615 West 131st Street MC8741 New York, NY 10027 13-3901864	INVEST HOLDNG	DE	-11,009,086	11,405,781	NA
CIM XIII LLC 615 West 131st Street MC8741 New York, NY 10027 13-3901865	INVEST HOLDNG	DE	0	41,122,555	NA
CIM XIV LLC 615 West 131st Street MC8741 New York, NY 10027 13-3901866	INVEST HOLDNG	DE	0	3,740,083	NA
CIM XV LLC 615 West 131st Street MC8741 New York, NY 10027 13-3901868	INVEST HOLDNG	DE	6,770,855	60,051,012	NA
CIM XVI LLC 615 West 131st Street MC8741 New York, NY 10027 13-3951901	INVEST HOLDNG	DE	45,612	0	NA
Columbia Digital Knowledge Ventures LLC 615 West 131st Street MC8741 New York, NY 10027 06-1576880	New Media	NY	0	0	NA
Columbia Investment Mgt Company llc 615 West 131st Street MC8741 New York, NY 10027 13-5598093	INVESTMT MGMT	NY	0	0	NA
MSPH Ethiopia LLC 615 West 131st Street MC8741 New York, NY 10027 13-5598093	HEALTHCARE	NY	0	515,716	NA
MSPH Kenya LLC 615 West 131st Street MC8741 New York, NY 10027 13-5598093	HEALTHCARE	NY	0	334,462	NA
MSPH Lesotho LLC 615 West 131st Street MC8741 New York, NY 10027 13-5598093	HEALTHCARE	NY	0	343,960	NA
MSPH Mozambique LLC 615 West 131st Street MC8741 New York, NY 10027 13-5598093	HEALTHCARE	NY	0	1,355,735	NA
MSPH Nigeria LLC 615 West 131st Street MC8741 New York, NY 10027 13-5598093	HEALTHCARE	NY	0	1,627,880	NA
MSPH Rwanda LLC 615 West 131st Street MC8741 New York, NY 10027 13-5598093	HEALTHCARE	NY	0	397,830	NA
MSPH South Africa LLC 615 West 131st Street MC8741 New York, NY 10027 13-5598093	HEALTHCARE	NY	0	639,271	NA
MSPH Tanzania LLC 615 West 131st Street MC8741 New York, NY 10027 13-5598093	HEALTHCARE	NY	0	1,304,252	NA
Columbia Global Centers LLC 412 Low Library 535 W 116th St MC New York, NY 10027 13-5598093	Education	NY	0	0	NA
Hither Property LLC 615 West 131st Street MC 8741 New York, NY 10027 27-0201222	Real Estate	DE	0	2,196,179	NA
Columbia Aff Phys Indep Prctice AssC LLC 412 Low Memorial Library 535 W 116 New York, NY 10027 26-2162648	PHYSICNS PRAC	NY	356,750	442,026	NA
Col Univ Middle East Research CTR LLC	Education	JO	1,939,273	1,782,280	NA
Conquest Managed Futures Select Fund II 540 Madison Ave 20th Floor NEW YORK, NY 10022	INVESTMENTS	DE	1,215,942	30,798,170	CIM V LLC
Dynamo Brasil VI LLC Av Ataulfo de Paiva 1351-7 ANDAR So Paulo 3126 BR	INVESTMENTS	DE	0	71,634,834	NA
CIM XVII LLC 615 West 131st Street MC8741 New York, NY 10027 14-1977498	INVEST HOLDNG	DE	-1,186	1,557,323	NA
CIM XVIII LLC 615 West 131st Street MC8741 New York, NY 10027 14-1977500	INVEST HOLDNG	DE	0	0	NA
CIM XIX LLC 615 West 131st Street MC8741 New York, NY 10027 14-1977503	INVEST HOLDNG	DE	0	0	NA
CIM XX LLC 615 West 131st Street MC8741 New York, NY 10027 14-1977505	INVEST HOLDNG	DE	0	0	NA
CIM XXI LLC 615 West 131st Street MC8741 New York, NY 10027 14-1977507	INVEST HOLDNG	DE	0	0	NA
COLUMBIA GLOBAL RESEARCH INITIATIVES LLC 412 LOW MEMORIAL LIBRARY NEW YORK, NY 10027	EDUCATION	NY	0	0	NA
EI RWANDA LLC PLOT 2106 RUE DU LAC MPANGA KIYOVU KIGAU RW	HEALTH RESCH	NY	0	105,278	NA
Hamilton Forests LP 15 PIEDMONT CTR STE 1250 ATLANTA, GA 30305	INVESTMENTS	DE	0	41,122,555	CIM XIII LLC
CU STUDIO LLC RUA MARIA EUGENIA 90/301 - HUMAITA RIO DE JANEIRO,RJ 22261-080 BR	EDUCATION	BR	0	0	NA
CU GSAPP LLC RUA MARIA EUGENIA 90/301 - HUMAITA RIO DE JANEIRO,RJ 22261-080 BR	EDUCATION	BR	0	0	NA
CIM PARENT LLC 15 PIEDMONT CTR STE 1250 ATLANTA, GA 30305	INVESTMENTS	DE	0	40,690,302	HAMILTON FOR
CIM TIMBER LLC 15 PIEDMONT CTR STE 1250 ATLANTA, GA 30305	INVESTMENTS	DE	0	40,428,223	CIM PARENT

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Exempt Code section	(e) Public charity status (if 501(c)(3))	(f) Direct Controlling Entity
ALLIANCE FOR COMMUNTY ENHANCEMENT AT CU 420 W 118th St Suite 1311 New York, NY10027 13-4159743	COMM OUTREACH	NY	501(C)(3)	11A	NA
CIS I LLC 615 West 131st Street MC8741 NEW YORK, NY10027 13-3901821	TITLE HOLDING	NY	501(C)(2)	N/A	NA
CIS II LLC 615 West 131st Street MC8741 NEW YORK, NY10027 13-3901826	Title Holding	NY	501(C)(2)	N/A	NA
COLUMBIA COMMUNITY SERVICE 301 Low Memorial Library535 W 116 NEW YORK, NY10027 13-6168288	SUPPORT COMM	NY	501(C)(3)	7	NA
COLUMBIA OPHTHALMOLOGY CONSULTANTS INC 635 W 165th Street Room 218 NEW YORK, NY10032 13-3908637	Healthcare	NY	501(C)(3)	7	NA
COL PRESBYTERIAN MEDICAL CTR FUND INC 630 W16.8th Street NEW YORK, NY10032 13-6162924	Fundraising	NY	501(C)(3)	11A	NA
COLUMBIA UNIVERSITY HEALTHCARE INC 630 W 168th Street NEW YORK, NY10032 13-3948652	Healthcare	NY	501(C)(3)	9	NA
COLUMBIA UNIVERSITY HONG KONG FUND GPO Box 5314 Connaught Place HK	Fundraising	HK	N/A	N/A	NA
COLUMBIA UNIVERSITY PRESS 136 South Broadway Irrington, NY10533 13-1623968	ACADEMIC PUB	NY	501(C)(3)	11A	NA
COL UNIV RETIREE MED LIFE INS BNFITS TR 100 Low Memorial Library535 W 116 NEW YORK, NY10027 13-3800106	BENEFITS SUPP	NY	501(C)(3)	11a	NA
MORNINGSIDE HEIGHTS LEGAL SERVICES INC 435 W 116th St Box C16 Rm831 NEW YORK, NY10027 51-0192355	CLINICAL EDUC	NY	501(C)(3)	11a	NA
REID HALL INC 205 Low Memorial Library 535 W116 NEW YORK, NY10027 13-1611126	EDU/CUL EXCH	NY	501(C)(3)	11a	NA
REMEDCO INC 90 Morningside Dr NEW YORK, NY10027 23-7152841	COMM DEVLPMT	NY	501(C)(4)	N/A	NA
Centers for International Programs Inc 412 Low Memorial Library535 W 116 NEW YORK, NY10027 13-5598093	EDUC OUTREACH	DE	N/A	N/A	NA
CENTERS FOR INTERNATIONAL PROJECTS TRUST APT 101 K-62 HAUZ KHAS ENCLAVE NEW DELHI 110016 IN	RESEARCH	IN	N/A	N/A	CTRS-INTL PR
COLUMBIA UK FUND LTD 615 West 131st Street MC8741 NEW YORK, NY10027	FUNDRAISING	UK	N/A	N/A	NA
GLOBAL HEALTH RESEARCH CENTER OF ASIA 1255 AMSTERDAM AVE NEW YORK, NY10027	RESEARCH	DE	N/A	N/A	NA
THE CLYDE AND HELEN WU FAMILY F 1275 AUDOBON AVE GROSSE POINTE PARK, MI48230 38-3441481	EDUC SUPPORT	MI	501(C)(3)	11	NA
WILLIAM J MATHESON FOUNDATION INC 535 MODISON AVE 24TH FL NEW YORK, NY10022 13-6105414	EDUC SUPPORT	NY	501(C)(3)	11A	NA
CENTER FOR NATL HLTH DEVELP IN ETHIOPIA	HEALTHCARE	ET	N/A	N/A	NA
FOUNDATION FOR CTR FOR ENERGY MARINE 3225 GALOOWS RD FAIRFAX, VA22037 54-1962639	EDUC SUPPORT	VA	501(C)(3)	11B	NA
FRIENDS OF COLUMBIA PSYCHOANALYTIC CTR 1051 RIVERSIDE DR NEW YORK, NY10032 13-3118570	EDUC SUPPORT	NY	501(C)(3)	11A	NA
COLUMBIA UNIVERSITY CLUB FOUNDATION INC 350 PARK AVE 24TH FL NEW YORK, NY10022 13-6105775	EDUC SUPPORT	NY	501(C)(3)	11	NA
RESEARCH COLLECTIONS AND PRES CONSORTIUM 400 FORRESTAL ROAD PRINCETON, NJ08540 22-3751732	LIBRARY COLL	NJ	501(C)(3)	11A	NA
CENTER FOR ENVIRONMENT ECONOMY & SOCIETY 2852 BROADWAY NEW YORK, NY10025	RESEARCH	DR	N/A	N/A	NA
CENTRE UNIVERSITAIRE AMERICAN REID HALL 205 Low Memorial Library 535 W116 NEW YORK, NY10027	EDUCATION	FR	N/A	N/A	REID HALL
COLUMBIA UNIVERSITY PROGRAMS IN PARIS 4 RUE DE CHEVREUSE PARIS 75006 FR	EDUCATION	FR	N/A	N/A	NA
CU NEW YORK 4 RUE DE CHEVREUSE PARIS 75006 FR	EDUCATION	FR	N/A	N/A	NA
MSPH Swaziland 615 WEST 131ST STREET MC8741 NEW YORK, NY10027	HEALTHCARE	WZ	N/A	N/A	CIP

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income (\$)	(g) Share of end-of-year assets (\$)	(h) Percentage ownership
16 East 60th Street Corporation 615 West 131st Street MC 8741 New York, NY10027 13-3675222	Real Estate	NY	NA	C-Corp	0	0	100 000 %
Columbia Pres Indepdt Practice Assoc 161 Fort Washington Ave Suite 132 New York, NY10032 13-3396165	Phys Practice	NY	NA	C-Corp	0	0	100 000 %
Fathom Knowledge Network Inc 615 West 131st Street MC 8741 NEW YORK, NY10027 13-4054997	New Media	NY	NA	C-Corp	0	0	100 000 %
Morningside Inc 311 Low Memorial Library NEW YORK, NY10027 06-1430120	Real Estate	NY	NA	C-Corp	0	0	100 000 %
University Womens Realty Corp 408 Low Library MC 4336 NEW YORK, NY10027 13-2524803	Real Estate	NY	NA	C-Corp	0	0	100 000 %
2301 12th Avenue Owners Corp c/o Columbia Univ 615 W 131st M NEW YORK, NY10027 99-1786950	Real Estate	NY	NA	C-Corp	0	0	100 000 %
Freezer Box Inc c/o Columbia Univ 615 W 131st M NEW YORK, NY10027 99-1596696	Real Estate	NY	NA	C-Corp	0	0	100 000 %
ASM Hudson River Fund Walker House 87 Mary Street GEORGETOWN, GRAND CAYMAN KY1-9002 CJ	INVESTMENTS	CJ	NA	REG MUTUAL FUND	0	107,482,449	100 000 %
FPCM Inflation Linked Opportunities LTD 140 Broadway 18th Floor NEW YORK, NY10005	INVESTMENTS	CJ	CIM I LLC	FOREIGN CORP	0	402,426,141	100 000 %
NCH Investors Fund CU Corp Ugland S Church St PO BOX 309 GEORGETOWN, GRAND CAYMAN KY1-1104 CJ	INVESTMENTS	CJ	NA	FOREIGN CORP	0	962,297	100 000 %
APIS GLOBAL DEEP VALUE OFFSHORE LTD A HOUSE 20 GENESIS H PO BOX 1344 GEORGETOWN, GRAND CAYMAN KY1-1108 CJ	INVESTMENTS	CJ	NA	FOREIGN CORP	0	20,229,543	82 000 %
HARBOUR LITIGATION INVESTMENT OFFSHORE F PO BOX 309 UGLAND HOUSE GRAND CAYMAN KY1-1104 CJ 98-0660716	INVESTMENTS	CJ	NA	FOREIGN CORP	-11,063	1,028,157	100 000 %
INDEA LONG TERM OPPORTUNITIES FUND	INVESTMENTS	CJ	NA	FOREIGN CORP	0	48,590,000	53 000 %
COLUMBIA PATRON SCOTLAND LP	INVESTMENTS	UK	NA	FOREIGN CORP	0	8,003,326	100 000 %
BEIJING COLUMBIA INTL CONSULTING CENTER TOWERA TSINGHUA SCIENCEPARK CHENGFURD, HAIDIAN 100084 CH	EDUCATION	CH	CGC LLC	FOREIGN CORP	100,000	327,815	100 000 %
ASSC FOR COLLABORATIVE STUDIO X PROJECTS PRACA TIRADENTES 48 - CENTRO RIO DE JANEIRO 20060-070 BR	RESEARCH	BR	NA	PRIVATE LAW ASC	0	0	100 000 %

Form 990, Schedule R, Part V - Transactions With Related Organizations

(a) Name of other organization	(b) Transaction type(a-r)	(c) Amount Involved (\$)
(1) COLUMBIA PATRON SCOTLAND LP	B	1,943,716
(2) COLUMBIA UNIVERSITY PRESS	B	3,386,000
(3) REID HALL	B	227,000
(4) COLUMBIA OPHTHALMOLOGY CONSULTANTS	B	1,525,000
(5) COLUMBIA UNIVERSITY HEALTHCARE	B	3,538,515
(6) CPMC FUND	R	12,729,000
(7) COL UNIV RETIREE MED & LIFE INS BENEFITS TR	Q	15,096,369

**SCHEDULE K
(Form 990)**

Department of the Treasury
Internal Revenue Service

Supplemental Information on Tax-Exempt Bonds

► **Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information on Schedule O (Form 990).**

► **Attach to Form 990. See separate instructions.**

OMB No 1545-0047

2009

**Open to Public
Inspection**

Name of the organization **THE TRUSTEES OF COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK**

Employer identification number
13-5598093

Part I Bond Issues

(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer	
						Yes	No	Yes	No
A D. BUIT F. BUTH FIT. F. TATE F. NEW J. FI. 000-5	14-00000-5	04000004	04/01/2000	100,000,000	FUND NEW, QUALIFIED EF. TE. T				
B D. BUIT F. BUTH FIT. F. TATE F. NEW J. FI. 000-5	14-00000-5	04000005	05/14/2000	117,000,000	FUND NEW, QUALIFIED EF. TE. T				
C									
D									
E									

Part II Proceeds

	A		B		C		D		E	
1 Total proceeds of issue	295,785,662.		117,067,254.							
2 Gross proceeds in reserve funds	0.		0.							
3 Proceeds in refunding or defeasance escrows	0.		0.							
4 Other unspent proceeds	0.		0.							
5 Issuance costs from proceeds	1,641,578.		823,388.							
6 Working capital expenditures from proceeds	1,965,248.		974,613.							
7 Capital expenditures from proceeds	292,178,836.		115,269,253.							
8 Year of substantial completion										
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
9 Were the bonds issued as part of a current refunding issue?		X		X						
10 Were the bonds issued as part of an advance refunding issue?		X		X						
11 Has the final allocation of proceeds been made?		X		X						
12 Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X							

Part III Private Business Use

	A		B		C		D		E	
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
1 Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X						
2 Are there any lease arrangements with respect to the financed property which may result in private business use?	X		X							

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990

Schedule K (Form 990) 2009

Part III Private Business Use (Continued)

	A		B		C		D		E	
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
3a Are there any management or service contracts with respect to the financed property which may result in private business use?	X		X							
b Are there any research agreements with respect to the financed property which may result in private business use?	X		X							
c Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property?	X		X							
4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶	.1784%		0.0000%							
5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶	0.0000%		0.0000%							
6 Total of lines 4 and 51784%		0.0000%							
7 Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?	X		X							

Part IV Arbitrage

	A		B		C		D		E	
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
1 Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue?	X		X							
2 Is the bond issue a variable rate issue?		X	X							
3a Has the organization or the governmental issuer identified a hedge with respect to the bond issue on its books and records?		X		X						
b Name of provider										
c Term of hedge										
4a Were gross proceeds invested in a GIC?		X		X						
b Name of provider										
c Term of GIC										
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?										
5 Were any gross proceeds invested beyond an available temporary period?		X		X						
6 Did the bond issue qualify for an exception to rebate?	X		X							

Schedule K (Form 990) 2009