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Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2009

Open to Public Inspection

A For the 2009 calendar year, or tax year beginning 07-01-2009 and ending 06-30-2010

B Check if applicable
☐ Address change
☐ Name change
☐ Initial return
☐ Terminated
☐ Amended return
☐ Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization
THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

Doing Business As

Number and street (or P O box if mail is not delivered to street address) Room/suite
188 MADISON AVENUE

City or town, state or country, and ZIP + 4
NEW YORK, NY 10016

D Employer identification number
13-1887440

E Telephone number
(212) 592-7403

G Gross receipts $ 425,730,438

F Name and address of principal officer
PAUL LECLERC PRESIDENT CEO
476 FIFTH AVENUE
NEW YORK, NY 10018

H(a) Is this a group return for affiliates? ☐ Yes ☑ No

H(b) Are all affiliates included? ☐ Yes ☐ No
If "No," attach a list (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status ☑ 501(c) (3) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J **Website:** ▶ WWW NYPL ORG

K Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation 1895

M State of legal domicile NY

Part I Summary

Activities & Governance

1	Briefly describe the organization's mission or most significant activities THE MISSION OF THE NEW YORK PUBLIC LIBRARY IS TO INSPIRE LIFELONG LEARNING, ADVANCE KNOWLEDGE, AND STRENGTHEN OUR COMMUNITIES		
2	Check this box ▶☐ if the organization discontinued its operations or disposed of more than 25% of its net assets		
3	Number of voting members of the governing body (Part VI, line 1a)	3	47
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	44
5	Total number of employees (Part V, line 2a)	5	3,401
6	Total number of volunteers (estimate if necessary)	6	1,894
7a	Total gross unrelated business revenue from Part VIII, column (C), line 12	7a	-813,464
b	Net unrelated business taxable income from Form 990-T, line 34	7b	-1,286,628

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	284,676,774	260,632,964
	9	Program service revenue (Part VIII, line 2g)	5,712,337	4,814,053
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	-42,331,258	30,509,429
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	8,597,615	9,076,123
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	256,655,468	305,032,569
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	1,106,199	1,092,849
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0	0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	183,768,874	171,608,617
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	831,582	713,789
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶7,965,950		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f)	120,036,700	98,174,512
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	305,743,355	271,589,767
	19	Revenue less expenses Subtract line 18 from line 12	-49,087,887	33,442,802

			Beginning of Current Year	End of Year
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	1,107,334,962	1,215,116,333
	21	Total liabilities (Part X, line 26)	441,848,709	409,479,482
	22	Net assets or fund balances Subtract line 21 from line 20	665,486,253	805,636,851

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

****** 2011-05-13
Signature of officer Date

SHARON HEWITT WATKINS VP FOR FINANCE
Type or print name and title

Paid Preparer's Use Only

Preparer's signature ▶	Date	Check if self-employed ▶ ☐	Preparer's identifying number (see instructions)
Firm's name (or yours if self-employed), address, and ZIP + 4 ▶	KPMG LLP 345 Park Avenue New York, NY 101540102		EIN ▶ Phone no ▶ (212) 758-9700

May the IRS discuss this return with the preparer shown above? (see instructions) ☑ Yes ☐ No

Part III Statement of Program Service Accomplishments

1 Briefly describe the organization's mission

THE MISSION OF THE NEW YORK PUBLIC LIBRARY IS TO INSPIRE LIFELONG LEARNING, ADVANCE KNOWLEDGE, AND STRENGTHEN OUR COMMUNITIES

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . . ☐ Yes ☑ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . . ☐ Yes ☑ No

If "Yes," describe these changes on Schedule O

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses $ 137,211,339 including grants of $) (Revenue $ 3,754,522)

THE BRANCH LIBRARIES - SEE SCHEDULE O

4b (Code) (Expenses $ 91,898,207 including grants of $ 1,092,849) (Revenue $ 3,823,312)

THE RESEARCH LIBRARIES - SEE SCHEDULE O

4c (Code) (Expenses $ including grants of $) (Revenue $)

4d Other program services (Describe in Schedule O)

(Expenses $ including grants of $) (Revenue $)

4e **Total program service expenses** ▶$ 229,109,546

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	Yes	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		No
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities? *If "Yes," complete Schedule C, Part II*	4	Yes	
5	**Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations.** Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? *If "Yes," complete Schedule C, Part III*	5		
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? *If "Yes," complete Schedule D, Part II*	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8	Yes	
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		No
10	Did the organization, directly or through a related organization, hold assets in term, permanent,or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10	Yes	
11	Is the organization's answer to any of the following questions "Yes"? *If so,complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.*	11	Yes	
	• Did the organization report an amount for land, buildings, and equipment in Part X, line10? *If "Yes," complete Schedule D, Part VI.*			
	• Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII.*			
	• Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII.*			
	• Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX.*			
	• Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X.*			
	• Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? *If "Yes," complete Schedule D, Part X.*			
12	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI, XII, and XIII*	12	Yes	
12A	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional* — 12A: Yes [ ] No [No]			
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		No
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, and program service activities outside the United States? *If "Yes," complete Schedule F, Part I*	14b	Yes	
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the U S ? *If "Yes," complete Schedule F, Part II*	15		No
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the U S ? *If "Yes," complete Schedule F, Part III*	16		No
17	Did the organization report a total of more than $15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I*	17	Yes	
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18	Yes	
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		No
20	Did the organization operate one or more hospitals? *If "Yes," complete Schedule H*	20		No

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
21	Did the organization report more than $5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21		No
22	Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer questions 24b–24d and complete Schedule K. If "No," go to line 25*	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II*	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? *If "Yes," complete Schedule L, Part III*	27		No
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or owner? *If "Yes," complete Schedule L, Part IV*	28c	Yes	
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I*	33		No
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1*	34	Yes	
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35		No
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

			Line	Yes	No
1a	Enter the number reported in Box 3 of Form 1096, *Annual Summary and Transmittal of U.S. Information Returns. Enter -0- if not applicable*	1a 579			
b	Enter the number of Forms W-2G included in line 1a *Enter -0-* if not applicable	1b 0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?		1c	Yes	
2a	Enter the number of employees reported on Form W-3, *Transmittal of Wage and Tax Statements* filed for the calendar year ending with or within the year covered by this return	2a 3,401			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note:** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return (see instructions)		2b	Yes	
3a	Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return?		3a	Yes	
b	If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O*		3b	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		4a		No
b	If "Yes," enter the name of the foreign country ▶ See the instructions for exceptions and filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts				
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		5a		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		5b		No
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?		5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible?		6a		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		6b		
7	**Organizations that may receive deductible contributions under section 170(c).**				
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?		7a	Yes	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?		7b	Yes	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		7c		No
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d			
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		7e		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		7f		No
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?		7g		
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?		7h		
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?		8		
9	**Sponsoring organizations maintaining donor advised funds.**				
a	Did the organization make any taxable distributions under section 4966?		9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?		9b		
10	**Section 501(c)(7) organizations.** Enter				
a	Initiation fees and capital contributions included on Part VIII, line 12	10a			
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b			
11	**Section 501(c)(12) organizations.** Enter				
a	Gross income from members or shareholders	11a			
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b			
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?		12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b			

Part VI **Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body	1a 47			
b	Enter the number of voting members that are independent	1b 44			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		2	Yes	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		3		No
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?		4		No
5	Did the organization become aware during the year of a material diversion of the organization's assets?		5		No
6	Does the organization have members or stockholders?		6		No
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?		7a		No
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?		7b		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following				
a	The governing body?		8a	Yes	
b	Each committee with authority to act on behalf of the governing body?		8b	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		9		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

			Yes	No
10a	Does the organization have local chapters, branches, or affiliates?	10a		No
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b		
11	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11	Yes	
11A	Describe in Schedule O the process, if any, used by the organization to review the Form 990			
12a	Does the organization have a written conflict of interest policy? *If "No," go to line 13*	12a	Yes	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	12c	Yes	
13	Does the organization have a written whistleblower policy?	13	Yes	
14	Does the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	Yes	
b	Other officers or key employees of the organization	15b	Yes	
	If "Yes" to line a or b, describe the process in Schedule O (See instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		No
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed ▶ AK , AZ , CA , CT , HI , IL , KS , KY , LA , ME , MD , MA , MI , MN , MS , NH , NJ , NM , ND , OH , OK , OR , PA , SC , TN , UT , VA , WA , WV , WI

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you make these available Check all that apply

☑ Own website ☐ Another's website ☑ Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public See Additional Data Table

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶

SHARON HEWITT WATKINS VP FIN
188 MADISON AVENUE
NEW YORK, NY 10016
(212) 592-7403

Part VII **Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year Use Schedule J-2 if additional space is needed

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and **current** key employees Enter -0- in columns (D), (E), and (F) if no compensation was paid

- List all of the organization's **current** key employees See instructions for definition of "key employee "

- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations

- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations

- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☐ Check this box if the organization did not compensate any current or former officer, director, trustee or key employee

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply): Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
See add'l data										

1b Total . . . . ▶	6,295,364	0	643,114

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 in reportable compensation from the organization ▶171

		Yes	**No**
3 Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	**3**		No
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	**4**	Yes	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? *If "Yes," complete Schedule J for such person*	**5**		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization

(A) Name and business address	**(B)** Description of services	**(C)** Compensation
NICHOLSON AND GALLOWAY INC 261 GLEN HEAD ROAD GLEN HEAD, NY 11545	CONSTRUCTION	17,901,078
CAMPBELL AND DAWES LTD 84 48 129TH STREET KEW GARDENS, NY 11415	CONSTRUCTION	11,168,790
DONNELLY MECHANICAL CORP 96 59 222ND STREET QUEENS VILLAGE, NY 11429	HVAC SERVICES	7,172,297
CAULDWELL WINGATE COMPANY LLC 380 LEXINGTON AVENUE NEW YORK, NY 10019	CONSTRUCTION	5,370,049
EUROTECH CONSTRUCTION CORP 532 WEST 30TH STREET NEW YORK, NY 10001	CONSTRUCTION	3,759,718

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶87

Part VIII Statement of Revenue

Section	Line	Description			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns	1a					
	b	Membership dues	1b					
	c	Fundraising events	1c	4,498,837				
	d	Related organizations	1d					
	e	Government grants (contributions)	1e	190,283,380				
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	65,850,747				
	g	Noncash contributions included in lines 1a-1f $ 3,557,554						
	h	**Total.** Add lines 1a-1f			260,632,964			
Program Service Revenue				Business Code				
	2a	FINES AND FEES		900,099	3,637,481	3,637,481		
	b	PHOTOCOPY, MICROFILM		900,099	649,541	649,541		
	c	INFORMATION SERVICES		519,100	337,742	337,742		
	d	TICKET SALES		900,099	189,289	189,289		
	e							
	f	All other program service revenue						
	g	**Total.** Add lines 2a–2f			4,814,053			
Other Revenue	3	Investment income (including dividends, interest and other similar amounts)			4,657,114		-1,471,529	6,128,643
	4	Income from investment of tax-exempt bond proceeds			0			
	5	Royalties			164,616			164,616
			(i) Real	(ii) Personal				
	6a	Gross Rents	2,088,452					
	b	Less rental expenses	509,456					
	c	Rental income or (loss)	1,578,996					
	d	Net rental income or (loss)			1,578,996			1,578,996
			(i) Securities	(ii) Other				
	7a	Gross amount from sales of assets other than inventory	99,152,766	46,123,731				
	b	Less cost or other basis and sales expenses	96,442,826	22,981,356				
	c	Gain or (loss)	2,709,940	23,142,375				
	d	Net gain or (loss)			25,852,315			25,852,315
	8a	Gross income from fundraising events (not including $ 4,498,837 of contributions reported on line 1c) See Part IV, line 18	a	269,440				
	b	Less direct expenses	b	177,981				
	c	Net income or (loss) from fundraising events			91,459			91,459
	9a	Gross income from gaming activities See Part IV, line 19	a					
	b	Less direct expenses	b					
	c	Net income or (loss) from gaming activities			0			
	10a	Gross sales of inventory, less returns and allowances	a	1,261,642				
	b	Less cost of goods sold	b	586,250				
	c	Net income or (loss) from sales of inventory			675,392	556,874	118,518	
		Miscellaneous Revenue		Business Code				
	11a	FEE - USE OF SPACE		532,000	2,595,883		428,747	2,167,136
	b	PUBLICATIONS		519,100	501,800	501,800		
	c	UNIVERSAL SERVICES REIMBURSEMENT		900,099	1,652,070			1,652,070
	d	All other revenue			1,815,907	1,705,107	110,800	
	e	**Total.** Add lines 11a–11d			6,565,660			
	12	**Total revenue.** See Instructions			305,032,569	7,577,834	-813,464	37,635,235

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.
All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	**(A)** Total expenses	**(B)** Program service expenses	**(C)** Management and general expenses	**(D)** Fundraising expenses
1 Grants and other assistance to governments and organizations in the U S See Part IV, line 21	0	0		
2 Grants and other assistance to individuals in the U S See Part IV, line 22	1,092,849	1,092,849		
3 Grants and other assistance to governments, organizations, and individuals outside the U S See Part IV, lines 15 and 16	0	0		
4 Benefits paid to or for members	0	0		
5 Compensation of current officers, directors, trustees, and key employees	5,258,928	774,828	3,904,801	579,299
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0	0	0	0
7 Other salaries and wages	112,171,520	99,245,281	9,894,333	3,031,906
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	8,410,352	7,407,836	774,118	228,398
9 Other employee benefits	36,634,940	31,274,309	4,267,490	1,093,141
10 Payroll taxes	9,132,877	7,796,832	1,059,950	276,095
11 Fees for services (non-employees)				
a Management	0	0	0	0
b Legal	608,021	0	608,021	0
c Accounting	440,504	212	440,292	0
d Lobbying	197,653	0	197,653	0
e Professional fundraising *See Part IV, line 17* . .	713,789			713,789
f Investment management fees	8,547,051	0	8,547,051	0
g Other	6,622,157	5,332,341	743,466	546,350
12 Advertising and promotion	1,802,410	372,563	221,923	1,207,924
13 Office expenses	6,482,755	6,112,457	138,496	231,802
14 Information technology	2,669,236	2,155,238	511,163	2,835
15 Royalties . .	0	0	0	0
16 Occupancy	15,498,741	15,359,517	139,224	0
17 Travel	803,353	764,195	29,934	9,224
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	0	0	0	0
19 Conferences, conventions, and meetings	48,126	22,583	23,161	2,382
20 Interest	3,436,867	3,165,355	271,512	0
21 Payments to affiliates	0	0	0	0
22 Depreciation, depletion, and amortization	18,368,524	18,076,876	291,648	0
23 Insurance	1,963,844	150,751	1,813,093	0
24 Other expenses Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below)				
a BOOKS AND BINDING	27,246,181	27,246,181		
b BLDG REPAIRS AND RENOVATION	2,123,370	1,956,822	127,500	39,048
c ALL OTHER EXPENSES	1,315,719	802,520	509,442	3,757
d				
e				
f All other expenses				
25 **Total functional expenses.** Add lines 1 through 24f	271,589,767	229,109,546	34,514,271	7,965,950
26 **Joint costs.** Check here ▶ ☐ if following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

				(A) Beginning of year		(B) End of year
Assets	1	Cash—non-interest-bearing		234,362	1	237,521
	2	Savings and temporary cash investments		137,941,033	2	85,243,317
	3	Pledges and grants receivable, net		166,035,893	3	134,400,307
	4	Accounts receivable, net		5,385,306	4	2,373,943
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L			5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Complete Part II of Schedule L			6	
	7	Notes and loans receivable, net			7	
	8	Inventories for sale or use		168,220	8	173,799
	9	Prepaid expenses and deferred charges		3,952,373	9	2,862,589
	10a	Land, buildings, and equipment cost or other basis *Complete Part VI of Schedule D*	10a 563,567,829			
	b	Less accumulated depreciation	10b 255,982,664	199,848,764	10c	307,585,165
	11	Investments—publicly traded securities		228,111,067	11	135,665,522
	12	Investments—other securities See Part IV, line 11		361,882,060	12	542,724,788
	13	Investments—program-related See Part IV, line 11			13	
	14	Intangible assets			14	
	15	Other assets See Part IV, line 11		3,775,884	15	3,849,382
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)		1,107,334,962	16	1,215,116,333
Liabilities	17	Accounts payable and accrued expenses		69,063,431	17	58,905,642
	18	Grants payable			18	
	19	Deferred revenue		120,695,719	19	93,177,746
	20	Tax-exempt bond liabilities		95,930,002	20	92,295,002
	21	Escrow or custodial account liability *Complete Part IV of Schedule D*			21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons *Complete Part II of Schedule L*			22	
	23	Secured mortgages and notes payable to unrelated third parties			23	
	24	Unsecured notes and loans payable to unrelated third parties			24	
	25	Other liabilities Complete Part X of Schedule D		156,159,557	25	165,101,092
	26	**Total liabilities.** Add lines 17 through 25		441,848,709	26	409,479,482
Net Assets or Fund Balances		**Organizations that follow SFAS 117, check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.**				
	27	Unrestricted net assets		-34,923,038	27	202,886,937
	28	Temporarily restricted net assets		307,322,524	28	202,774,954
	29	Permanently restricted net assets		393,086,767	29	399,974,960
		Organizations that do not follow SFAS 117, check here ▶ ☐ and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		665,486,253	33	805,636,851
	34	Total liabilities and net assets/fund balances		1,107,334,962	34	1,215,116,333

Part XI Financial Statements and Reporting

			Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other ____________ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? . .	2a		No
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes	
c	If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O . . .	2c	Yes	
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both ☑ Separate basis ☐ Consolidated basis ☐ Both consolidated and separated basis			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a	Yes	
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits . .	3b	Yes	

SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

Employer identification number
13-1887440

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1 ☐ A church, convention of churches, or association of churches **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☑ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9 ☐ An organization that normally receives (1) more than 331/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 331/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a ☐ Type I **b** ☐ Type II **c** ☐ Type III - Functionally integrated **d** ☐ Type III - Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i) a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization?	**11g(i)**		
(ii) a family member of a person described in (i) above?	**11g(ii)**		
(iii) a 35% controlled entity of a person described in (i) or (ii) above?	**11g(iii)**		

h Provide the following information about the supported organization(s)

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document? Yes	No	(v) Did you notify the organization in col (i) of your support? Yes	No	(vi) Is the organization in col (i) organized in the U S ? Yes	No	(vii) Amount of support?
Total									

Part II **Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")	51,390,520	52,621,786	164,241,215	75,298,980	70,349,584	413,902,085
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf	157,102,000	152,744,836	169,299,212	209,377,794	190,283,380	878,807,222
3 The value of services or facilities furnished by a governmental unit to the organization without charge	57,015,708	58,353,700	62,243,365	64,818,076	10,702,103	253,132,952
4 **Total.** Add lines 1 through 3	265,508,228	263,720,322	395,783,792	349,494,850	271,335,067	1,545,842,259
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 **Public Support.** Subtract line 5 from line 4						1,545,842,259

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4	265,508,228	15,225,155	395,783,792	349,494,850	271,335,067	1,545,842,259
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	12,256,069	15,225,155	14,363,448	8,310,731	6,910,182	57,065,585
9 Net income from unrelated business activities, whether or not the business is regularly carried on	1,504,809	1,684,587	1,423,175	492,589		5,105,160
10 Other income (Explain in Part IV) Do not include gain or loss from the sale of capital assets	3,822,036	3,840,806	3,396,584	3,759,930	3,819,206	18,638,562
11 **Total support** (Add lines 7 through 10)						1,626,651,566

12 Gross receipts from related activities, etc (See instructions) **12** 43,022,398

13 **First Five Years** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ▶☐

Section C. Computation of Public Support Percentage

14 Public Support Percentage for 2009 (line 6 column (f) divided by line 11 column (f)) **14** 95 032 %

15 Public Support Percentage for 2008 Schedule A, Part II, line 14 **15** 94 990 %

16a **33 1/3% support test—2009.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☑

b **33 1/3% support test—2008.** If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

17a **10%-facts-and-circumstances test—2009.** If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ▶☐

b **10%-facts-and-circumstances test—2008.** If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ▶☐

18 **Private Foundation** If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions ▶☐

Part III **Support Schedule for Organizations Described in IRC 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	**(a)** 2005	**(b)** 2006	**(c)** 2007	**(d)** 2008	**(e)** 2009	**(f)** Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public Support** (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in)	**(a)** 2005	**(b)** 2006	**(c)** 2007	**(d)** 2008	**(e)** 2009	**(f)** Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13 **Total support** (Add lines 9, 10c, 11 and 12)						

14 **First Five Years** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ▶☐

Section C. Computation of Public Support Percentage

15 Public Support Percentage for 2009 (line 8 column (f) divided by line 13 column (f))	15	
16 Public support percentage from 2008 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for **2009** (line 10c column (f) divided by line 13 column (f))	17	
18 Investment income percentage from **2008** Schedule A, Part III, line 17	18	

19a **33 1/3% support tests—2009.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

b **33 1/3% support tests—2008.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

20 **Private Foundation** If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions ▶☐

Part IV **Supplemental Information.** Supplemental Information. Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Provide any other additional information. See instructions

Explanation
REPORTED IN THIS SECTION IS "OTHER INCOME" RELATING TO REVENUE GENERATED BY ACTIVITIES SUCH AS FEES FOR FACILITY USAGE AND REIMBURSEMENT UNDER THE UNIVERSAL SERVICES PROGRAM TO PROVIDE DISCOUNTS FOR TELECOMMUNICATION EXPENSES TO ALLOW LIBRARIES AND EDUCATIONAL INSTITUTIONS TO PURCHASE ADVANCED TECHNOLOGIES

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527
▶ Complete if the organization is described below.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

If the organization answered "Yes," to Form 990, Part IV, Line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes," to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes," to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, line 35a (regarding proxy tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization	Employer identification number
THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS	13-1887440

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV

2 Political expenditures ▶ $

3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ $

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ $

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No

4a Was a correction made? ☐ Yes ☐ No

b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c) except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ $

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt funtion activities ▶ $

3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ $

4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No

5 State the names, addresses and employer identification number (EIN) of all section 527 political organizations to which payments were made For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	**(b)** Address	**(c)** EIN	**(d)** Amount paid from filing organization's funds If none, enter -0-	**(e)** Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ☐ if the filing organization belongs to an affiliated group
B Check ☐ if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	**(a)** Filing Organization's Totals	**(b)** Affiliated Group Totals
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b Total lobbying expenditures to influence a legislative body (direct lobbying)		
c Total lobbying expenditures (add lines 1a and 1b)		
d Other exempt purpose expenditures		
e Total exempt purpose expenditures (add lines 1c and 1d)		
f Lobbying nontaxable amount Enter the amount from the following table in both columns		

If the amount on line 1e, column (a) or (b) is:	**The lobbying nontaxable amount is:**
Not over $500,000	20% of the amount on line 1e
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000
Over $17,000,000	$1,000,000

g Grassroots nontaxable amount (enter 25% of line 1f)		
h Subtract line 1g from line 1a If zero or less, enter -0-		
i Subtract line 1f from line 1c If zero or less, enter -0-		

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ **Yes** ☐ **No**

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	**(a)** 2006	**(b)** 2007	**(c)** 2008	**(d)** 2009	**(e)** Total
2a Lobbying non-taxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots non-taxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

		(a) Yes	(a) No	(b) Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?	Yes		
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	Yes		
c	Media advertisements?		No	
d	Mailings to members, legislators, or the public?	Yes		52,818
e	Publications, or published or broadcast statements?		No	
f	Grants to other organizations for lobbying purposes?		No	
g	Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes		158,455
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		No	
i	Other activities? If "Yes," describe in Part IV		No	
j	Total lines 1c through 1i			211,273
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No	
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3		

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes".

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) non-deductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i. Also, complete this part for any additional information

Identifier	Return Reference	Explanation
LOBBYING ACTIVITY	SCHEDULE C, PART II-B	VOLUNTEERS THE LIBRARY WORKS DIRECTLY WITH LOCAL VOLUNTEERS WHO ENCOURAGE THEIR ELECTED OFFICIALS TO SUPPORT THE LIBRARY'S BUDGET GOALS PAID STAFF OR MANAGEMENT THE LIBRARY EMPLOYS A SMALL IN-HOUSE GOVERNMENT RELATIONS STAFF THAT WORKS WITH ELECTED OFFICIALS AT THE FEDERAL, STATE AND CITY LEVELS ON LIBRARY-RELATED FUNDING ISSUES AND LEGISLATION MAILING TO MEMBERS, LEGISLATORS OR THE PUBLIC THE LIBRARY PREPARES MAILINGS TO ELECTED OFFICIALS AT ALL THREE LEVELS OF GOVERNMENT REGARDING FUNDING ISSUES AND LEGISLATION DIRECT CONTACT WITH LEGISLATORS, THEIR STAFF, GOVERNMENT OFFICIALS, OR A LEGISLATIVE BODY THE LIBRARY'S IN-HOUSE GOVERNMENT RELATIONS STAFF, WITH THE ASSISTANCE OF LOBBYISTS IN ALBANY, NEW YORK AND WASHINGTON, DC, MEET ELECTED AND APPOINTED GOVERNMENT OFFICIALS AND THEIR STAFF ON LIBRARY-RELATED FUNDING ISSUES AND LEGISLATION

SCHEDULE D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

Employer identification number
13-1887440

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.
Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit ☐ Yes ☐ No

Part II Conservation Easements.
Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)
- ☐ Preservation of land for public use (e g , recreation or pleasure)
- ☐ Preservation of an historically importantly land area
- ☐ Protection of natural habitat
- ☐ Preservation of a certified historic structure
- ☐ Preservation of open space

2 Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

			Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ▶ ______

4 Number of states where property subject to conservation easement is located ▶ ______

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ▶ ______

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ ______

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.
Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ ______

(ii) Assets included in Form 990, Part X ▶ $ ______

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ $ ______

b Assets included in Form 990, Part X ▶ $ ______

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table

		Amount
c Beginning balance	1c	
d Additions during the year	1d	
e Distributions during the year	1e	
f Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current Year	(b) Prior Year	(c) Two Years Back	(d) Three Years Back	(e) Four Years Back
1a Beginning of year balance	592,167,243	741,425,152			
b Contributions	53,539,855	43,068,357			
c Investment earnings or losses	56,461,888	-155,406,750			
d Grants or scholarships	256,666	635,000			
e Other expenditures for facilities and programs	32,230,854	36,284,516			
f Administrative expenses					
g End of year balance	669,681,466	592,167,243			

2 Provide the estimated percentage of the year end balance held as

a Board designated or quasi-endowment ▶ **28.560 %**

b Permanent endowment ▶ **71.440 %**

c Term endowment ▶

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

		Yes	No
(i) unrelated organizations	3a(i)		No
(ii) related organizations	3a(ii)		No
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIV the intended uses of the organization's endowment funds

Part VI Investments—Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land	1,083,799	3,308,414		4,392,213
b Buildings	14,606,595	421,772,980	233,616,967	202,762,608
c Leasehold improvements		48,460,313	2,009,862	46,450,451
d Equipment		34,544,043	20,355,835	14,188,208
e Other		39,791,685		39,791,685
Total. Add lines 1a-1e *(Column (d) should equal Form 990, Part X, column (B), line 10(c).)* ▶				307,585,165

Part VII Investments—Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Financial derivatives		
Closely-held equity interests		
Other		
Commingled Investment Funds	235,786,308	F
Hedge Funds	249,365,730	F
Private Market Funds	57,572,750	F
Total. *(Column (b) should equal Form 990, Part X, col (B) line 12)* ▶	542,724,788	

Part VIII Investments—Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Total. *(Column (b) should equal Form 990, Part X, col (B) line 13)* ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
Total. *(Column (b) should equal Form 990, Part X, col.(B) line 15.)* . . . . ▶	

Part X Other Liabilities. See Form 990, Part X, line 25.

1 (a) Description of Liability	(b) Amount
Federal Income Taxes	0
ACCRUED POSTRETIREMENT BENEFITS	151,600,349
INTEREST RATE SWAPS	13,500,743
Total. *(Column (b) should equal Form 990, Part X, col (B) line 25)* ▶	165,101,092

2. Fin 48 Footnote In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48

Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	305,032,569
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	271,589,767
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	33,442,802
4	Net unrealized gains (losses) on investments	4	35,752,191
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	69,205,881
8	Other (Describe in Part XIV)	8	1,749,724
9	Total adjustments (net) Add lines 4 - 8	9	106,707,796
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	140,150,598

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements			1	343,096,530
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains on investments	2a	35,752,191		
b	Donated services and use of facilities	2b	10,702,103		
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIV)	2d			
e	Add lines **2a** through **2d**			2e	46,454,294
3	Subtract line **2e** from line **1**			3	296,642,236
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	8,899,789		
b	Other (Describe in Part XIV)	4b	-509,456		
c	Add lines **4a** and **4b**			4c	8,390,333
5	Total Revenue Add lines **3** and **4c.** (This should equal Form 990, Part I, line 12)			5	305,032,569

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements			1	273,901,537
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a	10,702,103		
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIV)	2d	509,456		
e	Add lines **2a** through **2d**			2e	11,211,559
3	Subtract line **2e** from line **1**			3	262,689,978
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	8,899,789		
b	Other (Describe in Part XIV)	4b			
c	Add lines **4a** and **4b**			4c	8,899,789
5	Total expenses Add lines **3** and **4c.** (This should equal Form 990, Part I, line 18)			5	271,589,767

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b Also complete this part to provide any additional information

Identifier	Return Reference	Explanation
RECONCILIATION OF CHANGE IN NET ASSETS - OTHER	SCHEDULE D, PART XI, LINE 8	CHANGE IN VALUE OF INTEREST RATE SWAPS $ (2,076,419) POSTRETIREMENT BENEFITS OTHER THAN NET PERIODIC COST 3,826,143 ----------- TOTAL LINE 8 1,749,724
RECONCILIATION OF CHANGE IN NET ASSETS - PRIOR PERIOD ADJUSTMENTS	SCHEDULE D, PART XI, LINE 7 AND PART VI	CHANGE IN ACCOUNTING PRINCIPLE THE LIBRARY REPORTS CONTRIBUTIONS RESTRICTED BY DONORS TO ACQUIRE FIXED ASSETS AS TEMPORARILY RESTRICTED NET ASSETS PRIOR TO FISCAL 2010, THE LIBRARY REFLECTED THE EXPIRATION OF SUCH DONOR IMPOSED PURPOSE RESTRICTIONS AS THE ACQUIRED ASSETS WERE DEPRECIATED IN FISCAL 2010, THE LIBRARY CHANGED ITS ACCOUNTING POLICY TO REFLECT THE EXPIRATION OF THESE DONOR IMPOSED RESTRICTIONS WHEN THE DONOR RESTRICTED FUNDS ARE EXPENDED AND THE ACQUIRED FIXED ASSETS ARE PLACED IN SERVICE, WHICH THE LIBRARY CONSIDERS TO BE PREFERABLE THE CHANGE RESULTED IN A RETROSPECTIVE ADJUSTMENT OF $92,977,913 DECREASING TEMPORARILY RESTRICTED NET ASSETS AND INCREASING UNRESTRICTED NET ASSETS AS OF JUNE 30, 2009 THIS CHANGE HAD NO IMPACT ON THE LIBRARY'S TOTAL NET ASSETS AS OF JUNE 30, 2009 IN ADDITION, IN 1962, THE LIBRARY PURCHASED AN INVESTMENT IN REAL ESTATE WITH BOARD-DESIGNATED ENDOWMENT FUNDS AND SUBSEQUENTLY PLACED IT IN USE AS A LIBRARY PRIOR TO FISCAL 2010, THE LIBRARY CLASSIFIED THE ASSET AS A REAL ESTATE INVESTMENT AND CARRIED IT AT COST OF $15,521,052 DURING 2010, THE LIBRARY DETERMINED THAT IT IS PREFERABLE TO ACCOUNT FOR THIS ASSET AS AN OPERATING ASSET TO BETTER REFLECT ITS USE AS A RESULT, THE LIBRARY CHANGED ITS ACCOUNTING, AND RECORDED A RETROSPECTIVE ADJUSTMENT DECREASING UNRESTRICTED NET ASSETS AND TOTAL NET ASSETS BY $14,437,253 TO REFLECT THE ACCUMULATED DEPRECIATION OF THE ASSETS AS OF JUNE 30, 2009 THE REMAINING BALANCE OF $1,083,799 REPRESENTS THE ESTIMATED COST OF THE LAND, WHICH IS NOT A DEPRECIABLE ASSET RESTATEMENT DURING FISCAL YEAR 2010, THE LIBRARY IDENTIFIED CERTAIN ADJUSTMENTS THAT REQUIRED RESTATEMENT OF THE PREVIOUSLY RECORDED NET ASSETS AT JUNE 30, 2009, WHICH ARE PRESENTED BELOW UNRESTRICTED NET ASSETS AT JUNE 30, 2009, AS PREVIOUSLY REPORTED $ (34,923,038) ADJUSTMENTS (A) CAPITALIZATION OF CITY-OWNED PROPERTIES AND IMPROVEMENTS 86,941,785 (B) VACATION LIABILITIES FOR CITY-FUNDED STAFF (8,213,326) (C) OTHER 4,914,675 ----------- UNRESTRICTED NET ASSETS AT JUNE 30, 2009, AS RESTATED $ 48,720,096 (A) UNDER CERTAIN ARRANGEMENTS BETWEEN THE LIBRARY AND THE CITY AND/OR STATE, THE CITY AND STATE PROVIDE PROPERTIES TO THE LIBRARY FOR ITS LONG-TERM USE FOR AS LONG AS THE LIBRARY USES THESE PROPERTIES AS OPERATING LIBRARIES THE CITY AND/OR STATE MAINTAIN LEGAL TITLE TO THESE PROPERTIES THE LIBRARY HAS RESTATED ITS NET ASSETS AT JUNE 30, 2009, TO REFLECT THE FAIR VALUE OF THE CONTRIBUTED USE OF LONG-LIVED ASSETS AS FIXED ASSETS, AS WELL AS THE RELATED CAPITAL IMPROVEMENTS MADE TO THOSE ASSETS THAT WERE FUNDED BY THE CITY AND/OR STATE THE USE OF THESE PROPERTIES HAD PREVIOUSLY BEEN RECORDED BY THE LIBRARY AS CONTRIBUTED RENT AND EXPENSE ON AN ANNUAL BASIS, WITH ANY RELATED CAPITAL IMPROVEMENT FUNDED BY THE CITY AND/OR STATE BEING EXPENSED (B) THE LIBRARY DID NOT PREVIOUSLY RECOGNIZE A LIABILITY FOR VACATION BENEFITS EARNED BY CITY-FUNDED STAFF AS SUCH, THE LIBRARY RESTATED ITS NET ASSETS AT JUNE 30, 2009 TO RECORD THIS ACCRUAL (C) THE LIBRARY RESTATED ITS NET ASSETS AT JUNE 30, 2009 TO REMOVE UNAMORTIZED BOND ISSUANCE COSTS OF $1,351,624 RELATED TO THE REOFFERED DEBT IN ADDITION, MANAGEMENT ALSO RESTATED ITS NET ASSETS AT JUNE 30, 2009 TO CORRECT FOR ERRORS IN THE CALCULATION OF DEPRECIATION RELATED TO BUILDINGS AND IMPROVEMENTS IN THE AMOUNT OF $6,266,299
RECONCILIATION OF REVENUE PER AUDITED FINANCIAL STATEMENTS TO 990 RETURN	SCHEDULE D, PART XII, LINE 4B - OTHER	RENTAL EXPENSES ALLOCATED TO GROSS REVENUE $ (509,456)
RECONCILIATION OF EXPENSES PER AUDITED FINANCIAL STATEMENTS TO 990 RETURN	SCHEDULE D, PART XIII, LINE 2D - OTHER	RENTAL EXPENSES ALLOCATED TO GROSS REVENUE $ 509,456
COLLECTIONS OF ART, HISTORICAL TREASURES, OR OTHER SIMILAR ASSETS	SCHEDULE D, PART III, LINES 1A AND 4	TEXT OF FOOTNOTE FROM AUDITED FINANCIAL STATEMENTS THE LIBRARY HAS EXTENSIVE RESEARCH COLLECTIONS OF LIBRARY MATERIALS, INCLUDING BOOKS, PERIODICALS AND OTHER ITEMS THESE COLLECTIONS ARE MAINTAINED BY THE RESEARCH LIBRARIES UNDER CURATORIAL CARE AND ARE HELD FOR RESEARCH, EDUCATION AND PUBLIC EXHIBITION IN FURTHERANCE OF PUBLIC SERVICE PROCEEDS FROM THE SALES OF COLLECTIONS ARE USED TO ACQUIRE OTHER ITEMS FOR COLLECTIONS THE COST OF COLLECTIONS PURCHASED BY THE LIBRARY FOR THE RESEARCH LIBRARIES IS CHARGED TO EXPENSE WHEN INCURRED AND DONATED COLLECTION ITEMS ARE NOT RECORDED THE VALUE OF THE LIBRARY'S RESEARCH COLLECTIONS CANNOT BE DETERMINED THE COST OF BOOKS AND OTHER LIBRARY MATERIALS PURCHASED BY THE BRANCH LIBRARIES IS NOT RECORDED AS COLLECTIONS, BUT IS CHARGED AS A LIBRARY SERVICES EXPENSE IN THE YEAR PURCHASED BECAUSE, LARGELY BY REASON OF THEIR FREQUENT USE, SUCH ITEMS ARE EXHAUSTIBLE OVER A SHORT PERIOD OF TIME
INTENDED USES OF THE ORGANIZATION'S ENDOWMENT FUNDS	SCHEDULE D, PART V, LINE 4	THE LIBRARY'S ENDOWMENT CONSISTS OF 392 INDIVIDUAL FUNDS ESTABLISHED FOR A VARIETY OF PURPOSES, INCLUDING BOTH DONOR-RESTRICTED ENDOWMENT FUNDS AND FUNDS DESIGNATED BY THE BOARD OF TRUSTEES TO FUNCTION AS ENDOWMENTS NET ASSETS ASSOCIATED WITH THE ENDOWMENT FUNDS ARE CLASSIFIED AND REPORTED BASED ON THE EXISTENCE OR ABSENCE OF DONOR-IMPOSED RESTRICTIONS THE ENDOWMENT FUNDS SUPPORT THE FOLLOWING PROGRAM ACTIVITIES BRANCH LIBRARIES RESEARCH LIBRARIES CONSERVATION AND CATALOGUING EXHIBITIONS AND PUBLIC EDUCATION PROGRAMS OTHER - PRINCIPALLY, FOR THE GENERAL OPERATIONS OF THE RESEARCH LIBRARIES AND LIBRARY-WIDE PROGRAMS
ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES	SCHEDULE D, PART X, LINE 2	THE LIBRARY PRESCRIBES TO A THRESHOLD OF MORE-LIKELY-THAN-NOT FOR RECOGNITION AND DERECOGNITION OF TAX POSITIONS TO BE TAKEN IN A TAX RETURN AS OF JUNE 30, 2010, THE LIBRARY DOES NOT HAVE ANY UNCERTAIN TAX POSITIONS OR ANY UNRELATED BUSINESS INCOME TAX LIABILITY WHICH WOULD HAVE A MATERIAL IMPACT UPON ITS FINANCIAL STATEMENTS

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SCHEDULE F
(Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND
TILDEN FOUNDATIONS

Employer identification number
13-1887440

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . ☐ **Yes** ☐ **No**

2 **For grantmakers.** Describe in Part IV the organization's procedures for monitoring the use of grant funds outside the United States

3 Activites per Region (Use Schedule F-1 (Form 990) if additional space is needed)

(a) Region	**(b)** Number of offices in the region	**(c)** Number of employees or agents in region	**(d)** Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	**(e)** If activity listed in (d) is a program service, describe specific type of service(s) in region	**(f)** Total expenditures for region
Central America and the Caribbean	0	0	Investments		
Totals . . . . . ▶	0	0			

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than $5,000. Check this box if no one recipient received more than $5,000 . . . . ▶ ☐
Use Schedule F-1 (Form 990) if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . . ▶ ____________

3 Enter total number of other organizations or entities . . . . ▶

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Use Schedule F-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	**(b)** Region	**(c)** Number of recipients	**(d)** Amount of cash grant	**(e)** Manner of cash disbursement	**(f)** Amount of non-cash assistance	**(g)** Description of non-cash assistance	**(h)** Method of valuation (book, FMV, appraisal, other)

Part IV Supplemental Information

Complete this part to provide the information required in Part I, line 2, and any additional information.

Identifier	ReturnReference	Explanation

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than $15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2009

Open to Public Inspection

Name of the organization
THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND
TILDEN FOUNDATIONS

Employer identification number
13-1887440

Part I **Fundraising Activities.** Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities Check all that apply

a ☑ Mail solicitations
b ☑ Internet and e-mail solicitations
c ☑ Phone solicitations
d ☑ In-person solicitations
e ☑ Solicitation of non-government grants
f ☑ Solicitation of government grants
g ☑ Special fundraising events

2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising activities? ☑ **Yes** ☐ **No**

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least $5,000 by the organization Form 990-EZ filers are not required to complete this table

(i) Name of individual or entity (fundraiser)	**(ii)** Activity	**(iii)** Did fundraiser have custody or control of contributions? Yes	No	**(iv)** Gross receipts from activity	**(v)** Amount paid to (or retained by) fundraiser listed in col **(i)**	**(vi)** Amount paid to (or retained by) organization
DRAKES BAY FUNDRAISING INC	DIRECT MARKETING		No	2,330,000	317,104	2,012,896
WAKEBY FIRE ASSOC LLC	DIRECT MARKETING		No	715,000	225,804	489,196
HEWITT JOHNSTON CONSULTANT	ONLINE FUNDRAISING		No	411,000	74,319	336,681
PROJECTS PLUS INC	SPECIAL EVENTS		No	991,000	67,677	923,323
DIRECT ADVANTAGE MARKETING	TELE-MARKETING		No	29,000	15,552	13,448
JOHN BROWN LTD INC	PLANNED GIVING		No	0	13,333	-13,333
Total . . . . ▶				4,476,000	713,789	3,762,211

3 List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing

AK,AZ,CA,CT,HI,IL,KS,KY,LA,ME,MD,MA,MI,MN,MS,NH,NJ,NM,ND,OH,OK,OR,PA,SC,TN,UT,VA,WA,WV,WI

Part II **Fundraising Events.** Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than $15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than $5,000.

		(a) Event #1 LIONS GALA (event type)	(b) Event #2 CORP DINNER (event type)	(c) Other Events 3 (total number)	(d) Total Events (Add col (a) through col (c))
Revenue	1 Gross receipts	2,688,518	1,054,200	1,025,559	4,768,277
	2 Less Charitable contributions	2,566,318	1,007,300	925,219	4,498,837
	3 Gross income (line 1 minus line 2)	122,200	46,900	100,340	269,440
Direct Expenses	4 Cash prizes				
	5 Non-cash prizes				
	6 Rent/facility costs				
	7 Food and beverages				
	8 Entertainment				
	9 Other direct expenses	114,663	43,941	19,377	177,981
	10 Direct expense summary Add lines 4 through 9 in column (d) ▶				177,981
	11 Net income summary Combine lines 3, column d, and line 10 ▶				91,459

Part III **Gaming.** Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than $15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (Add col (a) through col (c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Non-cash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	☐ Yes ____ % ☐ No	☐ Yes ____ % ☐ No	☐ Yes ____ % ☐ No	
	7 Direct expense summary Add lines 2 through 5 in column (d) ▶				
	8 Net gaming income summary Combine lines 1, column d, and line 7 ▶				

			Yes	No
9	Enter the state(s) in which the organization operates gaming activities ______			
a	Is the organization licensed to operate gaming activities in each of these states?	9a		
b	If "No," Explain			
10a	Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?	10a		
b	If "Yes," Explain			
11	Does the organization operate gaming activities with nonmembers?	11		
12	Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?	12		

				Yes	No
13	Indicate the percentage of gaming activity operated in				
a	The organization's facility	**13a**			
b	An outside facility	**13b**			

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name ▶ ______________________

Address ▶ ______________________

			Yes	No
15a	Does the organization have a contract with a third party from whom the organization receives gaming revenue?	**15a**		

b If "Yes," enter the amount of gaming revenue received by the organization ▶ $ ____________ and the amount of gaming revenue retained by the third party ▶ $ ____________

c If "Yes," enter name and address

Name ▶ ______________________

Address ▶ ______________________

16 Gaming manager information

Name ▶ ______________________

Gaming manager compensation ▶ $ ____________

Description of services provided ▶ ______________________

☐ Director/officer ☐ Employee ☐ Independent contractor

17 Mandatory distributions

			Yes	No
a	Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?	**17a**		

b Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ $

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Schedule I (Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

Employer identification number
13-1887440

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . ☑ **Yes** ☐ **No**

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than $5,000. Check this box if no one recipient received more than $5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed . . . . ▶ ☐

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance

2 Enter total number of section 501(c)(3) and government organizations . . . . ▶

3 Enter total number of other organizations . . . . ▶

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Use Schedule I-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
FELLOWSHIP GRANTS	58	1,092,849			
See Additional Data Table					

Part IV **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

Identifier	Return Reference	Explanation
MONITORING THE USE OF GRANTS	SCHEDULE I, PART I, LINE 2	THE LIBRARY HAS SEPARATE FELLOWSHIP PROGRAMS THE DOROTHY AND LEWIS B CULLMAN CENTER FOR SCHOLARS AND WRITERS LOCATED AT THE STEPHEN A SCHWARZMAN BUILDING AND THE SCHOLARS-IN-RESIDENCE PROGRAM AT THE SCHOMBURG CENTER FOR RESEARCH IN BLACK CULTURE THE PROGRAMS ARE INTENDED TO CONNECT THE FELLOWS WITH THE RESOURCES OF THE LIBRARY, TO PROMOTE INTERPRETATIVE AND CREATIVE SCHOLARSHIP AND WRITING, TO ENCOURAGE FELLOWS TO PRODUCE SCHOLARLY AND CREATIVE WORKS, AND TO PRESENT THEIR ORIGINAL WORK IN PAPERS, SYMPOSIA OR LECTURES TO THE PUBLIC, AND TO FOSTER PUBLIC DISCOURSE ABOUT ISSUES RELATING TO HISTORY, CULTURE AND CREATIVITY BROCHURES FOR THE PROGRAMS ARE MAILED TO NUMEROUS JOURNALS AND INSTITUTIONS IN THE FIELDS OF HUMANITIES, SOCIAL SCIENCES AND BLACK STUDIES ANNOUNCEMENTS OF THE PROGRAMS ARE ALSO POSTED ON THE LIBRARY'S WEBSITE CULLMAN CENTER FELLOWSHIPS AT THE STEPHEN A SCHWARZMAN BUILDING ARE OPEN TO ACADEMICS, INDEPENDENT SCHOLARS, AND CREATIVE WRITERS THE CENTER HAS TWO COMMITTEES A PRELIMINARY REVIEWING PANEL, WHICH ASSESSES AND RANKS THE APPLICATIONS, AND A SELECTION COMMITTEE, WHICH REVIEWS THE FINALISTS AND CHOOSES THE CLASS OF FELLOWS THE SELECTION COMMITTEE IS COMPRISED OF SEVEN INDIVIDUALS FELLOWSHIPS IN THE SCHOLARS-IN-RESIDENCE PROGRAM AT THE SCHOMBURG CENTER ARE OPEN TO ACADEMICS AND INDEPENDENT SCHOLARS THE SCHOMBURG CENTER'S SELECTION COMMITTEE REVIEWS THE APPLICATIONS AND SELECTS THE FELLOWS THE SELECTION COMMITTEE IS COMPRISED OF FIVE TO SIX EXTERNAL REVIEWERS, WHO SERVE UNDER THE DIRECTION OF THE PROGRAM DIRECTOR FOR BOTH CENTERS, THE CRITERIA FOR SELECTION INCLUDE (1) THE RELEVANCE OF THE PROPOSED PROJECT TO THE HOLDINGS OF THE LIBRARY (THIS CRITERION IS SOMEWHAT FLEXIBLE FOR CREATIVE WRITERS), (2) THE QUALITY AND FEASIBILITY OF THE PROJECT PLAN, (3) THE LIKELIHOOD THAT THE PROJECT WILL BE COMPLETED SUCCESSFULLY, (4) A RECORD OF SIGNIFICANT ACCOMPLISHMENT BY THE APPLICANT IN HIS OR HER FIELD OR DISCIPLINE, AND (5) LETTERS OF RECOMMENDATION FROM OTHER SCHOLARS OR WRITERS IN SUPPORT OF THE PROJECT FELLOWS ARE IN RESIDENCE AT THE LIBRARY FOR A YEAR AFTER THE AWARD IS GRANTED AFTER COMPLETION OF A FELLOWSHIP, EACH FELLOW SENDS A COPY OF ANY BOOK WORKED ON AT THE LIBRARY, AFTER COMPLETING THE BOOK, TO THOSE WHO OVERSEE THE PROGRAM SUBSEQUENTLY, PUBLIC PROGRAMS ARE OFTEN HELD WHICH ARE BASED ON THE FELLOWS PROJECTS

Schedule J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

Employer identification number
13-1887440

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropiate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items ☐ First-class or charter travel ☐ Housing allowance or residence for personal use ☐ Travel for companions ☐ Payments for business use of personal residence ☐ Tax idemnification and gross-up payments ☑ Health or social club dues or initiation fees ☐ Discretionary spending account ☐ Personal services (e g , maid, chauffeur, chef)			
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement orprovision of all the expenses described above? If "No," complete Part III to explain	**1b**	Yes	
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	**2**	Yes	
3	Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply ☑ Compensation committee ☐ Written employment contract ☑ Independent compensation consultant ☑ Compensation survey or study ☑ Form 990 of other organizations ☑ Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization			
a	Receive a severance payment or change-of-control payment?	**4a**	Yes	
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	**4b**		No
c	Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III	**4c**		No
	Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.			
5	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of			
a	The organization?	**5a**		No
b	Any related organization? If "Yes," to line 5a or 5b, describe in Part III	**5b**		No
6	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of			
a	The organization?	**6a**		No
b	Any related organization? If "Yes," to line 6a or 6b, describe in Part III	**6b**		No
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	**7**		No
8	Were any amounts reported in Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III	**8**		No
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	**9**		

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
See Additional Data Table								

Part III **Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8 Also complete this part for any additional information

Identifier	Return Reference	Explanation
REIMBURSEMENT OF CERTAIN EXPENSES	SCHEDULE J, PART I, LINE 1A	THE LIBRARY REIMBURSES THE PRESIDENT FOR CLUB MEMBERSHIP DUES RELATED TO THE CONDUCT OF LIBRARY BUSINESS
SEVERANCE PAYMENTS AND OTHER REPORTABLE COMPENSATION	SCHEDULE J, PART I, LINE 4A	THE FOLLOWING INDIVIDUALS RECEIVED SEVERANCE PAYMENTS AS A RESULT OF A VOLUNTARY SEPARATION PROGRAM, BASED ON YEARS OF SERVICE, OFFERED BY THE LIBRARY TO HELP MEET BUDGET CUTS MARCEL LIPKOWITZ, HEAD OF MONOGRAPH CATALOGING SECTION, SEPARATED ON SEPTEMBER 1, 2009 AND RECEIVED SEVERANCE OF $47,111 JAMES MURRAY, ASSISTANT DIRECTOR FOR MEDIA AND THEATRE - SCHOMBURG CENTER, SEPARATED ON JULY 1, 2009 AND RECEIVED SEVERANCE OF $49,712 ROBERT WEISSBACH, MANAGER OF APPLICATIONS DEVELOPMENT, SEPARATED ON JULY 31, 2009 AND RECEIVED SEVERANCE OF $74,084 ROBERTA YANCY, ASSISTANT DIRECTOR FOR PUBLIC AFFAIRS AND DEVELOPMENT - SCHOMBURG CENTER, SEPARATED ON JULY 31, 2009 AND RECEIVED SEVERANCE OF $60,199 INCREASES IN OTHER REPORTABLE AND BASE COMPENSATION FOR CERTAIN OFFICERS AND HIGHEST PAID EMPLOYEES IN ADDITION TO INCREASES IN OTHER REPORTABLE COMPENSATION ATTRIBUTABLE TO SEVERANCE PAYOUTS TO INDIVIDUALS LISTED IN SCHEDULE J, PART I, LINE 4A, FURTHER INCREASES IN OTHER REPORTABLE AND BASE COMPENSATION AMOUNTS ARE ATTRIBUTABLE TO ACCRUED BENEFIT PAYOUTS TO THESE AND OTHER EMPLOYEES WHO HAVE LEFT THE LIBRARY, IMPUTED INCOME FOR VESTED BENEFITS EARNED BY CERTAIN EMPLOYEES WHO MET THE AGE AND SERVICE ELIGIBILITY REQUIREMENTS UNDER THE LIBRARY'S SERVICE CREDIT PLAN, 27 BIWEEKLY PAY PERIODS IN CALENDAR YEAR 2009 VERSUS 26 IN 2008, AND MARKET ADJUSTMENTS TO REFLECT INCREASED RESPONSIBILITIES ASSUMED BY CERTAIN OFFICERS FOR TODD M CORBIN, INCREASE IN BASE COMPENSATION ATTRIBUTED TO PARTIAL YEAR LIBRARY EMPLOYMENT REFLECTED IN CALENDAR YEAR 2008 VERSUS FULL YEAR IN CALENDAR YEAR 2009

Additional Data

Return to Form

Software ID:
Software Version:
EIN: 13-1887440
Name: THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
PAUL LECLERC	(i)	684,550	0	114,255	0	68,060	866,865	0
	(ii)	0	0	0	0	0	0	0
JACQUELINE F BAUSCH	(i)	228,311	0	9,713	0	34,968	272,992	0
	(ii)	0	0	0	0	0	0	0
TODD M CORBIN	(i)	409,015	0	142	0	38,021	447,178	0
	(ii)	0	0	0	0	0	0	0
ANNE L CORISTON	(i)	219,687	0	822	0	22,445	242,954	0
	(ii)	0	0	0	0	0	0	0
CATHERINE C DUNN	(i)	337,798	0	32,106	0	44,120	414,024	0
	(ii)	0	0	0	0	0	0	0
DAVID S FERRIERO	(i)	312,807	0	51,345	0	28,324	392,476	0
	(ii)	0	0	0	0	0	0	0
DEANNA LEE	(i)	171,573	0	915	0	23,023	195,511	0
	(ii)	0	0	0	0	0	0	0
DAVID G OFFENSEND	(i)	330,356	0	1,331	0	42,196	373,883	0
	(ii)	0	0	0	0	0	0	0
JOANNA M PESTKA	(i)	204,933	0	251	0	30,063	235,247	0
	(ii)	0	0	0	0	0	0	0
JAMES PISANIELLO	(i)	172,699	0	6,475	0	1,766	180,940	0
	(ii)	0	0	0	0	0	0	0
JEFFREY ROTH	(i)	219,780	0	854	0	34,283	254,917	0
	(ii)	0	0	0	0	0	0	0
LOUISE SHEA	(i)	267,881	0	221	0	37,696	305,798	0
	(ii)	0	0	0	0	0	0	0
DAVID STURM	(i)	247,952	0	18,089	0	37,277	303,318	0
	(ii)	0	0	0	0	0	0	0
ANN D THORNTON	(i)	164,831	0	23	0	26,707	191,561	0
	(ii)	0	0	0	0	0	0	0
ROBERT J VANNI	(i)	271,437	0	50,223	0	26,769	348,429	0
	(ii)	0	0	0	0	0	0	0
SHARON HEWITT WATKINS	(i)	213,412	0	822	0	34,210	248,444	0
	(ii)	0	0	0	0	0	0	0
JENNIFER ZASLOW	(i)	161,330	0	56	0	22,740	184,126	0
	(ii)	0	0	0	0	0	0	0
ELAINE CHARNOV	(i)	237,120	0	5,025	0	25,553	267,698	0
	(ii)	0	0	0	0	0	0	0
MARCEL LIPKOWITZ	(i)	64,914	0	186,681	0	15,968	267,563	0
	(ii)	0	0	0	0	0	0	0
JAMES MURRAY	(i)	51,642	0	187,775	0	14,934	254,351	0
	(ii)	0	0	0	0	0	0	0
ROBERT WEISSBACH	(i)	68,824	0	177,594	0	14,769	261,187	0
	(ii)	0	0	0	0	0	0	0
ROBERTA YANCY	(i)	73,857	0	197,476	0	9,038	280,371	0
	(ii)	0	0	0	0	0	0	0

Schedule L
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Transactions with Interested Persons

▶ Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V lines 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ. ▶See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

Employer identification number
13-1887440

Part I Excess Benefit Transactions (section 501(c)(3) and section 501 (c)(4) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1 (a) Name of disqualified person	(b) Description of transaction	(c) Corrected? Yes	No

2 Enter the amount of tax imposed on the organization managers or disqualified persons during the year under section 4958 . . . . . ▶ $

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization . . . . . ▶ $

Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 26, or Form 990-EZ, Part V, line 38a

(a) Name of interested person and purpose	(b) Loan to or from the organization? To	From	(c) Original principal amount	(d) Balance due	(e) In default? Yes	No	(f) Approved by board or committee? Yes	No	(g) Written agreement? Yes	No
Total . . . . . ▶ $										

Part III Grants or Assistance Benefitting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of grant or type of assistance

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues? Yes	No
GOLDMAN SACHS	SEE SCHEDULE O	247,612	MANAGEMENT FEES		No
NEUBERGER BERMAN	SEE SCHEDULE O	353,456	MANAGEMENT FEES		No
NYSERNETORG INC	SEE SCHEDULE O	141,867	SERVICE FEES		No

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SCHEDULE M (Form 990)

Department of the Treasury
Internal Revenue Service

NonCash Contributions

▶Complete if the organization answered "Yes" on Form 990, Part IV, lines 29 or 30.
▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

Employer identification number
13-1887440

Part I Types of Property

	(a) Check if applicable	(b) Number of Contributions	(c) Revenues reported on Form 990, Part VIII, line 1g	(d) Method of determining revenues
1 Art—Works of art	X	18		N/A
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications	X			N/A
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	44	3,557,554	MARKET PRICE
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ (RAFFLE PRIZES)	X	52	0	N/A
26 Other ▶( )				
27 Other ▶( )				
28 Other ▶ ( )				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . . | 29 | 8

		Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?	30a		No
b If "Yes," describe the arrangement in Part II			
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	31	Yes	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell non-cash contributions?	32a	Yes	
b If "Yes," describe in Part II			
33 If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II			

Part II

Supplemental Information. Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
USE OF THIRD PARTIES	SCHEDULE M, PART I, LINE 32B	ON BEHALF OF THE LIBRARY, THE BANK OF NEW YORK MELLON SELLS SECURITIES THAT THE LIBRARY RECEIVES AS GIFTS
REVENUE NOT REPORTED FOR CONTRIBUTED PROPERTY	SCHEDULE M, PART I, LINE 33	DONATED COLLECTION ITEMS ARE NOT RECORDED REFER TO SCHEDULE D PART III LINE 1A

Additional Data

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Software Version:	
EIN:	13-1887440
Name:	THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

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SCHEDULE O (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No 1545-0047

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THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

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13-1887440

Identifier	Return Reference	Explanation
VOLUNTEERS	FORM 990, PART I, LINE 6	VOLUNTEERS PLAY A VITAL ROLE AT THE LIBRARY BY DONATING THEIR TIME, TALENT AND SKILLS, THEY SUPPORT AND ENHANCE THE LIBRARY'S PROGRAMS, SERVICES AND MISSION WHILE SHARING THEIR ASSISTANCE AND ENTHUSIASM WITH STAFF AND VISITORS SUBJECT TO APPLICANTS' QUALIFICATIONS AND INTERESTS, VOLUNTEER POSITIONS MAY BE AVAILABLE IN DEPARTMENTS SUCH AS INFORMATION DESKS, LIBRARY SHOP, LIVE@NYPL, TOURS, EXHIBITIONS, EXTERNAL AFFAIRS, TEACHING ENGLISH FOR SPEAKERS OF OTHER LANGUAGES, LITERACY TUTORING, HELPING WITH CRAFT CLASSES FOR CHILDREN, SHELVING BOOKS AND/OR HELPING TO MAINTAIN ORDERLY SHELVES, TEACHING KNITTING OR CHESS, OR READING ALOUD TO CHILDREN SOME VOLUNTEERS ASSIST WITH GENERAL OFFICE WORK, INCLUDING PHONE WORK AND MAILINGS

Identifier	Return Reference	Explanation
PROGRAM SERVICES	FORM 990, PART III, LINE 4	LINE 4A - THE BRANCH LIBRARIES THE SERVICES OF THE 86 BRANCH LIBRARIES EXTEND FAR BEYOND THE TRADITIONAL LENDING ROLE USUALLY ASSOCIATED WITH NEIGHBORHOOD LIBRARIES, TO PROVIDE VITAL OUTREACH SERVICES AND PROGRAMS TO SCHOOLS, NURSING HOMES, HOSPITALS, SHELTERS AND PRISONS, AND TO THE BLIND AND PHYSICALLY HANDICAPPED, INCLUDING BOOK-BY-MAIL DELIVERIES TO THE HOMEBOUND IN FISCAL 2010, THERE WERE 15 4 MILLION VISITS TO THE BRANCH LIBRARIES BY INDIVIDUALS WHO BORROWED 24 1 MILLION ITEMS THE BRANCH LIBRARIES SPONSORED 42,024 PROGRAMS, ATTENDED BY 758,685 ADULTS AND CHILDREN COLLECTIONS INCLUDE 5 2 MILLION BOOKS AND 3 3 MILLION NONPRINT ITEMS SUCH AS FILMS, VIDEOTAPES, PICTURES, AUDIO RECORDINGS AND MATERIALS FOR THE BLIND REFERENCE INQUIRIES TOTALED 8 0 MILLION AND DIRECTIONAL INQUIRIES WERE APPROXIMATELY 6 2 MILLION LINE 4B - THE RESEARCH LIBRARIES DURING FISCAL 2010, THE FOUR RESEARCH LIBRARIES - THE STEPHEN A SCHWARZMAN BUILDING, THE SCIENCE, INDUSTRY AND BUSINESS LIBRARY, THE SCHOMBURG CENTER FOR RESEARCH IN BLACK CULTURE, AND THE LIBRARY FOR THE PERFORMING ARTS - HAD 2 4 MILLION ON-SITE USERS LIBRARY STAFF RESPONDED TO 300,500 REFERENCE INQUIRIES OF 44 5 MILLION COLLECTION ITEMS, APPROXIMATELY 16 3 MILLION ARE BOOKS AND BOOK-LIKE MATERIALS, AND THE REMAINDER CONSISTS OF ITEMS SUCH AS AUDIO RECORDINGS, FILMS, MAPS, SHEET MUSIC, PRINTS, AND CLIPPINGS THE RESEARCH LIBRARIES HAVE AN EXTENSIVE CONSERVATION AND PRESERVATION PROGRAM THROUGH RESTORATION, PRESERVATION, MICROFILMING AND REPRINT, 25,197 ITEMS WERE PRESERVED IN ADDITION, ABOUT 1,045 HOURS OF VIDEO TAPE MEDIA, 364 HOURS OF RECORDED SOUND MEDIA, AND 38,901 FEET OF MOTION PICTURE FILM WERE PRESERVED AS PART OF THE LIBRARY'S RICH PUBLIC EDUCATION PROGRAM, 25 MAJOR EXHIBITIONS WERE MOUNTED AT THE FOUR LIBRARIES, AND A NUMBER OF SMALLER DISPLAYS WERE ON VIEW ALL YEAR EMINENT SCIENTISTS, SCHOLARS AND WRITERS PARTICIPATED IN LECTURES, PANEL DISCUSSIONS, AND RECITALS, FURTHERING THE LIBRARY'S EFFORTS TO MAKE AVAILABLE TO THE PUBLIC A SERIES OF EDUCATIONAL AND CULTURAL PROGRAMS OF THE HIGHEST QUALITY

Identifier	Return Reference	Explanation
RELATIONSHIPS	FORM 990, PART VI, LINE 2	- TRUSTEE, JOHN H BANKS III AND TRUSTEE, GORDON J DAVIS - BUSINESS RELATIONSHIP - TRUSTEE, EVAN ROBERT CHESLER AND TRUSTEE, ANDREAS C DRACOPOULOS - BUSINESS RELATIONSHIP - TRUSTEE, H R H PRINCESS FIRYAL AND TRUSTEE, SAMUEL C BUTLER - BUSINESS RELATIONSHIP - TRUSTEE, LOUISE L GRUNWALD AND TRUSTEE, ROBERT LIBERMAN - FAMILY RELATIONSHIP - TRUSTEE, JAMES S TISCH AND TRUSTEE, EDGAR WACHENHEIM III - BUSINESS RELATIONSHIP - TRUSTEE, EDGAR WACHENHEIM III AND TRUSTEE, CATHERINE C MARRON - BUSINESS RELATIONSHIP - TRUSTEE, EDGAR WACHENHEIM III AND TRUSTEE, MAHNAZ ISPAHANI BARTOS - BUSINESS RELATIONSHIP

Identifier	Return Reference	Explanation
REVIEW OF FORM 990	FORM 990, PART VI, LINE 11A	THE LIBRARY'S FORM 990 IS REVIEWED BY OFFICERS AND CERTAIN KEY EMPLOYEES AS REQUIRED BY THE CHARTER OF THE AUDIT COMMITTEE OF THE BOARD OF TRUSTEES, THE FORM 990 IS THEN SENT TO THE MEMBERS OF THE AUDIT COMMITTEE FOR THEIR REVIEW WITH MANAGEMENT AT A SCHEDULED AUDIT COMMITTEE MEETING PRIOR TO FILING FINALLY AND ALSO PRIOR TO FILING, THE FORM 990 IS SENT TO THE MEMBERS OF THE BOARD OF TRUSTEES FOR REVIEW AT THE NEXT SCHEDULED BOARD OF TRUSTEES MEETING

Identifier	Return Reference	Explanation
CONFLICT OF INTEREST POLICY	FORM 990, PART VI, LINE 12C	NEWLY ELECTED TRUSTEES AND NEWLY APPOINTED OFFICERS ARE PROVIDED WITH A COPY OF THE POLICY UPON ELECTION TO THE BOARD OR APPOINTMENT AS AN OFFICER UPON RECEIPT, EACH SUCH NEWLY ELECTED TRUSTEE AND NEWLY APPOINTED OFFICER ARE REQUIRED TO PROMPTLY COMPLETE, SIGN AND RETURN THE CONFLICT OF INTEREST STATEMENT EACH TRUSTEE, OFFICER, AND KEY EMPLOYEE IS PROVIDED WITH A COPY OF THE POLICY ON AN ANNUAL BASIS UPON RECEIPT, EACH SUCH TRUSTEE, OFFICER, AND KEY EMPLOYEE IS REQUIRED TO PROMPTLY COMPLETE, SIGN, AND RETURN THE CONFLICT OF INTEREST ANNUAL STATEMENT AND ANNUAL QUESTIONNAIRE EACH CONFLICT OF INTEREST STATEMENT AND ANNUAL QUESTIONNAIRE IS REVIEWED AND EVALUATED IN ACCORDANCE WITH THE POLICY FOR ANY ACTUAL AND/OR POTENTIAL CONFLICT OF INTEREST A TRUSTEE, OFFICER OR KEY EMPLOYEE SHALL NOT VOTE ON, APPROVE OR RECOMMEND ANY TRANSACTION IN WHICH HE OR SHE OR ANY MEMBER OF HIS OR HER FAMILY HAS ANY ACTUAL OR POTENTIAL CONFLICT OF INTEREST IF THE TRUSTEE, OFFICER OR KEY EMPLOYEE IS PRESENT AT A MEETING OF THE BOARD OR ANY COMMITTEE AT WHICH SUCH MATTER IS CONSIDERED, THE TRUSTEE, OFFICER, OR KEY EMPLOYEE SHALL LEAVE THE MEETING DURING ANY DISCUSSIONS AND NOT BE ALLOWED TO VOTE RELATING TO SUCH MATTER

Identifier	Return Reference	Explanation
COMPENSATION	FORM 990, PART VI, LINE 15A AND 15B	THE COMPENSATION COMMITTEE OF THE BOARD OF TRUSTEES, COMPOSED OF INDEPENDENT PERSONS, MEETS AT LEAST ANNUALLY TO REVIEW AND APPROVE THE COMPENSATION OF THE PRESIDENT, OFFICERS AND KEY EMPLOYEES OF THE LIBRARY IN 2008, THE LIBRARY RETAINED THE SERVICES OF AN INDEPENDENT COMPENSATION CONSULTING FIRM THE CONSULTANTS CONDUCTED A COMPENSATION SURVEY AMONG 16 COMPARABLE NOT-FOR-PROFIT ORGANIZATIONS WITH WHOM THE LIBRARY COMPETES FOR TALENT DATA WAS GATHERED FOR 19 EXECUTIVE POSITIONS INCLUDING THE TOP OFFICIAL IN EACH ORGANIZATION THE RESULTS OF THE STUDY WERE PRESENTED TO THE COMPENSATION COMMITTEE OF THE BOARD OF TRUSTEES FOR REVIEW AND TO SUPPORT DELIBERATIONS IN MAKING PAY DECISIONS, WHICH ARE DOCUMENTED IN THE COMMITTEE MINUTES A SIMILAR STUDY WAS NOT CONDUCTED IN 2009 THE PRESIDENT, OFFICERS AND KEY EMPLOYEES OF THE LIBRARY DID NOT RECEIVE A PAY INCREASE IN 2009, EXCEPT FOR ONE OFFICER AS REQUIRED BY THE OFFICER'S EMPLOYMENT AGREEMENT

Identifier	Return Reference	Explanation
PUBLIC DISCLOSURE	FORM 990, PART VI, LINE 19	THE LIBRARY'S GOVERNANCE DOCUMENTS ARE MADE AVAILABLE TO THE GENERAL PUBLIC AS AN ATTACHMENT TO THE LIBRARY'S FORM 990 WHICH IS POSTED ON THE LIBRARY'S WEBSITE AND PROVIDED UPON REQUEST THE LIBRARY'S CONFLICT OF INTEREST POLICY IS MADE AVAILABLE TO THE GENERAL PUBLIC BY POSTING ON THE LIBRARY'S WEBSITE THE LIBRARY'S AUDITED FINANCIAL STATEMENTS ARE MADE AVAILABLE TO THE GENERAL PUBLIC BY POSTING ON THE LIBRARY'S WEBSITE AND ARE PROVIDED UPON REQUEST

Identifier	Return Reference	Explanation
BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS	SCHEDULE L, PART IV	RELATIONSHIP BETWEEN INTERESTED PERSON AND THE ORGANIZATION KEVIN W KENNEDY, TRUSTEE AND CHAIR OF INVESTMENT COMMITTEE, IS A MANAGING DIRECTOR OF GOLDMAN SACHS THE LIBRARY HAS INVESTED FUNDS MANAGED BY GOLDMAN SACHS AND HAS AN INTEREST RATE SWAP WITH GOLDMAN SACHS MR KENNEDY RECUSES HIMSELF FROM PARTICIPATION IN ANY RELATED DISCUSSIONS AND VOTES LAURA J SLOATE, TRUSTEE, IS A MANAGING DIRECTOR OF NEUBERGER BERMAN THE LIBRARY HAS A PORTFOLIO THAT IS MANAGED BY NEUBERGER BERMAN THE RELATIONSHIP EXISTED PRIOR TO MS SLOATE'S APPOINTMENT AS A TRUSTEE OF THE LIBRARY AND MS SLOATE IS NOT PART OF THE INVESTMENT TEAM THAT MANAGES THE ASSETS FOR THE LIBRARY MS SLOATE RECUSES HERSELF FROM PARTICIPATION IN ANY RELATED DISCUSSIONS AND VOTES DAVID M STURM, VICE PRESIDENT AND CHIEF INFORMATION OFFICER, IS A BOARD MEMBER OF NYSERNET ORG, INC THE LIBRARY CONTRACTED WITH NYSERNET ORG, THE LIBRARY'S INTERNET SERVICE PROVIDER, THROUGH THE LIBRARY'S COMPETITIVE BIDDING PROCESS

SCHEDULE R (Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

Employer identification number
13-1887440

Part I Identification of Disregarded Entities (Complete if the organization answered "Yes" on Form 990, Part IV, line 33.)

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity
BRYANT PARK CORPORATION (BPC) 1065 AVE OF AMERICAS STE 2400 NEW YORK, NY 10018 13-3009946	PARK MGMT	NY	501(c)(3)	11A	NA

Part III **Identification of Related Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtionate allocations? Yes	(h) No	(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner? Yes	(j) No

Part IV **Identification of Related Organizations Taxable as a Corporation or Trust** (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership

Part V **Transactions With Related Organizations** (Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35, or 36.)

			Yes	No
	Note. Complete line 1 if any entity is listed in Parts II, III or IV			
1	During the tax year, did the orgranization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
a	Receipt of **(i)** interest **(ii)** annuities **(iii)** royalties **(iv)** rent from a controlled entity	**1a**		No
b	Gift, grant, or capital contribution to other organization(s)	**1b**		No
c	Gift, grant, or capital contribution from other organization(s)	**1c**		No
d	Loans or loan guarantees to or for other organization(s)	**1d**		No
e	Loans or loan guarantees by other organization(s)	**1e**		No
f	Sale of assets to other organization(s)	**1f**		No
g	Purchase of assets from other organization(s)	**1g**		No
h	Exchange of assets	**1h**		No
i	Lease of facilities, equipment, or other assets to other organization(s)	**1i**		No
j	Lease of facilities, equipment, or other assets from other organization(s)	**1j**		No
k	Performance of services or membership or fundraising solicitations for other organization(s)	**1k**		No
l	Performance of services or membership or fundraising solicitations by other organization(s)	**1l**		No
m	Sharing of facilities, equipment, mailing lists, or other assets	**1m**		No
n	Sharing of paid employees	**1n**		No
o	Reimbursement paid to other organization for expenses	**1o**	Yes	
p	Reimbursement paid by other organization for expenses	**1p**		No
q	Other transfer of cash or property to other organization(s)	**1q**		No
r	Other transfer of cash or property from other organization(s)	**1r**		No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of other organization	**(b)** Transaction type(a-r)	**(c)** Amount involved
(1) BRYANT PARK CORPORATION	O	364,001
(1) See Additional Data Table		
(2)		
(3)		
(4)		
(5)		
(6)		

Part VI **Unrelated Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" on Form 990, Part IV, line 37.)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization See instructions regarding exclusion for certain investment partnerships

(a) Name, address, and EIN of entity	**(b)** Primary activity	**(c)** Legal domicile (state or foreign country)	**(d)** Are all partners section 501(c)(3) organizations?		**(e)** Share of end-of-year assets	**(f)** Disproprtionate allocations?		**(g)** Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	**(h)** General or managing partner?	
			Yes	**No**		**Yes**	**No**		**Yes**	**No**

Additional Data

Software ID:
Software Version:
EIN: 13-1887440
Name: THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
JOHN H BANKS III TRUSTEE	2 0	X						0	0	0
TIMOTHY BARAKETT TRUSTEE	3 0	X						0	0	0
SAMUEL C BUTLER FOUNDATION TRUSTEE	11 0	X						0	0	0
SILA M CALDERON TRUSTEE	2 0	X						0	0	0
EVAN ROBERT CHESLER TRUSTEE	3 0	X						0	0	0
ROBERT DARNTON TRUSTEE	4 0	X						0	0	0
GORDON J DAVIS TRUSTEE	7 0	X						0	0	0
ANNE E DE LA RENTA TRUSTEE	4 0	X						0	0	0
ANDREAS C DRACOPOULOS TRUSTEE	2 0	X						0	0	0
HRH PRINCESS FIRYAL TRUSTEE	2 0	X						0	0	0
HENRY LOUIS GATES JR TRUSTEE	4 0	X						0	0	0
WILLIAM GRAY TRUSTEE	2 0	X						0	0	0
LOUISE L GRUNWALD TRUSTEE	7 0	X						0	0	0
ROGER HERTOG TRUSTEE	4 0	X						0	0	0
JOHN B HESS TRUSTEE	2 0	X						0	0	0
MAHNAZ ISPAHANI BARTOS TRUSTEE	7 0	X						0	0	0
KEVIN W KENNEDY TRUSTEE	2 0	X						0	0	0
PAUL LECLERC TRUSTEE, PRESIDENT AND CEO	40 0	X		X				798,805	0	68,060
ROBERT LIBERMAN TRUSTEE	10 0	X						0	0	0
SCOTT MALKIN TRUSTEE	1 0	X						0	0	0
VICTOR MARRERO TRUSTEE	4 0	X						0	0	0
CATHERINE C MARRON TRUSTEE	15 0	X						0	0	0
HAROLD MCGRAW III TRUSTEE	2 0	X						0	0	0
RAYMOND J MCGUIRE TRUSTEE	8 0	X						0	0	0
ABBY S MILSTEIN TRUSTEE	4 0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply) Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
SUSAN B MOORE MORGENTHAU TRUSTEE	6 0	X						0	0	0
SUSAN M NEWHOUSE TRUSTEE	3 0	X						0	0	0
JESSYE NORMAN TRUSTEE	1 0	X						0	0	0
CARL H PFORZHEIMER III TRUSTEE	8 0	X						0	0	0
KATHARINE J RAYNER TRUSTEE	8 0	X						0	0	0
DAVID REMNICK TRUSTEE	2 0	X						0	0	0
ELIZABETH ROHATYN FOUNDATION TRUSTEE	9 0	X						0	0	0
MARSHALL ROSE TRUSTEE	3 0	X						0	0	0
NEIL L RUDENSTINE TRUSTEE	6 0	X						0	0	0
ERIC S SCHWARTZ TRUSTEE	5 0	X						0	0	0
STEPHEN A SCHWARZMAN TRUSTEE	1 0	X						0	0	0
DINAKAR SINGH TRUSTEE	6 0	X						0	0	0
LAURA J SLOATE TRUSTEE	3 0	X						0	0	0
GAYFRYD STEINBERG TRUSTEE	4 0	X						0	0	0
JOSHUA L STEINER TRUSTEE	5 0	X						0	0	0
JAMES S TISCH TRUSTEE	6 0	X						0	0	0
CALVIN TRILLIN TRUSTEE	3 0	X						0	0	0
LUIS A UBINAS TRUSTEE	2 0	X						0	0	0
EDGAR WACHENHEIM III TRUSTEE	5 0	X						0	0	0
MARJORIE B TIVEN EX OFFICIO TRUSTEE	3 0	X						0	0	0
LEIGH MILLER EX OFFICIO TRUSTEE	2 0	X						0	0	0
PETER RIDER EX OFFICIO TRUSTEE	2 0	X						0	0	0
JOAN HARDY CLARK TRUSTEE - NONVOTING ON 11-09	7 0	X						0	0	0
JAMES H DUFFY TRUSTEE - NONVOTING ON 11-09	3 0	X						0	0	0
MARC V SHAW EX OFFICIO - ROTATED OFF 1-10	1 0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply) Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
JACQUELINE F BAUSCH DEPUTY GEN COUNSEL & ASST SEC	35 0			X				238,024	0	34,968
TODD M CORBIN CHIEF INVESTMENT OFFICER	35 0			X				409,157	0	38,021
ANNE L CORISTON VP FOR PUBLIC SERVICE	35 0			X				220,509	0	22,445
CATHERINE C DUNN SENIOR VP FOR EXTERNAL AFFAIRS	35 0			X				369,904	0	44,120
DAVID S FERRIERO A W MELLON DIR OF NY PUB LIBS	35 0			X				364,152	0	28,324
DEANNA LEE VP FOR COMM AND MARKETING	35 0			X				172,488	0	23,023
GEORGE D MIHALTSES VP FOR GOV AND COMM AFFAIRS	35 0			X				138,461	0	10,184
DAVID G OFFENSEND COO, CFO AND TREASURER	35 0			X				331,687	0	42,196
JOANNA M PESTKA VP CAPITAL PLAN & CONSTRUCTION	35 0			X				205,184	0	30,063
JAMES PISANIELLO VP FACILITIES OPS AND SECURITY	35 0			X				179,174	0	1,766
JEFFREY ROTH VP FOR STRATEGIC PLANNING	35 0			X				220,634	0	34,283
LOUISE SHEA VP FOR STAFF SERVICES	35 0			X				268,102	0	37,696
DAVID STURM VP & CHIEF INFORMATION OFFICER	35 0			X				266,041	0	37,277
ANN D THORNTON ACTING MELLON DIR NY PUB LIBS	35 0			X				164,854	0	26,707
ROBERT J VANNI VP, GEN COUNSEL & SECRETARY	35 0			X				321,660	0	26,769
SHARON HEWITT WATKINS VP OF FINANCE & ASST TREASURER	35 0			X				214,234	0	34,210
JENNIFER ZASLOW VP FOR DEVELOPMENT	35 0			X				161,386	0	22,740
ELAINE CHARNOV DIR FOR EDU, PROG & EXHIBITION	35 0					X		242,145	0	25,553
MARCEL LIPKOWITZ HEAD, MONOGRAPH CATALOG SECT	35 0					X		251,595	0	15,968
JAMES MURRAY ASST DIR FOR MEDIA & THEATRE	35 0					X		239,417	0	14,934
ROBERT WEISSBACH MANAGER OF APPS DEVELOPMENT	35 0					X		246,418	0	14,769
ROBERTA YANCY ASST DIR FOR PUB AFFAIRS & DEV	35 0					X		271,333	0	9,038