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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2009

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning

07/01, 2009, and ending

06/30, 2010

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Use the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions.

Name of foundation

JOSEPH H. & BARBARA I. ELLIS FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address)

C/O THE AYCO COMPANY - NTG

P.O. BOX 15014

City or town, state, and ZIP code

ALBANY, NY 12212-5014

A Employer identification number

13-3437916

B Telephone number (see page 10 of the instructions)

(518) 640-5000

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization. ☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end

of year (from Part II, col (c), line

16) \$ 2,578,726.

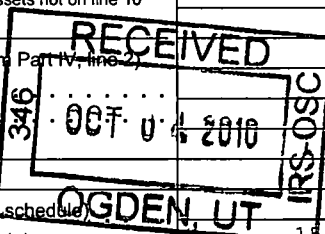
J Accounting method: ☒ Cash ☐ Accrual

☐ Other (specify)

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	1,101,850.			
2 Check ☐ if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments	3,800.	3,800.	0.	ATCH 1
4 Dividends and interest from securities	2,375.	2,375.	0.	ATCH 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10				
b Gross sales price for all assets on line 6a				
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10 a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	15,292.	4,654.	0.	ATCH 3
12 Total. Add lines 1 through 11	1,123,317.	10,829.	0.	
13 Compensation of officers, directors, trustees, etc.	0.			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) ATCH 4	2,540.	0.	0.	0.
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions)				
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 5	375.	0.	0.	0.
24 Total operating and administrative expenses. Add lines 13 through 23	2,915.	0.	0.	0.
25 Contributions, gifts, grants paid	2,644,221.			2,644,221.
26 Total expenses and disbursements. Add lines 24 and 25	2,647,136.	0.	0.	2,644,221.
27 Subtract line 26 from line 12	-1,523,819.			
a Excess of revenue over expenses and disbursements		10,829.		
b Net investment income (if negative, enter -0-)				
c Adjusted net income (if negative, enter -0-)			-0.	



P 16

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments	4,263,121.	1,670,285.	1,670,285.	
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)				
	7	Other notes and loans receivable (attach schedule)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U.S. and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule) <u>ATTCH 6</u>	0.	1,003,218.	908,441.	
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments - mortgage loans					
13	Investments - other (attach schedule)					
14	Land, buildings, and equipment basis					
	Less: accumulated depreciation (attach schedule)					
15	Other assets (describe)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	4,263,121.	2,673,503.	2,578,726.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)				
23	Total liabilities (add lines 17 through 22)					
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒					
	27	Capital stock, trust principal, or current funds	4,263,121.	2,673,503.		
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.		
	30	Total net assets or fund balances (see page 17 of the instructions)	4,263,121.	2,673,503.		
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	4,263,121.	2,673,503.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,263,121.
2	Enter amount from Part I, line 27a	2	-1,523,819.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	2,739,302.
5	Decreases not included in line 2 (itemize) <u>ATTACHMENT 7</u>	5	65,799.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,673,503.

Form 990-PF (2009)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2		
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	2,066,838.	3,890,671.	0.531229
2007	1,888,053.	4,652,581.	0.405808
2006	1,639,266.	4,650,910.	0.352461
2005	2,103,182.	3,921,867.	0.536271
2004	1,367,678.	4,406,095.	0.310406
2 Total of line 1, column (d)			2.136175
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0.427235
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			3,486,466.
5 Multiply line 4 by line 3			1,489,540.
6 Enter 1% of net investment income (1% of Part I, line 27b)			108.
7 Add lines 5 and 6			1,489,648.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.			2,644,221.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)		1	108.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	108.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	108.
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a 5,563.		
b Exempt foreign organizations-tax withheld at source	6b 0.		
c Tax paid with application for extension of time to file (Form 8868)	6c 0.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d		7	5,563.
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	5,455.
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax 5,455. Refunded		11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) NEW YORK		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2009)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ☐ N/A				
14	The books are in care of ☐ THE AYCO COMPANY - NTG Telephone no ☐ 518-640-5000			
Located at ☐ 25 BRITISH AMERICAN BLVD. LATHAM, NY ZIP + 4 ☐ 12110				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year ☐ 15			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If "Yes," list the years ☐		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ☐		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No **N/A**

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation** (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions).
If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		0.	0.	0.

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
N/A	NONE	0.

Total number of others receiving over \$50,000 for professional services NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 PLEASE NOTE, THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUPPORT, BY CONTRIBUTIONS, OTHER CHARITABLE ORGANIZATIONS EXEMPT UNDER INTERNAL REVENUE CODE SECTION 501(C)(3).	0.

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	0.
All other program-related investments See page 24 of the instructions	
3 N/A	0.
Total. Add lines 1 through 3	0.

Form 990-PF (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	433,195.
b	Average of monthly cash balances	1b	3,106,364.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	Total (add lines 1a, b, and c)	1d	3,539,559.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,539,559.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	53,093.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,486,466.
6	Minimum investment return. Enter 5% of line 5	6	174,323.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	174,323.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	108.
b	Income tax for 2009 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	108.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	174,215.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	174,215.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	174,215.

Part XII Qualifying Distributions(see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,644,221.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the.		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,644,221.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	108.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,644,113.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				174,215.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years 20 07, 20 06, 20 05				
3 Excess distributions carryover, if any, to 2009				
a From 2004	1,162,009.			
b From 2005	1,920,825.			
c From 2006	1,410,568.			
d From 2007	1,664,876.			
e From 2008	1,876,742.			
f Total of lines 3a through e	8,035,020.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 2,644,221.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				174,215.
e Remaining amount distributed out of corpus	2,470,006.			
5 Excess distributions carryover applied to 2009 . (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	10,505,026.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	1,162,009.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	9,343,017.			
10 Analysis of line 9				
a Excess from 2005	1,920,825.			
b Excess from 2006	1,410,568.			
c Excess from 2007	1,664,876.			
d Excess from 2008	1,876,742.			
e Excess from 2009	2,470,006.			

Form 990-PF (2009)

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

JOSEPH H. ELLIS

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year ATTACHMENT 9				
Total.			▶ 3a	2,644,221.
b Approved for future payment				
Total.			▶ 3b	

Enter gross amounts unless otherwise indicated

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

JOSEPH H. & BARBARA I. ELLIS FOUNDATION

Employer identification number

13-3437916

Organization type (check one).

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II

- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III

- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ► \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

JSA

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Name of organization JOSEPH H. & BARBARA I. ELLIS FOUNDATION

Employer identification number
13-3437916**Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	JOSEPH H. ELLIS THE AYCO COMPANY, L.P. - NTG PO BOX 15014 ALBANY, NY 12212-5014	\$ 867,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
2	JOSEPH H. ELLIS THE AYCO COMPANY, L.P. - NTG PO BOX 15014 ALBANY, NY 12212-5014	\$ 234,850.	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	5,000 SHARES XTO ENERGY, INC. _____ _____ _____	\$ 234,850.	12/23/2009
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

ATTACHMENT 1FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
GOLDMAN SACHS #20741	3,800.	3,800.	0.
TOTAL	<u>3,800.</u>	<u>3,800.</u>	<u>0.</u>

ATTACHMENT 2FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
GOLDMAN SACHS #20741	2,375.	2,375.	0.
TOTAL	<u>2,375.</u>	<u>2,375.</u>	<u>0.</u>

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
IRS REFUND	15,292.	4,654.	0.
TOTALS	15,292.	4,654.	0.

ATTACHMENT 4FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
AYCO COMPANY - ACCTG FEES	2,540.	0.	0.	0.
TOTALS	<u>2,540.</u>	<u>0.</u>	<u>0.</u>	<u>0.</u>

ATTACHMENT 5FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
NYS FILING FEE	250.	0.	0.	0.
PUBLICATION NOTICE	125.	0.	0.	0.
TOTALS	375.	0.	0.	0.

JOSEPH H. & BARBARA I. ELLIS FOUNDATION
FORM 990PF, PART II - CORPORATE STOCK

13-3437916

ATTACHMENT 6

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
GOLDMAN SACHS ACCT #20741	1,003,218.	908,441.
TOTALS	<u>1,003,218.</u>	<u>908,441.</u>

JOSEPH.H. & BARBARA I. ELLIS FOUNDATION

13-3437916

ATTACHMENT 7

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION

AMOUNT

APPRECIATION OVER DONOR'S COST BASIS FOR
BONDS DONATED TO FOUNDATION

65,799.

TOTAL

65,799.

JOSEPH H. & BARBARA I. ELLIS FOUNDATION

13-3437916

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 8

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JOSEPH H. ELLIS C/O THE AYCO COMPANY - NTG P.O. BOX 15014 ALBANY, NY 12212-5014	TRUSTEE - AS NEEDED	0.	0.	0.
BARBARA I. ELLIS C/O THE AYCO COMPANY - NTG P.O. BOX 15014 ALBANY, NY 12212-5014	TRUSTEE - AS NEEDED	0.	0.	0.
GRAND TOTALS		0.	0.	0.

FORM 990PF, PART XV -- GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 9

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

RECIPIENT NAME AND ADDRESS

(SEE ATTACHED LIST)

NONE

501(C) (3)

GENERAL CHARITABLE PURPOSES

2,644,221.

TOTAL CONTRIBUTIONS PAID

2,644,221

Joseph H. & Barbara I. Ellis Foundation
CHARITABLE CONTRIBUTION LIST
FYE: 6/30/2010

Date	Check #	Description	Amount
7/1/09	184	BARNARD COLLEGE, NEW YORK, NY	45,000.00
7/1/09	187	TRUSTEES OF COLUMBIA UNIVERSITY, NEW YORK, NY	167,000.00
7/1/09	188	TRUSTEES OF COLUMBIA UNIVERSITY, NEW YORK, NY	167,000.00
7/1/09	189	COLUMBIA COLLEGE FUND, NEW YORK, NY	20,000.00
7/10/09	183	NATIONAL AUDUBON SOCIETY, NEW YORK, NY	8,000.00
7/10/09	190	THIRTEEN/WNET, NEW YORK, NY	25,000.00
7/20/09	191	UJA - FEDERATION OF NEW YORK, NEW YORK, NY	15,000.00
8/5/09	192	GLOBAL VILLAGE MEDIA, NEW YORK, NY	200.00
8/13/09	193	THE CORNWALL CHRONICLE, WEST CORNWALL, CT	200.00
9/1/09	186	PAN MASS CHALLENGE, NEEDHAM, MA	1,000.00
9/1/09	195	THE WILDERNESS SOCIETY, WASHINGTON, DC	100,000.00
9/8/09	197	RARE, ARLINGTON, VA	500,000.00
9/21/09	194	ALLIANCE OF ORTHODOX CONGREGATIONS, SPRINGFIELD, MA	100.00
9/21/09	198	NATIONAL PARKS CONSERVATION ASSOCIATION, WASHINGTON, DC	3,000.00
10/5/09	201	UJA FEDERATION OF NY, NEW YORK, NY	19,000.00
10/6/09	200	CORNWALL HISTORICAL SOCIETY, CORNWALL, CT	1,000.00
10/20/09	202	NYC AUDUBON, NEW YORK, NY	18,025.00
10/21/09	203	CORNWALL LIBRARY, CORNWALL, CT	3,000.00
10/21/09	204	PARK EAST SYNAGOGUE, NEW YORK, NY	1,000.00
10/30/09	205	MEDIA MATTERS, WASHINGTON, DC	50,000.00
12/1/09	206	PIE RANCH, PESCADERO, CA	300.00
12/3/09	207	CARE, NEW YORK, NY	50,000.00
12/4/09	208	AMERICAN SOLAR ENERGY SOCIETY, BOULDER, CO	105.00
12/11/09	209	CONSUMER REPORTS FOUNDATION, YONKERS, NY	250.00
12/15/09	215	TRUSTEES OF COLUMBIA UNIVERSITY, NEW YORK, NY	100,000.00
12/15/09	217	AMERICAN JEWISH WORLD SERVICE, NEW YORK, NY	10,000.00
12/16/09	211	CITY MEALS - ON - WHEELS, NEW YORK, NY	5,747.00
12/17/09	216	DOROT, NEW YORK, NY	75,000.00
12/18/09	213	CORNWALL VOLUNTEER FIRE DEPARTMENT, CORNWALL, CT	1,000.00
12/21/09	219	MEMORIAL SLOAN-KETTERING CANCER CENTER, NEW YORK, NY	5,000.00
12/21/09	224	WEST SIDE CAMPAIGN AGAINST HUNGER, NEW YORK, NY	10,000.00
12/23/09	210	THE CORNWALL LIBRARY, CORNWALL, CT	5,000.00
12/23/09	220	CORNELL CONSOLIDATED SCHOOL FUND, NEW YORK, NY	300.00
12/23/09	225	COMPREHENSIVE DEVELOPMENT INC., NEW YORK, NY	15,000.00
12/24/09	223	JDC -JDP: ASYA RWANDA, NEW YORK, NY	10,000.00
12/24/09	228	CARL SCHURZ PARK CONSERVANCY, NEW YORK, NY	250.00
12/29/09	222	WORLD WILDLIFE FUND, NEW YORK, NY	100,000.00
12/30/09	214	EARTHJUSTICE, OAKLAND, CA	15,000.00
12/30/09	226	GSAS COLUMBIA UNIVERSITY, NEW YORK, NY	200.00
12/30/09	229	GREENPEACE FUND, WASHINGTON, DC	12,500.00
12/30/09	230	THE NATURE CONSERVANCY, NEW YORK, NY	100,000.00
12/30/09	233	CORNELL LAB OF ORNTHOLOGY, NEW YORK, NY	500.00
1/4/10	227	THE AFTER SCHOOL CORPORATION, NEW YORK, NY	5,000.00
1/4/10	232	SHERMAN CHAMBER ENSEMBLE, SHERMAN, CT	250.00
1/4/10	234	CHORE SERVICE, SHARON, CT	500.00
1/6/10	235	A BETTER BALANCE, NEW YORK, NY	500.00
1/6/10	241	HOUSATONIC VALLEY ASSOCIATION, CORNWALL, CT	1,000.00
1/7/10	236	CORNWALL FOUNDATION, CORNWALL, CT	2,500.00
1/8/10	240	CORNWALL CHILD CENTER, CORNWALL, CT	500.00
1/8/10	243	CITY HARVEST, NEW YORK, NY	2,000.00
1/11/10	244	WENDELL GILLEY MUSEUM, SOUTHWEST HARBOR, ME	250.00

1/12/10	231	FOSTER PARROTS, LTD., ROCKLAND, MA	300.00
1/13/10	218	BORDER CROSSERS, NEW YORK, NY	200.00
1/13/10	221	HUMANE FARMING ASSOCIATION, SAN RAFAEL, CA	5,000.00
1/13/10	237	WILDLIFE TRUST, NEW YORK, NY	2,000.00
1/13/10	239	THE METROPOLITAN MUSEUM OF ART, NEW YORK, NY	8,000.00
1/15/10	238	FOOD BANK FOR NEW YORK CITY, NEW YORK, NY	3,000.00
1/19/10	212	CORNWALL CONSERVATION TRUST, CORNWALL, CT	500.00
1/20/10	242	INTERNATIONAL RESCUE COMMITTEE, WASHINGTON, DC	3,000.00
1/20/10	245	WNYC RADIO, NEW YORK, NY	1,500.00
1/20/10	246	NOW NY STATE INC, NEW YORK, NY	25.00
1/20/10	248	PCI MEDIA IMPACT, NEW YORK, NY	10,000.00
1/26/10	247	CARE, NEW YORK, NY	20,000.00
2/16/10	249	BROOKLYN BOTANICAL GARDEN, BROOKLYN, NY	3,000.00
2/16/10	250	WARD MUSEUM OF WILDFIELD ART, WEST HAVEN, CT	250.00
2/18/10	251	CORNWALL FOOD & FUEL BANK, CORNWALL, CT	500.00
3/16/10	256	PARK EAST SYNAGOGUE, NEW YORK, NY	2,500.00
3/23/10	257	CORNELL LAB OF ORNITHOLOGY, NEW YORK, NY	350.00
3/24/10	258	STONE POINT CAPITAL FOUNDATION, GREENWICH CT	1,000.00
3/29/10	252	HAITIAN HEALTH FOUNDATION, NORWICH, CT	300.00
3/30/10	262	A E CROWELL BIRD DECOY FOUNDATION, BARNSTABLE, MA	3,000.00
4/5/10	259	JBFCs, NEW YORK, NY	1,000.00
4/8/10	263	CHESS IN THE SCHOOLS, NEW YORK, NY	1,000.00
4/15/10	255	PARK EAST SYNAGOGUE, NEW YORK, NY	1,000.00
4/16/10	264	BARNARD COLLEGE, NEW YORK, NY	10,000.00
4/16/10	265	TUG HILL TOMORROW LAND TRUST, WATERTOWN, NY	50,000.00
4/22/10	266	DOROT, NEW YORK, NY	20,550.00
4/23/10	260	CORNWALL CHILD CENTER, CORNWALL, CT	500.00
4/23/10	267	DOCTORS WITHOUT BORDERS, NEW YORK, NY	50,000.00
4/27/10	268	CARE, NEW YORK, NY	50,000.00
5/7/10	269	JDC -JDP: ASYA RWANDA, NEW YORK, NY	4,500.00
5/25/10	272	CHURCH OF ST PAUL & ST ANDREW , NEW YORK, NY	10,000.00
5/27/10	271	MEDIA MATTERS, NEW YORK, NY	100,000.00
5/28/10	273	CORNWALL LIBRARY, CORNWALL, CT	250.00
5/28/10	274	CORNWALL LIBRARY, CORNWALL, CT	1,000.00
6/1/10	277	NEW YORK PUBLIC LIBRARY, NEW YORK, NY	24,585.00
6/2/10	270	UJA FEDERATION, NEW YORK, NY	15,000.00
6/3/10	275	COLUMBIA UNIVERSITY, NEW YORK, NY	350.00
6/10/10	281	UJA FEDERATION OF NEW YORK, NEW YORK, NY	19,000.00
6/14/10	279	NEW YORK CARES, NEW YORK, NY	150.00
6/16/10	278	THE CHORE SERVICE, SHARON, CT	3,334.00
6/17/10	286	WORLD WILDLIFE FUND, WASHINGTON, DC	100,000.00
6/21/10	280	BARNARD COLLEGE, NEW YORK, NY	25,000.00
6/21/10	282	THE JEWISH MUSEUM, NEW YORK, NY	75.00
6/22/10	288	COLUMBIA UNIVERSITY, NEW YORK, NY	332,000.00
6/22/10	289	COLUMBIA COLLEGE FUND, NEW YORK, NY	20,000.00
6/24/10	283	THE MUSEUM OF MODERN ART, NEW YORK, NY	75.00
6/28/10	284	RARE, ARLINGTON, VA	10,000.00
6/28/10	285	RARE, ARLINGTON, VA	50,000.00
6/28/10	287	SHARON AUDUBON CENTER, SHARON, CT	8,000.00
6/29/10	292	MUSIC MOUNTAIN, INC., LAKEVILLE, CT	250.00

Total Charitable Contributions Paid

\$ 2,644,221.00

All contributions were made to the general purpose fund of public charitable organizations that were classified under section 501(c)(3) of the Internal Revenue Code.