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Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2009

Open to Public Inspection

A For the 2009 calendar year, or tax year beginning 07-01-2009 and ending 06-30-2010

B Check if applicable
- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Terminated
- ☐ Amended return
- ☐ Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization
Pratt Institute

Doing Business As

Number and street (or P O box if mail is not delivered to street address) | Room/suite
200 Willoughby Ave

City or town, state or country, and ZIP + 4
Brooklyn, NY 11205

D Employer identification number
11-1630822

E Telephone number
(718) 636-3600

G Gross receipts $ 189,271,493

F Name and address of principal officer
Dr Thomas Schutte
229 Clinton Ave
Brooklyn, NY 11205

H(a) Is this a group return for affiliates? ☐ Yes ☑ No

H(b) Are all affiliates included? ☐ Yes ☐ No
If "No," attach a list (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status ☑ 501(c) (3) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ Pratt Edu

K Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶ | **L** Year of formation 1887 | **M** State of legal domicile NY

Part I Summary

Activities & Governance

1	Briefly describe the organization's mission or most significant activities See Schedule O		
2	Check this box ▶☐ if the organization discontinued its operations or disposed of more than 25% of its net assets		
3	Number of voting members of the governing body (Part VI, line 1a)	3	34
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	33
5	Total number of employees (Part V, line 2a)	5	4,215
6	Total number of volunteers (estimate if necessary)	6	33
7a	Total gross unrelated business revenue from Part VIII, column (C), line 12	7a	-21,119
b	Net unrelated business taxable income from Form 990-T, line 34	7b	-21,369

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	7,672,127	7,387,235
	9	Program service revenue (Part VIII, line 2g)	153,000,784	161,814,141
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	-6,754,789	4,341,055
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	2,170,470	1,778,028
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	156,088,592	175,320,459
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	30,016,119	30,971,071
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0	0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	75,252,495	80,330,998
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	85,526	114,091
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶2,077,727		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f)	48,887,087	51,652,993
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	154,241,227	163,069,153
	19	Revenue less expenses Subtract line 18 from line 12	1,847,365	12,251,306

			Beginning of Current Year	End of Year
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	343,329,275	428,041,415
	21	Total liabilities (Part X, line 26)	124,985,100	196,181,175
	22	Net assets or fund balances Subtract line 21 from line 20	218,344,175	231,860,240

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

****** | 2011-05-12
Signature of officer | Date

Edmund Rutkowski VP For Finance and Admin
Type or print name and title

Paid Preparer's Use Only

Preparer's signature ▶ KPMG LLP | Date | Check if self-employed ▶ ☐ | Preparer's identifying number (see instructions)

Firm's name (or yours if self-employed), address, and ZIP + 4 ▶ KPMG LLP
345 Park Avenue
New York, NY 101540102

EIN ▶

Phone no ▶ (212) 758-9700

May the IRS discuss this return with the preparer shown above? (see instructions) ☑ Yes ☐ No

Part III Statement of Program Service Accomplishments

1 Briefly describe the organization's mission

See Schedule O

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . ☐ Yes ☑ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . ☐ Yes ☑ No

If "Yes," describe these changes on Schedule O

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses $ 79,997,546 including grants of $ 30,971,071) (Revenue $ 147,099,715)

See Schedule O

4b (Code) (Expenses $ 21,518,406 including grants of $) (Revenue $)

See Schedule O

4c (Code) (Expenses $ 15,982,730 including grants of $) (Revenue $)

See Schedule O

4d Other program services (Describe in Schedule O) **See also Additional Data for Description**

(Expenses $ 36,254,284 including grants of $ 0) (Revenue $ 14,714,426)

4e **Total program service expenses** ▶$ 153,752,966

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	Yes	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		No
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities? *If "Yes," complete Schedule C, Part II*	4	Yes	
5	**Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations.** Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? *If "Yes," complete Schedule C, Part III*	5		
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? *If "Yes," complete Schedule D, Part II*	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		No
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		No
10	Did the organization, directly or through a related organization, hold assets in term, permanent,or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10	Yes	
11	Is the organization's answer to any of the following questions "Yes"? *If so,complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.*	11	Yes	
	• Did the organization report an amount for land, buildings, and equipment in Part X, line10? *If "Yes," complete Schedule D, Part VI.*			
	• Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII.*			
	• Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII.*			
	• Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX.*			
	• Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X.*			
	• Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? *If "Yes," complete Schedule D, Part X.*			
12	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI, XII, and XIII*	12	Yes	
12A	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional* — 12A: Yes [ ] No [No]			
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13	Yes	
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		No
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, and program service activities outside the United States? *If "Yes," complete Schedule F, Part I*	14b	Yes	
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the U S ? *If "Yes," complete Schedule F, Part II*	15		No
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the U S ? *If "Yes," complete Schedule F, Part III*	16		No
17	Did the organization report a total of more than $15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I*	17	Yes	
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18	Yes	
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		No
20	Did the organization operate one or more hospitals? *If "Yes," complete Schedule H*	20		No

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
21	Did the organization report more than $5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21		No
22	Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer questions 24b–24d and complete Schedule K. If "No," go to line 25*	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		No
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		No
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II*	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? *If "Yes," complete Schedule L, Part III*	27		No
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or owner? *If "Yes," complete Schedule L, Part IV*	28c		No
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I*	33		No
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1*	34		No
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35		No
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

					Yes	No
1a	Enter the number reported in Box 3 of Form 1096, *Annual Summary and Transmittal of U.S. Information Returns. Enter -0- if not applicable*	1a	313			
b	Enter the number of Forms W-2G included in line 1a *Enter -0- if not applicable*	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c	Yes	
2a	Enter the number of employees reported on Form W-3, *Transmittal of Wage and Tax Statements* filed for the calendar year ending with or within the year covered by this return	2a	4,215			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note:** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return (see instructions)			2b	Yes	
3a	Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return?			3a	Yes	
b	If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O*			3b	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			4a	Yes	
b	If "Yes," enter the name of the foreign country ▶IT See the instructions for exceptions and filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			5a		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b		No
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?			5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible?			6a		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b		
7	**Organizations that may receive deductible contributions under section 170(c).**					
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?			7a	Yes	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b	Yes	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c		No
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			7f		No
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?			7g		
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?			7h		
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?			8		
9	**Sponsoring organizations maintaining donor advised funds.**					
a	Did the organization make any taxable distributions under section 4966?			9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?			9b		
10	**Section 501(c)(7) organizations.** Enter					
a	Initiation fees and capital contributions included on Part VIII, line 12	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	**Section 501(c)(12) organizations.** Enter					
a	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				

Part VI **Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body	1a	34		
b	Enter the number of voting members that are independent	1b	33		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		2		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		3		No
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?		4		No
5	Did the organization become aware during the year of a material diversion of the organization's assets?		5		No
6	Does the organization have members or stockholders?		6		No
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?		7a		No
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?		7b		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following				
a	The governing body?		8a	Yes	
b	Each committee with authority to act on behalf of the governing body?		8b	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		9		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

			Yes	No
10a	Does the organization have local chapters, branches, or affiliates?	10a		No
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b		
11	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11		No
11A	Describe in Schedule O the process, if any, used by the organization to review the Form 990			
12a	Does the organization have a written conflict of interest policy? *If "No," go to line 13*	12a	Yes	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	12c	Yes	
13	Does the organization have a written whistleblower policy?	13	Yes	
14	Does the organization have a written document retention and destruction policy?	14		No
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	Yes	
b	Other officers or key employees of the organization	15b	Yes	
	If "Yes" to line a or b, describe the process in Schedule O (See instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		No
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed ▶NY

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you make these available Check all that apply

☐ Own website ☐ Another's website ☑ Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public See Additional Data Table

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶

SYLVIA O ACUESTA CONTROLLER
200 WILLOUGHBY AVE
Brooklyn, NY 11205
(718) 636-3523

Part VII Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year Use Schedule J-2 if additional space is needed

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and **current** key employees Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☐ Check this box if the organization did not compensate any current or former officer, director, trustee or key employee

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply) Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
See add'l data										

1b Total . . . . ▶	2,502,580	0	347,724

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 in reportable compensation from the organization ▶11

		Yes	No
3 Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	**3**		No
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	**4**	Yes	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? *If "Yes," complete Schedule J for such person*	**5**		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization

(A) Name and business address	**(B)** Description of services	**(C)** Compensation
TRITON CONSTRUCTION COMPANY 100 QUENTIN ROOSEVELT BLVD SUITE 2 GARDEN CITY, NY 11530	CONSTRUCTION	16,393,566
CULINART INC 200 WILLOUGHBY AVE BROOKLYN, NY 11417	FOOD SERVICES	3,673,224
FREDERICK'S SECURITY INC 89-19 LIBERTY AVE OZONE PARK, NY 11417	SECURITY	1,241,675
WANK ADAMS SLAVIN ASSOCIATED 740 BROADWAY MANHATTAN, NY 10003	CONSTRUCTION	1,010,472
CONCEPTUAL LITHO REPRODUCTIONS PO BOX 35664 NEWARK, NJ 07193	PRINTING	938,291

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶56

Part VIII Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts							
1a	Federated campaigns . .	1a					
b	Membership dues	1b					
c	Fundraising events	1c	333,084				
d	Related organizations . . .	1d					
e	Government grants (contributions)	1e	3,067,933				
f	All other contributions, gifts, grants, and similar amounts not included above	1f	3,986,218				
g	Noncash contributions included in lines 1a-1f $ 137,502						
h	**Total.** Add lines 1a-1f . . . . ▶			7,387,235			
Program Service Revenue		Business Code					
2a	TUITION AND FEES	900,099		147,099,715	147,099,715		
b	AUXILIARY ENTERPRISES	451,211		12,996,494	12,996,494		
c	RELATED STUDENT ACCOUNT REVENUES	900,099		1,717,932	1,717,932		
d							
e							
f	All other program service revenue						
g	**Total.** Add lines 2a–2f . . . . ▶			161,814,141			
Other Revenue							
3	Investment income (including dividends, interest and other similar amounts) . . . . . ▶			1,685,947			1,685,947
4	Income from investment of tax-exempt bond proceeds . . ▶			80,358			80,358
5	Royalties . . . . ▶			0			
		(i) Real	(ii) Personal				
6a	Gross Rents	725,014					
b	Less rental expenses	745,975					
c	Rental income or (loss)	-20,961					
d	Net rental income or (loss) . . . . ▶			-20,961		-20,961	
		(i) Securities	(ii) Other				
7a	Gross amount from sales of assets other than inventory	13,863,765					
b	Less cost or other basis and sales expenses	11,289,015					
c	Gain or (loss)	2,574,750					
d	Net gain or (loss) . . . . ▶			2,574,750			2,574,750
8a	Gross income from fundraising events (not including $ 333,084 of contributions reported on line 1c) See Part IV, line 18 . . . a		605,858				
b	Less direct expenses . . . b		585,548				
c	Net income or (loss) from fundraising events . . ▶			20,310	20,310		
9a	Gross income from gaming activities See Part IV, line 19 . . . a						
b	Less direct expenses . . . b						
c	Net income or (loss) from gaming activities . . . ▶			0			
10a	Gross sales of inventory, less returns and allowances . a		2,081,708				
b	Less cost of goods sold . . b		1,330,496				
c	Net income or (loss) from sales of inventory . . ▶			751,212		-158	751,370
	Miscellaneous Revenue	Business Code					
11a	INTEREST, LATE CHARGES AND OTHER STUDENT LOAN FEES	900,099		273,822	273,822		
b	OTHER MISCELLANEOUS INCOME	900,099		753,645	753,645		
c							
d	All other revenue						
e	**Total.** Add lines 11a–11d . . . . . . ▶			1,027,467			
12	**Total revenue.** See Instructions . . . ▶			175,320,459	162,861,918	-21,119	5,092,425

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.
All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

	Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the U S See Part IV, line 21	0			
2	Grants and other assistance to individuals in the U S See Part IV, line 22	30,971,071	30,971,071		
3	Grants and other assistance to governments, organizations, and individuals outside the U S See Part IV, lines 15 and 16	0			
4	Benefits paid to or for members	0			
5	Compensation of current officers, directors, trustees, and key employees	2,637,236	2,014,594	349,288	273,354
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0			
7	Other salaries and wages	56,787,399	53,959,334	1,934,531	893,534
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	1,672,803	1,547,624	89,427	35,752
9	Other employee benefits	15,322,034	14,175,457	819,103	327,474
10	Payroll taxes	3,911,526	3,618,819	209,107	83,600
11	Fees for services (non-employees)				
a	Management	0			
b	Legal	499,875	499,875		
c	Accounting	1,118,439	669,270	397,218	51,951
d	Lobbying	72,542	72,542		
e	Professional fundraising *See Part IV, line 17*	114,091			114,091
f	Investment management fees	0			
g	Other	5,247,428	4,842,092	371,240	34,096
12	Advertising and promotion	2,671,201	2,535,665	79,353	56,183
13	Office expenses	6,180,238	6,100,413	47,241	32,584
14	Information technology	2,644,057	2,458,820	136,180	49,057
15	Royalties	0			
16	Occupancy	5,781,985	5,779,223	2,012	750
17	Travel	730,958	694,868	7,889	28,201
18	Payments of travel or entertainment expenses for any federal, state, or local public officials	0			
19	Conferences, conventions, and meetings	1,162,427	1,134,077	3,943	24,407
20	Interest	5,606,304	5,606,304		
21	Payments to affiliates	0			
22	Depreciation, depletion, and amortization	11,858,461	11,858,461		
23	Insurance	672,734	3,191	669,543	
24	Other expenses Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below)				
a	MEAL PLAN COST	2,999,167	2,999,167		
b	BAD DEBTS	1,518,011	301,269	1,216,742	
c	EQUIPMENT RENTAL & MAINTENANCE	67,205	7,192	33,829	26,184
d	OTHER MISCELLANEOUS EXPENSES	2,821,961	1,903,638	871,814	46,509
e					
f	All other expenses				
25	**Total functional expenses.** Add lines 1 through 24f	163,069,153	153,752,966	7,238,460	2,077,727
26	**Joint costs.** Check here ☐ if following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

					(A) Beginning of year		(B) End of year
Assets	1	Cash—non-interest-bearing			1,577,455	1	786,607
	2	Savings and temporary cash investments			33,692,772	2	75,356,066
	3	Pledges and grants receivable, net			4,297,349	3	4,436,932
	4	Accounts receivable, net			625,733	4	209,914
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L				5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Complete Part II of Schedule L				6	
	7	Notes and loans receivable, net			13,204,933	7	13,094,992
	8	Inventories for sale or use			712,121	8	679,855
	9	Prepaid expenses and deferred charges			569,877	9	3,976,598
	10a	Land, buildings, and equipment cost or other basis *Complete Part VI of Schedule D*	10a	295,332,840			
	b	Less accumulated depreciation	10b	71,012,187	200,731,350	10c	224,320,653
	11	Investments—publicly traded securities			21,496,613	11	21,819,227
	12	Investments—other securities See Part IV, line 11			64,831,572	12	83,285,844
	13	Investments—program-related See Part IV, line 11				13	
	14	Intangible assets				14	
	15	Other assets See Part IV, line 11			1,589,500	15	74,727
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)			343,329,275	16	428,041,415
Liabilities	17	Accounts payable and accrued expenses			57,612,148	17	75,889,592
	18	Grants payable			6,581,299	18	6,653,248
	19	Deferred revenue			3,945,786	19	5,072,922
	20	Tax-exempt bond liabilities			52,195,000	20	101,847,570
	21	Escrow or custodial account liability *Complete Part IV of Schedule D*				21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons *Complete Part II of Schedule L*				22	
	23	Secured mortgages and notes payable to unrelated third parties				23	
	24	Unsecured notes and loans payable to unrelated third parties				24	
	25	Other liabilities Complete Part X of Schedule D			4,650,867	25	6,717,843
	26	**Total liabilities.** Add lines 17 through 25			124,985,100	26	196,181,175
Net Assets or Fund Balances		**Organizations that follow SFAS 117, check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.**					
	27	Unrestricted net assets			173,118,950	27	186,165,464
	28	Temporarily restricted net assets			7,557,498	28	7,217,771
	29	Permanently restricted net assets			37,667,727	29	38,477,005
		Organizations that do not follow SFAS 117, check here ▶ ☐ and complete lines 30 through 34.					
	30	Capital stock or trust principal, or current funds				30	
	31	Paid-in or capital surplus, or land, building or equipment fund				31	
	32	Retained earnings, endowment, accumulated income, or other funds				32	
	33	Total net assets or fund balances			218,344,175	33	231,860,240
	34	Total liabilities and net assets/fund balances			343,329,275	34	428,041,415

Part XI Financial Statements and Reporting

			Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other ______ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? . .	2a		No
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes	
c	If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O . . .	2c	Yes	
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both ☑ Separate basis ☐ Consolidated basis ☐ Both consolidated and separated basis			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a	Yes	
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits . .	3b	Yes	

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SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
Pratt Institute

Employer identification number
11-1630822

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1 ☐ A church, convention of churches, or association of churches **section 170(b)(1)(A)(i).**

2 ☑ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a ☐ Type I **b** ☐ Type II **c** ☐ Type III - Functionally integrated **d** ☐ Type III - Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i) a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization?	**11g(i)**		
(ii) a family member of a person described in (i) above?	**11g(ii)**		
(iii) a 35% controlled entity of a person described in (i) or (ii) above?	**11g(iii)**		

h Provide the following information about the supported organization(s)

(i) Name of supported organization	**(ii)** EIN	**(iii)** Type of organization (described on lines 1- 9 above or IRC section (see instructions))	**(iv)** Is the organization in col (i) listed in your governing document?		**(v)** Did you notify the organization in col (i) of your support?		**(vi)** Is the organization in col (i) organized in the U S ?		**(vii)** Amount of support?
			Yes	**No**	**Yes**	**No**	**Yes**	**No**	
Total									

Part II **Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 **Public Support.** Subtract line 5 from line 4						

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income (Explain in Part IV) Do not include gain or loss from the sale of capital assets						
11 **Total support** (Add lines 7 through 10)						

12 Gross receipts from related activities, etc (See instructions) | 12 |

13 **First Five Years** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ▶☐

Section C. Computation of Public Support Percentage

14 Public Support Percentage for 2009 (line 6 column (f) divided by line 11 column (f)) | 14 |

15 Public Support Percentage for 2008 Schedule A, Part II, line 14 | 15 |

16a **33 1/3% support test—2009.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

b **33 1/3% support test—2008.** If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

17a **10%-facts-and-circumstances test—2009.** If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ▶☐

b **10%-facts-and-circumstances test—2008.** If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ▶☐

18 **Private Foundation** If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions ▶☐

Part III Support Schedule for Organizations Described in IRC 509(a)(2)

(Complete only if you checked the box on line 9 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public Support** (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13 **Total support** (Add lines 9, 10c, 11 and 12)						

14 **First Five Years** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ▶☐

Section C. Computation of Public Support Percentage

15 Public Support Percentage for 2009 (line 8 column (f) divided by line 13 column (f))	15	
16 Public support percentage from 2008 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for **2009** (line 10c column (f) divided by line 13 column (f))	17	
18 Investment income percentage from **2008** Schedule A, Part III, line 17	18	

19a **33 1/3% support tests—2009.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

b **33 1/3% support tests—2008.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

20 **Private Foundation** If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions ▶☐

Part IV **Supplemental Information.** Supplemental Information. Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Provide any other additional information. See instructions

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SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527
▶ Complete if the organization is described below.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

If the organization answered "Yes," to Form 990, Part IV, Line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes," to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes," to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, line 35a (regarding proxy tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization	Employer identification number
Pratt Institute	11-1630822

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV

2 Political expenditures ▶ $

3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ $

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ $

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No

4a Was a correction made? ☐ Yes ☐ No

b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c) except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ $

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt funtion activities ▶ $

3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ $

4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No

5 State the names, addresses and employer identification number (EIN) of all section 527 political organizations to which payments were made For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	**(b)** Address	**(c)** EIN	**(d)** Amount paid from filing organization's funds If none, enter -0-	**(e)** Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ☐ if the filing organization belongs to an affiliated group
B Check ☐ if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	**(a)** Filing Organization's Totals	**(b)** Affiliated Group Totals
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b Total lobbying expenditures to influence a legislative body (direct lobbying)		
c Total lobbying expenditures (add lines 1a and 1b)		
d Other exempt purpose expenditures		
e Total exempt purpose expenditures (add lines 1c and 1d)		
f Lobbying nontaxable amount Enter the amount from the following table in both columns		

If the amount on line 1e, column (a) or (b) is:	**The lobbying nontaxable amount is:**
Not over $500,000	20% of the amount on line 1e
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000
Over $17,000,000	$1,000,000

	(a) Filing Organization's Totals	**(b)** Affiliated Group Totals
g Grassroots nontaxable amount (enter 25% of line 1f)		
h Subtract line 1g from line 1a If zero or less, enter -0-		
i Subtract line 1f from line 1c If zero or less, enter -0-		

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ **Yes** ☑ **No**

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	**(a)** 2006	**(b)** 2007	**(c)** 2008	**(d)** 2009	**(e)** Total
2a Lobbying non-taxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots non-taxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B **Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

		(a) Yes	(a) No	(b) Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?		No	
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?		No	
c	Media advertisements?		No	
d	Mailings to members, legislators, or the public?		No	
e	Publications, or published or broadcast statements?		No	
f	Grants to other organizations for lobbying purposes?		No	
g	Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes		83,347
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		No	
i	Other activities? If "Yes," describe in Part IV		No	
j	Total lines 1c through 1i			83,347
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No	
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3		

Part III-B **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes".**

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) non-deductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV **Supplemental Information**

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i
Also, complete this part for any additional information

Identifier	Return Reference	Explanation

SCHEDULE D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization	Employer identification number
Pratt Institute	11-1630822

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit ☐ Yes ☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or pleasure) ☐ Preservation of an historically importantly land area
☐ Protection of natural habitat ☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

			Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ▶

4 Number of states where property subject to conservation easement is located ▶

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ▶

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $

(ii) Assets included in Form 990, Part X ▶ $

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ $

b Assets included in Form 990, Part X ▶ $

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition
d ☐ Loan or exchange programs
b ☐ Scholarly research
e ☐ Other
c ☐ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table

		Amount
c Beginning balance	1c	
d Additions during the year	1d	
e Distributions during the year	1e	
f Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current Year	(b) Prior Year	(c) Two Years Back	(d) Three Years Back	(e) Four Years Back
1a Beginning of year balance	63,970,036	82,227,608			
b Contributions	1,544,488	1,498,059			
c Investment earnings or losses	8,776,528	-18,431,217			
d Grants or scholarships	699,287	1,324,414			
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance	73,591,765	63,970,036			

2 Provide the estimated percentage of the year end balance held as

a Board designated or quasi-endowment ▶ **50.000 %**

b Permanent endowment ▶ **50.000 %**

c Term endowment ▶ **0 %**

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

		Yes	No
(i) unrelated organizations	3a(i)		No
(ii) related organizations	3a(ii)		No
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIV the intended uses of the organization's endowment funds

Part VI Investments—Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		8,434,519		8,434,519
b Buildings		242,934,076	71,012,187	171,921,889
c Leasehold improvements				
d Equipment		0	0	0
e Other		43,964,245	0	43,964,244
Total. Add lines 1a-1e *(Column (d) should equal Form 990, Part X, column (B), line 10(c).)* ▶				224,320,652

Part VII Investments—Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Financial derivatives		
Closely-held equity interests		
Other ALTERNATIVE INVESTMENTS	57,672,562	F
FUNDS HELD BY BOND TRUSTEES	25,613,282	F
Total. *(Column (b) should equal Form 990, Part X, col (B) line 12)* ▶	83,285,844	

Part VIII Investments—Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Total. *(Column (b) should equal Form 990, Part X, col (B) line 13)* ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
Total. *(Column (b) should equal Form 990, Part X, col.(B) line 15.)* . . . . ▶	

Part X Other Liabilities. See Form 990, Part X, line 25.

1 (a) Description of Liability	(b) Amount
Federal Income Taxes	0
SECURITY AND OTHER DEPOSITS	65,473
CHARITABLE REMAINDER TRUSTS	97,410
CONDITIONAL ASSET RET OBLIGATI	2,734,283
PAYABLE UNDER DERIVATIVE INSTR	3,820,677
Total. *(Column (b) should equal Form 990, Part X, col (B) line 25)* ▶	6,717,843

2. Fin 48 Footnote In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48

Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	175,320,459
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	163,069,153
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	12,251,306
4	Net unrealized gains (losses) on investments	4	5,963,180
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	-4,698,421
9	Total adjustments (net) Add lines 4 - 8	9	1,264,759
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	13,516,065

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements			1	153,024,587
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains on investments	2a	5,963,180		
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIV)	2d	2,712,019		
e	Add lines **2a** through **2d**			2e	8,675,199
3	Subtract line **2e** from line **1**			3	144,349,388
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV)	4b	30,971,071		
c	Add lines **4a** and **4b**			4c	30,971,071
5	Total Revenue Add lines **3** and **4c.** (This should equal Form 990, Part I, line 12)			5	175,320,459

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements			1	132,809,174
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c	-2,000,927		
d	Other (Describe in Part XIV)	2d	2,712,019		
e	Add lines **2a** through **2d**			2e	711,092
3	Subtract line **2e** from line **1**			3	132,098,082
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV)	4b	30,971,071		
c	Add lines **4a** and **4b**			4c	30,971,071
5	Total expenses Add lines **3** and **4c.** (This should equal Form 990, Part I, line 18)			5	163,069,153

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b Also complete this part to provide any additional information

Identifier	Return Reference	Explanation
Form 990, Part V, Line 4	Endowment Fund	Primarily purpose of The Endowment Fund is to provide endowment scholarship to students and for current operations assistance - for current operations and capital projects
Form 990, Part XI Line 8		Loss on postretirement medical reserve $4,698,421 ==========
Part XII & Part XIII, Line 2D		Cost of sales $1,330,496 Rental expenses 745,975 Special event direct cost 585,548 Pratt center indirect cost 50,000 __________ Total $2,712,019 ===========
Part XII & Part XIII, Line 4B		Scholarship $30,971,071 ===========
Form 990, Part XIII, Line 2C		Unrealized depreciation in fair market value of derivative instruments (2,000,927) ===========
Fin 48		The Institute is exempt from federal income taxes under the provision of section 501(c)(3) of the Internal Revenue Code Accordingly, the Institute is not subject to income taxes except to the extent it has income from activities that are not related to its exempt purposes The Institute utilizes a threshold for more-likely-than-not for recognition of tax positions taken or expected to be taken in a tax return No provision for income taxes was required for fiscal 2010 or 2009

SCHEDULE E
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Schools

►Complete if the organization answered "Yes" to Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.

► Attach to Form 990 or Form 990-EZ.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
Pratt Institute

Employer identification number
11-1630822

			YES	NO
1	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	1	Yes	
2	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	2	Yes	
3	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe If "No," please explain NEWSPAPER ADVERTISEMENTS, WEBSITE, AND CATALOGS	3	Yes	
4	Does the organization maintain the following?			
a	Records indicating the racial composition of the student body, faculty, and administrative staff?	4a	Yes	
b	Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	4b	Yes	
c	Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	4c	Yes	
d	Copies of all material used by the organization or on its behalf to solicit contributions?	4d	Yes	
	If you answered "No" to any of the above, please explain If you need more space, use Schedule O (Form 990)			
5	Does the organization discriminate by race in any way with respect to			
a	Students' rights or privileges?	5a		No
b	Admissions policies?	5b		No
c	Employment of faculty or administrative staff?	5c		No
d	Scholarships or other financial assistance?	5d		No
e	Educational policies?	5e		No
f	Use of facilities?	5f		No
g	Athletic programs?	5g		No
h	Other extracurricular activities?	5h		No
	If you answered "Yes" to any of the above, please explain If you need more space, use Schedule O (Form 990)			
6a	Does the organization receive any financial aid or assistance from a governmental agency?	6a	Yes	
b	Has the organization's right to such aid ever been revoked or suspended?	6b		No
	If you answered "Yes" to either line 6a or line 6b, explain on Schedule O (Form 990)			
7	Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," explain on Schedule O (Form 990)	7	Yes	

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SCHEDULE F (Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
Pratt Institute

Employer identification number
11-1630822

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . ☑ **Yes** ☐ **No**

2 **For grantmakers.** Describe in Part IV the organization's procedures for monitoring the use of grant funds outside the United States

3 Activites per Region (Use Schedule F-1 (Form 990) if additional space is needed)

(a) Region	**(b)** Number of offices in the region	**(c)** Number of employees or agents in region	**(d)** Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	**(e)** If activity listed in (d) is a program service, describe specific type of service(s) in region	**(f)** Total expenditures for region
Europe (Including Iceland and Greenland)			Investments		
East Asia and the Pacific			Investments		
South America			Investments		
North America			Investments		
Europe (Including Iceland and Greenland)	0	0	Program Services	Instructional	709,319
Totals . . . . . ▶	0	0			709,319

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than $5,000. Check this box if no one recipient received more than $5,000 . . . . ▶ ☐
Use Schedule F-1 (Form 990) if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . . ▶ ____________

3 Enter total number of other organizations or entities . . . . ▶

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Use Schedule F-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	**(b)** Region	**(c)** Number of recipients	**(d)** Amount of cash grant	**(e)** Manner of cash disbursement	**(f)** Amount of non-cash assistance	**(g)** Description of non-cash assistance	**(h)** Method of valuation (book, FMV, appraisal, other)

Part IV Supplemental Information

Complete this part to provide the information required in Part I, line 2, and any additional information.

Identifier	ReturnReference	Explanation

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SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than $15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2009

Open to Public Inspection

Name of the organization
Pratt Institute

Employer identification number
11-1630822

Part I **Fundraising Activities.** Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities Check all that apply

- a ☑ Mail solicitations
- b ☑ Internet and e-mail solicitations
- c ☑ Phone solicitations
- d ☑ In-person solicitations
- e ☑ Solicitation of non-government grants
- f ☑ Solicitation of government grants
- g ☑ Special fundraising events

2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising activities? ☑ Yes ☐ No

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least $5,000 by the organization Form 990-EZ filers are not required to complete this table

(i) Name of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions? Yes	No	(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
Ketchum Inc	Campaign Planning		No	0	61,667	-61,667
KX Associates	Event Management		No	213,750	30,000	183,750
Ruffalo Cody	Phone Solicit		No	13,630	22,424	-8,794
Total . . . . ▶				227,380	114,091	113,289

3 List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing

Part II **Fundraising Events.** Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than $15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than $5,000.

		(a) Event #1 Pratt Legends (event type)	(b) Event #2 Black Alumni (event type)	(c) Other Events 2 (total number)	(d) Total Events (Add col (a) through col (c))
Revenue	1 Gross receipts	277,487	176,689	151,682	605,858
	2 Less Charitable contributions				
	3 Gross income (line 1 minus line 2)	277,487	176,689	151,682	605,858
Direct Expenses	4 Cash prizes			4,500	4,500
	5 Non-cash prizes				
	6 Rent/facility costs	25,000		2,000	27,000
	7 Food and beverages				
	8 Entertainment				
	9 Other direct expenses	252,487	156,379	145,182	554,048
	10 Direct expense summary Add lines 4 through 9 in column (d) ▶				585,548
	11 Net income summary Combine lines 3, column d, and line 10 ▶				20,310

Part III **Gaming.** Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than $15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (Add col (a) through col (c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Non-cash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	☐ Yes ____ % ☐ No	☐ Yes ____ % ☐ No	☐ Yes ____ % ☐ No	
	7 Direct expense summary Add lines 2 through 5 in column (d) ▶				
	8 Net gaming income summary Combine lines 1, column d, and line 7 ▶				

			Yes	No
9	Enter the state(s) in which the organization operates gaming activities ____________			
a	Is the organization licensed to operate gaming activities in each of these states?	9a		
b	If "No," Explain			
10a	Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?	10a		
b	If "Yes," Explain			
11	Does the organization operate gaming activities with nonmembers?	11		
12	Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?	12		

				Yes	No
13	Indicate the percentage of gaming activity operated in				
a	The organization's facility	**13a**			
b	An outside facility	**13b**			
14	Enter the name and address of the person who prepares the organization's gaming/special events books and records Name ▶ Address ▶				
15a	Does the organization have a contract with a third party from whom the organization receives gaming revenue?		**15a**		
b	If "Yes," enter the amount of gaming revenue received by the organization ▶ $ ____ and the amount of gaming revenue retained by the third party ▶ $ ____				
c	If "Yes," enter name and address Name ▶ Address ▶				
16	Gaming manager information Name ▶ Gaming manager compensation ▶ $ ____ Description of services provided ▶ ☐ Director/officer ☐ Employee ☐ Independent contractor				
17	Mandatory distributions				
a	Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?		**17a**		
b	Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ $				

Schedule I (Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
Pratt Institute

Employer identification number
11-1630822

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . ☑ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States.

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than $5,000. Check this box if no one recipient received more than $5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed . . . . ▶ ☐

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance

2 Enter total number of section 501(c)(3) and government organizations . . . . ▶

3 Enter total number of other organizations . . . . ▶

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Use Schedule I-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	**(b)** Number of recipients	**(c)** Amount of cash grant	**(d)** Amount of non-cash assistance	**(e)** Method of valuation (book, FMV, appraisal, other)	**(f)** Description of non-cash assistance
Student Financial AID	2991	30,971,071			
See Additional Data Table					

Part IV **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

Identifier	**Return Reference**	**Explanation**
Form 990, SCH I, Part I, line 2	Monitoring the use of grants	The Financial Aid Office of Pratt Institute determines student eligibility for students' scholarships, fellowships, loans and federal, state and local student aid grants All awards are included in the Financial Aid package and applied to the student's tuition account once all eligibility requirements are met These student aid awards are then posted to the Institute's General Ledger The Controller's Office performs reconciliation of the posted awards on the students' accounts and the GL Accounting further ensures that the total grants awarded do not exceed the authorized grant amount

Schedule J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
Pratt Institute

Employer identification number
11-1630822

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropiate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items ☐ First-class or charter travel ☐ Travel for companions ☐ Tax idemnification and gross-up payments ☐ Discretionary spending account ☑ Housing allowance or residence for personal use ☐ Payments for business use of personal residence ☐ Health or social club dues or initiation fees ☐ Personal services (e g , maid, chauffeur, chef)			
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement orprovision of all the expenses described above? If "No," complete Part III to explain	**1b**		No
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	**2**		No
3	Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply ☐ Compensation committee ☑ Independent compensation consultant ☐ Form 990 of other organizations ☐ Written employment contract ☐ Compensation survey or study ☑ Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization			
a	Receive a severance payment or change-of-control payment?	**4a**		No
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	**4b**		No
c	Participate in, or receive payment from, an equity-based compensation arrangement?	**4c**		No
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III			
	Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.			
5	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of			
a	The organization?	**5a**		No
b	Any related organization?	**5b**		No
	If "Yes," to line 5a or 5b, describe in Part III			
6	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of			
a	The organization?	**6a**		No
b	Any related organization?	**6b**		No
	If "Yes," to line 6a or 6b, describe in Part III			
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	**7**		No
8	Were any amounts reported in Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III	**8**		No
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	**9**		

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

(A) Name		**(B)** Breakdown of W-2 and/or 1099-MISC compensation			**(C)** Retirement and other deferred compensation	**(D)** Nontaxable benefits	**(E)** Total of columns (B)(i)-(D)	**(F)** Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	**(ii)** Bonus & incentive compensation	**(iii)** Other reportable compensation				
Judy Aaron	(i)	177,883	0	9,325	9,325	3,894	200,427	0
	(ii)	0	0	0	0	0	0	0
Helen Matusow-Ayres	(i)	155,317	0	8,144	8,144	3,412	175,017	0
	(ii)	0	0	0	0	0	0	0
Peter Barna	(i)	214,615	0	34,461	12,234	21,129	282,439	0
	(ii)	0	0	0	0	0	0	0
Joe Hemway	(i)	177,677	0	9,383	9,383	9,465	205,908	0
	(ii)	0	0	0	0	0	0	0
Edmund Rutkowski	(i)	231,956	0	12,133	12,142	38,769	295,000	0
	(ii)	0	0	0	0	0	0	0
Thomas Schutte	(i)	615,369	0	11,916	12,250	84,483	724,018	0
	(ii)	0	0	0	0	0	0	0
Edmund Colletti	(i)	173,650	0	8,750	9,196	16,800	208,396	0
	(ii)	0	0	0	0	0	0	0
Tula Giannini	(i)	147,170	0	7,750	7,750	16,083	178,753	0
	(ii)	0	0	0	0	0	0	0
Thomas Hanrahan	(i)	146,467	0	7,782	7,781	19,252	181,282	0
	(ii)	0	0	0	0	0	0	0
Toni Oliviero	(i)	143,184	0	7,500	7,500	9,134	167,318	0
	(ii)	0	0	0	0	0	0	0
Frank Lind	(i)	122,003	0	6,489	6,489	18,822	153,803	0
	(ii)	0	0	0	0	0	0	0

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8 Also complete this part for any additional information

Identifier	Return Reference	Explanation
Form 990, SCH J		Pratt Institute paid for the President's personal life and home owners insurance premiums in FY2009-10 The premiums paid on the president's life and homeowner's policies are not included in the nontaxable benefits and are reflected as taxable income on his Form W-2 Housing Allowance Pratt Institute provides housing to Thomas Schutte, Edmund Rutkowski, and Conchetta Stewart Housing is a condition of their employment and, therefore, not included in taxable income Such housing is for the convenience of the Pratt Institute

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Schedule K (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Schedule O (Form 990).
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
Pratt Institute

Employer identification number
11-1630822

Part I Bond Issues

	(a) Issuer Name	(b) Issuer EIN	(c) CUSIP #	(d) Date Issued	(e) Issue Price	(f) Description of Purpose	(g) Defeased Yes	(g) Defeased No	(h) On Behalf of Issuer Yes	(h) On Behalf of Issuer No
A	Dormitory Authority of the State of New York Bonds	11-1630822	6499038R2	03-19-2009	33,775,000	Advance refund the series 1999 bon		X		X
B	Dormitory Authority of the State of New York Bonds	11-1630822	6499038Q7	03-19-2009	18,420,000	Refinance the series 2005 bonds		X		X
C	Dormitory Authority of the State of New York Bonds	11-1630822	649905NA0	08-13-2009	50,325,000	See schedule o		X		X

Part II Proceeds

		A	B	C	D	E
1	Total proceeds of issue	33,775,000	18,420,000	50,325,000		
2	Gross proceeds in reserve funds	1,247,198	552,338	3,231,150		
3	Proceeds in refunding or defeasance escrows	31,334,183	16,304,471	0		
4	Other unspent proceeds	0	0	30,525,263		
5	Issuance costs from proceeds	933,827	524,594	2,154,773		
6	Working capital expenditures from proceeds	0	0	0		
7	Capital expenditures from proceeds	0	0	14,174,705		
8	Year of substantial completion	2010		2010		

		A Yes	A No	B Yes	B No	C Yes	C No	D Yes	D No	E Yes	E No
9	Were the bonds issued as part of a current refunding issue?		X	X			X				
10	Were the bonds issued as part of an advance refunding issue?	X			X		X				
11	Has the final allocation of proceeds been made?	X		X			X				
12	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X					

Part III Private Business Use

		A Yes	A No	B Yes	B No	C Yes	C No	D Yes	D No	E Yes	E No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X				
2	Are there any lease arrangements with respect to the financed property which may result in private business use?		X		X		X				

Part III Private Business Use *(Continued)*

		A Yes	A No	B Yes	B No	C Yes	C No	D Yes	D No	E Yes	E No
3a	Are there any management or service contracts with respect to the financed property which may result in private business use?		X		X		X				
3b	Are there any research agreements with respect to the financed property which may result in private business use?		X		X		X				
3c	Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property?		X		X		X				
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶	0 %		0 %		0 %					
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶	0 %		2 100 %		0 %					
6	Total of lines 4 and 5	0 %		2 100 %		0 %					
7	Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?	X		X		X					

Part IV Arbitrage

		A Yes	A No	B Yes	B No	C Yes	C No	D Yes	D No	E Yes	E No
1	Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue?		X		X		X				
2	Is the bond issue a variable rate issue?	X		X			X				
3a	Has the organization or the governmental issuer identified a hedge with respect to the bond issue on its books and records?		X		X		X				
b	Name of provider										
c	Term of hedge										
4a	Were gross proceeds invested in a GIC?		X		X		X				
b	Name of provider										
c	Term of GIC										
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?		X		X		X				
5	Were any gross proceeds invested beyond an available temporary period?		X		X		X				
6	Did the bond issue qualify for an exception to rebate?		X		X		X				

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SCHEDULE M (Form 990)

Department of the Treasury
Internal Revenue Service

NonCash Contributions

▶Complete if the organization answered "Yes" on Form 990, Part IV, lines 29 or 30.
▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
Pratt Institute

Employer identification number
11-1630822

Part I Types of Property

	(a) Check if applicable	(b) Number of Contributions	(c) Revenues reported on Form 990, Part VIII, line 1g	(d) Method of determining revenues
1 Art—Works of art	X	1	0	n/a
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	11	137,502	sale proceed
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ (Equipment)	X	1	0	n/a
26 Other ▶ (miscellaneous)	X	24	0	n/a
27 Other ▶( )				
28 Other ▶ ( )				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . . **29** 0

			Yes	No
30a	During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?	30a		No
b	If "Yes," describe the arrangement in Part II			
31	Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	31		No
32a	Does the organization hire or use third parties or related organizations to solicit, process, or sell non-cash contributions?	32a	Yes	
b	If "Yes," describe in Part II			
33	If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II			

Part II **Supplemental Information.** Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
Works of arts		Pratt Institute received a portrait of Martin Luther King by artist Peter Max which was auctioned at the Black Alumni of Pratt (BAP) Celebration of the Creative Spirit Awards in May 2010
Publicly Traded Stock		Pratt Institute received eleven contributions of publicly traded stock during the tax period Stock is sold as soon as reasonably possible after receipt by Pratt Institute's brokerage
Equipment		Pratt Institute received a donation of 20 Giorgio Armani reception chairs for use by the Interior Design department
Miscellaneous		In January 2010, Pratt Institute received 15 donations for use in an auction supporting the Rowena Reed Kostellow Fund Items included a set of designer flatware, a designer wine cooler and coaster, three designer votive candle holders, a designer glass pitcher and stirrer, a designer lamp, a pair of designer crystal candlestick holders, a designer clock, a designer doorstop, a designer porcelain vase, a set of designer brass candlesticks, a designer bank in the form of a pig, a ceramic pitcher signed by designer Eva Zeisel, a designer bowl, a designer umbrella and tote, and a designer silver vase These items sold at the auction, and were valued at less than $1,000 at the time of the donation In May 2010, Pratt received nine noncash donations to support an auction for the benefit of the Black Alumni of Pratt Scholarship program Items donated included two Mercedez-Benz wrist watches, dinner for four at The Four Seasons Restaurant plus dinner for five at a DaSilvano Rest, an on-the-spot caricature drawing by artist Marisa Acocella Marchetto, a four day, three night stay in the Cove Atlantis in Paradise Island, Bahamas, Two VIP tickets to Oscar de la Renta's Spring 2011 fashion show plus a designer dress, two front row tickets to Naeem Khan's fashion show plus a designer dress and piece of Rajana Khan jewelry, a non-speaking role in Lee Daniels' film "Selma" plus two tickets to the premiere, and "The Ultimate Nickelodeon Experience" - president for a day at Nickelodeon plus a three night stay at the Nickelodeon Family Suite in Orlando, Florida
Notes		Pratt Institute reports the number of donations in column B above on a per-donation (as opposed to per-item) basis, unless a single donation includes items that are of substantially different character Line 32A In May/June 2010, Pratt Institute employed Charitybuzz, Inc to administer an online auction to support the Black Alumni of Pratt Scholarship Program's celebration of the Creative Spirit Event Charitybuzz managed bidding on five non-cash donations, which yielded $22,650 00 in gross receipts Charitybuzz, Inc withheld $4,530 00 (20%) of the total yield, and pratt received the net receipts of $18,120 00 Line 33 Pratt Institute does not maintain a standard policy for the recording of revenue from non-cash gifts The Institute reviews and records revenue for non-cash gifts on a case-by-case basis A policy for recording revenue from non-cash gifts is currently in development

Additional Data

Software ID:
Software Version:
EIN: 11-1630822
Name: Pratt Institute

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SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization	Employer identification number
Pratt Institute	11-1630822

Identifier	Return Reference	Explanation
Form 990, Part I and Part III, Line 1 - Organization's Mission		To educate artists and creative professionals to be responsible contributors to society Pratt seeks to instill in all graduates aesthetic judgment, professional knowledge, collaborative skills and technical expertise With a firm grounding in the liberal arts and sciences, a Pratt education blends theory with creative application in preparing graduates to become leaders in their professions Pratt enrolls a diverse group of highly talented and dedicated students, challenging them to achieve their potential

Identifier	Return Reference	Explanation
Form 990, Part III - Statement of Program Service Accomplishments		Line 4A Instruction ------------ Pratt Institute is a coeducational undergraduate and graduate institution chartered and empowered to confer academic degrees by the State of New York It is an independent college offering undergraduate and graduate degree programs in architecture, art and design, information and library science and liberal arts & sciences The certificates and degrees conferred are registered by the NYS Department of Education Pratt is accredited by the Commission on Higher Education of the Middles States Association of Colleges and Schools Pratt's faculty members are about 1000 full and part-time and have received various prestigious professional awards Pratt also runs a Center for Continuing and Professional Studies It serves the lifelong learning needs of nontraditional students through prorams in art, design, architecture and management for education advancement, career change or ennrichment

Identifier	Return Reference	Explanation
Form 990, Part III - Statement of Program Service Accomplishments		Line 4B Operation & Maintenance of Plant -------------------------------- The construction of the Myrtle Ave building has been completed and was issued the Certificate of Occupancy on 10/29/10 The Institute moved the academic and administrative operations department in November, 2010 and the student services departments in December, 2010 The offices of the Bursar, Financial Aid and Registrar are located in the 6th floor to provide better and more efficient services to the students Various capital projects have been completed landscaping of the east mall and east & west walkways, north walkway from the Design Center to Engineering Building, new walkways in the Engineering quadrangle, the grand walkway project Ongoing capital projects restoration and renovation of Pratt's brick townhouses to be used as students' residences, Dekalb Hall repainting,, relocation plan for the move of various departments to the new Myrtle Hall building

Identifier	Return Reference	Explanation
Form 990, Part III - Statement of Program Service Accomplishments		Line 4C Institutional Support ---------------------- Human Resources has implemented an online system to post all open positions, submission of job applications, new employee orientation program participation and conduct of employees and supervisors performace reviews The Security Department implemented a new bike patrol unit where a number of security guards used bicycles to patrol and roam the campus The patrol is sustainable, saves time and costs and is effective in increasing the security watch over the campus Pratt's IT department has installed successfully the DocuWare document imaging software and scanners "Paperless Pratt Initiative" IT has worked with the various departments throughout the Institute to archive existing paper documents taking up countless file cabinets and floor space and develop new workflows which eliminates the use of paper forms entirely A centralized IT budget framework has been implemented the Computer Upgrage Program which will reduce support costs and total cost of ownership and will be able to distribute "greener" technologies where applicable

Identifier	Return Reference	Explanation
Form 990, Part III - Statement of Program Service Accomplishments		Line 4D Auxiliary Enterprises ---------------------- Support the administration and maintenance of about five dormitories plus off campus housing which provides housing for Pratt students as well as the student meal plan programs It also operates an Art Supply Store and activity resource center facilities for the use and convenience of students, faculty & staff Student Services ----------------- The Admissions Office has implemented an online form processing of acceptance and housing deposits of accepted incoming students It has facilitated and improved the efficiency and has contributed to the greening of Pratt through the elimination of paper mailings The Health and Counseling Office has purchased the Medicat software and worked with the IT department to improve the management of the daily operations including the medical insurance issues and maintaining electronic paperless records It will also ensure compliance with HIPPA regulations as enforced in all higher learning institutions New and existing programs continue to be offered at Pratt to help students get jobs These programs include exchange portfolio workshop and review, the business of art, networking, etc Academic Support ----------------- Pratt Institute's academic programs and faculty consistently ranked among the best in the nation The Faculty Technology Center continues to offer workshops for faculty and staff Since January 2010 there has been a 25% percent increase in services rendered at the Center compared to fall 2009 The technology services have expanded and continue to be developed, communication with the Pratt community has been more effective The Technology Enhanced Classroom (TEC) program is in its second year and was designed to increase the number of technology equipped classrooms by 50% over 5 years which seems to be met within the next year The five-year periodic review accreditation report to the Middle States Commission on Higher Education (MSCHE) agency has been finalized and submitted The International Facilities Management Association (IFMA) conducted a reaccreditation site visit on campus to review Pratt's graduate Facilities Management program and was granted a six-year accreditation Academic degree program reviews are conducted on a regular basis to assure deliberate and continuous attention to quality enhancement and to the mission centrality of the academic programs at Pratt Implementation of the Academic Governance Oversight Committee (AGOC) recommendations continues with the operations of the Institute-Wide Curriculum Committee (IWCC) The AGOC has conducted a six-month review of the effectiveness of its implementation plan and findings submitted in June, 2010 Public Services ---------------- Public Services includes the management and administration of Pratt Center's grants and projects which are primarily community and rehabilitation of neighborhood & city programs The Pratt Center for Community Development works for a more just, equitable and sustainable city for all New Yorkers by empowering communities to plan for and realize their futures As part of Pratt institute, the Pratt Center leverages professional skills, especially planning, architecture and public policy to support community based organizations in their efforts to improve neighborhood quality of life, attack the causes of poverty and inequality, and advance sustainable development New York State Energy and Research Development Authority's (NYSERDA) Energy Smart Communities program for Brooklyn and Queens Pratt Staff engages organizations, businesses and individuals in actions to reduce energy use and help them access public retrofit incentive programs In this capacity we organize classes and public forums, work with businesses and a block association and maintain the Retrofit NYC website, a resource for NYC's property owners Sustainable Houses of Worship Started a pilot project with three Bedford Stuyvesant churches, this initiative assists religious institutions to conduct energy assessments and implement upgrades of their buildings Participating religious leaders then use their bully pulpits to encourage their congregations and neighbors to conduct energy audits and retrofits of their homes JM Kaplan The Pratt Center is proud to have been an early proponent of Bus Rapid Transit (BRT) in NYC, and by convening COMMUTE! (Communities United for Transportation Equity) and start date of commute a coalition of New York City-based social, economic, and environmental justice organizations, to have helped to frame BRT as an equity issue Our research, analysis, and organizing have helped grassroots social and environmental justice organizations to place transportation equity, and BRT specifically, in the context of their own missions, and to commit organizational capital to advocating for BRT, even in the face of scarce resources and competing priorities

Identifier	Return Reference	Explanation
Form 990, Part VI Lines 6 and 7B		The governing body of Pratt Institute is a board of trustees Pursuant to the By-Laws of the Institute, each year the board of trustees elects the following Chair of the Board, Vice Chair of the Board, Secretary of the Board and Treasurer of the Board They are trustees and are elected for a one (1) year term The full board of trustees meets a minimum of four (4) times a year to conduct the business of the Institute The board of trustees approves, in part, the budget, election of officers, appointment of trustees, the conferring of degrees, the approval of faculty appointments, approval of promotion of faculty, approval of sabbaticals for faculty, approval of the contruction of a faculty All approvals are accomplished through a "resolution process" whereby the resolution and its particulars are presented to the trustees for discussion and final approval which is accomplished through verbal vote To help with the work of the institution the Board of Trustees and pursuant to the By-Laws, certain committees have been approved and appropriate representation of trustees and faculty representatives are appointed to participate on the committees Among the committees that exist are The Academic Affairs Committee, Development Committee, Buildings and Grounds Committee, Nominating Committee, Finance and Audit Committee, Student Affairs Committee, Executive Committee and Real Estate Advisory Committee All of the committees hold a minimum of four meetings, which are accomplished in advance of the full Board meeting Approvals at the committee level are similarly accomplished through the resolution process and verbal vote While most committees hold to the minimum of four meetings a year, subject to need, a committee may schedule more meetings For example the Building and Grounds committee has held a meeting at least once a month over the last year and a half, because the institute was constructing a new administration/academic building The Executive Committee generally meets at least ten times a year to provide more timely decision making on matters that cannot wait for the full Board Meeting

Identifier	Return Reference	Explanation
Form 990, Part VI Lines 10A and 10B		Pratt has two excellent affiliate programs with the Delaware College of Art (DCAD) in Wilmington, Delaware and Munson-Proctor Art Institute (MWPAI) in Utica, New York DCAD students transfer to Pratt for their junior and senior years and MWPAI students are in Utica for their freshman and sophomore years and move to the Brooklyn campus for their junior and senior years

Identifier	Return Reference	Explanation
Form 990, Part VI Line 11	Review of 990	The form 990 was submitted to the controller and the VP of finance, to whom the board delegated authority to review and approve e-filing the completed form 990 with the IRS

Identifier	Return Reference	Explanation
Form 990, Part VI Line 12	Conflict of Interest Policy	The Organization has a written conflict of interest policy covering all trustees, officers, and employees that requires, among other things, that no individual may participate in discussion or decision on any matter in which he or she has a material financial interest All trustees, officers and individuals who have signing authority are required to certify compliance with the conflict of interest policy on an annual basis and to indicate whether the organization does business with an entity in which they have a material financial interest

Identifier	Return Reference	Explanation
Form 990, Part VI Line 15	Process of Determining Compensation	The Executive Committee of the Board of Trustees reviews the performance of the president Based upon the review, the level of compensation for the President is determined and approved by the Executive Committee of the Board of Trustees Compensation for the CEO, Officers and certain Key Employees is determined by a process that includes the use of comparability data, review and approval by the Board and contemporaneous recordkeeping of deliberations and decisions

Identifier	Return Reference	Explanation
Form 990, Part VI Line 19	Disclosure	The organization's governing documents, conflict of interest policy and financial statements are available to the public at any time upon request through the Office of the VP of Finance or the Controller's Office

Identifier	Return Reference	Explanation
Form 990 Schedule K, Part I Column (F)	Description of Purpose	Finance the acquisition and construction of an educational condominium building located at 526-542 Myrtle Ave

Additional Data

Software ID:
Software Version:
EIN: 11-1630822
Name: Pratt Institute

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

4d. Other program services

(Code	) (Expenses $	10,040,379	including grants of $	0	) (Revenue $	12,996,494	)
Auxiliary Enterprises							
(Code	) (Expenses $	12,412,187	including grants of $	0	) (Revenue $	1,717,932	)
Student Serv-Admissions, Bursar							
(Code	) (Expenses $	11,554,325	including grants of $	0	) (Revenue $	0	)
Academic Sup (Provost & Dean Off)							
(Code	) (Expenses $	2,247,393	including grants of $	0	) (Revenue $	0	)
Public Serv (Commu Prog Support)							

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Sarah VanOuwerkerk Trustee	1 0	X						0	0	0
Mike Pratt Chairman of the Board	1 0	X		X				0	0	0
Robert H Siegel Vice Chairman of the Board	1 0	X		X				0	0	0
Dr Joshua L Smith Secretary and Trustee	1 0	X		X				0	0	0
Howard S Stein Treasurer and Trustee	1 0	X		X				0	0	0
Kelly Flaherty Trustee	1 0	X						0	0	0
Kurt Andersen Trustee	1 0	X						0	0	0
Deborah J Buck Trustee	1 0	X						0	0	0
Amy Cappellazzo Trustee	1 0	X						0	0	0
Richard W Eiger Trustee	1 0	X						0	0	0
Bruce J Gitlin Trustee	1 0	X						0	0	0
Gary S Hattem Trustee	1 0	X						0	0	0
June Kelly Trustee	1 0	X						0	0	0
James D Kuhn Trustee	1 0	X						0	0	0
Julia Alexandra Livi Trustee	1 0	X						0	0	0
David S Mack Trustee	1 0	X						0	0	0
Katharine L McKenna Trustee	1 0	X						0	0	0
David G Marquis Trustee	1 0	X						0	0	0
John Morning Trustee	1 0	X						0	0	0
Stan Richards Trustee	1 0	X						0	0	0
Marc A Rosen Trustee	1 0	X						0	0	0
Mark D Stumer Trustee	1 0	X						0	0	0
Avi Telyas Trustee	1 0	X						0	0	0
Juliana Gilbert Terian Trustee	1 0	X						0	0	0
Jeffrey Hogrefe Trustee	1 0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply) Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
David C Walentas Trustee	1 0	X						0	0	0
Roelfien Kuijpers Trustee	1 0	X						0	0	0
Laylah Mohammed Trustee	1 0	X						0	0	0
Young Woo Trustee	1 0	X						0	0	0
Michael Zetlin Trustee	1 0	X						0	0	0
David O Pratt Trustee	1 0	X						0	0	0
Susan Hakkarainen Trustee	1 0	X						0	0	0
Santiago Rivera Gonzalez Trustee	1 0	X						0	0	0
Thomas Schutte President and Trustee	35 0	X		X				627,285	0	96,733
Peter Barna Provost	35 0			X				249,076	0	33,363
Todd Galitz VP Development	35 0				X			73,656	0	4,287
Judy Aaron VP Enrollment	35 0				X			187,208	0	13,219
Helen Matusow-Ayres VP Student Affairs	35 0				X			163,461	0	11,556
Joe Hemway Chief Info and Tech Officer	35 0				X			187,060	0	18,848
Edmund Rutkowski VP for Finance and Admin	35 0				X			244,089	0	50,911
Edmund Colletti Exec Dir of Facilities Mgmt	35 0					X		182,400	0	25,996
Tula Giannini Dean Sch of Info & Library Sci	35 0					X		154,920	0	23,833
Thomas Hanrahan Dean School of Art & Design	35 0					X		154,249	0	27,033
Toni Oliviero Dean School of Liberal Arts	35 0					X		150,684	0	16,634
Frank Lind Dean School of Art & Design	35 0					X		128,492	0	25,311