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Form 990

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2009

Open to Public Inspection

A For the 2009 calendar year, or tax year beginning 07-01-2009 and ending 06-30-2010

B Check if applicable
☑ Address change
☐ Name change
☐ Initial return
☐ Terminated
☐ Amended return
☐ Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization
THE SCHOTT Foundation FOR PUBLIC EDUCATION

Doing Business As

Number and street (or P O box if mail is not delivered to street address) | Room/suite
675 MASSACHUSETTS AVENUE 8th floor

City or town, state or country, and ZIP + 4
CAMBRIDGE, MA 02139

D Employer identification number
04-3457065

E Telephone number
(617) 876-7700

G Gross receipts $ 3,498,737

F Name and address of principal officer
JOHN H JACKSON
675 MASSACHUSETTS AVENUE 8th floor
CAMBRIDGE, MA 02139

H(a) Is this a group return for affiliates? ☐ Yes ☑ No

H(b) **Are all affiliates included?** ☐ Yes ☐ No
If "No," attach a list (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status ☑ 501(c) (3) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ WWW SCHOTTFOUNDATION ORG

K Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation 1999

M State of legal domicile MA

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities
The purpose of the corporation is to engage in the following activities (1) To operate exclusively for charitable and educational purposes under Code Section 501(c)(3), (2) To develop and strengthen a broad-based and representative movement to achieve fully resourced, quality pre K-12 public education, and (3) To engage in any and all other lawful activities incidental to and in pursuit of the foregoing purposes, except as specifically restricted by the Articles of Organization

2 Check this box ▶☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3	Number of voting members of the governing body (Part VI, line 1a)	3	11
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	10
5	Total number of employees (Part V, line 2a)	5	8
6	Total number of volunteers (estimate if necessary)	6	10
7a	Total gross unrelated business revenue from Part VIII, column (C), line 12	7a	35,000
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	5,270,100	3,043,575
	9	Program service revenue (Part VIII, line 2g)		0
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	36,211	-38,473
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	100,675	69,258
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	5,406,986	3,074,360
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	1,732,515	1,852,730
	14	Benefits paid to or for members (Part IX, column (A), line 4)		0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	1,079,411	1,323,054
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	33,750	0
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶40,340		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24f)	1,397,488	1,307,952
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	4,243,164	4,483,736
	19	Revenue less expenses Subtract line 18 from line 12	1,163,822	-1,409,376
Net Assets or Fund Balances			Beginning of Current Year	End of Year
	20	Total assets (Part X, line 16)	3,408,043	2,710,962
	21	Total liabilities (Part X, line 26)	370,004	897,375
	22	Net assets or fund balances Subtract line 21 from line 20	3,038,039	1,813,587

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

****** | 2011-05-12
Signature of officer | Date

JOHN H JACKSON president and ceo
Type or print name and title

Paid Preparer's Use Only

Preparer's signature ▶ JOSEPH M GISO MST | Date 2011-05-12 | Check if self-employed ▶ ☐ | Preparer's identifying number (see instructions)

Firm's name (or yours if self-employed), address, and ZIP + 4 ▶ CBIZ Tofias
350 Massachusetts Avenue
Cambridge, MA 02139

EIN ▶

Phone no ▶ (617) 761-0600

May the IRS discuss this return with the preparer shown above? (see instructions) ☑ Yes ☐ No

Part III Statement of Program Service Accomplishments

1 Briefly describe the organization's mission

The purpose of the corporation is to engage in the following activities (1) To operate exclusively for charitable and educational purposes under Code Section 501(c)(3), (2) To develop and strengthen a broad-based and representative movement to achieve fully resourced, quality pre K-12 public education, and (3) To engage in any and all other lawful activities incidental to and in pursuit of the foregoing purposes, except as specifically restricted by the Articles of Organization

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these changes on Schedule O

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses $ 1,816,700 including grants of $ 722,500) (Revenue $)

Opportunity to Learn - The Opportunity To Learn philanthropic strategy is an effort to increase resource accountability and ensure that race is no longer a significant predictor of educational resource access or outcomes The Schott Foundation will use its advocacy management firm philanthropic model to manage a grant making strategy to build the public will to increase the number of states that adopt an "Opportunity To Learn" reform framework and create a federal right to an Opportunity To Learn

4b (Code) (Expenses $ 761,628 including grants of $ 635,000) (Revenue $)

Black Male Donor Collaborative - The Black Male Donor Collaborative aims to identify successful program interventions and policy levers to address the achievement disparities impacting the most extremely underperforming group in New York - Black males

4c (Code) (Expenses $ 530,774 including grants of $ 444,105) (Revenue $)

Teachers as Leaders - The Teachers as Leaders Program is focused on recruiting and retaining African American males as teachers in New York City and training them to engage as policy advocates The initiative is also focused on evaluating the impact of African American male teachers on the educational performance of Black boys

4d Other program services (Describe in Schedule O) **See also Additional Data for Description**

(Expenses $ 321,800 including grants of $ 51,125) (Revenue $ 35,000)

4e **Total program service expenses**► $ 3,430,902

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	Yes	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		No
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities? *If "Yes," complete Schedule C, Part II*	4		No
5	**Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations.** Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? *If "Yes," complete Schedule C, Part III*	5		
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? *If "Yes," complete Schedule D, Part II*	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		No
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		No
10	Did the organization, directly or through a related organization, hold assets in term, permanent,or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10		No
11	Is the organization's answer to any of the following questions "Yes"? *If so,complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.*	11	Yes	
	• Did the organization report an amount for land, buildings, and equipment in Part X, line10? *If "Yes," complete Schedule D, Part VI.*			
	• Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII.*			
	• Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII.*			
	• Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX.*			
	• Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X.*			
	• Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? *If "Yes," complete Schedule D, Part X.*			
12	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI, XII, and XIII*	12	Yes	
12A	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional* — 12A: Yes [ ] No: No			
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		No
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, and program service activities outside the United States? *If "Yes," complete Schedule F, Part I*	14b		No
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the U S ? *If "Yes," complete Schedule F, Part II*	15		No
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the U S ? *If "Yes," complete Schedule F, Part III*	16		No
17	Did the organization report a total of more than $15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I*	17		No
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		No
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		No
20	Did the organization operate one or more hospitals? *If "Yes," complete Schedule H*	20		No

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
21	Did the organization report more than $5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21	Yes	
22	Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22		No
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer questions 24b–24d and complete Schedule K. If "No," go to line 25*	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II*	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? *If "Yes," complete Schedule L, Part III*	27		No
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or owner? *If "Yes," complete Schedule L, Part IV*	28c		No
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I*	33		No
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1*	34	Yes	
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35		No
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36	Yes	
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

					Yes	No
1a	Enter the number reported in Box 3 of Form 1096, *Annual Summary and Transmittal of U.S. Information Returns. Enter -0- if not applicable*	1a	35			
b	Enter the number of Forms W-2G included in line 1a *Enter -0-* if not applicable	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c		
2a	Enter the number of employees reported on Form W-3, *Transmittal of Wage and Tax Statements* filed for the calendar year ending with or within the year covered by this return	2a	8			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note:** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return (see instructions)			2b	Yes	
3a	Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return?			3a	Yes	
b	If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O*			3b	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			4a		No
b	If "Yes," enter the name of the foreign country ▶ See the instructions for exceptions and filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			5a		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b		No
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?			5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible?			6a		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b		
7	**Organizations that may receive deductible contributions under section 170(c).**					
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?			7a		No
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c		No
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			7f		No
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?			7g		
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?			7h		
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?			8		
9	**Sponsoring organizations maintaining donor advised funds.**					
a	Did the organization make any taxable distributions under section 4966?			9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?			9b		
10	**Section 501(c)(7) organizations.** Enter					
a	Initiation fees and capital contributions included on Part VIII, line 12	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	**Section 501(c)(12) organizations.** Enter					
a	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				

Part VI **Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Section A. Governing Body and Management

			Yes	No
1a	Enter the number of voting members of the governing body . . 1a 11			
b	Enter the number of voting members that are independent . . 1b 10			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	Yes	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3		No
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?	4	Yes	
5	Did the organization become aware during the year of a material diversion of the organization's assets?	5		No
6	Does the organization have members or stockholders?	6		No
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	7a		No
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	7b		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following			
a	The governing body?	8a	Yes	
b	Each committee with authority to act on behalf of the governing body?	8b	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

			Yes	No
10a	Does the organization have local chapters, branches, or affiliates?	10a		No
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b		
11	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11	Yes	
11A	Describe in Schedule O the process, if any, used by the organization to review the Form 990			
12a	Does the organization have a written conflict of interest policy? *If "No," go to line 13*	12a	Yes	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done	12c	Yes	
13	Does the organization have a written whistleblower policy?	13	Yes	
14	Does the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	Yes	
b	Other officers or key employees of the organization	15b	Yes	
	If "Yes" to line a or b, describe the process in Schedule O (See instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		No
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed ▶MA , NY

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you make these available Check all that apply

☐ Own website ☑ Another's website ☑ Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public See Additional Data Table

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶

alfred t miller jr Sr VP of Op
675 MASSACHUSETTS AVENUE
CAMBRIDGE, MA 02139
(617) 876-7700

Part VII Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year Use Schedule J-2 if additional space is needed

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and **current** key employees Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☐ Check this box if the organization did not compensate any current or former officer, director, trustee or key employee

(A) Name and Title	(B) Average hours per week	(C) Position: Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
John h Jackson President/CEO, ex-officio	40 00	X		X				276,532	0	31,494
greg Jobin-Leeds chairman	1 00	X		X				0	0	0
balshall rinku sen vice-Chair	1 00	X		X				0	0	0
michelle Coffey treasurer	1 00	X		X				0	0	0
maria Jobin-Leeds clerk	1 00	X		X				0	0	0
Ahurima Bhargava Board Director	1 00	X						0	0	0
Maisie Chin Board Director	1 00	X						0	0	0
Andrew Gillum Board Director	1 00	X						0	0	0
Jackie Jenkins-Scott Board Director	1 00	X						0	0	0
Gerald Leeds Board Director	1 00	X						0	0	0
Lilo Leeds Board Director	1 00	X						0	0	0
Alfred t Miller jr Senior VP of Operations	40 00			X				0	0	0
Cassie Schwerner Senior VP of Programs	40 00					X		136,907	0	41,648
Vahe Karlozian Director of Admin & Finance	40 00					X		133,901	0	17,976

1b Total . . . . ▶	547,340	0	91,118

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 in reportable compensation from the organization ▶4

		Yes	No
3 Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	**3**		No
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	**4**	Yes	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? *If "Yes," complete Schedule J for such person*	**5**		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization

(A) Name and business address	**(B)** Description of services	**(C)** Compensation
collaborative communications group 1029 vermont avenue nw washington, DC 20005	event planning & communications	221,050

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶1

Part VIII Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns . . 1a					
	b	Membership dues 1b					
	c	Fundraising events 1c					
	d	Related organizations . . . 1d					
	e	Government grants (contributions) 1e					
	f	All other contributions, gifts, grants, and similar amounts not included above 1f	3,043,575				
	g	Noncash contributions included in lines 1a-1f $					
	h	**Total.** Add lines 1a-1f . . . . ▶		3,043,575			
Program Service Revenue			Business Code				
	2a						
	b						
	c						
	d						
	e						
	f	All other program service revenue					
	g	**Total.** Add lines 2a–2f . . . . ▶					
Other Revenue	3	Investment income (including dividends, interest and other similar amounts) . . . . . ▶		22,008			22,008
	4	Income from investment of tax-exempt bond proceeds . . ▶					
	5	Royalties . . . . ▶					
			(i) Real / (ii) Personal				
	6a	Gross Rents					
	b	Less rental expenses					
	c	Rental income or (loss)					
	d	Net rental income or (loss) . . . . ▶					
			(i) Securities / (ii) Other				
	7a	Gross amount from sales of assets other than inventory	363,896 /				
	b	Less cost or other basis and sales expenses	424,377 /				
	c	Gain or (loss)	-60,481 /				
	d	Net gain or (loss) . . . . ▶		-60,481			-60,481
	8a	Gross income from fundraising events (not including $ ______ of contributions reported on line 1c) See Part IV, line 18 . . . a					
	b	Less direct expenses . . . b					
	c	Net income or (loss) from fundraising events . . ▶					
	9a	Gross income from gaming activities See Part IV, line 19 . . . a					
	b	Less direct expenses . . . b					
	c	Net income or (loss) from gaming activities . . . ▶					
	10a	Gross sales of inventory, less returns and allowances . a					
	b	Less cost of goods sold . . b					
	c	Net income or (loss) from sales of inventory . . ▶					
		Miscellaneous Revenue	Business Code				
	11a	MANAGEMENT FEE-RELATED	561,000	35,000		35,000	
	b	spECIAL EVENT INCOME-r	611,710	19,508			19,508
	c	SPECIAL EVENT INCOME-s	900,099	14,750			14,750
	d	All other revenue					
	e	**Total.** Add lines 11a–11d . . . . . . ▶		69,258			
	12	**Total revenue.** See Instructions . . . ▶		3,074,360	0	35,000	-4,215

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.
All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	**(A)** Total expenses	**(B)** Program service expenses	**(C)** Management and general expenses	**(D)** Fundraising expenses
1 Grants and other assistance to governments and organizations in the U S See Part IV, line 21	1,852,730	1,852,730		
2 Grants and other assistance to individuals in the U S See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the U S See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	374,000	329,120	44,880	
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	689,220	365,764	297,336	26,120
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	43,268	28,041	14,147	1,080
9 Other employee benefits	212,508	137,719	69,485	5,304
10 Payroll taxes	4,058	4,058		
11 Fees for services (non-employees)				
a Management	85,326		85,326	
b Legal	37,699		37,699	
c Accounting	116,527		116,527	
d Lobbying				
e Professional fundraising *See Part IV, line 17*				
f Investment management fees	9,282		9,282	
g Other				
12 Advertising and promotion				
13 Office expenses	78,819	3,907	74,912	
14 Information technology	599	599		
15 Royalties				
16 Occupancy	114,433	48,460	60,286	5,687
17 Travel	157,425	119,000	38,425	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	109,341	95,194	14,147	
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	7,896	3,598	4,298	
23 Insurance	7,222		7,222	
24 Other expenses Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below)				
a CONSULTING FEES	448,573	395,482	53,091	
b telephone	41,228	11,524	28,293	1,411
c recruiting	32,560		32,560	
d POSTAGE & PRINTING	31,488	22,947	8,166	375
e supplies	15,409	7,349	7,797	263
f All other expenses	14,125	5,410	8,615	100
25 **Total functional expenses.** Add lines 1 through 24f	4,483,736	3,430,902	1,012,494	40,340
26 **Joint costs.** Check here ▶ ☐ if following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

			(A) Beginning of year		(B) End of year
Assets	1	Cash—non-interest-bearing		1	
	2	Savings and temporary cash investments	1,506,577	2	1,447,738
	3	Pledges and grants receivable, net		3	
	4	Accounts receivable, net	875,595	4	390,280
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L		5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Complete Part II of Schedule L		6	
	7	Notes and loans receivable, net		7	
	8	Inventories for sale or use		8	
	9	Prepaid expenses and deferred charges	19,734	9	6,049
	10a	Land, buildings, and equipment cost or other basis *Complete Part VI of Schedule D* — 10a: 156,641			
	b	Less accumulated depreciation — 10b: 134,599	15,262	10c	22,042
	11	Investments—publicly traded securities	986,958	11	843,591
	12	Investments—other securities See Part IV, line 11		12	
	13	Investments—program-related See Part IV, line 11		13	
	14	Intangible assets		14	
	15	Other assets See Part IV, line 11	3,917	15	1,262
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)	3,408,043	16	2,710,962
Liabilities	17	Accounts payable and accrued expenses	130,611	17	170,225
	18	Grants payable	239,393	18	727,150
	19	Deferred revenue		19	
	20	Tax-exempt bond liabilities		20	
	21	Escrow or custodial account liability *Complete Part IV of Schedule D*		21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons *Complete Part II of Schedule L*		22	
	23	Secured mortgages and notes payable to unrelated third parties		23	
	24	Unsecured notes and loans payable to unrelated third parties		24	
	25	Other liabilities Complete Part X of Schedule D		25	
	26	**Total liabilities.** Add lines 17 through 25	370,004	26	897,375
Net Assets or Fund Balances		**Organizations that follow SFAS 117, check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.**			
	27	Unrestricted net assets	1,346,490	27	1,219,438
	28	Temporarily restricted net assets	1,691,549	28	594,149
	29	Permanently restricted net assets		29	
		Organizations that do not follow SFAS 117, check here ▶ ☐ and complete lines 30 through 34.			
	30	Capital stock or trust principal, or current funds		30	
	31	Paid-in or capital surplus, or land, building or equipment fund		31	
	32	Retained earnings, endowment, accumulated income, or other funds		32	
	33	Total net assets or fund balances	3,038,039	33	1,813,587
	34	Total liabilities and net assets/fund balances	3,408,043	34	2,710,962

Part XI Financial Statements and Reporting

			Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other ____________ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? . .	2a		No
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes	
c	If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O . . .	2c	Yes	
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both ☑ Separate basis ☐ Consolidated basis ☐ Both consolidated and separated basis			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		No
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits . .	3b		

SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ **Attach to Form 990 or Form 990-EZ.** ▶ **See separate instructions.**

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
THE SCHOTT Foundation FOR PUBLIC EDUCATION

Employer identification number
04-3457065

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1 ☐ A church, convention of churches, or association of churches **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☑ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a ☐ Type I **b** ☐ Type II **c** ☐ Type III - Functionally integrated **d** ☐ Type III - Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i) a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization?	**11g(i)**		
(ii) a family member of a person described in (i) above?	**11g(ii)**		
(iii) a 35% controlled entity of a person described in (i) or (ii) above?	**11g(iii)**		

h Provide the following information about the supported organization(s)

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document? Yes	No	(v) Did you notify the organization in col (i) of your support? Yes	No	(vi) Is the organization in col (i) organized in the U S ? Yes	No	(vii) Amount of support?
Total									

Part II **Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	**(a)** 2005	**(b)** 2006	**(c)** 2007	**(d)** 2008	**(e)** 2009	**(f)** Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")	3,158,400	3,318,389	4,158,300	5,323,800	3,058,325	19,017,214
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 through 3	3,158,400	3,318,389	4,158,300	5,323,800	3,058,325	19,017,214
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						14,309,160
6 **Public Support.** Subtract line 5 from line 4						4,708,054

Section B. Total Support

Calendar year (or fiscal year beginning in)	**(a)** 2005	**(b)** 2006	**(c)** 2007	**(d)** 2008	**(e)** 2009	**(f)** Total
7 Amounts from line 4	3,158,400	23,448	4,158,300	5,323,800	3,058,325	19,017,214
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	15,184	23,448	47,688	28,444	22,008	136,772
9 Net income from unrelated business activities, whether or not the business is regularly carried on	20,000	25,000	30,000	35,000	35,000	145,000
10 Other income (Explain in Part IV) Do not include gain or loss from the sale of capital assets						
11 **Total support** (Add lines 7 through 10)						19,298,986

12 Gross receipts from related activities, etc (See instructions) **12** 31,483

13 **First Five Years** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ▶☐

Section C. Computation of Public Support Percentage

14 Public Support Percentage for 2009 (line 6 column (f) divided by line 11 column (f)) **14** 24 400 %

15 Public Support Percentage for 2008 Schedule A, Part II, line 14 **15** 21 720 %

16a **33 1/3% support test—2009.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

b **33 1/3% support test—2008.** If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

17a **10%-facts-and-circumstances test—2009.** If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ▶☑

b **10%-facts-and-circumstances test—2008.** If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ▶☐

18 **Private Foundation** If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions ▶☐

Part III **Support Schedule for Organizations Described in IRC 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	**(a)** 2005	**(b)** 2006	**(c)** 2007	**(d)** 2008	**(e)** 2009	**(f)** Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public Support** (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in)	**(a)** 2005	**(b)** 2006	**(c)** 2007	**(d)** 2008	**(e)** 2009	**(f)** Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13 **Total support** (Add lines 9, 10c, 11 and 12)						

14 **First Five Years** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ▶☐

Section C. Computation of Public Support Percentage

15 Public Support Percentage for 2009 (line 8 column (f) divided by line 13 column (f))	15	
16 Public support percentage from 2008 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for **2009** (line 10c column (f) divided by line 13 column (f))	17	
18 Investment income percentage from **2008** Schedule A, Part III, line 17	18	

19a **33 1/3% support tests—2009.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

b **33 1/3% support tests—2008.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

20 **Private Foundation** If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions ▶☐

Part IV **Supplemental Information.** Supplemental Information. Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Provide any other additional information. See instructions

Facts And Circumstances Test
The Schott Foundation Meets The "Facts and Circumstances" TestThe Schott Foundation for Public Education (the "Foundation") qualifies as a "publicly supported" organization under section 170(b)(1)(A)(vi) of the Code because it satisfies the "facts and circumstances" test set forth in Treas Reg 1 170A-9(f)(3) The Foundation normally receives at least 10% of its support from the general public, and it carries on a continuous and bona fide program of solicitation of public support Moreover, the Foundation meets the following factors enumerated in the regulations as being indicative of public support 1 Percentage of Financial Support Treas Reg 1 170A-9(f)(3)(iii) During the five-year period ending on June 30, 2010, the portion of the Foundation's support that qualifies as eligible public support is 24 40, well in excess of the 10% threshold 2 Sources of Support Treas Reg 1 170A-9(f)(3)(iv) During the past five tax years, the Foundation has received contributions from a range of donors, including private individuals and families, corporations, private foundations and public charities 3 Representative Governing Body Treas Reg 1 170A-9(f)(3)(v) The Foundation's twelve-member Board of Directors clearly represents broad public interests A majority of the directors meet this requirement because they are public officials acting in their capacities as such, persons having special knowledge or expertise in the education field in which the Foundation operates, and others who represent a broad cross-section of the community See Treas Reg 1 170A-9(f)(3)(v) The following are brief biographies of the Foundation's directors Greg Jobin-Leeds Mr Jobin-Leeds helped to found the Foundation in 1991 Early in his career, he worked as a high school English teacher He went on to train adult literacy teachers, and more recently he has worked to increase political access for disenfranchised populations He has a Master's degree from the Teacher's College at Columbia University and more than 25 years of education, public policy, media, community organizing and leadership experience He is a powerful speaker on the topic of "Strategic Philanthropy " Among other achievements, in partnership with the Teacher's College at Columbia University, Greg helped launch The National Academy for Excellent Teaching to improve teaching in urban schools In 2007, Greg was appointed by Massachusetts Governor Deval Patrick to serve on the Massachusetts' Readiness Project Leadership Council, specifically as a member of the Long-term Funding subcommittee Lilo Leeds Ms Leeds is a co-founder of the Foundation She is co-founder and co-chairperson of the Institute for Student Achievement, a nonprofit organization committed to economic and social change in disadvantaged communities through education and youth programs Lilo Leeds is a member of the Child Care Council of Nassau County, the Great Neck/Manhasset Community Child Care Partnership, and serves on the Advisory Board of the Arnold and Joan Saltzman/Hofstra Child Care Center She was an Associate Trustee of North Shore University Hospital and is on the Board of the North Shore Child & Family Guidance Lilo Leeds serves as an honoree of Women on the Job, was named "Woman of the Year" by the Long Island Association, was an honoree of the National Organization of Women and received the Barbara Kramer Memorial Award from the Port Washington Children's Center Rinku Sen Ms Sen is the President and Executive Director of the Applied Research Center (ARC) and Publisher of ColorLines magazine She has a rich history of organizing, writing and lecturing on issues of race, gender and activism She received a B A in Women's Studies from Brown University in 1988 and is currently pursuing a M A in Journalism at Columbia University She has written extensively about immigration, community organizing and women's lives for a wide variety of publications including Third Force, AlterNet, Race, Poverty, the Environment, Amerasia Journal and Colorlines She edited We Are the Ones We Are Waiting For Women of Color Organizing for Transformation, published by the Urban Rural Missions of the World Council of Churches in 1995 She was the principal investigator on research projects for the Ford and Ms Foundations Her latest book, Stir It Up Lessons in Community Organizing, a guide for community organizations of all orientations was released in the fall of 2003 The book is a finalist for the 2004 Nautilus Book Award in the social change category Michelle Coffey As Senior Philanthropic Advisor, Ms Coffey works closely with the New York Office Director to manage and lead the Tides Foundation's philanthropic service delivery Her areas of focus include Criminal Justice Reform, Arts and Culture, HIV/AIDS and International grant making Ms Coffey joined Tides as a Program Officer in 2001 Prior to joining Tides, Michelle served as a Program Officer for the New York Foundation for the Arts and is grounded in the arts and culture community Her professional affiliations and board memberships have been with the Grantmakers Without Borders as co-chair, The Schott Foundation for Public Education, Funders Concerned about AIDS, and the National Association of Artists' Organizations Andrew Gillum With a passion for public service, and the ability to motivate and mobilize people to action, Commissioner Andrew D Gillum is known in his home state of Florida and nationally as an emerging leader At the age of 23 and while still a student at Florida A&M University (FAMU), he became the youngest person ever elected to the Tallahassee City Commission in February 2003 In August 2004, Commissioner Gillum was re-elected by the citizens of Tallahassee to serve for a full four-year term as one of four on the Tallahassee City Commission In November of 2004, Gillum was elected by his fellow City Commissioners to serve a one-year term as Mayor Pro Tem Additionally, the joint body of City and County Commissioners, known as the Capital Region Transportation Planning Agency, elected him to serve as their chairperson for a year in 2005 In June 2003, he received the "Emerging Leaders Award" from the Congressional Black Caucus Foundation, Inc (Washington, DC) for educating and motivating young adults to become more politically involved and for being an "invaluable source of guidance and inspiration to this generation of leaders " Ebony magazine's February 2004 edition featured Gillum as one of "The Fast Track 30 Leaders Who are 30 and Under " As National Director of the Young Elected Officials Network with PFAWF, Gillum spearheads a program that seeks to unite elected officials age 35 and under in a network which supports them with leadership and personal development training and public policy support Gillum serves on the Board of Directors of the Black Youth Vote Coalition, a program of the National Coalition on Black Civic Participation (Washington, DC), is a member of the National Association for the Advancement of Colored People (NAACP), and a member of the Community of Faith Church He holds a number of other board/committee memberships Gerard Leeds Mr Leeds is a co-founder and member of the Board of Directors of the Institute for Student Achievement, Inc , which he founded with his wife, Lilo, in 1990 In 1971, prior to establishing the Institute, Gerard and Lilo Leeds founded CMP Media Inc , a major publisher of business newspapers, magazines, Internet and other information services for the high-tech industries The company's socially responsible policies placed it in Fortune magazine as one of the 100 best companies to work for, and in Working Mother as one of the best companies for women workers In addition to founding the Institute for Student Achievement, he is on the boards of several other organizations working on education issues These include the Alliance for Excellent Education, located in Washington, DC, whose goal is to make excellent education for all children a reality Gerard and Lilo Leeds are recipients of a large number of civic awards, including Socially Responsible Entrepreneurs of the Year, LIA Humanitarian Award, outstanding Philanthropists of the Year for NSFRE/L I, were honored by the Urban League of L I, the NYS Chapter of NAACP, the NYS United Teachers Association, and the American Jewish Committee They were cited by Newsday in its report on "100 Who Shaped a Century" **Continued on Schedule O**

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

► Complete if the organization is described below.
► Attach to Form 990 or Form 990-EZ. ► See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

If the organization answered "Yes," to Form 990, Part IV, Line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes," to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes," to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, line 35a (regarding proxy tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization	Employer identification number
THE SCHOTT Foundation FOR PUBLIC EDUCATION	04-3457065

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV

2 Political expenditures ► $

3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ► $

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ► $

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No

4a Was a correction made? ☐ Yes ☐ No

b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c) except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ► $

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt funtion activities ► $

3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ► $

4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No

5 State the names, addresses and employer identification number (EIN) of all section 527 political organizations to which payments were made For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	**(b)** Address	**(c)** EIN	**(d)** Amount paid from filing organization's funds If none, enter -0-	**(e)** Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ☐ if the filing organization belongs to an affiliated group

B Check ☐ if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	**(a)** Filing Organization's Totals	**(b)** Affiliated Group Totals
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)	0	
b Total lobbying expenditures to influence a legislative body (direct lobbying)	0	
c Total lobbying expenditures (add lines 1a and 1b)	0	
d Other exempt purpose expenditures	4,443,397	
e Total exempt purpose expenditures (add lines 1c and 1d)	4,443,397	
f Lobbying nontaxable amount Enter the amount from the following table in both columns	372,170	

If the amount on line 1e, column (a) or (b) is:	**The lobbying nontaxable amount is:**
Not over $500,000	20% of the amount on line 1e
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000
Over $17,000,000	$1,000,000

	(a)	**(b)**
g Grassroots nontaxable amount (enter 25% of line 1f)	93,043	
h Subtract line 1g from line 1a If zero or less, enter -0-	0	
i Subtract line 1f from line 1c If zero or less, enter -0-	0	
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?	☐ **Yes** ☐ **No**	

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	**(a)** 2006	**(b)** 2007	**(c)** 2008	**(d)** 2009	**(e)** Total
2a Lobbying non-taxable amount	320,788	329,458	362,158	372,170	1,384,574
b Lobbying ceiling amount (150% of line 2a, column(e))					2,076,861
c Total lobbying expenditures	108,000	188,000	55,000		351,000
d Grassroots non-taxable amount	80,197	82,365	90,540	93,043	346,145
e Grassroots ceiling amount (150% of line 2d, column (e))					519,218
f Grassroots lobbying expenditures	71,000	61,000			132,000

Part II-B **Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

		(a) Yes	(a) No	(b) Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?			
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c	Media advertisements?			
d	Mailings to members, legislators, or the public?			
e	Publications, or published or broadcast statements?			
f	Grants to other organizations for lobbying purposes?			
g	Direct contact with legislators, their staffs, government officials, or a legislative body?			
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i	Other activities? If "Yes," describe in Part IV			
j	Total lines 1c through 1i			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	**1**		
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	**2**		
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	**3**		

Part III-B **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes".**

1	Dues, assessments and similar amounts from members	**1**	
2	Section 162(e) non-deductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	**2a**	
b	Carryover from last year	**2b**	
c	Total	**2c**	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	**3**	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	**4**	
5	Taxable amount of lobbying and political expenditures (see instructions)	**5**	

Part IV **Supplemental Information**

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i Also, complete this part for any additional information

Identifier	Return Reference	Explanation

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493136042721

SCHEDULE D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
THE SCHOTT Foundation FOR PUBLIC EDUCATION

Employer identification number
04-3457065

Part I **Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit ☐ Yes ☐ No

Part II **Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or pleasure)
☐ Preservation of an historically importantly land area
☐ Protection of natural habitat
☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

			Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ▶________

4 Number of states where property subject to conservation easement is located ▶________

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ▶________

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ ________

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ ________

(ii) Assets included in Form 990, Part X ▶ $ ________

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ $ ________

b Assets included in Form 990, Part X ▶ $ ________

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition
d ☐ Loan or exchange programs
b ☐ Scholarly research
e ☐ Other
c ☐ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table

		Amount
c Beginning balance	1c	
d Additions during the year	1d	
e Distributions during the year	1e	
f Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current Year	(b) Prior Year	(c) Two Years Back	(d) Three Years Back	(e) Four Years Back
1a Beginning of year balance	1,056,647	1,254,137			
b Contributions					
c Investment earnings or losses	136,944	-197,490			
d Grants or scholarships					
e Other expenditures for facilities and programs	350,000				
f Administrative expenses					
g End of year balance	843,591	1,056,647			

2 Provide the estimated percentage of the year end balance held as

a Board designated or quasi-endowment ▶ 100.000 %

b Permanent endowment ▶

c Term endowment ▶

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

		Yes	No
(i) unrelated organizations	3a(i)		No
(ii) related organizations	3a(ii)		No
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIV the intended uses of the organization's endowment funds

Part VI Investments—Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		61,066	61,066	0
d Equipment		95,575	73,533	22,042
e Other				
Total. Add lines 1a-1e *(Column (d) should equal Form 990, Part X, column (B), line 10(c).)* ▶				22,042

Part VII Investments—Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Financial derivatives		
Closely-held equity interests		
Other		
Total. *(Column (b) should equal Form 990, Part X, col (B) line 12)* ▶		

Part VIII Investments—Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Total. *(Column (b) should equal Form 990, Part X, col (B) line 13)* ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
Total. *(Column (b) should equal Form 990, Part X, col.(B) line 15.)* . . . . ▶	

Part X Other Liabilities. See Form 990, Part X, line 25.

1 (a) Description of Liability	(b) Amount
Federal Income Taxes	
Total. *(Column (b) should equal Form 990, Part X, col (B) line 25)* ▶	

2. Fin 48 Footnote In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48

Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	3,074,360
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	4,483,736
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	-1,409,376
4	Net unrealized gains (losses) on investments	4	184,924
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	
9	Total adjustments (net) Add lines 4 - 8	9	184,924
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	-1,224,452

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements			1	3,259,284
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains on investments	2a	184,924		
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIV)	2d			
e	Add lines **2a** through **2d**			2e	184,924
3	Subtract line **2e** from line **1**			3	3,074,360
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV)	4b			
c	Add lines **4a** and **4b**			4c	0
5	Total Revenue Add lines **3** and **4c.** (This should equal Form 990, Part I, line 12)			5	3,074,360

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements			1	4,483,736
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIV)	2d			
e	Add lines **2a** through **2d**			2e	0
3	Subtract line **2e** from line **1**			3	4,483,736
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV)	4b			
c	Add lines **4a** and **4b**			4c	0
5	Total expenses Add lines **3** and **4c.** (This should equal Form 990, Part I, line 18)			5	4,483,736

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b Also complete this part to provide any additional information

Identifier	Return Reference	Explanation
Part V, Line 4	Description of Intended Use of Endowment Funds	The Schott Foundation Endowment was created to provide long-term financial support for The Schott Foundation Accordingly, these funds are managed with disciplined longer-term investment objectives and strategies designed to meet cash flows and spending requirements Management of the assets is designed to attain the maximum total return consistent with acceptable and agreed upon levels of risk It is the goal of the aggregate long-term investments to generate an average total annual return that exceeds the spending/payout rate plus inflation
Part X	Description of Uncertain Tax Positions Under FIN 48	The Foundation accounts for the effect of any uncertain tax positions based on a "more likely than not" threshold to the recognition of the tax positions being sustained based on the technical merits of the position under scrutiny by the applicable taxing authority If a tax position or positions are deemed to result in uncertainties of those positions, the unrecognized tax benefit is estimated based on a "cumulative probability assessment" that aggregates the estimated tax liability for all uncertain tax positions Interest and penalties assessed, if any, are accrued as income tax expense The Foundation has identified its tax status as a tax-exempt entity as a tax position However, the Foundation has determined that such tax position does not result in uncertainties requiring recognition The Foundation is not currently under examination by any taxing jurisdiction Its Federal and state income tax returns are generally open for examination for the past 3 years

Schedule I (Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
THE SCHOTT Foundation FOR PUBLIC EDUCATION

Employer identification number
04-3457065

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . ☑ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II

Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than $5,000. Check this box if no one recipient received more than $5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed . . . ▶ ☐

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							

2 Enter total number of section 501(c)(3) and government organizations . . . ▶ 33

3 Enter total number of other organizations . . . ▶ 2

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Use Schedule I-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance

Part IV **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

Identifier	Return Reference	Explanation
Procedure for Monitoring Grants in the U S	Part I, Line 2	Schedule I, Part I, Line 2 Describe The organization adheres to a strict policy for monitoring the use of grant funds in the United States by (1) enabling it to retain control and discretion as to the use of the funds, (2) maintaining records that establish that the funds were used for exempt purposes, and (3) limiting the distribution of funds to specific projects that are in furtherance of its own exempt purpose In addition, each potential grantee must submit a grant proposal to the organization which outlines the grant amount requested and the purpose of the grant The organization will then conduct a pre-grant inquiry which addresses the following (1) the identify, prior history, and experience of the grantee organization and its managers, (2) whether the grantee has a history of compliance with the terms of previous grants, (3) the current project, and the connection t the organization's mission After the grant has been approved, a grant award letter is sent to the grantee discussing the terms of the grant This letter requires the grantee to furnish the grantor with a report on the use of the funds and the progress made in accomplishing the purpose of the grant

Additional Data

Return to Form

Software ID:
Software Version:
EIN: 04-3457065
Name: THE SCHOTT Foundation FOR PUBLIC EDUCATION

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
Alliance for Quality Education 94 Central Ave albany, NY 12206	22-3810450	501(C)(4)	135,000				Improve NY Public Education
Alliance for Quality Education 94 Central Ave albany, NY 12206	22-3810450	501(C)(4)	5,000				Improve NY Public Education
Arkansas Public Policy Panel 1308 West Second St little rock, AR 72201	71-0467088	501(C)(3)	20,000				Develop Opportunity to Learn Campaign in AR
Association of Black Foundation Executive55 Exchange Place 5th Fl new york, NY 10005	23-7156531	501(C)(3)	5,000				Annual Membership Support
Brooklyn College Foundation Brooklyn College 2900 Bedford Ave brooklyn, NY 11210	11-1904329	501(C)(3)	142,000				Education
Brooklyn College FoundationRERIS Program Brooklyn College 2900 Bedford Ave brooklyn, NY 11210	11-1904329	501(C)(3)	100,000				Education
Cambridge Family & Children's Service60 Gore St cambridge, MA 02141	04-2105057	501(C)(3)	150,000				Education opportunity for working adults
Campaign for Fiscal Equity 110 William St new york, NY 10038	13-3706393	501(C)(3)	115,000				NYS School system Reform
Charles Hamilton Houston Inst for Race & Justice125 Mt Auburn St cambridge, MA 02135	04-2103580	501(C)(3)	5,000				School Integration K-12
Critical Exposure1816 12th St NW washington, DC 20009	26-2829875	501(C)(3)	10,000				Youth Empowerment

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Education Law Center60 Park Pl Suite 30 newark, NJ 07102	22-2014555	501(C)(3)	15,000				Equal Educational Opportunity for All
Go Beyond Foundation Inc 1342 Timberlane Rd 201A tallahassee, FL 32312	26-1754229	501(C)(3)	5,000				General Operating
Groundwork Inc595 Sutter Ave brooklyn, NY 11207	73-1625176	501(C)(3)	100,000				Improve Academic Achievement of Black Boys in NY
Justice Matters436 14th St Suite 700 oakland, CA 94612	94-3275302	501(C)(3)	5,000				Racial Justice in Schools
Massachusetts Budget & Policy Center15 Court Sq Suite 700 boston, MA 02108	04-2967537	501(C)(3)	25,000				Research & Ananlysis of Budget & Tax Policies in MA
Metropolitan Center for Urban Education726 Broadway 5th Fl new york, NY 10003	13-5562308	501(C)(3)	13,000				Education Trajectories of young black males in NY
Metropolitan Center for Urban Education726 Broadway 5th Fl new york, NY 10003	13-5562308	501(C)(3)	100,000				Assessing Effective Programs serving black males in NY
National Council of Churches Committee on Public Education & Literacy700 Prospect Ave cleveland, OH 44115	13-5562417	501(C)(3)	5,000				Ministries of Education & Faith Formation
National Urban League120 Wall St new york, NY 10005	13-1840489	501(C)(3)	50,000				Empowerment of African Americans
National Economic & Social Rights Initiative90 John St Suite 308 new york, NY 10038	73-1714118	501(C)(3)	100,000				School Discipline Reform & Conflict Management

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
New Settlement Apartments FBO Coalition for Educational JusticeNew Settlement Apartments 1512 Townsend Ave bronx, NY 10452	14-1719016	501(C)(3)	100,000				Improve Academic Achievement of Black Boys in NY
North Shore Child & Family Guidance Center480 Old Westbury Rd roslyn heights, NY 11577	11-1797183	501(C)(3)	10,000				Children's Mental Health
Northeast Action1946 Washington St boston, MA 02118	06-1136253	501(C)(3)	5,000				Societal Justice
PICO National Network110 Maryland Ave NE Suite 108 washington, DC 20002	94-2206497	501(C)(3)	10,000				Community Revitalization
Public Policy and Education Fund of NY94 Central Avenue albany, NY 12206	13-3364209	501(C)(3)	30,000				Initiative on Early Childhood Education
Public Policy and Education Fund of NY94 Central Avenue albany, NY 12206	13-3364209	501(C)(3)	15,000				Racial Justice & Leadership Development
Research Foundation of CUNY230 West 41st St new york, NY 10036	13-1988190	501(C)(3)	202,105				Education for Teachers as Leaders Initiative
Children's Aid Society105 East 22nd St new york, NY 10010	13-5562191	501(C)(3)	100,000				Improve Academic Achievement of Black Boys in NY
Community Foundation for the National Capital Region 1201 15th St NW Suite 420 washington, DC 20005	23-7343119	501(C)(3)	5,000				Improvement of college-ready graduation rates in DC
Polytechnic Institute of NYU Office of Sponsored Research 6 Metrotech Ctr brooklyn, NY 11201	11-1630820	501(C)(3)	100,000				Improve Academic Achievement of Black Boys in NY

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
Public Policy Institute30 Winter St boston, MA 02108	20-1903398	501(C)(3)	80,000				Civic Engagement
Third Sector New England (BUILD)338 S Hight St denver, CO 80209	04-2261109	501(C)(3)	20,000				Early Childhood Systems
Trustees of Columbia University in the City of NY 1210 Amsterdam Ave new york, NY 10027	13-5598093	501(C)(3)	22,000				Education Trajectories of young black males in NY
United Way of NY2 Park Ave new york, NY 10016	13-2617681	501(C)(3)	30,000				Childcare & Early Education Fund
Wheelock College200 The Riverway boston, MA 02215	04-2103639	501(C)(3)	5,000				Leadership Development

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93493136042721

Schedule J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
THE SCHOTT Foundation FOR PUBLIC EDUCATION

Employer identification number
04-3457065

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropiate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items ☐ First-class or charter travel ☐ Housing allowance or residence for personal use ☐ Travel for companions ☐ Payments for business use of personal residence ☐ Tax idemnification and gross-up payments ☑ Health or social club dues or initiation fees ☐ Discretionary spending account ☐ Personal services (e g , maid, chauffeur, chef)			
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement orprovision of all the expenses described above? If "No," complete Part III to explain	**1b**	Yes	
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	**2**	Yes	
3	Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply ☑ Compensation committee ☑ Written employment contract ☑ Independent compensation consultant ☑ Compensation survey or study ☐ Form 990 of other organizations ☑ Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization			
a	Receive a severance payment or change-of-control payment?	**4a**		No
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	**4b**		No
c	Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III	**4c**		No
	Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.			
5	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of			
a	The organization?	**5a**		No
b	Any related organization? If "Yes," to line 5a or 5b, describe in Part III	**5b**		No
6	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of			
a	The organization?	**6a**		No
b	Any related organization? If "Yes," to line 6a or 6b, describe in Part III	**6b**		No
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	**7**		No
8	Were any amounts reported in Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III	**8**		No
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	**9**		

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

(A) Name		**(B)** Breakdown of W-2 and/or 1099-MISC compensation			**(C)** Retirement and other deferred compensation	**(D)** Nontaxable benefits	**(E)** Total of columns (B)(i)-(D)	**(F)** Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	**(ii)** Bonus & incentive compensation	**(iii)** Other reportable compensation				
John h Jackson	(i)	256,532	20,000	0	20,520	10,974	308,026	0
	(ii)	0	0	0	0	0	0	0
Cassie Schwerner	(i)	130,157	6,750	0	11,415	30,233	178,555	0
	(ii)	0	0	0	0	0	0	0
Vahe Karlozian	(i)	122,164	0	11,737	6,517	11,459	151,877	0
	(ii)	0	0	0	0	0	0	0

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8 Also complete this part for any additional information

Identifier	Return Reference	Explanation
	Part I, Line 1a	All employees, including the President and CEO are eligible to be reimbursed $75 per month for health club membership

Additional Data

Software ID:
Software Version:
EIN: 04-3457065
Name: THE SCHOTT Foundation FOR PUBLIC EDUCATION

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93493136042721

SCHEDULE O
(Form 990)
Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No 1545-0047
2009
Open to Public Inspection

Name of the organization
THE SCHOTT Foundation FOR PUBLIC EDUCATION

Employer identification number
04-3457065

Identifier	Return Reference	Explanation
Form 990, Part VI, Section A, line 2		Greg Jobin-Leeds and Maria Jobin-Leeds are husband and wife Greg Jobin-Leeds is the son of Liselotte J Leeds and Gerard G Leeds Gerard G Leeds and Liselotte J Leeds are husband and wife

Identifier	Return Reference	Explanation
Form 990, Part VI, Section A, line 4		The organization restated its articles of incorporation Article II was amended to modify the purpose of the corporation to conform with its new status as a publicly supported charity under section 501(c)(3) of the internal revenue code Article IV was amended for similar reasons

Identifier	Return Reference	Explanation
Form 990, Part VI, Section B, line 11		The form 990 is prepared by the organization's outside accountants and is provided to the board via e-mail prior to being filed

Identifier	Return Reference	Explanation
Form 990, Part VI, Section B, line 12c		Annually, all directors review a list of current grantees and vendor significant partners and declare any conflicts or potential conflicts The conflict of interest policy is distributed annually All officers and directors are required to sign an annual acknowledgement that they have received a copy of the policy, understand it, and agree to abide by its terms

Identifier	Return Reference	Explanation
Form 990, Part VI, Section B, line 15		The process of determining initial compensation of the organizations Chief Executive Officer and other officers and key employees includes a review by an outside search firm A set of parameters including salary and job description guidelines is conveyed to the recruitment firm The recruitment firm will seek out individuals with the appropriate background and also make recommendations as to the comparable salaries for similar positions The basis of the compensation decision is documented and based on a determination that the amount paid is no more than reasonable in view of services rendered The Executive Committee manages this process and makes a recommendation to the full board

Identifier	Return Reference	Explanation
Form 990, Part VI, Section C, line 19		The organization makes it governing documents, conflict of interest policy and financial statements available to the public upon request An interested party may make a request directly to the organization Additionally, the form 990 and audited financial statements are available via the Massachusetts Attorney General's website and www guidestar org

Identifier	Return Reference	Explanation
FORM 990, PAGE 11, PART XI FINANCIAL STateMenTS & REPORTING	LINE 2C-DOES ORGANIZATION HAVE A COMMITTEE THAT ASSUMES OVERSIGHT OF AUDIT?	THERE HAVE BEEN NO CHANGES IN THE COMMITTEE'S RESPONSIBILTY FOR THE OVERSIGHT OF THE AUDIT

Identifier	Return Reference	Explanation
		FORM 990, SCHEDULE A-PART II, SECTION C COMPUTATION OF PUBLIC SUPPORT TEST LINE 17 - FACTS AND CIRCUMSTANCE TEST continued from schedule A Maria Jobin-Leeds Ms Jobin-Leeds started her political career as a high school biology and health teacher in an inner-city parochial high school in Boston Frustrated by the many crises her students faced, she spent the next decade doing AIDS education She worked for the state, then a service organization with youth and adults She provided prevention education, and designed and managed education programs She is also a director of the Access Strategies Fund Access Strategies funds and assists community organizations that work to make their voices heard in the creation of equitable public policies in Massachusetts Maria has a Master's degree from the Harvard Graduate School of Education She is on the Board of Directors of the Commonwealth Coalition, which cultivates progressive political power Maisie Chin Ms Chin is a co-founder and current director of CADRE (Community Asset Development Re-defining Education), an independent, grassroots parent membership organization in South Los Angeles comprised of low-income African American and Latino parents/caregivers After working in a K-16 institutional and foundation collaboration around education reform for over six years, Ms Chin and a South L A parent launched CADRE in 2001 CADRE's mission is to solidify and advance parent leadership to ensure that all children are rightfully educated regardless of where they live Through human rights-based community organizing and policy advocacy, CADRE parent leaders are fighting to end the pushout of low-income families of color from public schools and the school-to-prison pipeline Under Ms Chin's leadership CADRE has successfully influenced policy at the local school district level and is moving towards addressing state and national policies using the human rights framework Ms Chin is a native Californian and child of Chinese immigrants She has been part of the educational and social justice movement for 16 years, dedicated to fighting institutional racism by protecting and transforming public education in low-income neighborhoods of color She also has 18 years of experience in facilitation, training, and organizational development Ms Chin holds both a Bachelors of Arts in History and a Masters of Arts in Urban Planning - Community Development from the University of California, Los Angeles In addition to directing CADRE, Ms Chin is also an independent consultant and serves on the Board of Directors of Justice Matters, a national racial justice policy and research organization based in San Francisco, California Jackie Jenkins-Scott Ms Jenkins-Scott is President of Wheelock College, a private college with a mission to improve the lives of children and families Ms Jenkins-Scott received her B S from Eastern Michigan University, received a Masters of Social Work from the Boston University School of Social Work, and completed a postgraduate research fellowship at Radcliffe College From 1983 until 2004, Ms Jenkins-Scott served as the President and Chief Executive Officer of the Dimock Community Health Center in Roxbury, Massachusetts Prior to joining Dimock, she held several positions with the Commonwealth of Massachusetts, Departments of Public and Mental Health Ms Jenkins-Scott has served on many professional, civic and community boards and committees She currently serves on the Board of Directors of The Boston Foundation, the Kennedy Library Foundation and Museum, the Boston Plan for Excellence and WGBH She also serves on the Board of Directors of Century Bank and Trust Company and the Tufts Health Plan In April 2007, Boston's Mayor Thomas M Menino selected Ms Jenkins-Scott to Co-Chair his School Readiness Action Planning Team, charged with developing specific strategies to prevent the achievement gap among the next generation of students Ms Jenkins-Scott was asked by Governor Deval L Patrick to Co-Chair the "Readiness Project," the group responsible for developing a 10-year strategic plan to implement the vision for education in the Commonwealth of Massachusetts Ms Jenkins-Scott has received numerous awards and citations including the 2005 Associated Industries of Massachusetts Legacy of Leadership award, 2004 Pinnacle Lifetime Achievement Award from the Greater Boston Chamber of Commerce and the 2004 Distinguished Alumni Award from Boston University Ian Inaba Mr Inaba is an accomplished filmmaker, organizer, and new media expert As Co-Executive Director of Citizen Engagement Lab, he has guided the incubation of various projects including Presente org, the leading online advocacy organization focused on the concerns of Latino communities Previously, he co-founded VideoTheVote org, a nationwide network of citizen journalists that monitor election irregularities and has aided the growth of ColorOfChange org, the largest online constituency representing the concerns of Black America and GetEQUAL org, an organizing project calling for LGBTQ equality Mr Inaba directed and edited the 2006 Sundance award-winning documentary American Blackout, chronicling voter suppression He wrote, directed, and produced Eminem's music video "Mosh," which was viewed over 10 million times online and nominated for an MTV Video Music Award He also co-authored the book True Lies, published by Penguin/Plume Mr Inaba was previously Director of Corporate Development for Checkpoint Software Technologies (NASD CHKP) and an investment banker with Robertson, Stephens and Company He is a graduate of the Wharton School of Business and the School of Engineering and Applied Science at the University of Pennsylvania Deborah Labelle Ms LaBelle is an attorney, professor, writer and advocate who focuses on the human rights of people in detention She has been lead counsel in over a dozen class actions that have successfully challenged policies affecting the treatment of incarcerated men, women and juveniles and their families She has represented clients before the United States Supreme Court and in international forums She was the first American recognized by Human Rights Watch as a Human Rights Monitor, for her work on behalf of incarcerated women and girls Ms Labelle's publications involving human rights of women in detention include Women at the Margins, Neglect, Punishment and Resistance (Haworth, 2002), Ensuring Rights for All Realizing Human Rights for Prisoners in Bringing Human Rights Home (Praeger Press, 2008), and Bringing Human Rights Home to the World of Detention (Columbia Human Rights Law Review, Vol 40 1, Fall 2008) Ms LaBelle is a Senior Soros Justice Fellow and, in addition to her private practice, director of the American Civil Liberties Union's Juvenile Life Without Parole Initiative She is a recipient of Michigan's State Bar Champion of Justice Award, recognized as one of Michigan's top lawyers She received the National Trial Lawyer of the Year Award from Public Interest (2008) and National Lawyer Guild, Law for the People (2008) She has been interviewed by Terry Gross on Fresh Air, NPR Radio, on Human Rights and Women's Rights, appeared on Justice Talking with NPR's Margot Adler, and made numerous other broadcast media appearances on justice and human rights issues She has also received the Wade Hampton McCree Jr Award for the advancement of social justice presented by the Federal Bar (2009) and the Susan B Anthony Award from the University of Michigan (2010) Alvin Louis Starks Mr Starks is a progressive racial justice researcher and advocate who works in philanthropy and civil rights advocacy to address issues of systemic inequality His visionary leadership and innovative philanthropic research supports a new generation of ideas and organizations to explore the intersections of human rights, racial justice, cross movement building and gender equity He has written numerous reports and strategy papers for donors and foundations exploring progressive activities to strengthen social change He has an extensive philanthropic background that has granted him the unique privilege to work at several leading foundations For over eight years, Mr Starks worked at the Open Society Institute and in 2004 created and directed the Open Society Institute's Racial Justice Initiative Before joining OSI, he held the position of Senior Program Officer for Racial Justice and Gender Identity at the Arcus Foundation There, his work focused on building the foundation's mission to advance the intersections of race, sexuality and gender identity In late 2009, he began work with the national NAACP to encourage new progressive advocacy and build philanthropic engagement Mr Starks received his formal education from the State University of New York and Columbia University in New York City He sits on several nonprofit boards

Identifier	Return Reference	Explanation
		FORM 990, SCHEDULE A-PART II, SECTION C COMPUTATION OF PUBLIC SUPPORT TEST LINE 17 - FACTS AND CIRCUMSTANCE TEST - continued 4 Public participation in programs or policies Treas Reg 1 170A-9(f)(3)(vi) By disseminating its research through publications and conferences, the Schott Foundation shares its scholarship and policy recommendations regarding public education in this country in the hope of closing the opportunity gap to ensure that all children have equal access to a high quality pre-k through 12th grade education Treas Reg 1 170A-9(f)(3)(vi)(b) provides that "an organization [that] is an educational or research institution which regularly publishes scholarly studies that are widely used by colleges and universities or by members of the general public will also be considered evidence that such organization is "publicly supported " Furthermore, Treas Reg 1 170A-9(f)(3)(vi)(c) provides that "the participation in, or sponsorship of, the programs of the organization by members of the public having special knoweldge or expertise, public officeals, or civic or community leaders" will be considered evidence that an organization is "publicaly supported " The Foundation clearly meets both of these standards For example, from November 5-7, 2009, the Foundation hosted its 2nd annual Opportunity to Learn Summit, entitlted "Building a National Movement to Close the Opportunity Gap " The Summit was designed to provide a platform for philanthropic partners, grassroots community advocates, federal and state policymakers, youth organizers and researchers to share critical advocacy strategies, funding approaches and networks to build a state and national movement to provide all students an opportunity to learn Featured speakers included government officials (Russlyn Ali, Assistant Secretary for Civil Rights, US Department of Education, Congressman Chaka Fattah, US House of Representatives), prominent community leaders (Stephanie Elam, Anchor, CNN, Charles Ogletree, Harvard Law School Professor of Law), leaders in the philanthropic community (Gail Christopher, Vice President for Programs, WK Kellogg Foundation, Dr Fred Frelow, Education and Scholarship Program Officer, Ford Foundation) and education leaders (Dr Linda Darling Hammond, Professor of Education, Stanford University, John Stocks, Deputy Executive Director, National Education Association) A review of the Foundation's website reveals numerous publications and reports, available in PDF format for any interested reader to download, in the following categories * Opportunity to Learn (e g , LOST OPPORTUNITY A 50-STATE REPORT ON THE OPPORTUNITY TO LEARN IN AMERICA, published in May 2009) * A Positive Future for Black Boys (e g , GIVEN HALF A CHANCE THE SCHOTT 50 STATE REPORT ON PUBLIC EDUCATION FOR BLACK MALES, published in July 2008) * The Schott Fellowship on Early Care and Education (e g , ENSURING HIGH QUALITY EARLY EDUCATION FOR ALL CHILDREN IN THE COMMONWEALTH OF MASSACHUSETTS, published in June 2005) * Public Policy and Leadership (e g , PEER-LED PROFESSIONAL DEVELOPMENT FOR EQUITY AND DIVERSITY A REPORT FOR TEACHERS AND ADMINISTRATORS BASED ON FINDINGS FROM THE SEED PROJECT, published in May 2007) * Gender Equity (e g , KEEPING SCORE GIRLS' PARTICIPATION IN HIGH SCHOOL ATHLETICS IN MASSACHUSETTS, published in February 2004) The Foundation also serves its mission by making grants to public charities that promote equity in education Recent grantees include * Coalition of Schools Educating Boys of Color COSEBOC seeks to enable all students to be lifelong learners, productive workers, and thoughtful members of our families and global communities This grant provides support for the annual "Gathering of Leaders" conference and research on best practices for educating boys of color, especially Black boys * The Black Star Project The Black Star Project seeks to increase the involvement of fathers and other positive male role models of color in the educational lives of children This grant provides support for the Million Father March and parent leadership development to support father involvement in children's schools and their children's healthy development and education * Boston Area Youth Organizing Project BYOP is a youth led initiative where youth and adults are united by a common purpose to increase youth power and create positive social change BYOP's goals are to improve the lives of young people in their communities and increase the ability of young people to participate in the political processes that affect their lives This grant provides support for youth leadership development and community organizing to strengthen student engagement and problem solving in Boston public high schools * Boston Parents Organizing Network (BPON) BPON seeks to organize a diverse constituency of parents, students, family and other community members to support and advocate for the improvement of Boston Public Schools (BPS) This grant provides support to increase parent leadership and engagement for increased state-level investment in public education at the state, district, and school levels * Charles Hamilton Houston Institute for Race and Justice at the Harvard Law School CHHIRJ seeks to address the structural and systemic racism that continue to plague the nation's law, policies, institutions and interactions by bringing together students, faculty, practitioners, civil rights and business leaders, community advocates, litigators, and policymakers in a variety of forums, conferences and meetings This grant provides support for research under the initiative entitled "Redirecting the School to Prison Pipeline " The goal of the initiative is to address the issues of drop-out/ push out that is directly related to the suspension and/ or expulsion of minority students in MA public schools * Freedom House - Project Listen Freedom House seeks to organize a diverse constituency of parents, students, family and other community members to support and advocate for the improvement of Boston Public Schools (BPS) This grant provides support to strengthen youth leadership and organize parents, students, and other community members to advocate for the improvement of Boston Public Schools * National Women's Law Center NWLC seeks to protect and advance the progress of women and girls at work, in school, and in virtually every aspect of their lives This grant provides support for a research partnership between NWLC and the Mexican American Legal Defense and Education Fund (MALDEF) to identify challenges faced by Latinas in school, identifying promising interventions to reduce the dropout rate * Teachers as Leaders Teachers as Leaders seeks to increase opportunities for teaching as a career, advancing educational opportunities for the least advantaged in NYC This grant provides general support to the Teachers as Leaders program, a project of the Black Male Initiative at the City University of New York (CUNY)

SCHEDULE R (Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
THE SCHOTT Foundation FOR PUBLIC EDUCATION

Employer identification number
04-3457065

Part I **Identification of Disregarded Entities** (Complete if the organization answered "Yes" on Form 990, Part IV, line 33.)

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II **Identification of Related Tax-Exempt Organizations** (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity
Caroline and Sigmund Schott Fund Inc 675 massachusetts ave 8th fl cambridge, MA 02139 11-2856561	Private Grantmaking Foundation	MA	501(c)(3)-Private fo	pf	N/A

Part III Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtionate allocations? Yes	(h) No	(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner? Yes	(j) No

Part IV Identification of Related Organizations Taxable as a Corporation or Trust (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership

Part V Transactions With Related Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35, or 36.)

		Yes	No
Note. Complete line 1 if any entity is listed in Parts II, III or IV			
1 During the tax year, did the orgranization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
a Receipt of **(i)** interest **(ii)** annuities **(iii)** royalties **(iv)** rent from a controlled entity	1a		No
b Gift, grant, or capital contribution to other organization(s)	1b		No
c Gift, grant, or capital contribution from other organization(s)	1c	Yes	
d Loans or loan guarantees to or for other organization(s)	1d	Yes	
e Loans or loan guarantees by other organization(s)	1e		No
f Sale of assets to other organization(s)	1f		No
g Purchase of assets from other organization(s)	1g		No
h Exchange of assets	1h		No
i Lease of facilities, equipment, or other assets to other organization(s)	1i		No
j Lease of facilities, equipment, or other assets from other organization(s)	1j		No
k Performance of services or membership or fundraising solicitations for other organization(s)	1k	Yes	
l Performance of services or membership or fundraising solicitations by other organization(s)	1l	Yes	
m Sharing of facilities, equipment, mailing lists, or other assets	1m		No
n Sharing of paid employees	1n		No
o Reimbursement paid to other organization for expenses	1o		No
p Reimbursement paid by other organization for expenses	1p		No
q Other transfer of cash or property to other organization(s)	1q		No
r Other transfer of cash or property from other organization(s)	1r		No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of other organization	**(b)** Transaction type(a-r)	**(c)** Amount involved
(1) Caroline and Sigmund Schott Fund Inc	K	35,000
(2) caroline and Sigmund Schott Fund Inc	D	519
(3) caroline and Sigmund Schott Fund Inc	C	2,154,000
(4) Applied Research Center	L	35,000
(5)		
(6)		

Part VI **Unrelated Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" on Form 990, Part IV, line 37.)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization See instructions regarding exclusion for certain investment partnerships

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Are all partners section 501(c)(3) organizations?		(e) Share of end-of-year assets	(f) Disproprtionate allocations?		(g) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(h) General or managing partner?	
			Yes	No		Yes	No		Yes	No

Additional Data

Software ID:
Software Version:
EIN: 04-3457065
Name: THE SCHOTT Foundation FOR PUBLIC EDUCATION

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

4d. Other program services

(Code) (Expenses $ 321,800 including grants of $ 51,125) (Revenue $ 35,000)

Other funding to advocate for equitable resources for Pre K-12 schools to provide excellent education for all children with an emphasis on poor children and children of color, via improved public policy, leadership and increased public will

Form 990, Part IX - Statement of Functional Expenses - 24a - 24e Other Expenses

Do not include amounts reported on line 6b, 8b, 9b, and 10b of Part VIII.	**(A) Total expenses**	**(B) Program service expenses**	**(C) Management and general expenses**	**(D) Fundraising expenses**
CONSULTING FEES	448,573	395,482	53,091	
telephone	41,228	11,524	28,293	1,411
recruiting	32,560		32,560	
POSTAGE & PRINTING	31,488	22,947	8,166	375
supplies	15,409	7,349	7,797	263