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Form **990-PF**

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2009Department of the Treasury
Internal Revenue Service (77)

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning 7/1/2009, and ending 6/30/2010

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions.

Name of foundation

CARITA FOUNDATION-IMA

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

BNY MELLON, N A - P O BOX 185

City or town, state, and ZIP code

Pittsburgh

PA

15230-0185

A Employer identification number

02-0733877

B Telephone number (see page 10 of the instructions)

(215)553-0792

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 3,508,152

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)	565,147			
2 Check ☐ if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments	0	0		
4 Dividends and interest from securities	75,978	75,978		
5 a Gross rents		0		
b Net rental income or (loss)	0			
6 a Net gain or (loss) from sale of assets not on line 10	455,060			
b Gross sales price for all assets on line 6a	1,558,373			
7 Capital gain net income (from Part IV, line 2)		455,060		
8 Net short-term capital gain			0	
9 Income modifications				
10 a Gross sales less returns and allowances	0			
b Less Cost of goods sold	0			
c Gross profit or (loss) (attach schedule)	0			
11 Other income (attach schedule)	3,146	0	0	
12 Total. Add lines 1 through 11	1,099,331	531,038	0	
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc	0			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16 a Legal fees (attach schedule)	0	0	0	0
b Accounting fees (attach schedule)	0	0	0	0
c Other professional fees (attach schedule)	4,271	2,563	0	1,708
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions)	1,112	1,112	0	0
19 Depreciation (attach schedule) and depletion	0	0	0	
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)	360	19	0	341
24 Total operating and administrative expenses. Add lines 13 through 23	5,743	3,694	0	2,049
25 Contributions, gifts, grants paid	142,200			142,200
26 Total expenses and disbursements. Add lines 24 and 25	147,943	3,694	0	144,249
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	951,388			
b Net investment income (if negative, enter -0-)		527,344		
c Adjusted net income (if negative, enter -0-)			0	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Form 990-PF (2009)

(HTA)

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FEB 14 2011

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FEB 28 2011

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Form 990-PF (2009) CARITA FOUNDATION-IMA

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	12,128		
	2 Savings and temporary cash investments		74,783	74,783
	3 Accounts receivable ☐ 0			
	Less allowance for doubtful accounts ☐ 0	0	0	0
	4 Pledges receivable ☐ 0			
	Less allowance for doubtful accounts ☐ 0	0	0	0
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)	0	0	0
	7 Other notes and loans receivable (attach schedule) ☐ 0			
	Less allowance for doubtful accounts ☐ 0	0	0	0
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges		0	
	10 a Investments—U S and state government obligations (attach schedule)	0	0	0
	b Investments—corporate stock (attach schedule)	0	0	0
	c Investments—corporate bonds (attach schedule)	0	0	0
	11 Investments—land, buildings, and equipment basis ☐ 0			
Less accumulated depreciation (attach schedule) ☐ 0	0	0	0	
12 Investments—mortgage loans				
13 Investments—other (attach schedule)	2,745,456	3,380,126	3,433,369	
14 Land, buildings, and equipment basis ☐ 0				
Less accumulated depreciation (attach schedule) ☐ 0	0	0	0	
15 Other assets (describe ☐)	0	0	0	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	2,757,584	3,454,909	3,508,152	
Liabilities	17 Accounts payable and accrued expenses		0	
	18 Grants payable			
	19 Deferred revenue		0	
	20 Loans from officers, directors, trustees, and other disqualified persons	0	0	
	21 Mortgages and other notes payable (attach schedule)	0	0	
	22 Other liabilities (describe ☐)	0	0	
23 Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒			
	27 Capital stock, trust principal, or current funds	2,757,584	3,454,909	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see page 17 of the instructions)	2,757,584	3,454,909		
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	2,757,584	3,454,909		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,757,584
2 Enter amount from Part I, line 27a	2	951,388
3 Other increases not included in line 2 (itemize) ☐ See Attached Statement	3	251,077
4 Add lines 1, 2, and 3	4	3,960,049
5 Decreases not included in line 2 (itemize) ☐ FAIR MARKET VALUE COST ADJUSTMENT OF ALLERGAN :	5	505,140
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,454,909

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 0	0	0	0
b 0	0	0	0
c 0	0	0	0
d 0	0	0	0
e 0	0	0	0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a 0	0	0	0
b 0	0	0	0
c 0	0	0	0
d 0	0	0	0
e 0	0	0	0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	455,060
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8	3	22,692

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	210,389	2,927,616	0.071864
2007	239,692	4,507,929	0.053171
2006	205,973	4,493,238	0.045841
2005	82,980	1,922,989	0.043152
2004			0.000000

2 Total of line 1, column (d)	2	0.214028
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.053507
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	3,297,621
5 Multiply line 4 by line 3	5	176,446
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	5,273
7 Add lines 5 and 6	7	181,719
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.	8	144,249

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)	1	10,547
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	10,547
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	10,547
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	885
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	4,394
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	5,279
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	5,268
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0
11	Enter the amount of line 10 to be Credited to 2010 estimated tax ☐ Refunded ☐	11	0

Part VII-A Statements Regarding Activities

1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1a			X
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1b			X
c	Did the foundation file Form 1120-POL for this year?		X
1c			X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
2			X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
3			X
4 a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
4a			X
b	If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
4b		N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
5			X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
6		X	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
7		X	
8 a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☐ PA _____		
8a			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
8b		X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
9			X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X
10			X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ☐ N/A				
14	The books are in care of ☐ BNY MELLON, N A	Telephone no ☐ (215)553-0792		
Located at ☐ P O Box 185 Pittsburgh PA		ZIP+4 ☐ 15230-0185		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ☐	☐ 15 N/A		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No	
If "Yes," list the years ☐ 20, ☐ 20, ☐ 20, ☐ 20		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ☐ 20, ☐ 20, ☐ 20, ☐ 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐ **5b** N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No **7b** N/A
If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** X
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation** (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Mr. & Mrs. Robert C. Legnini 416 Boxwood Road Rosemont PA 19010	TRUSTEE AS NEEDED	0	0	0
	00	0	0	0
	00	0	0	0
	00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions).
If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see page 23 of the instructions) If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0
		0
		0
		0
		0
		0

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	0
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	3,197,119
b	Average of monthly cash balances	1b	150,720
c	Fair market value of all other assets (see page 24 of the instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	3,347,839
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	3,347,839
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	50,218
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,297,621
6	Minimum investment return. Enter 5% of line 5	6	164,881

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	164,881
2a	Tax on investment income for 2009 from Part VI, line 5	2a	10,547
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	10,547
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	154,334
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	154,334
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	154,334

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	144,249
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	144,249
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	144,249

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				154,334
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2009				
a From 2004	NONE			
b From 2005	NONE			
c From 2006	738			
d From 2007	24,128			
e From 2008	65,778			
f Total of lines 3a through e	90,644			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 144,249				
a Applied to 2008, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)	0			
d Applied to 2009 distributable amount				144,249
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	10,085			10,085
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	80,559			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions		0		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	0			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	80,559			
10 Analysis of line 9				
a Excess from 2005	0			
b Excess from 2006	0			
c Excess from 2007	14,781			
d Excess from 2008	65,778			
e Excess from 2009	0			

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Tax year	Prior 3 years			(e) Total
(a) 2009	(b) 2008	(c) 2007	(d) 2006	
0				0
0	0	0	0	0
0				0
				0
0	0	0	0	0
				0
0				0
				0
				0
				0
				0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Attached Statement				
Total			▶ 3a	142,200
b Approved for future payment NONE				
Total			▶ 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See page 28 of the instructions)
1	Program service revenue					
a	CONTRIBUTION OF ALLERGAN STOCK		0	42	505,147	0
b	CONTRIBUTION OF CASH		0	42	60,000	0
c			0		0	0
d			0		0	0
e			0		0	0
f			0		0	0
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	75,978	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	455,060	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a	FED TAX REFUND		0	42	3,146	0
b			0		0	0
c			0		0	0
d			0		0	0
e			0		0	0
12	Subtotal Add columns (b), (d), and (e)		0		1,099,331	0
13	Total Add line 12, columns (b), (d), and (e)				13	1,099,331

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|---|---|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, ~~its~~ true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

1/28/2011
Date

Director

Sign Here

Paid
Preparer's
Use Only

Preparer's
signature

2020 CPA

Date _____

1/14/2011

Check if self-employed ☒

Preparer's identifying
number (see **Signature** on
page 30 of the instructions)
P00965923

Firm's name (or yours if self-employed), address, and ZIP code

PRICEWATERHOUSECOOPERS LLP
600 Grant Street, Pittsburgh, PA 15219

EIN ► 13-4008324

Phone no 412-355-6000

Schedule B

(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

Employer identification number

CARITA FOUNDATION-IMA

02-0733877

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Page 1 of 1 of Part I

Name of organization
CARITA FOUNDATION-IMA

Employer identification number
02-0733877

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	1957 CHARITY FOUNDATION P O BOX 185 PITTSBURGH PA 15230 Foreign State or Province Foreign Country	\$ 60,000	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
2	ELIZABETH R MORAN 201 KING OF PRUSSIA ROAD, SUITE 230 RANDOR PA 19087 Foreign State or Province Foreign Country	\$ 505,147	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution)
3	 Foreign State or Province Foreign Country	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
4	 Foreign State or Province Foreign Country	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
5	 Foreign State or Province Foreign Country	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
6	 Foreign State or Province Foreign Country	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization

CARITA FOUNDATION-IMA

Employer identification number

02-0733877

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>2</u>	<u>460 SHARES OF ALLERGAN</u>	\$ <u>28,663</u>	<u>3/15/2010</u>
<u>2</u>	<u>7,647 SHARES OF ALLERGAN</u>	\$ <u>476,485</u>	<u>3/15/2010</u>
-----		\$ <u>0</u>	-----
-----		\$ <u>0</u>	-----
-----		\$ <u>0</u>	-----
-----		\$ <u>0</u>	-----
-----		\$ <u>0</u>	-----
-----		\$ <u>0</u>	-----

Name of organization

CARITA FOUNDATION-IMA

Employer identification number

02-0733877

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry.

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc.,

contributions of \$1,000 or less for the year (Enter this information once See instructions)

► \$ 0

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- ----- For Prov Country		----- ----- -----
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- ----- For Prov Country		----- ----- -----
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- ----- For Prov Country		----- ----- -----
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- ----- For Prov Country		----- ----- -----

TAX MARKET VALUE DETAIL REPORT FOR PFSSO TO MOBIUS

ACCOUNT NO	ACCOUNT NAME	CUSIP ID	ASSET SHORT NAME	SHARES	MARKET VALUE	FEDERAL TAX COST
108367SW000	CARITA FOUNDATI	H89128104	TYCO INTERNATIONAL LTD	650	22,899.50	18,734.08
108367SW000	CARITA FOUNDATI	00206R102	AT & T INC	950	22,980.50	34,175.69
108367SW000	CARITA FOUNDATI	018581108	ALLIANCE DATA SYS CORP	230	13,689.60	13,889.40
108367SW000	CARITA FOUNDATI	025816109	AMERICAN EXPRESS CO	410	16,277.00	17,413.60
108367SW000	CARITA FOUNDATI	03076C106	AMERIPRISE FINANCIAL INC	270	9,755.10	5,926.26
108367SW000	CARITA FOUNDATI	031162100	AMGEN INC	240	12,624.00	12,541.24
108367SW000	CARITA FOUNDATI	032346108	AMYLIN PHARMACEUTICALS INC	850	15,980.00	16,073.53
108367SW000	CARITA FOUNDATI	032511107	ANADARKO PETROLEUM CORP	170	6,135.30	7,231.14
108367SW000	CARITA FOUNDATI	037411105	APACHE CORP	280	23,573.20	20,506.69
108367SW000	CARITA FOUNDATI	037833100	APPLE INC	182	45,778.46	33,420.59
108367SW000	CARITA FOUNDATI	052800109	AUTOLIV INC	330	15,790.50	9,902.85
108367SW000	CARITA FOUNDATI	05569M509	BNY MELLON MID CAP STK FD CL	26,000.00	237,120.00	253,437.51
108367SW000	CARITA FOUNDATI	05569M616	BNY MELLON MONEY MARKET FUND	74,782.91	74,782.91	74,782.91
108367SW000	CARITA FOUNDATI	05569M806	BNY MELLON SMALL CAP STK FD	4,502.25	40,520.26	63,813.60
108367SW000	CARITA FOUNDATI	05569M814	BNY MELLON INTERMEDIATE BOND	66,163.65	858,804.19	825,000.00
108367SW000	CARITA FOUNDATI	05569M855	BNY MELLON EMERGING MARKETS	33,666.10	316,461.35	296,347.24
108367SW000	CARITA FOUNDATI	05569M871	BNY MELLON INTERNATIONAL FD	16,478.19	147,644.60	249,848.68
108367SW000	CARITA FOUNDATI	060505104	BANK OF AMERICA CORP	2,320.00	33,338.40	32,187.43
108367SW000	CARITA FOUNDATI	09247X101	BLACKROCK INC	52	7,456.80	7,950.80
108367SW000	CARITA FOUNDATI	125509109	CIGNA CORP	290	9,007.40	7,494.72
108367SW000	CARITA FOUNDATI	126650100	CVS CAREMARK CORP	320	9,382.40	11,644.98
108367SW000	CARITA FOUNDATI	14040H105	CAPITAL ONE FINANCIAL CORP	290	11,687.00	12,335.98
108367SW000	CARITA FOUNDATI	149123101	CATERPILLAR INC	200	12,014.00	12,869.64
108367SW000	CARITA FOUNDATI	171232101	CHUBB CORP	290	14,502.90	15,120.71
108367SW000	CARITA FOUNDATI	17275R102	CISCO SYSTEMS INC	1,248.00	26,594.88	27,720.52
108367SW000	CARITA FOUNDATI	20825C104	CONOCOPHILLIPS	420	20,617.80	12,210.31
108367SW000	CARITA FOUNDATI	231021106	CUMMINS INC	320	20,841.60	12,054.54
108367SW000	CARITA FOUNDATI	235851102	DANAHER CORP	280	10,393.60	8,161.13
108367SW000	CARITA FOUNDATI	261967830	DREYFUS INFLATION ADJUSTED S	4,035.51	51,856.34	50,000.00
108367SW000	CARITA FOUNDATI	261980759	DREYFUS PREM LT TRM HI YLD-I	18,903.59	118,336.48	100,000.00
108367SW000	CARITA FOUNDATI	26200C403	DREYFUS OPPORTUNISTIC SMALL	1,557.02	35,344.49	40,000.00
108367SW000	CARITA FOUNDATI	26203E604	DREYFUS/NEWTON INTERN EQTY-I	7,944.29	117,178.29	130,000.00
108367SW000	CARITA FOUNDATI	263534109	DU PONT (E I) DE NEMOURS	240	8,301.60	6,730.29
108367SW000	CARITA FOUNDATI	268648102	E M C CORP MASS	1,480.00	27,084.00	23,097.09
108367SW000	CARITA FOUNDATI	30161N101	EXELON CORP	350	13,289.50	10,305.07
108367SW000	CARITA FOUNDATI	30231G102	EXXON MOBIL CORP	655	37,380.85	28,242.28
108367SW000	CARITA FOUNDATI	354613101	FRANKLIN RES INC	109	9,394.71	6,108.16
108367SW000	CARITA FOUNDATI	364760108	GAP INC	700	13,622.00	14,422.74
108367SW000	CARITA FOUNDATI	369604103	GENERAL ELECTRIC COMPANY	1,506.00	21,716.52	46,713.08
108367SW000	CARITA FOUNDATI	37733W105	GLAXO SMITHKLINE PLC SPONS A	3,000.00	102,030.00	0

TAX MARKET VALUE DETAIL REPORT FOR PFSO TO MOBIUS

ACCOUNT NO	ACCOUNT NAME	CUSIP ID	ASSET SHORT NAME	SHARES	MARKET VALUE	FEDERAL TAX COST
108367SW000	CARITA FOUNDATI	38259P508	GOOGLE INC - CLA	62	27,586 90	27,548 59
108367SW000	CARITA FOUNDATI	406216101	HALLIBURTON CO	407	9,991 85	12,585 78
108367SW000	CARITA FOUNDATI	42809H107	HESS CORP	430	21,646 20	17,069 44
108367SW000	CARITA FOUNDATI	428236103	HEWLETT PACKARD CO	620	26,833 60	28,135 68
108367SW000	CARITA FOUNDATI	437076102	HOME DEPOT INC	390	10,947 30	7,470 44
108367SW000	CARITA FOUNDATI	438516106	HONEYWELL INTL INC	405	15,807 15	14,957 84
108367SW000	CARITA FOUNDATI	441060100	HOSPIRA INC	340	19,533 00	14,070 02
108367SW000	CARITA FOUNDATI	444903108	HUMAN GENOME SCIENCES INC	640	14,502 40	18,440 41
108367SW000	CARITA FOUNDATI	459200101	INTERNATIONAL BUSINESS MACHI	161	19,880 28	17,593 31
108367SW000	CARITA FOUNDATI	46625H100	JPMORGAN CHASE & CO	835	30,569 35	20,515 64
108367SW000	CARITA FOUNDATI	48242W106	KBR INC	456	9,275 04	7,622 53
108367SW000	CARITA FOUNDATI	532716107	LIMITED BRANDS INC	880	19,421 60	16,532 67
108367SW000	CARITA FOUNDATI	58155Q103	MCKESSON CORPORATION	370	24,849 20	21,187 79
108367SW000	CARITA FOUNDATI	585055106	MEDTRONIC INC	325	11,787 75	16,512 26
108367SW000	CARITA FOUNDATI	58933Y105	MERCK & CO INC	832	29,095 04	21,832 62
108367SW000	CARITA FOUNDATI	594918104	MICROSOFT CORPORATION	1,220 00	28,072 20	36,150 65
108367SW000	CARITA FOUNDATI	617446448	MORGAN STANLEY	540	12,533 40	14,616 74
108367SW000	CARITA FOUNDATI	65248E203	NEWS CORP INC	1,718 00	23,794 30	31,807 07
108367SW000	CARITA FOUNDATI	655844108	NORFOLK SOUTHERN CORP	320	16,976 00	16,135 11
108367SW000	CARITA FOUNDATI	674599105	OCCIDENTAL PETROLEUM CORP	450	34,717 50	33,355 31
108367SW000	CARITA FOUNDATI	681919106	OMNICOM GROUP INC	360	12,348 00	18,570 49
108367SW000	CARITA FOUNDATI	68389X105	ORACLE CORP	960	20,601 60	17,334 91
108367SW000	CARITA FOUNDATI	713448108	PEPSICO INC	415	25,294 25	18,665 03
108367SW000	CARITA FOUNDATI	717081103	PFIZER INC	2,315 00	33,011 90	46,481 36
108367SW000	CARITA FOUNDATI	718172109	PHILIP MORRIS INTERNAT-W/I	490	22,461 60	22,162 92
108367SW000	CARITA FOUNDATI	74005P104	PRAXAIR INC	164	12,462 36	8,064 55
108367SW000	CARITA FOUNDATI	742718109	PROCTER & GAMBLE CO	330	19,793 40	14,269 79
108367SW000	CARITA FOUNDATI	744573106	PUBLIC SVC ENTERPRISE GROUP	970	30,390 10	31,071 71
108367SW000	CARITA FOUNDATI	747525103	QUALCOMM INC	420	13,792 80	18,143 69
108367SW000	CARITA FOUNDATI	755111507	RAYTHEON CO	190	9,194 10	9,988 23
108367SW000	CARITA FOUNDATI	808513105	SCHWAB CHARLES CORP NEW	470	6,664 60	7,331 95
108367SW000	CARITA FOUNDATI	86271F677	DREYFUS SELECT MANAGERS S/C	2,200 22	36,479 65	40,000 00
108367SW000	CARITA FOUNDATI	86271F768	DREYFUS PRE STRAT INTER ST-I	7,902 21	90,401 31	95,000 00
108367SW000	CARITA FOUNDATI	87612E106	TARGET CORP	580	28,518 60	24,318 80
108367SW000	CARITA FOUNDATI	883203101	TEXTRON INC	710	12,048 70	19,434 60
108367SW000	CARITA FOUNDATI	883556102	THERMO FISHER SCIENTIFIC INC	460	22,563 00	20,943 02
108367SW000	CARITA FOUNDATI	887317303	TIME WARNER INC	660	19,080 60	16,236 89
108367SW000	CARITA FOUNDATI	904767704	UNILEVER PLC ADR	780	20,849 40	22,629 43
108367SW000	CARITA FOUNDATI	91912E105	VALE SA-SP ADR	640	15,584 00	10,420 15
108367SW000	CARITA FOUNDATI	92826C839	VISA INC-CLASS A SHRS	130	9,197 50	6,634 97

TAX MARKET VALUE DETAIL REPORT FOR PFSO TO MOBIUS

ACCOUNT NO	ACCOUNT NAME	CUSIP ID	ASSET SHORT NAME	SHARES	MARKET VALUE	FEDERAL TAX COST
108367SW000	CARITA FOUNDATI	949746101	WELLS FARGO & COMPANY	556	14,233.60	14,610.92
108367SW000	CARITA FOUNDATI	963320106	WHIRLPOOL CORP	150	13,173.00	10,041.50
TOTAL FOR ACCT#108367SW000					3,508,152.16	3,454,909.29

CARITA FOUNDATION-IMA

02-0733877

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

GESU SCHOOL

☐ Person☒ Business

Street

1700 WEST THOMPSON STREET

City

PHILADELPHIA

State

PA

Zip code

19121

Foreign Country

Relationship

NONE

Foundation Status

CHARITABLE

Purpose of grant/contribution

OPERATIONAL

Amount

5,700

Name

PARTNERS IN HEALTH

☐ Person☒ Business

Street

888 COMMONWEALTH AVE, 3RD FL

City

BOSTON

State

MA

Zip code

02215

Foreign Country

Relationship

NONE

Foundation Status

CHARITABLE

Purpose of grant/contribution

OPERATIONAL

Amount

10,000

Name

VILLANOVA UNIVERSITY

☐ Person☒ Business

Street

800 LANCASTER AVENUE

City

VILLANOVA

State

PA

Zip code

19085

Foreign Country

Relationship

NONE

Foundation Status

CHARITABLE

Purpose of grant/contribution

OPERATIONAL

Amount

5,000

Name

THE FRIENDS OF SAINT MALACHY

☐ Person☒ Business

Street

1429 NORTH 11TH STREET

City

PHILADELPHIA

State

PA

Zip code

19122

Foreign Country

Relationship

NONE

Foundation Status

CHARITABLE

Purpose of grant/contribution

OPERATIONAL

Amount

5,000

Name

CHESTER COUNTY FOOD BANK

☐ Person☒ Business

Street

1208 HORSESHOE PIKE

City

DOWNINGTON

State

PA

Zip code

19335

Foreign Country

Relationship

NONE

Foundation Status

CHARITABLE

Purpose of grant/contribution

OPERATIONAL

Amount

16,500

Name

THE BARN AT SPRING BROOK FARM, INC

☐ Person☒ Business

Street

350 LOCUST GROVE ROAD

City

WEST CHESTER

State

PA

Zip code

19382

Foreign Country

Relationship

NONE

Foundation Status

CHARITABLE

Purpose of grant/contribution

OPERATIONAL

Amount

2,000

CARITA FOUNDATION-IMA

02-0733877

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

AIDS ALIVE

☐ Person☒ Business

Street

1084 E LANCASTER AVE

City

ROSEMONT

State

PA

Zip code

19010

Foreign Country

Relationship

NONE

Foundation Status

CHARITABLE

Purpose of grant/contribution

OPERATIONAL

Amount

1,000

Name

BARETOOTH CUPBOARDS

☐ Person☒ Business

Street

17 EAST 11TH STREET, PO BOX 665

City

RED LODGE

State

MT

Zip code

59068

Foreign Country

Relationship

NONE

Foundation Status

CHARITABLE

Purpose of grant/contribution

OPERATIONAL

Amount

1,000

Name

BEADS FOR EDUCATION

☐ Person☒ Business

Street

5501 VENTNOR AVE

City

VENTNOR

State

NJ

Zip code

08406

Foreign Country

Relationship

NONE

Foundation Status

CHARITABLE

Purpose of grant/contribution

OPERATIONAL

Amount

3,000

Name

BEARTOOTH NATURE CENTER

☐ Person☒ Business

Street

615 SECOND STREET

City

EAST RED LODGE

State

MT

Zip code

59068

Foreign Country

Relationship

NONE

Foundation Status

CHARITABLE

Purpose of grant/contribution

OPERATIONAL

Amount

1,000

Name

CRADLES TO CRAYONS

☐ Person☒ Business

Street

30 CLIPPER ROAD

City

W CONSHOHOCKEN

State

PA

Zip code

19428

Foreign Country

Relationship

NONE

Foundation Status

CHARITABLE

Purpose of grant/contribution

OPERATIONAL

Amount

5,000

Name

ED SNIDER YOUTH HOCKEY FOUNDATION

☐ Person☒ Business

Street

3601 S BROAD STREET

City

PHILADELPHIA

State

PA

Zip code

19148

Foreign Country

Relationship

NONE

Foundation Status

CHARITABLE

Purpose of grant/contribution

OPERATIONAL

Amount

3,000

CARITA FOUNDATION-IMA

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

MAIN LINE ANIMAL RESCUE

☐ Person☒ Business

Street

P O BOX 89

City

CHESTER SPRINGS

State

PA

Zip code

19425

Foreign Country

Relationship

NONE

Foundation Status

CHARITABLE

Purpose of grant/contribution

OPERATIONAL

Amount

25,000

Name

PLANNED PARENTHOOD SOUTHEASTERN PA

☐ Person☒ Business

Street

1144 LOCUST STREET

City

PHILADELPHIA

State

PA

Zip code

19107

Foreign Country

Relationship

NONE

Foundation Status

CHARITABLE

Purpose of grant/contribution

OPERATIONAL

Amount

3,000

Name

PROJECT H O M E

☐ Person☒ Business

Street

1515 FAIRMOUNT AVENUE

City

PHILADELPHIA

State

PA

Zip code

19130

Foreign Country

Relationship

NONE

Foundation Status

CHARITABLE

Purpose of grant/contribution

OPERATIONAL

Amount

3,000

Name

TEACH FOR AMERICA

☐ Person☒ Business

Street

315 W 36TH STREET

City

NEW YORK

State

NY

Zip code

10018

Foreign Country

Relationship

NONE

Foundation Status

CHARITABLE

Purpose of grant/contribution

OPERATIONAL

Amount

5,000

Name

WESTERN SUSTAINABILITY EXCHANGE

☐ Person☒ Business

Street

P O BOX 1448

City

LIVINGSTON

State

MT

Zip code

59047

Foreign Country

Relationship

NONE

Foundation Status

CHARITABLE

Purpose of grant/contribution

OPERATIONAL

Amount

5,000

Name

COMMUNITY OF LEGAL SERVICES OF PHILADELPHIA

☐ Person☒ Business

Street

1424 CHESTNUT STREET

City

PHILADELPHIA

State

PA

Zip code

19148

Foreign Country

Relationship

NONE

Foundation Status

CHARITABLE

Purpose of grant/contribution

OPERATIONAL

Amount

3,000

CARITA FOUNDATION-IMA

02-0733877

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

KIVA

☐ Person☒ Business

Street

225 WILDWOOD AVENUE

City

WOBURN

State

MA

Zip code

01801

Foreign Country

Relationship

NONE

Foundation Status

CHARITABLE

Purpose of grant/contribution

OPERATIONAL

Amount

10,000

Name

ECHOES FOUNDATION

☐ Person☒ Business

Street

1125 E MOREHEAD ST, SUITE 106

City

CHARLOTTE

State

NC

Zip code

28204

Foreign Country

Relationship

NONE

Foundation Status

CHARITABLE

Purpose of grant/contribution

OPERATIONAL

Amount

5,000

Name

THE MANN CENTER

☐ Person☒ Business

Street

123 S BROAD STREET, SUITE 1930

City

PHILADELPHIA

State

PA

Zip code

19109

Foreign Country

Relationship

NONE

Foundation Status

CHARITABLE

Purpose of grant/contribution

OPERATIONAL

Amount

5,000

Name

THE PENNSYLVANIA HUNT CUP

☐ Person☒ Business

Street

P O BOX 100

City

UNIONVILLE

State

PA

Zip code

19375

Foreign Country

Relationship

NONE

Foundation Status

CHARITABLE

Purpose of grant/contribution

OPERATIONAL

Amount

1,000

Name

PEOPLES EMERGENCY CENTER

☐ Person☒ Business

Street

325 N 39TH STREET

City

PHILADELPHIA

State

PA

Zip code

19104

Foreign Country

Relationship

NONE

Foundation Status

CHARITABLE

Purpose of grant/contribution

OPERATIONAL

Amount

5,000

Name

VH1 SAVE THE MUSIC

☐ Person☒ Business

Street

1515 BROADWAY 20TH FL

City

NEW YORK

State

NY

Zip code

10036

Foreign Country

Relationship

NONE

Foundation Status

CHARITABLE

Purpose of grant/contribution

OPERATIONAL

Amount

5,000

CARITA FOUNDATION-IMA

02-0733877

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name WOMEN'S LAW PROJECT				☐ Person	☒ Business
Street 125 SOUTH 9TH STREET, SUITE 300					
City PHILADELPHIA	State PA	Zip code 19107	Foreign Country		
Relationship NONE	Foundation Status CHARITABLE				
Purpose of grant/contribution OPERATIONAL					Amount 5,000

Name BEARTOOTH HUMANE ALLIANCE				☐ Person	☒ Business
Street P O BOX 853					
City RED LODGE	State MT	Zip code 59068	Foreign Country		
Relationship NONE	Foundation Status CHARITABLE				
Purpose of grant/contribution OPERATIONAL					Amount 1,000

Name PHILADELPHIA VETERANS MULTI SERVICE & EDUCATION CENTER INC				☐ Person	☒ Business
Street 213 NORTH 4TH STREET					
City PHILADELPHIA	State PA	Zip code 19106	Foreign Country		
Relationship NONE	Foundation Status CHARITABLE				
Purpose of grant/contribution OPERATIONAL					Amount 3,000

Name				☐ Person	☐ Business
Street					
City	State	Zip code	Foreign Country		
Relationship	Foundation Status				
Purpose of grant/contribution					Amount 0

Name				☐ Person	☐ Business
Street					
City	State	Zip code	Foreign Country		
Relationship	Foundation Status				
Purpose of grant/contribution					Amount 0

Name				☐ Person	☐ Business
Street					
City	State	Zip code	Foreign Country		
Relationship	Foundation Status				
Purpose of grant/contribution					Amount 0

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Totals				Depreciation		
								Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss				
Long Term CG Distributions								Securities						
Short Term CG Distributions								Other sales						
Amount								590						
1	X	CVS CAREMARK CORP	126650100			3/29/2010		6/21/2010	323	366				
2	X	CHUBB CORP	171232101			2/22/2007		9/11/2009	2,445	2,664				
3	X	CISCO SYSTEMS INC	17275R102			7/23/2008		7/2/2009	926	1,101				
4	X	CISCO SYSTEMS INC	17275R102			7/23/2008		9/11/2009	5,510	5,284				
5	X	CISCO SYSTEMS INC	17275R102			7/23/2008		3/3/2010	2,213	1,981				
6	X	CISCO SYSTEMS INC	17275R102			3/31/2006		3/3/2010	1,722	1,540				
7	X	CISCO SYSTEMS INC	17275R102			7/23/2008		3/3/2010	1,673	1,497				
8	X	CISCO SYSTEMS INC	17275R102			3/31/2006		3/3/2010	787	703				
9	X	COCA COLA ENTERPRISES IN	191219104			6/19/2007		7/2/2009	334	467				
10	X	COCA COLA ENTERPRISES IN	191219104			6/19/2007		9/11/2009	1,224	1,400				
11	X	COCA COLA ENTERPRISES IN	191219104			6/15/2007		9/11/2009	612	691				
12	X	COCA COLA ENTERPRISES IN	191219104			6/15/2007		5/6/2010	13,195	11,753				
13	X	COCA COLA ENTERPRISES IN	191219104			6/18/2007		5/6/2010	776	691				
14	X	COCA COLA ENTERPRISES IN	191219104			3/29/2010		5/6/2010	1,552	1,676				
15	X	COLGATE-PALMOLIVE CO	194162103			8/8/2008		7/2/2009	718	776				
16	X	COLGATE-PALMOLIVE CO	194162103			8/8/2008		8/28/2009	3,564	3,803				
17	X	COLGATE-PALMOLIVE CO	194162103			8/8/2008		8/28/2009	1,164	1,240				
18	X	COLGATE-PALMOLIVE CO	194162103			8/8/2008		8/31/2009	3,120	3,331				
19	X	COLGATE-PALMOLIVE CO	194162103			8/8/2008		8/31/2009	1,598	1,704				
20	X	COLGATE-PALMOLIVE CO	194162103			8/8/2008		9/1/2009	2,440	2,634				
21	X	COLGATE-PALMOLIVE CO	194162103			8/8/2008		9/1/2009	432	465				
22	X	COLGATE-PALMOLIVE CO	194162103			8/7/2008		9/1/2009	1,369	1,443				
23	X	COLGATE-PALMOLIVE CO	194162103			8/7/2008		9/2/2009	1,505	1,595				
24	X	COLGATE-PALMOLIVE CO	194162103			8/7/2008		9/2/2009	717	759				
25	X	CONOCOPHILLIPS	20825C104			12/1/2004		7/2/2009	825	535				
26	X	CONOCOPHILLIPS	20825C104			12/1/2004		9/11/2009	2,756	1,605				
27	X	CUMMINS INC	231021106			5/1/2009		7/2/2009	275	273				
28	X	CUMMINS INC	231021106			5/1/2009		7/2/2009	69	68				
29	X	CUMMINS INC	231021106			5/1/2009		9/11/2009	2,376	1,707				
30	X	DANAHER CORP	235851102			12/1/2004		7/2/2009	599	552				
31	X	DANAHER CORP	235851102			12/1/2004		9/11/2009	1,348	1,103				
32	X	DU PONT (E) DE NEMOURS	263534109			4/14/2009		7/2/2009	249	268				
33	X	DU PONT (E) DE NEMOURS	263534109			4/14/2009		9/11/2009	1,270	1,072				
34	X	E M C CORP MASS	268648102			7/29/2009		9/11/2009	3,328	3,069				
35	X	EXELON CORP	30161N101			12/1/2004		7/2/2009	502	276				
36	X	EXELON CORP	30161N101			12/1/2004		9/11/2009	2,903	1,557				
37	X	EXXON MOBIL CORP	30231G102			12/1/2004		7/2/2009	1,382	804				
38	X	EXXON MOBIL CORP	30231G102			12/1/2004		9/11/2009	6,993	4,022				
39	X	FEDEX CORP	31428X106			10/31/2008		7/2/2009	548	654				
40	X	FEDEX CORP	31428X106			10/31/2008		9/11/2009	1,537	1,309				
41	X	FEDEX CORP	31428X106			10/31/2008		6/16/2010	7,891	6,545				
42	X	FEDEX CORP	31428X106			11/20/2008		6/16/2010	3,156	2,365				
43	X	FEDEX CORP	31428X106			11/21/2008		5/16/2010	789	564				
44	X	FEDEX CORP	31428X106			3/29/2010		6/16/2010	789	919				
45	X	FIRST HORIZON NATIONAL C	320517105			10/15/2008		7/2/2009	231	219				
46	X	FIRST HORIZON NATIONAL C	320517105			10/15/2008		8/27/2009	2	2				
47	X	FIRST HORIZON NATIONAL C	320517105			10/15/2008		9/11/2009	1,830	1,506				
								1,558,373				1,103,313		455,060
								0				0		0

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Index	Check "X" if Sale of Security	Amount		Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Net Gain or Loss
		Long Term CG Distributions	Short Term CG Distributions												
			590						Securities		1,558,373		1,103,313		455,060
									Other sales		0		0		0
48	X	FIRST HORIZON NATIONAL C			320517105			10/15/2008		10/29/2009	2				
49	X	FIRST HORIZON NATIONAL C			320517105			10/14/2008		1/19/2010	681	522			
50	X	FIRST HORIZON NATIONAL C			320517105			10/14/2008		1/19/2010	1,199	921			
51	X	FIRST HORIZON NATIONAL C			320517105			10/14/2008		1/19/2010	664	515			
52	X	FIRST HORIZON NATIONAL C			320517105			10/14/2008		1/19/2010	3,057	2,362			
53	X	FIRST HORIZON NATIONAL C			320517105			10/15/2008		1/19/2010	3,078	2,444			
54	X	FIRST HORIZON NATIONAL C			320517105			10/14/2008		1/19/2010	30	24			
55	X	FIRST HORIZON NATIONAL C			320517105			10/14/2008		1/19/2010	562	433			
56	X	FIRST HORIZON NATIONAL C			320517105			10/15/2008		1/19/2010	107	85			
57	X	FIRST HORIZON NATIONAL C			320517105			10/14/2008		1/19/2010	963	739			
58	X	FIRST HORIZON NATIONAL C			320517105			10/14/2008		1/28/2010	6	5			
59	X	FRANKLIN RES INC			354613101			1/21/2009		7/2/2009	707	511			
60	X	FRANKLIN RES INC			354613101			1/21/2009		9/11/2009	977	511			
61	X	GENERAL ELECTRIC COMPA			369604103			2/22/2007		7/2/2009	690	2,130			
62	X	GENERAL ELECTRIC COMPA			369604103			3/31/2006		9/11/2009	2,050	4,890			
63	X	GENERAL ELECTRIC COMPA			369604103			12/1/2004		9/11/2009	1,464	3,252			
64	X	GILEAD SCIENCES INC			375558103			1/21/2009		7/2/2009	460	487			
65	X	GILEAD SCIENCES INC			375558103			1/21/2009		9/11/2009	1,840	1,950			
66	X	GILEAD SCIENCES INC			375558103			1/21/2009		12/1/2009	7,785	8,189			
67	X	AOL INC			00184X105			12/4/2008		12/29/2009	21	15			
68	X	AOL INC			00184X105			12/4/2008		1/4/2010	709	481			
69	X	AT & T INC			00206R102			2/22/2007		7/2/2009	737	1,113			
70	X	AT & T INC			00206R102			2/22/2007		9/11/2009	3,990	5,565			
71	X	ALLERGAN INC			018490102			3/4/1903		3/22/2010	29,665	0			
72	X	ALLERGAN INC			018490102			3/4/1903		3/22/2010	493,151	7			
73	X	ALLIANCE DATA SYS CORP			018581108			7/23/2008		7/2/2009	402	631			
74	X	ALLIANCE DATA SYS CORP			018581108			7/23/2008		9/11/2009	1,758	1,893			
75	X	ALLIANCE DATA SYS CORP			018581108			5/29/2008		9/11/2009	586	607			
76	X	AMERIPRISE FINANCIAL INC			03076C105			1/21/2009		9/11/2009	1,516	958			
77	X	AMGEN INC			031162100			10/29/2008		7/2/2009	518	601			
78	X	AMGEN INC			031162100			10/29/2008		9/11/2009	2,344	2,406			
79	X	AMGEN INC			031162100			10/28/2008		9/11/2009	586	583			
80	X	AMGEN INC			031162100			10/28/2008		2/12/2010	5,835	5,832			
81	X	APACHE CORP			037411105			2/22/2007		9/11/2009	4,590	3,505			
82	X	APPLE INC			037833100			7/8/2008		7/2/2009	1,406	1,770			
83	X	APPLE INC			037833100			7/8/2008		9/11/2009	5,161	5,309			
84	X	APPLE INC			037833100			7/8/2008		1/21/2010	11,887	10,087			
85	X	AUTOLIV INC			052800109			6/10/2009		9/11/2009	1,687	1,571			
86	X	BNY MELLON MID CAP STK F			05569M509			3/28/2006		9/11/2009	0	1			
87	X	BNY MELLON MID CAP STK F			05569M509			4/25/2006		9/11/2009	58,183	100,000			
88	X	BNY MELLON MID CAP STK F			05569M509			12/7/2006		9/11/2009	14,109	20,671			
89	X	BNY MELLON MID CAP STK F			05569M509			12/6/2007		9/11/2009	19,788	27,589			
90	X	BNY MELLON MID CAP STK F			05569M509			3/31/2008		9/11/2009	38,882	47,278			
91	X	BNY MELLON SMALL CAP ST			05569M806			4/25/2006		3/22/2010	79,606	131,449			
92	X	BNY MELLON INTERNATIONAL			05569M871			3/31/2008		9/11/2009	149,147	191,679			
93	X	BNY MELLON INTERNATIONAL			05569M871			12/16/2008		9/11/2009	11,033	8,953			
94	X	BNY MELLON INTERNATIONAL			05569M871			12/1/2004		9/11/2009	44,118	0			

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Totals													
Securitys													
Other sales													
Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales	Cost, Other Basis and Expenses	Valuation Method	Expense of Sale and Cost of Improvements	Net Gain or Loss
									Price	Other Basis			
95	X	BANK OF AMERICA CORP	060505104			5/7/2009		7/2/2009	645	677			
96	X	BANK OF AMERICA CORP	060505104			5/7/2009		9/11/2009	5,106	4,064			
97	X	BEST BUY INC	086516101			3/17/2009		7/2/2009	323	316			
98	X	BEST BUY INC	086516101			3/17/2009		9/11/2009	13,434	10,734			
99	X	BLACKROCK INC	09247X101			4/24/2009		9/11/2009	2,020	1,503			
100	X	BROADCOM CORP CL A	111320107			2/23/2009		7/2/2009	248	160			
101	X	BROADCOM CORP CL A	111320107			2/23/2009		9/11/2009	1,803	963			
102	X	BROADCOM CORP CL A	111320107			2/23/2009		11/3/2009	8,028	4,975			
103	X	CIGNA CORP	125509109			6/19/2009		9/11/2009	1,560	1,248			
104	X	CVS CAREMARK CORP	126650100			4/24/2007		7/2/2009	939	1,102			
105	X	CVS CAREMARK CORP	126650100			4/24/2007		9/11/2009	1,829	1,836			
106	X	CVS CAREMARK CORP	126650100			4/25/2007		9/11/2009	4,025	4,024			
107	X	CVS CAREMARK CORP	126650100			4/25/2007		1/8/2010	1,358	1,463			
108	X	CVS CAREMARK CORP	126650100			4/25/2007		1/8/2010	3,393	3,658			
109	X	CVS CAREMARK CORP	126650100			4/25/2007		1/11/2010	1,015	1,097			
110	X	CVS CAREMARK CORP	126650100			4/25/2007		1/11/2010	7,111	7,681			
111	X	CVS CAREMARK CORP	126650100			4/25/2007		6/21/2010	1,270	1,463			
112	X	CVS CAREMARK CORP	126650100			4/25/2007		6/21/2010	5,394	6,218			
113	X	CVS CAREMARK CORP	126650100			3/29/2010		6/21/2010	1,269	1,462			
114	X	GILEAD SCIENCES INC	375558103			1/21/2009		12/2/2009	2,884	3,022			
115	X	HALLIBURTON CO	406216101			2/22/2007		7/2/2009	196	310			
116	X	HALLIBURTON CO	406216101			2/22/2007		9/11/2009	1,568	1,861			
117	X	HESS CORP	42809H107			3/17/2009		7/2/2009	500	615			
118	X	HESS CORP	42809H107			3/17/2009		9/11/2009	3,702	4,303			
119	X	HEWLETT PACKARD CO	428236103			8/21/2009		9/4/2009	1,338	1,332			
120	X	HEWLETT PACKARD CO	428236103			8/21/2009		9/11/2009	4,605	4,439			
121	X	HOME DEPOT INC	437076102			11/20/2008		7/2/2009	229	192			
122	X	HOME DEPOT INC	437076102			8/28/2009		9/11/2009	4,656	4,713			
123	X	HOME DEPOT INC	437076102			8/28/2009		5/20/2010	991	832			
124	X	HOME DEPOT INC	437076102			9/11/2009		5/20/2010	5,618	4,666			
125	X	HOME DEPOT INC	437076102			3/29/2010		5/20/2010	991	981			
126	X	HOME DEPOT INC	437076102			9/11/2009		5/20/2010	2,974	2,464			
127	X	HOME DEPOT INC	437076102			3/29/2010		5/20/2010	2,958	2,943			
128	X	HOME DEPOT INC	437076102			9/11/2009		5/27/2010	2,746	2,183			
129	X	HOME DEPOT INC	437076102			9/11/2009		5/27/2010	1,716	1,360			
130	X	HOME DEPOT INC	437076102			9/11/2009		5/27/2010	3,776	2,982			
131	X	HOME DEPOT INC	437076102			9/11/2009		5/27/2010	343	274			
132	X	HOME DEPOT INC	437076102			11/20/2008		5/27/2010	1,030	576			
133	X	HONEYWELL INTL INC	438516106			2/22/2007		7/2/2009	615	960			
134	X	HONEYWELL INTL INC	438516106			2/22/2007		9/11/2009	386	480			
135	X	HONEYWELL INTL INC	438516106			12/1/2004		9/11/2009	1,928	1,802			
136	X	HOSPIRA INC	441060100			3/8/2007		7/2/2009	749	802			
137	X	HOSPIRA INC	441060100			3/8/2007		9/11/2009	2,035	2,005			
138	X	INTERNATIONAL BUSINESS N	459200101			1/23/2008		7/2/2009	1,021	1,063			
139	X	INTERNATIONAL BUSINESS N	459200101			1/23/2008		8/21/2009	14,365	12,752			
140	X	INTERNATIONAL BUSINESS N	459200101			1/23/2008		9/11/2009	3,544	3,188			
141	X	JPMORGAN CHASE & CO	46625H100			2/6/2009		7/2/2009	994	820			

Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Totals				Expense of Sale and Cost of Improvements	Depreciation		
								Amount		Cost, Other Basis and Expenses				Net Gain or Loss	
								Long Term CG Distributions	Short Term CG Distributions	Gross Sales	Valuation Method			Gross Sales	Net Gain or Loss
142	X	JPMORGAN CHASE & CO	46625H100			2/6/2009		9/11/2009	5,108	3,281					
143	X	JPMORGAN CHASE & CO	46625H100			12/1/2004		9/11/2009	426	227					
144	X	JUNIPER NETWORKS INC	48203R104			10/28/2008		7/2/2009	233	178					
145	X	JUNIPER NETWORKS INC	48203R104			10/28/2008		9/11/2009	1,316	890					
146	X	JUNIPER NETWORKS INC	48203R104			2/23/2009		1/6/2010	6,474	3,696					
147	X	JUNIPER NETWORKS INC	48203R104			10/28/2008		1/6/2010	779	534					
148	X	KBR INC	48242W106			2/22/2007		7/2/2009	364	390					
149	X	KBR INC	48242W106			2/22/2007		9/2/2009	325	283					
150	X	KBR INC	48242W106			2/22/2007		9/2/2009	108	98					
151	X	KBR INC	48242W106			2/22/2007		9/2/2009	130	117					
152	X	KBR INC	48242W106			2/22/2007		9/3/2009	434	390					
153	X	KBR INC	48242W106			2/22/2007		9/3/2009	109	98					
154	X	KBR INC	48242W106			2/22/2007		9/3/2009	1,857	1,677					
155	X	KBR INC	48242W106			2/22/2007		9/4/2009	3,534	3,179					
156	X	KBR INC	48242W106			2/22/2007		9/11/2009	963	780					
157	X	LORILLARD INC	544147101			1/21/2009		9/11/2009	2,249	1,841					
158	X	LORILLARD INC	544147101			1/21/2009		10/5/2009	6,748	5,524					
159	X	LORILLARD INC	544147101			1/21/2009		10/5/2009	2,176	1,780					
160	X	LORILLARD INC	544147101			1/21/2009		10/6/2009	603	491					
161	X	LORILLARD INC	544147101			1/21/2009		10/6/2009	3,241	2,639					
162	X	MCAFEЕ INC	57906A106			6/1/2007		7/2/2009	409	367					
163	X	MCAFEЕ INC	57906A106			6/1/2007		7/29/2009	2,232	1,834					
164	X	MCAFEЕ INC	57906A106			5/31/2007		7/29/2009	446	366					
165	X	MCAFEЕ INC	57906A106			5/31/2007		7/30/2009	9,079	7,693					
166	X	MCKESSON CORPORATION	58155Q103			2/4/2009		9/11/2009	1,151	911					
167	X	MEDTRONIC INC	585055106			12/1/2004		7/2/2009	340	519					
168	X	MEDTRONIC INC	585055106			12/1/2004		9/11/2009	1,907	2,595					
169	X	MERCK & CO INC	589331107			3/13/2009		7/2/2009	271	274					
170	X	MERCK & CO INC	589331107			3/13/2009		9/11/2009	973	823					
171	X	MERCK & CO INC	58933Y105			3/13/2009		12/8/2009	4	3					
172	X	MICROSOFT CORPORATION	594918104			2/22/2007		7/2/2009	467	586					
173	X	MICROSOFT CORPORATION	594918104			2/22/2007		9/11/2009	3,240	3,811					
174	X	MORGAN STANLEY	617446448			5/21/2009		7/2/2009	546	563					
175	X	MORGAN STANLEY	617446448			5/21/2009		9/11/2009	1,733	1,689					
176	X	MORGAN STANLEY	617446448			5/21/2009		9/11/2009	867	844					
177	X	NEWS CORP INC	65248E203			11/6/2007		7/2/2009	600	1,347					
178	X	NEWS CORP INC	65248E203			11/6/2007		9/11/2009	3,710	6,061					
179	X	NOKIA CORP-SPON ADR	654902204			7/28/2008		7/2/2009	145	282					
180	X	NOKIA CORP-SPON ADR	654902204			7/28/2008		7/16/2009	3,601	7,602					
181	X	NOKIA CORP-SPON ADR	654902204			7/28/2008		7/16/2009	2,931	6,204					
182	X	OCCIDENTAL PETROLEUM CORP	674599105			4/23/2009		9/11/2009	2,312	1,666					
183	X	OMNICO GROUP INC	681919106			2/22/2007		7/2/2009	310	531					
184	X	OMNICO GROUP INC	681919106			2/22/2007		9/11/2009	2,233	3,184					
185	X	ORACLE CORP	68389X105			2/22/2007		7/2/2009	631	515					
186	X	ORACLE CORP	68389X105			2/22/2007		9/11/2009	3,654	2,747					
187	X	PARKER HANNIFIN CORP	701094104			12/24/2008		7/2/2009	416	394					
188	X	PARKER HANNIFIN CORP	701094104			12/24/2008		9/11/2009	1,499	1,181					
Securities								1,558,373		1,103,313		455,060			
Other sales								0		0		0			

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Net Gain or Loss
Totals													
Securities													
Other sales													
Long Term CG Distributions									1,558,373	0		1,103,313	455,060
Short Term CG Distributions									0				
Amount													
590													
189	X	PARKER HANNIFIN CORP	701094104			12/23/2008		9/11/2009	500	389			
190	X	PARKER HANNIFIN CORP	701094104			3/29/2010		6/18/2010	1,831	1,950			
191	X	PARKER HANNIFIN CORP	701094104			12/23/2008		6/18/2010	3,051	1,946			
192	X	PARKER HANNIFIN CORP	701094104			12/23/2008		6/21/2010	9,253	5,837			
193	X	PEPSICO INC	713448108			2/9/2006		7/2/2009	1,119	1,153			
194	X	PEPSICO INC	713448108			2/9/2006		9/11/2009	2,351	2,306			
195	X	PEPSICO INC	713448108			12/1/2004		9/11/2009	1,175	853			
196	X	PFIZER INC	717081103			12/1/2004		7/2/2009	727	1,374			
197	X	PFIZER INC	717081103			12/1/2004		9/11/2009	5,875	9,895			
198	X	PHILIP MORRIS INTERNAT-W	718172109			2/22/2007		7/2/2009	437	444			
199	X	PHILIP MORRIS INTERNAT-W	718172109			2/22/2007		9/11/2009	3,803	3,551			
200	X	PRAXAIR INC	74005P104			12/1/2004		9/11/2009	2,313	1,339			
201	X	PROCTER & GAMBLE CO	742718109			12/1/2004		7/2/2009	513	412			
202	X	PROCTER & GAMBLE CO	742718109			12/1/2004		9/11/2009	2,777	2,058			
203	X	QUALCOMM INC	747525103			11/10/2008		7/2/2009	887	708			
204	X	QUALCOMM INC	747525103			11/10/2008		8/21/2009	10,323	7,783			
205	X	QUALCOMM INC	747525103			12/1/2004		8/21/2009	9,385	6,524			
206	X	QUALCOMM INC	747525103			12/1/2004		9/3/2009	6,507	4,730			
207	X	QUALCOMM INC	747525103			16/2010		4/23/2010	9,847	12,495			
208	X	RAYTHEON CO	755111507			10/7/2008		9/11/2009	1,393	1,560			
209	X	ST JUDE MEDICAL INC	790849103			11/6/2007		9/11/2009	1,170	1,211			
210	X	ST JUDE MEDICAL INC	790849103			11/6/2007		10/7/2009	562	683			
211	X	ST JUDE MEDICAL INC	790849103			11/6/2007		10/7/2009	1,650	2,018			
212	X	ST JUDE MEDICAL INC	790849103			11/6/2007		10/7/2009	2,739	3,336			
213	X	SCHERING PLOUGH CORP	806605101			2/22/2007		7/2/2009	738	722			
214	X	SCHERING PLOUGH CORP	806605101			2/22/2007		9/11/2009	4,833	4,090			
215	X	SCHERING PLOUGH CORP	806605101			2/22/2007		11/3/2009	9,870	9,573			
216	X	SCHWAB CHARLES CORP NE	808513105			11/21/2008		7/2/2009	169	152			
217	X	SCHWAB CHARLES CORP NE	808513105			11/20/2008		9/11/2009	1,434	1,218			
218	X	SEMPRA ENERGY	816851109			2/27/2007		7/2/2009	974	1,233			
219	X	SEMPRA ENERGY	816851109			2/27/2007		9/11/2009	3,431	4,314			
220	X	SEMPRA ENERGY	816851109			2/27/2007		16/2010	7,968	9,060			
221	X	SEMPRA ENERGY	816851109			2/26/2007		16/2010	3,144	3,563			
222	X	SEMPRA ENERGY	816851109			2/27/2007		16/2010	7,332	8,320			
223	X	SEMPRA ENERGY	816851109			2/26/2007		17/2010	968	1,106			
224	X	SEMPRA ENERGY	816851109			2/26/2007		17/2010	1,614	1,842			
225	X	SEMPRA ENERGY	816851109			2/26/2007		17/2010	1,722	1,966			
226	X	STATE STREET CORP	857477103			5/21/2009		7/2/2009	473	430			
227	X	STATE STREET CORP	857477103			5/21/2009		9/11/2009	2,656	2,152			
228	X	STATE STREET CORP	857477103			5/21/2009		12/11/2009	4,741	5,166			
229	X	STATE STREET CORP	857477103			5/21/2009		12/11/2009	6,417	6,888			
230	X	TAIWAN SEMICONDUCTOR M	874039100			3/3/2009		7/2/2009	185	157			
231	X	TAIWAN SEMICONDUCTOR M	874039100			3/3/2009		9/9/2009	2	2			
232	X	TAIWAN SEMICONDUCTOR M	874039100			3/3/2009		9/11/2009	1,093	783			
233	X	TAIWAN SEMICONDUCTOR M	874039100			3/3/2009		11/3/2009	312	253			
234	X	TAIWAN SEMICONDUCTOR M	874039100			3/3/2009		11/3/2009	1,711	1,418			
235	X	TAIWAN SEMICONDUCTOR M	874039100			3/3/2009		11/3/2009	1,330	1,081			

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Totals						
								Gross Sales		Cost, Other Basis and Expenses	Net Gain or Loss			
								Sales	Price			Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements
Long Term CG Distributions								Securities					455,060	
Short Term CG Distributions								Other sales					0	
Amount								590					0	
236	X	TAIWAN SEMICONDUCTOR M	874039100			3/3/2009		11/4/2009	587	468				
237	X	TAIWAN SEMICONDUCTOR M	874039100			3/3/2009		11/4/2009	1,223	975				
238	X	TARGET CORP	87612E106			6/1/2009		7/2/2009	376	416				
239	X	TARGET CORP	87612E106			6/1/2009		9/11/2009	4,299	3,741				
240	X	TEXTRON INC	883203101			2/22/2007		7/2/2009	95	481				
241	X	TEXTRON INC	883203101			3/23/2006		9/11/2009	762	1,882				
242	X	TEXTRON INC	883203101			3/17/2006		9/11/2009	1,715	4,203				
243	X	THERMO FISHER SCIENTIFIC	883556102			3/7/2007		7/2/2009	396	454				
244	X	THERMO FISHER SCIENTIFIC	883556102			3/7/2007		9/11/2009	1,420	1,361				
245	X	TIME WARNER INC	887317303			12/4/2008		7/2/2009	245	214				
246	X	TIME WARNER INC	887317303			12/4/2008		9/11/2009	1,768	1,284				
247	X	VALE SA-SP ADR	91912E105			5/10/2006		7/2/2009	348	288				
248	X	VALE SA-SP ADR	91912E105			5/10/2006		9/11/2009	2,110	1,441				
249	X	VERTEX PHARMACEUTICALS	92532F100			3/6/2009		7/2/2009	678	563				
250	X	VERTEX PHARMACEUTICALS	92532F100			3/6/2009		9/11/2009	357	282				
251	X	VERTEX PHARMACEUTICALS	92532F100			3/5/2009		9/11/2009	2,143	1,678				
252	X	VERTEX PHARMACEUTICALS	92532F100			3/6/2009		1/8/2010	2,844	1,952				
253	X	VERTEX PHARMACEUTICALS	92532F100			10/31/2008		1/8/2010	2,031	1,296				
254	X	VERTEX PHARMACEUTICALS	92532F100			3/5/2009		1/8/2010	813	559				
255	X	VERTEX PHARMACEUTICALS	92532F100			3/6/2009		1/8/2010	813	558				
256	X	VERTEX PHARMACEUTICALS	92532F100			10/31/2008		1/11/2010	408	259				
257	X	VERTEX PHARMACEUTICALS	92532F100			3/29/2010		6/21/2010	734	832				
258	X	VERTEX PHARMACEUTICALS	92532F100			10/31/2008		6/21/2010	1,101	778				
259	X	VERTEX PHARMACEUTICALS	92532F100			10/30/2008		6/22/2010	3,610	2,453				
260	X	VERTEX PHARMACEUTICALS	92532F100			10/31/2008		6/22/2010	3,393	2,437				
261	X	VERTEX PHARMACEUTICALS	92532F100			10/31/2008		6/22/2010	219	156				
262	X	VISA INC-CLASS A SHRS	92826C839			1/27/2009		7/2/2009	604	439				
263	X	VISA INC-CLASS A SHRS	92826C839			1/27/2009		9/11/2009	1,437	878				
264	X	WELLS FARGO & COMPANY	949746101			12/1/2004		7/2/2009	472	516				
265	X	WELLS FARGO & COMPANY	949746101			12/1/2004		9/11/2009	2,198	2,064				
266	X	WHIRLPOOL CORP	963320106			8/28/2009		9/11/2009	1,317	1,319				
267	X	WYETH	983024100			1/21/2009		7/2/2009	449	388				
268	X	WYETH	983024100			1/21/2009		7/2/2009	2,300	1,978				
269	X	WYETH	983024100			1/21/2009		7/2/2009	6,047	5,196				
270	X	WYETH	983024100			1/21/2009		7/2/2009	4,605	3,955				
271	X	WYETH	983024100			1/21/2009		7/2/2009	2,397	2,055				
272	X	XTO ENERGY INC	98385X106			12/1/2004		7/2/2009	732	321				
273	X	XTO ENERGY INC	98385X106			12/1/2004		9/11/2009	3,241	1,282				
274	X	XTO ENERGY INC	98385X106			12/1/2004		6/11/2010	20,920	7,727				
275	X	XTO ENERGY INC	98385X106			3/29/2010		6/11/2010	2,170	2,367				
276	X	ACCENTURE LTD	G1150G111			2/27/2008		7/2/2009	330	367				
277	X	ACCENTURE PLC-CL A	G1151C101			2/27/2008		9/11/2009	2,130	2,203				
278	X	ACCENTURE PLC-CL A	G1151C101			2/27/2008		1/6/2010	11,929	10,279				
279	X	ACCENTURE PLC-CL A	G1151C101			2/27/2008		1/6/2010	1,696	1,468				
280	X	TYCO INTERNATIONAL LTD	H89128104			8/11/2008		7/2/2009	517	906				
281	X	TYCO INTERNATIONAL LTD	H89128104			2/6/2008		9/11/2009	3,283	4,107				

Gross Sales 1,558,373

Cost, Other Basis and Expenses 1,103,313

Net Gain or Loss 455,060

Line 11 (990-PF) - Other Income

		3,146	0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	FED TAX REFUND	3,146	0	
2			0	
3			0	
4			0	
5			0	
6			0	
7			0	
8			0	
9			0	
10			0	

Line 16c (990-PF) - Other Fees

		4,271	2,563	0	1,708
	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	BNYM INVESTMENT FEES	4,271	2,563		1,708
2					
3					
4					
5					
6					
7					
8					
9					
10					

Line 18 (990-PF) - Taxes

		1,112	1,112	0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	1,112	1,112		
2					
3					
4					
5					
6					
7					
8					
9					
10					

Line 23 (990-PF) - Other Expenses

		360	19	0	341
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Amortization. See attached statement	0	0	0	0
2	ADR FEES	19	19		
3	ANNUAL RENEWAL FEE	341			341
4					
5					
6					
7					
8					
9					
10					

Part II, Line 13 (990-PF) - Investments - Other

	Item or Category	Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
1	INVESTMENTS		2,745,456	3,380,126	3,433,369
2					
3					
4					
5					
6					
7					
8					
9					
10					

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	BNY MELLON INTL FD CL M COST BASIS ADJUSTMENT CUSIP 05569M871	1	249,849
2	TAX COST ADJUSTMENT	2	1,228
3	Total	3	251,077

Line 5 - Decreases not included in Part III, Line 2

1	FAIR MARKET VALUE COST ADJUSTMENT OF ALLERGAN STOCK RECEIVED	1	505,140
2	Total	2	505,140

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

		Long Term CG Distributions		Amount																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																			
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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Kind(s) of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Totals		Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj. Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
						1,557,783	0	1,103,313	454,470	0	0	0	0	0	454,470
58	FIRST HORIZON NATIONAL CORP	320517105		10/14/2008	1/28/2010	6	0	5	1						196
59	FRANKLIN RES INC	354613101		1/21/2009	7/2/2009	707	0	511	196						466
60	FRANKLIN RES INC	354613101		1/21/2009	9/11/2009	977	0	511	466						-1,440
61	GENERAL ELECTRIC COMPANY	369604103		2/22/2007	7/2/2009	680	0	2,130	-1,440						-2,840
62	GENERAL ELECTRIC COMPANY	369604103		3/31/2006	9/11/2009	2,050	0	4,890	-2,840						-1,788
63	GENERAL ELECTRIC COMPANY	369604103		12/1/2004	9/11/2009	1,464	0	3,252	-1,788						-27
64	GILEAD SCIENCES INC	375558103		1/21/2009	7/2/2009	460	0	487	-27						-110
65	GILEAD SCIENCES INC	375558103		1/21/2009	9/11/2009	1,840	0	1,950	-110						-404
66	GILEAD SCIENCES INC	375558103		1/21/2009	12/1/2009	7,785	0	8,189	-404						6
67	AOL INC	00184X105		12/4/2008	12/29/2009	21	0	15	6						228
68	AOL INC	00184X105		12/4/2008	1/4/2010	709	0	481	228						-376
69	AT & T INC	00206R102		2/22/2007	7/2/2009	737	0	1,113	-376						-1,575
70	AT & T INC	00206R102		2/22/2007	9/11/2009	3,990	0	5,565	-1,575						29,665
71	ALLERGAN INC	018490102		3/4/1903	3/22/2010	29,665	0	0	29,665						493,144
72	ALLERGAN INC	018490102		3/4/1903	3/22/2010	493,151	0	7	493,144						-229
73	ALLIANCE DATA SYS CORP	018581108		7/23/2008	7/2/2009	402	0	631	-229						-135
74	ALLIANCE DATA SYS CORP	018581108		7/23/2008	9/11/2009	1,758	0	1,893	-135						-21
75	ALLIANCE DATA SYS CORP	018581108		5/29/2008	9/11/2009	586	0	607	-21						558
76	AMERIPRISE FINANCIAL INC	03076C106		1/21/2009	9/11/2009	1,516	0	958	558						-62
77	AMGEN INC	031162100		10/29/2008	7/2/2009	518	0	601	-83						3
78	AMGEN INC	031162100		10/29/2008	9/11/2009	2,344	0	2,406	-62						-197
79	AMGEN INC	031162100		10/28/2008	9/11/2009	586	0	583	3						1,085
80	AMGEN INC	031162100		10/28/2008	2/12/2010	5,635	0	5,832	-197						-364
81	APACHE CORP	037411105		2/22/2007	9/11/2009	4,590	0	3,505	1,085						-148
82	APPLE INC	037833100		7/8/2008	7/2/2009	1,406	0	1,770	-364						1,800
83	APPLE INC	037833100		7/8/2008	9/11/2009	5,161	0	5,309	-148						116
84	APPLE INC	037833100		7/8/2008	12/1/2010	11,887	0	10,087	1,800						-1
85	AUTOLIV INC	052800109		6/10/2009	9/11/2009	1,687	0	1,571	116						-41,817
86	BNY MELLON MID CAP STK FD CL M	05569M509		3/28/2006	9/11/2009	0	0	1	-1						-6,562
87	BNY MELLON MID CAP STK FD CL M	05569M509		4/25/2006	9/11/2009	58,183	0	100,000	-41,817						-7,801
88	BNY MELLON MID CAP STK FD CL M	05569M509		12/7/2006	9/11/2009	14,109	0	20,671	-6,562						-8,396
89	BNY MELLON MID CAP STK FD CL M	05569M509		12/6/2007	9/11/2009	19,768	0	27,589	-7,801						-51,843
90	BNY MELLON MID CAP STK FD CL M	05569M509		3/31/2008	9/11/2009	38,982	0	47,278	-8,396						-42,532
91	BNY MELLON SMALL CAP STK FD CL M	05569M806		4/25/2006	3/22/2010	79,606	0	131,449	-51,843						2,080
92	BNY MELLON INTERNATIONAL FD CL M	05569M871		3/31/2008	9/11/2009	149,147	0	191,679	-42,532						44,118
93	BNY MELLON INTERNATIONAL FD CL M	05569M871		12/16/2008	9/11/2009	11,033	0	8,953	2,080						-32
94	BNY MELLON INTERNATIONAL FD CL M	05569M871		12/1/2004	9/11/2009	44,118	0	0	44,118						1,042
95	BANK OF AMERICA CORP	060505104		5/7/2009	7/2/2009	645	0	677	-32						7
96	BANK OF AMERICA CORP	060505104		5/7/2009	9/11/2009	5,106	0	4,064	1,042						2,700
97	BEST BUY INC	086516101		3/17/2009	7/2/2009	323	0	316	7						517
98	BEST BUY INC	086516101		3/17/2009	9/11/2009	13,434	0	10,734	2,700						88
99	BLACKROCK INC	09247X101		4/24/2009	9/11/2009	2,020	0	1,503	517						3,053
100	BROADCOM CORP CL A	111320107		2/23/2009	7/2/2009	248	0	160	88						312
101	BROADCOM CORP CL A	111320107		2/23/2009	9/11/2009	1,803	0	963	840						-163
102	BROADCOM CORP CL A	111320107		2/23/2009	11/3/2009	8,028	0	4,975	3,053						-7
103	CIGNA CORP	125509109		6/19/2009	9/11/2009	1,960	0	1,248	722						1
104	CVS CAREMARK CORP	126650100		4/24/2007	7/2/2009	939	0	1,102	-163						-105
105	CVS CAREMARK CORP	126650100		4/24/2007	9/11/2009	1,829	0	1,636	-193						-265
106	CVS CAREMARK CORP	126650100		4/25/2007	9/11/2009	4,025	0	4,024	1						-570
107	CVS CAREMARK CORP	126650100		4/25/2007	1/8/2010	1,358	0	1,463	-105						-193
108	CVS CAREMARK CORP	126650100		4/25/2007	1/8/2010	3,393	0	3,658	-265						-824
109	CVS CAREMARK CORP	126650100		4/25/2007	1/11/2010	1,015	0	1,097	-82						-193
110	CVS CAREMARK CORP	126650100		4/25/2007	1/11/2010	7,111	0	7,681	-570						-824
111	CVS CAREMARK CORP	126650100		4/25/2007	6/21/2010	1,270	0	1,463	-193						-193
112	CVS CAREMARK CORP	126650100		4/25/2007	6/21/2010	5,394	0	6,218	-824						-193
113	CVS CAREMARK CORP	126650100		3/29/2010	6/21/2010	1,269	0	1,462	-193						-138
114	GILEAD SCIENCES INC	375558103		1/21/2009	12/2/2009	2,884	0	3,022	-138						

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Amount													
590													
0													
Long Term CG Distributions													
Short Term CG Distributions													
	Kind(s) of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FM V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
115	HALLIBURTON CO	406216101		2/22/2007	7/2/2009	196	0	310	-114			0	-114
116	HALLIBURTON CO	406216101		2/22/2007	9/11/2009	1,568	0	1,861	-293			0	-293
117	HESS CORP	42809H107		3/17/2009	7/2/2009	500	0	615	-115			0	-115
118	HESS CORP	42809H107		3/17/2009	9/11/2009	3,702	0	4,303	-601			0	-601
119	HEWLETT PACKARD CO	428236103		8/21/2009	9/4/2009	1,338	0	1,332	6			0	6
120	HEWLETT PACKARD CO	428236103		8/21/2009	9/11/2009	4,605	0	4,439	166			0	166
121	HOME DEPOT INC	437076102		11/20/2008	7/2/2009	229	0	192	37			0	37
122	HOME DEPOT INC	437076102		8/28/2009	9/11/2009	4,656	0	4,713	-57			0	-57
123	HOME DEPOT INC	437076102		8/28/2009	5/20/2010	991	0	832	159			0	159
124	HOME DEPOT INC	437076102		9/11/2009	5/20/2010	5,618	0	4,666	952			0	952
125	HOME DEPOT INC	437076102		3/29/2010	5/20/2010	991	0	981	10			0	10
126	HOME DEPOT INC	437076102		9/11/2009	5/20/2010	2,974	0	2,464	510			0	510
127	HOME DEPOT INC	437076102		3/29/2010	5/20/2010	2,958	0	2,943	15			0	15
128	HOME DEPOT INC	437076102		9/11/2009	5/27/2010	2,746	0	2,183	563			0	563
129	HOME DEPOT INC	437076102		9/11/2009	5/27/2010	1,716	0	1,360	356			0	356
130	HOME DEPOT INC	437076102		9/11/2009	5/27/2010	3,776	0	2,982	794			0	794
131	HOME DEPOT INC	437076102		9/11/2009	5/27/2010	343	0	274	69			0	69
132	HOME DEPOT INC	437076102		11/20/2008	5/27/2010	1,030	0	576	454			0	454
133	HONEYWELL INTL INC	438516106		2/22/2007	7/2/2009	615	0	960	-345			0	-345
134	HONEYWELL INTL INC	438516106		2/22/2007	9/11/2009	386	0	480	-94			0	-94
135	HONEYWELL INTL INC	438516106		12/1/2004	9/11/2009	1,928	0	1,802	126			0	126
136	HOSPIRA INC	441060100		3/8/2007	7/2/2009	749	0	802	-53			0	-53
137	HOSPIRA INC	441060100		3/8/2007	9/11/2009	2,035	0	2,005	30			0	30
138	INTERNATIONAL BUSINESS MACHINES	459200101		12/3/2008	7/2/2009	1,021	0	1,063	-42			0	-42
139	INTERNATIONAL BUSINESS MACHINES	459200101		1/23/2008	8/21/2009	14,365	0	12,752	1,613			0	1,613
140	INTERNATIONAL BUSINESS MACHINES	459200101		1/23/2008	9/11/2009	3,544	0	3,188	356			0	356
141	JPMORGAN CHASE & CO	46625H100		2/6/2009	7/2/2009	994	0	820	174			0	174
142	JPMORGAN CHASE & CO	46625H100		2/6/2009	9/11/2009	5,108	0	3,281	1,827			0	1,827
143	JPMORGAN CHASE & CO	46625H100		12/1/2004	9/11/2009	426	0	227	199			0	199
144	JUNIPER NETWORKS INC	48203R104		10/28/2008	7/2/2009	233	0	178	55			0	55
145	JUNIPER NETWORKS INC	48203R104		10/28/2008	9/11/2009	1,316	0	890	426			0	426
146	JUNIPER NETWORKS INC	48203R104		2/23/2009	1/6/2010	6,474	0	3,696	2,778			0	2,778
147	JUNIPER NETWORKS INC	48203R104		10/28/2008	1/6/2010	779	0	534	245			0	245
148	KBR INC	48242W106		2/22/2007	7/2/2009	364	0	390	-26			0	-26
149	KBR INC	48242W106		2/22/2007	9/2/2009	325	0	293	32			0	32
150	KBR INC	48242W106		2/22/2007	9/2/2009	108	0	98	10			0	10
151	KBR INC	48242W106		2/22/2007	9/2/2009	130	0	117	13			0	13
152	KBR INC	48242W106		2/22/2007	9/3/2009	434	0	390	44			0	44
153	KBR INC	48242W106		2/22/2007	9/3/2009	109	0	98	11			0	11
154	KBR INC	48242W106		2/22/2007	9/3/2009	1,857	0	1,677	180			0	180
155	KBR INC	48242W106		2/22/2007	9/4/2009	3,534	0	3,179	355			0	355
156	KBR INC	48242W106		2/22/2007	9/11/2009	963	0	780	183			0	183
157	LORILLARD INC	544147101		12/1/2009	9/11/2009	2,249	0	1,841	408			0	408
158	LORILLARD INC	544147101		12/1/2009	10/5/2009	6,748	0	5,524	1,224			0	1,224
159	LORILLARD INC	544147101		12/1/2009	10/5/2009	2,176	0	1,780	396			0	396
160	LORILLARD INC	544147101		12/1/2009	10/6/2009	603	0	491	112			0	112
161	LORILLARD INC	544147101		12/1/2009	10/6/2009	3,241	0	2,639	602			0	602
162	MCAFEЕ INC	579064106		6/1/2007	7/2/2009	409	0	367	42			0	42
163	MCAFEЕ INC	579064106		6/1/2007	7/29/2009	2,232	0	1,834	398			0	398
164	MCAFEЕ INC	579064106		5/31/2007	7/29/2009	446	0	366	80			0	80
165	MCAFEЕ INC	579064106		5/31/2007	7/30/2009	9,079	0	7,693	1,386			0	1,386
166	MCKESSON CORPORATION	58155Q103		2/4/2009	9/11/2009	1,151	0	911	240			0	240
167	MEDTRONIC INC	585055106		12/1/2004	7/2/2009	340	0	519	-179			0	-179
168	MEDTRONIC INC	585055106		12/1/2004	9/11/2009	1,907	0	2,595	-688			0	-688
169	MERCK & CO INC	589331107		3/13/2009	7/2/2009	973	0	274	-3			0	-3
170	MERCK & CO INC	589331107		3/13/2009	9/11/2009	773	0	823	150			0	150
171	MERCK & CO INC	58933Y105		3/13/2009	12/8/2009	4	0	3	1			0	1

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Amount		Totals		1,557,783		0		1,103,313		454,470		0		0		454,470	
Short Term CG Distributions		590		0															
	Kind(s) of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses						
172	MICROSOFT CORPORATION	594918104	2/22/2007	7/2/2009		467		0	586	-119		0	-119						
173	MICROSOFT CORPORATION	594918104	2/22/2007	9/11/2009		3,240		0	3,811	-571		0	-571						
174	MORGAN STANLEY	617446448	5/21/2009	7/2/2009		546		0	563	-17		0	-17						
175	MORGAN STANLEY	617446448	5/21/2009	9/11/2009		1,733		0	1,689	44		0	44						
176	MORGAN STANLEY	617446448	5/21/2009	9/11/2009		867		0	844	23		0	23						
177	NEWS CORP INC	65248E203	1/16/2007	7/2/2009		600		0	1,347	-747		0	-747						
178	NEWS CORP INC	65248E203	1/16/2007	9/11/2009		3,710		0	6,061	-2,351		0	-2,351						
179	NOKIA CORP-SPON ADR	654902204	7/28/2008	7/2/2009		145		0	282	-137		0	-137						
180	NOKIA CORP-SPON ADR	654902204	7/28/2008	7/16/2009		3,601		0	7,602	-4,001		0	-4,001						
181	NOKIA CORP-SPON ADR	654902204	7/28/2008	7/16/2009		2,931		0	6,204	-3,273		0	-3,273						
182	OCCIDENTAL PETROLEUM CORP	674599105	4/23/2009	9/11/2009		2,312		0	1,666	646		0	646						
183	OMNICO GROUP INC	681919106	2/22/2007	7/2/2009		310		0	531	-221		0	-221						
184	OMNICO GROUP INC	681919106	2/22/2007	9/11/2009		2,233		0	3,184	-951		0	-951						
185	ORACLE CORP	68389X105	2/22/2007	7/2/2009		631		0	515	116		0	116						
186	ORACLE CORP	68389X105	2/22/2007	9/11/2009		3,654		0	2,747	907		0	907						
187	PARKER HANNIFIN CORP	701094104	12/24/2008	7/2/2009		416		0	394	22		0	22						
188	PARKER HANNIFIN CORP	701094104	12/24/2008	9/11/2009		1,499		0	1,181	318		0	318						
189	PARKER HANNIFIN CORP	701094104	12/23/2008	9/11/2009		500		0	389	111		0	111						
190	PARKER HANNIFIN CORP	701094104	3/29/2010	6/18/2010		1,831		0	1,950	-119		0	-119						
191	PARKER HANNIFIN CORP	701094104	12/23/2008	6/18/2010		3,051		0	1,946	1,105		0	1,105						
192	PARKER HANNIFIN CORP	701094104	12/23/2008	6/21/2010		9,253		0	5,837	3,416		0	3,416						
193	PEPSICO INC	713448108	2/9/2006	7/2/2009		1,119		0	1,153	-34		0	-34						
194	PEPSICO INC	713448108	2/9/2006	9/11/2009		2,351		0	2,306	45		0	45						
195	PEPSICO INC	713448108	12/1/2004	9/11/2009		1,175		0	853	322		0	322						
196	PFIZER INC	717081103	12/1/2004	7/2/2009		727		0	1,374	-647		0	-647						
197	PFIZER INC	717081103	2/22/2007	7/2/2009		5,875		0	9,895	-4,020		0	-4,020						
198	PHILIP MORRIS INTERNAT-WI	718172109	2/22/2007	9/11/2009		437		0	444	-7		0	-7						
199	PHILIP MORRIS INTERNAT-WI	718172109	2/22/2007	9/11/2009		3,803		0	3,551	252		0	252						
200	PRAXAIR INC	74005P104	12/1/2004	9/11/2009		2,313		0	1,339	974		0	974						
201	PROCTER & GAMBLE CO	742718109	12/1/2004	7/2/2009		513		0	412	101		0	101						
202	PROCTER & GAMBLE CO	742718109	12/1/2004	9/11/2009		2,777		0	2,058	719		0	719						
203	QUALCOMM INC	747525103	11/10/2008	7/2/2009		887		0	708	179		0	179						
204	QUALCOMM INC	747525103	11/10/2008	8/21/2009		10,323		0	7,783	2,540		0	2,540						
205	QUALCOMM INC	747525103	12/1/2004	8/21/2009		9,385		0	6,524	2,861		0	2,861						
206	QUALCOMM INC	747525103	12/1/2004	9/3/2009		6,507		0	4,730	1,777		0	1,777						
207	QUALCOMM INC	747525103	1/6/2010	4/23/2010		9,847		0	12,495	-2,648		0	-2,648						
208	RAYTHEON CO	755111507	10/7/2008	9/11/2009		1,393		0	1,560	-167		0	-167						
209	ST JUDE MEDICAL INC	790849103	11/6/2007	9/11/2009		1,170		0	1,211	-41		0	-41						
210	ST JUDE MEDICAL INC	790849103	11/6/2007	10/7/2009		562		0	683	-121		0	-121						
211	ST JUDE MEDICAL INC	790849103	11/6/2007	10/7/2009		1,650		0	2,018	-368		0	-368						
212	ST JUDE MEDICAL INC	790849103	11/6/2007	10/7/2009		2,739		0	3,336	-597		0	-597						
213	SCHERING PLOUGH CORP	806605101	2/22/2007	7/2/2009		738		0	722	16		0	16						
214	SCHERING PLOUGH CORP	806605101	2/22/2007	9/11/2009		4,833		0	4,090	743		0	743						
215	SCHERING PLOUGH CORP	806605101	2/22/2007	11/3/2009		9,870		0	9,573	297		0	297						
216	SCHWAB CHARLES CORP NEW	808513105	11/21/2008	7/2/2009		169		0	152	17		0	17						
217	SCHWAB CHARLES CORP NEW	808513105	11/20/2008	9/11/2009		1,434		0	1,218	216		0	216						
218	SEMPRA ENERGY	816851109	2/27/2007	7/2/2009		974		0	1,233	-259		0	-259						
219	SEMPRA ENERGY	816851109	2/27/2007	9/11/2009		3,431		0	4,314	-883		0	-883						
220	SEMPRA ENERGY	816851109	2/27/2007	16/2010		7,968		0	9,060	-1,092		0	-1,092						
221	SEMPRA ENERGY	816851109	2/26/2007	16/2010		3,144		0	3,563	-419		0	-419						
222	SEMPRA ENERGY	816851109	2/26/2007	16/2010		7,332		0	8,320	-988		0	-988						
223	SEMPRA ENERGY	816851109	2/26/2007	17/2010		968		0	1,106	-138		0	-138						
224	SEMPRA ENERGY	816851109	2/26/2007	17/2010		1,614		0	1,842	-228		0	-228						
225	SEMPRA ENERGY	816851109	2/26/2007	17/2010		1,722		0	1,966	-244		0	-244						
226	STATE STREET CORP	857477103	5/21/2009	7/2/2009		473		0	430	43		0	43						
227	STATE STREET CORP	857477103	5/21/2009	9/11/2009		2,656		0	2,152	504		0	504						
228	STATE STREET CORP	857477103	5/21/2009	12/11/2009		4,741		0	5,166	-425		0	-425						

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Kind(s) of Property Sold	Amount		How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
		Long Term CG Distributions	Short Term CG Distributions											
229	STATE STREET CORP	857477103	590		5/21/2009	12/11/2009	6,417	0	0	-471	0	0	0	454,470
230	TAIWAN SEMICONDUCTOR MFG CO AD	874039100	0		3/3/2009	7/2/2009	185	0	0	28	0	0	0	-471
231	TAIWAN SEMICONDUCTOR MFG CO AD	874039100	0		3/3/2009	9/9/2009	2	0	0	0	0	0	0	0
232	TAIWAN SEMICONDUCTOR MFG CO AD	874039100	0		3/3/2009	9/11/2009	1,093	0	0	783	0	0	0	310
233	TAIWAN SEMICONDUCTOR MFG CO AD	874039100	0		3/3/2009	11/3/2009	312	0	0	253	0	0	0	59
234	TAIWAN SEMICONDUCTOR MFG CO AD	874039100	0		3/3/2009	11/3/2009	1,711	0	0	1,418	0	0	0	293
235	TAIWAN SEMICONDUCTOR MFG CO AD	874039100	0		3/3/2009	11/3/2009	1,330	0	0	1,081	0	0	0	249
236	TAIWAN SEMICONDUCTOR MFG CO AD	874039100	0		3/3/2009	11/4/2009	587	0	0	468	0	0	0	119
237	TAIWAN SEMICONDUCTOR MFG CO AD	874039100	0		3/3/2009	11/4/2009	1,223	0	0	975	0	0	0	248
238	TARGET CORP	87612E106	0		6/1/2009	7/2/2009	376	0	0	416	0	0	0	-40
239	TARGET CORP	87612E106	0		6/1/2009	9/11/2009	4,299	0	0	3,741	0	0	0	558
240	TEXTRON INC	883203101	0		2/22/2007	7/2/2009	95	0	0	481	0	0	0	-386
241	TEXTRON INC	883203101	0		3/23/2006	9/11/2009	762	0	0	1,882	0	0	0	-1,120
242	TEXTRON INC	883203101	0		3/17/2006	9/11/2009	1,715	0	0	4,203	0	0	0	-2,488
243	THERMO FISHER SCIENTIFIC INC	883556102	0		3/7/2007	7/2/2009	396	0	0	454	0	0	0	-58
244	THERMO FISHER SCIENTIFIC INC	883556102	0		3/7/2007	9/11/2009	1,420	0	0	1,361	0	0	0	59
245	TIME WARNER INC	887317303	0		12/4/2008	7/2/2009	245	0	0	214	0	0	0	31
246	TIME WARNER INC	887317303	0		12/4/2008	9/11/2009	1,768	0	0	1,284	0	0	0	484
247	VALE SA-SP AD	91912E105	0		5/10/2006	7/2/2009	348	0	0	288	0	0	0	60
248	VALE SA-SP AD	91912E105	0		5/10/2006	9/11/2009	2,110	0	0	1,441	0	0	0	669
249	VERTEX PHARMACEUTICALS INC	92532F100	0		3/6/2009	7/2/2009	678	0	0	563	0	0	0	115
250	VERTEX PHARMACEUTICALS INC	92532F100	0		3/6/2009	9/11/2009	357	0	0	282	0	0	0	75
251	VERTEX PHARMACEUTICALS INC	92532F100	0		3/5/2009	9/11/2009	2,143	0	0	1,678	0	0	0	465
252	VERTEX PHARMACEUTICALS INC	92532F100	0		3/6/2009	1/8/2010	2,844	0	0	1,952	0	0	0	892
253	VERTEX PHARMACEUTICALS INC	92532F100	0		10/31/2008	1/8/2010	2,031	0	0	1,296	0	0	0	735
254	VERTEX PHARMACEUTICALS INC	92532F100	0		3/5/2009	1/8/2010	813	0	0	559	0	0	0	254
255	VERTEX PHARMACEUTICALS INC	92532F100	0		10/31/2008	1/8/2010	408	0	0	558	0	0	0	255
256	VERTEX PHARMACEUTICALS INC	92532F100	0		3/29/2010	6/21/2010	734	0	0	259	0	0	0	149
257	VERTEX PHARMACEUTICALS INC	92532F100	0		10/31/2008	6/21/2010	1,101	0	0	832	0	0	0	-98
258	VERTEX PHARMACEUTICALS INC	92532F100	0		10/30/2008	6/22/2010	3,610	0	0	778	0	0	0	323
259	VERTEX PHARMACEUTICALS INC	92532F100	0		10/31/2008	6/22/2010	3,393	0	0	2,453	0	0	0	1,157
260	VERTEX PHARMACEUTICALS INC	92532F100	0		10/31/2008	6/22/2010	219	0	0	156	0	0	0	956
261	VERTEX INC-CLASS A SHRS	92826C839	0		12/7/2009	7/2/2009	604	0	0	439	0	0	0	63
262	VISA INC-CLASS A SHRS	92826C839	0		12/7/2009	9/11/2009	1,437	0	0	165	0	0	0	165
263	WELLS FARGO & COMPANY	949746101	0		12/1/2004	7/2/2009	472	0	0	516	0	0	0	-559
264	WELLS FARGO & COMPANY	949746101	0		12/1/2004	9/11/2009	2,198	0	0	2,064	0	0	0	-44
265	WHIRLPOOL CORP	963320106	0		8/29/2009	9/11/2009	1,317	0	0	1,319	0	0	0	134
266	WYETH	983024100	0		1/21/2009	7/2/2009	449	0	0	388	0	0	0	-2
267	WYETH	983024100	0		1/21/2009	7/2/2009	2,300	0	0	61	0	0	0	61
268	WYETH	983024100	0		1/21/2009	7/2/2009	6,047	0	0	1,978	0	0	0	322
269	WYETH	983024100	0		1/21/2009	7/2/2009	4,605	0	0	5,196	0	0	0	851
270	WYETH	983024100	0		1/21/2009	7/2/2009	2,397	0	0	3,955	0	0	0	650
271	XTO ENERGY INC	983024100	0		12/1/2009	7/2/2009	732	0	0	2,055	0	0	0	342
272	XTO ENERGY INC	983024100	0		12/1/2009	7/2/2009	324	0	0	321	0	0	0	411
273	XTO ENERGY INC	98385X106	0		12/1/2004	9/11/2009	3,241	0	0	1,282	0	0	0	1,959
274	XTO ENERGY INC	98385X106	0		12/1/2004	6/11/2010	20,920	0	0	7,727	0	0	0	13,193
275	XTO ENERGY INC	98385X106	0		3/29/2010	6/11/2010	1,317	0	0	2,367	0	0	0	-197
276	ACCENTURE PLC-CL A	G1150G111	0		2/27/2008	7/2/2009	330	0	0	367	0	0	0	-37
277	ACCENTURE PLC-CL A	G1151C101	0		2/27/2008	9/11/2009	2,130	0	0	2,203	0	0	0	-73
278	ACCENTURE PLC-CL A	G1151C101	0		2/27/2008	1/6/2010	11,929	0	0	10,279	0	0	0	1,650
279	ACCENTURE PLC-CL A	G1151C101	0		2/27/2008	1/6/2010	1,696	0	0	1,468	0	0	0	228
280	TYCO INTERNATIONAL LTD	H89128104	0		8/11/2008	7/2/2009	517	0	0	906	0	0	0	-389
281	TYCO INTERNATIONAL LTD	H89128104	0		2/6/2008	9/11/2009	3,283	0	0	4,107	0	0	0	-824

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Average Hours	Compensation
1	Mr & Mrs Robert C Legnini		416 Boxwood Road	Rosemont	PA	19010		TRUSTEE	AS NEEDED	
2										
3										
4										
5										
6										
7										
8										
9										
10										

0

Part XVI-A, Lines 1a-1f (990-PF) - Program Service Revenue

		Unrelated Business Income		Excluded by Section 512, 513, or 514		
	Program Service Revenue	Business Code	Amount	Exclusion Code	Amount	Related or Exempt Function Income
1	CONTRIBUTION OF ALLERGAN ST			42	505,147	
2	CONTRIBUTION OF CASH			42	60,000	
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						
14						
15						
16						
17						
18						
19						
20						

Part XVI-A, Lines 11a-11e (990-PF) - Other Revenue

		Unrelated Business Income		Excluded by Section 512, 513, or 514		
	Program Service Revenue	Business Code	Amount	Exclusion Code	Amount	Related or Exempt Function Income
1	FED TAX REFUND			42	3,146	
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						
14						
15						
16						
17						
18						
19						
20						

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date	Amount
1 Credit from prior year return	1	885
2 First quarter estimated tax payment	2	0
3 Second quarter estimated tax payment	3	0
4 Third quarter estimated tax payment	4	0
5 Fourth quarter estimated tax payment	5	0
6 Other payments	6	0
7 Total	7	885

Part XV, Lines 1a-1b (990-PF) - Information Regarding Foundation Managers

List Managers who contributed more than 2%
of the total contributions received by the foundation

1 NONE
2
3
4
5
6
7
8
9
10

List Managers who own 10% or more of the stock
of a corporation of which the foundation has a 10%
or greater interest

NONE

Form **8868**(Rev. April 2009)
Department of the Treasury
Internal Revenue Service**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

▶ File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only. ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Type or print File by the due date for filing your return. See instructions.	Name of Exempt Organization CARITA FOUNDATION-IMA	Employer identification number 02-0733877
	Number, street, and room or suite no. If a P.O. box, see instructions BNY MELLON TRUST OF DELAWARE - P.O. Box 185	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions Pittsburgh PA 15230-0185	

Check type of return to be filed (file a separate application for each return)

- | | | |
|---|---|------------------------------------|
| ☐ Form 990 | ☐ Form 990-T (corporation) | ☐ Form 4720 |
| ☐ Form 990-BL | ☐ Form 990-T (sec. 401(a) or 408(a) trust) | ☐ Form 5227 |
| ☐ Form 990-EZ | ☐ Form 990-T (trust other than above) | ☐ Form 6069 |
| ☒ Form 990-PF | ☐ Form 1041-A | ☐ Form 8870 |

- The books are in the care of ▶ BNY MELLON TRUST OF DELAWARE P.O. Box 185 Pittsburgh PA Pittsburgh P.

Telephone No ▶ 412-234-8833

FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15/2010, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ▶ ☒ calendar year 2009 or
- ▶ ☐ tax year beginning _____, and ending _____

- 2 If this tax year is for less than 12 months, check reason. ☐ Initial return ☐ Final return ☐ Change in accounting period

3 a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	5,279
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	885
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	4,394

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)

(HTA)