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Form 990  Department of the Treasury Internal Revenue Service	Return of Organization Exempt From Income Tax Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)	OMB No 1545-0047 <div> <div>2009</div> <div>Open to Public Inspection</div> </div>
	The organization may have to use a copy of this return to satisfy state reporting requirements	

A For the 2009 calendar year, or tax year beginning 07-01-2009 and ending 06-30-2010				
B Check if applicable <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	Please use IRS label or print or type. See Specific Instructions.	C Name of organization Yale University		D Employer identification number 06-0646973
		Doing Business As		E Telephone number (203) 432-5530
		Number and street (or P O box if mail is not delivered to street address) PO Box 208239	Room/suite	G Gross receipts \$ 9,290,598,511
		City or town, state or country, and ZIP + 4 New Haven, CT 065208239		
		F Name and address of principal officer Richard C Levin PO Box 208229 New Haven, CT 065208229		
I Tax-exempt status <input checked="" type="checkbox"/> 501(c) (3) ◀ (insert no) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527		H(b) Are all affiliates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list (see instructions)		
J Website: ▶ www.yale.edu		H(c) Group exemption number ▶		
K Form of organization <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶			L Year of formation 1701	M State of legal domicile CT

Part I		Summary		
Activities & Governance	1	Briefly describe the organization's mission or most significant activities To Create, Preserve, and disseminate knowledge		
	2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets		
	3	Number of voting members of the governing body (Part VI, line 1a)	3 1	
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4 1	
	5	Total number of employees (Part V, line 2a)	5 26,80	
	6	Total number of volunteers (estimate if necessary)	6 8,20	
	7a	Total gross unrelated business revenue from Part VIII, column (C), line 12	7a -127,145,41	
	7b	Net unrelated business taxable income from Form 990-T, line 34	7b -130,262,52	
Revenue	8	Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9	Program service revenue (Part VIII, line 2g)	756,439,405	773,935,958
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	975,131,832	1,067,311,549
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	868,545,296	680,415,065
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	87,609,429	92,055,941
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	2,687,725,962	2,613,718,513
	14	Benefits paid to or for members (Part IX, column (A), line 4)	313,113,635	352,430,766
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)		0
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	1,489,203,592	1,585,637,416
	b	Total fundraising expenses (Part IX, column (D), line 25)		0
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f)		
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	999,204,630	910,340,231
	19	Revenue less expenses Subtract line 18 from line 12	2,801,521,857	2,848,408,413
Net Assets or Fund Balances			Beginning of Current Year	End of Year
	20	Total assets (Part X, line 16)	-113,795,895	-234,689,900
	21	Total liabilities (Part X, line 26)		
	22	Net assets or fund balances Subtract line 21 from line 20	23,692,662,518	24,776,355,904
			6,574,143,185	7,516,115,463
			17,118,519,333	17,260,240,441

Part II		Signature Block			
Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge				
	<div> <div></div> <div>Signature of officer</div> </div>			<div> <div></div> <div>2011-05-16</div> </div> <div> <div></div> <div>Date</div> </div>	
	<div> <div></div> <div>Cary b scapillato asst vp & controller</div> </div> <div> <div></div> <div>Type or print name and title</div> </div>				
Paid Preparer's Use Only	<div> <div>Preparer's signature</div> <div></div> </div>		<div> <div></div> <div>Date</div> </div>	<div> <div></div> <div>Check if self-employed</div> <div></div> </div>	<div> <div></div> <div>Preparer's identifying number (see instructions)</div> </div>
	<div> <div>Firm's name (or yours if self-employed), address, and ZIP + 4</div> <div></div> </div>				<div> <div></div> <div>EIN</div> </div>
					<div> <div></div> <div>Phone no</div> </div>

Part III Statement of Program Service Accomplishments

1

Briefly describe the organization's mission

Yale has a tripartite mission to create, preserve, and disseminate knowledge. Yale aims to carry out each part of its mission at the highest level of excellence, on par with the best institutions in the world. Yale seeks to attract a diverse group of exceptionally talented men and women from across the nation and around the world and to educate them for leadership in scholarship, the professions, and society.

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

☐ Yes

☒ No

If "Yes," describe these new services on Schedule O

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services?

☐ Yes

☒ No

If "Yes," describe these changes on Schedule O

4

Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a

(Code) (Expenses \$ 1,090,369,411 including grants of \$) (Revenue \$ 900,880,417)

Instruction. Includes salaries, fringe benefits and supplies of teaching over 11,000 students including 5,275 undergraduates, 2,655 graduate students and 3,663 professional school students. A Faculty of more than 3,600 teach and administer programs across a range of disciplines in the sciences and engineering, the social sciences, the humanities, and the various learned professions. More than 70 majors are available in the undergraduate college and 38 different degree programs are offered by the University. In addition to the Graduate School of Arts and Sciences, the University has professional schools including Architecture, Art, Divinity, Drama, Forestry and Environmental Studies, Law, Management, Medicine, Music, Nursing and public health.

4b

(Code) (Expenses \$ 471,423,000 including grants of \$ 49,294,413) (Revenue \$ 44,472,591)

Research. The University engages in extensive research in medicine, the physical sciences, the social sciences and humanities. The University's research activities are aimed at the advancement of knowledge as well as training students in the research process. Included among the University's outstanding research facilities are laboratories and other facilities devoted to research in geology, chemistry, biology, engineering and applied science, anthropology, paleontology, molecular and structural biology, nuclear structure, child development, social policy, biospheric studies and numerous biomedical sciences, to name but a few.

4c

(Code) (Expenses \$ 571,219,367 including grants of \$ 303,136,353) (Revenue \$ 61,722,637)

Student Aid and Services. Yale College admits students on the basis of academic and personal promise and without regard to their ability to pay. Once a student is admitted, Yale awards financial aid that meets 100% of that student's demonstrated financial need. All aid is need-based; there are no athletic or merit-based awards. This policy helps to ensure that Yale will always be accessible to talented students from the widest possible range of backgrounds. Furthermore, Yale's admission process is need-blind, so that no applicant is denied admission to Yale because of his or her family's financial situation. Applicants from a wide range of socio-economic backgrounds may be eligible for need-based aid. In FY2010, 2,912 or 55 percent of undergraduates received need-based scholarships or grants from Yale sources of \$34,400 on average. This category of expenditures also includes the operation of dining halls that provided 2,610,289 meals to students, faculty and staff, maintenance of dormitories housing 4,650 undergraduates and 884 graduate and professional school students, operation and maintenance of athletic facilities, for the 35 intercollegiate sports, over 35 intramural sports and 50 club sport teams offered at Yale as well as more than 75 sections of physical education instruction, parking facilities, laundry facilities, student centers and other facilities and programs (including extracurricular activities and student health services) that provide support, services and academic/cultural enrichment to the University's students. Form 990, Part III, Line 4d. Other program services include academic support, public service and other institutional support.

4d

Other program services. (Describe in Schedule O) See also Additional Data for Description

(Expenses \$ 383,363,081 including grants of \$) (Revenue \$ 111,562,369)

4e

Total program service expenses \$ 2,516,374,859

Part IV Checklist of Required Schedules

		Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1	Yes
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2	Yes
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3	No
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II	4	Yes
5	Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations. Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? If "Yes," complete Schedule C, Part III	5	
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6	Yes
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," complete Schedule D, Part II	7	No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8	Yes
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9	Yes
10	Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? If "Yes," complete Schedule D, Part V	10	Yes
11	Is the organization's answer to any of the following questions "Yes"? If so, complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.	11	Yes
	◆ Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI.		
	◆ Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII.		
	◆ Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII.		
	◆ Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX.		
	◆ Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X.		
	◆ Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? If "Yes," complete Schedule D, Part X.		
12	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII	12	No
12A	Was the organization included in consolidated, independent audited financial statements for the tax year?	Yes	No
	If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional	12A	Yes
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13	Yes
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a	Yes
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? If "Yes," complete Schedule F, Part I	14b	Yes
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the U S ? If "Yes," complete Schedule F, Part II	15	Yes
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the U S ? If "Yes," complete Schedule F, Part III	16	Yes
17	Did the organization report a total of more than \$15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I	17	No
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18	Yes
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19	Yes
20	Did the organization operate one or more hospitals? If "Yes," complete Schedule H	20	No

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21	Yes	
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer questions 24b-24d and complete Schedule K. If "No," go to line 25</i>	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		No
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		No
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>	26	Yes	
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i>	27	Yes	
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b	Yes	
c	An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c	Yes	
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33	Yes	
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i>	34	Yes	
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35	Yes	
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36	Yes	
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? Note. All Form 990 filers are required to complete Schedule O	38	Yes	

Part V

Statements Regarding Other IRS Filings and Tax Compliance

			Yes	No
1a	Enter the number reported in Box 3 of Form 1096, <i>Annual Summary and Transmittal of U.S. Information Returns</i> . Enter -0- if not applicable	1a15,657		
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b1		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	Yes	
2a	Enter the number of employees reported on Form W-3, <i>Transmittal of Wage and Tax Statements</i> filed for the calendar year ending with or within the year covered by this return	2a26,805		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return (see instructions)	2b		
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?	3a	Yes	
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O	3b	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	Yes	
b	AS, BD, BK, BC, BR, VI, CA, CJ, CH, CO, EG, EN, FR, GM, GR, GK, HK, IC, EI, IM, IS, JA, LU, NL, NO, PK, KS, RO, SN, SF, SW, SZ, TW, TH, TU, AE, UK If "Yes," enter the name of the foreign country: _____ See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		No
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?	5c		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?	6a		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b		
7	Organizations that may receive deductible contributions under section 170(c).			
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	Yes	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	Yes	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c	Yes	
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d5		
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		No
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?	7g		
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?	7h		
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8		No
9	Sponsoring organizations maintaining donor advised funds.			
a	Did the organization make any taxable distributions under section 4966?	9a		No
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b		No
10	Section 501(c)(7) organizations. Enter			
a	Initiation fees and capital contributions included on Part VIII, line 12	10a		
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b		
11	Section 501(c)(12) organizations. Enter			
a	Gross income from members or shareholders	11a		
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b		
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b		

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Section A. Governing Body and Management

			Yes	No
1a	Enter the number of voting members of the governing body	1a	19	
b	Enter the number of voting members that are independent	1b	16	
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	Yes	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3		No
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?	4		No
5	Did the organization become aware during the year of a material diversion of the organization's assets?	5		No
6	Does the organization have members or stockholders?	6		No
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	7a	Yes	
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	7b		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following			
a	The governing body?	8a	Yes	
b	Each committee with authority to act on behalf of the governing body?	8b	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9		No

Section B. Policies

(This Section B requests information about policies not required by the Internal Revenue Code.)

			Yes	No
10a	Does the organization have local chapters, branches, or affiliates?	10a		No
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b		
11	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11	Yes	
11A	Describe in Schedule O the process, if any, used by the organization to review the Form 990			
12a	Does the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	12c	Yes	
13	Does the organization have a written whistleblower policy?	13	Yes	
14	Does the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	Yes	
b	Other officers or key employees of the organization	15b	Yes	
	If "Yes" to line a or b, describe the process in Schedule O (See instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	Yes	
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b	Yes	

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed▶
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c) (3)s only) available for public inspection. Indicate how you make these available. Check all that apply. <input type="checkbox"/> Own website <input type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request
19	Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public. See Additional Data Table.
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶ SHAUNA R KING VP Finance and busi 25 Science Park 150 Munson Street New Haven, CT 065208239 (203) 432-1094

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

☐ Check this box if the organization did not compensate any current or former officer, director, trustee or key employee

Form **990** (2009)

1b Total	19,378,947	0	2,057,258
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2

Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization▶2,416

		Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	Yes	
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		No

Section B. Independent Contractors

1

Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization

(A) Name and business address	(B) Description of services	(C) Compensation
Turner Construction Company 440 Wheelers Farm Road Suite 301 milford, CT 06461	Construction Services	116,762,154
Graham Mayo Van Otterloo & Co LLC 40 Rowes Wharf Boston, MA 02110	Investment Management	51,853,261
Hunt Construction group inc 2450 South Tibbs Ave Indianapolis, IN 46241	construction Services	50,217,471
Dimeo Construction co 75 Chapman Street Providence, RI 02905	construction Services	41,863,858
Accenture 1345 Avenue of the Americas New York, NY 10105	Consulting Services	35,350,201

2

Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ▶196

Part VIII

Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns . . .	1a	73,223			
	b	Membership dues	1b				
	c	Fundraising events	1c	201,833			
	d	Related organizations	1d				
	e	Government grants (contributions)	1e	469,331,982			
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	304,328,920			
	g	Noncash contributions included in lines 1a-1f \$ 33,108,678					
	h	Total. Add lines 1a-1f		773,935,958			
Program Service Revenue			Business Code				
	2a	medical service income	900,099	462,118,166	461,753,513	364,653	
	b	student income	611,710	445,638,750	445,638,750		
	c	contract income-gov't	541,700	69,483,027	69,483,027		
	d	publications income	511,130	31,521,584	31,521,584		
	e	other program service	611,710	30,200,355	28,527,026	1,673,329	
	f	All other program service revenue		28,349,667	28,349,667		
	g	Total. Add lines 2a-2f		1,067,311,549			
Other Revenue	3	Investment income (including dividends, interest and other similar amounts)		376,693,378		-141,081,655	517,775,033
	4	Income from investment of tax-exempt bond proceeds . . .		71,567			71,567
	5	Royalties		12,470,252			12,470,252
	6a	(i) Real					
		Gross Rents	14,603,492				
		b Less rental expenses	11,385,894				
		c Rental income or (loss)	3,217,598				
	d	Net rental income or (loss)		3,217,598			3,217,598
	7a	(i) Securities					
		Gross amount from sales of assets other than inventory	3,988,625,496				
		b Less cost or other basis and sales expenses	4,056,734,213				
		c Gain or (loss)	-68,108,717				
	d	Net gain or (loss)		303,650,120		11,782,113	291,868,007
	8a	Gross income from fundraising events (not including \$ 201,833 of contributions reported on line 1c) See Part IV, line 18					
		a	284,354				
		b Less direct expenses	301,853				
	c	Net income or (loss) from fundraising events . . .		-17,499			-17,499
	9a	Gross income from gaming activities See Part IV, line 19					
		a	19,207				
		b Less direct expenses	7,370				
c	Net income or (loss) from gaming activities . . .		11,837			11,837	
10a	Gross sales of inventory, less returns and allowances . .						
	a						
	b Less cost of goods sold						
c	Net income or (loss) from sales of inventory . . .						
Miscellaneous Revenue		Business Code					
11a	other	611,710	53,364,447	53,364,447			
b	utilities	221,000	11,123,008			11,123,008	
c	parking	812,930	8,726,589			8,726,589	
d	All other revenue		3,159,709		116,147	3,043,562	
e	Total. Add lines 11a-11d		76,373,753				
12	Total revenue. See Instructions		2,613,718,513	1,118,638,014	-127,145,413	848,289,954	

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the U S See Part IV, line 21	45,128,515	45,128,515		
2	Grants and other assistance to individuals in the U S See Part IV, line 22	290,243,473	290,243,473		
3	Grants and other assistance to governments, organizations, and individuals outside the U S See Part IV, lines 15 and 16	17,058,778	17,058,778		
4	Benefits paid to or for members				
5	Compensation of current officers, directors, trustees, and key employees	13,885,567	1,666,476	11,635,955	583,136
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	12,576,535	7,669,475	3,680,529	1,226,531
7	Other salaries and wages	1,186,017,423	1,103,218,001	63,767,019	19,032,403
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	75,981,285	67,006,217	7,674,058	1,301,010
9	Other employee benefits	222,721,606	199,909,582	18,955,772	3,856,252
10	Payroll taxes	74,455,000	65,230,907	7,858,349	1,365,744
11	Fees for services (non-employees)				
a	Management				
b	Legal	7,753,672	170,440	7,583,232	
c	Accounting	1,025,668	37,804	987,864	
d	Lobbying	24,000	24,000		
e	Professional fundraising See Part IV, line 17				
f	Investment management fees	82,263,878		82,263,878	
g	Other	59,468,775	37,506,576	21,255,936	706,263
12	Advertising and promotion	2,761,396	2,556,653	70,374	134,369
13	Office expenses	18,019,563	10,081,790	5,662,560	2,275,213
14	Information technology	34,688,905	26,634,311	6,268,297	1,786,297
15	Royalties	7,146,937	7,025,346	121,074	517
16	Occupancy	65,529,107	61,606,121	2,607,635	1,315,351
17	Travel	36,760,548	33,185,729	2,737,182	837,637
18	Payments of travel or entertainment expenses for any federal, state, or local public officials	15,798	15,798		
19	Conferences, conventions, and meetings	3,693,015	3,432,560	243,291	17,164
20	Interest	114,914,609	110,050,118	4,180,783	683,708
21	Payments to affiliates	3,676,217	3,072,027	578,455	25,735
22	Depreciation, depletion, and amortization	182,983,028	171,267,520	10,068,676	1,646,832
23	Insurance	22,408,080	20,547,630	1,860,450	
24	Other expenses Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below)				
a	supplies-med & research	61,835,308	61,538,277	297,031	
b	supplies-other	44,074,222	37,106,354	6,567,877	399,991
c	books, periodicals & co	42,638,256	41,316,261	1,241,597	80,398
d	food & beverage	12,908,514	12,620,186	256,034	32,294
e	Business Meals & Entert	8,419,248	2,824,327	4,187,114	1,407,807
f	All other expenses	97,331,487	76,623,607	20,604,655	103,225
25	Total functional expenses. Add lines 1 through 24f	2,848,408,413	2,516,374,859	293,215,677	38,817,877
26	Joint costs. Check here <input type="checkbox"/> if following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X

Balance Sheet

					(A)		(B)
					Beginning of year		End of year
Assets	1	Cash—non-interest-bearing			12,696,952	1	12,414,032
	2	Savings and temporary cash investments			352,924,048	2	584,619,967
	3	Pledges and grants receivable, net			525,389,928	3	495,723,392
	4	Accounts receivable, net			94,381,330	4	97,921,909
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L			271,765	5	150,011
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Complete Part II of Schedule L			556,587	6	462,817
	7	Notes and loans receivable, net			78,105,070	7	84,974,289
	8	Inventories for sale or use			17,298,984	8	16,036,026
	9	Prepaid expenses and deferred charges			12,933,378	9	14,052,469
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a	5,660,295,510			
	b	Less accumulated depreciation	10b	1,684,512,257	3,715,094,650	10c	3,975,783,253
	11	Investments—publicly traded securities			4,221,801,123	11	3,374,166,457
	12	Investments—other securities See Part IV, line 11			14,320,433,632	12	15,537,039,814
	13	Investments—program-related See Part IV, line 11			18,686,368	13	18,656,933
	14	Intangible assets				14	
	15	Other assets See Part IV, line 11			322,088,703	15	564,354,535
	16	Total assets. Add lines 1 through 15 (must equal line 34)			23,692,662,518	16	24,776,355,904
Liabilities	17	Accounts payable and accrued expenses			291,003,643	17	305,346,527
	18	Grants payable			3,566,357	18	3,527,473
	19	Deferred revenue			77,621,097	19	78,466,109
	20	Tax-exempt bond liabilities			2,197,246,945	20	2,687,769,633
	21	Escrow or custodial account liability Complete Part IV of Schedule D			2,650,903	21	2,374,665
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L				22	
	23	Secured mortgages and notes payable to unrelated third parties				23	
	24	Unsecured notes and loans payable to unrelated third parties			1,118,890,000	24	1,307,594,930
	25	Other liabilities Complete Part X of Schedule D			2,883,164,240	25	3,131,036,126
	26	Total liabilities. Add lines 17 through 25			6,574,143,185	26	7,516,115,463
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.						
	27	Unrestricted net assets			2,831,782,526	27	2,590,902,908
	28	Temporarily restricted net assets			11,786,476,267	28	12,082,207,759
	29	Permanently restricted net assets			2,500,260,540	29	2,587,129,774
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34.						
	30	Capital stock or trust principal, or current funds				30	
	31	Paid-in or capital surplus, or land, building or equipment fund				31	
	32	Retained earnings, endowment, accumulated income, or other funds				32	
	33	Total net assets or fund balances			17,118,519,333	33	17,260,240,441
34	Total liabilities and net assets/fund balances			23,692,662,518	34	24,776,355,904	

Part XI **Financial Statements and Reporting**

	Yes	No
1 Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? . . .		No
b Were the organization's financial statements audited by an independent accountant?	Yes	
c If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
d If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separated basis		
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	Yes	
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits . . .	Yes	

SCHEDULE A

(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization Yale University	Employer identification number 06-0646973
---	--

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

- 1

☐

A church, convention of churches, or association of churches **section 170(b)(1)(A)(i).**
- 2

☒

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)
- 3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state
- 5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)
- 6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7

☐

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 9

☐

An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)
- 10

☐

An organization organized and operated exclusively to test for public safety See**section 509(a)(4).**
- 11

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a

☐

Type I

b

☐

Type II

c

☐

Type III - Functionally integrated

d

☐

Type III - Other

e

☐

By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f

☐

If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box

g

☐

Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

(i)

a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization?

(ii)

a family member of a person described in (i) above?

(iii)

a 35% controlled entity of a person described in (i) or (ii) above?

h

☐

Provide the following information about the supported organization(s)

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of support?
			Yes	No	Yes	No	Yes	No	
Total									

Part II

Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public Support. Subtract line 5 from line 4						

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income (Explain in Part IV) Do not include gain or loss from the sale of capital assets						
11 Total support (Add lines 7 through 10)						
12 Gross receipts from related activities, etc (See instructions)					12	
13 First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here						

Section C. Computation of Public Support Percentage

14 Public Support Percentage for 2009 (line 6 column (f) divided by line 11 column (f))	14	
15 Public Support Percentage for 2008 Schedule A, Part II, line 14	15	
16a 33 1/3% support test—2009. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		
b 33 1/3% support test—2008. If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		
17a 10%-facts-and-circumstances test—2009. If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization		
b 10%-facts-and-circumstances test—2008. If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization		
18 Private Foundation If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions		

Part IIISupport Schedule for Organizations Described in IRC 509(a)(2)
(Complete only if you checked the box on line 9 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public Support (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support (Add lines 9, 10c, 11 and 12.)						
14 First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here						

Section C. Computation of Public Support Percentage

15 Public Support Percentage for 2009 (line 8 column (f) divided by line 13 column (f))	15	
16 Public support percentage from 2008 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2009 (line 10c column (f) divided by line 13 column (f))	17	
18 Investment income percentage from 2008 Schedule A, Part III, line 17	18	
19a 33 1/3% support tests—2009. If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization		
b 33 1/3% support tests—2008. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization		
20 Private Foundation If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions		

Part IV

Supplemental Information. Supplemental Information. Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Provide any other additional information. See instructions

SCHEDULE C

(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ **Complete if the organization is described below.**
▶ **Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.**

OMB No 1545-0047

2009

Open to Public
Inspection

If the organization answered “Yes,” to Form 990, Part IV, Line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered “Yes,” to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered “Yes,” to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, line 35a (regarding proxy tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization Yale University	Employer identification number 06-0646973
---	--

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV
- 2 Political expenditures ▶ \$
- 3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No
- 4a Was a correction made? ☐ Yes ☐ No
- b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c) except section 501(c)(3).

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt funtion activities ▶ \$
- 3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ \$
- 4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No
- 5 State the names, addresses and employer identification number (EIN) of all section 527 political organizations to which payments were made For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A

Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A

Check

☒

if the filing organization belongs to an affiliated group

B

Check

☐

if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing Organization's Totals	(b) Affiliated Group Totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)		22,348	22,348												
b Total lobbying expenditures to influence a legislative body (direct lobbying)		629,322	629,322												
c Total lobbying expenditures (add lines 1a and 1b)		651,670	651,670												
d Other exempt purpose expenditures		2,847,756,743	2,916,794,364												
e Total exempt purpose expenditures (add lines 1c and 1d)		2,848,408,413	2,917,446,034												
f Lobbying nontaxable amount Enter the amount from the following table in both columns		1,000,000	1,000,000												
<table><tr><td>If the amount on line 1e, column (a) or (b) is:</td><td>The lobbying nontaxable amount is:</td></tr><tr><td>Not over \$500,000</td><td>20% of the amount on line 1e</td></tr><tr><td>Over \$500,000 but not over \$1,000,000</td><td>\$100,000 plus 15% of the excess over \$500,000</td></tr><tr><td>Over \$1,000,000 but not over \$1,500,000</td><td>\$175,000 plus 10% of the excess over \$1,000,000</td></tr><tr><td>Over \$1,500,000 but not over \$17,000,000</td><td>\$225,000 plus 5% of the excess over \$1,500,000</td></tr><tr><td>Over \$17,000,000</td><td>\$1,000,000</td></tr></table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
g Grassroots nontaxable amount (enter 25% of line 1f)		250,000	250,000												
h Subtract line 1g from line 1a If zero or less, enter -0-		0	0												
i Subtract line 1f from line 1c If zero or less, enter -0-		0	0												
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		<div><input type="checkbox"/> Yes <input type="checkbox"/> No</div>													

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) Total
2a Lobbying non-taxable amount	1,000,000	1,000,000	1,000,000	1,000,000	4,000,000
b Lobbying ceiling amount (150% of line 2a, column(e))					6,000,000
c Total lobbying expenditures	524,439	630,450	563,034	651,670	2,369,593
d Grassroots non-taxable amount	250,000	250,000	250,000	250,000	1,000,000
e Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000
f Grassroots lobbying expenditures	9,190	15,271	16,274	22,348	63,083

Part II-B

Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
	a Volunteers?			
	b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
	c Media advertisements?			
	d Mailings to members, legislators, or the public?			
	e Publications, or published or broadcast statements?			
	f Grants to other organizations for lobbying purposes?			
	g Direct contact with legislators, their staffs, government officials, or a legislative body?			
	h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
	i Other activities? If "Yes," describe in Part IV			
	j Total lines 1c through 1i			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

		Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1	
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3	

Part III-B

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes".

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) non-deductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV

Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i. Also, complete this part for any additional information.

Identifier	Return Reference	Explanation

SCHEDULE D

(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ **Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.**
▶ **Attach to Form 990. ▶ See separate instructions.**

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
Yale University

Employer identification number

06-0646973

Part I

Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year	8	
2 Aggregate contributions to (during year)	5,157,688	
3 Aggregate grants from (during year)	27,657,002	
4 Aggregate value at end of year	22,881,978	
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

Part II

Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)
☐ Preservation of land for public use (e g , recreation or pleasure) ☐ Preservation of an historically importantly land area
☐ Protection of natural habitat ☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

a Total number of conservation easements

b Total acreage restricted by conservation easements

c Number of conservation easements on a certified historic structure included in (a)

d Number of conservation easements included in (c) acquired after 8/17/06

	Held at the End of the Year
2a	
2b	
2c	
2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization’s financial statements that describes the organization’s accounting for conservation easements

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1

▶ \$ _____

(ii) Assets included in Form 990, Part X

▶ \$ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a Revenues included in Form 990, Part VIII, line 1

▶ \$ _____

b Assets included in Form 990, Part X

▶ \$ _____

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☒ Public exhibition

b

☒ Scholarly research

c

☒ Preservation for future generations

d

☒ Loan or exchange programs

e

☐ Other

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes☒ No

Part IV

Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes☒ No

b

If "Yes," explain the arrangement in Part XIV and complete the following table

	Amount
1c	
1d	
1e	
1f	

2a

Did the organization include an amount on Form 990, Part X, line 21?

☒ Yes☐ No

b

If "Yes," explain the arrangement in Part XIV

Part V

Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current Year	(b)Prior Year	(c)Two Years Back	(d)Three Years Back	(e)Four Years Back
1a	Beginning of year balance	16,493,386,000	22,980,906,000		
b	Contributions	84,878,000	166,058,000		
c	Investment earnings or losses	1,370,842,000	-5,533,211,000		
d	Grants or scholarships	163,872,000	174,611,000		
e	Other expenditures for facilities and programs	853,772,000	886,584,000		
f	Administrative expenses	67,850,000	59,172,000		
g	End of year balance	16,863,612,000	16,493,386,000		

2

Provide the estimated percentage of the year end balance held as

a

Board designated or quasi-endowment ▶ 16.130 %

b

Permanent endowment ▶ 83.570 %

c

Term endowment ▶ 0.300 %

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i)

unrelated organizations

3a(i)

☐ Yes☐ No

(ii)

related organizations

3a(ii)

☐ Yes☐ No

b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

3b

☐ Yes☐ No

4

Describe in Part XIV the intended uses of the organization's endowment funds

Part VI

Investments—Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		107,580,223		107,580,223
b Buildings		5,067,395,653	1,336,617,086	3,730,778,567
c Leasehold improvements		10,435,756	5,088,239	5,347,517
d Equipment		474,883,878	342,806,932	132,076,946
e Other				
Total. Add lines 1a-1e (Column (d) should equal Form 990, Part X, column (B), line 10(c).)				3,975,783,253

Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements				
1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	2,613,718,513	
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	2,848,408,413	
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	-234,689,900	
4	Net unrealized gains (losses) on investments	4	636,155,465	
5	Donated services and use of facilities	5		
6	Investment expenses	6		
7	Prior period adjustments	7		
8	Other (Describe in Part XIV)	8	-259,744,457	
9	Total adjustments (net) Add lines 4 - 8	9	376,411,008	
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	141,721,108	
Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return				
1	Total revenue, gains, and other support per audited financial statements	1	2,718,423,000	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12			
a	Net unrealized gains on investments	2a	636,155,465	
b	Donated services and use of facilities	2b		
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIV)	2d	-234,465,323	
e	Add lines 2a through 2d	2e	401,690,142	
3	Subtract line 2e from line 1	3	2,316,732,858	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	82,263,878	
b	Other (Describe in Part XIV)	4b	214,721,777	
c	Add lines 4a and 4b	4c	296,985,655	
5	Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12)	5	2,613,718,513	
Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return				
1	Total expenses and losses per audited financial statements	1	2,572,137,000	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25			
a	Donated services and use of facilities	2a		
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIV)	2d	20,714,242	
e	Add lines 2a through 2d	2e	20,714,242	
3	Subtract line 2e from line 1	3	2,551,422,758	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	82,263,878	
b	Other (Describe in Part XIV)	4b	214,721,777	
c	Add lines 4a and 4b	4c	296,985,655	
5	Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18)	5	2,848,408,413	
Part XIV Supplemental Information				
Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b Also complete this part to provide any additional information				

Identifier	Return Reference	Explanation
Part III, Line 1a		Part III, line 1a Yale University Financial Statements - Collections Footnote Collections at Yale include works of art, literary works, historical treasures and artifacts that are maintained in the University's museums and libraries These collections are protected and preserved for public exhibition, education, research and the furtherance of public service Collections are not capitalized, purchases of collection items are recorded as operating expenses in the University's financial statements in the period in which the items are acquired
Part III, Line 4		Part III, line 4 Teaching and research are supported by the University's extensive collections-the Yale University Art Gallery, the Yale Center for British Art, the Peabody Museum of Natural History, and the Collection of Musical Instruments All the collections are open to the public The Yale University Art Gallery The Yale University Art Gallery, founded in 1832, houses a collection that ranks with those of the major public art museums in the United States Its two connected buildings house ancient, medieval, and Renaissance art, Near and Far Eastern art, archaeological material, Pre-Columbian and African art, works of European and American masters from virtually every period, and a rich collection of modern art The mission of the Yale University Art Gallery is to encourage appreciation and understanding of art and its role in society through direct engagement with original works of art The Gallery stimulates active learning about art and the creative process through research, teaching, and dialogue among communities of Yale students, faculty, artists, scholars, alumni, and the wider public The Gallery organizes exhibitions and educational programs to offer enjoyment and encourage inquiry, while building and maintaining its collections in trust for future generations The Yale Center for British Art The Yale Center for British Art, which opened in 1977, holds the largest collection of British art and illustrated books anywhere outside the United Kingdom The collection of paintings, sculpture, drawings, prints, rare books, and manuscripts reflects the development of British art, life, and thought from the Elizabethan period onward The Center offers a year-round schedule of exhibitions and educational programs, including films, concerts, lectures, tours, and special events It also provides numerous opportunities for scholarly research, such as residential fellowships Academic resources of the Center include the Reference Library and Photo Archive, Conservation Laboratory, and Study Room for examining works on paper Peabody Museum Yale's Peabody Museum of Natural History, founded in 1866, contains one of the great scientific collections in North America (numbering over 12 million specimens) Among its holdings are the University's comprehensive mineralogical and ornithological collections, the second-largest repository of dinosaur artifacts in the United States, and the largest intact Apatosaurus (Brontosaurus) in the world The Peabody is a working museum, where public exhibition, research, conservation, teaching, and learning intersect Its mission is to serve the University and the public by advancing understanding of earth's history through geological, biological, and anthropological research, and by communicating the results of this research to the widest possible audience through publication, exhibition, and educational programs Its collections are available to legitimate researchers for scholarly use Loans are issued to responsible individuals at established institutions Fundamental to this mission is stewardship of the Museum's rich collections, which provide a remarkable record of the history of the earth, its life, and its cultures Conservation, augmentation and use of these collections are increasingly urgent as modern threats to the diversity of life and culture continue to intensify Collection of Musical Instruments Established in 1900, the Collection today comprises nearly one thousand instruments, the majority documenting the history of Western art music It is committed to fostering the understanding and appreciation of musical instruments from all cultures One of the foremost institutions of its kind, the Collection acquires, preserves, and exhibits musical instruments from antiquity to the present, featuring restored examples in demonstration and live performance It provides access to and disseminates information about its holdings to Yale students, faculty, and staff, to scholars, musicians, and instrument makers, and to the broader public The Collection serves as a laboratory for courses in the history of musical instruments and as a supplemental archive for courses taught in the arts and sciences The Collection maintains regular public visiting hours and presents an annual series of concerts as well as lectures, demonstrations, gallery talks, and other special events Exhibitions are also frequently mounted at the following venues on campus Art + Architecture Gallery (School of Architecture), Beinecke Rare Book and Manuscript Library, Green Hall Gallery (School of Art), and Sterling Memorial Library, including the Arts of the Book Collection as well as at other non Yale locations and institutions through University loan or exchange programs Yale University Library The Yale University Library, as one of the world's leading research libraries, collects, organizes, preserves, and provides access to and services for a rich and unique record of human thought and creativity It fosters intellectual growth and supports the teaching and research missions of Yale University and scholarly communities worldwide A distinctive strength is its rich spectrum of resources, including approximately thirteen million volumes and information in all media, ranging from ancient papyri to early printed books to electronic databases The Library is engaging in numerous projects to expand access to its physical and digital collections It is housed in twenty-two buildings including the Sterling Memorial Library, the Beinecke Rare Book and Manuscript Library, and the new Bass Library
Part IV, Line 2b		Part IV, line 2b Amount reported on Form 990, Part X, line 21, Escrow Account Liability, represents security deposits related to lease agreements Part V, Line 1 Endowment funds include the value of the endowment and unexpended endowment income at June 30, 2010 Part V, Line 2 Permanent endowment (as defined in the IRS Form 990 instructions) includes accumulated gains that are reported as temporarily restricted for financial statement purposes
Part V, Line 4	Description of Intended Use of Endowment Funds	Part V, line 4 The endowment provides the largest source of support for the academic programs of the University To balance current and future needs, Yale employs investment and spending policies designed to preserve endowment asset values while providing a substantial flow of income to the operating budget the yale endowment contains thousands of funds with a variety of designated purposes and restrictions Approximately three-quarters of funds constitute true endowment, gifts restricted by donors to provide long-term funding for designated purposes The remaining one-quarter represent quasi-endowment, monies that the yale corporation chooses to invest and treat as endowment Donors frequently specify a particular purpose for gifts, creating endowments to fund professorships, teaching, and lectureships (24 percent), scholarships, fellowships, and prizes (18 percent), maintenance (4 percent), books (3 percent), and miscellaneous specific purposes (26 percent) Twenty-five percent of funds are unrestricted Thirty-five percent of the endowment benefits the overall university, with remaining funds focused on specific units, including the faculty of arts and sciences (29 percent), the professional schools (23 percent), the library (7 percent), and other entities (6 percent)
		Part X The University was not required to include a FIN 48, Accounting for Uncertainty in Income Taxes, footnote in its financial statements for the fiscal year ended June 30, 2010 Part XI, Line 8 The amount reported on line 8 represents CHANGE IN FUNDING STATUS OF defined benefit plans (241,774,893) actuarial adjustment for split interest liability (7,727,052) adjustment for Donor advised fund liability (10,242,512) Total (259,744,457) PART XII, LINE 2D AFFILIATED ORGANIZATION INCOME(Loss)* 25,279,134 CHANGE IN FUNDING STATUS OF defined benefit plans (241,774,893) Actuarial adjustment for split interest liability (7,727,052) Donor advised fund liability adjustment (10,242,512) Total (234,465,323) PART XII, LINE 4B Expenses not included in income on the audited financial statements, but reported on lines 6b, 8b and 9b, part VIII of Form 990 pursuant to form instructions (11,700,017) TUITION DISCOUNTS WHICH WERE NETTED WITH STUDENT INCOME ON THE AUDITED FINANCIAL STATEMENTS 220,599,367 EXPENSES WHICH WERE NETTED WITH REVENUE ON THE AUDITED FINANCIAL STATEMENTS 5,822,427 TOTAL 214,721,777 PART XIII, LINE 2D Affiliated Organization Expense* 20,714,242 PART XIII, LINE 4B EXPENSES which were netted with revenue on the audited FINANCIAL STATEMENTS but reported on lines 6b, 8b and 9b, part VIII of form 990 pursuant to form instructions (11,700,017) TUITION DISCOUNTs which were netted with student income on the audited financial statements 220,599,367 EXPENSES Which were netted with revenue on the audited FINANCIAL STATEMENTS 5,822,427 TOTAL 214,721,777 * This amount is reported (if Required) on the Forms 990 of the affiliated organizations,

Additional Data

Software ID:
Software Version:
EIN: 06-0646973
Name: Yale University

Form 990, Schedule D, Part X, - Other Liabilities

1	(a) Description of Liability	(b) Amount
	Employee benefit obligations	945,841,275
	Compensated absences	68,711,143
	Financial aid grant obligations	30,282,474
	Asset Retirement Obligations	36,500,000
	Capital Lease obligations	59,169,343
	Liabilities under split-interest agreements	84,223,073
	advances from Federal Gov't for student loans	35,017,152
	Securities Sold Not yet Purchased	543,399,000
	Reverse Repurchase Agreements	3,740,000
	Due to institutions	1,072,001,558
	Other liabilities	252,151,108

SCHEDULE E

(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Schools

►Complete if the organization answered "Yes" to Form 990, Part IV, line 13,
or Form 990-EZ, Part VI, line 48.

► Attach to Form 990 or Form 990-EZ.

OMB No 1545-0047

2009

Open to Public
Inspection

Name of the organization Yale University	Employer identification number 06-0646973
--	---

	YES	NO
1 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	Yes	
2 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	Yes	
3 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe If "No," please explain See Detailed explanation on Schedule O	Yes	
4 Does the organization maintain the following? a Records indicating the racial composition of the student body, faculty, and administrative staff? b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis? c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships? d Copies of all material used by the organization or on its behalf to solicit contributions? If you answered "No" to any of the above, please explain If you need more space, use Schedule O (Form 990)	Yes	
5 Does the organization discriminate by race in any way with respect to a Students' rights or privileges? b Admissions policies? c Employment of faculty or administrative staff? d Scholarships or other financial assistance? e Educational policies? f Use of facilities? g Athletic programs? h Other extracurricular activities? If you answered "Yes" to any of the above, please explain If you need more space, use Schedule O (Form 990)		No
6a Does the organization receive any financial aid or assistance from a governmental agency?	Yes	
6b Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either line 6a or line 6b, explain on Schedule O (Form 990)		No
7 Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," explain on Schedule O (Form 990)	Yes	

[illegible]**Schedule F (Form 990) 2009**

Complete this part to provide the information required in Part I, line 2, and any additional information.

Schedule F (Form 990) 2009

Additional Data

Software ID:
Software Version:
EIN: 06-0646973
Name: Yale University

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean	0	0	Grantmaking		359,630
central America and the Caribbean	0	0	Fundraising		0
Central America and the Caribbean	0	0	Program Services	academic collaborations	0
Central America and the Caribbean	0	0	Program Services	Alumni Relations	0
Central America and the Caribbean	0	0	Program Services	instruction/teaching	0
Central America and the Caribbean	0	0	Program Services	other mission-related activity	1,121
Central America and the Caribbean	0	0	Program Services	research	69,941
Central America and the Caribbean	0	0	Program Services	speaking at seminars and conferences	4,034
Central America and the Caribbean	0	0	Program Services	student recruitment	0
Central America and the Caribbean	0	0	Program Services	study abroad & other educational programs	69,033
Central America and the Caribbean	0	0	Program Services	training & clinical practice	48,607
central America and the Caribbean	0	0	Investments		0
east asia and the Pacific	0	0	Grantmaking		5,968,879
east asia and the Pacific	0	0	Fundraising		0
East Asia and the Pacific	2	5	Program Services	Academic Collaboration	3,806,833
east asia and the Pacific	0	0	Program Services	Alumni Relations	0
East Asia and the Pacific	0	0	Program Services	cultural exchange	9,659
East Asia and the Pacific	0	0	Program Services	instruction/teaching	14,769
east asia and the Pacific	0	0	Program Services	other mission-related activity	0
East Asia and the Pacific	0	0	Program Services	research	7,759,437
East Asia and the Pacific	0	0	Program Services	speaking at seminars and conferences	2,084,850
East Asia and the Pacific	0	0	Program Services	student recruitment	941,287
East Asia and the Pacific	1	1	Program Services	study abroad & other educational programs	392,048
East Asia and the Pacific	0	0	Program Services	training & clinical practice	116,093
east Asia and the Pacific	0	0	Investments		0
europa (incl Iceland, Greenland)	0	0	Grantmaking		5,409,956
Europe (incl Iceland, Greenland)	0	0	Fundraising		0
Europe (incl Iceland, Greenland)	0	0	Program Services	academic collaborations	2,480,157
Europe (incl Iceland, Greenland)	0	0	Program Services	Alumni Relations	0
Europe (incl Iceland, Greenland)	0	0	Program Services	cultural exchange	0
Europe (incl Iceland, Greenland)	0	0	Program Services	instruction/teaching	0
Europe (incl Iceland, Greenland)	0	0	Program Services	other mission-related activity	3,241,901
Europe (incl Iceland, Greenland)	0	0	Program Services	research	291,357
Europe (incl Iceland, Greenland)	0	0	Program Services	speaking at seminars and conferences	2,974,667
Europe (incl Iceland, Greenland)	0	0	Program Services	student recruitment	0
Europe (incl Iceland, Greenland)	0	0	Program Services	study abroad & other educational programs	371,999
Europe (incl Iceland, Greenland)	0	0	Program Services	training & clinical practice	0
Europe (incl Iceland, Greenland)	0	0	Investments		0
middle east and North Africa	0	0	Grantmaking		705,751
Middle East and North Africa	0	0	Fundraising		0
Middle East and North Africa	0	0	Program Services	academic collaborations	72,654
middle east and North Africa	0	0	Program Services	Alumni Relations	0
Middle East and North Africa	0	0	Program Services	other mission-related activity	896,050
Middle East and North Africa	0	0	Program Services	research	208,446
middle east and North Africa	0	0	Program Services	speaking at seminars and conferences	0
middle east and North Africa	0	0	Program Services	student recruitment	0
Middle East and North Africa	0	0	Program Services	study abroad & other educational programs	107,441
Middle East and North Africa	0	1	Program Services	training & clinical practice	0
North America	0	0	Grantmaking		1,088,717
North America	0	0	Fundraising		0
North America	0	0	Program Services	academic collaborations	27,989
North America	0	0	Program Services	Alumni Relations	0
North America	0	0	Program Services	cultural exchange	0
North America	0	0	Program Services	instruction/teaching	0
North America	0	0	Program Services	other mission-related activity	18,924
North America	0	0	Program Services	research	7,929
North America	0	0	Program Services	speaking at seminars and conferences	860,877
North America	0	0	Program Services	student recruitment	0
North America	0	0	Program Services	training & clinical practice	75,329
north america	0	0	Investments		0
Russia & Newly Independent States	0	0	Grantmaking		383,076
Russia & Newly Independent States	0	0	Program Services	academic collaborations	157,018
Russia & Newly Independent States	0	0	Program Services	other mission-related activity	539,430
Russia & Newly Independent States	0	0	Program Services	research	768,355
Russia & Newly Independent States	0	0	Program Services	speaking at seminars and conferences	546,993
Russia & Newly Independent States	0	0	Program Services	study abroad & other educational programs	188,423
Russia & Newly Independent States	0	0	Program Services	training & clinical practice	9,000
South America	0	0	Grantmaking		924,604
South America	0	0	Program Services	academic collaborations	0
South America	0	0	Program Services	Alumni Relations	0
South America	0	0	Program Services	other mission-related activity	255,689
South America	0	0	Program Services	research	1,235,371
South America	0	0	Program Services	speaking at seminars and conferences	0
South America	0	0	Program Services	student recruitment	0
South America	0	0	Program Services	study abroad & other educational programs	7,743
South America	0	0	Program Services	training & clinical practice	
South Asia	0	0	Grantmaking		478,487
South Asia	0	0	Fundraising		0
South Asia	0	0	Program Services	academic collaborations	362,842
South Asia	0	0	Program Services	cultural exchange	
South Asia	0	0	Program Services	instruction/teaching	0
South Asia	0	0	Program Services	other mission-related activity	787,066
South Asia	0	0	Program Services	research	331,611
South Asia	0	0	Program Services	speaking at seminars and conferences	0
South Asia	0	0	Program Services	student recruitment	0
South Asia	0	0	Program Services	study abroad & other educational programs	52,031
South Asia	0	0	Program Services	training & clinical practice	0
Sub-Saharan Africa	0	0	Grantmaking		1,739,678
Sub-Saharan Africa	0	0	Program Services	academic collaborations	152,450
Sub-Saharan Africa	0	0	Program Services	Alumni Relations	0
Sub-Saharan Africa	0	0	Program Services	cultural exchange	0
Sub-Saharan Africa	0	0	Program Services	instruction/teaching	72,754
Sub-Saharan Africa	0	0	Program Services	other mission-related activity	297,176
Sub-Saharan Africa	0	0	Program Services	research	2,130,887
Sub-Saharan Africa	0	0	Program Services	speaking at seminars and conferences	4,121
Sub-Saharan Africa	0	0	Program Services	student recruitment	0
Sub-Saharan Africa	0	0	Program Services	study abroad & other educational programs	0
Sub-Saharan Africa	0	0	Program Services	training & clinical practice	13,049,602
sub-Saharan Africa	0	0	Investments		0

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	Subaward - Sponsored Research	43,925	Check			
		East Asia and the Pacific	Subaward - Sponsored Research	10,368	WIRE			
		East Asia and the Pacific	Subaward - Training	25,743	Check			
		East Asia and the Pacific	Subaward - Sponsored Research	122,499	Check			
		East Asia and the Pacific	Subaward - Sponsored Research	445,016	Check			
		East Asia and the Pacific	Subaward - Sponsored Research	60,048	Check			
		East Asia and the Pacific	Subaward - Training	10,000	WIRE			
		East Asia and the Pacific	Subaward - Sponsored Research	17,536	WIRE			
		East Asia and the Pacific	Subaward - Sponsored Research	52,000	WIRE			
		East Asia and the Pacific	Subaward - Sponsored Research	21,578	Check			
		East Asia and the Pacific	Subaward - Sponsored Research	227,141	WIRE			
		East Asia and the Pacific	Subaward - Sponsored Research	94,084	Check, WIRE			
		East Asia and the Pacific	Subaward - Sponsored Research	27,827	WIRE			
		Europe (Incl Iceland and Greenland)	Subaward - Sponsored Research	46,994	Check			
		Europe (Incl Iceland and Greenland)	Subaward - Sponsored Research	16,898	Check			
		Europe (Incl Iceland and Greenland)	Subaward - Sponsored Research	22,000	Check			
		Europe (Incl Iceland and Greenland)	Subaward - Sponsored Research	396,045	Check			
		Europe (Incl Iceland and Greenland)	Subaward - Sponsored Research	11,473	Check			
		Europe (Incl Iceland and Greenland)	Subaward - Sponsored Research	14,976	Check			
		Europe (Incl Iceland and Greenland)	Subaward - Sponsored Research	115,275	Check, WIRE			
		Europe (Incl Iceland and Greenland)	Subaward - Sponsored Research	232,054	WIRE			
		Europe (Incl Iceland and Greenland)	Subaward - Sponsored Research	14,406	WIRE			
		Europe (Incl Iceland and Greenland)	Subaward - Sponsored Research	19,576	Check			
		Europe (Incl Iceland and Greenland)	Subaward - Sponsored Research	20,301	WIRE			
		Europe (Incl Iceland and Greenland)	Subaward - Sponsored Research	6,567	WIRE			
		Europe (Incl Iceland and Greenland)	Subaward - Sponsored Research	25,745	WIRE			
		Europe (Incl Iceland and Greenland)	Subaward - Sponsored Research	137,938	Check			
		Europe (Incl Iceland and Greenland)	Subaward - Sponsored Research	132,750	Check			
		Europe (Incl Iceland and Greenland)	Subaward - Sponsored Research	52,997	Check			
		Middle East and North Africa	Subaward - Sponsored Research	8,787	Check			

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Middle East and North Africa	Subaward - Sponsored Research	17,930	WIRE			
		Middle East and North Africa	Subaward - Sponsored Research	6,971	Check			
		Middle East and North Africa	Subaward - Sponsored Research	45,442	Check			
		Middle East and North Africa	Subaward - Sponsored Research	70,724	Check			
		Middle East and North Africa	Subaward - Sponsored Research	62,591	Check			
		Middle East and North Africa	Subaward - Sponsored Research	48,696	Check			
		North America	Subaward - Sponsored Research	152,216	Check			
		North America	Subaward - Sponsored Research	49,919	Check			
		North America	Subaward - Sponsored Research	32,514	WIRE			
		North America	Subaward - Sponsored Research	57,861	Check			
		North America	Subaward - Sponsored Research	12,302	Check			
		North America	Subaward - Sponsored Research	154,496	Check			
		North America	Subaward - Sponsored Research	141,733	Check			
		North America	Subaward - Sponsored Research	49,578	Check			
		North America	Subaward - Sponsored Research	30,134	Check			
		North America	Subaward - Sponsored Research	45,650	WIRE			
		North America	Subaward - Sponsored Research	43,242	Check			
		North America	Subaward - Sponsored Research	61,597	Check			
		North America	Subaward - Training	47,569	Check			
		North America	Subaward - Sponsored Research	42,146	Check			
		Russia and the Newly Independent States	Subaward - Sponsored Research	185,033	Check, WIRE			
		Russia and the Newly Independent States	Subaward - Sponsored Research	12,550	WIRE			
		Russia and the Newly Independent States	Subaward - Sponsored Research	24,890	WIRE			
		Russia and the Newly Independent States	Subaward - Sponsored Research	19,186	Check, WIRE			
		South America	Subaward - Training, Sponsored Research	325,738	Check, WIRE			
		South Asia	Subaward - Sponsored Research	222,333	Check, WIRE			
		Sub-Saharan Africa	Subaward - Sponsored Research	5,113	WIRE			
		Sub-Saharan Africa	Subaward - Sponsored Research	25,000	WIRE			
		Sub-Saharan Africa	Subaward - Sponsored Research	74,061	Check, WIRE			
		Sub-Saharan Africa	Subaward - Sponsored Research	29,830	WIRE			

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	Subaward - Service/Demonstration	441,851	WIRE			
		Sub-Saharan Africa	Subaward - Sponsored Research	32,251	Check			
		Sub-Saharan Africa	Subaward - Sponsored Research	17,949	WIRE			
		Sub-Saharan Africa	Subaward - Sponsored Research	341,057	WIRE			
		grants less than \$5,000	Subaward - Sponsored Research	15,059	check, wire			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV , appraisal, other)
Fellowships	Central America and the Caribbean	34	145,106	Check, Wire			
Fellowships	East Asia and the Pacific	406	3,662,228	check, wire			
Fellowships	EUROPE (INCLUDING ICELAND AND GREENLAND)	173	540,047	check, Wire			
Fellowships	Middle East and North Africa	62	185,493	check, Wire			
Fellowships	North America	8	16,380	check, Wire			
Fellowships	Russia & the Newly Independent States	15	36,743	check			
Fellowships	South America	63	241,659	check, Wire			
Fellowships	South Asia	48	142,648	check, Wire			
Fellowships	Sub-Saharan Africa	85	246,892	check, Wire			
Student Stipends	Central America and the Caribbean	16	57,663	check			
Student Stipends	East Asia and the Pacific	47	242,631	check			
Student Stipends	EUROPE (INCLUDING ICELAND AND GREENLAND)	105	440,981	check			
Student Stipends	Middle East and North Africa	15	94,241	check			
Student Stipends	North America	23	114,127	check			
Student Stipends	Russia & the Newly Independent States	4	22,470	check			
Student Stipends	South America	24	104,254	check			
Student Stipends	South Asia	19	60,559	check			
Student Stipends	sub-Saharan Africa	46	172,081	Check			
Scholarships	Central America and the Caribbean	19	156,053	Check			
Scholarships	East Asia and the Pacific	126	433,362	Check,Wire	436,296	tuition reduction	COST
Scholarships	Europe (INCLUDING ICELAND AND GREENLAND)	324	2,249,848	Check, Wire	898,026	tuition reduction	COST
Scholarships	Middle East and North Africa	20	75,850	Check, Wire	86,829	tuition reduction	COST
Scholarships	North America	5	14,275	Check,Wire	22,106	tuition reduction	COST
Scholarships	Russia & the Newly Independent States	9	82,204	Check			
Scholarships	South America	33	236,376	Check,Wire	16,161	tuition reduction	COST
Scholarships	South asia	8	27,232	Check, wire	20,040	tuition reduction	COST
Scholarships	Sub-Saharan Africa	44	286,338	Check, wire	56,681	tuition reduction	COST
Grants - other benefits	Central America and the Caribbean	1	808	Check			
Grants - other benefits	East asia and the Pacific	48	36,597	Check			
Grants - other benefits	middle East and North Africa	1	2,198	Check			
Grants - other benefits	North America	1	872	Check			
Grants - other benefits	south America	1	416	Check			
Grants - other benefits	south asia	5	5,674	Check			
Grants - other benefits	Sub-Saharan Africa	14	10,574	Check			

SCHEDULE G
(Form 990 or 990-EZ)

Supplemental Information Regarding
Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19,
or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2009

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization
Yale University

Employer identification number
06-0646973

Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17.
Form 990-EZ filers are not required to complete this part.

- 1

Indicate whether the organization raised funds through any of the following activities. Check all that apply.

a

☐ Mail solicitations

e

☐ Solicitation of non-government grants

b

☐ Internet and e-mail solicitations

f

☐ Solicitation of government grants

c

☐ Phone solicitations

g

☐ Special fundraising events

d

☐ In-person solicitations
- 2a

Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising activities?

☐ Yes ☐ No
- b

If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization. Form 990-EZ filers are not required to complete this table.

(i) Name of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
Total ▶						

3 List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing

Part II

Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other Events	(d) Total Events
		Golf Team Outing (event type)	Golf Classic (event type)	43 (total number)	(Add col (a) through col (c))
Revenue	1	Gross receipts	67,621	62,598	355,968
	2	Less Charitable contributions	35,073	37,275	129,485
	3	Gross income (line 1 minus line 2)	32,548	25,323	226,483
Direct Expenses	4	Cash prizes			
	5	Non-cash prizes	3,633	2,852	6,485
	6	Rent/facility costs	7,332	4,071	23,776
	7	Food and beverages			
	8	Entertainment			
	9	Other direct expenses	26,278	28,150	205,761
	10	Direct expense summary Add lines 4 through 9 in column (d) ▶			
	11	Net income summary Combine lines 3, column d, and line 10. ▶			

Part III

Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming
					(Add col (a) through col (c))
Revenue	1	Gross revenue		19,207	19,207
	2	Cash prizes			
Direct Expenses	3	Non-cash prizes		7,100	7,100
	4	Rent/facility costs			
	5	Other direct expenses		270	270
	6	Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes _____ % <input type="checkbox"/> No
	7	Direct expense summary Add lines 2 through 5 in column (d) ▶			
	8	Net gaming income summary Combine lines 1, column d, and line 7 ▶			

		Yes	No
9	Enter the state(s) in which the organization operates gaming activities <u>CT</u>		
a	Is the organization licensed to operate gaming activities in each of these states?	9a	No
b	If "No," Explain The University conducted occassional raffles for which a connecticut license was not required		
10a	Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?	10a	No
b	If "Yes," Explain _____ _____		
11	Does the organization operate gaming activities with nonmembers?	11	No
12	Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?	12	No

		Yes	No
13	Indicate the percentage of gaming activity operated in		
a	The organization's facility	13a	100 000 %
b	An outside facility	13b	0 %
14	Enter the name and address of the person who prepares the organization's gaming/special events books and records		
Name ▶ Senior Associate Athletics director			
Address ▶ PO Box 208216 New Haven,CT 065208216			
15a	Does the organization have a contract with a third party from whom the organization receives gaming revenue?		15a
b	If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____		No
c	If "Yes," enter name and address		
Name ▶			
Address ▶			
16	Gaming manager information		
Name ▶ NA			
Gaming manager compensation ▶ \$ _____ 0			
Description of services provided ▶			
<input type="checkbox"/> Director/officer <input type="checkbox"/> Employee <input type="checkbox"/> Independent contractor			
17	Mandatory distributions		
a	Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?		17a
b	Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$		

Schedule I
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
Yale University

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

OMB No 1545-0047

2009

Open to Public
Inspection

Employer identification number

06-0646973

Part I

General Information on Grants and Assistance

- 1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No
- 2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II

Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed ▶ ☐

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							

2

Enter total number of section 501(c)(3) and government organizations

▶ 232

3

Enter total number of other organizations

▶ 22

Software ID:

Software Version:

EIN: 06-0646973

Name: Yale University

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Advanced BEHAVIORAL HEALTH INC213 COURT ST 10TH FL middleTOWN,CT 06457	06-1422326		1,017,842				Subaward - Sponsored Research
ADVANCED BEHAVIORAL HEALTH INC213 COURT ST 10TH FL MIDDLETOWN,CT 06457	06-1422326		161,012				Subaward - Clinical Trials
ADVANCED NEUROLOGY SPECIALISTS400 15TH AVE SOUTH STE 206 GREAT FALLS,MT 59405	20-1821484		35,928				Subaward - Sponsored Research
AIDS INTERFAITH NETWORK1303 CHAPEL ST NEW HAVEN,CT 06511	06-1312407	501(C)(3)	119,183				Subaward - Service
AIDS PROJECT NEW HAVEN DBA PROVISIONS IX1302 CHAPEL ST NEW HAVEN,CT 06511	22-2506184	501(c)(3)	366,895				Subaward - Service
ALBANY MEDICAL COLLEGESTROKE PROGRAM MAIL CODE 70 47 NEW SCOTLAND AVE MC -116 ALBANY,NY 12208	14-1338310	501(c)(3)	6,568				Subaward - Sponsored Research
ALBERT EINSTEIN COLLEGE OF MEDICINE (AECOM)1108 BELFER BLDG 1300 MORRIS PARK AVE BRONX,NY 10461	13-1624225	501(c)(3)	243,707				Subaward - Service
ALBERT EINSTEIN COLLEGE OF MEDICINE (AECOM)1108 BELFER BLDG 1300 MORRIS PARK AVE BRONX,NY 10461	13-1624225	501(c)(3)	26,277				Subaward - Sponsored Research
ALLEGHENY-SINGER RESEARCH INSTITUTE2 ALLEGHENY CENTER 11TH FLR PITTSBURGH,PA 152124746	25-1320493	501(c)(3)	52,346				Subaward - Sponsored Research
AMERICAN CANCER SOCIETY NEW ENGLAND DIVISION INC30 SPEEN ST FRAMINGHAM,MA 017011800	05-0271570	501(c)(3)	93,098				Subaward - Service

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
APT FOUNDATION INC1 LONG WHARF SUITE 321 NEW HAVEN,CT 06511	23-7061218	501(c)(3)	943,078				Subaward - Sponsored Research
APT FOUNDATION INC1 LONG WHARF SUITE 321 NEW HAVEN,CT 06511	23-7061218	501(c)(3)	51,635				Subaward - Career Development
ARIZONA STATE UNIVERSITYPO BOX 873503 TEMPE,AZ 852873503	86-0196696	170(b)(1)(a)(v)	23,510				Subaward - Sponsored Research
ARKANSAS CHILDREN'S HOSPITAL RESEARCH INSTITUTEFINANCIAL SERVICES SLOT 663 800 MARSHALL ST LITTLE ROCK,AR 722023591	71-0236857	501(c)(3)	148,733				Subaward - Sponsored Research
ASSOCIATES IN NEUROLOGY PCPRACTICE ADMINISTRATOR 2000 ROOSEVELT RD STE 201 VALPARAISO,IN 46383	30-0165213		28,389				Subaward - Sponsored Research
ATLANTA RESEARCH & EDUCATION FOUNDATION VA MEDICAL CENTER 1670 CLAIRMONT RD 151F DECATUR,GA 30033	58-1857346	501(c)(3)	93,910				Subaward - Sponsored Research
BALTIMORE RESEARCH & EDUCATION FOUNDATION INCBALTIMORE VA MED CTR 10 NORTH GREENE ST BALTIMORE,MD 21201	52-1705976	501(c)(3)	111,886				Subaward - Sponsored Research
BAYLOR COLLEGE OF MEDICINEONE BAYLOR PLAZA BCM206 HOUSTON,TX 77030	74-1613878	501(c)(3)	651,476				Subaward - Sponsored Research
BAYSTATE MEDICAL CENTER INCBAYSTATE MEDICAL CENTER RESEARCH PO BOX 414168 BOSTON,MA 022414168	04-2790311	501(C)(3)	7,424				Subaward - Sponsored Research
BEDFORD VA RESEARCH CORP INC200 SPRINGS RD MS151 BLDG 2A RM 275 275 BEDFORD,MA 01730	04-3512440	501(c)(3)	195,945				Subaward - Sponsored Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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BETH ISRAEL DEACONESS MEDICAL CTR330 BROOKLINE AVE DANA 714 BOSTON,MA 02215	04-2103881	501(c)(3)	101,785				Subaward - Sponsored Research
BOARD OF REGENTS OF THE UNIV OF WI SYSTEM 750 HIGHLAND AVE MADISON,WI 53705	39-6006492	170(b)(1)(a)(v)	9,236				Subaward - Sponsored Research
BOSTON MEDICAL CENTER CORPGRANTS ADMINISTRATION PO BOX 845700 845700 BOSTON,MA 022845700	04-3314093	501(c)(3)	147,725				Subaward - Sponsored Research
BOSTON UNIVERSITY25 BUICK ST 2ND FLR BOSTON,MA 022151301	04-2103547	501(c)(3)	379,682				Subaward - Sponsored Research
BOSTON VA RESEARCH INSTITUTE150 S HUNTINGTON AVE 151 B BOSTON,MA 02130	04-3081524	501(c)(3)	43,464				Subaward - Sponsored Research
BOYCE THOMPSON INSTITUTE FOR PLANT RESEARCH INCATTN ACCOUNTS RECEIVABLE TOWER RD ITHACA,NY 14853	13-1739923	501(c)(3)	79,555				Subaward - Sponsored Research
BRENTWOOD BIOMEDICAL RESEARCH INSTITUTEPO BOX 25027 LOS ANGELES,CA 90025	95-4183712	501(c)(3)	129,808				Subaward - Sponsored Research
BRIDGEPORT BOARD OF EDUCATION45 LYON TERRACE ROOM 302 BRIDGEPORT,CT 06604	06-6001865	170(b)(1)(a)(v)	268,266				Subaward - Sponsored Research
BRIGHAM & WOMEN'S HOSPITALRESEARCH FINANCE PO BOX 3887 BOSTON,MA 022413887	04-2312909	501(c)(3)	314,976				Subaward - Sponsored Research
BRONX VETERAN MEDICAL RESEARCH FOUNDATION 130 W KINGSBRIDGE RD BRONX,NY 10468	13-3699250	501(c)(3)	178,269				Subaward - Sponsored Research

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BROWN UNIVERSITY CASHIERS OFFICE PO BOX 1911 PROVIDENCE, RI 02908	05-0258809	501(c)(3)	308,074				Subaward - Sponsored Research
BURNHAM INSTITUTE FOR MEDICAL RESEARCH 10901 NORTH TORREY PINES RD LA JOLLA, CA 92037	51-0197108	501(c)(3)	816,915				Subaward - Sponsored Research
BZA LLC 50 EAST MAIN ST STE 2 LITTLE FALLS, NJ 07424	20-3952708		23,000				Subaward - Sponsored Research
CAMBRIDGE Public Health Commission 1493 CAMBRIDGE ST CAMBRIDGE, MA 02139	04-3320571	170(b)(1)(a)(v)	20,286				Subaward - Sponsored Research
CASE WESTERN RESERVE UNIVERSITY 11100 EUCLID AVE CLEVELAND, OH 44106	34-1018992	501(c)(3)	103,743				Subaward - Sponsored Research
CENTRAL CONNECTICUT STATE UNIVERSITY 1615 STANLEY ST NEW BRITAIN, CT 06050	06-1303381	170(b)(1)(a)(v)	198,116				Subaward - Sponsored Research
CHILDREN'S HOSPITAL BOSTON 300 LONGWOOD AVE BOSTON, MA 02115	04-2774441	501(C)(3)	406,248				Subaward - Sponsored Research
CHILDREN'S HOSPITAL BOSTON 300 LONGWOOD AVE BOSTON, MA 02115	04-2774441	501(c)(3)	248,037				Subaward - Career Development
CHILDREN'S HOSPITAL BOSTON 300 LONGWOOD AVE BOSTON, MA 02115	04-2774441	501(c)(3)	21,817				Subaward - Training
Children's hospital Medical Center 3333 BURNET AVE CINCINNATI, OH 45229 3039	31-0833936	501(C)(3)	198,155				Subaward - sponsored research

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ChildreN'S HOSPITAL medical center3333 BURNET AVE cincinnati, OH 452293039	31-0833936	501(C)(3)	92,146				Subaward - Career Development
CHILDREN'S NATIONAL MEDICAL CENTER (CNMC) 111 MICHIGAN AVE NW WASHINGTON, DC 200102970	53-0196580	501(C)(3)	37,722				Subaward - Sponsored Research
CHILDREN'S RESEARCH INSTITUTE111 MICHIGAN AVE NW WASHINGTON, DC 200102970	52-1654453	501(C)(3)	52,344				Subaward - Sponsored Research
City of New HavenGateway Center 54 Meadow St New haven, CT 06519	06-6001876	170(b)(1)(a)(v)	20,723				Subaward - Sponsored Research
City of New HavenGateway Center 54 Meadow St new hAVEN, CT 06519	06-6001876	170(b)(1)(a)(v)	9,772				Subaward - General Support
CLEVELAND CLINIC FOUNDATIONPO BOX 931562 CLEVELAND, OH 441935012	34-0714585	501(c)(3)	16,816				Subaward - Sponsored Research
CLIFFORD BEERS CLINIC93 EDWARDS ST NEW HAVEN, CT 06511	06-0646757	501(c)(3)	25,103				Subaward - Service
CLINICAL RESEARCH OF WINSTON-SALEM INC3010 MAPLEWOOD AVE STE 122 WINSTONSALEM, NC 27103	56-2203139		6,540				Subaward - Sponsored Research
COLUMBIA UNIVERSITY 1700 BROADWAY 10TH FLR MC 7409 NEW YORK, NY 10027	13-5598093	501(c)(3)	594,860				Subaward - Sponsored Research
COMMUNITY FOUNDATION FOR GREATER NEW HAVEN 70 AUDUBON ST NEW HAVEN, CT 06510	06-6032106	501(c)(3)	15,000				Subaward - Service

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CONCORDIA MANUFACTURING LLCPO BOX 847469 BOSTON,MA 022847469	05-0248501		52,000				Subaward - Sponsored Research
CONNECTICUT AGRICULTURAL EXPERIMENT STATION123 HUNTINGTON ST BOX 1106 NEW HAVEN,CT 06504	06-6032987	170(b)(1)(a)(v)	42,315				Subaward - Patient Care
COOPER HEALTH SYSTEM CARDIOLOGY RESEARCH ONE COOPER PLAZA 4TH FLR CAMDEN,NJ 08103	21-0634462	501(C)(3)	6,150				Subaward - Sponsored Research
CORNELL SCOTT-HILL HEALTH CORPORATION400 COLUMBUS AVENUE NEW HAVEN,CT 06519	06-0870990	501(C)(3)	249,567				Subaward - Service
CORNELL UNIVERSITY341 Pine Tree Road ITHACA,NY 148510022	15-0532082	501(c)(3)	523,044				Subaward - Sponsored Research
DANA-FARBER CANCER INSTITUTE44 BINNEY ST OFFICE OF TECH TRANSFER BOSTON,MA 02115	04-2263040	501(c)(3)	185,258				Subaward - Sponsored Research
DANA-FARBER CANCER INSTITUTE44 BINNEY ST OFFICE OF TECH TRANSFER BOSTON,MA 02115	04-2263040	501(c)(3)	34,514				Subaward - Patient Care
DARTMOUTH COLLEGE11 ROPE FERRY RD 6210 HANOVER,NH 03755	02-0222111	501(c)(3)	140,639				Subaward - Sponsored Research
DENVER HEALTH & HOSPITAL AUTHORITYPO BOX 17093 DENVER,CO 802170093	84-1343242	170(b)(1)(a)(v)	15,072				Subaward - Sponsored Research
DUKE UNIVERSITYDUMC BOX 3014 RM 0550 DURHAM,NC 27710	56-0532129	501(c)(3)	460,350				Subaward - Sponsored Research

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DUKE UNIVERSITYDUMC BOX 3014 RM 0550 DURHAM,NC 27710	56-0532129	501(c)(3)	123,930				Subaward - Career Development
DUKE UNIVERSITYDUMC BOX 3014 RM 0550 DURHAM,NC 27710	56-0532129	501(c)(3)	43,371				Subaward - Training
EARTH IMAGES FOUNDATION470 CAVOUR ST OAKLAND,CA 94618	77-0323189	501(c)(3)	100,000				Subaward - Sponsored Research
EAST CAROLINA UNIVERSITY1525 W T HARRIS BLVD CHARLOTTE,NC 28275	56-6000403	170(b)(1)(a)(v)	8,472				Subaward - Sponsored Research
EMORY UNIVERSITY1599 CLIFTON RD NE 4TH FLR ATLANTA,GA 30322	58-0566256	501(c)(3)	95,846				Subaward - Sponsored Research
FAIR HAVEN COMMUNITY HEALTH CENTER374 GRAND AVE NEW HAVEN,CT 06513	06-0883545	501(c)(3)	252,859				Subaward - Service
FAIR HAVEN COMMUNITY HEALTH CENTER374 GRAND AVE NEW HAVEN,CT 06513	06-0883545	501(c)(3)	176,646				Subaward - Sponsored Research
FAMILIES UNITED FOR CHILDREN'S MENTAL HEALTH131 MAIN ST EXTENSION MIDDLETOWN,CT 06457	06-1618367	501(c)(3)	151,470				Subaward - Service
FEINSTEIN INSTITUTE FOR MEDICAL RESEARCH350 COMMUNITY DR MANHASSET,NY 11030	11-2673595	501(c)(3)	227,296				Subaward - Sponsored Research
FLORIDA NEUROVASCULAR INSTITUTE4 COLUMBIA DR HMI 200 TAMPA,FL 33606	59-3458987		14,730				Subaward - Sponsored Research

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FOCUS ON RECOVERY UNITED100 RIVERVIEW CENTER SUITE 272 MIDDLETOWN,CT 06457	65-1180221	501(c)(3)	299,847				Subaward - Service
FRED HUTCHINSON CANCER RESEARCH CENTER1100 FAIR VIEW AVE PO BOX 19024 SEATTLE,WA 981091024	23-7156071	501(c)(3)	321,028				Subaward - Sponsored Research
FRIENDS OF TSINGHUA SEM INC DBA TSINGHUA UNIVERSITY1209 ORANGE ST WILMINGTON,DE 19801	31-1782786	501(c)(3)	523,850				Subaward - Sponsored Research
GENERAL HOSPITAL CORP DBA MASS GENERAL HOSP 50 STANIFORD ST STE 1001 BOSTON,MA 02114	04-2697983	501(c)(3)	1,794,966				Subaward - Sponsored Research
GEORGIA TECH REASEARCH CORPORATIONPO BOX 100117 ATLANTA,GA 30384	58-0603146	501(C)(3)	10,323				Subaward - Training
GRIFFIN HOSPITAL130 DIVISION ST DERBY,CT 06418	06-0647014	501(c)(3)	866,204				Subaward - Sponsored Research
GUILFORD NEUROLOGIC ASSOCIATES INC1126 N CHURCH ST STE 200 GREENSBORO,NC 27401	56-0963466		202,947				Subaward - Sponsored Research
HAMILL ASSOCIATES INC 700 CANAL ST HARBOUR SQUARE STAMFORD,CT 06902	13-3804354		60,100				Subaward - Sponsored Research
HARTFORD GAY & LESBIAN HEALTH COLLECTIVEPO BOX 2094 HARTFORD,CT 06145	06-1172441	501(C)(3)	12,500				Subaward - Sponsored Research
HARTFORD HOSPITAL80 SEYMOUR ST PO BOX 5037 HARTFORD,CT 061025037	06-0646668	501(c)(3)	1,720,295				Subaward - Sponsored Research

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HASKINS LABORATORIES INC300 GEORGE ST SUITE 900 NEW HAVEN,CT 06511	13-1628174	501(c)(3)	105,565				Subaward - Sponsored Research
HEALTH RESEARCH INCPO BOX 2966 BUFFALO,NY 142402966	14-1402155	501(c)(3)	109,041				Subaward - Sponsored Research
HENRY FORD HEALTH SYSTEMONE FORD PL 5EF DETROIT,MI 48202	38-1357020	501(c)(3)	66,083				Subaward - Sponsored Research
HISPANOS UNIDOS INC 116 SHERMAN AVE NEW HAVEN,CT 06511	06-1222584	501(C)(3)	139,826				Subaward - Service
IDAHO STATE UNIVERSITY GRANTS CONTRACTS ACCT 921 SOUTH 8TH AVE STOP 8219 POCATELLO,ID 83209	82-6000924	501(c)(3)	14,979				Subaward - Sponsored Research
INDIANA UNIVERSITYPO BOX 66057 INDIANAPOLIS,IN 46266	35-6001673	501(c)(3)	137,001				Subaward - Sponsored Research
INGALLS MEMORIAL HOSPITALCLINICAL RESEARCH CENTER ONE INGALLS DR ROOM 740 HARVEY,IL 60426	36-2170866	501(C)(3)	23,323				Subaward - Sponsored Research
INNOVATIONS FOR POVERTY ACTION101 WHITNEY AVE NEW HAVEN,CT 06510	06-1660068	501(c)(3)	35,084				Subaward - Sponsored Research
INSTITUTE FOR CLINICAL RESEARCH INCPO BOX 29545 WASHINGTON,DC 200170745	52-1336656	501(c)(3)	134,773				Subaward - Sponsored Research
INSTITUTE FOR COMMUNITY RESEARCH2 HARTFORD SQ W STE 100 HARTFORD,CT 061065128	06-0653116	501(c)(3)	28,658				Subaward - Sponsored Research

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IOWA NEUROLOGY RESEARCH INCPO BOX 65433 WEST DES MOINES,IA 50265	92-0179923		16,187				Subaward - Sponsored Research
IOWA STATE UNIVERSITY 3609 ADMINISTRATIVE SVCS BLDG AMES,IA 500113609	42-6004224	501(c)(3)	49,225				Subaward - Sponsored Research
JOHN B PIERCE LABORATORY INC290 CONGRESS AVE NEW HAVEN,CT 06519	06-0646780	501(c)(3)	583,483				Subaward - Sponsored Research
JOHNS HOPKINS UNIVERSITY321 GARLAND HALL 3400 N CHARLES BALTIMORE,MD 21218	52-0595110	501(c)(3)	369,155				Subaward - Sponsored Research
JUNTA FOR PROGRESSIVE ACTION INC169 GRAND AVE NEW HAVEN,CT 06513	23-7066862	501(c)(3)	90,441				Subaward - Sponsored Research
KANSAS STATE UNIVERSITYANDERSON HALL RM 10 MANHATTAN,KS 665060108	48-0771751	501(c)(3)	74,872				Subaward - Sponsored Research
KESSLER MEDICAL REHABILITATION RESEARCH & EDUCATION CENTER1199 PLEASANT VALLEY WAY WEST ORANGE,NJ 070521424	31-1562134	501(c)(3)	44,228				Subaward - Sponsored Research
KNOWLEDGE NETWORKS INC75 REMITTANCE DR STE 6556 CHICAGO,IL 606756556	94-3314617		70,000				Subaward - Sponsored Research
L2 DIAGNOSTICS LLCPO BOX 8175 NEW HAVEN,CT 065300175	06-1509946		97,172				Subaward - Patient Care
L2 DIAGNOSTICS LLCPO BOX 8175 NEW HAVEN,CT 065300175	06-1509946		46,205				Subaward - Sponsored Research

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LA JOLLA INSTITUTE FOR ALLERGY & IMMUNOLOGY 9420 ATHENA CIRCLE LA JOLLA,CA 92037	33-0328688	501(c)(3)	27,500				Subaward - Sponsored Research
LANKENAU INSTITUTE FOR MEDICAL RESEARCH100 LANCASTER AVE WYNNEWOOD,PA 19096	23-2175659	501(c)(3)	55,583				Subaward - Sponsored Research
LIBERTY COMMUNITY SERVICES INC254 COLLEGE ST 2ND FLR NEW HAVEN,CT 06510	22-2849124	501(c)(3)	120,969				Subaward - Service
LITERACY HOW INC100 BROADWAY 2ND FLOOR NORTH HAVEN,CT 06473	27-0776503		27,000				Subaward - Service
LOYOLA UNIVERSITY CHICAGOMAGUIRE CENTER STE 2700 2160 FIRST AVE MAYWOOD,IL 60153	36-1408475	501(c)(3)	26,391				Subaward - Sponsored Research
LSU HEALTH SCIENCES CENTERPO BOX 33932 SHREVEPORT,LA 711303932	72-6087770	501(c)(3)	6,613				Subaward - Sponsored Research
MAINE MEDICAL CENTER 22 BRAMHALL ST PORTLAND,ME 04102	01-0238552	501(c)(3)	109,450				Subaward - Sponsored Research
MAYO CLINIC ROCHESTER PO BOX 4008 ROCHESTER,MN 559034006	41-6011702	501(c)(3)	77,165				Subaward - Sponsored Research
MEDICAL COLLEGE OF GEORGIA RESEARCH INSTITUTE INCPO BOX 945552 ATLANTA,GA 303945552	58-1418202	501(c)(3)	59,100				Subaward - Sponsored Research
MEDICAL COLLEGE OF WISCONSINDEPT OF NEUROLOGY FWC 9200 WEST WISCONSICN AVE MILWAUKEE,WI 53226	39-0806261	501(c)(3)	9,717				Subaward - Sponsored Research

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MELBOURNE INTERNAL MEDICINE ASSOCIATES (MIMA)MIMA CENTURY RESEARCH ASSOC 65 E NASA BLVD 106 MELBOURNE, FL 32901	59-1224281		20,310				Subaward - Sponsored Research
MEMORIAL SLOAN KETTERING CANCER CENTERMSKCC FINANCE GENERAL POST OFFICE PO BOX 26338 NEW YORK, NY 10087	13-1924236	501(C)(3)	49,490				Subaward - Career Development
METHODIST HOSPITAL RESEARCH INSTITUTE6565 FANNIN STE 802 HOUSTON, TX 77030	87-0721923	501(C)(3)	60,299				Subaward - Sponsored Research
METROHEALTH SYSTEMPO Box 73308 CLEVELAND, OH 44193	34-6004382	170(b)(1)(a)(v)	26,704				Subaward - Sponsored Research
MICHIGAN STATE UNIVERSITY301 ADMINISTRATION BLDG EAST LANSING, MI 48824	38-6005984	501(C)(3)	158,478				Subaward - Career Development
MICHIGAN STATE UNIVERSITY301 ADMINISTRATION BLDG EAST LANSING, MI 48824	38-6005984	501(C)(3)	18,165				Subaward - Sponsored Research
MINNEAPOLIS CLINIC OF NEUROLOGY3366 OAKDALE AVE NORTH STE 309 ROBBINSDALE, MN 55422	41-0999094		14,294				Subaward - Sponsored Research
MONTANA STATE UNIVERSITYMSU - PO BOX 174160 BOZEMAN, MT 59717	81-6010045	501(c)(3)	224,590				Subaward - Sponsored Research
MOREHOUSE SCHOOL OF MEDICINE720 WESTVIEW DR SW ATLANTA, GA 30310	58-1438873	501(C)(3)	58,851				Subaward - Sponsored Research
MOUNT SINAI SCHOOL OF MEDICINE1 GUSTAVE L LEVY PL BOX 3500 NEW YORK, NY 100296574	13-6171197	501(C)(3)	84,187				Subaward - Sponsored Research

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NARROWS INSTITUTE FOR BIOMEDICAL RESEARCH 800 POLY PL BROOKLYN,NY 11209	11-3059470	501(C)(3)	128,523				Subaward - Sponsored Research
NEMOURS PHYSICIANS ASSOC10140 CENTURION PARKWAY NORTH JACKSONVILLE,FL 32256	59-0634433	501(C)(3)	268,615				Subaward - Service
NEUROPACE INC1375 SHOREBIRD WAY MOUNTAIN VIEW,CA 94043	22-3550230		67,232				Subaward - Sponsored Research
NEW HAVEN FAMILY ALLIANCE INC370 JAMES ST STE 201 NEW HAVEN,CT 06513	06-1324343	501(C)(3)	253,348				Subaward - Service
NEW HAVEN HOME RECOVERY INC153 EAST ST NEW HAVEN,CT 06511	22-3037451	501(C)(3)	164,057				Subaward - Service
NEW YORK MEDICAL COLLEGEAdministrative Building VALHALLA,NY 10595	13-1099420	501(C)(3)	21,079				Subaward - Sponsored Research
NEW YORK METHODIST HOSPITAL263 7TH AVENUE BROOKLYN,NY 11215	11-1631796	501(C)(3)	27,179				Subaward - Sponsored Research
NEW YORK PUBLIC LIBRARY 188 MADISON AVE FIFTH FLR NEW YORK,NY 100164314	13-1887440	501(C)(3)	62,494				Subaward - Sponsored Research
NEW YORK UNIVERSITY838 BROADWAY 4TH FLOOR NEW YORK,NY 10003	13-5562308	501(C)(3)	22,042				Subaward - Sponsored Research
NEW YORK UNIVERSITY SCHOOL OF MEDICINE545 FIRST AVE SC1 129 SPONSORED PROGRAMS NEW YORK,NY 10016	13-5562308	501(C)(3)	90,499				Subaward - Sponsored Research

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NORTHERN CALIFORNIA INSTITUTE FOR RESEARCH AND EDUCATION INC4150 CLEMENT ST SAN FRANCISCO,CA 94121	94-3084159	501(C)(3)	91,544				Subaward - Sponsored Research
NORTHWESTERN UNIVERSITY633 CLARK ST RM G-547 EVANSTON,IL 602081112	36-2167817	501(C)(3)	253,070				Subaward - Sponsored Research
OHIO STATE UNIVERSITY B030 GRAVES HALL 333 WEST TENTH AVE COLUMBUS,OH 43210	31-1340739	170(b)(1)(a)(v)	8,918				Subaward - Sponsored Research
OKLAHOMA MEDICAL RESEARCH FOUNDATION (OMRF)825 NORTHEAST 13TH ST OKLAHOMA CITY,OK 73104	73-0580274	501(C)(3)	33,421				Subaward - Sponsored Research
OREGON HEALTH & SCIENCE UNIVERSITY (OHSU)3181 SW SAM JACKSON PARK RD LIB PORTLAND,OR 972393098	93-1176109	170(b)(1)(a)(v)	391,910				Subaward - Sponsored Research
OSF ST FRANCIS MEDICAL CENTERATTN CLINICAL RSCH DEPT 80110 530 NE GLEN OAK AVE PEORIA,IL 61637	37-0662569	501(C)(3)	82,231				Subaward - Sponsored Research
PAYSON CENTER FOR CANCER CARE DBA CONCORD HOSPITAL250 PLEASANT ST CONCORD,NH 03301	22-2594672	501(C)(3)	67,347				Subaward - Service
PENNSYLVANIA STATE UNIVERSITY500 UNIVERSITY DR HERSHEY,PA 17033	24-6000376	501(c)(3)	50,586				Subaward - Sponsored Research
PENOBSCOT BAY NEUROLOGY4 GLEN COVE DR STE 102 ROCKPORT,ME 04856	01-0451723		15,518				Subaward - Sponsored Research
PHILIPS Electronics North America CorporationPO BOX 100355 ATLANTA,GA 303840355	13-3429115		155,344				Subaward - Sponsored Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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President and fellows of Harvard College1350 MASSACHUSETTS AVE CAMBRIDGE, MA 02138	04-2103580	501(c)(3)	3,771,680				Subaward - Sponsored Research
PRESIDENT AND TRUSTEES OF BATES COLLEGE2 ANDREWS RD LEWISTON, ME 04240	01-0211781	501(C)(3)	24,580				Subaward - Sponsored Research
Providence Health & Services - Washington101 WEST 8TH AVE SPOKANE, WA 992202555	51-0216586	501(c)(3)	49,425				Subaward - Sponsored Research
PUBLIC HEALTH INSTITUTE 555 12TH ST 10TH FL OAKLAND, CA 94607	94-1646278	501(C)(3)	216,616				Subaward - Sponsored Research
Recovery Network of Programs Inc2 RESEARCH DR STRATFORD, CT 06615	06-0910080	501(C)(3)	107,594				Subaward - Service
REGENERON PHARMACEUTICALS INC 777 OLD SAW MILL RIVER RD BLDG 3/G TARRYTOWN, NY 10591	13-3444607		475,000				Subaward - Sponsored Research
REGENTS OF THE UNIV OF CALIFORNIA2195 HEARST AVE RM 130 BERKELEY, CA 947201103	94-6002123	501(c)(3)	158,897				Subaward - Training
REGENTS OF THE UNIV OF CALIFORNIA2195 HEARST AVE RM 130 BERKELEY, CA 947201103	94-6002123	501(c)(3)	1,341,587				Subaward - Sponsored Research
REGENTS OF THE UNIV OF CALIFORNIA2195 HEARST AVE RM 130 BERKELEY, CA 947201103	94-6002123	501(c)(3)	15,269				Subaward - Career Development
REGENTS OF THE UNIV OF MICHIGAN3089 WOLVERINE TOWER 3003 S STATE STREET ANN ARBOR, MI 481091287	38-6006309	501(c)(3)	106,122				Subaward - Career Development

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REGENTS OF THE UNIV OF MINNESOTA NW 5957 PO BOX 1450 MINNEAPOLIS, MN 55485 5957	41-6007513	501(c)(3)	310,044				Subaward - Sponsored Research
REGENTS OF THE UNIVERSITY OF CA 1855 FOLSOM ST MCB 425 SAN FRANCISCO ,CA 94143 0897	95-6006143	501(c)(3)	13,171				Subaward - Career Development
REGENTS OF THE UNIVERSITY OF CA PO BOX 989062 WEST SACRAMENTO ,CA 95798	94-6036494	501(C)(3)	229,094				Subaward - Sponsored Research
REGIONAL DATA COOPERATIVE OF GREATER NEW HAVEN INC C/O HOLT WEXLER FARNAM LLP 900 CHAPEL ST STE 620 NEW HAVEN,CT 06510	06-1567201	501(C)(3)	5,500				Subaward - Sponsored Research
RESEARCH FOUNDATION FOR MENTAL HYGIENE INC RIVERVIEW CENTER 150 BROADWAY STE 301 MENANDS,NY 12204	14-1410842	501(c)(3)	84,741				Subaward - Sponsored Research
RESEARCH FOUNDATION OF STATE UNIVERSITY OF NY (SUNY) 35 STATE ST ALBANY,NY 12207	14-1368361	501(c)(3)	111,312				Subaward - Sponsored Research
RESEARCH TRIANGLE INSTITUTE PO BOX 900002 RALEIGH,NC 27675 9000	56-0686338	501(c)(3)	13,572				Subaward - Sponsored Research
RESEARCH TRIANGLE INSTITUTE PO BOX 900002 RALEIGH,NC 27675 9000	56-0686338	501(c)(3)	2,217				Subaward - General Support
RUAN NEUROSCIENCE CENTER MERCY MEDICAL CENTER PO BOX 65433 WEST DES MOINES,IA 50265	42-0680448	501(c)(3)	15,227				Subaward - Sponsored Research
RUSH UNIVERSITY 1725 WEST HARRISON ST STE 1106 CHICAGO,IL 60612	36-2174823	501(c)(3)	21,736				Subaward - Sponsored Research

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RUTGERS The state University3 RUTGERS PLAZA 2ND FLR NEW BRUNSWICK, NJ 08901	22-6001086	170(b)(1)(a)(v)	21,658				Subaward - Sponsored Research
SAINT LUKES HOSPITAL 4401 WORNALL RD KANSAS CITY, MO 64111	44-0545297	501(c)(3)	48,327				Subaward - Sponsored Research
SCRIPPS HEALTH DBA SCRIPPS CLINICAL RESEARCH11025 N TORREY PINES RD STE 200 LA JOLLA, CA 92037	95-1684089	501(c)(3)	22,487				Subaward - Sponsored Research
SENTARA MEDICAL GROUP CLINICAL RESEARCH 6161 KEMPSVILLE CIRCLE STE 216 NORFOLK, VA 23502	54-1217184	501(C)(3)	21,185				Subaward - Sponsored Research
SINAI HOSPITAL OF BALTIMORE5051 GREENSPRING AVE STE 300 BAITIMORE, MD 21215	52-0486540	501(c)(3)	8,903				Subaward - Sponsored Research
SMITHSONIAN INSTITUTION1000 Jefferson Drive S W Washington, DC 20560	53-0206027	501(c)(3)	412,807				Subaward - Training
SOUTHERN CONNECTICUT STATE UNIVERSITY501 CRESCENT ST NEW HAVEN, CT 065151355	06-1363115	170(b)(1)(a)(v)	237,340				Subaward - Sponsored Research
Southtown Neurology of Western New York PC NEUROLOGY 3775 SENECA ST WEST SENECA, NY 14224	16-1556670		18,014				Subaward - Sponsored Research
SOUTHWEST FOUNDATION FOR BIOMEDICAL RESEARCHPO BOX 760549 SAN ANTONIO, TX 782450549	74-1109630	501(c)(3)	331,850				Subaward - Sponsored Research
ST JUDE CHILDRENS RESEARCH HOSPITAL (SJCRH)PO BOX 1000 DEPT 949 MEMPHIS, TN 318480949	62-0646012	501(c)(3)	83,771				Subaward - Sponsored Research

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ST LOUIS UNIVERSITY 1438 S GRAND BOULEVARD ROOM 324 ST LOUIS,MO 63104	43-0654872	501(c)(3)	9,001				Subaward - Sponsored Research
STANFORD UNIVERSITY OFF OF SPONSORED RESEARCH 651 SERRA ST RM 260 STANFORD,CA 943054125	94-1156365	501(c)(3)	825,984				Subaward - Sponsored Research
STANFORD UNIVERSITY OFF OF SPONSORED RESEARCH 651 SERRA ST RM 260 STANFORD,CA 943054125	94-1156365	501(c)(3)	31,130				Subaward - Career Development
STATE OF CONNECTICUT 24 WOLCOTT HILL RD WETHERSFIELD,CT 06109	06-1397810	170(b)(1)(a)(v)	108,906				Subaward - Sponsored Research
STEVE FAJEN CONSULTING 127 WEST 96 ST APT 3J NEW YORK,NY 10025	13-3871763		17,700				Subaward - Sponsored Research
STOWERS INSTITUTE FOR MEDICAL REARCHPO BOX 412411 KANSAS CITY,MO 641412411	43-1684454	501(C)(3)	196,704				Subaward - Sponsored Research
SUBSTANCE ABUSE TREATMENT UNIT1 LONG WHARF DR NEW HAVEN,CT 06511	06-6000798	170(b)(1)(a)(v)	252,348				Subaward - Sponsored Research
SUNDANCE RESEARCH INSTITUTEPO BOX 874 SUNDANCE,WY 82729	26-2919036	501(C)(3)	7,320				Subaward - Sponsored Research
TEMPLE UNIVERSITY3401 N BROAD ST 1007 JONES HALL PHILADELPHIA,PA 19140	23-1365971	501(c)(3)	24,869				Subaward - Sponsored Research
TEST TRACK RESEARCH Inc 147 COLUMBIA TURNPIKE STE 303 FLORHAM PARK,NJ 07932	22-3430960		76,775				Subaward - Sponsored Research

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TEXAS ENGINEERING EXPERIMENT STATION 1470 WILLIAM D FITCH PARKWAY COLLEGE STATION,TX 778454645	74-1974733	170(b)(1)(a)(v)	199,819				Subaward - Sponsored Research
THE CHILDREN'S HOSPITAL OF PHILADELPHIA34TH ST CIVIC CTR BLVD PHILADELPHIA,PA 191044388	23-1352166	501(c)(3)	173,860				Subaward - Career Development
THE CHILDREN'S HOSPITAL OF PHILADELPHIA34TH ST CIVIC CTR BLVD PHILADELPHIA,PA 191044388	23-1352166	501(C)(3)	170,762				Subaward - Sponsored Research
THE CONSULTATION CENTER INC389 WHITNEY AVE NEW HAVEN,CT 06511	06-0923986	501(C)(3)	377,741				Subaward - Service
THE CONSULTATION CENTER INC389 WHITNEY AVE NEW HAVEN,CT 06511	06-0923986	501(C)(3)	45,805				Subaward - Career Development
THE CONSULTATION CENTER INC389 WHITNEY AVE NEW HAVEN,CT 06511	06-0923986	501(c)(3)	7,840				Subaward - Sponsored Research
The Hektoen institute of medicine (fka HEKTOEN INSTit FOR MEDICAL RESEARCH)2100 WEST HARRISON ST CHICAGO,IL 60612	36-2244897	501(c)(3)	79,549				Subaward - Sponsored Research
The mind research network formerly the mind institute 1101 YALE BLVD NE ALBUQUERQUE,NM 87106	85-0457562	501(C)(3)	67,539				Subaward - Sponsored Research
THE OHIO STATE UNIVERSITY RESEARCH FOUNDATIONHEALTH SCIENCES OFFICE 8030 GRAVES HALL 333 W 10TH AVE COLUMBUS,OH 432101239	31-6401599	501(c)(3)	25,831				Subaward - Sponsored Research
THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO7703 FLOYD CURL DR MAIL CODE 7703 SAN ANTONIO,TX 782293900	74-1586031	170(b)(1)(a)(v)	565,461				Subaward - Sponsored Research

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TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA3451 WALNUT ST P221 FRANKLIN BLDG PHILADELPHIA, PA 191046205	23-1352685	501(c)(3)	299,131				Subaward - Sponsored Research
TUFTS UNIVERSITY169 HOLLAND ST RM 310 SOMERVILLE,MA 02144	04-2103634	501(c)(3)	167,188				Subaward - Sponsored Research
TULANE UNIVERSITY800 EAST COMMERCE RD STE 203 HARAHAAN,LA 70123	72-0423889	501(c)(3)	496,966				Subaward - Sponsored Research
UC REGENTS OF THE UNIV OF CA (UC REGENTS) CENTRAL CASHIERS OFFICE 0009 9500 GILMAN DR LA JOLLA,CA 920930009	94-6036494	501(c)(3)	454,040				Subaward - Sponsored Research
UNIVERSITY OF ALABAMA AT BIRMINGHAM350 SPARKS CENTER 1720 7TH AVE SOUTH BIRMINGHAM,AL 35233	63-6005396	501(c)(3)	47,493				Subaward - Sponsored Research
UNIVERSITY OF ARIZONA 1119 EAST FOURTH ST TUCSON,AZ 857210081	86-6004791	170(b)(1)(a)(v)	71,293				Subaward - Sponsored Research
UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES 4301 W MARKHAM ST LITTLE ROCK,AK 72205	71-6046242	170(b)(1)(a)(v)	15,018				Subaward - Sponsored Research
UNIVERSITY OF CALIFORNIA IRVINE111 ACADEMY WAY STE 210 IRVINE,CA 926971050	95-2226406	501(c)(3)	46,891				Subaward - Sponsored Research
UNIVERSITY OF CALIFORNIA SAN DIEGO CENTRAL CASHIER 9500 GILMAN DR LA JOLLA,CA 92093	23-7008276	501(c)(3)	77,782				Subaward - Sponsored Research
UNIVERSITY OF CHICAGO CARDIOLOGY SECTION ADMIN 5841 S MARYLAND AVE MC 6080 B604 CHICAGO,IL 60637	36-2177139	501(c)(3)	255,120				Subaward - Sponsored Research

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UNIVERSITY OF CINCINNATI260 STETSON ROOM 2364 CINCINNATI,OH 452670525	31-6000989	501(c)(3)	255,032				Subaward - Sponsored Research
UNIVERSITY OF COLORADO DENVER HEALTH SCIENCE CENTER PO BOX 6508 MAIL STOP F433 AURORA,CO 80045	84-6000555	501(c)(3)	98,976				Subaward - Sponsored Research
UNIVERSITY OF COLORADO DENVER HEALTH SCIENCE CENTER PO BOX 6508 MAIL STOP F433 AURORA,CO 80045	84-6000555	501(c)(3)	63,244				Subaward - Career Development
UNIVERSITY OF CONNECTICUT438 WHITNEY AVE EXTN UNIT 1133 STORRS,CT 062691133	06-0772160	170(b)(1)(a)(v)	209,024				Subaward - Sponsored Research
UNIVERSITY OF CONNECTICUT HEALTH CENTERGRANTS AND CONTRACTS UNIT MC5335 263 FARMINGTON AVE FARMINGTON,CT 060305335	52-1725543	170(b)(1)(a)(v)	874,461				Subaward - Sponsored Research
UNIVERSITY OF FLORIDA 302 TIGERT HALL PO BOX 113001 GAINESVILLE,FL 326113001	59-6002052	170(b)(1)(a)(v)	292,599				Subaward - Sponsored Research
UNIVERSITY OF HAWAII 2530 DOLE STREET SAKAMAKI D200 HONOLULU,HI 968222303	99-6000354	170(b)(1)(a)(v)	52,520				Subaward - Sponsored Research
UNIVERSITY OF ILLINOIS DEPT OF NEUROLOGY 912 S WOOD ST MC 796 ROOM 855 CHICAGO,IL 60612	37-6000511	501(c)(3)	88,118				Subaward - Sponsored Research
UNIVERSITY OF ILLINOIS AT CHICAGODEPT OF NEUROLOGY 912 S WOOD ST MC 796 ROOM 855 CHICAGO,IL 60612	37-6000511	501(c)(3)	130,996				Subaward - Sponsored Research
UNIVERSITY OF IOWA BUSINESS OFFICE 4D JESSUP HALL IOWA CITY,IA 52242	42-6004813	170(b)(1)(a)(v)	181,881				Subaward - Sponsored Research

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UNIVERSITY OF IOWA BUSINESS OFFICE 4D JESSUP HALL IOWA CITY,IA 52242	42-6004813	170(b)(1)(a)(v)	7,100				Subaward - General Support
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION 301 Peterson Service Building Lexington, KY 40506	61-6033693	501(c)(3)	55,048				Subaward - Sponsored Research
UNIVERSITY OF LOUISVILLE RESEARCH FOUNDATIONBELKNAP CAMPUS MS 03-03 212 SERVICE COMPLEX LOUISVILLE, KY 40292	61-1029626	501(c)(3)	80,139				Subaward - Sponsored Research
UNIVERSITY OF MARYLAND CONTRACT GRANT ACCT RM 4101 CHESAPEAKE BLDG COLLEGE PARK, MD 207423141	52-6002033	170(b)(1)(a)(v)	98,512				Subaward - Sponsored Research
UNIVERSITY OF MASSACHUSETTSCTR OF SURVEY RSCH 100 MORRISSEY BLVD BOSTON,MA 021253393	51-0190024	501(c)(3)	134,985				Subaward - Sponsored Research
UNIVERSITY OF MASSACHUSETTS Worcester U OF MASS MED CTR 55 LAKE AVE NORTH NORTH WORCESTER,MA 01655	04-3167352	501(C)(3)	6,945				Subaward - Sponsored Research
UNIVERSITY OF MIAMIPO BOX 025405 MIAMI,FL 331025405	59-0624458	501(c)(3)	136,645				Subaward - Sponsored Research
UNIVERSITY OF MICHIGAN 3089 WOLVERINE TOWER 3003 S STATE STREET ANN ARBOR, MI 481091287	38-6006309	501(c)(3)	112,042				Subaward - Sponsored Research
UNIVERSITY OF MISSISSIPPI MEDICAL CENTERCOMPTROLLERS OFFICE 2500 NORTH STATE ST JACKSON,MS 392164505	64-6008520	501(c)(3)	21,333				Subaward - Sponsored Research
UNIVERSITY OF MISSOURI-KANSASPO BOX 805111 KANSAS CITY,MO 641805111	43-6003859	501(C)(3)	17,649				Subaward - Sponsored Research

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UNIVERSITY OF NEBRASKA 985100 NEBRASKA MEDICAL CENTER OMAHA,NE 681985100	47-0049123	501(c)(3)	48,265				Subaward - Sponsored Research
UNIVERSITY OF NEW MEXICOMSC01 1245 1 UNIVERSITY OF NEW MEXICO ALBUQUERQUE,NM 871310001	85-6000642	501(c)(3)	24,294				Subaward - Sponsored Research
University of North Carolina at Chapel Hill104 Airport Dr Aob Ste 3600 Chapel Hill,NC 25799	56-6001393	501(C)(3)	90,788				subaward - Sponsored Research
UNIVERSITY OF PITTSBURGH350 THACKERAY HALL 139 UNIVERSITY PL PITTSBURGH,PA 152606830	25-0965591	501(C)(3)	700,779				Subaward - Sponsored Research
UNIVERSITY OF PITTSBURGH350 THACKERAY HALL 139 UNIVERSITY PL PITTSBURGH,PA 152606830	25-0965591	501(c)(3)	52,405				Subaward - Career Development
UNIVERSITY OF PUERTO RICOPO BOX 365067 ROOM B622 SAN JUAN,PR 009365067	66-0433776	170(b)(1)(a)(v)	34,195				Subaward - Sponsored Research
UNIVERSITY OF ROCHESTERBOX 681 601 ELMWOOD AVE ROCHESTER,NY 14642	16-0743209	501(c)(3)	246,575				Subaward - Sponsored Research
UNIVERSITY OF SOUTH ALABAMA2451 FILLINGIM ST STE 10-1 MOBILE,AL 35617	63-0477348	501(c)(3)	63,493				Subaward - Sponsored Research
UNIVERSITY OF SOUTH FLORIDAPO BOX 864568 ORLANDO,FL 328864568	59-3102112	170(b)(1)(a)(v)	32,463				Subaward - Sponsored Research
UNIVERSITY OF SOUTHERN CALIFORNIA1520 SAN PABLO ST 3800 LOS ANGELES,CA 90033	95-1642394	501(c)(3)	142,980				Subaward - Sponsored Research

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UNIVERSITY OF TEXAS AT AUSTINPO BOX 7159 AUSTIN, TX 787137159	74-6000203	501(c)(3)	585,254				Subaward - Sponsored Research
UNIVERSITY OF TEXAS SOUTHWESTERN5323 HARRY HINES BLVD DALLAS, TX 753908897	75-6042147	501(c)(3)	123,814				Subaward - Career Development
UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTEROFC OF POST AWARD AMINISTRATION PO BOX 841765 DALLAS, TX 752841765	75-6002868	501(C)(3)	73,955				Subaward - Career Development
UNIVERSITY OF TOLEDO MS1195 3000 ARLINGTON AVENUE TOLEDO, OH 436142998	34-6401483	170(b)(1)(a)(v)	13,323				Subaward - Sponsored Research
UNIVERSITY OF UTAH WILLIAMS BLDG 295 CHIPETA WAY SALT LAKE CITY, UT 84108	87-6000525	501(c)(3)	38,880				Subaward - Sponsored Research
UNIVERSITY OF VERMONT 89 BEAUMONT AVE GIVEN C225 BURLINGTON, VT 05405	03-0179440	501(c)(3)	134,354				Subaward - Sponsored Research
UNIVERSITY OF VIRGINIA Darden School FoundationPO BOX 400195 CHARLOTTESVILLE, VA 229044195	54-6046419	501(c)(3)	279,226				Subaward - Sponsored Research
UNIVERSITY OF WASHINGTON3903 Brooklyn Ave Ne SEATTLE, WA 98105	91-6001537	501(C)(3)	513,673				Subaward - Sponsored Research
UNIVERSITY OF WASHINGTON3903 Brooklyn Ave Ne SEATTLE, WA 98105	91-6001537	501(c)(3)	64,655				Subaward - Career Development
UNIVERSITY OF WISCONSIN-MADISON750 HIGHLAND AVE MADISON, WI 53705	39-1805963	170(b)(1)(a)(v)	18,944				Subaward - Sponsored Research

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VANDERBILT MEDICAL GROUPVU Station B Box 356310 NASHVILLE, TN 372356310	62-0476822	501(c)(3)	37,770				Subaward - Sponsored Research
VANDERBILT UNIVERSITY CONTRACT AND GRANT ACCOUNTING BOX 351591 STATION B NASHVILLE, TN 37235	62-0476822	501(c)(3)	121,789				Subaward - Sponsored Research
VANDERBILT UNIVERSITY MEDICAL CENTER3319 WEST END AVE STE 700 NASHVILLE, TN 372036840	62-0476822	501(c)(3)	613,282				Subaward - Sponsored Research
VANDERBILT UNIVERSITY MEDICAL CENTER3319 WEST END AVE STE 700 NASHVILLE, TN 372036840	62-0476822	501(c)(3)	23,534				Subaward - Career Development
VIA CHRISTI REG MED CTR 1100 N ST FRANCIS 300 WICHITA, KS 67214	48-1172106	501(C)(3)	43,056				Subaward - Sponsored Research
VIA CHRISTI RESEARCH INC1100 N ST FRANCIS 300 WICHITA, KS 67214	48-1091481	501(c)(3)	17,153				Subaward - Sponsored Research
VIRGINIA COMMONWEALTH UNIVERSITYPO BOX 980341 RICHMOND, VA 23298	54-6001758	170(b)(1)(a)(v)	14,950				Subaward - Sponsored Research
WAKE FOREST UNIVERSITY HEALTH SCIENCES MEDICAL CENTER BLVD WINSTONSALEM, NC 27157	22-3849199	501(c)(3)	32,997				Subaward - Sponsored Research
WASHINGTON UNIVERSITY 660 SOUTH EUCLID AVE CB8009 ST LOUIS, MO 63110	43-0653611	501(c)(3)	117,815				Subaward - Training
WASHINGTON UNIVERSITY 660 SOUTH EUCLID AVE CB8009 ST LOUIS, MO 63110	43-0653611	501(C)(3)	101,380				Subaward - Career Development

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WASHINGTON UNIVERSITY 660 SOUTH EUCLID AVE CB8009 ST LOUIS,MO 63110	43-0653611	501(C)(3)	95,575				Subaward - Sponsored Research
WASHINGTON UNIVERSITY 660 SOUTH EUCLID AVE CB8009 ST LOUIS,MO 63110	43-0653611	501(c)(3)	28,037				Subaward - Patient Care
WATERBURY HOSPITALIRB OFFICE 64 ROBBINS ST WATERBURY,CT 06708	06-0665979	501(c)(3)	15,256				Subaward - Sponsored Research
WaterbuRY HOSPITAL Health Center64 Robins St WaterBURY,CT 06721	06-0665979	501(C)(3)	32,044				Subaward - Sponsored Research
WEILL MEDICAL COLLEGE OF CORNELL UNIVERSITY RSCH ACCT DEPT 100 BRoadWAY 8TH FLR FLR NEW YORK,NY 10005	13-1623978	501(c)(3)	82,269				Subaward - Sponsored Research
WESLEYAN UNIVERSITY GRANTS ADMINISTRATION 237 HIGH ST MIDDLETOWN,CT 06459	06-0646959	501(c)(3)	9,846				Subaward - Sponsored Research
WHEELER CLINIC INCORPORATED91 NORTHWEST DR PLAINVILLE,CT 060629906	06-0867065	501(c)(3)	151,068				Subaward - Service
WHITEHEAD INSTITUTE FOR BIOMEDICAL RESEARCH9 CAMBRIDGE CENTER STE 211 CAMBRIDGE,MA 021421479	06-1043412	501(C)(3)	28,778				Subaward - Sponsored Research
WINIFRED MASTERSON BURKE REHABILITATION HOSPITAL785 MAMARONECK AVE RM G- 31A WHITE PLAINS,NY 10605	13-1739937	501(c)(3)	18,061				Subaward - Sponsored Research
WOMEN AND INFANTS HOSPITAL OF RHODE ISLANDDEPT OF FISCAL SERVICES 101 DUDLEY ST PROVIDENCE,RI 029052499	05-0258937	501(c)(3)	133,411				Subaward - Sponsored Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Recipients received less than 5000 grants or assistance			47,557				SUBAWARD - SPONSORED RESEARCH

Schedule J
(Form 990)

Compensation Information

OMB No 1545-0047

2009

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.
▶ Attach to Form 990. ▶ See separate instructions.

Name of the organization Yale University	Employer identification number 06-0646973
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Part I

Questions Regarding Compensation

	Yes	No
1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items <div><div><input checked="" type="checkbox"/> First-class or charter travel</div><div><input checked="" type="checkbox"/> Housing allowance or residence for personal use</div><div><input checked="" type="checkbox"/> Travel for companions</div><div><input type="checkbox"/> Payments for business use of personal residence</div><div><input checked="" type="checkbox"/> Tax idemnification and gross-up payments</div><div><input checked="" type="checkbox"/> Health or social club dues or initiation fees</div><div><input type="checkbox"/> Discretionary spending account</div><div><input type="checkbox"/> Personal services (e g , maid, chauffeur, chef)</div></div>		
b If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all the expenses described above? If "No," complete Part III to explain	Yes	
2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	Yes	
3 Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply <div><div><input checked="" type="checkbox"/> Compensation committee</div><div><input type="checkbox"/> Written employment contract</div><div><input checked="" type="checkbox"/> Independent compensation consultant</div><div><input checked="" type="checkbox"/> Compensation survey or study</div><div><input checked="" type="checkbox"/> Form 990 of other organizations</div><div><input checked="" type="checkbox"/> Approval by the board or compensation committee</div></div>		
4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization <div><div>a Receive a severance payment or change-of-control payment?</div><div>b Participate in, or receive payment from, a supplemental nonqualified retirement plan?</div><div>c Participate in, or receive payment from, an equity-based compensation arrangement?</div></div> If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III		No
Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.		
5 For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of <div><div>a The organization?</div><div>b Any related organization?</div></div> If "Yes," to line 5a or 5b, describe in Part III		No
6 For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of <div><div>a The organization?</div><div>b Any related organization?</div></div> If "Yes," to line 6a or 6b, describe in Part III		No
7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	Yes	
8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III	Yes	
9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	Yes	

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

[illegible]

Part III

Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
	Part I, Line 1a	Part I, Line 1a Each one of the benefits that was checked was provided pursuant to a written policy. First-class or charter travel. On occasion during the calendar year 2009, the University chartered private aircraft when deemed necessary for the conduct of University business, pursuant to a Corporation policy in effect for the duration of the Capital Campaign. Certain officers and key employees travelled on such flights in 2009 in accordance with the policy. Because the cost of the Chartered Travel was paid pursuant to an accountable plan, amounts were not reported as taxable compensation to the listed individuals. Travel for companions. The spouse of one officer on occasion accompanied the officer on University business. The primary purpose of the spouse's travel was to conduct University business by representing the University at official functions. The cost of travel was paid pursuant to an accountable plan and the amounts therefore were not reported as taxable compensation to the listed individual. Tax indemnification and gross-up payments. Three officers and one key employee received tax gross-up payments during the calendar year 2009 for certain limited taxable benefits based on their estimated related tax obligations. These payments were treated as taxable compensation to the listed individuals. Housing allowance or residence for personal use. Two officers were provided University residences for personal use during the calendar year 2009. The values of the residences were determined pursuant to IRC Section 119(d), as required, and treated as taxable compensation. In addition, one highly compensated person was provided a taxable housing allowance during calendar year 2009. Health or social club dues or initiation fees. The University paid annual club dues for one officer. The amount was treated as taxable compensation.
	Part I, Line 4a	Part I, Line 4b Certain listed persons participated in supplemental nonqualified retirement plans during the calendar year 2009 as described below. The University has agreed to supplement the President's retirement income by providing an additional retirement benefit equal in value to 75% of his final salary as President for a post-retirement term of 20 years minus the annuity value of other employer-provided retirement benefits, subject to vesting conditions based on continuing service to the University. An annual accrual for this benefit, previously approved by the University based upon service as President, is included in Schedule J, Part II, column (c). In addition, the University awarded bonuses to two key employees taxed in 2009, subject to deferred payment with adjustments upward or downward depending on performance of the endowment. The bonuses for 2009 are included in Schedule J, Part II, column (B)(ii). Schedule J, Part I, Line 7. The University paid two key employees and two highly compensated employees bonuses awarded in base years under a long term incentive compensation plan which provides for deferred vesting and for payment as described in the note to schedule J, Part II, column (c), below. In addition, the University paid three highly compensated employees additional compensation pursuant to clinical incentive plans. Schedule J, Part I, Line 8. There were four officers and two key employees with respect to whom amounts were reported in Part VII where those amounts were paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs. Section 53.4958-4(a)(3). In each instance, the compensation was also approved pursuant to processes consistent with the rebuttable presumption procedure described in Regs. Section 53.4958-6(c).
Supplemental Information	Part III	SCHEDULE J, PART II (GENERAL NOTES). COLUMN (C) FOR TWO EMPLOYEES IN THE INVESTMENTS OFFICE WHO ARE LISTED IN SCHEDULE J, THE AMOUNT REPORTED IN COLUMN (C) INCLUDES AMOUNTS SUBJECT TO VESTING, CONTINGENT ON THE PERFORMANCE OF FUTURE SERVICES, AND MAY CHANGE UPWARD OR DOWNWARD DEPENDING ON PERFORMANCE OF THE ENDOWMENT PRIOR TO VESTING AND PAYMENT. THE FINAL AMOUNT WILL BE REPORTED IN COLUMN (B)(II) OF SCHEDULE J, FORM 990 FOR THE YEAR IN WHICH THE COMPENSATION AMOUNT IS PAID. COLUMN (D) IN ADDITION TO THE EMPLOYER COST OF HEALTH, DENTAL AND OTHER NONTAXABLE BENEFITS AVAILABLE ON A NONDISCRIMINATORY BASIS, COLUMN (D) INCLUDES AMOUNTS AWARDED AS SCHOLARSHIP GRANTS TO CHILDREN OF EMPLOYEES UNDER THE UNIVERSITY'S NONDISCRIMINATORY QUALIFIED TUITION REDUCTION PLAN COVERING ALL ELIGIBLE FACULTY AND STAFF WITH SIX OR MORE YEARS OF SERVICE. COLUMN (F) COMPENSATION REPORTED IN PRIOR FORM 990. THIS AMOUNT IS ALSO INCLUDED IN COLUMN (E), PART II. IT IS, THEREFORE, DOUBLE REPORTED IN PART II AND DOES NOT REPRESENT ADDITIONAL COMPENSATION.

Software ID:
Software Version:
EIN: 06-0646973
Name: Yale University

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
Richard C Levin	(i)	1,042,049	0	145,985	423,553	16,062	1,627,649	0
	(ii)	0	0	0	0	0	0	0
Bruce D Alexander	(i)	430,322	0	53,987	26,080	20,067	530,456	0
	(ii)	0	0	0	0	0	0	0
Shauna R King	(i)	498,741	0	24,320	27,955	6,367	557,383	0
	(ii)	0	0	0	0	0	0	0
Linda K Lorimer	(i)	508,799	0	978	27,955	24,313	562,045	0
	(ii)	0	0	0	0	0	0	0
Michael A Peel	(i)	433,694	0	53,559	28,355	18,119	533,727	0
	(ii)	0	0	0	0	0	0	0
Ingeborg T Reichenbach	(i)	448,683	0	99,996	26,080	6,426	581,185	0
	(ii)	0	0	0	0	0	0	0
Dorothy K Robinson	(i)	497,555	0	0	27,955	7,623	533,133	0
	(ii)	0	0	0	0	0	0	0
Peter Salovey	(i)	522,544	0	0	27,955	10,567	561,066	0
	(ii)	0	0	0	0	0	0	0
Robert J Alpern	(i)	647,949	0	25,080	27,955	2,651	703,635	0
	(ii)	0	0	0	0	0	0	0
John H Bollier	(i)	328,609	0	0	26,080	32,121	386,810	0
	(ii)	0	0	0	0	0	0	0
Michael J Donoghue	(i)	341,685	0	0	23,451	18,846	383,982	0
	(ii)	0	0	0	0	0	0	0
David F Swensen	(i)	781,460	2,999,664	15,000	27,955	51,861	3,875,940	1,500,000
	(ii)	0	0	0	0	0	0	0
Dean J Takahashi	(i)	598,513	2,010,849	0	27,955	2,085	2,639,402	950,000
	(ii)	0	0	0	0	0	0	0
Sukru H Emre	(i)	618,210	384,825	12,000	27,955	35,593	1,078,583	0
	(ii)	0	0	0	0	0	0	0
Alan S Forman	(i)	541,637	573,188	0	374,622	24,448	1,513,895	308,333
	(ii)	0	0	0	0	0	0	0
David J Leffell	(i)	721,069	697,538	0	27,955	21,634	1,468,196	0
	(ii)	0	0	0	0	0	0	0
Thomas J Rutherford	(i)	233,104	1,015,938	0	27,017	9,530	1,285,589	0
	(ii)	0	0	0	0	0	0	0
Timothy Sullivan	(i)	589,230	605,551	0	341,288	42,206	1,578,275	345,000
	(ii)	0	0	0	0	0	0	0
Susan L Carney	(i)	229,990	0	0	26,080	48,396	304,466	0
	(ii)	0	0	0	0	0	0	0
Andrew D Hamilton	(i)	389,309	0	0	13,736	11,197	414,242	0
	(ii)	0	0	0	0	0	0	0
Joan E O'Neill	(i)	257,337	0	0	26,080	33,129	316,546	0
	(ii)	0	0	0	0	0	0	0

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
Yale University

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Schedule O (Form 990).
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public
Inspection

Employer identification number

06-0646973

Part I

Bond Issues

(a) Issuer Name	(b) Issuer EIN	(c) CUSIP #	(d) Date Issued	(e) Issue Price	(f) Description of Purpose	(g) Defeased		(h) On Behalf of Issuer	
						Yes	No	Yes	No
A State of Connecticut Health and Educational Facilities Authority	06-0806186	20774LYY5	01-08-2003	100,000,000	To finance facilities, additions, improvements and renovations		X		X
B State of Connecticut Health and Educational Facilities Authority	06-0806186	20774LZB4	01-08-2003	250,000,000	To finance facilities, additions, improvements and renovations		X		X
C State of Connecticut Health and Educational Facilities Authority	06-0806186	20774L6B6	10-05-2005	211,030,000	To finance facilities, additions, improvements and renovations		X		X
D State of Connecticut Health and Educational Facilities Authority	06-0806186	20774L6C4	10-05-2005	100,000,000	To finance facilities, additions, improvements and renovations		X		X
E State of Connecticut Health and Educational Facilities Authority	06-0806186	20774UPP4	10-04-2007	414,292,000	To finance facilities, additions, improvements and renovations		X		X

Part II

Proceeds

1 Total proceeds of issue	A		B		C		D		E	
	101,210,745		252,221,323		219,949,777		104,887,356		424,106,796	
2 Gross proceeds in reserve funds										
3 Proceeds in refunding or defeasance escrows										
4 Other unspent proceeds										
5 Issuance costs from proceeds										
6 Working capital expenditures from proceeds										
7 Capital expenditures from proceeds	101,210,745		252,221,323		219,949,777		104,887,356		424,106,796	
8 Year of substantial completion	2003		2003		2005		2005		2007	
9 Were the bonds issued as part of a current refunding issue?	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
		X		X		X		X		X
10 Were the bonds issued as part of an advance refunding issue?		X		X		X		X		X
11 Has the final allocation of proceeds been made?	X		X		X		X		X	
12 Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X		X	

Part III

Private Business Use

	A		B		C		D		E	
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
1 Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		X	X	
2 Are there any lease arrangements with respect to the financed property which may result in private business use?	X		X		X		X		X	

Part III

Private Business Use (Continued)

		A		B		C		D		E	
		Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts with respect to the financed property which may result in private business use?	X		X		X		X		X	
3b	Are there any research agreements with respect to the financed property which may result in private business use?	X		X		X		X		X	
3c	Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property?	X		X		X		X		X	
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	0 010 %		0 190 %		0 520 %		0 360 %		0 340 %	
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government	0 020 %		0 040 %		0 030 %		0 060 %		0 060 %	
6	Total of lines 4 and 5	0 030 %		0 230 %		0 550 %		0 420 %		0 400 %	
7	Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?	X		X		X		X		X	

Part IV

Arbitrage

		A		B		C		D		E	
		Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
1	Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue?		X		X		X		X		X
2	Is the bond issue a variable rate issue?		X	X			X	X			X
3a	Has the organization or the governmental issuer identified a hedge with respect to the bond issue on its books and records?		X		X		X		X		X
b	Name of provider										
c	Term of hedge										
4a	Were gross proceeds invested in a GIC?		X		X		X		X		X
b	Name of provider										
c	Term of GIC										
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?										
5	Were any gross proceeds invested beyond an available temporary period?		X		X		X		X		X
6	Did the bond issue qualify for an exception to rebate?		X	X		X		X		X	

Schedule K
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
Yale University

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Schedule O (Form 990).
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public
Inspection

Employer identification number
06-0646973

Part I

Bond Issues

	(a) Issuer Name	(b) Issuer EIN	(c) CUSIP #	(d) Date Issued	(e) Issue Price	(f) Description of Purpose	(g) Defeased		(h) On Behalf of Issuer	
							Yes	No	Yes	No
A	State of Connecticut Health and Educational Facilities Authority	06-0806186	20774UPQ2	10-04-2007	200,000,000	To finance facilities, additions, improvements and renovations		X		X
B	state of connecticut Health and Educational Facilities Authority	06-0806186	20774UU60	02-24-2010	579,984,034	to finance facilities, additions, improvements and renovations		X		X

Part II

Proceeds

1	Total proceeds of issue	A		B		C		D		E	
		204,478,448		580,047,187							
2	Gross proceeds in reserve funds	274,817,338		274,817,338							
3	Proceeds in refunding or defeasance escrows	89,516,817		89,516,817							
4	Other unspent proceeds										
5	Issuance costs from proceeds										
6	Working capital expenditures from proceeds										
7	Capital expenditures from proceeds	204,478,448		215,713,032							
8	Year of substantial completion	2007		2010							
		Yes	No	Yes	No						
9	Were the bonds issued as part of a current refunding issue?		X	X							
10	Were the bonds issued as part of an advance refunding issue?		X		X						
11	Has the final allocation of proceeds been made?	X			X						
12	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X							

Part III

Private Business Use

		A		B		C		D		E	
		Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X						
2	Are there any lease arrangements with respect to the financed property which may result in private business use?	X		X							

Part III

Private Business Use (Continued)

		A		B		C		D		E	
		Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts with respect to the financed property which may result in private business use?	X		X							
3b	Are there any research agreements with respect to the financed property which may result in private business use?	X		X							
3c	Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property?	X		X							
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	0 120 %		0 780 %							
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government	0 020 %		0 %							
6	Total of lines 4 and 5	0 140 %		0 780 %							
7	Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?	X		X							

Part IV

Arbitrage

		A		B		C		D		E	
		Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
1	Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue?		X		X						
2	Is the bond issue a variable rate issue?		X	X							
3a	Has the organization or the governmental issuer identified a hedge with respect to the bond issue on its books and records?		X		X						
b	Name of provider										
c	Term of hedge										
4a	Were gross proceeds invested in a GIC?		X		X						
b	Name of provider										
c	Term of GIC										
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?										
5	Were any gross proceeds invested beyond an available temporary period?		X		X						
6	Did the bond issue qualify for an exception to rebate?	X		X							

Schedule L
(Form 990 or 990-EZ)

Transactions with Interested Persons

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
Yale University

Employer identification number
06-0646973

Part I Excess Benefit Transactions (section 501(c)(3) and section 501 (c)(4) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1	(a) Name of disqualified person	(b) Description of transaction	(c) Corrected?	
			Yes	No

2 Enter the amount of tax imposed on the organization managers or disqualified persons during the year under section 4958 ► \$ _____

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization ► \$ _____

Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 26, or Form 990-EZ, Part V, line 38a

(a) Name of interested person and purpose	(b) Loan to or from the organization?		(c)Original principal amount	(d)Balance due	(e) In default?		(f) Approved by board or committee?		(g)Written agreement?	
	To	From			Yes	No	Yes	No	Yes	No
Robert Blocker										
Housing		X	200,000	108,333		No		No	Yes	
Mark Lafontaine										
Housing		X	150,000	104,484		No		No	Yes	
I Reichenbach										
Housing		X	425,000	150,011		No	Yes		Yes	
T Kyle Vanderlick										
housing		X	100,000	100,000		No		No	Yes	
T Kyle Vanderlick										
housing		X	300,000	150,000		No		No	Yes	
Total			► \$ 612,828							

Part III Grants or Assistance Benefitting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b)Relationship between interested person and the organization	(c)Amount of grant or type of assistance
Scholarship Grants		83,590

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
See Additional Data Table					

Additional Data

Software ID:
Software Version:
EIN: 06-0646973
Name: Yale University

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction \$	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
ABBOTT LABORATORIES	(See Sch O) Robert Alpern, a key employee, is a director	199,566	(See Sch O) Purchases of pharmaceutical supplies in the ordinary course of business		No
AMERICAN EXPRESS	(See Sch O) President Levin is a director	1,092,171	(See Sch O) Travel service fees paid in the ordinary course of business		No
Beckman Coulter Inc	(See Sch O) Peter Dervan, a trustee, is a director	413,821	(See Sch O) Medical Equipment Purchases in the ordinary course of business		No
PepsiCo	(See Sch O) Indra Nooyi, a Trustee, is chairman and CEO	234,485	(See sch O) Food and beverage purchases from Pepsico and its divisions in the ordinary course of business The University also received sponsored research revenue from Pepsico in the amount of \$210,121		No
Rachelle Alpern	(See Sch O) Family member of Robert Alpern, a Key Employee	48,079	(See Sch O) compensation and benefits, coordinator, epidemiology and public health		No
Jane Levin	(see Sch O) Spouse of President Levin	137,955	(See Sch O) Compensation and benefits, including faculty services as senior Lecturer		No
Patricia Preisig	(See Sch O) Spouse of Robert Alpern, a Key Employee	170,863	(see Sch O) Compensation and benefits, Professor, Internal Medicine Nephrology and Physiology		No
Wendy Sharp	(See Sch O) Spouse of Dean Takahashi, a Key Employee	85,449	(see Sch O) Compensation and benefits, director of chamber music, lecturer school of music		No

SCHEDULE M
(Form 990)

NonCash Contributions

OMB No 1545-0047

2009

Open to Public Inspection

►Complete if the organization answered "Yes" on Form 990, Part IV, lines 29 or 30.
► Attach to Form 990.

Name of the organization
Yale University

Employer identification number
06-0646973

Part I

Types of Property

	(a) Check if applicable	(b) Number of Contributions	(c) Revenues reported on Form 990, Part VIII, line 1g	(d) Method of determining revenues
1 Art—Works of art	X	197	0	
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications	X		0	
5 Clothing and household goods	X		0	
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	823	25,322,268	Fair Value
10 Securities—Closely held stock	X	8	182,217	Sale of Comp Property
11 Securities—Partnership, LLC, or trust interests	X	1	0	
12 Securities—Miscellaneous	X	3	3,804,193	sale of comp property
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential	X	1	3,800,000	appraisal
16 Real estate—Commercial	X	1	0	
17 Real estate—Other	X	1	0	
18 Collectibles	X	4	0	
19 Food inventory				
20 Drugs and medical supplies	X	1	0	
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
Opera				
25 Other ► (Tickets)	X	1	0	
26 Other ► ()				
27 Other ► ()				
28 Other ► ()				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement

29

109

	Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?		No
b If "Yes," describe the arrangement in Part II		
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	Yes	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell non-cash contributions?	Yes	
b If "Yes," describe in Part II		
33 If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II		

Part II

Supplemental Information. Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
Method for Determining Number of Contributors	Part I, Column (b)	Amounts represent the number of non-cash contributions received and recorded in the central contribution database of the university
Third Party Use	Part I, Line 32b	Occasionally, the University engages third parties (e g , real estate brokers, auction houses, etc) to sell certain non cash contributions, such as real estate During the fiscal year, the university sold donated stock using a bank as its broker
Non Reporting of Revenue	Part I, Line 33	As permitted under ASC 310, the university does not capitalize its collections, including works of art, literary works, historical treasures and artifacts that are maintained in its museums and libraries Non-cash contributions of this nature are, therefore, not recognized as revenue for financial statement purposes These collections are protected and preserved for public exhibition, education, research and the furtherance of public service other non-cash contributions with no readily ascertainable fair value are not recognized as revenue for financial statement purposes if deemed immaterial

Software ID:
Software Version:
EIN: 06-0646973
Name: Yale University

SCHEDULE O
(Form 990)

Supplemental Information to Form 990

OMB No 1545-0047

2009

Open to Public Inspection

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990.

Name of the organization Yale University	Employer identification number 06-0646973
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Identifier	Return Reference	Explanation
Form 990, Part VI, Section A, line 1		There are two ex-officio Trustees, the Governor of Connecticut and the Lieutenant Governor of Connecticut Historically, these individuals do not participate in governance activities at the University, and they are reported as independent

Identifier	Return Reference	Explanation
Form 990, Part VI, Section A, line 2		Dorothy K Robinson and David F Swensen are trustees of the same organization

Identifier	Return Reference	Explanation
Form 990, Part VI, Section A, line 7a		The governing body includes six alumni trustees who are elected by the alumni

Identifier	Return Reference	Explanation
Form 990, Part VI, Section B, line 11		The Corporation Audit Committee, comprised of four members, met with members of senior management and University Tax Office personnel to review the Form 990 prior to filing A copy of the final Form 990 was provided to the University's governing body prior to filing with the Internal Revenue Service

Identifier	Return Reference	Explanation
Form 990, Part VI, Section B, line 12c		The Yale University Conflict of Interest Policy obligates each officer, trustee, and key employee to promptly disclose any actual or potential conflict of interest as defined in the Policy Each such person must sign a statement annually affirming that he or she has received a copy of the Conflict of Interest Policy, has read and understands the Policy, agrees to comply with the Policy, and understands that the Corporation is charitable and in order to maintain its exemption it must engage primarily in activities which accomplish one or more of its tax-exempt purposes In connection with any actual or possible conflict of interest, an interested person must disclose the existence of his or her financial interest and be given the opportunity to disclose all material facts to the director and members of the committee with governing board delegated powers considering the proposed transaction or arrangement After disclosure of a potential conflict of interest, the interested person is excused from the governing board or committee meeting while the determination of a conflict of interest is made, and is recused from participating in any vote on the matter

Identifier	Return Reference	Explanation
Form 990, Part VI, Section B, line 15		The process for determining the compensation of the President included initial review by the Committee on Compensation (the Compensation Committee) of the Yale Corporation (Yale University's governing board), a recommendation voted by the Committee to the Yale Corporation, and full review and vote by the Yale Corporation Both reviews included review of the compensation of similarly qualified individuals in comparable positions at other universities, as compiled by a professional compensation consultant retained by the Compensation Committee for the purpose, and review of information on such comparable positions from IRS Forms 990 for similar organizations, both reviews considered such data in light of the performance of the President, which was reviewed by the Compensation Committee and by the Yale Corporation The proceedings of the Compensation Committee, and the proceedings of the Yale Corporation, each were documented in minutes of the respective body, contemporaneously adopted and retained in the records of the respective body, along with the data on comparable compensation that was relied upon The process for determining compensation of the Officers consisted of review by the Compensation Committee of the recommendations made by the President, considering the performance of each Officer, and review of data on compensation of similarly qualified individuals in comparable positions at similar organizations, as collected by a professional compensation consultant through surveys, the Compensation Committee voted recommendations on compensation of such individuals to the Yale Corporation The Yale Corporation received the recommendations of the Compensation Committee, and discussed these with the President, who reviewed the performance of each Officer with the Yale Corporation, and also the comparable compensation information The Yale Corporation then voted on the compensation of each Officer The proceedings of the Compensation Committee and of the Corporation each were documented in minutes contemporaneously adopted and retained in the records of the respective body, along with the data on comparable compensation that was relied upon Of the key employees, the compensation of the Dean, School of Medicine was recommended by the President to the Compensation Committee, and reviewed by that Committee in conjunction with its review of the performance of the Dean and consideration of data on the compensation of similarly qualified individuals in comparable positions at other institutions compiled by an independent compensation consultant The Compensation Committee voted to approve the compensation, and contemporaneously documented its proceedings in minutes retained in its records along with the compensation data relied upon With respect to the Chief Investments Officer and Senior Director of Investments, the Compensation Committee voted to approve the compensation after consideration of the recommendations of the President and of the following review of the performance of each, investment performance of the Yale endowment over designated periods, other performance-related information, and an analysis of the compensation of similarly qualified individuals in comparable positions prepared by an independent compensation consultant retained for the purpose The Compensation Committee contemporaneously documented its proceedings in minutes retained in its records along with the compensation data relied upon In the case of other key employees, compensation decisions were made by the employee's supervisor in accordance with University policies and procedures No member of the Yale Corporation who had a conflict of interest with respect to a compensation arrangement described above (i.e., by virtue of a family or business relationship) participated in the Compensation Committee review or the vote of the Yale Corporation with respect to such compensation arrangement

Identifier	Return Reference	Explanation
Form 990, Part VI, Section C, line 19		Form 990, Part VI, Section C, Line 19 The University's governing documents, including any amendments, financial statements and standards of business conduct are available on the University's website or upon request

Identifier	Return Reference	Explanation
Part VII, Section A		The list below provides the estimated average hours per week that individuals listed on Part VII, Line 1A devoted to related organizations These individuals were not compensated by the related organizations Richard C Levin 20 hours Shauna R King 12 hours Linda K Lorimer 30 hours Ingeborg T Reichenbach 02 hours Dorothy K Robinson 02 hours Peter Salovey 10 hour David F Swensen 54 hours Dean J Takahashi 40 hours Alan S Forman 40 hours

Identifier	Return Reference	Explanation
		Schedule L, Part II All loans reported on Schedule L, Part II were made and approved pursuant to University compensation policies

Identifier	Return Reference	Explanation
		Schedule L, Part III The scholarship grants represent amounts awarded to children of interested persons under the University's nondiscriminatory qualified tuition reduction plan covering all eligible faculty and staff with six or more years of service

Identifier	Return Reference	Explanation
Schedule K, Part I, Bond Issue		Column (F) Description of Purpose CHEFA Bonds Issued 2/24/2010 were issued to finance facilities, additions, improvements, and renovations and to defease CHEFA Series W bonds issued 4/2002 Schedule K, Part III, Private Business Use, Questions 4 & 5 A - CHEFA Bonds Issued 01/08/03 4 Percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government was 0 0068% 5 Percentage of Financed Property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government was 0 0230% B - CHEFA Bonds Issued 01/08/03 4 Percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government was 0 1850% 5 Percentage of Financed Property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government was 0 0358% C - CHEFA Bonds Issued 10/05/05 4 Percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government was 0 5221% 5 Percentage of Financed Property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government was 0 0292% D - CHEF Bonds Issued 10/05/05 4 Percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government was 0 3604% 5 Percentage of Financed Property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government was 0 0621% E - CHEFA Bonds Issued 10/04/07 4 Percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government was 0 3411% 5 Percentage of Financed Property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government was 0 0566% F - CHEFA Bonds Issued 10/04/07 4 Percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government was 0 1228% 5 Percentage of Financed Property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government was 0 0162% G - CHEFA Bonds Issued 02/24/10 A portion of the 2/24/2010 issue was a current refunding of a pre-2003 issue Only the Private Business Use pertaining to the new money portion of the 2/24/2010 issue is reported 4 Percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government was 0 7810% 5 Percentage of Financed Property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government was 0 0039% Schedule K, Part IV, Arbitrage, Question 6, Bond A - CHEFA Bonds Issued 01/08/03 A Portion of CUSIP#20774LYY5 issued 01/08/03 relating to the Construction Fund is subject to rebate The rebate liability computed at the first computation date of January 7, 2008 was negative It is not anticipated that there will be positive liabilities in the future Schedule K, Part IV, Arbitrage, Question 6, Bond B, Second Page - CHEFA Bonds Issued 02/24/10 A portion of the 2/24/2010 issuance relating to the Construction fund is subject to the 2-year spending exception from rebate The funds will be exempt from rebate provided the University spends the proceeds in accordance with a specified schedule At this time the University expects that the issue will meet the requirements to qualify for an exemption to rebate

Identifier	Return Reference	Explanation
Form 990, Part IV, Line 12		The University's financial statements were prepared in accordance with generally accepted accounting principles (GAAP) and were audited by an independent accounting firm Because its audited financial statements were prepared on a consolidated basis, the instructions require the University to respond "no" to this question Form 990, Part VII, Section A, Column (B) The number of hours reported for each listed person is an estimate The estimate for Trustees includes meeting time and preparation, as well as attendance at certain official University functions during the year, but does not include travel time or attendance at other events as Trustees Form 990, Part VII, Section B, Column (C) The amount reported represents direct cash payments made during the calendar year 2009 to independent contractors for services For construction services, the fees represent the entire contract cost Form 990, Part IX, Line 24 Miscellaneous expenses include \$104,294 of unrelated business income tax

Identifier	Return Reference	Explanation
Form 990, Part X		Certain Beginning of Year Balance sheet amounts have been restated consistent with audited financial statements

Identifier	Return Reference	Explanation
Schedule R, Part I-IV		Name, address and EIN The Form 990, Schedule R, Lists the name of each entity as of the date of the Form 990 filing Schedule R, Part II General Note There is no requirement that a supporting organization notify the organization it supports of the supporting organization's existence Part II includes those IRC Section 509(a)(3) supporting organizations of Yale University of which the University is aw are Schedule R, Parts II and III General Note Disproportionate allocations indicated in column (H) of Part III generally relate to) a general partner's typical carried interest with respect to private fund investments or ii) allocations among 501(c)(3) organizations that are partners or members in an investment entity Schedule R, Part IV Split interest charitable trusts which may distribute funds to the University are not included in Part IV Distributions from these trusts are reported on Part VIII Line 1h (Contributions, gifts, grants and other similar amounts) Schedule R, Part V General Note This schedule reflects all transfers by Yale University to corporations, partnerships, or other entities controlled by Yale as defined in IRC Section 512(b)(13) and related tax exempt organizations not described in IRC Section 501(c)(3) In some instances, these entities made further transfers to other entities controlled by them or Yale University In addition, this schedule reflects all transfers to Yale University by corporations, partnerships, or other entities controlled by Yale as defined in IRC Section 512(b)(13) and related tax exempt organizations not described in IRC Section 501(c)(3) In some instances, these entities received transfers from other entities controlled by them or Yale University

SCHEDULE R
(Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
Yale University

Employer identification number
06-0646973

Part I

Identification of Disregarded Entities (Complete if the organization answered "Yes" on Form 990, Part IV, line 33.)

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
See Additional Data Table					

Part II

Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity
See Additional Data Table					

Part III

Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?	
							Yes	No		Yes	No
See Additional Data Table											

Part IV

Identification of Related Organizations Taxable as a Corporation or Trust (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership
See Additional Data Table							

Part V

Transactions With Related Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III or IV

1

During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a

Receipt of (i) interest (ii) annuities (iii) royalties (iv) rent from a controlled entity

b

Gift, grant, or capital contribution to other organization(s)

c

Gift, grant, or capital contribution from other organization(s)

d

Loans or loan guarantees to or for other organization(s)

e

Loans or loan guarantees by other organization(s)

f

Sale of assets to other organization(s)

g

Purchase of assets from other organization(s)

h

Exchange of assets

i

Lease of facilities, equipment, or other assets to other organization(s)

j

Lease of facilities, equipment, or other assets from other organization(s)

k

Performance of services or membership or fundraising solicitations for other organization(s)

l

Performance of services or membership or fundraising solicitations by other organization(s)

m

Sharing of facilities, equipment, mailing lists, or other assets

n

Sharing of paid employees

o

Reimbursement paid to other organization for expenses

p

Reimbursement paid by other organization for expenses

q

Other transfer of cash or property to other organization(s)

r

Other transfer of cash or property from other organization(s)

Yes

No

1a

1b

1c

1d

1e

1f

1g

1h

1i

1j

1k

1l

1m

1n

1o

1p

1q

1r

No

Yes

Yes

Yes

No

No

No

No

No

Yes

Yes

Yes

Yes

No

Yes

No

Yes

Yes

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of other organization	(b) Transaction type(a-r)	(c) Amount involved
(1) See Additional Data Table		
(2)		
(3)		
(4)		
(5)		
(6)		

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Software ID:
Software Version:
EIN: 06-0646973
Name: Yale University

Form 990, Schedule R, Part I - Identification of Disregarded Entities

(a) Name, address, and EIN of disregarded entity	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Total income (\$)	(e) End-of-year assets (\$)	(f) Direct Controlling Entity
sardous LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 02-0580383	Holds Investment	DE	25,687,604	478,809,835	N/A
Fenix I LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 26-2863129	Holds Real Estate	DE	0	41,595,055	N/A
Endurance LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 06-1606540	Holds Investment	DE	0	37,365,765	N/A
Harbor Hills Investments LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 20-0099423	Holds Investment	DE	0	17,851,662	N/A
WE 2 Church Street South LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 80-0066065	Holds Real Estate	CT	950,041	11,003,880	N/A
Renaissance I LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 26-2863298	Holds Real Estate	DE	0	6,459,914	N/A
41 North LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 06-1594874	Holds Investment	DE	0	4,356,460	N/A
Bluefield Timber LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 02-0520556	Holds Investment	DE	0	4,356,460	41 North LLC
Alpha 9 LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 27-0982227	Holds Real Estate	CT	0	3,451,348	N/A
ESV Holdings LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 20-3980473	Holds Investment	DE	0	3,224,223	N/A
4610 South 133rd Street LLC c/o Yale University PO Box 208239 new Haven, CT 065208239 06-0646973	Holds Real Estate	NE	20,542	923,641	N/A
55 Whitney LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 06-0646973	Holds Real Estate	CT	-20,397	900,000	N/A
Passive Holding LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 22-3783661	Holds Investment	NJ	0	839,969	N/A
Temple Company LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 06-1579127	Holds Investment	CT	0	750,269	N/A
Amistad Partners LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 73-1683601	hOLDS rEAL Estate	CT	0	599,975	N/A
Yale Educational Consulting (Beijing) LLC c/o Yale University PO Box 208239 new Haven, CT 065208239	SUPPORTS Higher Education	CH	0	27,500	Yale Global Enterprises LLC
Yale Global Enterprises LLC c/o Yale University PO Box 208239 new Haven, CT 065208239 27-0859961	Supports Higher Education	CT	0	27,500	N/A
Beta 14 LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 27-0982129	Inactive	CT	0	0	N/A
GSWM Investments LLC c/o Yale University PO Box 208239 new Haven, CT 065208239 27-3717358	INActive	CT	0	0	N/A
Lock & Canal Company LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 06-1635010	Inactive	CT	0	0	N/A
medical practice management LLC c/o Yale University PO Box 208239 new Haven, CT 065208239 27-1545102	Administrative Services	CT	0	0	NA
New Harbor LLC c/o Yale University PO Box 208239 New Haven, CT 065208239 32-0160115	Holds Real Estate	CT	0	0	N/A
S Property Holdings LLC c/o Yale University PO Box 208239 new Haven, CT 065208239 27-3717440	inactive	CT	0	0	N/A
The UK Friends of Yale University Limited c/o Yale University PO Box 208239 New Haven, CT 065208239 98-0552738	Accept charitable contributions	UK	724,983	0	N/A

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Exempt Code section	(e) Public charity status (if 501(c)(3))	(f) Direct Controlling Entity
1970 (dec02) Yale Life-pingry nor trust as trustee 22-18935 c/o Yale University PO Box 208239 new Haven, CT065208239 13-6331695	HOLDS INvestments	NY	501(c)(3)	11, Type III-o	N/A
3C Corporation c/o Yale University PO Box 208239 New Haven, CT065208239 41-2046529	Holds Investments	DC	501(c)(3)	11, Type I	N/A
5C Corporation c/o Yale University PO Box 208239 New Haven, CT065208239 20-0763050	Holds Investments	DC	501(c)(3)	11, Type I	N/A
Alliance for Lifelong Learning Inc c/o Yale University PO Box 208239 New Haven, CT065208239 13-4138834	INACTIVE	NY	501(c)(3)	11, Type II	N/A
Alumni Fund Association of Yale University c/o Yale University PO Box 208239 New Haven, CT065208239 06-6078326	Encourages and solicits contributions to or for Yale University	CT	501(c)(3)	11, Type I	N/A
Chapel Company c/o Yale University PO Box 208239 New Haven, CT065208239 06-1532073	Inactive	CT	501(c)(2)	N/A	N/A
Charles A Coffin Memorial Fund c/o Yale University PO Box 208239 new Haven, CT065208239 06-6034841	Supports higher education	CT	501(c)(3)	11, type III- other	N/A
CHIEF EXECUTIVE LEADERSHIP INSTITUTE OF YALE SCHOOL OF MGMT c/o Yale University PO Box 208239 new Haven, CT065208239 58-2617787	Provide research and peer-driven educational programs to business leaders	DE	501(c)(3)	11, Type I	N/A
Eleanor P Stevens Eleemosynary Trust c/o Yale University PO Box 208239 New Haven, CT065208239 72-6204020	Holds Investments	LA	501(c)(3)	11, Type II	N/A
elizabeth n robb charitable foundation c/o Yale University PO Box 208239 new Haven, CT065208239 77-6238653	holds investments	WV	501(c)(3)	11, Type III-o	N/A
Founding Fathers Papers Inc c/o Yale University PO Box 208239 new Haven, CT065208239 22-2365602	Support and facilitate publications	NJ	501(c)(3)	11, Type I	N/A
FW & Elsie Heyl Science Scholarship Fund c/o Yale University PO Box 208239 new Haven, CT065208239 38-6194019	supports higher education	MI	501(c)(3)	11, Type III-o	N/A
Health Benefits Holdings Inc c/o Yale University PO Box 208239 New Haven, CT065208239 06-1559155	Holds Investments	CT	501(c)(2)	N/A	N/A
Heinz Yale Scholarship Fund c/o Yale University PO Box 208239 new Haven, CT065208239 25-6038733	supports higher Education	PA	501(c)(3)	11, Type III-FI	N/A
HR Properties Inc c/o Yale University PO Box 208239 New Haven, CT065208239 06-1341463	Holds real estate	CT	501(c)(2)	N/A	N/A
jonathan Edwards trust c/o Yale University PO Box 208239 new Haven, CT065208239 06-6084676	Supports Higher Education	CT	501(c)(3)	11, Type III-FI	N/A
Paul Mellon Centre for Studies in British Art c/o Yale University PO Box 208239 New Haven, CT065208239 22-3783661	Promote and support study of British art and architecture	UK	n/a	N/A	N/A
Prospect Street Holdings Inc c/o Yale University PO Box 208239 New Haven, CT065208239 06-1424260	Title Holding Company	CT	501(c)(2)	N/A	N/A
SCRIPPS LEAGUE NEWSPAPERS EDUCATION & RESEARCH FUND c/o Yale University PO Box 208239 New Haven, CT065208239 06-0983815	Grantmaking	DE	501(c)(3)	PF	N/A
Staff Retirement Holdings Inc c/o Yale University PO Box 208239 New Haven, CT065208239 06-1559156	Holds Investments	CT	501(c)(2)	N/A	N/A
STOECKEL E B RESIDUE Trust c/o Yale University PO Box 208239 new Haven, CT065208239 06-0653108	HOLDS INVESTMENTS	CT	501(c)(3)	11, Type III-o	N/A
The Board of Trustees of the Sheffield Scientific School c/o Yale University PO Box 208239 New Haven, CT065208239 06-6032565	Promote the study of the physical, natural and mathematical sciences	CT	501(c)(3)	11, Type II	N/A
Yale Alumni Publications Inc c/o Yale University PO Box 208239 New Haven, CT065208239 06-0646970	Distribute alumni magazine	CT	501(c)(3)	11, Type III-FI	N/A
Yale Southern Observatory Inc c/o Yale University PO Box 208239 New Haven, CT065208239 06-6068906	Research and education	CT	501(c)(3)	7	N/A
Yale University Elizabethan Club Corp c/o Yale University PO Box 208239 New Haven, CT065208239 06-0332975	Promote appreciation of literature among membership and community	CT	501(c)(3)	11, Type I	N/A
Yale University Press London c/o Yale University PO Box 208239 New Haven, CT065208239	Publish serious works	UK	n/a	N/A	N/A
Yale University Retiree Health Benefits Coverage Trust c/o Yale University PO Box 208239 New Haven, CT065208239 06-6414276	501(a)Trust that Supports Yale University	CT	501(c)(3)	11, Type II	N/A
Yale-New Haven Medical Center Inc c/o Yale University PO Box 208239 New Haven, CT065208239 23-7440891	Support joint programs of yale-new haven hospital and yale university	CT	501(c)(3)	11, Type III - FI	N/A

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							Yes	No		Yes	No
Fourth Century LLC c/o Yale University PO Box 208239 New Haven, CT065208239 41-2046537	Investment	DE	N/A	Excluded from Tax	66,335,990	5,164,066,518	Yes		-9,659,937		No
Fifth Century LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-0763076	Investment	NV	N/A	Excluded from Tax	-50,265,225	3,618,615,827	Yes		-56,808,063		No
YB Institutional Limited Partnership c/o Yale University PO Box 208239 New Haven, CT065208239 04-3431530	Investment	DE	N/A	Excluded from Tax	52,412,698	804,563,649	Yes		-12,257,739		No
Laurel 2008 LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-1649501	Investment	NV	Fifth Century LP	Excluded from Tax	-29,906,132	541,277,811	Yes		35,608		No
SP II Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-0697863	Investment	NV	Fifth Century LP	Excluded from Tax	-2,289,992	403,076,972	Yes		-8,315,571		No
CYR Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-0788802	Investment	NV	Fifth Century LP	Excluded from Tax	60,862,151	389,166,013		No			No
667 LP c/o Yale University PO Box 208239 New Haven, CT065208239 06-1609184	Investment	DE	BBF I Holdings LP	Excluded from Tax	6,726,609	358,510,916		No			No
Farallon Capital Institutional Partners II LP c/o Yale University PO Box 208239 New Haven, CT065208239 94-3106692	Investment	CA	N/A	excluded from Tax	-13,451,443	341,057,099	Yes		-3,662,827		No
Strategic Residential Fund II LLC c/o Yale University PO Box 208239 New Haven, CT065208239 04-3584406	Investment	DE	N/A	Excluded from Tax	-9,328,794	286,447,176		No			No
BBF I Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-8497574	Investment	NV	Fifth Century LP	Excluded from Tax	6,726,608	283,810,615		No			No
KHP Fund I LP c/o Yale University PO Box 208239 New Haven, CT065208239 65-1232049	Investment	CA	Fourth Century LLC	Unrelated	-3,646,026	225,994,472		No	-4,179,456		No
Yellow Warbler LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-0342603	Investment	TX	YW Holdings LP	Excluded from Tax	-57,233,293	210,676,921	Yes				No
Lone Sequoia LP c/o Yale University PO Box 208239 New Haven, CT065208239 58-2359627	Investment	DE	N/A	Excluded from Tax	-17,599,335	199,319,775	Yes		8,274		No
JBG Investment Fund IV LLC c/o Yale University PO Box 208239 New Haven, CT065208239 01-0815265	Investment	DE	Fourth Century LLC	Unrelated	-5,910,236	197,901,605	Yes		-5,386,546		No
Prospect Street Partners Ltd c/o Yale University PO Box 208239 New Haven, CT065208239 59-3041479	Investment	FL	N/A	Excluded from Tax	28,594,135	177,437,856		No			No
Easton 2007 LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-0627974	Investment	NV	Fifth Century LP	Excluded from Tax	4,796,606	173,656,379	Yes		-35,951		No
JBG Investment Fund III LP c/o Yale University PO Box 208239 New Haven, CT065208239 52-2384738	Investment	DE	Fourth Century LLC	Excluded from Tax	-3,834,181	168,196,852	Yes		-937,354		No
Long Term Value Fund LP c/o Yale University PO Box 208239 New Haven, CT065208239 98-0623624	Investment	CJ	Laurel 2008 LP	Excluded from Tax	6,273,436	162,050,753	Yes		35,521		No
MEP IX LP c/o Yale University PO Box 208239 New Haven, CT065208239 75-2537935	Investment	DE	N/A	Excluded from Tax	22,297,641	154,415,324	Yes				No
GAOLING YALI Fund LP c/o Yale University PO Box 208239 New Haven, CT065208239	Investment	CJ	Fourth Century LLC	Excluded from Tax	37,206,834	153,635,010		No			No
Noga Partners LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-1192059	Investment	DE	Fourth Century LLC	Excluded from Tax	22,389,684	144,665,350		No	8,788		No
YXY Partners LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-2078133	Investment	DE	Fifth Century LP	Excluded from Tax	-8,177,354	133,306,217	Yes				No
Om Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-5073267	Investment	NV	Fifth Century LP	Excluded from Tax	-3,520,033	106,550,515		No			No
Y2K Partners LP c/o Yale University PO Box 208239 New Haven, CT065208239 71-1007459	Investment	DE	Fourth Century LLC	Excluded from Tax	-17,435,312	97,166,445	Yes				No
Strategic Residential Fund I LLC c/o Yale University PO Box 208239 New Haven, CT065208239 04-3398268	Investment	DE	N/A	Excluded from Tax	-7,791,366	96,517,405	Yes				No
JPF V Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-2008388	Investment	NV	Fifth Century LP	Unrelated	1,591,279	93,532,350	Yes		1,281,999		No
Bayroot LLC c/o Yale University PO Box 208239 New Haven, CT065208239 20-0390410	Investment	DE	Fourth Century LLC	Excluded from Tax	8,552,373	83,421,461	Yes				No
Alderbrook LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-2939768	Investment	NV	Fifth Century LP	Excluded from Tax	4,459,062	75,872,557		No	13,781		No
NIFL Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-8973999	Investment	NV	Fifth Century LP	Excluded from Tax	325,681	70,448,614		No			No
Yadkin LLC c/o Yale University PO Box 208239 New Haven, CT065208239 20-2707395	Investment	DE	Fourth Century LLC	Excluded from Tax	-1,619,378	58,522,836		No			No

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							Yes	No		Yes	No
EPP Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-8209090	Investment	NV	Fifth Century LP	Excluded from Tax	-20,545,774	56,168,403		No			No
PEPF IV Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-0290250	Investment	NV	Fifth Century LP	Excluded from Tax	46,857	55,084,603		No			No
IVP VI Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-8699381	Investment	NV	Fifth Century LP	Excluded from Tax		53,753,679		No			No
Cauthen VI LLC c/o Yale University PO Box 208239 New Haven, CT065208239 20-8202030	Investment	DE	Fourth Century LLC	Excluded from Tax	-674,816	53,672,024		No	-149,937		No
AEF 5 US Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-4076676	Investment	NV	Fifth Century LP	Excluded from Tax	-5,517,352	51,626,699	Yes		-222,904		No
Ridgefield LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-2022850	Investment	NV	Fifth Century LP	Excluded from Tax	279	51,178,163		No			No
GHEP III Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-3853985	Investment	NV	Fifth Century LP	Excluded from Tax	2,082,774	51,084,912		No			No
MP-MF Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-2099979	Investment	NV	Fifth Century LP	Excluded from Tax	886	49,957,339		No			No
Manaslu Fund LP c/o Yale University PO Box 208239 New Haven, CT065208239 98-0615593	Investment	CJ	MP-MF Holdings LP	Excluded from Tax		49,924,533	Yes				No
Anaconda LP c/o Yale University PO Box 208239 New Haven, CT065208239	Investment	CA	Fifth Century LP	Excluded from Tax	-851,160	49,596,945		No			No
Ramsgate LLC c/o Yale University PO Box 208239 New Haven, CT065208239 20-0419757	Investment	DE	Fourth Century LLC	Excluded from Tax		49,560,147		No			No
Volta LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-3738749	Investment	NV	Fifth Century LP	Unrelated	-2,463,889	47,368,166		No	-2,531,907		No
Merrweather LLC c/o Yale University PO Box 208239 New Haven, CT065208239 04-3664410	Investment	DE	Fourth Century LLC	Excluded from Tax	-242,291	46,056,788	Yes				No
LCPF V Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-2776532	Investment	NV	Fifth Century LP	Excluded from Tax	367,527	45,188,198		No			No
Osceola LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-2008431	Investment	NV	Fifth Century LP	Unrelated	749,114	44,064,014		No	603,294		No
Calvert LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-3960036	Investment	NV	Fifth Century LP	Unrelated	-1,611,149	43,440,318		No	-1,540,148		No
TDRC II-A LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-5025534	Investment	NV	Fifth Century LP	Excluded from Tax		40,783,189		No			No
Fulton LLC c/o Yale University PO Box 208239 New Haven, CT065208239 26-0165041	Investment	DE	Fourth Century LLC	Excluded from Tax	1,826,452	39,396,763		No			No
Alamance LLC c/o Yale University PO Box 208239 New Haven, CT065208239 20-2575524	Investment	DE	Fourth Century LLC	Excluded from Tax	132	38,543,432		No			No
Gloster LLC c/o Yale University PO Box 208239 New Haven, CT065208239 20-1263980	Investment	DE	Fourth Century LLC	Excluded from Tax	-338,892	37,976,176		No	-15,113		No
RCP Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-2008465	Investment	NV	Fifth Century LP	Excluded from Tax	-3,337,420	36,689,327		No			No
Garfield LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-4592367	Investment	NV	Fifth Century LP	Unrelated	-207,152	33,087,066		No	134,169		No
FIF IV (CFA) Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-4764198	Investment	NV	Fifth Century LP	Excluded from Tax	956,364	32,907,558		No			No
BCAF Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-8453177	Investment	NV	Fifth Century LP	Excluded from Tax	720,664	32,795,698		No			No
Ogden LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-1212114	Investment	NV	Fifth Century LP	Excluded from Tax	-201,147	31,930,102		No			No
Greenfield Land Parallel Partners I LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-4411467	Investment	DE	GLPP I Holdings LP	Excluded from Tax	2,332,657	31,383,060		No	-141,191		No
BCVF 2007 Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-5965183	Investment	NV	Fifth Century LP	Excluded from Tax	166	29,283,914		No			No
Ramsgate VIII LLC c/o Yale University PO Box 208239 New Haven, CT065208239 20-5259910	Investment	DE	Fourth Century LLC	Excluded from Tax		27,409,914		No			No
Typhoon LLC c/o Yale University PO Box 208239 New Haven, CT065208239 01-0685132	Investment	DE	Fourth Century LLC	Excluded from Tax	1,404,095	26,509,933	Yes				No
HEVP Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-4982016	Investment	NV	Fifth Century LP	Excluded from Tax	16,579	25,979,203		No			No

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							Yes	No		Yes	No
Calvert VI LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-1603346	Investment	NV	Fifth Century LP	Unrelated	-721,473	24,794,586		No	-696,573		No
Farallon Capital Institutional Partners 25 LP c/o Yale University PO Box 208239 New Haven, CT065208239 94-3388317	Investment	DE	N/A	Unrelated	-2,375,244	24,387,296	Yes		-1,575,705		No
Alamance A LLC c/o Yale University PO Box 208239 New Haven, CT065208239 20-3484520	Investment	DE	Fourth Century LLC	Excluded from Tax	82	23,719,033		No			No
Goldsboro LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-1996058	Investment	NV	Fifth Century LP	Unrelated	-383,556	23,717,026		No	-947,712		No
Wynnwood VIII LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-3294405	Investment	NV	Fifth Century LP	Unrelated	-368,520	23,134,140		No	-835,062		No
Upton LLC c/o Yale University PO Box 208239 New Haven, CT065208239 20-5778792	Investment	DE	Fourth Century LLC	Excluded from Tax		22,016,598		No			No
IVA II Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-2652041	Investment	NV	Fifth Century LP	Excluded from Tax	-978,021	21,741,680		No			No
YD Value Fund LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-3708621	Investment	DE	YVF Holdings LP	Excluded from Tax	10,323,349	21,738,511		No			No
Macomb IX LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-5025458	Investment	NV	Fifth Century LP	unrelated	453,358	21,543,216		No	480,995		No
Cauthen LLC c/o Yale University PO Box 208239 New Haven, CT065208239 20-2776143	Investment	DE	Fourth Century LLC	Excluded from Tax	-697,311	20,928,981		No	-92,891		No
SPOP II CP Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-8685452	Investment	NV	Fifth Century LP	Excluded from Tax	1,030	20,702,532		No			No
Wynnwood II LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-5548320	Investment	NV	Fifth Century LP	Excluded from Tax	2,112,758	20,403,208		No	-1,209,107		No
Chicago LP c/o Yale University PO Box 208239 New Haven, CT065208239	Investment	CA	Fifth Century LP	Excluded from Tax	-591,035	20,029,665		No			No
Woodway LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-1206761	Investment	NV	Fifth Century LP	Excluded from Tax	1,718,666	19,988,607		No			No
Sangamore II LLC c/o Yale University PO Box 208239 New Haven, CT065208239 20-2672293	Investment	DE	Fourth Century LLC	Excluded from Tax	-134,095	19,783,383		No	-18,258		No
Alamance VI LLC c/o Yale University PO Box 208239 New Haven, CT065208239 20-8558864	Investment	DE	Fourth Century LLC	Excluded from Tax	104	19,261,067		No			No
Cauthen VII LLC c/o Yale University PO Box 208239 New Haven, CT065208239 26-3653027	Investment	DE	Fourth Century LLC	Unrelated	300,317	19,259,047		No	200,503		No
Edmunds LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-4257832	Investment	NV	Fifth Century LP	Excluded from Tax	225,445	18,794,603		No	24,189		No
Westpath LLC c/o Yale University PO Box 208239 New Haven, CT065208239 20-2297672	Investment	DE	Fourth Century LLC	Excluded from Tax	-118,842	18,556,985		No	-8,707		No
BOF Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-5923569	Investment	NV	Fifth Century LP	Excluded from Tax	134,443	18,250,070	Yes		79,133		No
RCP II Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-0444200	Investment	NV	Fifth Century LP	Excluded from Tax	-4,078	17,841,455		No			No
YVF Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-3708621	Investment	NV	Fifth Century LP	Excluded from Tax	10,323,350	17,595,104		No			No
NLVF II Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-1545222	Investment	NV	Fifth Century LP	Excluded from Tax	23,133	17,272,927		No			No
Corbin LLC c/o Yale University PO Box 208239 New Haven, CT065208239 20-1263962	Investment	DE	Fourth Century LLC	Excluded from Tax	-53,720	17,069,487		No	19,418		No
Wynnwood LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-1363230	Investment	NV	Fifth Century LP	Excluded from Tax	1,947,241	16,365,346		No	-178,313		No
AEF 6 US Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-2867531	Investment	NV	Fifth Century LP	Excluded from Tax	678	15,715,548		No			No
Farallon Depot Investors LLC c/o Yale University PO Box 208239 New Haven, CT065208239 94-3309848	Investment	DE	N/A	Unrelated	-685,637	15,360,327		No	-395,862		No
Yankee Forest LLC c/o Yale University PO Box 208239 New Haven, CT065208239 04-3384575	Investment	NH	N/A	Excluded from Tax	-1,049,033	14,877,460	Yes				No
Sedgwick LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-0483594	Investment	NV	Fifth Century LP	Excluded from Tax	-3,288	14,301,767		No			No
Dent LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-4202230	Investment	NV	Fifth Century LP	Excluded from Tax	-1,403,814	13,137,919		No	-56,610		No

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							Yes	No		Yes	No
BE III Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-5495967	Investment	NV	Fifth Century LP	Excluded from Tax	-53,708	13,113,534		No			No
IVP V Coinvestment Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-2707519	Investment	NV	Fifth Century LP	Excluded from Tax	3,384,142	13,004,394		No			No
Macomb VIII LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-5681131	Investment	NV	Fifth Century LP	Excluded from Tax	961,869	11,780,631		No	145,676		No
CYR Fund LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-0270646	Investment	DE	CYR Holdings LP	Excluded from Tax	8,680,575	11,651,247		No			No
Ordway LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-5189347	Investment	NV	Fifth Century LP	Excluded from Tax	406,365	11,548,333		No	-1,196		No
Newburn LLC c/o Yale University PO Box 208239 New Haven, CT065208239 20-0365032	Investment	DE	Fourth Century LLC	Excluded from Tax	-1,315,486	11,109,057		No			No
Ridgefield VIII LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-1603389	Investment	NV	Fifth Century LP	Excluded from Tax	252	11,015,602		No			No
HEVP II Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-1828824	Investment	NV	Fifth Century LP	Excluded from Tax	673	10,887,711		No			No
KCF Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-8861865	Investment	NV	Fifth Century LP	Excluded from Tax	536	10,783,806		No			No
KHP Fund II LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-5882068	Investment	CA	KF II Holdings LP	Unrelated	346,751	10,772,191	Yes		-1,549,094		No
Dumbarton LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-3919432	Investment	NV	Fifth Century LP	Excluded from Tax	236	10,637,318		No			No
Newington LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-0763113	Investment	NV	Fifth Century LP	Excluded from Tax	489,199	10,326,274		No			No
Omaha LP c/o Yale University PO Box 208239 New Haven, CT065208239	Investment	CA	Om Holdings LP	Excluded from Tax	-2,839,177	10,307,696		No			No
FRC II Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-1670120	Investment	NV	Fifth Century LP	Excluded from Tax	-254,085	10,213,924		No			No
SP 8 Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-0558478	Investment	NV	Fifth Century LP	Excluded from Tax	2,007	10,086,044		No			No
GEF II Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-0348232	Investment	NV	Fifth Century LP	Unrelated	-1,061,094	9,851,761	Yes		-1,065,848		No
Yuma LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-1584783	Investment	NV	Fifth Century LP	Excluded from Tax	8,157	9,587,860		No			No
Iris 2009 LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-4100777	Investment	NV	Fifth Century LP	Excluded from Tax	2,568	8,304,342		No			No
Windom LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-1768804	Investment	NV	Fifth Century LP	Excluded from Tax	295	8,202,839		No	14		No
Yadkin III LLC c/o Yale University PO Box 208239 New Haven, CT065208239 26-2477930	Investment	DE	Fourth Century LLC	Excluded from Tax	-277,123	8,181,675		No			No
Harbor Hills Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 02-0729602	Investment	DE	N/A	Unrelated	-1,011,651	8,100,828	Yes		-1,020,920		No
Prime Wind Energy LLC c/o Yale University PO Box 208239 New Haven, CT065208239 33-1200158	Investment	DE	Fourth Century LLC	Unrelated	-65,910	8,002,401		No	-68,767		No
Record Hill Wind Holdings LLC c/o Yale University PO Box 208239 New Haven, CT065208239 33-1200161	Investment	DE	Prime Wind Energy LLC	Unrelated	-32,534	7,971,011	Yes		-35,324		No
ECP II Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-0151337	Investment	NV	Fifth Century LP	Excluded from Tax	2,357	7,232,483		No			No
Harbor Hills Development LP c/o Yale University PO Box 208239 New Haven, CT065208239 16-1453802	Investment	DE	Harbor Hills Holdings LP	Unrelated	-765,173	7,198,311	Yes		-774,454		No
Cranbrook LLC c/o Yale University PO Box 208239 New Haven, CT065208239 20-3115503	Investment	DE	Fourth Century LLC	Excluded from Tax	-4,510,464	7,003,220		No			No
Wilson LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-2232279	Investment	NV	Fifth Century LP	Excluded from Tax	-526,535	6,621,285		No			No
ARC Energy Fund USLimited Partnership c/o Yale University PO Box 208239 New Haven, CT065208239 98-0188406	Investment	CA	N/A	Excluded from Tax	403	6,574,642	Yes				No
Ramsgate IX LLC c/o Yale University PO Box 208239 New Haven, CT065208239 20-8833887	Investment	DE	Fourth Century LLC	Excluded from Tax		6,545,606		No			No
Tilden LLC c/o Yale University PO Box 208239 New Haven, CT065208239 20-8558781	Investment	DE	Fourth Century LLC	Excluded from Tax		6,519,604		No			No

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income (\$)	(g) Share of end-of-year assets (\$)	(h) Dispropportionate allocations?		(i) Code V-UBI amount on Box 20 of K-1 (\$)	(j) General or Managing Partner?	
							Yes	No		Yes	No
Dent VI LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-2867610	Investment	NV	Fifth Century LP	Excluded from Tax	274	6,369,588		No			No
Gloster III LLC c/o Yale University PO Box 208239 New Haven, CT065208239 20-8833953	Investment	DE	Fourth Century LLC	Excluded from Tax		5,983,118		No			No
Sangamore III LLC c/o Yale University PO Box 208239 New Haven, CT065208239 26-0753284	Investment	DE	Fourth Century LLC	unrelated	405,604	5,940,773		No	382,101		No
MEP IV Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-0646713	Investment	NV	Fifth Century LP	Excluded from Tax	-434,292	5,922,649		No			No
Huidikoper LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-4196504	Investment	NV	Fifth Century LP	Excluded from Tax	1,715	5,547,857		No			No
Alchemy Plan (Bulldog) LP c/o Yale University PO Box 208239 New Haven, CT065208239 98-0186258	Investment	GK	N/A	Excluded from Tax	183,741	5,459,924		No			No
Kingle LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-4592461	Investment	NV	Fifth Century LP	Excluded from Tax	35,788	5,145,648		No	17,937		No
JPS Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-8909921	Investment	NV	Fifth Century LP	Excluded from Tax		4,730,757		No			No
Volta IV LLC c/o Yale University PO Box 208239 New Haven, CT065208239 20-3738749	Investment	DE	Fourth Century LLC	Excluded from Tax	9,068	4,464,506		No			No
Salina Forestry LP c/o Yale University PO Box 208239 New Haven, CT065208239	Investment	CA	OM Holdings LP	Excluded from Tax	-680,856	4,200,366		No			No
WE 150 Munson LLC c/o Yale University PO Box 208239 New Haven, CT065208239 26-0233373	Investment	DE	N/A	Excluded from Tax	-13,067	4,169,297	Yes				No
Avon LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-4056103	Investment	NV	Fifth Century LP	Unrelated	-202,342	4,002,926		No	-333,715		No
Welborn LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-1212127	Investment	NV	Fifth Century LP	Excluded from Tax	19,513	3,661,375		No			No
Ode LLC c/o Yale University PO Box 208239 New Haven, CT065208239 37-1567347	Investment	DE	Fourth Century LLC	Excluded from Tax		3,534,353		No			No
Dent A LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-8725065	Investment	NV	Fifth Century LP	Excluded from Tax	-364,991	3,415,872		No	-14,718		No
Quinn LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-3879664	Investment	NV	Fifth Century LP	Excluded from Tax	1,593	3,005,942		No			No
Sangamore LLC c/o Yale University PO Box 208239 New Haven, CT065208239 20-0357077	Investment	DE	Fourth Century LLC	Unrelated	-109,707	2,867,828		No	-106,522		No
Whitehaven LLC c/o Yale University PO Box 208239 New Haven, CT065208239 26-3915778	Investment	DE	Fourth Century LLC	Excluded from Tax	722	2,514,721		No			No
Freshet Wind Energy LLC c/o Yale University PO Box 208239 New Haven, CT065208239 33-1200160	Investment	DE	Fourth Century LLC	Unrelated	-170,720	2,505,626		No	-172,603		No
Hawthorne III LLC c/o Yale University PO Box 208239 New Haven, CT065208239 26-0854590	Investment	DE	Fourth Century LLC	Excluded from Tax		2,425,156		No			No
H&Y LLC c/o Yale University PO Box 208239 New Haven, CT065208239 20-8696854	Investment	DE	Fourth Century LLC	Excluded from Tax		2,405,290	Yes				No
SF-New Partners LP c/o Yale University PO Box 208239 New Haven, CT065208239 92-0179258	Investment	DE	Fourth Century LLC	Excluded from Tax	407,690	2,384,440		No			No
Ramsgate IX A LLC c/o Yale University PO Box 208239 New Haven, CT065208239 26-1797786	Investment	DE	Fourth Century LLC	Excluded from Tax		2,380,220		No			No
Fessenden LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-2270383	Investment	NV	Fifth Century LP	Excluded from Tax		1,902,418		No			No
BY Partners LP c/o Yale University PO Box 208239 New Haven, CT065208239 13-3738930	Investment	DE	N/A	Excluded from Tax	-22,899,950	1,704,397		No			No
Lunxus Wind Energy LLC c/o Yale University PO Box 208239 New Haven, CT065208239 33-1200159	Investment	DE	Fourth Century LLC	Unrelated	-62,167	1,293,411	Yes		-65,448		No
Highland Wind Holdings LLC c/o Yale University PO Box 208239 New Haven, CT065208239 33-1200162	Investment	DE	Lunxus Wind Energy LLC	Unrelated	-30,943	1,271,865		No	-34,161		No
Stonechat Partners LP c/o Yale University PO Box 208239 New Haven, CT065208239	Investment	JE	Fourth Century LLC	Excluded from Tax	-1,170,259	713,151	Yes				No
Harbor Hills Utilities LP c/o Yale University PO Box 208239 New Haven, CT065208239 16-1453800	Investment	DE	Harbor Hills Holdings LP	Unrelated	-82,578	563,124	Yes		-95,024		No
100 Howe Street Associates LLC c/o Yale University PO Box 208239 New Haven, CT065208239 06-1386762	Investment	CT	N/A	Excluded from Tax	168,396	427,300	Yes				No

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income (\$)	(g) Share of end-of-year assets (\$)	(h) Dispropportionate allocations?		(i) Code V-UBI amount on Box 20 of K-1 (\$)	(j) General or Managing Partner?	
							Yes	No		Yes	No
Poe LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-2765485	Investment	NV	Fifth Century LP	Excluded from Tax		281,914		No			No
4620 Associates LLC c/o Yale University PO Box 208239 New Haven, CT065208239 13-4036667	Investment	DE	Passive Holding LLC	Excluded from Tax	103,348	229,021		No			No
94 Howe Street Associates LLC c/o Yale University PO Box 208239 New Haven, CT065208239 06-1428914	Investment	CT	N/A	Excluded from Tax	43,897	160,548	Yes				No
UnitedHarvey Investors V LP c/o Yale University PO Box 208239 New Haven, CT065208239 75-2773845	Investment	DE	N/A	Excluded from Tax	433	119,151		No			No
Corbin VI LLC c/o Yale University PO Box 208239 New Haven, CT065208239 20-1263962	Investment	DE	Fourth Century LLC	Excluded from Tax		105,790		No			No
Starfar I Partners LP c/o Yale University PO Box 208239 New Haven, CT065208239 94-3205101	Investment	DE	N/A	Unrelated	-12,948	90,286		No	-12,948		No
A II Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-4056005	Investment	NV	Fifth Century LP	Unrelated	-379,500		Yes		-625,713		No
CPF III Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-0421032	Investment	NV	Fifth Century LP	Unrelated	135,101		Yes		-869,743		No
FPF Holdings II LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-2765344	Investment	NV	Fifth Century LP	Excluded from Tax				No			No
FPF Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-2776483	Investment	NV	Fifth Century LP	Unrelated	871,297		Yes		395,403		No
Gapfour Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-1996070	Investment	NV	Fifth Century LP	Unrelated	-1,195,257		Yes		-2,944,678		No
GF IX-A Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-5680384	Investment	NV	Fifth Century LP	Unrelated	1,376,485		Yes		1,460,164		No
GF VIII Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-5680472	Investment	NV	Fifth Century LP	Excluded from Tax	1,213,184		Yes		400,615		No
GGOF Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-0880343	Investment	NV	Fifth Century LP	Unrelated	5,735,474		Yes		-2,318,911		No
GLPP I Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-4511229	Investment	NV	Fifth Century LP	Excluded from Tax	2,332,386		Yes		-141,192		No
HEIHF II Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-4055906	Investment	NV	Fifth Century LP	Unrelated	-12,848,413		Yes		-17,863,452		No
Hoyt Farm Partners LP c/o Yale University PO Box 208239 New Haven, CT065208239 13-3877256	Investment	DE	N/A	Excluded from Tax	-63,988,497			No			No
JHIP II Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-4076765	Investment	NV	Fifth Century LP	Unrelated	-488,619		Yes		-664,145		No
KF II Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-5835748	Investment	NV	Fifth Century LP	Unrelated	343,658		Yes		-1,549,094		No
LAREF V Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-3961381	Investment	NV	Fifth Century LP	Unrelated	-7,450,864		Yes		-7,123,183		No
LAREF VI Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-1603433	Investment	NV	Fifth Century LP	Unrelated	-4,194,081		Yes		-4,053,411		No
M2006 Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-8006244	Investment	NV	Fifth Century LP	Excluded from Tax	740,415			No			No
MBEF Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-1653793	Investment	NV	Fifth Century LP	Excluded from Tax	-220,951			No			No
NRE Holdings A LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-2157203	Investment	NV	Fifth Century LP	Excluded from Tax				No			No
NRE Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-2156976	Investment	NV	Fifth Century LP	Excluded from Tax				No			No
RF III Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-3747769	Investment	NV	Fifth Century LP	Unrelated	-2,816,006		Yes		-2,893,610		No
Walhonding LLC c/o Yale University PO Box 208239 New Haven, CT065208239 20-2499882	Investment	DE	Fourth Century LLC	Excluded from Tax	-858,469			No			No
YEP VII Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 20-5548269	Investment	NV	Fifth Century LP	Excluded from Tax	2,851,719		Yes		-1,661,740		No
YEP VIII Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-3294485	Investment	NV	Fifth Century LP	Excluded from Tax	-553,031		Yes		-1,252,592		No
YW Holdings LP c/o Yale University PO Box 208239 New Haven, CT065208239 26-0302876	Investment	NV	Fifth Century LP	Excluded from Tax	-57,233,294			No			No

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership

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							Yes	No		Yes	No
Harbor Hills Country Club LP c/o Yale University PO Box 208239 New Haven, CT065208239 16-1453797	Investment	DE	Harbor Hills Holdings LP	Unrelated	-163,900	-2,867,873	Yes		-151,442		No

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income (\$)	(g) Share of end-of-year assets (\$)	(h) Percentage ownership
New FYI Ltd c/o Yale University PO Box 208239 New Haven, CT065208239	Investment	CJ	N/A	C	305,633,152	574,224,806	100 000 %
CRS Fund Ltd c/o Yale University PO Box 208239 New Haven, CT065208239	Investment	CJ	CYR HOLDINGS LP	C	145,095,057	427,258,767	99 600 %
The Caeruleus Fund c/o Yale University PO Box 208239 New Haven, CT065208239	Investment	CJ	Fourth Century LLC	C	88,171,815	259,486,600	99 800 %
LP Value Ltd c/o Yale University PO Box 208239 New Haven, CT065208239	Investment	VQ	N/A	C	3,283,224	170,616,265	96 820 %
GAOLING YALI FEEDER LTD c/o Yale University PO Box 208239 New Haven, CT065208239	Investment	CH	Fourth Century LLC	C	92,824,956	147,901,036	99 800 %
Fourth Century Trust c/o Yale University PO Box 208239 New Haven, CT065208239 20-6699631	Investment	CT	N/A	T	6,421,794	78,022,657	100 000 %
Arminius Real Estate Opportunity Feeder Fund II LP c/o Yale University PO Box 208239 New Haven, CT065208239	Investment	UK	Fourth Century LLC	C	3,508,758	54,540,890	90 730 %
YB Cayman Ltd c/o Yale University PO Box 208239 New Haven, CT065208239	Investment	CJ	YB Institutional LP	C	383,629	49,931,114	98 840 %
The Apogee Fund Ltd c/o Yale University PO Box 208239 New Haven, CT065208239	Investment	CJ	Fourth Century LLC	C	9,578,269	26,611,488	99 800 %
3148591 NOVA SCOTIA LIMITED c/o Yale University PO Box 208239 New Haven, CT065208239	Investment	CA	OM Holdings LP	C	59,149	19,855,136	98 600 %
Connecticut Boola Inc c/o Yale University PO Box 208239 New Haven, CT065208239 06-0798544	Investment	CT	N/A	C	752,941	16,497,969	100 000 %
NCH Investors Fund (YU) Corp c/o Yale University PO Box 208239 New Haven, CT065208239	Investment	CJ	Fourth Century LLC	C	1,485,042	14,457,199	99 700 %
3215186 Nova Scotia Limited c/o Yale University PO Box 208239 New Haven, CT065208239	Investment	CA	OM Holdings LP	C	355,615	1,702,135	98 600 %
2089841 Ontario Limited c/o Yale University PO Box 208239 New Haven, CT065208239	Investment	CA	Fifth Century LP	C	-512	828,966	98 800 %
Harbor Hills Country Club Inc c/o Yale University PO Box 208239 New Haven, CT065208239 16-1452585	Investment	DE	Harbor Hills Holdings LP	C	-398	44,580	55 000 %
HIP Investors Inc c/o Yale University PO Box 208239 New Haven, CT065208239 75-2546639	Investment	TX	N/A	C		11,191	88 890 %
Manaslu Partners Ltd c/o Yale University PO Box 208239 New Haven, CT065208239	Investment	CJ	MP-MF Holdings LP	C	381,052	917	85 660 %
Harbor Hills Sales Inc c/o Yale University PO Box 208239 New Haven, CT065208239 59-3343340	Investment	FL	Harbor Hills Holdings LP	C		550	55 000 %
Drama Productions Inc c/o Yale University PO Box 208239 New Haven, CT065208239 06-0851103	Performing Arts	CT	N/A	C	723,744	304	100 000 %
E3 LTD c/o Yale University PO Box 208239 New Haven, CT065208239	Investment	BD	N/A	C			
John E Pollard Trust c/o Yale University PO Box 208239 New Haven, CT065208239 06-0646973	Investment	CT	N/A	T			100 000 %
Lake Place Trust c/o Yale University PO Box 208239 New Haven, CT065208239 06-6484239	Investment	CT	N/A	T	-25,533		100 000 %
MB Partners (Overseas) Ltd c/o Yale University PO Box 208239 New Haven, CT065208239	Investment	CJ	MBEF Holdings LP	C			
Yale University Hong Kong Trust c/o Yale University PO Box 208239 New Haven, CT065208239	Accept charitable contributions	HK	N/A	T	2,500		100 000 %
York Street Associates Inc c/o Yale University PO Box 208239 New Haven, CT065208239 06-1174464	Inactive	CT	N/A	C			100 000 %

Form 990, Schedule R, Part V - Transactions With Related Organizations

(a) Name of other organization	(b) Transaction type(a-r)	(c) Amount Involved (\$)
(1) ALCHEMY PLAN (BULLDOG) LP	R	4,668,956
(2) CONNECTICUT BOOLA INC	B	257,161
(3) CONNECTICUT BOOLA INC	R	257,161
(4) Drama Productions Inc	O	723,744
(5) FARALLON CAPITAL INSTITUTIONAL PARTNERS 25 LP	B	270,342
(6) FARALLON CAPITAL INSTITUTIONAL PARTNERS II LP	B	2,500,000
(7) FARALLON CAPITAL INSTITUTIONAL PARTNERS II LP	R	70,105,860
(8) Farallon Depot Investors LLC	B	297,109
(9) Fifth Century LP	B	969,317,955
(10) Fifth Century LP	R	1,490,069,431
(11) Fourth Century LLC	B	1,444,399,110
(12) Fourth Century LLC	R	430,820,376
(13) Fourth Century Trust	C	5,567,328
(14) HARBOR HILLS HOLDINGS LP	B	200,000
(15) Hoyt Farm Partners LP	R	88,936,675
(16) MEP IX LP	R	16,830,000
(17) New FYI Ltd	R	105,500,000
(18) Paul Mellon centre for Studies in British Art	B	3,791,777
(19) Strategic Residential Fund I LLc	B	6,128,125
(20) Strategic Residential Fund II LLc	B	7,125,000
(21) Strategic Residential Fund II LLc	R	1,187,500
(22) Strategic Residential Fund II LLc	L	1,555,625
(23) WE 150 Munson LLc	J	2,033,674
(24) Yale University Press London	B	672,474
(25) YB Institutional Limited Partnership	R	75,000,000

TY 2009 Affiliated Group Schedule

Name: Yale University	
EIN: 06-0646973	
Affiliated Group Business Name: Yale University	
Address. Either US or Foreign Type: PO Box 208239 New Haven, CT 06520	
EIN: 06-0646973	
Electing Organization Checkbox: <input checked="" type="checkbox"/>	
Total Grassroots Lobbying:	22,348
Total Direct Lobbying:	629,322
Total Lobbying Expenditures:	651,670
Other Exempt Purpose Expenditures:	2,847,756,743
Total Exempt Purpose Expenditures:	2,848,408,413
Lobbying Nontaxable Amount:	1,000,000
Grassroots Nontaxable Amount:	250,000
Tot Lobbying Grassroot Minus Non Tx:	0
Tot Lobby Expend Mns Lobbying Non Tx:	0
Share Of Excess Lobbying:	0
Affiliated Group Business Name: 3C Corporation	
Address. Either US or Foreign Type: PO Box 208239 New Haven, CT 06520	
EIN: 41-2046529	
Electing Organization Checkbox: <input type="checkbox"/>	
Total Grassroots Lobbying:	0
Total Direct Lobbying:	0
Total Lobbying Expenditures:	0
Other Exempt Purpose Expenditures:	0
Total Exempt Purpose Expenditures:	0
Lobbying Nontaxable Amount:	0
Grassroots Nontaxable Amount:	0
Tot Lobbying Grassroot Minus Non Tx:	0
Tot Lobby Expend Mns Lobbying Non Tx:	0
Share Of Excess Lobbying:	0
Affiliated Group Business Name: 5C Corporation	
Address. Either US or Foreign Type: PO Box 208239 New Haven, CT 06520	
EIN: 20-0763050	
Electing Organization Checkbox: <input type="checkbox"/>	
Total Grassroots Lobbying:	0
Total Direct Lobbying:	0
Total Lobbying Expenditures:	0
Other Exempt Purpose Expenditures:	0
Total Exempt Purpose Expenditures:	0
Lobbying Nontaxable Amount:	0
Grassroots Nontaxable Amount:	0
Tot Lobbying Grassroot Minus Non Tx:	0
Tot Lobby Expend Mns Lobbying Non Tx:	0
Share Of Excess Lobbying:	0
Affiliated Group Business Name: Alumni Fund Assoc of Yale	
Address. Either US or Foreign Type: 157 Church Street New Haven, CT 06520	
EIN: 06-6078326	
Electing Organization Checkbox: <input type="checkbox"/>	
Total Grassroots Lobbying:	0
Total Direct Lobbying:	0
Total Lobbying Expenditures:	0
Other Exempt Purpose Expenditures:	0
Total Exempt Purpose Expenditures:	0
Lobbying Nontaxable Amount:	0
Grassroots Nontaxable Amount:	0
Tot Lobbying Grassroot Minus Non Tx:	0
Tot Lobby Expend Mns Lobbying Non Tx:	0
Share Of Excess Lobbying:	0
Affiliated Group Business Name: Board of Trustees of the Sheffield Scientific School	
Address. Either US or Foreign Type: PO Box 208239 New Haven, CT 06520	
EIN: 06-6032565	
Electing Organization Checkbox: <input type="checkbox"/>	
Total Grassroots Lobbying:	0
Total Direct Lobbying:	0
Total Lobbying Expenditures:	0
Other Exempt Purpose Expenditures:	20,049,030
Total Exempt Purpose Expenditures:	20,049,030
Lobbying Nontaxable Amount:	1,000,000
Grassroots Nontaxable Amount:	250,000
Tot Lobbying Grassroot Minus Non Tx:	0
Tot Lobby Expend Mns Lobbying Non Tx:	0
Share Of Excess Lobbying:	0
Affiliated Group Business Name: The Chief Executive Leadership Institute of the Yale School Management Inc	
Address. Either US or Foreign Type: Eight Piedmont Center Suite 100 Atlanta, GA 30305	
EIN: 58-2617787	
Electing Organization Checkbox: <input type="checkbox"/>	
Total Grassroots Lobbying:	0
Total Direct Lobbying:	0
Total Lobbying Expenditures:	0
Other Exempt Purpose Expenditures:	1,518,102
Total Exempt Purpose Expenditures:	1,518,102
Lobbying Nontaxable Amount:	225,905
Grassroots Nontaxable Amount:	56,476
Tot Lobbying Grassroot Minus Non Tx:	0
Tot Lobby Expend Mns Lobbying Non Tx:	0
Share Of Excess Lobbying:	0
Affiliated Group Business Name: The New Clinical Program Development Corp	
Address. Either US or Foreign Type: 789 Howard Avenue New Haven, CT 06519	
EIN: 06-1565494	
Electing Organization Checkbox: <input type="checkbox"/>	
Total Grassroots Lobbying:	0
Total Direct Lobbying:	0
Total Lobbying Expenditures:	0
Other Exempt Purpose Expenditures:	19,013,819
Total Exempt Purpose Expenditures:	19,013,819
Lobbying Nontaxable Amount:	1,000,000
Grassroots Nontaxable Amount:	250,000
Tot Lobbying Grassroot Minus Non Tx:	0
Tot Lobby Expend Mns Lobbying Non Tx:	0
Share Of Excess Lobbying:	0
Affiliated Group Business Name: Yale Southern Observatory Inc	
Address. Either US or Foreign Type: PO Box 208239 New Haven, CT 06520	
EIN: 06-6068906	
Electing Organization Checkbox: <input type="checkbox"/>	
Total Grassroots Lobbying:	0
Total Direct Lobbying:	0
Total Lobbying Expenditures:	0
Other Exempt Purpose Expenditures:	0
Total Exempt Purpose Expenditures:	0
Lobbying Nontaxable Amount:	0
Grassroots Nontaxable Amount:	0
Tot Lobbying Grassroot Minus Non Tx:	0
Tot Lobby Expend Mns Lobbying Non Tx:	0
Share Of Excess Lobbying:	0
Affiliated Group Business Name: Yale University Retiree Health Benefits Coverage Trust	
Address. Either US or Foreign Type: PO Box 208239 New Haven, CT 06520	
EIN: 06-6414276	
Electing Organization Checkbox: <input type="checkbox"/>	
Total Grassroots Lobbying:	0
Total Direct Lobbying:	0
Total Lobbying Expenditures:	0
Other Exempt Purpose Expenditures:	27,378,143
Total Exempt Purpose Expenditures:	27,378,143
Lobbying Nontaxable Amount:	1,000,000
Grassroots Nontaxable Amount:	250,000
Tot Lobbying Grassroot Minus Non Tx:	0
Tot Lobby Expend Mns Lobbying Non Tx:	0
Share Of Excess Lobbying:	0
Affiliated Group Business Name: Yale New Haven Medical Center Inc	
Address. Either US or Foreign Type: 20 York Street New Haven, CT 06519	
EIN: 23-7440891	
Electing Organization Checkbox: <input type="checkbox"/>	
Total Grassroots Lobbying:	0
Total Direct Lobbying:	0
Total Lobbying Expenditures:	0
Other Exempt Purpose Expenditures:	1,078,527
Total Exempt Purpose Expenditures:	1,078,527
Lobbying Nontaxable Amount:	182,853
Grassroots Nontaxable Amount:	45,713
Tot Lobbying Grassroot Minus Non Tx:	0
Tot Lobby Expend Mns Lobbying Non Tx:	0
Share Of Excess Lobbying:	0

Additional Data

Software ID:
Software Version:
EIN: 06-0646973
Name: Yale University

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

4d. Other program services					
(Code) (Expenses \$	383,363,081	including grants of \$	0) (Revenue \$	111,562,369)

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Richard C Levin Trustee and President	50 00	X		X				1,188,034	0	439,615
G Leonard Baker Jr Trustee	5 00	X						0	0	0
Edward P Bass Trustee	5 00	X						0	0	0
Roland W Betts Trustee	5 00	X						0	0	0
Jeffrey L Bewkes Trustee	5 00	X						0	0	0
Peter B Dervan Trustee	5 00	X						0	0	0
Donna L Dubinsky Trustee	5 00	X						0	0	0
Michael Fedele Trustee (ex-officio)	0 00	X						0	0	0
Mimi Gardner Gates Trustee	5 00	X						0	0	0
Neal L Keny-Guyer Trustee	5 00	X						0	0	0
Paul L Joskow Trustee	5 00	X						0	0	0
Margaret H Marshall Trustee	5 00	X						0	0	0
William I Miller Trustee	5 00	X						0	0	0
Indra Nooyi Trustee	5 00	X						0	0	0
Barrington D Parker Trustee	5 00	X						0	0	0
M Jodi Rell Trustee (ex-officio)	0 00	X						0	0	0
Douglas A Warner III Trustee	5 00	X						0	0	0
Margaret G Warner Trustee	5 00	X						0	0	0
Fareed Zakaria Trustee	5 00	X						0	0	0
Bruce D Alexander VP for New Haven & state affairs	50 00			X				484,309	0	46,147
Shauna R King VP for Finance & Business operation	50 00			X				523,061	0	34,322
Linda K Lorimer VP & Secretary	50 00			X				509,777	0	52,268
Michael A Peel VP for HR & Adm	50 00			X				487,253	0	46,474
Ingeborg T Reichenbach VP for Development	50 00			X				548,679	0	32,506
Dorothy K Robinson VP & General Counsel	50 00			X				497,555	0	35,578

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
Peter Salovey Provost	50 00			X				522,544	0	38,522
Robert J Alpern Dean School of Medicine	50 00				X			673,029	0	30,606
John H Bollier AVP for Facilities	50 00				X			328,609	0	58,201
Michael J Donoghue VP West Campus Planning	50 00				X			341,685	0	42,297
David F Swensen Chief Investment Officer	50 00				X			3,796,124	0	79,816
Dean J Takahashi Sr Director Investments	50 00				X			2,609,362	0	30,040
Sukru H Emre Professor Surgery	50 00					X		1,015,035	0	63,548
Alan S Forman Director Investments	50 00					X		1,114,825	0	399,070
David J Leffell CEO , Yale Medical Group	50 00					X		1,418,607	0	49,589
Thomas J Rutherford Assoc Professor Ob/Gyn	50 00					X		1,249,042	0	36,547
Timothy Sullivan Director Investments	50 00					X		1,194,781	0	383,494
Susan L Carney Deputy General Counsel	50 00						X	229,990	0	74,476
Andrew D Hamilton Former Provost	50 00						X	389,309	0	24,933
Joan E O'Neill AVP for Development	50 00						X	257,337	0	59,209

Form 990, Part VIII - Statement of Revenue - 2a - 2g Program Service Revenue -

	Business Code	(A) Total Revenue	(B) Related or Exempt Function Revenue	(C) Unrelated Business Revenue	(D) Revenue Excluded from Tax under IRC 512, 513, or 514
medical service income	900,099	462,118,166	461,753,513	364,653	
student income	611,710	445,638,750	445,638,750		
contract income-gov't	541,700	69,483,027	69,483,027		
publications income	511,130	31,521,584	31,521,584		
other program service	611,710	30,200,355	28,527,026	1,673,329	

Form 990, Part IX - Statement of Functional Expenses - 24a - 24e Other Expenses

<i>Do not include amounts reported on line 6b, 8b, 9b, and 10b of Part VIII.</i>	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
supplies-med & research	61,835,308	61,538,277	297,031	
supplies-other	44,074,222	37,106,354	6,567,877	399,991
books, periodicals & co	42,638,256	41,316,261	1,241,597	80,398
food & beverage	12,908,514	12,620,186	256,034	32,294
Business Meals & Entert	8,419,248	2,824,327	4,187,114	1,407,807